

HEALTH AND HOSPITALS

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DEPARTMENT OF PUBLIC HEALTH

AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and occupational

health hazards; regulating health care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory, and collecting and analyzing health data to help plan future policy. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

AGENCY PROGRAM INDEX

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|------------------------------------|------------------------------|
| Commissioner's Programs | Public Health Initiatives |
| Regulatory Services | Laboratory Services |
| Healthcare Quality and Safety | Agency Management Services |
| Health Statistics and Surveillance | Office of Health Care Access |

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---|------------------|------------------|
| Reductions | | |
| • Fund Ongoing Programming with Newborn Screening Fee Receipts <i>A fee increase of \$42 is recommended to support public health laboratory costs related to newborn screening and grants for regional and sickle cell treatment centers.</i> | -1,374,177 | -1,374,177 |
| • Annualize FY 2015 Rescissions | -1,112,664 | -1,112,664 |
| • Reduce Support for School Based Health Centers to Reflect HUSKY Caseload Growth | -421,705 | -662,679 |
| • Remove or Limit Inflation | -216,486 | -481,682 |
| • Maintain Rape Crisis Funding at FY 2014 Appropriated Level | -200,000 | -200,000 |
| • Reduce Support for Breast and Cervical Cancer Detection and Treatment Due to Increased Insured Population | -199,827 | -199,827 |
| • Reduce Support for Respite and Advocacy for Children with Special Health Care Needs | -122,051 | -122,051 |
| • Eliminate Funding for Maternal Mortality Review | -98,800 | -98,800 |
| • Reduce Funding for AIDS Services | -85,000 | -85,000 |
| • Expenditure Update/X-Ray Screening and Tuberculosis Care | -80,000 | -80,000 |
| • Eliminate Childhood Lead Poisoning Education and Outreach Program | -68,744 | -68,744 |
| • Achieve Increased Turnover by Consolidating Accounts <i>Medicaid Administration funding is reallocated to Personal Services and Other Expenses.</i> | -25,000 | -25,000 |
| • Eliminate Grant to Lupus Foundation | -501 | -501 |
| • Fund Equipment Needs Through CEPF | -1 | -1 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Reallocations | | |
| • Transfer Support for Regional Emergency Medical Services Coordinators from Tobacco and Health Trust Fund to General Fund | 285,000 | 285,000 |
| • Transfer Various Public Health Programs to the Insurance Fund <i>Program costs for AIDS Services, Breast and Cervical Cancer Detection and Treatment, Needle and Syringe Exchange, Venereal Disease Control and X-Ray Screening and Tuberculosis Care are transferred to the Insurance Fund. Fringe benefit costs are similarly reallocated from miscellaneous accounts administered by the State Comptroller.</i> | 122,300 | 124,500 |
| • Consolidate Community Health Center Funding in the Department of Social Services <i>Funding for community health centers is added as a supplemental pool to existing Medicaid funding for federally qualified health centers. Funds will be distributed based on acuity and performance measures. This reallocation will allow the state to leverage additional federal funding.</i> | -4,394,157 | -4,394,157 |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -140,792 | -140,792 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 429 | 47 | 5 | 481 | 481 | 479 | 481 | 479 |
| Insurance Fund | 0 | 0 | 3 | 3 | 3 | 5 | 3 | 5 |
| Federal Funds | 269 | 65 | -9 | 325 | 318 | 318 | 317 | 317 |
| Private Funds | 8 | 0 | 2 | 10 | 10 | 5 | 10 | 5 |
| | | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | | Actual | Estimated | Requested | Recommended | Requested |
| General Fund | | | | 9 | 9 | 9 | 14 | 9 |
| Insurance Fund | | | | 0 | 0 | 0 | 0 | 0 |
| | | | | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2017 |
| Agency Programs by Total Funds | Actual | Estimated | Requested | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| (Net of Reimbursements) | | | | Services | Recommended | Requested | Services | Recommended |
| Agency Management Services | 9,703,688 | 9,113,085 | 9,445,808 | 9,279,936 | 9,118,090 | 9,537,855 | 9,366,002 | 9,177,335 |
| Regulatory Services | 20,449,631 | 19,203,110 | 18,486,237 | 18,491,908 | 18,338,545 | 18,540,580 | 18,540,909 | 18,383,084 |
| Healthcare Quality and Safety | 18,023,305 | 19,749,573 | 19,375,983 | 19,340,576 | 19,046,902 | 19,485,373 | 19,467,579 | 19,156,937 |
| Public Health Initiatives | 163,029,553 | 178,696,767 | 176,187,313 | 176,242,939 | 169,666,883 | 177,684,188 | 177,748,217 | 170,862,754 |
| Laboratory Services | 13,552,988 | 15,217,227 | 16,063,947 | 15,929,210 | 15,822,910 | 16,633,768 | 16,363,478 | 16,120,068 |
| Commissioner's Programs | 25,514,409 | 26,749,112 | 24,333,169 | 24,335,458 | 24,332,275 | 24,255,049 | 24,256,549 | 24,249,292 |
| Health Statistics and Surveillance | 7,944,797 | 8,474,413 | 8,308,457 | 8,308,755 | 8,305,971 | 7,951,461 | 7,952,404 | 7,946,067 |
| Office of Health Care Access | 1,718,263 | 1,790,173 | 1,886,002 | 1,888,471 | 1,887,249 | 1,897,154 | 1,901,923 | 1,899,126 |
| TOTAL Agency Programs - All Fund Gross | 259,936,634 | 278,993,460 | 274,086,916 | 273,817,253 | 266,518,825 | 275,985,428 | 275,597,061 | 267,794,663 |
| Summary of Funding | | | | | | | | |
| General Fund | 108,652,318 | 80,983,874 | 83,527,328 | 83,247,110 | 66,306,498 | 84,491,844 | 84,089,583 | 66,640,022 |
| Insurance Fund | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 41,536,059 | 33,986,824 | 34,000,718 | 42,813,704 |
| Federal Funds | 138,832,817 | 157,776,697 | 150,953,341 | 150,953,341 | 150,953,341 | 150,627,010 | 150,627,010 | 150,627,010 |
| Private Funds | 12,451,499 | 8,723,448 | 6,888,750 | 6,888,750 | 7,722,927 | 6,879,750 | 6,879,750 | 7,713,927 |
| TOTAL Agency Programs - All Funds Net | 259,936,634 | 278,993,460 | 274,086,916 | 273,817,253 | 266,518,825 | 275,985,428 | 275,597,061 | 267,794,663 |

COMMISSIONER'S PROGRAMS

Statutory Reference

C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-127k, 19a-178, 19a-200 *et seq.*, 19a-240 *et seq.*, 19a-634, 46b-20 *et seq.*, and Sec. 19a-127l.

Statement of Need and Program Objectives

To improve population health in Connecticut through leadership, expertise, partnerships and focus. This is accomplished by ensuring quality, reliability of and access to data statewide; fostering a culture of performance management and quality improvement; championing a

culture of health equity; and securing sustainable, diversified funding.

Program Description

Various offices provide support to the agency's major programs.

The *Office of the Commissioner* establishes program direction and ensures conformance with overall agency policy.

The *Communications Office* provides a full range of communication activities that serve the department and its stakeholders. Key functions include: public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services,

internal communications, and crisis and emergency risk communications.

The *Government Relations Office* is responsible for legislative and regulatory information and referral activities, including implementation of strategies to achieve the goals of the department’s legislative agenda. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation, coordinates the development of regulations and maintains the state’s Public Health Code.

The *Legal Office and Public Health Hearing Office* presides over hearings and renders decisions in cases concerning: individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors; orders concerning the Women, Infants and Children’s (WIC) Program; facility licensees; voluntary and involuntary transfers of water companies; orders issued to water companies; involuntary discharges from long-term care facilities; reporting adverse actions to federally mandated databases; and conducting need for new or expanded service hearings concerning emergency medical service providers. This section also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings and Office of Health Care Access public hearings; provides legal and administrative support for 14 professional licensing boards; provides legal guidance on ethics questions and other legal issues, and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The *Office of Public Health Preparedness and Response (OPHPR)* is responsible for the design, development and implementation of public health emergency plans and

initiatives. The OPHPR ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the Assistant Secretary of Preparedness and Response Hospital Preparedness Program. In addition, the OPHPR oversees the mobile field hospital project; this includes directing deployments when required on a statewide basis.

The *Office of Public Health Systems Improvement* manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. Specific activities include: establishing an agency performance management system and implementing quality improvement processes using a data-driven focus; coordinating development, implementation, and continuously updating the three prerequisites for accreditation (an agency strategic plan, statewide health assessment and statewide health improvement plan); organizing, planning for, and coordinating agency activities and documentation toward meeting national accreditation standards; providing quality improvement training, coaching, and technical assistance opportunities to public health personnel. The office also implements the biomedical research program, making grants-in-aid for research in the fields of heart disease, cancer and tobacco related disease, Alzheimer’s disease, stroke and diabetes.

| <i>Program Measure</i> | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of projects using quality improvement methods and tools to improve service delivery | 9 | 11 | 14 | 18 |
| Development of a performance management IT system that tracks data for quality improvement | 10% | 75% | 100% | 100% |
| Number of accreditation pre-requisites completed (n=3) and updated annually (n=2) | 3 | 2 | 2 | 2 |
| Percentage of documentation requirements met for national public health accreditation standards | 30% | 50% | 90% | 100% |
| Number of staff trained in quality improvement methods | 50 | 50 | 50 | 50 |
| Administrative improvements implemented to the biomedical research program | 0 | 2 | 2 | 2 |
| Mobile Field Surge Hospital: 100 bed actual deployments/ training deployments | 4/0 | 4/11 | 4/11 | 4/11 |

| Personnel Summary | | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | | 31 | 2 | 0 | 33 | 33 | 33 | 33 | 33 |
| Federal Funds | | 12 | 6 | -2 | 16 | 16 | 16 | 16 | 16 |
| <i>Other Positions Equated to Full-Time</i> | | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>General Fund</i> | | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary (Net of Reimbursements) | | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | | |
| Personal Services | | 2,605,063 | 2,939,292 | 3,043,437 | 3,082,335 | 3,082,335 | 3,063,457 | 3,102,852 | 3,102,852 |
| Other Expenses | | 168,715 | 146,805 | 169,756 | 169,988 | 166,805 | 175,116 | 174,062 | 166,805 |
| <i>Pmts to Local Governments</i> | | | | | | | | | |
| Local and District Departments of Health | | 4,669,172 | 4,685,779 | 4,685,779 | 4,692,648 | 4,692,648 | 4,685,779 | 4,692,648 | 4,692,648 |
| TOTAL - Pmts to Local Governments | | 4,669,172 | 4,685,779 | 4,685,779 | 4,692,648 | 4,692,648 | 4,685,779 | 4,692,648 | 4,692,648 |
| TOTAL - General Fund | | 7,442,950 | 7,771,876 | 7,898,972 | 7,944,971 | 7,941,788 | 7,924,352 | 7,969,562 | 7,962,305 |
| <i>Additional Funds Available</i> | | | | | | | | | |
| Private Funds | | 6,762,641 | 3,251,748 | 1,750,000 | 1,750,000 | 1,750,000 | 1,750,000 | 1,750,000 | 1,750,000 |
| Federal Contributions | | | | | | | | | |
| 66468 Capitalization Grants for Drinking Water | | 121,391 | 158,185 | 158,185 | 158,185 | 158,185 | 158,185 | 158,185 | 158,185 |
| 93069 Public Health Emergency Preparedness | | 5,491,466 | 9,697,026 | 9,697,026 | 9,697,026 | 9,697,026 | 9,697,026 | 9,697,026 | 9,697,026 |
| 93089 Emergency System For Advance Registration Of Volun | | -1,643 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93103 Food & Drug Administration Research | | 284,492 | 252,064 | 252,064 | 252,064 | 252,064 | 252,064 | 252,064 | 252,064 |
| 93270 Adult Viral Hepatitis Prevention and Control | | 146,416 | 149,564 | 187,636 | 187,636 | 187,636 | 187,636 | 187,636 | 187,636 |
| 93283 Ctrs-Disease Control & Prevention | | 61,124 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93507 Strengthening Public Health Infrastructure For Imp | | 105,632 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 National Bioterrorism Hospital Preparedness | | 4,399,894 | 3,784,593 | 2,631,520 | 2,631,520 | 2,631,520 | 2,631,520 | 2,631,520 | 2,631,520 |
| 93991 Preventive Health & Health Services | | 687,738 | 1,610,556 | 1,610,556 | 1,610,556 | 1,610,556 | 1,610,556 | 1,610,556 | 1,610,556 |
| 97004 State Domestic Preparedness Equipment | | 12,308 | 73,500 | 103,500 | 103,500 | 103,500 | 0 | 0 | 0 |
| TOTAL - All Funds | | 25,514,409 | 26,749,112 | 24,289,459 | 24,335,458 | 24,332,275 | 24,211,339 | 24,256,549 | 24,249,292 |

PUBLIC HEALTH INITIATIVES

Statutory Reference

C.G.S. Sections 7-36 *et seq.*, 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-7j, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity and participation in emergency response plans.

To promote health and prevent disease by lowering financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The department improves and protects the health of Connecticut's residents by promotion of primary and preventive health care at every stage of life; educates individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collects data to assess chronic and infectious disease and injury risk factors and monitors trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public

education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems such as Ebola, MERS-CoV (Middle East respiratory syndrome coronavirus), EV-D68 (enterovirus-D68) and possible bioterrorist threats such as anthrax or smallpox.

The *Family Health Section* oversees programs and conducts surveillance activities to inform policy development and promote health equity and disease prevention throughout the life course (including pregnant women, mothers and infants, children and adolescents, including children and youth with special health care needs). Programs include: oral health, case management for pregnant women and teens, children and youth with special health care needs (medical homes, respite and extended services), community health centers, family planning, health access programs, early hearing detection and intervention, maternal mortality review, intimate partner violence, primary care office, school based health clinics, sexual violence and rape prevention education, and sickle cell disease outreach and support.

The *Community Health and Prevention Section (CHAPS)* is responsible for the administration of programs that address population health and the promotion of health

equity by supporting healthier lifestyles including physical activity, better nutrition, avoidance of behavioral risks and education on chronic disease self-management. CHAPS is also responsible for conducting surveillance activities to develop strategic interventions, to inform policy makers and our partners at the local, state and national level. CHAPS programs and offices include: asthma, breast and cervical cancer, colorectal cancer, diabetes, epidemiology unit, heart disease/stroke, injury, nutrition/physical activity/obesity, tobacco, the genomics office, the Office of Health Equity, and the Women, Infant and Children (WIC) program.

The *Infectious Diseases Section* encompasses surveillance programs for emerging infections and more than 50 acute communicable diseases, including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies; and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, human immunodeficiency virus (HIV), hepatitis, sexually transmitted diseases and tuberculosis.

| Program Measure | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--|-------------------|----------------------|----------------------|----------------------|
| Percent of two year olds with age-appropriate immunizations (national average = 70.5) | 76% | 78% | 80% | 80% |
| Vaccines purchased for distribution to family healthcare providers for CT's vaccination program | 1,011,805 | 1,011,805 | 1,011,805 | 1,011,805 |
| Provider sites receiving vaccines from the state: private/local health and community health centers | 484/153 | 484/153 | 484/153 | 484/153 |
| Percent of prescribers' registration in the Prescription Drug Monitoring Program (PDMP) | 20% | 20% | 60% | 60% |
| Community health centers: number of patients served | 315,992 | 330,000 | 340,000 | 370,000 |
| People receiving sexual violence prevention education and bystander intervention training | 160 | 175 | 175 | 175 |
| Number of children and families served through the CT medical home initiative for CYSHCN | 8,020 | 8,000 | 8,000 | 8,000 |
| Percent of persons with HIV positive test results that are referred and linked to partner services | 77% | 78% | 79% | 80% |
| % of persons with HIV positive test results linked to medical care that attend first appointment | 82% | 85% | 90% | 95% |
| Percent of persons who test positive for HIV that receive their test results | 100% | 100% | 100% | 100% |
| Clients having preventive reproductive health exam: HIV test/AIDS education/counseling/screening | 30% | 65% | 65% | 65% |
| Clients having preventive reproductive health exam: percent prevalent bacterial STD/Chlamydia screen | 80% | 80% | 80% | 80% |
| Clients having preventive reproductive health exam: percent breast exam/cervical cancer screening | 73%/94% | 90%/90% | 90%/90% | 90%/90% |
| Eligible clients have access to and receive reproductive health care services | 49,595 | 45,000 | 45,000 | 45,000 |
| Participation of women having a reproductive health exam in development of reproductive life plan | 80% | 65% | 65% | 65% |
| For targeted HIV testing in non-healthcare settings, rate of newly identified HIV positive tests/yr | .35% | .34% | .33% | .32% |
| Number of students enrolled in state funded School Based Health Centers | 45,248 | 48,500 | 50,000 | 51,000 |
| Number of students utilizing state funded School Based Health Centers for at least one visit | 21,379 | 26,500 | 28,500 | 30,000 |
| Percent of children under the age of 3 years tested for lead at least once | 97% | 97% | 97% | 97% |

| | | | | |
|---|----------------|-------|-------|-------|
| % of children under 6 diagnosed with a confirmed blood lead level of 5 micrograms/deciliter or more | 3% (CY2013) | 3% | 2.8% | 2.8% |
| Percent of health departments and districts accepting Lead Poisoning Prevention and Control Funds | 62% | 68% | 70% | 70% |
| % of students with asthma in grades requiring a health assessment that have an Asthma Action Plan | 9.5% | 10.5% | 11.5% | 12.5% |

Personnel Summary

| | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 64 | 8 | -3 | 69 | 69 | 67 | 69 | 67 |
| Insurance Fund | 0 | 0 | 3 | 3 | 3 | 5 | 3 | 5 |
| Federal Funds | 118 | 34 | -8 | 144 | 143 | 143 | 143 | 143 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 4,049,428 | 4,412,401 | 4,599,795 | 4,623,510 | 4,623,510 | 4,624,418 | 4,651,250 | 4,651,250 |
| Other Expenses | 248,129 | 276,547 | 301,582 | 313,240 | 308,205 | 307,644 | 319,302 | 308,205 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Needle and Syringe Exchange | 459,416 | 459,416 | 459,416 | 459,416 | 0 | 459,416 | 459,416 | 0 |
| Children's Health Initiatives | 1,120,361 | 688,542 | 693,225 | 702,805 | 677,272 | 694,558 | 706,866 | 681,333 |
| AIDS Services | 4,718,255 | 4,975,686 | 4,997,898 | 4,998,780 | 0 | 5,023,422 | 5,024,532 | 0 |
| Breast and Cervical Cancer Detection and Treatment | 2,177,405 | 2,213,575 | 2,225,060 | 2,224,296 | 0 | 2,230,356 | 2,228,583 | 0 |
| Children with Special Health Care Needs | 1,217,297 | 1,220,505 | 1,220,505 | 1,220,505 | 1,037,429 | 1,220,505 | 1,220,505 | 1,037,429 |
| Immunization Services | 30,074,419 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Maternal Mortality Review | 0 | 104,000 | 104,000 | 104,000 | 0 | 104,000 | 104,000 | 0 |
| TOTAL - Other Current Expenses | 39,767,153 | 9,661,724 | 9,700,104 | 9,709,802 | 1,714,701 | 9,732,257 | 9,743,902 | 1,718,762 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Community Health Services | 6,212,732 | 6,213,866 | 6,213,866 | 6,213,866 | 1,508,515 | 6,213,866 | 6,213,866 | 1,508,515 |
| Rape Crisis | 421,986 | 622,008 | 622,008 | 622,008 | 422,008 | 622,008 | 622,008 | 422,008 |
| X-Ray Screening and Tuberculosis Care | 703,580 | 1,195,148 | 1,230,436 | 1,230,436 | 0 | 1,267,747 | 1,267,747 | 0 |
| Genetic Diseases Programs | 223,598 | 237,895 | 236,406 | 237,895 | 211,066 | 236,406 | 237,895 | 211,066 |
| TOTAL - Pmts to Other than Local Govts | 7,561,896 | 8,268,917 | 8,302,716 | 8,304,205 | 2,141,589 | 8,340,027 | 8,341,516 | 2,141,589 |
| <u>Pmts to Local Governments</u> | | | | | | | | |
| Venereal Disease Control | 187,362 | 197,171 | 197,171 | 197,171 | 0 | 197,171 | 197,171 | 0 |
| School Based Health Clinics | 11,742,500 | 12,048,716 | 12,048,716 | 12,048,716 | 11,024,576 | 12,048,716 | 12,048,716 | 10,783,602 |
| TOTAL - Pmts to Local Governments | 11,929,862 | 12,245,887 | 12,245,887 | 12,245,887 | 11,024,576 | 12,245,887 | 12,245,887 | 10,783,602 |
| TOTAL - General Fund | 63,556,468 | 34,865,476 | 35,150,084 | 35,196,644 | 19,812,581 | 35,250,233 | 35,301,857 | 19,603,408 |

Insurance Fund

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|---------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| <u>Other Current Expenses</u> | | | | | | | | |
| Needle and Syringe Exchange | 0 | 0 | 0 | 0 | 459,416 | 0 | 0 | 459,416 |
| AIDS Services | 0 | 0 | 0 | 0 | 4,890,686 | 0 | 0 | 4,890,686 |
| Breast and Cervical Cancer Detection and Treatment | 0 | 0 | 0 | 0 | 2,145,586 | 0 | 0 | 2,150,565 |
| Immunization Services | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 32,728,052 | 33,986,824 | 34,000,718 | 34,000,718 |
| TOTAL - Other Current Expenses | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 40,223,740 | 33,986,824 | 34,000,718 | 41,501,385 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| X-Ray Screening and Tuberculosis Care | 0 | 0 | 0 | 0 | 1,115,148 | 0 | 0 | 1,115,148 |
| TOTAL - Pmts to Other than Local Govts | 0 | 0 | 0 | 0 | 1,115,148 | 0 | 0 | 1,115,148 |
| <u>Pmts to Local Governments</u> | | | | | | | | |
| Venereal Disease Control | 0 | 0 | 0 | 0 | 197,171 | 0 | 0 | 197,171 |
| TOTAL - Pmts to Local Governments | 0 | 0 | 0 | 0 | 197,171 | 0 | 0 | 197,171 |
| TOTAL - Insurance Fund | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 41,536,059 | 33,986,824 | 34,000,718 | 42,813,704 |

Additional Funds Available

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| Private Funds | 2,643,091 | 2,383,482 | 2,137,333 | 2,137,333 | 2,137,333 | 2,128,333 | 2,128,333 | 2,128,333 |
| Federal Contributions | | | | | | | | |
| 10479 Food Safety Cooperative Agreements | 794 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10557 Special Supplement Nutrition Pgm | 32,704,360 | 47,304,351 | 47,304,351 | 47,304,351 | 47,304,351 | 47,304,351 | 47,304,351 | 47,304,351 |
| 10561 State Admin Match Grt Food Stamp | 654,871 | 345,843 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| 10578 WIC Grants To States(WGS) | 668,127 | 2,993,338 | 722,500 | 722,500 | 722,500 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 461,552 | 509,459 | 509,459 | 509,459 | 509,459 | 509,459 | 509,459 | 509,459 |
| 93070 Environmental Public Health And Emergency Response | 262,406 | 575,000 | 575,000 | 575,000 | 575,000 | 575,000 | 575,000 | 575,000 |
| 93092 Personal Responsibility Education Program | 445,069 | 553,074 | 553,074 | 553,074 | 553,074 | 553,074 | 553,074 | 553,074 |

| | | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|------------|
| 93094 Well-Integrated Screening And Evaluation For Women | 0 | 603,902 | 603,902 | 603,902 | 603,902 | 603,902 | 603,902 | 603,902 | 603,902 |
| 93110 Maternal & Child Health | 481,512 | 414,613 | 414,613 | 414,613 | 414,613 | 300,000 | 300,000 | 300,000 | 300,000 |
| 93116 Grants for Tuberculosis Control Programs | 420,657 | 714,569 | 632,410 | 632,410 | 632,410 | 632,410 | 632,410 | 632,410 | 632,410 |
| 93118 Acquired Immunodeficiency Syndrome | 884,736 | 897,337 | 897,337 | 897,337 | 897,337 | 897,337 | 897,337 | 897,337 | 897,337 |
| 93130 Primary Care Svcs Resource Coord | 144,973 | 195,757 | 207,754 | 207,754 | 207,754 | 210,575 | 210,575 | 210,575 | 210,575 |
| 93136 Injury Prevention & Control Research | 300,429 | 317,123 | 317,123 | 317,123 | 317,123 | 317,123 | 317,123 | 317,123 | 317,123 |
| 93251 Universal Newborn Hearing Screening | 242,575 | 241,050 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 93268 Immunization Grants | 3,739,770 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 |
| 93283 Ctrs-Disease Control & Prevention | 5,123,462 | 5,885,974 | 6,046,077 | 6,046,077 | 6,046,077 | 6,063,901 | 6,063,901 | 6,063,901 | 6,063,901 |
| 93296 Grant to Improve Minority Health | 153,543 | 153,543 | 153,543 | 153,543 | 153,543 | 153,543 | 153,543 | 153,543 | 153,543 |
| 93314 Early Hearing Detection And Intervention Informati | 0 | 134,047 | 131,857 | 131,857 | 131,857 | 129,535 | 129,535 | 129,535 | 129,535 |
| 93414 Arra - State Primary Care Offices | 94,886 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93505 Affordable Care Act (Aca) Maternal, Infant, And Ea | 7,509,373 | 2,219,407 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93507 Strengthening Public Health Infrastructure For Imp | 47 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93520 Centers For Disease Control And Prevention | 54,420 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93521 The Affordable Care Act | 1,233,227 | 1,605,241 | 1,490,806 | 1,490,806 | 1,490,806 | 1,445,995 | 1,445,995 | 1,445,995 | 1,445,995 |
| 93531 Community Transformation Grants And National Disse | 489,051 | 184,775 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93539 Health Immunization Infrastructure And Performance | 220,421 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93544 The Patient Protection And Affordable Care Act Of | 161,424 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93576 Refugee & Entrant Assistance Grants | 104,607 | 97,252 | 97,252 | 97,252 | 97,252 | 97,252 | 97,252 | 97,252 | 97,252 |
| 93745 Health Care Surveillance/Health Statistics | 144,426 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93757 State Public Health Actions To Prevent And Control | 0 | 466,295 | 466,295 | 466,295 | 466,295 | 466,295 | 466,295 | 466,295 | 466,295 |
| 93777 State Survey & Certification of Health | 4,416 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 National Bioterrorism Hospital Preparedness | -24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93917 HIV Care Formula Grants | 29,932,934 | 28,393,861 | 29,325,000 | 29,325,000 | 29,325,000 | 30,325,000 | 30,325,000 | 30,325,000 | 30,325,000 |
| 93919 Cooperative Agreements for State-Based | 1,068,768 | 1,370,600 | 1,370,600 | 1,370,600 | 1,370,600 | 1,370,600 | 1,370,600 | 1,370,600 | 1,370,600 |
| 93928 Special Projects of National Significa | -6,829 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93938 Coop Agree-School Health Pgm | 11,403 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93940 HIV Prevention Activities Health Depar | 3,762,705 | 5,027,751 | 5,027,751 | 5,027,751 | 5,027,751 | 5,027,751 | 5,027,751 | 5,027,751 | 5,027,751 |
| 93941 HIV Demonstration, Research, Public an | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93945 Asst Pgm-Chronic Disease | 751,781 | 1,082,393 | 1,082,393 | 1,082,393 | 1,082,393 | 1,082,393 | 1,082,393 | 1,082,393 | 1,082,393 |
| 93946 Cooperative Agreements to Support Stat | 193,807 | 193,806 | 193,806 | 193,806 | 193,806 | 193,806 | 193,806 | 193,806 | 193,806 |
| 93977 Preventive Health Services Sexually Tr | 519,974 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93991 Preventive Health & Health Services | 203,045 | 271,902 | 271,902 | 271,902 | 271,902 | 271,902 | 271,902 | 271,902 | 271,902 |
| 93994 Maternal & Child Health Services | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 | 3,686,105 |
| 99999 Other | 1,191 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 163,029,553 | 178,696,767 | 176,185,824 | 176,242,939 | 169,666,883 | 177,682,699 | 177,748,217 | 170,862,754 | |

REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 16-261a, 19a-2a, 19a-14, 19a-29a, 19a-36, 19a-310, 19a-313, 19-320, 19a-329-333, 20-341a-341m, 20-358-366, 20-435-442, 20-474-482, 22a-430(g), 22a-434a, 25-32 *et seq.*, 25-40, 31-40a, and 31-400.

