

Signed (Agency Head)	Title	Date
<i>Katherine L. Wade</i>	<i>Commissioner</i>	<i>9-7-18</i>

	AS OF 06/30/18		ESTIMATED 2019		REQUESTED 2020		REQUESTED 2021	
	Filled	Vacant	Change	Total	Change	Total	Change	Total
<b>PERSONNEL SUMMARY</b>								
Appropriated	150.00	1.00	-1.00	150.00	0.00	150.00	0.00	150.00
Private Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Special Non-Appropriated Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

	ACTUAL 2017-2018	ESTIMATED 2019	REQUESTED 2020	REQUESTED 2021
Other Positions Equated to Full-Time	0.00	0.00	0.00	0.00

SUMMARY OF FUNDING	ACTUAL 2017-2018	ESTIMATED 2018-19	REQUESTED 2019-20	REQUESTED 2020-21
Appropriated	26,558,793.00	26,982,039.00	27,431,695.00	28,207,974.00
Private Funds	294,849.66	294,847.00	0.00	0.00
Special Non-Appropriated Funds	7,860.00	7,860.00	0.00	0.00
<b>TOTAL AGENCY PROGRAMS - ALL FUNDS</b>	<b>26,861,502.66</b>	<b>27,284,746.00</b>	<b>27,431,695.00</b>	<b>28,207,974.00</b>

AGENCY PROGRAMS BY TOTAL FUNDS	ACTUAL 2017-2018	ESTIMATED 2018-19	REQUESTED 2019-20	REQUESTED 2020-21
14000 - Agency Management Services	16,346,405.04	15,925,093.00	16,294,126.00	17,070,405.00
29129 - Examination	10,515,097.62	11,359,653.00	11,137,569.00	11,137,569.00
<b>TOTAL AGENCY PROGRAMS - ALL FUNDS</b>	<b>26,861,502.66</b>	<b>27,284,746.00</b>	<b>27,431,695.00</b>	<b>28,207,974.00</b>

