

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	429	47	5	481	481	479	481	479
Insurance Fund	0	0	3	3	3	5	3	5
Federal Funds	269	65	-9	325	318	318	317	317
Private Funds	8	0	2	10	10	5	10	5
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			9	9	9	14	9	14
Insurance Fund			0	0	0	0	0	0
Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Agency Management Services	9,703,688	9,113,085	9,445,808	9,279,936	9,118,090	9,537,855	9,366,002	9,177,335
Regulatory Services	20,449,631	19,203,110	18,486,237	18,491,908	18,338,545	18,540,580	18,540,909	18,383,084
Healthcare Quality and Safety	18,023,305	19,749,573	19,375,983	19,340,576	19,046,902	19,485,373	19,467,579	19,156,937
Public Health Initiatives	163,029,553	178,696,767	176,187,313	176,242,939	169,666,883	177,684,188	177,748,217	170,862,754
Laboratory Services	13,552,988	15,217,227	16,063,947	15,929,210	15,822,910	16,633,768	16,363,478	16,120,068
Commissioner's Programs	25,514,409	26,749,112	24,333,169	24,335,458	24,332,275	24,255,049	24,256,549	24,249,292
Health Statistics and Surveillance	7,944,797	8,474,413	8,308,457	8,308,755	8,305,971	7,951,461	7,952,404	7,946,067
Office of Health Care Access	1,718,263	1,790,173	1,886,002	1,888,471	1,887,249	1,897,154	1,901,923	1,899,126
TOTAL Agency Programs - All Fund Gross	259,936,634	278,993,460	274,086,916	273,817,253	266,518,825	275,985,428	275,597,061	267,794,663
Summary of Funding								
General Fund	108,652,318	80,983,874	83,527,328	83,247,110	66,306,498	84,491,844	84,089,583	66,640,022
Insurance Fund	0	31,509,441	32,717,497	32,728,052	41,536,059	33,986,824	34,000,718	42,813,704
Federal Funds	138,832,817	157,776,697	150,953,341	150,953,341	150,953,341	150,627,010	150,627,010	150,627,010
Private Funds	12,451,499	8,723,448	6,888,750	6,888,750	7,722,927	6,879,750	6,879,750	7,713,927
TOTAL Agency Programs - All Funds Net	259,936,634	278,993,460	274,086,916	273,817,253	266,518,825	275,985,428	275,597,061	267,794,663

COMMISSIONER'S PROGRAMS

Statutory Reference

C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-127k, 19a-178, 19a-200 *et seq.*, 19a-240 *et seq.*, 19a-634, 46b-20 *et seq.*, and Sec. 19a-127l.

Statement of Need and Program Objectives

To improve population health in Connecticut through leadership, expertise, partnerships and focus. This is accomplished by ensuring quality, reliability of and access to data statewide; fostering a culture of performance management and quality improvement; championing a

culture of health equity; and securing sustainable, diversified funding.

Program Description

Various offices provide support to the agency's major programs.

The *Office of the Commissioner* establishes program direction and ensures conformance with overall agency policy.

The *Communications Office* provides a full range of communication activities that serve the department and its stakeholders. Key functions include: public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services,

internal communications, and crisis and emergency risk communications.

The *Government Relations Office* is responsible for legislative and regulatory information and referral activities, including implementation of strategies to achieve the goals of the department’s legislative agenda. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation, coordinates the development of regulations and maintains the state’s Public Health Code.

The *Legal Office and Public Health Hearing Office* presides over hearings and renders decisions in cases concerning: individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors; orders concerning the Women, Infants and Children’s (WIC) Program; facility licensees; voluntary and involuntary transfers of water companies; orders issued to water companies; involuntary discharges from long-term care facilities; reporting adverse actions to federally mandated databases; and conducting need for new or expanded service hearings concerning emergency medical service providers. This section also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings and Office of Health Care Access public hearings; provides legal and administrative support for 14 professional licensing boards; provides legal guidance on ethics questions and other legal issues, and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The *Office of Public Health Preparedness and Response (OPHPR)* is responsible for the design, development and implementation of public health emergency plans and

initiatives. The OPHPR ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the Assistant Secretary of Preparedness and Response Hospital Preparedness Program. In addition, the OPHPR oversees the mobile field hospital project; this includes directing deployments when required on a statewide basis.

The *Office of Public Health Systems Improvement* manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. Specific activities include: establishing an agency performance management system and implementing quality improvement processes using a data-driven focus; coordinating development, implementation, and continuously updating the three prerequisites for accreditation (an agency strategic plan, statewide health assessment and statewide health improvement plan); organizing, planning for, and coordinating agency activities and documentation toward meeting national accreditation standards; providing quality improvement training, coaching, and technical assistance opportunities to public health personnel. The office also implements the biomedical research program, making grants-in-aid for research in the fields of heart disease, cancer and tobacco related disease, Alzheimer’s disease, stroke and diabetes.

<i>Program Measure</i>	FY 2014	FY 2015	FY 2016	FY 2017
	Actual	Estimated	Projected	Projected
Number of projects using quality improvement methods and tools to improve service delivery	9	11	14	18
Development of a performance management IT system that tracks data for quality improvement	10%	75%	100%	100%
Number of accreditation pre-requisites completed (n=3) and updated annually (n=2)	3	2	2	2
Percentage of documentation requirements met for national public health accreditation standards	30%	50%	90%	100%
Number of staff trained in quality improvement methods	50	50	50	50
Administrative improvements implemented to the biomedical research program	0	2	2	2
Mobile Field Surge Hospital: 100 bed actual deployments/ training deployments	4/0	4/11	4/11	4/11

Personnel Summary		06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>		Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund		31	2	0	33	33	33	33	33
Federal Funds		12	6	-2	16	16	16	16	16
<i>Other Positions Equated to Full-Time</i>				FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>General Fund</i>				Actual	Estimated	Requested	Recommended	Requested	Recommended
				2	2	2	2	2	2
Financial Summary (Net of Reimbursements)		FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
		Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund									
Personal Services		2,605,063	2,939,292	3,043,437	3,082,335	3,082,335	3,063,457	3,102,852	3,102,852
Other Expenses		168,715	146,805	169,756	169,988	166,805	175,116	174,062	166,805
<i>Pmts to Local Governments</i>									
Local and District Departments of Health		4,669,172	4,685,779	4,685,779	4,692,648	4,692,648	4,685,779	4,692,648	4,692,648
TOTAL - Pmts to Local Governments		4,669,172	4,685,779	4,685,779	4,692,648	4,692,648	4,685,779	4,692,648	4,692,648
TOTAL - General Fund		7,442,950	7,771,876	7,898,972	7,944,971	7,941,788	7,924,352	7,969,562	7,962,305
<i>Additional Funds Available</i>									
Private Funds		6,762,641	3,251,748	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000
Federal Contributions									
66468 Capitalization Grants for Drinking Water		121,391	158,185	158,185	158,185	158,185	158,185	158,185	158,185
93069 Public Health Emergency Preparedness		5,491,466	9,697,026	9,697,026	9,697,026	9,697,026	9,697,026	9,697,026	9,697,026
93089 Emergency System For Advance Registration Of Volun		-1,643	0	0	0	0	0	0	0
93103 Food & Drug Administration Research		284,492	252,064	252,064	252,064	252,064	252,064	252,064	252,064
93270 Adult Viral Hepatitis Prevention and Control		146,416	149,564	187,636	187,636	187,636	187,636	187,636	187,636
93283 Ctrs-Disease Control & Prevention		61,124	0	0	0	0	0	0	0
93507 Strengthening Public Health Infrastructure For Imp		105,632	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness		4,399,894	3,784,593	2,631,520	2,631,520	2,631,520	2,631,520	2,631,520	2,631,520
93991 Preventive Health & Health Services		687,738	1,610,556	1,610,556	1,610,556	1,610,556	1,610,556	1,610,556	1,610,556
97004 State Domestic Preparedness Equipment		12,308	73,500	103,500	103,500	103,500	0	0	0
TOTAL - All Funds		25,514,409	26,749,112	24,289,459	24,335,458	24,332,275	24,211,339	24,256,549	24,249,292

PUBLIC HEALTH INITIATIVES

Statutory Reference

C.G.S. Sections 7-36 *et seq.*, 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-7j, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity and participation in emergency response plans.

To promote health and prevent disease by lowering financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The department improves and protects the health of Connecticut's residents by promotion of primary and preventive health care at every stage of life; educates individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collects data to assess chronic and infectious disease and injury risk factors and monitors trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public

education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems such as Ebola, MERS-CoV (Middle East respiratory syndrome coronavirus), EV-D68 (enterovirus-D68) and possible bioterrorist threats such as anthrax or smallpox.

The *Family Health Section* oversees programs and conducts surveillance activities to inform policy development and promote health equity and disease prevention throughout the life course (including pregnant women, mothers and infants, children and adolescents, including children and youth with special health care needs). Programs include: oral health, case management for pregnant women and teens, children and youth with special health care needs (medical homes, respite and extended services), community health centers, family planning, health access programs, early hearing detection and intervention, maternal mortality review, intimate partner violence, primary care office, school based health clinics, sexual violence and rape prevention education, and sickle cell disease outreach and support.

The *Community Health and Prevention Section (CHAPS)* is responsible for the administration of programs that address population health and the promotion of health

equity by supporting healthier lifestyles including physical activity, better nutrition, avoidance of behavioral risks and education on chronic disease self-management. CHAPS is also responsible for conducting surveillance activities to develop strategic interventions, to inform policy makers and our partners at the local, state and national level. CHAPS programs and offices include: asthma, breast and cervical cancer, colorectal cancer, diabetes, epidemiology unit, heart disease/stroke, injury, nutrition/physical activity/obesity, tobacco, the genomics office, the Office of Health Equity, and the Women, Infant and Children (WIC) program.

The *Infectious Diseases Section* encompasses surveillance programs for emerging infections and more than 50 acute communicable diseases, including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies; and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, human immunodeficiency virus (HIV), hepatitis, sexually transmitted diseases and tuberculosis.

Program Measure	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Percent of two year olds with age-appropriate immunizations (national average = 70.5)	76%	78%	80%	80%
Vaccines purchased for distribution to family healthcare providers for CT's vaccination program	1,011,805	1,011,805	1,011,805	1,011,805
Provider sites receiving vaccines from the state: private/local health and community health centers	484/153	484/153	484/153	484/153
Percent of prescribers' registration in the Prescription Drug Monitoring Program (PDMP)	20%	20%	60%	60%
Community health centers: number of patients served	315,992	330,000	340,000	370,000
People receiving sexual violence prevention education and bystander intervention training	160	175	175	175
Number of children and families served through the CT medical home initiative for CYSHCN	8,020	8,000	8,000	8,000
Percent of persons with HIV positive test results that are referred and linked to partner services	77%	78%	79%	80%
% of persons with HIV positive test results linked to medical care that attend first appointment	82%	85%	90%	95%
Percent of persons who test positive for HIV that receive their test results	100%	100%	100%	100%
Clients having preventive reproductive health exam: HIV test/AIDS education/counseling/screening	30%	65%	65%	65%
Clients having preventive reproductive health exam: percent prevalent bacterial STD/Chlamydia screen	80%	80%	80%	80%
Clients having preventive reproductive health exam: percent breast exam/cervical cancer screening	73%/94%	90%/90%	90%/90%	90%/90%
Eligible clients have access to and receive reproductive health care services	49,595	45,000	45,000	45,000
Participation of women having a reproductive health exam in development of reproductive life plan	80%	65%	65%	65%
For targeted HIV testing in non-healthcare settings, rate of newly identified HIV positive tests/yr	.35%	.34%	.33%	.32%
Number of students enrolled in state funded School Based Health Centers	45,248	48,500	50,000	51,000
Number of students utilizing state funded School Based Health Centers for at least one visit	21,379	26,500	28,500	30,000
Percent of children under the age of 3 years tested for lead at least once	97%	97%	97%	97%

% of children under 6 diagnosed with a confirmed blood lead level of 5 micrograms/deciliter or more	3% (CY2013)	3%	2.8%	2.8%
Percent of health departments and districts accepting Lead Poisoning Prevention and Control Funds	62%	68%	70%	70%
% of students with asthma in grades requiring a health assessment that have an Asthma Action Plan	9.5%	10.5%	11.5%	12.5%

Personnel Summary

	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	64	8	-3	69	69	67	69	67
Insurance Fund	0	0	3	3	3	5	3	5
Federal Funds	118	34	-8	144	143	143	143	143
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			2	2	2	2	2	2

**Financial Summary
(Net of Reimbursements)**

	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	4,049,428	4,412,401	4,599,795	4,623,510	4,623,510	4,624,418	4,651,250	4,651,250
Other Expenses	248,129	276,547	301,582	313,240	308,205	307,644	319,302	308,205
<u>Other Current Expenses</u>								
Needle and Syringe Exchange	459,416	459,416	459,416	459,416	0	459,416	459,416	0
Children's Health Initiatives	1,120,361	688,542	693,225	702,805	677,272	694,558	706,866	681,333
AIDS Services	4,718,255	4,975,686	4,997,898	4,998,780	0	5,023,422	5,024,532	0
Breast and Cervical Cancer Detection and Treatment	2,177,405	2,213,575	2,225,060	2,224,296	0	2,230,356	2,228,583	0
Children with Special Health Care Needs	1,217,297	1,220,505	1,220,505	1,220,505	1,037,429	1,220,505	1,220,505	1,037,429
Immunization Services	30,074,419	0	0	0	0	0	0	0
Maternal Mortality Review	0	104,000	104,000	104,000	0	104,000	104,000	0
TOTAL - Other Current Expenses	39,767,153	9,661,724	9,700,104	9,709,802	1,714,701	9,732,257	9,743,902	1,718,762
<u>Pmts to Other than Local Govts</u>								
Community Health Services	6,212,732	6,213,866	6,213,866	6,213,866	1,508,515	6,213,866	6,213,866	1,508,515
Rape Crisis	421,986	622,008	622,008	622,008	422,008	622,008	622,008	422,008
X-Ray Screening and Tuberculosis Care	703,580	1,195,148	1,230,436	1,230,436	0	1,267,747	1,267,747	0
Genetic Diseases Programs	223,598	237,895	236,406	237,895	211,066	236,406	237,895	211,066
TOTAL - Pmts to Other than Local Govts	7,561,896	8,268,917	8,302,716	8,304,205	2,141,589	8,340,027	8,341,516	2,141,589
<u>Pmts to Local Governments</u>								
Venereal Disease Control	187,362	197,171	197,171	197,171	0	197,171	197,171	0
School Based Health Clinics	11,742,500	12,048,716	12,048,716	12,048,716	11,024,576	12,048,716	12,048,716	10,783,602
TOTAL - Pmts to Local Governments	11,929,862	12,245,887	12,245,887	12,245,887	11,024,576	12,245,887	12,245,887	10,783,602
TOTAL - General Fund	63,556,468	34,865,476	35,150,084	35,196,644	19,812,581	35,250,233	35,301,857	19,603,408

Insurance Fund

	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<u>Other Current Expenses</u>								
Needle and Syringe Exchange	0	0	0	0	459,416	0	0	459,416
AIDS Services	0	0	0	0	4,890,686	0	0	4,890,686
Breast and Cervical Cancer Detection and Treatment	0	0	0	0	2,145,586	0	0	2,150,565
Immunization Services	0	31,509,441	32,717,497	32,728,052	32,728,052	33,986,824	34,000,718	34,000,718
TOTAL - Other Current Expenses	0	31,509,441	32,717,497	32,728,052	40,223,740	33,986,824	34,000,718	41,501,385
<u>Pmts to Other than Local Govts</u>								
X-Ray Screening and Tuberculosis Care	0	0	0	0	1,115,148	0	0	1,115,148
TOTAL - Pmts to Other than Local Govts	0	0	0	0	1,115,148	0	0	1,115,148
<u>Pmts to Local Governments</u>								
Venereal Disease Control	0	0	0	0	197,171	0	0	197,171
TOTAL - Pmts to Local Governments	0	0	0	0	197,171	0	0	197,171
TOTAL - Insurance Fund	0	31,509,441	32,717,497	32,728,052	41,536,059	33,986,824	34,000,718	42,813,704

Additional Funds Available

Private Funds	2,643,091	2,383,482	2,137,333	2,137,333	2,137,333	2,128,333	2,128,333	2,128,333
Federal Contributions								
10479 Food Safety Cooperative Agreements	794	0	0	0	0	0	0	0
10557 Special Supplement Nutrition Pgm	32,704,360	47,304,351	47,304,351	47,304,351	47,304,351	47,304,351	47,304,351	47,304,351
10561 State Admin Match Grt Food Stamp	654,871	345,843	350,000	350,000	350,000	350,000	350,000	350,000
10578 WIC Grants To States(WGS)	668,127	2,993,338	722,500	722,500	722,500	0	0	0
93069 Public Health Emergency Preparedness	461,552	509,459	509,459	509,459	509,459	509,459	509,459	509,459
93070 Environmental Public Health And Emergency Response	262,406	575,000	575,000	575,000	575,000	575,000	575,000	575,000
93092 Personal Responsibility Education Program	445,069	553,074	553,074	553,074	553,074	553,074	553,074	553,074

93094 Well-Integrated Screening And Evaluation For Women	0	603,902	603,902	603,902	603,902	603,902	603,902	603,902
93110 Maternal & Child Health	481,512	414,613	414,613	414,613	414,613	300,000	300,000	300,000
93116 Grants for Tuberculosis Control Programs	420,657	714,569	632,410	632,410	632,410	632,410	632,410	632,410
93118 Acquired Immunodeficiency Syndrome	884,736	897,337	897,337	897,337	897,337	897,337	897,337	897,337
93130 Primary Care Svcs Resource Coord	144,973	195,757	207,754	207,754	207,754	210,575	210,575	210,575
93136 Injury Prevention & Control Research	300,429	317,123	317,123	317,123	317,123	317,123	317,123	317,123
93251 Universal Newborn Hearing Screening	242,575	241,050	250,000	250,000	250,000	250,000	250,000	250,000
93268 Immunization Grants	3,739,770	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000
93283 Ctrs-Disease Control & Prevention	5,123,462	5,885,974	6,046,077	6,046,077	6,046,077	6,063,901	6,063,901	6,063,901
93296 Grant to Improve Minority Health	153,543	153,543	153,543	153,543	153,543	153,543	153,543	153,543
93314 Early Hearing Detection And Intervention Informati	0	134,047	131,857	131,857	131,857	129,535	129,535	129,535
93414 Arra - State Primary Care Offices	94,886	0	0	0	0	0	0	0
93505 Affordable Care Act (Aca) Maternal, Infant, And Ea	7,509,373	2,219,407	0	0	0	0	0	0
93507 Strengthening Public Health Infrastructure For Imp	47	0	0	0	0	0	0	0
93520 Centers For Disease Control And Prevention	54,420	0	0	0	0	0	0	0
93521 The Affordable Care Act	1,233,227	1,605,241	1,490,806	1,490,806	1,490,806	1,445,995	1,445,995	1,445,995
93531 Community Transformation Grants And National Disse	489,051	184,775	0	0	0	0	0	0
93539 Health Immunization Infrastructure And Performance	220,421	0	0	0	0	0	0	0
93544 The Patient Protection And Affordable Care Act Of	161,424	0	0	0	0	0	0	0
93576 Refugee & Entrant Assistance Grants	104,607	97,252	97,252	97,252	97,252	97,252	97,252	97,252
93745 Health Care Surveillance/Health Statistics	144,426	0	0	0	0	0	0	0
93757 State Public Health Actions To Prevent And Control	0	466,295	466,295	466,295	466,295	466,295	466,295	466,295
93777 State Survey & Certification of Health	4,416	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	-24	0	0	0	0	0	0	0
93917 HIV Care Formula Grants	29,932,934	28,393,861	29,325,000	29,325,000	29,325,000	30,325,000	30,325,000	30,325,000
93919 Cooperative Agreements for State-Based	1,068,768	1,370,600	1,370,600	1,370,600	1,370,600	1,370,600	1,370,600	1,370,600
93928 Special Projects of National Significa	-6,829	0	0	0	0	0	0	0
93938 Coop Agree-School Health Pgm	11,403	0	0	0	0	0	0	0
93940 HIV Prevention Activities Health Depar	3,762,705	5,027,751	5,027,751	5,027,751	5,027,751	5,027,751	5,027,751	5,027,751
93941 HIV Demonstration, Research, Public an	0	0	0	0	0	0	0	0
93945 Asst Pgm-Chronic Disease	751,781	1,082,393	1,082,393	1,082,393	1,082,393	1,082,393	1,082,393	1,082,393
93946 Cooperative Agreements to Support Stat	193,807	193,806	193,806	193,806	193,806	193,806	193,806	193,806
93977 Preventive Health Services Sexually Tr	519,974	0	0	0	0	0	0	0
93991 Preventive Health & Health Services	203,045	271,902	271,902	271,902	271,902	271,902	271,902	271,902
93994 Maternal & Child Health Services	3,686,105	3,686,105	3,686,105	3,686,105	3,686,105	3,686,105	3,686,105	3,686,105
99999 Other	1,191	0	0	0	0	0	0	0
TOTAL - All Funds	163,029,553	178,696,767	176,185,824	176,242,939	169,666,883	177,682,699	177,748,217	170,862,754

REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 16-261a, 19a-2a, 19a-14, 19a-29a, 19a-36, 19a-310, 19a-313, 19-320, 19a-329-333, 20-341a-341m, 20-358-366, 20-435-442, 20-474-482, 22a-430(g), 22a-434a, 25-32 *et seq.*, 25-40, 31-40a, and 31-400.