Statement of Need and Program Objectives

To ensure the quality of environmental public health services through the development and enforcement of state and federal laws and regulations and promote the

expansion or development of new services to meet consumer needs.

Program Description

The *Branch of Regulatory Services* protects the public health by ensuring provision of local health services, drinking water safety, and effective environmental public health service and providers. Three major program components are responsible for implementing state and federal regulatory programs:

The *Drinking Water Section* is responsible for the administration of all state and federal safe drinking water requirements. The section holds Environmental Protection Agency (EPA) primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund. It assures the security, quality and adequacy of our state’s public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. Over 2,600 entities provide drinking water to almost 2.6 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are also coordinated.

The *Environmental Health Section* works closely with local health departments and sister agencies. Section responsibilities include: initial licensure and regulatory oversight of 8,852 environmental health practitioners; certification of 180 environmental laboratories; ongoing training and certification of 374 local health officials to inspect food service establishments; ongoing training and annual refresher courses in lead inspection for approximately 250 local health officials that respond to childhood lead poisoning cases; and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition, the section ensures compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies and provides technical assistance to the workforce and others; approves new public swimming pools and ensures the safe use of swimming areas; and approves public mausoleums and columbaria, crematories and private burial grounds.

Section staff also review and approve plans for large sub-surface sewage disposal systems, and issue

exceptions for central sewage systems, off-site sewage systems and for separating distance reductions to water supply wells; and approve proprietary sub-surface sewage system components. The private well program provides education and outreach to the estimated 867,000 people in Connecticut served by their own private well.

Staff within the section’s environmental and occupational health assessment program are responsible for providing technical assistance on a variety of topics, assessing risk from environmental and toxic hazards, and investigating outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases.

Funds for lead poisoning prevention programs are awarded to local health departments who test all children under the age of two for lead poisoning. If a child is found to be lead poisoned, an epidemiological investigation and an environmental assessment are conducted of the property where the child lives. DPH follows up to assist the local department with abatement and additional follow-up measures as appropriate.

The *Office of Local Health Administration* serves as the primary interface between DPH and Connecticut’s local health departments (LHDs). Responsibilities include advising the Commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, and local officials and residents on local public health issues. The office also provides guidance to part-time local health departments seeking to become full-time health departments, or to form and/or join other health districts.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Percent of population receiving full-time local health services | 94 | 94 | 94 | 94 |
| Water supply well pollution assessment for septic system repair within sanitary protective radius | 100 | 100 | 100 | 100 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|----------------|-------------------|-------------------|-------------------|---------------------|---------------------|-------------------|---------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 55 | 1 | 0 | 56 | 56 | 56 | 56 | 56 |
| Federal Funds | 39 | 15 | -2 | 52 | 52 | 52 | 51 | 51 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 6,866,416 | 4,983,080 | 5,096,699 | 5,101,558 | 5,101,558 | 5,146,641 | 5,145,143 | 5,145,143 |
| Other Expenses | 237,790 | 154,685 | 122,543 | 123,355 | 119,685 | 125,990 | 127,817 | 119,685 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Children's Health Initiatives | 1,360,158 | 1,368,744 | 1,368,744 | 1,368,744 | 1,291,413 | 1,368,744 | 1,368,744 | 1,291,413 |
| Childhood Lead Poisoning | 59,485 | 72,362 | 72,362 | 72,362 | 0 | 72,362 | 72,362 | 0 |
| TOTAL - Other Current Expenses | 1,419,643 | 1,441,106 | 1,441,106 | 1,441,106 | 1,291,413 | 1,441,106 | 1,441,106 | 1,291,413 |
| TOTAL - General Fund | 8,523,849 | 6,578,871 | 6,660,348 | 6,666,019 | 6,512,656 | 6,713,737 | 6,714,066 | 6,556,241 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 88,252 | 344,804 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| Federal Contributions | | | | | | | | |
| 10559 Summer Food Svc Pgm for Children | 17,000 | 17,426 | 17,426 | 17,426 | 17,426 | 17,426 | 17,426 | 17,426 |
| 66032 State Indoor Radon Grants | 133,248 | 254,245 | 194,000 | 194,000 | 194,000 | 194,000 | 194,000 | 194,000 |
| 66202 Congressionally Mandated Projects | 6,535 | 11,301 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66432 State Public Water System Supervision | 1,116,220 | 1,248,842 | 1,248,842 | 1,248,842 | 1,248,842 | 1,248,842 | 1,248,842 | 1,248,842 |
| 66468 Capitalization Grants for Drinking Water | 7,652,011 | 7,605,827 | 7,605,827 | 7,605,827 | 7,605,827 | 7,605,827 | 7,605,827 | 7,605,827 |
| 66472 Beach Monitoring & Notification | 190,610 | 160,674 | 160,674 | 160,674 | 160,674 | 160,674 | 160,674 | 160,674 |
| 66474 Water Protection Grants to the States | 20,079 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66701 Toxic Substances Compliance Monitoring | 114,049 | 203,471 | 203,471 | 203,471 | 203,471 | 204,425 | 204,425 | 204,425 |
| 66707 TSCA Title IV St Lead Grants Certificate | 172,515 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 66953 Building Capacity To Implement Epa National Guidel | 99,120 | 680,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 282,568 | 297,123 | 297,123 | 297,123 | 297,123 | 297,123 | 297,123 | 297,123 |
| 93070 Environmental Public Health And Emergency Response | 104,231 | 134,000 | 134,000 | 134,000 | 134,000 | 134,000 | 134,000 | 134,000 |
| 93103 Food & Drug Administration Research | 125,842 | 99,606 | 97,606 | 97,606 | 97,606 | 97,606 | 97,606 | 97,606 |
| 93240 State Capacity Building | 440,847 | 498,307 | 498,307 | 498,307 | 498,307 | 498,307 | 498,307 | 498,307 |
| 93262 Occupational Safety and Health Program | 100,633 | 119,999 | 119,999 | 119,999 | 119,999 | 119,999 | 119,999 | 119,999 |
| 93283 Ctrs-Disease Control & Prevention | 3,142 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 93538 Affordable Care Act - National Environmental Publi | 592,089 | 693,614 | 693,614 | 693,614 | 693,614 | 693,614 | 693,614 | 693,614 |
| 93667 Social Services Block Grant | 666,791 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 20,449,631 | 19,203,110 | 18,486,237 | 18,491,908 | 18,338,545 | 18,540,580 | 18,540,909 | 18,383,084 |

LABORATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, newborn genetic/metabolic diseases and environmental chemicals and toxins, providing test data to health and environmental agencies for

disease prevention and control and for environmental remediation.

Program Description

The Dr. Katherine A. Kelly State Public Health Laboratory in Rocky Hill supports the needs of all communities in the state by analyzing human clinical specimens and environmental samples submitted by federal and state agencies, local health departments, the health care community and water utilities. Analytical data is used to monitor for agents harmful to the public, identify the cause of outbreaks, and

assure that control measures are effective. The laboratory is comprised of the following testing units:

Biological Science Services tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, sexually transmitted diseases, and viral diseases transmitted by mosquitoes and ticks.

The laboratory also exclusively provides testing for rabies virus, DeoxyriboNucleic Acid (DNA) fingerprinting of food-borne pathogens, and testing of all Connecticut newborns for the presence of approximately 66 inherited disorders that cause severe mental and/or physical illnesses. It provides blood lead testing for uninsured and underinsured children. The laboratory is designated as the state’s biological terrorism response laboratory and is certified to analyze samples for biological weapons of mass destruction (WMD) identified by the Centers for Disease Control and Prevention. It responds to bioterrorism threats and provides identification

services for “white powder” events for state and federal law enforcement.

Environmental Chemistry Services tests for over 100 toxic chemicals in housing and schools; public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; and in consumer products and other materials where there is potential human exposure. It also provides analytical support by testing environmental samples collected for investigations involving elevated blood lead levels (EBLLs) in children, and tests samples such as old paint, folk medicines or other environmental sources implicated in exposures of children with EBLLs.

Other services include monitoring the nuclear power industry, serving on the state’s nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is designated as the state’s chemical terrorism response laboratory to provide testing of clinical specimens in the event of a terrorist attack involving chemical agents or WMDs.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Number of newborns screened for genetic and metabolic disorders | 40,000 | 40,000 | 40,000 | 40,000 |
| Number of external accreditations earned/percent correct on proficiency performance | 11/98.8% | 11/98.8% | 11/98% | 11/98% |
| Number of specimen & sample transport kits for infectious diseases sent to Connecticut submitters | 53,000 | 53,000 | 53,000 | 53,000 |
| Number of environmental test procedures for chemicals and toxins | 20,300 | 21,000 | 21,000 | 21,000 |
| Number of environmental samples analyzed for chemicals and toxins | 38,000 | 42,000 | 42,000 | 42,000 |
| Specimens and samples tested/year | 165,477 | 166,000 | 166,000 | 166,000 |

Personnel Summary

| | 06/30/2014 | | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|--------|---------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 63 | 8 | 0 | 71 | 71 | 71 | 71 | 71 |
| Federal Funds | 21 | 1 | -1 | 21 | 21 | 21 | 21 | 21 |
| Private Funds | 2 | 0 | 2 | 4 | 4 | 4 | 4 | 4 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 4,390,906 | 4,924,750 | 5,178,674 | 5,175,783 | 4,575,783 | 5,225,262 | 5,222,574 | 4,622,574 |
| Other Expenses | 4,606,675 | 4,613,485 | 5,265,238 | 5,133,392 | 4,852,092 | 5,778,471 | 5,510,869 | 5,092,459 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Genetic Diseases Programs | 570,737 | 599,177 | 600,666 | 599,177 | 0 | 600,666 | 599,177 | 0 |
| TOTAL - Pmts to Other than Local Govts | 570,737 | 599,177 | 600,666 | 599,177 | 0 | 600,666 | 599,177 | 0 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| TOTAL - General Fund | 9,568,318 | 10,137,412 | 11,044,578 | 10,908,352 | 9,427,875 | 11,604,399 | 11,332,620 | 9,715,033 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 1,083,128 | 1,735,000 | 1,735,000 | 1,735,000 | 3,109,177 | 1,735,000 | 1,735,000 | 3,109,177 |
| Federal Contributions | | | | | | | | |
| 10479 Food Safety Cooperative Agreements | 108,021 | 117,850 | 125,000 | 125,000 | 125,000 | 135,000 | 135,000 | 135,000 |
| 66432 State Public Water System Supervision | 171,311 | 151,158 | 151,158 | 151,158 | 151,158 | 151,158 | 151,158 | 151,158 |
| 66472 Beach Monitoring & Notification | 26,222 | 49,326 | 49,326 | 49,326 | 49,326 | 49,326 | 49,326 | 49,326 |
| 93069 Public Health Emergency Preparedness | 1,040,409 | 1,001,385 | 1,001,385 | 1,001,385 | 1,001,385 | 1,001,385 | 1,001,385 | 1,001,385 |
| 93116 Grants for Tuberculosis Control Programs | 84,166 | 48,354 | 48,354 | 48,354 | 48,354 | 48,354 | 48,354 | 48,354 |
| 93268 Immunization Grants | 8,830 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93283 Ctrs-Disease Control & Prevention | 396,612 | 332,407 | 266,300 | 266,300 | 266,300 | 266,300 | 266,300 | 266,300 |
| 93521 The Affordable Care Act | 148,107 | 147,000 | 147,000 | 147,000 | 147,000 | 147,000 | 147,000 | 147,000 |
| 93940 HIV Prevention Activities Health | 79,132 | 206,677 | 206,677 | 206,677 | 206,677 | 206,677 | 206,677 | 206,677 |
| Depar | | | | | | | | |
| 93977 Preventive Health Services Sexually Tr | 304,381 | 756,307 | 756,307 | 756,307 | 756,307 | 756,307 | 756,307 | 756,307 |
| 93994 Maternal & Child Health Services | 534,351 | 534,351 | 534,351 | 534,351 | 534,351 | 534,351 | 534,351 | 534,351 |
| TOTAL - All Funds | 13,552,988 | 15,217,227 | 16,065,436 | 15,929,210 | 15,822,910 | 16,635,257 | 16,363,478 | 16,120,068 |

HEALTHCARE QUALITY AND SAFETY

Statutory Reference

C.G.S. Sections 19a-14, 19a-17, 19a-30, 19a-88, 19a-127i-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities; and to ensure that regulatory oversight is fair, educational and transparent.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Healthcare Systems Branch. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees who do not conform to established standards of care. The section also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The *Practitioner Licensure and Investigations Section* licenses, certifies and registers over 200,000 health practitioners in 60 professions and investigates consumer complaints and other practice related issues involving these practitioners. This section receives and processes all licensure and renewal applications and

administers examinations. Regulated health care practitioners include professionals such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. This section is also responsible for inspecting funeral homes and optical shops, maintaining the Connecticut nurse aide registry and the physician profile program and inspecting and reviewing health professional education programs for nurses, nurse aides and barbers/hairdressers.

The *Office of Licensure Regulation and Compliance (OLRC)* provides prosecutorial, regulatory, and legislative support to the section, as well as prosecutorial support for environmental and WIC hearings. The section also oversees the processing of criminal background checks for nursing home administrators and long-term care employees. The OLRC currently provides prosecutorial support to the Office of Early Childhood (OEC) child day care program, and processes criminal background checks on behalf of the OEC for all child day care providers.

The *Office of Emergency Medical Services (OEMS)* administers and enforces emergency medical services statutes, regulations, programs and policies. Responsibilities include: developing the statewide emergency medical services plan, ambulance equipment lists and various training curriculum; regulatory oversight of licensed/certified emergency response personnel and provider organizations, and approving sponsor hospital designations; work with

the EMS Advisory Board on various initiatives; conducting complaint investigations; and coordination of emergency planning and response with the

Department of Emergency Services and Public Protection (DESPP).

| Program Measure | | | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|--|--|--|----------------|----------------|----------------|----------------|
| | | | Actual | Estimated | Projected | Projected |
| Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued | | | 15,500/212,000 | 15,500/212,000 | 15,500/212,000 | 15,500/212,000 |
| Percent of long term care facilities in substantial compliance with state and federal requirements | | | 98% | 98% | 98% | 98% |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 121 | 22 | 7 | 150 | 150 | 150 | 150 | 150 |
| Federal Funds | 37 | 5 | 0 | 42 | 41 | 41 | 41 | 41 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 0 | 5 | 0 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 7 | 2 | 7 |

| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 7,232,491 | 8,720,753 | 9,207,953 | 9,279,058 | 12,025,731 | 9,286,851 | 9,366,710 | 12,135,766 |
| Other Expenses | 441,994 | 451,732 | 441,525 | 437,989 | 575,032 | 448,431 | 450,602 | 575,032 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Medicaid Administration | 2,270,158 | 2,615,699 | 2,740,366 | 2,637,390 | 0 | 2,763,952 | 2,664,128 | 0 |
| TOTAL - Other Current Expenses | 2,270,158 | 2,615,699 | 2,740,366 | 2,637,390 | 0 | 2,763,952 | 2,664,128 | 0 |
| TOTAL - General Fund | 9,944,643 | 11,788,184 | 12,389,844 | 12,354,437 | 12,600,763 | 12,499,234 | 12,481,440 | 12,710,798 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 1,169,943 | 936,667 | 951,417 | 951,417 | 411,417 | 951,417 | 951,417 | 411,417 |
| Federal Contributions | | | | | | | | |
| 93064 Laboratory Training, Evaluation, & Q | 155,373 | 94,983 | 94,983 | 94,983 | 94,983 | 94,983 | 94,983 | 94,983 |
| 93127 Emergency Med Svcs - Children | 167,367 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| 93506 Aca Nationwide Program For National And State Back | 790,144 | 990,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification of Health | 5,781,255 | 5,799,739 | 5,799,739 | 5,799,739 | 5,799,739 | 5,799,739 | 5,799,739 | 5,799,739 |
| 93991 Preventive Health & Health Services | 14,580 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| TOTAL - All Funds | 18,023,305 | 19,749,573 | 19,375,983 | 19,340,576 | 19,046,902 | 19,485,373 | 19,467,579 | 19,156,937 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 19a-72 through 74.

Statement of Need and Program Objectives

To assure that department-wide administrative activities are coordinated and accomplished in an effective and efficient manner.

Program Description

The *Contracts and Grants Management Section* provides oversight and administration of approximately 600 contracts and support services in the following contracting areas: training/education,

fiscal oversight, compliance with state and federal regulations, and contract budget planning; provides agency grantees and their auditors a single point of contact for accounting and audit issues related to grants and contracts; and serves as contract management liaison with the Office of Policy Management, the Office of the Attorney General, and the Department of Administrative Services.

The *Fiscal Office* administers budget planning and preparation; monitors state and federal grant expenditures; performs revenue accounting, accounts payable/receivable and purchasing activities, including

emphasis on procurement from small and minority-owned vendors; provides mail services and inventory control, technical assistance to DPH contracting units, and monitors the final financial settlement of agency grants and contracts.

The *Human Resources Section* provides comprehensive personnel management to the department, including labor relations for seven bargaining units and managerial/confidential employees, recruitment, merit system administration, performance appraisal review, statistical personnel status reports, payroll, fringe benefit administration, classification work for

appropriate job titles, and performance assessment and recognition system for managers.

The *Informatics Section* works both independently and in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology (DAS/BEST) to provide the highest quality of information technology support services possible. Services are delivered through two divisions, *Infrastructure* and *Development*. Responsibilities include: strategic planning; maintaining critical agency infrastructure; and providing platform, operations, networking, application and security services.

| Program Measure | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--|-------------------|----------------------|----------------------|----------------------|
| Financial oversight of state/federal/restricted accounts | 160 | 160 | 160 | 160 |
| Number of personal computers supported | 1,200 | 1,160 | 1,160 | 1,160 |
| Support of remote Women, Infants & Children (WIC) sites | 23 sites/300pc | 23 sites/300pc | 23 sites/300pc | 23 sites/300pc |
| Number of applications supported and managed | 102 | 105 | 107 | 107 |

| Personnel Summary | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 59 | 4 | 0 | 63 | 63 | 63 | 63 | 63 |
| Federal Funds | 15 | 1 | -2 | 14 | 14 | 14 | 14 | 14 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | | 0 | 0 | 0 | 0 | 0 |

| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 4,946,697 | 5,343,538 | 5,621,886 | 5,628,302 | 5,790,889 | 5,676,444 | 5,685,039 | 5,847,812 |
| Other Expenses | 777,598 | 907,722 | 958,057 | 785,750 | 764,697 | 993,039 | 812,571 | 764,697 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Medicaid Administration | 139,406 | 157,768 | 162,568 | 162,587 | 0 | 162,753 | 162,773 | 0 |
| TOTAL - Other Current Expenses | 139,406 | 157,768 | 162,568 | 162,587 | 0 | 162,753 | 162,773 | 0 |
| <u>Nonfunctional - Change to Accruals</u> | | | | | | | | |
| TOTAL - General Fund | 6,491,447 | 6,549,821 | 6,883,304 | 6,717,432 | 6,555,586 | 6,973,029 | 6,801,176 | 6,612,509 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 10557 Special Supplement Nutrition Pgm | 700,045 | 276,000 | 276,000 | 276,000 | 276,000 | 276,000 | 276,000 | 276,000 |
| 66468 Capitalization Grants for Drinking Water | 426,359 | 291,764 | 291,764 | 291,764 | 291,764 | 291,764 | 291,764 | 291,764 |
| 93069 Public Health Emergency Preparedness | 726,291 | 842,348 | 842,348 | 842,348 | 842,348 | 842,348 | 842,348 | 842,348 |
| 93251 Universal Newborn Hearing Screening | 48,779 | 8,950 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93268 Immunization Grants | 217,436 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 93283 Ctrs-Disease Control & Prevention | 46,496 | 41,000 | 42,000 | 42,000 | 42,000 | 42,000 | 42,000 | 42,000 |
| 93314 Early Hearing Detection And Intervention Informati | 0 | 35,953 | 38,143 | 38,143 | 38,143 | 40,465 | 40,465 | 40,465 |
| 93393 Cancer Cause & Prevention Research | 158,789 | 237,609 | 237,609 | 237,609 | 237,609 | 237,609 | 237,609 | 237,609 |
| 93521 The Affordable Care Act | 205,534 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 93719 Arra - State Grants To Promote Health Information | 79,760 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification of Health | 99,956 | 99,956 | 99,956 | 99,956 | 99,956 | 99,956 | 99,956 | 99,956 |
| 93889 National Bioterrorism Hospital Preparedness | 70,065 | 81,168 | 81,168 | 81,168 | 81,168 | 81,168 | 81,168 | 81,168 |
| 93917 HIV Care Formula Grants | 56,666 | 60,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| 93940 HIV Prevention Activities Health Depart | 168,272 | 180,723 | 180,723 | 180,723 | 180,723 | 180,723 | 180,723 | 180,723 |
| 93994 Maternal & Child Health Services | 207,793 | 207,793 | 207,793 | 207,793 | 207,793 | 207,793 | 207,793 | 207,793 |
| TOTAL - All Funds | 9,703,688 | 9,113,085 | 9,445,808 | 9,279,936 | 9,118,090 | 9,537,855 | 9,366,002 | 9,177,335 |

HEALTH STATISTICS AND SURVEILLANCE

Statutory Reference

C.G.S. Sections 7-42, 7-50, 7-51, 7-62b, 7-73, 19a-2a, 19a-7(a), 19a-32C, 19a-41, 19a-42, 19a-45, 19a-127(k), 19a-322, 19a-127.

Statement of Need and Program Objectives

To provide comprehensive health data for planning; conduct population health status monitoring; and provide support to DPH staff and local, regional and state partners.

Program Description

The *Health Statistics and Surveillance Section (HSS)* is responsible for data collection, analysis and dissemination of a wide range of critical public health data. HSS assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HSS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths), behavioral risk factor population surveys for adults and youth, inpatient hospitalizations, adverse event reports from hospitals and health care facilities, reports on all newly diagnosed cancer cases, and annual state-town population estimates.

The *Surveillance, Analysis and Reporting Unit* analyzes and reports annually on a wide variety of health data: births, deaths, health care facilities' reports of adverse events, inpatient hospitalizations and population estimates. These data are critical for local health status

monitoring efforts. The unit supports agency staff that use these data as well as the department's healthcare quality and genomics programs.

The *Statewide Vital Records Section* registers births, deaths and marriages; maintains the state paternity registry and collaborates with the Department of Social Services (DSS) and obstetric hospitals to encourage unwed couples to establish paternity; and processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut. This section issues certified copies of birth, marriage, death and fetal death upon request from eligible parties.

The *Connecticut Tumor Registry* is an electronic database of information on more than 1 million cancers diagnosed in Connecticut residents from 1935 through 2014. It is used by researchers to examine cancer patterns, risk factors and other cancer concerns in Connecticut. With continuing support from the National Cancer Institute, the state has the oldest cancer registry in the nation.

