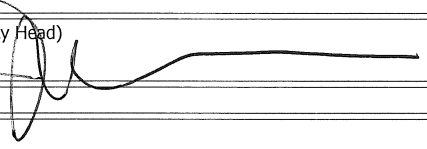
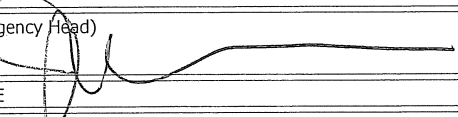


SIGNED (Agency Head)		TITLE				DATE			
		EXECUTIVE DIRECTOR				9/6/12			
NARRATIVE									
SEE ATTACHED PRINT OUT									
PERSONNEL SUMMARY		POSITIONS				REQUESTED		REQUESTED	
		As of 06/30/12		2012-13 Change	06/30/13 Total	2013-14		2014-15	
		Filled	Vacant			Change	Total	Change	Total
Permanent Full-Time Positions									
General Fund		10	2	0	12	0	12	0	12
Federal Funds		6	1	0	7	0	7	0	7
Private Funds		4	3	0	6	0	6	0	6
OCE Positions Appropriated		4	0	0	4	0	4	0	4
		ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time									
SUMMARY OF FUNDING		ACTUAL		ESTIMATED		REQUESTED		REQUESTED	
		2011-12		2012-13		2013-14		2014-15	
General Fund - Net		56,692,938		50,927,802		124,152,063		124,292,081	
Federal Funds		2,499,492		3,043,092		3,044,010		3,045,165	
Private Funds		882,741		1,008,500		1,015,000		1,025,000	
Bond Funds		5,122		0		0		0	
TOTAL AGENCY PROGRAMS -- ALL FUNDS NET		60,080,293		54,979,394		128,211,073		128,362,246	
AGENCY PROGRAMS		ACTUAL		ESTIMATED		REQUESTED		REQUESTED	
BY TOTAL FUNDS		2011-12		2012-13		2013-14		2014-15	
81001 - Coordination of Higher Education		6,446,141		7,462,932		7,963,877		8,122,066	
81002 - Scholarships & Fellowships		53,634,152		47,516,462		120,266,353		120,266,353	
TOTAL AGENCY PROGRAMS - ALL FUNDS		60,080,293		54,979,394		128,230,230		128,388,419	
Less Turnover (General Fund)						-19,157		-26,173	
TOTAL AGENCY PROGRAMS - ALL FUNDS NET		60,080,293		54,979,394		128,211,073		128,362,246	

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OPM - BUDGET

SIGNED (Agency Head) 		TITLE <u>EXECUTIVE DIRECTOR</u>		DATE <u>9/6/12</u>		
NARRATIVE		SEE ATTACHED PRINT OUT				
PERSONNEL SUMMARY	POSITIONS				REQUESTED	REQUESTED
	As of 06/30/12		2012-13	06/30/13	2013-14	2014-15
	Filled	Vacant	Change	Total	Change	Total
Permanent Full-Time Positions						
General Fund	10	2	0	12	0	12
Federal Funds	6	1	0	7	0	7
Private Funds	4	3	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14	REQUESTED 2014-15
Other Positions Equated to Full-Time						
SUMMARY OF FUNDING	ACTUAL		ESTIMATED		REQUESTED	REQUESTED
	2011-12		2012-13		2013-14	2014-15
General Fund - Net	56,692,938		50,927,802		124,152,063	124,292,081
Federal Funds	2,499,492		3,043,092		3,044,010	3,045,165
Private Funds	882,741		1,008,500		1,015,000	1,025,000
Bond Funds	5,122		0		0	0
TOTAL AGENCY PROGRAMS -- ALL FUNDS NET	60,080,293		54,979,394		128,211,073	128,362,246
AGENCY PROGRAMS	ACTUAL		ESTIMATED		REQUESTED	REQUESTED
BY TOTAL FUNDS	2011-12		2012-13		2013-14	2014-15
81001 - Coordination of Higher Education	6,446,141		7,462,932		7,963,877	8,122,066
81002 - Scholarships & Fellowships	53,634,152		47,516,462		120,266,353	120,266,353
TOTAL AGENCY PROGRAMS - ALL FUNDS	60,080,293		54,979,394		128,230,230	128,388,419
Less Turnover (General Fund)					-19,157	-26,173
TOTAL AGENCY PROGRAMS - ALL FUNDS NET	60,080,293		54,979,394		128,211,073	128,362,246

PERSONNEL SUMMARY	POSITIONS				REQUESTED 2013-14		REQUESTED 2014-15	
	As of 06/30/12		2012-13	06/30/13	Change	Total	Change	Total
	Filled	Vacant	Change	Total				
Permanent Full-Time Positions								
General Fund	10	2	0	12	0	12	0	12
Federal Funds	6	1	0	7	0	7	0	7
Private Funds	4	3	0	6	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
FINANCIAL SUMMARY	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
CURRENT EXPENSES								
Total Personal Services -- Net	1,104,163		1,128,146		1,299,424		1,415,642	
Total Other Expenses -- Net	98,018		112,537		112,566		116,129	
Total Other Current Expenses	2,929,361		3,243,056		3,546,119		3,566,356	
EQUIPMENT (CAPITAL OUTLAY)	0		0		0		0	
FIXED CHARGES								
Total Other than Payments to Local Governments	52,561,396		46,444,063		119,193,954		119,193,954	
Total Payments to Local Governments								
AGENCY TOTAL -- GENERAL FUND	56,692,938		50,927,802		124,152,063		124,292,081	
ADDITIONAL FUNDS AVAILABLE	3,387,355		4,051,592		4,059,010		4,070,165	
AGENCY GRAND TOTAL	60,080,293		54,979,394		128,211,073		128,362,246	
CURRENT EXPENSES	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
PERSONAL SERVICES								
Permanent Full Time Positions	950,838		1,009,059		1,145,016		1,207,211	
Other Positions	76,979		85,491		85,885		91,885	
Other	76,346		33,596		87,680		142,719	
Overtime								
TOTAL PERSONAL SERVICES -- GROSS	1,104,163		1,128,146		1,318,581		1,441,815	
Less Reimbursements			0		0		0	
Turnover					-19,157		-26,173	
TOTAL PERSONAL SERVICES -- NET	1,104,163		1,128,146		1,299,424		1,415,642	
OTHER EXPENSES								
CONTRACTUAL SERVICES								
Printing & Binding 51874	333		350		358		368	
Membership Dues 51780	2,790		2,800		2,861		2,944	
Fees And Permits 51620	128		200		204		210	
Cellular Communication Svcs 53820	1,347		2,000		2,043		2,102	
Internet Services 53830	5,692		6,500		6,641		6,834	
Telephone Repair & Maintenance 53850	270		300		307		316	
Telephone Installation 53860	123		200		204		210	
Loc/Long Distance Telecomm Sv 53870	3,842		7,000		7,152		7,359	
Off Equip Mnt/Rep-Non-Contract 52532	592		2,500		2,554		2,628	
Premises Repair/Maint Services 53401	2,500		2,500		2,554		2,628	
Accounting/Auditing Services 51180	0		20,000		20,434		21,027	
Moving Services 51790	2,221		2,000		2,043		2,102	
Motor Vehicle Rental 53011	4,008		4,500		4,598		4,731	
Regular Postage 51764	2,566		3,800		3,882		3,995	
Office Equipment Lease/Rental 52511	36,406		38,000		38,825		39,951	
IT Hardware Maint & Support 53740	542		600		613		631	
IT Software Licenses/Rental 53755	4,165		4,850		4,955		5,099	
IT Software Maint & Support 53760	699		700		715		736	
IT Data Services 53720	3,600		4,000		4,087		4,206	
In-State Travel 50780	18		150		153		157	
Out-Of-State Travel 50790	253		250		255		262	
Mileage Reimbursement 50800	448		500		511		526	
COMMODITIES								
Food And Beverages 54050	280		500		509		518	
Motor Vehicle Fuel - Gasoline 53020	573		622		669		688	
General Office Supplies 54060	3,070		5,000		5,109		5,257	
IT Supplies 53920	205		500		511		526	
SUNDRY								
Emp Non-Reportable Payments 50720	70		100		102		105	
Tuition Reimbursement 50760	11,207		10,000		10,217		10,513	



Capital-Office Equipment	55610	2,224	2,415	0	0
Capital-IT Hardware Purch/Inst	55700	7,846	5,000	5,000	5,000
TOTAL OTHER EXPENSES - GROSS		98,018	127,837	128,066	131,629
Less Reimbursements			15,300	15,500	15,500
TOTAL OTHER EXPENSES - NET		98,018	112,537	112,566	116,129
OTHER CURRENT EXPENSES					
12188 - Minority Advancement Program		1,973,446	2,176,817	2,450,577	2,453,286
12194 - Alternate Route to Certification		100,000	100,000	104,876	111,824
12200 - National Service Act		235,739	328,365	349,292	359,872
12208 - International Initiatives		63,176	66,500	70,000	70,000
12214 - Minority Teacher Incentive Program		422,500	471,374	471,374	471,374
12233 - Education and Health Initiatives		134,500	0	0	0
12468 - CommPACT Schools		0	0	0	0
12534 - Board of Regents for Higher Education		0	0	0	0
12553 - English Language Learner Scholarship		0	100,000	100,000	100,000
TOTAL OTHER CURRENT EXPENSES		2,929,361	3,243,056	3,546,119	3,566,356
EQUIPMENT					
TOTAL EQUIPMENT		0	0	0	0
FIXED CHARGES					
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS					
16055 - Capitol Scholarship Program		4,336,060	4,722,351	8,902,780	8,902,780
16063 - Awards Children Deceased/Disabled Vets		0	4,000	4,000	4,000
16079 - CT Independent College Student Grant		18,072,474	16,158,319	27,376,501	27,376,501
16126 - CT Aid for Public College Students		29,808,469	25,500,000	82,484,752	82,484,752
16134 - Connecticut Aid to Charter Oak		59,393	59,393	425,921	425,921
16198 - Kirklyn M. Kerr Grant Program		285,000	0	0	0
TOTAL PAYMENTS TO OTHER THAN LOCAL GOVTS		52,561,396	46,444,063	119,193,954	119,193,954
ADDITIONAL FUNDS AVAILABLE					
Federal Funds		2,499,492	3,043,092	3,044,010	3,045,165
Private Funds		882,741	1,008,500	1,015,000	1,025,000
Bond Funds		5,122	0	0	0
TOTAL ADDITIONAL FUNDS AVAILABLE		3,387,355	4,051,592	4,059,010	4,070,165