Statement of Need and Program Objectives

To ensure the quality of environmental public health services through the development and enforcement of state and federal laws and regulations and promote the

expansion or development of new services to meet consumer needs.

Program Description

The *Branch of Regulatory Services* protects the public health by ensuring provision of local health services, drinking water safety, and effective environmental public health service and providers. Three major program components are responsible for implementing state and federal regulatory programs:

The *Drinking Water Section* is responsible for the administration of all state and federal safe drinking water requirements. The section holds Environmental Protection Agency (EPA) primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund. It assures the security, quality and adequacy of our state’s public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. Over 2,600 entities provide drinking water to almost 2.6 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are also coordinated.

The *Environmental Health Section* works closely with local health departments and sister agencies. Section responsibilities include: initial licensure and regulatory oversight of 8,852 environmental health practitioners; certification of 180 environmental laboratories; ongoing training and certification of 374 local health officials to inspect food service establishments; ongoing training and annual refresher courses in lead inspection for approximately 250 local health officials that respond to childhood lead poisoning cases; and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition, the section ensures compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies and provides technical assistance to the workforce and others; approves new public swimming pools and ensures the safe use of swimming areas; and approves public mausoleums and columbaria, crematories and private burial grounds.

Section staff also review and approve plans for large sub-surface sewage disposal systems, and issue

exceptions for central sewage systems, off-site sewage systems and for separating distance reductions to water supply wells; and approve proprietary sub-surface sewage system components. The private well program provides education and outreach to the estimated 867,000 people in Connecticut served by their own private well.

Staff within the section’s environmental and occupational health assessment program are responsible for providing technical assistance on a variety of topics, assessing risk from environmental and toxic hazards, and investigating outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases.

Funds for lead poisoning prevention programs are awarded to local health departments who test all children under the age of two for lead poisoning. If a child is found to be lead poisoned, an epidemiological investigation and an environmental assessment are conducted of the property where the child lives. DPH follows up to assist the local department with abatement and additional follow-up measures as appropriate.

The *Office of Local Health Administration* serves as the primary interface between DPH and Connecticut’s local health departments (LHDs). Responsibilities include advising the Commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, and local officials and residents on local public health issues. The office also provides guidance to part-time local health departments seeking to become full-time health departments, or to form and/or join other health districts.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Percent of population receiving full-time local health services	94	94	94	94
Water supply well pollution assessment for septic system repair within sanitary protective radius	100	100	100	100

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	55	1	0	56	56	56	56	56
Federal Funds	39	15	-2	52	52	52	51	51
Private Funds	1	0	0	1	1	1	1	1
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			2	2	2	2	2	2
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	6,866,416	4,983,080	5,096,699	5,101,558	5,101,558	5,146,641	5,145,143	5,145,143
Other Expenses	237,790	154,685	122,543	123,355	119,685	125,990	127,817	119,685
<u>Other Current Expenses</u>								
Children's Health Initiatives	1,360,158	1,368,744	1,368,744	1,368,744	1,291,413	1,368,744	1,368,744	1,291,413
Childhood Lead Poisoning	59,485	72,362	72,362	72,362	0	72,362	72,362	0
TOTAL - Other Current Expenses	1,419,643	1,441,106	1,441,106	1,441,106	1,291,413	1,441,106	1,441,106	1,291,413
TOTAL - General Fund	8,523,849	6,578,871	6,660,348	6,666,019	6,512,656	6,713,737	6,714,066	6,556,241
<u>Additional Funds Available</u>								
Private Funds	88,252	344,804	300,000	300,000	300,000	300,000	300,000	300,000
Federal Contributions								
10559 Summer Food Svc Pgm for Children	17,000	17,426	17,426	17,426	17,426	17,426	17,426	17,426
66032 State Indoor Radon Grants	133,248	254,245	194,000	194,000	194,000	194,000	194,000	194,000
66202 Congressionally Mandated Projects	6,535	11,301	0	0	0	0	0	0
66432 State Public Water System Supervision	1,116,220	1,248,842	1,248,842	1,248,842	1,248,842	1,248,842	1,248,842	1,248,842
66468 Capitalization Grants for Drinking Water	7,652,011	7,605,827	7,605,827	7,605,827	7,605,827	7,605,827	7,605,827	7,605,827
66472 Beach Monitoring & Notification	190,610	160,674	160,674	160,674	160,674	160,674	160,674	160,674
66474 Water Protection Grants to the States	20,079	0	0	0	0	0	0	0
66701 Toxic Substances Compliance Monitoring	114,049	203,471	203,471	203,471	203,471	204,425	204,425	204,425
66707 TSCA Title IV St Lead Grants Certificate	172,515	250,000	250,000	250,000	250,000	250,000	250,000	250,000
66953 Building Capacity To Implement Epa National Guidel	99,120	680,000	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	282,568	297,123	297,123	297,123	297,123	297,123	297,123	297,123
93070 Environmental Public Health And Emergency Response	104,231	134,000	134,000	134,000	134,000	134,000	134,000	134,000
93103 Food & Drug Administration Research	125,842	99,606	97,606	97,606	97,606	97,606	97,606	97,606
93240 State Capacity Building	440,847	498,307	498,307	498,307	498,307	498,307	498,307	498,307
93262 Occupational Safety and Health Program	100,633	119,999	119,999	119,999	119,999	119,999	119,999	119,999
93283 Ctrs-Disease Control & Prevention	3,142	5,000	5,000	5,000	5,000	5,000	5,000	5,000
93538 Affordable Care Act - National Environmental Publi	592,089	693,614	693,614	693,614	693,614	693,614	693,614	693,614
93667 Social Services Block Grant	666,791	0	0	0	0	0	0	0
TOTAL - All Funds	20,449,631	19,203,110	18,486,237	18,491,908	18,338,545	18,540,580	18,540,909	18,383,084

LABORATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, newborn genetic/metabolic diseases and environmental chemicals and toxins, providing test data to health and environmental agencies for

disease prevention and control and for environmental remediation.

Program Description

The Dr. Katherine A. Kelly State Public Health Laboratory in Rocky Hill supports the needs of all communities in the state by analyzing human clinical specimens and environmental samples submitted by federal and state agencies, local health departments, the health care community and water utilities. Analytical data is used to monitor for agents harmful to the public, identify the cause of outbreaks, and

assure that control measures are effective. The laboratory is comprised of the following testing units:

Biological Science Services tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, sexually transmitted diseases, and viral diseases transmitted by mosquitoes and ticks.

The laboratory also exclusively provides testing for rabies virus, DeoxyriboNucleic Acid (DNA) fingerprinting of food-borne pathogens, and testing of all Connecticut newborns for the presence of approximately 66 inherited disorders that cause severe mental and/or physical illnesses. It provides blood lead testing for uninsured and underinsured children. The laboratory is designated as the state’s biological terrorism response laboratory and is certified to analyze samples for biological weapons of mass destruction (WMD) identified by the Centers for Disease Control and Prevention. It responds to bioterrorism threats and provides identification

services for “white powder” events for state and federal law enforcement.

Environmental Chemistry Services tests for over 100 toxic chemicals in housing and schools; public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; and in consumer products and other materials where there is potential human exposure. It also provides analytical support by testing environmental samples collected for investigations involving elevated blood lead levels (EBLLs) in children, and tests samples such as old paint, folk medicines or other environmental sources implicated in exposures of children with EBLLs.

Other services include monitoring the nuclear power industry, serving on the state’s nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is designated as the state’s chemical terrorism response laboratory to provide testing of clinical specimens in the event of a terrorist attack involving chemical agents or WMDs.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Number of newborns screened for genetic and metabolic disorders	40,000	40,000	40,000	40,000
Number of external accreditations earned/percent correct on proficiency performance	11/98.8%	11/98.8%	11/98%	11/98%
Number of specimen & sample transport kits for infectious diseases sent to Connecticut submitters	53,000	53,000	53,000	53,000
Number of environmental test procedures for chemicals and toxins	20,300	21,000	21,000	21,000
Number of environmental samples analyzed for chemicals and toxins	38,000	42,000	42,000	42,000
Specimens and samples tested/year	165,477	166,000	166,000	166,000

Personnel Summary

	06/30/2014		FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	63	8	0	71	71	71	71	71
Federal Funds	21	1	-1	21	21	21	21	21
Private Funds	2	0	2	4	4	4	4	4
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
			Actual	Estimated	Requested	Recommended	Requested	Recommended
<i>Other Positions Equated to Full-Time</i>								
General Fund			0	0	0	0	0	0

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	4,390,906	4,924,750	5,178,674	5,175,783	4,575,783	5,225,262	5,222,574	4,622,574
Other Expenses	4,606,675	4,613,485	5,265,238	5,133,392	4,852,092	5,778,471	5,510,869	5,092,459
<i>Pmts to Other than Local Govts</i>								
Genetic Diseases Programs	570,737	599,177	600,666	599,177	0	600,666	599,177	0
TOTAL - Pmts to Other than Local Govts	570,737	599,177	600,666	599,177	0	600,666	599,177	0

TOTAL - General Fund	9,568,318	10,137,412	11,044,578	10,908,352	9,427,875	11,604,399	11,332,620	9,715,033
<i>Additional Funds Available</i>								
Private Funds	1,083,128	1,735,000	1,735,000	1,735,000	3,109,177	1,735,000	1,735,000	3,109,177
Federal Contributions								
10479 Food Safety Cooperative Agreements	108,021	117,850	125,000	125,000	125,000	135,000	135,000	135,000
66432 State Public Water System Supervision	171,311	151,158	151,158	151,158	151,158	151,158	151,158	151,158
66472 Beach Monitoring & Notification	26,222	49,326	49,326	49,326	49,326	49,326	49,326	49,326
93069 Public Health Emergency Preparedness	1,040,409	1,001,385	1,001,385	1,001,385	1,001,385	1,001,385	1,001,385	1,001,385
93116 Grants for Tuberculosis Control Programs	84,166	48,354	48,354	48,354	48,354	48,354	48,354	48,354
93268 Immunization Grants	8,830	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	396,612	332,407	266,300	266,300	266,300	266,300	266,300	266,300
93521 The Affordable Care Act	148,107	147,000	147,000	147,000	147,000	147,000	147,000	147,000
93940 HIV Prevention Activities Health	79,132	206,677	206,677	206,677	206,677	206,677	206,677	206,677
Depar								
93977 Preventive Health Services Sexually Tr	304,381	756,307	756,307	756,307	756,307	756,307	756,307	756,307
93994 Maternal & Child Health Services	534,351	534,351	534,351	534,351	534,351	534,351	534,351	534,351
TOTAL - All Funds	13,552,988	15,217,227	16,065,436	15,929,210	15,822,910	16,635,257	16,363,478	16,120,068

HEALTHCARE QUALITY AND SAFETY

Statutory Reference

C.G.S. Sections 19a-14, 19a-17, 19a-30, 19a-88, 19a-127i-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities; and to ensure that regulatory oversight is fair, educational and transparent.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Healthcare Systems Branch. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees who do not conform to established standards of care. The section also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The *Practitioner Licensure and Investigations Section* licenses, certifies and registers over 200,000 health practitioners in 60 professions and investigates consumer complaints and other practice related issues involving these practitioners. This section receives and processes all licensure and renewal applications and

administers examinations. Regulated health care practitioners include professionals such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. This section is also responsible for inspecting funeral homes and optical shops, maintaining the Connecticut nurse aide registry and the physician profile program and inspecting and reviewing health professional education programs for nurses, nurse aides and barbers/hairdressers.

The *Office of Licensure Regulation and Compliance (OLRC)* provides prosecutorial, regulatory, and legislative support to the section, as well as prosecutorial support for environmental and WIC hearings. The section also oversees the processing of criminal background checks for nursing home administrators and long-term care employees. The OLRC currently provides prosecutorial support to the Office of Early Childhood (OEC) child day care program, and processes criminal background checks on behalf of the OEC for all child day care providers.

The *Office of Emergency Medical Services (OEMS)* administers and enforces emergency medical services statutes, regulations, programs and policies. Responsibilities include: developing the statewide emergency medical services plan, ambulance equipment lists and various training curriculum; regulatory oversight of licensed/certified emergency response personnel and provider organizations, and approving sponsor hospital designations; work with

the EMS Advisory Board on various initiatives; conducting complaint investigations; and coordination of emergency planning and response with the

Department of Emergency Services and Public Protection (DESPP).

Program Measure			FY 2014	FY 2015	FY 2016	FY 2017
			Actual	Estimated	Projected	Projected
Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued			15,500/212,000	15,500/212,000	15,500/212,000	15,500/212,000
Percent of long term care facilities in substantial compliance with state and federal requirements			98%	98%	98%	98%

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	121	22	7	150	150	150	150	150
Federal Funds	37	5	0	42	41	41	41	41
Private Funds	5	0	0	5	5	0	5	0
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			2	2	2	7	2	7

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	7,232,491	8,720,753	9,207,953	9,279,058	12,025,731	9,286,851	9,366,710	12,135,766
Other Expenses	441,994	451,732	441,525	437,989	575,032	448,431	450,602	575,032
<u>Other Current Expenses</u>								
Medicaid Administration	2,270,158	2,615,699	2,740,366	2,637,390	0	2,763,952	2,664,128	0
TOTAL - Other Current Expenses	2,270,158	2,615,699	2,740,366	2,637,390	0	2,763,952	2,664,128	0
TOTAL - General Fund	9,944,643	11,788,184	12,389,844	12,354,437	12,600,763	12,499,234	12,481,440	12,710,798
<u>Additional Funds Available</u>								
Private Funds	1,169,943	936,667	951,417	951,417	411,417	951,417	951,417	411,417
Federal Contributions								
93064 Laboratory Training, Evaluation, & Q	155,373	94,983	94,983	94,983	94,983	94,983	94,983	94,983
93127 Emergency Med Svcs - Children	167,367	130,000	130,000	130,000	130,000	130,000	130,000	130,000
93506 Aca Nationwide Program For National And State Back	790,144	990,000	0	0	0	0	0	0
93777 State Survey & Certification of Health	5,781,255	5,799,739	5,799,739	5,799,739	5,799,739	5,799,739	5,799,739	5,799,739
93991 Preventive Health & Health Services	14,580	10,000	10,000	10,000	10,000	10,000	10,000	10,000
TOTAL - All Funds	18,023,305	19,749,573	19,375,983	19,340,576	19,046,902	19,485,373	19,467,579	19,156,937

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 19a-72 through 74.

Statement of Need and Program Objectives

To assure that department-wide administrative activities are coordinated and accomplished in an effective and efficient manner.

Program Description

The *Contracts and Grants Management Section* provides oversight and administration of approximately 600 contracts and support services in the following contracting areas: training/education,

fiscal oversight, compliance with state and federal regulations, and contract budget planning; provides agency grantees and their auditors a single point of contact for accounting and audit issues related to grants and contracts; and serves as contract management liaison with the Office of Policy Management, the Office of the Attorney General, and the Department of Administrative Services.

The *Fiscal Office* administers budget planning and preparation; monitors state and federal grant expenditures; performs revenue accounting, accounts payable/receivable and purchasing activities, including

emphasis on procurement from small and minority-owned vendors; provides mail services and inventory control, technical assistance to DPH contracting units, and monitors the final financial settlement of agency grants and contracts.

The *Human Resources Section* provides comprehensive personnel management to the department, including labor relations for seven bargaining units and managerial/confidential employees, recruitment, merit system administration, performance appraisal review, statistical personnel status reports, payroll, fringe benefit administration, classification work for

appropriate job titles, and performance assessment and recognition system for managers.

The *Informatics Section* works both independently and in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology (DAS/BEST) to provide the highest quality of information technology support services possible. Services are delivered through two divisions, *Infrastructure* and *Development*. Responsibilities include: strategic planning; maintaining critical agency infrastructure; and providing platform, operations, networking, application and security services.

Program Measure	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Financial oversight of state/federal/restricted accounts	160	160	160	160
Number of personal computers supported	1,200	1,160	1,160	1,160
Support of remote Women, Infants & Children (WIC) sites	23 sites/300pc	23 sites/300pc	23 sites/300pc	23 sites/300pc
Number of applications supported and managed	102	105	107	107

Personnel Summary	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	59	4	0	63	63	63	63	63
Federal Funds	15	1	-2	14	14	14	14	14
			FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Other Positions Equated to Full-Time</i>			0	0	0	0	0	0
General Fund								

Financial Summary (Net of Reimbursements)	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	4,946,697	5,343,538	5,621,886	5,628,302	5,790,889	5,676,444	5,685,039	5,847,812
Other Expenses	777,598	907,722	958,057	785,750	764,697	993,039	812,571	764,697
<u>Capital Outlay</u>								
Equipment	0	1	1	1	0	1	1	0
TOTAL - Capital Outlay	0	1	1	1	0	1	1	0
<u>Other Current Expenses</u>								
Medicaid Administration	139,406	157,768	162,568	162,587	0	162,753	162,773	0
TOTAL - Other Current Expenses	139,406	157,768	162,568	162,587	0	162,753	162,773	0
<u>Nonfunctional - Change to Accruals</u>								
TOTAL - General Fund	6,491,447	6,549,821	6,883,304	6,717,432	6,555,586	6,973,029	6,801,176	6,612,509
<u>Additional Funds Available</u>								
Federal Contributions								
10557 Special Supplement Nutrition Pgm	700,045	276,000	276,000	276,000	276,000	276,000	276,000	276,000
66468 Capitalization Grants for Drinking Water	426,359	291,764	291,764	291,764	291,764	291,764	291,764	291,764
93069 Public Health Emergency Preparedness	726,291	842,348	842,348	842,348	842,348	842,348	842,348	842,348
93251 Universal Newborn Hearing Screening	48,779	8,950	0	0	0	0	0	0
93268 Immunization Grants	217,436	200,000	200,000	200,000	200,000	200,000	200,000	200,000
93283 Ctrs-Disease Control & Prevention	46,496	41,000	42,000	42,000	42,000	42,000	42,000	42,000
93314 Early Hearing Detection And Intervention Informati	0	35,953	38,143	38,143	38,143	40,465	40,465	40,465
93393 Cancer Cause & Prevention Research	158,789	237,609	237,609	237,609	237,609	237,609	237,609	237,609
93521 The Affordable Care Act	205,534	0	0	0	0	0	0	0

93719 Arra - State Grants To Promote Health Information	79,760	0	0	0	0	0	0	0
93777 State Survey & Certification of Health	99,956	99,956	99,956	99,956	99,956	99,956	99,956	99,956
93889 National Bioterrorism Hospital Preparedness	70,065	81,168	81,168	81,168	81,168	81,168	81,168	81,168
93917 HIV Care Formula Grants	56,666	60,000	65,000	65,000	65,000	65,000	65,000	65,000
93940 HIV Prevention Activities Health Depart	168,272	180,723	180,723	180,723	180,723	180,723	180,723	180,723
93994 Maternal & Child Health Services	207,793	207,793	207,793	207,793	207,793	207,793	207,793	207,793
TOTAL - All Funds	9,703,688	9,113,085	9,445,808	9,279,936	9,118,090	9,537,855	9,366,002	9,177,335

HEALTH STATISTICS AND SURVEILLANCE

Statutory Reference

C.G.S. Sections 7-42, 7-50, 7-51, 7-62b, 7-73, 19a-2a, 19a-7(a), 19a-32C, 19a-41, 19a-42, 19a-45, 19a-127(k), 19a-322, 19a-127.

Statement of Need and Program Objectives

To provide comprehensive health data for planning; conduct population health status monitoring; and provide support to DPH staff and local, regional and state partners.

Program Description

The *Health Statistics and Surveillance Section (HSS)* is responsible for data collection, analysis and dissemination of a wide range of critical public health data. HSS assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HSS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths), behavioral risk factor population surveys for adults and youth, inpatient hospitalizations, adverse event reports from hospitals and health care facilities, reports on all newly diagnosed cancer cases, and annual state-town population estimates.

The *Surveillance, Analysis and Reporting Unit* analyzes and reports annually on a wide variety of health data: births, deaths, health care facilities' reports of adverse events, inpatient hospitalizations and population estimates. These data are critical for local health status

monitoring efforts. The unit supports agency staff that use these data as well as the department's healthcare quality and genomics programs.

The *Statewide Vital Records Section* registers births, deaths and marriages; maintains the state paternity registry and collaborates with the Department of Social Services (DSS) and obstetric hospitals to encourage unwed couples to establish paternity; and processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut. This section issues certified copies of birth, marriage, death and fetal death upon request from eligible parties.

The *Connecticut Tumor Registry* is an electronic database of information on more than 1 million cancers diagnosed in Connecticut residents from 1935 through 2014. It is used by researchers to examine cancer patterns, risk factors and other cancer concerns in Connecticut. With continuing support from the National Cancer Institute, the state has the oldest cancer registry in the nation.

