

JUDICIAL DEPARTMENT

AGENCY DESCRIPTION

The objectives of the Judicial Department are: to uphold the laws of the state by adjudicating criminal cases; to resolve disputes involving civil or personal rights; to interpret state statutes and to determine whether a law violates the Constitutions of the State or the United States; to insure the principles of fair and reasonable bail by interviewing all detained criminal defendants to determine whether they should remain incarcerated during the pendency of their case; to effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education and to provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.

Additional objectives are: to create and sustain a full range of alternatives to incarceration for both pre- and post-conviction adult and juvenile populations; to supervise probationers in the community and to encourage improvement in their conduct and condition; to enforce, review and adjust child support orders; to advocate for victims of crime and arrange for or provide services and financial compensation; to maintain secure and safe conditions in courthouses and other Judicial Department facilities and to provide for the transportation of prisoners between courthouses and places of confinement.

Certain functions of the Judicial Department's Court Support Services Division are proposed for transfer to the Department of Correction and to the Department of Children and Families in the Governor's budget as part of his Second Chance Society initiative.

AGENCY PROGRAM INDEX

Appellate/Supreme
Court Support Services
Superior Court

Administration
Information Technology

RECOMMENDED SIGNIFICANT CHANGES

Reductions

Transfer Court Support Services Division to the Department of Corrections and the Department of Children and Families	<u>2015-2016</u>	<u>2016-2017</u>
	-258,232,842	-266,897,702

Certain functions of the Judicial Department's Court Support Services Division are proposed for transfer to the Department of Correction and to the Department of Children and Families as part of the Governor's Second Chance Society initiative.

This transfer aligns functions in the agencies that specialize in adult and juvenile services. To that end, the Governor's budget transfers 753 positions for adult programs to the Department of Correction and 755 positions for juvenile programs to the Department of Children and Families, for a total reduction in the Judicial Department's authorized position count of 1,508 positions.

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4063	253	13	4329	4329	4329	4329	4329
Banking Fund	47	4	0	51	51	51	51	51
Federal Funds	0	0	3	3	3	3	3	3
Private Funds	0	0	44	44	44	44	44	44

Agency Programs by Total Funds (Net of Reimbursements)	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
Appellate/Supreme	13,645,697	14,666,795	15,925,102	15,925,106	15,863,134	16,874,648	16,874,652	16,812,680
Administration	25,842,219	32,488,684	35,581,106	35,581,107	35,473,488	38,927,443	38,927,444	38,819,825
Court Support Services	236,683,953	243,466,114	253,705,160	253,705,159	252,665,810	260,947,043	260,947,042	259,907,693
Information Technology	26,067,401	25,196,676	27,645,225	27,645,223	27,542,160	30,140,318	30,140,316	30,037,253
Superior Court	230,473,656	247,573,098	266,054,783	266,054,781	265,018,058	278,503,740	278,503,738	277,467,015
TOTAL Agency Programs - All Fund Gross	532,712,926	563,391,367	598,911,376	598,911,376	596,562,650	625,393,192	625,393,192	623,044,466
<i>Summary of Funding</i>								
General Fund	503,857,817	538,313,094	577,389,868	577,389,868	575,084,837	606,092,710	606,092,710	603,787,679
Banking Fund	5,439,040	5,946,260	6,322,419	6,322,419	6,278,724	6,708,020	6,708,020	6,664,325
Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088
Federal Funds	9,713,495	8,178,797	5,021,880	5,021,880	5,021,880	3,477,946	3,477,946	3,477,946
Private Funds	10,322,358	8,166,200	7,325,534	7,325,534	7,325,534	6,180,428	6,180,428	6,180,428
TOTAL Agency Programs - All Funds Net	532,712,926	563,391,367	598,911,376	598,911,376	596,562,650	625,393,192	625,393,192	623,044,466

SUPREME AND APPELLATE COURTS

Statutory Reference

C.G.S. Sections 51-1a, 51-14, 51-19-22a, 51-197(a)(b), 51-198, 51-199, 51-212-216 and 52-263-269 and Article 5th, Section 1 of the Connecticut Constitution.

Statement of Need or Program Objectives

To promote the just resolution of conflicts by providing a forum for the direct review of appeals from the Superior Court through the Supreme and Appellate Court System.

Program Description

The Supreme and Appellate Court System performs the following activities:

Establishes a file for every case, processes and reviews all filings for substantial compliance with the rules of practice, maintains the docket of cases from filing to assignment for argument, schedules eligible cases for pre-argument settlement conferences and enters orders and assigns cases for oral argument or a decision on the briefs.

Reviews cases for jurisdictional problems, prepares memoranda on jurisdictional questions, prepares

screening reports on certain cases prior to oral argument or submission of briefs and performs special research projects as required.

Reviews records and briefs, acts on motions and petitions for certification, hears oral arguments and writes opinions on all cases that it decides.

Files written opinions with the Reporter of Judicial Decisions, compiles and maintains statistics on case flow, institutes rules of practice and responds to inquiries concerning such rules.

Establishes personnel policies and compensation plans for employees whose salaries are not fixed by statute.

Prepares written decisions of the Supreme Court and the Appellate Court for publication in the Connecticut Law Journal and in volume reports.

Prepares the annual revision of the Connecticut Practice Book that incorporates the amendments adopted by the judges; informs judges, attorneys and the public of decisions of the Supreme and Appellate.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
New cases-Supreme Court (incl. transfers from Appellate Court)	165	165	165	165
Petitions for certification filed for Supreme Court	385	385	385	385
Cases disposed-Supreme Court	158	158	158	158
Cases pending at end of period-Supreme Court	259	259	259	259
Cases transferred to Appellate Court from Supreme Court	38	38	38	38
Supreme Court written opinions published	101	115	115	115
Appellate Court written opinions published	564	550	550	550

Superior Court written opinions published	7	10	10	10
Total opinions published	672	675	675	675
New cases-Appellate Court	1,157	1,157	1,157	1,157
Cases disposed-Appellate Court	1,138	1,138	1,138	1,138
Cases transferred to Supreme Court from Appellate Court	52	52	52	52
Cases pending at end of period-Appellate Court	1,033	1,033	1,033	1,033
Cases Screened- Supreme/Appellate Court	659	659	659	659
Petitions for Certification filed for Appellate Court	32	32	32	32
Average number of days between decision and written publication (Supreme/Appellate)	27	29	29	29
Average number of days between decision and electronic publication (Supreme/Appellate)	19	21	21	21
Published pages of opinions (Supreme/Appellate/Superior)	10,479	10,500	10,500	10,500
Screening Reports - Supreme/Appellate Court	346	346	346	346

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	95	9	0	104	104	104	104	104

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	11,536,062	12,378,148	13,923,493	13,923,497	13,923,497	14,823,637	14,823,641	14,823,641
Other Expenses	1,854,178	1,890,832	1,934,235	1,934,235	1,934,235	1,989,037	1,989,037	1,989,037
<u>Other Current Expenses</u>								
Judge's Increases	156,343	330,444	3	3	3	2	2	2
TOTAL - Other Current Expenses	156,343	330,444	3	3	3	2	2	2
<u>Nonfunctional - Change to Accruals</u>	0	61,972	61,972	61,972	0	61,972	61,972	0
TOTAL - General Fund	13,546,583	14,661,396	15,919,703	15,919,707	15,857,735	16,874,648	16,874,652	16,812,680
<u>Additional Funds Available</u>								
Private Funds	99,114	5,399	5,399	5,399	5,399	0	0	0
TOTAL - All Funds	13,645,697	14,666,795	15,925,102	15,925,106	15,863,134	16,874,648	16,874,652	16,812,680

ADMINISTRATION

Statutory Reference

C.G.S. Sections 51-1b(a), 51-182, 51-5a.

Statement of Need and Program Objectives

To carry out the Judicial Department's mission to resolve matters brought before it in a fair, timely and effective manner.

Program Description

The Chief Court Administrator and the Deputy Chief Court Administrator are responsible for, among other things, the efficient operation of the Connecticut Judicial Department, the prompt disposition of cases and the assignment of superior court judges to specific court locations. In addition, the Chief Court Administrator represents the department on a myriad of boards and commissions.