PROGRAM All Programs								
PROGRAM OBJECTIVE				SEE ATTACHED PRINT OUT				
PROGRAM DESCRIPTION				SEE ATTACHED PRINT OUT				
PROGRAM MEASURES				SEE ATTACHED PRINT OUT				
PERSONNEL SUMMARY	POSITIONS				REQUESTED 2013-14		REQUESTED 2014-15	
	As of 06/30/12		2012-13	06/30/13				
	Filled	Vacant	Change	Total	Change	Total	Change	Total
Permanent Full-Time Positions								
General Fund	10	2	0	12	0	12	0	12
Federal Funds	6	1	0	7	0	7	0	7
Private Funds	4	3	0	6	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
EXPENDITURES - Net of Reimbursements	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
10010 - Personal Services	1,104,163		1,128,146		1,318,581		1,441,815	
10020 - Other Expenses	98,018		112,537		112,566		116,129	
OTHER CURRENT EXPENSES								
12188 - Minority Advancement Program	1,973,446		2,176,817		2,450,577		2,453,286	
12194 - Alternate Route to Certification	100,000		100,000		104,876		111,824	
12200 - National Service Act	235,739		328,365		349,292		359,872	
12208 - International Initiatives	63,176		66,500		70,000		70,000	
12214 - Minority Teacher Incentive Program	422,500		471,374		471,374		471,374	
12233 - Education and Health Initiatives	134,500		0		0		0	
12468 - CommPACT Schools	0		0		0		0	
12534 - Board of Regents for Higher Education	0		0		0		0	
12553 - English Language Learner Scholarship	0		100,000		100,000		100,000	
TOTAL OTHER CURRENT EXPENSES	2,929,361		3,243,056		3,546,119		3,566,356	
EQUIPMENT								
TOTAL EQUIPMENT	0		0		0		0	
FIXED CHARGES								
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS								
16055 - Capitol Scholarship Program	4,336,060		4,722,351		8,902,780		8,902,780	
16063 - Awards Children Deceased/Disabled Vets	0		4,000		4,000		4,000	
16079 - CT Independent College Student Grant	18,072,474		16,158,319		27,376,501		27,376,501	
16126 - CT Aid for Public College Students	29,808,469		25,500,000		82,484,752		82,484,752	
16134 - Connecticut Aid to Charter Oak	59,393		59,393		425,921		425,921	
16198 - Kirklyn M. Kerr Grant Program	285,000		0		0		0	
TOTAL GENERAL FUND -- Net of Reimb.	56,692,938		50,927,802		124,171,220		124,318,254	
ADDITIONAL FUNDS AVAILABLE								
22299 - College Access Challenge Grant	0		0		0		0	
22427 - College Access Challenge II	0		0		0		0	
26163 - John R. Justice	100,001		100,000		100,000		100,000	
29042 - ARRA-Recovery Program	-5,922		0		0		0	
64124 - All-Volunteer Force Educational Assistance	218,032		286,000		286,000		286,000	
84185 - Byrd Honors Scholarships	38,580		0		0		0	
84334 - Gaining Early Awareness and Readiness for Under	-368,344		250,000		250,000		250,000	
84367 - Improving Teacher Quality State Grants	581,034		607,092		608,010		609,165	
94003 - State Commissions	268,018		250,000		250,000		250,000	
94006 - AmeriCorps	1,611,762		1,500,000		1,500,000		1,500,000	
94009 - Training and Technical Assistance	56,331		50,000		50,000		50,000	
Private Funds	882,741		1,008,500		1,015,000		1,025,000	
Bond Funds	5,122		0		0		0	
TOTAL ADDITIONAL FUNDS AVAILABLE	3,387,355		4,051,592		4,059,010		4,070,165	
GRAND TOTAL -- ALL FUNDS	60,080,293		54,979,394		128,230,230		128,388,419	

PROGRAM Coordination of Higher Education								
PROGRAM OBJECTIVE				SEE ATTACHED PRINT OUT				
PROGRAM DESCRIPTION				SEE ATTACHED PRINT OUT				
PROGRAM MEASURES				SEE ATTACHED PRINT OUT				
PERSONNEL SUMMARY	POSITIONS				REQUESTED 2013-14		REQUESTED 2014-15	
	As of 06/30/12		2012-13 Change	06/30/13 Total	Change	Total	Change	Total
	Filled	Vacant						
Permanent Full-Time Positions								
General Fund	10	2	0	12	0	12	0	12
Federal Funds	6	1	0	7	0	7	0	7
Private Funds	4	3	0	6	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
EXPENDITURES - Net of Reimbursements	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
10010 - Personal Services	1,104,163		1,128,146		1,318,581		1,441,815	
10020 - Other Expenses	98,018		112,537		112,566		116,129	
OTHER CURRENT EXPENSES								
12188 - Minority Advancement Program	1,973,446		2,176,817		2,450,577		2,453,286	
12194 - Alternate Route to Certification	100,000		100,000		104,876		111,824	
12200 - National Service Act	235,739		328,365		349,292		359,872	
12208 - International Initiatives	63,176		66,500		70,000		70,000	
12233 - Education and Health Initiatives	134,500		0		0		0	
12468 - CommPACT Schools	0		0		0		0	
12534 - Board of Regents for Higher Education	0		0		0		0	
12553 - English Language Learner Scholarship	0		100,000		100,000		100,000	
TOTAL OTHER CURRENT EXPENSES	2,506,861		2,771,682		3,074,745		3,094,982	
EQUIPMENT								
TOTAL EQUIPMENT	0		0		0		0	
FIXED CHARGES								
TOTAL GENERAL FUND -- Net of Reimb.	3,709,042		4,012,365		4,505,892		4,652,926	
ADDITIONAL FUNDS AVAILABLE								
22299 - College Access Challenge Grant	0		0		0		0	
22427 - College Access Challenge II	0		0		0		0	
26163 - John R. Justice	100,001		100,000		100,000		100,000	
29042 - ARRA-Recovery Program	-5,922		0		0		0	
64124 - All-Volunteer Force Educational Assistance	218,032		286,000		286,000		286,000	
84334 - Gaining Early Awareness and Readiness for Under	-368,344		250,000		250,000		250,000	
84367 - Improving Teacher Quality State Grants	-4,991		21,067		21,985		23,140	
94003 - State Commissions	268,018		250,000		250,000		250,000	
94006 - AmeriCorps	1,611,762		1,500,000		1,500,000		1,500,000	
94009 - Training and Technical Assistance	56,331		50,000		50,000		50,000	
Private Funds	857,090		993,500		1,000,000		1,010,000	
Bond Funds	5,122		0		0		0	
TOTAL ADDITIONAL FUNDS AVAILABLE	2,737,099		3,450,567		3,457,985		3,469,140	
GRAND TOTAL -- ALL FUNDS	6,446,141		7,462,932		7,963,877		8,122,066	

PROGRAM Scholarships & Fellowships								
PROGRAM OBJECTIVE				SEE ATTACHED PRINT OUT				
PROGRAM DESCRIPTION				SEE ATTACHED PRINT OUT				
PROGRAM MEASURES				SEE ATTACHED PRINT OUT				
PERSONNEL SUMMARY	POSITIONS				REQUESTED		REQUESTED	
	As of 06/30/12		2012-13	06/30/13	2013-14		2014-15	
	Filled	Vacant	Change	Total	Change	Total	Change	Total
Permanent Full-Time Positions								
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
EXPENDITURES - Net of Reimbursements	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
	0		0		0		0	
OTHER CURRENT EXPENSES								
12214 - Minority Teacher Incentive Program	422,500		471,374		471,374		471,374	
12534 - Board of Regents for Higher Education	0		0		0		0	
TOTAL OTHER CURRENT EXPENSES	422,500		471,374		471,374		471,374	
EQUIPMENT								
TOTAL EQUIPMENT	0		0		0		0	
FIXED CHARGES								
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS								
16055 - Capitol Scholarship Program	4,336,060		4,722,351		8,902,780		8,902,780	
16063 - Awards Children Deceased/Disabled Vets	0		4,000		4,000		4,000	
16079 - CT Independent College Student Grant	18,072,474		16,158,319		27,376,501		27,376,501	
16126 - CT Aid for Public College Students	29,808,469		25,500,000		82,484,752		82,484,752	
16134 - Connecticut Aid to Charter Oak	59,393		59,393		425,921		425,921	
16198 - Kirklyn M. Kerr Grant Program	285,000		0		0		0	
TOTAL GENERAL FUND -- Net of Reimb.	52,983,896		46,915,437		119,665,328		119,665,328	
ADDITIONAL FUNDS AVAILABLE								
84185 - Byrd Honors Scholarships	38,580		0		0		0	
84367 - Improving Teacher Quality State Grants	586,025		586,025		586,025		586,025	
Private Funds	25,651		15,000		15,000		15,000	
TOTAL ADDITIONAL FUNDS AVAILABLE	650,256		601,025		601,025		601,025	
GRAND TOTAL -- ALL FUNDS	53,634,152		47,516,462		120,266,353		120,266,353	

PERSONNEL SUMMARY	POSITIONS				REQUESTED 2013-14		REQUESTED 2014-15	
	As of 06/30/12		2012-13 Change	06/30/13 Total	Change	Total	Change	Total
	Filled	Vacant						
Permanent Full-Time Positions								
General Fund	10	2	0	12	0	12	0	12
Federal Funds	6	1	0	7	0	7	0	7
Private Funds	4	3	0	6	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
FINANCIAL SUMMARY	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
CURRENT EXPENSES								
Total Personal Services -- Net	1,104,163		1,128,146		1,299,424		1,415,642	
Total Other Expenses -- Net	98,018		112,537		112,566		116,129	
Total Other Current Expenses	2,929,361		3,243,056		3,546,119		3,566,356	
EQUIPMENT (CAPITAL OUTLAY)	0		0		0		0	
FIXED CHARGES								
Total Other than Payments to Local Governments	52,561,396		46,444,063		119,193,954		119,193,954	
Total Payments to Local Governments								
AGENCY TOTAL -- GENERAL FUND	56,692,938		50,927,802		124,152,063		124,292,081	
ADDITIONAL FUNDS AVAILABLE	3,387,355		4,051,592		4,059,010		4,070,165	
AGENCY GRAND TOTAL	60,080,293		54,979,394		128,211,073		128,362,246	
CURRENT EXPENSES	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
PERSONAL SERVICES								
Permanent Full Time Positions	950,838		1,009,059		1,145,016		1,207,211	
Other Positions	76,979		85,491		85,885		91,885	
Other	76,346		33,596		87,680		142,719	
Overtime								
TOTAL PERSONAL SERVICES -- GROSS	1,104,163		1,128,146		1,318,581		1,441,815	
Less Reimbursements			0		0		0	
Turnover					-19,157		-26,173	
TOTAL PERSONAL SERVICES -- NET	1,104,163		1,128,146		1,299,424		1,415,642	
OTHER EXPENSES								
CONTRACTUAL SERVICES								
Printing & Binding 51874	333		350		358		368	
Membership Dues 51780	2,790		2,800		2,861		2,944	
Fees And Permits 51620	128		200		204		210	
Cellular Communication Svcs 53820	1,347		2,000		2,043		2,102	
Internet Services 53830	5,692		6,500		6,641		6,834	
Telephone Repair & Maintenance 53850	270		300		307		316	
Telephone Installation 53860	123		200		204		210	
Loc/Long Distance Telecomm Sv 53870	3,842		7,000		7,152		7,359	
Off Equip Mnt/Rep-Non-Contract 52532	592		2,500		2,554		2,628	
Premises Repair/Maint Services 53401	2,500		2,500		2,554		2,628	
Accounting/Auditing Services 51180	0		20,000		20,434		21,027	
Moving Services 51790	2,221		2,000		2,043		2,102	
Motor Vehicle Rental 53011	4,008		4,500		4,598		4,731	
Regular Postage 51764	2,566		3,800		3,882		3,995	
Office Equipment Lease/Rental 52511	36,406		38,000		38,825		39,951	
IT Hardware Maint & Support 53740	542		600		613		631	
IT Software Licenses/Rental 53755	4,165		4,850		4,955		5,099	
IT Software Maint & Support 53760	699		700		715		736	
IT Data Services 53720	3,600		4,000		4,087		4,206	
In-State Travel 50780	18		150		153		157	
Out-Of-State Travel 50790	253		250		255		262	
Mileage Reimbursement 50800	448		500		511		526	
COMMODITIES								
Food And Beverages 54050	280		500		509		518	
Motor Vehicle Fuel - Gasoline 53020	573		622		669		688	
General Office Supplies 54060	3,070		5,000		5,109		5,257	
IT Supplies 53920	205		500		511		526	
SUNDRY								
Emp Non-Reportable Payments 50720	70		100		102		105	
Tuition Reimbursement 50760	11,207		10,000		10,217		10,513	