The *Health Survey Unit* administers the national Behavioral Risk Factor Surveillance Survey system (BRFSS) and the Youth Behavior Component (YBC) of the Connecticut School Health Survey (CSHS), which provides current data on risk behaviors and health care practices that affect our population's health. Analyses of these health data are used internally and externally to identify strategic health care needs within Connecticut and to monitor progress in meeting those needs.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Vital record events: CT Births	37,817	37,266	36,716	36,165
Vital record events: CT Deaths	29,605	29,522	29,438	29,355
Vital record events: CT Marriages	19,366	19,264	19,192	19,120

Vital record events: Fetal Deaths	184	179	174	169
Paternity Actions	13,500	13,500	13,500	13,500
Cancers diagnosed in CT residents	22,047	21,837	21,629	21,423

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	18	2	0	20	20	20	20	20
Federal Funds	27	3	6	36	31	31	31	31
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	1,286,167	1,381,848	1,447,489	1,447,787	1,447,787	1,459,446	1,460,389	1,460,389
Other Expenses	120,213	120,213	122,997	122,997	120,213	126,550	126,550	120,213
TOTAL - General Fund	1,406,380	1,502,061	1,570,486	1,570,784	1,568,000	1,585,996	1,586,939	1,580,602
Additional Funds Available								
Private Funds	704,444	71,747	15,000	15,000	15,000	15,000	15,000	15,000
Federal Contributions								
93065 Laboratory Leadership, Workforce Training and Mana	0	10,835	0	0	0	0	0	0
93066 State Vital Statistics Improvement Program	0	167,222	78,722	78,722	78,722	26,222	26,222	26,222
93069 Public Health Emergency Preparedness	11,681	0	0	0	0	0	0	0
93070 Environmental Public Health And Emergency Response	15,500	0	0	0	0	0	0	0
93079 Cooperative Agreements To Promote Adolescent Health	0	40,000	40,000	40,000	40,000	40,000	40,000	40,000
93118 Acquired Immunodeficiency Syndrome	32,075	0	0	0	0	0	0	0
93136 Injury Prevention & Control Research	233,154	237,969	501,943	501,943	501,943	641,769	641,769	641,769
93243 Substance Abuse & Mental Health Services	25,000	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	295,355	309,505	309,505	309,505	309,505	309,505	309,505	309,505
93292 National Public Health Improvement Initiative	215,843	305,000	305,000	305,000	305,000	305,000	305,000	305,000
93393 Cancer Cause & Prevention Research	2,212,507	2,177,785	2,177,785	2,177,785	2,177,785	2,177,785	2,177,785	2,177,785
93507 Strengthening Public Health Infrastructure For Imp	86,404	0	0	0	0	0	0	0
93521 The Affordable Care Act	2,092	0	0	0	0	0	0	0
93524 Building Capacity Of The Public Health System To I	0	2,000	0	0	0	0	0	0
93544 The Patient Protection And Affordable Care Act Of	49,875	0	0	0	0	0	0	0
93719 Arra - State Grants To Promote Health Information	1,862,275	0	0	0	0	0	0	0
93758 Preventive Health And Health Services Block Grant	0	2,162,488	2,162,488	2,162,488	2,162,488	2,162,488	2,162,488	2,162,488
93991 Preventive Health & Health Services	63,597	270,030	270,030	270,030	270,030	270,030	270,030	270,030
93994 Maternal & Child Health Services	238,149	238,149	238,149	238,149	238,149	238,149	238,149	238,149
96000 Social Security Administration	4,504	72,222	132,222	132,222	132,222	22,222	22,222	22,222
99999 Other	485,962	907,400	507,127	507,127	507,127	157,295	157,295	157,295
TOTAL - All Funds	7,944,797	8,474,413	8,308,457	8,308,755	8,305,971	7,951,461	7,952,404	7,946,067

OFFICE OF HEALTH CARE ACCESS

Statutory Reference

C.G.S. Sections 19a-612 through 689.

Statement of Need and Program Objectives

To administer the Certificate of Need (CON) program to ensure that access to quality health care services is maintained or improved and costly duplication of services is prevented.

Program Description

The Office of Health Care Access' (OHCA) regulatory and planning activities are intended to increase accessibility, continuity and quality of health care services, prevent unnecessary duplication of health resources and provide financial stability and cost containment of health care services. The CON program promotes access, ensures quality and controls costs by limiting the establishment or termination of certain health care services, the acquisition of certain medical equipment and the change in ownership of certain health care facilities to those that are found to be needed, based on ten statutory criteria.

By law, the office's biennial Statewide Health Care Facilities and Services Plan must be considered when rendering a CON decision, as it contains standards, guidelines and methodologies that are to be utilized in the CON review process. The plan also examines areas of unmet need and identifies gaps in health care services. Additionally, it includes an inventory of health care facilities, services and equipment and reports on utilization, providing a means of monitoring the adequacy of access.

OHCA also collects, analyzes and reports on a wide range of hospital financial and discharge data, including revenues, expenses, uncompensated care, inpatient utilization, and payer mix, as well as various financial performance measures and utilization trends. Hospital financial and discharge data are used in support of the CON program and OHCA's facility and services planning efforts, as well as to inform the public, the health care industry and policy makers on the state's health care environment.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Number of CON decisions rendered	27	54	54	54
Number of CON determinations	53	65	65	65
Number of CON hearings conducted	13	18	18	18

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	18	0	1	19	19	19	19	19
<i>Other Positions Equated to Full-Time</i>								
General Fund			FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
			1	1	1	1	1	1

Financial Summary (Net of Reimbursements)

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	1,594,862	1,685,672	1,780,279	1,783,748	1,783,748	1,791,085	1,795,625	1,795,625
Other Expenses	123,401	104,501	105,723	104,723	103,501	106,069	106,298	103,501
TOTAL - General Fund	1,718,263	1,790,173	1,886,002	1,888,471	1,887,249	1,897,154	1,901,923	1,899,126
TOTAL - All Funds	1,718,263	1,790,173	1,886,002	1,888,471	1,887,249	1,897,154	1,901,923	1,899,126

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	31,154,394	33,429,227	34,581,041	38,021,564	34,869,427	38,346,248
Other Positions	773,274	590,548	618,833	619,459	623,302	624,122
Other	940,092	288,496	732,897	303,095	736,737	303,095
Overtime	104,270	83,063	87,151	87,223	87,848	87,946
Reimbursements	0	0	0	-600,000	0	-600,000
TOTAL - Personal Services	32,972,030	34,391,334	36,019,922	38,431,341	36,317,314	38,761,411
<u>Other Expenses</u>						
Advertising and Marketing	7,057	5,580	5,709	5,580	5,873	5,580
Agriculture, Horticulture, Dairy & Food	2,152	2,152	2,196	2,152	2,246	2,152
Books	2,984	2,981	3,048	2,981	3,136	2,981
DP Services, Rentals and Maintenance	469,973	470,265	545,786	513,467	563,470	514,837
Dues and Subscriptions	60,158	60,158	61,550	60,158	63,330	60,158
Employee Fringe Benefits	1,730	1,730	1,730	1,730	1,730	1,730
Fees for Non-Professional Services	92,688	108,694	90,735	88,694	93,531	88,694
Fees for Outside Professional Services	158,767	362,860	371,273	212,860	376,716	212,860
Fuel	187,649	187,649	192,133	186,992	213,551	198,713
General Repairs	2,024,309	2,064,369	2,305,433	2,227,658	2,633,751	2,393,768
Maintenance and Motor Vehicle Supplies	60,843	45,144	66,606	65,144	68,893	65,144
Medical Supplies	13,168	12,725	13,169	12,725	13,655	12,725
Motor Vehicle Expenses	194,422	120,677	123,472	120,677	127,039	120,677
Office Supplies	1,400,594	1,353,804	1,481,231	1,224,962	1,553,367	1,224,962
Other Contractual Services	96,270	56,835	68,134	56,835	70,341	56,835
Postage	331,664	281,664	345,170	338,639	359,695	338,639
Printing & Binding	194,480	187,239	191,579	187,239	197,117	187,239
Rentals, Storage and Leasing	15,723	15,723	16,087	15,723	16,552	15,723
Sundry - Other Items	196,050	193,015	188,944	300,315	189,402	300,315
Telecommunication Services	273,252	272,520	278,831	272,020	286,890	272,020
Travel	18,203	24,036	24,587	24,036	25,297	24,036
Utility Services	922,379	945,870	1,110,018	1,089,643	1,195,728	1,150,809
TOTAL - Other Expenses	6,724,515	6,775,690	7,487,421	7,010,230	8,061,310	7,250,597
<u>Equipment</u>						
Equipment	0	1	1	0	1	0
TOTAL - Equipment	0	1	1	0	1	0
<u>Other Current Expenses</u>						
Needle and Syringe Exchange	459,416	459,416	459,416	0	459,416	0
Children's Health Initiatives	2,480,519	2,057,286	2,061,969	1,968,685	2,063,302	1,972,746
Childhood Lead Poisoning	59,485	72,362	72,362	0	72,362	0
AIDS Services	4,718,255	4,975,686	4,997,898	0	5,023,422	0
Breast & Cervical Cancer Detectn/Treatment	2,177,405	2,213,575	2,225,060	0	2,230,356	0
Children w/Special Hlth Care Needs	1,217,297	1,220,505	1,220,505	1,037,429	1,220,505	1,037,429
Medicaid Administration	2,409,564	2,773,467	2,902,934	0	2,926,705	0
Immunization Services	30,074,419	0	0	0	0	0
Maternal Mortality Review	0	104,000	104,000	0	104,000	0
TOTAL - Other Current Expenses	43,596,360	13,876,297	14,044,144	3,006,114	14,100,068	3,010,175
<u>Pmts to Other Than Local Govts</u>						
Community Health Services	6,212,732	6,213,866	6,213,866	1,508,515	6,213,866	1,508,515
Rape Crisis	421,986	622,008	622,008	422,008	622,008	422,008
X-Ray Screening and Tuberculosis Care	703,580	1,195,148	1,230,436	0	1,267,747	0
Genetic Diseases Programs	794,335	837,072	837,072	211,066	837,072	211,066
TOTAL - Pmts to Other Than Local Govts	8,132,633	8,868,094	8,903,382	2,141,589	8,940,693	2,141,589
<u>Pmts to Local Governments</u>						
Local & District Departments of Health	4,669,172	4,685,779	4,685,779	4,692,648	4,685,779	4,692,648
Venereal Disease Control	187,362	197,171	197,171	0	197,171	0
School Based Health Clinics	11,742,500	12,048,716	12,048,716	11,024,576	12,048,716	10,783,602
TOTAL - Pmts to Local Governments	16,599,034	16,931,666	16,931,666	15,717,224	16,931,666	15,476,250
<u>Nonfunctional - Change to Accruals</u>	627,746	140,792	140,792	0	140,792	0

AGENCY FINANCIAL SUMMARY - Insurance Fund

<i>Current Expenses by Minor Object</i>	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<u><i>Other Current Expenses</i></u>						
Needle and Syringe Exchange	0	0	0	459,416	0	459,416
AIDS Services	0	0	0	4,890,686	0	4,890,686
Breast & Cervical Cancer Detectn/Treatment	0	0	0	2,145,586	0	2,150,565
Immunization Services	0	31,509,441	32,717,497	32,728,052	33,986,824	34,000,718
TOTAL - Other Current Expenses	0	31,509,441	32,717,497	40,223,740	33,986,824	41,501,385
<u><i>Pmts to Other Than Local Govts</i></u>						
X-Ray Screening and Tuberculosis Care	0	0	0	1,115,148	0	1,115,148
TOTAL - Pmts to Other Than Local Govts	0	0	0	1,115,148	0	1,115,148
<u><i>Pmts to Local Governments</i></u>						
Venereal Disease Control	0	0	0	197,171	0	197,171
TOTAL - Pmts to Local Governments	0	0	0	197,171	0	197,171

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	32,972,030	34,391,334	36,019,922	36,122,081	38,431,341	36,317,314	36,429,582	38,761,411
Other Expenses	6,724,515	6,775,690	7,487,421	7,191,434	7,010,230	8,061,310	7,628,071	7,250,597
Capital Outlay	0	1	1	1	0	1	1	0
Other Current Expenses	43,596,360	13,876,297	14,044,144	13,950,885	3,006,114	14,100,068	14,011,909	3,010,175
Pmts to Other than Local Govts	8,132,633	8,868,094	8,903,382	8,903,382	2,141,589	8,940,693	8,940,693	2,141,589
Pmts to Local Governments	16,599,034	16,931,666	16,931,666	16,938,535	15,717,224	16,931,666	16,938,535	15,476,250
Nonfunctional - Change to Accruals	627,746	140,792	140,792	140,792	0	140,792	140,792	0
TOTAL - General Fund	108,652,318	80,983,874	83,527,328	83,247,110	66,306,498	84,491,844	84,089,583	66,640,022
Insurance Fund								
Other Current Expenses	0	31,509,441	32,717,497	32,728,052	40,223,740	33,986,824	34,000,718	41,501,385
Pmts to Other than Local Govts	0	0	0	0	1,115,148	0	0	1,115,148
Pmts to Local Governments	0	0	0	0	197,171	0	0	197,171
TOTAL - Insurance Fund	0	31,509,441	32,717,497	32,728,052	41,536,059	33,986,824	34,000,718	42,813,704
Federal and Other Activities	138,832,817	157,776,697	150,953,341	150,953,341	150,953,341	150,627,010	150,627,010	150,627,010
Private Funds	12,451,499	8,723,448	6,888,750	6,888,750	7,722,927	6,879,750	6,879,750	7,713,927
TOTAL - All Funds Net	259,936,634	278,993,460	274,086,916	273,817,253	266,518,825	275,985,428	275,597,061	267,794,663



AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide or under suspicious circumstances; sudden or unexpected deaths not due to readily recognizable disease or within 24 hours of hospital admission; deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths resulting from employment; deaths due to disease which might constitute a threat to the public health, and deaths under anesthesia, in the operating or recovery room, following transfusions, or during diagnostic procedures.

Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths, by issuing an accurate death certificate with an etiologically specific underlying cause of death, and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse. Information provided by these death investigations may lead to proper adjudication in criminal and civil matters.

AGENCY PROGRAM INDEX

Medicolegal Investigations

RECOMMENDED SIGNIFICANT CHANGES

	<u>2015-2016</u>	<u>2016-2017</u>
Reductions		
• Remove or Limit Inflation	-27,144	-60,039
• Annualize FY 2015 Rescissions	-1,370	-1,370
	<u>2015-2016</u>	<u>2016-2017</u>
Reallocations		
• Consolidate Statewide Appropriations for Estimated Change in Accruals	-23,816	-23,816

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	43	10	-3	50	50	50	50	50
Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Medicolegal Investigations	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273
TOTAL Agency Programs - All Fund Gross	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273
Summary of Funding								
General Fund	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273
TOTAL Agency Programs - All Funds Net	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273

AUTOPSIES AND EXAMINATIONS

Statutory Reference

C.G.S. Sections 19a-400 through 19a-414.

Statement of Need and Program Objectives

To provide accurate certification of the cause and manner of death and to identify, document, and interpret relevant forensic scientific information for use in criminal and civil legal proceedings necessary for the investigation of violent, suspicious, and sudden unexpected deaths.

Program Description

The initial investigation begins when a death is reported to the agency. An inquiry is made into the circumstances surrounding the death and a determination is made whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. In FY 2014, the agency had 19,524 deaths reported of which 16,819 were accepted under the agency's jurisdiction. Of those, 2,061 were brought in for autopsy or examination. These numbers are approximately 4-5 percent higher than reported in FY 2013.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed. Autopsy report completion times exceeded accreditation requirements with greater than 90% of reports completed within 60 and 90 days following the autopsy.

Complete records of all investigations are maintained by the agency and are available to the family of the

deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to other individuals with the written consent of the family, by court order, or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Child Advocate, Transportation, Correction, and Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law) or the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists and investigators are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses, mortuary students, and other interested groups. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic, and other scientific information.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	43	10	-3	50	50	50	50	50
<i>Other Positions Equated to Full-Time</i>			FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
General Fund			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	4,153,749	4,607,399	4,823,925	4,825,259	4,825,259	4,856,044	4,857,946	4,857,946
Other Expenses	1,203,791	1,129,054	1,156,198	1,156,198	1,129,054	1,189,093	1,189,093	1,129,054

<u>Capital Outlay</u>								
Equipment	0	19,226	19,226	19,226	19,226	19,226	19,226	19,226
TOTAL - Capital Outlay	0	19,226	19,226	19,226	19,226	19,226	19,226	19,226
<u>Other Current Expenses</u>								
Medicolegal Investigations	27,295	27,417	27,417	27,417	26,047	27,417	27,417	26,047
TOTAL - Other Current Expenses	27,295	27,417	27,417	27,417	26,047	27,417	27,417	26,047
<u>Nonfunctional - Change to Accruals</u>								
	48,763	23,816	23,816	23,816	0	23,816	23,816	0
TOTAL - General Fund	5,433,600	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273
TOTAL - All Funds	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended		
<u>Personal Services</u>								
Permanent Fulltime Positions	3,578,657	3,849,016	3,999,568	4,041,649	4,027,680	4,070,551		
Other Positions	316,718	340,238	357,162	357,266	359,672	359,821		
Other	111,583	254,307	295,208	254,307	295,496	254,307		
Overtime	146,791	163,838	171,987	172,037	173,196	173,267		
TOTAL - Personal Services	4,153,749	4,607,399	4,823,925	4,825,259	4,856,044	4,857,946		
<u>Other Expenses</u>								
Clothing and Personal Supplies	11,390	799	817	799	841	799		
DP Services, Rentals and Maintenance	10,754	10,056	10,289	10,056	10,586	10,056		
Dues and Subscriptions	852	1,704	1,743	1,704	1,793	1,704		
Fees for Non-Professional Services	746,418	671,528	687,107	671,528	706,964	671,528		
Fees for Outside Professional Services	91,810	95,868	98,092	95,868	100,926	95,868		
Maintenance and Motor Vehicle Supplies	54,749	50,848	52,985	50,848	53,999	50,848		
Medical Supplies	24,033	20,859	21,342	20,859	21,959	20,859		
Motor Vehicle Expenses	70,016	64,217	65,706	64,217	67,605	64,217		
Office Supplies	135,039	169,983	173,926	169,983	178,952	169,983		
Other Contractual Services	10,392	8,453	8,647	8,453	8,897	8,453		
Postage	296	0	0	0	0	0		
Rentals, Storage and Leasing	12,184	0	0	0	0	0		
Telecommunication Services	32,083	31,433	32,162	31,433	33,091	31,433		
Travel	3,777	3,306	3,382	3,306	3,480	3,306		
TOTAL - Other Expenses	1,203,791	1,129,054	1,156,198	1,129,054	1,189,093	1,129,054		
<u>Equipment</u>								
Equipment	0	19,226	19,226	19,226	19,226	19,226		
TOTAL - Equipment	0	19,226	19,226	19,226	19,226	19,226		
<u>Other Current Expenses</u>								
Medicolegal Investigations	27,295	27,417	27,417	26,047	27,417	26,047		
TOTAL - Other Current Expenses	27,295	27,417	27,417	26,047	27,417	26,047		
<u>Nonfunctional - Change to Accruals</u>								
	48,763	23,816	23,816	0	23,816	0		
Character & Major Object Summary								
	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	4,153,749	4,607,399	4,823,925	4,825,259	4,825,259	4,856,044	4,857,946	4,857,946
Other Expenses	1,203,791	1,129,054	1,156,198	1,156,198	1,129,054	1,189,093	1,189,093	1,129,054
Capital Outlay	0	19,226	19,226	19,226	19,226	19,226	19,226	19,226
Other Current Expenses	27,295	27,417	27,417	27,417	26,047	27,417	27,417	26,047
Nonfunctional - Change to Accruals	48,763	23,816	23,816	23,816	0	23,816	23,816	0
TOTAL - General Fund	5,433,600	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273
TOTAL - All Funds Net	5,433,598	5,806,912	6,050,582	6,051,916	5,999,586	6,115,596	6,117,498	6,032,273

DEPARTMENT OF DEVELOPMENTAL SERVICES

AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome.

Also, the department is mandated to serve as the lead agency and coordinate the state agencies which have responsibility for providing services for persons with autism spectrum disorder and to administer a system to provide early intervention services for all infants and toddlers under the age of three who have any type of disability or significant developmental delay.

ELIGIBILITY FOR SERVICES

Section 1-1g of the Connecticut General Statutes defines: "intellectual disability" as significant limitation in intellectual functioning and deficits in adaptive behavior that originated during the developmental period before eighteen years of age." Thus, three factors must be in place for a person to be diagnosed as having intellectual disability. The person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group and the intellectual disability occurred before the individual's 18th birthday.

THE DEPARTMENT'S MISSION

The mission of the Department of Developmental Services is to partner with the individuals supported by the agency and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. All citizens supported by the Department of Developmental Services are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates. These individuals: live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions; have safe, meaningful and empowering

relationships; have families who feel supported from the earliest years and throughout their lifetimes; have lifelong opportunities and the assistance to learn things that matter to them; make informed choices and take responsibility for their lives and experience the dignity of risk; earn money to facilitate personal choices; and know their rights and responsibilities and pursue opportunities to live the life they choose.

SERVICES AND TRENDS

The department provides services to Connecticut citizens with intellectual disability or Prader-Willi Syndrome, participants in the Autism Division and to infants and toddlers with significant developmental delays who are enrolled in the Birth to Three System. Persons with intellectual disability have much in common with non-disabled citizens in Connecticut, although individuals who have intellectual disability often need lifelong support to exercise their rights to become full and contributing members of their communities.

As of June 30, 2014, the Department of Developmental Services was serving 21,501 persons, including those enrolled in the Birth to Three Program. In a comparison of demographic information from 10 years ago, the number of people DDS supports under the age of 45 is nearly identical. However, the number of people DDS supports who are 45 or older has grown by 22%. DDS also operates a pilot program for adults with autism spectrum disorder but not intellectual disability. The Autism Division serves individuals with autism spectrum disorder across the lifespan, and as of June 30, 2014, approximately 103 individuals were receiving services.