The Office of the Chief Court Administrator is composed of the Administrative Services and the External Affairs Divisions.

Administrative Services develops systems, maintains records and processes all financial transactions of the department; prepares and substantiates fiscal year budget requests; prepares and analyzes current year expenditure projections and allocates resources within the department; processes and supervises rentals, leases, supplies, materials and equipment and maintains all supplies and equipment used in connection with the department; assesses current and projected space needs, monitors all lease arrangements and requests bond funds for capital projects through the submission of a five-year capital plan; develops personnel standards and procedures, processes and maintains personnel records and represents the department in all collective bargaining negotiations; collects and summarizes information from court records; accounts for and distributes all revenue collected during daily operations of the court and notifies appropriate agencies of criminal dispositions.

External Affairs serves as the liaison between the department and the other branches of government and community organizations; responds to numerous requests for information from policy makers, news professionals and members of the public; develops the department's legislative agenda under the direction of the Chief Justice and the Chief Court Administrator; reviews all proposed legislation for potential impact on the department; administers the speakers' bureau that provides a panel of judges who are available to

speak to community audiences on court-related topics; coordinates the intern program that provides meaningful placement opportunities for students to gain valuable experience; develops outreach programs in collaboration with various private and public agencies to educate and inform members of the community about the department and coordinates public events designed to invite the public to tour courthouses and learn about the role of the courts.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Requisitions Processed	3,073	3,025	3,000	2,900
Days from purchase order to delivery	45	45	40	40
% New Hires -- minority	60.72	61	61	61
% New Hires -- women	51.68	52	52	52
Orientation sessions held for new employees	28	28	28	28
Payroll changes	61,610	61,725	61,850	62,025
Total revenues collected (\$000)	84,568,347	84,464,639	85,980,771	87,545,048

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	479	46	0	525	525	525	525	525
Private Funds	0	0	4	4	4	4	4	4

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	9,314,504	9,994,426	11,051,397	11,051,398	11,051,398	11,807,863	11,807,864	11,807,864
Other Expenses	2,450,389	2,970,520	3,057,677	3,057,677	3,057,677	3,051,548	3,051,548	3,051,548
<u>Capital Outlay</u>								
Equipment	2,000	0	0	0	0	0	0	0
TOTAL - Capital Outlay	2,000	0	0	0	0	0	0	0
<u>Other Current Expenses</u>								
Justice Education Center, Inc.	545,828	545,828	545,828	545,828	545,828	545,828	545,828	545,828
Probate Court	9,350,000	10,750,000	14,819,000	14,819,000	14,819,000	17,415,000	17,415,000	17,415,000
Children of Incarcerated Parents	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000
Legal Aid	1,500,000	1,660,000	1,660,000	1,660,000	1,660,000	1,660,000	1,660,000	1,660,000
Judge's Increases	26,836	56,721	0	0	0	0	0	0
Children's Law Center	109,838	109,838	109,838	109,838	109,838	109,838	109,838	109,838
Juvenile Planning	0	150,000	150,000	150,000	150,000	150,000	150,000	150,000
TOTAL - Other Current Expenses	11,757,502	13,497,387	17,509,666	17,509,666	17,509,666	20,105,666	20,105,666	20,105,666
<u>Nonfunctional - Change to Accruals</u>	0	107,619	107,619	107,619	0	107,619	107,619	0
TOTAL - General Fund	23,524,395	26,569,952	31,726,359	31,726,360	31,618,741	35,072,696	35,072,697	34,965,078
<u>Additional Funds Available</u>								
Private Funds	2,117,522	2,351,886	2,071,324	2,071,324	2,071,324	2,071,324	2,071,324	2,071,324
Federal Contributions								
16321 Antiterrorism & Emergency Assistance	0	3,566,846	1,783,423	1,783,423	1,783,423	1,783,423	1,783,423	1,783,423
16554 National Criminal History Improvement Pgm	162,700	0	0	0	0	0	0	0
16575 Crime Victim Assistance	11,148	0	0	0	0	0	0	0
16576 Crime Victim Compensation	5,241	0	0	0	0	0	0	0
16813 NICS Act Record Improvement Program	1,189	0	0	0	0	0	0	0
93586 State Court Improvement Program	20,024	0	0	0	0	0	0	0
TOTAL - All Funds	25,842,219	32,488,684	35,581,106	35,581,107	35,473,488	38,927,443	38,927,444	38,819,825

COURT SUPPORT SERVICES

Statutory Reference

C.G.S. Section 51-1d, 46b-121, 46b-123 and 46b-123-24, 46b-128-130, 46b-133-134, 4-141, 46b-121h, 46b-121i, 46b-121k, 46b-123, 46b-127, 46b-132, 46b-132a, 46b-127, and 46b-133, 54-63(b), 54-63(d), 54-103(b), 54-106, and 54-123(a).

Statement of Need and Program Objectives

To provide direction and administrative support to the operational units of the Court Support Services Division (CSSD). Organization units include Central Office/Office of the Executive Director, Operations, Administration, and Program and Staff Development providing support for Adult, Juvenile, and Detention Services. There is a decentralized operations management structure with regional service areas reporting to the Central Office.

Program Description

- Identifies, develops, implements and institutionalizes policies and procedures to provide the most effective and efficient services to the Court, supervision and monitoring for adults and juveniles, as well as assists in achieving the fair and timely resolution of family and interpersonal conflicts and domestic violence criminal cases.
- Oversees the operation of the juvenile detention facilities to ensure compliance with OSHA standards and divisional operational policies. Investigates detainee complaints and incidents within the detention facilities and ensures compliance with all provisions of a court order pertaining to conditions of confinement of juveniles.
- Administers interstate compact agreements and establishes and oversees a statewide network of contracted community based treatment, evaluation, social services and alternative to incarceration programs for adults, juveniles and families involved with the courts.
- Identifies and implements research and evaluation of best practice services, reports and analyzes outcome and performance data on CSSD services, conducts research and identification of evidence based practices proven to reduce recidivism and implements new services and delivery models within a statewide network of contracted community based services.

In the area of Juvenile Services, CSSD provides safe and secure custody, treatment and rehabilitative services for children and families by the efficient management of

a juvenile justice system which recognizes the needs, rights and responsibilities of children, families and the community. Juvenile Services, which includes contracted services, performs the following functions:

- Identifies needs and risk factors of children and families that contribute to delinquent behavior and Family with Service Needs violations through an established classification system.
- Diverts children from the judicial process through non-judicial supervision services and referrals to appropriate community-based agencies and diversion programs.
- Assists the Court in making appropriate residential placements.
- Provides access to court-based assessments for appropriate medical, mental health and substance abuse services.
- Schedules and monitors payments in cases where restitution is ordered by the court.
- Appears in court in judicial cases, prepares pre-dispositional studies for the court, provides input about juveniles and responds to judicial inquiries.
- Supervises children placed on probation and keeps informed of the child's conduct through personal, family, school, community and/or other agency contacts and keeps the court informed of the child's conduct.
- Monitors community service hours completed and obtains community service sites in certain cases.

Detention Services provides secure and therapeutic confinement to those juveniles who present a danger to the community or themselves. A classification system is utilized to determine the most appropriate facility for the juvenile. The service also operates two residential detention facilities. Community-based contracted services, including Secure Detention and Alternative to Detention Programs (ADP) are also administered. More specifically, Detention Services:

- Provides shelter, meals, clothing, medical, dental, mental health and case management services for juvenile detainees.
- Makes available educational services provided by local school districts.
- Provides a range of recreational and other gender-specific programs appropriate for the juvenile population, including psycho-educational groups to

address trauma, substance abuse, anger management and violence prevention.

- Maintains records concerning all children in detention.
 - Transports juveniles from detention facilities and alternative detention programs to court facilities for hearings and to other locations for evaluations and additional professional services as required. Maintain American Correctional Association (ACA) and National Commission on Correctional Health Care (NCCCHC) accreditations. Conducts a comprehensive intake and screening admission process to identify strengths/risk needs.
 - Provides comprehensive healthcare services including; medical, mental health, dental and pharmacological.
 - Provides discharge planning recommendations and aftercare planning to the probation officer and family.
- Finally, CSSD's Adult Services system monitors the behavior of offenders in the community and uses suitable methods to aid and encourage improvement in their conduct and condition. The program also assists the courts in the resolution of family and domestic violence matters.