Capital-Office Equipment	55610	2,224	2,415	0	0
Capital-IT Hardware Purch/Inst	55700	7,846	5,000	5,000	5,000
TOTAL OTHER EXPENSES - GROSS		98,018	127,837	128,066	131,629
Less Reimbursements			15,300	15,500	15,500
TOTAL OTHER EXPENSES - NET		98,018	112,537	112,566	116,129
OTHER CURRENT EXPENSES					
12188 - Minority Advancement Program		1,973,446	2,176,817	2,450,577	2,453,286
12194 - Alternate Route to Certification		100,000	100,000	104,876	111,824
12200 - National Service Act		235,739	328,365	349,292	359,872
12208 - International Initiatives		63,176	66,500	70,000	70,000
12214 - Minority Teacher Incentive Program		422,500	471,374	471,374	471,374
12233 - Education and Health Initiatives		134,500	0	0	0
12468 - CommPACT Schools		0	0	0	0
12534 - Board of Regents for Higher Education		0	0	0	0
12553 - English Language Learner Scholarship		0	100,000	100,000	100,000
TOTAL OTHER CURRENT EXPENSES		2,929,361	3,243,056	3,546,119	3,566,356
EQUIPMENT					
TOTAL EQUIPMENT		0	0	0	0
FIXED CHARGES					
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS					
16055 - Capitol Scholarship Program		4,336,060	4,722,351	8,902,780	8,902,780
16063 - Awards Children Deceased/Disabled Vets		0	4,000	4,000	4,000
16079 - CT Independent College Student Grant		18,072,474	16,158,319	27,376,501	27,376,501
16126 - CT Aid for Public College Students		29,808,469	25,500,000	82,484,752	82,484,752
16134 - Connecticut Aid to Charter Oak		59,393	59,393	425,921	425,921
16198 - Kirklyn M. Kerr Grant Program		285,000	0	0	0
TOTAL PAYMENTS TO OTHER THAN LOCAL GOVTS		52,561,396	46,444,063	119,193,954	119,193,954
ADDITIONAL FUNDS AVAILABLE					
Federal Funds		2,499,492	3,043,092	3,044,010	3,045,165
Private Funds		882,741	1,008,500	1,015,000	1,025,000
Bond Funds		5,122	0	0	0
TOTAL ADDITIONAL FUNDS AVAILABLE		3,387,355	4,051,592	4,059,010	4,070,165

PERSONNEL SUMMARY	POSITIONS				REQUESTED		REQUESTED	
	As of 06/30/12		2012-13	06/30/13	2013-14		2014-15	
	Filled	Vacant	Change	Total	Change	Total	Change	Total
Permanent Full-Time Positions								
General Fund	10	2	0	12	0	12	0	12
Federal Funds	6	1	0	7	0	7	0	7
Private Funds	4	3	0	6	0	6	0	6
OCE Positions Appropriated	4	0	0	4	0	4	0	4
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
FINANCIAL SUMMARY	ACTUAL		ESTIMATED		REQUESTED		REQUESTED	
	2011-12		2012-13		2013-14		2014-15	
CURRENT EXPENSES								
Total Personal Services -- Net	1,104,163		1,128,146		1,318,581		1,441,815	
Total Other Expenses -- Net	98,018		112,537		112,566		116,129	
Total Other Current Expenses	2,506,861		2,771,682		3,074,745		3,094,982	
EQUIPMENT (CAPITAL OUTLAY)	0		0		0		0	
FIXED CHARGES								
Total Other than Payments to Local Governments	0		0		0		0	
Total Payments to Local Governments								
AGENCY TOTAL -- GENERAL FUND	3,709,042		4,012,365		4,505,892		4,652,926	
ADDITIONAL FUNDS AVAILABLE	2,737,099		3,450,567		3,457,985		3,469,140	
AGENCY GRAND TOTAL	6,446,141		7,462,932		7,963,877		8,122,066	
CURRENT EXPENSES	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
PERSONAL SERVICES								
Permanent Full Time Positions	950,838		1,009,059		1,145,016		1,207,211	
Other Positions	76,979		85,491		85,885		91,885	
Other	76,346		33,596		87,680		142,719	
Overtime								
TOTAL PERSONAL SERVICES -- GROSS	1,104,163		1,128,146		1,318,581		1,441,815	
Less Reimbursements			0		0		0	
TOTAL PERSONAL SERVICES -- NET	1,104,163		1,128,146		1,318,581		1,441,815	
OTHER EXPENSES								
CONTRACTUAL SERVICES								
Printing & Binding	51874	333	350	358	368			
Membership Dues	51780	2,790	2,800	2,861	2,944			
Fees And Permits	51620	128	200	204	210			
Cellular Communication Svcs	53820	1,347	2,000	2,043	2,102			
Internet Services	53830	5,692	6,500	6,641	6,834			
Telephone Repair & Maintenance	53850	270	300	307	316			
Telephone Installation	53860	123	200	204	210			
Loc/Long Distance Telecomm Sv	53870	3,842	7,000	7,152	7,359			
Off Equip Mnt/Rep-Non-Contract	52532	592	2,500	2,554	2,628			
Premises Repair/Maint Services	53401	2,500	2,500	2,554	2,628			
Accounting/Auditing Services	51180	0	20,000	20,434	21,027			
Moving Services	51790	2,221	2,000	2,043	2,102			
Motor Vehicle Rental	53011	4,008	4,500	4,598	4,731			
Regular Postage	51764	2,566	3,800	3,882	3,995			
Office Equipment Lease/Rental	52511	36,406	38,000	38,825	39,951			
IT Hardware Maint & Support	53740	542	600	613	631			
IT Software Licenses/Rental	53755	4,165	4,850	4,955	5,099			
IT Software Maint & Support	53760	699	700	715	736			
IT Data Services	53720	3,600	4,000	4,087	4,206			
In-State Travel	50780	18	150	153	157			
Out-Of-State Travel	50790	253	250	255	262			
Mileage Reimbursement	50800	448	500	511	526			
COMMODITIES								
Food And Beverages	54050	280	500	509	518			
Motor Vehicle Fuel - Gasoline	53020	573	622	669	688			
General Office Supplies	54060	3,070	5,000	5,109	5,257			
IT Supplies	53920	205	500	511	526			
SUNDRY								
Emp Non-Reportable Payments	50720	70	100	102	105			
Tuition Reimbursement	50760	11,207	10,000	10,217	10,513			

Capital-Office Equipment	55610	2,224	2,415	0	0
Capital-IT Hardware Purch/Inst	55700	7,846	5,000	5,000	5,000
TOTAL OTHER EXPENSES - GROSS		98,018	127,837	128,066	131,629
Less Reimbursements			15,300	15,500	15,500
TOTAL OTHER EXPENSES - NET		98,018	112,537	112,566	116,129
OTHER CURRENT EXPENSES					
12188 - Minority Advancement Program		1,973,446	2,176,817	2,450,577	2,453,286
12194 - Alternate Route to Certification		100,000	100,000	104,876	111,824
12200 - National Service Act		235,739	328,365	349,292	359,872
12208 - International Initiatives		63,176	66,500	70,000	70,000
12233 - Education and Health Initiatives		134,500	0	0	0
12468 - CommPACT Schools		0	0	0	0
12534 - Board of Regents for Higher Education		0	0	0	0
12553 - English Language Learner Scholarship		0	100,000	100,000	100,000
TOTAL OTHER CURRENT EXPENSES		2,506,861	2,771,682	3,074,745	3,094,982
EQUIPMENT					
TOTAL EQUIPMENT		0	0	0	0
FIXED CHARGES					
TOTAL PAYMENTS TO OTHER THAN LOCAL GOVTS		0	0	0	0
ADDITIONAL FUNDS AVAILABLE					
Federal Funds		1,874,887	2,457,067	2,457,985	2,459,140
Private Funds		857,090	993,500	1,000,000	1,010,000
Bond Funds		5,122	0	0	0
TOTAL ADDITIONAL FUNDS AVAILABLE		2,737,099	3,450,567	3,457,985	3,469,140



PERSONNEL SUMMARY	POSITIONS				REQUESTED 2013-14		REQUESTED 2014-15	
	As of 06/30/12		2012-13 Change	06/30/13 Total	Change	Total	Change	Total
	Filled	Vacant						
Permanent Full-Time Positions								
	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
Other Positions Equated to Full-Time								
FINANCIAL SUMMARY	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
CURRENT EXPENSES								
Total Personal Services -- Net	0		0		0		0	
Total Other Expenses -- Net	0		0		0		0	
Total Other Current Expenses	422,500		471,374		471,374		471,374	
EQUIPMENT (CAPITAL OUTLAY)	0		0		0		0	
FIXED CHARGES								
Total Other than Payments to Local Governments	52,561,396		46,444,063		119,193,954		119,193,954	
Total Payments to Local Governments								
AGENCY TOTAL -- GENERAL FUND	52,983,896		46,915,437		119,665,328		119,665,328	
ADDITIONAL FUNDS AVAILABLE	650,256		601,025		601,025		601,025	
AGENCY GRAND TOTAL	53,634,152		47,516,462		120,266,353		120,266,353	
CURRENT EXPENSES	ACTUAL 2011-12		ESTIMATED 2012-13		REQUESTED 2013-14		REQUESTED 2014-15	
PERSONAL SERVICES								
Permanent Full Time Positions	0		0		0		0	
Other Positions	0		0		0		0	
Other	0		0		0		0	
Overtime	0		0		0		0	
TOTAL PERSONAL SERVICES -- GROSS	0		0		0		0	
Less Reimbursements			0		0		0	
TOTAL PERSONAL SERVICES -- NET	0		0		0		0	
OTHER EXPENSES								
TOTAL OTHER EXPENSES - GROSS	0		0		0		0	
Less Reimbursements			0		0		0	
TOTAL OTHER EXPENSES - NET	0		0		0		0	
OTHER CURRENT EXPENSES								
12214 - Minority Teacher Incentive Program	422,500		471,374		471,374		471,374	
12534 - Board of Regents for Higher Education	0		0		0		0	
TOTAL OTHER CURRENT EXPENSES	422,500		471,374		471,374		471,374	
EQUIPMENT								
TOTAL EQUIPMENT	0		0		0		0	
FIXED CHARGES								
PAYMENTS TO OTHER THAN LOCAL GOVERNMENTS								
16055 - Capitol Scholarship Program	4,336,060		4,722,351		8,902,780		8,902,780	
16063 - Awards Children Deceased/Disabled Vets	0		4,000		4,000		4,000	
16079 - CT Independent College Student Grant	18,072,474		16,158,319		27,376,501		27,376,501	
16126 - CT Aid for Public College Students	29,808,469		25,500,000		82,484,752		82,484,752	
16134 - Connecticut Aid to Charter Oak	59,393		59,393		425,921		425,921	
16198 - Kirklyn M. Kerr Grant Program	285,000		0		0		0	
TOTAL PAYMENTS TO OTHER THAN LOCAL GOVTS	52,561,396		46,444,063		119,193,954		119,193,954	
ADDITIONAL FUNDS AVAILABLE								
Federal Funds	624,605		586,025		586,025		586,025	
Private Funds	25,651		15,000		15,000		15,000	
TOTAL ADDITIONAL FUNDS AVAILABLE	650,256		601,025		601,025		601,025	

## SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 10010 - Personal Services  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: ALL  
 Bargaining Unit: ALL  
 Authorized: Yes

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YEAR 1: FISCAL YEAR 2013 - 2014 - PRESENT LEVEL		Positions	\$
Permanent - Full-Time Base	10.00		940,689
Vacant Full Time Positions	2.00		152,613
New Positions Authorized but not established in 2012 - 2013	0.00		0
Cancelled Positions 2012 - 2013	0.00		0
Annual Increments not in Base			0
General Wage Increases not in Base			0
Other Increases not in Base			0
TOTAL	12.00		1,093,302
Annual Increment Cost 2013 - 2014			0
General Wage Increase Cost 2013 - 2014			29,187
Other Increases Cost 2013 - 2014			22,152
TOTAL PRESENT LEVEL	12.00		1,144,641
Cancelled Positions 2013 - 2014	0.00		0
New Positions 2013 - 2014	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	375	12.00	1,144,641
YEAR 2: FISCAL YEAR 2014 - 2015		Positions	\$
Cancelled Position Annualization			0
PERMANENT - FULL-TIME BASE	12.00		1,144,641
Annualizations (26 pay periods)			
a. Annual Increment Cost			0
b. General Wage Increase Cost 2013 - 2014			4,171
c. Other Increases Cost 2013 - 2014			886
d. New Positions 2013 - 2014			0
TOTAL CURRENT SERVICES 2014 - 2015	12.00		1,149,699
Annual Increment Cost 2014 - 2015			0
General Wage Increase Cost 2014 - 2015			33,164
Other Increases Cost 2014 - 2015			22,322
TOTAL PRESENT LEVEL	12.00		1,205,185
Cancelled Positions 2014 - 2015	0.00		0
New Positions 2014 - 2015	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	2,026	12.00	1,205,185

## SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 10010 - Personal Services  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: ALL  
 Bargaining Unit: ALL  
 Authorized: Yes

09-05-2012 12:17:41 PM

Name	Salary Code	Inc. Code	June 2012 Base Pay	2013 Increases AI	June 2013 Base Pay	Wage	2014 Increases AI	June 2014 Base Pay	Wage	2015 Increases AI	Misc.	RTE
Clariglio, Jane A.	HE08	1	141,807	0	141,807	4,091	0	141,807	4,340	0	4,470	1.00
01 - Exempt/Elected/Appointed			141,807	0	141,807	4,091	0	141,807	4,340	0	4,470	1.00
Little, Lynne M	HE02	1	67,948	0	67,948	1,799	0	67,948	2,059	0	1,160	1.00
Negro, Lisa L	HE03	1	80,941	0	80,941	2,134	0	80,941	2,442	0	0	1.00
Diamond, Linda Kinnan	HE04	1	80,397	0	80,397	2,129	0	80,397	2,436	0	1,673	1.00
Clecko, Scott A	HE04	1	78,559	0	78,559	2,080	0	78,559	2,381	0	1,635	1.00
French, Mark E	HE05	1	97,137	0	97,137	2,572	0	97,137	2,944	0	2,021	1.00
VACANT GF	HE05	V	76,307	0	76,307	1,976	0	76,307	2,313	0	1,588	1.00
VACANT GF Academic	HE05	V	76,307	0	76,307	1,976	0	76,307	2,313	0	1,588	1.00
Fraser, Constance Z	HE06	1	120,021	0	120,022	3,178	0	120,022	3,637	0	2,498	1.00
Brady, Nancy L	HE06	1	113,870	0	113,870	3,015	0	113,870	3,451	0	2,370	1.00
Seltz, Renate A	HE06	1	93,266	0	93,266	2,470	0	93,266	2,827	0	1,941	1.00
Dion, Noah	VR99	1	66,743	0	66,743	1,767	0	66,743	2,023	0	1,389	1.00
59 - DHE -- Professional Employees			951,495	0	951,495	25,097	0	951,495	28,825	0	17,852	11.00
AGENCY: DHE66500 FUND: 11000 - TOTALS			1,093,302	0	1,093,302	29,187	0	1,093,302	33,164	0	22,322	12.00

## SELECTION CRITERIA

11000 - General Fund  
10010 - Personal Services  
81001 - Coordination of Higher Education

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		POSITIONS				REQUESTED		REQUESTED	
		As of 6/30/2012		2012-13		6/30/2013		2014-2015	
		Filled	Vacant	Change	Total	Change	Total	Change	Total
PERMANENT FT POSITIONS		10	2	0	12	0	12	0	12
		Actual 2011-12				Requested 2014-15			
PERMANENT FT CURRENT SERVICES COST		950,838				1,207,211			
		1,009,059				1,145,016			
OTHER POSITIONS		Actual		Estimated		Requested		Requested	
		No. Positions	FTE	FY 2012	FTE	FY 2013	FTE	FY 2014	FY 2015
			Positions	Amount	Positions	Amount	Positions	Amount	Amount
50120-Salaries & Wages-Temporary		0	0.00	0	0.00	10,000	0.00	10,000	10,000
50130-Salaries & Wages-Contractual		0	0.00	0	0.00	0	0.00	0	0
50140-Salaries & Wages-Student Labor		0	0.00	0	0.00	0	0.00	0	0
50150-Salaries & Wages-Part Time		0	0.00	76,979	0.00	75,491	0.00	75,885	81,885
TOTAL - Other Positions		0	0.00	76,979	0.00	85,491	0.00	85,885	91,885
OTHER PERSONAL SERVICES		Actual		Estimated		Requested		Requested	
		Employees	FY 2012	Employees	FY 2013	Employees	FY 2014	Employees	FY 2015
50160-Longevity Payments		0	35,761	0	33,596	0	36,980	0	38,363
50180-Differential Payments		0	0	0	0	0	0	0	0
50190-Accumulated Leave		0	40,499	0	0	0	50,700	0	104,356
50200-Graduate Assistants		0	0	0	0	0	0	0	0
50210-Meal Allowance		0	0	0	0	0	0	0	0
50220-Cooperative Ed(Co-Op) Students		0	0	0	0	0	0	0	0
50710-Emp Allow & Reportable Pymnts		0	0	0	0	0	0	0	0
50720-Emp Non-Reportable Payments		0	0	0	0	0	0	0	0
50730-Fees Paid To Employees		0	0	0	0	0	0	0	0
50731-CT TRANSCRIPTS-SENTENCING		0	0	0	0	0	0	0	0
50800-Mileage Reimbursement		0	86	0	0	0	0	0	0
54750-Payments To Inmates/Clients		0	0	0	0	0	0	0	0
TOTAL - Other Personal Services Items		0	76,346	0	33,596	0	87,680	0	142,719
OVERTIME		Actual		Estimated		Requested		Requested	
		Hours	FY 2012	Hours	FY 2013	Hours	FY 2014	Hours	FY 2015
Overtime		0	0	0	0	0	0	0	0

Return

DHE66500 - Office of Higher Education

Fund

11000 - General Fund

SID

10010 - Personal Services

TURNOVER Must be entered  
as negative values

FY 2014 Requested	FY 2015 Requested
-13,157	-26,173

Supporting Text

Two people are planned to retire in 2014, one early in the year and one late in the year. This is the projected difference in salary for the early year person for 20 payperiods. The Executive Director is planned to retire in 2015 and it is expected that the replacement will be at a higher wage that would absorb the savings from the 2014 late year retire. 2015 is the continuing annual savings from the early 2014 retire. Current vacant positions are expected to be filled by 2014.

## SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12188 - Minority Advancement Program  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

09-05-2012 12:18:43 PM

YEAR 1: FISCAL YEAR 2013 - 2014 - PRESENT LEVEL		Positions	\$
Permanent - Full-Time Base	1.00		48,366
Vacant Full Time Positions	0.00		0
New Positions Authorized but not established in 2012 - 2013	0.00		0
Cancelled Positions 2012 - 2013	0.00		0
Annual Increments not in Base			0
General Wage Increases not in Base			0
Other Increases not in Base			0
TOTAL	1.00		48,366
Annual Increment Cost 2013 - 2014			0
General Wage Increase Cost 2013 - 2014			1,281
Other Increases Cost 2013 - 2014			930
TOTAL PRESENT LEVEL	1.00		50,577
Cancelled Positions 2013 - 2014	0.00		0
New Positions 2013 - 2014	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	1.00	50,577
YEAR 2: FISCAL YEAR 2014 - 2015		Positions	\$
Cancelled Position Annualization			0
PERMANENT - FULL-TIME BASE	1.00		50,577
Annualizations (26 pay periods)			
a. Annual Increment Cost			0
b. General Wage Increase Cost 2013 - 2014			199
c. Other Increases Cost 2013 - 2014			37
d. New Positions 2013 - 2014			0
TOTAL CURRENT SERVICES 2014 - 2015	1.00		50,813
Annual Increment Cost 2014 - 2015			0
General Wage Increase Cost 2014 - 2015			1,466
Other Increases Cost 2014 - 2015			1,006
TOTAL PRESENT LEVEL	1.00		53,286
Cancelled Positions 2014 - 2015	0.00		0
New Positions 2014 - 2015	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	1.00	53,286

DHE66500 - Office of Higher Education

SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12188 - Minority Advancement Program  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

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Name	Salary Code	Inc Code	June 2012 Base Pay	Wage	2013 Increases AI	Misc	June 2013 Base Pay	Wage	2014 Increases AI	Misc	June 2014 Base Pay	Wage	2015 Increases AI	Misc	FTE
Levy,Shaun C	HE01	1	48,366	0	0	0	48,366	1,281	0	930	50,813	1,466	0	1,006	1.00
59 - DHE -- Professional Employees			48,366	0	0	0	48,366	1,281	0	930	50,813	1,466	0	1,006	1.00
AGENCY: DHE66500 FUND: 11000 - TOTALS			48,366	0	0	0	48,366	1,281	0	930	50,813	1,466	0	1,006	1.00

## SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12194 - Alternate Route to Certification  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

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YEAR 1: FISCAL YEAR 2013 - 2014 - PRESENT LEVEL		Positions	\$
Permanent - Full-Time Base	1.00		97,245
Vacant Full Time Positions	0.00		0
New Positions Authorized but not established in 2012 - 2013	0.00		0
Cancelled Positions 2012 - 2013	0.00		0
Annual Increments not in Base			0
General Wage Increases not in Base			0
Other Increases not in Base			0
TOTAL	1.00		97,245
Annual Increment Cost 2013 - 2014			0
General Wage Increase Cost 2013 - 2014			2,575
Other Increases Cost 2013 - 2014			1,870
TOTAL PRESENT LEVEL	1.00		101,690
Cancelled Positions 2013 - 2014	0.00		0
New Positions 2013 - 2014	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	1.00	101,690
YEAR 2: FISCAL YEAR 2014 - 2015		Positions	\$
Cancelled Position Annualization			0
PERMANENT - FULL-TIME BASE	1.00		101,690
Annualizations (26 pay periods)			
a. Annual Increment Cost			0
b. General Wage Increase Cost 2013 - 2014			401
c. Other Increases Cost 2013 - 2014			75
d. New Positions 2013 - 2014			0
TOTAL CURRENT SERVICES 2014 - 2015	1.00		102,166
Annual Increment Cost 2014 - 2015			0
General Wage Increase Cost 2014 - 2015			2,947
Other Increases Cost 2014 - 2015			2,024
TOTAL PRESENT LEVEL	1.00		107,136
Cancelled Positions 2014 - 2015	0.00		0
New Positions 2014 - 2015	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	1.00	107,136



DHE66500 - Office of Higher Education

SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12194 - Alternate Route to Certification  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

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Name	Salary Code	Inc Code	June 2012 Base Pay	Wage	2013 Increases AI	Misc	June 2013 Base Pay	Wage	2014 Increases AI	Misc	June 2014 Base Pay	Wage	2015 Increases AI	Misc	FTE
Kot-Davoodi, Maria L.	HE06	1	97,245	0	0	0	97,245	2,575	0	1,870	102,166	2,947	0	2,024	1.00
59 - DHE -- Professional Employees			97,245	0	0	0	97,245	2,575	0	1,870	102,166	2,947	0	2,024	1.00
AGENCY: DHE66500 FUND: 11000 - TOTALS			97,245	0	0	0	97,245	2,575	0	1,870	102,166	2,947	0	2,024	1.00

## SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12200 - National Service Act  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

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YEAR 1: FISCAL YEAR 2013 - 2014 - PRESENT LEVEL		Positions	\$
Permanent - Full-Time Base	2.00		113,321
Vacant Full Time Positions	0.00		0
New Positions Authorized but not established in 2012 - 2013	0.00		0
Cancelled Positions 2012 - 2013	0.00		0
Annual Increments not in Base			0
General Wage Increases not in Base			0
Other Increases not in Base			0
TOTAL	2.00		113,321
Annual Increment Cost 2013 - 2014			0
General Wage Increase Cost 2013 - 2014			3,001
Other Increases Cost 2013 - 2014			2,179
TOTAL PRESENT LEVEL	2.00		118,501
Cancelled Positions 2013 - 2014	0.00		0
New Positions 2013 - 2014	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	2.00	118,501
YEAR 2: FISCAL YEAR 2014 - 2015		Positions	\$
Cancelled Position Annualization			0
PERMANENT - FULL-TIME BASE	2.00		118,501
Annualizations (26 pay periods)			
a. Annual Increment Cost			0
b. General Wage Increase Cost 2013 - 2014			467
c. Other Increases Cost 2013 - 2014			87
d. New Positions 2013 - 2014			0
TOTAL CURRENT SERVICES 2014 - 2015	2.00		119,055
Annual Increment Cost 2014 - 2015			0
General Wage Increase Cost 2014 - 2015			3,434
Other Increases Cost 2014 - 2015			2,358
TOTAL PRESENT LEVEL	2.00		124,848
Cancelled Positions 2014 - 2015	0.00		0
New Positions 2014 - 2015	Lump Sums	0.00	0
TOTAL PERMANENT - FULL-TIME	0	2.00	124,848

