

## EDUCATION

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# DEPARTMENT OF EDUCATION

## AGENCY DESCRIPTION

The Connecticut State Department of Education (CSDE) is the administrative arm of the State Board of Education, which is responsible for implementing the educational interests of the state from preschool through grade 12.

The State Board of Education provides leadership and promotes the improvement of education in the state. Specific functions carried out by the Department of Education include leadership and communication with the state's 196 school districts, research, planning, administrator and teacher evaluation and support, educational technology (including telecommunications), the publishing of guides to curriculum development and other technical assistance materials, the presentation of workshops, and assessment.

### *An Act Concerning Educational Reform*

The Department of Education is committed to being a national leader in narrowing the achievement gap and creating academic excellence for all students. Public Act No. 12-116, *AN ACT CONCERNING EDUCATIONAL REFORM*, was enacted in 2012 which included several key measures aimed at creating a system focused on preparing students for success in college and careers and on closing a worst-in-the-nation achievement gap.

The department, through technical support and funding, helps to ensure equal educational opportunity and excellence in education for all Connecticut students – 550,354 (pre-kindergarten through grade 12). The State Board and Department of Education protect the educational interests of the state by providing leadership and service to the 196 school districts and to the teacher preparation programs at Connecticut's public and private higher education institutions.

Included among the department's many partners are parent and teacher groups; the six regional educational service centers; nonpublic schools; public and independent colleges and universities; and the Connecticut Departments of Children and Families, Developmental Services and Correction.

The Connecticut Technical High School System (CTHSS) is the state's largest secondary school system serving over 10,700 high school students and 440 adult learners. The CTHSS was overseen by the State Board of Education as a division of SDE and effective July 1, 2012, in accordance with Public Act 12-116, a new 11-member Connecticut Technical High School System Board was created. The new board includes members from education and industry as well as the commissioners from the Department of Labor and the Department of Economic and Community Development.

*The programs that support early childhood in Connecticut are recommended for transfer to and consolidation within the new Office of Early Childhood in the Governor's budget as part of his proposal to restructure and transform state government.*

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## RECOMMENDED SIGNIFICANT CHANGES

<i>Reductions to Current Services</i>	<b>FY 2014</b>	<b>FY 2015</b>
• <b>Remove or Limit Inflation</b>	-2,678,008	-5,269,983
• <b>Extend Cap on Statutory Formula Grants</b>	-42,976,663	-52,474,274
<i>The following grants are held at the FY 2013 level: Adult Education, Health and Welfare Services for Pupils in Private Schools, Excess Cost - Student Based and Non-Public School Transportation.</i>		
• <b>Remove Funding for Salary Increases for Appointed Officials</b>	-17,003	-35,720
• <b>Annualize FY 2013 Rescissions</b>	-7,711,926	-7,711,926
• <b>Annualize FY 2013 Deficit Mitigation Plan</b>	-9,545,161	-9,768,161
• <b>Eliminate Funding for Transportation of School Children</b>	-79,699,059	-81,977,464
<i>Funding for the reimbursement of public school transportation costs is eliminated and replaced by a \$5 million competitive grant to incentivize regionalized transportation.</i>		
• <b>Eliminate Funding for Lower Priority or Non-Statewide Programs</b>	-12,108,136	-12,108,136
<i>Funding for lower priority or non-statewide programs is eliminated in order to preserve funding to programs that are the core mission of the State Department of Education. Programs eliminated are: Neighborhood Youth Centers; Leadership, Education, Athletics Partnership (LEAP); non-Sheff Interdistrict Cooperation grants; the Connecticut Pre-Engineering Program; the After School Program; Parent Universities; School Health Coordinator Pilot; Technical Assistance for Regional Cooperations and EvenStart.</i>		

• <b>Transfer Funding for Healthy Foods Initiative to Community Investment Account</b>	-3,661,604	-3,806,300		
• <b>Reduce Education Funding for Discretionary Programs</b>	-1,718,438	-1,718,438		
<i>Funding for the following discretionary programs are reduced by 10.5%: Primary Mental Health, Longitudinal Data Systems, School Accountability, Wrap Around Services, Bridges to Success, K-3 Reading Assessment Pilot, Regional Education Services, Head Start - Early Childhood Link and Healthy Foods Initiative.</i>				
<b>Reallocations or Transfers</b>				
• <b>Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services</b>	-39,255	-39,255		
• <b>Reallocate State Owned PILOT Funding to ECS</b>	73,641,646	73,641,646		
<i>Funding is transferred to the ECS grant and distributed to towns based on the PILOT grants they received in FY 2013.</i>				
• <b>Transfer a Position for Fiscal Support from the Department of Social Services</b>	59,780	64,970		
<i>A position is transferred in order to provide fiscal (non-contract) support for the Office of Early Childhood, which will be within the State Department of Education for administrative purposes only.</i>				
• <b>Reallocate Early Childhood Education and Care Programs</b>	-111,761,073	-111,342,715		
<i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Early Childhood Program, School Readiness, Child Care Services, Head Start, Quality Enhancement funding and programs used to garner a private match are moved to the new agency in FY 2014. The early childhood special education program, funded through federal funds, is moved in FY 2015.</i>				
• <b>Streamline Agency Account Structure</b>	0	0		
<i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, the following accounts have been consolidated into one account called School Improvement: Basic Skills Exam Teachers in Training, Teachers' Standards Implementation, Development of Mastery Exams, Resource Equity Assessment, Longitudinal Data Systems, School Accountability, Wrap Around Services, Commissioner's Network, New or Replicated Schools, Bridges to Success, K-3 Reading Assessment Pilot and Talent Development.</i>				
• <b>Transfer Technical High Schools Project Management to State Department of Education</b>	0	0		
<i>Five positions, funded with bond funding, are transferred from the Department of Construction Services to the State Department of Education to manage the construction of technical high schools projects.</i>				
<b>New or Expanded Services</b>				
• <b>Increase Funding for Education Cost Sharing (ECS)</b>			<b>FY 2014</b>	<b>FY 2015</b>
			50,756,719	101,513,445
				<b>FY 2016</b>
				152,270,164
<i>Additional state funding for ECS is distributed to towns based on the phase in of the formula recommended by the ECS Task Force in January, 2013. The task force recommended several changes to the ECS formula including:</i>				
• <i>No town will receive less ECS aid in 2014 or 2015 than it did in 2013. 117 towns get more ECS funding through the new formula</i>				
• <i>A new child poverty measure will be used. Free and Reduced Priced Lunch (FRPL) Eligibility will replace Title I poverty</i>				
• <i>Current 15% weighting of Limited English Proficient (LEP) students will be eliminated and replaced by 30% weighting of FRPL Eligibility</i>				
• <i>For most wealthy communities, the minimum aid ratio will be reduced from 9% to 2%. The minimum aid ratio for Alliance Districts will be 10%</i>				
• <i>Household income data will be streamlined and replaced by more current data. Census Bureau Per Capita Income (PCI) and Median Household Income (MHI), which is updated once a decade, is replaced by MHI produced and updated annually through the Department of Economic and Community Development</i>				
• <i>The foundation is increased from \$9,867 to \$11,754, a 19% increase</i>				
<i>In FY 2014, Alliance Districts will receive 10% of the target aid recommended by the task force and non-Alliance Districts will receive 1%. The phase in will continue in FY 2015, with Alliance Districts receiving 20% of the recommended target aid and non-Alliance Districts receiving 2%.</i>				
• <b>Add Funding for Talent Development and Common Core</b>	12,000,000	12,000,000	12,000,000	
<i>Funding is added in order to continue the implementation of the teacher evaluation system and to align curriculum to Common Core State Standards and provide the necessary training for teachers and administrators for both initiatives. This funding is included in a new account called School Improvement.</i>				

<ul style="list-style-type: none"> <li>• <b>Increase Funding for Commissioner's Network</b> Funding will allow another four schools, in addition to the current eight schools, to participate in the Commissioner's Network in FY 2014 and another nine schools in FY 2015 that work with the State Department of Education to implement reforms to turnaround the lowest performing schools. This funding is included in a new account called School Improvement.</li> </ul>	3,300,000	10,800,000	10,800,000
<ul style="list-style-type: none"> <li>• <b>Add Funding for New State Charter Schools</b> Funding will allow the State Department of Education to approve one additional state charter school in FY 2014 and three more in FY 2015. This funding is included in the ECS line item.</li> </ul>	1,785,000	8,415,000	12,155,000
<ul style="list-style-type: none"> <li>• <b>Add Funding for New Local Charter Schools</b> Funding will allow the State Department of Education to approve two new local charter schools in FY 2014 and three more in FY 2015. This funding is included in a new account called School Improvement.</li> </ul>	110,000	710,000	1,275,000
<b>Technical Adjustments</b>			
<ul style="list-style-type: none"> <li>• <b>Revise GAAP Accrual Amounts</b></li> </ul>	-314,330	17,944	

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,601	79	0	1,680	1,693	1,688	1,722	1,717
Federal and Other Activities	108	18	-1	125	125	121	125	120
Vocational Education Extension	1	0	0	1	1	1	1	1
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			10	866	870	870	870	870
Federal and Other Activities			0	1	1	1	1	1
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Current Services</u>	<u>FY 2014 Recommended</u>	<u>FY 2015 Requested</u>	<u>Current Services</u>	<u>FY 2015 Recommended</u>
Basic School Program	2,032,256,116	2,074,540,266	2,154,944,337	2,150,834,352	2,164,778,180	2,174,220,376	2,163,732,554	2,231,237,794
Early Childhood Education	34,377,114	35,147,974	35,157,781	35,157,781	318,340	35,171,172	35,171,172	0
Special Education	282,287,282	276,371,641	313,896,119	313,772,654	276,071,958	322,539,682	322,408,698	271,795,136
Equal Education Opportunity	730,362,050	713,451,072	755,164,289	754,819,166	642,794,564	779,549,317	777,295,753	664,574,623
Vocational Training and Job Preparation	41,205,871	42,280,749	44,161,907	44,167,596	42,246,992	45,001,493	45,007,681	42,088,011
CT Tech High School System	149,347,020	141,473,306	164,221,877	155,590,782	153,153,569	166,925,777	165,327,362	161,930,054
Agency Management Services	18,872,613	43,365,844	62,660,114	44,854,196	78,735,426	71,868,826	45,801,003	88,364,029
Teacher Preparation, Professional & Curriculum Development	39,832,800	39,422,407	40,265,440	40,165,139	35,189,528	40,450,362	40,354,147	35,247,882
TOTAL Agency Programs - All Funds Gross	3,328,540,866	3,366,053,259	3,570,471,864	3,539,361,666	3,393,288,557	3,635,727,005	3,595,098,370	3,495,237,529
Nonfunctional - Change to Accruals	0	0	0	1,081,574	767,244	0	1,037,672	1,055,616
TOTAL Agency Programs - All Funds Net	3,328,540,866	3,366,053,259	3,570,471,864	3,540,443,240	3,394,055,801	3,635,727,005	3,596,136,042	3,496,293,145
<b>Summary of Funding</b>								
General Fund Net	2,769,385,355	2,898,603,063	3,106,679,458	3,076,958,886	2,946,339,375	3,171,934,599	3,132,651,688	3,053,520,321
Federal and Other Activities	555,771,957	460,676,515	460,518,725	460,210,673	444,442,745	460,518,725	460,210,673	439,499,143
Private Funds	3,383,554	6,773,681	3,273,681	3,273,681	3,273,681	3,273,681	3,273,681	3,273,681
TOTAL Agency Programs - All Funds Net	3,328,540,866	3,366,053,259	3,570,471,864	3,540,443,240	3,394,055,801	3,635,727,005	3,596,136,042	3,496,293,145

## BASIC SCHOOL PROGRAM

### Statutory Reference

C.G.S. Sections 10-4, 10-4a; 10-14n; 10-54, 10-97, 10-266m, 10-266ee, 10-273a, 10-277, 10-281; 10-262 through 10-262i; 10-217a.

### Statement of Need and Program Objectives:

To ensure that every child in Connecticut has an equal opportunity to receive a suitable program of educational experiences. To ensure that the state's public schools offer a planned, ongoing and systematic program of instruction that guarantees a breadth and depth of curriculum provided by competent professionals in a safe and supportive school setting.

### Program Description

The primary purpose of this program is to ensure that each of Connecticut's 554,732 public school children, of whom 40.5 percent are minority, has an equal opportunity to receive a suitable and high-quality program of educational experiences. The department provides significant support to local education agencies for this purpose through various grant programs and technical assistance.

While there is a mandate to provide suitable educational programming, the availability of local resources to accomplish this task is anything but uniform. The disparities in local tax bases and

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personal income place many communities at a significant disadvantage in financing public schools. To compensate for these differences, the state grant formulas in the basic school program group are designed specifically to distribute more funds to the towns with the greatest need.

The Education Cost Sharing (ECS) grant accounts for more than two-thirds of the department's general fund appropriation. The primary components of the ECS grant are the foundation spending level per student; the "need student" count of each town, which adds weighting based on poverty and limited English; the wealth of the town determined by its tax base and the income of its residents, and a state-guaranteed wealth level. In this manner, the ECS formula equalizes each town's ability to finance school programs at the foundation level with a comparable tax effort.

Other basic program grants with equalizing formulas include public and nonpublic school transportation, school construction (funded through the capital budget), and nonpublic health services (more than 65,000 children attend nonpublic schools). Each of these programs has its own statutorily set scale of reimbursement rates,

which assigns the highest rates to the neediest districts and the lowest rates to the most affluent. Combined, these programs compose about 18 percent of total department funding (general funds and bond funds), with school construction responsible for over 90 percent of the combined amount.

The Connecticut Mastery Test (CMT) is administered statewide to students in grades 3 through 8 to measure their performance in mathematics, writing and reading. About 250,000 students take the tests each year. Science testing was added in 2008 for students in grades 5 and 8.

The Connecticut Academic Performance Test (CAPT) is administered annually to all students in grade 10 (about 45,000). The CAPT assesses students in the areas of mathematics, science, and reading and writing across the disciplines. Students who meet or exceed these standards receive certification of mastery on their high school transcripts. Students who do not meet the standards in one or more areas may voluntarily retake those portions of the test in grade 11 and/or grade 12.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
BASIC SCHOOL PROGRAM				
ECS Grant per Need Pupil (\$):	3,335	3,433	3,865	3,960

**BASIC SCHOOL PROGRAM**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	46	1	0	47	47	47	47	47
Federal and Other Activities	13	3	1	17	17	17	17	17
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	21	21	21	21	21

**Financial Summary**

**(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,161,451	3,744,743	2,942,413	3,020,307	2,732,649	3,102,520	3,187,629	2,890,181
Other Expenses	778,068	388,383	2,863,737	2,861,958	2,815,384	2,888,786	2,886,955	2,815,384
<i>Other Current Expenses</i>								
Early Childhood Program	91,268	94,539	98,271	98,271	0	104,887	104,887	0
Develop of Mastery Exams Grades 4,6&8	18,909,231	18,759,486	19,850,260	19,850,260	0*	20,665,355	20,665,355	0*
Longitudinal Data Systems	380,715	408,000	440,550	440,550	0*	484,994	484,994	0*
School Accountability	209,403	120,525	123,359	123,359	0*	127,466	127,466	0*
CommPACT Schools	676,875	0	0	0	0	0	0	0
Parent Trust	500,000	500,000	500,000	500,000	0	500,000	500,000	0
<i>Pmts to Other Than Local Governments</i>								
Health Foods Initiative	3,710,313	3,613,997	4,093,818	4,093,818	0	4,238,514	4,238,514	0
<i>Pmts to Local Governments</i>								
Transportation of School Children	25,779,748	24,884,748	84,699,059	84,699,059	5,000,000	86,977,464	86,977,464	5,000,000
Health Serv for Pupils Private Schools	4,277,500	4,277,500	6,821,788	6,821,788	4,284,937	7,020,984	7,020,984	4,285,293
Education Equalization Grants	1,889,228,795	2,007,594,057	2,020,742,657	2,017,556,557	2,140,230,922	2,035,265,657	2,026,694,557	2,206,532,648
Non-Public School Transportation	3,595,500	3,595,500	4,649,637	4,649,637	3,595,500	4,724,961	4,724,961	3,595,500
Magnet Schools	376,136	440,000	0	0	0	0	0	0
MUNICIPAL AID FOR NEW EDUCATORS	0	0	1,000,000	0	0	2,000,000	0	0
TOTAL-General Fund	1,951,675,003	2,068,421,478	2,148,825,549	2,144,715,564	2,158,659,392	2,168,101,588	2,157,613,766	2,225,119,006
<i>Additional Funds Available</i>								
Private Funds	122,272	122,000	122,000	122,000	122,000	122,000	122,000	122,000

**Federal Contributions**

Direct Certification Planning	71,462	70,000	70,000	70,000	70,000	70,000	70,000	70,000
10560 State Admin Expenses Child Nutrition	1,723	1,723	1,723	1,723	1,723	1,723	1,723	1,723
84027 Special Education Grants to States	939,355	931,513	931,513	931,513	931,513	931,513	931,513	931,513
84369 Grants for State Assessments & Related Activities	3,052,646	4,791,068	4,791,068	4,791,068	4,791,068	4,791,068	4,791,068	4,791,068
84372 Statewide Data Systems	14,299	0	0	0	0	0	0	0
84394 ARRA Stabilization - Ed Grants	193,661	0	0	0	0	0	0	0
84397 ARRA Stabilization - Gov Serv	4,618	0	0	0	0	0	0	0
84410 Education Jobs Fund	75,714,534	0	0	0	0	0	0	0
93576 Refugee & Entrant Assistance Grants	257,525	0	0	0	0	0	0	0
99125 EDEN Task Order	1,349	0	0	0	0	0	0	0
99125 NAEP	186,738	181,134	181,134	181,134	181,134	181,134	181,134	181,134
99125 NCES (Nat'l Coop Education Stats Sys)	20,931	21,350	21,350	21,350	21,350	21,350	21,350	21,350
<b>TOTAL - All Funds</b>	<b>2,032,256,116</b>	<b>2,074,540,266</b>	<b>2,154,944,337</b>	<b>2,150,834,352</b>	<b>2,164,778,180</b>	<b>2,174,220,376</b>	<b>2,163,732,554</b>	<b>2,231,237,794</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**DEDICATED SPECIAL EDUCATION RESOURCES****Statutory Reference**

C.G.S. Sections 10-76a through 10-76s, 10-253 and 10-262f through 10-262j.

**Statement of Need and Program Objectives:**

To assure that each eligible child with a disability receives a free, appropriate public education. The state provides training and technical assistance to localities, conducts oversight activities to ensure compliance with federal and state requirements and distributes grants to support local special education and support services for students with disabilities.

**Program Description**

Towns receive reimbursement for special education costs through the Excess Cost grant and through funding from the federal Individuals with Disabilities Education Act. For extraordinary special education costs, towns are paid on a current basis.

For the most current year of which audited data is available (2008-09), a total of \$1.72 billion was spent on the provision of special education and related services to students with disabilities. This represents 21.7 percent of the total expenditures for education.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
DEDICATED SPECIAL EDUCATION RESOURCES			0	0
Special Education - Percentage of all Students	12.1	12.1	12.1	12.1
Percentage of Special Education Students being served in regular classrooms	60.6	60.6	60.6	60.6

**SPECIAL EDUCATION****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	0	1	0	1	1	1	2	2
Federal and Other Activities	20	4	0	24	24	24	24	23

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Other Expenses	46	46	47	47	46	48	48	46
<u>Other Current Expenses</u>								
Resource Equity Assessment	171,731	299,683	306,513	183,048	0*	314,032	183,048	0*
Regional Vocational-Technical School Sys	0	0	0	0	0	53,592	53,592	51,982
<u>Pmts to Local Governments</u>								
Excess Cost - Student Based	139,828,738	139,805,731	177,323,378	177,323,378	139,805,731	185,905,829	185,905,829	139,805,731
<b>TOTAL-General Fund</b>	<b>140,000,515</b>	<b>140,105,460</b>	<b>177,629,938</b>	<b>177,506,473</b>	<b>139,805,777</b>	<b>186,273,501</b>	<b>186,142,517</b>	<b>139,857,759</b>

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Additional Funds Available

**Federal Contributions**

ARRA Transition Counselors	485,713	0	0	0	0	0	0	0
DSS/SDE - Indicator 14 MOA	173,535	43,172	43,172	43,172	43,172	43,172	43,172	43,172
Transition Counselors - DSS	589,969	0	0	0	0	0	0	0
10574 Team Nutrition Grants	137,127	137,127	137,127	137,127	137,127	137,127	137,127	137,127
15805 Assist State Water Resources Rsrch Inst	75,000	75,000	75,000	75,000	75,000	75,000	75,000	0
84027 Special Education Grants to States	131,824,516	130,724,006	130,724,006	130,724,006	130,724,006	130,724,006	130,724,006	130,724,006
84126 Rehabilitation Services Vocational	14,459	27,198	27,198	27,198	27,198	27,198	27,198	27,198
84173 Special Education Preschool Grants	3,984,372	4,253,804	4,253,804	4,253,804	4,253,804	4,253,804	4,253,804	0
84224 Assistive Technology	30,000	0	0	0	0	0	0	0
84323 Special Education - State Personnel Development	767,524	988,228	988,228	988,228	988,228	988,228	988,228	988,228
84337 International Education Technological	54,388	0	0	0	0	0	0	0
84391 Special Education Grants to States, Recovery Act	4,044,164	0	0	0	0	0	0	0
84392 ARRA IDEA Part B Preschool	88,700	0	0	0	0	0	0	0
99125 NCES (Nat'l Coop Education Stats Sys)	17,300	17,646	17,646	17,646	17,646	17,646	17,646	17,646
<b>TOTAL - All Funds</b>	<b>282,287,282</b>	<b>276,371,641</b>	<b>313,896,119</b>	<b>313,772,654</b>	<b>276,071,958</b>	<b>322,539,682</b>	<b>322,408,698</b>	<b>271,795,136</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**EQUAL EDUCATIONAL OPPORTUNITY**

**Statutory Reference**

C.G.S. Sections 10-215, 10-215a through 10-215c, 10-266w; 10-74c, 10-76t through 10-76x; 10-17a through 10-17j; 10-4 and 10-4a, 10-265f, 10-265g, 10-266p through 10-266u; 10-74d, 10-266aa, 10-266bb, 10-276b; 10-264l; 10-16n; 10-40; 10-66aa; 10-16x; 10-16o through 10-16u; 10-19m through 10-19p; 10-66a through 10-66n; 10-245, 10-245a and 10-215b.

**Statement of Need and Program Objectives:**

To provide quality early childhood programs, particularly when families cannot afford preschool programs; and to ensure that young mothers and fathers have access to a suitable educational program while fulfilling their obligations to their young children. To increase learning capability by providing at least one balanced meal per day to those students who would not otherwise receive adequate daily nutrition. To improve students' English proficiency and to assist local school districts with the extra costs incurred when serving students who have limited English proficiency. To ensure that the state's public school instructional program is adequate to enable students to meet expectations as defined by state and national measures. To meet the requirements of the stipulated agreement under *Sheff v. O'Neill*.

In order to improve the effectiveness of teachers and teaching, the state continually reviews and revises the certification and beginning teacher induction process to ensure that prospective teachers have requisite job-related competencies.

**Program Description**

*Nutrition Programs* provide healthy breakfasts, lunches and/or snacks to children in a number of ways. Variable prices allow children from low-income families to purchase reduced-price meals or receive free meals.

School Readiness Programs for Children 3 to 5 provide early education and care to eligible children. The Department of Education and Department of Social Services issue grants to increase slot availability in priority school districts and in some towns with either a priority school or low wealth ranking. The department also funds enhanced quality in participating grant programs.

The Young Parents Program allows teenage parents to continue their education, including courses on parenting skills, while providing school-based day care for their children.

The Priority School District (PSD) grant program assists certain districts in improving student achievement and enhancing educational opportunities. The focus is on improving educational programs, providing early childhood education or early reading intervention programs. Priority is also given to the development or expansion of extended day kindergarten programs.

Bilingual Education Grants are distributed to local school districts in recognition of the extra costs associated with educating pupils with language barriers. Districts required to offer bilingual education are those with 20 or more pupils in a given school from a single non-English speaking background.

Interdistrict Grant Programs provide funding for interdistrict programs that increase student achievement and reduce racial, ethnic and economic isolation while also promoting a greater understanding and appreciation of cultural diversity. It is a highly successful program, with more than 47,789 students participating in the 2011-12 school year.

Interdistrict Magnet School Grants are distributed to magnet schools which support racial, ethnic and economic diversity and offer a unique, high-quality curriculum.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
EQUAL EDUCATION OPPORTUNITY			0	0
Students to be served in Interdistrict programs	47,789	44,709	30,016	32,922
Preschool slot availability in Priority School District Towns:			0	0
Number of state-funded slots (19 PSD)	10,336	10,586	0	0
Child Nutrition:			0	0
Number of Schools Participating in the National School Breakfast Program in the state	722	755	788	822
Percent of total lunches served at free and reduced rates statewide	53	53	53	53
Child Care Services contracted slots:				
Infant/Toddler	1,155	1,273	0	0
Pre-school	2,860	2,747	0	0
School age	246	236	0	0

**EQUAL EDUCATION OPPORTUNITY****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	43	2	0	45	45	40	45	40
Federal and Other Activities	27	6	-2	31	31	27	31	27
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	19	19	19	19	19
Federal and Other Activities			0	1	1	1	1	1

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,720,586	3,205,553	2,818,304	2,892,913	2,617,388	2,963,455	3,044,749	2,760,633
Other Expenses	749,922	1,696,499	557,017	405,687	395,169	573,171	417,452	395,169
<u>Other Current Expenses</u>								
Early Childhood Program	5,061,878	5,927,950	6,649,824	6,649,824	0	6,656,646	6,656,646	0
Admin - Magnet Schools	228,857	0	0	0	0	0	0	0
Develop of Mastery Exams Grades 4,6&8	307,313	286,668	294,245	294,245	0*	304,241	304,241	0*
Admin - Interdistrict Cooperative Program	110,813	0	0	0	0	0	0	0
Primary Mental Health	480,196	507,294	518,302	518,302	427,209	533,333	533,333	427,209
Admin - Youth Service Bureaus	58,945	0	0	0	0	0	0	0
Leadership, Educ, Athletics-Partnership	726,750	765,000	781,601	781,601	0	804,267	804,267	0
Neighborhood Youth Centers	1,371,386	1,338,300	1,367,341	1,367,341	0	1,406,994	1,406,994	0
School Accountability	1,638,269	2,080,880	2,126,035	2,126,035	0*	2,187,690	2,187,690	0*
Sheff Settlement	7,772,065	14,046,799	9,135,728	9,012,263	9,015,513	9,293,510	9,162,526	9,165,917
Admin - After School Program	179,986	0	0	0	0	0	0	0
Community Plans for Early Childhood	450,000	450,000	459,765	459,765	0	473,098	473,098	0
Improving Early Literacy	150,000	150,000	150,000	150,000	0	150,000	150,000	0
Child Care Services	18,180,398	18,419,752	18,419,752	18,419,752	0	18,419,752	18,419,752	0
<u>Pmts to Other Than Local Governments</u>								
American School for the Deaf	9,768,242	10,264,242	10,676,242	10,676,242	10,659,030	11,169,242	11,169,242	11,152,030
Regional Education Services	1,362,883	1,384,613	1,414,659	1,414,659	1,166,026	1,455,684	1,455,684	1,166,026
Head Start Services	2,748,150	2,748,150	2,807,785	2,807,785	0	2,889,211	2,889,211	0
Head Start Enhancement	1,773,000	1,773,000	1,811,474	1,811,474	0	1,864,007	1,864,007	0
Family Resource Centers	5,739,414	7,981,488	8,154,686	8,154,686	7,582,414	8,391,172	8,391,172	7,582,414
Charter Schools	56,926,400	0	0	0	0	0	0	0
Youth Service Bureau Enhancement	620,300	620,300	620,300	620,300	620,300	620,300	620,300	620,300
Head Start - Early Childhood Link	2,090,000	2,090,000	2,135,353	2,135,353	0	2,197,278	2,197,278	0
Institutional Student Aid	743,018	0	0	0	0	0	0	0
Child Nutrition State Match	2,354,815	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
EvenStart	475,000	500,000	500,000	500,000	0	500,000	500,000	0
<u>Pmts to Local Governments</u>								
Transportation of School Children	5,000	0	0	0	0	0	0	0



