



SECTION A

FINANCIAL SUMMARY

Financial Summary

GOVERNOR'S BUDGET PLAN

(In Millions)

| | Estimated <u>FY 2012</u> | Appropriated <u>FY 2013</u> | Revised Recommended <u>FY 2013</u> |
|--|-----------------------------|--------------------------------|--|
| <u>General Fund</u> | | | |
| Revenues | \$ 18,693.9 | \$ 19,441.0 | \$ 19,318.0 |
| Appropriations | <u>18,692.5</u> | <u>18,952.5</u> | <u>19,266.4</u> |
| Balance | \$ 1.4 | \$ 488.5 | \$ 51.6 |
| Reserve for GAAP | <u>(75.0)</u> | <u>(50.0)</u> | <u>(50.0)</u> |
| Projected Balance 6/30 | \$ (73.6) | \$ 438.5 | \$ 1.6 |
| Proposed Changes | | | |
| Rescissions and Other Actions | <u>\$ 73.6</u> | | |
| Total Changes | \$ 73.6 | | |
| Revised Surplus/(Deficit) | \$ 0.0 | \$ 438.5 | \$ 1.6 |
| Reduce Indebtedness/Reserves | <u>(0.0)</u> | <u>(438.5)</u> | <u>(1.6)</u> |
| Projected Balance 6/30 | \$ - | \$ - | \$ - |
| | | | |
| <u>Special Transportation Fund</u> | | | |
| Beginning Balance | \$ 107.4 | \$ 109.1 | \$ 109.1 |
| Revenues | <u>1,227.8</u> | <u>1,335.0</u> | <u>1,299.6</u> |
| Total Available Resources | 1,335.2 | 1,444.1 | 1,408.7 |
| Appropriations | <u>1,226.1</u> | <u>1,277.8</u> | <u>1,293.5</u> |
| Surplus/(Deficit) | \$ 1.7 | \$ 57.2 | \$ 6.1 |
| Projected Fund Balance 6/30 ⁽¹⁾ | \$ 109.1 | \$ 166.3 | \$ 115.2 |
| | | | |
| <u>Other Funds</u> ⁽²⁾ | | | |
| Revenues | \$ 171.7 | \$ 170.0 | \$ 169.5 |
| Appropriations | <u>171.4</u> | <u>169.6</u> | <u>169.0</u> |
| Surplus/(Deficit) | \$ 0.3 | \$ 0.4 | \$ 0.5 |

(1) The balance in the Special Transportation Fund is required for the financing of the multi-year Infrastructure Renewal Plan.

(2) Other funds include the: a) Mashantucket Pequot and Mohegan Fund, b) Soldiers, Sailors and Marines' Fund, c) Regional Market Operation Fund, d) Banking Fund, e) Insurance Fund, f) Consumer Counsel and Public Utility Control Fund, g) Workers' Compensation Fund, and h) Criminal Injuries Compensation Fund.

Financial Summary

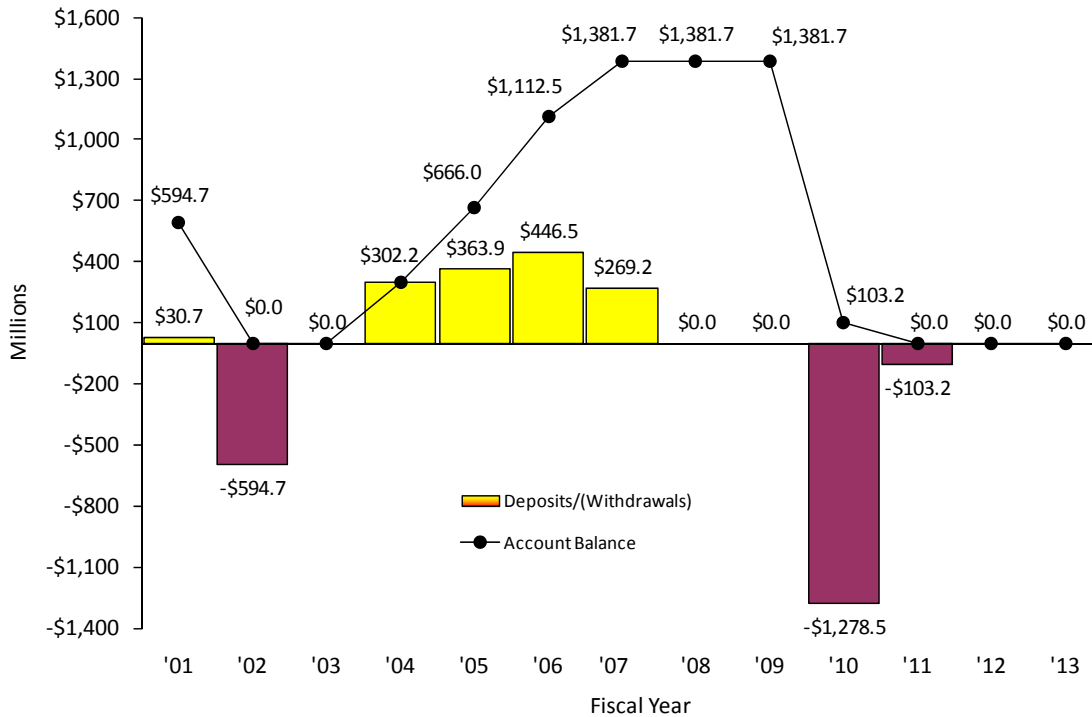
GOVERNOR'S RECOMMENDED REVISIONS TO CURRENT FISCAL YEAR

(In Millions)

| | |
|-------------------------------------|-----------------|
| | <u>FY 2012</u> |
| Revenue as of January 20, 2012 | \$ 18,693.9 |
| Expenditures as of January 20, 2012 | <u>18,692.5</u> |
| Balance prior to GAAP | \$ 1.4 |
| Reserve for GAAP | <u>(75.0)</u> |
| Balance as of January 20, 2012 | \$ (73.6) |

| | | |
|-------------------------------|-----------------------------|--|
| | Net Impact on Balance | |
| <u>Proposed Adjustments</u> | <u>\$ 73.6</u> | |
| Rescissions and Other Actions | \$ 73.6 | |
| Total Proposed Adjustments | \$ 73.6 | |
| Revised Surplus/(Deficit) | \$ 0.0 | |
| Reduce Indebtedness/Reserves | \$ - | |
| Balance June 30, 2012 | <u>\$ 0.0</u> | |

BUDGET RESERVE FUND BALANCE



Financial Summary

SUMMARY OF EXPENDITURE GROWTH

(In Millions)

| | Estimated Expenditures <u>FY 2012</u> | Net Adjustments <u>FY 2013</u> | Recommended Appropriation <u>FY 2013</u> | % Growth Over <u>FY 2012</u> |
|--|---|--------------------------------------|--|------------------------------------|
| General Fund | \$ 18,692.5 | \$ 573.9 | \$ 19,266.4 | 3.1% |
| Special Transportation Fund | 1,226.1 | 67.4 | 1,293.5 | 5.5% |
| Mashantucket Pequot & Mohegan Fund | 61.8 | - | 61.8 | 0.0% |
| Soldiers, Sailors, and Marines' Fund | 3.1 | (0.1) | 3.0 | -3.2% |
| Regional Market Operating Fund | 1.0 | (0.1) | 0.9 | -10.0% |
| Banking Fund | 26.8 | (1.9) | 24.9 | -7.1% |
| Insurance Fund | 26.6 | 1.8 | 28.4 | 6.8% |
| Consumer Counsel and Public Utility Fund | 26.4 | (1.0) | 25.4 | -3.8% |
| Workers Compensation Fund | 22.3 | (1.2) | 21.1 | -5.4% |
| Criminal Injuries Compensation Fund | 3.5 | 0.1 | 3.6 | 2.9% |
| Total | \$ 20,090.1 | \$ 638.9 | \$ 20,729.0 | 3.2% |

SUMMARY OF APPROPRIATION CHANGES

(In Millions)

| | Enacted Appropriation <u>FY 2013</u> | Net Adjustments <u>FY 2013</u> | Recommended Appropriation <u>FY 2013</u> | % Growth Over <u>Enacted</u> |
|--|--|--------------------------------------|--|------------------------------------|
| General Fund | \$ 18,952.5 | \$ 313.9 | \$ 19,266.4 | 1.7% |
| Special Transportation Fund | 1,277.8 | 15.7 | 1,293.5 | 1.2% |
| Mashantucket Pequot & Mohegan Fund | 61.8 | - | 61.8 | 0.0% |
| Soldiers, Sailors, and Marines' Fund | 3.1 | (0.1) | 3.0 | -3.2% |
| Regional Market Operating Fund | 0.9 | - | 0.9 | 0.0% |
| Banking Fund | 26.1 | (1.2) | 24.9 | -4.6% |
| Insurance Fund | 26.1 | 2.3 | 28.4 | 8.7% |
| Consumer Counsel and Public Utility Fund | 26.0 | (0.6) | 25.4 | -2.3% |
| Workers Compensation Fund | 22.0 | (0.9) | 21.1 | -4.3% |
| Criminal Injuries Compensation Fund | 3.6 | - | 3.6 | 0.0% |
| Total | \$ 20,400.0 | \$ 329.0 | \$ 20,729.0 | 1.6% |

FY 2013 enacted appropriation per Public Act 11-6 as adjusted by Public Act 11-61 and Public Act 11-1, June Special Session.

STATUTORY SPENDING CAP CALCULATIONS

For FY 2013

| | FY 2012 Enacted <u>Budget</u> | FY 2013 Enacted <u>Budget</u> | <u>Changes</u> | FY 2013 Recommended <u>Budget</u> |
|--|-------------------------------------|-------------------------------------|-----------------|---|
| Total All Appropriated Funds - Prior Year | \$ 19,339.4 | \$ 20,140.8 | | \$ 20,140.8 |
| Less "Non-Capped" Expenditures: | | | | |
| Debt Service | 2,127.6 | 2,373.0 | | 2,373.0 |
| Statutory Grants to Distressed Municipalities | <u>1,502.1</u> | <u>1,480.4</u> | <u>(21.7)</u> | <u>1,458.8</u> (a) |
| Total "Non-Capped" Expenditures - Prior Year | 3,629.7 | 3,853.4 | (21.7) | 3,831.7 |
| Total "Capped" Expenditures | 15,709.8 | 16,287.43 | | 16,309.1 |
| Times Five-Year Average Growth in | | | | |
| Personal Income in FY 2012, CPI In FY 2013 | 3.39% | 2.84% | 0.13% | 2.98% (b) |
| Allowable "Capped" Growth | <u>532.4</u> | <u>463.3</u> | <u>22.6</u> | <u>485.9</u> |
| "Capped" Expenditures | 16,242.2 | 16,750.7 | 44.3 | 16,795.0 |
| Plus "Non-Capped" Expenditures: | | | | |
| Debt Service | 2,373.0 | 2,427.5 | (29.6) | 2,397.9 |
| Federal Mandates and Court Orders (new \$) | 46.3 | 20.6 | (13.6) | 7.0 |
| Statutory Grants to Distressed Municipalities | <u>1,480.4</u> | <u>1,479.6</u> | <u>55.4</u> | <u>1,534.9</u> (a) |
| Total "Non-Capped" Expenditures | 3,899.7 | 3,927.6 | 12.2 | 3,939.8 |
| Total All Expenditures Allowed | 20,141.9 | 20,678.3 | 56.5 | 20,734.8 |
| Appropriation for this year | 20,140.8 | 20,400.0 | 329.0 | 20,729.0 |
| Amount Total Appropriations are Over/ (Under) the Cap | <u>\$ (1.0)</u> | <u>\$ (278.4)</u> | <u>\$ 272.5</u> | <u>\$ (5.9)</u> |

(a) Updated to reflect FY 2011 actual payments and a revised listing of the 25 distressed municipalities.

(b) Based on Moody's Economy.com 1/20/2012.