OUTCOME MEASURES				
PEOPLE SERVED BY DDS				
<i>(as of June 2014)</i>				
Age Range	In Home	Out of Home	Total	Pct
Birth to Three (0 – 2)	5,248	0	5,248	24%
Children (3 – 17)	2,402	128	2,530	12%
Young Adults (18 – 21)	1,336	237	1,573	7%
Adults (22 and older)	4,847	7,303	12,150	56%
Total	13,833	7,668	21,501	100%
Percent	64 %	36 %		

Most traditional services and all new development of residential supports are contracted through private providers. DDS has worked to streamline and improve efficiency while maintaining services. Within the parameters of the budget, DDS will be looking from traditional services to shift resources to family services. DDS operates five Home and Community-Based Services (HCBS) Waivers serving a total of 9,534 people.

Residential services and supports take the form of individualized supports tailored to meet the needs of the individual in his or her family or own home, in a community companion home, in a community living

arrangement, with continuous residential services, with supported living services or in a campus setting.

Individualized residential supports are designed by and for adults with intellectual disability along with their circle of support or community network to tailor a package of services and supports that best meets the individual's needs, goals and preferences to live in the community in a home of their choosing.

Self-directed services and supports may be delivered in a family or individual's own home, and are provided either by private agencies or by employees hired by the individual or his or her legal representative. The number of individuals utilizing agreements to purchase and arrange their own residential supports totaled 1,141 as of June 30, 2014. Individuals who self-direct their own supports are required to utilize a fiscal intermediary to assure appropriate payment, reimbursement and overall fiscal accountability.

The department continues to operate the Voluntary Services Program (VSP) for children who have either intellectual disability or Autism Spectrum Disorder and a mental health diagnosis. As of June 2014, this program supported 540 children and their families, 506 children with intellectual disability and 34 children without an intellectual disability but with a diagnosis of autism spectrum disorder. Over 80% of the children are served with in-home supports.

<i>Outcome Measures</i>						
PROGRAM	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
Birth to Three (Children with IFSPs on June 1 of each year)	4,774	4,679	4,553	4,561	4,481	4,698
Self-Directed Residential (Family or Own Home)	959	994	1,014	1,059	1,111	1,141
Self-Directed Employment and Day	213	237	245	274	301	343
Private Community	3,657	4,026	4,179	4,222	4,348	4,422
Public Community	537	453	408	386	366	340
Public Campus	723	686	656	612	552	521
Employment and Day	9,653	9,788	9,912	10,092	10,224	10,454

Recent service growth has primarily been in the area of day services where there has been a consistent state commitment to providing services to people who have completed high school. DDS, in collaboration with other

state agencies, is actively promoting employment as the appropriate option for people leaving school.

AGENCY PROGRAM INDEX

Case Management	Family Support
Specialized Support	Human Resource Development
Sheltered Employment	Group Supported Employment
Day Support Options	Individual Supported Employment
Birth to Three System	Community Companion Homes
Community Living Arrangements	Campus Units
Other Private Facilities	Individualized Home Supports
Agency Management Services	

RECOMMENDED SIGNIFICANT CHANGES

	<u>2015-2016</u>	<u>2016-2017</u>
Reductions		
• Reduce Voluntary Services	-20,930,564	-14,910,820
• Annualize FY 2015 Rescissions	-10,521,812	-10,521,812
• Remove Funding for Caseload Growth <i>Funding is reduced for placements of new high school graduates or transfers of individuals out of Southbury Training School or other long term care facilities.</i>	-10,463,500	-26,334,333
• Remove Funding for Early Childhood Autism Waiver as Services Will be Covered Under New Medicaid Mandate	-1,000,000	-1,000,000
• Reduce Contracted Medical Director and Nursing Pool Services	-591,840	-591,840
• Remove or Limit Inflation	-541,974	-1,177,343
• Consolidate Various In-Home Family Support Grants and Reduce Funding <i>The Family Support Grants, Community Temporary Supports Services, Community Respite Care Programs and Family Reunion Program accounts are consolidated into one account.</i>	-416,150	-416,150
• Eliminate Human Resource Development Training Funds	-188,443	-188,443
• Fund Equipment Through CEPF	-1	-1
	<u>2015-2016</u>	<u>2016-2017</u>
Current Services		
• Annualize FY 2015 Waiting List Funding	4,000,000	4,000,000
• Fund Caseload Growth for Day and Residential Ageouts <i>Funding will support day programs for 99 individuals and residential programs for 110 individuals who will be aging out of services provided by the Department of Children and Families or local education agencies.</i>	11,982,388	28,302,868
	<u>2015-2016</u>	<u>2016-2017</u>
Reallocations		
• Transfer the Birth to Three Program to the Office of Early Childhood and the Department of Social Services <i>Transfer non-Medicaid program funding and seven state-funded positions to the Office of Early Childhood which will be the lead agency for the program and the Medicaid portion to the Department of Social Services.</i>	-39,875,158	-39,877,464
• Consolidate Statewide Appropriations for Estimated Change in Accruals	-2,764,167	-2,764,167
• Provide General Fund Support for Autism Initiatives Previously Funded from the Tobacco and Health Trust Fund	750,000	750,000

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	2662	665	0	3327	3327	3320	3327	3320
Federal Funds	9	0	0	9	9	0	9	0

Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Agency Management Services	50,510,944	53,709,749	57,552,012	54,892,344	49,467,177	58,008,823	53,806,296	48,185,823
Resource Services	0	0	0	0	0	0	0	0
Case Management	4,169,983	4,496,770	5,586,012	4,751,792	4,692,014	6,422,048	4,821,654	4,756,393

Family Support	51,767,365	54,428,857	57,679,029	54,820,416	44,754,625	60,439,153	54,973,426	47,952,313
Specialized Support	30,530,860	32,957,374	34,532,765	34,137,533	32,990,276	35,200,777	34,400,583	33,441,713
Human Resource Development	223,799	241,769	250,967	251,001	248,182	252,397	252,435	249,467
Birth to Three System	43,354,772	43,073,670	46,202,035	43,045,280	0	47,066,074	43,045,280	0
Sheltered Employment	5,613,224	5,923,890	6,241,406	5,949,670	5,943,413	6,530,751	5,954,987	5,948,700
Group Supported Employment	71,029,646	74,790,278	78,842,556	74,817,908	74,810,974	82,765,915	74,822,922	74,815,855
Day Support Options	136,899,673	144,272,248	152,010,267	155,023,278	143,717,531	159,320,288	165,670,112	146,277,371
Individual Supported Employment	3,898,148	4,104,786	4,325,271	4,104,786	4,104,786	4,539,873	4,104,786	4,104,786
Community Companion Homes	112,208,727	117,715,119	119,575,511	118,890,741	117,067,518	120,338,137	119,142,912	117,875,495
Community Living Arrangements	383,274,639	400,813,540	434,558,038	430,644,955	420,213,462	457,489,779	451,369,177	434,013,022
Campus Units	114,527,865	121,828,915	126,249,978	126,172,173	124,217,393	127,262,627	127,172,008	124,946,216
Other Private Facilities	13,948,375	14,369,355	14,911,214	14,477,825	9,977,733	15,321,088	14,546,209	11,052,709
Individualized Home Supports	38,259,196	39,880,249	42,285,697	40,037,713	39,608,576	43,980,793	40,070,050	39,840,455
TOTAL Agency Programs - All Fund Gross	1,060,217,216	1,112,606,569	1,180,802,758	1,162,017,415	1,071,813,660	1,224,938,523	1,194,152,837	1,093,460,318
<u>Summary of Funding</u>								
General Fund	1,054,596,888	1,100,665,799	1,169,740,331	1,150,954,988	1,064,411,379	1,215,454,830	1,184,669,144	1,087,636,771
Federal Funds	5,572,553	5,610,691	5,595,539	5,595,539	1,935,393	5,595,539	5,595,539	1,935,393
Private Funds	47,775	41,876	41,876	41,876	41,876	41,876	41,876	41,876
Special Non-Appropriated Funds	0	6,288,203	5,425,012	5,425,012	5,425,012	3,846,278	3,846,278	3,846,278
TOTAL Agency Programs - All Funds Net	1,060,217,216	1,112,606,569	1,180,802,758	1,162,017,415	1,071,813,660	1,224,938,523	1,194,152,837	1,093,460,318

CASE MANAGEMENT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To coordinate the planning, development and administration of a system of supports and services based on individual needs and preferences. These supports maximize federal resources with a 50% reimbursement to the General Fund.

Program Description

Case management is available to individuals eligible for DDS services and is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers or self-directed.

The agency operates a regional based helpline and as of FY 2014, the helpline supported over 3,604 individuals and their families to access DDS services who are not assigned a case manager.

Personnel Summary

	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	52	14	0	66	66	66	66	66
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>General Fund</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	0	0	0	0

Financial Summary (Net of Reimbursements)

	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
<i>(Net of Reimbursements)</i>	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	3,974,356	4,300,623	5,384,707	4,550,509	4,505,580	6,215,233	4,614,888	4,569,959
Other Expenses	194,772	195,212	200,348	200,348	185,499	205,831	205,831	185,499
Other Current Expenses								
Clinical Services	855	935	957	935	935	984	935	935
TOTAL - Other Current Expenses	855	935	957	935	935	984	935	935
TOTAL - General Fund	4,169,983	4,496,770	5,586,012	4,751,792	4,692,014	6,422,048	4,821,654	4,756,393
TOTAL - All Funds	4,169,983	4,496,770	5,586,012	4,751,792	4,692,014	6,422,048	4,821,654	4,756,393

FAMILY SUPPORT

Statutory Reference

C.G.S. Sections 17a-210 and 17a-218, and 17a-219a .

Statement of Need and Program Objectives

To reduce the emotional and financial cost to families who care for children with disabilities and to assist families with children with disabilities to find the support services and assistance to lead lives in their communities.

Program Description

Family supports are a wide range of supports and services that assist families who care for family members who have intellectual disability. Family Supports such as respite, short-term crisis support, in-home supports, skill training, transportation, behavioral support, clinical supports and family support grants to defray extraordinary expenses.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	53	11	0	64	64	64	64	64
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0

Financial Summary (Net of Reimbursements)

	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	3,485,489	3,771,624	3,942,985	3,941,629	3,498,164	3,976,277	3,974,924	3,531,459
Other Expenses	36,157	36,237	37,067	37,067	34,609	38,133	38,133	34,609
<u>Other Current Expenses</u>								
Family Support Grants	3,590,130	3,441,463	3,521,295	3,441,463	3,719,398	3,623,531	3,441,463	3,719,398
Cooperative Placements Program	387,766	404,470	423,887	404,470	404,470	446,009	404,470	404,470
Clinical Services	3,046	3,330	3,407	3,330	3,330	3,505	3,330	3,330
Community Temporary Support Services	60,753	60,753	62,162	60,753	0	63,959	60,753	0
Community Respite Care Programs	527,828	558,137	571,086	558,137	0	587,590	558,137	0
Autism Services	1,294,593	2,448,208	3,108,157	2,612,952	2,362,952	3,963,693	2,909,641	2,659,641
Voluntary Services	14,205,030	14,355,275	14,473,924	14,411,255	5,464,691	14,592,573	14,233,215	8,332,395
TOTAL - Other Current Expenses	20,069,146	21,271,636	22,163,918	21,492,360	11,954,841	23,280,860	21,611,009	15,119,234
<u>Pmts to Other than Local Govts</u>								
Family Reunion Program	78,800	82,349	84,260	82,349	0	86,694	82,349	0
Community Residential Services	28,097,773	29,267,011	31,450,799	29,267,011	29,267,011	33,057,189	29,267,011	29,267,011
TOTAL - Pmts to Other than Local Govts	28,176,573	29,349,360	31,535,059	29,349,360	29,267,011	33,143,883	29,349,360	29,267,011
TOTAL - General Fund	51,767,365	54,428,857	57,679,029	54,820,416	44,754,625	60,439,153	54,973,426	47,952,313
TOTAL - All Funds	51,767,365	54,428,857	57,679,029	54,820,416	44,754,625	60,439,153	54,973,426	47,952,313

SPECIALIZED SUPPORT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To provide specialized supports to individuals who require assistance due to physical disability, challenging behaviors or health needs.

Program Description

Specialized supports are available to individuals who require assistance due to physical disability, challenging behaviors or health needs. Department staff, contracted specialists and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, psychiatric, communication and adaptive devices and technology.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	245	53	0	298	298	298	298	298
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	23,321,539	25,236,069	26,395,372	26,400,382	26,063,985	26,636,638	26,644,728	26,308,331
Other Expenses	461,144	462,189	472,944	472,944	439,120	486,557	486,557	439,120
<u>Other Current Expenses</u>								
Family Support Grants	19,259	18,461	18,908	18,461	18,461	18,994	18,461	18,461
Cooperative Placements Program	42,761	44,603	46,744	44,603	44,603	49,183	44,603	44,603
Clinical Services	3,082,981	3,370,017	3,448,201	3,370,017	2,854,981	3,547,854	3,370,017	2,854,981
Autism Services	92,585	175,088	222,285	175,088	175,088	283,470	175,088	175,088
Voluntary Services	609,465	615,911	621,002	621,002	359,002	626,093	626,093	566,093
TOTAL - Other Current Expenses	3,847,051	4,224,080	4,357,140	4,229,171	3,452,135	4,525,594	4,234,262	3,659,226
<u>Pmts to Other than Local Govts</u>								
Rent Subsidy Program	0	0	84,000	0	0	168,500	0	0
Employment Opportunities and Day Services	1,592,350	1,676,164	1,767,388	1,676,164	1,676,164	1,856,178	1,676,164	1,676,164
Community Residential Services	1,248,683	1,300,645	1,397,694	1,300,645	1,300,645	1,469,083	1,300,645	1,300,645
TOTAL - Pmts to Other than Local Govts	2,841,033	2,976,809	3,249,082	2,976,809	2,976,809	3,493,761	2,976,809	2,976,809
TOTAL - General Fund	30,470,767	32,899,147	34,474,538	34,079,306	32,932,049	35,142,550	34,342,356	33,383,486
<u>Additional Funds Available</u>								
Private Funds	31,866	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Federal Contributions								
84181 Special Education Grants for Infants	28,227	28,227	28,227	28,227	28,227	28,227	28,227	28,227
TOTAL - All Funds	30,530,860	32,957,374	34,532,765	34,137,533	32,990,276	35,200,777	34,400,583	33,441,713

HUMAN RESOURCE DEVELOPMENT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To provide partial support for mandatory training of direct support workers, nurses and other clinicians, and support staff. Federal and state statutes, regulations and policies mandate that staff providing support to individuals with developmental disabilities receive training in specific content areas, including (but not limited to) abuse & neglect prevention, first aid and CPR, infection control & blood borne pathogens, signs & symptoms of illness, safe lifts and transfers, nutrition, emergency preparation & fire safety, dysphagia, Alzheimer's disease and dementia, HIPAA and confidentiality, and a number of other topics. These mandates apply to public and private sector employees, and staff hired directly by families and individuals, and typically require annual and biennial refresher training

for all staff. Provision of this training also ensures compliance with the requirements of the CMS Home & Community- Based waivers.

Program Description

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training programs designed to develop and enhance employee competence occur in the classroom, through web-based learning opportunities, on-the-job and through mentoring activities. Programs include: new employee orientation, DDS mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, supervision, diversity, cultural competency and quality management and improvement.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	1	0	4	4	4	4	4
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	218,769	236,729	245,811	245,845	243,384	247,092	247,130	244,669
Other Expenses	5,030	5,040	5,156	5,156	4,798	5,305	5,305	4,798
TOTAL - General Fund	223,799	241,769	250,967	251,001	248,182	252,397	252,435	249,467
TOTAL - All Funds	223,799	241,769	250,967	251,001	248,182	252,397	252,435	249,467

SHELTERED EMPLOYMENT

Statutory Reference

C.G.S. Section 17a-226.

Statement of Need and Program Objectives

To provide services for individuals for whom competitive employment at or above the minimum wage is unlikely absent the provision of supports, and who because of their disabilities, need supports to perform in a regular work setting. Sheltered Employment is generally contract work within the department.

Program Description

Sheltered employment programs are available through the department and the private sector and support adults with intellectual disability with contract work from industry and specialized skill training in service-oriented occupations. Vocational evaluation and individual service plans form the basis for training. This service is undergoing change as federal expectations move towards competitive employment.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	4	5	0	9	9	9	9	9
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	518,136	560,670	586,329	586,428	580,193	591,595	591,715	585,480
Other Expenses	985	988	1,010	1,010	988	1,040	1,040	988
<i>Pmts to Other than Local Govts</i>								
Employment Opportunities and Day Services	5,094,103	5,362,232	5,654,067	5,362,232	5,362,232	5,938,116	5,362,232	5,362,232
TOTAL - Pmts to Other than Local Govts	5,094,103	5,362,232	5,654,067	5,362,232	5,362,232	5,938,116	5,362,232	5,362,232
TOTAL - General Fund	5,613,224	5,923,890	6,241,406	5,949,670	5,943,413	6,530,751	5,954,987	5,948,700
TOTAL - All Funds	5,613,224	5,923,890	6,241,406	5,949,670	5,943,413	6,530,751	5,954,987	5,948,700

GROUP SUPPORTED EMPLOYMENT

Statutory Reference

C.G.S. Section 17a-226.

Statement of Need and Program Objectives

To provide ongoing supports for participants in a structured work environment, for individuals for whom competitive employment at or above the minimum wage is unlikely but are on the path to competitive

employment with some ongoing supports and need supports to perform in a regular work setting.

Program Description

Group supported employment offered employment training and supervision of small groups to 3,439 individuals with intellectual disability through manufacturing or service contracts within small business

settings in FY 2014. Individuals work in small groups at wage rates commensurate with those paid to the typical workforce. Supported employment services are

primarily offered by private agencies under contract with the department, but can also be self-directed.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	10	4	0	14	14	14	14	14
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	753,638	815,506	841,907	843,033	836,306	846,766	847,914	841,187
Other Expenses	4,483	4,493	4,596	4,596	4,389	4,729	4,729	4,389
<i>Pmts to Other than Local Govts</i>								
Employment Opportunities and Day Services	70,271,525	73,970,279	77,996,053	73,970,279	73,970,279	81,914,420	73,970,279	73,970,279
TOTAL - Pmts to Other than Local Govts	70,271,525	73,970,279	77,996,053	73,970,279	73,970,279	81,914,420	73,970,279	73,970,279
TOTAL - General Fund	71,029,646	74,790,278	78,842,556	74,817,908	74,810,974	82,765,915	74,822,922	74,815,855
TOTAL - All Funds	71,029,646	74,790,278	78,842,556	74,817,908	74,810,974	82,765,915	74,822,922	74,815,855

DAY SUPPORT OPTIONS

Statutory Reference

C.G.S. Sections 17a-217 and 17a-226.

Statement of Need and Program Objectives

To provide services to individuals tailored to their specific personal outcomes related to the acquisition, improvement and/or retention of skills and abilities to prepare and support an individual for work and/or community participation and/or meaningful retirement activities, or for an individual who has their own business, and could not do so without this direct support.

Program Description

Day support options offer adults with intellectual disability opportunities to experience valued adult roles, develop relationships and make personal choices while participating in leisure, recreation and volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Day support options are primarily offered by private agencies under contract with the department, but can also be self-directed. In FY 2014, there were 4,571 individuals who participated in day support options.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	82	31	0	113	113	113	113	113
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	6,484,483	7,016,812	7,299,036	7,302,572	7,234,365	7,350,174	7,353,912	7,285,705
Other Expenses	484,104	485,201	497,556	497,556	461,016	511,550	511,550	461,016
<i>Other Current Expenses</i>								
Clinical Services	5,049	5,519	5,647	5,519	5,519	5,810	5,519	5,519
TOTAL - Other Current Expenses	5,049	5,519	5,647	5,519	5,519	5,810	5,519	5,519
<i>Pmts to Other than Local Govts</i>								
Employment Opportunities and Day Services	129,926,037	136,764,716	144,208,028	147,217,631	136,016,631	151,452,754	157,799,131	138,525,131
TOTAL - Pmts to Other than Local Govts	129,926,037	136,764,716	144,208,028	147,217,631	136,016,631	151,452,754	157,799,131	138,525,131
TOTAL - General Fund	136,899,673	144,272,248	152,010,267	155,023,278	143,717,531	159,320,288	165,670,112	146,277,371
TOTAL - All Funds	136,899,673	144,272,248	152,010,267	155,023,278	143,717,531	159,320,288	165,670,112	146,277,371

INDIVIDUAL SUPPORTED EMPLOYMENT

Statutory Reference

C.G.S. Sections 17a-217 and 17a-226.

Statement of Need and Program Objectives

To provide intensive ongoing supports that enable participants, for whom competitive employment at or above the minimum wage is unlikely absent the provision of supports, and who because of their disabilities, need supports to perform in a regular work setting.

Program Description

Individual supported employment offers job development services, on-the-job training and

supervision in typical business settings with wage rates and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by private agencies under contract with the department. In FY 2014 there were 986 adults who participated in such programs.

The total number of people participating in supported employment (group or individual) grew to 4,425 in FY 2014, including adults who utilized individualized or self-directed supports to enter supported employment arrangements.