Budget-in-Detail

Adult Education	363,946	380,000	380,000	380,000	349,118	380,000	380,000	339,634
Bilingual Education	1,890,476	1,916,130	1,957,710	1,957,710	1,916,130	2,014,484	2,014,484	1,916,130
Priority School Districts	115,699,015	121,875,581	121,965,960	121,965,960	46,057,206	121,209,452	121,205,365	45,577,022
Young Parents Program	192,348	229,330	234,306	234,306	229,330	241,101	241,101	229,330
Interdistrict Cooperation	10,800,367	10,131,935	10,364,034	10,364,034	4,346,369	10,368,044	10,368,044	4,350,379
School Breakfast Program	2,106,778	2,220,303	2,300,041	2,300,041	2,300,041	2,379,962	2,379,962	2,379,962
Youth Service Bureaus	2,888,323	2,989,268	3,060,258	3,054,135	2,989,268	3,142,706	3,142,706	2,989,268
OPEN Choice Program	21,204,566	22,090,956	35,012,344	35,018,594	35,018,594	42,515,736	40,616,736	40,616,736
Magnet Schools	206,365,714	241,921,481	274,998,790	274,998,794	270,448,794	290,799,795	290,799,795	286,249,799
After School Program	4,095,000	4,500,000	4,597,650	4,597,650	0	4,730,982	4,730,982	0
School Readiness Quality Enhancement	1,043,172	1,100,678	1,124,563	1,124,563	0	1,157,175	1,157,175	0
<b>TOTAL-General Fund</b>	<b>491,443,291</b>	<b>487,946,150</b>	<b>529,748,069</b>	<b>529,548,014</b>	<b>398,491,899</b>	<b>554,146,488</b>	<b>552,037,992</b>	<b>420,271,958</b>

Additional Funds Available

**Federal Contributions**

ARRA - SAC	250,000	0	0	0	0	0	0	0
CN School Food Equipment	191,182	0	0	0	0	0	0	0
Head Start State Collaboration	107,827	110,000	110,000	110,000	0	110,000	110,000	0
Pregnant & Parenting Teens	2,872,735	1,996,159	1,996,159	1,996,159	1,996,159	1,996,159	1,996,159	1,996,159
SSBG Child Day Care	14,947,920	15,697,928	15,697,928	15,697,928	0	15,697,928	15,697,928	0
Striving Readers	9,804	0	0	0	0	0	0	0
Summer EBT Children Demo Prog	3,252	0	0	0	0	0	0	0
10553 School Breakfast Program	24,920,626	25,052,184	25,052,184	25,052,184	25,052,184	25,052,184	25,052,184	25,052,184
10555 National School Lunch Program	81,789,333	81,789,333	81,789,333	81,789,333	81,789,333	81,789,333	81,789,333	81,789,333
10556 Special Milk Program for Children	303,232	303,232	303,232	303,232	303,232	303,232	303,232	303,232
10558 Child & Adult Care Food Program	15,448,275	15,448,275	15,448,275	15,448,275	15,448,275	15,448,275	15,448,275	15,448,275
10559 Summer Food Svc Pgm for Children	1,450,622	1,450,622	1,450,622	1,450,622	1,450,622	1,450,622	1,450,622	1,450,622
10560 State Admin Expenses Child Nutrition	1,156,096	1,156,096	1,156,096	1,156,096	1,156,096	1,156,096	1,156,096	1,156,096
84002 Adult Education State Grant Program	8,312	8,181	8,181	8,181	8,181	8,181	8,181	8,181
84010 Title I Grants to Local Educational Agencies	105,433,823	104,868,193	104,868,193	104,868,193	104,868,193	104,868,193	104,868,193	104,868,193
84013 Title I Pgm Neglected / Delinquent Children	1,192,797	1,608,357	1,608,357	1,608,357	1,608,357	1,608,357	1,608,357	1,608,357
84027 Special Education Grants to States	369,698	366,612	366,612	366,612	366,612	366,612	366,612	366,612
84173 Special Education Preschool Grants	298,177	318,340	318,340	318,340	318,340	318,340	318,340	0
84186 Safe & Drug-Free Schools & Communities	2,356	0	0	0	0	0	0	0
84196 Education for Homeless Children & Youth	520,432	455,959	455,959	455,959	455,959	455,959	455,959	455,959
84213 Even Start State Educational Agencies	4,942	0	0	0	0	0	0	0
84287 Twenty-First Century Community Learning	125,143	117,189	117,189	117,189	117,189	117,189	117,189	117,189
84318 Education Technology State Grants	767,333	0	0	0	0	0	0	0
84330 Advanced Placement Program	178,177	0	0	0	0	0	0	0
84358 Rural Education	5,000	72,039	72,039	72,039	72,039	72,039	72,039	72,039
84365 English Language Acquisition Grants	5,413,986	5,750,206	5,750,206	5,750,206	5,750,206	5,750,206	5,750,206	5,750,206
84366 Mathematics & Science Partnerships	6,614	5,539	5,539	5,539	5,539	5,539	5,539	5,539
84377 School Improvement Grants	4,598,798	3,854,003	3,854,003	3,854,003	3,854,003	3,854,003	3,854,003	3,854,003
84386 ARRA Education Technology	250,659	0	0	0	0	0	0	0
84388 ARRA School Improvement	8,512,864	0	0	0	0	0	0	0
84389 ARRA Title I Grants to LEAs	1,870,438	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	1,577	0	0	0	0	0	0	0
93938 Coop Agree-School Health Pgm	261,395	223,963	145,068	0	0	145,068	0	0
94004 Learn & Serve America School & Community Pgms	21,947	0	0	0	0	0	0	0
99125 NAEP	501	486	486	486	486	486	486	486
<b>TOTAL - All Funds</b>	<b>764,739,164</b>	<b>748,599,046</b>	<b>790,322,070</b>	<b>789,976,947</b>	<b>643,112,904</b>	<b>814,720,489</b>	<b>812,466,925</b>	<b>664,574,623</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**COLLEGE AND CAREER READINESS**

**Statutory Reference**

C. G. S. Sections 10-5, 10-67 through 10-73c, 10-64 through 10-66; Sections 10-20a through 10-20f.

**Statement of Need and Program Objectives:**

To ensure that every student graduates and receives a diploma indicating that they are college and career ready. To provide a continuum of secondary school activities developed under Career and Technical Education and documented in the Student Success Plan (SSP) that places all students on a trajectory leading to post-secondary education and careers. To provide leadership in the development of these activities, implemented in the context of a personal learning plan, which may also lead to the attainment of the Connecticut Career Certificate (CCC); these activities include: rigorous academic courses, guided career development and structured work-based learning aligned to the 21st Century global workplace. To increase the literacy levels of the adult population by ensuring accessibility to high-quality adult education programs, including secondary school completion, family literacy, citizenship, English as a Second Language, and workplace readiness. To increase the number of Connecticut adults who have the basic skills to become college and career ready and to promote economic self-sufficiency.

**Program Description**

The School to Career Program, now aligned under Connecticut's Career and Technical Education/ Career Pathways Program, gives students the academic, technical and employability skills needed for success in higher education and the workplace. It allows all students to explore a range of post secondary education and career options, and to acquire specific knowledge and experience in one (or more) of the sixteen nationally-recognized career clusters.

Career and Technical Education Programs offered by local and regional boards of education and the community colleges under the Carl D. Perkins Career and Technical Education Improvement Act of 2006 prepare students with the college and career readiness skills required for success in post-secondary education and careers. Academic attainment, technical skill attainment, graduation rate, and placement in employment, postsecondary education and the military on students who participate in career and technical education programs are annual federal reporting requirements.

The State Vocational Agriculture Grants assist local or regional school districts operating a vocational agriculture center through reimbursements of about \$1,800 to \$2,200 per agriculture student.

Local School District Adult Education classes and activities, supported by federal, state and local funds, provide learning opportunities in citizenship, English for the limited English proficient and elementary and secondary school completion. State grants, based on relative town wealth, range from 0 to 65 percent of annual expenditures. Annually, over 26,000 individuals statewide enroll in about 65,000 mandated adult education classes.

High School Diplomas are awarded to those adults who demonstrate their competence in a variety of subject areas on the General Educational Development (GED) examination to a level considered equivalent to that of a high school graduate. High school diplomas are also awarded to adults who complete a high school credit diploma program or an external diploma program. Annually, over 2,000 individuals are awarded State High School Diplomas for passing the General Educational Development (GED) Tests. Local adult education diplomas are awarded to nearly 1,600 individuals for completing the Adult High School Credit Diploma program and to around over 500 individuals for completing the National External Diploma Program.

**COLLEGE AND CAREER READINESS**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	5	5	5
Federal and Other Activities	7	2	1	10	10	10	10	10
<i>Other Positions Equated to Full Time</i>								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	0	1	1	1	1

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	222,002	262,900	214,933	220,622	199,610	225,559	231,747	210,122
Other Expenses	2,395	2,418	2,470	2,470	2,418	2,541	2,541	2,418
<u>Other Current Expenses</u>								
Admin - Adult Basic Education	923,480	0	0	0	0	0	0	0
Adult Education Action	143,867	240,687	240,687	240,687	240,687	240,687	240,687	240,687
<u>Pmts to Local Governments</u>								
Vocational Agriculture	5,060,565	6,485,565	6,626,302	6,626,302	6,485,565	6,818,465	6,818,465	6,485,565
Adult Education	19,273,873	19,801,239	21,584,937	21,584,937	19,830,772	22,215,330	22,215,330	19,855,479
School to Work Opportunities	200,000	213,750	218,388	218,388	213,750	224,721	224,721	213,750
<b>TOTAL-General Fund</b>	<b>25,826,182</b>	<b>27,006,559</b>	<b>28,887,717</b>	<b>28,893,406</b>	<b>26,972,802</b>	<b>29,727,303</b>	<b>29,733,491</b>	<b>27,008,021</b>

Budget-in-Detail

Additional Funds Available

Private Funds	369,119	81,000	81,000	81,000	81,000	81,000	81,000	81,000
<b>Federal Contributions</b>								
84002 Adult Education State Grant Program	5,631,304	5,542,357	5,542,357	5,542,357	5,542,357	5,542,357	5,542,357	5,542,357
84048 Vocational Education Basic Grants to States	9,197,366	9,456,633	9,456,633	9,456,633	9,456,633	9,456,633	9,456,633	9,456,633
84173 Special Education Preschool Grants	181,900	194,200	194,200	194,200	194,200	194,200	194,200	0
<b>TOTAL - All Funds</b>	<b>41,205,871</b>	<b>42,280,749</b>	<b>44,161,907</b>	<b>44,167,596</b>	<b>42,246,992</b>	<b>45,001,493</b>	<b>45,007,681</b>	<b>42,088,011</b>

**CONNECTICUT TECHNICAL HIGH SCHOOLS**

**Statutory Reference**

C. G. S. Sections 10-15d, and 10-95 through 10-99.

**Statement of Need and Program Objectives:**

The Connecticut Technical High School System (CTHSS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of postsecondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. Therefore, the CTHSS has developed a challenging program of study for each of the 36 technical programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements

and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core of Learning.

**Program Description**

The 16 schools serve over 10,700 high school students of which 47 percent are racially diverse and 38 percent are female. The high school experience includes extracurricular activities, including National Honor Society, male and female varsity sports and numerous clubs and community service organizations. About 59 percent of CTHSS graduates go on to additional education and another 35 percent are either employed or in the military. Additionally, the system serves over 440 adult students in evening courses that lead to apprenticeships and trade and technology skills.

<b>Program Measure</b>	<b>FY 2012 Actual</b>	<b>FY 2013 Estimated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>
VOCATIONAL-TECHNICAL SCHOOLS				
Percent Continuing Education	59	56-61%	56-61%	56-61%
Percent Employment/Military	35	32-38%	32-38%	32-38%

**CT TECH HIGH SCHOOL SYSTEM**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,356	38	0	1,394	1,407	1,407	1,435	1,435
Federal and Other Activities	35	2	0	37	37	37	37	37
Vocational Education Extension	1	0	0	1	1	1	1	1

**Financial Summary**

(Net of Reimbursements)

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Capital Outlay</u>								
Equipment	0	0	7,374,750	0	0	374,750	0	0
<u>Other Current Expenses</u>								
Sheff Settlement	254,552	247,000	247,000	247,000	243,750	247,000	247,000	243,609
Regional Vocational-Technical School Sys	136,500,457	129,510,948	144,884,769	143,628,424	141,194,461	154,588,669	153,365,004	149,971,087
<u>Pmts to Local Governments</u>								
Adult Education	19	0	0	0	0	0	0	0
<b>TOTAL-General Fund</b>	<b>136,755,028</b>	<b>129,757,948</b>	<b>152,506,519</b>	<b>143,875,424</b>	<b>141,438,211</b>	<b>155,210,419</b>	<b>153,612,004</b>	<b>150,214,696</b>

Additional Funds Available

Private Funds	2,739,056	2,947,681	2,947,681	2,947,681	2,947,681	2,947,681	2,947,681	2,947,681
<b>Federal Contributions</b>								
21st Century Intra-Agency	206,387	0	0	0	0	0	0	0
Advanced CNC - Eli Whitney THS	243,257	0	0	0	0	0	0	0
10555 National School Lunch Program	1,909,352	1,909,352	1,909,352	1,909,352	1,909,352	1,909,352	1,909,352	1,909,352
84010 Title I Grants to Local Educational Agencies	2,526,057	2,576,578	2,576,578	2,576,578	2,576,578	2,576,578	2,576,578	2,576,578
84027 Special Education Grants to States	2,539,743	2,590,243	2,590,243	2,590,243	2,590,243	2,590,243	2,590,243	2,590,243

84048 Vocational Education Basic Grants to States	193,950	197,829	197,829	197,829	197,829	197,829	197,829	197,829
84063 Federal Pell Grant Program	608,062	620,223	620,223	620,223	620,223	620,223	620,223	620,223
84215 Fund for the Improvement of Education	90,518	0	0	0	0	0	0	0
84365 English Language Acquisition Grants	12,279	12,525	12,525	12,525	12,525	12,525	12,525	12,525
84367 Improving Teacher Quality State Grants	844,046	860,927	860,927	860,927	860,927	860,927	860,927	860,927
84386 ARRA Ed Tech - Intra Agency	7,006	0	0	0	0	0	0	0
84387 ARRA Homeless - Intra Agency	925	0	0	0	0	0	0	0
84389 ARRA Title I - IntraAgency	363,902	0	0	0	0	0	0	0
84391 Special Education Grants to States, Recovery Act	307,452	0	0	0	0	0	0	0
<b>TOTAL - All Funds</b>	<b>149,347,020</b>	<b>141,473,306</b>	<b>164,221,877</b>	<b>155,590,782</b>	<b>153,153,569</b>	<b>166,925,777</b>	<b>165,327,362</b>	<b>161,930,054</b>

**MANAGEMENT SERVICES**

**Statutory Reference**

C.G.S. Sections 10-3a, 10-4 and 10-292o.

**Statement of Need and Program Objectives:**

To promote the State Board of Education’s goals and policies efficiently and effectively by providing instructional leadership and a coordinated administrative direction.

**Program Description**

The primary purpose of this program is to provide for the efficient and effective administration, coordination and supervision of the activities of the Department that have been defined by the State Board of Education through its five-year comprehensive plan for elementary, secondary, vocational, career and adult education. In addition, funds are received for the continuation of major pass-through grants and the American School for the Deaf.

**AGENCY MANAGEMENT SERVICES**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	128	36	0	164	164	164	164	164
Federal and Other Activities	2	1	-1	2	2	2	2	2
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			9	812	815	815	815	815

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	9,528,314	10,818,129	12,190,568	11,675,697	10,563,688	12,724,929	12,206,795	11,067,738
Other Expenses	1,407,524	1,635,926	1,522,565	1,675,339	1,439,357	1,570,493	1,727,485	1,439,357

**Capital Outlay**

Equipment	0	1	216,000	1	1	166,000	1	1
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**Other Current Expenses**

Basic Skills Exam Teachers in Training	6,135	6,135	6,271	6,271	0*	6,455	6,271	0*
Develop of Mastery Exams Grades 4,6&8	4,394	4,405	4,473	4,473	0*	4,565	4,565	0*
Primary Mental Health	1,434	0	0	0	0	0	0	0
Longitudinal Data Systems	1,086,307	1,092,000	1,092,000	1,092,000	0*	1,092,000	1,092,000	0*
School Accountability	179,160	0	0	0	0*	0	0	0*
Sheff Settlement	4,338	0	0	0	0	0	0	0
Regional Vocational-Technical School Sys	4,303,782	5,331,029	5,498,534	5,357,418	5,357,418	5,783,423	5,609,627	5,609,627
Child Care Services	132,705	0	0	0	0	0	0	0
Science Pgm-Educational Reform Districts	0	455,000	464,874	464,874	0	478,355	478,355	0
Wrap Around Services	0	450,000	920,000	450,000	0*	1,450,000	450,000	0*
Parent Universities	0	250,000	250,000	250,000	0	250,000	250,000	0
School Health Coordinator Pilot	0	200,000	200,000	200,000	0	200,000	200,000	0
Commissioner’s Network	0	7,500,000	16,600,000	7,500,000	0*	24,350,000	7,500,000	0*
Technical Assistance-Regional Cooperation	0	100,000	102,170	102,170	0	105,133	105,133	0
New or Replicated Schools	0	200,000	204,340	204,340	0*	210,266	210,266	0*
Bridges to Success	0	712,500	712,500	712,500	0*	712,500	712,500	0*
K-3 Reading Assessment Pilot	0	2,700,000	2,700,000	2,700,000	0*	2,700,000	2,700,000	0*

Budget-in-Detail

Talent Development	0	3,500,000	15,000,000	7,500,000	0*	15,000,000	7,500,000	0*
School Improvement	0	0	0	0	59,440,949	0	0	68,397,453
<i>Pmts to Local Governments</i>								
Adult Education	46,474	0	0	0	0	0	0	0
Priority School Districts	88,000	0	0	0	0	0	0	0
Interdistrict Cooperation	398	0	0	0	0	0	0	0
Magnet Schools	226	230	230	226	226	230	230	226
School Readiness Quality Enhancement	0	3,000,000	3,065,100	3,065,100	0	3,153,988	3,153,988	0
TOTAL-General Fund	16,789,191	37,955,355	60,749,625	42,960,409	76,801,639	69,958,337	43,907,216	86,514,402
<i>Additional Funds Available</i>								
Private Funds	17,007	3,517,000	17,000	17,000	17,000	17,000	17,000	17,000
<b>Federal Contributions</b>								
Advanced CNC - Eli Whitney THS	166	0	0	0	0	0	0	0
Head Start State Collaboration	190	0	0	0	0	0	0	0
Pregnant & Parenting Teens	5,515	3,832	3,832	3,832	3,832	3,832	3,832	3,832
SSBG Child Day Care	108,576	0	0	0	0	0	0	0
Striving Readers	646	0	0	0	0	0	0	0
Summer EBT Children Demo Prog	32,103	0	0	0	0	0	0	0
10553 School Breakfast Program	9,967	10,511	10,511	10,511	50,511	10,511	10,511	10,511
10555 National School Lunch Program	9,205	9,205	9,205	9,205	9,205	9,205	9,205	9,205
10556 Special Milk Program for Children	1	1	1	1	1	1	1	1
10558 Child & Adult Care Food Program	13,440	13,440	13,440	13,440	13,440	13,440	13,440	13,440
10559 Summer Food Svc Pgm for Children	5,608	5,608	5,608	5,608	5,608	5,608	5,608	5,608
10560 State Admin Expenses Child Nutrition	142,832	142,832	142,832	142,832	142,832	142,832	142,832	142,832
84002 Adult Education State Grant Program	12,604	12,405	12,405	12,405	12,405	12,405	12,405	12,405
84010 Title I Grants to Local Educational Agencies	159,616	158,760	158,760	158,760	158,760	158,760	158,760	158,760
84013 Title I Pgm Neglected / Delinquent Children	1,171	1,579	1,579	1,579	1,579	1,579	1,579	1,579
84027 Special Education Grants to States	730,806	724,705	724,705	724,705	724,705	724,705	724,705	724,705
84048 Vocational Education Basic Grants to States	84,527	86,920	86,920	86,920	86,920	86,920	86,920	86,920
84063 Federal Pell Grant Program	2,520	2,570	2,570	2,570	2,570	2,570	2,570	2,570
84126 Rehabilitation Services Vocational	954	1,794	1,794	1,794	1,794	1,794	1,794	1,794
84173 Special Education Preschool Grants	41,363	44,160	44,160	44,160	44,160	44,160	44,160	0
84186 Safe & Drug-Free Schools & Communities	20,800	0	0	0	0	0	0	0
84196 Education for Homeless Children & Youth	5,803	5,084	5,084	5,084	5,084	5,084	5,084	5,084
84213 Even Start State Educational Agencies	48	0	0	0	0	0	0	0
84243 Tech-Prep Education	26	0	0	0	0	0	0	0
84282 Charter Schools	22,573	0	0	0	0	0	0	0
84287 Twenty-First Century Community Learning	28,902	27,065	27,065	27,065	27,065	27,065	27,065	27,065
84318 Education Technology State Grants	21,540	0	0	0	0	0	0	0
84323 Special Education - State Personnel Development	5,161	6,645	6,645	6,645	6,645	6,645	6,645	6,645
84330 Advanced Placement Program	277,330	241,158	241,158	241,158	241,158	241,158	241,158	241,158
84332 Comprehensive School Reform Demonstration	1,573	0	0	0	0	0	0	0
84357 Reading First State Grants	13,728	0	0	0	0	0	0	0
84365 English Language Acquisition Grants	14,119	14,996	14,996	14,996	14,996	14,996	14,996	14,996
84366 Mathematics & Science Partnerships	13,502	11,308	11,308	11,308	11,308	11,308	11,308	11,308
84367 Improving Teacher Quality State Grants	117,324	115,594	115,594	115,594	115,594	115,594	115,594	115,594
84369 Grants for State Assessments & Related Activities	79,129	201,956	201,956	201,956	201,956	201,956	201,956	201,956
84377 School Improvement Grants	5,521	4,627	4,627	4,627	4,627	4,627	4,627	4,627
84391 Special Education Grants to States, Recovery Act	597	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	1,465	0	0	0	0	0	0	0
93576 Refugee & Entrant Assistance Grants	15,176	0	0	0	0	0	0	0

93938 Coop Agree-School Health Pgm	30,095	16,702	16,702	0	0	16,702	0	0
99125 NAEP	15,280	14,821	14,821	14,821	14,821	14,821	14,821	14,821
99125 NCES (Nat'l Coop Education Stats Sys)	14,913	15,211	15,211	15,211	15,211	15,211	15,211	15,211
<b>TOTAL - All Funds</b>	<b>18,872,613</b>	<b>43,365,844</b>	<b>62,660,114</b>	<b>44,854,196</b>	<b>78,735,426</b>	<b>71,868,826</b>	<b>45,801,003</b>	<b>88,364,029</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## TEACHER PREPARATION, PROFESSIONAL AND CURRICULUM DEVELOPMENT

### Statutory Reference

C. G. S. Section 10-14m through 10-14r; 10-145 through 10-146c; 10-155 through 10-155gg; 10-220a.

### Statement of Need and Program Objectives:

To help districts ensure that high-quality educators are appropriately prepared to teach Connecticut's public school students. To identify educational needs early enough to allow students to receive extra help and, at the same time, to implement desirable changes to curriculum in order to enrich future students' learning.

### Program Description

The state, in collaboration with advisory groups, continually reviews and revises the teacher preparation approval and certification process to ensure that prospective teachers have requisite job-related competencies, subject knowledge and teaching skills under the proposed new teacher certification requirements. Additionally, the state is changing the induction process for beginning teachers by

requiring them to be mentored for a two-year period while they successfully complete five professional growth modules in classroom management, planning, instruction, assessment of learning and professional responsibility. Initiatives include cooperative efforts with Connecticut's 16 (plus three alternate routes to initial certification) teacher preparation programs; an entrance examination for prospective teachers to assess essential skills in reading, writing and mathematics; and subject matter knowledge and successful completion of the state's newly developed Teacher Education And Mentoring (TEAM) Program. Additionally, the state is currently piloting a newly designed educator evaluation system that will be implemented by all districts beginning in school year 2013-2014 in which teacher performance and practice and student outcomes are included in the annual evaluation of individual educators. Teacher recruitment and retention is also a focus of the CSDE.

## TEACHER PREP, PROFESSIONAL/CURRICULUM DEVELOPMENT

### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	23	1	0	24	24	24	24	24
Federal and Other Activities	4	0	0	4	4	4	4	4

### Other Positions Equated to Full Time

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	14	14	14	14	14

### Financial Summary

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,629,816	1,918,723	1,736,869	1,782,850	1,613,048	1,818,412	1,868,295	1,693,958
Other Expenses	753,260	3,291	922,846	922,846	922,775	949,608	949,608	922,775
<i>Other Current Expenses</i>								
Basic Skills Exam Teachers in Training	673,865	1,264,640	1,298,053	1,298,053	0*	1,345,524	1,345,708	0*
Teachers' Standards Implementation Pgm	3,141,508	3,096,508	3,156,508	3,156,508	0*	3,156,508	3,156,508	0*
Connecticut Pre-Engineering Program	262,500	262,500	268,196	268,196	0	275,974	275,974	0
Connecticut Writing Project	47,500	0	0	0	0	0	0	0
School Accountability	50,000	0	0	0	0*	0	0	0*
<i>Pmts to Local Governments</i>								
Adult Education	317,696	844,451	929,569	929,569	854,025	950,937	950,937	849,923
Health Serv for Pupils Private Schools	20,000	20,000	20,000	20,000	12,563	20,000	20,000	12,207
<b>TOTAL-General Fund</b>	<b>6,896,145</b>	<b>7,410,113</b>	<b>8,332,041</b>	<b>8,378,022</b>	<b>3,402,411</b>	<b>8,516,963</b>	<b>8,567,030</b>	<b>3,478,863</b>
<i>Additional Funds Available</i>								
Private Funds	136,100	106,000	106,000	106,000	106,000	106,000	106,000	106,000
<b>Federal Contributions</b>								
Personal Responsibility Educat	73,890	0	0	0	0	0	0	0
10560 State Admin Expenses Child Nutrition	18,098	18,098	18,098	18,098	18,098	18,098	18,098	0

Budget-in-Detail

84027 Special Education Grants to States	10,989	10,897	10,897	10,897	10,897	10,897	10,897	10,897
84287 Twenty-First Century Community Learning	8,412,097	7,877,451	7,877,451	7,877,451	7,877,451	7,877,451	7,877,451	7,877,451
84366 Mathematics & Science Partnerships	1,039,659	870,732	870,732	870,732	870,732	870,732	870,732	870,732
84367 Improving Teacher Quality State Grants	22,801,707	22,444,537	22,444,537	22,444,537	22,444,537	22,444,537	22,444,537	22,444,537
84369 Grants for State Assessments & Related Activities	180,000	459,402	459,402	459,402	459,402	459,402	459,402	459,402
93630 Developmental Disabilities Basic Support	534	0	0	0	0	0	0	0
93938 Coop Agree-School Health Pgm	263,581	225,177	146,282	0	0	146,282	0	0
<b>TOTAL - All Funds</b>	<b>39,832,800</b>	<b>39,422,407</b>	<b>40,265,440</b>	<b>40,165,139</b>	<b>35,189,528</b>	<b>40,450,362</b>	<b>40,354,147</b>	<b>35,247,882</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	15,849,091	18,343,058	17,725,571	16,461,919	18,586,003	17,313,960
Other Positions	759,125	838,694	691,533	642,234	734,512	684,241
Other	642,143	755,296	1,475,523	612,516	1,503,587	614,395
Overtime	11,810	13,000	10,460	9,714	10,773	10,036
<b>TOTAL-Personal Services Gross</b>	<b>17,262,169</b>	<b>19,950,048</b>	<b>19,903,087</b>	<b>17,726,383</b>	<b>20,834,875</b>	<b>18,622,632</b>
Less Reimbursements						
Less Turnover						
<b>TOTAL-Personal Services Net</b>	<b>17,262,169</b>	<b>19,950,048</b>	<b>19,903,087</b>	<b>17,726,383</b>	<b>20,834,875</b>	<b>18,622,632</b>
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	172,717	174,366	178,149	174,366	183,315	174,366
Utility Services	88,803	89,651	94,017	89,651	99,874	89,651
Rentals, Storage and Leasing	447,381	470,922	481,141	470,922	495,094	470,922
Telecommunication Services	82,220	83,004	84,805	83,004	87,264	83,004
General Repairs	29,281	29,560	30,202	29,560	31,078	29,560
Motor Vehicle Expenses	25,823	26,069	26,635	26,069	27,407	26,069
Fees for Outside Professional Services	1,518,935	2,025,917	742,529	534,354	764,276	534,354
Fees for Non-Professional Services	28,199	29,727	30,372	27,773	31,252	27,773
DP Services, Rentals and Maintenance	80,321	81,088	82,848	81,088	85,251	81,088
Postage	74,415	75,124	76,753	75,124	78,978	75,124
Travel	97,572	98,504	100,641	98,504	103,560	98,504
Other Contractual Services	9,475	9,566	9,773	9,566	10,057	9,566
Advertising and Marketing	1,756	1,773	1,811	1,773	1,864	1,773
Printing & Binding	35,098	35,433	36,202	35,433	37,252	35,433
<u>Other Expenses-Commodities</u>						
Books	205	207	211	207	217	207
Maintenance and Motor Vehicle Supplies	6,399	6,460	6,946	6,460	7,142	6,460
Fuel	12,362	12,480	17,153	12,480	18,749	12,480
Office Supplies	172,741	174,391	176,319	172,926	180,950	172,926
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	8,422	8,501	0	0	0	0
Sundry - Other Items	799,090	293,820	3,692,175	3,645,889	3,741,067	3,645,889
<b>TOTAL-Other Expenses Gross</b>	<b>3,691,215</b>	<b>3,726,563</b>	<b>5,868,682</b>	<b>5,575,149</b>	<b>5,984,647</b>	<b>5,575,149</b>
Less Reimbursements						
<b>TOTAL-Other Expenses Net</b>	<b>3,691,215</b>	<b>3,726,563</b>	<b>5,868,682</b>	<b>5,575,149</b>	<b>5,984,647</b>	<b>5,575,149</b>