The CSSD Adult Services delivery system is comprised of three major disciplines: adult probation, bail, and family services. These disciplines perform the following functions:

- Supervises all individuals sentenced to probation in accordance with statutory requirements and provides them with the opportunity to promote positive change, reduce recidivism and ensure the successful completion of probation.
- Provides access and support to victims through victim impact statements, restitution and the monitoring of conditions of probation.
- Ensures principles of fair and reasonable bail as guaranteed by the state and federal constitutions.
- Provides pre-dispositional studies and recommendations to the court to assist in disposition of criminal cases.
- Assists in the return of probation violators to court in a timely and efficient manner.
- Monitors and reports to the court on the defendant's compliance with pretrial and release conditions.
- Determines eligibility for the Pretrial Alcohol Education Program, Drug Education Program and other diversionary programs and formulates recommendations for the court.

- Conducts independent interviews and assessments for all detainees unable to post bond prior to arraignment.
- Verifies interview information and investigates state and national criminal history information.
- Recommends specific release conditions necessary to ensure court appearance and public safety in appropriate cases; notifies each defendant interviewed of scheduled court appearance and, on order of the court, notifies defendants who have failed to appear prior to the issuance of a rearrest warrant; verifies, monitors and records the activities of individuals conditionally released; re-interviews defendants at correctional centers post arraignment to provide alternative to incarceration bond modification plans to the judge and provides written progress reports to the court on conditional releases including recommendations for graduated sanctions for pretrial misconduct.
- Classifies and supervises offenders by assessed risk level.
- Makes referrals to, and collaborates with, appropriate community services and programs.
- Provides program coordination, defendant assessment and release supervision for Drug, Domestic Violence and Community Courts.
- Facilitates the identification and exploration of issues involving child custody and/or parental access through mediation and conflict resolution conferences to resolve parenting disputes in a non-coercive and confidential manner.
- Conducts comprehensive and issue focused evaluations involving in-depth assessments of the family and/or the issues impacting a parenting plan that result in recommended plans shared with the parties, attorneys, and the court.
- Conducts pretrial and final judgment settlement negotiations with attorneys and self-represented litigants that also serve as the intake/screening for custody and access referrals and civil restraining order petition negotiation.
- Completes pre-arraignment risk assessments for all family violence cases and prepares recommendations for the court. Conducts comprehensive family violence case assessments for defendants referred subsequent to the arraignment process. Provides monitoring/pre-trial supervision of individuals referred for family diversionary programs or court ordered interventions/sanctions.

Program Measure	FY 2014	FY 2015	FY 2016	FY 2017
	Actual	Estimated	Projected	Projected
Adult Probation-% of Adult Probation cases completing supervision successfully	61%	61%	61%	61%
Adult Probation-% of adult probationers rearrested within 24 months of beginning supervision	40%	40%	40%	40%
Adult Probation-% of Violation of Probation Warrants that are for technical violations only	34%	34%	34%	34%
Adult Probation-% of probationers who are employed either full or part time	36%	37%	38%	38%
Adult Pretrial-Pre-trial defendant Interviews by the Jail Re-Interview Program	15,000	15,000	15,000	15,000
Adult Pretrial-% of defendants successfully completing the Alcohol Education Program	93%	93%	93%	93%
Family Services-% Mediation Cases Resolved Successfully (Civil Court)	73%	74%	74%	74%
Family Services-Rate of Return for Subsequent Service Post-Agreement (Civil Court)	6%	5%	5%	5%
Family Services-% of DV Rearrest post supervision completion	11%	10%	10%	10%
Family Services-% of DV defendants successfully completing Pre-Trial Supervision	84%	84%	84%	84%
Juvenile Probation-% of Juvenile Probation cases completing supervision successfully	83%	83%	83%	83%
Juvenile Probation-% of juvenile probationers rearrested within 24 months of beginning supervision	60%	59%	59%	59%
Juvenile Probation-Take Into Custody and Warrants Rate	4%	4%	4%	4%
Juvenile Detention-Total Juvenile Detention Admissions	2,334	2,400	2,400	2,400
Juvenile Detention-Average Daily Juvenile Detention Population (% Capacity)	39%	45%	45%	45%
Juvenile Detention-Total Unique Juveniles Admitted to Detention	1,501	1,550	1,550	1,550
Juvenile Detention-Average days in Secure Detention	11	12	12	12
Administration-Rearrest Rate post Alternative Incarceration Program completion	29%	29%	29%	29%
Administration-Rearrest Rate post Child, Youth and Family Support Center Completion	37%	35%	35%	35%
Administration-Rearrest Rate post DV Intervention Program completion	11%	11%	11%	11%

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	3348	175	13	3536	3536	3536	3536	3536
Private Funds	0	0	40	40	40	40	40	40

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended

General Fund								
Personal Services	109,718,284	117,727,282	127,583,261	127,583,260	127,583,260	134,316,455	134,316,454	134,316,454
Other Expenses	11,231,866	11,827,964	12,724,235	12,724,235	12,724,235	13,545,096	13,545,096	13,545,096
Other Current Expenses								
Alternative Incarceration Program	55,180,474	55,955,967	55,955,967	55,955,967	55,955,967	55,955,967	55,955,967	55,955,967
Juvenile Alternative Incarceration	27,935,693	28,442,478	28,442,478	28,442,478	28,442,478	28,442,478	28,442,478	28,442,478
Juvenile Justice Centers	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361
Youthful Offender Services	18,131,798	18,171,087	18,171,087	18,171,087	18,171,087	18,171,087	18,171,087	18,171,087
Children of Incarcerated Parents	357,250	357,250	357,250	357,250	357,250	357,250	357,250	357,250
Youth Violence Initiative	1,500,000	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000
TOTAL - Other Current Expenses	106,241,576	108,313,143	108,313,143	108,313,143	108,313,143	108,313,143	108,313,143	108,313,143
Nonfunctional - Change to Accruals								
	0	1,039,349	1,039,349	1,039,349	0	1,039,349	1,039,349	0
TOTAL - General Fund	227,191,726	238,907,738	249,659,988	249,659,987	248,620,638	257,214,043	257,214,042	256,174,693
Additional Funds Available								
Private Funds	5,026,982	4,045,172	4,045,172	4,045,172	4,045,172	3,733,000	3,733,000	3,733,000
Federal Contributions								
16321 Antiterrorism & Emergency Assistance	1,158,520	0	0	0	0	0	0	0
16575 Crime Victim Assistance	1,560,178	362,982	0	0	0	0	0	0
16576 Crime Victim Compensation	826,488	72,054	0	0	0	0	0	0
16588 Violence Against Women Formula	79,320	78,168	0	0	0	0	0	0
Grants								
16803 American Recovery/Reinvestment Act of 2009 (JAG)	39,000	0	0	0	0	0	0	0
16812 Second Chance Act Prisoner Reentry Initiative	150,412	0	0	0	0	0	0	0
16813 NICS Act Record Improvement Program	611,970	0	0	0	0	0	0	0
93586 State Court Improvement Program	4,094	0	0	0	0	0	0	0
93597 Grants to States-Access & Visitation	35,263	0	0	0	0	0	0	0
TOTAL - All Funds	236,683,953	243,466,114	253,705,160	253,705,159	252,665,810	260,947,043	260,947,042	259,907,693

INFORMATION TECHNOLOGY DIVISION

Statutory Reference

C.G.S. Sections 51-216a, 51-216b, 51-216c, 51-213, 51-212, 4-173, 51-215a.

Statement of Need and Program Objectives

To efficiently and effectively provide the judges and Judicial Department employees with comprehensive data processing services and publishing resources in a manner that maximizes the utility of these resources.

The Information Technology Division is comprised of the Commission on Official Legal Publications and Judicial Information Systems. The division is charged with providing and supporting the IT infrastructure necessary for the timely and efficient processing of information in the Department and for developing and implementing a long term strategic technology plan. In addition, the division is responsible for publishing court decisions and other important documents necessary to facilitate the administration of justice.

Program Description

- Coordinates and supervises the creation, security and maintenance of computer systems,

communications networks and a variety of application and data servers.