<i>Other Positions Equated to Full-Time General Fund</i>		FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended		
		0	0	0	0	0	0		
Financial Summary (Net of Reimbursements)		FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund									
Personal Services		49,500	53,564	53,564	53,564	53,564	53,564	53,564	53,564
<i>Pmts to Other than Local Govts</i>									
Employment Opportunities and Day Services		3,848,648	4,051,222	4,271,707	4,051,222	4,051,222	4,486,309	4,051,222	4,051,222
TOTAL - Pmts to Other than Local Govts		3,848,648	4,051,222	4,271,707	4,051,222	4,051,222	4,486,309	4,051,222	4,051,222
TOTAL - General Fund		3,898,148	4,104,786	4,325,271	4,104,786	4,104,786	4,539,873	4,104,786	4,104,786
TOTAL - All Funds		3,898,148	4,104,786	4,325,271	4,104,786	4,104,786	4,539,873	4,104,786	4,104,786

BIRTH TO THREE SYSTEM

Statutory Reference

C.G.S. Section 17a-248.

Statement of Need and Program Objectives

To provide administrative oversight of a statewide interagency Birth to Three System to ensure that eligible children and their families receive early intervention services.

Program Description

The system includes: policy setting, managing state and federal funding, contracting, quality assurance,

data management, complaint resolution, training and public awareness

The Birth to Three System received 8,306 referrals in FY 2014, a 1% decrease over FY 2013 and served 9,686 eligible children. Using 2013 census data, on a daily basis, the system served 4.11% of all children under the age of three.

Personnel Summary	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	2	0	0	2	2	0	2	0
Federal Funds	9	0	0	9	9	0	9	0

Financial Summary (Net of Reimbursements)	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	183,285	198,330	198,330	198,330	0	198,330	198,330	0
<u>Other Current Expenses</u>								
Early Intervention	39,511,737	39,186,804	42,343,559	39,186,804	0	43,207,598	39,186,804	0
TOTAL - Other Current Expenses	39,511,737	39,186,804	42,343,559	39,186,804	0	43,207,598	39,186,804	0
TOTAL - General Fund	39,695,022	39,385,134	42,541,889	39,385,134	0	43,405,928	39,385,134	0
<u>Additional Funds Available</u>								
Federal Contributions								
84027 Special Education Grants to States	999,604	1,028,390	1,000,000	1,000,000	0	1,000,000	1,000,000	0
84181 Special Education Grants for Infants	2,660,146	2,660,146	2,660,146	2,660,146	0	2,660,146	2,660,146	0
TOTAL - All Funds	43,354,772	43,073,670	46,202,035	43,045,280	0	47,066,074	43,045,280	0

COMMUNITY COMPANION HOMES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide individuals with intellectual disability the services and supports necessary to develop relationships, exercise personal choice, develop competence and participate in community life within a family environment.

Program Description

Community companion homes offer children and adults the opportunity to live within a family setting. Families are recruited, licensed and trained by department staff to share their home with one to three individuals with intellectual disability. In FY 2014, 388 persons with intellectual disability received supports in these settings.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	307	29	0	336	336	336	336	336
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	22,170,623	23,990,668	25,142,051	25,162,449	24,709,601	25,380,813	25,409,872	24,957,024
Other Expenses	141,466	141,786	145,073	145,073	134,698	149,267	149,267	134,698
<u>Other Current Expenses</u>								
Cooperative Placements Program	14,503,003	15,127,763	15,128,744	15,127,763	15,127,763	15,129,862	15,127,763	15,127,763
Voluntary Services	2,451,989	2,477,923	2,478,477	2,478,477	1,118,477	2,479,031	2,479,031	1,679,031
TOTAL - Other Current Expenses	16,954,992	17,605,686	17,607,221	17,606,240	16,246,240	17,608,893	17,606,794	16,806,794
<u>Pmts to Other than Local Govts</u>								
Community Residential Services	72,941,646	75,976,979	76,681,166	75,976,979	75,976,979	77,199,164	75,976,979	75,976,979
TOTAL - Pmts to Other than Local Govts	72,941,646	75,976,979	76,681,166	75,976,979	75,976,979	77,199,164	75,976,979	75,976,979
TOTAL - General Fund	112,208,727	117,715,119	119,575,511	118,890,741	117,067,518	120,338,137	119,142,912	117,875,495
TOTAL - All Funds	112,208,727	117,715,119	119,575,511	118,890,741	117,067,518	120,338,137	119,142,912	117,875,495

COMMUNITY LIVING ARRANGEMENTS

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide continuous supports to ensure the health and safety of individuals with intellectual disabilities in an environment licensed by DDS.

Program Description

Community residences operated by DDS regions or private agencies under contract with the department offer individuals with intellectual disability opportunities to live in typical community housing. Homes are small in size and generally serve six or fewer individuals. To capture federal reimbursement, the majority of people who receive this service are enrolled in the

Comprehensive Supports Home and Community-Based Services waiver.

A small number of homes are certified as Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/IID). In FY 2014, the total census was 3,742.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	487	116	0	603	603	603	603	603
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	53,930,995	58,358,336	60,751,805	60,730,650	59,732,140	61,134,409	61,115,904	60,117,394
Other Expenses	4,772,196	4,782,977	4,911,251	4,911,251	4,543,768	5,041,080	5,041,080	4,543,768
<u>Other Current Expenses</u>								
Cooperative Placements Program	7,542,323	7,867,231	8,970,155	8,429,959	8,429,959	10,226,728	8,362,684	8,362,684
Clinical Services	155,993	170,516	174,472	170,516	170,516	179,514	170,516	170,516
Workers' Compensation Claims	4,593,405	4,593,405	5,976,432	4,593,405	4,593,405	5,976,431	4,593,405	4,593,405
Voluntary Services	7,518,634	7,598,157	7,680,883	7,771,094	2,968,094	7,763,609	7,763,609	3,963,609
TOTAL - Other Current Expenses	19,810,355	20,229,309	22,801,942	20,964,974	16,161,974	24,146,282	20,890,214	17,090,214
<u>Pmts to Other than Local Govts</u>								
Rent Subsidy Program	14,724	15,087	15,087	15,087	15,087	15,087	15,087	15,087
Community Residential Services	304,746,369	317,427,831	346,077,953	344,022,993	339,760,493	367,152,921	364,306,892	352,246,559
TOTAL - Pmts to Other than Local Govts	304,761,093	317,442,918	346,093,040	344,038,080	339,775,580	367,168,008	364,321,979	352,261,646
TOTAL - General Fund	383,274,639	400,813,540	434,558,038	430,644,955	420,213,462	457,489,779	451,369,177	434,013,022
TOTAL - All Funds	383,274,639	400,813,540	434,558,038	430,644,955	420,213,462	457,489,779	451,369,177	434,013,022

CAMPUS UNITS

Statutory Reference

C.G.S. Sections 17a-210.

Statement of Need and Program Objectives

To provide settings for those individuals who require ICF level of care.

Program Description

The department operates regional campus facilities and one training school campus facility. Admissions to Southbury Training

School (STS) are closed. All regional campus units as well as STS are certified Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/IID) and are therefore eligible for 50% Medicaid reimbursement. The population of campus units has declined to 521 individuals including 335 residents of STS as of June 2014.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	967	287	0	1254	1254	1254	1254	1254
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	88,933,123	96,233,885	100,416,893	100,354,522	99,538,857	101,139,397	101,083,345	100,267,680
Other Expenses	9,067,166	9,087,654	9,310,275	9,310,275	8,633,000	9,581,287	9,581,287	8,633,000
<u>Other Current Expenses</u>								
Cooperative Placements Program	79,458	82,881	86,860	82,881	82,881	91,393	82,881	82,881
Clinical Services	451,694	493,749	505,204	493,749	201,909	519,804	493,749	201,909
Workers' Compensation Claims	10,717,944	10,652,630	10,652,630	10,652,630	10,652,630	10,652,630	10,652,630	10,652,630
Supplemental Payments for Medical Services	5,278,480	5,278,116	5,278,116	5,278,116	5,108,116	5,278,116	5,278,116	5,108,116
TOTAL - Other Current Expenses	16,527,576	16,507,376	16,522,810	16,507,376	16,045,536	16,541,943	16,507,376	16,045,536
TOTAL - General Fund	114,527,865	121,828,915	126,249,978	126,172,173	124,217,393	127,262,627	127,172,008	124,946,216
TOTAL - All Funds	114,527,865	121,828,915	126,249,978	126,172,173	124,217,393	127,262,627	127,172,008	124,946,216

OTHER PRIVATE FACILITIES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide temporary supports for individuals with significant behavioral needs.

Program Description

Other private facilities provide specialized services that are purchased from residential treatment facilities and residential schools for individuals with significant behavioral or medical conditions. The department's goal is to only utilize such settings as a temporary support and then support people to return to living in community settings.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	16	1	0	17	17	17	17	17
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	1,001,017	1,083,193	1,128,492	1,133,572	981,455	1,138,648	1,143,777	991,660
Other Expenses	13,853	13,884	14,204	14,204	13,229	14,612	14,612	13,229
Other Current Expenses								
Voluntary Services	6,916,565	6,989,720	7,047,491	7,047,491	2,700,491	7,105,262	7,105,262	3,765,262
TOTAL - Other Current Expenses	6,916,565	6,989,720	7,047,491	7,047,491	2,700,491	7,105,262	7,105,262	3,765,262
Pmts to Other than Local Govts								
Rent Subsidy Program	8,555	8,766	8,766	8,766	8,766	8,766	8,766	8,766
Employment Opportunities and Day Services	1,395,292	1,468,734	1,548,669	1,468,734	1,468,734	1,626,471	1,468,734	1,468,734
Community Residential Services	4,613,093	4,805,058	5,163,592	4,805,058	4,805,058	5,427,329	4,805,058	4,805,058
TOTAL - Pmts to Other than Local Govts	6,016,940	6,282,558	6,721,027	6,282,558	6,282,558	7,062,566	6,282,558	6,282,558
TOTAL - General Fund	13,948,375	14,369,355	14,911,214	14,477,825	9,977,733	15,321,088	14,546,209	11,052,709
TOTAL - All Funds	13,948,375	14,369,355	14,911,214	14,477,825	9,977,733	15,321,088	14,546,209	11,052,709

INDIVIDUALIZED HOME SUPPORTS

Statutory Reference

C.G.S. Sections 17a-218 and 17a-227 (d).

Statement of Need and Program Objectives

To offer a level of support to individuals with intellectual disability who typically need less than 24-hour support to allow for individuals to live in their own apartment or home. Employees of the department or contracted private agencies provide assistance to individuals so they can live as independently as possible.

Program Description

Supports include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships and leisure activities. The number of individuals who were supported through private contracted services or public services was 1,677 as of June 30, 2014. This service can also be self-directed.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	39	15	0	54	54	54	54	54
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	3,402,045	3,681,327	3,829,850	3,830,418	3,740,632	3,853,998	3,854,658	3,764,872
Other Expenses	92,749	92,957	95,691	95,691	88,340	98,149	98,149	88,340

<u>Other Current Expenses</u>								
Cooperative Placements Program	436,367	455,165	477,016	455,165	455,165	501,911	455,165	455,165
Voluntary Services	675,178	682,319	687,958	687,958	375,958	693,597	693,597	583,597
TOTAL - Other Current Expenses	1,111,545	1,137,484	1,164,974	1,143,123	831,123	1,195,508	1,148,762	1,038,762
<u>Pmts to Other than Local Govts</u>								
Rent Subsidy Program	5,002,948	5,126,359	5,126,359	5,126,359	5,106,359	5,126,359	5,126,359	5,106,359
Community Residential Services	28,649,909	29,842,122	32,068,823	29,842,122	29,842,122	33,706,779	29,842,122	29,842,122
TOTAL - Pmts to Other than Local Govts	33,652,857	34,968,481	37,195,182	34,968,481	34,948,481	38,833,138	34,968,481	34,948,481
TOTAL - General Fund	38,259,196	39,880,249	42,285,697	40,037,713	39,608,576	43,980,793	40,070,050	39,840,455
TOTAL - All Funds	38,259,196	39,880,249	42,285,697	40,037,713	39,608,576	43,980,793	40,070,050	39,840,455

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To plan, develop, implement and direct a comprehensive array of services for Connecticut's citizens with intellectual disability.

Program Description

Agency Management Services ensures that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals with intellectual disability through coordinated planning, systems improvement and standardization of best practices.

Personnel Summary

	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	395	98	0	493	493	488	493	488
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	0	0	0	0

Financial Summary

(Net of Reimbursements)

	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	32,887,314	35,587,123	38,444,403	37,464,250	35,491,573	38,720,998	37,763,936	35,788,953
Other Expenses	6,670,394	6,685,467	7,097,909	6,840,888	6,350,927	7,289,687	7,033,888	6,350,927
<u>Capital Outlay</u>								
Equipment	0	1	1	1	0	1	1	0
TOTAL - Capital Outlay	0	1	1	1	0	1	1	0
<u>Other Current Expenses</u>								
Human Resource Development	198,361	198,361	202,963	198,361	0	208,829	198,361	0
Family Support Grants	378	363	363	363	363	363	363	363
Clinical Services	234,796	256,654	262,608	256,654	256,654	270,198	256,654	256,654
Workers' Compensation Claims	6,159	0	1,407,402	0	0	2,955,630	0	0
Autism Services	7,526	14,232	18,068	14,232	14,232	23,041	14,232	14,232
TOTAL - Other Current Expenses	447,220	469,610	1,891,404	469,610	271,249	3,458,061	469,610	271,249
<u>Pmts to Other than Local Govts</u>								
Community Residential Services	9,000	9,374	10,074	9,374	9,374	10,589	9,374	9,374
TOTAL - Pmts to Other than Local Govts	9,000	9,374	10,074	9,374	9,374	10,589	9,374	9,374
<u>Nonfunctional - Change to Accruals</u>	8,596,531	2,764,167	2,764,167	2,764,167	0	2,764,167	2,764,167	0
TOTAL - General Fund	48,610,459	45,515,742	50,207,958	47,548,290	42,123,123	52,243,503	48,040,976	42,420,503
<u>Additional Funds Available</u>								
Private Funds	15,909	11,876	11,876	11,876	11,876	11,876	11,876	11,876
Special Non-Appropriated Funds	0	6,288,203	5,425,012	5,425,012	5,425,012	3,846,278	3,846,278	3,846,278
Federal Contributions								
84181 Special Education Grants for Infants	1,120,528	1,120,528	1,120,528	1,120,528	1,120,528	1,120,528	1,120,528	1,120,528
93630 Developmental Disabilities Basic Support	764,048	773,400	786,638	786,638	786,638	786,638	786,638	786,638
TOTAL - All Funds	50,510,944	53,709,749	57,552,012	54,892,344	49,467,177	58,008,823	53,806,296	48,185,823

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<u>Personal Services</u>						
Permanent Fulltime Positions	160,260,279	177,116,329	182,660,899	179,874,057	184,876,687	181,389,957
Other Positions	24,215,516	26,203,434	27,366,055	27,369,288	27,569,384	27,574,816
Other	11,502,923	8,747,381	13,417,982	8,744,178	13,448,077	8,744,178
Overtime	45,335,594	49,057,315	51,216,599	51,222,276	51,589,784	51,598,986
TOTAL - Personal Services	241,314,312	261,124,459	274,661,535	267,209,799	277,483,932	269,307,937
<u>Other Expenses</u>						
Advertising and Marketing	7,700	7,718	7,897	7,333	8,125	7,333
Agriculture, Horticulture, Dairy & Food	3,324,156	3,334,863	3,410,894	3,167,721	3,490,367	3,167,721
Books	37,182	34,459	35,252	32,929	36,273	32,929
Clothing and Personal Supplies	429,106	430,284	440,263	408,659	452,987	408,659
DP Services, Rentals and Maintenance	380,635	413,306	668,985	392,627	690,913	392,627
Dues and Subscriptions	63,568	63,710	65,185	60,818	67,070	60,818
Employee Fringe Benefits	4,572	221	221	221	221	221
Fees for Non-Professional Services	930,441	899,998	920,859	855,325	947,475	855,325
Fees for Outside Professional Services	539,351	528,800	541,060	502,284	556,698	502,284
Fuel	1,286,360	1,289,267	1,316,929	1,224,919	1,382,239	1,224,919
General Repairs	2,492,546	2,498,185	2,556,130	2,373,259	2,629,999	2,373,259
Maintenance and Motor Vehicle Supplies	3,026,416	3,033,256	3,125,359	2,881,990	3,202,708	2,881,990
Medical Supplies	208,843	209,350	214,212	198,916	220,411	198,916
Motor Vehicle Expenses	2,023,051	2,055,823	2,103,510	1,952,798	2,164,301	1,952,798
Office Supplies	655,406	656,337	680,084	622,942	685,504	622,942
Other Contractual Services	333,445	334,792	342,539	317,821	352,440	317,821
Postage	87,259	88,055	90,096	83,706	92,698	83,706
Printing & Binding	23,516	23,570	24,116	22,239	24,812	22,239
Rentals, Storage and Leasing	1,541,402	1,544,887	1,580,721	1,467,400	1,626,405	1,467,400
Sundry - Other Items	27,485	19,382	19,831	18,453	20,403	18,453
Telecommunication Services	1,166,866	1,170,727	1,197,879	1,112,211	1,232,500	1,112,211
Travel	604,738	596,817	610,649	567,496	628,300	567,496
Utility Services	2,750,455	2,760,278	2,840,409	2,622,314	2,914,378	2,622,314
TOTAL - Other Expenses	21,944,499	21,994,085	22,793,080	20,894,381	23,427,227	20,894,381
<u>Equipment</u>						
Equipment	0	1	1	0	1	0
TOTAL - Equipment	0	1	1	0	1	0
<u>Other Current Expenses</u>						
Human Resource Development	198,361	198,361	202,963	0	208,829	0
Family Support Grants	3,609,767	3,460,287	3,540,566	3,738,222	3,642,888	3,738,222
Cooperative Placements Program	22,991,678	23,982,113	25,133,406	24,544,841	26,445,086	24,477,566
Clinical Services	3,934,414	4,300,720	4,400,496	3,493,844	4,527,669	3,493,844
Early Intervention	39,511,737	39,186,804	42,343,559	0	43,207,598	0
Community Temporary Support Services	60,753	60,753	62,162	0	63,959	0
Community Respite Care Programs	527,828	558,137	571,086	0	587,590	0
Workers' Compensation Claims	15,317,508	15,246,035	18,036,464	15,246,035	19,584,691	15,246,035
Autism Services	1,394,704	2,637,528	3,348,510	2,552,272	4,270,204	2,848,961
Voluntary Services	32,376,861	32,719,305	32,989,735	12,986,713	33,260,165	18,889,987
Supplemental Payments for Medical Services	5,278,480	5,278,116	5,278,116	5,108,116	5,278,116	5,108,116
TOTAL - Other Current Expenses	125,202,091	127,628,159	135,907,063	67,670,043	141,076,795	73,802,731
<u>Pmts to Other Than Local Govts</u>						
Rent Subsidy Program	5,026,227	5,150,212	5,234,212	5,130,212	5,318,712	5,130,212
Family Reunion Program	78,800	82,349	84,260	0	86,694	0
Employment Opportunities & Day Svcs	212,127,955	223,293,347	235,445,912	222,545,262	247,274,248	225,053,762
Community Residential Services	440,306,473	458,629,020	492,850,101	480,961,682	518,023,054	493,447,748
TOTAL - Pmts to Other Than Local Govts	657,539,455	687,154,928	733,614,485	708,637,156	770,702,708	723,631,722
<u>Nonfunctional - Change to Accruals</u>	8,596,531	2,764,167	2,764,167	0	2,764,167	0

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	241,314,312	261,124,459	274,661,535	272,798,153	267,209,799	277,483,932	274,898,597	269,307,937
Other Expenses	21,944,499	21,994,085	22,793,080	22,536,059	20,894,381	23,427,227	23,171,428	20,894,381
Capital Outlay	0	1	1	1	0	1	1	0
Other Current Expenses	125,202,091	127,628,159	135,907,063	128,653,603	67,670,043	141,076,795	128,766,547	73,802,731
Pmts to Other than Local Govts	657,539,455	687,154,928	733,614,485	724,203,005	708,637,156	770,702,708	755,068,404	723,631,722
Nonfunctional - Change to Accruals	8,596,531	2,764,167	2,764,167	2,764,167	0	2,764,167	2,764,167	0
TOTAL - General Fund	1,054,596,888	1,100,665,799	1,169,740,331	1,150,954,988	1,064,411,379	1,215,454,830	1,184,669,144	1,087,636,771
Federal and Other Activities	5,572,553	5,610,691	5,595,539	5,595,539	1,935,393	5,595,539	5,595,539	1,935,393
Private Funds	47,775	41,876	41,876	41,876	41,876	41,876	41,876	41,876
Special Non-Appropriated Funds	0	6,288,203	5,425,012	5,425,012	5,425,012	3,846,278	3,846,278	3,846,278
TOTAL - All Funds Net	1,060,217,216	1,112,606,569	1,180,802,758	1,162,017,415	1,071,813,660	1,224,938,523	1,194,152,837	1,093,460,318

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

[HTTP://WWW.DMHAS.STATE.CT.US/](http://www.dmhas.state.ct.us/)

AGENCY DESCRIPTION

The Department of Mental Health and Addiction Services is responsible for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The service and management objectives are to develop comprehensive, accessible and locally-based recovery-oriented systems of care; promote input from people receiving services, families and advocates; address the

special needs of people needing care; emphasize public safety and risk management; promote an interactive system of public and private care; apply evidence-based models to care management and delivery; assure accountability for both state and private providers; manage services in the context of healthcare and welfare reform; and enhance strategic planning and collaboration across state agencies.