Other Current Expenses

Basic Skills Exam Teachers in Training	680,000	1,270,775	1,304,324	0*	1,351,979	0*
Teachers' Standards Implementation Pgm	3,141,508	3,096,508	3,156,508	0*	3,156,508	0*
Early Childhood Program	5,153,146	6,022,489	6,748,095	0	6,761,533	0
Admin - Magnet Schools	228,857	0	0	0	0	0
Admin - Adult Basic Education	923,480	0	0	0	0	0
Develop of Mastery Exams Grades 4,6&8	19,220,938	19,050,559	20,148,978	0*	20,974,161	0*
Admin - Interdistrict Cooperative Program	110,813	0	0	0	0	0
Primary Mental Health	481,630	507,294	518,302	427,209	533,333	427,209
Admin - Youth Service Bureaus	58,945	0	0	0	0	0
Leadership,Educ, Athletics-Partnership	726,750	765,000	781,601	0	804,267	0
Adult Education Action	143,867	240,687	240,687	240,687	240,687	240,687
Connecticut Pre-Engineering Program	262,500	262,500	268,196	0	275,974	0
Connecticut Writing Project	47,500	0	0	0	0	0
Resource Equity Assessment	171,731	299,683	306,513	0*	314,032	0*
Neighborhood Youth Centers	1,371,386	1,338,300	1,367,341	0	1,406,994	0
Longitudinal Data Systems	1,467,022	1,500,000	1,532,550	0*	1,576,994	0*
School Accountability	2,076,832	2,201,405	2,249,394	0*	2,315,156	0*
Sheff Settlement	8,030,955	14,293,799	9,382,728	9,259,263	9,540,510	9,409,526
Admin - After School Program	179,986	0	0	0	0	0
CommPACT Schools	676,875	0	0	0	0	0
Community Plans for Early Childhood	450,000	450,000	459,765	0	473,098	0
Improving Early Literacy	150,000	150,000	150,000	0	150,000	0
Parent Trust	500,000	500,000	500,000	0	500,000	0
Regional Vocational-Technical School Sys	140,804,239	134,841,977	150,383,303	146,551,879	160,425,684	155,632,696
Child Care Services	18,313,103	18,419,752	18,419,752	0	18,419,752	0
Science Pgm-Educational Reform Districts	0	455,000	464,874	0	478,355	0
Wrap Around Services	0	450,000	920,000	0*	1,450,000	0*
Parent Universities	0	250,000	250,000	0	250,000	0
School Health Coordinator Pilot	0	200,000	200,000	0	200,000	0
Commissioner's Network	0	7,500,000	16,600,000	0*	24,350,000	0*
Technical Assistance-Regional Cooperation	0	100,000	102,170	0	105,133	0
New or Replicated Schools	0	200,000	204,340	0*	210,266	0*
Bridges to Success	0	712,500	712,500	0*	712,500	0*
K-3 Reading Assessment Pilot	0	2,700,000	2,700,000	0*	2,700,000	0*
Talent Development	0	3,500,000	15,000,000	0*	15,000,000	0*
School Improvement	0	0	0	59,440,949	0	68,397,453
TOTAL-Other Current Expenses	205,372,063	221,278,228	255,071,921	215,919,987	274,676,916	234,107,571
<u>Pmts to Other Than Local Govts</u>						
American School for the Deaf	9,768,242	10,264,242	10,676,242	10,659,030	11,169,242	11,152,030
Regional Education Services	1,362,883	1,384,613	1,414,659	1,166,026	1,455,684	1,166,026
Head Start Services	2,748,150	2,748,150	2,807,785	0	2,889,211	0
Head Start Enhancement	1,773,000	1,773,000	1,811,474	0	1,864,007	0
Family Resource Centers	5,739,414	7,981,488	8,154,686	7,582,414	8,391,172	7,582,414
Charter Schools	56,926,400	0	0	0	0	0
Youth Service Bureau Enhancement	620,300	620,300	620,300	620,300	620,300	620,300
Head Start - Early Childhood Link	2,090,000	2,090,000	2,135,353	0	2,197,278	0
Institutional Student Aid	743,018	0	0	0	0	0
Child Nutrition State Match	2,354,815	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
Health Foods Initiative	3,710,313	3,613,997	4,093,818	0	4,238,514	0
EvenStart	475,000	500,000	500,000	0	500,000	0
TOTAL-Pmts to Other Than Local Govts	88,311,535	33,329,790	34,568,317	22,381,770	35,679,408	22,874,770
<u>Pmts to Local Governments</u>						
Vocational Agriculture	5,060,565	6,485,565	6,626,302	6,485,565	6,818,465	6,485,565
Transportation of School Children	25,784,748	24,884,748	84,699,059	5,000,000	86,977,464	5,000,000
Adult Education	20,002,008	21,025,690	22,894,506	21,033,915	23,546,267	21,045,036
Health Serv for Pupils Private Schools	4,297,500	4,297,500	6,841,788	4,297,500	7,040,984	4,297,500
Education Equalization Grants	1,889,228,795	2,007,594,057	2,020,742,657	2,140,230,922	2,035,265,657	2,206,532,648
Bilingual Education	1,890,476	1,916,130	1,957,710	1,916,130	2,014,484	1,916,130
Priority School Districts	115,787,015	121,875,581	121,965,960	46,057,206	121,209,452	45,577,022
Young Parents Program	192,348	229,330	234,306	229,330	241,101	229,330



Budget-in-Detail

Interdistrict Cooperation	10,800,765	10,131,935	10,364,034	4,346,369	10,368,044	4,350,379
School Breakfast Program	2,106,778	2,220,303	2,300,041	2,300,041	2,379,962	2,379,962
Excess Cost - Student Based	139,828,738	139,805,731	177,323,378	139,805,731	185,905,829	139,805,731
Non-Public School Transportation	3,595,500	3,595,500	4,649,637	3,595,500	4,724,961	3,595,500
School to Work Opportunities	200,000	213,750	218,388	213,750	224,721	213,750
Youth Service Bureaus	2,888,323	2,989,268	3,060,258	2,989,268	3,142,706	2,989,268
OPEN Choice Program	21,204,566	22,090,956	35,012,344	35,018,594	42,515,736	40,616,736
Magnet Schools	206,742,076	242,361,711	274,999,020	270,449,020	290,800,025	286,250,025
After School Program	4,095,000	4,500,000	4,597,650	0	4,730,982	0
School Readiness Quality Enhancement	1,043,172	4,100,678	4,189,663	0	4,311,163	0
MUNICIPAL AID FOR NEW EDUCATORS	0	0	1,000,000	0	2,000,000	0
TOTAL-Pmnts to Local Governments	2,454,748,373	2,620,318,433	2,783,676,701	2,683,968,841	2,834,218,003	2,771,284,582
<i>Nonfunctional - Change to Accruals</i>	0	0	0	767,244	0	1,055,616

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	17,262,169	19,950,048	19,903,087	19,592,389	17,726,383	20,834,875	20,539,215	18,622,632
Other Expenses Net	3,691,215	3,726,563	5,868,682	5,868,347	5,575,149	5,984,647	5,984,089	5,575,149
Capital Outlay	0	1	7,590,750	1	1	540,750	1	1
Other Current Expenses	205,372,063	221,278,228	255,071,921	236,357,530	215,919,987	274,676,916	247,667,487	234,107,571
Payments to Other Than Local Governments	88,311,535	33,329,790	34,568,317	34,568,317	22,381,770	35,679,408	35,679,408	22,874,770
Payments to Local Governments	2,454,748,373	2,620,318,433	2,783,676,701	2,779,490,728	2,683,968,841	2,834,218,003	2,821,743,816	2,771,284,582
Nonfunctional - Change to Accruals	0	0	0	1,081,574	767,244	0	1,037,672	1,055,616
TOTAL-General Fund Net	2,769,385,355	2,898,603,063	3,106,679,458	3,076,958,886	2,946,339,375	3,171,934,599	3,132,651,688	3,053,520,321
<u>Additional Funds Available</u>								
Federal and Other Activities	555,771,957	460,676,515	460,518,725	460,210,673	444,442,745	460,518,725	460,210,673	439,499,143
Private Funds	3,383,554	6,773,681	3,273,681	3,273,681	3,273,681	3,273,681	3,273,681	3,273,681
TOTAL-All Funds Net	3,328,540,866	3,366,053,259	3,570,471,864	3,540,443,240	3,394,055,801	3,635,727,005	3,596,136,042	3,496,293,145

# OFFICE OF EARLY CHILDHOOD

## AGENCY DESCRIPTION

Public Act 11-181 called for the establishment of a coordinated system of early care and education and child development. The

Office of Early Childhood will coordinate educational opportunities and early childhood services to better serve children birth to age 8.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reallocations or Transfers</b>	<b>FY 2014</b>	<b>FY 2015</b>	
<ul style="list-style-type: none"> <li>● <b>Reallocate Early Childhood Education Programs from the State Department of Education</b></li> </ul> <p><i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Early Childhood Program, School Readiness, Child Care Services, Head Start, Quality Enhancement funding and programs used to garner private matching funds are moved to the new agency in FY 2014. The early childhood special education program, funded through federal funds, is moved in FY 2015.</i></p>	111,803,073	111,384,715	
<ul style="list-style-type: none"> <li>● <b>Reallocate Child Care Programs from the Department of Social Services</b></li> </ul> <p><i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Care 4 Kids program and the remaining quality enhancement funds are transferred in FY 2014. The Children's Trust Fund is moved in FY 2015.</i></p>	102,398,434	116,179,435	
<ul style="list-style-type: none"> <li>● <b>Reallocate Connecticut Charts a Course from the Board of Regents</b></li> </ul> <p><i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. Staff working at Connecticut Charts a Course are transferred in FY 2014.</i></p>	473,657	486,499	
<ul style="list-style-type: none"> <li>● <b>Reallocate Birth to Three from the Department of Developmental Services</b></li> </ul> <p><i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The Birth to Three program is transferred in FY 2015.</i></p>	0	37,991,718	
<ul style="list-style-type: none"> <li>● <b>Transfer the Child Care Regulatory Function from the Department of Public Health</b></li> </ul> <p><i>Funding and positions are transferred to the new Office of Early Childhood to better align early childhood programs as required by PA 11-181. The child care regulatory function is transferred in FY 2015. In addition, the federal Home Visiting grant will be transferred to this new agency in FY 2015.</i></p>	0	2,276,721	
<ul style="list-style-type: none"> <li>● <b>Streamline Agency Account Structure</b></li> </ul> <p><i>In order to reduce the number of accounts and provide the agency with more flexibility in executing programs, accounts have been consolidated:</i></p> <p><i>(A) Children's Trust Fund, Community Plans for Early Childhood, Improving Early Literacy, and Parent Trust Fund Program have been consolidated into a new account called Community &amp; Family Programs.</i></p> <p><i>(B) Child Care Services, Child Care Quality Enhancements and a portion of School Readiness Quality Enhancement have been consolidated into a new account called Child Care Services &amp; Quality Enhancement.</i></p> <p><i>(C) Head Start Services, Head Start Enhancement and Head Start - Early Childhood Link have been consolidated into a new account called Head Start.</i></p> <p><i>(D) School Readiness and a portion of School Readiness Quality Enhancement have been consolidated into a new account called School Readiness &amp; Quality Enhancement.</i></p>	0	0	
<b>New or Expanded Services</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
<ul style="list-style-type: none"> <li>● <b>Provide Funding for the New Office of Early Childhood</b></li> </ul> <p><i>An Office of Early Childhood, within the State Department of Education for administrative purposes only, is established to better align early childhood programs as required by PA 11-181. Funding for four leadership and administrative positions, consulting services, and increased funding to garner private matching funding in early childhood are provided.</i></p>	774,747	935,839	967,019
<b>Technical Adjustments</b>			
<ul style="list-style-type: none"> <li>● <b>Revise GAAP Accrual Amounts</b></li> </ul>	11,895,804	2,984,766	

**AGENCY PROGRAMS**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	0	0	0	0	0	17	0	71
Federal and Other Activities	0	0	0	0	0	3	0	8

<i>Other Positions Equated to Full Time</i>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	1	0	1

<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Early Childhood Education Program	0	0	0	0	231,257,839	0	0	303,516,308
TOTAL Agency Programs - All Funds Gross	0	0	0	0	231,257,839	0	0	303,516,308
Nonfunctional - Change to Accruals	0	0	0	0	11,895,804	0	0	2,984,766
TOTAL Agency Programs - All Funds Net	0	0	0	0	243,153,643	0	0	306,501,074
<b>Summary of Funding</b>								
General Fund Net	0	0	0	0	227,345,715	0	0	272,239,693
Federal and Other Activities	0	0	0	0	15,807,928	0	0	34,261,381
TOTAL Agency Programs - All Funds Net	0	0	0	0	243,153,643	0	0	306,501,074

**EARLY CHILDHOOD EDUCATION PROGRAM**

**Statutory Reference**

C.G.S. Sections 10-16n to 10-16r, 10-16u 10-16w, 10-16z, 10-16aa, 17b-705, 17b-733, 17b749, 17b-12, 17b-751, 17b-751d, 17b-751e, and 17a-248 to 17a-248g and PA 11-181.

**Statement of Need and Program Objectives:**

To ensure a coordinated system of early childhood programs.

**Program Description**

The primary purpose of the program is to provide a coordinated system of delivery for programs affecting early childhood. Various programs from other agencies are reallocated to this new agency to ensure that services are coordinated and to reduce any redundancies that may occur when programs are offered through different agencies.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Child Care Services contracted slots:				
Infant/Toddler			1,273	1,273
Pre-school			2,747	2,747
School age			236	236
School Readiness			10,586	10,586
Birth to Three (Children with IFSPs on June 1 of each year)				4,600
Care 4 Kids Program (monthly averages):				
TFA families / Children				1,483 / 2,217
Employed former TFA Families / Children				3,326 / 5,629
Non-TFA Teen Parents / Children				35 / 37
Employed Non-TFA Families / Children				9,427 / 13,713

**EARLY CHILDHOOD EDUCATION PROGRAM**

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	0	0	0	0	0	17	0	71
Federal and Other Activities	0	0	0	0	0	3	0	8

<i>Other Positions Equated to Full Time</i>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	1	0	1

<b>Financial Summary</b> <b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	0	0	0	1,795,967	0	0	5,329,561
Other Expenses	0	0	0	0	291,000	0	0	495,000
<u>Capital Outlay</u>								
Equipment	0	0	0	0	1	0	0	1
<u>Other Current Expenses</u>								
Early Childhood Program	0	0	0	0	6,748,003	0	0	6,761,345
Early Intervention	0	0	0	0	0	0	0	37,286,804
Community & Family Programs	0	0	0	0	1,250,000	0	0	11,963,768
Child Care Services & Quality Enhancement	0	0	0	0	24,474,567	0	0	24,474,567
<u>Pmts to Other Than Local Governments</u>								
Child Care Services - TANF/CCDBG	0	0	0	0	98,967,400	0	0	101,489,658
Head Start	0	0	0	0	6,055,148	0	0	6,055,148
<u>Pmts to Local Governments</u>								
School Readiness & Quality Enhancement	0	0	0	0	75,867,825	0	0	75,399,075
TOTAL-General Fund	0	0	0	0	215,449,911	0	0	269,254,927
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
ACA MIE Childhood Home Visitin	0	0	0	0	0	0	0	8,677,222
Affordable Care Act (ACA) Maternal, Infant	0	0	0	0	0	0	0	1,026,087
Birth To Three Systems FY 2002	0	0	0	0	0	0	0	1,000,000
Children's Trust Fund Council	0	0	0	0	0	0	0	864,640
Handicapped Pre-School Incentive Grant	0	0	0	0	0	0	0	4,810,504
Head Start State Collaboration	0	0	0	0	110,000	0	0	110,000
Infant & Toddlers-Disabilities	0	0	0	0	0	0	0	2,000,000
Prof Dev Early Childhood	0	0	0	0	0	0	0	75,000
SSBG Child Day Care	0	0	0	0	15,697,928	0	0	15,697,928
TOTAL - All Funds	0	0	0	0	231,257,839	0	0	303,516,308

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	0	0	0	1,795,967	0	5,996,352
TOTAL-Personal Services Gross	0	0	0	1,795,967	0	5,996,352
Less Reimbursements	0	0	0	0	0	-666,791
Less Turnover						
TOTAL-Personal Services Net	0	0	0	1,795,967	0	5,329,561
<u>Other Expenses-Contractual Services</u>						
Rentals, Storage and Leasing	0	0	0	15,000	0	48,626
Fees for Outside Professional Services	0	0	0	200,000	0	200,000
Postage	0	0	0	5,000	0	16,209
Travel	0	0	0	25,000	0	81,044
Printing & Binding	0	0	0	16,000	0	51,868
<u>Other Expenses-Commodities</u>						
Office Supplies	0	0	0	30,000	0	97,253
TOTAL-Other Expenses Gross	0	0	0	291,000	0	495,000
Less Reimbursements						
TOTAL-Other Expenses Net	0	0	0	291,000	0	495,000
<u>Other Current Expenses</u>						
Early Childhood Program	0	0	0	6,748,003	0	6,761,345
Early Intervention	0	0	0	0	0	37,286,804
Community & Family Programs	0	0	0	1,250,000	0	11,963,768
Child Care Services & Quality Enhancement	0	0	0	24,474,567	0	24,474,567
TOTAL-Other Current Expenses	0	0	0	32,472,570	0	80,486,484

Budget-in-Detail

Pmts to Other Than Local Govts

Child Care Services - TANF/CCDBG	0	0	0	98,967,400	0	101,489,658
Head Start	0	0	0	6,055,148	0	6,055,148
TOTAL-Pmts to Other Than Local Govts	0	0	0	105,022,548	0	107,544,806

Pmts to Local Governments

School Readiness & Quality Enhancement	0	0	0	75,867,825	0	75,399,075
TOTAL-Pmts to Local Governments	0	0	0	75,867,825	0	75,399,075

<u>Nonfunctional - Change to Accruals</u>	0	0	0	11,895,804	0	2,984,766
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**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	0	0	0	1,795,967	0	0	5,329,561
Other Expenses Net	0	0	0	0	291,000	0	0	495,000
Capital Outlay	0	0	0	0	1	0	0	1
Other Current Expenses	0	0	0	0	32,472,570	0	0	80,486,484
Payments to Other Than Local Governments	0	0	0	0	105,022,548	0	0	107,544,806
Payments to Local Governments	0	0	0	0	75,867,825	0	0	75,399,075
Nonfunctional - Change to Accruals	0	0	0	0	11,895,804	0	0	2,984,766
TOTAL-General Fund Net	0	0	0	0	227,345,715	0	0	272,239,693
<u>Additional Funds Available</u>								
Federal and Other Activities	0	0	0	0	15,807,928	0	0	34,261,381
TOTAL-All Funds Net	0	0	0	0	243,153,643	0	0	306,501,074

# CONNECTICUT STATE LIBRARY

## AGENCY DESCRIPTION

The mission of the Connecticut State Library (CSL) is to provide high quality library and information services to state government and the citizens of Connecticut. The CSL preserves and makes accessible the

records of Connecticut's history and heritage and promotes the advancement of development of library services statewide.

## AGENCY PROGRAM INDEX

The Library	392	Administrative Services Group	394
Library Development	392		
Museum of Connecticut History	393		

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>FY 2014</b>	<b>FY 2015</b>
• Remove or Limit Inflation	-136,996	-287,780
• Remove Funding for Salary Increases for Appointed Officials	-7,726	-16,230
• Annualize FY 2013 Rescissions	-145,595	-145,595
• Annualize FY 2013 Deficit Mitigation Plan	-146,130	-146,130
<i>Reduce funding for the State-Wide Digital Library and Legal/Legislative Library Materials accounts.</i>		
• Reduce Connecticut Payments	-200,000	-200,000
• Eliminate Funding for Computer Access Program	-180,500	-180,500
• Reduce Connecticut Humanities Council Funding	-107,882	-107,882
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-2,880	-2,880
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-1,272	-623

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	52	3	0	55	55	55	55	55
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Library Development	9,256,244	9,160,192	9,419,760	9,397,373	8,911,274	9,681,631	9,644,450	9,025,935
Museum of Connecticut History	813,840	827,295	833,254	793,176	792,909	840,977	800,234	799,679
Administrative Services Group	3,772,915	5,783,894	5,862,961	5,849,599	5,408,256	6,003,157	5,984,108	5,516,181
TOTAL Agency Programs - All Funds Gross	13,842,999	15,771,381	16,115,975	16,040,148	15,112,439	16,525,765	16,428,792	15,341,795
Less Turnover	0	0	0	-102,218	-102,218	0	-106,783	-106,783
Nonfunctional - Change to Accruals	0	0	0	23,454	22,182	0	31,572	30,949
TOTAL Agency Programs - All Funds Net	13,842,999	15,771,381	16,115,975	15,961,384	15,032,403	16,525,765	16,353,581	15,265,961
<b>Summary of Funding</b>								
General Fund Net	10,618,404	12,735,681	13,075,275	12,960,684	12,031,703	13,485,065	13,352,881	12,265,261
Federal and Other Activities	2,159,137	2,075,000	2,075,000	2,050,000	2,050,000	2,075,000	2,050,000	2,050,000
Private Funds	1,065,458	960,700	965,700	950,700	950,700	965,700	950,700	950,700
TOTAL Agency Programs - All Funds Net	13,842,999	15,771,381	16,115,975	15,961,384	15,032,403	16,525,765	16,353,581	15,265,961

## THE LIBRARY

### **Statutory Reference**

C.G.S. Sections 11-1a, 11-3, 11-4, 11-9c, 11-9d, 11-10a thru 11-19c.

### **Statement of Need and Program Objectives**

To provide comprehensive library information in the areas of law and legislation; public administration and policy; state, federal and local government; Connecticut history, genealogy, newspapers, and the state's archives to state government decision-makers and to the citizens of the state.

### **Program Description**

The CSL provides information services to state government and the public by acquiring and organizing local, state and federal documents, legal and legislative resources, newspaper, historical, genealogical and special format resource collections; by using the latest technologies to classify, index, search, retrieve and deliver the information contained in these collections to the citizens of the state.

*The Government Information Unit* organizes and delivers information services to state government and citizens by developing public policy collections; managing the U.S. document depository system of 29 libraries in Connecticut and Rhode Island; administering a Connecticut documents network of 12 libraries throughout the state;

and identifying and adding electronic publications to the Connecticut Digital Archive.

*The History and Genealogy Unit* collects information resources related to the history of Connecticut and New England; assists clients performing historical and genealogical research and provides access services including reference, retrieval and shelving for the State Archives collection.

*The Law/Legislative Unit* serves as the law library for state government agencies and the Supreme Court; paginates, indexes and provides access to the transcripts of the General Assembly; provides legislative bill status information for state agencies and the public; indexes all General Assembly bills and maintains a permanent file of all proposed legislation.

*The Library for the Blind and Physically Handicapped* circulates talking books, tape players and Braille materials to over 10,000 Connecticut citizens each year and provides toll-free reader advisory assistance and information services.

*The Discovery & Delivery Services and Collection Services Groups* manage the acquisition, classification and processing of materials for all agency collections; and repairs and preserves library materials, including archives, manuscripts, maps, photographs and government documents.

## LIBRARY DEVELOPMENT

### **Statutory Reference**

C.G.S. Sections 4d-80(C), 4d-82, 11-1a, 11-2a, 11-9c thru 11-9f, 11-23a thru 11-26.

### **Statement of Need and Program Objectives**

The Division of Library Development provides leadership, funding, education and statewide services that enhance a local library's ability to deliver high-quality library service to the community. The State Library, through the Division of Library Development, administers the federal Library Services and Technology Act.

### **Program Description**

[iCONN](http://iCONN), [www.iconn.org](http://www.iconn.org) the Connecticut research engine, provides all students, faculty and residents with online access to essential library and information resources. It is administered in conjunction with the Office of Higher Education. Through iCONN, a core level of information resources, including secured access to licensed databases, is available to every citizen in Connecticut. Connecticut residents and students viewed a full text article or other resource 8,925,243 times, a 17.9% increase over the previous year. iCONN also provides web access to a statewide catalog of library holdings and interlibrary loan services.

*Connecticar* is a statewide delivery service for library materials. Handling over 3 million items each year, it is the backbone of resource sharing among Connecticut's public and academic libraries.

*Connecticard* is a cooperative program among the state's public libraries, administered by the State Library under Section 11-31 of the General Statutes of Connecticut that allows any resident of the state to use the borrower card issued by his or her home public library to borrow from any other public library in the state. The division administers an annual grant program to reimburse libraries for services to non-residents.

*Library Service Centers* support the development of Connecticut public and school libraries by providing training, consultation and professional materials; providing access to essential library resources and maximizing local library funding through resource sharing.

*The Public Library Construction* grant program provides funds for public library construction and consulting assistance to libraries on space planning, accessibility and building programs. Grants are awarded to 164 public libraries.

*Statistics* are collected, organized and published on various aspects of the state's public libraries. Publications include *Connecticut's Public Libraries: A Statistical Profile*. In addition, the division works with the National Center for Education Statistics in its national data collection activities.

**LIBRARY DEVELOPMENT****Personnel Summary**

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	27	1	0	28	28	28	28	28

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,395,431	2,285,812	2,443,806	2,442,819	2,439,126	2,553,961	2,551,894	2,544,136
Other Expenses	105,927	111,764	107,864	105,761	101,156	110,993	109,578	101,156
<u>Other Current Expenses</u>								
State-Wide Digital Library	1,548,629	2,094,590	2,146,135	2,146,135	1,989,860	2,199,788	2,199,788	1,989,860
Interlibrary Loan Delivery Service	245,154	275,751	258,471	258,471	258,471	268,122	268,122	268,122
Legal/Legislative Library Materials	777,495	827,992	865,256	845,959	786,592	904,191	870,492	786,592
State-Wide Data Base Program	574,696	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Support Cooperating Library Serv Units	332,500	350,000	357,595	357,595	332,500	367,965	367,965	332,500
<u>Pmts to Local Governments</u>								
Grants to Public Libraries	207,692	214,283	218,933	218,933	203,569	225,282	225,282	203,569
Connecticard Payments	1,000,000	1,000,000	1,021,700	1,021,700	800,000	1,051,329	1,051,329	800,000
TOTAL-General Fund	7,187,524	7,160,192	7,419,760	7,397,373	6,911,274	7,681,631	7,644,450	7,025,935
<u>Additional Funds Available</u>								
Private Funds	10,176	0	0	0	0	0	0	0
<b>Federal Contributions</b>								
84034 Public Library Services	2,058,544	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
TOTAL - All Funds	9,256,244	9,160,192	9,419,760	9,397,373	8,911,274	9,681,631	9,644,450	9,025,935

**MUSEUM OF CONNECTICUT HISTORY****Statutory Reference**

C.G.S. Section 11-6a.

**Statement of Need and Program Objectives**

To connect the experience of residents and museum visitors to the artifacts, images and documents of the past, increasing awareness of and pride in the state's political, military and industrial history and building commitment to preserving and sharing its cultural heritage.

**Program Description**

The Raymond E. Baldwin Museum of Connecticut History and Heritage collects, preserves and exhibits artifacts relevant to the political, industrial and military history of Connecticut from the colonial era to the present. Through permanent, temporary and traveling exhibitions, the museum provides its 24,500 annual visitors the opportunity to explore a wide variety of topics in Connecticut history emphasizing original objects, images and written materials.