The *Health Survey Unit* administers the national Behavioral Risk Factor Surveillance Survey system (BRFSS) and the Youth Behavior Component (YBC) of the Connecticut School Health Survey (CSHS), which provides current data on risk behaviors and health care practices that affect our population's health. Analyses of these health data are used internally and externally to identify strategic health care needs within Connecticut and to monitor progress in meeting those needs.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|-----------------------------------|-------------------|----------------------|----------------------|----------------------|
| Vital record events: CT Births | 37,817 | 37,266 | 36,716 | 36,165 |
| Vital record events: CT Deaths | 29,605 | 29,522 | 29,438 | 29,355 |
| Vital record events: CT Marriages | 19,366 | 19,264 | 19,192 | 19,120 |

| | | | | |
|-----------------------------------|--------|--------|--------|--------|
| Vital record events: Fetal Deaths | 184 | 179 | 174 | 169 |
| Paternity Actions | 13,500 | 13,500 | 13,500 | 13,500 |
| Cancers diagnosed in CT residents | 22,047 | 21,837 | 21,629 | 21,423 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 18 | 2 | 0 | 20 | 20 | 20 | 20 | 20 |
| Federal Funds | 27 | 3 | 6 | 36 | 31 | 31 | 31 | 31 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| (Net of Reimbursements) | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 1,286,167 | 1,381,848 | 1,447,489 | 1,447,787 | 1,447,787 | 1,459,446 | 1,460,389 | 1,460,389 |
| Other Expenses | 120,213 | 120,213 | 122,997 | 122,997 | 120,213 | 126,550 | 126,550 | 120,213 |
| TOTAL - General Fund | 1,406,380 | 1,502,061 | 1,570,486 | 1,570,784 | 1,568,000 | 1,585,996 | 1,586,939 | 1,580,602 |
| Additional Funds Available | | | | | | | | |
| Private Funds | 704,444 | 71,747 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Federal Contributions | | | | | | | | |
| 93065 Laboratory Leadership, Workforce Training and Mana | 0 | 10,835 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93066 State Vital Statistics Improvement Program | 0 | 167,222 | 78,722 | 78,722 | 78,722 | 26,222 | 26,222 | 26,222 |
| 93069 Public Health Emergency Preparedness | 11,681 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 Environmental Public Health And Emergency Response | 15,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93079 Cooperative Agreements To Promote Adolescent Health | 0 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 93118 Acquired Immunodeficiency Syndrome | 32,075 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 Injury Prevention & Control Research | 233,154 | 237,969 | 501,943 | 501,943 | 501,943 | 641,769 | 641,769 | 641,769 |
| 93243 Substance Abuse & Mental Health Services | 25,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93283 Ctrs-Disease Control & Prevention | 295,355 | 309,505 | 309,505 | 309,505 | 309,505 | 309,505 | 309,505 | 309,505 |
| 93292 National Public Health Improvement Initiative | 215,843 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| 93393 Cancer Cause & Prevention Research | 2,212,507 | 2,177,785 | 2,177,785 | 2,177,785 | 2,177,785 | 2,177,785 | 2,177,785 | 2,177,785 |
| 93507 Strengthening Public Health Infrastructure For Imp | 86,404 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93521 The Affordable Care Act | 2,092 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93524 Building Capacity Of The Public Health System To I | 0 | 2,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93544 The Patient Protection And Affordable Care Act Of | 49,875 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93719 Arra - State Grants To Promote Health Information | 1,862,275 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health And Health Services Block Grant | 0 | 2,162,488 | 2,162,488 | 2,162,488 | 2,162,488 | 2,162,488 | 2,162,488 | 2,162,488 |
| 93991 Preventive Health & Health Services | 63,597 | 270,030 | 270,030 | 270,030 | 270,030 | 270,030 | 270,030 | 270,030 |
| 93994 Maternal & Child Health Services | 238,149 | 238,149 | 238,149 | 238,149 | 238,149 | 238,149 | 238,149 | 238,149 |
| 96000 Social Security Administration | 4,504 | 72,222 | 132,222 | 132,222 | 132,222 | 22,222 | 22,222 | 22,222 |
| 99999 Other | 485,962 | 907,400 | 507,127 | 507,127 | 507,127 | 157,295 | 157,295 | 157,295 |
| TOTAL - All Funds | 7,944,797 | 8,474,413 | 8,308,457 | 8,308,755 | 8,305,971 | 7,951,461 | 7,952,404 | 7,946,067 |

OFFICE OF HEALTH CARE ACCESS

Statutory Reference

C.G.S. Sections 19a-612 through 689.

Statement of Need and Program Objectives

To administer the Certificate of Need (CON) program to ensure that access to quality health care services is maintained or improved and costly duplication of services is prevented.

Program Description

The Office of Health Care Access' (OHCA) regulatory and planning activities are intended to increase accessibility, continuity and quality of health care services, prevent unnecessary duplication of health resources and provide financial stability and cost containment of health care services. The CON program promotes access, ensures quality and controls costs by limiting the establishment or termination of certain health care services, the acquisition of certain medical equipment and the change in ownership of certain health care facilities to those that are found to be needed, based on ten statutory criteria.

By law, the office's biennial Statewide Health Care Facilities and Services Plan must be considered when rendering a CON decision, as it contains standards, guidelines and methodologies that are to be utilized in the CON review process. The plan also examines areas of unmet need and identifies gaps in health care services. Additionally, it includes an inventory of health care facilities, services and equipment and reports on utilization, providing a means of monitoring the adequacy of access.

OHCA also collects, analyzes and reports on a wide range of hospital financial and discharge data, including revenues, expenses, uncompensated care, inpatient utilization, and payer mix, as well as various financial performance measures and utilization trends. Hospital financial and discharge data are used in support of the CON program and OHCA's facility and services planning efforts, as well as to inform the public, the health care industry and policy makers on the state's health care environment.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|----------------------------------|-------------------|----------------------|----------------------|----------------------|
| Number of CON decisions rendered | 27 | 54 | 54 | 54 |
| Number of CON determinations | 53 | 65 | 65 | 65 |
| Number of CON hearings conducted | 13 | 18 | 18 | 18 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 18 | 0 | 1 | 19 | 19 | 19 | 19 | 19 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| | | | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary (Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|----------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 1,594,862 | 1,685,672 | 1,780,279 | 1,783,748 | 1,783,748 | 1,791,085 | 1,795,625 | 1,795,625 |
| Other Expenses | 123,401 | 104,501 | 105,723 | 104,723 | 103,501 | 106,069 | 106,298 | 103,501 |
| TOTAL - General Fund | 1,718,263 | 1,790,173 | 1,886,002 | 1,888,471 | 1,887,249 | 1,897,154 | 1,901,923 | 1,899,126 |
| TOTAL - All Funds | 1,718,263 | 1,790,173 | 1,886,002 | 1,888,471 | 1,887,249 | 1,897,154 | 1,901,923 | 1,899,126 |

AGENCY FINANCIAL SUMMARY - General Fund

| Current Expenses by Minor Object | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 31,154,394 | 33,429,227 | 34,581,041 | 38,021,564 | 34,869,427 | 38,346,248 |
| Other Positions | 773,274 | 590,548 | 618,833 | 619,459 | 623,302 | 624,122 |
| Other | 940,092 | 288,496 | 732,897 | 303,095 | 736,737 | 303,095 |
| Overtime | 104,270 | 83,063 | 87,151 | 87,223 | 87,848 | 87,946 |
| Reimbursements | 0 | 0 | 0 | -600,000 | 0 | -600,000 |
| TOTAL - Personal Services | 32,972,030 | 34,391,334 | 36,019,922 | 38,431,341 | 36,317,314 | 38,761,411 |
| <u>Other Expenses</u> | | | | | | |
| Advertising and Marketing | 7,057 | 5,580 | 5,709 | 5,580 | 5,873 | 5,580 |
| Agriculture, Horticulture, Dairy & Food | 2,152 | 2,152 | 2,196 | 2,152 | 2,246 | 2,152 |
| Books | 2,984 | 2,981 | 3,048 | 2,981 | 3,136 | 2,981 |
| DP Services, Rentals and Maintenance | 469,973 | 470,265 | 545,786 | 513,467 | 563,470 | 514,837 |
| Dues and Subscriptions | 60,158 | 60,158 | 61,550 | 60,158 | 63,330 | 60,158 |
| Employee Fringe Benefits | 1,730 | 1,730 | 1,730 | 1,730 | 1,730 | 1,730 |
| Fees for Non-Professional Services | 92,688 | 108,694 | 90,735 | 88,694 | 93,531 | 88,694 |
| Fees for Outside Professional Services | 158,767 | 362,860 | 371,273 | 212,860 | 376,716 | 212,860 |
| Fuel | 187,649 | 187,649 | 192,133 | 186,992 | 213,551 | 198,713 |
| General Repairs | 2,024,309 | 2,064,369 | 2,305,433 | 2,227,658 | 2,633,751 | 2,393,768 |
| Maintenance and Motor Vehicle Supplies | 60,843 | 45,144 | 66,606 | 65,144 | 68,893 | 65,144 |
| Medical Supplies | 13,168 | 12,725 | 13,169 | 12,725 | 13,655 | 12,725 |
| Motor Vehicle Expenses | 194,422 | 120,677 | 123,472 | 120,677 | 127,039 | 120,677 |
| Office Supplies | 1,400,594 | 1,353,804 | 1,481,231 | 1,224,962 | 1,553,367 | 1,224,962 |
| Other Contractual Services | 96,270 | 56,835 | 68,134 | 56,835 | 70,341 | 56,835 |
| Postage | 331,664 | 281,664 | 345,170 | 338,639 | 359,695 | 338,639 |
| Printing & Binding | 194,480 | 187,239 | 191,579 | 187,239 | 197,117 | 187,239 |
| Rentals, Storage and Leasing | 15,723 | 15,723 | 16,087 | 15,723 | 16,552 | 15,723 |
| Sundry - Other Items | 196,050 | 193,015 | 188,944 | 300,315 | 189,402 | 300,315 |
| Telecommunication Services | 273,252 | 272,520 | 278,831 | 272,020 | 286,890 | 272,020 |
| Travel | 18,203 | 24,036 | 24,587 | 24,036 | 25,297 | 24,036 |
| Utility Services | 922,379 | 945,870 | 1,110,018 | 1,089,643 | 1,195,728 | 1,150,809 |
| TOTAL - Other Expenses | 6,724,515 | 6,775,690 | 7,487,421 | 7,010,230 | 8,061,310 | 7,250,597 |
| <u>Equipment</u> | | | | | | |
| Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| TOTAL - Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | |
| Needle and Syringe Exchange | 459,416 | 459,416 | 459,416 | 0 | 459,416 | 0 |
| Children's Health Initiatives | 2,480,519 | 2,057,286 | 2,061,969 | 1,968,685 | 2,063,302 | 1,972,746 |
| Childhood Lead Poisoning | 59,485 | 72,362 | 72,362 | 0 | 72,362 | 0 |
| AIDS Services | 4,718,255 | 4,975,686 | 4,997,898 | 0 | 5,023,422 | 0 |
| Breast & Cervical Cancer Detectn/Treatment | 2,177,405 | 2,213,575 | 2,225,060 | 0 | 2,230,356 | 0 |
| Children w/Special Hlth Care Needs | 1,217,297 | 1,220,505 | 1,220,505 | 1,037,429 | 1,220,505 | 1,037,429 |
| Medicaid Administration | 2,409,564 | 2,773,467 | 2,902,934 | 0 | 2,926,705 | 0 |
| Immunization Services | 30,074,419 | 0 | 0 | 0 | 0 | 0 |
| Maternal Mortality Review | 0 | 104,000 | 104,000 | 0 | 104,000 | 0 |
| TOTAL - Other Current Expenses | 43,596,360 | 13,876,297 | 14,044,144 | 3,006,114 | 14,100,068 | 3,010,175 |
| <u>Pmts to Other Than Local Govts</u> | | | | | | |
| Community Health Services | 6,212,732 | 6,213,866 | 6,213,866 | 1,508,515 | 6,213,866 | 1,508,515 |
| Rape Crisis | 421,986 | 622,008 | 622,008 | 422,008 | 622,008 | 422,008 |
| X-Ray Screening and Tuberculosis Care | 703,580 | 1,195,148 | 1,230,436 | 0 | 1,267,747 | 0 |
| Genetic Diseases Programs | 794,335 | 837,072 | 837,072 | 211,066 | 837,072 | 211,066 |
| TOTAL - Pmts to Other Than Local Govts | 8,132,633 | 8,868,094 | 8,903,382 | 2,141,589 | 8,940,693 | 2,141,589 |
| <u>Pmts to Local Governments</u> | | | | | | |
| Local & District Departments of Health | 4,669,172 | 4,685,779 | 4,685,779 | 4,692,648 | 4,685,779 | 4,692,648 |
| Venereal Disease Control | 187,362 | 197,171 | 197,171 | 0 | 197,171 | 0 |
| School Based Health Clinics | 11,742,500 | 12,048,716 | 12,048,716 | 11,024,576 | 12,048,716 | 10,783,602 |
| TOTAL - Pmts to Local Governments | 16,599,034 | 16,931,666 | 16,931,666 | 15,717,224 | 16,931,666 | 15,476,250 |
| <u>Nonfunctional - Change to Accruals</u> | 627,746 | 140,792 | 140,792 | 0 | 140,792 | 0 |

AGENCY FINANCIAL SUMMARY - Insurance Fund

| <i>Current Expenses by Minor Object</i> | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <u><i>Other Current Expenses</i></u> | | | | | | |
| Needle and Syringe Exchange | 0 | 0 | 0 | 459,416 | 0 | 459,416 |
| AIDS Services | 0 | 0 | 0 | 4,890,686 | 0 | 4,890,686 |
| Breast & Cervical Cancer Detectn/Treatment | 0 | 0 | 0 | 2,145,586 | 0 | 2,150,565 |
| Immunization Services | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 33,986,824 | 34,000,718 |
| TOTAL - Other Current Expenses | 0 | 31,509,441 | 32,717,497 | 40,223,740 | 33,986,824 | 41,501,385 |
| <u><i>Pmts to Other Than Local Govts</i></u> | | | | | | |
| X-Ray Screening and Tuberculosis Care | 0 | 0 | 0 | 1,115,148 | 0 | 1,115,148 |
| TOTAL - Pmts to Other Than Local Govts | 0 | 0 | 0 | 1,115,148 | 0 | 1,115,148 |
| <u><i>Pmts to Local Governments</i></u> | | | | | | |
| Venereal Disease Control | 0 | 0 | 0 | 197,171 | 0 | 197,171 |
| TOTAL - Pmts to Local Governments | 0 | 0 | 0 | 197,171 | 0 | 197,171 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|--------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 32,972,030 | 34,391,334 | 36,019,922 | 36,122,081 | 38,431,341 | 36,317,314 | 36,429,582 | 38,761,411 |
| Other Expenses | 6,724,515 | 6,775,690 | 7,487,421 | 7,191,434 | 7,010,230 | 8,061,310 | 7,628,071 | 7,250,597 |
| Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Other Current Expenses | 43,596,360 | 13,876,297 | 14,044,144 | 13,950,885 | 3,006,114 | 14,100,068 | 14,011,909 | 3,010,175 |
| Pmts to Other than Local Govts | 8,132,633 | 8,868,094 | 8,903,382 | 8,903,382 | 2,141,589 | 8,940,693 | 8,940,693 | 2,141,589 |
| Pmts to Local Governments | 16,599,034 | 16,931,666 | 16,931,666 | 16,938,535 | 15,717,224 | 16,931,666 | 16,938,535 | 15,476,250 |
| Nonfunctional - Change to Accruals | 627,746 | 140,792 | 140,792 | 140,792 | 0 | 140,792 | 140,792 | 0 |
| TOTAL - General Fund | 108,652,318 | 80,983,874 | 83,527,328 | 83,247,110 | 66,306,498 | 84,491,844 | 84,089,583 | 66,640,022 |
| Insurance Fund | | | | | | | | |
| Other Current Expenses | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 40,223,740 | 33,986,824 | 34,000,718 | 41,501,385 |
| Pmts to Other than Local Govts | 0 | 0 | 0 | 0 | 1,115,148 | 0 | 0 | 1,115,148 |
| Pmts to Local Governments | 0 | 0 | 0 | 0 | 197,171 | 0 | 0 | 197,171 |
| TOTAL - Insurance Fund | 0 | 31,509,441 | 32,717,497 | 32,728,052 | 41,536,059 | 33,986,824 | 34,000,718 | 42,813,704 |
| Federal and Other Activities | 138,832,817 | 157,776,697 | 150,953,341 | 150,953,341 | 150,953,341 | 150,627,010 | 150,627,010 | 150,627,010 |
| Private Funds | 12,451,499 | 8,723,448 | 6,888,750 | 6,888,750 | 7,722,927 | 6,879,750 | 6,879,750 | 7,713,927 |
| TOTAL - All Funds Net | 259,936,634 | 278,993,460 | 274,086,916 | 273,817,253 | 266,518,825 | 275,985,428 | 275,597,061 | 267,794,663 |



AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide or under suspicious circumstances; sudden or unexpected deaths not due to readily recognizable disease or within 24 hours of hospital admission; deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths resulting from employment; deaths due to disease which might constitute a threat to the public health, and deaths under anesthesia, in the operating or recovery room, following transfusions, or during diagnostic procedures.

Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths, by issuing an accurate death certificate with an etiologically specific underlying cause of death, and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse. Information provided by these death investigations may lead to proper adjudication in criminal and civil matters.

AGENCY PROGRAM INDEX

Medicolegal Investigations

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---|------------------|------------------|
| Reductions | | |
| • Remove or Limit Inflation | -27,144 | -60,039 |
| • Annualize FY 2015 Rescissions | -1,370 | -1,370 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Reallocations | | |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -23,816 | -23,816 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 43 | 10 | -3 | 50 | 50 | 50 | 50 | 50 |
| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Medicolegal Investigations | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |
| TOTAL Agency Programs - All Fund Gross | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |
| Summary of Funding | | | | | | | | |
| General Fund | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |
| TOTAL Agency Programs - All Funds Net | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |

AUTOPSIES AND EXAMINATIONS

Statutory Reference

C.G.S. Sections 19a-400 through 19a-414.

Statement of Need and Program Objectives

To provide accurate certification of the cause and manner of death and to identify, document, and interpret relevant forensic scientific information for use in criminal and civil legal proceedings necessary for the investigation of violent, suspicious, and sudden unexpected deaths.

Program Description

The initial investigation begins when a death is reported to the agency. An inquiry is made into the circumstances surrounding the death and a determination is made whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. In FY 2014, the agency had 19,524 deaths reported of which 16,819 were accepted under the agency's jurisdiction. Of those, 2,061 were brought in for autopsy or examination. These numbers are approximately 4-5 percent higher than reported in FY 2013.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed. Autopsy report completion times exceeded accreditation requirements with greater than 90% of reports completed within 60 and 90 days following the autopsy.

Complete records of all investigations are maintained by the agency and are available to the family of the

deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to other individuals with the written consent of the family, by court order, or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Child Advocate, Transportation, Correction, and Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law) or the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists and investigators are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses, mortuary students, and other interested groups. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic, and other scientific information.

Personnel Summary

Permanent Full-Time Positions

General Fund

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 43 | 10 | -3 | 50 | 50 | 50 | 50 | 50 |

Other Positions Equated to Full-Time

General Fund

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------|-------------------|----------------------|----------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary

(Net of Reimbursements)

General Fund

Personal Services
Other Expenses

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|-------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| Personal Services | 4,153,749 | 4,607,399 | 4,823,925 | 4,825,259 | 4,825,259 | 4,856,044 | 4,857,946 | 4,857,946 |
| Other Expenses | 1,203,791 | 1,129,054 | 1,156,198 | 1,156,198 | 1,129,054 | 1,189,093 | 1,189,093 | 1,129,054 |

| | | | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 |
| TOTAL - Capital Outlay | 0 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Medicolegal Investigations | 27,295 | 27,417 | 27,417 | 27,417 | 26,047 | 27,417 | 27,417 | 26,047 |
| TOTAL - Other Current Expenses | 27,295 | 27,417 | 27,417 | 27,417 | 26,047 | 27,417 | 27,417 | 26,047 |
| <u>Nonfunctional - Change to Accruals</u> | | | | | | | | |
| | 48,763 | 23,816 | 23,816 | 23,816 | 0 | 23,816 | 23,816 | 0 |
| TOTAL - General Fund | 5,433,600 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |
| TOTAL - All Funds | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |

AGENCY FINANCIAL SUMMARY - General Fund

| Current Expenses by Minor Object | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended | | |
|---|-------------------|----------------------|----------------------|------------------------|------------------------|------------------------|---------------------|------------------------|
| <u>Personal Services</u> | | | | | | | | |
| Permanent Fulltime Positions | 3,578,657 | 3,849,016 | 3,999,568 | 4,041,649 | 4,027,680 | 4,070,551 | | |
| Other Positions | 316,718 | 340,238 | 357,162 | 357,266 | 359,672 | 359,821 | | |
| Other | 111,583 | 254,307 | 295,208 | 254,307 | 295,496 | 254,307 | | |
| Overtime | 146,791 | 163,838 | 171,987 | 172,037 | 173,196 | 173,267 | | |
| TOTAL - Personal Services | 4,153,749 | 4,607,399 | 4,823,925 | 4,825,259 | 4,856,044 | 4,857,946 | | |
| <u>Other Expenses</u> | | | | | | | | |
| Clothing and Personal Supplies | 11,390 | 799 | 817 | 799 | 841 | 799 | | |
| DP Services, Rentals and Maintenance | 10,754 | 10,056 | 10,289 | 10,056 | 10,586 | 10,056 | | |
| Dues and Subscriptions | 852 | 1,704 | 1,743 | 1,704 | 1,793 | 1,704 | | |
| Fees for Non-Professional Services | 746,418 | 671,528 | 687,107 | 671,528 | 706,964 | 671,528 | | |
| Fees for Outside Professional Services | 91,810 | 95,868 | 98,092 | 95,868 | 100,926 | 95,868 | | |
| Maintenance and Motor Vehicle Supplies | 54,749 | 50,848 | 52,985 | 50,848 | 53,999 | 50,848 | | |
| Medical Supplies | 24,033 | 20,859 | 21,342 | 20,859 | 21,959 | 20,859 | | |
| Motor Vehicle Expenses | 70,016 | 64,217 | 65,706 | 64,217 | 67,605 | 64,217 | | |
| Office Supplies | 135,039 | 169,983 | 173,926 | 169,983 | 178,952 | 169,983 | | |
| Other Contractual Services | 10,392 | 8,453 | 8,647 | 8,453 | 8,897 | 8,453 | | |
| Postage | 296 | 0 | 0 | 0 | 0 | 0 | | |
| Rentals, Storage and Leasing | 12,184 | 0 | 0 | 0 | 0 | 0 | | |
| Telecommunication Services | 32,083 | 31,433 | 32,162 | 31,433 | 33,091 | 31,433 | | |
| Travel | 3,777 | 3,306 | 3,382 | 3,306 | 3,480 | 3,306 | | |
| TOTAL - Other Expenses | 1,203,791 | 1,129,054 | 1,156,198 | 1,129,054 | 1,189,093 | 1,129,054 | | |
| <u>Equipment</u> | | | | | | | | |
| Equipment | 0 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | | |
| TOTAL - Equipment | 0 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | | |
| <u>Other Current Expenses</u> | | | | | | | | |
| Medicolegal Investigations | 27,295 | 27,417 | 27,417 | 26,047 | 27,417 | 26,047 | | |
| TOTAL - Other Current Expenses | 27,295 | 27,417 | 27,417 | 26,047 | 27,417 | 26,047 | | |
| <u>Nonfunctional - Change to Accruals</u> | | | | | | | | |
| | 48,763 | 23,816 | 23,816 | 0 | 23,816 | 0 | | |
| Character & Major Object Summary | | | | | | | | |
| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 4,153,749 | 4,607,399 | 4,823,925 | 4,825,259 | 4,825,259 | 4,856,044 | 4,857,946 | 4,857,946 |
| Other Expenses | 1,203,791 | 1,129,054 | 1,156,198 | 1,156,198 | 1,129,054 | 1,189,093 | 1,189,093 | 1,129,054 |
| Capital Outlay | 0 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 | 19,226 |
| Other Current Expenses | 27,295 | 27,417 | 27,417 | 27,417 | 26,047 | 27,417 | 27,417 | 26,047 |
| Nonfunctional - Change to Accruals | 48,763 | 23,816 | 23,816 | 23,816 | 0 | 23,816 | 23,816 | 0 |
| TOTAL - General Fund | 5,433,600 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |
| TOTAL - All Funds Net | 5,433,598 | 5,806,912 | 6,050,582 | 6,051,916 | 5,999,586 | 6,115,596 | 6,117,498 | 6,032,273 |

DEPARTMENT OF DEVELOPMENTAL SERVICES

AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome.

Also, the department is mandated to serve as the lead agency and coordinate the state agencies which have responsibility for providing services for persons with autism spectrum disorder and to administer a system to provide early intervention services for all infants and toddlers under the age of three who have any type of disability or significant developmental delay.

ELIGIBILITY FOR SERVICES

Section 1-1g of the Connecticut General Statutes defines: "intellectual disability" as significant limitation in intellectual functioning and deficits in adaptive behavior that originated during the developmental period before eighteen years of age." Thus, three factors must be in place for a person to be diagnosed as having intellectual disability. The person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group and the intellectual disability occurred before the individual's 18th birthday.

THE DEPARTMENT'S MISSION

The mission of the Department of Developmental Services is to partner with the individuals supported by the agency and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. All citizens supported by the Department of Developmental Services are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates. These individuals: live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions; have safe, meaningful and empowering

relationships; have families who feel supported from the earliest years and throughout their lifetimes; have lifelong opportunities and the assistance to learn things that matter to them; make informed choices and take responsibility for their lives and experience the dignity of risk; earn money to facilitate personal choices; and know their rights and responsibilities and pursue opportunities to live the life they choose.