DHE66500 - Office of Higher Education

SELECTION CRITERIA

Fund: 11000 - General Fund  
 SID: 12200 - National Service Act  
 Program: \* 81001 - Coordination of Higher Education  
 Incumbent: 1 - Filled Position  
 Bargaining Unit: 59 - DHE -- Professional Employees  
 Authorized: Yes

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Name	Salary Code	Inc. Code	June 2012 Base Pay	Wage	2013 Increases AI	Misc.	June 2013 Base Pay	Wage	2014 Increases AI	Misc.	June 2014 Base Pay	Wage	2015 Increases AI	Misc.	FTE
Singer,Nikki S	HE02	1	64,525	0	0	0	64,525	1,709	0	1,241	67,790	1,955	0	1,343	1.00
Berrincha,Maria-Tere	HE1A	1	48,796	0	0	0	48,796	1,292	0	938	51,265	1,479	0	1,015	1.00
59 - DHE -- Professional Employees			113,321	0	0	0	113,321	3,001	0	2,179	119,055	3,434	0	2,358	2.00
AGENCY: DHE66500 FUND: 11000 - TOTALS			113,321	0	0	0	113,321	3,001	0	2,179	119,055	3,434	0	2,358	2.00

**Agency: DHE66500 - Office of Higher Education**  
**Fund: 11000 - General Fund**  
**Program: 81001 - Coordination of Higher Education**

	Program Totals:	98,018	127,837	128,066	131,629		127,837	0
50720	Emp Non-Reportable Payments	70	100	102	105	2	3	
50760	Tuition Reimbursement	11,207	10,000	10,217	10,513	217	296	
50780	In-State Travel	18	150	153	157	3	4	
50790	Out-Of-State Travel	253	250	255	262	5	7	
50800	Mileage Reimbursement	448	500	511	526	11	15	
51180	Accounting/Auditing Services	0	20,000	20,434	21,027	434	593	
51620	Fees And Permits	128	200	204	210	4	6	
51661	General Honoraria	0	0	0	0	0	0	
51762	Express Postage	0	0	0	0	0	0	
51764	Regular Postage	2,566	3,800	3,882	3,995	82	113	
51780	Membership Dues	2,790	2,800	2,861	2,944	61	83	
51790	Moving Services	2,221	2,000	2,043	2,102	43	59	
51874	Printing & Binding	333	350	358	368	8	10	
52511	Office Equipment Lease/Rental	36,406	38,000	38,825	39,951	825	1,126	
52532	Off Equip Mnt/Rep-Non-Contract	592	2,500	2,554	2,628	54	74	
53011	Motor Vehicle Rental	4,008	4,500	4,598	4,731	98	133	
53020	Motor Vehicle Fuel - Gasoline	573	622	669	688	47	19	
53401	Premises Repair/Maint Services	2,500	2,500	2,554	2,628	54	74	
53720	IT Data Services	3,600	4,000	4,087	4,206	87	119	
53740	IT Hardware Maint & Support	542	600	613	631	13	18	
53755	IT Software Licenses/Rental	4,165	4,850	4,955	5,099	105	144	
53760	IT Software Maint & Support	699	700	715	736	15	21	
53820	Cellular Communication Svcs	1,347	2,000	2,043	2,102	43	59	
53830	Internet Services	5,692	6,500	6,641	6,834	141	193	
53850	Telephone Repair & Maintenance	270	300	307	316	7	9	
53860	Telephone Installation	123	200	204	210	4	6	
53870	Loc/Long Distance Telecomm Sv	3,842	7,000	7,152	7,359	152	207	
53920	IT Supplies	205	500	511	526	11	15	
54050	Food And Beverages	280	500	509	518	9	9	
54060	General Office Supplies	3,070	5,000	5,109	5,257	109	148	
54150	Minor Equipment - Controllable	0	0	0	0	0	0	
55610	Capital-Office Equipment	2,224	2,415	0	0	0	0	
55700	Capital-IT Hardware Purch/Inst	7,846	5,000	5,000	5,000	0	0	

Agency: DHE66500 - Office of Higher Education  
Fund: 11000 - General Fund  
Program: 81001 - Coordination of Higher Education

**Fuel - Present Level**

**Oil**

53340	Oil No. 2					7.54	1.95
53341	Oil No. 4					7.54	1.95
53342	Oil No. 6					7.54	1.95
Subtotal - Oil						0.00	0.00

**Motor Vehicle Fuel**

53017	Motor Vehicle Fuel - Diesel					7.54	2.82
53018	Motor Vehicle Fuel - Bio Diesel					7.54	2.82
53020	Motor Vehicle Fuel - Gasoline	573	622	669	688	7.54	2.82
53021	Motor Vehicle Fuel - Ethanol Blend					7.54	2.82
53022	Motor Vehicle Fuel - Natural Gas					2.17	2.90
53023	Motor Vehicle Fuel - Propane					2.17	2.90
Subtotal - Motor Vehicle Fuel						0.00	0.00

**Aircraft Fuel**

53037	Aircraft Fuel - Gasoline					2.17	2.90
53038	Aircraft Fuel - Diesel					2.17	2.90
53039	Aircraft Fuel - Bio Diesel					2.17	2.90
53040	Aircraft Fuel - Ethanol Blend					2.17	2.90
Subtotal - Aircraft Fuel						0.00	0.00

**Watercraft Fuel**

53057	Watercraft Fuel - Gasoline					2.17	2.90
53058	Watercraft Fuel - Diesel					2.17	2.90
53059	Watercraft Fuel - Bio Diesel					2.17	2.90
53060	Watercraft Fuel - Ethanol Blend					2.17	2.90
Subtotal - Watercraft Fuel						0.00	0.00

Less: Reimbursements 0 0 0 0

**Subtotal Agency Fuel** 573 622 669 688

Additional Requirements/Deletions 0 0 0

**Total Agency Fuel** 573 622 669 688

**Utility Services - Present Level**

**53331 Electricity** 4.87 6.23

**Natural Gas/Propane**

53338	Natural Gas					37.44	9.31
53339	Propane					2.17	2.90
Subtotal - Natural Gas/Propane						0.00	0.00

**53334 Water** 2.17 2.90

**53335 Sewerage** 2.17 2.90

**District Heating**

53343	Steam					2.17	2.90
53344	Hot Waterm					2.17	2.90
53345	Bio-Heat					2.17	2.90
53346	Kerosene - Heating					2.17	2.90
Subtotal - District Heating						0.00	0.00

**District Cooling**

53347	Chilled Water					2.17	2.90
53348	Diesel - Generator					2.17	2.90
Subtotal - District Cooling						0.00	0.00

Less: Reimbursements 0 0 0 0

**Subtotal Agency Utilities Services**

Additional Requirements/Deletions 0 0 0

**Total Agency Utility Services** 0 0 0

Agency: DHE66500 - Office of Higher Education  
Outside Professional Services

Detail	2012 Actual	2013 Estimated	2014 Request	2015 Request
11000 - General Fund				
10020-Other Expenses				
81001 - Coordination of Higher Education				
51180 - Accounting/Auditing Services				
Higher Ed Strategies LLC performs annual enrollment and compliance audits for the Connecticut Inc	0	20,000	20,434	21,027

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12188 - Minority Advancement Program**

Connecticut Statutory Reference    Sec 10a-11

Federal Statutory Reference

Mandated By Statute                      Yes

**DESCRIPTION**

The Minority Advancement Program (MAP) seeks to diversify the composition of students attending and graduating from Connecticut public colleges and universities. MAP focuses on student retention and graduation through the following competitive grant programs. Connecticut Collegiate Awareness and Preparation Program (ConnCAP) encourages both public and independent colleges to develop and implement pre-college programs for students from low-income and potentially first (college) generation families. ConnCAP has primary goals. The first is to generate among targeted, underrepresented minority students, the skills and motivation necessary to graduate from high school and enter and succeed in a program of postsecondary education. The second goal is to strengthen the capacity of institutions to advance college access and success of underrepresented minority students. The ConnCAP programs selected for 2011-2012 were: Central Connecticut State University, Naugatuck Valley Community College, Southern Connecticut State University, University of Bridgeport, University of Connecticut Health Center, University of Connecticut and Western Connecticut State University. Community College Scholars provides incentive grants to strengthen minority retention and graduation at Connecticut's community colleges. For 2011-2012, the following 7 colleges were selected to receive grants: Capital, Housatonic, Manchester, Naugatuck Valley, Norwalk, Quinebaug Valley and Three Rivers. University Success Program supports programs at four-year public universities which are designed to increase student access, retention and graduation. Four programs were funded for 2011-2012 at Central, Eastern and Southern Connecticut State Universities as well as at the University of Connecticut.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Expenditures by account do not accurately reflect the way this program is budgeted so I have assigned 55050 as ConnCAP, 55070 as Community College Scholars, and 55080 as University Success Program. Refunds are also accounted separately, but the software does not allow me to input negative numbers so the refunds have been folded into the programs when, in fact, they fund the staff person. The cost of the staff person does not affect the grants, but is paid by refunds or unexpended carryforward. University Success was not separated in 2012 due to the transition, but will be reflected going forward. MAP used to have 2 GF positions. One was cut a few years ago and the second was transferred to BOR in another capacity. We have been paying an administrator out of the GF and transferring the cost into MAP. For this budget, we have restored the position as OCE to charge directly to MAP in order to correct the staffing issue per our discussions.

**YEAR 2**

The year 1 and year 2 requests are the same so this is an explanation for both. The 2013 budget was set by program to accommodate reductions made to the appropriation. The 2014 and 2015 requests represent the actual size of the three programs, which are designed in total to provide a minimum of 23 grants at \$2.4 million. MAP has not been at full current services funding since 2006. In 2012, only 18 smaller grants were approved at lower than planned amounts due to concerns about the availability of funding. Fully funded ConnCAP grants, for instance, run from \$100,000 to \$200,000. Community College Scholars and University Success Programs are each about \$100,000.

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
Permanent Fulltime Positions	1	1	1	1
50110 - Salaries & Wages-Full Time	7,441	48,366	50,577	53,286
55050 - Pass thru Grant Non-State	1,002,296	1,128,451	1,400,000	1,400,000
55070 - State Aid Grants	963,709	600,000	600,000	600,000
55080 - State Aid Grants-State Agency	0	400,000	400,000	400,000
Program 81001 Total	1,973,446	2,176,817	2,450,577	2,453,286
<b>SID Total</b>				
Permanent Fulltime Positions	1	1	1	1
Dollars	1,973,446	2,176,817	2,450,577	2,453,286

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12194 - Alternate Route to Certification**

Connecticut Statutory Reference 10-145p  
Federal Statutory Reference  
Mandated By Statute No

**DESCRIPTION**

Created in 1986, the Alternate Route to Teacher Certification (ARC) is an innovative program developed by the Department of Higher Education to attract talented individuals into teaching in the shortage fields. The purpose of ARC is to prepare mid-career adults with backgrounds in content disciplines, specifically shortage areas, for careers in teaching and education. In the last few years, the program has taken the opportunity to restructure and improve efficiency. The administrative staff has been reduced from four to 3. This is the only state funding for the program which is largely self-supporting through tuition. This funding covers the cost of the Director.

ARC offers two training sessions each year: a full-time 8-week summer session and a weekend program held from October to May. Both sessions incorporate intensive student teaching as well as extensive professional development opportunities for ARC teachers now in the classroom.

ARC is Connecticut's 6th largest teacher preparation program, and is its largest producer of teachers in grade 5-12 math, physics, biology, chemistry, Spanish, French, German, Latin and other languages. Hiring rates show that ARC teachers are in high demand; retention rates are equally high. Of its recent summer and academic year graduates, 77 percent are prepared to teach in shortage areas, 16 percent are from minority groups, and 49 percent hold graduate degrees, including 27 doctorates.