**MUSEUM OF CONNECTICUT HISTORY****Personnel Summary**

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	2	0	0	2	2	2	2	2

**Financial Summary****(Net of Reimbursements)**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	158,741	151,611	157,555	157,491	157,253	165,258	164,524	164,023
Other Expenses	649	684	699	685	656	719	710	656
TOTAL-General Fund	159,390	152,295	158,254	158,176	157,909	165,977	165,234	164,679
<u>Additional Funds Available</u>								
Private Funds	649,450	650,000	650,000	635,000	635,000	650,000	635,000	635,000
<b>Federal Contributions</b>								
89003 National Historical Publications & Records Grants	5,000	25,000	25,000	0	0	25,000	0	0
TOTAL - All Funds	813,840	827,295	833,254	793,176	792,909	840,977	800,234	799,679



## STATE ARCHIVES AND OFFICE OF PUBLIC RECORDS

**Statutory Reference**

C.G.S. Sections 1-7 through 1-18,4-193, 7-14, 7-22a, 7-23 thru 7-32, 7-109, 7-110, 11-1c, 11-4c, 11-6, 11-6a, 11-8 to 11-8n, 45a-10.

**Statement of Need and Program Objectives**

Serves as the public records office and the archives for the state of Connecticut with responsibility for managing and preserving the state's historical record.

**Program Description**

The public records and archival program addresses the life cycle of public records from inception through access to preservation and storage.

The Public Records office preserves and conserves state and local historical documents and vital records essential to the conduct of government business; develops records management standards for state and local government agencies and administers the Historic Documents Preservation Grant program.

State Archives appraises, acquires, organizes, preserves and makes available for research records of Connecticut state and local governments and maintains a collection of non-governmental records that document Connecticut history.

## ADMINISTRATIVE SERVICES GROUP

**Statutory Reference**

C.G.S. Sections 4-24, 11-1 thru 11-43.

**Statement of Need and Program Objectives**

To ensure that the State Library's services and mandates are provided in the most efficient and productive manner.

**Program Description**

The State Library receives human resource and business services through the SmART Unit and the DAS Business Office. The Director of Administrative Services coordinates business activities with DAS and oversees information technology and other operational support services. Together with the State Librarian, monitors the agency's programs, provides overall direction, strategic planning, and financial management.

## ADMINISTRATIVE SERVICES GROUP

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	23	2	0	25	25	25	25	25

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<b>(Net of Reimbursements)</b>								
Personal Services	2,613,953	2,477,653	2,511,622	2,510,607	2,506,812	2,628,717	2,622,708	2,614,737
Other Expenses	566,682	597,907	633,256	620,908	593,873	656,357	643,316	593,873
<b>Capital Outlay</b>								
Equipment	0	1	0	1	1	0	1	1
<b>Other Current Expenses</b>								
Legal/Legislative Library Materials	355	0	0	0	0	0	0	0
Computer Access	90,500	190,000	194,750	194,750	0	194,750	194,750	0
<b>Pmts to Local Governments</b>								
Connecticut Humanities Council	0	2,157,633	2,157,633	2,157,633	1,941,870	2,157,633	2,157,633	1,941,870
<b>TOTAL-General Fund</b>	<b>3,271,490</b>	<b>5,423,194</b>	<b>5,497,261</b>	<b>5,483,899</b>	<b>5,042,556</b>	<b>5,637,457</b>	<b>5,618,408</b>	<b>5,150,481</b>
<b>Additional Funds Available</b>								
Private Funds	405,832	310,700	315,700	315,700	315,700	315,700	315,700	315,700
<b>Federal Contributions</b>								
45312 National Leadership Grants	54,507	50,000	50,000	50,000	50,000	50,000	50,000	50,000
84034 Public Library Services	40,786	0	0	0	0	0	0	0
89003 National Historical Publications & R	300	0	0	0	0	0	0	0
<b>TOTAL - All Funds</b>	<b>3,772,915</b>	<b>5,783,894</b>	<b>5,862,961</b>	<b>5,849,599</b>	<b>5,408,256</b>	<b>6,003,157</b>	<b>5,984,108</b>	<b>5,516,181</b>

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	4,023,284	3,826,272	3,970,726	4,030,722	4,154,807	4,202,166
Other Positions	1,030,487	980,030	1,024,132	1,024,132	1,070,218	1,070,218
Other	113,271	107,744	117,049	47,261	121,787	49,388
Overtime	1,083	1,030	1,076	1,076	1,124	1,124
TOTAL-Personal Services Gross	5,168,125	4,915,076	5,112,983	5,103,191	5,347,936	5,322,896
Less Reimbursements						
Less Turnover	0	0	0	-102,218	0	-106,783
TOTAL-Personal Services Net	5,168,125	4,915,076	5,112,983	5,000,973	5,347,936	5,216,113
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	26,839	28,310	28,924	28,310	29,763	28,310
Utility Services	74,571	78,657	82,441	78,657	87,517	78,657
Rentals, Storage and Leasing	199,331	210,251	214,814	208,251	221,044	208,251
Telecommunication Services	46,114	48,640	49,695	48,640	51,136	48,640
General Repairs	50,156	52,903	54,052	52,903	55,621	52,903
Motor Vehicle Expenses	5,444	5,742	5,867	5,742	6,037	5,742
Fees for Outside Professional Services	2,652	2,797	2,858	2,797	2,941	2,797
Fees for Non-Professional Services	35,777	54,080	52,940	54,080	54,476	54,080
DP Services, Rentals and Maintenance	104,635	110,368	112,764	110,368	116,035	110,368
Postage	3,557	3,752	3,834	3,752	3,945	3,752
Travel	3,918	4,183	4,274	4,183	4,397	4,183
Other Contractual Services	8,081	9,042	9,102	9,042	9,367	9,042
Printing & Binding	6,377	6,727	6,873	6,727	7,072	6,727
<u>Other Expenses-Commodities</u>						
Books	11,021	11,790	0	0	0	0
Maintenance and Motor Vehicle Supplies	54,995	58,007	62,056	58,007	63,811	58,007
Fuel	22,711	23,955	32,924	23,955	35,989	23,955
Office Supplies	15,575	16,429	16,786	15,549	17,273	15,549
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	1,338	1,411	1,436	1,411	1,461	1,411
Sundry - Other Items	166	175	179	175	184	175
TOTAL-Other Expenses Gross	673,258	727,219	741,819	712,549	768,069	712,549
Less Reimbursements	0	-16,864	0	-16,864	0	-16,864
TOTAL-Other Expenses Net	673,258	710,355	741,819	695,685	768,069	695,685
<u>Other Current Expenses</u>						
State-Wide Digital Library	1,548,629	2,094,590	2,146,135	1,989,860	2,199,788	1,989,860
Interlibrary Loan Delivery Service	245,154	275,751	258,471	258,471	268,122	268,122
Legal/Legislative Library Materials	777,850	827,992	865,256	786,592	904,191	786,592
State-Wide Data Base Program	574,696	0	0	0	0	0
Computer Access	90,500	190,000	194,750	0	194,750	0
TOTAL-Other Current Expenses	3,236,829	3,388,333	3,464,612	3,034,923	3,566,851	3,044,574
<u>Pmts to Other Than Local Govts</u>						
Support Cooperating Library Serv Units	332,500	350,000	357,595	332,500	367,965	332,500
TOTAL-Pmts to Other Than Local Govts	332,500	350,000	357,595	332,500	367,965	332,500
<u>Pmts to Local Governments</u>						
Grants to Public Libraries	207,692	214,283	218,933	203,569	225,282	203,569
Connecticard Payments	1,000,000	1,000,000	1,021,700	800,000	1,051,329	800,000
Connecticut Humanities Council	0	2,157,633	2,157,633	1,941,870	2,157,633	1,941,870
TOTAL-Pmts to Local Governments	1,207,692	3,371,916	3,398,266	2,945,439	3,434,244	2,945,439
<u>Nonfunctional - Change to Accruals</u>						
	0	0	0	22,182	0	30,949

Budget-in-Detail

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	5,168,125	4,915,076	5,112,983	5,008,699	5,000,973	5,347,936	5,232,343	5,216,113
Other Expenses Net	673,258	710,355	741,819	727,354	695,685	768,069	753,604	695,685
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	3,236,829	3,388,333	3,464,612	3,445,315	3,034,923	3,566,851	3,533,152	3,044,574
Payments to Other Than Local Governments	332,500	350,000	357,595	357,595	332,500	367,965	367,965	332,500
Payments to Local Governments	1,207,692	3,371,916	3,398,266	3,398,266	2,945,439	3,434,244	3,434,244	2,945,439
Nonfunctional - Change to Accruals	0	0	0	23,454	22,182	0	31,572	30,949
<b>TOTAL-General Fund Net</b>	<b>10,618,404</b>	<b>12,735,681</b>	<b>13,075,275</b>	<b>12,960,684</b>	<b>12,031,703</b>	<b>13,485,065</b>	<b>13,352,881</b>	<b>12,265,261</b>
<b><u>Additional Funds Available</u></b>								
Federal and Other Activities	2,159,137	2,075,000	2,075,000	2,050,000	2,050,000	2,075,000	2,050,000	2,050,000
Private Funds	1,065,458	960,700	965,700	950,700	950,700	965,700	950,700	950,700
<b>TOTAL-All Funds Net</b>	<b>13,842,999</b>	<b>15,771,381</b>	<b>16,115,975</b>	<b>15,961,384</b>	<b>15,032,403</b>	<b>16,525,765</b>	<b>16,353,581</b>	<b>15,265,961</b>

# OFFICE OF HIGHER EDUCATION

## AGENCY DESCRIPTION

The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into: the University of Connecticut, its five regional branch campuses and health center; and the Board of Regents, including the four regional Connecticut State Universities, the twelve Connecticut Community-Technical Colleges, and Charter Oak State College, the state's only external degree-granting institution. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.

The Office of Higher Education was re-organized in July 2011 to administer programs supporting Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational and hospital-based schools; three student financial aid programs for Connecticut undergraduates, in addition to programs for teachers and lawyers; and programs for students through the Minority Advancement Program, the Commission on Community Service, the and Teacher Quality Partnership Grants.

In fall of 2012, a record 202,858 students enrolled in Connecticut's public and independent colleges and universities. At the same time, the state's institutions of higher education awarded 42,590 degrees. In the last twenty years, the number of degrees awarded per 100,000 population has increased 24 percent. More people – high school graduates, adults, women and members of minority groups – are entering and completing post-secondary education than ever before. Maintaining accessibility and affordability in higher education in these difficult economic times is crucial for the benefit of society and the economy.

<b>Outcome Measure</b>		
	1992	2012
Degrees Conferred per 100,000 Population	901	1,113

## AGENCY PROGRAM INDEX

Coordination of Higher Education	398
Scholarships & Fellowships	399

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-1,007,779	-2,387,329
• Remove Funding for Salary Increases for Appointed Officials	-8,304	-17,445
• Annualize FY 2013 Rescissions	-1,328,781	-1,328,781
• Annualize FY 2013 Deficit Mitigation Plan	-455,101	-455,101
• Reduce Funding for Student Financial Aid Programs	-3,989,579	-3,989,579
• Reduce Funding for Minority Advancement Program to Reflect Anticipated FY 2013 Carry Forward	-661,069	0
• Eliminate Funding for English Language Learners Program	-95,000	-95,000
• Support Program Director Position for the Alternative Route to Certification Program with Student Tuition and Fees	-85,892	-92,840
• Eliminate Funding for International Initiatives	-66,500	-66,500
<b>Reallocations or Transfers</b>		
• Reallocate Funding and Staff for Business Functions from the Board of Regents <i>Funding for six positions is transferred from the Board of Regents to the Office of Higher Education.</i>	483,795	498,308
• Establish the Governor's Scholarship Program	0	0
<b>Revenues</b>		
• Establish Program Approval Fees for Licensure and Accreditation	250,000	250,000
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	25,240	2,674

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	14	2	0	16	16	21	16	21
Federal and Other Activities	10	3	0	13	13	13	13	13

<b>Agency Programs by Total Funds</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Coordination of Higher Education	6,397,318	7,134,568	7,997,892	7,509,750	7,030,723	8,122,066	7,570,746	7,747,649
Scholarships & Fellowships	53,634,152	47,516,462	120,266,353	48,524,212	41,789,029	120,266,353	49,900,199	41,789,029
TOTAL Agency Programs - All Funds Gross	60,031,470	54,651,030	128,264,245	56,033,962	48,819,752	128,388,419	57,470,945	49,536,678
Less Turnover	0	0	-19,157	0	0	-26,173	0	0
Nonfunctional - Change to Accruals	0	0	0	4,770	30,010	0	8,215	10,889
TOTAL Agency Programs - All Funds Net	60,031,470	54,651,030	128,245,088	56,038,732	48,849,762	128,362,246	57,479,160	49,547,567
<b>Summary of Funding</b>								
General Fund Net	56,649,237	50,599,438	124,152,063	51,945,707	44,756,737	124,292,081	53,408,995	45,477,402
Federal and Other Activities	2,499,492	3,043,092	3,078,025	3,078,025	3,078,025	3,045,165	3,045,165	3,045,165
Private Funds	882,741	1,008,500	1,015,000	1,015,000	1,015,000	1,025,000	1,025,000	1,025,000
TOTAL Agency Programs - All Funds Net	60,031,470	54,651,030	128,245,088	56,038,732	48,849,762	128,362,246	57,479,160	49,547,567

**ADMINISTRATION OF HIGHER EDUCATION PROGRAMS**

**Statutory Reference**

C.G.S. Sections 10a-1d, 10a-10 through 17, 10a-22, 10a-29, 10a-34 through 10a-42, 10a-48.

**Statement of Need and Program Objectives**

To administer programs supporting Connecticut’s higher education system.

**Program Description**

Providing consumer protection for students, the office is responsible for reviewing and approving degree-granting independent colleges and universities (both for-profit and non-profit) as well as academic programs offered in Connecticut by out-of-state schools before final approval is given by the State Board of Education. This work assures that independent institutions located in Connecticut meet the state’s high standards of academic quality before they can admit or graduate students.

Connecticut is home to 26 non-profit and for-profit independent institutions, and 25 programs offered by colleges located outside of the state. The office also manages the following specific programs:

*Private Occupational School* oversight provides a means to ensure the overall quality and financial viability of some 65 institutions, with an identified 28 branches as well as 8 hospital-based schools.

The office, as the state’s *approving agency for the U.S. Department of Veteran Affairs*, renders necessary services to inspect, approve

and provide technical assistance to those educational institutions qualified to furnish instruction to veterans and other eligible persons through the GI Bill.

The *Minority Advancement Program (MAP)* provides early intervention programs at the high school level (ConnCAP) to increase the pool of qualified minority students for higher education and provides a performance-based grant program to focus on retention.

The state’s *National Service Initiative*, which is staffed by the office, administers the federal AmeriCorps Program in Connecticut and underwrites service jobs in areas of community need as well as funding the Connecticut State Employee Mentoring and Tutoring Program.

The *Teacher Quality Partnership Grant Program* seeks to raise student achievement by strengthening the effectiveness of teachers and other school personnel. Using a competitive award process, this program supports partnerships of schools and colleges which focus on improving content mastery and teaching skills, especially in mathematics and science.

The *Educational and Employment Information Center (EEIC)* is a statewide referral and information service for anyone who has questions about learning and careers. It provides free information about courses, job training, student financial aid and college preparation.

**ADMINISTRATION OF HIGHER EDUCATION PROGRAMS**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	14	2	0	16	16	21	16	21
Federal and Other Activities	10	3	0	13	13	13	13	13

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,290,581	1,128,146	1,318,581	1,123,072	1,598,563	1,441,815	1,183,787	1,664,650
Other Expenses	103,638	112,537	112,566	112,566	106,911	116,129	116,129	106,911

**Capital Outlay**

Equipment	0	1	0	1	1	0	1	1
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**Other Current Expenses**

Minority Advancement Program	1,973,446	2,176,817	2,450,577	2,179,028	1,517,959	2,453,286	2,181,737	2,181,737
Alternate Route to Certification	100,000	100,000	104,876	104,876	0	111,824	111,824	0
National Service Act	0	0	349,292	331,707	315,289	359,872	341,628	325,210
International Initiatives	63,176	66,500	70,000	66,500	0	70,000	66,500	0
Education and Health Initiatives	134,500	0	0	0	0	0	0	0
English Language Learner Scholarship	0	100,000	100,000	100,000	0	100,000	100,000	0
TOTAL-General Fund	3,665,341	3,684,001	4,505,892	4,017,750	3,538,723	4,652,926	4,101,606	4,278,509

Additional Funds Available

Private Funds	857,090	993,500	1,000,000	1,000,000	1,000,000	1,010,000	1,010,000	1,010,000
<b>Federal Contributions</b>								
ARRA-Recovery Program	-5,922	0	0	0	0	0	0	0
John R. Justice	100,001	100,000	100,000	100,000	100,000	100,000	100,000	100,000
64124 All-Volunteer Force Educational Assist	218,032	286,000	286,000	286,000	286,000	286,000	286,000	286,000
84334 Gaining Early Awareness & Readiness	-368,344	250,000	250,000	250,000	250,000	250,000	250,000	250,000
84367 Improving Teacher Quality State Grants	-4,991	21,067	56,000	56,000	56,000	23,140	23,140	23,140
94003 State Commissions	268,018	250,000	250,000	250,000	250,000	250,000	250,000	250,000
94006 AmeriCorps	1,611,762	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
94009 Training & Technical Assistance	56,331	50,000	50,000	50,000	50,000	50,000	50,000	50,000
<b>TOTAL - All Funds</b>	<b>6,397,318</b>	<b>7,134,568</b>	<b>7,997,892</b>	<b>7,509,750</b>	<b>7,030,723</b>	<b>8,122,066</b>	<b>7,570,746</b>	<b>7,747,649</b>

## STUDENT FINANCIAL ASSISTANCE

**Statutory Reference**

C.G.S. Sections 10a-22, 10a-40, 10a-65, and 10a-163 through 10a-169.

**Statement of Need and Program Objectives**

To ensure access for qualified and needy residents to educational opportunities at public and private post-secondary educational institutions and encourage completion once enrolled by providing various forms of student financial assistance grants and scholarships.

**Program Description**

The student financial assistance program administered by the office is comprised of a diversity of specially tailored programs that provide direct and indirect state and federal grant and loan reimbursement aid to Connecticut residents. There are six major components of the program.

The *Minority Teacher Incentive Program and Weisman Scholarship Program* provide annual \$5,000 awards to minority students in teacher training programs and provide graduates who teach in Connecticut schools with annual \$2,500 stipends to assist in repayment of college loans. The maximum award, grants and loans combined, for each participant is \$20,000. In 2012, the program's fifteenth year, 181 awards were made, including stipends to 55 recipients teaching in Connecticut schools.

*Awards to Children of Deceased, Disabled, and Missing in Action Veterans* is designed to provide assistance to the sons and daughters of armed forces veterans who died, were totally disabled, or became missing in action during time of the Vietnam War. The annual grant per student is \$400 and students must demonstrate financial need. This program has been dormant for several years because there are no longer any eligible applicants.

STUDENT FINANCIAL ASSISTANCE**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Minority Teacher Incentive Program	422,500	471,374	471,374	471,374	447,806	471,374	471,374	447,806
<u>Pmts to Other Than Local Governments</u>								
Capitol Scholarship Program	4,336,060	4,722,351	8,902,780	4,824,826	0	8,902,780	4,964,746	0
Awards Children Deceased/Disabled Vets	0	4,000	4,000	4,000	3,800	4,000	4,000	3,800
CT Independent College Student Grant	18,072,474	16,158,319	27,376,501	16,508,955	0*	27,376,501	16,987,715	0*
CT Aid for Public College Students	29,808,469	25,500,000	82,484,752	26,053,350	0*	82,484,752	26,808,897	0*
Connecticut Aid to Charter Oak	59,393	59,393	425,921	60,682	0*	425,921	62,442	0*
Kirklyn M. Kerr Grant Program	285,000	0	0	0	0	0	0	0
Governor's Scholarship	0	0	0	0	40,736,398	0	0	40,736,398
<b>TOTAL-General Fund</b>	<b>52,983,896</b>	<b>46,915,437</b>	<b>119,665,328</b>	<b>47,923,187</b>	<b>41,188,004</b>	<b>119,665,328</b>	<b>49,299,174</b>	<b>41,188,004</b>
<u>Additional Funds Available</u>								
Private Funds	25,651	15,000	15,000	15,000	15,000	15,000	15,000	15,000
<b>Federal Contributions</b>								
84185 Byrd Honors Scholarships	38,580	0	0	0	0	0	0	0
84367 Improving Teacher Quality State Grants	586,025	586,025	586,025	586,025	586,025	586,025	586,025	586,025
<b>TOTAL - All Funds</b>	<b>53,634,152</b>	<b>47,516,462</b>	<b>120,266,353</b>	<b>48,524,212</b>	<b>41,789,029</b>	<b>120,266,353</b>	<b>49,900,199</b>	<b>41,789,029</b>

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,137,256	1,009,059	1,145,016	1,388,144	1,207,211	1,393,788
Other Positions	76,979	85,491	85,885	104,121	91,885	106,086
Other	76,346	33,596	87,680	106,298	142,719	164,776
TOTAL-Personal Services Gross	1,290,581	1,128,146	1,318,581	1,598,563	1,441,815	1,664,650
Less Reimbursements						
Less Turnover	0	0	-19,157	0	-26,173	0
TOTAL-Personal Services Net	1,290,581	1,128,146	1,299,424	1,598,563	1,415,642	1,664,650
	0	-15,300	-15,500	0	-15,500	0
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	2,790	2,800	2,861	2,388	2,944	2,391
Rentals, Storage and Leasing	42,026	38,000	38,825	32,412	39,951	32,449
Telecommunication Services	11,274	16,000	16,347	13,647	16,821	13,663
General Repairs	3,092	5,000	5,108	4,264	5,256	4,270
Motor Vehicle Expenses	4,008	4,500	4,598	3,838	4,731	3,843
Fees for Outside Professional Services	0	20,000	20,434	17,059	21,027	17,078
Fees for Non-Professional Services	2,221	2,000	2,043	1,706	2,102	1,707
DP Services, Rentals and Maintenance	16,852	15,150	15,370	12,831	15,672	12,729
Postage	2,566	3,800	3,882	3,241	3,995	3,245
Travel	719	900	919	768	945	768
Other Contractual Services	128	200	204	170	210	171
Printing & Binding	333	350	358	299	368	299
<u>Other Expenses-Commodities</u>						
Maintenance and Motor Vehicle Supplies	573	622	669	558	688	559
Office Supplies	5,499	7,915	5,620	4,692	5,783	4,697
<u>Other Expenses-Sundry</u>						
Employee Fringe Benefits	280	500	509	425	518	421
Sundry - Other Items	11,277	10,100	10,319	8,613	10,618	8,621
TOTAL-Other Expenses Gross	103,638	112,537	112,566	106,911	116,129	106,911
Less Reimbursements						
TOTAL-Other Expenses Net	103,638	112,537	112,566	106,911	116,129	106,911
<u>Other Current Expenses</u>						
Minority Advancement Program	1,973,446	2,176,817	2,450,577	1,517,959	2,453,286	2,181,737
Alternate Route to Certification	100,000	100,000	104,876	0	111,824	0
National Service Act	0	0	349,292	315,289	359,872	325,210
International Initiatives	63,176	66,500	70,000	0	70,000	0
Minority Teacher Incentive Program	422,500	471,374	471,374	447,806	471,374	447,806
Education and Health Initiatives	134,500	0	0	0	0	0
English Language Learner Scholarship	0	100,000	100,000	0	100,000	0
TOTAL-Other Current Expenses	2,693,622	2,914,691	3,546,119	2,281,054	3,566,356	2,954,753
<u>Pmts to Other Than Local Govts</u>						
Capitol Scholarship Program	4,336,060	4,722,351	8,902,780	0	8,902,780	0
Awards Children Deceased/Disabled Vets	0	4,000	4,000	3,800	4,000	3,800
CT Independent College Student Grant	18,072,474	16,158,319	27,376,501	0*	27,376,501	0*
CT Aid for Public College Students	29,808,469	25,500,000	82,484,752	0*	82,484,752	0*
Connecticut Aid to Charter Oak	59,393	59,393	425,921	0*	425,921	0*
Kirklyn M. Kerr Grant Program	285,000	0	0	0	0	0
Governor's Scholarship	0	0	0	40,736,398	0	40,736,398
TOTAL-Pmts to Other Than Local Govts	52,561,396	46,444,063	119,193,954	40,740,198	119,193,954	40,740,198
<u>Nonfunctional - Change to Accruals</u>	0	0	0	30,010	0	10,889

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,290,581	1,128,146	1,299,424	1,123,072	1,598,563	1,415,642	1,183,787	1,664,650
Other Expenses Net	103,638	112,537	112,566	112,566	106,911	116,129	116,129	106,911
Capital Outlay	0	1	0	1	1	0	1	1
Other Current Expenses	2,693,622	2,914,691	3,546,119	3,253,485	2,281,054	3,566,356	3,273,063	2,954,753
Payments to Other Than Local Governments	52,561,396	46,444,063	119,193,954	47,451,813	40,740,198	119,193,954	48,827,800	40,740,198
Nonfunctional - Change to Accruals	0	0	0	4,770	30,010	0	8,215	10,889
<b>TOTAL-General Fund Net</b>	<b>56,649,237</b>	<b>50,599,438</b>	<b>124,152,063</b>	<b>51,945,707</b>	<b>44,756,737</b>	<b>124,292,081</b>	<b>53,408,995</b>	<b>45,477,402</b>
<b><u>Additional Funds Available</u></b>								
Federal and Other Activities	2,499,492	3,043,092	3,078,025	3,078,025	3,078,025	3,045,165	3,045,165	3,045,165
Private Funds	882,741	1,008,500	1,015,000	1,015,000	1,015,000	1,025,000	1,025,000	1,025,000
<b>TOTAL-All Funds Net</b>	<b>60,031,470</b>	<b>54,651,030</b>	<b>128,245,088</b>	<b>56,038,732</b>	<b>48,849,762</b>	<b>128,362,246</b>	<b>57,479,160</b>	<b>49,547,567</b>



# UNIVERSITY OF CONNECTICUT

## AGENCY DESCRIPTION

The University of Connecticut (UConn) is a community of scholars and a center for learning dedicated to excellence, which serves the state and its citizens by providing services that enhance the quality of life and the economic well-being of Connecticut. Founded in 1881, the University of Connecticut serves as the flagship for higher education.

UConn's attention to quality service and economic well-being is evidenced by the percentage of freshmen graduating within six years, master's degrees completed in six years, doctoral degrees completed within eight years, law students graduating within three years, as well as the range of passing levels for licensure and certificate exams in occupationally specific programs.

Approximately 7,800 degrees are conferred annually. In FY 2012 there were: 5,149 bachelor's degrees, 1,573 master's degrees, 341 doctoral degrees (including research and professional practice), 87 medicine doctorates, 47 dental medicine doctorates, 94 degrees in doctor of pharmacy program, 234 law (J.D. and L.L.M.) degrees, 79 sixth-year diplomas in professional education, 141 graduate/professional certificates, and 25 two-year agriculture degrees.

Approximately 69% of full-time employed graduates remain in Connecticut and contribute to meeting the economic and work force needs of the state.

<b>Outcome Measure</b>	
Graduation Rates	
Freshmen-Storrs	<b>83%</b>
Freshmen-Regionals	<b>51%</b>
Master's Students	80-85%
Doctoral Students	65-70%
Law Students	92%
Licensure exams	90-100%

First-time freshmen enrollment increased at Storrs by 51 percent from fall 1997 to fall 2011. As Storrs reached capacity, growth was directed at the regional campuses, which experienced a 13 percent increase in first-time freshmen from fall 2009 to fall 2011.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "The University of Connecticut Block Grant".