SUMMARY OF PROPOSED APPROPRIATIONS BY FUNCTION OF GOVERNMENT
(In Millions)

| | Appropriated FY 2013 | Revised Recommended FY 2013 |
|--|-------------------------|--------------------------------|
| GENERAL FUND | | |
| Legislative | \$ 83.5 | \$ 76.9 |
| General Government | 647.6 | 603.8 |
| Regulation and Protection | 263.3 | 248.6 |
| Conservation and Development | 148.4 | 235.2 |
| Health and Hospitals | 1,911.3 | 1,846.5 |
| Human Services | 5,925.4 | 5,806.2 |
| Education | 4,346.7 | 3,589.6 |
| Corrections | 1,540.2 | 1,442.3 |
| Judicial | 572.8 | 542.1 |
| Non Functional | 4,479.1 | 5,003.7 |
| Total - General Fund Gross | <u>19,918.3</u> | <u>19,394.8</u> |
| Less: Legislative Unallocated Lapses | (3.0) | (3.0) |
| Estimated Unallocated Lapses | (91.7) | (91.7) |
| Unallocated Lapse-Judicial | (5.4) | (5.4) |
| General Personal Services Reductions-Legislative | (0.5) | (0.5) |
| General Personal Services Reductions-Executive | (11.5) | (11.5) |
| General Other Expenses Reductions-Legislative | (0.4) | (0.4) |
| General Other Expenses Reductions-Executive | (9.1) | (9.1) |
| Labor-Management Savings-Legislative | (6.7) | 0.0 |
| Labor-Management Savings-Executive | (807.0) | 0.0 |
| Labor-Management Savings-Judicial | (30.6) | 0.0 |
| Additional Legislative Savings | 0.0 | (2.0) |
| Additional Judicial Department Savings | 0.0 | (4.8) |
| TOTAL - General Fund Net | <u>\$ 18,952.5</u> | <u>\$ 19,266.4</u> |
| SPECIAL TRANSPORTATION FUND | | |
| General Government | \$ 7.3 | \$ 7.3 |
| Regulation and Protection | 55.7 | 53.9 |
| Transportation | 602.0 | 588.4 |
| Human Services | 0.1 | 0.2 |
| Non-Functional | 680.6 | 654.6 |
| Total - Special Transportation Fund Gross | <u>1,345.8</u> | <u>1,304.5</u> |
| Less: Estimated Unallocated Lapses | (11.0) | (11.0) |
| Labor-Management Savings | (56.9) | 0.0 |
| TOTAL - Special Transportation Fund Net | <u>\$ 1,277.8</u> | <u>\$ 1,293.5</u> |
| MASHANTUCKET PEQUOT AND MOHEGAN FUND | | |
| Non-Functional | \$ 61.8 | \$ 61.8 |
| Total - Mashantucket Pequot and Mohegan Fund | <u>\$ 61.8</u> | <u>\$ 61.8</u> |
| SOLDIERS, SAILORS AND MARINES' FUND | | |
| Human Services | 3.1 | 3.0 |
| Total - Soldiers, Sailors and Marines' Fund | <u>\$ 3.1</u> | <u>\$ 3.0</u> |
| REGIONAL MARKET OPERATION FUND | | |
| Conservation and Development | \$ 0.9 | \$ 0.9 |
| Non-Functional | 0.0 | 0.0 |
| Total - Regional Market Operation Fund | <u>\$ 0.9</u> | <u>\$ 0.9</u> |
| BANKING FUND | | |
| Regulation and Protection | \$ 20.7 | \$ 19.5 |
| Conservation and Development | 0.2 | 0.2 |
| Judicial | 5.3 | 5.3 |
| Total - Banking Fund Gross | \$ 26.2 | \$ 25.0 |
| Less: Branch Savings Target- Judicial | (0.1) | (0.1) |
| TOTAL - Banking Fund Net | <u>\$ 26.1</u> | <u>\$ 24.9</u> |
| INSURANCE FUND | | |
| General Government | \$ 0.4 | \$ 0.4 |
| Regulation and Protection | 25.3 | 27.5 |
| Human Services | 0.5 | 0.5 |
| Total - Insurance Fund | <u>\$ 26.1</u> | <u>\$ 28.4</u> |
| CONSUMER COUNSEL AND PUBLIC UTILITY FUND | | |
| Regulation and Protection | \$ 3.0 | \$ 2.8 |
| Conservation and Development | 23.0 | 22.6 |
| Total - Consumer Counsel and Public Utility Fund | <u>\$ 26.0</u> | <u>\$ 25.4</u> |
| WORKERS' COMPENSATION FUND | | |
| General Government | \$ 0.7 | \$ 0.7 |
| Regulation and Protection | 19.2 | 18.2 |
| Human Services | 2.1 | 2.1 |
| Total - Workers' Compensation Fund | <u>\$ 22.0</u> | <u>\$ 21.1</u> |
| CRIMINAL INJURIES COMPENSATION FUND | | |
| Judicial | \$ 3.6 | \$ 3.6 |
| Total - Criminal Injuries Compensation Fund | <u>\$ 3.6</u> | <u>\$ 3.6</u> |
| TOTAL NET APPROPRIATIONS - ALL FUNDS | <u>\$ 20,400.0</u> | <u>\$ 20,729.0</u> |

Financial Summary

GENERAL FUND REVENUES

(In Millions)

| | Actual Revenue FY 2011 | Projected Revenue Current Rates FY 2012 | Proposed Revenue Changes FY 2012 | Net Projected Revenue FY 2012 |
|--------------------------------------|------------------------------|---|---|--|
| <u>Taxes</u> | | | | |
| Personal Income Tax | \$ 7,246.4 | \$ 8,381.0 | \$ - | \$ 8,381.0 |
| Sales & Use Tax | 3,353.2 | 3,880.5 | - | 3,880.5 |
| Corporation Tax | 794.5 | 707.7 | - | 707.7 |
| Public Service Tax | 269.8 | 268.7 | - | 268.7 |
| Inheritance & Estate Tax | 237.6 | 158.0 | - | 158.0 |
| Insurance Companies Tax | 220.6 | 228.8 | - | 228.8 |
| Cigarettes Tax | 404.1 | 443.8 | - | 443.8 |
| Real Estate Conveyance Tax | 94.8 | 92.1 | - | 92.1 |
| Oil Companies Tax | 169.2 | 136.6 | - | 136.6 |
| Electric Generation Tax | - | 71.0 | - | 71.0 |
| Alcoholic Beverages Tax | 48.9 | 54.9 | - | 54.9 |
| Admissions & Dues Tax | 34.5 | 36.5 | - | 36.5 |
| Health Provider Tax | - | 525.9 | - | 525.9 |
| Miscellaneous Tax | 140.5 | 16.3 | - | 16.3 |
| Total Taxes | \$ 13,014.1 | \$ 15,001.8 | \$ - | \$ 15,001.8 |
| Less Refunds of Tax | (956.1) | (873.6) | - | (873.6) |
| Less Earned Income Tax Credit | - | (110.2) | - | (110.2) |
| Less R&D Credit Exchange | (8.6) | (9.0) | - | (9.0) |
| Total - Taxes Less Refunds | \$ 12,049.5 | \$ 14,009.0 | \$ - | \$ 14,009.0 |
| <u>Other Revenue</u> | | | | |
| Transfers-Special Revenue | \$ 293.1 | \$ 297.8 | \$ - | \$ 297.8 |
| Indian Gaming Payments | 359.6 | 342.4 | - | 342.4 |
| Licenses, Permits, Fees | 250.4 | 269.9 | - | 269.9 |
| Sales of Commodities | 35.5 | 36.4 | - | 36.4 |
| Rents, Fines, Escheats | 157.8 | 123.7 | - | 123.7 |
| Investment Income | 0.0 | 2.0 | - | 2.0 |
| Miscellaneous | 178.7 | 168.0 | - | 168.0 |
| Less Refunds of Payments | (1.9) | (81.4) | - | (81.4) |
| Total - Other Revenue | \$ 1,273.3 | \$ 1,158.8 | \$ - | \$ 1,158.8 |
| <u>Other Sources</u> | | | | |
| Federal Grants | \$ 4,235.2 | \$ 3,572.8 | \$ - | 3,572.8 |
| Transfer From Tobacco Settlement | 95.3 | 96.1 | - | 96.1 |
| Transfers From/(To) Other Funds | 54.2 | (142.8) | - | (142.8) |
| Total - Other Sources | \$ 4,384.7 | \$ 3,526.1 | \$ - | \$ 3,526.1 |
| Total - General Fund Revenues | \$ 17,707.5 | \$ 18,693.9 | \$ - | \$ 18,693.9 |

Financial Summary

| Projected Revenue Current Rates FY 2013 | Proposed Revenue Changes FY 2013 | Net Projected Revenue FY 2013 |
|---|----------------------------------|-------------------------------|
| \$ 8,773.1 | \$ 6.0 | \$ 8,779.1 |
| 4,050.7 | 11.1 | 4,061.8 |
| 777.5 | 2.0 | 779.5 |
| 275.2 | - | 275.2 |
| 151.8 | - | 151.8 |
| 227.8 | - | 227.8 |
| 425.9 | - | 425.9 |
| 96.7 | - | 96.7 |
| 165.7 | - | 165.7 |
| 71.0 | - | 71.0 |
| 55.0 | 2.6 | 57.6 |
| 39.6 | - | 39.6 |
| 530.7 | - | 530.7 |
| 16.3 | - | 16.3 |
| <u>\$ 15,657.0</u> | <u>\$ 21.7</u> | <u>\$ 15,678.7</u> |
| (944.2) | - | (944.2) |
| (116.5) | - | (116.5) |
| <u>(9.5)</u> | <u>-</u> | <u>(9.5)</u> |
| \$ 14,586.8 | \$ 21.7 | \$ 14,608.5 |
| | | |
| \$ 299.3 | \$ - | \$ 299.3 |
| 347.7 | - | 347.7 |
| 253.5 | 11.7 | 265.2 |
| 37.3 | - | 37.3 |
| 116.7 | - | 116.7 |
| 2.6 | - | 2.6 |
| 169.1 | - | 169.1 |
| <u>(50.0)</u> | <u>-</u> | <u>(50.0)</u> |
| \$ 1,176.2 | \$ 11.7 | \$ 1,187.9 |
| | | |
| \$ 3,680.8 | \$ (17.7) | \$ 3,663.1 |
| 93.1 | - | 93.1 |
| <u>(234.6)</u> | <u>-</u> | <u>(234.6)</u> |
| \$ 3,539.3 | \$ (17.7) | \$ 3,521.6 |
| | | |
| \$ 19,302.3 | \$ 15.7 | \$ 19,318.0 |

Explanation of Changes

Personal Income Tax

Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Sales Tax

Expand hours of permitted alcoholic beverage sales, including Sunday sales. Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Corporation Tax

Increase compliance with state tax laws by enhancing the audit and collection functions at the Department of Revenue Services.

Alcoholic Beverages Tax

Expand hours of permitted alcoholic beverage sales, including Sunday sales.

License, Permits, and Fees

Expand childhood vaccine program.