- Directs all technology projects that have as their goal the furtherance of the department's mission.
- Develops and operates the Judicial Department website including creating and maintaining static data and electronic services for attorneys and the public.
- Establishes standards for an integrated computing and communications network connecting all court facilities to centralized systems.
- Coordinates, supervises and monitors publication operations.
- Maintains current inventories of legal publications and typesets.
- Composes, photographs, prints, binds and electronically publishes a number of publications.
- Supplies high quality legal publications to state offices, municipalities and the general public.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
% of HelpDesk Calls Resolved within 1 day	88%	89%	89%	90%
FTR Audio File Storage in Terabytes-Note 1 Terabyte=1Trillion bytes of data	19	22	26	30
FTR Audio Stored in Approximate Hours	475,714	565,408	688,210	711,011
Average number of daily "hits" to website	1,396,852	1,536,537	1,690,190	1,859,209
CT Law Journal pages published	12,964	13,223	13,487	13,756
Number of pages published (exclusive of CT Law Journal)	7,471,962	7,546,681	7,622,147	7,698,368
Number of orders processed for forms/publications	1,802	1,838	1,875	1,913

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	137	19	0	156	156	156	156	156

Financial Summary (Net of Reimbursements)

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	11,651,806	12,502,342	13,560,299	13,560,297	13,560,297	14,320,977	14,320,975	14,320,975
Other Expenses	10,870,859	11,041,314	13,217,866	13,217,866	13,217,866	15,402,281	15,402,281	15,402,281
Other Current Expenses								
Youthful Offender Services	5,984	5,997	5,997	5,997	5,997	5,997	5,997	5,997
TOTAL - Other Current Expenses	5,984	5,997	5,997	5,997	5,997	5,997	5,997	5,997
Nonfunctional - Change to Accruals								
TOTAL - General Fund	22,528,649	23,652,716	26,887,225	26,887,223	26,784,160	29,832,318	29,832,316	29,729,253
Additional Funds Available								
Private Funds	2,802,825	1,228,000	758,000	758,000	758,000	308,000	308,000	308,000
Federal Contributions								
16554 National Criminal History Improvement Pgm	129,841	0	0	0	0	0	0	0
16740 Statewide Automated Victim Information Notificatio	30,000	0	0	0	0	0	0	0
16813 NICS Act Record Improvement Program	316,204	0	0	0	0	0	0	0

259,882	315,960	0	0	0	0	0	0
26,067,401	25,196,676	27,645,225	27,645,223	27,542,160	30,140,318	30,140,316	30,037,253

SUPERIOR COURT

Statutory Reference

C.G.S. Sections 1-24, 4a-18, 6-32, 6-32d, 6-32f, 6-32g, 6-38f, 6-38i, 17a-699(d), 17b-137, 17b-745(a)(8), 31-294d, 38a-497a, 46b-1 through 46b-11, 46b-15 through 46b-16a, 46b-38a through 46b-38c, 46b-38f through 46b-38i, 46b-40 through 46b-75, 46b-80 through 46b-88, 46b-115 through 46b-115jj, 46b-120 through 46b-159, 46b-160 through 46b-213w, 46b-215 through 46b-224, 46b-225, 46b-231 through 46b-232a, 47a-69, 47a-70, 51-5c, 51-5c(a), 51-9, 51-15, 51-3051-51v, 51-52, 51-56a, 51-61, 51-79, 51-9 through 51-94, 51-164m-o, 51-164t, 51-165, 51-190, 51-193l through 51-193u, 51-194 through 51-197, 51-197c-f, 51-217 through 51-247c, 51-344, 51-344a, 51-348, 52-50, 52-185 through 52-235b, 52-257 through 52-262, 52-362, 52-434(d), 52-549a through 52-549d, 53a-3, 53a-107, 53a-223c, 53a-278a(9), 54-1b through 54-36p, 54-64d, 54-201 through 54-235, Article 5th, Section 1 of the Connecticut Constitution as amended by Article XX

Statement of Need and Program Objectives

To provide support services to the Superior Court judges, family support magistrates, and judicial officers, and to process all cases in a prompt and efficient manner. The Support Enforcement, Victim Services, and Judicial Marshals units play supportive roles in the day-to-day operations within the court systems.

Program Description

The Superior Court is composed of 201 judges including the justices of the Supreme Court and the judges of the Appellate Court. Superior Court judges decide issues dealing with extremely serious problems affecting people's lives - their liberty, their children, their spousal relationships and their business relationships. This may involve the sentencing of a convicted defendant, the determination of which parent will raise a child, the awarding of damages to an accident victim or the question of whether a person should be taken off a life support system. Among other things, judges participate in the selection of jurors, advise defendants of their rights in criminal

matters, set bail conditions for defendants, sentence defendants found guilty of a violation of state statute, grant dissolutions of marriage, hear and adjudicate cases involving child protection and juvenile delinquency and appoint counsel for indigent parties.

The Superior Court Operations Division supports the administration of justice by providing quality services and information to judges, family support magistrates, judicial officers, the bar and the public. It ensures that courts have sufficient staff and resources to assist judges, family support magistrates and judicial officers in the resolution of disputes. Specialized staff maintain security in the courthouses, transport prisoners between places of confinement, assist with the collection of child support and provide information, compensation and advocacy services to people victimized by crime.

The Superior Court includes thirteen judicial districts, twenty geographical areas, six housing sessions and twelve juvenile courts, Centralized Small Claims, the Centralized Infraction Bureau, and Jury Administration. Superior Court staff:

- Prepares a case file, reviews and processes all petitions and official documents associated with each case and assigns all matters for judicial hearings, produces an official court record in all court proceedings except small claims and minor motor vehicle matters, maintains and updates written policies and procedures to ensure uniform operations of the clerks' offices and provides operational direction to the clerks' offices.
- Manages the operation of special court sessions (i.e. Community Court, Drug Court, Complex Litigation, Domestic Violence, Child Protection, and Land Use in order to effectively process matters requiring specialized court resources.
- Responsible for fiscal management of trust and avails accounts.
- Responsible for ensuring data integrity of all computerized case management systems utilized by the courts.

- Maintains registry of restraining, protective and no-contact orders for use by law enforcement.
- Interprets proceedings for limited-English proficient parties in all criminal cases and Juvenile Matters and, if available, in family, civil and housing cases. Translates court ordered documents and tapes.
- Provide specialized mediation assistance in all judicial districts to parties in residential mortgage foreclosure matters. Assist homeowners with completion and submission of financial applications for mortgage assistance, coordinate referrals to state and community assistance programs, facilitate communication and pre-judgment settlements through mediation, and ensure compliance with foreclosure mediation statute, standing orders, court orders, and federal assistance program guidelines.
- Provides specialized services in housing matters in six judicial districts including on-site inspections of the condition of the premises, mediation between the parties, assistance in finding financial and other resources to help resolve the issue and monitoring of compliance with court ordered repairs.
- Compiles, analyzes and prepares reports on caseload statistics for use in the allocation of personnel and other resources.
- Administers the Judicial Department Law Library System and provides direct legal research services to the judges of the Superior Court.
- Summons jurors and implements procedures for the improvement of jury administration.
- Governs members of the bar; receives applications for admission to the bar; receives, investigates and maintains records of grievance complaints against attorneys and persons not authorized to practice law; prosecutes findings of probable cause that an attorney has committed misconduct and, when appropriate, presents grievances in court.
- Administers Alternative Dispute Resolution (ADR) programs in order to speed resolution of cases and decrease the number of trials.
- Manage the operation of thirteen Court Service Centers and eleven Public Information Desks in order to provide court procedural assistance to self-represented parties, attorneys and members of the general public.
- Provides education and performance improvement opportunities for all judges and Judicial Branch staff including diversity training.

Through the the Support Enforcement unit, the court system enforces all Title IV-D child support and medical support court orders in accordance with federal and state regulations, rules and statutes and to review orders and when appropriate, initiate an action for modification before a family support magistrate.