SERVICES AND TRENDS

The department provides services to Connecticut citizens with intellectual disability or Prader-Willi Syndrome, participants in the Autism Division and to infants and toddlers with significant developmental delays who are enrolled in the Birth to Three System. Persons with intellectual disability have much in common with non-disabled citizens in Connecticut, although individuals who have intellectual disability often need lifelong support to exercise their rights to become full and contributing members of their communities.

As of June 30, 2014, the Department of Developmental Services was serving 21,501 persons, including those enrolled in the Birth to Three Program. In a comparison of demographic information from 10 years ago, the number of people DDS supports under the age of 45 is nearly identical. However, the number of people DDS supports who are 45 or older has grown by 22%. DDS also operates a pilot program for adults with autism spectrum disorder but not intellectual disability. The Autism Division serves individuals with autism spectrum disorder across the lifespan, and as of June 30, 2014, approximately 103 individuals were receiving services.

| OUTCOME MEASURES | | | | |
|--------------------------|---------------|--------------|---------------|-------------|
| PEOPLE SERVED BY DDS | | | | |
| <i>(as of June 2014)</i> | | | | |
| Age Range | In Home | Out of Home | Total | Pct |
| Birth to Three (0 – 2) | 5,248 | 0 | 5,248 | 24% |
| Children (3 – 17) | 2,402 | 128 | 2,530 | 12% |
| Young Adults (18 – 21) | 1,336 | 237 | 1,573 | 7% |
| Adults (22 and older) | 4,847 | 7,303 | 12,150 | 56% |
| Total | 13,833 | 7,668 | 21,501 | 100% |
| Percent | 64 % | 36 % | | |

Most traditional services and all new development of residential supports are contracted through private providers. DDS has worked to streamline and improve efficiency while maintaining services. Within the parameters of the budget, DDS will be looking from traditional services to shift resources to family services. DDS operates five Home and Community-Based Services (HCBS) Waivers serving a total of 9,534 people.

Residential services and supports take the form of individualized supports tailored to meet the needs of the individual in his or her family or own home, in a community companion home, in a community living

arrangement, with continuous residential services, with supported living services or in a campus setting.

Individualized residential supports are designed by and for adults with intellectual disability along with their circle of support or community network to tailor a package of services and supports that best meets the individual's needs, goals and preferences to live in the community in a home of their choosing.

Self-directed services and supports may be delivered in a family or individual's own home, and are provided either by private agencies or by employees hired by the individual or his or her legal representative. The number of individuals utilizing agreements to purchase and arrange their own residential supports totaled 1,141 as of June 30, 2014. Individuals who self-direct their own supports are required to utilize a fiscal intermediary to assure appropriate payment, reimbursement and overall fiscal accountability.

The department continues to operate the Voluntary Services Program (VSP) for children who have either intellectual disability or Autism Spectrum Disorder and a mental health diagnosis. As of June 2014, this program supported 540 children and their families, 506 children with intellectual disability and 34 children without an intellectual disability but with a diagnosis of autism spectrum disorder. Over 80% of the children are served with in-home supports.

| <i>Outcome Measures</i> | | | | | | |
|--|---------|---------|---------|---------|---------|---------|
| PROGRAM | FY 2009 | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
| Birth to Three (Children with IFSPs on June 1 of each year) | 4,774 | 4,679 | 4,553 | 4,561 | 4,481 | 4,698 |
| Self-Directed Residential (Family or Own Home) | 959 | 994 | 1,014 | 1,059 | 1,111 | 1,141 |
| Self-Directed Employment and Day | 213 | 237 | 245 | 274 | 301 | 343 |
| Private Community | 3,657 | 4,026 | 4,179 | 4,222 | 4,348 | 4,422 |
| Public Community | 537 | 453 | 408 | 386 | 366 | 340 |
| Public Campus | 723 | 686 | 656 | 612 | 552 | 521 |
| Employment and Day | 9,653 | 9,788 | 9,912 | 10,092 | 10,224 | 10,454 |

Recent service growth has primarily been in the area of day services where there has been a consistent state commitment to providing services to people who have completed high school. DDS, in collaboration with other

state agencies, is actively promoting employment as the appropriate option for people leaving school.

AGENCY PROGRAM INDEX

| | |
|-------------------------------|---------------------------------|
| Case Management | Family Support |
| Specialized Support | Human Resource Development |
| Sheltered Employment | Group Supported Employment |
| Day Support Options | Individual Supported Employment |
| Birth to Three System | Community Companion Homes |
| Community Living Arrangements | Campus Units |
| Other Private Facilities | Individualized Home Supports |
| Agency Management Services | |

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---|------------------|------------------|
| Reductions | | |
| • Reduce Voluntary Services | -20,930,564 | -14,910,820 |
| • Annualize FY 2015 Rescissions | -10,521,812 | -10,521,812 |
| • Remove Funding for Caseload Growth <i>Funding is reduced for placements of new high school graduates or transfers of individuals out of Southbury Training School or other long term care facilities.</i> | -10,463,500 | -26,334,333 |
| • Remove Funding for Early Childhood Autism Waiver as Services Will be Covered Under New Medicaid Mandate | -1,000,000 | -1,000,000 |
| • Reduce Contracted Medical Director and Nursing Pool Services | -591,840 | -591,840 |
| • Remove or Limit Inflation | -541,974 | -1,177,343 |
| • Consolidate Various In-Home Family Support Grants and Reduce Funding <i>The Family Support Grants, Community Temporary Supports Services, Community Respite Care Programs and Family Reunion Program accounts are consolidated into one account.</i> | -416,150 | -416,150 |
| • Eliminate Human Resource Development Training Funds | -188,443 | -188,443 |
| • Fund Equipment Through CEPF | -1 | -1 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Current Services | | |
| • Annualize FY 2015 Waiting List Funding | 4,000,000 | 4,000,000 |
| • Fund Caseload Growth for Day and Residential Ageouts <i>Funding will support day programs for 99 individuals and residential programs for 110 individuals who will be aging out of services provided by the Department of Children and Families or local education agencies.</i> | 11,982,388 | 28,302,868 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Reallocations | | |
| • Transfer the Birth to Three Program to the Office of Early Childhood and the Department of Social Services <i>Transfer non-Medicaid program funding and seven state-funded positions to the Office of Early Childhood which will be the lead agency for the program and the Medicaid portion to the Department of Social Services.</i> | -39,875,158 | -39,877,464 |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -2,764,167 | -2,764,167 |
| • Provide General Fund Support for Autism Initiatives Previously Funded from the Tobacco and Health Trust Fund | 750,000 | 750,000 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--------------------------------------|------------|------------|-----------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2662 | 665 | 0 | 3327 | 3327 | 3320 | 3327 | 3320 |
| Federal Funds | 9 | 0 | 0 | 9 | 9 | 0 | 9 | 0 |

| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|---|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Agency Management Services | 50,510,944 | 53,709,749 | 57,552,012 | 54,892,344 | 49,467,177 | 58,008,823 | 53,806,296 | 48,185,823 |
| Resource Services | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Case Management | 4,169,983 | 4,496,770 | 5,586,012 | 4,751,792 | 4,692,014 | 6,422,048 | 4,821,654 | 4,756,393 |

| | | | | | | | | |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Family Support | 51,767,365 | 54,428,857 | 57,679,029 | 54,820,416 | 44,754,625 | 60,439,153 | 54,973,426 | 47,952,313 |
| Specialized Support | 30,530,860 | 32,957,374 | 34,532,765 | 34,137,533 | 32,990,276 | 35,200,777 | 34,400,583 | 33,441,713 |
| Human Resource Development | 223,799 | 241,769 | 250,967 | 251,001 | 248,182 | 252,397 | 252,435 | 249,467 |
| Birth to Three System | 43,354,772 | 43,073,670 | 46,202,035 | 43,045,280 | 0 | 47,066,074 | 43,045,280 | 0 |
| Sheltered Employment | 5,613,224 | 5,923,890 | 6,241,406 | 5,949,670 | 5,943,413 | 6,530,751 | 5,954,987 | 5,948,700 |
| Group Supported Employment | 71,029,646 | 74,790,278 | 78,842,556 | 74,817,908 | 74,810,974 | 82,765,915 | 74,822,922 | 74,815,855 |
| Day Support Options | 136,899,673 | 144,272,248 | 152,010,267 | 155,023,278 | 143,717,531 | 159,320,288 | 165,670,112 | 146,277,371 |
| Individual Supported Employment | 3,898,148 | 4,104,786 | 4,325,271 | 4,104,786 | 4,104,786 | 4,539,873 | 4,104,786 | 4,104,786 |
| Community Companion Homes | 112,208,727 | 117,715,119 | 119,575,511 | 118,890,741 | 117,067,518 | 120,338,137 | 119,142,912 | 117,875,495 |
| Community Living Arrangements | 383,274,639 | 400,813,540 | 434,558,038 | 430,644,955 | 420,213,462 | 457,489,779 | 451,369,177 | 434,013,022 |
| Campus Units | 114,527,865 | 121,828,915 | 126,249,978 | 126,172,173 | 124,217,393 | 127,262,627 | 127,172,008 | 124,946,216 |
| Other Private Facilities | 13,948,375 | 14,369,355 | 14,911,214 | 14,477,825 | 9,977,733 | 15,321,088 | 14,546,209 | 11,052,709 |
| Individualized Home Supports | 38,259,196 | 39,880,249 | 42,285,697 | 40,037,713 | 39,608,576 | 43,980,793 | 40,070,050 | 39,840,455 |
| TOTAL Agency Programs - All Fund Gross | 1,060,217,216 | 1,112,606,569 | 1,180,802,758 | 1,162,017,415 | 1,071,813,660 | 1,224,938,523 | 1,194,152,837 | 1,093,460,318 |
| <u>Summary of Funding</u> | | | | | | | | |
| General Fund | 1,054,596,888 | 1,100,665,799 | 1,169,740,331 | 1,150,954,988 | 1,064,411,379 | 1,215,454,830 | 1,184,669,144 | 1,087,636,771 |
| Federal Funds | 5,572,553 | 5,610,691 | 5,595,539 | 5,595,539 | 1,935,393 | 5,595,539 | 5,595,539 | 1,935,393 |
| Private Funds | 47,775 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 |
| Special Non-Appropriated Funds | 0 | 6,288,203 | 5,425,012 | 5,425,012 | 5,425,012 | 3,846,278 | 3,846,278 | 3,846,278 |
| TOTAL Agency Programs - All Funds Net | 1,060,217,216 | 1,112,606,569 | 1,180,802,758 | 1,162,017,415 | 1,071,813,660 | 1,224,938,523 | 1,194,152,837 | 1,093,460,318 |

CASE MANAGEMENT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To coordinate the planning, development and administration of a system of supports and services based on individual needs and preferences. These supports maximize federal resources with a 50% reimbursement to the General Fund.

Program Description

Case management is available to individuals eligible for DDS services and is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers or self-directed.

The agency operates a regional based helpline and as of FY 2014, the helpline supported over 3,604 individuals and their families to access DDS services who are not assigned a case manager.

Personnel Summary

| | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 52 | 14 | 0 | 66 | 66 | 66 | 66 | 66 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>General Fund</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary (Net of Reimbursements)

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>(Net of Reimbursements)</i> | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 3,974,356 | 4,300,623 | 5,384,707 | 4,550,509 | 4,505,580 | 6,215,233 | 4,614,888 | 4,569,959 |
| Other Expenses | 194,772 | 195,212 | 200,348 | 200,348 | 185,499 | 205,831 | 205,831 | 185,499 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Clinical Services | 855 | 935 | 957 | 935 | 935 | 984 | 935 | 935 |
| TOTAL - Other Current Expenses | 855 | 935 | 957 | 935 | 935 | 984 | 935 | 935 |
| TOTAL - General Fund | 4,169,983 | 4,496,770 | 5,586,012 | 4,751,792 | 4,692,014 | 6,422,048 | 4,821,654 | 4,756,393 |
| TOTAL - All Funds | 4,169,983 | 4,496,770 | 5,586,012 | 4,751,792 | 4,692,014 | 6,422,048 | 4,821,654 | 4,756,393 |

FAMILY SUPPORT

Statutory Reference

C.G.S. Sections 17a-210 and 17a-218, and 17a-219a .

Statement of Need and Program Objectives

To reduce the emotional and financial cost to families who care for children with disabilities and to assist families with children with disabilities to find the support services and assistance to lead lives in their communities.

Program Description

Family supports are a wide range of supports and services that assist families who care for family members who have intellectual disability. Family Supports such as respite, short-term crisis support, in-home supports, skill training, transportation, behavioral support, clinical supports and family support grants to defray extraordinary expenses.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 53 | 11 | 0 | 64 | 64 | 64 | 64 | 64 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary (Net of Reimbursements)

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 3,485,489 | 3,771,624 | 3,942,985 | 3,941,629 | 3,498,164 | 3,976,277 | 3,974,924 | 3,531,459 |
| Other Expenses | 36,157 | 36,237 | 37,067 | 37,067 | 34,609 | 38,133 | 38,133 | 34,609 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Family Support Grants | 3,590,130 | 3,441,463 | 3,521,295 | 3,441,463 | 3,719,398 | 3,623,531 | 3,441,463 | 3,719,398 |
| Cooperative Placements Program | 387,766 | 404,470 | 423,887 | 404,470 | 404,470 | 446,009 | 404,470 | 404,470 |
| Clinical Services | 3,046 | 3,330 | 3,407 | 3,330 | 3,330 | 3,505 | 3,330 | 3,330 |
| Community Temporary Support Services | 60,753 | 60,753 | 62,162 | 60,753 | 0 | 63,959 | 60,753 | 0 |
| Community Respite Care Programs | 527,828 | 558,137 | 571,086 | 558,137 | 0 | 587,590 | 558,137 | 0 |
| Autism Services | 1,294,593 | 2,448,208 | 3,108,157 | 2,612,952 | 2,362,952 | 3,963,693 | 2,909,641 | 2,659,641 |
| Voluntary Services | 14,205,030 | 14,355,275 | 14,473,924 | 14,411,255 | 5,464,691 | 14,592,573 | 14,233,215 | 8,332,395 |
| TOTAL - Other Current Expenses | 20,069,146 | 21,271,636 | 22,163,918 | 21,492,360 | 11,954,841 | 23,280,860 | 21,611,009 | 15,119,234 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Family Reunion Program | 78,800 | 82,349 | 84,260 | 82,349 | 0 | 86,694 | 82,349 | 0 |
| Community Residential Services | 28,097,773 | 29,267,011 | 31,450,799 | 29,267,011 | 29,267,011 | 33,057,189 | 29,267,011 | 29,267,011 |
| TOTAL - Pmts to Other than Local Govts | 28,176,573 | 29,349,360 | 31,535,059 | 29,349,360 | 29,267,011 | 33,143,883 | 29,349,360 | 29,267,011 |
| TOTAL - General Fund | 51,767,365 | 54,428,857 | 57,679,029 | 54,820,416 | 44,754,625 | 60,439,153 | 54,973,426 | 47,952,313 |
| TOTAL - All Funds | 51,767,365 | 54,428,857 | 57,679,029 | 54,820,416 | 44,754,625 | 60,439,153 | 54,973,426 | 47,952,313 |

SPECIALIZED SUPPORT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To provide specialized supports to individuals who require assistance due to physical disability, challenging behaviors or health needs.

Program Description

Specialized supports are available to individuals who require assistance due to physical disability, challenging behaviors or health needs. Department staff, contracted specialists and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, psychiatric, communication and adaptive devices and technology.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 245 | 53 | 0 | 298 | 298 | 298 | 298 | 298 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 23,321,539 | 25,236,069 | 26,395,372 | 26,400,382 | 26,063,985 | 26,636,638 | 26,644,728 | 26,308,331 |
| Other Expenses | 461,144 | 462,189 | 472,944 | 472,944 | 439,120 | 486,557 | 486,557 | 439,120 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Family Support Grants | 19,259 | 18,461 | 18,908 | 18,461 | 18,461 | 18,994 | 18,461 | 18,461 |
| Cooperative Placements Program | 42,761 | 44,603 | 46,744 | 44,603 | 44,603 | 49,183 | 44,603 | 44,603 |
| Clinical Services | 3,082,981 | 3,370,017 | 3,448,201 | 3,370,017 | 2,854,981 | 3,547,854 | 3,370,017 | 2,854,981 |
| Autism Services | 92,585 | 175,088 | 222,285 | 175,088 | 175,088 | 283,470 | 175,088 | 175,088 |
| Voluntary Services | 609,465 | 615,911 | 621,002 | 621,002 | 359,002 | 626,093 | 626,093 | 566,093 |
| TOTAL - Other Current Expenses | 3,847,051 | 4,224,080 | 4,357,140 | 4,229,171 | 3,452,135 | 4,525,594 | 4,234,262 | 3,659,226 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Rent Subsidy Program | 0 | 0 | 84,000 | 0 | 0 | 168,500 | 0 | 0 |
| Employment Opportunities and Day Services | 1,592,350 | 1,676,164 | 1,767,388 | 1,676,164 | 1,676,164 | 1,856,178 | 1,676,164 | 1,676,164 |
| Community Residential Services | 1,248,683 | 1,300,645 | 1,397,694 | 1,300,645 | 1,300,645 | 1,469,083 | 1,300,645 | 1,300,645 |
| TOTAL - Pmts to Other than Local Govts | 2,841,033 | 2,976,809 | 3,249,082 | 2,976,809 | 2,976,809 | 3,493,761 | 2,976,809 | 2,976,809 |
| TOTAL - General Fund | 30,470,767 | 32,899,147 | 34,474,538 | 34,079,306 | 32,932,049 | 35,142,550 | 34,342,356 | 33,383,486 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 31,866 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Federal Contributions | | | | | | | | |
| 84181 Special Education Grants for Infants | 28,227 | 28,227 | 28,227 | 28,227 | 28,227 | 28,227 | 28,227 | 28,227 |
| TOTAL - All Funds | 30,530,860 | 32,957,374 | 34,532,765 | 34,137,533 | 32,990,276 | 35,200,777 | 34,400,583 | 33,441,713 |

HUMAN RESOURCE DEVELOPMENT

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To provide partial support for mandatory training of direct support workers, nurses and other clinicians, and support staff. Federal and state statutes, regulations and policies mandate that staff providing support to individuals with developmental disabilities receive training in specific content areas, including (but not limited to) abuse & neglect prevention, first aid and CPR, infection control & blood borne pathogens, signs & symptoms of illness, safe lifts and transfers, nutrition, emergency preparation & fire safety, dysphagia, Alzheimer's disease and dementia, HIPAA and confidentiality, and a number of other topics. These mandates apply to public and private sector employees, and staff hired directly by families and individuals, and typically require annual and biennial refresher training

for all staff. Provision of this training also ensures compliance with the requirements of the CMS Home & Community- Based waivers.

Program Description

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training programs designed to develop and enhance employee competence occur in the classroom, through web-based learning opportunities, on-the-job and through mentoring activities. Programs include: new employee orientation, DDS mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, supervision, diversity, cultural competency and quality management and improvement.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 218,769 | 236,729 | 245,811 | 245,845 | 243,384 | 247,092 | 247,130 | 244,669 |
| Other Expenses | 5,030 | 5,040 | 5,156 | 5,156 | 4,798 | 5,305 | 5,305 | 4,798 |
| TOTAL - General Fund | 223,799 | 241,769 | 250,967 | 251,001 | 248,182 | 252,397 | 252,435 | 249,467 |
| TOTAL - All Funds | 223,799 | 241,769 | 250,967 | 251,001 | 248,182 | 252,397 | 252,435 | 249,467 |

SHELTERED EMPLOYMENT

Statutory Reference

C.G.S. Section 17a-226.

Statement of Need and Program Objectives

To provide services for individuals for whom competitive employment at or above the minimum wage is unlikely absent the provision of supports, and who because of their disabilities, need supports to perform in a regular work setting. Sheltered Employment is generally contract work within the department.

Program Description

Sheltered employment programs are available through the department and the private sector and support adults with intellectual disability with contract work from industry and specialized skill training in service-oriented occupations. Vocational evaluation and individual service plans form the basis for training. This service is undergoing change as federal expectations move towards competitive employment.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 5 | 0 | 9 | 9 | 9 | 9 | 9 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 518,136 | 560,670 | 586,329 | 586,428 | 580,193 | 591,595 | 591,715 | 585,480 |
| Other Expenses | 985 | 988 | 1,010 | 1,010 | 988 | 1,040 | 1,040 | 988 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Employment Opportunities and Day Services | 5,094,103 | 5,362,232 | 5,654,067 | 5,362,232 | 5,362,232 | 5,938,116 | 5,362,232 | 5,362,232 |
| TOTAL - Pmts to Other than Local Govts | 5,094,103 | 5,362,232 | 5,654,067 | 5,362,232 | 5,362,232 | 5,938,116 | 5,362,232 | 5,362,232 |
| TOTAL - General Fund | 5,613,224 | 5,923,890 | 6,241,406 | 5,949,670 | 5,943,413 | 6,530,751 | 5,954,987 | 5,948,700 |
| TOTAL - All Funds | 5,613,224 | 5,923,890 | 6,241,406 | 5,949,670 | 5,943,413 | 6,530,751 | 5,954,987 | 5,948,700 |

GROUP SUPPORTED EMPLOYMENT

Statutory Reference

C.G.S. Section 17a-226.

Statement of Need and Program Objectives

To provide ongoing supports for participants in a structured work environment, for individuals for whom competitive employment at or above the minimum wage is unlikely but are on the path to competitive

employment with some ongoing supports and need supports to perform in a regular work setting.

Program Description

Group supported employment offered employment training and supervision of small groups to 3,439 individuals with intellectual disability through manufacturing or service contracts within small business

settings in FY 2014. Individuals work in small groups at wage rates commensurate with those paid to the typical workforce. Supported employment services are

primarily offered by private agencies under contract with the department, but can also be self-directed.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 4 | 0 | 14 | 14 | 14 | 14 | 14 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 753,638 | 815,506 | 841,907 | 843,033 | 836,306 | 846,766 | 847,914 | 841,187 |
| Other Expenses | 4,483 | 4,493 | 4,596 | 4,596 | 4,389 | 4,729 | 4,729 | 4,389 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Employment Opportunities and Day Services | 70,271,525 | 73,970,279 | 77,996,053 | 73,970,279 | 73,970,279 | 81,914,420 | 73,970,279 | 73,970,279 |
| TOTAL - Pmts to Other than Local Govts | 70,271,525 | 73,970,279 | 77,996,053 | 73,970,279 | 73,970,279 | 81,914,420 | 73,970,279 | 73,970,279 |
| TOTAL - General Fund | 71,029,646 | 74,790,278 | 78,842,556 | 74,817,908 | 74,810,974 | 82,765,915 | 74,822,922 | 74,815,855 |
| TOTAL - All Funds | 71,029,646 | 74,790,278 | 78,842,556 | 74,817,908 | 74,810,974 | 82,765,915 | 74,822,922 | 74,815,855 |

DAY SUPPORT OPTIONS

Statutory Reference

C.G.S. Sections 17a-217 and 17a-226.

Statement of Need and Program Objectives

To provide services to individuals tailored to their specific personal outcomes related to the acquisition, improvement and/or retention of skills and abilities to prepare and support an individual for work and/or community participation and/or meaningful retirement activities, or for an individual who has their own business, and could not do so without this direct support.

Program Description

Day support options offer adults with intellectual disability opportunities to experience valued adult roles, develop relationships and make personal choices while participating in leisure, recreation and volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Day support options are primarily offered by private agencies under contract with the department, but can also be self-directed. In FY 2014, there were 4,571 individuals who participated in day support options.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 82 | 31 | 0 | 113 | 113 | 113 | 113 | 113 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 6,484,483 | 7,016,812 | 7,299,036 | 7,302,572 | 7,234,365 | 7,350,174 | 7,353,912 | 7,285,705 |
| Other Expenses | 484,104 | 485,201 | 497,556 | 497,556 | 461,016 | 511,550 | 511,550 | 461,016 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Clinical Services | 5,049 | 5,519 | 5,647 | 5,519 | 5,519 | 5,810 | 5,519 | 5,519 |
| TOTAL - Other Current Expenses | 5,049 | 5,519 | 5,647 | 5,519 | 5,519 | 5,810 | 5,519 | 5,519 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Employment Opportunities and Day Services | 129,926,037 | 136,764,716 | 144,208,028 | 147,217,631 | 136,016,631 | 151,452,754 | 157,799,131 | 138,525,131 |
| TOTAL - Pmts to Other than Local Govts | 129,926,037 | 136,764,716 | 144,208,028 | 147,217,631 | 136,016,631 | 151,452,754 | 157,799,131 | 138,525,131 |
| TOTAL - General Fund | 136,899,673 | 144,272,248 | 152,010,267 | 155,023,278 | 143,717,531 | 159,320,288 | 165,670,112 | 146,277,371 |
| TOTAL - All Funds | 136,899,673 | 144,272,248 | 152,010,267 | 155,023,278 | 143,717,531 | 159,320,288 | 165,670,112 | 146,277,371 |

INDIVIDUAL SUPPORTED EMPLOYMENT

Statutory Reference

C.G.S. Sections 17a-217 and 17a-226.

Statement of Need and Program Objectives

To provide intensive ongoing supports that enable participants, for whom competitive employment at or above the minimum wage is unlikely absent the provision of supports, and who because of their disabilities, need supports to perform in a regular work setting.

Program Description

Individual supported employment offers job development services, on-the-job training and

supervision in typical business settings with wage rates and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by private agencies under contract with the department. In FY 2014 there were 986 adults who participated in such programs.