## AGENCY PROGRAM INDEX

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## RECOMMENDED SIGNIFICANT CHANGES

	<u>FY 2014</u>	<u>FY 2015</u>	
<b>Reductions to Current Services</b>			
• Annualize FY 2013 Rescissions	-10,261,597	-10,261,597	
• Close the Kirklyn M. Kerr Veterinary Education Program <i>The program will be closed to new enrollees. For existing cohorts, the program was pre-paid, thus no current program participants will lose benefits.</i>	-400,000	-400,000	
• Reduce Support for the Center for Advanced Technology	-225,000	-225,000	
<b>Reallocations or Transfers</b>			
• Reallocate Fringe Benefits for the Higher Education Units	97,180,289	107,888,123	
• Streamline Agency Account Structure <i>Funding for Tuition Freeze, Regional Campus Enhancement, Veterinary Diagnostic Laboratory, CommPACT Schools, and the Connecticut Center for Advanced Technology has been consolidated into the Operating Expenses account.</i>	0	0	
<b>New or Expanded Services</b>			
• Provide Funding for Next Generation Connecticut <i>From FY 2015 through FY 2024, this plan will hire 259 new faculty (200 will be in science, technology, engineering and math) and enroll 6,580 additional undergraduates.</i>	0	17,400,000	33,800,000

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	2,350	0	581	2,931	2,441	2,347	2,518	2,413
University of Connecticut Operating Fund	2,154	0	23	2,177	2,177	2,177	2,177	2,177
UConn Research Foundation	441	0	-1	440	440	440	440	440
<i>Other Positions Equated to Full Time</i>								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund			1,219	1,219	1,219	880	1,219	880
UConn Research Foundation			398	398	398	398	398	398

<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Instruction	294,977,308	302,450,405	318,825,785	0	0	335,189,301	0	0
Research	15,879,154	15,763,753	17,858,151	0	0	21,785,490	0	0
Public Service	26,989,081	29,020,398	31,264,813	0	0	33,510,682	0	0
Academic Support	80,952,088	82,566,738	87,016,309	0	0	91,462,562	0	0
Library	22,747,652	24,236,193	26,596,187	0	0	27,955,167	0	0
Student Services	258,722,810	263,042,694	269,577,181	0	0	277,393,139	0	0
Institutional Support	79,477,767	84,970,018	89,549,102	0	0	94,124,773	0	0
Physical Plant	48,880,189	58,252,695	61,642,083	0	0	67,289,187	0	0
Scholarships and Fellowships	96,333,614	95,390,991	103,383,908	0	0	105,908,605	0	0
UCONN Block Grant	102,779,187	99,255,489	99,040,580	1,104,289,290	1,190,582,982	98,815,580	1,152,704,694	1,267,106,220
TOTAL Agency Programs - All Funds Gross	1,027,738,850	1,054,949,374	1,104,754,099	1,104,289,290	1,190,582,982	1,153,434,486	1,152,704,694	1,267,106,220
TOTAL Agency Programs - All Funds Net	1,027,738,850	1,054,949,374	1,104,754,099	1,104,289,290	1,190,582,982	1,153,434,486	1,152,704,694	1,267,106,220

### Summary of Funding

General Fund Net	205,586,180	205,631,967	214,893,956	214,429,147	300,722,839	227,190,368	226,460,576	340,862,102
University of Connecticut Operating Fd	686,494,310	716,276,918	757,461,456	757,461,456	757,461,456	793,792,081	793,792,081	793,792,081
UConn Research Foundation	32,879,173	33,785,000	33,358,107	33,358,107	33,358,107	33,636,457	33,636,457	33,636,457
Federal and Other Activities	102,779,187	99,255,489	99,040,580	99,040,580	99,040,580	98,815,580	98,815,580	98,815,580
TOTAL Agency Programs - All Funds Net	1,027,738,850	1,054,949,374	1,104,754,099	1,104,289,290	1,190,582,982	1,153,434,486	1,152,704,694	1,267,106,220

## INSTRUCTION

### Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

### Statement of Need and Program Objectives

To enable qualified graduates of Connecticut's secondary schools to have access, regardless of economic status, to an education designed to challenge them and to provide graduate and professional educational opportunities, including programs at the doctoral level.

### Program Description

For the entering freshman class at Storrs, the average SAT score has increased from 1113 to 1216 since fall 1996 and is now 205 points above the national average of 1011.

The first two years of undergraduate courses and a selection of undergraduate degree programs in several majors may be completed at the five regional campuses as well as at Storrs.

Recent efforts to enhance the undergraduate learning experience for students include: freshmen experience seminars and learning skills classes; expansion of academic advising, the early college experience program, honors and other enrichment programs; a mentor

connection program to bring talented high school students to Storrs for summer involvement in faculty research; and ongoing input from a retention and graduation task force promoting timely graduation.

<b>Outcome Measure</b>	
Storrs Campus Retention Rates	
Freshman Retention – 1 Yr.	92%
Freshman Retention – 2 Yr.	88%

In addition to 101 undergraduate majors, the university offers 17 types of graduate degrees in 86 fields of study and five graduate professional programs. Although most of the post-baccalaureate programs are located in Storrs, there are graduate business programs in Hartford and Stamford, School of Law in Hartford, School of Social Work in West Hartford, and biomedical sciences and Schools of Medicine and Dental Medicine at the University Health Center in Farmington.

## INSTRUCTION

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	1,523	0	581	2,104	1,614	0	1,691	0
University of Connecticut Operating Fund	403	0	44	447	447	0	447	0
UConn Research Foundation	54	0	0	54	54	0	54	0

Budget-in-Detail

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund	880	880	880	0	880	0
UConn Research Foundation	29	29	29	0	29	0

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	126,993,807	126,105,913	132,178,567	0	0	140,240,750	0	0
Tuition Freeze	4,267,696	4,267,696	4,267,696	0	0*	4,267,696	0	0*
Regional Campus Enhancement	490,648	490,648	490,648	0	0*	490,648	0	0*
TOTAL-General Fund	131,752,151	130,864,257	136,936,911	0	0	144,999,094	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	151,990,061	159,661,642	169,908,774	0	0	178,171,665	0	0
UConn Research Foundation	11,235,096	11,924,506	11,980,100	0	0	12,018,542	0	0
TOTAL - All Funds	294,977,308	302,450,405	318,825,785	0	0	335,189,301	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**RESEARCH**

**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objective**

To contribute to knowledge by conducting research.

To apply research to the solving of problems faced by business, industry, agriculture and government agencies in Connecticut.

**Program Description**

Research is an integral part of the academic programs of the university. The research program includes externally funded projects awarded to individual faculty members, or centers funded for specific research areas. Research monies are used to purchase equipment and to pay research support staff including graduate students.

The university has established many centers and institutes to encourage and facilitate multidisciplinary and interdepartmental research and graduate training. Examples include the Biotechnology/Bioservices Center, Center for Environmental Health and Health Promotion, Center for Environmental Sciences and Engineering, Center for Health, Intervention, and Prevention, Center for Integrative Geosciences, Center for Nursing Scholarship, Center for Public Health and Health Policy, Center for Real Estate and Urban Economic Studies, Center for Regenerative Biology, Center for Science and Technology Commercialization, Connecticut Center for Economic Analysis, Center for Clean Energy Engineering, Connecticut Information Technology Institute, Connecticut Sea Grant College Program, Institute of Materials Science, Marine Sciences and

Technology Center, Neag Center for Gifted Education and Talent Development, Northeast Underwater Research Technology and Education Center, and Roper Center for Public Opinion Research.

Present programs include research in many areas, including the following:

Fuel cells and systems research seeks to make fuel cell technology useful to society: systems to run automobiles, factories and homes; energy independence; sustainable energy systems; and reduced environmental pollution.

Nanobionics research, combining nanotechnology and biotechnology, is focused on understanding and exploiting natural biological processes to design new and improved materials and products for pharmaceutical science, biological sensors, cell signaling, and gene therapy.

Stem cell research is directed toward the future of healthcare and involves learning what makes stem cells grow, how to affect their development and, ultimately, how to turn them into therapies for a host of diseases.

Functional foods research on plant-based and bioactive food components is aimed toward developing natural and processed foods that promote health beyond the nutritional value.

A variety of research programs in the biological sciences, engineering, pharmacy, psychology and many other fields provide applications to improve the quality of life and the economic well-being of the state.

**RESEARCH**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	16	0	0	16	16	0	16	0
University of Connecticut Operating Fund	92	0	-15	77	77	0	77	0
UConn Research Foundation	302	0	0	302	302	0	302	0

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund	21	21	21	0	21	0
UConn Research Foundation	369	369	369	0	369	0

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	1,348,060	1,338,635	1,403,097	0	0	1,488,679	0	0
TOTAL-General Fund	1,348,060	1,338,635	1,403,097	0	0	1,488,679	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	1,739,514	1,200,818	3,833,690	0	0	7,499,679	0	0
UConn Research Foundation	12,791,580	13,224,300	12,621,364	0	0	12,797,132	0	0
TOTAL - All Funds	15,879,154	15,763,753	17,858,151	0	0	21,785,490	0	0

## PUBLIC SERVICE

### Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

### Statement of Need and Program Objectives

To contribute to Connecticut's economic, social, and cultural development by sharing the university community's knowledge and skills through specialized programs and services.

### Program Description

The university extends to all citizens of the state its research-based knowledge and skills through a variety of outreach and public engagement activities.

Cooperative Extension educators in Bethel, Brooklyn, Haddam, North Haven, Norwich, Torrington, Vernon, West Hartford and Storrs work with individuals, families, government agencies, communities, and volunteers to develop and carry out educational programs with an estimated 500,000 clientele contacts in such areas as environmental management; food production; food safety; community development; nutrition, diet and health; waste management; recycling; water quality; integrated pest management; family well-being; youth development; forestry; home horticulture; greenhouse and nursery management; aquaculture; and Long Island Sound. The Center for Land Use Education and Research utilizes remote sensing and geographic information systems technologies to assist land use decision makers and to facilitate collaboration among the Land Grant, Sea Grant, and Space Grant College programs at the university.

Continuing studies programs serve diverse communities of learners in Connecticut with credit-free programs in several instructional formats. These programs are primarily self-supporting and have over 22,000 participants each year. Fine arts programs annually reach over 590,000 throughout the state in addition to individuals attending events at the Storrs campus. Public outreach programs also are offered by the other schools and colleges.

Accelerated Schools Plus has its national headquarters on the Storrs campus and partners with the Neag School's National Research Center on the Gifted and Talented to develop school reform programs for all students, including at-risk students. Numerous other programs at the university collaborate with Connecticut's public schools to help students choose and attain their educational goals.

The Office of Public Engagement connects, coordinates, facilitates, fosters, prepares and promotes outreach programs and engaged scholarship throughout the university to serve the public good, help prepare our students to be leaders in their chosen fields, and support faculty and staff in scholarly outreach efforts. Public engagement provides resources for faculty, professional staff and students to integrate engaged scholarship into their academic, research and service programs, and maximize the university's impact on the communities with whom it engages by facilitating interdisciplinary connections and community partnerships.

## PUBLIC SERVICE

### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	56	0	0	56	56	0	56	0
University of Connecticut Operating Fund	180	0	6	186	186	0	186	0

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund	145	145	145	0	145	0

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	4,697,684	4,664,840	4,889,476	0	0	5,187,708	0	0
Veterinary Diagnostic Laboratory	90,000	90,000	90,000	0	0*	90,000	0	0*
CommPACT Schools	0	500,000	500,000	0	0*	500,000	0	0*
Connecticut Center for Advanced Technology	0	500,000	500,000	0	0*	500,000	0	0*

Budget-in-Detail

Pmts to Other Than Local Governments

Kirklyn M Kerr Grant Program	0	400,000	400,000	0	0	400,000	0	0
TOTAL-General Fund	4,787,684	6,154,840	6,379,476	0	0	6,677,708	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	22,173,060	22,865,558	24,885,337	0	0	26,832,974	0	0
UConn Research Foundation	28,337	0	0	0	0	0	0	0
TOTAL - All Funds	26,989,081	29,020,398	31,264,813	0	0	33,510,682	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**ACADEMIC SUPPORT**

**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objectives**

To enhance the university's instructional, research and public service missions by providing the academic support services which are integral to the successful functioning of the university.

**Program Description**

Academic support includes a variety of computer, audio-visual, and technical services, fine arts collections, and administration of the schools and colleges.

University Information Technology Services serves as both an instructional and a research facility. Computing resources and services are provided to students, faculty, and staff at Storrs and the regional campuses as well as to some of the public institutions of higher education and several state agencies.

The Institute for Teaching and Learning promotes excellence in teaching and learning and provides programs to enhance the undergraduate experience of students. The institute also provides media and technical support for instruction and the high-technology classrooms. Its Instructional Resource Center aids the implementation of web course tools and distance learning access to advance effective teaching and learning.

Undergraduate students are assisted in their academic studies by: Learning Resources, Quantitative Learning, and University Writing

Centers; Institute for Student Success programs, including the First-Year Experience and Academic Center for Entering Students; enrichment opportunities such as honors and study abroad; and expanding options in general education and individualized majors.

The William Benton Museum, Connecticut's State Art Museum, houses a collection of more than 6,100 works of art. Some 39,000 people are served by the art exhibits, lectures, and events each year. The Connecticut State Museum of Natural History maintains the state collections in anthropology, archaeology, biology, geology and scientific instruments. The Connecticut Archaeology Center examines and encourages the preservation of prehistoric and historic archeological sites and resources. The museum and center annually serve more than 90,000 at educational exhibits, tours, workshops, talks, and special natural history events in Storrs and statewide. The state archaeologist, the state historian, the state ornithologist, and faculty members in every school and college offer public lectures as well as classes on historical, environmental, cultural and political issues of statewide interest.

Multicultural and International Affairs provides leadership in the understanding of, and respect for, diversity, international affairs, multiculturalism, and equity in teaching, learning and research. Partnerships with the African National Congress and University of Fort Hare in South Africa and various human rights initiatives foster international understanding and cooperation.

ACADEMIC SUPPORT

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	217	0	0	217	217	0	217	0
University of Connecticut Operating Fund	273	0	14	287	287	0	287	0
<i>Other Positions Equated to Full Time</i>								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund			76	76	76	0	76	0

**Financial Summary**

(Net of Reimbursements)

Other Current Expenses

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Operating Expenses	16,517,326	16,401,843	17,191,677	0	0	18,240,277	0	0
Regional Campus Enhancement	4,840,226	4,840,226	4,840,226	0	0*	4,840,226	0	0*
TOTAL-General Fund	21,357,552	21,242,069	22,031,903	0	0	23,080,503	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	59,594,209	61,324,669	64,984,406	0	0	68,382,059	0	0
UConn Research Foundation	327	0	0	0	0	0	0	0
TOTAL - All Funds	80,952,088	82,566,738	87,016,309	0	0	91,462,562	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**LIBRARY**

**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objectives**

To support the instruction, research, study, and public service needs of students, faculty, staff, and citizens through convenient access to a comprehensive collection of scholarly material.

To serve as the principal public repository for library collections which must remain current through a regular and efficient program of replacement, acquisition, and restoration.

**Program Description**

The University Library System is the largest public collection of research materials in Connecticut. It consists of some 3.6 million volumes housed in the Storrs, Law and Health Center libraries and in libraries at the Avery Point, Stamford, and Tri- Campuses. The Storrs library has several large special collections, including the largest public map collection in New England, government publications,

audio formats and video tapes, art and design, microtext, rare books, and historical manuscripts. In addition, there are departmental collections in art, music and pharmacy at Storrs and specialized subject collections in law, health sciences, social work, business and insurance, and marine sciences at various campuses. DigitalCommons@UConn provides an electronic depository of the intellectual output of the University.

The Thomas J. Dodd Research Center acquires, preserves, and makes available research material of national and international distinction including the African National Congress and South Africa, alternative politics and culture, American and English literature, Americana, children's literature, Connecticut business and enterprise, Connecticut historic preservation, Connecticut labor, Connecticut politics and public affairs, ethnic heritage and immigration, Connecticut photography, graphic and book arts, Hispanic history and culture, human rights, Judaic studies, natural history, oral history and sound recordings, and railroad history.

**LIBRARY**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	39	0	0	39	39	0	39	0
University of Connecticut Operating Fund	76	0	-1	75	75	0	75	0
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time								
University of Connecticut Operating Fund			5	5	5	0	5	0

**Financial Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	3,222,100	3,199,572	3,353,648	0	0	3,558,203	0	0
TOTAL-General Fund	3,222,100	3,199,572	3,353,648	0	0	3,558,203	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	19,525,552	21,036,621	23,242,539	0	0	24,396,964	0	0
TOTAL - All Funds	22,747,652	24,236,193	26,596,187	0	0	27,955,167	0	0

**STUDENT SERVICES**

**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objectives**

To assist students in attaining their intellectual, cultural, career, and personal development objectives by providing a wide range of services, counseling, and residential opportunities.

**Program Description**

The student services program is funded primarily through student fees or through charges for specific services or facilities. The student services program includes: assistance in obtaining financial aid; counseling in personal adjustment and development; assistance in career planning and placement and help in finding employment while at the university; cooperative education through which

planned work experiences become an integral part of the student's academic program; special support services for veteran, minority, and older students, and students with a disability; and counseling and support services for participants in intercollegiate athletics.

A variety of university and student sponsored activities offer: a full schedule of recreational, athletic and cultural events; and health-related services for the physical and mental well-being of students, including bed care for uncomplicated medical conditions and a variety of outpatient services.

For the over 12,700 students who pay the room and board fees, student services provides food and housing, along with the physical, social, and educational support services necessary in a residential environment.

**STUDENT SERVICES****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	73	0	0	73	73	0	73	0
University of Connecticut Operating Fund	853	0	-6	847	847	0	847	0
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund			61	61	61	0	61	0

**Financial Summary****(Net of Reimbursements)****Other Current Expenses**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Operating Expenses	5,503,978	5,465,496	5,728,688	0	0	6,078,107	0	0
Regional Campus Enhancement	1,716,065	1,716,065	1,716,065	0	0*	1,716,065	0	0*
TOTAL-General Fund	7,220,043	7,181,561	7,444,753	0	0	7,794,172	0	0
<b>Additional Funds Available</b>								
University of Connecticut Operating Fd	251,502,767	255,861,133	262,132,428	0	0	269,598,967	0	0
TOTAL - All Funds	258,722,810	263,042,694	269,577,181	0	0	277,393,139	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**INSTITUTIONAL SUPPORT****Statutory Reference:**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objectives:**

To ensure the efficient and effective planning, management and control of university operations through its executive management, fiscal operations and general administrative services.

**Program Description:**

Institutional Support encompasses activities that provide campus wide support, including the offices of the president, provost and vice presidents. Among the highly diversified support units within the program are diversity and equity, alumni affairs, budget, human resources and payroll services, public and environmental safety, parking and transportation, environmental policy, governmental relations, audit, compliance and ethics, and other fiscal and university/community relations activities.

**INSTITUTIONAL SUPPORT****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	281	0	0	281	281	0	281	0
University of Connecticut Operating Fund	159	0	-15	144	144	0	144	0
UConn Research Foundation	85	0	-1	84	84	0	84	0
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund			26	26	26	0	26	0

**Financial Summary****(Net of Reimbursements)****Other Current Expenses**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Operating Expenses	23,310,718	23,147,738	24,262,422	0	0	25,742,299	0	0
Regional Campus Enhancement	491,064	491,064	491,064	0	0*	491,064	0	0*
TOTAL-General Fund	23,801,782	23,638,802	24,753,486	0	0	26,233,363	0	0
<b>Additional Funds Available</b>								
University of Connecticut Operating Fd	51,259,483	56,945,022	60,388,973	0	0	63,470,627	0	0
UConn Research Foundation	4,416,502	4,386,194	4,406,643	0	0	4,420,783	0	0
TOTAL - All Funds	79,477,767	84,970,018	89,549,102	0	0	94,124,773	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## PHYSICAL PLANT

### Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

### Statement of Need and Program Objectives

To actively pursue the efficient operation, maintenance, and management of the physical facilities at the university campuses.

To provide an environment conducive to carrying out the mission of the university.

### Program Description

Provides services through three primary subprograms: operations, engineering and administration.

The UCONN 2000 Infrastructure Improvement Program, and its extensions, provide a capital budget program in three phases at a total estimated cost of \$3,068 million (including \$775 million for the Health Center). A structured 10-year program of phase I and phase II

projects began in FY 1996. UCONN 2000 phase III extended the initiative in FY 2005 for another 13 years. The program modernizes, rehabilitates, renews, expands and otherwise stabilizes the university's physical plant, and authorizes university issuance of General Obligation and Special Obligation Bonds to finance the approved list of projects. UCONN 2000 projects include deferred maintenance, renovations and adaptations, new buildings and additions, equipment replacement and upgrades, transportation and parking, roads and walks and residence halls. Since 1995, 108 major projects and over 10 million square feet of new and renovated space have been authorized. The infrastructure improvements have been influential in enrollment growth, enhancement of the academic quality of the student body, and attraction of high-level faculty and research support.

## PHYSICAL PLANT

### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	145	0	0	145	145	0	145	0
University of Connecticut Operating Fund	118	0	-4	114	114	0	114	0
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time								
University of Connecticut Operating Fund			5	5	5	0	5	0

### Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	12,096,808	12,012,231	12,590,682	0	0	13,358,646	0	0
TOTAL-General Fund	12,096,808	12,012,231	12,590,682	0	0	13,358,646	0	0
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	36,783,381	46,240,464	49,051,401	0	0	53,930,541	0	0
TOTAL - All Funds	48,880,189	58,252,695	61,642,083	0	0	67,289,187	0	0

## SCHOLARSHIPS AND FELLOWSHIPS

### Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

### Statement of Need and Program Objectives

To ensure institutional access, diversity, and a competitive stance in attracting and retaining students of high quality by providing qualified undergraduate and graduate students with a variety of awards.

### Program Description

The program includes awards to undergraduate students as scholarships, grants-in-aid, tuition remissions, work and loans. Graduates receive support through tuition remission/waivers, fellowships, work and loans. Sources of aid include:

The Perkins Loan Program was established under Title II of the National Defense Act of 1958.

The Work Study Program was established under Title I of the Economic Opportunity Act of 1964 to provide part-time employment for students from low-income families.

Graduate fellowships, merit-based scholarship grants from the university's tuition funds, enable the university to recruit and retain well-qualified graduate students who plan to earn their doctorate degree. The university's academic programs depend, in many ways, on graduate students in the late stage of their doctoral work. The average graduate fellowship award is \$4,994. Approximately 1,900 full assistantships, at an average 9-month salary of \$22,400, are provided to graduate students who perform key functions such as teaching courses and labs, tutoring, conducting research, and providing public service.

Merit based scholarships include the Academic Excellence, Presidential Scholars, and Leadership awards. These scholarships are awarded to undergraduate students who have excelled academically prior to enrolling at the university and who contribute to the university's commitment to diversity. Many valedictorians or salutatorians of their respective Connecticut high schools are among the recipients.

Scholarships and financial aid awards are made from various sources including funds from the federal government, the state and university.



Budget-in-Detail

Pell grants are entirely federally funded and are available to undergraduates who meet specified financial criteria.

Supplemental Educational Opportunity Grants are federally and institutionally funded assistance to undergraduates who have

exceptional financial need. As the name suggests, these grants supplement Pell grants to students with exceptional need.

Merit-based aid totals \$20 million annually, with an additional \$40 million designated by the university for need-based grant awards; 86% of students received aid.

**SCHOLARSHIPS AND FELLOWSHIPS**

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	91,926,283	91,140,991	99,033,908	0	0	101,508,605	0	0
UConn Research Foundation	4,407,331	4,250,000	4,350,000	0	0	4,400,000	0	0
<b>TOTAL - All Funds</b>	<b>96,333,614</b>	<b>95,390,991</b>	<b>103,383,908</b>	<b>0</b>	<b>0</b>	<b>105,908,605</b>	<b>0</b>	<b>0</b>

**THE UNIVERSITY OF CONNECTICUT BLOCK GRANT**

**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

**Statement of Need and Program Objectives**

To provide the University of Connecticut with a streamlined, flexible, and responsive administrative structure.

**Program Description**

There are nine program elements in "The University of Connecticut Block Grant": Instruction; Research; Public Service; Academic Support; Library; Student Services; Institutional Support; Physical Plant; Scholarships and Fellowships. For a more complete description of each of these, please refer to the aforementioned program elements.

**UCONN BLOCK GRANT**

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	0	0	2,347	0	2,413
University of Connecticut Operating Fund	0	0	0	0	0	2,177	0	2,177
UConn Research Foundation	0	0	0	0	0	440	0	440

  

<i>Other Positions Equated to Full Time</i>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
University of Connecticut Operating Fund	0	0	0	880	0	880
UConn Research Foundation	0	0	0	398	0	398

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	0	0	0	200,806,874	300,722,839	0	212,454,908	340,862,102
Tuition Freeze	0	0	0	4,267,696	0*	0	4,267,696	0*
Regional Campus Enhancement	0	0	0	7,864,577	0*	0	8,247,972	0*
Veterinary Diagnostic Laboratory	0	0	0	90,000	0*	0	90,000	0*
CommPACT Schools	0	0	0	500,000	0*	0	500,000	0*
Connecticut Center for Advanced Technology	0	0	0	500,000	0*	0	500,000	0*
<u>Pmts to Other Than Local Governments</u>								
Kirklyn M Kerr Grant Program	0	0	0	400,000	0	0	400,000	0
<b>TOTAL-General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>214,429,147</b>	<b>300,722,839</b>	<b>0</b>	<b>226,460,576</b>	<b>340,862,102</b>
<u>Additional Funds Available</u>								
University of Connecticut Operating Fd	0	0	0	757,461,456	757,461,456	0	793,792,081	793,792,081
UConn Research Foundation	0	0	0	33,358,107	33,358,107	0	33,636,457	33,636,457
<b>Federal Contributions</b>								
10001 Ag Research Basic/Applied	862,459	861,597	860,735	860,735	860,735	859,874	859,874	859,874
10025 Plant & Animal Disease, Pest Control	144,240	138,052	137,914	137,914	137,914	137,776	137,776	137,776
10156 Fed-St Marketing Imprvmt Pgm	5,475	5,240	5,293	5,293	5,293	5,928	5,928	5,928
10170 Specialty Crop Block Grant Program - Farm Bill	23,350	23,584	30,635	30,635	30,635	34,311	34,311	34,311
10200 Grants Agricultur Rsrch, Special Rsrch	495,799	495,303	494,808	494,808	494,808	494,313	494,313	494,313
10202 Cooperative Forestry Research	56,170	81,728	81,728	81,728	81,728	81,728	81,728	81,728
10203 Paymt Ag Exp Sta Hatch Act	1,236,013	1,123,612	1,123,612	1,123,612	1,123,612	1,123,612	1,123,612	1,123,612

10206 Ag Research Competitive Grts	306,294	305,988	305,682	305,682	305,682	305,376	305,376	305,376
10207 Animal Health & Disease Research	9,998	22,499	22,499	22,499	22,499	22,499	22,499	22,499
10210 Food & Agri Sci Natl Needs Fellow Grt	268,691	257,164	256,907	256,907	256,907	256,650	256,650	256,650
10215 Sustainable Agric Research & Ed	16,158	16,320	21,199	21,199	21,199	23,743	23,743	23,743
10219 Biotech Risk Assessmt Research	212,836	203,705	203,502	203,502	203,502	203,298	203,298	203,298
10220 Higher Education Multicultural Scholar	11,271	11,384	14,787	14,787	14,787	16,562	16,562	16,562
10303 Integrated Programs	381,264	380,883	380,502	380,502	380,502	380,121	380,121	380,121
10304 Homeland Security Agricultural	39,904	38,192	38,154	38,154	38,154	38,116	38,116	38,116
10458 Crop Ins Education in Targeted States	208,223	208,015	207,807	207,807	207,807	207,599	207,599	207,599
10500 Cooperative Extension Service	3,727,006	2,793,717	2,793,717	2,793,717	2,793,717	2,793,717	2,793,717	2,793,717
10557 Special Supplement Nutrition Pgm	18,579	17,782	17,960	17,960	17,960	20,115	20,115	20,115
10561 State Admin Match Grt Food Stamp	453,937	453,483	453,030	453,030	453,030	452,577	452,577	452,577
10604 Tech Assistance Specialty Crop Pgm	34,166	34,132	34,098	34,098	34,098	34,064	34,064	34,064
10664 Cooperative Forestry Assistance	6,239	5,971	6,031	6,031	6,031	6,755	6,755	6,755
10675 Urban & Community Forestry Program	1,123	1,134	1,473	1,473	1,473	1,650	1,650	1,650
10678 Forest Stewardship Program	61,178	58,553	58,495	58,495	58,495	58,436	58,436	58,436
10680 Forest Health Protection	29,656	28,384	28,355	28,355	28,355	28,327	28,327	28,327
10901 Resource Conservation & Development	146,740	146,593	146,447	146,447	146,447	146,300	146,300	146,300
10902 Soil & Water Conservation	111,226	106,454	106,348	106,348	106,348	106,242	106,242	106,242
10903 Soil Survey	3,420	3,273	3,306	3,306	3,306	3,703	3,703	3,703
10912 Environmental Quality Incentives Pgm	136,418	130,566	130,435	130,435	130,435	130,305	130,305	130,305
10961 Scientific Cooperation & Research	9,358	9,452	12,278	12,278	12,278	13,751	13,751	13,751
11000 Department of Commerce	245,311	245,066	244,821	244,821	244,821	244,576	244,576	244,576
11303 Economic Dev - Technical Assistance	42,028	41,986	41,944	41,944	41,944	41,902	41,902	41,902
11417 Sea Grant Support	884,517	883,632	882,749	882,749	882,749	881,866	881,866	881,866
11427 Fisheries Dev & Util R&D/Coop Agreements	77,558	77,480	77,403	77,403	77,403	77,326	77,326	77,326
11430 Undersea Research	30,387	30,357	30,326	30,326	30,326	30,296	30,296	30,296
11431 Climate & Atmospheric Research	146,309	146,163	146,017	146,017	146,017	145,871	145,871	145,871
11432 Oceanic & Atmospheric Rsrch JointCoop	222,421	212,879	212,666	212,666	212,666	212,454	212,454	212,454
11439 Marine Mammal Data Program	15,871	16,030	20,823	20,823	20,823	23,321	23,321	23,321
11457 Chesapeake Bay Studies	5,812	5,870	7,625	7,625	7,625	8,540	8,540	8,540
11468 Applied Meteorological Research	46,059	44,083	44,039	44,039	44,039	43,995	43,995	43,995
11469 Congressionally Identified Awards Proj	14,351	13,735	13,873	13,873	13,873	15,537	15,537	15,537
11472 Unallied Science Program	3,506	3,356	3,389	3,389	3,389	3,796	3,796	3,796
11473 Coastal Services Center	244,238	243,994	243,750	243,750	243,750	243,506	243,506	243,506
11478 Ctr Sponsored Coastal Ocean Rsrch	9,707	9,804	12,735	12,735	12,735	14,264	14,264	14,264
11609 Measurement & Engineering Rsrch& Strnd	82,451	82,369	82,286	82,286	82,286	82,204	82,204	82,204
12000 Department of Defense	1,788,242	1,711,526	1,709,815	1,709,815	1,709,815	1,708,105	1,708,105	1,708,105
12300 Basic & Applied Scientific Research	2,360,422	2,259,160	2,256,901	2,256,901	2,256,901	2,254,644	2,254,644	2,254,644
12350 Department of Defense HIV/AIDS Prevention Program	326,337	312,337	312,025	312,025	312,025	311,713	311,713	311,713
12351 Basic Scientific Research - Combating Weapons of M	198,747	190,221	190,031	190,031	190,031	189,841	189,841	189,841
12420 Military Medical Research and Development	506,528	506,021	505,515	505,515	505,515	505,010	505,010	505,010
12431 Basic Scientific Research	179,252	179,073	178,894	178,894	178,894	178,715	178,715	178,715
12630 Basic, Applied, & Advanced Research	95,692	91,587	91,495	91,495	91,495	91,404	91,404	91,404
12800 Air Force Defense Rsrch Sciences Pgm	1,577,694	1,576,116	1,574,540	1,574,540	1,574,540	1,572,966	1,572,966	1,572,966
12901 Mathematical Sciences Grants Program	10,884	10,417	10,521	10,521	10,521	11,784	11,784	11,784
12910 Research & Technology Development	114,407	114,293	114,178	114,178	114,178	114,064	114,064	114,064
14900 Lead Paint Haz Ctl Pvt-Owned Hsng	14,651	14,022	14,163	14,163	14,163	15,862	15,862	15,862
15605 Sport Fish Restoration	24,373	23,327	23,561	23,561	23,561	26,388	26,388	26,388
15608 Fish & Wildlife Management Assistnce	13,366	13,500	17,536	17,536	17,536	19,640	19,640	19,640
15611 Wildlife Restoration	1,998	1,912	1,931	1,931	1,931	2,163	2,163	2,163
15634 State Wildlife Grants	79,216	75,818	75,742	75,742	75,742	75,666	75,666	75,666
15805 Assist State Water Resources Rsrch Inst	59,272	59,213	59,154	59,154	59,154	59,094	59,094	59,094
15808 USGS Rsrch & Data Collect	21,492	21,707	28,197	28,197	28,197	31,581	31,581	31,581