The Support Enforcement Services staff:

- Supervise the payment of any child, medical and spousal support orders and determine appropriate enforcement action to take in accordance with federal and state performance standards through use of automated enforcement processes and professional discretion.
- Induces the defaulting party to resume payments through personal contacts (initial counseling), informal resolutions and/or formal enforcement action (income withholding, contempt citations, license revocation, etc.).
- In cases requiring formal enforcement actions, initiates an application for contempt, issues a summons for parties to appear, performs service of process, investigates and prepares cases for court, conducts pre-hearing conferences in an attempt to resolve the issue; and presents information to the court.
- Executes duties and responsibilities associated with the Uniform Interstate Family Support Act (UIFSA), which includes the maintenance of: a registry of all foreign support orders; a registry of all foreign paternity judgments; and a registry of all support orders entered by the Family Support Magistrate Division. SES serves as a support enforcement agency in all actions filed under UIFSA and serves as the State Information Agent pursuant to UIFSA.
- Reviews child support orders in Title IV-D cases at the request of the parties or the IV-D agency, to assess deviations from child support guidelines, including calculation of presumptive child support guideline amount, preparation of motions, service of process, pre-hearing conferences and presentation of case in court.
- Initiates review and adjustment of child support cases with a substantial change in circumstances.
- Monitors Title IV-D cases for medical support enforcement, and administratively directs employers to comply with court orders.
- Monitors employer compliance with child support income withholding orders.

- Maintains a state case registry of all paternity and support orders established or modified in the state.
- Assists the Department of Social Services (DSS) in administrative enforcement activities by participating in administrative hearings conducted by DSS.
- Processes and serves non IV-D income withholding orders and provides notice of all court activity to parties in IV-D cases.
- Operates toll free customer service call center.

Through the Victim Services unit, the court system promotes, designs, administers and delivers statewide services to victims of violent crime. To develop and implement programs in the State of Connecticut that support of crime victims' unmet needs. The unit:

- Administers the state's crime victim compensation program, which provides financial reimbursement to victims of violent crime and in some cases, to their dependents and relatives.
- Provides court based victim services advocacy services, which include notification of victim rights, notification of court proceedings, assistance with victim impact statements, referrals to related services, and accompaniments to court.
- Provides victim assistance services for Board of Parole and Pardons hearings.
- Provides notification and information/referral services through a telephone hotline, post conviction notification program and the Protection Order Registry.
- Provides notification of certain criminal justice events by managing the Statewide Automated Victim Information and Notification (CT SAVIN) system.
- Contracts with and monitors community based nonprofit agencies that provide crisis intervention, counseling, advocacy and other direct services to victims of crime.
- Provides training to the criminal justice system, community partners, and the public on victim rights, OVS services and available resources.
- Staffs the statewide advisory council for victims of crime; provides training and technical assistance to

- state and local agencies and to statewide victim services coalitions and groups.
- Provides reimbursement to hospitals for the costs associated with the collection of evidence in sexual assault cases.
- Manages the Sexual Assault Forensic Examiners on-call program which assists participating hospitals in responding to victims of sexual assault.

Through the Judicial Marshals unit, the court system maintains the security of all courthouses and Judicial Department facilities, ensuring the safety of the public and staff and provides transportation for prisoners between courthouses and correctional facilities. The operation of the Judicial Marshal Services unit is managed by a central administrative office with local operations divided into thirteen judicial districts. The Administrative Judge for each Judicial District oversees the marshal functions in the respective district, in conjunction with the Chief Judicial Marshal in each district, who is also charged with the day-to-day scheduling and supervision of staff. The Judicial Marshal Services are responsible for providing the following:

- Security in all courthouses and Judicial Department facilities; including, courtroom security for Superior Court and family support matters.
- Transportation of prisoners between courthouses and correctional facilities.
- Transportation of prisoners to and from treatment facilities.
- Supervision of prisoners within Judicial Department courthouses.
- Operating a twenty-four hour lockup facility at the Lafayette Street Courthouse in Hartford.
- Serving capias mittimus issued by a Family Support Magistrates.
- Responsible for monitoring jurors in criminal cases involving a crime that is punishable by life imprisonment.

Program Measure

Court Operations-% of Criminal cases at Geographical Areas pending over time standards
 Court Operations-% of Criminal cases at Judicial District pending over time standards
 Court Operations-Turnover Rate for Criminal cases at Judicial Districts

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Court Operations-% of Criminal cases at Geographical Areas pending over time standards	34%	35.6%	37.2%	38.9%
Court Operations-% of Criminal cases at Judicial District pending over time standards	33%	34.3%	35.5%	36.8%
Court Operations-Turnover Rate for Criminal cases at Judicial Districts	1	.96	.93	.89

Court Operations-Turnover Rate for Criminal cases at Geographical Areas	.97	.92	.88	.84
Court Operations-Turnover Rate for Motor Vehicle cases at Geographical Areas	1.00	.98	.98	.98
Court Operations-% of Summary Process cases disposed per time standards (20 days)	53.2%	51.3%	51.4%	51.4%
Court Operations-Process Delinquency cases to disposition within 12 months (%)	97.1	96.5	96.9	96.8
Court Operations-Turnover Rate for Family cases at Judicial District	1.04	1.02	1.01	1.02
Court Operations-Turnover Rate for Civil cases at Judicial Districts	1.14	1.07	1.09	1.09
Court Operations-Turnover Rate for Small Claims cases including Housing Small Claims (HSC)	1.01	.97	.98	.99
Court Operations-Foreclosures added	19,136	17,524	18,260	18,358
Judge Support-Number of legal research requests	4,164	4,189	4,214	4,239
Judge Support-Number of patron visits at Judicial Branch Law Libraries	196,632	217,140	211,789	211,076
Judge Support-Number of telephone inquiries and emails completed by Law Library staff	13,759	11,963	12,533	12,609
Interpreters-Number of occasions requiring an interpreter	64,874	70,563	76,490	82,915
Transcription Services-% of transcripts delivered within time standards (sentencing)	97	100	100	100
Transcription Services-% of transcripts delivered within time standards (appeal)	91	100	100	100
Marshals-Average number of screenings at metal detectors daily	34,391	33,155	34,897	36,730
Marshals-Average number of prisoners transported daily	1,050	1,100	1,143	1,187
Marshals-Average number of prisoners in courthouse lockups per day	813	825	851	877
Victim Services-Within allocated funding, compensate victims of crimes as authorized by law (\$000)	2,025	2,025	2,025	2,025
Victim Services-Number of claimants found eligible to receive an order of compensation	1,295	1,150	1,150	1,150
Victim Services-Number of calls received (hotline and informational)	4,365	4,400	4,500	4,500
Victim Services-Number of protection order registry letters generated to victims	26,835	27,500	28,000	28,000
Victim Services-Number of victims served by OVS victim services advocates	5,835	8,400	11,000	11,000
Victim Services-Number of human trafficking victims receiving shelter services	2	5	5	5
Support Enforcement-Number of individuals trained on human trafficking issues	292	300	325	325
Support Enforcement-Number of obligated child support cases	157,972	158,472	158,972	159,472
Support Enforcement-% of current support collected and distributed	58.3%	58.8%	59.3%	59.8%
Support Enforcement-Average number of obligated cases per officer	2,256	2,264	2,271	2,278
Support Enforcemet-% of cases meeting federal standard for enforcement	95%	95%	95%	95%
Support Enforcement-Number of cases reviewed for modification services	10,469	10,500	10,532	10,564
Support Enforcement-Number of support orders with medical support ordered	102,073	102,573	103,073	103,573
Support Enforcement-Number of capias orders executed	940	950	975	1,000
Support Enforcement-Non IV-D income withholding cases opened -(new)	1,736	1,750	1,775	1,800

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4	4	0	8	8	8	8	8
Banking Fund	47	4	0	51	51	51	51	51
Federal Funds	0	0	3	3	3	3	3	3