AGENCY PROGRAM INDEX

Emergency / Crisis	Outpatient
Special Programs	Residential Treatment
Housing	Mental Health Inpatient
Forensic Inpatient	Substance Abuse Inpatient
Case Management	Rehabilitation
Ancillary Services	Advocacy and Prevention
Education and Training	Research
Agency Management Services	

RECOMMENDED SIGNIFICANT CHANGES

	2015-2016	2016-2017
Reductions		
• Annualize FY 2015 Rescissions	-4,557,108	-4,557,108
• Reduce Caseload Growth	-3,052,178	-3,052,178
• Remove or Limit Inflation	-1,080,475	-2,348,402
• Reduce Funding for Research Activities at Connecticut Mental Health Center	-785,433	-785,433
• Reduce Funding for Regional Action Councils and Governor's Prevention Partnership <i>Up to \$365,000 in the Pre-Trial Education program account shall be available for the Regional Action Councils and Governor's Prevention Partnership.</i>	-736,250	-736,250
• Eliminate Gatekeeper Program	-718,158	-718,158
• Reduce Funding for Regional Mental Health Boards	-584,673	-584,673
• Reduce Funding for Legal Services	-400,000	-400,000
• Eliminate Funding for New Pilot Program for Alcohol-Dependent Individuals	-100,000	-100,000
• Fund Equipment Through CEPF	-1	-1
	2015-2016	2016-2017
Current Services		
• Fund Caseload Growth <i>Funding supports a 4% growth rate each year in the General Assistance Managed Care account; 50 net new clients in Young Adult Services each year of the biennium; 50 placements for individuals leaving inpatient settings; and \$3.1 million in FY 2016 and \$4.2 million in FY 2017 respectively to support Mental Health Waiver slots and Money Follows the Person placements in the Home and Community Based Services account over the biennium.</i>	13,006,988	28,812,184
• Annualize Funding for the Governor's Mental Health Initiative	3,000,000	3,000,000
• Annualize Wrap-Around Services for 100 Units of Supportive Housing	500,000	500,000
• Reallocate Funding for the Acquired Brain Injury Waiver to the Department of Social Services <i>Reflects funding for 18 clients who will be enrolled onto ABI Waiver II.</i>	-3,085,450	-3,085,450
• Reallocate Funding to Staff the Central Contracting Unit	555,211	555,211

Reflects a reallocation of seven positions and associated funding from the Department of Social Services to support the Central Contracting Unit.

	<u>2015-2016</u>	<u>2016-2017</u>	
Reallocations			
• Consolidate Statewide Appropriations for Estimated Change in Accruals	-2,201,244	-2,201,244	
• Consolidate Substance Abuse Programming Under DMHAS	351,574	351,574	
<i>Funding from the Department of Veterans' Affairs is transferred to DMHAS to consolidate substance abuse programming under one agency. Five positions and associated funding will be transferred to support this change.</i>			
• Fund a Portion of Persistent Violent Felony Offenders Programming Through Pre-Trial Education Receipts	-175,235	-175,235	
	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-2018</u>
Expansions			
• Expand the Connecticut Collaboration on Re-Entry Initiative as Part of the Governor's Second Chance Society Initiative	1,000,000	2,000,000	2,000,000
<i>Funds wrap-around services for an additional 100 supportive housing units in each year of the biennium.</i>			
• Fund Wrap-Around Services for Chronically Homeless Individuals as Part of the Zero:2016 Initiative	1,000,000	1,000,000	1,000,000

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3029	280	0	3309	3433	3438	3433	3433
Federal Funds	12	2	0	14	12	12	12	12

Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Agency Management Services	85,266,251	64,013,035	68,239,495	67,045,906	63,947,811	69,565,526	67,675,571	64,232,005
Emergency / Crisis	23,076,521	24,646,858	25,298,269	25,299,600	25,199,838	25,399,800	25,401,221	25,271,111
Outpatient	132,861,141	80,742,348	82,088,823	82,007,196	81,308,663	82,251,203	82,162,202	81,356,985
Special Programs	51,177,167	58,742,008	60,223,664	60,370,124	60,682,347	60,675,692	60,857,466	62,069,871
Residential Treatment	80,150,453	85,484,637	92,657,506	84,237,677	83,852,624	100,210,938	92,317,173	91,805,815
Housing	108,412,788	117,798,135	128,267,968	128,250,927	125,510,194	134,701,504	134,841,730	132,086,972
Mental Health Inpatient	60,915,669	42,545,747	46,608,128	45,885,092	45,453,525	47,527,922	46,767,950	46,144,224
Forensic Inpatient	31,222,239	38,571,852	41,772,147	41,761,347	41,332,468	42,584,980	42,576,261	41,969,272
Substance Abuse Inpatient	19,439,372	17,014,710	18,360,796	18,351,509	18,168,637	18,707,530	18,689,871	18,431,182
Case Management	74,482,935	91,855,260	97,963,379	98,884,459	96,335,136	105,271,679	100,991,227	98,375,973
Rehabilitation	30,774,494	32,501,625	33,108,622	33,114,003	32,977,765	33,152,502	33,158,981	33,011,311
Ancillary Services	2,291,250	1,813,140	1,829,725	1,826,393	1,822,715	1,837,932	1,830,782	1,825,247
Advocacy and Prevention	16,830,404	17,425,405	17,379,686	17,375,118	16,301,104	17,352,059	17,347,311	16,264,704
Education and Training	1,803,250	1,623,589	1,646,797	1,646,882	1,573,369	1,037,054	1,037,160	962,485
Research	5,103,424	5,950,704	5,749,109	5,749,482	5,300,338	5,237,189	5,237,629	4,778,270
TOTAL Agency Programs - All Fund Gross	723,807,358	680,729,053	721,194,114	711,805,715	699,766,534	745,513,510	730,892,535	718,585,427

Summary of Funding

General Fund	658,354,905	614,939,803	659,181,574	649,793,175	637,753,994	684,544,448	669,923,473	657,616,365
Insurance Fund	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000
Federal Funds	46,119,352	44,502,795	42,567,798	42,567,798	42,567,798	42,055,768	42,055,768	42,055,768
Private Funds	18,898,101	20,851,455	19,009,742	19,009,742	19,009,742	18,478,294	18,478,294	18,478,294
TOTAL Agency Programs - All Funds Net	723,807,358	680,729,053	721,194,114	711,805,715	699,766,534	745,513,510	730,892,535	718,585,427

EMERGENCY/CRISIS SERVICES

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

Program Description

Available 24 hours a day, 7 days a week, emergency mental health workers rapidly assess and treat individuals and families through face-to-face and hotline telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in

crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis intervention centers also include short-term crisis

beds and short-term respite beds. Follow-up treatment is arranged as necessary.

Program Measure	FY 2014	FY 2015	FY 2016	FY 2017
	Actual	Estimated	Projected	Projected
Crisis Counseling				
Clients Served	8,774	8,774	8,774	8,774
Crisis/Respite beds				
Beds Crisis/Jail Div./Sub-Acute	43	43	43	43
Clients Served	1,320	1,320	1,320	1,320
Crisis/Jail Diversion				
Clients Served	4,324	4,324	4,324	4,324

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	97	9	0	106	106	106	106	106

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended

General Fund								
Personal Services	11,879,401	12,391,588	12,963,612	12,965,034	12,916,734	13,075,524	13,077,169	13,028,869
Other Expenses	524,523	1,034,659	1,058,955	1,058,955	1,007,493	1,089,303	1,089,303	1,007,493
<u>Other Current Expenses</u>								
Housing Supports and Services	19,696	19,696	19,696	19,696	19,696	19,696	19,696	19,696
Managed Service System	2,275,349	2,231,300	2,236,187	2,236,206	2,236,206	2,237,650	2,237,675	2,237,675
Professional Services	18,833	17,036	17,036	17,036	17,036	17,036	17,036	17,036
General Assistance Managed Care	101,679	1,937	2,131	2,021	2,021	2,344	2,095	2,095
Young Adult Services	331,255	331,255	331,255	331,255	331,255	331,255	331,255	331,255
Jail Diversion	159,603	159,342	159,342	159,342	159,342	159,342	159,342	159,342
Behavioral Health Medications	1,392	0	0	0	0	0	0	0
Prison Overcrowding	98,907	0	0	0	0	0	0	0
Discharge and Diversion Services	283,817	283,817	283,817	283,817	283,817	283,817	283,817	283,817
TOTAL - Other Current Expenses	3,290,531	3,044,383	3,049,464	3,049,373	3,049,373	3,051,140	3,050,916	3,050,916
<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	421,183	421,183	421,183	421,183	421,183	421,183	421,183	421,183
Grants for Mental Health Services	5,146,705	5,950,551	5,950,551	5,950,551	5,950,551	5,950,551	5,950,551	5,950,551
TOTAL - Pmts to Other than Local Govts	5,567,888	6,371,734	6,371,734	6,371,734	6,371,734	6,371,734	6,371,734	6,371,734
TOTAL - General Fund	21,262,343	22,842,364	23,443,765	23,445,096	23,345,334	23,587,701	23,589,122	23,459,012
<u>Additional Funds Available</u>								
Federal Contributions								
93003 Public Health & Social Services Emer	51,178	25,000	25,000	25,000	25,000	25,000	25,000	25,000
93243 Substance Abuse & Mental Health Services	93,736	257,778	257,788	257,788	257,788	215,383	215,383	215,383
93958 Block Grants for Community Mental Health	1,614,711	1,467,163	1,517,163	1,517,163	1,517,163	1,517,163	1,517,163	1,517,163
93959 Block Grants for Prevention & Treatment	54,553	54,553	54,553	54,553	54,553	54,553	54,553	54,553
TOTAL - All Funds	23,076,521	24,646,858	25,298,269	25,299,600	25,199,838	25,399,800	25,401,221	25,271,111

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment through

individual, group or family therapy and medication support when necessary.

Program Description

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who

might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which individuals are located to best serve persons who are elderly, are members of minorities, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and therapist and is tailored to the

recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and methadone maintenance.

Program Measure	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected				
MH Outpatient								
Unduplicated clients	39,048	42,953	47,248	51,973				
MH Intensive Outpatient Services								
Unduplicated clients	575	633	696	765				
Methadone Maintenance								
Number of Slots	8,121	8,933	9,826	10,809				
Clients Served	13,808	15,189	16,708	18,378				
Ambulatory Drug Detox								
Number of Slots	83	83	83	83				
Clients Served	87	96	105	116				
Addiction Outpatient SO and PNP								
Number of Slots	3,991	4,390	4,829	5,312				
Clients Served	16,634	18,297	20,127	22,140				
Addiction Standard IOP								
Number of Slots	231	254	280	307				
Clients Served	2,981	3,279	3,607	3,968				
Addiction PHP								
Number of Slots	100	110	121	133				
Clients Served	730	803	883	972				
Personnel Summary								
	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	362	19	0	381	381	381	381	381
Federal Funds	4	0	0	4	4	4	4	4
Financial Summary (Net of Reimbursements)								
	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	33,791,375	36,012,038	37,724,212	37,714,945	37,574,577	38,021,094	38,014,854	37,874,486
Other Expenses	3,288,801	3,638,135	4,881,875	4,819,875	4,638,770	5,024,848	4,962,848	4,675,059
<u>Other Current Expenses</u>								
Managed Service System	7,041,885	7,394,052	9,644,052	7,394,052	7,394,052	9,644,052	7,394,052	7,394,052
Connecticut Mental Health Center	4,736,991	4,847,194	4,847,194	4,847,194	4,604,836	4,847,194	4,847,194	4,604,836
Professional Services	292,543	264,628	264,628	264,628	264,628	264,628	264,628	264,628
General Assistance Managed Care	44,091,745	1,287,778	1,287,778	1,287,778	1,287,778	1,287,778	1,287,778	1,287,778
Young Adult Services	5,028,592	5,028,592	5,028,592	5,028,592	5,028,592	5,028,592	5,028,592	5,028,592
TBI Community Services	65,981	73,623	78,335	78,352	77,467	79,753	79,775	78,890
Jail Diversion	190	0	0	0	0	0	0	0
Behavioral Health Medications	133,241	0	0	0	0	0	0	0
Prison Overcrowding	106,349	0	0	0	0	0	0	0
Discharge and Diversion Services	240,209	244,904	244,904	244,904	244,904	244,904	244,904	244,904
Home and Community Based Services	569,569	755,349	765,726	755,349	717,582	776,132	755,349	717,582
Persistent Violent Felony Offenders Act	96,050	96,050	96,050	96,050	0	96,050	96,050	0
TOTAL - Other Current Expenses	62,403,345	19,992,170	22,257,259	19,996,899	19,619,839	22,269,083	19,998,322	19,621,262
<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	7,306,128	4,701,514	4,701,514	4,701,514	4,701,514	4,701,514	4,701,514	4,701,514
Grants for Mental Health Services	13,119,780	4,571,238	4,571,238	4,571,238	4,571,238	4,571,238	4,571,238	4,571,238
TOTAL - Pmts to Other than Local Govts	20,425,908	9,272,752	9,272,752	9,272,752	9,272,752	9,272,752	9,272,752	9,272,752
TOTAL - General Fund	119,909,429	68,915,095	74,136,098	71,804,471	71,105,938	74,587,777	72,248,776	71,443,559

Insurance Fund*Other Current Expenses*

Managed Service System	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000
TOTAL - Other Current Expenses	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000
TOTAL - Insurance Fund	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000

Additional Funds Available

Private Funds	2,465,937	3,286,044	2,532,000	2,532,000	2,532,000	2,532,000	2,532,000	2,532,000
Federal Contributions								
93243 Substance Abuse & Mental Health Services	503,338	855,738	664,399	664,399	664,399	375,100	375,100	375,100
93275 Substance Abuse & Mental Health Services	3,447,518	834,091	0	0	0	0	0	0
93667 Social Services Block Grant	586,152	583,253	583,253	583,253	583,253	583,253	583,253	583,253
93958 Block Grants for Community Mental Health	661,598	905,031	1,059,977	1,059,977	1,059,977	1,059,977	1,059,977	1,059,977
93959 Block Grants for Prevention & Treatment	4,852,169	4,928,096	4,928,096	4,928,096	4,928,096	4,928,096	4,928,096	4,928,096
TOTAL - All Funds	132,861,141	80,742,348	84,338,823	82,007,196	81,308,663	84,501,203	82,162,202	81,356,985

SPECIAL PROGRAMS**Statutory Reference**

C.G.S. Sections 17a-450, 17a-476, 17a-560 and 17a-576.

Statement of Need and Program Objectives

This program consists of several distinct services serving different populations.

These services are designed to assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf and hearing impaired and suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

To reduce incarceration of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration when appropriate.

Program Description

Forensic Services are provided through court clinics located in New Haven, Bridgeport, Newington and Norwich. The service recipient and therapist design the treatment plan for outpatient services which is tailored to the service recipient's needs. Outpatient treatment (including prescription and monitoring of medication)

for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for compulsive gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and chemical maintenance. The court clinics are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, social work and nursing students. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high-risk individuals with severe mental illness.

Deaf and Hearing Impaired Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide services, operates a network of consultative services, develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for people with ABI/TBI consist primarily of case management services and residential supports which are closely linked to the neuropsychiatry

service at Connecticut Valley Hospital and the ABI services of the Department of Social Services. Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided

on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Special Populations/Transitional Youth Unduplicated Clients	1,146	1,197	1,249	1,300

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	356	41	0	397	397	402	397	397
Federal Funds	0	2	0	2	0	0	0	0
Other Positions Equated to Full-Time								
General Fund			0	0	0	0	0	0
Federal Funds			0	0	0	0	0	0

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	3,217,840	3,428,686	3,605,929	3,602,389	3,940,598	3,635,819	3,632,226	3,970,435
Other Expenses	162,642	3,404,116	3,484,209	3,484,209	3,314,763	3,584,027	3,584,027	3,314,763
Other Current Expenses								
Housing Supports and Services	0	0	0	0	2,000,000	0	0	3,000,000
Managed Service System	1,140,128	796,211	802,896	802,922	702,922	804,970	805,005	705,005
Connecticut Mental Health Center	736,138	753,264	753,264	753,264	340,602	753,264	753,264	340,602
Professional Services	1,248,303	1,129,303	1,129,303	1,129,303	1,129,303	1,129,303	1,129,303	1,129,303
Young Adult Services	31,470,550	36,100,329	36,916,822	37,604,789	37,604,789	37,228,887	37,952,002	37,952,002
TBI Community Services	1,573,421	1,638,799	1,678,589	1,678,742	1,659,047	1,689,195	1,689,389	1,669,694
Jail Diversion	3,664,743	3,774,215	3,874,580	3,874,965	3,864,965	3,897,024	3,897,495	3,887,495
Behavioral Health Medications	-263	0	0	0	0	0	0	0
Prison Overcrowding	3,635,294	3,957,784	4,038,544	4,038,856	3,578,858	4,060,175	4,060,570	3,600,572
Discharge and Diversion Services	797,549	0	0	0	0	0	0	0
Home and Community Based Services	4,000	0	0	0	0	0	0	0
Persistent Violent Felony Offenders Act	573,614	579,185	579,185	579,185	500,000	579,185	579,185	500,000
Pre-Trial Account	349,997	775,000	775,000	775,000	0	775,000	775,000	0
TOTAL - Other Current Expenses	45,193,474	49,504,090	50,548,183	51,237,026	51,380,486	50,917,003	51,641,213	52,784,673
Pmts to Other than Local Govts								
Employment Opportunities	55,000	0	0	0	0	0	0	0
TOTAL - Pmts to Other than Local Govts	55,000	0	0	0	0	0	0	0
TOTAL - General Fund	48,628,956	56,336,892	57,638,321	58,323,624	58,635,847	58,136,849	58,857,466	60,069,871
Additional Funds Available								
Private Funds	2,312,224	2,314,107	2,046,500	2,046,500	2,046,500	2,000,000	2,000,000	2,000,000
Federal Contributions								
14238 Shelter Plus Care	4,113	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	231,874	91,009	0	0	0	0	0	0
TOTAL - All Funds	51,177,167	58,742,008	59,684,821	60,370,124	60,682,347	60,136,849	60,857,466	62,069,871

RESIDENTIAL TREATMENT

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and or addiction disorders by ensuring clean, safe and affordable living

environments are available for learning and re-learning rehabilitative skills necessary for independent living.

Program Description

Mental health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported

apartment programs as well as transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with the independence of the living environment, include staff supervision, counseling, follow-up support to assure residents receive needed community support and psychiatric services.

Substance abuse residential services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of

the withdrawal from alcohol and drugs. Substance abuse counseling is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug free lifestyle. Services include recovery houses, halfway houses and residential drug-free programs, including a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Program Measure	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Group Homes				
Beds	173	173	173	173
Unduplicated clients	244	244	244	244
Community Based Residential Rehabilitation: PNP				
Number of Beds	1,789	1,789	1,789	1,789
Clients Served	7,985	8,784	9,662	10,628
Residential Detoxification: PNP				
Number of Beds	128	128	128	128
Clients Served	7,163	7,879	8,667	9,534
Long Term Care 3.3				
Number of Beds	50	50	50	50
Clients Served	168	185	203	224

Personnel Summary	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	44	1	0	45	45	45	45	45
Other Positions Equated to Full-Time								
General Fund			0	0	0	0	0	0

Financial Summary (Net of Reimbursements)	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	4,291,098	4,476,953	4,678,445	4,677,033	4,659,584	4,712,772	4,711,744	4,694,295
Other Expenses	157,518	463,277	474,129	474,129	451,117	487,716	487,716	451,117
Other Current Expenses								
Housing Supports and Services	25,000	32,721	32,721	32,721	32,721	32,721	32,721	32,721
Managed Service System	5,176,209	4,840,250	4,840,250	4,840,250	4,840,250	4,840,250	4,840,250	4,840,250
General Assistance Managed Care	25,340,374	24,522,624	26,952,393	25,533,302	25,533,302	29,475,853	26,447,307	26,447,307
Young Adult Services	977,098	1,048,258	1,048,258	1,048,258	1,048,258	1,048,258	1,048,258	1,048,258
TBI Community Services	9,306,205	14,387,258	14,949,758	8,301,808	8,128,899	16,262,258	8,301,808	8,128,899
Jail Diversion	39,391	39,391	39,391	39,391	39,391	39,391	39,391	39,391
Prison Overcrowding	223,347	227,006	227,006	227,006	227,006	227,006	227,006	227,006
Medicaid Adult Rehabilitation Option	4,803,175	4,803,175	4,913,682	4,926,841	4,816,334	5,026,400	5,026,400	4,803,175
Discharge and Diversion Services	2,252,867	3,941,800	7,316,800	7,316,800	7,316,800	10,216,800	10,216,800	10,216,800
Home and Community Based Services	552,171	1,223,517	1,822,643	1,458,108	1,396,932	2,479,483	5,575,742	5,514,566
TOTAL - Other Current Expenses	48,695,837	55,066,000	62,142,902	53,724,485	53,379,893	69,648,420	61,755,683	61,298,373
Pmts to Other than Local Govts								
Grants for Substance Abuse Services	7,455,085	6,241,713	6,241,713	6,241,713	6,241,713	6,241,713	6,241,713	6,241,713
Grants for Mental Health Services	4,326,425	4,253,371	4,253,371	4,253,371	4,253,371	4,253,371	4,253,371	4,253,371
TOTAL - Pmts to Other than Local Govts	11,781,510	10,495,084	10,495,084	10,495,084	10,495,084	10,495,084	10,495,084	10,495,084
TOTAL - General Fund	64,925,963	70,501,314	77,790,560	69,370,731	68,985,678	85,343,992	77,450,227	76,938,869