Budget-in-Detail

16523 Juvenile Accountability Incentive Blk	113,837	108,953	108,844	108,844	108,844	108,736	108,736	108,736
16525 Grt-Red Violent Crimes Against Women	18,210	17,429	17,603	17,603	17,603	19,715	19,715	19,715
16560 National Inst Justice Rsrch, Eval Dev Prj	73,235	73,162	73,089	73,089	73,089	73,016	73,016	73,016
16726 Juvenile Mentoring Program	88,428	84,634	84,550	84,550	84,550	84,465	84,465	84,465
17261 Employment & Training Administration	40,895	40,854	40,813	40,813	40,813	40,772	40,772	40,772
19009 Academic Exchange Pgm - Undergrad Pgms	378,873	362,619	362,257	362,257	362,257	361,894	361,894	361,894
19415 Professional Exchanges Annual Open Gra	203,361	194,637	194,442	194,442	194,442	194,248	194,248	194,248
20205 Highway Planning & Construction	1,775,789	1,699,608	1,697,908	1,697,908	1,697,908	1,696,210	1,696,210	1,696,210
20313 Railroad Research & Development	31,425	30,077	30,047	30,047	30,047	30,017	30,017	30,017
20314 Railroad Development	50,327	50,277	50,226	50,226	50,226	50,176	50,176	50,176
20701 University Transportation Centers Prog	427,983	427,555	427,127	427,127	427,127	426,700	426,700	426,700
20760 University Transportation Centers	469,804	449,649	449,200	449,200	449,200	448,751	448,751	448,751
21008 Low-Income Taxpayer Clinics	64,728	61,951	61,889	61,889	61,889	61,827	61,827	61,827
27011 Intergovernmental Personnel Act (IPA)	10,896	11,005	14,295	14,295	14,295	16,011	16,011	16,011
43000 National Aeronautics and Space Admin	827,305	825,725	825,088	825,088	825,088	826,364	826,364	826,364
43001 Aerospace Education Services Program	102,015	97,639	97,541	97,541	97,541	97,443	97,443	97,443
45160 Promotion of the Humanities Fellowships	45,487	43,536	43,492	43,492	43,492	43,449	43,449	43,449
45161 Promotion of the Humanities Research	58,704	56,186	56,129	56,129	56,129	56,073	56,073	56,073
45312 National Leadership Grants	55,890	53,492	53,439	53,439	53,439	53,385	53,385	53,385
47000 National Science Foundation	230,641	230,410	230,180	230,180	230,180	229,950	229,950	229,950
47041 Engineering Grants	2,817,489	2,696,619	2,693,922	2,693,922	2,693,922	2,691,228	2,691,228	2,691,228
47049 Mathematical & Physical Sciences	2,058,104	1,969,811	1,967,842	1,967,842	1,967,842	1,965,874	1,965,874	1,965,874
47050 Geosciences	1,486,371	1,422,606	1,421,183	1,421,183	1,421,183	1,419,762	1,419,762	1,419,762
47070 Computer and Information Science and Engineering	1,371,430	1,370,059	1,368,689	1,368,689	1,368,689	1,367,320	1,367,320	1,367,320
47074 Biological Sciences	2,996,555	2,993,558	2,990,565	2,990,565	2,990,565	2,987,574	2,987,574	2,987,574
47075 Social, Behavioral, & Economic Sciences	672,812	643,948	643,304	643,304	643,304	642,661	642,661	642,661
47076 Education & Human Resources	1,941,690	1,858,391	1,856,533	1,856,533	1,856,533	1,854,677	1,854,677	1,854,677
47078 Polar Programs	128,183	122,684	122,561	122,561	122,561	122,439	122,439	122,439
47079 International Science and Engineering	28,218	28,190	28,162	28,162	28,162	28,133	28,133	28,133
47080 Office of Cyberinfrastructure	61,408	58,774	58,715	58,715	58,715	58,656	58,656	58,656
47082 Trans-NSF Recovery Act Reasearch Support (B)	1,675,048	1,603,188	1,601,585	1,601,585	1,601,585	1,599,984	1,599,984	1,599,984
59000 Small Business Administration	149,102	147,554	147,407	147,407	147,407	147,259	147,259	147,259
64000 Department of Veterans Affairs	169,450	162,181	162,018	162,018	162,018	161,856	161,856	161,856
66000 Environmental Protection Agency	100,259	95,958	95,862	95,862	95,862	95,766	95,766	95,766
66437 Long Island Sound Program	650,820	622,900	622,277	622,277	622,277	621,655	621,655	621,655
66460 Nonpoint Source Implement Grts	94,336	94,242	94,147	94,147	94,147	94,053	94,053	94,053
66509 Science To Achieve Results (STAR) Pgm	42,892	42,849	42,806	42,806	42,806	42,763	42,763	42,763
66514 Science To Achieve Results (STAR) Fellowship	52,082	49,848	49,798	49,798	49,798	49,748	49,748	49,748
66516 P3 Award: National Student Design Competition	9,716	9,299	9,392	9,392	9,392	10,519	10,519	10,519
81000 Department of the Interior	95,481	95,386	95,290	95,290	95,290	95,195	95,195	95,195
81049 Office of Science Financial Assistance	1,834,407	1,755,711	1,753,955	1,753,955	1,753,955	1,752,201	1,752,201	1,752,201
81079 Regional Biomass Energy Programs	82,929	82,846	82,763	82,763	82,763	82,680	82,680	82,680
81087 Renewable Energy Research & Development	2,204,185	2,109,625	2,107,516	2,107,516	2,107,516	2,105,408	2,105,408	2,105,408
81089 Fossil Energy Research & Development	300,650	287,752	287,464	287,464	287,464	287,177	287,177	287,177
81117 Energy Efficiency & Renewable Energy	323,400	309,526	309,217	309,217	309,217	308,907	308,907	308,907
81122 Electricity Delivery and Energy Reliability, Resea	5,110	4,891	4,940	4,940	4,940	5,532	5,532	5,532
84000 Department of Education	62,590	62,527	62,465	62,465	62,465	62,402	62,402	62,402
84000 Department of Energy	487,282	466,378	465,911	465,911	465,911	465,445	465,445	465,445

University of Connecticut

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Education

84007 Federal Supplemental Educational Opport Grants	570,934	564,909	550,000	550,000	550,000	525,000	525,000	525,000
84010 Title I Grants to Local Educational Agencies	67,980	67,912	67,844	67,844	67,844	67,776	67,776	67,776
84019 Overseas Faculty Research Abroad	52,665	52,612	52,560	52,560	52,560	52,507	52,507	52,507
84033 Federal Work-Study Program	1,342,087	1,378,738	1,378,738	1,378,738	1,378,738	1,378,738	1,378,738	1,378,738
84042 TRIO Student Support Services	298,622	285,811	285,525	285,525	285,525	285,240	285,240	285,240
84044 TRIO Talent Search	268,698	268,429	268,161	268,161	268,161	267,893	267,893	267,893
84047 TRIO Upward Bound	274,694	262,910	262,647	262,647	262,647	262,384	262,384	262,384
84048 Vocational Education Basic Grants to States	16,912	16,186	16,348	16,348	16,348	18,310	18,310	18,310
84063 Federal Pell Grant Program	21,075,238	20,600,000	20,400,000	20,400,000	20,400,000	20,200,000	20,200,000	20,200,000
84116 Improvement of Postsecondary Education	8,866	8,955	11,632	11,632	11,632	13,028	13,028	13,028
84170 Javits Fellowships	43,975	42,088	42,046	42,046	42,046	42,004	42,004	42,004
84181 Special Education Grants for Infants	8,337	8,420	10,938	10,938	10,938	12,251	12,251	12,251
84184 Safe & Drug-Free Schools & Communities	55,365	55,310	55,254	55,254	55,254	55,199	55,199	55,199
84195 Bilingual Education - Professional Develop	223,153	222,930	222,707	222,707	222,707	222,484	222,484	222,484
84200 Graduate Assistance in Areas of National Need	864,795	863,930	863,066	863,066	863,066	862,203	862,203	862,203
84206 Javits Gifted & Talented Students Grant Pgm	167,496	167,329	167,161	167,161	167,161	166,994	166,994	166,994
84215 Fund for the Improvement of Education	48,483	48,435	48,386	48,386	48,386	48,338	48,338	48,338
84220 Centers for International Business Education	207,522	207,314	207,107	207,107	207,107	206,900	206,900	206,900
84243 Tech-Prep Education	6,080	5,819	5,877	5,877	5,877	6,583	6,583	6,583
84305 Education Research, Development & Dissemination	2,556,309	2,446,643	2,444,197	2,444,197	2,444,197	2,441,753	2,441,753	2,441,753
84324 Research in Special Education	1,767,653	1,691,821	1,690,129	1,690,129	1,690,129	1,688,439	1,688,439	1,688,439
84325 Special Education Personnel Preparation	16,061	15,372	15,526	15,526	15,526	17,389	17,389	17,389
84326 Special Education Technical Assistance	396,655	379,639	379,259	379,259	379,259	378,880	378,880	378,880
84327 Special Education Technology & Media	7,925	7,585	7,661	7,661	7,661	8,580	8,580	8,580
84333 Demonstration Projects to Ensure Stude	163,779	163,615	163,452	163,452	163,452	163,288	163,288	163,288
84334 Gaining Early Awareness & Readiness	278,608	278,329	278,051	278,051	278,051	277,773	277,773	277,773
84365 English Language Acquisition Grants	131,787	126,133	126,007	126,007	126,007	125,881	125,881	125,881
84367 Improving Teacher Quality State Grants	291,397	278,896	278,617	278,617	278,617	278,339	278,339	278,339
84373 Special Education_Technical Assistance on State Da	56,886	56,829	56,772	56,772	56,772	56,716	56,716	56,716
84376 SMART Grants	34,114	34,080	34,046	34,046	34,046	34,012	34,012	34,012
84378 College Access Challenge Grant Program	134,189	128,432	128,304	128,304	128,304	128,176	128,176	128,176
84379 Teacher Education Assistance for College and Highe	18,000	16,000	16,000	16,000	16,000	16,000	16,000	16,000
84928 National Writing Project	30,680	30,649	30,619	30,619	30,619	30,588	30,588	30,588
90401 Help America Vote Act Requirements Payments	254,000	253,746	253,492	253,492	253,492	253,239	253,239	253,239
93000 National Institute for Health	889,185	888,296	887,408	887,408	887,408	886,520	886,520	886,520
93061 Innovations in Applied Public Health Research	16,863	17,032	22,124	22,124	22,124	24,779	24,779	24,779
93068 Chronic Diseases: Research, Control, and Preventio	12,685	12,141	12,262	12,262	12,262	13,734	13,734	13,734
93103 Food & Drug Administration Research	3,689	3,531	3,566	3,566	3,566	3,994	3,994	3,994
93113 Environmental Health	682,647	681,964	681,282	681,282	681,282	680,601	680,601	680,601
93121 Oral Diseases & Disorders Research	133,955	128,208	128,080	128,080	128,080	127,952	127,952	127,952
93143 NIEHS Superfund Hazardous Substances B	59,010	56,478	56,422	56,422	56,422	56,366	56,366	56,366
93173 Research Deafness/Communication Disorders	745,575	713,590	712,876	712,876	712,876	712,163	712,163	712,163
93178 Nursing Workforce Diversity	266,632	255,193	254,938	254,938	254,938	254,683	254,683	254,683

Budget-in-Detail

93213 Research & Training in Complementary	426,564	408,264	407,856	407,856	407,856	407,448	407,448	407,448
93233 National Center on Sleep Disorders Res	174,884	174,709	174,534	174,534	174,534	174,360	174,360	174,360
93242 Mental Health Research Grants	3,143,761	3,140,617	3,137,477	3,137,477	3,137,477	3,134,339	3,134,339	3,134,339
93243 Substance Abuse & Mental Health Services	318,182	304,532	304,227	304,227	304,227	303,923	303,923	303,923
93262 Occupational Safety and Health Program	278,924	278,645	278,366	278,366	278,366	278,088	278,088	278,088
93273 Alcohol Research Programs	991,107	990,116	989,126	989,126	989,126	988,137	988,137	988,137
93279 Drug Abuse Research Programs	1,349,789	1,291,883	1,290,591	1,290,591	1,290,591	1,289,301	1,289,301	1,289,301
93281 Mental Health Research Career/Scientis	239,736	239,496	239,257	239,257	239,257	239,018	239,018	239,018
93282 Mental Health National Research Services	298,506	285,700	285,414	285,414	285,414	285,129	285,129	285,129
93283 Ctrs-Disease Control & Prevention	19,179	19,371	25,163	25,163	25,163	28,182	28,182	28,182
93286 Discovery & Applied Research	427,083	408,761	408,352	408,352	408,352	407,944	407,944	407,944
93307 Minority Health & Health Disparities	129,885	129,755	129,625	129,625	129,625	129,496	129,496	129,496
93310 Trans-NIH Research Support	23,703	23,940	31,098	31,098	31,098	34,830	34,830	34,830
93358 Advanced Education Nursing Traineeship	54,453	54,399	54,344	54,344	54,344	54,290	54,290	54,290
93359 Nurse Education, Practice & Retention Grants	391,082	374,305	373,930	373,930	373,930	373,556	373,556	373,556
93361 Nursing Research	458,383	438,718	438,280	438,280	438,280	437,841	437,841	437,841
93389 National Center for Research Resources	94,621	90,562	90,471	90,471	90,471	90,381	90,381	90,381
93393 Cancer Cause & Prevention Research	251,341	240,558	240,318	240,318	240,318	240,078	240,078	240,078
93394 Cancer Detection & Diagnosis Research	234,253	224,204	223,979	223,979	223,979	223,755	223,755	223,755
93395 Cancer Treatment Research	209,468	200,482	200,281	200,281	200,281	200,081	200,081	200,081
93632 University Centers for Excellence in D	38,036	36,404	36,368	36,368	36,368	36,331	36,331	36,331
93701 Trans-NIH Recovery Act Research Support	1,627,668	1,626,040	1,624,414	1,624,414	1,624,414	1,622,790	1,622,790	1,622,790
93837 Heart & Vascular Diseases Research	348,613	348,264	347,916	347,916	347,916	347,568	347,568	347,568
93838 Lung Diseases Research	98,058	93,851	93,757	93,757	93,757	93,664	93,664	93,664
93846 Arthritis, Musculoskeletal & Skin Diseases	313,498	313,185	312,871	312,871	312,871	312,558	312,558	312,558
93847 Diabetes, Endocrinology & Metabolism	409,254	391,697	391,305	391,305	391,305	390,914	390,914	390,914
93853 Extramural Research Pgms	1,228,817	1,176,101	1,174,925	1,174,925	1,174,925	1,173,750	1,173,750	1,173,750
93855 Allergy, Immunology & Transplantation	1,350,582	1,292,642	1,291,349	1,291,349	1,291,349	1,290,058	1,290,058	1,290,058
93859 Biomedical Research & Research Training	1,454,889	1,392,474	1,391,082	1,391,082	1,391,082	1,389,691	1,389,691	1,389,691
93865 Child Health/Human Development Extramural Research	1,400,984	1,399,583	1,398,183	1,398,183	1,398,183	1,396,785	1,396,785	1,396,785
93866 Aging Research	7,508	7,583	9,850	9,850	9,850	11,032	11,032	11,032
93867 Vision Research	332,914	318,632	318,313	318,313	318,313	317,995	317,995	317,995
93879 Medical Library Assistance	109,470	104,774	104,669	104,669	104,669	104,564	104,564	104,564
93925 Scholarships for Health Professions St	77,677	74,345	74,270	74,270	74,270	74,196	74,196	74,196
93989 Senior International Fellowships	75,221	71,994	71,922	71,922	71,922	71,850	71,850	71,850
93994 Maternal & Child Health Services	33,028	31,611	31,579	31,579	31,579	31,548	31,548	31,548
94006 AmeriCorps	43,996	43,952	43,908	43,908	43,908	43,864	43,864	43,864
96007 Social Security Research & Demo	31,943	30,573	30,542	30,542	30,542	30,512	30,512	30,512
97004 State Domestic Preparedness Equipment	35,950	34,408	34,373	34,373	34,373	34,339	34,339	34,339
97061 Centers for Homeland Security	905,903	867,040	866,173	866,173	866,173	865,307	865,307	865,307
97065 Homeland Security Advanced Research Pr	138,650	132,702	132,569	132,569	132,569	132,437	132,437	132,437
97068 Competitive Training Grants	220,535	211,074	210,863	210,863	210,863	210,652	210,652	210,652
97104 Homeland Security-related Science, Technology, Eng	24,483	23,433	23,667	23,667	23,667	26,507	26,507	26,507
97108 Homeland Security, Research, Testing, Evaluation,	7,582	7,658	9,948	9,948	9,948	11,141	11,141	11,141
98000 United States Agency for International Dev	148,507	142,136	141,994	141,994	141,994	141,852	141,852	141,852
98001 USAID Foreign Assistance for Programs	204,716	195,934	195,738	195,738	195,738	195,542	195,542	195,542

University of Connecticut

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Education

98012 Est of Romanian-American Ctr	464,016	444,110	443,666	443,666	443,666	443,222	443,222	443,222
99999 Uncoded federal funding	2,151,477	2,065,520	2,063,760	2,063,760	2,063,760	2,069,439	2,069,439	2,069,439
TOTAL - All Funds	102,779,187	99,255,489	99,040,580	1,104,289,290	1,190,582,982	98,815,580	1,152,704,694	1,267,106,220

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Current Expenses</i>						
Operating Expenses	193,690,481	192,336,268	201,598,257	300,722,839	213,894,669	340,862,102
Tuition Freeze	4,267,696	4,267,696	4,267,696	0*	4,267,696	0*
Regional Campus Enhancement	7,538,003	7,538,003	7,538,003	0*	7,538,003	0*
Veterinary Diagnostic Laboratory	90,000	90,000	90,000	0*	90,000	0*
CommPACT Schools	0	500,000	500,000	0*	500,000	0*
Connecticut Center for Advanced Technology	0	500,000	500,000	0*	500,000	0*
TOTAL-Other Current Expenses	205,586,180	205,231,967	214,493,956	300,722,839	226,790,368	340,862,102
<i>Pmts to Other Than Local Govts</i>						
Kirklyn M Kerr Grant Program	0	400,000	400,000	0	400,000	0
TOTAL-Pmts to Other Than Local Govts	0	400,000	400,000	0	400,000	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Current Expenses	205,586,180	205,231,967	214,493,956	214,029,147	300,722,839	226,790,368	226,060,576	340,862,102
Payments to Other Than Local Governments	0	400,000	400,000	400,000	0	400,000	400,000	0
TOTAL-General Fund Net	205,586,180	205,631,967	214,893,956	214,429,147	300,722,839	227,190,368	226,460,576	340,862,102
<i>Additional Funds Available</i>								
University of Connecticut Operating Fd	686,494,310	716,276,918	757,461,456	757,461,456	757,461,456	793,792,081	793,792,081	793,792,081
UConn Research Foundation	32,879,173	33,785,000	33,358,107	33,358,107	33,358,107	33,636,457	33,636,457	33,636,457
Federal and Other Activities	102,779,187	99,255,489	99,040,580	99,040,580	99,040,580	98,815,580	98,815,580	98,815,580
TOTAL-All Funds Net	1,027,738,850	1,054,949,374	1,104,754,099	1,104,289,290	1,190,582,982	1,153,434,486	1,152,704,694	1,267,106,220

# UNIVERSITY OF CONNECTICUT HEALTH CENTER

## AGENCY DESCRIPTION

The University of Connecticut Health Center is a comprehensive educational, research and patient care organization. It is comprised of the School of Medicine, the School of Dental Medicine, the John Dempsey Hospital, an extensive medical and dental faculty practice, a leading research center, and administrative facilities. The Health Center offers an innovative educational curriculum supported by areas of excellence in research and clinical programs that enhance the vitality of the region and the state.

To meet its educational and public service responsibilities, the Health Center provides a wide array of graduate and continuing education programs that incorporate both scientific and clinical orientations and balance faculty initiatives among teaching, research and public service.

Equally important, through its inpatient and ambulatory care programs, the Health Center exposes students to a variety of

patients and practice settings. This broad-based experience assures the development of competent and caring health care professionals.

As an integral part of their duties, the faculty of the Schools of Medicine and Dental Medicine conduct extensive research into the basic causes of disease, more effective clinical therapies, and more efficient ways of organizing, providing and financing health care.

Through its faculty, staff and students, the Health Center supports a wide variety of community outreach programs through collaborative efforts with other state agencies, city and town governments, community-based organizations, and the citizens of Connecticut to provide direct care to the poor and uninsured and to educate various populations about health and health care.

A description of the major programs at the University of Connecticut Health Center follows. The recommended General Fund amounts are shown in The University of Connecticut Health Center Block Grant program.

**The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "The University of Connecticut Block Grant"**

## AGENCY PROGRAM INDEX

School of Medicine	417	Managed Health Care System - DOC	420
School of Dental Medicine	418	UHC Block Grant	420
UHC Institutional Support	419		
UHC Health System	419		

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Annualize FY 2013 Rescissions	-25,285	-25,285
• Annualize FY 2013 Deficit Mitigation Plan	-4,121,609	-4,121,609
• Reduce Operating Fund Support	-1,486,437	-1,486,437
• Modify the Fire Department Operations	-420,391	-420,391
<i>Reduce overtime costs by: billing municipalities for paramedic services, recouping neo-natal transport costs, or increasing support from local fire departments.</i>		
<b>Within Current Services</b>		
• Provide Operating Support for Bioscience Initiative	11,900,724	16,765,958
<i>Funding for 19 clinical scientist faculty and researchers is provided in FY 2014 and an additional 18 faculty and researchers in FY 2015.</i>		
<b>Reallocations or Transfers</b>		
• Reallocate Fringe Benefits for the Higher Education Units	71,566,732	74,607,351
• Streamline Agency Account Structure	0	0
<i>Funding for Area Health Education Centers (AHEC) has been consolidated into the Operating Expenses account.</i>		
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-312,171	-26,014

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	1,625	16	20	1,661	1,680	1,680	1,698	1,698
University of Connecticut Health Center Operating Fund	1,188	0	39	1,227	1,244	1,244	1,264	1,264
UConn Health Center Research	600	0	15	615	631	631	653	653
UConn Health Center Clinical Programs	1,368	0	60	1,428	1,473	1,473	1,521	1,521

Other Positions Equated to Full Time General Fund	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	0	0	0	0	67	0

Agency Programs by Total Funds (Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
School of Medicine	176,205,099	181,022,486	190,382,192	0	0	199,256,963	0	0
School of Dental Medicine	27,847,110	27,860,672	28,919,033	0	0	30,157,493	0	0
UHC Institutional Support	111,274,963	122,555,046	126,535,484	0	0	131,458,777	0	0
University of Connecticut Health System	352,896,156	359,120,089	383,413,303	0	0	407,650,841	0	0
Managed Health Care System - DOC	82,670,027	82,923,371	86,721,569	0	0	91,980,468	0	0
UHC Block Grant	0	0	0	851,210,882	916,723,892	0	895,310,697	963,864,326
TOTAL Agency Programs - All Funds Gross	750,893,355	773,481,664	815,971,581	851,210,882	916,723,892	860,504,542	895,310,697	963,864,326
Nonfunctional - Change to Accruals	0	0	0	1,328,017	1,015,846	0	1,129,447	1,103,433
TOTAL Agency Programs - All Funds Net	750,893,355	773,481,664	815,971,581	852,538,899	917,739,738	860,504,542	896,440,144	964,967,759
<u>Summary of Funding</u>								
General Fund Net	108,458,794	112,666,627	130,375,623	130,437,615	195,638,454	141,752,221	140,592,388	209,120,003
UConn Hlth Cntr Operating Fund	283,342,090	305,783,001	318,219,284	318,219,284	318,219,284	332,832,756	332,832,756	332,832,756
UConn Health Center Research	99,269,267	94,638,485	97,731,535	88,779,000	88,779,000	101,579,284	91,570,000	91,570,000
UConn Health Center Clinical Programs	259,823,204	260,393,551	269,645,139	315,103,000	315,103,000	284,340,281	331,445,000	331,445,000
TOTAL Agency Programs - All Funds Net	750,893,355	773,481,664	815,971,581	852,538,899	917,739,738	860,504,542	896,440,144	964,967,759

## SCHOOL OF MEDICINE

### Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

### Statement of Need and Program Objectives

To provide qualified Connecticut residents the educational opportunity to become physicians. To ensure an adequate number of highly qualified practitioners of medicine through educational programs that incorporate both scientific and clinical content. To advance knowledge through a diversified program of research and research training.

### Program Description

**Education:** The School of Medicine administers programs at all levels of physician training. It educates future teachers and researchers in the life sciences and in the community health field through graduate programs at the doctoral and master degree levels.

**Undergraduate Program:** The school administers a four-year, post-baccalaureate program leading to the M.D. degree. The first two years expose students to fundamental scientific knowledge and skills necessary to all physicians, regardless of specialization. The student is also exposed to the ethical, legal, social and financial issues which dominate modern health care delivery. In the third and fourth years, the student applies the knowledge acquired in the first two years and sharpens clinical skills through more intensive clinical work and rotations through various medical disciplines. These clinical activities take place in a variety of settings to ensure student exposure to all types of problems, delivery systems, and population needs.

**Graduate Programs:** Residency programs at the School of Medicine provide the advanced training necessary to practice and obtain certification in many fields of medical specialization. The programs vary in length from one to five years and are administered at John Dempsey Hospital and several closely affiliated health care delivery institutions. Master degree and doctoral programs are offered in cooperation with the University of Connecticut Graduate School in nine biomedical sciences and in community health sciences. There are also post-doctoral education programs for biomedical scientists that involve close collaboration between the faculty in the basic sciences and in the medical and dental clinical departments.