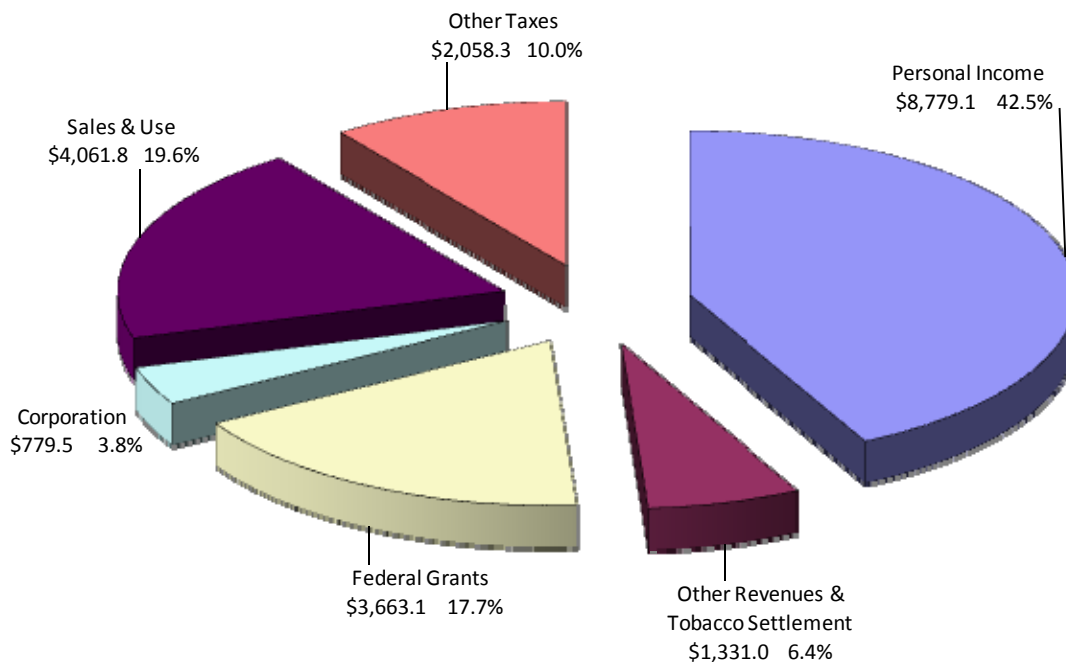
Federal Grants

Impact of recommended expenditure changes.

WHERE THE GENERAL FUND DOLLARS COME FROM
GENERAL FUND REVENUES FY 2013

(In Millions)

TOTAL \$ 19,318.0 MILLION*



Taxes

| | <u>Projected Revenue FY 2013</u> |
|-------------------------------|--|
| Personal Income Tax | \$ 8,779.1 |
| Sales & Use Tax | 4,061.8 |
| Corporation Tax | 779.5 |
| All Other Taxes | <u>2,058.3</u> |
| Total Taxes | \$ 15,678.7 |
| Less Refunds of Tax | (944.2) |
| Less Earned Income Tax Credit | (116.5) |
| Less R&D Credit Exchange | <u>(9.5)</u> |
| Total - Taxes Less Refunds | \$ 14,608.5 |

Other Revenue

| | |
|---------------------------|---------------|
| Transfers-Special Revenue | \$ 299.3 |
| Indian Gaming Payments | 347.7 |
| All Other Revenue | 590.9 |
| Less Refunds of Payments | <u>(50.0)</u> |
| Total - Other Revenue | \$ 1,187.9 |

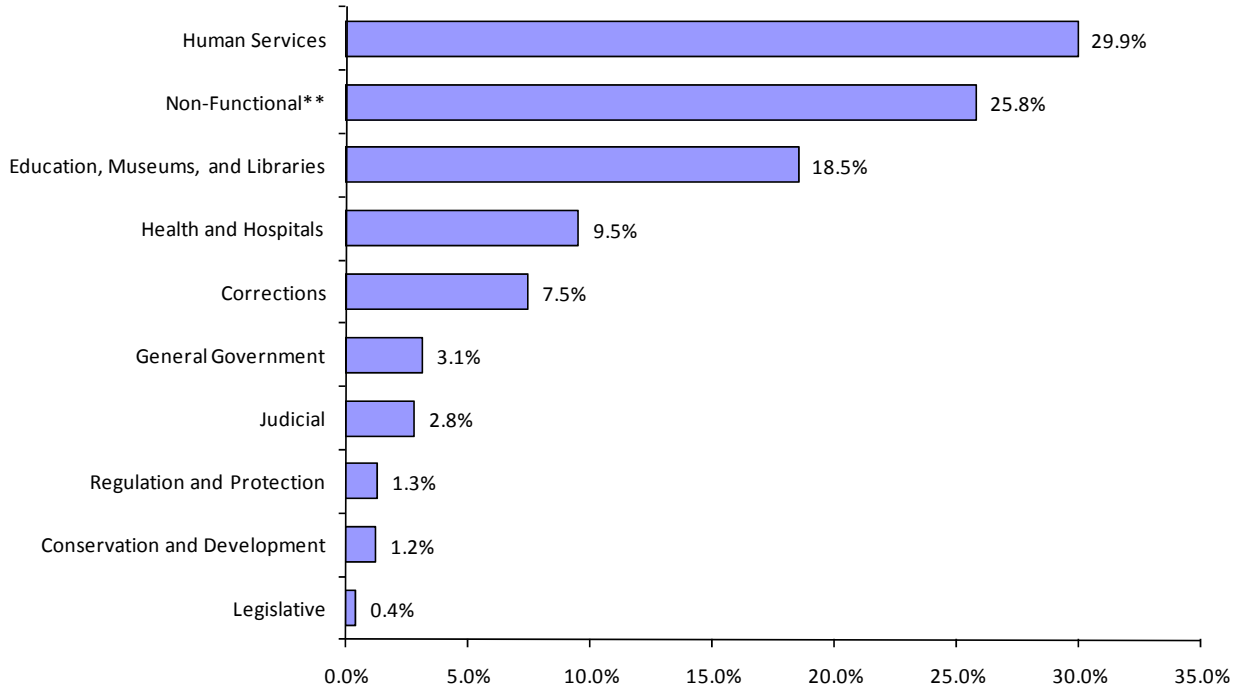
Other Sources

| | |
|---|-------------|
| Federal Grants | \$ 3,663.1 |
| Transfers - (From)/To Resources of the G.F. | (234.6) |
| Transfer From Tobacco Settlement | <u>93.1</u> |
| Total - Other Sources | \$ 3,521.6 |

Total - General Fund Revenue \$ 19,318.0

* Refunds are estimated at \$944.2 million in FY 2013, Earned Income Tax Credit is estimated at \$116.5 million, R&D Credit Exchange is estimated at \$9.5 million, Refunds of Payments are estimated at \$50.0 million, and Transfers to Other Funds are estimated at \$234.6 million in FY 2013.

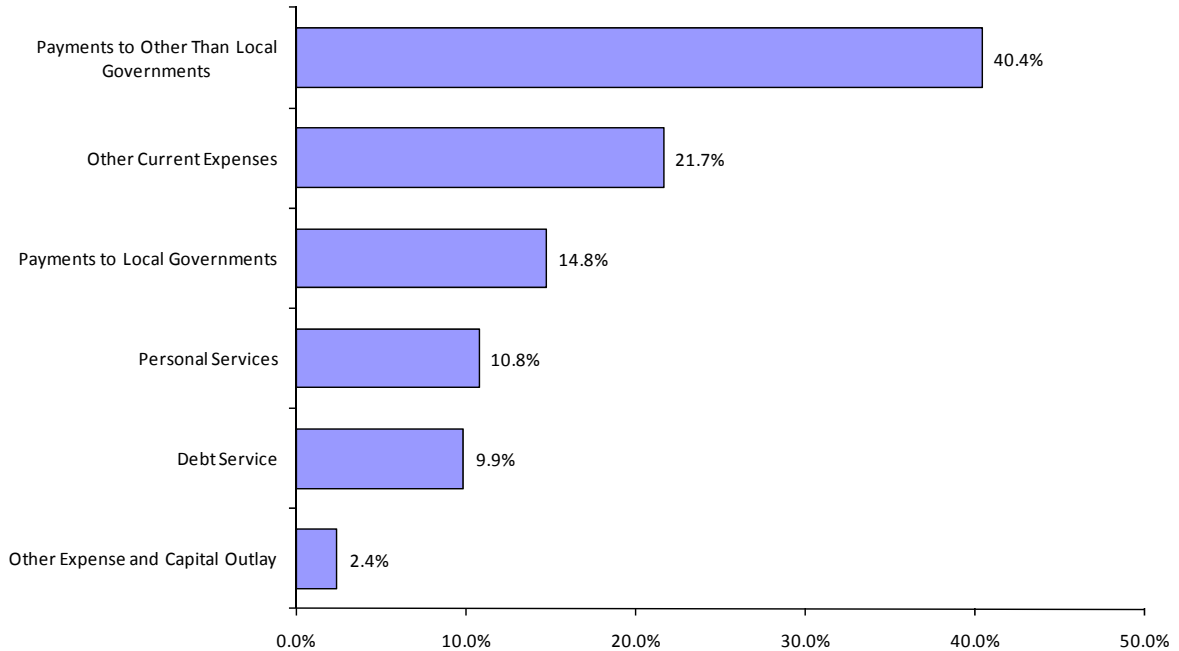
WHERE THE GENERAL FUND DOLLARS GO
 GENERAL FUND APPROPRIATIONS- FY 2013
 TOTAL \$19,266.4 MILLION*
 BY FUNCTION OF GOVERNMENT



* Net General Fund appropriations are \$19,261.6 million after estimated lapses totaling \$128.4 million.

** Non-Functional includes state employee fringe benefits, debt service, and various miscellaneous accounts.

BY MAJOR OBJECT



Personal Services – compensation for the services of officials and employees of the State.

Other Expense and Capital Outlay – Other Expense is payment for services secured by contract, for all supplies, materials and equipment not normally regarded as capital items, and all expenditures not properly assignable to other standard accounts; Capital Outlay is all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value of over \$1,000 and a useful life of more than one year.

Other Current Expenses – are authorizations for a specific purpose which can be spent on Personal Services, Other Expenses, Equipment or Grants as long as the funds are spent for the purpose for which the funds were authorized.

Payments to Other Than Local Governments – grant payments to institutions, agencies, individuals or undertakings that may not function under state control

Payments to Local Governments - municipal aid grants.

Debt Service- the payment of interest and principal required on State General Obligation or Special Transportation Obligation debt for the budget period.

Financial Summary

GENERAL FUND - SUMMARY OF FY 2013 RECOMMENDATIONS

(In Millions)

Projected FY 2013 Revenues

| | | | |
|--|----|--------|-----------------|
| Estimated FY 2013 Revenue - Current Law-January 17, 2012 Consensus | | \$ | 19,302.3 |
| Proposed Revenue Changes | | | |
| Various-Increase compliance with state tax laws | \$ | 13.0 | |
| Sales- Expand hours of permitted alcoholic beverage sales, including Sundays | | 6.1 | |
| Alcoholic Beverages-Expand hours of permitted alcoholic beverage sales | | 2.6 | |
| License, Permits & Fees- Expand childhood vaccine program | | 11.7 | |
| Federal Grants- Impact of Expenditure Changes | | (17.7) | |
| Total Changes | | \$ | <u>15.7</u> |
| Available Resources - FY 2013 | | \$ | <u>19,318.0</u> |

Projected 2013 Expenditures

| | | | |
|---|----|--------|-------------------|
| Adopted Appropriations FY 2013 | | \$ | 18,952.5 |
| Increase/Decrease | | | |
| SDE - Education Initiatives | \$ | 128.2 | |
| Remove SEBAC IV & V Pension Adjustments | | 85.3 | |
| 1% Private Provider COLA (effective 1/1/13) | | 8.5 | |
| Debt Service | | (19.4) | |
| DSS - Medication Administration Changes | | (20.5) | |
| DSS/DMHAS - Medicaid Waiver for Low Income Adults (LIA) | | (22.5) | |
| DPH - Childhood Vaccines | | 11.7 | |
| TRB - Healthcare Costs | | (12.1) | |
| Revised Retiree Healthcare Savings Estimate | | 119.0 | |
| DECD - New Rental Assistance Program (RAP) Funding | | 1.5 | |
| DOC - Restoration of Funding for Schedule Change | | 10.0 | |
| All Other - Net | | 24.2 | |
| Total Increases/(Decreases) | | \$ | <u>313.9</u> |
| Total Projected Expenditures FY 2013 | | \$ | <u>19,266.4</u> |
| Projected Operating Balance | | \$ | <u>51.6</u> |
| Reserve for GAAP | | | 50.0 |
| Projected GAAP Balance - June 30, 2013 | | \$ | <u><u>1.6</u></u> |