Since 2006, at least 36 ARC graduates have received "Teacher of the Year" and other related designations at the local, state and national levels. The recently-announced Teachers of the Year for 2012 include three ARC-trained teachers (Rocky Hill, Vernon, Bridgeport) and another (Lyme/OldLyme) who was a semi-finalist for Connecticut Teacher of the Year.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

The requested amounts for 2014 and 2015 are the roster calculated salary of the Director. The requested funding represents approximately 10% of the cost of the program. The remaining program costs are funded by student tuition

**YEAR 2**

Same as year 1

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
Permanent Fulltime Positions	1	1	1	1
50110 - Salaries & Wages-Full Time	96,518	100,000	101,690	107,136
50160 - Longevity Payments	0	0	3,186	4,688
50190 - Accumulated Leave	3,482	0	0	0
Program 81001 Total	100,000	100,000	104,876	111,824
<b>SID Total</b>				
Permanent Fulltime Positions	1	1	1	1
Dollars	100,000	100,000	104,876	111,824



BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12200 - National Service Act**

Connecticut Statutory Reference    Sec 10a-48b  
Federal Statutory Reference        P.L. 103-82  
Mandated By Statute                Yes

**DESCRIPTION**

The Connecticut Commission on National and Community Service was established in 1993 by an Executive Order of the Governor under the National and Community Service Trust Act of 1993. Its primary mandate is to administer AmeriCorps programs in the state addition, the Commission is tasked to recommend innovative and creative statewide community service programs as a strategy to address community-based problems and to utilize local, state and federal resource to reinforce, expand and initiate quality service programs. Since 1994, more than 9,700 Connecticut residents have served more than 12 million hours and have qualified for Segal AmeriCorps Education Awards totaling more than \$26,700,000. Upon successful completion of a year of full-time service, AmeriCorps participants receive a Segal AmeriCorps Education Award of \$5,550 that can be used to pay for college or graduate school, or to pay back qualified student loans. Approximately 87% of AmeriCorps alumnae use their education award at Connecticut colleges, universities and trade schools.

State funds are required as a match to federal dollars for administrative expenses that include support for Connecticut's Commission on National and Community Service. Also, state funds are awarded as grants to programs to help meet the 15% community organization share of program participation costs. In fiscal year 2012 Connecticut received slightly over \$5.6 million in federal dollars leveraged by the \$235,738 appropriation from the state.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Expenditures by account do not adequately reflect how this program works so 54850 - other program costs reflects the non-salary related costs of the required state match and 55050 pass thru grants reflects the grants awarded to outside programs.

**YEAR 2**

2013 expenses are forced into the appropriation to reflect the \$250,000 required for the match, leaving \$78,000 for grants. 2014 and 2015 reflect a state match which is required to be in the area of \$250,000 and a flat \$100,000 amount for program grants. This yields a total of \$350,000 for the program, which is the level at which it was funded for most of the last decade. The only increase from 2013 to 2014 is \$22,000 in grants to bring the grants up to \$100,000 and the program back to \$350,000 and a 3% increase in 2015 for contracted salary increases. This additional funding will be used to create the National Service Veterans Corp outlined in the 2012 Benchmark Report.

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
Permanent Fulltime Positions	2	2	2	2
50110 - Salaries & Wages-Full Time	148,534	186,705	118,501	124,848
50150 - Salaries & Wages-Part Time	0	0	76,741	80,853
50160 - Longevity Payments	2,150	4,050	4,050	4,171
54850 - Other Program Expenses	27,715	59,245	50,000	50,000
55050 - Pass thru Grant Non-State	57,340	78,365	100,000	100,000
Program 81001 Total	235,739	328,365	349,292	359,872
 SID Total				
Permanent Fulltime Positions	2	2	2	2
Dollars	235,739	328,365	349,292	359,872

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12208 - International Initiatives**

Connecticut Statutory Reference

Federal Statutory Reference

Mandated By Statute No

**DESCRIPTION**

The Baden-Württemberg-Connecticut Higher Education Exchange (BW-CT Exchange) provides opportunities for college and university students from all disciplines to receive credit for studies at institutions in the partner-state, and promotes the larger goals of economic development and cultural understanding. By helping to build a workforce with international and intercultural skills, the BW-CT Exchange supports efforts to increase Connecticut's exports as well as the number of foreign companies investing in Connecticut, and especially with Germany, among Connecticut's top three trade partners.

The BW-CT Exchange began in 1991 as the result of a legislative partnership between the State of Connecticut and the German State of Baden-Württemberg. This past year, 56 Connecticut students attended college in Germany while 51 German students came to Connecticut for study. Another 23 students from Connecticut studied in Germany in summer 2011. German and engineering continue to be the most popular majors of Connecticut students, while the German students concentrate on English, economics/business administration and engineering. Eight Connecticut students are performing internships in Germany as part of their studies. Four of these interned at Daimler (Mercedes Benz).

The Parliament of Baden-Württemberg supports Connecticut students with an annual scholarship fund of \$250,000.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

State funding and student fees support a part-time intern (50140) as well as student grants (55100) and language instruction (51661) for Connecticut students studying in Germany. Those are the three expenditure categories identified in financials. The grants and instruction funding are paid in euros, and the exchange rate is volatile. This program was reduced in each of the last four years. It has not received even an inflationary increase since 2004 when the last major reduction was made. This request is for the 2005 funding level of \$70,000.

**YEAR 2**

No additional increase in 2015

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
50120 - Salaries & Wages-Temporary	2,250	0	0	0
50140 - Salaries & Wages-Student Labor	22,389	25,000	25,000	25,000
51661 - General Honoraria	12,008	12,000	12,000	12,000
55100 - Student Grant & Aid-Undergrad	26,529	29,500	33,000	33,000
Program 81001 Total	63,176	66,500	70,000	70,000
<b>SID Total</b>				
Permanent Fulltime Positions	0	0	0	0
Dollars	63,176	66,500	70,000	70,000

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12214 - Minority Teacher Incentive Program**

Connecticut Statutory Reference    Sec. 10a-168a

Federal Statutory Reference

Mandated By Statute                      Yes

**DESCRIPTION**

This program was created by the Legislature in 1998 to encourage minority students enrolled at Connecticut colleges to pursue teaching careers in Connecticut. The program provides annual \$5,000 grants for up to two years to minority students enrolled in teacher training programs and provides those minority graduates who teach in Connecticut with annual \$2,500 stipends for up to four years to assist in repayment of college loans. The maximum award (grants and loans combined) for each participant is \$20,000.

Since its inception the program has served more than 500 students, of whom two-thirds had loans. Of those who had loans and were eligible for a stipend, nearly 200 are teaching in Connecticut schools.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

No increase is requested for this program.

**YEAR 2**

No increase is requested for this program.

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81002 - Scholarships &amp; Fellowships</b>				
55030 - Fellowships & Stipends	122,500	171,374	171,374	171,374
55100 - Student Grant & Aid-Undergrad	40,000	40,000	40,000	40,000
55120 - Trnsfr Grant Expend-St Agency	260,000	260,000	260,000	260,000
Program 81002 Total	422,500	471,374	471,374	471,374
 SID Total				
Permanent Fulltime Positions	0	0	0	0
Dollars	422,500	471,374	471,374	471,374

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12233 - Education and Health Initiatives**

Connecticut Statutory Reference

Federal Statutory Reference

Mandated By Statute No

**DESCRIPTION**

These funds are appropriated for programs in education and health shortage areas. Programs are chosen by means of a Request for Proposals (RFP) and a consortium of the Department of Higher Education, Public Health and the Office of Workforce Competitiveness.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

This program is no longer funded.

**YEAR 2**

This program is no longer funded

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
51230 - Management Consultant Services	19,500	0	0	0
55050 - Pass thru Grant Non-State	15,000	0	0	0
55120 - Trnsfr Grant Expend-St Agency	100,000	0	0	0
Program 81001 Total	134,500	0	0	0
 SID Total				
Permanent Fulltime Positions	0	0	0	0
Dollars	134,500	0	0	0

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12553 - English Language Learner Scholarship**

Connecticut Statutory Reference PA 12-1, Sec. 222

Federal Statutory Reference

Mandated By Statute Yes

**DESCRIPTION**

The English Language Learner Scholarship is a loan reimbursement program for ELL qualified incumbents teaching in an ELL authorized position in a Connecticut public school.

**EXPLANATION OF REQUESTED LEVEL**

YEAR 1

YEAR 2

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81001 - Coordination of Higher Education</b>				
55070 - State Aid Grants	0	100,000	100,000	100,000
Program 81001 Total	0	100,000	100,000	100,000
<b>SID Total</b>				
Permanent Fulltime Positions	0	0	0	0
Dollars	0	100,000	100,000	100,000

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12534 - Board of Regents for Higher Education**

Connecticut Statutory Reference

Federal Statutory Reference

Mandated By Statute                      Yes

DESCRIPTION

This is transition funding for the Board of Regents not included in or managed by the Office of Higher Education.

EXPLANATION OF REQUESTED LEVEL

YEAR 1

YEAR 2

FY2012  
Actual

FY2013  
Estimated

FY2014  
Requested

FY2015  
Requested

BR-3 Other Current Expenses  
Office of Higher Education  
General Fund

**12468 - CommPACT Schools**

Connecticut Statutory Reference

Federal Statutory Reference

Mandated By Statute No

**DESCRIPTION**

These funds are provided to the University of Connecticut and Connecticut State University to administer a field-based support program for up to 12 CommPACT schools and a college readiness grant for students. These funds were transferred to SDE beginning in FY 2012.

**EXPLANATION OF REQUESTED LEVEL**

YEAR 1

YEAR 2

FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
-------------------------	----------------------------	----------------------------	----------------------------

BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16055 - Capitol Scholarship Program**

Connecticut Statutory Reference    Sec 10a-169

Federal Statutory Reference

Mandated By Statute                      Yes

**DESCRIPTION**

The Capitol Scholarship program provides awards to students based upon academic merit and financial need. The maximum award for students attending in-state institutions is \$3,000. Recipients who take their awards to institutions in the eight states with reciprocal agreements receive a maximum of \$500. In fiscal year 2012, this program lost federal funding of \$1 million and state funding was cut in half so that a \$10 million financial aid program for the state's brightest and neediest students was reduced to less than \$4.5 million. There is a modest increase to \$4.7 million in 2013. In 2012, the incoming freshman class also was denied scholarships of any kind. Both that class and the new freshman class were restored for 2013. In 2012, a total of 3,362 students received awards which averaged \$1,285. This program has cut the maximum award in half and significantly lowered the income eligibility level.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

This request applies for restoration of state funds to the 2011 level

**YEAR 2**

	FY2012	FY2013	FY2014	FY2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>
<b>DISTRIBUTION BY PROGRAM</b>				
<b>81002 - Scholarships &amp; Fellowships</b>	<b>4,336,060</b>	<b>4,722,351</b>	<b>8,902,780</b>	<b>8,902,780</b>
Total Distribution by Program	4,336,060	4,722,351	8,902,780	8,902,780
Less Reimbursements		0	0	0
NET Distribution by Program	4,336,060	4,722,351	8,902,780	8,902,780
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,336,060</b>	<b>4,722,351</b>	<b>8,902,780</b>	<b>8,902,780</b>



BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16063 - Awards to Children of Deceased/Disabled Veterans**

Connecticut Statutory Reference    Sec 10a-166

Federal Statutory Reference

Mandated By Statute                Yes

**DESCRIPTION**

This program provides assistance to any needy student between the ages of 16 and 23 who is the child of a person who served in the armed forces in the time of war, and who was killed or died as a result of accident or illness sustained while performing such duty, has been rated totally and permanently disabled or is missing in action in Vietnam. Annual grant amounts are \$400 per student. Such aid is paid directly to the designated institution on vouchers provided by the Office of Higher Education.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Flat funding is requested

**YEAR 2**

Flat funding is requested

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>DISTRIBUTION BY PROGRAM</b>				
<b>81002 - Scholarships &amp; Fellowships</b>	<b>0</b>	<b>4,000</b>	<b>4,000</b>	<b>4,000</b>
Total Distribution by Program	0	4,000	4,000	4,000
Less Reimbursements		0	0	0
NET Distribution by Program	0	4,000	4,000	4,000
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>0</b>	<b>4,000</b>	<b>4,000</b>	<b>4,000</b>

BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16079 - Connecticut Independent College Student Grant**

Connecticut Statutory Reference 10a-36 thru 42

Federal Statutory Reference

Mandated By Statute Yes

**DESCRIPTION**

The Connecticut Independent College Student Grant (CICSG) program was established in 1983 as a means of providing educational opportunities in the form of monetary grants for Connecticut residents who attend in-state independent colleges and universities. Through this grant program, the Office of Higher Education (OHE) disburses the grant monies directly to participating independent colleges and universities who then utilize the funds for financial assistance. OHE is statutorily required to request an annual appropriation based on a detailed formula (attached). The Governor's Recommended appropriation as well as the appropriation as enacted by the Legislature however are not statutorily required to equal the formula derived amount. This grant was funded at 67% of formula in fiscal year 2012 and 59% of formula in fiscal year 2013. In fiscal year 2011, with one of the highest funding levels ever reported, a total of 5,563 students received financial assistance under this program which had an average award of \$3,885. Reporting for 2012 is not yet complete.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Statutory Formula (attached). Although this formula request seems very high, it is at its lowest level since 2007 due to significant reductions to state support of public 4-year institutions, on which the formula is based.