**Continuing Education:** The school provides facilities and opportunities for practicing health care professionals to continue their education by offering a wide variety of courses, lectures, seminars, and visiting lectureships both at the Health Center and at other institutions.

**Research:** More than half of the faculty members are expected to develop an active research program, both to advance basic knowledge within their fields of expertise and to enhance the quality of educational programs. More than \$80 million dollars of non-state funds are awarded to the school annually to support its research activities. These activities are essential for attracting and maintaining the best faculty and for developing economic partnerships with industry around the state.

## SCHOOL OF MEDICINE

### Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	749	16	5	770	783	0	792	0

Other Positions Equated to Full Time General Fund	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	0	0	0	0	67	0



Budget-in-Detail

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
Operating Expenses	41,366,663	49,198,997	57,076,720	0	0	62,109,112	0	0
AHEC for Bridgeport	504,923	505,707	505,707	0	0*	505,707	0	0*
TOTAL-General Fund	41,871,586	49,704,704	57,582,427	0	0	62,614,819	0	0
<i>Additional Funds Available</i>								
UConn Hlth Cntr Operating Fund	70,801,182	70,749,152	70,251,583	0	0	71,631,403	0	0
UConn Health Center Research	63,532,331	60,568,630	62,548,182	0	0	65,010,741	0	0
TOTAL - All Funds	176,205,099	181,022,486	190,382,192	0	0	199,256,963	0	0

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**SCHOOL OF DENTAL MEDICINE**

**Statutory Reference**

C.G.S. Sections 10a-102 and 10a-130.

**Statement of Need and Program Objectives**

To provide qualified Connecticut residents the educational opportunity to become dentists.

To ensure an adequate number of highly qualified practitioners of dentistry through educational programs that incorporate both scientific and clinical content.

To advance knowledge through a diversified program of research and research training.

**Program Description**

**Education:** The School of Dental Medicine administers programs at all levels of dental education. The school educates those intent on practicing general dentistry or a dental specialty as well as future teachers and researchers in the life sciences.

**Undergraduate Program:** The School of Dental Medicine administers a four-year post-baccalaureate program leading to the D.M.D. degree. During the first two years dental students attend the same basic medical science classes as medical students. In addition, dental students are taught clinical dental sciences and applicability of basic

medical sciences to the practice of dental medicine. During the next two years, dental students receive approximately 2,200 hours of clinical experience and 800 hours of didactic instruction and pre-clinical laboratory training. Students gain broad experience in the diagnostic sciences related to dentistry and have the opportunity to provide a wide range of oral health care in the school's outpatient clinics which receive more than 80,000 patient visits annually.

**Graduate Education Programs:** The School of Dental Medicine offers residency programs leading to certification in all the recognized clinical dental specialties. Training grants from the National Institutes of Health allow students intent on a career in academic dentistry to concurrently pursue training in a specialty or D.M.D. degree and a Ph.D. in the biomedical sciences.

**Research:** The school's goal is to graduate students who possess the knowledge and clinical skills to provide quality dental care today and have the intellectual capacity to understand, develop, and apply new concepts to future challenges. An essential expectation for all faculty members is an ongoing effort to advance the basic understanding of human biology and pathology and raise the standard of oral health. Over \$6 million of non-state funds are awarded annually to the school to support its research activities.

**SCHOOL OF DENTAL MEDICINE**

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	135	0	10	145	146	0	149	0

**Financial Summary**

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
Operating Expenses	10,099,876	10,879,563	12,608,152	0	0	13,701,330	0	0
TOTAL-General Fund	10,099,876	10,879,563	12,608,152	0	0	13,701,330	0	0
<i>Additional Funds Available</i>								
UConn Hlth Cntr Operating Fund	9,805,693	9,410,030	8,492,358	0	0	8,329,820	0	0
UConn Health Center Research	7,941,541	7,571,079	7,818,523	0	0	8,126,343	0	0
TOTAL - All Funds	27,847,110	27,860,672	28,919,033	0	0	30,157,493	0	0

## UNIVERSITY OF CONNECTICUT HEALTH CENTER INSTITUTIONAL SUPPORT

**Statutory Reference**

C.G.S. Sections 10a-102 and 10a-130.

To support the instruction, research, study and community service needs of students, faculty, staff, and citizens.

To enhance the overall operation of the Health Center through effective management, long-range planning and financial and educational support services.

To ensure a clean, safe and healthy environment through regular and effective operation, maintenance and upkeep of buildings and grounds.

To insure the efficient and effective planning, management and control of Health Center operations through its executive management, fiscal operations, and general administrative services.

**Program Description**

The *Lyman Maynard Stowe Library* is the Health Center's academic resource for medical, dental and scientific information.

*Administrative Support Services* include the offices of the vice president for health affairs, communications, diversity management and equal opportunity, environmental health and safety, facilities management, finance, human resources, information technology, public safety, and radiation safety. Other support services include a bookstore, reprographics, a center for laboratory animal care, day care, mail distribution, purchasing, receiving, warehousing, telecommunications and video communications.

**UHC INSTITUTIONAL SUPPORT****Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	741	0	5	746	751	0	757	0

**Financial Summary****(Net of Reimbursements)**Other Current Expenses

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Operating Expenses	56,487,332	52,082,360	60,185,044	0	0	65,436,072	0	0
TOTAL-General Fund	56,487,332	52,082,360	60,185,044	0	0	65,436,072	0	0
<u>Additional Funds Available</u>								
UConn Hlth Cntr Operating Fund	26,992,236	43,973,910	38,985,610	0	0	37,580,505	0	0
UConn Health Center Research	27,795,395	26,498,776	27,364,830	0	0	28,442,200	0	0
TOTAL - All Funds	111,274,963	122,555,046	126,535,484	0	0	131,458,777	0	0

## UNIVERSITY OF CONNECTICUT HEALTH CENTER CLINICAL PROGRAMS

**Statutory Reference**

C.G.S. Sections 10a-126 through 10a-129 and 10a-132.

**Statement of Need and Program Objectives**

To provide the safe, streamlined delivery of all inpatient and outpatient medical and dental care offered by the Health Center.

To ensure a quality educational environment, provide the opportunity for faculty and students to be involved in patient care, and meet public service responsibilities.

**Program Description**

The Health Center operates an acute care general hospital, which includes clinics and the extensive faculty practices of both the School of Medicine and the School of Dental Medicine. These clinical resources are essential to the basic education of physicians, dentists, nurses and other health care professionals. They also allow the Health Center to support and sustain its educational and research mission in a rapidly changing health care environment. In addition, they assure the delivery of essential services to all patient populations, including medically under-served populations, by providing easier access to all levels of care and promoting efficiency designed to help hold the line on health care costs.

The Health Center operates its own clinical programs to ensure a quality educational environment, to provide the opportunity for faculty and students to be involved in patient care and to meet its public service responsibilities. Its education programs also make extensive use of clinical opportunities at affiliated teaching hospitals and other service providers in the community.

*John Dempsey Hospital* operates 204 general acute care beds and 20 nursery beds. It serves as the primary teaching hospital for the Schools of Medicine and Dental Medicine and participates in the clinical education of students from other health profession education programs. It provides a full range of services, including surgery, medicine, cardiac care, oncology, psychiatry, and obstetrics and gynecology.

*UConn Medical Group and University Dentists* are the faculty practices of the Schools. Physicians and dentists provide an extensive array of primary and specialized services. Patient care services currently generate approximately 50 percent of the Health Center's total revenue. These services exist to meet the educational needs of students and the health care needs of the community.

*Collaborative Center for Clinical Improvement* develops and implements policies, practices and standards to improve patient safety and health care quality throughout the organization.

UHC HEALTH SYSTEM

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
UConn Hlth Cntr Operating Fund	93,072,952	98,726,538	113,768,164	0	0	123,310,560	0	0
UConn Health Center Clinical Programs	259,823,204	260,393,551	269,645,139	0	0	284,340,281	0	0
TOTAL - All Funds	352,896,156	359,120,089	383,413,303	0	0	407,650,841	0	0

**CORRECTIONAL MANAGED HEALTH CARE PROGRAM****Program Description**

The Health Center provides medical, mental, dental, and pharmacy services to the Department of Correction through an inter-agency memorandum of agreement rewritten in 2006. More than 700 CMHC healthcare professionals are responsible for providing services to approximately 19,000 incarcerated individuals throughout Connecticut. We are the only academic medical center in the country that provides comprehensive health services to an entire state Department of Correction.

Highlights and initiatives of programs include:

A medical consolidation program that redeployed scores of CMHC employees and moved thousands of inmates, consolidating more needy inmates into facilities more capable of providing expanded services.

Mental health program and staffing enhancements that improved case finding for inmates with mental health needs, emphasizing assessments of suicide risk and the need for services at the time of admission to the system.

Discharge planners hired for all units, improving inmate re-entry to the community and access to available resources.

All accreditations by the National Commission on Correctional Health Care and the American Correctional Association were successfully renewed.

MANAGED HEALTH CARE SYSTEM - DOC

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Additional Funds Available</u>								
UConn Hlth Cntr Operating Fund	82,670,027	82,923,371	86,721,569	0	0	91,980,468	0	0
TOTAL - All Funds	82,670,027	82,923,371	86,721,569	0	0	91,980,468	0	0

**UNIVERSITY OF CONNECTICUT HEALTH CENTER BLOCK GRANT****Statutory Reference**

C.G.S. Section 10a-105.

**Statement of Need and Program Objectives**

To better serve all Connecticut residents who use Health Center services.

**Program Description**

There are five program elements within "The University of Connecticut Health Center Block Grant that comprise Academic Expenses". They are: School of Medicine; School of Dental Medicine, Research, the University Medical Group and Institutional Support.

For a more complete description of each of these program elements, please refer to the aforementioned program descriptions.

UHC BLOCK GRANT

<b>Personnel Summary</b>	As of 06/30/2012	FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015	
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	0	0	0	1,680	0	1,698
University of Connecticut Health Center Operating Fund	1,188	0	39	1,227	1,244	1,244	1,264	1,264
UConn Health Center Research	600	0	15	615	631	631	653	653
UConn Health Center Clinical Programs	1,368	0	60	1,428	1,473	1,473	1,521	1,521

  

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Operating Expenses	0	0	0	128,603,891	194,622,608	0	138,957,234	208,016,570
AHEC for Bridgeport	0	0	0	505,707	0*	0	505,707	0*
TOTAL-General Fund	0	0	0	129,109,598	194,622,608	0	139,462,941	208,016,570

Additional Funds Available

UConn Hlth Cntr Operating Fund	0	0	0	318,219,284	318,219,284	0	332,832,756	332,832,756
UConn Health Center Research	0	0	0	88,779,000	88,779,000	0	91,570,000	91,570,000
UConn Health Center Clinical Programs	0	0	0	315,103,000	315,103,000	0	331,445,000	331,445,000
TOTAL - All Funds	0	0	0	851,210,882	916,723,892	0	895,310,697	963,864,326

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Other Current Expenses</u>						
Operating Expenses	107,953,871	112,160,920	129,869,916	194,622,608	141,246,514	208,016,570
AHEC for Bridgeport	504,923	505,707	505,707	0*	505,707	0*
TOTAL-Other Current Expenses	108,458,794	112,666,627	130,375,623	194,622,608	141,752,221	208,016,570
<u>Nonfunctional - Change to Accruals</u>	0	0	0	1,015,846	0	1,103,433

\* Funding for this account has been consolidated elsewhere within the agency's budget. For more information, please see the writeup(s) entitled 'Streamline Agency Account Structure.' Note that other adjustments to the account may also be applicable; such adjustments are referenced in other writeups.

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Current Expenses	108,458,794	112,666,627	130,375,623	129,109,598	194,622,608	141,752,221	139,462,941	208,016,570
Nonfunctional - Change to Accruals	0	0	0	1,328,017	1,015,846	0	1,129,447	1,103,433
TOTAL-General Fund Net	108,458,794	112,666,627	130,375,623	130,437,615	195,638,454	141,752,221	140,592,388	209,120,003
<u>Additional Funds Available</u>								
UConn Hlth Cntr Operating Fund	283,342,090	305,783,001	318,219,284	318,219,284	318,219,284	332,832,756	332,832,756	332,832,756
UConn Health Center Research	99,269,267	94,638,485	97,731,535	88,779,000	88,779,000	101,579,284	91,570,000	91,570,000
UConn Health Center Clinical Programs	259,823,204	260,393,551	269,645,139	315,103,000	315,103,000	284,340,281	331,445,000	331,445,000
TOTAL-All Funds Net	750,893,355	773,481,664	815,971,581	852,538,899	917,739,738	860,504,542	896,440,144	964,967,759

# TEACHERS' RETIREMENT BOARD

## AGENCY DESCRIPTION

The Teachers' Retirement Board's mission is to administer the State Teachers' Retirement System.

## AGENCY PROGRAM INDEX

Funding of System	422
Management Services	423

## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-13,767	-18,797
• Annualize FY 2013 Rescissions	-31,719	-32,639
• Eliminate the State's Share of Retiree Health Costs and Municipal Retiree Health Subsidy	-32,479,160	-38,214,160
<i>The retiree share of the TRB sponsored health plan will remain at one-third of the monthly premium. The TRB Health Fund will subsidize two-thirds of the monthly premium and 100% of the municipal health subsidy.</i>		
• Reduce Personal Services	-85,687	-89,872
• Reduce Claims Audit Expense	-32,407	-33,347
<b>Reallocations or Transfers</b>		
• Transfer Funding for Central Mail and Courier Services to the Department of Administrative Services	-6,965	-6,965
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-4,667	-489

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	23	4	0	27	27	27	27	27
<b>Agency Programs by Total Funds (Net of Reimbursements)</b>								
	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Funding of System	792,505,003	809,826,550	852,521,191	981,019,160	948,540,000	899,355,947	1,022,324,160	984,110,000
Management Services	1,699,818	2,070,131	2,371,906	2,371,907	2,201,362	2,484,387	2,484,388	2,302,768
TOTAL Agency Programs - All Funds Gross	794,204,821	811,896,681	854,893,097	983,391,067	950,741,362	901,840,334	1,024,808,548	986,412,768
Less Turnover	0	0	-10,000	-10,000	-10,000	-20,000	-20,000	-20,000
Nonfunctional - Change to Accruals	0	0	0	18,705	14,038	0	10,955	10,466
TOTAL Agency Programs - All Funds Net	794,204,821	811,896,681	854,883,097	983,399,772	950,745,400	901,820,334	1,024,799,503	986,403,234
<b>Summary of Funding</b>								
General Fund Net	794,204,821	811,896,681	854,883,097	983,399,772	950,745,400	901,820,334	1,024,799,503	986,403,234
TOTAL Agency Programs - All Funds Net	794,204,821	811,896,681	854,883,097	983,399,772	950,745,400	901,820,334	1,024,799,503	986,403,234

## FUNDING OF SYSTEM

### Statutory Reference

C.G.S. Section 10-183b through 10-183nn; Section 10-183l(c).

### Statement of Need and Program Objectives

To administer a retirement program that provides retirement, disability and survivorship benefits for Connecticut public school educators and their survivors and beneficiaries; to sponsor a Medicare supplement health insurance programs to retired members and eligible dependents; and to provide a health insurance subsidy to retired members and their eligible dependents who participate in the health insurance through the last employing board

of education; and to provide a retirement savings vehicle in the form of the voluntary account.

### Program Description

**Pension** Retirement benefits are funded by member contributions, state contributions and investment income. Retirement benefits for FY 2012 were 1.5 billion. Members' contributions were \$263 million including \$37 million of personal payments.

**Health Insurance** Health insurance costs reflect membership participation, utilization of coverage and inflationary trends in health

care costs. Funding sources for the health programs are the State of Connecticut, retired teachers, and active teachers.

**TRB Sponsored Health Program Costs (Retiree Health Service Costs)**  
The state appropriated one-third of the estimated cost of the TRB sponsored basic health program; the participants pay one-third and the remainder is covered by the health fund. Membership was 19,000 at June 30, 2012.

**TRB Health Insurance Subsidy Program (Municipal Retiree Health Insurance Cost)** The state paid one-third of the subsidy which is set by statute and is currently \$110 or \$220 per month for eligible health plan participants enrolled in the board of education plan. There were 16,000 members receiving a subsidy as of June 30, 2012.

<b>Program Measure</b>	FY 2012 Actual	FY 2013 Estimated	FY 2014 Projected	FY 2015 Projected
Service Credit computations performed	2,000	2,000	2,000	2,000
Computations -potential retiree benefits	3,000	3,000	3,000	3,000
Retirements during the year	2,050	2,250	2,200	2,200
Health Plan Enrollments	1,900	2,100	2,200	2,300

#### FUNDING OF SYSTEM

<b>Financial Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Pmts to Other Than Local Governments</u>								
Retirement Contributions	757,246,000	787,536,000	815,000,000	948,540,000	948,540,000	850,000,000	984,110,000	984,110,000
Retirees Health Service Cost	27,886,285	16,374,940	28,967,677	25,216,000	0	40,118,152	30,951,000	0
Municipal Retiree Health Insurance Costs	7,372,718	5,915,610	8,553,514	7,263,160	0	9,237,795	7,263,160	0
TOTAL-General Fund	792,505,003	809,826,550	852,521,191	981,019,160	948,540,000	899,355,947	1,022,324,160	984,110,000

#### MANAGEMENT SERVICES

##### Statutory Reference

C.G.S. Section 10-183(c).

##### Program Description

**Management Services** The 14 member Board of Directors delegates the management and administration of the retirement system to the administrator. The administrator and agency staff perform all TRB activities including determining eligibility for benefits, paying benefits, collecting revenue and maintaining members' accounts. Members apply for various benefits such as retirement, disability, survivorship, health insurance and the purchase of various forms of membership and service credit. Staff determine the eligibility for and the amount of the benefit and initiate the benefit payment. Staff also determine the cost of

membership and service credit, bill members for the cost of the credit, and collect and credit payment. The agency maintains a website ([www.ct.gov/trb](http://www.ct.gov/trb)) which includes a description of all its services and benefits along with the necessary forms.

**Demographics** As of June 30, 2012 there were 52,913 active members, 32,726 retired members, 265 disabled members and 13,745 inactive members. During FY 2012 1,584 members retired with an average age of 62, an average length of service of 30.41 years and an average salary base of \$85,839; the average annual retirement benefits was \$49,694. The agency has 22 full-time staff members with operating and personal services costs for FY 2012 at approximately \$20 per member and beneficiary per year.

#### MANAGEMENT SERVICES

##### Personnel Summary

<b>Permanent Full-Time Positions</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	23	4	0	27	27	27	27	27

##### Financial Summary

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,445,039	1,435,749	1,723,758	1,723,758	1,638,071	1,817,442	1,817,442	1,727,570
Other Expenses	254,779	634,381	648,148	648,148	563,290	666,945	666,945	575,197

##### Capital Outlay

Equipment	0	1	0	1	1	0	1	1
TOTAL-General Fund	1,699,818	2,070,131	2,371,906	2,371,907	2,201,362	2,484,387	2,484,388	2,302,768

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<b>Current Expenses by Minor Object</b>	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<b><u>Personal Services</u></b>						
Permanent Fulltime Positions	1,397,875	1,388,888	1,712,668	1,613,602	1,806,352	1,701,473
Other Positions	36,002	35,771	0	0	0	0
Other	11,162	11,090	11,090	24,469	11,090	26,097
TOTAL-Personal Services Gross	1,445,039	1,435,749	1,723,758	1,638,071	1,817,442	1,727,570
Less Reimbursements						
Less Turnover	0	0	-10,000	-10,000	-20,000	-20,000
TOTAL-Personal Services Net	1,445,039	1,435,749	1,713,758	1,628,071	1,797,442	1,707,570
<b><u>Other Expenses-Contractual Services</u></b>						
Dues and Subscriptions	3,000	4,000	4,087	3,800	4,206	3,977
Rentals, Storage and Leasing	2,860	3,000	3,065	2,850	3,154	2,982
Telecommunication Services	30,724	33,000	33,716	31,350	34,694	32,806
General Repairs	224	400	409	380	421	398
Fees for Outside Professional Services	54,020	390,281	398,750	338,360	410,314	342,174
DP Services, Rentals and Maintenance	586	700	715	665	736	696
Postage	111,204	140,000	143,038	126,035	147,186	129,534
Other Contractual Services	2,628	3,000	3,065	2,850	3,154	2,982
Printing & Binding	12,969	15,000	15,326	14,250	15,770	14,912
<b><u>Other Expenses-Commodities</u></b>						
Office Supplies	36,564	45,000	45,977	42,750	47,310	44,736
TOTAL-Other Expenses Gross	254,779	634,381	648,148	563,290	666,945	575,197
Less Reimbursements						
TOTAL-Other Expenses Net	254,779	634,381	648,148	563,290	666,945	575,197
<b><u>Pmts to Other Than Local Govts</u></b>						
Retirement Contributions	757,246,000	787,536,000	815,000,000	948,540,000	850,000,000	984,110,000
Retirees Health Service Cost	27,886,285	16,374,940	28,967,677	0	40,118,152	0
Municipal Retiree Health Insurance Costs	7,372,718	5,915,610	8,553,514	0	9,237,795	0
TOTAL-Pmts to Other Than Local Govts	792,505,003	809,826,550	852,521,191	948,540,000	899,355,947	984,110,000
<b><u>Nonfunctional - Change to Accruals</u></b>	0	0	0	14,038	0	10,466

<b>Character &amp; Major Object Summary</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,445,039	1,435,749	1,713,758	1,713,758	1,628,071	1,797,442	1,797,442	1,707,570
Other Expenses Net	254,779	634,381	648,148	648,148	563,290	666,945	666,945	575,197
Capital Outlay	0	1	0	1	1	0	1	1
Payments to Other Than Local Governments	792,505,003	809,826,550	852,521,191	981,019,160	948,540,000	899,355,947	1,022,324,160	984,110,000
Nonfunctional - Change to Accruals	0	0	0	18,705	14,038	0	10,955	10,466
TOTAL-General Fund Net	794,204,821	811,896,681	854,883,097	983,399,772	950,745,400	901,820,334	1,024,799,503	986,403,234



# BOARD OF REGENTS FOR HIGHER EDUCATION

## AGENCY DESCRIPTION

The Board of Regents for Higher Education was established by Public Act 11-48 to serve as the governing board for the state of Connecticut's four state universities, twelve community colleges and Charter Oak State College, known collectively as the Connecticut State Colleges & Universities. The mission and purpose of the new board, which began operations officially on January 1, 2012, is to provide affordable, innovative and rigorous educational programs in a setting that permits an ever increasing number of students to achieve their personal and career goals as well as contribute to economic growth of the state of Connecticut. This will be achieved by increasing the number of students who successfully complete a first year of college, graduating more students with the knowledge and skills to reach life and career goals, maximizing and sustaining access to higher education in Connecticut through affordable tuition and financially sound institutions, creating educational environments that emphasize innovation and eliminating achievement disparities among different ethnic, racial, economic and gender groups.

Specific responsibilities include the implementation of policies and procedures for the 17 institutions in the system, licensure and approval of academic degree-granting and certificate programs for the state's public and private institutions, and the implementation of statewide higher education policy and strategic planning.

Since its inception, the Board of Regents has launched several important initiatives to enhance student access to, and success in, higher education in the state of Connecticut, to support the state's economic development efforts and to increase efficiency and effectiveness within the Connecticut State College & University System (ConnSCU). These initiatives include:

- Planning and implementation of a policy that facilitates the transfer of students between institutions by eliminating academic barriers across the system.
- Pursuant to the provisions of PA 12-40, implementation of a new policy on remedial education that will improve student success rates in the system.
- Implementation of three new manufacturing centers in the community college system to address the specific workforce needs of local manufacturers. The programs are modeled on the success of the manufacturing center program at Asnuntuck Community College and supported by \$18 million in state bond funds to create the instructional infrastructure. The first class for these new programs enrolled in fall, 2012.
- Implementation of a system-wide program designed to encourage students who have discontinued their post-secondary education in recent years to return to school to obtain a degree or certificate.

In fall 2012, the Connecticut State Colleges and Universities enrolled over 95,000 students in credit programs and another almost 30,000 will enroll over the year in non-credit programs. Students at the Connecticut State Colleges and Universities completed a record 14,879 degrees and certificates in 2011-12, up

5.5% from the previous year. Since 2001-02, the number of completions has increased 51.7% among all 17 institutions.

### **CONNECTICUT STATE UNIVERSITIES**

The four comprehensive state universities are Central Connecticut State University; Eastern Connecticut State University; Southern Connecticut State University and Western Connecticut State University.

These institutions offer exemplary undergraduate and graduate instruction leading to degrees in the liberal arts, sciences, fine arts, applied fields and professional disciplines. They advance and extend knowledge, learning and culture while preparing students to enter the workforce and to contribute to the civic life of Connecticut's diverse communities. To ensure access and diversity and to meet the needs of a broad range of students, the schools provide varying living and learning environments, from rural residential campuses to urban locations and online instruction. With state-of-the art facilities and exceptional faculty, the four Connecticut State Universities support an atmosphere of inter-campus learning, the exploration of technological and global influences and the application of knowledge to promote economic growth and social justice.

In fall 2012, a total of 34,947 students were enrolled in the four state universities, with a full-time equivalence (FTE) 29,353. Of these students, 73% were enrolled on a full-time basis, 84% were undergraduates and 95% were Connecticut residents. These enrollment levels have begun to decline from the record set in fall 2010 of 36,629 headcount enrollment at 29,603 FTE enrollment; further declines are expected as the number of high school graduates in the state shrinks, following demographic trends.

### **REGIONAL COMMUNITY-TECHNICAL COLLEGES**

The twelve community colleges are Asnuntuck Community College (Enfield), Capital Community College (Hartford), Gateway Community College (New Haven), Housatonic Community College (Bridgeport), Manchester Community College (Manchester), Middlesex Community College (Middletown), Naugatuck Valley Community College (Waterbury), Northwestern Community College (Winsted), Norwalk Community College (Norwalk), Quinebaug Valley Community College (Danielson), Three Rivers Community College (Norwich) and Tunxis Community College (Farmington).

The twelve comprehensive Connecticut Community Colleges that are part of the Connecticut State Colleges and Universities (ConnSCU) system share a mission to make excellent higher education and lifelong learning affordable and accessible to all Connecticut citizens. The colleges enrich the intellectual, civic, cultural and social environments of the communities they serve through a wide range of credit transfer and career programs leading to associate degrees and certificates and non-credit lifelong learning and job skills training programs. The colleges support



## Budget-in-Detail

the economic growth of the state and its citizens through programs and partnerships that supply business and industry with a skilled, well-trained workforce. All of the colleges offer an array of programs and support services that nurture student success.

In 2011-12, the twelve community colleges awarded 6,742 degrees and certificates, with 2,090 in liberal arts and sciences/general studies, 1,338 in health, 1,242 in business and communication, 802 in science, technology, engineering and math (STEM), 575 in trades, 248 in education, 224 in arts and humanities, and 223 in social and behavioral sciences.

In fall 2012, a total of 58,750 students were enrolled for credit in the twelve community colleges, with a full-time equivalence (FTE) of 32,743. This represents a record number of students, although an increase in part-time enrollments and decrease in full-time enrollments actually place FTE below the record set in 2010. Community college enrollments have been leveling off after eight years of explosive growth. Of the students enrolled for credit, 33% were enrolled on a full-time basis and 99% are Connecticut residents.