Financial Summary

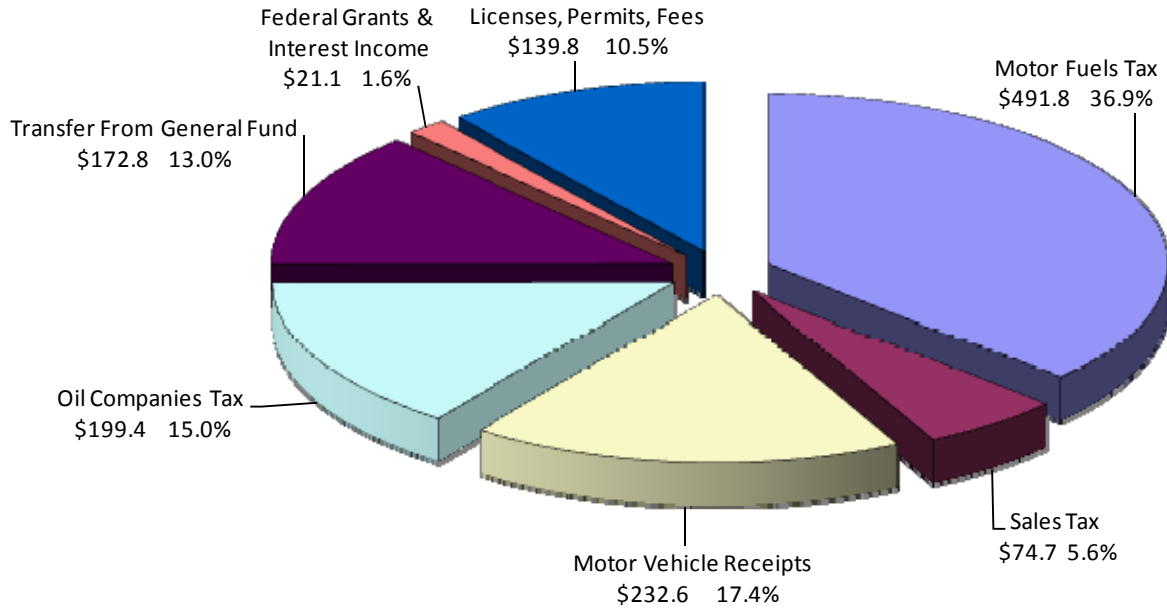
SPECIAL TRANSPORTATION FUND - SUMMARY OF FY 2013 RECOMMENDATIONS

(In Millions)

| | | | |
|---|----|---------------------|-------|
| Unappropriated Surplus - 6/30/2012 | | \$ | 109.1 |
| <u>Projected FY 2013 Revenues</u> | | | |
| Estimated FY 2013 Revenue - Current Law- January 17, 2012 Consensus | \$ | 1,299.5 | |
| Proposed Revenue Changes | | | |
| License, Permits & Fees- Increase Outdoor Advertising Fee | | <u>0.1</u> | |
| Total Changes | | 0.1 | |
| Revised FY 2013 Revenue | \$ | <u>1,299.6</u> | |
| Available Resources - FY 2013 | \$ | 1,408.7 | |
| <u>Projected FY 2013 Expenditures</u> | | | |
| Adopted Appropriations FY 2013 | \$ | 1,277.8 | |
| Increase/Decrease | | | |
| Debt Service | \$ | (10.6) | |
| DOT- Pay-As-You-Go Transportation Projects | | 7.0 | |
| DOT- Transportation for Employment Independence | | 3.2 | |
| Remove SEBAC IV & V Pension Adjustments | | 12.7 | |
| All Other - Net | | <u>3.4</u> | |
| Total Increases/(Decreases) | \$ | <u>15.7</u> | |
| Total Projected Expenditures FY 2013 | \$ | 1,293.5 | |
| Projected Fund Balance - June 30, 2013 | \$ | <u><u>115.2</u></u> | |

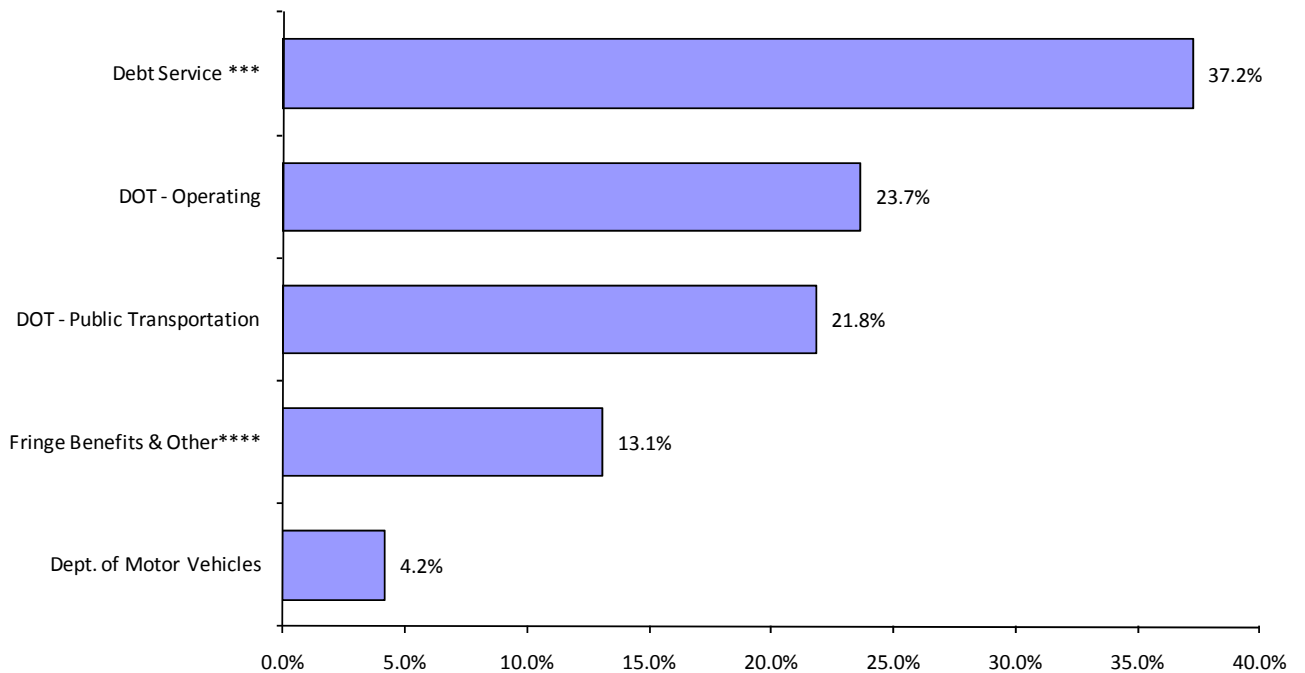
WHERE THE SPECIAL TRANSPORTATION FUND DOLLARS COME FROM
 SPECIAL TRANSPORTATION FUND REVENUES
 FISCAL YEAR 2013
 (In Millions)

TOTAL \$1,299.6 MILLION*



WHERE THE SPECIAL TRANSPORTATION FUND DOLLARS GO
 SPECIAL TRANSPORTATION FUND APPROPRIATIONS

TOTAL \$ 1,293.5 MILLION**



* Refunds are estimated at \$11.1 million in 2013. Transfers to Other Funds are estimated at \$21.5 million in 2013.

** Net Special Transportation Fund appropriations are \$1,293.5 million in 2013 after an estimated lapse of \$11.0 million.

*** Debt Service includes: Special Tax Obligation Bonds and General Obligation Bonds.

**** Fringe Benefits & Other includes: State employees fringe benefits, State Insurance & Risk Management Board, and other miscellaneous accounts.

Financial Summary

SPECIAL TRANSPORTATION FUND REVENUES

(In Millions)

| | Actual Revenue FY 2011 | Projected Revenue Current Rates FY 2012 | Proposed Revenue Changes FY 2012 | Net Projected Revenue FY 2012 | Projected Revenue Current Rates FY 2013 | Proposed Revenue Changes FY 2013 | Net Projected Revenue FY 2013 |
|---------------------------------|------------------------------|---|---|--|---|---|--|
| <u>Taxes</u> | | | | | | | |
| Motor Fuels Tax | \$ 483.5 | \$ 491.8 | \$ - | \$ 491.8 | \$ 491.8 | \$ - | \$ 491.8 |
| Oil Companies Tax | 165.3 | 226.9 | - | 226.9 | 199.4 | - | 199.4 |
| Sales Tax - DMV | 71.9 | 74.3 | - | 74.3 | 74.7 | - | 74.7 |
| Total Taxes | \$ 720.7 | \$ 793.0 | \$ - | \$ 793.0 | \$ 765.9 | \$ - | \$ 765.9 |
| Less Refunds of Taxes | (6.8) | (7.4) | - | (7.4) | (7.5) | - | (7.5) |
| Total - Taxes Less Refunds | \$ 713.9 | \$ 785.6 | \$ - | \$ 785.6 | \$ 758.4 | \$ - | \$ 758.4 |
| <u>Other Sources</u> | | | | | | | |
| Motor Vehicle Receipts | \$ 220.1 | \$ 230.0 | \$ - | \$ 230.0 | \$ 232.6 | \$ - | \$ 232.6 |
| Licenses, Permits, Fees | 135.5 | 137.5 | - | 137.5 | 139.7 | 0.1 | 139.8 |
| Interest Income | 5.5 | 5.0 | - | 5.0 | 8.0 | - | 8.0 |
| Federal Grants | 9.4 | 13.1 | - | 13.1 | 13.1 | - | 13.1 |
| Transfers From (To) Other Funds | 101.1 | 75.1 | - | 75.1 | 166.3 | - | 166.3 |
| Transfer To TSB | (15.3) | (15.0) | - | (15.0) | (15.0) | - | (15.0) |
| Less Refunds of Payments | (3.0) | (3.5) | - | (3.5) | (3.6) | - | (3.6) |
| Total - Other Sources | \$ 453.2 | \$ 442.2 | \$ - | \$ 442.2 | \$ 541.1 | \$ 0.1 | \$ 541.2 |
| Total - STF Revenues | \$ 1,167.2 | \$ 1,227.8 | \$ - | \$ 1,227.8 | \$ 1,299.5 | \$ 0.1 | \$ 1,299.6 |

Explanation of Changes

License, Permits, Fees

Increase Outdoor Advertising Fees.

