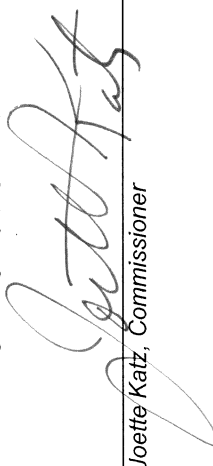


2015 MIDTERM ADJUSTMENT SUMMARY

DCF91000 - Department of Children and Families
11000 - General Fund

Priority	Adjustment Title	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
	REDUCTION				
1.00	Voluntary Services - Private Provider Care Coordination	468,569,788	-3,643,255	464,926,533	-3,731,878
2.00	Mobile Utilization Coordinator OHA / DCF Partnership	420,970,400	-1,275,000	419,695,400	-1,272,750
3.00	Increase number of Case Aides to reduce Overtime and OE expenses	392,065,017	-858,279	391,206,738	-851,463
4.00	CJTS Fuel Cell	314,276,723	-1,082,084	313,194,639	-1,076,778
5.00	Reduction of Congregate Care Capacity and Development of In Home Alternative Services	177,865,389	-3,405,000	174,460,389	-4,155,000
	REVENUE				
1.00	Administrative and Out of Home Revenue Enhancement	213,320,711	40,355,000	253,675,711	53,000,000
1.00	Reimbursement of Court Ordered Stays at Solnit South	0	5,550,000	5,550,000	5,550,000
	TECHNICAL				
1.00	Transfer Funding to Reflect Account Consolidation	183,851,920	0	183,851,920	0
	ADJUSTMENT TOTAL	2,170,919,948	35,641,382	2,206,561,330	47,462,131

Head of Budgeted Agency (Signature)



Joette Katz, Commissioner October 18, 2013
Date submitted

Midterm Adjustments to the FY 2015 Budget - Reduction Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Voluntary Services - Private Provider Care Coordination

Cindy Butterfield
860-550-6651

Priority: 1
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

The Department currently serves approximately 420 Voluntary Services cases. The primary reason for these cases being served by DCF care is to access funding for payment of services. Although there is no child protective risk these cases are put through the same mandates as high risk child protective cases, leading to unnecessary effort being expended to ensure safety when there are no safety risks.

Furthermore, DCF does not directly provide any of the services Voluntary Services families needs. DCF simply provides the payment for these services and follows the mandates of social work case management that have been put in place for child protective cases, which don't apply to this population. DCF then must acquire case management services from a private provider to serve the real needs of these families.

DCF is suggesting contracting with private providers for the case management of these services. DCF is suggesting using the 211 system to refer these cases to a private provider for case management. DCF would continue to fully fund the services arranged for and provided by the private provider network. DCF believes the efficiencies achieved by removing the layer of State case management will allow for more efficient and appropriate treatment to be provided to the families being served.

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Positions / Financials

	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
Number of Full Time Positions	3,456	3,212	3,208	-26	3,182	-26
SID Code / Title						
10010 - Personal Services	263,289,785	264,691,838	278,821,431	-1,969,413	276,852,018	-2,058,036
16138 - Board and Care for Children	169,013,481	141,375,200	142,148,669	-2,035,000	140,113,669	-2,035,000
16140 - Individualized Family Support	15,672,471	11,882,968	11,882,968	-715,000	11,167,968	-715,000
16141 - Community KidCare	23,450,498	35,716,720	35,716,720	1,076,158	36,792,878	1,076,158
Total	471,426,235	453,666,726	468,569,788	-3,643,255	464,926,533	-3,731,878

Midterm Adjustments to the FY 2015 Budget - Reduction Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Mobile Utilization Coordinator OHA / DCF Partnership

Cindy Butterfield
860-550-6651

Priority: 2
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

In cooperation with OHA, DCF has been exploring having private insurance pay for medically necessary Residential stays as a step down after hospitalization. Private residential providers have had difficulty complying with the necessary initial submissions of the pre-certification and concurrent reviews. Historically DCF has paid for the stays that were not covered by private insurance.