**YEAR 2**

Statutory formula

	FY2012	FY2013	FY2014	FY2015
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>
<b>DISTRIBUTION BY PROGRAM</b>				
<b>81002 - Scholarships &amp; Fellowships</b>	<b>18,072,474</b>	<b>16,158,319</b>	<b>27,376,501</b>	<b>27,376,501</b>
Total Distribution by Program	18,072,474	16,158,319	27,376,501	27,376,501
Less Reimbursements		0	0	0
NET Distribution by Program	18,072,474	16,158,319	27,376,501	27,376,501
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>18,072,474</b>	<b>16,158,319</b>	<b>27,376,501</b>	<b>27,376,501</b>

BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16126 - Connecticut Aid for Public College Students**

Connecticut Statutory Reference    Sec 10a-164a

Federal Statutory Reference

Mandated By Statute                      Yes

**DESCRIPTION**

The Connecticut Aid for Public College Students (CAPCS) Grant program was created by the legislature in 1987 to provide additional grant aid for Connecticut residents attending state supported colleges in Connecticut. OHE is statutorily required to request an annual appropriation based on a detailed formula. The Governor's Recommended appropriation as well as the appropriation as enacted by the Legislature, however, are not statutorily required to equal the formula derived amount. This grant was funded at 41.0% of formula in fiscal year 2012 and 32% of formula in fiscal year 2013. In fiscal year 2011, When funding was at its highest (48% of formula), over 19,000 students received financial assistance under this program which had an average award of \$1,570.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Statutory formula (attached). This formula is based on the tuition set-aside actually expended for financial aid by public institutions, two years in arrears of the request.

**YEAR 2**

Statutory formula

	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>DISTRIBUTION BY PROGRAM</b>				
<b>81002 - Scholarships &amp; Fellowships</b>	<b>29,808,469</b>	<b>25,500,000</b>	<b>82,484,752</b>	<b>82,484,752</b>
Total Distribution by Program	29,808,469	25,500,000	82,484,752	82,484,752
Less Reimbursements		0	0	0
NET Distribution by Program	29,808,469	25,500,000	82,484,752	82,484,752
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>29,808,469</b>	<b>25,500,000</b>	<b>82,484,752</b>	<b>82,484,752</b>

BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16134 - Connecticut Aid to Charter Oak**

Connecticut Statutory Reference    Sec. 10a-164a (c)

Federal Statutory Reference

Mandated By Statute                      Yes

**DESCRIPTION**

Connecticut Aid to Charter Oak was created by the legislature in 2002 to provide additional need-based grant aid for Connecticut residents attending Charter Oak State College. Grants are provided to residents of the state who demonstrate substantial financial need and who are matriculated in a degree program at Charter Oak. In fiscal year 2009, this grant program was fully funded and served 124 students at an average award of \$479. In fiscal year 2011, the program was funded at 70% of formula and served 216 students at an average award of \$275.

**EXPLANATION OF REQUESTED LEVEL**

**YEAR 1**

Statutory formula (attached). The formula took a significant jump in 2014 because in 2012 COSC moved from an administrative fee base to a program fee base which includes tuition-like credit hour fees for taking courses. This has significantly increased fee revenue.

**YEAR 2**

Statutory formula

	FY2012	FY2013	FY2014	FY2015
DISTRIBUTION BY PROGRAM	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>
<b>81002 - Scholarships &amp; Fellowships</b>	<b>59,393</b>	<b>59,393</b>	<b>425,921</b>	<b>425,921</b>
Total Distribution by Program	59,393	59,393	425,921	425,921
Less Reimbursements		0	0	0
NET Distribution by Program	59,393	59,393	425,921	425,921
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>59,393</b>	<b>59,393</b>	<b>425,921</b>	<b>425,921</b>

BR-3 Fixed Charges/Other Current Expenses  
Office of Higher Education  
General Fund

**16198 - Kirklyn M. Kerr Grant Program**

Connecticut Statutory Reference 10a-19h

Federal Statutory Reference

Mandated By Statute No

**DESCRIPTION**

The Kirklyn M. Kerr Grant program was established to assist Connecticut residents who want to pursue an education in veterinary medicine. Under this program, the University of Connecticut contracts with Iowa State University for the securitization of five slots annually in Iowa State's Veterinary Medicine program. This program provides guaranteed access to an accredited veterinary medicine program for five Connecticut students who then attend Iowa State University at in-state tuition rates. Connecticut pays Iowa State \$20,000 per slot per year for the university to hold the five veterinary medicine slots for Connecticut students. For one student, the state pays \$80,000 for the four years (and the student pays the \$32,000 or so in tuition).

This program was formerly contracted through the Office of Higher Education, but was transferred to UConn effective with fiscal year 2013.

**EXPLANATION OF REQUESTED LEVEL**

YEAR 1

YEAR 2

DISTRIBUTION BY PROGRAM	FY2012 <u>Actual</u>	FY2013 <u>Estimated</u>	FY2014 <u>Requested</u>	FY2015 <u>Requested</u>
<b>81002 - Scholarships &amp; Fellowships</b>	<b>285,000</b>	<b>0</b>	<b>0</b>	<b>0</b>
Total Distribution by Program	285,000	0	0	0
Less Reimbursements		0	0	0
NET Distribution by Program	285,000	0	0	0
Additional Funds Available				
Total Additional Funds Available	0	0	0	0
TOTAL FUNDS AVAILABLE	285,000	0	0	0

## BR-4 EQUIPMENT (CAPITAL OUTLAY)

DHE66500 - Office of Higher Education  
12051 - State Equipment Purchase  
40255-State Equipment Purchase  
81001 - Coordination of Higher Education

09-05-2012  
11:21:25 AM

Account Code: 55730		FY 2014 Request			FY 2015 Request		
Acquisition Type: Replacement		Quantity	Unit Cost	Amount	Quantity	Unit Cost	Amount
Item:	Laptops	3	1,000	3,000	3	1,000	3,000
Justification:	Replace laptops past lifespan in the last biennium						
Account Code: 55730		FY 2014 Request			FY 2015 Request		
Acquisition Type: Replacement		Quantity	Unit Cost	Amount	Quantity	Unit Cost	Amount
Item:	Desktop computers	3	800	2,400	3	800	2,400
Justification:	Replace computers past lifespan in the last biennium						
Account Code:							

## BR-4 EQUIPMENT (CAPITAL OUTLAY)

DHE66500 - Office of Higher Education  
 12051 - State Equipment Purchase  
 40255-State Equipment Purchase  
 81001 - Coordination of Higher Education

09-05-2012  
 11:21:43 AM

Classification	2012 Actual	2013 Estimated	2014 Requested	2015 Requested
55610 - Capital-Office Equipment	0	0	0	0
55620 - Capital-Medical & Lab Equipmnt	0	0	0	0
55630 - Capital-Educational Equipment	0	0	0	0
55640 - Capital-Motor Veh Equipment	0	0	0	0
55650 - Capital-Highway Machinery	0	0	0	0
55660 - Capital Outlays-Books	0	0	0	0
55670 - Capital-Transportation Equip	0	0	0	0
55680 - Capital-General Plant Equip	0	0	0	0
55690 - Capital-General Agency Equip	0	0	0	0
55700 - Capital-IT Hardware Purch/Inst	0	0	0	0
55710 - Capital-Telecomm Equip/Syst	0	0	0	0
55720 - Capital-Research Equipment	0	0	0	0
55730 - Data Processing Equipment	0	6,300	5,400	5,400
<b>TOTAL</b>	<b>0</b>	<b>6,300</b>	<b>5,400</b>	<b>5,400</b>
<b>Plus Additional Funds ==&gt;DETAILS&lt;==</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL FUNDS AVAILABLE</b>	<b>0</b>	<b>6,300</b>	<b>5,400</b>	<b>5,400</b>

Summary of Receipts  
(BR-5)

DHE66500 - Office of Higher Education

Reimbursements

09-05-2012 1:38:23 PM

Fund	SID	Pgm	DESCRIPTION	2012 Actual	2013 Estimated	2014 Projected.	2015 Projected
11000	10020	81001	Refunds of current expenditures	7,930	15,300	15,500	15,500
			Total Reimbursements	7,930	15,300	15,500	15,500



# BR-5 Summary of Receipts

DHE66500 - Office of Higher Education

11000 - General Fund

## Revenues

09-05-2012 12:14:33 PM

Account	Description	Number of Units	2012 Rate per Unit	Actual Revenue	Number of Units	2013 Rate per Unit	Estimated Revenue	Number of Units	2014 Rate per Unit
44410	Refunds of Expend-Prior Years			15,792					
	Total Revenues			15,792			0		

BR-5 Summary of Receipts

DHE06500 - Office of Higher Education

11000 - General Fund

Revenues

09-05-2012 12:14:33 PM

Account	----- Projected Revenue	----- Number of Units	2015 Rate per Unit	----- Projected Revenue
44410				
	0			0

# BR-5 Summary of Receipts

DHE66500 - Office of Higher Education

Additional Funds Available

09-06-2012 2:28:27 PM

Fund	FED CAT	SID	DESCRIPTION	Pgm.	----- Perm. Pos.	----- Vacant Pos.	2012 Other Pos.	----- Actual	----- Perm. Pos.	2013 Other Pos.	----- Estimated	----- Perm. Pos.	2014 Other Pos.
12060		30032	Germany Sister ST Exchange Prig	81001				40,380			33,500		
12060		30058	Paul Douglas Repayments	81002				651			0		
12060		30072	Alternate Route to Certification	81001	1.00	2.00	0.00	613,511	3.00	0.00	650,000	3.00	0.00
12060		30405	Weisman Teacher Scholarship Fund	81002				25,000			15,000		
12060		35135	Student Protection Fund	81001	2.50	0.50	0.00	205,141	3.00	0.00	310,000	3.00	0.00
12060		90557	Institute for Education Sciences Data Systems Grant	81001				0			0		
12060	64124	20189	Veterans Affairs Contract	81001	1.60	0.50	0.00	157,380	2.10	0.00	200,000	2.10	0.00
12060	64124	20316	All Volunteer Force Educational Assistance	81001				4,100			30,000		
12060	64124	21750	Disability Placement Award	81001	1.00	0.00	0.00	56,552	1.00	0.00	56,000	1.00	0.00
12060	84185	20302	Robert C. Byrd Scholarship Program	81002				38,580			0		
12060	84334	20398	Gear Up	81001				0			0		
12060	84334	22133	GEARUP Scholarship Trust	81001	0.50	0.00	0.00	-368,344	0.50	0.00	250,000	0.50	0.00
12060	84367	20107	Improving Teacher Quality Grants	81001	0.20	0.00	0.00	-4,991	0.20	0.00	21,067	0.20	0.00
12060	84367	20107	Improving Teacher Quality Grants	81002				586,025			586,025		
12060	94003	20329	Comm On National and Community Service	81001	3.00	0.00	0.00	268,018	3.00	0.00	250,000	3.00	0.00
12060	94006	20333	National and Community Service - AMERICORPS	81001				1,611,762			1,500,000		
12060	94009	20336	Program Development Assistant Training	81001				56,331			50,000		
12060		22299	College Access Challenge Grant	81001				0			0		
12060		22427	College Access Challenge II	81001				0			0		
12060		26163	John R. Justice	81001				100,001			100,000		
12060		29042	ARRA-Recovery Program	81001				-5,922			0		
12060		30418	CHESLA Scholarships	81001				-1,942			0		
35009		40001	Non-Budgeted Operating Approp	81001				5,122			0		
			Total Additional Funds		9.80	3.00	0.00	3,387,355	12.80	0.00	4,051,592	12.80	0.00