The total number of people participating in supported employment (group or individual) grew to 4,425 in FY 2014, including adults who utilized individualized or self-directed supports to enter supported employment arrangements.

| <i>Other Positions Equated to Full-Time General Fund</i> | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended | | |
|--|--|-------------------|----------------------|----------------------|------------------------|------------------------|------------------------|---------------------|------------------------|
| | | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Financial Summary (Net of Reimbursements) | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | | |
| Personal Services | | 49,500 | 53,564 | 53,564 | 53,564 | 53,564 | 53,564 | 53,564 | 53,564 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | | |
| Employment Opportunities and Day Services | | 3,848,648 | 4,051,222 | 4,271,707 | 4,051,222 | 4,051,222 | 4,486,309 | 4,051,222 | 4,051,222 |
| TOTAL - Pmts to Other than Local Govts | | 3,848,648 | 4,051,222 | 4,271,707 | 4,051,222 | 4,051,222 | 4,486,309 | 4,051,222 | 4,051,222 |
| TOTAL - General Fund | | 3,898,148 | 4,104,786 | 4,325,271 | 4,104,786 | 4,104,786 | 4,539,873 | 4,104,786 | 4,104,786 |
| TOTAL - All Funds | | 3,898,148 | 4,104,786 | 4,325,271 | 4,104,786 | 4,104,786 | 4,539,873 | 4,104,786 | 4,104,786 |

BIRTH TO THREE SYSTEM

Statutory Reference

C.G.S. Section 17a-248.

Statement of Need and Program Objectives

To provide administrative oversight of a statewide interagency Birth to Three System to ensure that eligible children and their families receive early intervention services.

Program Description

The system includes: policy setting, managing state and federal funding, contracting, quality assurance,

data management, complaint resolution, training and public awareness

The Birth to Three System received 8,306 referrals in FY 2014, a 1% decrease over FY 2013 and served 9,686 eligible children. Using 2013 census data, on a daily basis, the system served 4.11% of all children under the age of three.

| Personnel Summary | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 2 | 0 | 0 | 2 | 2 | 0 | 2 | 0 |
| Federal Funds | 9 | 0 | 0 | 9 | 9 | 0 | 9 | 0 |

| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 183,285 | 198,330 | 198,330 | 198,330 | 0 | 198,330 | 198,330 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Early Intervention | 39,511,737 | 39,186,804 | 42,343,559 | 39,186,804 | 0 | 43,207,598 | 39,186,804 | 0 |
| TOTAL - Other Current Expenses | 39,511,737 | 39,186,804 | 42,343,559 | 39,186,804 | 0 | 43,207,598 | 39,186,804 | 0 |
| TOTAL - General Fund | 39,695,022 | 39,385,134 | 42,541,889 | 39,385,134 | 0 | 43,405,928 | 39,385,134 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 84027 Special Education Grants to States | 999,604 | 1,028,390 | 1,000,000 | 1,000,000 | 0 | 1,000,000 | 1,000,000 | 0 |
| 84181 Special Education Grants for Infants | 2,660,146 | 2,660,146 | 2,660,146 | 2,660,146 | 0 | 2,660,146 | 2,660,146 | 0 |
| TOTAL - All Funds | 43,354,772 | 43,073,670 | 46,202,035 | 43,045,280 | 0 | 47,066,074 | 43,045,280 | 0 |

COMMUNITY COMPANION HOMES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide individuals with intellectual disability the services and supports necessary to develop relationships, exercise personal choice, develop competence and participate in community life within a family environment.

Program Description

Community companion homes offer children and adults the opportunity to live within a family setting. Families are recruited, licensed and trained by department staff to share their home with one to three individuals with intellectual disability. In FY 2014, 388 persons with intellectual disability received supports in these settings.

| Personnel Summary | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--|----------------------|----------------------|----------------------|---------------------|------------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | 307 | 29 | 0 | 336 | 336 | 336 | 336 | 336 |
| General Fund | | | | | | | | |
| <u>Other Positions Equated to Full-Time</u> | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 22,170,623 | 23,990,668 | 25,142,051 | 25,162,449 | 24,709,601 | 25,380,813 | 25,409,872 | 24,957,024 |
| Other Expenses | 141,466 | 141,786 | 145,073 | 145,073 | 134,698 | 149,267 | 149,267 | 134,698 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Cooperative Placements Program | 14,503,003 | 15,127,763 | 15,128,744 | 15,127,763 | 15,127,763 | 15,129,862 | 15,127,763 | 15,127,763 |
| Voluntary Services | 2,451,989 | 2,477,923 | 2,478,477 | 2,478,477 | 1,118,477 | 2,479,031 | 2,479,031 | 1,679,031 |
| TOTAL - Other Current Expenses | 16,954,992 | 17,605,686 | 17,607,221 | 17,606,240 | 16,246,240 | 17,608,893 | 17,606,794 | 16,806,794 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Community Residential Services | 72,941,646 | 75,976,979 | 76,681,166 | 75,976,979 | 75,976,979 | 77,199,164 | 75,976,979 | 75,976,979 |
| TOTAL - Pmts to Other than Local Govts | 72,941,646 | 75,976,979 | 76,681,166 | 75,976,979 | 75,976,979 | 77,199,164 | 75,976,979 | 75,976,979 |
| TOTAL - General Fund | 112,208,727 | 117,715,119 | 119,575,511 | 118,890,741 | 117,067,518 | 120,338,137 | 119,142,912 | 117,875,495 |
| TOTAL - All Funds | 112,208,727 | 117,715,119 | 119,575,511 | 118,890,741 | 117,067,518 | 120,338,137 | 119,142,912 | 117,875,495 |

COMMUNITY LIVING ARRANGEMENTS

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide continuous supports to ensure the health and safety of individuals with intellectual disabilities in an environment licensed by DDS.

Program Description

Community residences operated by DDS regions or private agencies under contract with the department offer individuals with intellectual disability opportunities to live in typical community housing. Homes are small in size and generally serve six or fewer individuals. To capture federal reimbursement, the majority of people who receive this service are enrolled in the

Comprehensive Supports Home and Community-Based Services waiver.

A small number of homes are certified as Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/IID). In FY 2014, the total census was 3,742.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 487 | 116 | 0 | 603 | 603 | 603 | 603 | 603 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 53,930,995 | 58,358,336 | 60,751,805 | 60,730,650 | 59,732,140 | 61,134,409 | 61,115,904 | 60,117,394 |
| Other Expenses | 4,772,196 | 4,782,977 | 4,911,251 | 4,911,251 | 4,543,768 | 5,041,080 | 5,041,080 | 4,543,768 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Cooperative Placements Program | 7,542,323 | 7,867,231 | 8,970,155 | 8,429,959 | 8,429,959 | 10,226,728 | 8,362,684 | 8,362,684 |
| Clinical Services | 155,993 | 170,516 | 174,472 | 170,516 | 170,516 | 179,514 | 170,516 | 170,516 |
| Workers' Compensation Claims | 4,593,405 | 4,593,405 | 5,976,432 | 4,593,405 | 4,593,405 | 5,976,431 | 4,593,405 | 4,593,405 |
| Voluntary Services | 7,518,634 | 7,598,157 | 7,680,883 | 7,771,094 | 2,968,094 | 7,763,609 | 7,763,609 | 3,963,609 |
| TOTAL - Other Current Expenses | 19,810,355 | 20,229,309 | 22,801,942 | 20,964,974 | 16,161,974 | 24,146,282 | 20,890,214 | 17,090,214 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Rent Subsidy Program | 14,724 | 15,087 | 15,087 | 15,087 | 15,087 | 15,087 | 15,087 | 15,087 |
| Community Residential Services | 304,746,369 | 317,427,831 | 346,077,953 | 344,022,993 | 339,760,493 | 367,152,921 | 364,306,892 | 352,246,559 |
| TOTAL - Pmts to Other than Local Govts | 304,761,093 | 317,442,918 | 346,093,040 | 344,038,080 | 339,775,580 | 367,168,008 | 364,321,979 | 352,261,646 |
| TOTAL - General Fund | 383,274,639 | 400,813,540 | 434,558,038 | 430,644,955 | 420,213,462 | 457,489,779 | 451,369,177 | 434,013,022 |
| TOTAL - All Funds | 383,274,639 | 400,813,540 | 434,558,038 | 430,644,955 | 420,213,462 | 457,489,779 | 451,369,177 | 434,013,022 |

CAMPUS UNITS

Statutory Reference

C.G.S. Sections 17a-210.

Statement of Need and Program Objectives

To provide settings for those individuals who require ICF level of care.

Program Description

The department operates regional campus facilities and one training school campus facility. Admissions to Southbury Training

School (STS) are closed. All regional campus units as well as STS are certified Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/IID) and are therefore eligible for 50% Medicaid reimbursement. The population of campus units has declined to 521 individuals including 335 residents of STS as of June 2014.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 967 | 287 | 0 | 1254 | 1254 | 1254 | 1254 | 1254 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 88,933,123 | 96,233,885 | 100,416,893 | 100,354,522 | 99,538,857 | 101,139,397 | 101,083,345 | 100,267,680 |
| Other Expenses | 9,067,166 | 9,087,654 | 9,310,275 | 9,310,275 | 8,633,000 | 9,581,287 | 9,581,287 | 8,633,000 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Cooperative Placements Program | 79,458 | 82,881 | 86,860 | 82,881 | 82,881 | 91,393 | 82,881 | 82,881 |
| Clinical Services | 451,694 | 493,749 | 505,204 | 493,749 | 201,909 | 519,804 | 493,749 | 201,909 |
| Workers' Compensation Claims | 10,717,944 | 10,652,630 | 10,652,630 | 10,652,630 | 10,652,630 | 10,652,630 | 10,652,630 | 10,652,630 |
| Supplemental Payments for Medical Services | 5,278,480 | 5,278,116 | 5,278,116 | 5,278,116 | 5,108,116 | 5,278,116 | 5,278,116 | 5,108,116 |
| TOTAL - Other Current Expenses | 16,527,576 | 16,507,376 | 16,522,810 | 16,507,376 | 16,045,536 | 16,541,943 | 16,507,376 | 16,045,536 |
| TOTAL - General Fund | 114,527,865 | 121,828,915 | 126,249,978 | 126,172,173 | 124,217,393 | 127,262,627 | 127,172,008 | 124,946,216 |
| TOTAL - All Funds | 114,527,865 | 121,828,915 | 126,249,978 | 126,172,173 | 124,217,393 | 127,262,627 | 127,172,008 | 124,946,216 |

OTHER PRIVATE FACILITIES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228.

Statement of Need and Program Objectives

To provide temporary supports for individuals with significant behavioral needs.

Program Description

Other private facilities provide specialized services that are purchased from residential treatment facilities and residential schools for individuals with significant behavioral or medical conditions. The department's goal is to only utilize such settings as a temporary support and then support people to return to living in community settings.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 1 | 0 | 17 | 17 | 17 | 17 | 17 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 1,001,017 | 1,083,193 | 1,128,492 | 1,133,572 | 981,455 | 1,138,648 | 1,143,777 | 991,660 |
| Other Expenses | 13,853 | 13,884 | 14,204 | 14,204 | 13,229 | 14,612 | 14,612 | 13,229 |
| Other Current Expenses | | | | | | | | |
| Voluntary Services | 6,916,565 | 6,989,720 | 7,047,491 | 7,047,491 | 2,700,491 | 7,105,262 | 7,105,262 | 3,765,262 |
| TOTAL - Other Current Expenses | 6,916,565 | 6,989,720 | 7,047,491 | 7,047,491 | 2,700,491 | 7,105,262 | 7,105,262 | 3,765,262 |
| Pmts to Other than Local Govts | | | | | | | | |
| Rent Subsidy Program | 8,555 | 8,766 | 8,766 | 8,766 | 8,766 | 8,766 | 8,766 | 8,766 |
| Employment Opportunities and Day Services | 1,395,292 | 1,468,734 | 1,548,669 | 1,468,734 | 1,468,734 | 1,626,471 | 1,468,734 | 1,468,734 |
| Community Residential Services | 4,613,093 | 4,805,058 | 5,163,592 | 4,805,058 | 4,805,058 | 5,427,329 | 4,805,058 | 4,805,058 |
| TOTAL - Pmts to Other than Local Govts | 6,016,940 | 6,282,558 | 6,721,027 | 6,282,558 | 6,282,558 | 7,062,566 | 6,282,558 | 6,282,558 |
| TOTAL - General Fund | 13,948,375 | 14,369,355 | 14,911,214 | 14,477,825 | 9,977,733 | 15,321,088 | 14,546,209 | 11,052,709 |
| TOTAL - All Funds | 13,948,375 | 14,369,355 | 14,911,214 | 14,477,825 | 9,977,733 | 15,321,088 | 14,546,209 | 11,052,709 |

INDIVIDUALIZED HOME SUPPORTS

Statutory Reference

C.G.S. Sections 17a-218 and 17a-227 (d).

Statement of Need and Program Objectives

To offer a level of support to individuals with intellectual disability who typically need less than 24-hour support to allow for individuals to live in their own apartment or home. Employees of the department or contracted private agencies provide assistance to individuals so they can live as independently as possible.

Program Description

Supports include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships and leisure activities. The number of individuals who were supported through private contracted services or public services was 1,677 as of June 30, 2014. This service can also be self-directed.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 39 | 15 | 0 | 54 | 54 | 54 | 54 | 54 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 3,402,045 | 3,681,327 | 3,829,850 | 3,830,418 | 3,740,632 | 3,853,998 | 3,854,658 | 3,764,872 |
| Other Expenses | 92,749 | 92,957 | 95,691 | 95,691 | 88,340 | 98,149 | 98,149 | 88,340 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| <u>Other Current Expenses</u> | | | | | | | | |
| Cooperative Placements Program | 436,367 | 455,165 | 477,016 | 455,165 | 455,165 | 501,911 | 455,165 | 455,165 |
| Voluntary Services | 675,178 | 682,319 | 687,958 | 687,958 | 375,958 | 693,597 | 693,597 | 583,597 |
| TOTAL - Other Current Expenses | 1,111,545 | 1,137,484 | 1,164,974 | 1,143,123 | 831,123 | 1,195,508 | 1,148,762 | 1,038,762 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Rent Subsidy Program | 5,002,948 | 5,126,359 | 5,126,359 | 5,126,359 | 5,106,359 | 5,126,359 | 5,126,359 | 5,106,359 |
| Community Residential Services | 28,649,909 | 29,842,122 | 32,068,823 | 29,842,122 | 29,842,122 | 33,706,779 | 29,842,122 | 29,842,122 |
| TOTAL - Pmts to Other than Local Govts | 33,652,857 | 34,968,481 | 37,195,182 | 34,968,481 | 34,948,481 | 38,833,138 | 34,968,481 | 34,948,481 |
| TOTAL - General Fund | 38,259,196 | 39,880,249 | 42,285,697 | 40,037,713 | 39,608,576 | 43,980,793 | 40,070,050 | 39,840,455 |
| TOTAL - All Funds | 38,259,196 | 39,880,249 | 42,285,697 | 40,037,713 | 39,608,576 | 43,980,793 | 40,070,050 | 39,840,455 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To plan, develop, implement and direct a comprehensive array of services for Connecticut's citizens with intellectual disability.

Program Description

Agency Management Services ensures that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals with intellectual disability through coordinated planning, systems improvement and standardization of best practices.

Personnel Summary

| | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 395 | 98 | 0 | 493 | 493 | 488 | 493 | 488 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary

(Net of Reimbursements)

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 32,887,314 | 35,587,123 | 38,444,403 | 37,464,250 | 35,491,573 | 38,720,998 | 37,763,936 | 35,788,953 |
| Other Expenses | 6,670,394 | 6,685,467 | 7,097,909 | 6,840,888 | 6,350,927 | 7,289,687 | 7,033,888 | 6,350,927 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Human Resource Development | 198,361 | 198,361 | 202,963 | 198,361 | 0 | 208,829 | 198,361 | 0 |
| Family Support Grants | 378 | 363 | 363 | 363 | 363 | 363 | 363 | 363 |
| Clinical Services | 234,796 | 256,654 | 262,608 | 256,654 | 256,654 | 270,198 | 256,654 | 256,654 |
| Workers' Compensation Claims | 6,159 | 0 | 1,407,402 | 0 | 0 | 2,955,630 | 0 | 0 |
| Autism Services | 7,526 | 14,232 | 18,068 | 14,232 | 14,232 | 23,041 | 14,232 | 14,232 |
| TOTAL - Other Current Expenses | 447,220 | 469,610 | 1,891,404 | 469,610 | 271,249 | 3,458,061 | 469,610 | 271,249 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Community Residential Services | 9,000 | 9,374 | 10,074 | 9,374 | 9,374 | 10,589 | 9,374 | 9,374 |
| TOTAL - Pmts to Other than Local Govts | 9,000 | 9,374 | 10,074 | 9,374 | 9,374 | 10,589 | 9,374 | 9,374 |
| <u>Nonfunctional - Change to Accruals</u> | 8,596,531 | 2,764,167 | 2,764,167 | 2,764,167 | 0 | 2,764,167 | 2,764,167 | 0 |
| TOTAL - General Fund | 48,610,459 | 45,515,742 | 50,207,958 | 47,548,290 | 42,123,123 | 52,243,503 | 48,040,976 | 42,420,503 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 15,909 | 11,876 | 11,876 | 11,876 | 11,876 | 11,876 | 11,876 | 11,876 |
| Special Non-Appropriated Funds | 0 | 6,288,203 | 5,425,012 | 5,425,012 | 5,425,012 | 3,846,278 | 3,846,278 | 3,846,278 |
| Federal Contributions | | | | | | | | |
| 84181 Special Education Grants for Infants | 1,120,528 | 1,120,528 | 1,120,528 | 1,120,528 | 1,120,528 | 1,120,528 | 1,120,528 | 1,120,528 |
| 93630 Developmental Disabilities Basic Support | 764,048 | 773,400 | 786,638 | 786,638 | 786,638 | 786,638 | 786,638 | 786,638 |
| TOTAL - All Funds | 50,510,944 | 53,709,749 | 57,552,012 | 54,892,344 | 49,467,177 | 58,008,823 | 53,806,296 | 48,185,823 |

AGENCY FINANCIAL SUMMARY - General Fund

| Current Expenses by Minor Object | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|--------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 160,260,279 | 177,116,329 | 182,660,899 | 179,874,057 | 184,876,687 | 181,389,957 |
| Other Positions | 24,215,516 | 26,203,434 | 27,366,055 | 27,369,288 | 27,569,384 | 27,574,816 |
| Other | 11,502,923 | 8,747,381 | 13,417,982 | 8,744,178 | 13,448,077 | 8,744,178 |
| Overtime | 45,335,594 | 49,057,315 | 51,216,599 | 51,222,276 | 51,589,784 | 51,598,986 |
| TOTAL - Personal Services | 241,314,312 | 261,124,459 | 274,661,535 | 267,209,799 | 277,483,932 | 269,307,937 |
| <u>Other Expenses</u> | | | | | | |
| Advertising and Marketing | 7,700 | 7,718 | 7,897 | 7,333 | 8,125 | 7,333 |
| Agriculture, Horticulture, Dairy & Food | 3,324,156 | 3,334,863 | 3,410,894 | 3,167,721 | 3,490,367 | 3,167,721 |
| Books | 37,182 | 34,459 | 35,252 | 32,929 | 36,273 | 32,929 |
| Clothing and Personal Supplies | 429,106 | 430,284 | 440,263 | 408,659 | 452,987 | 408,659 |
| DP Services, Rentals and Maintenance | 380,635 | 413,306 | 668,985 | 392,627 | 690,913 | 392,627 |
| Dues and Subscriptions | 63,568 | 63,710 | 65,185 | 60,818 | 67,070 | 60,818 |
| Employee Fringe Benefits | 4,572 | 221 | 221 | 221 | 221 | 221 |
| Fees for Non-Professional Services | 930,441 | 899,998 | 920,859 | 855,325 | 947,475 | 855,325 |
| Fees for Outside Professional Services | 539,351 | 528,800 | 541,060 | 502,284 | 556,698 | 502,284 |
| Fuel | 1,286,360 | 1,289,267 | 1,316,929 | 1,224,919 | 1,382,239 | 1,224,919 |
| General Repairs | 2,492,546 | 2,498,185 | 2,556,130 | 2,373,259 | 2,629,999 | 2,373,259 |
| Maintenance and Motor Vehicle Supplies | 3,026,416 | 3,033,256 | 3,125,359 | 2,881,990 | 3,202,708 | 2,881,990 |
| Medical Supplies | 208,843 | 209,350 | 214,212 | 198,916 | 220,411 | 198,916 |
| Motor Vehicle Expenses | 2,023,051 | 2,055,823 | 2,103,510 | 1,952,798 | 2,164,301 | 1,952,798 |
| Office Supplies | 655,406 | 656,337 | 680,084 | 622,942 | 685,504 | 622,942 |
| Other Contractual Services | 333,445 | 334,792 | 342,539 | 317,821 | 352,440 | 317,821 |
| Postage | 87,259 | 88,055 | 90,096 | 83,706 | 92,698 | 83,706 |
| Printing & Binding | 23,516 | 23,570 | 24,116 | 22,239 | 24,812 | 22,239 |
| Rentals, Storage and Leasing | 1,541,402 | 1,544,887 | 1,580,721 | 1,467,400 | 1,626,405 | 1,467,400 |
| Sundry - Other Items | 27,485 | 19,382 | 19,831 | 18,453 | 20,403 | 18,453 |
| Telecommunication Services | 1,166,866 | 1,170,727 | 1,197,879 | 1,112,211 | 1,232,500 | 1,112,211 |
| Travel | 604,738 | 596,817 | 610,649 | 567,496 | 628,300 | 567,496 |
| Utility Services | 2,750,455 | 2,760,278 | 2,840,409 | 2,622,314 | 2,914,378 | 2,622,314 |
| TOTAL - Other Expenses | 21,944,499 | 21,994,085 | 22,793,080 | 20,894,381 | 23,427,227 | 20,894,381 |
| <u>Equipment</u> | | | | | | |
| Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| TOTAL - Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | |
| Human Resource Development | 198,361 | 198,361 | 202,963 | 0 | 208,829 | 0 |
| Family Support Grants | 3,609,767 | 3,460,287 | 3,540,566 | 3,738,222 | 3,642,888 | 3,738,222 |
| Cooperative Placements Program | 22,991,678 | 23,982,113 | 25,133,406 | 24,544,841 | 26,445,086 | 24,477,566 |
| Clinical Services | 3,934,414 | 4,300,720 | 4,400,496 | 3,493,844 | 4,527,669 | 3,493,844 |
| Early Intervention | 39,511,737 | 39,186,804 | 42,343,559 | 0 | 43,207,598 | 0 |
| Community Temporary Support Services | 60,753 | 60,753 | 62,162 | 0 | 63,959 | 0 |
| Community Respite Care Programs | 527,828 | 558,137 | 571,086 | 0 | 587,590 | 0 |
| Workers' Compensation Claims | 15,317,508 | 15,246,035 | 18,036,464 | 15,246,035 | 19,584,691 | 15,246,035 |
| Autism Services | 1,394,704 | 2,637,528 | 3,348,510 | 2,552,272 | 4,270,204 | 2,848,961 |
| Voluntary Services | 32,376,861 | 32,719,305 | 32,989,735 | 12,986,713 | 33,260,165 | 18,889,987 |
| Supplemental Payments for Medical Services | 5,278,480 | 5,278,116 | 5,278,116 | 5,108,116 | 5,278,116 | 5,108,116 |
| TOTAL - Other Current Expenses | 125,202,091 | 127,628,159 | 135,907,063 | 67,670,043 | 141,076,795 | 73,802,731 |
| <u>Pmts to Other Than Local Govts</u> | | | | | | |
| Rent Subsidy Program | 5,026,227 | 5,150,212 | 5,234,212 | 5,130,212 | 5,318,712 | 5,130,212 |
| Family Reunion Program | 78,800 | 82,349 | 84,260 | 0 | 86,694 | 0 |
| Employment Opportunities & Day Svcs | 212,127,955 | 223,293,347 | 235,445,912 | 222,545,262 | 247,274,248 | 225,053,762 |
| Community Residential Services | 440,306,473 | 458,629,020 | 492,850,101 | 480,961,682 | 518,023,054 | 493,447,748 |
| TOTAL - Pmts to Other Than Local Govts | 657,539,455 | 687,154,928 | 733,614,485 | 708,637,156 | 770,702,708 | 723,631,722 |
| <u>Nonfunctional - Change to Accruals</u> | 8,596,531 | 2,764,167 | 2,764,167 | 0 | 2,764,167 | 0 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|----------------------|----------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 241,314,312 | 261,124,459 | 274,661,535 | 272,798,153 | 267,209,799 | 277,483,932 | 274,898,597 | 269,307,937 |
| Other Expenses | 21,944,499 | 21,994,085 | 22,793,080 | 22,536,059 | 20,894,381 | 23,427,227 | 23,171,428 | 20,894,381 |
| Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Other Current Expenses | 125,202,091 | 127,628,159 | 135,907,063 | 128,653,603 | 67,670,043 | 141,076,795 | 128,766,547 | 73,802,731 |
| Pmts to Other than Local Govts | 657,539,455 | 687,154,928 | 733,614,485 | 724,203,005 | 708,637,156 | 770,702,708 | 755,068,404 | 723,631,722 |
| Nonfunctional - Change to Accruals | 8,596,531 | 2,764,167 | 2,764,167 | 2,764,167 | 0 | 2,764,167 | 2,764,167 | 0 |
| TOTAL - General Fund | 1,054,596,888 | 1,100,665,799 | 1,169,740,331 | 1,150,954,988 | 1,064,411,379 | 1,215,454,830 | 1,184,669,144 | 1,087,636,771 |
| Federal and Other Activities | 5,572,553 | 5,610,691 | 5,595,539 | 5,595,539 | 1,935,393 | 5,595,539 | 5,595,539 | 1,935,393 |
| Private Funds | 47,775 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 | 41,876 |
| Special Non-Appropriated Funds | 0 | 6,288,203 | 5,425,012 | 5,425,012 | 5,425,012 | 3,846,278 | 3,846,278 | 3,846,278 |
| TOTAL - All Funds Net | 1,060,217,216 | 1,112,606,569 | 1,180,802,758 | 1,162,017,415 | 1,071,813,660 | 1,224,938,523 | 1,194,152,837 | 1,093,460,318 |

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

[HTTP://WWW.DMHAS.STATE.CT.US/](http://www.dmhas.state.ct.us/)

AGENCY DESCRIPTION

The Department of Mental Health and Addiction Services is responsible for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The service and management objectives are to develop comprehensive, accessible and locally-based recovery-oriented systems of care; promote input from people receiving services, families and advocates; address the

special needs of people needing care; emphasize public safety and risk management; promote an interactive system of public and private care; apply evidence-based models to care management and delivery; assure accountability for both state and private providers; manage services in the context of healthcare and welfare reform; and enhance strategic planning and collaboration across state agencies.