DCF is proposing the creation of a position to act as a Mobile Utilization Coordinator for OHA to follow up on and assist providers with meeting the private insurance mandates for coverage, reducing the shifting these costs to DCF.

Positions / Financials

	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
Number of Full Time Positions	3,456	3,212	3,208	1	3,209	1
SID Code / Title						
10010 - Personal Services	263,289,785	264,691,838	278,821,731	75,000	278,896,731	77,250
16138 - Board and Care for Children	169,013,481	141,375,200	142,148,669	-1,350,000	140,798,669	-1,350,000
Total	432,303,266	406,067,038	420,970,400	-1,275,000	419,695,400	-1,272,750

Midterm Adjustments to the FY 2015 Budget - Reduction Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Increase number of Case Aides to reduce Overtime and OE expenses

Cindy Butterfield
860-550-6651

Priority: 3
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

Hire case aides to provide transportation currently being done by providers at approximately \$70 per hour, social workers at average overtime at a rate of \$50.34 per hour. The mid range case aide rate is \$23.19.

With the aid of the Department's GPS system the Department will be able schedule employees during peak transport times and combine trips to reduce high transportation costs.

Positions / Financials

	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
Number of Full Time Positions	3,456	3,212	3,208	16	3,224	16
SID Code / Title						
10010 - Personal Services	246,389,513	265,473,153	278,821,431	-158,279	278,663,152	-151,463
16135 - Board and Care for Children	112,943,972	113,318,297	113,243,586	-700,000	112,543,586	-700,000
Total	359,333,485	378,791,450	392,065,017	-858,279	391,206,738	-851,463

Midterm Adjustments to the FY 2015 Budget - Reduction Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

CJTS Fuel Cell

Cindy Butterfield
860-550-6651

Priority: 4
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

DCF, in cooperation with DEEP have had a Engineering assessment conducted on the CJTS Fuel Cell site. The Fuel Cell's have completed their useful life and large investments need to be made if use of the Fuel Cells are to continue. The Engineering report recommends that the State no longer uses the fuel cells and seeks alternative sources for energy due to the exceptionally high cost of maintenance and operation fees.

DCF is currently awaiting a second phase of the plan to determine if the current gas fueled HVAC system should remain as is or be modernized. There may be costs associated with a modernization plan but they would only be explored if it would result in a cost savings within a reasonable period of time.

Positions / Financials

	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
Number of Full Time Positions	3,456	3,212	3,208	2	3,210	2
SID Code / Title						
10010 - Personal Services	255,094,477	265,473,153	278,821,431	117,916	278,939,347	123,222
10020 - Other Expenses	35,164,854	35,455,292	35,455,292	-1,200,000	34,255,292	-1,200,000
Total	290,259,331	300,928,445	314,276,723	-1,082,084	313,194,639	-1,076,778

Midterm Adjustments to the FY 2015 Budget - Reduction Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Reduction of Congregate Care Capacity and Development of In Home Alternative Services

Cindy Butterfield
860-550-6651

Priority: 5
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

The Department is making further progress reducing the use of congregate care. The Department is suggesting the reduction of 12 STAR home beds, 2 Safe homes, and 3 Group Homes for a savings Residential Board and Care Costs. Reduction in services will need to be staged in year one to insure that new services are available before service reduction and occur.

The Department will require a portion of the reduction be used to set up new community based alternatives to serve these children.

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Financials

Account	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
16138 - Board and Care for Children	140,796,364	141,375,200	142,148,669	-5,405,000	136,743,669	-6,155,000
16141 - Community KidCare	23,450,498	35,716,720	35,716,720	2,000,000	37,716,720	2,000,000
Total	164,246,862	177,091,920	177,865,389	-3,405,000	174,460,389	-4,155,000

Midterm Adjustments to the FY 2015 Budget - Revenue Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Administrative and Out of Home Revenue Enhancement Initiatives

Cindy Butterfield
860-550-6651

Priority: 1
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

The Department has identified several Revenue Enhancement activities, including seeking Medicaid or PNMI for therapeutic foster care, waivers for community services, and several administrative areas. The Department will require the assistance of an expert in this area.