Additional Funds Available

Private Funds	9,498,198	9,506,907	9,506,907	9,506,907	9,506,907	9,506,907	9,506,907	9,506,907
Federal Contributions								
93667 Social Services Block Grant	1,238,523	898,892	782,515	782,515	782,515	782,515	782,515	782,515
93959 Block Grants for Prevention & Treatment	4,487,769	4,577,524	4,577,524	4,577,524	4,577,524	4,577,524	4,577,524	4,577,524
TOTAL - All Funds	80,150,453	85,484,637	92,657,506	84,237,677	83,852,624	100,210,938	92,317,173	91,805,815

HOUSING

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to support individuals through

their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the Next Steps Supportive Housing Collaborative, the federally funded Shelter Plus Care program, Recovery Houses and sober housing.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Shelters				
DMHAS funded shelters	6	6	6	6
Static Capacity (point in time)	206	206	206	206
Clients Served	1,111	1,111	1,111	1,111
Mental Health Supervised Apartments				
Beds/Capacity	664	664	664	664
Unduplicated clients	854	854	854	854
Mental Health Supportive Housing (Case Management)				
Capacity	1,946	1,946	1,946	1,946
Unduplicated clients	2,224	2,224	2,224	2,224

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	43	2	0	45	96	96	96	96

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	2,424,077	2,578,383	2,691,586	2,690,673	2,680,624	2,709,120	2,708,465	2,698,416
Other Expenses	107,024	478,332	489,539	489,539	465,783	503,564	503,564	465,783
<u>Other Current Expenses</u>								
Housing Supports and Services	15,364,462	20,117,423	20,117,423	20,617,423	20,617,423	20,117,423	20,617,423	20,617,423
Managed Service System	15,165,567	15,512,965	19,654,744	18,512,965	18,512,965	19,654,744	18,512,965	18,512,965
Connecticut Mental Health Center	43,454	44,465	44,465	44,465	42,240	44,465	44,465	42,240
Professional Services	85,103	76,982	76,982	76,982	76,982	76,982	76,982	76,982
General Assistance Managed Care	5,235,050	6,615,050	7,015,050	6,765,940	6,765,940	7,015,050	6,922,866	6,922,866
Young Adult Services	22,294,090	22,679,970	29,547,722	29,530,704	26,838,524	34,953,585	34,936,650	32,244,470
Jail Diversion	62,594	62,594	62,594	62,594	62,594	62,594	62,594	62,594
Prison Overcrowding	834,634	811,116	811,116	811,116	811,116	811,116	811,116	811,116
Discharge and Diversion Services	11,925,193	14,121,448	15,131,712	15,131,712	15,131,712	15,131,712	15,131,712	15,131,712
Home and Community Based Services	250,466	250,466	250,466	250,466	237,943	250,466	250,466	237,943
TOTAL - Other Current Expenses	71,260,613	80,292,479	92,712,274	91,804,367	89,097,439	98,118,137	97,367,239	94,660,311
<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	1,541,769	1,553,040	1,553,040	1,553,040	1,553,040	1,553,040	1,553,040	1,553,040
Grants for Mental Health Services	20,067,056	19,671,234	19,671,234	19,671,234	19,671,234	19,671,234	19,671,234	19,671,234
TOTAL - Pmts to Other than Local Govts	21,608,825	21,224,274	21,224,274	21,224,274	21,224,274	21,224,274	21,224,274	21,224,274
TOTAL - General Fund	95,400,539	104,573,468	117,117,673	116,208,853	113,468,120	122,555,095	121,803,542	119,048,784
<u>Additional Funds Available</u>								
Private Funds	863,086	1,751,981	1,249,681	1,249,681	1,249,681	1,249,681	1,249,681	1,249,681

Federal Contributions									
14235 Supportive Housing Program	1,337,565	136,107	0	0	0	0	0	0	0
14238 Shelter Plus Care	9,159,573	757,799	0	0	0	0	0	0	0
14267 Continuum Of Care Program	0	7,411,537	7,409,202	7,409,202	7,409,202	8,500,000	8,500,000	8,500,000	8,500,000
93243 Substance Abuse & Mental Health Services	378,736	1,878,736	2,094,684	2,094,684	2,094,684	2,000,000	2,000,000	2,000,000	2,000,000
93667 Social Services Block Grant	404,739	404,764	404,764	404,764	404,764	404,764	404,764	404,764	404,764
93958 Block Grants for Community Mental Health	108,924	108,924	108,924	108,924	108,924	108,924	108,924	108,924	108,924
93959 Block Grants for Prevention & Treatment	759,626	774,819	774,819	774,819	774,819	774,819	774,819	774,819	774,819
TOTAL - All Funds	108,412,788	117,798,135	129,159,747	128,250,927	125,510,194	135,593,283	134,841,730	132,086,972	

MENTAL HEALTH INPATIENT SERVICES

Statutory Reference

C.G.S. Section 17a-458.

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

Program Description

There are three DMHAS facilities offering inpatient mental health services (Connecticut Valley Hospital (CVH), Connecticut Mental Health Center and Greater

Bridgeport Community Mental Health Center), each providing services to a wide range of psychiatrically disabled adults. CVH provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services include Geriatrics, Traumatic/Acquired Brain Injury, Cognitive Rehabilitation and Dialectical Behavior Training.

Program Measure	FY 2014	FY 2015	FY 2016	FY 2017
	Actual	Estimated	Projected	Projected
Southwest Connecticut Mental Health System - Bridgeport Mental Health Division				
Beds	42	42	42	42
Average Daily Census	42	42	42	42
Admissions	60	60	60	60
Discharges	61	61	61	61
Unduplicated Clients	83	83	83	83
Connecticut Mental Health Center				
Beds	32	32	32	32
Average Daily Census	26.0	26.0	26.0	26.0
Admissions	219	219	219	219
Discharges	221	221	221	221
Unduplicated Clients	175	175	175	175
Connecticut Valley Hospital - Mental Health Division				
Beds	230	230	230	230
Average Daily Census	217.0	217.0	217.0	217.0
Admissions	236	236	236	236
Discharges	248	248	248	248
Unduplicated Clients	349	349	349	349

Personnel Summary

Permanent Full-Time Positions	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	624	56	0	680	680	680	680	680

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	29,115,057	31,527,014	34,730,020	34,712,124	34,589,237	35,422,398	35,402,823	35,279,936
Other Expenses	-1,510,992	3,953,238	4,812,613	4,107,473	3,849,461	5,040,029	4,299,632	3,849,461
Other Current Expenses								
Managed Service System	919,427	1,506,976	1,506,976	1,506,976	1,506,976	1,506,976	1,506,976	1,506,976
Connecticut Mental Health Center	990,298	1,013,337	1,013,337	1,013,337	962,669	1,013,337	1,013,337	962,669
Professional Services	1,498,287	1,355,315	1,355,315	1,355,315	1,355,315	1,355,315	1,355,315	1,355,315
General Assistance Managed Care	26,722,558	0	0	0	0	0	0	0
Behavioral Health Medications	-9,779	0	0	0	0	0	0	0
Discharge and Diversion Services	729,304	766,610	766,610	766,610	766,610	766,610	766,610	766,610
Nursing Home Contract	422,989	485,000	485,000	485,000	485,000	485,000	485,000	485,000
TOTAL - Other Current Expenses	31,273,084	5,127,238	5,127,238	5,127,238	5,076,570	5,127,238	5,127,238	5,076,570
Pmts to Other than Local Govts								
Grants for Mental Health Services	824,830	900,521	900,521	900,521	900,521	900,521	900,521	900,521
TOTAL - Pmts to Other than Local Govts	824,830	900,521	900,521	900,521	900,521	900,521	900,521	900,521
TOTAL - General Fund	59,701,979	41,508,011	45,570,392	44,847,356	44,415,789	46,490,186	45,730,214	45,106,488
Additional Funds Available								
Private Funds	1,213,690	1,037,736	1,037,736	1,037,736	1,037,736	1,037,736	1,037,736	1,037,736
TOTAL - All Funds	60,915,669	42,545,747	46,608,128	45,885,092	45,453,525	47,527,922	46,767,950	46,144,224

FORENSIC INPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-560 through 17a-576.

Statement of Need and Program Objectives

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

The Whiting Forensic Division of CVH consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Connecticut Valley Hospital - Forensic Division				
Beds	232	232	232	232
Average Daily Census	225	225	225	225
Admissions	479	479	479	479
Discharges	474	474	474	474
Unduplicated Clients	475	475	475	475

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	611	45	0	656	656	656	656	656

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	29,845,739	32,447,654	35,504,998	35,494,198	35,367,722	36,139,721	36,131,002	36,004,526
Other Expenses	1,262,726	6,074,113	6,217,064	6,217,064	5,914,661	6,395,174	6,395,174	5,914,661
<u>Other Current Expenses</u>								
Professional Services	55,369	50,085	50,085	50,085	50,085	50,085	50,085	50,085
Prison Overcrowding	6,708	0	0	0	0	0	0	0
TOTAL - Other Current Expenses	62,077	50,085	50,085	50,085	50,085	50,085	50,085	50,085
TOTAL - General Fund	31,170,542	38,571,852	41,772,147	41,761,347	41,332,468	42,584,980	42,576,261	41,969,272
<u>Additional Funds Available</u>								
Private Funds	51,697	0	0	0	0	0	0	0
TOTAL - All Funds	31,222,239	38,571,852	41,772,147	41,761,347	41,332,468	42,584,980	42,576,261	41,969,272

SUBSTANCE ABUSE INPATIENT

Statutory Reference

C.G.S. Section 17a-635(4).

Statement of Need and Program Objectives

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

Program Description

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services

involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24 hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services

offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Blue Hills Campus				
Number of Beds (Detox and Rehab)	41	41	41	41
Patient Days (Detox and Rehab)	13,293	13,293	13,293	13,293
Unduplicated Clients (Detox and Rehab)	1,587	1,587	1,587	1,587
Utilization Ratio (%)	96.0	96.0	96.0	96.0
Connecticut Valley Hospital - Addiction Services Division				
Number of Beds (Detox and Rehab)	111	111	111	111
Patient Days (Detox and Rehab)	33,801	33,801	33,801	33,801
Unduplicated Clients (Detox and Rehab)	1,627	1,627	1,627	1,627
Utilization Ratio (%)	86.0	86.0	86.0	86.0

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	270	23	0	293	293	293	293	293

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	12,667,872	13,895,531	15,167,178	15,165,843	15,111,680	15,423,954	15,423,266	15,369,103
Other Expenses	1,074,835	2,585,661	2,646,484	2,646,484	2,517,775	2,722,301	2,722,301	2,517,775
Other Current Expenses								
Professional Services	388,401	351,339	351,339	351,339	351,339	351,339	351,339	351,339
General Assistance Managed Care	5,114,216	132,179	145,795	137,843	137,843	159,936	142,965	142,965
Behavioral Health Medications	194,048	0	0	0	0	0	0	0
TOTAL - Other Current Expenses	5,696,665	483,518	497,134	489,182	489,182	511,275	494,304	494,304
Pmts to Other than Local Govts								
Grants for Substance Abuse Services	0	50,000	50,000	50,000	50,000	50,000	50,000	50,000
TOTAL - Pmts to Other than Local Govts	0	50,000	50,000	50,000	50,000	50,000	50,000	50,000
TOTAL - General Fund	19,439,372	17,014,710	18,360,796	18,351,509	18,168,637	18,707,530	18,689,871	18,431,182
TOTAL - All Funds	19,439,372	17,014,710	18,360,796	18,351,509	18,168,637	18,707,530	18,689,871	18,431,182

CASE MANAGEMENT

Statutory Reference

C.G.S. Sections 17a-450 and 17a-476.

Statement of Need and Program Objectives

To promote the recovery of persons with psychiatric disabilities by helping them remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

Program Description

This program provides persons with a psychiatric illness a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve a satisfactory level of quality of life in the community. Adults are assigned to one of three levels

of case management depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard", or "monitoring" (the least intensive). Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention and outreach. Case management may also be provided by residential programs.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Case Management				
Unduplicated clients	4,338	4,338	4,338	4,338
Community Support Program (CSP)				
Unduplicated clients	5,848	5,848	5,848	5,848

Personnel Summary

Permanent Full-Time Positions

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
General Fund	216	36	0	252	318	318	318	318

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	17,406,880	18,511,011	19,397,132	19,391,662	19,319,509	19,557,092	19,553,114	19,480,961
Other Expenses	726,680	2,248,689	2,301,581	2,301,581	2,189,657	2,367,512	2,367,512	2,189,657
Other Current Expenses								
Housing Supports and Services	373,255	488,538	488,538	488,538	488,538	488,538	488,538	488,538
Managed Service System	13,449,421	21,781,708	18,792,939	22,297,525	21,297,525	18,835,290	22,440,294	21,440,294
Connecticut Mental Health Center	140,264	143,527	143,527	143,527	136,350	143,527	143,527	136,350
Professional Services	27,606	24,972	24,972	24,972	24,972	24,972	24,972	24,972
General Assistance Managed Care	7,041,070	7,116,524	7,116,524	7,116,524	7,116,524	7,116,524	7,116,524	7,116,524
Young Adult Services	8,809,414	8,623,611	9,162,454	8,623,611	8,623,611	9,162,454	8,623,611	8,623,611
TBI Community Services	905,156	541,765	541,765	541,765	535,254	541,765	541,765	535,254

Jail Diversion	469,059	469,059	469,059	469,059	469,059	469,059	469,059	469,059
Behavioral Health Medications	4,629	0	0	0	0	0	0	0
Prison Overcrowding	1,461,759	1,504,512	1,504,512	1,504,512	1,504,512	1,504,512	1,504,512	1,504,512
Discharge and Diversion Services	892,934	574,808	574,808	574,808	574,808	574,808	574,808	574,808
Home and Community Based Services	6,665,604	12,667,987	17,868,624	18,432,367	17,080,809	25,378,536	20,638,837	19,287,279
TOTAL - Other Current Expenses	40,240,171	53,937,011	56,687,722	60,217,208	57,851,962	64,239,985	62,566,447	60,201,201
<i>Pmts to Other than Local Govts</i>								
Grants for Substance Abuse Services	1,276,099	2,138,565	2,138,565	2,138,565	2,138,565	2,138,565	2,138,565	2,138,565
Grants for Mental Health Services	10,024,948	10,410,155	10,410,155	10,410,155	10,410,155	10,410,155	10,410,155	10,410,155
TOTAL - Pmts to Other than Local Govts	11,301,047	12,548,720	12,548,720	12,548,720	12,548,720	12,548,720	12,548,720	12,548,720
TOTAL - General Fund	69,674,778	87,245,431	90,935,155	94,459,171	91,909,848	98,713,309	97,035,793	94,420,539
<i>Additional Funds Available</i>								
Private Funds	859,980	670,854	670,854	670,854	670,854	201,000	201,000	201,000
Federal Contributions								
14238 Shelter Plus Care	11,881	0	0	0	0	0	0	0
93150 Projects for Assistance in Transition	768,793	747,932	749,497	749,497	749,497	749,497	749,497	749,497
93243 Substance Abuse & Mental Health Services	30,000	0	0	0	0	0	0	0
93275 Substance Abuse & Mental Health Services	69,612	43,594	0	0	0	0	0	0
93667 Social Services Block Grant	82,473	82,473	82,473	82,473	82,473	82,473	82,473	82,473
93958 Block Grants for Community Mental Health	326,744	353,129	210,617	210,617	210,617	210,617	210,617	210,617
93959 Block Grants for Prevention & Treatment	2,658,674	2,711,847	2,711,847	2,711,847	2,711,847	2,711,847	2,711,847	2,711,847
TOTAL - All Funds	74,482,935	91,855,260	95,360,443	98,884,459	96,335,136	102,668,743	100,991,227	98,375,973

REHABILITATION

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476, 17a-676 and 17a-635.

Statement of Need and Program Objectives

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills and increasing their ability to plan and manage their lives.

Program Description

Specialized vocational services and supports enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work

settings such as transitional employment, individual placement, mobile work crews, enclaves and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking, nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers and the ability to follow directions.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Employment Services				
Unduplicated clients	4,965	4,965	4,965	4,965
Social Rehabilitation Services				
Unduplicated clients	6,832	6,832	6,832	6,832

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	26	5	0	31	31	31	31	31
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	2,830,441	2,987,988	3,137,588	3,142,943	3,131,297	3,168,043	3,174,488	3,162,842
Other Expenses	64,640	390,019	399,152	399,152	379,784	410,584	410,584	379,784
<u>Other Current Expenses</u>								
Managed Service System	3,193,239	3,564,988	3,564,988	3,564,988	3,564,988	3,564,988	3,564,988	3,564,988
Professional Services	130	0	0	0	0	0	0	0
Young Adult Services	414,406	444,959	451,531	451,557	451,557	453,524	453,558	453,558
Discharge and Diversion Services	34,118	25,240	25,240	25,240	25,240	25,240	25,240	25,240
TOTAL - Other Current Expenses	3,641,893	4,035,187	4,041,759	4,041,785	4,041,785	4,043,752	4,043,786	4,043,786
<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	828,184	828,102	828,102	828,102	828,102	828,102	828,102	828,102
Grants for Mental Health Services	11,250,079	11,777,537	11,777,537	11,777,537	11,777,537	11,777,537	11,777,537	11,777,537
Employment Opportunities	10,467,204	10,522,428	10,522,428	10,522,428	10,417,204	10,522,428	10,522,428	10,417,204
TOTAL - Pmts to Other than Local Govts	22,545,467	23,128,067	23,128,067	23,128,067	23,022,843	23,128,067	23,128,067	23,022,843
TOTAL - General Fund	29,082,441	30,541,261	30,706,566	30,711,947	30,575,709	30,750,446	30,756,925	30,609,255
<u>Additional Funds Available</u>								
Federal Contributions								
14235 Supportive Housing Program	126,829	0	0	0	0	0	0	0
93150 Projects for Assistance in Transition	50,503	50,503	50,503	50,503	50,503	50,503	50,503	50,503
93958 Block Grants for Community Mental Health	1,450,934	1,844,798	2,286,490	2,286,490	2,286,490	2,286,490	2,286,490	2,286,490
93959 Block Grants for Prevention & Treatment	63,787	65,063	65,063	65,063	65,063	65,063	65,063	65,063
TOTAL - All Funds	30,774,494	32,501,625	33,108,622	33,114,003	32,977,765	33,152,502	33,158,981	33,011,311

ANCILLARY SERVICES

Statutory Reference

C.G.S. Section 17a-676.

Statement of Need and Program Objectives

Ancillary support services are available to further enhance local systems of care.

Program Description

They include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipients.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	2	0	0	2	2	2	2	2
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	140,536	145,605	145,605	145,605	145,037	145,605	145,605	145,037
Other Expenses	42,252	63,356	64,799	64,799	61,689	66,656	66,656	61,689
<u>Other Current Expenses</u>								
Managed Service System	614,239	183,310	192,685	192,721	192,721	193,046	193,084	193,084
General Assistance Managed Care	242,816	215,980	221,747	218,379	218,379	227,736	220,548	220,548
Nursing Home Screening	591,645	591,645	591,645	591,645	591,645	591,645	591,645	591,645
Discharge and Diversion Services	104,033	104,033	104,033	104,033	104,033	104,033	104,033	104,033
TOTAL - Other Current Expenses	1,552,733	1,094,968	1,110,110	1,106,778	1,106,778	1,116,460	1,109,310	1,109,310

<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	237,149	234,252	234,252	234,252	234,252	234,252	234,252	234,252
Grants for Mental Health Services	274,959	274,959	274,959	274,959	274,959	274,959	274,959	274,959
TOTAL - Pmts to Other than Local Govts	512,108	509,211	509,211	509,211	509,211	509,211	509,211	509,211
TOTAL - General Fund	2,247,629	1,813,140	1,829,725	1,826,393	1,822,715	1,837,932	1,830,782	1,825,247
<u>Additional Funds Available</u>								
Federal Contributions								
93959 Block Grants for Prevention & Treatment	43,621	0	0	0	0	0	0	0
TOTAL - All Funds	2,291,250	1,813,140	1,829,725	1,826,393	1,822,715	1,837,932	1,830,782	1,825,247

ADVOCACY AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a) (4) and (9), 17a-637(c) and 54-56g (d).

Statement of Need and Program Objectives

To reduce factors that are known to cause illness and problem behaviors and promote factors that buffer individuals and promote good health.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

Program Description

There are two components of advocacy and prevention: Prevention and Education and Training.