**The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to either "The Connecticut State University Block Grant" program or "The Regional Community-Technical Colleges Block Grant" program.**

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## RECOMMENDED SIGNIFICANT CHANGES

	<b>FY 2014</b>	<b>FY 2015</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-42,203	-57,624
• Remove Funding for Salary Increases for Appointed Officials	-30,457	-63,987
• Partial Annualization of FY 2013 Rescissions	-5,874,346	-5,874,346
<b>Reallocations or Transfers</b>		
• Reallocate Fringe Benefits for the Higher Education Units	168,769,164	177,644,587
• Transfer Funding and Position to the Office of Higher Education for Business Office Functions <i>Funding for six positions is transferred from the Board of Regents to the Office of Higher Education.</i>	-483,795	-498,308
• Transfer CT Charts a Course Staff to the Office of Early Education <i>Funding and associated expenses for five positions are transferred.</i>	-473,657	-486,499
<b>Technical Adjustments</b>		
• Revise GAAP Accrual Amounts	-333,943	-430

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	4,071	401	56	4,528	4,568	4,533	4,598	4,548
State University Operating/Tuition Fund	1,015	0	0	1,015	1,015	1,015	1,015	1,015
Community Technical College Operating and Tuition Fund	198	28	15	241	241	241	241	241
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			6	6	20	0	23	0
Community Technical College Operating and Tuition Fund			290	380	380	380	380	380

<b>Agency Programs by Total Funds (Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Instruction	633,313,950	370,243,576	684,291,847	0	0	717,799,958	0	0
Research	1,995,185	2,071,216	2,231,556	0	0	2,422,576	0	0
Public Service	3,095,343	3,061,411	3,345,806	0	0	3,613,250	0	0
Academic Support	17,035,363	16,942,740	17,864,680	0	0	18,786,944	0	0
Library	16,354,828	16,689,907	17,308,033	0	0	18,233,788	0	0
Student Services	33,280,470	33,757,414	35,207,277	0	0	37,210,308	0	0
Institutional Support	67,345,244	67,655,371	70,130,520	0	0	73,788,110	0	0
Physical Plant	129,322,667	128,399,625	130,104,094	0	0	135,476,138	0	0
Scholarships and Fellowships	53,921,187	55,966,286	60,310,758	0	0	65,076,950	0	0
Auxiliary Enterprises	67,468,049	68,846,137	81,323,640	0	0	83,792,766	0	0
BOR Block Grant	301,490,520	293,984,378	8,650,982	722,089,709	883,166,812	9,486,080	753,787,771	923,616,036
Connecticut Distance Learning Consortium	2,475,265	2,456,083	2,294,449	2,500,297	3,287,900	2,419,842	2,598,655	3,434,213
<b>TOTAL Agency Programs - All Funds Gross</b>	<b>1,327,098,071</b>	<b>1,060,074,144</b>	<b>1,113,063,642</b>	<b>724,590,006</b>	<b>886,454,712</b>	<b>1,168,106,710</b>	<b>756,386,426</b>	<b>927,050,249</b>
Less Turnover	0	0	-2,829,209	0	0	-2,387,332	0	0
Nonfunctional - Change to Accruals	0	0	0	781,566	447,623	0	979,751	979,321
<b>TOTAL Agency Programs - All Funds Net</b>	<b>1,327,098,071</b>	<b>1,060,074,144</b>	<b>1,110,234,433</b>	<b>725,371,572</b>	<b>886,902,335</b>	<b>1,165,719,378</b>	<b>757,366,177</b>	<b>928,029,570</b>
<b>Summary of Funding</b>								
General Fund Net	290,525,364	288,449,786	312,320,757	295,445,982	456,976,745	332,362,549	309,921,313	480,584,706
State University Operating/Tuition Fd	486,725,845	495,285,788	517,979,898	149,991,812	149,991,812	543,531,901	157,619,936	157,619,936
Community Tech College Operating Fund	473,619,506	212,351,028	216,561,035	216,561,035	216,561,035	225,614,728	225,614,728	225,614,728
Federal and Other Activities	13,440,421	7,990,675	8,650,982	8,650,982	8,650,982	9,486,080	9,486,080	9,486,080
Special Funds, Non-Appropriated	48,673,908	46,261,994	44,052,698	44,052,698	44,052,698	43,616,351	43,616,351	43,616,351
Private Funds	14,113,027	9,734,873	10,669,063	10,669,063	10,669,063	11,107,769	11,107,769	11,107,769
<b>TOTAL Agency Programs - All Funds Net</b>	<b>1,327,098,071</b>	<b>1,060,074,144</b>	<b>1,110,234,433</b>	<b>725,371,572</b>	<b>886,902,335</b>	<b>1,165,719,378</b>	<b>757,366,177</b>	<b>928,029,570</b>

## INSTRUCTION/LEARNING

### Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

### Statement of Need and Program Objectives

Through the community colleges, enable people with a high school diploma (or equivalent) to obtain credits towards a certificate or associate degree or to upgrade personal or occupational skills by offering a broad range of liberal arts/transfer, vocational, occupational, technology and general programs including remediation, adult and continuing education. Through the state universities, enable students meeting admissions criteria to obtain baccalaureate degrees, master's degrees and other graduate degrees providing them with the competencies they need to succeed in employment and further study.

### Program Description

Educational activities are offered to enhance student learning. The colleges and universities offer curricula to prepare students for careers in education, business administration, nursing, social work, library science, engineering technologies and other professional career and field choices. Programs of study are also provided in the areas of the liberal and fine arts.

The process of learning involves faculty and student work in classes, laboratories and other learning situations and includes applied and basic research undertaken within a faculty member's regular workload. To the extent that faculty members, whose primary responsibility is to teach, are involved in the administration of the academic departments, administrative costs are included in this program.

## INSTRUCTION

### Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4,040	401	56	4,497	4,537	4,533	4,567	4,548

### Financial Summary

<b>(Net of Reimbursements)</b>	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>Capital Outlay</b>								
Equipment	0	0	236,700	0	0	253,500	0	0
<b>Other Current Expenses</b>								
Regional Community - Technical Colleges	0	0	155,408,385	0	0	163,986,130	0	0
Connecticut State University	0	0	150,097,303	0	0	157,834,276	0	0
Board of Regents for Higher Education	0	0	1,327,549	0	0	1,383,619	0	0
<b>TOTAL-General Fund</b>	<b>0</b>	<b>0</b>	<b>307,069,937</b>	<b>0</b>	<b>0</b>	<b>323,457,525</b>	<b>0</b>	<b>0</b>

Budget-in-Detail

Additional Funds Available

State University Operating/Tuition Fd	145,582,245	148,157,675	149,991,812	0	0	157,619,936	0	0
Community Tech College Operating Fund	473,619,506	212,351,028	216,561,035	0	0	225,614,728	0	0
Private Funds	14,112,199	9,734,873	10,669,063	0	0	11,107,769	0	0
<b>TOTAL - All Funds</b>	<b>633,313,950</b>	<b>370,243,576</b>	<b>684,291,847</b>	<b>0</b>	<b>0</b>	<b>717,799,958</b>	<b>0</b>	<b>0</b>

**RESEARCH**

**Statutory Reference**

C.G.S. Sections 10a-89 and 10a-98.

**Statement of Need and Program Objectives**

To expand knowledge by conducting applied research and other creative activities while maximizing the benefits of research for state citizens, businesses and schools.

**Program Description**

Faculty of the four state universities are involved in research and creative activity to enhance learning. Applied research activity predominates. Expenditures in this program are supported primarily by externally funded grants made to individual faculty members or centers.

The benefits of research activities to citizens, businesses and schools are many and depend upon the types of research grants received.

Examples based on recent grant activity center around the STEM (science, technology, engineering and math) areas, and include funding for the expansion of the research and educational capacity of graduate programs that teach earth science students the techniques of field data analysis (maximizes benefits by preparing science teachers); grant funds to support basic scientific research to promote progress in the biological and physical sciences and strengthen the nation's scientific enterprise (benefits the state, businesses, and students by preparing promoting careers in science and preparing graduates for employment in STEM areas, as well as future science teachers); and grant funding to work with the K-12 institutions to prepare students for lifelong learning, and in particular, employment in STEM areas. Research funding also supports research for important social programs, such as the prevention of alcohol and substance abuse and violence against women and the study of climate change and environmental issues.

RESEARCH

**Financial Summary**

(Net of Reimbursements)

Other Current Expenses

	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	Current <u>Services</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	Current <u>Services</u>	FY 2015 <u>Recommended</u>
Connecticut State University	0	0	36,605	0	0	50,878	0	0
<b>TOTAL-General Fund</b>	<b>0</b>	<b>0</b>	<b>36,605</b>	<b>0</b>	<b>0</b>	<b>50,878</b>	<b>0</b>	<b>0</b>
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	1,995,185	2,071,216	2,194,951	0	0	2,371,698	0	0
<b>TOTAL - All Funds</b>	<b>1,995,185</b>	<b>2,071,216</b>	<b>2,231,556</b>	<b>0</b>	<b>0</b>	<b>2,422,576</b>	<b>0</b>	<b>0</b>

**PUBLIC SERVICE**

**Statutory Reference**

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

**Statement of Need and Program Objectives**

To enhance non-instructional services to those external to the universities through special social, cultural and economic activities and services for individuals, businesses, industry and other groups.

**Program Description**

Public service has long been recognized as part of the mission of the state universities and is a logical extension of the learners' community. Consulting and voluntary service, applied research, training programs, exhibits, plays, sports and concerts are means by which faculty and students share their expertise and render public service to the people of Connecticut. A variety of centers and institutes have been established at the Connecticut State Universities to carry out projects funded by the federal government or other external sources.

Grants and contracts often provide the opportunity for students to be employed as junior members of a professional team applying academic knowledge to the real problems of society. Such projects provide especially effective learning environments for students enabling them to have the kind of practical experiences future employers seek.

At the community colleges, these community service programs make available various resources and special capabilities that exist within the colleges, by offering primarily short-term, non-credit educational activities. These activities are related to professional development, improved job skills, or career advancement through educational services, outreach programs, conferences, workshops, public lectures and events, televised or individualized instruction, contract and grant projects and interagency services.

PUBLIC SERVICE**Financial Summary****(Net of Reimbursements)**Other Current Expenses

Connecticut State University

TOTAL-General Fund

Additional Funds Available

State University Operating/Tuition Fd

TOTAL - All Funds

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Connecticut State University	0	0	50,790	0	0	70,593	0	0
TOTAL-General Fund	0	0	50,790	0	0	70,593	0	0
State University Operating/Tuition Fd	3,095,343	3,061,411	3,295,016	0	0	3,542,657	0	0
TOTAL - All Funds	3,095,343	3,061,411	3,345,806	0	0	3,613,250	0	0

**ACADEMIC SUPPORT****Statutory Reference**

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

**Statement of Need and Program Objectives**

To enhance the primary activities of learning, research and public service through support services that provide academic program management, access to equipment and materials, and opportunities for personal and professional growth of the faculty.

**Program Description**

The Academic Support Program embodies those activities that support the primary instructional programs through various services, programs and activities that directly assist the academic functions of the institution. Included are academic and instructional technology, educational media, course and curriculum development, allied health, child care and other instructional practica, museums, galleries, laboratories, learning resource centers and faculty professional development.

ACADEMIC SUPPORT*Other Positions Equated to Full Time*

General Fund

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	0	4	0	5	0

**Financial Summary****(Net of Reimbursements)**Capital Outlay

Equipment

Other Current Expenses

Charter Oak State College

Connecticut State University

TOTAL-General Fund

Additional Funds Available

State University Operating/Tuition Fd

TOTAL - All Funds

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Equipment	0	0	236,700	0	0	165,500	0	0
Charter Oak State College	0	0	124,056	0	0	125,930	0	0
Connecticut State University	0	0	356,771	0	0	495,876	0	0
TOTAL-General Fund	0	0	717,527	0	0	787,306	0	0
State University Operating/Tuition Fd	17,035,363	16,942,740	17,147,153	0	0	17,999,638	0	0
TOTAL - All Funds	17,035,363	16,942,740	17,864,680	0	0	18,786,944	0	0

**LIBRARY****Statutory Reference**

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

**Statement of Need and Program Objectives**

To ensure that students, faculty, staff and citizens are provided convenient access to library collections appropriate for the size and educational and research mission of the state universities and community colleges. To ensure that such collections remain current through a regular and efficient program of replacement, acquisition and restoration. Increasingly, libraries provide electronic access to full-text information sources.

**Program Description**

Each of the libraries contains collections of books, periodicals and other media to support the academic program offerings of each campus. There are also several collections to meet specialized needs:

- Young Library – Ansell School of Business at Western Connecticut State University.
- Connecticut Studies at Eastern Connecticut State University.
- Curricula laboratories for teacher preparation at the four universities and Polish American Archives at Central Connecticut State University.

Budget-in-Detail

LIBRARY

<b>Financial Summary (Net of Reimbursements)</b>	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	Current <u>Services</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	Current <u>Services</u>	FY 2015 <u>Recommended</u>
<u>Other Current Expenses</u>								
Connecticut State University	0	0	365,163	0	0	507,539	0	0
TOTAL-General Fund	0	0	365,163	0	0	507,539	0	0
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	16,354,828	16,689,907	16,942,870	0	0	17,726,249	0	0
TOTAL - All Funds	16,354,828	16,689,907	17,308,033	0	0	18,233,788	0	0

**STUDENT SERVICES**

**Statutory Reference**

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

**Statement of Need and Program Objectives**

To enhance a student's educational experience by providing a collegiate environment that offers social and cultural activities. At the state universities this includes housing and food services, which are financed primarily as self-supporting operations.

**Program Description**

The Student Services program comprises all activities related to students and includes such services as social and cultural development activities, counseling, career guidance and placement, financial aid administration, student admissions and records, student health services, cooperative education programs and child care.

The Connecticut State Universities, through student fees, currently provide:

- Housing for approximately 34% of the full-time students enrolled.

- Food service facilities accommodating both resident students and commuters.
- Student centers that are the focal points of most student activities, including radio stations, student newspapers, bookstores and recreation areas and Intercollegiate Athletics.

Serving the community college student population effectively and making further study a reality for those students who may be under-prepared or returning to higher education for career advancement requires a high level of academic support services. The colleges provide developmental and remedial programs along with the following programs and services that make success at the college level possible for many of the state's students: academic advising; placement testing and counseling; individualized instruction and tutoring; transfer and career counseling; financial aid advising and programs; library and laboratory support and school to career programs and child care services.

STUDENT SERVICES

<i>Other Positions Equated to Full Time General Fund</i>	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	FY 2015 <u>Recommended</u>
	6	6	7	0	8	0

  

<b>Financial Summary (Net of Reimbursements)</b>	FY 2012 <u>Actual</u>	FY 2013 <u>Estimated</u>	FY 2014 <u>Requested</u>	Current <u>Services</u>	FY 2014 <u>Recommended</u>	FY 2015 <u>Requested</u>	Current <u>Services</u>	FY 2015 <u>Recommended</u>
<u>Capital Outlay</u>								
Equipment	0	0	240,900	0	0	165,500	0	0
<u>Other Current Expenses</u>								
Charter Oak State College	0	0	5,763	0	0	6,362	0	0
Connecticut State University	0	0	715,828	0	0	994,929	0	0
TOTAL-General Fund	0	0	962,491	0	0	1,166,791	0	0
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	33,280,470	33,757,414	34,244,786	0	0	36,043,517	0	0
TOTAL - All Funds	33,280,470	33,757,414	35,207,277	0	0	37,210,308	0	0

## INSTITUTIONAL SUPPORT

### Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

### Statement of Need and Program Objectives

To operate the state universities and colleges with effective management, long-range planning, and services to support faculty, staff and students.

### Program Description

This program consists of activities that support the major functions of learning, academic support and student services. These include: general management of the campuses, strategic planning, budgeting, academic planning and research, public information, fiscal operations, information technology operations, campus security and safe.

## INSTITUTIONAL SUPPORT

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time						
General Fund	0	0	9	0	10	0

### Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Capital Outlay</u>								
Equipment	0	0	236,700	0	0	165,500	0	0
<u>Other Current Expenses</u>								
Charter Oak State College	0	0	57,112	0	0	59,406	0	0
Connecticut State University	0	0	1,440,201	0	0	2,001,734	0	0
TOTAL-General Fund	0	0	1,734,013	0	0	2,226,640	0	0
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	67,345,244	67,655,371	68,396,507	0	0	71,561,470	0	0
TOTAL - All Funds	67,345,244	67,655,371	70,130,520	0	0	73,788,110	0	0

## PHYSICAL PLANT OPERATIONS AND MAINTENANCE

### Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

### Statement of Need and Program Objectives

To ensure a clean, safe and healthy environment for students, faculty, employees and visitors through regular and effective maintenance and upkeep of buildings and grounds.

student center facilities, classrooms, laboratories, offices and libraries.

The twelve community colleges are composed of fifteen major campus locations. This program includes campus security, custodial maintenance, building maintenance, landscape and grounds maintenance, utility services, repairs and renovations and physical plant administration for those sites.

### Program Description

The state universities maintain a total of 174 buildings/structures and 1,053 acres. Campuses provide housing, food service and

## PHYSICAL PLANT

### Financial Summary

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Connecticut State University	0	0	1,918,991	0	0	4,062,767	0	0
TOTAL-General Fund	0	0	1,918,991	0	0	4,062,767	0	0
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	80,647,931	82,137,631	84,132,405	0	0	87,797,020	0	0
Special Funds, Non-Appropriated	48,673,908	46,261,994	44,052,698	0	0	43,616,351	0	0
Private Funds	828	0	0	0	0	0	0	0
TOTAL - All Funds	129,322,667	128,399,625	130,104,094	0	0	135,476,138	0	0

## SCHOLARSHIPS AND FELLOWSHIPS

### Statutory Reference

C.G.S. Sections 10a-71, 10a-72 and 10a-77, 10a-87, 10a-89 and 10a-99.

### Statement of Need and Program Objectives

To minimize economic barriers for community college students by providing financial assistance in the form of grants, scholarships, part-time employment, tuition waivers and loan programs. To ensure access to the Connecticut State Universities, by capable students who might otherwise be unable to attend because of financial barriers, by providing financial assistance in the form of scholarships, loans and tuition and fee waivers.

### Program Description

The state universities and colleges provide financial aid in the form of tuition and fee waivers or refunds, scholarships, grants, loans and work-study programs. Awards are financed from state financial aid programs, student tuition, federal funds (e.g. Pell grants) and loan repayments. Awards are packaged to fit each student's needs. Loans, as used here, do not include Guaranteed Student Loans financed through banks.

## SCHOLARSHIPS AND FELLOWSHIPS

### Financial Summary

#### (Net of Reimbursements)

#### Additional Funds Available

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
State University Operating/Tuition Fd	53,921,187	55,966,286	60,310,758	0	0	65,076,950	0	0
TOTAL - All Funds	53,921,187	55,966,286	60,310,758	0	0	65,076,950	0	0

## AUXILIARY ENTERPRISES

### Statutory Reference

C.G.S. Sections 10a-87 and 10a-89.

### Statement of Need and Program Objectives

To furnish goods and services to students.

### Program Description

The distinguishing characteristic of an auxiliary enterprise is that it is managed as an essentially self-supporting activity. Examples are residence halls, food services, intercollegiate athletic camps and clinics and telecommunications.

## AUXILIARY ENTERPRISES

### Financial Summary

#### (Net of Reimbursements)

#### Additional Funds Available

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
State University Operating/Tuition Fd	67,468,049	68,846,137	81,323,640	0	0	83,792,766	0	0
TOTAL - All Funds	67,468,049	68,846,137	81,323,640	0	0	83,792,766	0	0

## BLOCK GRANTS

### Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-99.

### Statement of Need and Program Objectives

To enable students to obtain associate degrees, baccalaureate degrees, master's degrees, sixth-year certificates or advanced graduate study and doctoral degrees. To provide continuing education that will enable people to enhance personal and/or occupational skills

### Program Description

There are eight program elements supported by "The Regional Community-Technical Colleges Block Grant": Instruction; Public Services; Academic Support; Library; Student Services; Institutional Support; Physical Plant Operation and Maintenance and Scholarships and Fellowships.

There are eight program elements in "The Connecticut State University Block Grant". They are: Learning; Research; Public Service; Academic Support; Library; Student Services; Institutional Support and Physical Plant Operations and Maintenance.

## CHARTER OAK STATE COLLEGE

Charter Oak State College, the state's only public, online degree-granting institution and part of the Connecticut State Colleges and Universities system, provides diverse and alternative opportunities for adults to earn undergraduate and graduate degrees and certificates. Relying on the judgment of professional educators, Charter Oak State College validates learning acquired through examinations, independent study, work experience, non-collegiate-

sponsored instruction, technology-mediated learning and traditional study, including through Charter Oak State College's own courses. In all of its activities, the college rigorously upholds standards of high quality and seeks to inspire adults with the self-enrichment potential of non-traditional higher education.

Charter Oak State College implements a non-traditional academic calendar; consequently fall enrollment data are not available until

November. In fall 2012, 1,330 students were enrolled for credit as of the end of September and another 761 were continuing association with the college for the purposes of degree aggregation. This level of enrollment is down 2.6% from fall 2011. Of students enrolled in fall 2011, 11% were full-time and 71% were Connecticut residents.

#### Statutory Reference

C.G.S. Sections 10a-143 and 10a-149.

#### Statement of Need and Program Objectives

To extend access to higher education to adults through its associate and baccalaureate degree completion programs which incorporate

transfer credit, Charter Oak distance learning courses, testing and other methods of competency validation and to encourage innovation in higher education in order to meet the needs of adult learners.

#### Program Description

Charter Oak offers degrees without boundaries. The program is designed to accommodate adults who work and have family and job responsibilities regardless of where they live. Its low cost, flexible scheduling and online services (tutoring, advising and library) save the students time and money.

#### BOR BLOCK GRANT

##### Personnel Summary

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
State University Operating/Tuition Fund	1,015	0	0	1,015	1,015	1,015	1,015	1,015
Community Technical College Operating and Tuition Fund	198	28	15	241	241	241	241	241
			FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Other Positions Equated to Full Time			Actual	Estimated	Requested	Recommended	Requested	Recommended
Community Technical College Operating and Tuition Fund			290	380	380	380	380	380

##### Financial Summary

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<u>Other Current Expenses</u>								
National Service Act	235,738	328,365	0	0	0	0	0	0
Regional Community - Technical Colleges	144,504,819	143,196,097	0	147,261,197	227,795,428	0	154,445,043	239,361,020
Connecticut State University	142,044,999	141,194,660	0	143,601,924	224,123,979	0	150,542,999	235,451,031
Board of Regents for Higher Education	1,264,543	1,274,581	0	1,300,998	1,321,815	0	1,354,865	1,359,121
TOTAL-General Fund	288,050,099	285,993,703	0	292,164,119	453,241,222	0	306,342,907	476,171,172
<u>Additional Funds Available</u>								
State University Operating/Tuition Fd	0	0	0	149,991,812	149,991,812	0	157,619,936	157,619,936
Community Tech College Operating Fund	0	0	0	216,561,035	216,561,035	0	225,614,728	225,614,728
Special Funds, Non-Appropriated	0	0	0	44,052,698	44,052,698	0	43,616,351	43,616,351
Private Funds	0	0	0	10,669,063	10,669,063	0	11,107,769	11,107,769
<b>Federal Contributions</b>								
ARRA-Recovery Program	-5,921	0	0	0	0	0	0	0
College Access Challenge Grant	34,676	0	0	0	0	0	0	0
College Access Challenge II	1,239,979	0	0	0	0	0	0	0
Federal Direct Student Loan	5,348,420	5,883,261	6,471,587	6,471,587	6,471,587	7,118,746	7,118,746	7,118,746
Federal SEOG	62,692	68,961	75,857	75,857	75,857	83,443	83,443	83,443
John R. Justice	100,000	0	0	0	0	0	0	0
64124 All-Volunteer Force Educational Assist	218,031	0	0	0	0	0	0	0
84002 Adult Education State Grant Program	273,001	300,000	300,000	300,000	300,000	300,000	300,000	300,000
84063 Federal Pell Grant Program	1,490,527	1,639,580	1,803,538	1,803,538	1,803,538	1,983,891	1,983,891	1,983,891
84116 Improvement of Postsecondary Education	152,372	98,873	0	0	0	0	0	0
84185 Byrd Honors Scholarships	38,580	0	0	0	0	0	0	0
84334 Gaining Early Awareness & Readiness	1,970,913	0	0	0	0	0	0	0
84367 Improving Teacher Quality State Grants	581,039	0	0	0	0	0	0	0
94003 State Commissions	268,020	0	0	0	0	0	0	0
94006 AmeriCorps	1,611,761	0	0	0	0	0	0	0
94009 Training & Technical Assistance	56,331	0	0	0	0	0	0	0
TOTAL - All Funds	301,490,520	293,984,378	8,650,982	722,089,709	883,166,812	9,486,080	753,787,771	923,616,036



**CONNECTICUT DISTANCE LEARNING CONSORTIUM**

**Statutory Reference**

C.G.S. Section 10a-143.

**Statement of Need and Program Objectives**

To promote cost savings by providing a single point of presence for online learning in Connecticut. The CTDLC reduces the need for institutions to maintain separate data infrastructures, technical staff, help desks, student services, course designers, faculty trainers and marketing budgets by providing these services as a collaboration or as a fee for service model. For K-12 and adult education, the CTDLC provides a single point for the delivery of online courses which are shared by schools and programs across the state.

**Program Description**

CTDLC promotes and delivers distance learning education to higher education, K-12, adult education, state agencies and non-profit organizations. It provides a single point of presence for distance learning offered by Connecticut public and independent colleges and universities, distributing program information, course listings, registration links and student support services. In addition, the CTDLC supports distance learning in other state agencies, adult education programs, K-12 and educational organizations. The goals

are to have institutions work collaboratively to deliver distance learning and to lower the costs of delivery by reducing duplication.

The CTDLC directs the CT adult Virtual High School, which is funded by a grant from the State Department of Education. The program provides online courses to students enrolled in an adult education program to earn and adult credit diploma, to study online for GED preparation and sharpen writing skills in an online writing lab.

The CT Virtual Learning Center, a state program for high school students to take online courses, completed its second full year of operation. Courses are focused on credit recovery, Advanced Placement and world languages.

The CTDLC's collaborative eTutoring and ePortfolio programs provide direct support to students in 20 of Connecticut's colleges and universities, as well as 56 institutions in nine other states. The eTutoring and ePortfolio programs are designed to improve student learning and retention, as well as provide a platform for authentic assessment and career planning. The CTDLC also provides a support center to provide help to those faculty and students using these programs.

**CONNECTICUT DISTANCE LEARNING CONSORTIUM**

**Personnel Summary**

	As of 06/30/2012		FY 2013	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	31	0	0	31	31	0	31	0

**Financial Summary**

(Net of Reimbursements)	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
Other Current Expenses	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Charter Oak State College	2,475,265	2,456,083	2,294,449	2,500,297	3,287,900	2,419,842	2,598,655	3,434,213
TOTAL-General Fund	2,475,265	2,456,083	2,294,449	2,500,297	3,287,900	2,419,842	2,598,655	3,434,213

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

**Current Expenses by Minor Object**

	FY 2012	FY 2013	FY 2014	FY 2014	FY 2015	FY 2015
Personal Services	Actual	Estimated	Requested	Recommended	Requested	Recommended
Permanent Fulltime Positions	0	0	4,568	0	4,598	0
TOTAL-Personal Services Gross	0	0	4,568	0	4,598	0
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	0	0	4,568	0	4,598	0

**Other Current Expenses**

National Service Act	235,738	328,365	0	0	0	0
Charter Oak State College	2,475,265	2,456,083	2,481,380	3,287,900	2,611,540	3,434,213
Regional Community - Technical Colleges	144,504,819	143,196,097	155,408,385	227,795,428	163,986,130	239,361,020
Connecticut State University	142,044,999	141,194,660	154,981,652	224,123,979	166,018,592	235,451,031
Board of Regents for Higher Education	1,264,543	1,274,581	1,327,549	1,321,815	1,383,619	1,359,121
TOTAL-Other Current Expenses	290,525,364	288,449,786	314,198,966	456,529,122	333,999,881	479,605,385

**Nonfunctional - Change to Accruals**

	0	0	0	447,623	0	979,321
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**Character & Major Object Summary**

	FY 2012	FY 2013	FY 2014	Current	FY 2014	FY 2015	Current	FY 2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Capital Outlay	0	0	951,000	0	0	750,000	0	0
Other Current Expenses	290,525,364	288,449,786	311,369,757	294,664,416	456,529,122	331,612,549	308,941,562	479,605,385
Nonfunctional - Change to Accruals	0	0	0	781,566	447,623	0	979,751	979,321
<b>TOTAL-General Fund Net</b>	<b>290,525,364</b>	<b>288,449,786</b>	<b>312,320,757</b>	<b>295,445,982</b>	<b>456,976,745</b>	<b>332,362,549</b>	<b>309,921,313</b>	<b>480,584,706</b>
<i><u>Additional Funds Available</u></i>								
State University Operating/Tuition Fd	486,725,845	495,285,788	517,979,898	149,991,812	149,991,812	543,531,901	157,619,936	157,619,936
Community Tech College Operating Fund	473,619,506	212,351,028	216,561,035	216,561,035	216,561,035	225,614,728	225,614,728	225,614,728
Federal and Other Activities	13,440,421	7,990,675	8,650,982	8,650,982	8,650,982	9,486,080	9,486,080	9,486,080
Special Funds, Non-Appropriated	48,673,908	46,261,994	44,052,698	44,052,698	44,052,698	43,616,351	43,616,351	43,616,351
Private Funds	14,113,027	9,734,873	10,669,063	10,669,063	10,669,063	11,107,769	11,107,769	11,107,769
<b>TOTAL-All Funds Net</b>	<b>1,327,098,071</b>	<b>1,060,074,144</b>	<b>1,110,234,433</b>	<b>725,371,572</b>	<b>886,902,335</b>	<b>1,165,719,378</b>	<b>757,366,177</b>	<b>928,029,570</b>