AGENCY PROGRAM INDEX

| | |
|----------------------------|---------------------------|
| Emergency / Crisis | Outpatient |
| Special Programs | Residential Treatment |
| Housing | Mental Health Inpatient |
| Forensic Inpatient | Substance Abuse Inpatient |
| Case Management | Rehabilitation |
| Ancillary Services | Advocacy and Prevention |
| Education and Training | Research |
| Agency Management Services | |

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---|------------------|------------------|
| Reductions | | |
| • Annualize FY 2015 Rescissions | -4,557,108 | -4,557,108 |
| • Reduce Caseload Growth | -3,052,178 | -3,052,178 |
| • Remove or Limit Inflation | -1,080,475 | -2,348,402 |
| • Reduce Funding for Research Activities at Connecticut Mental Health Center | -785,433 | -785,433 |
| • Reduce Funding for Regional Action Councils and Governor's Prevention Partnership <i>Up to \$365,000 in the Pre-Trial Education program account shall be available for the Regional Action Councils and Governor's Prevention Partnership.</i> | -736,250 | -736,250 |
| • Eliminate Gatekeeper Program | -718,158 | -718,158 |
| • Reduce Funding for Regional Mental Health Boards | -584,673 | -584,673 |
| • Reduce Funding for Legal Services | -400,000 | -400,000 |
| • Eliminate Funding for New Pilot Program for Alcohol-Dependent Individuals | -100,000 | -100,000 |
| • Fund Equipment Through CEPF | -1 | -1 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Current Services | | |
| • Fund Caseload Growth <i>Funding supports a 4% growth rate each year in the General Assistance Managed Care account; 50 net new clients in Young Adult Services each year of the biennium; 50 placements for individuals leaving inpatient settings; and \$3.1 million in FY 2016 and \$4.2 million in FY 2017 respectively to support Mental Health Waiver slots and Money Follows the Person placements in the Home and Community Based Services account over the biennium.</i> | 13,006,988 | 28,812,184 |
| • Annualize Funding for the Governor's Mental Health Initiative | 3,000,000 | 3,000,000 |
| • Annualize Wrap-Around Services for 100 Units of Supportive Housing | 500,000 | 500,000 |
| • Reallocate Funding for the Acquired Brain Injury Waiver to the Department of Social Services <i>Reflects funding for 18 clients who will be enrolled onto ABI Waiver II.</i> | -3,085,450 | -3,085,450 |
| • Reallocate Funding to Staff the Central Contracting Unit | 555,211 | 555,211 |

Reflects a reallocation of seven positions and associated funding from the Department of Social Services to support the Central Contracting Unit.

| | <u>2015-2016</u> | <u>2016-2017</u> | |
|--|------------------|------------------|------------------|
| Reallocations | | | |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -2,201,244 | -2,201,244 | |
| • Consolidate Substance Abuse Programming Under DMHAS | 351,574 | 351,574 | |
| <i>Funding from the Department of Veterans' Affairs is transferred to DMHAS to consolidate substance abuse programming under one agency. Five positions and associated funding will be transferred to support this change.</i> | | | |
| • Fund a Portion of Persistent Violent Felony Offenders Programming Through Pre-Trial Education Receipts | -175,235 | -175,235 | |
| | <u>2015-2016</u> | <u>2016-2017</u> | <u>2017-2018</u> |
| Expansions | | | |
| • Expand the Connecticut Collaboration on Re-Entry Initiative as Part of the Governor's Second Chance Society Initiative | 1,000,000 | 2,000,000 | 2,000,000 |
| <i>Funds wrap-around services for an additional 100 supportive housing units in each year of the biennium.</i> | | | |
| • Fund Wrap-Around Services for Chronically Homeless Individuals as Part of the Zero:2016 Initiative | 1,000,000 | 1,000,000 | 1,000,000 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--------------------------------------|------------|------------|-----------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3029 | 280 | 0 | 3309 | 3433 | 3438 | 3433 | 3433 |
| Federal Funds | 12 | 2 | 0 | 14 | 12 | 12 | 12 | 12 |

| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Agency Management Services | 85,266,251 | 64,013,035 | 68,239,495 | 67,045,906 | 63,947,811 | 69,565,526 | 67,675,571 | 64,232,005 |
| Emergency / Crisis | 23,076,521 | 24,646,858 | 25,298,269 | 25,299,600 | 25,199,838 | 25,399,800 | 25,401,221 | 25,271,111 |
| Outpatient | 132,861,141 | 80,742,348 | 82,088,823 | 82,007,196 | 81,308,663 | 82,251,203 | 82,162,202 | 81,356,985 |
| Special Programs | 51,177,167 | 58,742,008 | 60,223,664 | 60,370,124 | 60,682,347 | 60,675,692 | 60,857,466 | 62,069,871 |
| Residential Treatment | 80,150,453 | 85,484,637 | 92,657,506 | 84,237,677 | 83,852,624 | 100,210,938 | 92,317,173 | 91,805,815 |
| Housing | 108,412,788 | 117,798,135 | 128,267,968 | 128,250,927 | 125,510,194 | 134,701,504 | 134,841,730 | 132,086,972 |
| Mental Health Inpatient | 60,915,669 | 42,545,747 | 46,608,128 | 45,885,092 | 45,453,525 | 47,527,922 | 46,767,950 | 46,144,224 |
| Forensic Inpatient | 31,222,239 | 38,571,852 | 41,772,147 | 41,761,347 | 41,332,468 | 42,584,980 | 42,576,261 | 41,969,272 |
| Substance Abuse Inpatient | 19,439,372 | 17,014,710 | 18,360,796 | 18,351,509 | 18,168,637 | 18,707,530 | 18,689,871 | 18,431,182 |
| Case Management | 74,482,935 | 91,855,260 | 97,963,379 | 98,884,459 | 96,335,136 | 105,271,679 | 100,991,227 | 98,375,973 |
| Rehabilitation | 30,774,494 | 32,501,625 | 33,108,622 | 33,114,003 | 32,977,765 | 33,152,502 | 33,158,981 | 33,011,311 |
| Ancillary Services | 2,291,250 | 1,813,140 | 1,829,725 | 1,826,393 | 1,822,715 | 1,837,932 | 1,830,782 | 1,825,247 |
| Advocacy and Prevention | 16,830,404 | 17,425,405 | 17,379,686 | 17,375,118 | 16,301,104 | 17,352,059 | 17,347,311 | 16,264,704 |
| Education and Training | 1,803,250 | 1,623,589 | 1,646,797 | 1,646,882 | 1,573,369 | 1,037,054 | 1,037,160 | 962,485 |
| Research | 5,103,424 | 5,950,704 | 5,749,109 | 5,749,482 | 5,300,338 | 5,237,189 | 5,237,629 | 4,778,270 |
| TOTAL Agency Programs - All Fund Gross | 723,807,358 | 680,729,053 | 721,194,114 | 711,805,715 | 699,766,534 | 745,513,510 | 730,892,535 | 718,585,427 |

Summary of Funding

| | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 658,354,905 | 614,939,803 | 659,181,574 | 649,793,175 | 637,753,994 | 684,544,448 | 669,923,473 | 657,616,365 |
| Insurance Fund | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| Federal Funds | 46,119,352 | 44,502,795 | 42,567,798 | 42,567,798 | 42,567,798 | 42,055,768 | 42,055,768 | 42,055,768 |
| Private Funds | 18,898,101 | 20,851,455 | 19,009,742 | 19,009,742 | 19,009,742 | 18,478,294 | 18,478,294 | 18,478,294 |
| TOTAL Agency Programs - All Funds Net | 723,807,358 | 680,729,053 | 721,194,114 | 711,805,715 | 699,766,534 | 745,513,510 | 730,892,535 | 718,585,427 |

EMERGENCY/CRISIS SERVICES

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

Program Description

Available 24 hours a day, 7 days a week, emergency mental health workers rapidly assess and treat individuals and families through face-to-face and hotline telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in

crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis intervention centers also include short-term crisis

beds and short-term respite beds. Follow-up treatment is arranged as necessary.

| Program Measure | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|---------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Crisis Counseling | | | | |
| Clients Served | 8,774 | 8,774 | 8,774 | 8,774 |
| Crisis/Respite beds | | | | |
| Beds Crisis/Jail Div./Sub-Acute | 43 | 43 | 43 | 43 |
| Clients Served | 1,320 | 1,320 | 1,320 | 1,320 |
| Crisis/Jail Diversion | | | | |
| Clients Served | 4,324 | 4,324 | 4,324 | 4,324 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--------------------------------------|------------|------------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 97 | 9 | 0 | 106 | 106 | 106 | 106 | 106 |

| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | | | | | | | | |
| Personal Services | 11,879,401 | 12,391,588 | 12,963,612 | 12,965,034 | 12,916,734 | 13,075,524 | 13,077,169 | 13,028,869 |
| Other Expenses | 524,523 | 1,034,659 | 1,058,955 | 1,058,955 | 1,007,493 | 1,089,303 | 1,089,303 | 1,007,493 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Housing Supports and Services | 19,696 | 19,696 | 19,696 | 19,696 | 19,696 | 19,696 | 19,696 | 19,696 |
| Managed Service System | 2,275,349 | 2,231,300 | 2,236,187 | 2,236,206 | 2,236,206 | 2,237,650 | 2,237,675 | 2,237,675 |
| Professional Services | 18,833 | 17,036 | 17,036 | 17,036 | 17,036 | 17,036 | 17,036 | 17,036 |
| General Assistance Managed Care | 101,679 | 1,937 | 2,131 | 2,021 | 2,021 | 2,344 | 2,095 | 2,095 |
| Young Adult Services | 331,255 | 331,255 | 331,255 | 331,255 | 331,255 | 331,255 | 331,255 | 331,255 |
| Jail Diversion | 159,603 | 159,342 | 159,342 | 159,342 | 159,342 | 159,342 | 159,342 | 159,342 |
| Behavioral Health Medications | 1,392 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prison Overcrowding | 98,907 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Discharge and Diversion Services | 283,817 | 283,817 | 283,817 | 283,817 | 283,817 | 283,817 | 283,817 | 283,817 |
| TOTAL - Other Current Expenses | 3,290,531 | 3,044,383 | 3,049,464 | 3,049,373 | 3,049,373 | 3,051,140 | 3,050,916 | 3,050,916 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 421,183 | 421,183 | 421,183 | 421,183 | 421,183 | 421,183 | 421,183 | 421,183 |
| Grants for Mental Health Services | 5,146,705 | 5,950,551 | 5,950,551 | 5,950,551 | 5,950,551 | 5,950,551 | 5,950,551 | 5,950,551 |
| TOTAL - Pmts to Other than Local Govts | 5,567,888 | 6,371,734 | 6,371,734 | 6,371,734 | 6,371,734 | 6,371,734 | 6,371,734 | 6,371,734 |
| TOTAL - General Fund | 21,262,343 | 22,842,364 | 23,443,765 | 23,445,096 | 23,345,334 | 23,587,701 | 23,589,122 | 23,459,012 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 93003 Public Health & Social Services Emer | 51,178 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 93243 Substance Abuse & Mental Health Services | 93,736 | 257,778 | 257,788 | 257,788 | 257,788 | 215,383 | 215,383 | 215,383 |
| 93958 Block Grants for Community Mental Health | 1,614,711 | 1,467,163 | 1,517,163 | 1,517,163 | 1,517,163 | 1,517,163 | 1,517,163 | 1,517,163 |
| 93959 Block Grants for Prevention & Treatment | 54,553 | 54,553 | 54,553 | 54,553 | 54,553 | 54,553 | 54,553 | 54,553 |
| TOTAL - All Funds | 23,076,521 | 24,646,858 | 25,298,269 | 25,299,600 | 25,199,838 | 25,399,800 | 25,401,221 | 25,271,111 |

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment through

individual, group or family therapy and medication support when necessary.

Program Description

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who

might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which individuals are located to best serve persons who are elderly, are members of minorities, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and therapist and is tailored to the

recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and methadone maintenance.

| Program Measure | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected | | | | |
|--|----------------------|----------------------|----------------------|----------------------|------------------------|------------------------|----------------------|------------------------|
| MH Outpatient | | | | | | | | |
| Unduplicated clients | 39,048 | 42,953 | 47,248 | 51,973 | | | | |
| MH Intensive Outpatient Services | | | | | | | | |
| Unduplicated clients | 575 | 633 | 696 | 765 | | | | |
| Methadone Maintenance | | | | | | | | |
| Number of Slots | 8,121 | 8,933 | 9,826 | 10,809 | | | | |
| Clients Served | 13,808 | 15,189 | 16,708 | 18,378 | | | | |
| Ambulatory Drug Detox | | | | | | | | |
| Number of Slots | 83 | 83 | 83 | 83 | | | | |
| Clients Served | 87 | 96 | 105 | 116 | | | | |
| Addiction Outpatient SO and PNP | | | | | | | | |
| Number of Slots | 3,991 | 4,390 | 4,829 | 5,312 | | | | |
| Clients Served | 16,634 | 18,297 | 20,127 | 22,140 | | | | |
| Addiction Standard IOP | | | | | | | | |
| Number of Slots | 231 | 254 | 280 | 307 | | | | |
| Clients Served | 2,981 | 3,279 | 3,607 | 3,968 | | | | |
| Addiction PHP | | | | | | | | |
| Number of Slots | 100 | 110 | 121 | 133 | | | | |
| Clients Served | 730 | 803 | 883 | 972 | | | | |
| Personnel Summary | | | | | | | | |
| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| Permanent Full-Time Positions | 362 | 19 | 0 | 381 | 381 | 381 | 381 | 381 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 33,791,375 | 36,012,038 | 37,724,212 | 37,714,945 | 37,574,577 | 38,021,094 | 38,014,854 | 37,874,486 |
| Other Expenses | 3,288,801 | 3,638,135 | 4,881,875 | 4,819,875 | 4,638,770 | 5,024,848 | 4,962,848 | 4,675,059 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 7,041,885 | 7,394,052 | 9,644,052 | 7,394,052 | 7,394,052 | 9,644,052 | 7,394,052 | 7,394,052 |
| Connecticut Mental Health Center | 4,736,991 | 4,847,194 | 4,847,194 | 4,847,194 | 4,604,836 | 4,847,194 | 4,847,194 | 4,604,836 |
| Professional Services | 292,543 | 264,628 | 264,628 | 264,628 | 264,628 | 264,628 | 264,628 | 264,628 |
| General Assistance Managed Care | 44,091,745 | 1,287,778 | 1,287,778 | 1,287,778 | 1,287,778 | 1,287,778 | 1,287,778 | 1,287,778 |
| Young Adult Services | 5,028,592 | 5,028,592 | 5,028,592 | 5,028,592 | 5,028,592 | 5,028,592 | 5,028,592 | 5,028,592 |
| TBI Community Services | 65,981 | 73,623 | 78,335 | 78,352 | 77,467 | 79,753 | 79,775 | 78,890 |
| Jail Diversion | 190 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Behavioral Health Medications | 133,241 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prison Overcrowding | 106,349 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Discharge and Diversion Services | 240,209 | 244,904 | 244,904 | 244,904 | 244,904 | 244,904 | 244,904 | 244,904 |
| Home and Community Based Services | 569,569 | 755,349 | 765,726 | 755,349 | 717,582 | 776,132 | 755,349 | 717,582 |
| Persistent Violent Felony Offenders Act | 96,050 | 96,050 | 96,050 | 96,050 | 0 | 96,050 | 96,050 | 0 |
| TOTAL - Other Current Expenses | 62,403,345 | 19,992,170 | 22,257,259 | 19,996,899 | 19,619,839 | 22,269,083 | 19,998,322 | 19,621,262 |
| Pmts to Other than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 7,306,128 | 4,701,514 | 4,701,514 | 4,701,514 | 4,701,514 | 4,701,514 | 4,701,514 | 4,701,514 |
| Grants for Mental Health Services | 13,119,780 | 4,571,238 | 4,571,238 | 4,571,238 | 4,571,238 | 4,571,238 | 4,571,238 | 4,571,238 |
| TOTAL - Pmts to Other than Local Govts | 20,425,908 | 9,272,752 | 9,272,752 | 9,272,752 | 9,272,752 | 9,272,752 | 9,272,752 | 9,272,752 |
| TOTAL - General Fund | 119,909,429 | 68,915,095 | 74,136,098 | 71,804,471 | 71,105,938 | 74,587,777 | 72,248,776 | 71,443,559 |

Insurance Fund*Other Current Expenses*

| | | | | | | | | | |
|--------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| Managed Service System | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| TOTAL - Other Current Expenses | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| TOTAL - Insurance Fund | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |

Additional Funds Available

| | | | | | | | | | |
|--|-------------|------------|------------|------------|------------|------------|------------|------------|-----------|
| Private Funds | 2,465,937 | 3,286,044 | 2,532,000 | 2,532,000 | 2,532,000 | 2,532,000 | 2,532,000 | 2,532,000 | 2,532,000 |
| Federal Contributions | | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 503,338 | 855,738 | 664,399 | 664,399 | 664,399 | 375,100 | 375,100 | 375,100 | 375,100 |
| 93275 Substance Abuse & Mental Health Services | 3,447,518 | 834,091 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 586,152 | 583,253 | 583,253 | 583,253 | 583,253 | 583,253 | 583,253 | 583,253 | 583,253 |
| 93958 Block Grants for Community Mental Health | 661,598 | 905,031 | 1,059,977 | 1,059,977 | 1,059,977 | 1,059,977 | 1,059,977 | 1,059,977 | 1,059,977 |
| 93959 Block Grants for Prevention & Treatment | 4,852,169 | 4,928,096 | 4,928,096 | 4,928,096 | 4,928,096 | 4,928,096 | 4,928,096 | 4,928,096 | 4,928,096 |
| TOTAL - All Funds | 132,861,141 | 80,742,348 | 84,338,823 | 82,007,196 | 81,308,663 | 84,501,203 | 82,162,202 | 81,356,985 | |

SPECIAL PROGRAMS**Statutory Reference**

C.G.S. Sections 17a-450, 17a-476, 17a-560 and 17a-576.

Statement of Need and Program Objectives

This program consists of several distinct services serving different populations.

These services are designed to assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf and hearing impaired and suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

To reduce incarceration of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration when appropriate.

Program Description

Forensic Services are provided through court clinics located in New Haven, Bridgeport, Newington and Norwich. The service recipient and therapist design the treatment plan for outpatient services which is tailored to the service recipient's needs. Outpatient treatment (including prescription and monitoring of medication)

for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for compulsive gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and chemical maintenance. The court clinics are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, social work and nursing students. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high-risk individuals with severe mental illness.

Deaf and Hearing Impaired Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide services, operates a network of consultative services, develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for people with ABI/TBI consist primarily of case management services and residential supports which are closely linked to the neuropsychiatry

service at Connecticut Valley Hospital and the ABI services of the Department of Social Services. Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided

on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Special Populations/Transitional Youth Unduplicated Clients | 1,146 | 1,197 | 1,249 | 1,300 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 356 | 41 | 0 | 397 | 397 | 402 | 397 | 397 |
| Federal Funds | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 0 |
| Other Positions Equated to Full-Time | | | | | | | | |
| General Fund | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| Federal Funds | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 3,217,840 | 3,428,686 | 3,605,929 | 3,602,389 | 3,940,598 | 3,635,819 | 3,632,226 | 3,970,435 |
| Other Expenses | 162,642 | 3,404,116 | 3,484,209 | 3,484,209 | 3,314,763 | 3,584,027 | 3,584,027 | 3,314,763 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Housing Supports and Services | 0 | 0 | 0 | 0 | 2,000,000 | 0 | 0 | 3,000,000 |
| Managed Service System | 1,140,128 | 796,211 | 802,896 | 802,922 | 702,922 | 804,970 | 805,005 | 705,005 |
| Connecticut Mental Health Center | 736,138 | 753,264 | 753,264 | 753,264 | 340,602 | 753,264 | 753,264 | 340,602 |
| Professional Services | 1,248,303 | 1,129,303 | 1,129,303 | 1,129,303 | 1,129,303 | 1,129,303 | 1,129,303 | 1,129,303 |
| Young Adult Services | 31,470,550 | 36,100,329 | 36,916,822 | 37,604,789 | 37,604,789 | 37,228,887 | 37,952,002 | 37,952,002 |
| TBI Community Services | 1,573,421 | 1,638,799 | 1,678,589 | 1,678,742 | 1,659,047 | 1,689,195 | 1,689,389 | 1,669,694 |
| Jail Diversion | 3,664,743 | 3,774,215 | 3,874,580 | 3,874,965 | 3,864,965 | 3,897,024 | 3,897,495 | 3,887,495 |
| Behavioral Health Medications | -263 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prison Overcrowding | 3,635,294 | 3,957,784 | 4,038,544 | 4,038,856 | 3,578,858 | 4,060,175 | 4,060,570 | 3,600,572 |
| Discharge and Diversion Services | 797,549 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Home and Community Based Services | 4,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Persistent Violent Felony Offenders Act | 573,614 | 579,185 | 579,185 | 579,185 | 500,000 | 579,185 | 579,185 | 500,000 |
| Pre-Trial Account | 349,997 | 775,000 | 775,000 | 775,000 | 0 | 775,000 | 775,000 | 0 |
| TOTAL - Other Current Expenses | 45,193,474 | 49,504,090 | 50,548,183 | 51,237,026 | 51,380,486 | 50,917,003 | 51,641,213 | 52,784,673 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Employment Opportunities | 55,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Pmts to Other than Local Govts | 55,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - General Fund | 48,628,956 | 56,336,892 | 57,638,321 | 58,323,624 | 58,635,847 | 58,136,849 | 58,857,466 | 60,069,871 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 2,312,224 | 2,314,107 | 2,046,500 | 2,046,500 | 2,046,500 | 2,000,000 | 2,000,000 | 2,000,000 |
| Federal Contributions | | | | | | | | |
| 14238 Shelter Plus Care | 4,113 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse & Mental Health Services | 231,874 | 91,009 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 51,177,167 | 58,742,008 | 59,684,821 | 60,370,124 | 60,682,347 | 60,136,849 | 60,857,466 | 62,069,871 |

RESIDENTIAL TREATMENT

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and or addiction disorders by ensuring clean, safe and affordable living

environments are available for learning and re-learning rehabilitative skills necessary for independent living.

Program Description

Mental health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported

apartment programs as well as transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with the independence of the living environment, include staff supervision, counseling, follow-up support to assure residents receive needed community support and psychiatric services.