The Department would like reallocate a portion of the revenue to two projects, the rebuilding of the Department's case management software and the building of a pilot Network of Care Organization. These initiatives will allow for the department to be more agile when pursuing revenue activities and a reduction in the use of congregate care and more costly community services due to poor case coordination.

Revenue

	2013 Actual	2014 Estimated	2015 Base	2015 OPM Adjustment	2015 Revised	2016 Adjustment - Annualized
40010 - Revenues	0	0	0	25,000,000	25,000,000	30,000,000
40010 - Revenues	0	0	0	25,000,000	25,000,000	30,000,000
Total Gross Revenue				50,000,000	50,000,000	60,000,000

Financials

Account	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
10020 - Other Expenses	34,592,466	35,455,292	35,455,292	7,145,000	42,600,292	7,000,000
16138 - Board and Care for Children	140,796,364	141,375,200	142,148,699	-2,500,000	139,648,699	-5,000,000
16141 - Community KidCare	23,533,253	35,716,720	35,716,720	5,000,000	40,716,720	5,000,000
Total	198,922,083	212,547,212	213,320,711	9,645,000	222,965,711	7,000,000
Total Net Revenue	-198,922,083	-212,547,212	-213,320,711	40,355,000	-172,965,711	53,000,000

Midterm Adjustments to the FY 2015 Budget - Revenue Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Reimbursement of Court Ordered Stays at Solnit South

Cindy Butterfield
860-550-6651

Priority: 1
Creation Date: 10/18/2013

Description and Reason/Measure of Impact

Children are court ordered to Solnit South based on a report produced by a Psychologist for the Court. DCF working in cooperation with OHA has determined these court ordered stays could be covered by insurance providers if the reports were verified as being medically necessary by a medical doctor. DCF is proposing contracting with medical doctors statewide to evaluate the court ordered reports and validate that the stays are medically necessary.

Revenue

	2013 Actual	2014 Estimated	2015 Base	2015 OPM Adjustment	2015 Revised	2016 Adjustment - Annualized
40010 - Revenues	0	0	0	5,700,000	5,700,000	5,700,000
Total Gross Revenue				5,700,000	5,700,000	5,700,000

Financials

Account	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
10020 - Other Expenses	0	0	0	150,000	150,000	150,000
Total	0	0	0	150,000	150,000	150,000
Total Net Revenue	0	0	0	5,550,000	5,550,000	5,550,000

Midterm Adjustments to the FY 2015 Budget - Technical Adjustment

DCF91000 - Department of Children and Families 11000 - General Fund

Transfer Funding to Reflect Account Consolidation

Deborah Ennis
860-550-6617

Priority: 1
Creation Date: 08/29/2013

Description and Reason/Measure of Impact

For a number of years, the Department has paid for identical services out of more than one expenditure account (SID). Public Act 13-184 (Bill 6704) consolidated DCF SID's in the hopes of correcting the above situation however, two service types, Triple P and Family Based Recovery are still being funded from more than one SID. This technical adjustment moves \$560,131 in Triple P funding to SID 16064 and \$325,574 in Family Based Recovery funding to SID 16116 resulting in these service types being paid through one funding source.

This adjustment will continue to streamline the payment and reporting process.

Financials

Account	2013 Actual	2014 Estimated	2015 Base	2015 Adjustment	2015 Revised	2016 Adjustment - Annualized
16064 - Child Abuse and Neglect Inter	51,358,612	8,542,370	8,542,370	560,131	9,102,501	560,131
16092 - Prevention Services	4,439,142	8,374,056	8,345,606	-479,816	7,865,790	-479,816
16102 - Support for Recovering Famil	16,498,509	15,323,546	15,323,546	-80,315	15,243,231	-80,315
16116 - Substance Abuse Services	3,889,373	9,491,729	9,491,729	325,574	9,817,303	325,574
16138 - Board and Care for Children	140,796,364	137,206,960	142,148,669	-325,574	141,823,095	-325,574
Total	216,982,000	178,938,661	183,851,920	0	183,851,920	0