Program Measure	FY 2014		FY 2015		FY 2016		FY 2017	
	Actual	Estimated	Projected	Projected	Projected	Projected	Projected	Projected
Prevention Programs								
Best Practices								
Number of Programs	14	14	12	12				
Number of Events	1,315	1,315	2,000	2,000				
Number of Participants	3,100,835	3,100,835	3,000,000	3,000,000				

Personnel Summary	06/30/2014		FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	6	0	0	6	6	6	6	6
Federal Funds	8	0	0	8	8	8	8	8

Financial Summary (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	458,358	490,282	516,924	512,356	510,446	519,006	514,258	512,348
Other Expenses	83,301	293,019	299,865	299,865	285,328	308,458	308,458	285,328
<u>Other Current Expenses</u>								
Managed Service System	1,717,610	1,223,153	1,223,153	1,223,153	1,223,153	1,223,153	1,223,153	1,223,153
Legal Services	995,819	995,819	995,819	995,819	546,029	995,819	995,819	546,029
Connecticut Mental Health Center	451,555	462,060	462,060	462,060	438,956	462,060	462,060	438,956
Professional Services	68,288	61,771	61,771	61,771	61,771	61,771	61,771	61,771
General Assistance Managed Care	80,000	80,000	80,000	80,000	80,000	80,000	80,000	80,000
Young Adult Services	101,003	101,003	101,003	101,003	101,003	101,003	101,003	101,003
Prison Overcrowding	12,121	12,121	12,121	12,121	12,121	12,121	12,121	12,121
TOTAL - Other Current Expenses	3,426,396	2,935,927	2,935,927	2,935,927	2,463,033	2,935,927	2,935,927	2,463,033
<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	1,399,995	1,399,565	1,399,565	1,399,565	1,399,565	1,399,565	1,399,565	1,399,565
Grants for Mental Health Services	1,099,927	1,100,148	1,100,148	1,100,148	515,475	1,100,148	1,100,148	515,475
TOTAL - Pmts to Other than Local Govts	2,499,922	2,499,713	2,499,713	2,499,713	1,915,040	2,499,713	2,499,713	1,915,040
TOTAL - General Fund	6,467,977	6,218,941	6,252,429	6,247,861	5,173,847	6,263,104	6,258,356	5,175,749

<u>Additional Funds Available</u>								
Private Funds	1,427,862	1,616,466	1,710,806	1,710,806	1,710,806	1,710,806	1,710,806	1,710,806
Federal Contributions								
93103 Food & Drug Administration Research	520,262	1,091,328	1,143,036	1,143,036	1,143,036	1,197,334	1,197,334	1,197,334
93243 Substance Abuse & Mental Health Services	3,870,809	3,867,469	3,642,214	3,642,214	3,642,214	3,549,614	3,549,614	3,549,614
93667 Social Services Block Grant	41,671	41,671	41,671	41,671	41,671	41,671	41,671	41,671
93958 Block Grants for Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496
93959 Block Grants for Prevention & Treatment	4,385,327	4,473,034	4,473,034	4,473,034	4,473,034	4,473,034	4,473,034	4,473,034
TOTAL - All Funds	16,830,404	17,425,405	17,379,686	17,375,118	16,301,104	17,352,059	17,347,311	16,264,704

EDUCATION AND TRAINING

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

Program Description

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators, staff from other state agencies, concerned citizens,

consumers/individuals in recovery and families.

Education, training, prevention, academic and resource linkages are offered through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Education and Training Division as well as the Prevention Training Collaborative. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists and other helping professionals can receive the course work necessary for re-certification and ongoing professional development.

Personnel Summary	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	5	5	5
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Financial Summary	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	399,990	426,835	449,158	449,243	447,579	454,693	454,799	453,135
Other Expenses	102,871	39,595	40,480	40,480	38,556	41,642	41,642	38,556
<u>Other Current Expenses</u>								
Connecticut Mental Health Center	239,847	245,427	245,427	245,427	175,502	245,427	245,427	175,502
Professional Services	66,122	59,812	59,812	59,812	59,812	59,812	59,812	59,812
TOTAL - Other Current Expenses	305,969	305,239	305,239	305,239	235,314	305,239	305,239	235,314

<u>Pmts to Other than Local Govts</u>								
Grants for Substance Abuse Services	130,500	0	0	0	0	0	0	0
TOTAL - Pmts to Other than Local Govts	130,500	0	0	0	0	0	0	0
TOTAL - General Fund	939,330	771,669	794,877	794,962	721,449	801,574	801,680	727,005
<u>Additional Funds Available</u>								
Private Funds	50,000	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Federal Contributions								
93243 Substance Abuse & Mental Health Services	813,920	801,920	801,920	801,920	801,920	185,480	185,480	185,480
TOTAL - All Funds	1,803,250	1,623,589	1,646,797	1,646,882	1,573,369	1,037,054	1,037,160	962,485

RESEARCH

Statutory Reference

C.G.S. Sections 17a-451 and 17a-459.

Statement of Need and Program Objectives

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

Program Description

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological

research into the incidence and prevalence of mental illnesses; clinical research into the diagnosis and treatment of depression, schizophrenia and panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental illnesses. Funds provided to Yale are used to leverage additional research funding from the federal government and private foundations.

In addition to its partnership with Yale University, the department also obtains federal funding for research through the DMHAS Research Division. This division works closely with the University of Connecticut to evaluate and research a wide range of topics. Current studies are investigating criminal justice diversion and alternative community programs; supportive housing; and implementation of evidence-based practices.

Personnel Summary

	06/30/2014	06/30/2014	FY 2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	20	4	0	24	24	24	24	24
			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Other Positions Equated to Full-Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	0	0	0	0	0

Financial Summary

(Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
General Fund								
Personal Services	2,187,485	2,269,367	2,366,157	2,366,530	2,357,684	2,383,989	2,384,429	2,375,583
Other Expenses	236,888	348,448	356,600	356,600	339,301	366,815	366,815	339,301
<u>Other Current Expenses</u>								
Housing Supports and Services	48,285	63,198	63,198	63,198	63,198	63,198	63,198	63,198
Managed Service System	45,127	0	0	0	0	0	0	0
Connecticut Mental Health Center	1,297,849	1,328,043	1,328,043	1,328,043	908,861	1,328,043	1,328,043	908,861
Professional Services	301,716	272,925	272,925	272,925	272,925	272,925	272,925	272,925
General Assistance Managed Care	52,792	62,052	62,052	62,052	62,052	62,052	62,052	62,052
Young Adult Services	71,506	71,506	71,506	71,506	71,506	71,506	71,506	71,506
TBI Community Services	12,258	0	0	0	0	0	0	0
Prison Overcrowding	51,421	0	0	0	0	0	0	0
Home and Community Based Services	75,356	76,366	76,366	76,366	72,549	76,366	76,366	72,549
TOTAL - Other Current Expenses	1,956,310	1,874,090	1,874,090	1,874,090	1,451,091	1,874,090	1,874,090	1,451,091
TOTAL - General Fund	4,380,683	4,491,905	4,596,847	4,597,220	4,148,076	4,624,894	4,625,334	4,165,975

<u>Additional Funds Available</u>								
Private Funds	23,332	217,360	205,258	205,258	205,258	190,164	190,164	190,164
Federal Contributions								
93243 Substance Abuse & Mental Health Services	691,909	1,218,939	947,004	947,004	947,004	422,131	422,131	422,131
93275 Substance Abuse & Mental Health Services	7,500	22,500	0	0	0	0	0	0
TOTAL - All Funds	5,103,424	5,950,704	5,749,109	5,749,482	5,300,338	5,237,189	5,237,629	4,778,270

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available. To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

The Management and Support Program has five major functions: conducts comprehensive statewide planning,

research, data collection and policy analysis to support the development of programs that address psychiatric and substance use disorders; supports administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensures responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and informs the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disabilities and available resources in the state. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Management and Support Services				
Audits Reviewed	162	162	162	162
General Assistance Audits Conducted	6	4	4	4

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	347	39	0	386	393	393	393	393

Financial Summary (Net of Reimbursements)

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	29,285,189	30,825,766	32,425,414	32,946,518	32,826,362	32,683,024	33,211,512	33,091,356
Other Expenses	23,513,287	3,555,767	3,639,433	3,439,433	3,262,425	3,743,692	3,543,692	3,262,425
<u>Capital Outlay</u>								
Equipment	0	1	1	1	0	1	1	0
TOTAL - Capital Outlay	0	1	1	1	0	1	1	0
<u>Other Current Expenses</u>								
Housing Supports and Services	1,100	0	0	0	0	0	0	0
Managed Service System	1,856,216	0	0	0	0	0	0	0
Connecticut Mental Health Center	27,758	28,404	28,404	28,404	26,986	28,404	28,404	26,986
Professional Services	8,981,807	8,124,730	8,124,730	7,824,730	7,824,730	8,124,730	7,824,730	7,824,730

General Assistance Managed Care	769,745	740,751	787,843	788,023	788,023	793,238	793,438	793,438
Workers' Compensation Claims	11,990,126	10,594,566	13,200,859	11,990,126	11,990,126	13,904,495	11,990,126	11,990,126
Young Adult Services	107,572	107,572	107,572	107,572	107,572	107,572	107,572	107,572
TBI Community Services	693,695	0	0	0	0	0	0	0
Behavioral Health Medications	5,382,279	6,169,095	6,405,988	6,405,988	5,860,641	6,647,200	6,647,200	5,860,641
Prison Overcrowding	161,453	187,443	196,539	196,576	196,576	196,890	196,928	196,928
Discharge and Diversion Services	148,566	0	0	0	0	0	0	0
Home and Community Based Services	987,549	1,058,411	1,118,196	1,114,019	1,061,098	1,128,589	1,124,277	1,071,356
TOTAL - Other Current Expenses	31,107,866	27,010,972	29,970,131	28,455,438	27,855,752	30,931,118	28,712,675	27,871,777
<i>Nonfunctional - Change to Accruals</i>	1,216,602	2,201,244	2,201,244	2,201,244	0	2,201,244	2,201,244	0
TOTAL - General Fund	85,122,944	63,593,750	68,236,223	67,042,634	63,944,539	69,559,079	67,669,124	64,225,558
<i>Additional Funds Available</i>								
Private Funds	132,095	400,000	0	0	0	0	0	0
Federal Contributions								
93243 Substance Abuse & Mental Health Services	4,544	10,582	3,272	3,272	3,272	6,447	6,447	6,447
93275 Substance Abuse & Mental Health Services	6,668	8,703	0	0	0	0	0	0
TOTAL - All Funds	85,266,251	64,013,035	68,239,495	67,045,906	63,947,811	69,565,526	67,675,571	64,232,005

AGENCY FINANCIAL SUMMARY - General Fund

<i>Current Expenses by Minor Object</i>	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Personal Services</i>						
Permanent Fulltime Positions	183,212,762	198,678,096	205,949,222	209,222,268	207,885,930	211,196,595
Other Positions	15,315,296	16,077,054	16,889,024	16,823,656	17,047,588	16,983,183
Other	15,046,991	14,271,701	17,180,744	14,216,075	17,205,723	14,216,075
Overtime	43,584,835	40,606,397	42,703,515	42,535,218	43,131,160	42,964,022
Reimbursements	-77,218,546	-77,218,547	-77,218,547	-77,218,547	-77,218,547	-77,218,547
TOTAL - Personal Services	179,941,338	192,414,701	205,503,958	205,578,670	208,051,854	208,141,328
<i>Other Expenses</i>						
Advertising and Marketing	26,252	22,842	23,356	22,242	24,032	22,242
Agriculture, Horticulture, Dairy & Food	3,710,126	3,731,934	3,817,011	3,633,969	3,905,951	3,633,969
Books	168,149	134,378	137,481	130,849	141,454	130,849
Clothing and Personal Supplies	270,284	243,723	249,362	237,323	256,569	237,323
DP Services, Rentals and Maintenance	3,105,858	3,215,303	3,995,007	3,030,899	4,125,344	3,030,899
Dues and Subscriptions	156,017	210,248	215,108	204,726	221,328	204,726
Fees for Non-Professional Services	2,285,324	1,862,459	2,578,525	2,488,962	2,633,620	2,488,962
Fees for Outside Professional Services	840,615	438,090	448,203	426,590	461,146	426,590
Fuel	1,734,635	1,623,265	1,667,736	1,589,611	1,751,622	1,589,611
General Repairs	1,633,012	1,754,903	1,795,563	1,708,835	1,847,447	1,708,835
Insurance	3,273	3,289	3,358	3,204	3,454	3,204
Maintenance and Motor Vehicle Supplies	2,324,569	2,168,905	2,226,605	2,014,013	2,286,918	2,014,013
Medical Supplies	715,504	573,144	586,443	558,099	603,402	558,099
Motor Vehicle Expenses	889,516	1,019,025	1,042,646	997,605	1,072,775	997,605
Office Supplies	1,190,305	1,047,528	1,071,785	1,020,031	1,102,760	1,020,031
Other Contractual Services	1,872,201	1,893,889	1,937,727	1,847,148	1,993,740	1,847,148
Postage	92,172	89,105	91,144	86,767	93,780	86,767
Printing & Binding	82,194	50,607	51,774	49,278	53,270	49,278
Reimbursements	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
Rentals, Storage and Leasing	4,376,368	4,445,208	5,033,462	4,776,144	5,201,192	4,812,433
Sundry - Other Items	1,347,621	1,256,848	1,281,962	1,223,853	1,313,994	1,223,853
Telecommunication Services	1,151,738	1,017,878	1,041,441	991,158	1,071,544	991,158
Travel	273,926	273,522	279,844	266,339	287,933	266,339
Utility Services	4,187,337	4,094,331	4,191,235	4,008,918	4,299,046	4,008,918
TOTAL - Other Expenses	29,836,996	28,570,424	31,166,778	28,716,563	32,152,321	28,752,852
<i>Equipment</i>						
Equipment	0	1	1	0	1	0
TOTAL - Equipment	0	1	1	0	1	0
<i>Other Current Expenses</i>						
Housing Supports and Services	15,831,798	20,721,576	20,721,576	23,221,576	20,721,576	24,221,576
Managed Service System	52,594,417	59,034,913	62,458,870	61,471,758	62,505,119	61,618,442
Legal Services	995,819	995,819	995,819	546,029	995,819	546,029
Connecticut Mental Health Center	8,664,154	8,865,721	8,865,721	7,637,002	8,865,721	7,637,002
Professional Services	13,032,508	11,788,898	11,788,898	11,488,898	11,788,898	11,488,898
General Assistance Managed Care	114,792,045	40,774,875	43,671,313	41,991,862	46,220,511	43,075,573
Workers' Compensation Claims	11,990,126	10,594,566	13,200,859	11,990,126	13,904,495	11,990,126
Nursing Home Screening	591,645	591,645	591,645	591,645	591,645	591,645

Young Adult Services	69,605,486	74,537,055	82,766,715	80,206,667	88,486,636	85,961,827
TBI Community Services	12,556,716	16,641,445	17,248,447	10,400,667	18,572,971	10,412,737
Jail Diversion	4,395,580	4,504,601	4,604,966	4,595,351	4,627,410	4,617,881
Behavioral Health Medications	5,705,547	6,169,095	6,405,988	5,860,641	6,647,200	5,860,641
Prison Overcrowding	6,591,993	6,699,982	6,789,838	6,330,189	6,811,820	6,352,255
Medicaid Adult Rehabilitation Option	4,803,175	4,803,175	4,913,682	4,816,334	5,026,400	4,803,175
Discharge and Diversion Services	17,408,590	20,062,660	24,447,924	24,447,924	27,347,924	27,347,924
Home and Community Based Services	9,104,715	16,032,096	21,902,021	20,566,913	30,089,572	26,901,275
Persistent Violent Felony Offenders Act	669,664	675,235	675,235	500,000	675,235	500,000
Nursing Home Contract	422,989	485,000	485,000	485,000	485,000	485,000
Pre-Trial Account	349,997	775,000	775,000	0	775,000	0
TOTAL - Other Current Expenses	350,106,964	304,753,357	333,309,517	317,148,582	355,138,952	334,412,006
<i>Pmts to Other Than Local Govts</i>						
Grants for Substance Abuse Services	20,596,092	17,567,934	17,567,934	17,567,934	17,567,934	17,567,934
Grants for Mental Health Services	66,134,709	58,909,714	58,909,714	58,325,041	58,909,714	58,325,041
Employment Opportunities	10,522,204	10,522,428	10,522,428	10,417,204	10,522,428	10,417,204
TOTAL - Pmts to Other Than Local Govts	97,253,005	87,000,076	87,000,076	86,310,179	87,000,076	86,310,179
<i>Nonfunctional - Change to Accruals</i>	1,216,602	2,201,244	2,201,244	0	2,201,244	0

AGENCY FINANCIAL SUMMARY - Insurance Fund

<i>Current Expenses by Minor Object</i>	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
<i>Other Current Expenses</i>						
Managed Service System	435,000	435,000	435,000	435,000	435,000	435,000
TOTAL - Other Current Expenses	435,000	435,000	435,000	435,000	435,000	435,000

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	179,941,338	192,414,701	205,503,958	205,977,096	205,578,670	208,051,854	208,539,754	208,141,328
Other Expenses	29,836,996	28,570,424	31,166,778	30,199,638	28,716,563	32,152,321	31,149,924	28,752,852
Capital Outlay	0	1	1	1	0	1	1	0
Other Current Expenses	350,106,964	304,753,357	333,309,517	324,415,120	317,148,582	355,138,952	341,032,474	334,412,006
Pmts to Other than Local Govts	97,253,005	87,000,076	87,000,076	87,000,076	86,310,179	87,000,076	87,000,076	86,310,179
Nonfunctional - Change to Accruals	1,216,602	2,201,244	2,201,244	2,201,244	0	2,201,244	2,201,244	0
TOTAL - General Fund	658,354,905	614,939,803	659,181,574	649,793,175	637,753,994	684,544,448	669,923,473	657,616,365
Insurance Fund								
Other Current Expenses	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000
TOTAL - Insurance Fund	435,000	435,000	435,000	435,000	435,000	435,000	435,000	435,000
Federal and Other Activities	46,119,352	44,502,795	42,567,798	42,567,798	42,567,798	42,055,768	42,055,768	42,055,768
Private Funds	18,898,101	20,851,455	19,009,742	19,009,742	19,009,742	18,478,294	18,478,294	18,478,294
TOTAL - All Funds Net	723,807,358	680,729,053	721,194,114	711,805,715	699,766,534	745,513,510	730,892,535	718,585,427

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist, parole/probation

expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

AGENCY PROGRAM INDEX

Psychiatric Security Review

RECOMMENDED SIGNIFICANT CHANGES

	<u>2015-2016</u>	<u>2016-2017</u>
Reductions		
• Annualize FY 2015 Rescissions	-5,554	-5,554
• Remove or Limit Inflation	-550	-1,253

AGENCY PROGRAMS

Personnel Summary	06/30/2014	06/30/2014	2014-2015	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
<i>Permanent Full-Time Positions</i>	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	3	0	0	3	3	3	3	3
<i>Other Positions Equated to Full-Time</i>			FY 2014	FY 2015	FY 2016	FY 2016	FY 2017	FY 2017
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			0	0	0	0	0	0
Agency Programs by Total Funds (Net of Reimbursements)	FY 2014	FY 2015	FY 2016	Current	FY 2016	FY 2017	Current	FY 2017
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Psychiatric Security Review	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441
TOTAL Agency Programs - All Fund Gross	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441
Summary of Funding								
General Fund	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441
TOTAL Agency Programs - All Funds Net	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C.G.S. Sections 17a-580 through 17a-603, 53a-169, 54-250(10) and 54-256.

Statement of Need and Program Objectives

To protect public safety through the oversight of persons acquitted of a crime by reason of mental disease or defect.

To determine the level of supervision, treatment and placement of an acquttee that is required to protect the public.

Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders: maximum security confinement, confinement in a hospital, temporary leave from the

hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board

hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

Program Measure

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Projected	FY 2017 Projected
Percent of hearings held within statutory timeframe	100%	100%	95%	95%
Issuance of Memorandum of Decision within statutory timeframe	100%	100%	95%	95%
Issuance of Reports to Court within statutory timeframe	100%	100%	95%	95%

Personnel Summary

	06/30/2014 Filled	06/30/2014 Vacant	FY 2015 Change	FY 2015 Total	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Permanent Full-Time Positions								
General Fund	3	0	0	3	3	3	3	3
			FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Other Positions Equated to Full-Time								
General Fund			0	0	0	0	0	0

**Financial Summary
(Net of Reimbursements)**

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	238,679	252,955	264,547	265,587	261,587	265,005	266,916	262,916
Other Expenses	31,080	31,079	31,797	31,629	29,525	32,715	32,332	29,525
Capital Outlay								
Equipment	0	1	1	1	0	1	1	0
TOTAL - Capital Outlay	0	1	1	1	0	1	1	0
Nonfunctional - Change to Accruals	698	1,242	1,242	1,242	0	1,242	1,242	0
TOTAL - General Fund	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441
TOTAL - All Funds	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	FY 2016 Recommended	FY 2017 Requested	FY 2017 Recommended
Personal Services						
Permanent Fulltime Positions	237,407	251,607	263,199	260,239	263,657	261,568
Other	1,272	1,348	1,348	1,348	1,348	1,348
TOTAL - Personal Services	238,679	252,955	264,547	261,587	265,005	262,916
Other Expenses						
Agriculture, Horticulture, Dairy & Food	100	100	102	95	104	95
Fees for Non-Professional Services	22,273	22,272	22,787	21,159	23,446	21,159
Other Contractual Services	7,275	7,275	7,443	6,911	7,658	6,911
Travel	1,432	1,432	1,465	1,360	1,507	1,360
TOTAL - Other Expenses	31,080	31,079	31,797	29,525	32,715	29,525
Equipment						
Equipment	0	1	1	0	1	0
TOTAL - Equipment	0	1	1	0	1	0
Nonfunctional - Change to Accruals	698	1,242	1,242	0	1,242	0

Character & Major Object Summary

	FY 2014 Actual	FY 2015 Estimated	FY 2016 Requested	Current Services	FY 2016 Recommended	FY 2017 Requested	Current Services	FY 2017 Recommended
General Fund								
Personal Services	238,679	252,955	264,547	265,587	261,587	265,005	266,916	262,916
Other Expenses	31,080	31,079	31,797	31,629	29,525	32,715	32,332	29,525
Capital Outlay	0	1	1	1	0	1	1	0
Nonfunctional - Change to Accruals	698	1,242	1,242	1,242	0	1,242	1,242	0
TOTAL - General Fund	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441
TOTAL - All Funds Net	270,457	285,277	297,587	298,459	291,112	298,963	300,491	292,441