Substance abuse residential services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of

the withdrawal from alcohol and drugs. Substance abuse counseling is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug free lifestyle. Services include recovery houses, halfway houses and residential drug-free programs, including a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

| Program Measure | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Group Homes | | | | |
| Beds | 173 | 173 | 173 | 173 |
| Unduplicated clients | 244 | 244 | 244 | 244 |
| Community Based Residential Rehabilitation: PNP | | | | |
| Number of Beds | 1,789 | 1,789 | 1,789 | 1,789 |
| Clients Served | 7,985 | 8,784 | 9,662 | 10,628 |
| Residential Detoxification: PNP | | | | |
| Number of Beds | 128 | 128 | 128 | 128 |
| Clients Served | 7,163 | 7,879 | 8,667 | 9,534 |
| Long Term Care 3.3 | | | | |
| Number of Beds | 50 | 50 | 50 | 50 |
| Clients Served | 168 | 185 | 203 | 224 |

| Personnel Summary | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 44 | 1 | 0 | 45 | 45 | 45 | 45 | 45 |
| Other Positions Equated to Full-Time | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 4,291,098 | 4,476,953 | 4,678,445 | 4,677,033 | 4,659,584 | 4,712,772 | 4,711,744 | 4,694,295 |
| Other Expenses | 157,518 | 463,277 | 474,129 | 474,129 | 451,117 | 487,716 | 487,716 | 451,117 |
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 25,000 | 32,721 | 32,721 | 32,721 | 32,721 | 32,721 | 32,721 | 32,721 |
| Managed Service System | 5,176,209 | 4,840,250 | 4,840,250 | 4,840,250 | 4,840,250 | 4,840,250 | 4,840,250 | 4,840,250 |
| General Assistance Managed Care | 25,340,374 | 24,522,624 | 26,952,393 | 25,533,302 | 25,533,302 | 29,475,853 | 26,447,307 | 26,447,307 |
| Young Adult Services | 977,098 | 1,048,258 | 1,048,258 | 1,048,258 | 1,048,258 | 1,048,258 | 1,048,258 | 1,048,258 |
| TBI Community Services | 9,306,205 | 14,387,258 | 14,949,758 | 8,301,808 | 8,128,899 | 16,262,258 | 8,301,808 | 8,128,899 |
| Jail Diversion | 39,391 | 39,391 | 39,391 | 39,391 | 39,391 | 39,391 | 39,391 | 39,391 |
| Prison Overcrowding | 223,347 | 227,006 | 227,006 | 227,006 | 227,006 | 227,006 | 227,006 | 227,006 |
| Medicaid Adult Rehabilitation Option | 4,803,175 | 4,803,175 | 4,913,682 | 4,926,841 | 4,816,334 | 5,026,400 | 5,026,400 | 4,803,175 |
| Discharge and Diversion Services | 2,252,867 | 3,941,800 | 7,316,800 | 7,316,800 | 7,316,800 | 10,216,800 | 10,216,800 | 10,216,800 |
| Home and Community Based Services | 552,171 | 1,223,517 | 1,822,643 | 1,458,108 | 1,396,932 | 2,479,483 | 5,575,742 | 5,514,566 |
| TOTAL - Other Current Expenses | 48,695,837 | 55,066,000 | 62,142,902 | 53,724,485 | 53,379,893 | 69,648,420 | 61,755,683 | 61,298,373 |
| Pmts to Other than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 7,455,085 | 6,241,713 | 6,241,713 | 6,241,713 | 6,241,713 | 6,241,713 | 6,241,713 | 6,241,713 |
| Grants for Mental Health Services | 4,326,425 | 4,253,371 | 4,253,371 | 4,253,371 | 4,253,371 | 4,253,371 | 4,253,371 | 4,253,371 |
| TOTAL - Pmts to Other than Local Govts | 11,781,510 | 10,495,084 | 10,495,084 | 10,495,084 | 10,495,084 | 10,495,084 | 10,495,084 | 10,495,084 |
| TOTAL - General Fund | 64,925,963 | 70,501,314 | 77,790,560 | 69,370,731 | 68,985,678 | 85,343,992 | 77,450,227 | 76,938,869 |

Additional Funds Available

| | | | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|
| Private Funds | 9,498,198 | 9,506,907 | 9,506,907 | 9,506,907 | 9,506,907 | 9,506,907 | 9,506,907 | 9,506,907 |
| Federal Contributions | | | | | | | | |
| 93667 Social Services Block Grant | 1,238,523 | 898,892 | 782,515 | 782,515 | 782,515 | 782,515 | 782,515 | 782,515 |
| 93959 Block Grants for Prevention & Treatment | 4,487,769 | 4,577,524 | 4,577,524 | 4,577,524 | 4,577,524 | 4,577,524 | 4,577,524 | 4,577,524 |
| TOTAL - All Funds | 80,150,453 | 85,484,637 | 92,657,506 | 84,237,677 | 83,852,624 | 100,210,938 | 92,317,173 | 91,805,815 |

HOUSING

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to support individuals through

their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the Next Steps Supportive Housing Collaborative, the federally funded Shelter Plus Care program, Recovery Houses and sober housing.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--|-------------------|----------------------|----------------------|----------------------|
| Shelters | | | | |
| DMHAS funded shelters | 6 | 6 | 6 | 6 |
| Static Capacity (point in time) | 206 | 206 | 206 | 206 |
| Clients Served | 1,111 | 1,111 | 1,111 | 1,111 |
| Mental Health Supervised Apartments | | | | |
| Beds/Capacity | 664 | 664 | 664 | 664 |
| Unduplicated clients | 854 | 854 | 854 | 854 |
| Mental Health Supportive Housing (Case Management) | | | | |
| Capacity | 1,946 | 1,946 | 1,946 | 1,946 |
| Unduplicated clients | 2,224 | 2,224 | 2,224 | 2,224 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|-------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 43 | 2 | 0 | 45 | 96 | 96 | 96 | 96 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 2,424,077 | 2,578,383 | 2,691,586 | 2,690,673 | 2,680,624 | 2,709,120 | 2,708,465 | 2,698,416 |
| Other Expenses | 107,024 | 478,332 | 489,539 | 489,539 | 465,783 | 503,564 | 503,564 | 465,783 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Housing Supports and Services | 15,364,462 | 20,117,423 | 20,117,423 | 20,617,423 | 20,617,423 | 20,117,423 | 20,617,423 | 20,617,423 |
| Managed Service System | 15,165,567 | 15,512,965 | 19,654,744 | 18,512,965 | 18,512,965 | 19,654,744 | 18,512,965 | 18,512,965 |
| Connecticut Mental Health Center | 43,454 | 44,465 | 44,465 | 44,465 | 42,240 | 44,465 | 44,465 | 42,240 |
| Professional Services | 85,103 | 76,982 | 76,982 | 76,982 | 76,982 | 76,982 | 76,982 | 76,982 |
| General Assistance Managed Care | 5,235,050 | 6,615,050 | 7,015,050 | 6,765,940 | 6,765,940 | 7,015,050 | 6,922,866 | 6,922,866 |
| Young Adult Services | 22,294,090 | 22,679,970 | 29,547,722 | 29,530,704 | 26,838,524 | 34,953,585 | 34,936,650 | 32,244,470 |
| Jail Diversion | 62,594 | 62,594 | 62,594 | 62,594 | 62,594 | 62,594 | 62,594 | 62,594 |
| Prison Overcrowding | 834,634 | 811,116 | 811,116 | 811,116 | 811,116 | 811,116 | 811,116 | 811,116 |
| Discharge and Diversion Services | 11,925,193 | 14,121,448 | 15,131,712 | 15,131,712 | 15,131,712 | 15,131,712 | 15,131,712 | 15,131,712 |
| Home and Community Based Services | 250,466 | 250,466 | 250,466 | 250,466 | 237,943 | 250,466 | 250,466 | 237,943 |
| TOTAL - Other Current Expenses | 71,260,613 | 80,292,479 | 92,712,274 | 91,804,367 | 89,097,439 | 98,118,137 | 97,367,239 | 94,660,311 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 1,541,769 | 1,553,040 | 1,553,040 | 1,553,040 | 1,553,040 | 1,553,040 | 1,553,040 | 1,553,040 |
| Grants for Mental Health Services | 20,067,056 | 19,671,234 | 19,671,234 | 19,671,234 | 19,671,234 | 19,671,234 | 19,671,234 | 19,671,234 |
| TOTAL - Pmts to Other than Local Govts | 21,608,825 | 21,224,274 | 21,224,274 | 21,224,274 | 21,224,274 | 21,224,274 | 21,224,274 | 21,224,274 |
| TOTAL - General Fund | 95,400,539 | 104,573,468 | 117,117,673 | 116,208,853 | 113,468,120 | 122,555,095 | 121,803,542 | 119,048,784 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 863,086 | 1,751,981 | 1,249,681 | 1,249,681 | 1,249,681 | 1,249,681 | 1,249,681 | 1,249,681 |

| Federal Contributions | | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-----------|
| 14235 Supportive Housing Program | 1,337,565 | 136,107 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14238 Shelter Plus Care | 9,159,573 | 757,799 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14267 Continuum Of Care Program | 0 | 7,411,537 | 7,409,202 | 7,409,202 | 7,409,202 | 8,500,000 | 8,500,000 | 8,500,000 | 8,500,000 |
| 93243 Substance Abuse & Mental Health Services | 378,736 | 1,878,736 | 2,094,684 | 2,094,684 | 2,094,684 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| 93667 Social Services Block Grant | 404,739 | 404,764 | 404,764 | 404,764 | 404,764 | 404,764 | 404,764 | 404,764 | 404,764 |
| 93958 Block Grants for Community Mental Health | 108,924 | 108,924 | 108,924 | 108,924 | 108,924 | 108,924 | 108,924 | 108,924 | 108,924 |
| 93959 Block Grants for Prevention & Treatment | 759,626 | 774,819 | 774,819 | 774,819 | 774,819 | 774,819 | 774,819 | 774,819 | 774,819 |
| TOTAL - All Funds | 108,412,788 | 117,798,135 | 129,159,747 | 128,250,927 | 125,510,194 | 135,593,283 | 134,841,730 | 132,086,972 | |

MENTAL HEALTH INPATIENT SERVICES

Statutory Reference

C.G.S. Section 17a-458.

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

Program Description

There are three DMHAS facilities offering inpatient mental health services (Connecticut Valley Hospital (CVH), Connecticut Mental Health Center and Greater

Bridgeport Community Mental Health Center), each providing services to a wide range of psychiatrically disabled adults. CVH provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services include Geriatrics, Traumatic/Acquired Brain Injury, Cognitive Rehabilitation and Dialectical Behavior Training.

| Program Measure | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Southwest Connecticut Mental Health System - Bridgeport Mental Health Division | | | | |
| Beds | 42 | 42 | 42 | 42 |
| Average Daily Census | 42 | 42 | 42 | 42 |
| Admissions | 60 | 60 | 60 | 60 |
| Discharges | 61 | 61 | 61 | 61 |
| Unduplicated Clients | 83 | 83 | 83 | 83 |
| Connecticut Mental Health Center | | | | |
| Beds | 32 | 32 | 32 | 32 |
| Average Daily Census | 26.0 | 26.0 | 26.0 | 26.0 |
| Admissions | 219 | 219 | 219 | 219 |
| Discharges | 221 | 221 | 221 | 221 |
| Unduplicated Clients | 175 | 175 | 175 | 175 |
| Connecticut Valley Hospital - Mental Health Division | | | | |
| Beds | 230 | 230 | 230 | 230 |
| Average Daily Census | 217.0 | 217.0 | 217.0 | 217.0 |
| Admissions | 236 | 236 | 236 | 236 |
| Discharges | 248 | 248 | 248 | 248 |
| Unduplicated Clients | 349 | 349 | 349 | 349 |

Personnel Summary

| Permanent Full-Time Positions | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|-------------------------------|------------|------------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 624 | 56 | 0 | 680 | 680 | 680 | 680 | 680 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 29,115,057 | 31,527,014 | 34,730,020 | 34,712,124 | 34,589,237 | 35,422,398 | 35,402,823 | 35,279,936 |
| Other Expenses | -1,510,992 | 3,953,238 | 4,812,613 | 4,107,473 | 3,849,461 | 5,040,029 | 4,299,632 | 3,849,461 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 919,427 | 1,506,976 | 1,506,976 | 1,506,976 | 1,506,976 | 1,506,976 | 1,506,976 | 1,506,976 |
| Connecticut Mental Health Center | 990,298 | 1,013,337 | 1,013,337 | 1,013,337 | 962,669 | 1,013,337 | 1,013,337 | 962,669 |
| Professional Services | 1,498,287 | 1,355,315 | 1,355,315 | 1,355,315 | 1,355,315 | 1,355,315 | 1,355,315 | 1,355,315 |
| General Assistance Managed Care | 26,722,558 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Behavioral Health Medications | -9,779 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Discharge and Diversion Services | 729,304 | 766,610 | 766,610 | 766,610 | 766,610 | 766,610 | 766,610 | 766,610 |
| Nursing Home Contract | 422,989 | 485,000 | 485,000 | 485,000 | 485,000 | 485,000 | 485,000 | 485,000 |
| TOTAL - Other Current Expenses | 31,273,084 | 5,127,238 | 5,127,238 | 5,127,238 | 5,076,570 | 5,127,238 | 5,127,238 | 5,076,570 |
| Pmts to Other than Local Govts | | | | | | | | |
| Grants for Mental Health Services | 824,830 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 |
| TOTAL - Pmts to Other than Local Govts | 824,830 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 | 900,521 |
| TOTAL - General Fund | 59,701,979 | 41,508,011 | 45,570,392 | 44,847,356 | 44,415,789 | 46,490,186 | 45,730,214 | 45,106,488 |
| Additional Funds Available | | | | | | | | |
| Private Funds | 1,213,690 | 1,037,736 | 1,037,736 | 1,037,736 | 1,037,736 | 1,037,736 | 1,037,736 | 1,037,736 |
| TOTAL - All Funds | 60,915,669 | 42,545,747 | 46,608,128 | 45,885,092 | 45,453,525 | 47,527,922 | 46,767,950 | 46,144,224 |

FORENSIC INPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-560 through 17a-576.

Statement of Need and Program Objectives

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

The Whiting Forensic Division of CVH consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Connecticut Valley Hospital - Forensic Division | | | | |
| Beds | 232 | 232 | 232 | 232 |
| Average Daily Census | 225 | 225 | 225 | 225 |
| Admissions | 479 | 479 | 479 | 479 |
| Discharges | 474 | 474 | 474 | 474 |
| Unduplicated Clients | 475 | 475 | 475 | 475 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|-------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 611 | 45 | 0 | 656 | 656 | 656 | 656 | 656 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|-----------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 29,845,739 | 32,447,654 | 35,504,998 | 35,494,198 | 35,367,722 | 36,139,721 | 36,131,002 | 36,004,526 |
| Other Expenses | 1,262,726 | 6,074,113 | 6,217,064 | 6,217,064 | 5,914,661 | 6,395,174 | 6,395,174 | 5,914,661 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Professional Services | 55,369 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 |
| Prison Overcrowding | 6,708 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Other Current Expenses | 62,077 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 | 50,085 |
| TOTAL - General Fund | 31,170,542 | 38,571,852 | 41,772,147 | 41,761,347 | 41,332,468 | 42,584,980 | 42,576,261 | 41,969,272 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 51,697 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 31,222,239 | 38,571,852 | 41,772,147 | 41,761,347 | 41,332,468 | 42,584,980 | 42,576,261 | 41,969,272 |

SUBSTANCE ABUSE INPATIENT

Statutory Reference

C.G.S. Section 17a-635(4).

Statement of Need and Program Objectives

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

Program Description

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services

involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24 hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services

offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--|-------------------|----------------------|----------------------|----------------------|
| Blue Hills Campus | | | | |
| Number of Beds (Detox and Rehab) | 41 | 41 | 41 | 41 |
| Patient Days (Detox and Rehab) | 13,293 | 13,293 | 13,293 | 13,293 |
| Unduplicated Clients (Detox and Rehab) | 1,587 | 1,587 | 1,587 | 1,587 |
| Utilization Ratio (%) | 96.0 | 96.0 | 96.0 | 96.0 |
| Connecticut Valley Hospital - Addiction Services Division | | | | |
| Number of Beds (Detox and Rehab) | 111 | 111 | 111 | 111 |
| Patient Days (Detox and Rehab) | 33,801 | 33,801 | 33,801 | 33,801 |
| Unduplicated Clients (Detox and Rehab) | 1,627 | 1,627 | 1,627 | 1,627 |
| Utilization Ratio (%) | 86.0 | 86.0 | 86.0 | 86.0 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|-------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 270 | 23 | 0 | 293 | 293 | 293 | 293 | 293 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 12,667,872 | 13,895,531 | 15,167,178 | 15,165,843 | 15,111,680 | 15,423,954 | 15,423,266 | 15,369,103 |
| Other Expenses | 1,074,835 | 2,585,661 | 2,646,484 | 2,646,484 | 2,517,775 | 2,722,301 | 2,722,301 | 2,517,775 |
| Other Current Expenses | | | | | | | | |
| Professional Services | 388,401 | 351,339 | 351,339 | 351,339 | 351,339 | 351,339 | 351,339 | 351,339 |
| General Assistance Managed Care | 5,114,216 | 132,179 | 145,795 | 137,843 | 137,843 | 159,936 | 142,965 | 142,965 |
| Behavioral Health Medications | 194,048 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Other Current Expenses | 5,696,665 | 483,518 | 497,134 | 489,182 | 489,182 | 511,275 | 494,304 | 494,304 |
| Pmts to Other than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 0 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| TOTAL - Pmts to Other than Local Govts | 0 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| TOTAL - General Fund | 19,439,372 | 17,014,710 | 18,360,796 | 18,351,509 | 18,168,637 | 18,707,530 | 18,689,871 | 18,431,182 |
| TOTAL - All Funds | 19,439,372 | 17,014,710 | 18,360,796 | 18,351,509 | 18,168,637 | 18,707,530 | 18,689,871 | 18,431,182 |

CASE MANAGEMENT

Statutory Reference

C.G.S. Sections 17a-450 and 17a-476.

Statement of Need and Program Objectives

To promote the recovery of persons with psychiatric disabilities by helping them remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

Program Description

This program provides persons with a psychiatric illness a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve a satisfactory level of quality of life in the community. Adults are assigned to one of three levels

of case management depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard", or "monitoring" (the least intensive). Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention and outreach. Case management may also be provided by residential programs.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---------------------------------|-------------------|----------------------|----------------------|----------------------|
| Case Management | | | | |
| Unduplicated clients | 4,338 | 4,338 | 4,338 | 4,338 |
| Community Support Program (CSP) | | | | |
| Unduplicated clients | 5,848 | 5,848 | 5,848 | 5,848 |

Personnel Summary

Permanent Full-Time Positions

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 216 | 36 | 0 | 252 | 318 | 318 | 318 | 318 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|----------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 17,406,880 | 18,511,011 | 19,397,132 | 19,391,662 | 19,319,509 | 19,557,092 | 19,553,114 | 19,480,961 |
| Other Expenses | 726,680 | 2,248,689 | 2,301,581 | 2,301,581 | 2,189,657 | 2,367,512 | 2,367,512 | 2,189,657 |
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 373,255 | 488,538 | 488,538 | 488,538 | 488,538 | 488,538 | 488,538 | 488,538 |
| Managed Service System | 13,449,421 | 21,781,708 | 18,792,939 | 22,297,525 | 21,297,525 | 18,835,290 | 22,440,294 | 21,440,294 |
| Connecticut Mental Health Center | 140,264 | 143,527 | 143,527 | 143,527 | 136,350 | 143,527 | 143,527 | 136,350 |
| Professional Services | 27,606 | 24,972 | 24,972 | 24,972 | 24,972 | 24,972 | 24,972 | 24,972 |
| General Assistance Managed Care | 7,041,070 | 7,116,524 | 7,116,524 | 7,116,524 | 7,116,524 | 7,116,524 | 7,116,524 | 7,116,524 |
| Young Adult Services | 8,809,414 | 8,623,611 | 9,162,454 | 8,623,611 | 8,623,611 | 9,162,454 | 8,623,611 | 8,623,611 |
| TBI Community Services | 905,156 | 541,765 | 541,765 | 541,765 | 535,254 | 541,765 | 541,765 | 535,254 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|-------------|-------------|------------|
| Jail Diversion | 469,059 | 469,059 | 469,059 | 469,059 | 469,059 | 469,059 | 469,059 | 469,059 |
| Behavioral Health Medications | 4,629 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prison Overcrowding | 1,461,759 | 1,504,512 | 1,504,512 | 1,504,512 | 1,504,512 | 1,504,512 | 1,504,512 | 1,504,512 |
| Discharge and Diversion Services | 892,934 | 574,808 | 574,808 | 574,808 | 574,808 | 574,808 | 574,808 | 574,808 |
| Home and Community Based Services | 6,665,604 | 12,667,987 | 17,868,624 | 18,432,367 | 17,080,809 | 25,378,536 | 20,638,837 | 19,287,279 |
| TOTAL - Other Current Expenses | 40,240,171 | 53,937,011 | 56,687,722 | 60,217,208 | 57,851,962 | 64,239,985 | 62,566,447 | 60,201,201 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Grants for Substance Abuse Services | 1,276,099 | 2,138,565 | 2,138,565 | 2,138,565 | 2,138,565 | 2,138,565 | 2,138,565 | 2,138,565 |
| Grants for Mental Health Services | 10,024,948 | 10,410,155 | 10,410,155 | 10,410,155 | 10,410,155 | 10,410,155 | 10,410,155 | 10,410,155 |
| TOTAL - Pmts to Other than Local Govts | 11,301,047 | 12,548,720 | 12,548,720 | 12,548,720 | 12,548,720 | 12,548,720 | 12,548,720 | 12,548,720 |
| TOTAL - General Fund | 69,674,778 | 87,245,431 | 90,935,155 | 94,459,171 | 91,909,848 | 98,713,309 | 97,035,793 | 94,420,539 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 859,980 | 670,854 | 670,854 | 670,854 | 670,854 | 201,000 | 201,000 | 201,000 |
| Federal Contributions | | | | | | | | |
| 14238 Shelter Plus Care | 11,881 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93150 Projects for Assistance in Transition | 768,793 | 747,932 | 749,497 | 749,497 | 749,497 | 749,497 | 749,497 | 749,497 |
| 93243 Substance Abuse & Mental Health Services | 30,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93275 Substance Abuse & Mental Health Services | 69,612 | 43,594 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 82,473 | 82,473 | 82,473 | 82,473 | 82,473 | 82,473 | 82,473 | 82,473 |
| 93958 Block Grants for Community Mental Health | 326,744 | 353,129 | 210,617 | 210,617 | 210,617 | 210,617 | 210,617 | 210,617 |
| 93959 Block Grants for Prevention & Treatment | 2,658,674 | 2,711,847 | 2,711,847 | 2,711,847 | 2,711,847 | 2,711,847 | 2,711,847 | 2,711,847 |
| TOTAL - All Funds | 74,482,935 | 91,855,260 | 95,360,443 | 98,884,459 | 96,335,136 | 102,668,743 | 100,991,227 | 98,375,973 |

REHABILITATION

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476, 17a-676 and 17a-635.

Statement of Need and Program Objectives

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills and increasing their ability to plan and manage their lives.

Program Description

Specialized vocational services and supports enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work

settings such as transitional employment, individual placement, mobile work crews, enclaves and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking, nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers and the ability to follow directions.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--------------------------------|-------------------|----------------------|----------------------|----------------------|
| Employment Services | | | | |
| Unduplicated clients | 4,965 | 4,965 | 4,965 | 4,965 |
| Social Rehabilitation Services | | | | |
| Unduplicated clients | 6,832 | 6,832 | 6,832 | 6,832 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 26 | 5 | 0 | 31 | 31 | 31 | 31 | 31 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 2,830,441 | 2,987,988 | 3,137,588 | 3,142,943 | 3,131,297 | 3,168,043 | 3,174,488 | 3,162,842 |
| Other Expenses | 64,640 | 390,019 | 399,152 | 399,152 | 379,784 | 410,584 | 410,584 | 379,784 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Managed Service System | 3,193,239 | 3,564,988 | 3,564,988 | 3,564,988 | 3,564,988 | 3,564,988 | 3,564,988 | 3,564,988 |
| Professional Services | 130 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Young Adult Services | 414,406 | 444,959 | 451,531 | 451,557 | 451,557 | 453,524 | 453,558 | 453,558 |
| Discharge and Diversion Services | 34,118 | 25,240 | 25,240 | 25,240 | 25,240 | 25,240 | 25,240 | 25,240 |
| TOTAL - Other Current Expenses | 3,641,893 | 4,035,187 | 4,041,759 | 4,041,785 | 4,041,785 | 4,043,752 | 4,043,786 | 4,043,786 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 828,184 | 828,102 | 828,102 | 828,102 | 828,102 | 828,102 | 828,102 | 828,102 |
| Grants for Mental Health Services | 11,250,079 | 11,777,537 | 11,777,537 | 11,777,537 | 11,777,537 | 11,777,537 | 11,777,537 | 11,777,537 |
| Employment Opportunities | 10,467,204 | 10,522,428 | 10,522,428 | 10,522,428 | 10,417,204 | 10,522,428 | 10,522,428 | 10,417,204 |
| TOTAL - Pmts to Other than Local Govts | 22,545,467 | 23,128,067 | 23,128,067 | 23,128,067 | 23,022,843 | 23,128,067 | 23,128,067 | 23,022,843 |
| TOTAL - General Fund | 29,082,441 | 30,541,261 | 30,706,566 | 30,711,947 | 30,575,709 | 30,750,446 | 30,756,925 | 30,609,255 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 14235 Supportive Housing Program | 126,829 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93150 Projects for Assistance in Transition | 50,503 | 50,503 | 50,503 | 50,503 | 50,503 | 50,503 | 50,503 | 50,503 |
| 93958 Block Grants for Community Mental Health | 1,450,934 | 1,844,798 | 2,286,490 | 2,286,490 | 2,286,490 | 2,286,490 | 2,286,490 | 2,286,490 |
| 93959 Block Grants for Prevention & Treatment | 63,787 | 65,063 | 65,063 | 65,063 | 65,063 | 65,063 | 65,063 | 65,063 |
| TOTAL - All Funds | 30,774,494 | 32,501,625 | 33,108,622 | 33,114,003 | 32,977,765 | 33,152,502 | 33,158,981 | 33,011,311 |

ANCILLARY SERVICES

Statutory Reference

C.G.S. Section 17a-676.

Statement of Need and Program Objectives

Ancillary support services are available to further enhance local systems of care.

Program Description

They include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipients.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 140,536 | 145,605 | 145,605 | 145,605 | 145,037 | 145,605 | 145,605 | 145,037 |
| Other Expenses | 42,252 | 63,356 | 64,799 | 64,799 | 61,689 | 66,656 | 66,656 | 61,689 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Managed Service System | 614,239 | 183,310 | 192,685 | 192,721 | 192,721 | 193,046 | 193,084 | 193,084 |
| General Assistance Managed Care | 242,816 | 215,980 | 221,747 | 218,379 | 218,379 | 227,736 | 220,548 | 220,548 |
| Nursing Home Screening | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 |
| Discharge and Diversion Services | 104,033 | 104,033 | 104,033 | 104,033 | 104,033 | 104,033 | 104,033 | 104,033 |
| TOTAL - Other Current Expenses | 1,552,733 | 1,094,968 | 1,110,110 | 1,106,778 | 1,106,778 | 1,116,460 | 1,109,310 | 1,109,310 |

| | | | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 237,149 | 234,252 | 234,252 | 234,252 | 234,252 | 234,252 | 234,252 | 234,252 |
| Grants for Mental Health Services | 274,959 | 274,959 | 274,959 | 274,959 | 274,959 | 274,959 | 274,959 | 274,959 |
| TOTAL - Pmts to Other than Local Govts | 512,108 | 509,211 | 509,211 | 509,211 | 509,211 | 509,211 | 509,211 | 509,211 |
| TOTAL - General Fund | 2,247,629 | 1,813,140 | 1,829,725 | 1,826,393 | 1,822,715 | 1,837,932 | 1,830,782 | 1,825,247 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 93959 Block Grants for Prevention & Treatment | 43,621 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 2,291,250 | 1,813,140 | 1,829,725 | 1,826,393 | 1,822,715 | 1,837,932 | 1,830,782 | 1,825,247 |

ADVOCACY AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a) (4) and (9), 17a-637(c) and 54-56g (d).