PERSONNEL SUMMARY	AS OF 06/30/18		ESTIMATED 2019		REQUESTED 2020		REQUESTED 2021	
	Filled	Vacant	Change	Total	Change	Total	Change	Total
Appropriated	150.00	1.00	(1.00)	150.00	0.00	150.00	0.00	150.00
Private Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Special Non-Appropriated Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Actual</b>		<b>Estimated</b>		<b>REQUESTED 2020</b>		<b>REQUESTED 2021</b>	
Other Position Equated to Full-Time	0.00		0.00		0.00		0.00	
<b>Current Services</b>	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
<b>PERSONAL SERVICES</b>								
50110 - Salaries & Wages-Full Time	13,061,799.00		13,677,074.00		14,315,945.00		15,092,224.00	
50160 - Longevity Payments	34,593.00		62,860.00		62,860.00		62,860.00	
50170 - Overtime	117.00		0.00		0.00		0.00	
50190 - Accumulated Leave	140,512.00		0.00		0.00		0.00	
58999 - Other	33,201.00		56,112.00		56,112.00		56,112.00	
<b>TOTAL PERSONAL SERVICES</b>	<b>13,270,222.00</b>		<b>13,796,046.00</b>		<b>14,434,917.00</b>		<b>15,211,196.00</b>	
<b>OTHER EXPENSES</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
50700 - Employee Expenses	10,706.00		12,000.00		12,000.00		12,000.00	
50770 - Employee Travel	167,498.00		90,000.00		90,000.00		90,000.00	
51010 - Professional Services	53,938.00		123,000.00		123,000.00		123,000.00	
51500 - Other Services	198,265.00		88,000.00		88,000.00		88,000.00	
52500 - Equipment Rental and Maintenance	29,641.00		25,000.00		25,000.00		25,000.00	
53000 - Motor Vehicle Costs	5,858.00		5,200.00		5,200.00		5,200.00	
53311 - Premises Rent Expense-Landlord	1,141,544.00		1,132,007.00		1,181,064.00		1,181,064.00	
53331 - Electricity	66,208.00		65,000.00		65,000.00		65,000.00	
53347 - Chilled Water	7,082.00		5,600.00		5,600.00		5,600.00	
53699 - Premises Expenses	181,653.00		105,000.00		105,000.00		105,000.00	
53700 - Information Technology	79,756.00		25,000.00		25,000.00		25,000.00	
53800 - Communications	37,857.00		25,000.00		25,000.00		25,000.00	
54000 - Purchased Commodities	27,397.00		27,000.00		27,000.00		27,000.00	
54050 - Food And Beverages	428.00		0.00		0.00		0.00	
<b>TOTAL OTHER EXPENSES</b>	<b>2,007,831.00</b>		<b>1,727,807.00</b>		<b>1,776,864.00</b>		<b>1,776,864.00</b>	
<b>EQUIPMENT</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
50000 - Expenditures	52,499.00		52,500.00		52,500.00		52,500.00	
<b>TOTAL EQUIPMENT</b>	<b>52,499.00</b>		<b>52,500.00</b>		<b>52,500.00</b>		<b>52,500.00</b>	
<b>OTHER CURRENT EXPENSES</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
<b>12244 - Fringe Benefits</b>								
50400 - Employee Benefits	10,761,501.00		10,938,946.00		10,938,946.00		10,938,946.00	
<b>TOTAL 12244 - Fringe Benefits</b>	<b>10,761,501.00</b>		<b>10,938,946.00</b>		<b>10,938,946.00</b>		<b>10,938,946.00</b>	
<b>12262 - Indirect Overhead</b>								
58999 - Other	466,740.00		466,740.00		228,468.00		228,468.00	
<b>TOTAL 12262 - Indirect Overhead</b>	<b>466,740.00</b>		<b>466,740.00</b>		<b>228,468.00</b>		<b>228,468.00</b>	
<b>TOTAL OTHER CURRENT EXPENSES</b>	<b>11,228,241.00</b>		<b>11,405,686.00</b>		<b>11,167,414.00</b>		<b>11,167,414.00</b>	
<b>PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
<b>TOTAL PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS</b>	<b>0.00</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>PAYMENTS TO LOCAL GOVERNMENTS</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
<b>TOTAL PAYMENTS TO LOCAL GOVERNMENTS</b>	<b>0.00</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>CHANGE IN ACCRUALS</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
<b>TOTAL CHANGE IN ACCRUALS</b>	<b>0.00</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>TOTAL - ALL FUNDS</b>	<b>26,558,793.00</b>		<b>26,982,039.00</b>		<b>27,431,695.00</b>		<b>28,207,974.00</b>	
<b>ADDITIONAL FUNDS AVAILABLE</b>								
	<b>Actual</b>		<b>Estimated</b>		<b>Requested Yr1</b>		<b>Requested Yr2</b>	
Private Funds	294,849.66		294,847.00		0.00		0.00	
Special Non-Appropriated Funds	7,860.00		7,860.00		0.00		0.00	
<b>TOTAL ADDITIONAL FUNDS AVAILABLE</b>	<b>302,709.66</b>		<b>302,707.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>26,861,502.66</b>		<b>27,284,746.00</b>		<b>27,431,695.00</b>		<b>28,207,974.00</b>	

APPROPRIATION ADJUSTMENT SUMMARY

10/19/2018

BR-3 REPORT

9:19 AM

DOI37500 - Insurance Department

ALL FUNDS

ALL PROGRAMS

	Estimated 2018-19	FY 2019-20 ADJ. AMT.	FY 2019-20 TOTAL	FY 2020-21 ADJ. AMT.	FY 2020-21 TOTAL
PERMANENT FULL-TIME POSITIONS	150.00				
PERMANENT FULL-TIME POSITIONS - ALL FUNDS	150.00	0.00	150.00	0.00	150.00
	Estimated 2018-19	FY 2019-20 ADJ. AMT.	FY 2019-20 TOTAL	FY 2020-21 ADJ. AMT.	FY 2020-21 TOTAL
10010 - Personal Services	13,796,046				
FY 20 Cost of FY 20 COLA (311)		470,685		470,685	
FY 20 Cost of FY 20 Increment (312)		168,186		168,186	
FY 21 Cost of FY 20 COLA (311)		0		18,827	
FY 21 Cost of FY 20 Increment (312)		0		89,661	
FY 21 Cost of FY 21 COLA (321)		0		495,836	
FY 21 Cost of FY 21 Increment (322)		0		171,955	
TOTAL - 10010 - Personal Services	13,796,046	638,871	14,434,917	1,415,150	15,211,196
10020 - Other Expenses	1,727,807				
Increased occupancy and parking due to Lease adjustments		49,057		49,057	
TOTAL - 10020 - Other Expenses	1,727,807	49,057	1,776,864	49,057	1,776,864
12262 - Indirect Overhead	466,740				
Reduced funding of Comptroller overhead		(238,272)		(238,272)	
TOTAL - 12262 - Indirect Overhead	466,740	(238,272)	228,468	(238,272)	228,468
TOTAL - ALL FUNDS	26,982,039	449,656	27,431,695	1,225,935	28,207,974