Financial Summary

SPECIAL TRANSPORTATION FUND - STATEMENT OF FINANCIAL CONDITION

(In Millions)

| Actual & Projected Revenues | FY 2011 | FY 2012 | FY 2013 | FY 2014 | FY 2015 | FY 2016 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Motor Fuels Tax, Motor Vehicle Receipts, Licenses, Permits, Fees | \$ 839.1 | \$ 859.3 | \$ 864.1 | \$ 868.8 | \$ 874.0 | \$ 879.7 |
| Sales Tax - DMV | 71.9 | 74.3 | 74.7 | 75.5 | 77.0 | 78.5 |
| Oil Companies Tax | 165.3 | 226.9 | 199.4 | 222.7 | 226.8 | 231.4 |
| Federal Grants | 9.4 | 13.1 | 13.1 | 13.1 | 13.1 | 13.1 |
| Interest Income | 5.5 | 5.0 | 8.0 | 12.0 | 15.0 | 18.0 |
| Transfers from / (to) Other Funds | 101.1 | 75.1 | 166.3 | 166.3 | 166.3 | 166.3 |
| Transfers to Transportation Strategy Board Account | (15.3) | (15.0) | (15.0) | (15.0) | (15.0) | - |
| Total Revenues | \$ 1,177.0 | \$ 1,238.7 | \$ 1,310.6 | \$ 1,343.4 | \$ 1,357.2 | \$ 1,387.0 |
| Refunds | (9.8) | (10.9) | (11.1) | (11.3) | (11.5) | (12.2) |
| Total Net Revenues | \$ 1,167.2 | \$ 1,227.8 | \$ 1,299.5 | \$ 1,332.1 | \$ 1,345.7 | \$ 1,374.8 |
| Projected Debt Service and Expenditures | | | | | | |
| Projected Debt Service on the Bonds | \$ 441.4 | \$ 448.8 | \$ 481.9 | \$ 487.8 | \$ 499.9 | \$ 519.2 |
| DOT Budgeted Expenses | 510.6 | 550.7 | 548.7 | 565.4 | 583.9 | 599.3 |
| DMV Budgeted Expenses | 52.9 | 56.1 | 53.9 | 56.1 | 58.4 | 60.6 |
| Other Budget Expenses | 141.9 | 179.3 | 167.5 | 179.4 | 190.1 | 196.1 |
| Program Costs Paid from Current Operations | 18.4 | 39.7 | 29.7 | 29.7 | 29.7 | 29.7 |
| Other Lapses | - | (42.5) | - | - | - | - |
| Estimated Unallocated Lapses | - | (6.0) | (11.0) | (11.0) | (11.0) | (11.0) |
| Total Expenditures | \$ 1,165.2 | \$ 1,226.1 | \$ 1,270.7 | \$ 1,307.4 | \$ 1,351.0 | \$ 1,393.9 |
| Excess (Deficiency) | 2.0 | 1.7 | 28.8 | 24.7 | (5.3) | (19.1) |
| Revised Cumulative Excess (Deficiency) | \$ 107.4 | \$ 109.1 | \$ 137.9 | \$ 162.6 | \$ 157.3 | \$ 138.2 |
| New Revenue Changes | | | | | | |
| Increase Outdoor Advertising Fees | \$ - | \$ - | \$ 0.1 | \$ 0.1 | \$ 0.1 | \$ 0.1 |
| Total Revenue Changes | - | - | 0.1 | 0.1 | 0.1 | 0.1 |
| Total Revised Revenues | \$ 1,167.2 | \$ 1,227.8 | \$ 1,299.6 | \$ 1,332.2 | \$ 1,345.8 | \$ 1,374.9 |
| New Expenditure Changes | | | | | | |
| DOT- Reduce Admn. Asset Budget For Metro North Railroad | \$ - | \$ - | \$ (1.0) | \$ (1.0) | \$ (1.0) | \$ (1.0) |
| DOT- Transfer Funding for Transportation Services | - | - | 3.2 | 3.2 | 3.3 | 3.4 |
| DOT- Restore Tweed-New Haven Airport Grant | - | - | 0.5 | 0.5 | 0.5 | 0.5 |
| DOT-Increase Funding for Pay-As-You-Go Projects | - | - | 5.0 | - | - | - |
| DOT- Additional Funding for Tree Cutting Program | - | - | 2.0 | - | - | - |
| DOT-Expand Bus Operation Service | - | - | 0.1 | 0.1 | 0.1 | 0.1 |
| DOT-Expand Shore Line East Service | - | - | 0.3 | 1.0 | 1.1 | 1.1 |
| OSC- Increase State Employees Retirement Contribution | - | - | 12.7 | 13.2 | 13.8 | 14.3 |
| Total Expenditure Changes | - | - | 22.8 | 17.0 | 17.8 | 18.4 |
| Total Revised Expenditures | \$ 1,165.2 | \$ 1,226.1 | \$ 1,293.5 | \$ 1,324.4 | \$ 1,368.8 | \$ 1,412.3 |
| Revised Projected Excess (Deficiency) | 2.0 | 1.7 | 6.1 | 7.8 | (23.0) | (37.4) |
| Revised Cumulative Excess (Deficiency) | \$ 107.4 | \$ 109.1 | \$ 115.2 | \$ 123.0 | \$ 100.0 | \$ 62.6 |

Financial Summary

STATE OF CONNECTICUT
 SUMMARY OF PRINCIPAL AND INTEREST
 ON GENERAL FUND DEBT OUTSTANDING⁽¹⁾
 as of June 30, 2011

| Fiscal <u>Year</u> | <u>Principal</u> | <u>Interest</u> | Total Debt <u>Service</u> |
|-----------------------|--------------------|-------------------|------------------------------|
| 2012 | \$ 1,184,336,696 | \$ 730,256,264 | \$ 1,914,592,960 |
| 2013 | 1,116,289,369 | 660,221,464 | 1,776,510,833 |
| 2014 | 1,093,715,017 | 596,479,930 | 1,690,194,947 |
| 2015 | 1,074,981,365 | 536,233,006 | 1,611,214,371 |
| 2016 | 1,078,720,061 | 487,465,526 | 1,566,185,587 |
| 2017 | 802,884,988 | 439,804,730 | 1,242,689,718 |
| 2018 | 787,667,299 | 404,602,270 | 1,192,269,569 |
| 2019 | 717,256,471 | 366,229,887 | 1,083,486,358 |
| 2020 | 599,475,614 | 334,841,790 | 934,317,404 |
| 2021 | 639,771,206 | 302,813,494 | 942,584,700 |
| 2022 | 623,609,111 | 322,583,856 | 946,192,967 |
| 2023 | 646,036,122 | 302,938,171 | 948,974,293 |
| 2024 | 573,009,066 | 300,034,426 | 873,043,492 |
| 2025 | 441,817,437 | 278,297,625 | 720,115,062 |
| 2026 | 518,330,000 | 171,187,167 | 689,517,167 |
| 2027 | 488,690,000 | 144,507,039 | 633,197,039 |
| 2028 | 459,170,000 | 119,055,288 | 578,225,288 |
| 2029 | 436,130,000 | 94,447,917 | 530,577,917 |
| 2030 | 558,135,000 | 66,701,837 | 624,836,837 |
| 2031 | 374,130,000 | 41,158,693 | 415,288,693 |
| 2032 | <u>359,850,000</u> | <u>21,051,225</u> | <u>380,901,225</u> |
| Total | \$ 14,574,004,822 | \$ 6,720,911,605 | \$ 21,294,916,427 |

(1) Includes General Obligation, Economic Recovery Notes, Teachers' Retirement Bonds, Tax Increment Financings, CHFA Supportive Housing, CHFA Emergency Mortgage Assistance Program, Juvenile Juvenile Training, Middletown Courthouse, and UConn 2000.

Financial Summary

STATE OF CONNECTICUT
 SUMMARY OF PRINCIPAL AND INTEREST
 ON SPECIAL TAX OBLIGATION DEBT OUTSTANDING
 as of June 30, 2011

| Fiscal <u>Year</u> | <u>Principal</u> | <u>Interest</u> | Total Debt <u>Service</u> |
|-----------------------|-------------------|------------------|------------------------------|
| 2012 | \$ 274,275,000 | \$ 156,551,384 | \$ 430,826,384 |
| 2013 | 309,825,000 | 143,308,679 | 453,133,679 |
| 2014 | 262,365,000 | 130,555,304 | 392,920,304 |
| 2015 | 227,070,000 | 119,428,600 | 346,498,600 |
| 2016 | 209,740,000 | 109,694,282 | 319,434,282 |
| 2017 | 189,910,000 | 100,055,887 | 289,965,887 |
| 2018 | 185,990,000 | 91,077,878 | 277,067,878 |
| 2019 | 181,460,000 | 82,129,892 | 263,589,892 |
| 2020 | 173,895,000 | 73,327,468 | 247,222,468 |
| 2021 | 178,800,000 | 65,288,807 | 244,088,807 |
| 2022 | 153,715,000 | 56,913,399 | 210,628,399 |
| 2023 | 160,130,000 | 49,169,537 | 209,299,537 |
| 2024 | 140,320,000 | 41,752,809 | 182,072,809 |
| 2025 | 131,840,000 | 34,552,330 | 166,392,330 |
| 2026 | 122,575,000 | 27,973,072 | 150,548,072 |
| 2027 | 109,115,000 | 21,824,827 | 130,939,827 |
| 2028 | 115,085,000 | 15,796,391 | 130,881,391 |
| 2029 | 101,530,000 | 9,933,314 | 111,463,314 |
| 2030 | 83,060,000 | 4,861,654 | 87,921,654 |
| 2031 | <u>46,895,000</u> | <u>1,279,999</u> | <u>48,174,999</u> |
| Total | \$ 3,357,595,000 | \$ 1,335,475,513 | \$ 4,693,070,513 |

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

(In Millions)

| | General Fund | Special Transportation Fund | Budget Reserve Fund |
|---|-----------------|-----------------------------------|---------------------------|
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2010 | \$ - | \$ 105.4 | \$ 103.2 |
| Income: FY 2011 | | | |
| Revenues, Actual | 17,707.5 | 1,168.6 | - |
| Transfer of Reserves from FY 2010 | <u>449.9</u> | <u>-</u> | <u>-</u> |
| TOTAL - Income | 18,157.3 | 1,168.6 | - |
| TOTAL AVAILABLE RESOURCES | \$ 18,157.3 | \$ 1,274.0 | \$ 103.2 |
| Outlay: FY 2011 | | | |
| Actual Expenditures | <u>17,920.4</u> | <u>1,166.6</u> | <u>103.2</u> |
| TOTAL - Outlay - Net | 17,920.4 | 1,166.6 | 103.2 |
| Surplus/Deficit | 236.9 | 2.0 | (103.2) |
| Transfer to Budget Reserve Fund | <u>(236.9)</u> | <u>-</u> | <u>-</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2011 | \$ - | \$ 107.4 | \$ - |
| Income: FY 2012 | | | |
| Revenues, Estimated | 18,693.9 | 1,227.8 | - |
| Proposed Changes | - | - | - |
| TOTAL - Income | <u>18,693.9</u> | <u>1,227.8</u> | <u>-</u> |
| TOTAL AVAILABLE RESOURCES | \$ 18,693.9 | \$ 1,335.2 | \$ - |
| Outlay: FY 2012 | | | |
| Estimated Expenditures | <u>18,692.5</u> | <u>1,226.1</u> | <u>-</u> |
| TOTAL - Outlay - Net | 18,692.5 | 1,226.1 | - |
| Surplus/Deficit from Operations | 1.4 | 1.7 | - |
| Governor's Recommended Revisions | 73.6 | - | - |
| Revised Surplus/(Deficit) | 75.0 | 1.7 | - |
| Transfer to Budget Reserve Fund/GAAP | <u>(75.0)</u> | <u>-</u> | <u>-</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2012 | \$ - | \$ 109.1 | \$ - |
| Income: FY 2013 | | | |
| Revenues, Estimated | 19,302.3 | 1,299.5 | - |
| Proposed Revenue Changes | <u>15.7</u> | <u>0.1</u> | <u>-</u> |
| TOTAL - Income | 19,318.0 | 1,299.6 | - |
| TOTAL AVAILABLE RESOURCES | \$ 19,318.0 | \$ 1,408.7 | \$ - |
| Outlay: FY 2013 | | | |
| Recommended Appropriations | <u>19,266.4</u> | <u>1,293.5</u> | <u>-</u> |
| TOTAL - Outlay - Net | 19,266.4 | 1,293.5 | - |
| Surplus/Deficit | 51.6 | 6.1 | - |
| Transfer to Budget Reserve Fund/GAAP | <u>(51.6)</u> | <u>-</u> | <u>-</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2013 | \$ - | \$ 115.2 | \$ - |