Statement of Need and Program Objectives

To reduce factors that are known to cause illness and problem behaviors and promote factors that buffer individuals and promote good health.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

Program Description

There are two components of advocacy and prevention: Prevention and Education and Training.

| Program Measure | FY 2014 | | FY 2015 | | FY 2016 | | FY 2017 | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|--|
| | Actual | Estimated | Projected | Projected | Projected | Projected | Projected | |
| Prevention Programs | | | | | | | | |
| Best Practices | | | | | | | | |
| Number of Programs | 14 | 14 | 12 | 12 | | | | |
| Number of Events | 1,315 | 1,315 | 2,000 | 2,000 | | | | |
| Number of Participants | 3,100,835 | 3,100,835 | 3,000,000 | 3,000,000 | | | | |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|-------------------------------|------------|------------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |

| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 458,358 | 490,282 | 516,924 | 512,356 | 510,446 | 519,006 | 514,258 | 512,348 |
| Other Expenses | 83,301 | 293,019 | 299,865 | 299,865 | 285,328 | 308,458 | 308,458 | 285,328 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Managed Service System | 1,717,610 | 1,223,153 | 1,223,153 | 1,223,153 | 1,223,153 | 1,223,153 | 1,223,153 | 1,223,153 |
| Legal Services | 995,819 | 995,819 | 995,819 | 995,819 | 546,029 | 995,819 | 995,819 | 546,029 |
| Connecticut Mental Health Center | 451,555 | 462,060 | 462,060 | 462,060 | 438,956 | 462,060 | 462,060 | 438,956 |
| Professional Services | 68,288 | 61,771 | 61,771 | 61,771 | 61,771 | 61,771 | 61,771 | 61,771 |
| General Assistance Managed Care | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| Young Adult Services | 101,003 | 101,003 | 101,003 | 101,003 | 101,003 | 101,003 | 101,003 | 101,003 |
| Prison Overcrowding | 12,121 | 12,121 | 12,121 | 12,121 | 12,121 | 12,121 | 12,121 | 12,121 |
| TOTAL - Other Current Expenses | 3,426,396 | 2,935,927 | 2,935,927 | 2,935,927 | 2,463,033 | 2,935,927 | 2,935,927 | 2,463,033 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 1,399,995 | 1,399,565 | 1,399,565 | 1,399,565 | 1,399,565 | 1,399,565 | 1,399,565 | 1,399,565 |
| Grants for Mental Health Services | 1,099,927 | 1,100,148 | 1,100,148 | 1,100,148 | 515,475 | 1,100,148 | 1,100,148 | 515,475 |
| TOTAL - Pmts to Other than Local Govts | 2,499,922 | 2,499,713 | 2,499,713 | 2,499,713 | 1,915,040 | 2,499,713 | 2,499,713 | 1,915,040 |
| TOTAL - General Fund | 6,467,977 | 6,218,941 | 6,252,429 | 6,247,861 | 5,173,847 | 6,263,104 | 6,258,356 | 5,175,749 |

| <u>Additional Funds Available</u> | | | | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Private Funds | 1,427,862 | 1,616,466 | 1,710,806 | 1,710,806 | 1,710,806 | 1,710,806 | 1,710,806 | 1,710,806 |
| Federal Contributions | | | | | | | | |
| 93103 Food & Drug Administration Research | 520,262 | 1,091,328 | 1,143,036 | 1,143,036 | 1,143,036 | 1,197,334 | 1,197,334 | 1,197,334 |
| 93243 Substance Abuse & Mental Health Services | 3,870,809 | 3,867,469 | 3,642,214 | 3,642,214 | 3,642,214 | 3,549,614 | 3,549,614 | 3,549,614 |
| 93667 Social Services Block Grant | 41,671 | 41,671 | 41,671 | 41,671 | 41,671 | 41,671 | 41,671 | 41,671 |
| 93958 Block Grants for Community Mental Health | 116,496 | 116,496 | 116,496 | 116,496 | 116,496 | 116,496 | 116,496 | 116,496 |
| 93959 Block Grants for Prevention & Treatment | 4,385,327 | 4,473,034 | 4,473,034 | 4,473,034 | 4,473,034 | 4,473,034 | 4,473,034 | 4,473,034 |
| TOTAL - All Funds | 16,830,404 | 17,425,405 | 17,379,686 | 17,375,118 | 16,301,104 | 17,352,059 | 17,347,311 | 16,264,704 |

EDUCATION AND TRAINING

Statutory Reference

C.G.S. Section 17a-476.

Statement of Need and Program Objectives

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

Program Description

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators, staff from other state agencies, concerned citizens,

consumers/individuals in recovery and families.

Education, training, prevention, academic and resource linkages are offered through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Education and Training Division as well as the Prevention Training Collaborative. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists and other helping professionals can receive the course work necessary for re-certification and ongoing professional development.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|----------------|-------------------|-------------------|-------------------|---------------------|---------------------|-------------------|---------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 399,990 | 426,835 | 449,158 | 449,243 | 447,579 | 454,693 | 454,799 | 453,135 |
| Other Expenses | 102,871 | 39,595 | 40,480 | 40,480 | 38,556 | 41,642 | 41,642 | 38,556 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Connecticut Mental Health Center | 239,847 | 245,427 | 245,427 | 245,427 | 175,502 | 245,427 | 245,427 | 175,502 |
| Professional Services | 66,122 | 59,812 | 59,812 | 59,812 | 59,812 | 59,812 | 59,812 | 59,812 |
| TOTAL - Other Current Expenses | 305,969 | 305,239 | 305,239 | 305,239 | 235,314 | 305,239 | 305,239 | 235,314 |

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|---------|
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Grants for Substance Abuse Services | 130,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Pmts to Other than Local Govts | 130,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - General Fund | 939,330 | 771,669 | 794,877 | 794,962 | 721,449 | 801,574 | 801,680 | 727,005 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Federal Contributions | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 813,920 | 801,920 | 801,920 | 801,920 | 801,920 | 185,480 | 185,480 | 185,480 |
| TOTAL - All Funds | 1,803,250 | 1,623,589 | 1,646,797 | 1,646,882 | 1,573,369 | 1,037,054 | 1,037,160 | 962,485 |

RESEARCH

Statutory Reference

C.G.S. Sections 17a-451 and 17a-459.

Statement of Need and Program Objectives

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

Program Description

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological

research into the incidence and prevalence of mental illnesses; clinical research into the diagnosis and treatment of depression, schizophrenia and panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental illnesses. Funds provided to Yale are used to leverage additional research funding from the federal government and private foundations.

In addition to its partnership with Yale University, the department also obtains federal funding for research through the DMHAS Research Division. This division works closely with the University of Connecticut to evaluate and research a wide range of topics. Current studies are investigating criminal justice diversion and alternative community programs; supportive housing; and implementation of evidence-based practices.

Personnel Summary

| | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 20 | 4 | 0 | 24 | 24 | 24 | 24 | 24 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary

| (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|-----------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 2,187,485 | 2,269,367 | 2,366,157 | 2,366,530 | 2,357,684 | 2,383,989 | 2,384,429 | 2,375,583 |
| Other Expenses | 236,888 | 348,448 | 356,600 | 356,600 | 339,301 | 366,815 | 366,815 | 339,301 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Housing Supports and Services | 48,285 | 63,198 | 63,198 | 63,198 | 63,198 | 63,198 | 63,198 | 63,198 |
| Managed Service System | 45,127 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Connecticut Mental Health Center | 1,297,849 | 1,328,043 | 1,328,043 | 1,328,043 | 908,861 | 1,328,043 | 1,328,043 | 908,861 |
| Professional Services | 301,716 | 272,925 | 272,925 | 272,925 | 272,925 | 272,925 | 272,925 | 272,925 |
| General Assistance Managed Care | 52,792 | 62,052 | 62,052 | 62,052 | 62,052 | 62,052 | 62,052 | 62,052 |
| Young Adult Services | 71,506 | 71,506 | 71,506 | 71,506 | 71,506 | 71,506 | 71,506 | 71,506 |
| TBI Community Services | 12,258 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prison Overcrowding | 51,421 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Home and Community Based Services | 75,356 | 76,366 | 76,366 | 76,366 | 72,549 | 76,366 | 76,366 | 72,549 |
| TOTAL - Other Current Expenses | 1,956,310 | 1,874,090 | 1,874,090 | 1,874,090 | 1,451,091 | 1,874,090 | 1,874,090 | 1,451,091 |
| TOTAL - General Fund | 4,380,683 | 4,491,905 | 4,596,847 | 4,597,220 | 4,148,076 | 4,624,894 | 4,625,334 | 4,165,975 |

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 23,332 | 217,360 | 205,258 | 205,258 | 205,258 | 190,164 | 190,164 | 190,164 |
| Federal Contributions | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 691,909 | 1,218,939 | 947,004 | 947,004 | 947,004 | 422,131 | 422,131 | 422,131 |
| 93275 Substance Abuse & Mental Health Services | 7,500 | 22,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 5,103,424 | 5,950,704 | 5,749,109 | 5,749,482 | 5,300,338 | 5,237,189 | 5,237,629 | 4,778,270 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available. To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

The Management and Support Program has five major functions: conducts comprehensive statewide planning,

research, data collection and policy analysis to support the development of programs that address psychiatric and substance use disorders; supports administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensures responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and informs the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disabilities and available resources in the state. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|-------------------------------------|-------------------|----------------------|----------------------|----------------------|
| Management and Support Services | | | | |
| Audits Reviewed | 162 | 162 | 162 | 162 |
| General Assistance Audits Conducted | 6 | 4 | 4 | 4 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|-------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 347 | 39 | 0 | 386 | 393 | 393 | 393 | 393 |

Financial Summary (Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|----------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 29,285,189 | 30,825,766 | 32,425,414 | 32,946,518 | 32,826,362 | 32,683,024 | 33,211,512 | 33,091,356 |
| Other Expenses | 23,513,287 | 3,555,767 | 3,639,433 | 3,439,433 | 3,262,425 | 3,743,692 | 3,543,692 | 3,262,425 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Housing Supports and Services | 1,100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Managed Service System | 1,856,216 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Connecticut Mental Health Center | 27,758 | 28,404 | 28,404 | 28,404 | 26,986 | 28,404 | 28,404 | 26,986 |
| Professional Services | 8,981,807 | 8,124,730 | 8,124,730 | 7,824,730 | 7,824,730 | 8,124,730 | 7,824,730 | 7,824,730 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| General Assistance Managed Care | 769,745 | 740,751 | 787,843 | 788,023 | 788,023 | 793,238 | 793,438 | 793,438 |
| Workers' Compensation Claims | 11,990,126 | 10,594,566 | 13,200,859 | 11,990,126 | 11,990,126 | 13,904,495 | 11,990,126 | 11,990,126 |
| Young Adult Services | 107,572 | 107,572 | 107,572 | 107,572 | 107,572 | 107,572 | 107,572 | 107,572 |
| TBI Community Services | 693,695 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Behavioral Health Medications | 5,382,279 | 6,169,095 | 6,405,988 | 6,405,988 | 5,860,641 | 6,647,200 | 6,647,200 | 5,860,641 |
| Prison Overcrowding | 161,453 | 187,443 | 196,539 | 196,576 | 196,576 | 196,890 | 196,928 | 196,928 |
| Discharge and Diversion Services | 148,566 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Home and Community Based Services | 987,549 | 1,058,411 | 1,118,196 | 1,114,019 | 1,061,098 | 1,128,589 | 1,124,277 | 1,071,356 |
| TOTAL - Other Current Expenses | 31,107,866 | 27,010,972 | 29,970,131 | 28,455,438 | 27,855,752 | 30,931,118 | 28,712,675 | 27,871,777 |
| <i>Nonfunctional - Change to Accruals</i> | 1,216,602 | 2,201,244 | 2,201,244 | 2,201,244 | 0 | 2,201,244 | 2,201,244 | 0 |
| TOTAL - General Fund | 85,122,944 | 63,593,750 | 68,236,223 | 67,042,634 | 63,944,539 | 69,559,079 | 67,669,124 | 64,225,558 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 132,095 | 400,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 4,544 | 10,582 | 3,272 | 3,272 | 3,272 | 6,447 | 6,447 | 6,447 |
| 93275 Substance Abuse & Mental Health Services | 6,668 | 8,703 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 85,266,251 | 64,013,035 | 68,239,495 | 67,045,906 | 63,947,811 | 69,565,526 | 67,675,571 | 64,232,005 |

AGENCY FINANCIAL SUMMARY - General Fund

| <i>Current Expenses by Minor Object</i> | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <i>Personal Services</i> | | | | | | |
| Permanent Fulltime Positions | 183,212,762 | 198,678,096 | 205,949,222 | 209,222,268 | 207,885,930 | 211,196,595 |
| Other Positions | 15,315,296 | 16,077,054 | 16,889,024 | 16,823,656 | 17,047,588 | 16,983,183 |
| Other | 15,046,991 | 14,271,701 | 17,180,744 | 14,216,075 | 17,205,723 | 14,216,075 |
| Overtime | 43,584,835 | 40,606,397 | 42,703,515 | 42,535,218 | 43,131,160 | 42,964,022 |
| Reimbursements | -77,218,546 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 |
| TOTAL - Personal Services | 179,941,338 | 192,414,701 | 205,503,958 | 205,578,670 | 208,051,854 | 208,141,328 |
| <i>Other Expenses</i> | | | | | | |
| Advertising and Marketing | 26,252 | 22,842 | 23,356 | 22,242 | 24,032 | 22,242 |
| Agriculture, Horticulture, Dairy & Food | 3,710,126 | 3,731,934 | 3,817,011 | 3,633,969 | 3,905,951 | 3,633,969 |
| Books | 168,149 | 134,378 | 137,481 | 130,849 | 141,454 | 130,849 |
| Clothing and Personal Supplies | 270,284 | 243,723 | 249,362 | 237,323 | 256,569 | 237,323 |
| DP Services, Rentals and Maintenance | 3,105,858 | 3,215,303 | 3,995,007 | 3,030,899 | 4,125,344 | 3,030,899 |
| Dues and Subscriptions | 156,017 | 210,248 | 215,108 | 204,726 | 221,328 | 204,726 |
| Fees for Non-Professional Services | 2,285,324 | 1,862,459 | 2,578,525 | 2,488,962 | 2,633,620 | 2,488,962 |
| Fees for Outside Professional Services | 840,615 | 438,090 | 448,203 | 426,590 | 461,146 | 426,590 |
| Fuel | 1,734,635 | 1,623,265 | 1,667,736 | 1,589,611 | 1,751,622 | 1,589,611 |
| General Repairs | 1,633,012 | 1,754,903 | 1,795,563 | 1,708,835 | 1,847,447 | 1,708,835 |
| Insurance | 3,273 | 3,289 | 3,358 | 3,204 | 3,454 | 3,204 |
| Maintenance and Motor Vehicle Supplies | 2,324,569 | 2,168,905 | 2,226,605 | 2,014,013 | 2,286,918 | 2,014,013 |
| Medical Supplies | 715,504 | 573,144 | 586,443 | 558,099 | 603,402 | 558,099 |
| Motor Vehicle Expenses | 889,516 | 1,019,025 | 1,042,646 | 997,605 | 1,072,775 | 997,605 |
| Office Supplies | 1,190,305 | 1,047,528 | 1,071,785 | 1,020,031 | 1,102,760 | 1,020,031 |
| Other Contractual Services | 1,872,201 | 1,893,889 | 1,937,727 | 1,847,148 | 1,993,740 | 1,847,148 |
| Postage | 92,172 | 89,105 | 91,144 | 86,767 | 93,780 | 86,767 |
| Printing & Binding | 82,194 | 50,607 | 51,774 | 49,278 | 53,270 | 49,278 |
| Reimbursements | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 |
| Rentals, Storage and Leasing | 4,376,368 | 4,445,208 | 5,033,462 | 4,776,144 | 5,201,192 | 4,812,433 |
| Sundry - Other Items | 1,347,621 | 1,256,848 | 1,281,962 | 1,223,853 | 1,313,994 | 1,223,853 |
| Telecommunication Services | 1,151,738 | 1,017,878 | 1,041,441 | 991,158 | 1,071,544 | 991,158 |
| Travel | 273,926 | 273,522 | 279,844 | 266,339 | 287,933 | 266,339 |
| Utility Services | 4,187,337 | 4,094,331 | 4,191,235 | 4,008,918 | 4,299,046 | 4,008,918 |
| TOTAL - Other Expenses | 29,836,996 | 28,570,424 | 31,166,778 | 28,716,563 | 32,152,321 | 28,752,852 |
| <i>Equipment</i> | | | | | | |
| Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| TOTAL - Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| <i>Other Current Expenses</i> | | | | | | |
| Housing Supports and Services | 15,831,798 | 20,721,576 | 20,721,576 | 23,221,576 | 20,721,576 | 24,221,576 |
| Managed Service System | 52,594,417 | 59,034,913 | 62,458,870 | 61,471,758 | 62,505,119 | 61,618,442 |
| Legal Services | 995,819 | 995,819 | 995,819 | 546,029 | 995,819 | 546,029 |
| Connecticut Mental Health Center | 8,664,154 | 8,865,721 | 8,865,721 | 7,637,002 | 8,865,721 | 7,637,002 |
| Professional Services | 13,032,508 | 11,788,898 | 11,788,898 | 11,488,898 | 11,788,898 | 11,488,898 |
| General Assistance Managed Care | 114,792,045 | 40,774,875 | 43,671,313 | 41,991,862 | 46,220,511 | 43,075,573 |
| Workers' Compensation Claims | 11,990,126 | 10,594,566 | 13,200,859 | 11,990,126 | 13,904,495 | 11,990,126 |
| Nursing Home Screening | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 | 591,645 |

| | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Young Adult Services | 69,605,486 | 74,537,055 | 82,766,715 | 80,206,667 | 88,486,636 | 85,961,827 |
| TBI Community Services | 12,556,716 | 16,641,445 | 17,248,447 | 10,400,667 | 18,572,971 | 10,412,737 |
| Jail Diversion | 4,395,580 | 4,504,601 | 4,604,966 | 4,595,351 | 4,627,410 | 4,617,881 |
| Behavioral Health Medications | 5,705,547 | 6,169,095 | 6,405,988 | 5,860,641 | 6,647,200 | 5,860,641 |
| Prison Overcrowding | 6,591,993 | 6,699,982 | 6,789,838 | 6,330,189 | 6,811,820 | 6,352,255 |
| Medicaid Adult Rehabilitation Option | 4,803,175 | 4,803,175 | 4,913,682 | 4,816,334 | 5,026,400 | 4,803,175 |
| Discharge and Diversion Services | 17,408,590 | 20,062,660 | 24,447,924 | 24,447,924 | 27,347,924 | 27,347,924 |
| Home and Community Based Services | 9,104,715 | 16,032,096 | 21,902,021 | 20,566,913 | 30,089,572 | 26,901,275 |
| Persistent Violent Felony Offenders Act | 669,664 | 675,235 | 675,235 | 500,000 | 675,235 | 500,000 |
| Nursing Home Contract | 422,989 | 485,000 | 485,000 | 485,000 | 485,000 | 485,000 |
| Pre-Trial Account | 349,997 | 775,000 | 775,000 | 0 | 775,000 | 0 |
| TOTAL - Other Current Expenses | 350,106,964 | 304,753,357 | 333,309,517 | 317,148,582 | 355,138,952 | 334,412,006 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Grants for Substance Abuse Services | 20,596,092 | 17,567,934 | 17,567,934 | 17,567,934 | 17,567,934 | 17,567,934 |
| Grants for Mental Health Services | 66,134,709 | 58,909,714 | 58,909,714 | 58,325,041 | 58,909,714 | 58,325,041 |
| Employment Opportunities | 10,522,204 | 10,522,428 | 10,522,428 | 10,417,204 | 10,522,428 | 10,417,204 |
| TOTAL - Pmts to Other Than Local Govts | 97,253,005 | 87,000,076 | 87,000,076 | 86,310,179 | 87,000,076 | 86,310,179 |
| <i>Nonfunctional - Change to Accruals</i> | 1,216,602 | 2,201,244 | 2,201,244 | 0 | 2,201,244 | 0 |

AGENCY FINANCIAL SUMMARY - Insurance Fund

| <i>Current Expenses by Minor Object</i> | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Other Current Expenses</i> | | | | | | |
| Managed Service System | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| TOTAL - Other Current Expenses | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|--------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 179,941,338 | 192,414,701 | 205,503,958 | 205,977,096 | 205,578,670 | 208,051,854 | 208,539,754 | 208,141,328 |
| Other Expenses | 29,836,996 | 28,570,424 | 31,166,778 | 30,199,638 | 28,716,563 | 32,152,321 | 31,149,924 | 28,752,852 |
| Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Other Current Expenses | 350,106,964 | 304,753,357 | 333,309,517 | 324,415,120 | 317,148,582 | 355,138,952 | 341,032,474 | 334,412,006 |
| Pmts to Other than Local Govts | 97,253,005 | 87,000,076 | 87,000,076 | 87,000,076 | 86,310,179 | 87,000,076 | 87,000,076 | 86,310,179 |
| Nonfunctional - Change to Accruals | 1,216,602 | 2,201,244 | 2,201,244 | 2,201,244 | 0 | 2,201,244 | 2,201,244 | 0 |
| TOTAL - General Fund | 658,354,905 | 614,939,803 | 659,181,574 | 649,793,175 | 637,753,994 | 684,544,448 | 669,923,473 | 657,616,365 |
| Insurance Fund | | | | | | | | |
| Other Current Expenses | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| TOTAL - Insurance Fund | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 | 435,000 |
| Federal and Other Activities | 46,119,352 | 44,502,795 | 42,567,798 | 42,567,798 | 42,567,798 | 42,055,768 | 42,055,768 | 42,055,768 |
| Private Funds | 18,898,101 | 20,851,455 | 19,009,742 | 19,009,742 | 19,009,742 | 18,478,294 | 18,478,294 | 18,478,294 |
| TOTAL - All Funds Net | 723,807,358 | 680,729,053 | 721,194,114 | 711,805,715 | 699,766,534 | 745,513,510 | 730,892,535 | 718,585,427 |

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist, parole/probation

expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

AGENCY PROGRAM INDEX

Psychiatric Security Review

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---------------------------------|------------------|------------------|
| Reductions | | |
| • Annualize FY 2015 Rescissions | -5,554 | -5,554 |
| • Remove or Limit Inflation | -550 | -1,253 |

AGENCY PROGRAMS

| | | | | | | | | |
|---|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Psychiatric Security Review | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |
| TOTAL Agency Programs - All Fund Gross | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |
| Summary of Funding | | | | | | | | |
| General Fund | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |
| TOTAL Agency Programs - All Funds Net | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C.G.S. Sections 17a-580 through 17a-603, 53a-169, 54-250(10) and 54-256.

Statement of Need and Program Objectives

To protect public safety through the oversight of persons acquitted of a crime by reason of mental disease or defect.

To determine the level of supervision, treatment and placement of an acquttee that is required to protect the public.

Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders: maximum security confinement, confinement in a hospital, temporary leave from the

hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board

hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Percent of hearings held within statutory timeframe | 100% | 100% | 95% | 95% |
| Issuance of Memorandum of Decision within statutory timeframe | 100% | 100% | 95% | 95% |
| Issuance of Reports to Court within statutory timeframe | 100% | 100% | 95% | 95% |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| Other Positions Equated to Full-Time | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 238,679 | 252,955 | 264,547 | 265,587 | 261,587 | 265,005 | 266,916 | 262,916 |
| Other Expenses | 31,080 | 31,079 | 31,797 | 31,629 | 29,525 | 32,715 | 32,332 | 29,525 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Nonfunctional - Change to Accruals | 698 | 1,242 | 1,242 | 1,242 | 0 | 1,242 | 1,242 | 0 |
| TOTAL - General Fund | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |
| TOTAL - All Funds | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |

AGENCY FINANCIAL SUMMARY - General Fund

Current Expenses by Minor Object

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Personal Services | | | | | | |
| Permanent Fulltime Positions | 237,407 | 251,607 | 263,199 | 260,239 | 263,657 | 261,568 |
| Other | 1,272 | 1,348 | 1,348 | 1,348 | 1,348 | 1,348 |
| TOTAL - Personal Services | 238,679 | 252,955 | 264,547 | 261,587 | 265,005 | 262,916 |
| Other Expenses | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 100 | 100 | 102 | 95 | 104 | 95 |
| Fees for Non-Professional Services | 22,273 | 22,272 | 22,787 | 21,159 | 23,446 | 21,159 |
| Other Contractual Services | 7,275 | 7,275 | 7,443 | 6,911 | 7,658 | 6,911 |
| Travel | 1,432 | 1,432 | 1,465 | 1,360 | 1,507 | 1,360 |
| TOTAL - Other Expenses | 31,080 | 31,079 | 31,797 | 29,525 | 32,715 | 29,525 |
| Equipment | | | | | | |
| Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| TOTAL - Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| Nonfunctional - Change to Accruals | 698 | 1,242 | 1,242 | 0 | 1,242 | 0 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 238,679 | 252,955 | 264,547 | 265,587 | 261,587 | 265,005 | 266,916 | 262,916 |
| Other Expenses | 31,080 | 31,079 | 31,797 | 31,629 | 29,525 | 32,715 | 32,332 | 29,525 |
| Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Nonfunctional - Change to Accruals | 698 | 1,242 | 1,242 | 1,242 | 0 | 1,242 | 1,242 | 0 |
| TOTAL - General Fund | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |
| TOTAL - All Funds Net | 270,457 | 285,277 | 297,587 | 298,459 | 291,112 | 298,963 | 300,491 | 292,441 |