APPROPRIATION ADJUSTMENT SUMMARY

10/19/2018

BR-3 REPORT

9:20 AM

DOI37500 - Insurance Department

ALL FUNDS

ALL PROGRAMS

	Estimated 2018-19	FY 2019-20 ADJ. AMT.	FY 2019-20 TOTAL	FY 2020-21 ADJ. AMT.	FY 2020-21 TOTAL
PERMANENT FULL-TIME POSITIONS	150.00				
PERMANENT FULL-TIME POSITIONS - ALL FUNDS	150.00	0.00	150.00	0.00	150.00
	Estimated 2018-19	FY 2019-20 ADJ. AMT.	FY 2019-20 TOTAL	FY 2020-21 ADJ. AMT.	FY 2020-21 TOTAL
10010 - Personal Services	13,796,046				
FY 20 Cost of FY 20 COLA (311)		470,685		470,685	
FY 20 Cost of FY 20 Increment (312)		168,186		168,186	
FY 21 Cost of FY 20 COLA (311)		0		18,827	
FY 21 Cost of FY 20 Increment (312)		0		89,661	
FY 21 Cost of FY 21 COLA (321)		0		495,836	
FY 21 Cost of FY 21 Increment (322)		0		171,955	
TOTAL - 10010 - Personal Services	13,796,046	638,871	14,434,917	1,415,150	15,211,196
10020 - Other Expenses	1,727,807				
Increased occupancy and parking due to Lease adjustments		49,057		49,057	
TOTAL - 10020 - Other Expenses	1,727,807	49,057	1,776,864	49,057	1,776,864
12262 - Indirect Overhead	466,740				
Reduced funding of Comptroller overhead		(238,272)		(238,272)	
TOTAL - 12262 - Indirect Overhead	466,740	(238,272)	228,468	(238,272)	228,468
TOTAL - ALL FUNDS	26,982,039	449,656	27,431,695	1,225,935	28,207,974

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Fund Code & Title                      12004 - Insurance Fund

Adjustment Title                        FY 20 Cost of FY 20 Increment (312)

Adjustment Type                        Technical    Sub-Type                      AI/PARS - Year 1

Contact                                      System    Phone                                      System

Statutory Reference                      NA

Adjustment Description                      FY 20 Cost of FY 20 Increment (312)

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
<b>10010 - Personal Services</b>				
	<b>14000 - Agency Management Services</b>	50110 - Salaries & Wages-Full Time	168,186.00	168,186.00
	<b>TOTAL - Agency Management Services</b>		<b>168,186.00</b>	<b>168,186.00</b>
<b>TOTAL - Personal Services</b>			<b>168,186.00</b>	<b>168,186.00</b>
<b>Total Costs</b>			<b>168,186.00</b>	<b>168,186.00</b>



Fund Code & Title                      12004 - Insurance Fund

Adjustment Title                        FY 21 Cost of FY 20 Increment (312)

Adjustment Type                        Technical                                      Sub-Type                      AIPARS - Year 1

Contact                                      System    Phone                                      System

Statutory Reference                        NA

Adjustment Description                    FY 21 Cost of FY 20 Increment (312)

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services				
	14000 - Agency Management Services	50110 - Salaries & Wages-Full Time	0.00	89,661.00
	<b>TOTAL - Agency Management Services</b>		<b>0.00</b>	<b>89,661.00</b>
<b>TOTAL - Personal Services</b>			<b>0.00</b>	<b>89,661.00</b>
<b>Total Costs</b>			<b>0.00</b>	<b>89,661.00</b>

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Fund Code & Title                      12004 - Insurance Fund

Adjustment Title                      FY 21 Cost of FY 20 COLA (311)

Adjustment Type                      Technical                                      Sub-Type                      COLA - Year 1

Contact                                      System    Phone                                      System

Statutory Reference                      NA

Adjustment Description                      FY 21 Cost of FY 20 COLA (311)

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
<b>10010 - Personal Services</b>				
	<b>14000 - Agency Management Services</b>	50110 - Salaries & Wages-Full Time	0.00	18,827.00
	<b>TOTAL - Agency Management Services</b>		<b>0.00</b>	<b>18,827.00</b>
<b>TOTAL - Personal Services</b>			<b>0.00</b>	<b>18,827.00</b>
<b>Total Costs</b>			<b>0.00</b>	<b>18,827.00</b>