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended

General Fund								
Personal Services	176,303,459	189,172,909	210,025,673	210,025,671	210,025,671	222,245,740	222,245,738	222,245,738
Other Expenses	37,351,531	39,054,594	40,178,705	40,178,705	40,178,705	41,861,049	41,861,049	41,861,049
<u>Other Current Expenses</u>								
Forensic Sex Evidence Exams	1,305,165	1,441,460	1,441,460	1,441,460	1,441,460	1,441,460	1,441,460	1,441,460
Alternative Incarceration Program	540,729	548,328	548,328	548,328	548,328	548,328	548,328	548,328
Victim Security Account	3,504	9,402	9,402	9,402	9,402	9,402	9,402	9,402
Judge's Increases	1,562,076	3,301,571	-3	-3	-3	-2	-2	-2
TOTAL - Other Current Expenses	3,411,474	5,300,761	1,999,187	1,999,187	1,999,187	1,999,188	1,999,188	1,999,188
<u>Nonfunctional - Change to Accruals</u>	0	993,028	993,028	993,028	0	993,028	993,028	0
TOTAL - General Fund	217,066,464	234,521,292	253,196,593	253,196,591	252,203,563	267,099,005	267,099,003	266,105,975

Banking Fund								
<u>Other Current Expenses</u>								
Foreclosure Mediation Program	5,430,558	5,902,565	6,278,724	6,278,724	6,278,724	6,664,325	6,664,325	6,664,325
TOTAL - Other Current Expenses	5,430,558	5,902,565	6,278,724	6,278,724	6,278,724	6,664,325	6,664,325	6,664,325
<u>Nonfunctional - Change to Accruals</u>	8,482	43,695	43,695	43,695	0	43,695	43,695	0
TOTAL - Banking Fund	5,439,040	5,946,260	6,322,419	6,322,419	6,278,724	6,708,020	6,708,020	6,664,325

Criminal Injuries Compensation FundOther Current Expenses

Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088
TOTAL - Other Current Expenses	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088
TOTAL - Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088

Additional Funds Available

Private Funds	275,915	535,743	445,639	445,639	445,639	68,104	68,104	68,104
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Federal Contributions

16554 National Criminal History Improvement Pgm	25,653	0	0	0	0	0	0	0
16575 Crime Victim Assistance	3,852,357	2,694,523	2,247,173	2,247,173	2,247,173	1,694,523	1,694,523	1,694,523
16576 Crime Victim Compensation	205,870	500,000	552,079	552,079	552,079	0	0	0
16738 E Byrne Memorial Justice Assistance Grant	99,383	439,205	439,205	439,205	439,205	0	0	0
93586 State Court Improvement Program	63,717	149,059	0	0	0	0	0	0
93597 Grants to States-Access & Visitation	65,041	0	0	0	0	0	0	0
TOTAL - All Funds	230,473,656	247,573,098	266,054,783	266,054,781	265,018,058	278,503,740	278,503,738	277,467,015

AGENCY FINANCIAL SUMMARY - General Fund**Current Expenses by Minor Object**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	290,718,907	313,844,162	342,496,666	344,503,272	361,202,049	363,233,365
Other Positions	13,486,508	14,470,971	15,618,180	15,618,178	16,423,502	16,423,500
Other	12,371,740	11,370,896	15,775,638	13,769,034	17,520,836	15,489,522
Overtime	1,946,960	2,089,078	2,253,639	2,253,639	2,368,285	2,368,285
TOTAL - Personal Services	318,524,115	341,775,107	376,144,123	376,144,123	397,514,672	397,514,672

Other Expenses

Advertising and Marketing	106,878	111,182	111,772	111,772	112,524	112,524
Agriculture, Horticulture, Dairy & Food Books	122,062	925,003	946,092	946,092	968,136	968,136
Clothing and Personal Supplies	1,094,691	1,095,000	1,120,385	1,120,385	1,152,743	1,152,743
DP Services, Rentals and Maintenance	275,477	280,000	286,494	286,494	294,774	294,774
Dues and Subscriptions	5,428,476	5,330,000	7,368,850	7,368,850	9,378,718	9,378,718
Fees for Non-Professional Services	30,969	32,215	32,872	32,872	33,716	33,716
Fees for Outside Professional Services	5,089,472	5,021,996	5,329,474	5,329,474	5,468,327	5,468,327
Fuel	3,782,339	4,475,001	4,563,893	4,563,893	4,677,205	4,677,205
General Repairs	1,314,618	1,355,548	1,384,186	1,384,186	1,452,944	1,452,944
Maintenance and Motor Vehicle Supplies	12,740,377	12,497,730	12,803,238	12,803,238	13,172,145	13,172,145
Medical Supplies	950,422	981,797	1,014,105	1,014,105	1,035,735	1,035,735
Motor Vehicle Expenses	542,221	550,000	568,431	568,431	588,796	588,796
Office Supplies	658,350	699,591	714,908	714,908	734,435	734,435
Other Contractual Services	2,564,949	2,600,000	2,649,559	2,649,559	2,712,730	2,712,730
Postage	1,163,721	1,240,702	1,262,044	1,262,044	1,289,259	1,289,259
Printing & Binding	2,849,320	2,968,032	3,034,178	3,034,178	3,118,495	3,118,495
Rentals, Storage and Leasing	155,649	161,914	165,363	165,363	169,759	169,759
Sundry - Other Items	11,821,224	11,982,834	12,974,451	12,974,451	14,328,573	14,328,573
Telecommunication Services	1,236,143	2,154,736	2,186,779	2,186,779	2,227,627	2,227,627
Travel	2,673,843	2,924,999	2,992,191	2,992,191	3,077,844	3,077,844
Utility Services	1,644,584	1,650,000	1,687,672	1,687,672	1,735,699	1,735,699
TOTAL - Other Expenses	7,513,038	7,746,944	7,915,781	7,915,781	8,118,827	8,118,827
TOTAL - Other Expenses	63,758,823	66,785,224	71,112,718	71,112,718	75,849,011	75,849,011

Equipment

Equipment	2,000	0	0	0	0	0
TOTAL - Equipment	2,000	0	0	0	0	0

Other Current Expenses

Forensic Sex Evidence Exams	1,305,165	1,441,460	1,441,460	1,441,460	1,441,460	1,441,460
Alternative Incarceration Program	55,721,203	56,504,295	56,504,295	56,504,295	56,504,295	56,504,295
Justice Education Center, Inc.	545,828	545,828	545,828	545,828	545,828	545,828
Juvenile Alternative Incarceration	27,935,693	28,442,478	28,442,478	28,442,478	28,442,478	28,442,478
Juvenile Justice Centers	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361	3,136,361
Probate Court	9,350,000	10,750,000	14,819,000	14,819,000	17,415,000	17,415,000
Youthful Offender Services	18,137,782	18,177,084	18,177,084	18,177,084	18,177,084	18,177,084
Victim Security Account	3,504	9,402	9,402	9,402	9,402	9,402
Children of Incarcerated Parents	582,250	582,250	582,250	582,250	582,250	582,250
Legal Aid	1,500,000	1,660,000	1,660,000	1,660,000	1,660,000	1,660,000
Youth Violence Initiative	1,500,000	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000
Judge's Increases	1,745,255	3,688,736	0	0	0	0
Children's Law Center	109,838	109,838	109,838	109,838	109,838	109,838

Juvenile Planning	0	150,000	150,000	150,000	150,000	150,000
TOTAL - Other Current Expenses	121,572,879	127,447,732	127,827,996	127,827,996	130,423,996	130,423,996
<i>Nonfunctional - Change to Accruals</i>	0	2,305,031	2,305,031	0	2,305,031	0

AGENCY FINANCIAL SUMMARY - Banking Fund

<i>Current Expenses by Minor Object</i>	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Other Current Expenses</i>						
Foreclosure Mediation Program	5,430,558	5,902,565	6,278,724	6,278,724	6,664,325	6,664,325
TOTAL - Other Current Expenses	5,430,558	5,902,565	6,278,724	6,278,724	6,664,325	6,664,325
<i>Nonfunctional - Change to Accruals</i>	8,482	43,695	43,695	0	43,695	0

AGENCY FINANCIAL SUMMARY - Criminal Injuries Compensation Fund

<i>Current Expenses by Minor Object</i>	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Other Current Expenses</i>						
Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,851,675	2,934,088	2,934,088
TOTAL - Other Current Expenses	3,380,216	2,787,016	2,851,675	2,851,675	2,934,088	2,934,088
<i>Nonfunctional - Change to Accruals</i>	0	0	0	0	0	0