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

(In Millions)

| | | Tobacco Settlement Fund | | Tobacco and Health Trust Fund | | Biomedical Research Trust Fund |
|--|----|-------------------------------|----|-------------------------------------|----|--------------------------------------|
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2010 | \$ | - | \$ | 6.5 | \$ | 8.5 |
| Income: FY 2011 | | | | | | |
| Revenues, Actual | | 121.4 | | 12.0 | | 4.0 |
| Interest Income | | 0.0 | | 0.0 | | 0.0 |
| TOTAL - Income | | <u>121.4</u> | | <u>12.0</u> | | <u>4.0</u> |
| TOTAL AVAILABLE RESOURCES | \$ | <u>121.4</u> | \$ | <u>18.5</u> | \$ | <u>12.5</u> |
| Outlay: FY 2011 | | | | | | |
| Transfer to Tobacco and Health Trust Fund | | 12.0 | | - | | - |
| Transfer to Biomedical Research Trust Fund | | 4.0 | | - | | - |
| Transfer to General Fund, Revenue Services, and Attorney General | | 95.4 | | 10.0 | | 4.5 |
| Transfer to Stem Cell Research | | 10.0 | | - | | - |
| Expenditures | | - | | 1.5 | | 1.7 |
| TOTAL - Outlay - Net | | <u>121.4</u> | | <u>11.5</u> | | <u>6.2</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2011 | \$ | - | \$ | 7.0 | \$ | 6.3 |
| Income: FY 2012 | | | | | | |
| Revenues, Estimated | | 122.2 | | 12.0 | | 4.0 |
| Interest Income | | 0.0 | | 0.0 | | 0.0 |
| TOTAL - Income | | <u>122.2</u> | | <u>12.0</u> | | <u>4.0</u> |
| TOTAL AVAILABLE RESOURCES | \$ | <u>122.2</u> | \$ | <u>19.0</u> | \$ | <u>10.3</u> |
| Outlay: FY 2012 | | | | | | |
| Transfer to Tobacco and Health Trust Fund | | 12.0 | | | | |
| Transfer to Biomedical Research Trust Fund | | 4.0 | | | | |
| Transfer to General Fund, Revenue Services, and Attorney General | | 96.2 | | | | |
| Transfer to Stem Cell Research | | 10.0 | | | | |
| Estimated Expenditures | | - | | 7.3 | | 3.3 |
| TOTAL - Outlay - Net | | <u>122.2</u> | | <u>7.3</u> | | <u>3.3</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2012 | \$ | - | \$ | 11.7 | \$ | 7.0 |
| Income: FY 2013 | | | | | | |
| Revenues, Estimated | | 119.2 | | 12.0 | | 4.0 |
| Interest Income | | 0.0 | | 0.0 | | 0.0 |
| TOTAL - Income | | <u>119.2</u> | | <u>12.0</u> | | <u>4.0</u> |
| TOTAL AVAILABLE RESOURCES | \$ | <u>119.2</u> | \$ | <u>23.7</u> | \$ | <u>11.1</u> |
| Outlay: FY 2013 | | | | | | |
| Transfer to Tobacco and Health Trust Fund | | 12.0 | | | | |
| Transfer to Biomedical Research Trust Fund | | 4.0 | | | | |
| Transfer to General Fund, Revenue Services, and Attorney General | | 93.2 | | | | |
| Transfer to Stem Cell Research | | 10.0 | | | | |
| Estimated Expenditures | | - | | 14.0 | | 1.7 |
| TOTAL - Outlay - Net | | <u>119.2</u> | | <u>14.0</u> | | <u>1.7</u> |
| UNAPPROPRIATED SURPLUS/(DEFICIT): 6/30/2013 | \$ | - | \$ | 9.8 | \$ | 9.3 |

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

| | Mashantucket Pequot and Mohegan Fund | Soldiers, Sailors, and Marines' Fund * | Regional Market Operation Fund | Criminal Injuries Compensation Fund |
|---------------------------|--|--|--------------------------------------|---|
| FUND BALANCE: 6/30/2010 | \$ 70,093 | \$ 51,655,501 | \$ 982,139 | \$ 3,477,977 |
| Income: FY 2011 | | | | |
| Transfer from Other Funds | 61,800,000 | - | - | - |
| Revenues, Actual | - | 3,175,166 | 943,284 | 1,888,501 |
| Miscellaneous Adjustments | - | - | - | - |
| TOTAL - Income | <u>61,800,000</u> | <u>3,175,166</u> | <u>943,284</u> | <u>1,888,501</u> |
| TOTAL AVAILABLE RESOURCES | \$ 61,870,093 | \$ 54,830,667 | \$ 1,925,423 | \$ 5,366,478 |
| Outlay: FY 2011 | | | | |
| Actual Expenditures | <u>61,779,907</u> | <u>2,964,783</u> | <u>950,713</u> | <u>3,393,978</u> |
| TOTAL - Outlay | <u>61,779,907</u> | <u>2,964,783</u> | <u>950,713</u> | <u>3,393,978</u> |
| FUND BALANCE: 6/30/2011 | \$ 90,186 | \$ 51,865,884 | \$ 974,710 | \$ 1,972,500 |
| Income: FY 2012 | | | | |
| Transfer from Other Funds | 61,800,000 | - | - | - |
| Revenues, Estimated | - | 3,100,000 | 970,000 | 3,500,000 |
| Miscellaneous Adjustments | - | - | - | - |
| TOTAL - Income | <u>61,800,000</u> | <u>3,100,000</u> | <u>970,000</u> | <u>3,500,000</u> |
| TOTAL AVAILABLE RESOURCES | \$ 61,890,186 | \$ 54,965,884 | \$ 1,944,710 | \$ 5,472,500 |
| Outlay: FY 2012 | | | | |
| Estimated Expenditures | <u>61,779,907</u> | <u>3,061,036</u> | <u>964,897</u> | <u>3,493,913</u> |
| TOTAL - Outlay | <u>61,779,907</u> | <u>3,061,036</u> | <u>964,897</u> | <u>3,493,913</u> |
| FUND BALANCE: 6/30/2012 | \$ 110,279 | \$ 51,904,848 | \$ 979,813 | \$ 1,978,587 |
| Income: FY 2013 | | | | |
| Transfer from Other Funds | 61,800,000 | - | - | - |
| Revenues, Estimated | - | 3,100,000 | 940,000 | 3,610,000 |
| Miscellaneous Adjustments | - | - | - | - |
| TOTAL - Income | <u>61,800,000</u> | <u>3,100,000</u> | <u>940,000</u> | <u>3,610,000</u> |
| TOTAL AVAILABLE RESOURCES | \$ 61,910,279 | \$ 55,004,848 | \$ 1,919,813 | \$ 5,588,587 |
| Outlay: FY 2013 | | | | |
| Estimated Expenditures | <u>61,779,907</u> | <u>3,039,412</u> | <u>932,821</u> | <u>3,602,121</u> |
| TOTAL - Outlay | <u>61,779,907</u> | <u>3,039,412</u> | <u>932,821</u> | <u>3,602,121</u> |
| FUND BALANCE: 6/30/2013 | \$ 130,372 | \$ 51,965,436 | \$ 986,992 | \$ 1,986,466 |

* Per CGS Sec. 27-138, only interest on the fund is available for agency operations.

Financial Summary

FINANCIAL POSITION OF THE STATE

Actual June 30, 2011 and Estimated June 30, 2012 and June 30, 2013

| | Banking Fund | Insurance Fund | Consumer Counsel & Public Utility Control Fund | Workers' Compensation Fund |
|---------------------------|-------------------|-------------------|---|----------------------------------|
| FUND BALANCE: 6/30/2010 | \$ 20,798,936 | \$ 11,500,142 | \$ 7,767,387 | \$ 17,608,529 |
| Income: FY 2011 | | | | |
| Revenues, Actual | <u>20,316,068</u> | <u>22,602,503</u> | <u>20,223,294</u> | <u>10,542,297</u> |
| TOTAL - Income | 20,316,068 | 22,602,503 | 20,223,294 | 10,542,297 |
| TOTAL AVAILABLE RESOURCES | \$ 41,115,004 | \$ 34,102,645 | \$ 27,990,681 | \$ 28,150,826 |
| Outlay: FY 2011 | | | | |
| Actual Expenditures | <u>22,117,344</u> | <u>24,354,123</u> | <u>22,149,679</u> | <u>19,178,180</u> |
| TOTAL - Outlay | 22,117,344 | 24,354,123 | 22,149,679 | 19,178,180 |
| FUND BALANCE: 6/30/2011 | \$ 18,997,660 | \$ 9,748,522 | \$ 5,841,002 | \$ 8,972,646 |
| Income: FY 2012 | | | | |
| Revenues, Estimated | <u>26,900,000</u> | <u>26,700,000</u> | <u>26,500,000</u> | <u>22,300,000</u> |
| TOTAL - Income | 26,900,000 | 26,700,000 | 26,500,000 | 22,300,000 |
| TOTAL AVAILABLE RESOURCES | \$ 45,897,660 | \$ 36,448,522 | \$ 32,341,002 | \$ 31,272,646 |
| Outlay: FY 2012 | | | | |
| Estimated Expenditures | <u>26,810,366</u> | <u>26,621,617</u> | <u>26,428,820</u> | <u>22,259,542</u> |
| TOTAL - Outlay | 26,810,366 | 26,621,617 | 26,428,820 | 22,259,542 |
| FUND BALANCE: 6/30/2012 | \$ 19,087,294 | \$ 9,826,905 | \$ 5,912,182 | \$ 9,013,104 |
| Income: FY 2013 | | | | |
| Revenues, Estimated | <u>25,000,000</u> | <u>28,400,000</u> | <u>25,400,000</u> | <u>21,100,000</u> |
| TOTAL - Income | 25,000,000 | 28,400,000 | 25,400,000 | 21,100,000 |
| TOTAL AVAILABLE RESOURCES | \$ 44,087,294 | \$ 38,226,905 | \$ 31,312,182 | \$ 30,113,104 |
| Outlay: FY 2013 | | | | |
| Estimated Expenditures | <u>24,942,055</u> | <u>28,370,478</u> | <u>25,351,390</u> | <u>21,065,588</u> |
| TOTAL - Outlay | 24,942,055 | 28,370,478 | 25,351,390 | 21,065,588 |
| FUND BALANCE: 6/30/2013 | \$ 19,145,239 | \$ 9,856,427 | \$ 5,960,792 | \$ 9,047,516 |