Fund Code & Title	12004 - Insurance Fund		
Adjustment Title	FY 21 Cost of FY 21 Increment (322)		
Adjustment Type	Technical	Sub-Type	A/PPARS - Year 2
Contact	System	Phone	System
Statutory Reference	NA		
Adjustment Description	FY 21 Cost of FY 21 Increment (322)		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
10010 - Personal Services	14000 - Agency Management Services	50110 - Salaries & Wages-Full Time	0.00	171,955.00
	TOTAL - Agency Management Services		0.00	171,955.00
	TOTAL - Personal Services		0.00	171,955.00
<b>Total Costs</b>			<b>0.00</b>	<b>171,955.00</b>

Fund Code & Title                      12004 - Insurance Fund

Adjustment Title                        FY 21 Cost of FY 21 COLA (321)

Adjustment Type                        Technical                                      Sub-Type      COLA - Year 2

Contact                                      System    Phone            System

Statutory Reference                      NA

Adjustment Description                    FY 21 Cost of FY 21 COLA (321)

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
<b>10010 - Personal Services</b>				
	<b>14000 - Agency Management Services</b>	50110 - Salaries & Wages-Full Time	0.00	495,836.00
	<b>TOTAL - Agency Management Services</b>		<b>0.00</b>	<b>495,836.00</b>
<b>TOTAL - Personal Services</b>			<b>0.00</b>	<b>495,836.00</b>
<b>Total Costs</b>			<b>0.00</b>	<b>495,836.00</b>

Fund Code & Title	12004 - Insurance Fund		
Adjustment Title	Reduced funding of Comptroller overhead		
Adjustment Type	Technical	Sub-Type	Other
Contact		Phone	
Statutory Reference	NA		
Adjustment Description	Modifies Indirect Overhead funding		

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
<b>12262 - Indirect Overhead</b>	<b>14000 - Agency Management Services</b>	58999 - Other	(238,272.00)	(238,272.00)
	<b>TOTAL - Agency Management Services</b>		<b>(238,272.00)</b>	<b>(238,272.00)</b>
	<b>TOTAL - Indirect Overhead</b>		<b>(238,272.00)</b>	<b>(238,272.00)</b>
<b>Total Costs</b>			<b>(238,272.00)</b>	<b>(238,272.00)</b>

Fund Code & Title 12004 - Insurance Fund

Adjustment Title Increased occupancy and parking due to Lease adjustments

Adjustment Type Technical Sub-Type Increases Beyond Current Services Level

Contact Phone

Statutory Reference N/A

Adjustment Description Increase in occupancy under Lease during FY 2020 due to increased real estate taxes paid by Lessor to City of Hartford (\$36,240) and pass-through of sales taxes paid by parking lot owner (\$12,817). Total increased cost to Agency is \$49,057 per year. FY 2021 adjustment is in the same amount.

Costs	PROGRAM CODE	ACCOUNT CODE	Year 1	Year 2
<b>10020 - Other Expenses</b>				
	<b>14000 - Agency Management Services</b>	53311 - Premises Rent Expense-Landlord	49,057.00	49,057.00
	<b>TOTAL - Agency Management Services</b>		<b>49,057.00</b>	<b>49,057.00</b>
<b>TOTAL - Other Expenses</b>			<b>49,057.00</b>	<b>49,057.00</b>
<b>Total Costs</b>			<b>49,057.00</b>	<b>49,057.00</b>

ADDITIONAL FUNDS  
AVAILABLE

10/19/2018

BR-5 REPORT

9:20 AM

DOI37500 - Insurance Department

Fund	Fed Cat	SID	Description	Pgm	Perm. Pos.	Vac. Pos.	Other Pos.	Actual	Perm. Pos.	Other Pos.	Estimated	Perm. Pos. Y1	Other Pos. Y1	Projected Y1	Perm. Pos. Y2	Other Pos. Y2	Projected Y2
12060		30021	Insurance Department Education Account	14000	0.00	0.00	0.00	7,860.00	0.00	0.00	7,860.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		35103	Utilization Review Fees	14000	0.00	0.00	0.00	36,408.11	0.00	0.00	36,408.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		35103	Utilization Review Fees	29129	0.00	0.00	0.00	72,160.75	0.00	0.00	72,160.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		35294	Preferred Provider Network	14000	0.00	0.00	0.00	36,355.93	0.00	0.00	36,355.00	0.00	0.00	0.00	0.00	0.00	0.00
12060		35460	SURETY BAIL BOND AGENT EXAMINA	29129	0.00	0.00	0.00	149,924.87	0.00	0.00	149,924.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTALS</b>					<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>302,709.66</b>	<b>0.00</b>	<b>0.00</b>	<b>302,707.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>