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	318,524,115	341,775,107	376,144,123	376,144,123	376,144,123	397,514,672	397,514,672	397,514,672
Other Expenses	63,758,823	66,785,224	71,112,718	71,112,718	71,112,718	75,849,011	75,849,011	75,849,011
Capital Outlay	2,000	0	0	0	0	0	0	0
Other Current Expenses	121,572,879	127,447,732	127,827,996	127,827,996	127,827,996	130,423,996	130,423,996	130,423,996
Nonfunctional - Change to Accruals	0	2,305,031	2,305,031	2,305,031	0	2,305,031	2,305,031	0
TOTAL - General Fund	503,857,817	538,313,094	577,389,868	577,389,868	575,084,837	606,092,710	606,092,710	603,787,679
Banking Fund								
Other Current Expenses	5,430,558	5,902,565	6,278,724	6,278,724	6,278,724	6,664,325	6,664,325	6,664,325
Nonfunctional - Change to Accruals	8,482	43,695	43,695	43,695	0	43,695	43,695	0
TOTAL - Banking Fund	5,439,040	5,946,260	6,322,419	6,322,419	6,278,724	6,708,020	6,708,020	6,664,325
Criminal Injuries Compensation Fund								
Other Current Expenses	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088
TOTAL - Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,851,675	2,851,675	2,934,088	2,934,088	2,934,088
Federal and Other Activities	9,713,495	8,178,797	5,021,880	5,021,880	5,021,880	3,477,946	3,477,946	3,477,946
Private Funds	10,322,358	8,166,200	7,325,534	7,325,534	7,325,534	6,180,428	6,180,428	6,180,428
TOTAL - All Funds Net	532,712,926	563,391,367	598,911,376	598,911,376	596,562,650	625,393,192	625,393,192	623,044,466

PUBLIC DEFENDER SERVICES COMMISSION

AGENCY DESCRIPTION

The Public Defender Services Commission is responsible for:

- Ensuring the constitutional administration of criminal justice within the state court system by maintaining a public defender office at all court locations throughout the state.
- Providing legal representation to indigent accused persons in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing.
- Providing social work services to clients to assist in addressing and resolving personal and social problems that lead to arrest and prosecution within the criminal justice system.
- Contributing to crime prevention by participation in specialized programs, including domestic violence courts, community courts, youthful offender dockets, diversionary programs, drug intervention, alternatives to incarceration and team case management.
- Providing a balanced perspective within the criminal justice community by participation on state policy boards, task forces and committees involved in addressing criminal justice issues.
- Fulfilling the state's constitutional obligation to provide competent defense counsel in a professional, effective and cost efficient manner for indigent accused.
- Providing competent assigned counsel for adults and children in the above matters where an ethical conflict of interest requires representation by outside counsel.
- Providing counsel for indigent children and adults involved in child welfare cases before the superior court, providing guardian ad litem in family court cases, and providing representation for contemnors in support enforcement cases.
- Ensuring that appeals are expedited as required by the Appellate and Supreme Courts.
- Providing funding for reasonable expert services for pro-se indigent defendants in criminal cases.

AGENCY PROGRAM INDEX

Legal Services

Management Services

RECOMMENDED SIGNIFICANT CHANGES

Expansions	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-2018</u>
Provide Funding for Assigned Counsel Rate Increases <i>A bottom line lapse is proposed to offset requested funding expansions.</i>	2,957,101	2,957,101	2,957,101
Provide Funding for Additional Public Defender Staff <i>A bottom line lapse is proposed to offset requested funding expansions.</i>	1,162,078	1,162,078	1,231,803
Provide Funding for Broadband Card Access for Public Defender Laptops <i>A bottom line lapse is proposed to offset requested funding expansions.</i>	135,000	135,000	135,000
Provide Additional Training Funding for Public Defenders <i>A bottom line lapse is proposed to offset requested funding expansions.</i>	35,000	35,000	35,000

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	335	112	0	447	447	460	447	460

Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Management Services	4,333,462	5,520,506	5,788,576	5,788,576	5,788,576	5,886,983	5,886,983	5,886,983
Legal Services	52,993,824	62,497,900	69,120,563	64,420,563	68,709,742	69,366,111	64,666,111	68,955,290

Child Protection Commission	9,399,143	298,300	298,309	298,309	298,309	298,320	298,320	298,320
TOTAL Agency Programs - All Fund Gross	66,726,429	68,316,706	75,207,448	70,507,448	74,796,627	75,551,414	70,851,414	75,140,593
<i>Summary of Funding</i>								
General Fund	66,726,429	68,316,706	70,507,460	70,507,448	74,796,627	70,851,426	70,851,414	75,140,593
TOTAL Agency Programs - All Funds Net	66,726,429	68,316,706	70,507,460	70,507,448	74,796,627	70,851,426	70,851,414	75,140,593

LEGAL SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To fulfill the state's constitutional obligation to provide counsel to indigent, accused persons.

To enable poor defendants to exercise their legal rights in criminal and juvenile courts by ensuring access to legal representation that the client otherwise could not afford.

Program Description

Within the Geographical Area, Judicial District and Juvenile Matters Public Defender Court Field Offices, the public defender or staff is responsible for conducting the initial interview with an accused person to determine eligibility for services. The court, after considering the public defender's recommendation, makes the final determination and appoints the public defender as attorney for an indigent accused person. Assignments are made to investigators and social workers. Plea-bargaining, consultations and pre-trial conferences are completed and, as necessary, trials are conducted. Once pleas or verdicts are entered, pre-sentence interviews with probation and sentencing hearings take place. Post-trial proceedings, such as sentence modification, motions to correct illegal sentence pursuant to State v. Casiano, sentence review, appeal and habeas corpus may be instituted. If an appeal is brought, it may be assigned to the trial attorney, to an attorney within the Legal Services Unit, or to a special public defender specifically approved to handle appeals.

The Legal Services Unit supervises the processing and briefing of appeals, including appeals by special public

defenders on a non-contractual basis. When necessary, this office also pursues appeals in federal court, including the U.S. Supreme Court. The unit provides legal research assistance to the trial attorneys.

Within the overall representation of the client, the following other specialized services are provided: the Assigned Counsel Unit provides outside counsel for clients in all public defender cases where a conflict of interest requires assignment and in child protection cases; the Habeas Corpus Unit handles post-conviction habeas corpus matters; the Capital Defense and Trial Services Unit represents indigent accused in cases where the state is seeking the death penalty and in the appeals of cases for those clients sentenced to death; the Psychiatric Defense Unit handles cases involving psychiatric issues and represents mentally-ill clients under the jurisdiction of the Psychiatric Security Review Board; the Juvenile Post-Conviction and Re-Entry Unit represents convicted juveniles during their period of commitment to the Department of Children and Families and assists them with reentry into their families, schools and communities; the Connecticut Public Defender Innocence Project reviews claims of actual innocence from long-term prisoners in order to pursue exoneration if appropriate; and the Public Defender Social Worker Program specializes in presenting alternative dispositions to incarceration, and providing social work services including referrals to and collaboration with social service agencies, the Court Support Services Division of the Judicial Department, the Department of Mental Health and Addiction Services, the Department of Children and Families, and the Department of Correction.