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|--|-------------------|----------------------|-------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| LEGISLATIVE | | | | | |
| LEGISLATIVE MANAGEMENT | | | | | |
| Personal Services | 38,893,572 | 46,767,963 | 48,753,708 | -3,185,152 | 45,568,556 |
| Other Expenses | 12,520,478 | 14,867,587 | 17,611,168 | -2,380,524 | 15,230,644 |
| Capital Outlay | 666,996 | 208,000 | 316,000 | | 316,000 |
| Other Current Expenses | 1,312,952 | 2,882,985 | 1,520,623 | | 1,520,623 |
| Pmts to Other Than Local Governments | 525,621 | 554,290 | 574,767 | | 574,767 |
| TOTAL-General Fund | 53,919,619 | 65,280,825 | 68,776,266 | -5,565,676 | 63,210,590 |
| AUDITORS OF PUBLIC ACCOUNTS | | | | | |
| Personal Services | 9,985,682 | 11,852,086 | 11,742,921 | -807,297 | 10,935,624 |
| Other Expenses | 693,648 | 894,009 | 856,702 | -143,445 | 713,257 |
| Capital Outlay | 5,321 | 10,000 | 10,000 | | 10,000 |
| TOTAL-General Fund | 10,684,651 | 12,756,095 | 12,609,623 | -950,742 | 11,658,881 |
| COMMISSION ON AGING | | | | | |
| Personal Services | 241,898 | 259,376 | 271,048 | -17,347 | 253,701 |
| Other Expenses | 3,581 | 7,864 | 8,021 | -1,334 | 6,687 |
| Capital Outlay | | 1,500 | 1,500 | | 1,500 |
| TOTAL-General Fund | 245,479 | 268,740 | 280,569 | -18,681 | 261,888 |
| PERMANENT COMMISSION ON THE STATUS OF WOMEN | | | | | |
| Personal Services | 390,543 | 461,072 | 481,820 | -31,358 | 450,462 |
| Other Expenses | 34,697 | 64,203 | 67,092 | -10,008 | 57,084 |
| Capital Outlay | | 1,500 | 1,500 | | 1,500 |
| TOTAL-General Fund | 425,240 | 526,775 | 550,412 | -41,366 | 509,046 |
| COMMISSION ON CHILDREN | | | | | |
| Personal Services | 330,488 | 517,714 | 541,011 | -35,361 | 505,650 |
| Other Expenses | 10,638 | 35,000 | 35,700 | -5,337 | 30,363 |
| TOTAL-General Fund | 341,126 | 552,714 | 576,711 | -40,698 | 536,013 |
| LATINO & PUERTO RICAN AFFAIRS COMM | | | | | |
| Personal Services | 233,462 | 278,433 | 306,637 | -20,016 | 286,621 |
| Other Expenses | 31,050 | 53,994 | 40,748 | -6,005 | 34,743 |
| TOTAL-General Fund | 264,512 | 332,427 | 347,385 | -26,021 | 321,364 |
| AFRICAN-AMERICAN AFFAIRS COMMISSION | | | | | |
| Personal Services | 179,527 | 193,095 | 201,784 | -13,344 | 188,440 |
| Other Expenses | 13,342 | 27,456 | 28,005 | -4,670 | 23,335 |
| TOTAL-General Fund | 192,869 | 220,551 | 229,789 | -18,014 | 211,775 |
| ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION | | | | | |
| Personal Services | 34,113 | 151,672 | 158,491 | -10,008 | 148,483 |
| Other Expenses | 1,328 | 5,000 | 5,000 | -667 | 4,333 |
| Capital Outlay | | 1,500 | 1,500 | | 1,500 |
| TOTAL-General Fund | 35,441 | 158,172 | 164,991 | -10,675 | 154,316 |
| SUMMARY | | | | | |
| <u>Legislative</u> | | | | | |
| General Fund | 66,108,937 | 80,096,299 | 83,535,746 | -6,671,873 | 76,863,873 |
| TOTAL | 66,108,937 | 80,096,299 | 83,535,746 | -6,671,873 | 76,863,873 |