# BR-5 Summary of Receipts

DHE66500 - Office of Higher Education

Additional Funds Available

09-06-2012 2:28:27 PM

Fund	FED CAT	SID	DESCRIPTION	Pgm.	----- Projected	----- Perm. Pos.	2015 Other Pos.	----- Projected
12060		30032	Germany Sister ST Exchange Prg	81001	30,000			30,000
12060		30058	Paul Douglas Repayments	81002	0			0
12060		30072	Alternate Route to Certification	81001	650,000	3.00	0.00	650,000
12060		30405	Weisman Teacher Scholarship Fund	81002	15,000			15,000
12060		35135	Student Protection Fund	81001	320,000	3.00	0.00	330,000
12060		90557	Institute for Education Sciences Data Systems Grant	81001	0			0
12060	64124	20189	Veterans Affairs Contract	81001	200,000	2.10	0.00	200,000
12060	64124	20316	All Volunteer Force Educational Assistance	81001	30,000			30,000
12060	64124	21750	Disability Placement Award	81001	56,000	1.00	0.00	56,000
12060	84185	20302	Robert C. Byrd Scholarship Program	81002	0			0
12060	84334	20398	Gear Up	81001	0			0
12060	84334	22133	GEARUP Scholarship Trust	81001	250,000	0.50	0.00	250,000
12060	84367	20107	Improving Teacher Quality Grants	81001	21,985	0.20	0.00	23,140
12060	84367	20107	Improving Teacher Quality Grants	81002	586,025			586,025
12060	94003	20329	Comm On National and Community Service	81001	250,000	3.00	0.00	250,000
12060	94006	20333	National and Community Service - AMERICORPS	81001	1,500,000			1,500,000
12060	94009	20336	Program Development Assistant Training	81001	50,000			50,000
12060		22299	College Access Challenge Grant	81001	0			0
12060		22427	College Access Challenge II	81001	0			0
12060		26163	John R. Justice	81001	100,000			100,000
12060		29042	ARRA-Recovery Program	81001	0			0
12060		30418	CHESLA Scholarships	81001	0			0
35009		40001	Non-Budgeted Operating Approp	81001	0			0
			Total Additional Funds		4,059,010	12.80	0.00	4,070,165

**Office of Higher Education**  
**2013-15 Current Services Budget Request**

	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>
	<b>Actual</b>	<b>Budget</b>	<b>Budget</b>	<b>Budget</b>
Personal Services	\$1,104,163	\$1,128,146	\$1,299,424	\$1,415,642
Other Expenses	98,018	112,537	112,566	116,129
Other Current Expenses				
Minority Advancement	1,973,446	2,176,817	2,450,577	2,453,286
Alternate Route to Cert.	100,000	100,000	104,876	111,824
National Service Act	235,738	328,365	349,292	359,872
International Initiatives	63,176	66,500	70,000	70,000
Minority Teacher Incentive	422,500	471,374	471,374	471,374
Education and Health Initiatives	134,500			
English Language Learner Scholarship		100,000	100,000	100,000
Subtotal, OCE	\$2,929,360	\$3,243,056	\$3,546,119	\$3,566,356
Equipment		1	1	1
Capitol Scholarship Grants	4,336,060	4,722,351	8,902,780	8,902,780
Awards to Children of Deceased/Disabled Veterans		4,000	4,000	4,000
CICSG	18,072,474	16,158,319	27,376,501	27,376,501
CAPCS	29,808,469	25,500,000	82,484,752	82,484,752
CT Aid to Charter Oak	59,393	59,393	425,921	425,921
Kirklyn Kerr Grant	285,000			
<b>GRAND TOTAL</b>	<b>\$56,692,937</b>	<b>\$50,927,803</b>	<b>\$124,152,064</b>	<b>\$124,292,082</b>
<b>G F Staff Actual/Authorized</b>	<b>13</b>	<b>15</b>	<b>15</b>	<b>15</b>
<b>Annual Change</b>		<b>-10.2%</b>	<b>143.8%</b>	<b>0.1%</b>
<b>Excluding Financial Aid</b>	<b>\$3,709,041</b>	<b>\$3,912,366</b>	<b>\$4,386,736</b>	<b>\$4,526,754</b>
<b>Annual Change</b>		<b>5%</b>	<b>12%</b>	<b>3%</b>
<b>Percent of formula funded CICSG</b>	<b>67%</b>	<b>59%</b>	<b>99%</b>	<b>99%</b>
<b>Percent of formula funded CAPCS</b>	<b>41%</b>	<b>32%</b>	<b>105%</b>	<b>105%</b>

The Percent of formula funded for 2014 and 2015 compare the request to the 2013 formula.  
Please note that the CICSG Formula is declining.

# CONNECTICUT INDEPENDENT COLLEGE STUDENT GRANT PROGRAM

## FY 2014 Formula Computation

### A. State Support for Students at the University of Connecticut and Connecticut State University

UConn FY 2012 General Fund Expenditures	220,995,966
CSU FY 2012 General Fund Expenditures	<u>139,475,433</u>

TOTAL -- State Support of Students in Public Four-year Institutions	\$360,471,399
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### B. Annualized General Fund FTE Enrollments in FY 2012

UConn Undergraduates	20,170
UConn Graduates (excludes UCHC)	4,509
CSU Undergraduates	22,849
CSU Graduates	<u>1,469</u>

TOTAL ENROLLMENT	48,997
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### C. Average General Fund Support Per FTE Student in FY 2012

\$360,471,399 /	48,997 =	\$7,357.01 (1)
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D. CT FTE Enrollments at Independent Institutions, Fall 2011	14,884.60
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### E. Award Per FTE Undergraduate Enrollment under 10a-39 in FY 2012 based upon 25%\* of State Support Per FTE Student in Public Four-Year Higher Education Institutions

\$7,357.01 *	25% =	\$1,839.25 (1)
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### F. Total Appropriation for 10a-39 in FY 2014 based on 25%

\$1,839.25 *	14,884.60 =	\$27,376,501 (2)
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FY 2013 Appropriation	59.0%	\$16,158,319
Increase necessary to fund 2014 formula		\$11,218,182
(1) Rounded to nearest cent.		
(2) Rounded to nearest dollar.		

\* Statutory change in FY 2003 increased percent for 17 to 25.

# CONNECTICUT AID FOR PUBLIC COLLEGE STUDENTS

## FY 2014 Formula Computation

	Amount Required FY 2012 (FY 2011-12 Tuit. Rev - Refunds)	CT Aid Match FY 2014
<u>University of Connecticut</u>	\$ 264,829,529	4th Quarter Report tot
Tuition Freeze subject to set-aside	<u>4,267,696</u>	
Net Expend.	269,097,225	
15% of Net		\$ 40,364,584
<u>Connecticut State University</u>	136,651,622	
Tuition Freeze subject to set-aside	<u>2,569,556</u>	
Net Expend.	139,221,178	
15% of Net		20,883,177
<u>Community-Technical Colleges</u>	122,092,706	
Tuition Freeze subject to set-aside	<u>1,944,833</u>	
Net Expend.	124,037,539	
15% of Net		18,605,631
<u>UConn Health Center</u>	17,542,403	
Less Refunds	<u>-</u>	
Net Expend.	17,542,403	
15% of Net		<u>2,631,360</u>

**TOTAL MATCH OF 15% SET-ASIDE - FY 2014 CAPCS FORMULA:** \$ 82,484,752

ACTUAL APPROPRIATION IN FY 2013: 30.9% 25,500,000

AMOUNT OF INCREASE NECESSARY TO FUND FY 2014 FORMULA: \$ 56,984,752

**Charter Oak State College**  
**Financial Aid Waivers Available**

(revised 5/24/04)

**BR 02-20, Revision to Policy on Fee Waivers**

"The allocation for fee waivers be equal to 5.3% of the revenue collected in the previous year for fees that could be waived."

**BR 07-18 Revision to Policy on Fee Waivers**

"The allocation for fee waivers will be equal to 7% of the revenue collected in the previous year for fees that could be waived."

**PA 08-55** Changes the basis of the request for funding from the tuition waiver set aside of the previous fiscal year to the tuition waiver set aside of the fiscal year two years prior.

<b>FEES THAT COULD BE WAIVED</b>	<b>FY06 Actual</b>	<b>FY07 Actual</b>	<b>FY08 Estimated</b>	<b>FY08 Actual</b>	<b>FY09 Actual</b>	<b>FY10 Actual</b>	<b>FY11 Actual</b>	<b>FY12 Actual</b>
Matriculation and Re-Matriculation Fees:	616,445	752,979	610,067	607,180	709,413	924,440	6,084,581	6,603,596
Matriculation Renewal Fee:	312,840	396,967	338,860	361,576	359,516	376,222		
Matriculation Renewal Extension Fee:	29,498	22,815	19,219	25,614	24,301	22,066		
Record Conversion Fee:	0	0						
Technology Fee - PFY Billed:	0	0						
Concentration Proposal Fee:	13,490	10,355	4,650	5,275	7,750	13,950		
Program Change-Associate to Bachelor	4,240	11,999	6,200	13,303	11,780	9,423		
Graduation Fee:	88,912	98,520	80,925	89,127	95,135	101,093		
<b>TOTAL FEES THAT COULD BE WAIVED</b>	<b>1,065,425</b>	<b>1,293,635</b>	<b>1,059,921</b>	<b>1,102,075</b>	<b>1,207,895</b>	<b>1,447,194</b>	<b>6,084,581</b>	<b>6,603,596</b>
<b>FEE WAIVER ALLOCATION = 5.3% of TOTAL</b>	<b>56,468</b>	<b>68,563</b>	<b>74,194</b>	<b>77,145</b>	<b>84,553</b>	<b>101,304</b>	<b>425,921</b>	<b>462,252</b>
<b>7% of Total in 2008 and forward</b>								
<b>TOTAL FY WAIVER AVAILABLE</b>	<b>FY 2007</b>	<b>FY 2008</b>	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>
70% In-State	39,527	47,994	51,936	54,002	59,187	70,913	298,144	323,576
30% Out-of-State	16,940	20,569	22,258	23,144	25,366	30,391	127,776	138,676
<b>TOTAL FEES THAT COULD BE WAIVED</b>	<b>56,468</b>	<b>68,563</b>	<b>74,194</b>	<b>77,145</b>	<b>84,553</b>	<b>101,304</b>	<b>425,921</b>	<b>462,252</b>

<b>Percent Funded</b>	<b>86.6%</b>	<b>80.1%</b>	<b>77.0%</b>	<b>70.2%</b>	<b>58.6%</b>	<b>13.9%</b>	<b>0.0%</b>
<b>Financial Aid Grant - From State Via DHE</b>	<b>37,393</b>	<b>59,393</b>	<b>59,393</b>	<b>59,393</b>	<b>59,393</b>	<b>59,393</b>	<b>59,393</b>

The request for each year of the biennium is equal to the amount set aside by COSC in the FY two years prior for fee waivers. Such amount shall not exceed 15% of the tuition and fees paid in the previous FY. Any such appropriation shall be used to provide grants for educational expenses to residents of the state who demonstrate substantial financial need and who are matriculated in a degree program at COSC. Individual awards shall not exceed a student's calculated financial need as determined by a needs analysis system approved by the US DOE.