Program Measure

Total New Cases Assigned
Judicial District (J.D.) Offices

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
	81345	82332	82709	83361

New Cases Assigned, J.D. Offices	1512	1550	1575	1600
Average New Cases Assigned Per Attorney, J.D. Offices	41	41	42	42
Cases Disposed, J.D. Offices	1450	1460	1470	1480
J.D. Offices Meeting Caseload Goals	10	12	13	13
Geographical Area (G.A.) Offices				
New Cases Assigned, G.A. Offices	49514	49700	49800	49900
Average New Cases Assigned Per Attorney, G.A. Offices	406	410	412	415
Cases Disposed, G.A. Offices	49250	49300	49350	49375
G.A. Offices Meeting Caseload Goals	12	10	10	10
Juvenile Offices				
New Cases Assigned, Juvenile Offices	4516	4600	4610	4620
Average New Cases Assigned Per Attorney, Juvenile Offices	230	235	235	240
Cases Disposed, Juvenile Offices	3,298	3,685	3,752	4,192
Juvenile Offices Meeting Caseload Goals	10	9	5	9
Specialized Units				
Capital Felony Cases Assigned	10	10	10	10
Appellate Cases Assigned	266	270	275	280
Habeas Cases Assigned	840	840	850	850
Assigned Counsel Assigned	19230	19500	19650	19700

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	302	76	0	378	378	391	378	391
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	0	0	0	0
Federal Funds			0	0	0	0	0	0
Private Funds			0	0	0	0	0	0
Financial Summary	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	35,352,599	37,452,850	39,235,955	39,235,955	40,133,040	39,473,694	39,473,694	40,370,779
Other Expenses	264,098	264,086	270,206	270,206	670,199	278,015	278,015	678,008
Other Current Expenses								
Assigned Counsel - Criminal	13,350,170	21,796,174	21,889,774	21,889,774	24,846,875	21,889,774	21,889,774	24,846,875
Expert Witnesses	4,018,637	2,982,252	3,022,090	3,022,090	3,022,090	3,022,090	3,022,090	3,022,090
Training and Education	5,782	0	0	0	35,000	0	0	35,000
Contracted Attorneys Related Expenses	2,538	2,538	2,538	2,538	2,538	2,538	2,538	2,538
TOTAL - Other Current Expenses	17,377,127	24,780,964	24,914,402	24,914,402	27,906,503	24,914,402	24,914,402	27,906,503
TOTAL - General Fund	52,993,824	62,497,900	64,420,563	64,420,563	68,709,742	64,666,111	64,666,111	68,955,290
TOTAL - All Funds	52,993,824	62,497,900	64,420,563	64,420,563	68,709,742	64,666,111	64,666,111	68,955,290

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To maintain effective legal representation for the poor by establishing and implementing policies and providing administrative services and training to the staff of forty-three public defender offices and specialized units throughout the state.

Program Description

This unit operates a centralized system which directs activities, allocates resources and supervises the operations of the Public Defender Services Commission. Thirteen managers implement and direct overall policies established by a seven-member governing commission.

Management Services approves and contracts with assigned counsel to handle cases in which conflicts of

interest preclude representation by a public defender. It also establishes fee schedules and pays these special public defenders for their services; compiles, analyzes and evaluates statistics with reference to caseload and case movement and establishes and maintains procedures and standards for full-time personnel and evaluates their performance.

The unit institutes and conducts training programs for attorneys, investigators, social workers and secretarial staff; prepares operating budgets, manuals, reports and publications for the entire division and insures logistical support for all adult and juvenile courts throughout the state.

Management Services acts as liaison with other state agencies, including the Judicial Department, the Division of Criminal Justice, the Department of Children and

Families, the Department of Mental Health and Addiction Services, and the Department of Correction to assess and coordinate inter-related operations. It provides preliminary defense of its personnel in habeas corpus, grievance and professional liability actions; prepares and distributes legal research, memoranda and newsletters to all offices and provides special units of defense to respond to programs initiated by the Judicial Department or the Division of Criminal Justice.

Management Services responds to and acts upon questions and complaints from the general public and individual clients; establishes programs to seek reimbursement from clients able to pay for all or a portion of legal services provided; develops procedures, maintains records, processes all financial actions of the division; purchases materials and equipment and handles the payment of expert witnesses.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	33	36	0	69	69	69	69	69
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	0	0	0	0
Financial Summary	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	3,964,588	4,161,425	4,400,791	4,400,791	4,400,791	4,463,123	4,463,123	4,463,123
Other Expenses	1,227,415	1,227,355	1,256,071	1,256,059	1,256,059	1,292,146	1,292,134	1,292,134
<u>Other Current Expenses</u>								
Assigned Counsel - Criminal	1,726	1,726	1,726	1,726	1,726	1,726	1,726	1,726
Expert Witnesses	3,610	0	0	0	0	0	0	0
Training and Education	109,141	130,000	130,000	130,000	130,000	130,000	130,000	130,000
TOTAL - Other Current Expenses	114,477	131,726	131,726	131,726	131,726	131,726	131,726	131,726
<u>Nonfunctional - Change to Accruals</u>	-973,018	0	0	0	0	0	0	0
TOTAL - General Fund	4,333,462	5,520,506	5,788,588	5,788,576	5,788,576	5,886,995	5,886,983	5,886,983
TOTAL - All Funds	4,333,462	5,520,506	5,788,588	5,788,576	5,788,576	5,886,995	5,886,983	5,886,983

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object	FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Actual	Estimated	Requested	Recommended	Requested	Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	36,890,801	39,284,962	40,933,477	42,138,648	41,223,775	42,428,946
Other Positions	848,062	1,004,030	1,054,433	1,054,433	1,061,911	1,061,911
Other	1,634,041	1,500,696	1,824,248	1,516,162	1,826,543	1,518,457
Overtime	29	29	30	30	30	30
TOTAL - Personal Services	39,372,933	41,789,717	43,812,188	44,709,273	44,112,259	45,009,344
<u>Other Expenses</u>						
Agriculture, Horticulture, Dairy & Food	10,231	10,230	10,463	10,463	10,707	10,707
Books	2,352	2,352	2,406	2,406	2,475	2,475
DP Services, Rentals and Maintenance	17,760	17,759	18,170	18,170	18,695	18,695
Dues and Subscriptions	59,716	59,713	61,098	61,098	62,864	62,864
Fees for Non-Professional Services	356,454	356,436	364,702	391,615	375,240	402,153
Fees for Outside Professional Services	20,202	20,201	20,668	20,668	21,265	21,265

Fuel	4,346	4,345	4,464	4,464	4,688	4,688
General Repairs	75,910	75,907	77,665	77,665	79,911	79,911
Maintenance and Motor Vehicle Supplies	14,624	14,624	15,245	15,245	15,533	15,533
Motor Vehicle Expenses	25,417	25,416	26,005	26,005	26,756	26,756
Office Supplies	171,312	171,303	175,276	175,276	180,342	180,342
Other Contractual Services	130,786	130,779	133,811	371,891	137,677	375,757
Postage	78,701	78,697	80,521	80,521	82,849	82,849
Printing & Binding	1,422	1,422	1,467	1,455	1,509	1,497
Rentals, Storage and Leasing	219,577	219,566	224,659	224,659	231,152	231,152
Sundry - Other Items	5,366	5,366	5,490	5,490	5,648	5,648
Telecommunication Services	84,483	84,480	86,438	221,438	88,937	223,937
Travel	185,295	185,287	189,582	189,582	195,061	195,061
Utility Services	27,955	27,954	28,552	28,552	29,268	29,268
TOTAL - Other Expenses	1,491,909	1,491,837	1,526,682	1,926,663	1,570,577	1,970,558
<u>Other Current Expenses</u>						
Assigned Counsel - Criminal	13,351,896	21,797,900	21,891,500	24,848,601	21,891,500	24,848,601
Expert Witnesses	4,022,247	2,982,252	3,022,090	3,022,090	3,022,090	3,022,090
Training and Education	114,923	130,000	130,000	165,000	130,000	165,000
Assigned Counsel - Child Protection	8,695,995	0	0	0	0	0
Contracted Attorneys Related Expenses	74,971	125,000	125,000	125,000	125,000	125,000
Family Contracted Attorneys/AMC	574,573	0	0	0	0	0
TOTAL - Other Current Expenses	26,834,605	25,035,152	25,168,590	28,160,691	25,168,590	28,160,691
<u>Nonfunctional - Change to Accruals</u>	-973,018	0	0	0	0	0

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	39,372,933	41,789,717	43,812,188	43,812,188	44,709,273	44,112,259	44,112,259	45,009,344
Other Expenses	1,491,909	1,491,837	1,526,682	1,526,670	1,926,663	1,570,577	1,570,565	1,970,558
Other Current Expenses	26,834,605	25,035,152	25,168,590	25,168,590	28,160,691	25,168,590	25,168,590	28,160,691
Nonfunctional - Change to Accruals	-973,018	0	0	0	0	0	0	0
TOTAL - General Fund	66,726,429	68,316,706	70,507,460	70,507,448	74,796,627	70,851,426	70,851,414	75,140,593
TOTAL - All Funds Net	66,726,429	68,316,706	70,507,460	70,507,448	74,796,627	70,851,426	70,851,414	75,140,593