GENERAL GOVERNMENT

GOVERNOR'S OFFICE

| | | | | | |
|--------------------------------------|------------------|------------------|------------------|--|------------------|
| Personal Services | 2,135,459 | 2,365,992 | 2,284,648 | | 2,284,648 |
| Other Expenses | 213,176 | 236,995 | 236,995 | | 236,995 |
| Capital Outlay | | 1 | 1 | | 1 |
| Pmts to Other Than Local Governments | 177,940 | 233,828 | 247,858 | | 247,858 |
| TOTAL-General Fund | 2,526,575 | 2,836,816 | 2,769,502 | | 2,769,502 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|--|-------------------|----------------------|-------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| SECRETARY OF THE STATE | | | | | |
| Personal Services | 1,295,494 | 1,410,000 | 1,350,000 | -245,743 | 1,104,257 |
| Other Expenses | 411,817 | 1,030,923 | 1,030,923 | -442,840 | 588,083 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 5,216,319 | 6,663,689 | 6,649,728 | -475,329 | 6,174,399 |
| TOTAL-General Fund | 6,923,630 | 9,104,613 | 9,030,652 | -1,163,912 | 7,866,740 |
| LIEUTENANT GOVERNOR'S OFFICE | | | | | |
| Personal Services | 475,222 | 859,454 | 840,350 | -412,000 | 428,350 |
| Other Expenses | 30,267 | 69,201 | 69,201 | | 69,201 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | | | | 427,000 | 427,000 |
| TOTAL-General Fund | 505,489 | 928,656 | 909,552 | 15,000 | 924,552 |
| ELECTIONS ENFORCEMENT COMMISSION | | | | | |
| Personal Services | 1,286,415 | | | | |
| Other Expenses | 196,964 | | | | |
| Other Current Expenses | 2,713,272 | | | | |
| TOTAL-General Fund | 4,196,651 | | | | |
| OFFICE OF STATE ETHICS | | | | | |
| Personal Services | 1,407,310 | | | | |
| Other Expenses | 73,402 | | | | |
| Capital Outlay | 8,414 | | | | |
| Other Current Expenses | 20,431 | | | | |
| TOTAL-General Fund | 1,509,557 | | | | |
| FREEDOM OF INFORMATION COMMISSION | | | | | |
| Personal Services | 1,713,601 | | | | |
| Other Expenses | 139,507 | | | | |
| Capital Outlay | 10,737 | | | | |
| TOTAL-General Fund | 1,863,845 | | | | |
| JUDICIAL SELECTION COMMISSION | | | | | |
| Personal Services | 72,356 | | | | |
| Other Expenses | 11,295 | | | | |
| TOTAL-General Fund | 83,651 | | | | |
| STATE TREASURER | | | | | |
| Personal Services | 3,073,110 | 3,856,675 | 3,684,877 | -87,482 | 3,597,395 |
| Other Expenses | 186,366 | 273,656 | 273,656 | -74,060 | 199,596 |
| Capital Outlay | | 1 | 1 | | 1 |
| TOTAL-General Fund | 3,259,476 | 4,130,332 | 3,958,534 | -161,542 | 3,796,992 |
| STATE COMPTROLLER | | | | | |
| Personal Services | 20,513,518 | 24,394,124 | 23,417,739 | 517,825 | 23,935,564 |
| Other Expenses | 3,677,359 | 4,082,632 | 4,020,735 | 144,563 | 4,165,298 |
| Capital Outlay | | 1 | 1 | | 1 |
| Pmts to Other Than Local Governments | 18,591 | 19,570 | 19,570 | | 19,570 |
| TOTAL-General Fund | 24,209,468 | 28,496,327 | 27,458,045 | 662,388 | 28,120,433 |
| DEPARTMENT OF REVENUE SERVICES | | | | | |
| Personal Services | 55,772,096 | 64,422,569 | 62,059,477 | -5,485,007 | 56,574,470 |
| Other Expenses | 7,185,374 | 9,270,033 | 8,516,033 | 16,500 | 8,532,533 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 63,122 | 104,479 | 104,479 | | 104,479 |
| TOTAL-General Fund | 63,020,592 | 73,797,082 | 70,679,990 | -5,468,507 | 65,211,483 |
| OFFICE OF GOVERNMENTAL ACCOUNTABILITY | | | | | |
| Personal Services | | 842,844 | 838,060 | | 838,060 |
| Other Expenses | | 485,902 | 462,378 | | 462,378 |
| Capital Outlay | | 31,866 | 24,905 | | 24,905 |
| Other Current Expenses | | 7,938,240 | 7,702,898 | -175,579 | 7,527,319 |
| TOTAL-General Fund | | 9,298,852 | 9,028,241 | -175,579 | 8,852,662 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|--------------------|----------------------|--------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| DIVISION OF SPECIAL REVENUE | | | | | |
| Personal Services | 3,153,207 | | | | |
| Other Expenses | 596,235 | | | | |
| Other Current Expenses | 2,118 | | | | |
| TOTAL-General Fund | 3,751,560 | | | | |
| OFFICE OF POLICY AND MANAGEMENT | | | | | |
| Personal Services | 11,900,848 | 13,499,420 | 12,853,684 | -1,566,209 | 11,287,475 |
| Other Expenses | 1,672,041 | 2,589,252 | 2,589,252 | | 2,589,252 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 4,326,047 | 2,341,496 | 2,111,951 | 1,543,177 | 3,655,128 |
| Pmts to Other Than Local Governments | 23,645,031 | 26,660,000 | 29,668,400 | -21,168,400 | 8,500,000 |
| Pmts to Local Governments | 274,931,521 | 225,316,950 | 225,316,950 | -6,300,000 | 219,016,950 |
| TOTAL-General Fund | 316,475,488 | 270,407,119 | 272,540,238 | -27,491,432 | 245,048,806 |
| Pmts to Local Governments | 61,779,907 | 61,779,907 | 61,779,907 | | 61,779,907 |
| TOTAL-Mashantucket Pequot and Mohegan Fund | 61,779,907 | 61,779,907 | 61,779,907 | | 61,779,907 |
| Personal Services | 225,148 | 219,888 | 212,322 | -3,395 | 208,927 |
| Other Expenses | 3,289 | 500 | 500 | | 500 |
| Capital Outlay | | 2,250 | | | |
| Other Current Expenses | 117,204 | 147,018 | 146,503 | -2,342 | 144,161 |
| TOTAL-Insurance Fund | 345,641 | 369,656 | 359,325 | -5,737 | 353,588 |
| Personal Services | 702,719 | | | | |
| Other Expenses | 10,840 | | | | |
| Other Current Expenses | 435,979 | | | | |
| TOTAL-Consumer Counsel/Public Utility Fund | 1,149,538 | | | | |
| TOTAL-Appropriated Funds | 379,750,574 | 332,556,682 | 334,679,470 | -27,497,169 | 307,182,301 |
| DEPARTMENT OF VETERANS AFFAIRS | | | | | |
| Personal Services | 22,287,570 | 25,109,887 | 24,410,802 | -2,418,491 | 21,992,311 |
| Other Expenses | 5,690,811 | 6,152,405 | 6,067,405 | -276,800 | 5,790,605 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 189,996 | 190,000 | 190,000 | | 190,000 |
| Pmts to Other Than Local Governments | 319,690 | 357,200 | 357,200 | | 357,200 |
| TOTAL-General Fund | 28,488,067 | 31,809,493 | 31,025,408 | -2,695,291 | 28,330,117 |
| OFFICE OF WORKFORCE COMPETITIVENESS | | | | | |
| Personal Services | 283,719 | | | | |
| Other Expenses | 72,195 | | | | |
| Other Current Expenses | 1,865,748 | | | | |
| TOTAL-General Fund | 2,221,662 | | | | |
| BOARD OF ACCOUNTANCY | | | | | |
| Personal Services | 303,539 | | | | |
| Other Expenses | 26,355 | | | | |
| TOTAL-General Fund | 329,894 | | | | |
| DEPARTMENT OF ADMINISTRATIVE SERVICES | | | | | |
| Personal Services | 19,334,735 | 43,295,101 | 41,807,080 | 6,533,366 | 48,340,446 |
| Other Expenses | 629,426 | 34,876,197 | 34,871,197 | -1,081,211 | 33,789,986 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 44,529,692 | 54,069,376 | 53,506,841 | -1,628,296 | 51,878,545 |
| TOTAL-General Fund | 64,493,853 | 132,240,675 | 130,185,119 | 3,823,859 | 134,008,978 |
| Other Current Expenses | 6,464,733 | 7,157,557 | 7,335,373 | | 7,335,373 |
| TOTAL-Special Transportation Fund | 6,464,733 | 7,157,557 | 7,335,373 | | 7,335,373 |
| TOTAL-Appropriated Funds | 70,958,586 | 139,398,232 | 137,520,492 | 3,823,859 | 141,344,351 |
| DEPARTMENT OF INFORMATION TECHNOLOGY | | | | | |
| Personal Services | 6,283,604 | | | | |
| Other Expenses | 5,534,948 | | | | |
| Other Current Expenses | 27,994,230 | | | | |
| TOTAL-General Fund | 39,812,782 | | | | |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|--------------------|----------------------|--------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| DEPARTMENT OF CONSTRUCTION SERVICES | | | | | |
| Personal Services | | 7,073,978 | 6,842,802 | -6,842,802 | |
| Other Expenses | | 2,655,818 | 2,647,132 | -2,647,132 | |
| TOTAL-General Fund | | 9,729,796 | 9,489,934 | -9,489,934 | |
| DEPARTMENT OF PUBLIC WORKS | | | | | |
| Personal Services | 6,227,368 | | | | |
| Other Expenses | 25,367,520 | | | | |
| Other Current Expenses | 19,712,189 | | | | |
| TOTAL-General Fund | 51,307,077 | | | | |
| ATTORNEY GENERAL | | | | | |
| Personal Services | 26,706,479 | 29,740,544 | 28,623,386 | 1,120,292 | 29,743,678 |
| Other Expenses | 1,073,064 | 1,017,272 | 1,015,272 | | 1,015,272 |
| Capital Outlay | | 1 | 1 | | 1 |
| TOTAL-General Fund | 27,779,543 | 30,757,817 | 29,638,659 | 1,120,292 | 30,758,951 |
| DIVISION OF CRIMINAL JUSTICE | | | | | |
| Personal Services | 45,053,777 | 48,741,668 | 47,245,107 | -2,757,233 | 44,487,874 |
| Other Expenses | 2,391,389 | 2,100,000 | 2,100,000 | | 2,100,000 |
| Capital Outlay | 1 | 1 | 1 | | 1 |
| Other Current Expenses | 1,210,393 | 1,557,559 | 1,511,872 | | 1,511,872 |
| TOTAL-General Fund | 48,655,560 | 52,399,228 | 50,856,980 | -2,757,233 | 48,099,747 |
| Personal Services | 349,182 | 416,894 | 407,580 | | 407,580 |
| Other Expenses | 13,828 | 21,653 | 30,653 | | 30,653 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 212,051 | 279,320 | 281,230 | | 281,230 |
| TOTAL-Workers' Compensation Fund | 575,061 | 717,868 | 719,464 | | 719,464 |
| TOTAL-Appropriated Funds | 49,230,621 | 53,117,096 | 51,576,444 | -2,757,233 | 48,819,211 |
| SUMMARY | | | | | |
| <u>General Government</u> | | | | | |
| General Fund | 697,191,200 | 661,986,806 | 651,570,854 | 766,044,659 | 1,417,615,513 |
| Special Transportation Fund | 6,464,733 | 7,157,557 | 7,335,373 | | 7,335,373 |
| Insurance Fund | 345,641 | 369,656 | 359,325 | -5,737 | 353,588 |
| Consumer Counsel/Public Utility Fund | 1,149,538 | | | | |
| Workers' Compensation Fund | 575,061 | 717,868 | 719,464 | | 719,464 |
| Mashantucket Pequot and Mohegan Fund | 61,779,907 | 61,779,907 | 61,779,907 | | 61,779,907 |
| TOTAL | 767,506,080 | 732,011,794 | 721,764,923 | 766,038,922 | 1,487,803,845 |
| REGULATION AND PROTECTION | | | | | |
| DEPT OF EMERGENCY SERVICES AND PUBLIC PROTECTION | | | | | |
| Personal Services | 123,869,117 | 130,871,752 | 126,034,999 | -8,595,820 | 117,439,179 |
| Other Expenses | 27,745,144 | 29,062,969 | 28,856,075 | -1,808,298 | 27,047,777 |
| Capital Outlay | 1 | 4 | 4 | | 4 |
| Other Current Expenses | 9,453,880 | 11,444,425 | 11,346,662 | -2,058,155 | 9,288,507 |
| Pmts to Other Than Local Governments | | 1,209,689 | 1,209,689 | -39,153 | 1,170,536 |
| TOTAL-General Fund | 161,068,142 | 172,588,839 | 167,447,429 | -12,501,426 | 154,946,003 |
| POLICE STANDARDS & TRAINING COUNCIL | | | | | |
| Personal Services | 1,668,035 | | | | |
| Other Expenses | 591,425 | | | | |
| TOTAL-General Fund | 2,259,460 | | | | |
| BOARD OF FIREARMS PERMIT EXAMINERS | | | | | |
| Personal Services | 71,306 | | | | |
| Other Expenses | 7,846 | | | | |
| TOTAL-General Fund | 79,152 | | | | |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|-------------------|----------------------|-------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| DEPARTMENT OF MOTOR VEHICLES | | | | | |
| Personal Services | | 285,000 | 274,449 | | 274,449 |
| Other Expenses | | 216,404 | 216,404 | | 216,404 |
| TOTAL-General Fund | | 501,404 | 490,853 | | 490,853 |
| Personal Services | 38,994,079 | 42,656,658 | 41,541,809 | -1,780,804 | 39,761,005 |
| Other Expenses | 13,240,961 | 13,255,626 | 13,255,626 | | 13,255,626 |
| Capital Outlay | 238,943 | 600,000 | 600,000 | | 600,000 |
| Other Current Expenses | 2,025,727 | 239,818 | 296,289 | | 296,289 |
| TOTAL-Special Transportation Fund | 54,499,710 | 56,752,102 | 55,693,724 | -1,780,804 | 53,912,920 |
| TOTAL-Appropriated Funds | 54,499,710 | 57,253,506 | 56,184,577 | -1,780,804 | 54,403,773 |
| MILITARY DEPARTMENT | | | | | |
| Personal Services | 3,049,047 | 3,335,585 | 3,242,611 | -436,926 | 2,805,685 |
| Other Expenses | 2,070,749 | 3,141,993 | 3,228,762 | -411,925 | 2,816,837 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 816,300 | 502,000 | 479,500 | | 479,500 |
| TOTAL-General Fund | 5,936,096 | 6,979,579 | 6,950,874 | -848,851 | 6,102,023 |
| EMERGENCY MGMT/HOMELAND SECURITY | | | | | |
| Personal Services | 2,710,124 | | | | |
| Other Expenses | 365,815 | | | | |
| TOTAL-General Fund | 3,075,939 | | | | |
| COMM ON FIRE PREVENTION & CONTROL | | | | | |
| Personal Services | 1,629,405 | | | | |
| Other Expenses | 556,646 | | | | |
| Other Current Expenses | 225,868 | | | | |
| Pmts to Other Than Local Governments | 870,395 | | | | |
| TOTAL-General Fund | 3,282,314 | | | | |
| DEPARTMENT OF BANKING | | | | | |
| Personal Services | 9,882,175 | 10,950,000 | 10,600,000 | -377,206 | 10,222,794 |
| Other Expenses | 1,471,632 | 1,279,737 | 1,014,443 | 468,359 | 1,482,802 |
| Capital Outlay | 16,571 | 127,000 | 37,200 | | 37,200 |
| Other Current Expenses | 7,248,883 | 8,532,086 | 8,531,682 | -1,262,247 | 7,269,435 |
| TOTAL-Banking Fund | 18,619,261 | 20,888,823 | 20,183,325 | -1,171,094 | 19,012,231 |
| INSURANCE DEPARTMENT | | | | | |
| Personal Services | 11,842,086 | 13,445,665 | 12,996,951 | 739,267 | 13,736,218 |
| Other Expenses | 2,374,232 | 2,022,453 | 2,022,453 | | 2,022,453 |
| Capital Outlay | 50,952 | 40,060 | 40,060 | | 40,060 |
| Other Current Expenses | 8,117,000 | 8,773,338 | 8,759,096 | 1,210,274 | 9,969,370 |
| TOTAL-Insurance Fund | 22,384,270 | 24,281,516 | 23,818,560 | 1,949,541 | 25,768,101 |
| OFFICE OF CONSUMER COUNSEL | | | | | |
| Personal Services | 1,150,808 | 1,357,585 | 1,309,791 | 53,036 | 1,362,827 |
| Other Expenses | 344,937 | 396,029 | 396,029 | | 396,029 |
| Capital Outlay | 1,534 | 5,850 | 5,600 | | 5,600 |
| Other Current Expenses | 1,166,898 | 1,274,249 | 1,277,714 | -276,582 | 1,001,132 |
| TOTAL-Consumer Counsel/Public Utility Fund | 2,664,177 | 3,033,713 | 2,989,134 | -223,546 | 2,765,588 |
| DEPARTMENT OF PUBLIC UTILITY CONTROL | | | | | |
| Personal Services | 10,152,258 | | | | |
| Other Expenses | 1,715,318 | | | | |
| Capital Outlay | 22,622 | | | | |
| Other Current Expenses | 6,445,766 | | | | |
| TOTAL-Consumer Counsel/Public Utility Fund | 18,335,964 | | | | |
| OFFICE OF THE HEALTHCARE ADVOCATE | | | | | |
| Personal Services | 619,209 | 746,398 | 725,540 | 234,716 | 960,256 |
| Other Expenses | 136,371 | 136,373 | 136,374 | | 136,374 |
| Capital Outlay | 1,146 | 1,400 | 700 | | 700 |
| Other Current Expenses | 392,484 | 611,274 | 616,251 | 60,208 | 676,459 |
| TOTAL-Insurance Fund | 1,149,210 | 1,495,445 | 1,478,865 | 294,924 | 1,773,789 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|--|-------------------|----------------------|-------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| DEPARTMENT OF CONSUMER PROTECTION | | | | | |
| Personal Services | 9,111,827 | 14,491,783 | 13,534,627 | 1,676,632 | 15,211,259 |
| Other Expenses | 937,755 | 1,690,096 | 1,690,096 | -156,077 | 1,534,019 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | | 2,758 | 2,758 | | 2,758 |
| TOTAL-General Fund | 10,049,582 | 16,184,638 | 15,227,482 | 1,520,555 | 16,748,037 |
| DEPARTMENT OF LABOR | | | | | |
| Personal Services | 7,646,616 | 9,095,403 | 8,741,719 | -850,861 | 7,890,858 |
| Other Expenses | 976,827 | 1,094,210 | 1,094,210 | -46,295 | 1,047,915 |
| Capital Outlay | | 2 | 2 | | 2 |
| Other Current Expenses | 58,180,832 | 54,066,402 | 53,943,935 | -762,500 | 53,181,435 |
| TOTAL-General Fund | 66,804,275 | 64,256,017 | 63,779,866 | -1,659,656 | 62,120,210 |
| Other Current Expenses | 500,000 | 500,000 | 500,000 | | 500,000 |
| TOTAL-Banking Fund | 500,000 | 500,000 | 500,000 | | 500,000 |
| Personal Services | | | | 8,660,377 | 8,660,377 |
| Other Expenses | | | | 2,182,102 | 2,182,102 |
| Capital Outlay | | | | 15,900 | 15,900 |
| Other Current Expenses | 610,421 | 684,596 | 682,731 | 6,694,594 | 7,377,325 |
| TOTAL-Workers' Compensation Fund | 610,421 | 684,596 | 682,731 | 17,552,973 | 18,235,704 |
| TOTAL-Appropriated Funds | 67,914,696 | 65,440,613 | 64,962,597 | 15,893,317 | 80,855,914 |
| OFFICE OF VICTIM ADVOCATE | | | | | |
| Personal Services | 273,894 | | | | |
| Other Expenses | 14,745 | | | | |
| TOTAL-General Fund | 288,639 | | | | |
| COMM-HUMAN RIGHTS & OPPORTUNITIES | | | | | |
| Personal Services | 5,269,753 | 6,146,769 | 5,950,016 | -5,950,016 | |
| Other Expenses | 336,593 | 903,891 | 903,891 | -903,891 | |
| Capital Outlay | | 1 | 1 | -1 | |
| Other Current Expenses | 4,997 | 6,650 | 6,650 | -6,650 | |
| TOTAL-General Fund | 5,611,343 | 7,057,311 | 6,860,558 | -6,860,558 | |
| OFFICE OF PROTECTION AND ADVOCACY | | | | | |
| Personal Services | 2,378,323 | 2,465,321 | 2,366,933 | -2,366,933 | |
| Other Expenses | 211,947 | 216,038 | 216,038 | -216,038 | |
| Capital Outlay | | 1 | 1 | -1 | |
| TOTAL-General Fund | 2,590,270 | 2,681,360 | 2,582,972 | -2,582,972 | |
| DEPT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY | | | | | |
| Personal Services | | | | 7,569,138 | 7,569,138 |
| Other Expenses | | | | 619,929 | 619,929 |
| Capital Outlay | | | | 2 | 2 |
| Other Current Expenses | | | | 6,650 | 6,650 |
| TOTAL-General Fund | | | | 8,195,719 | 8,195,719 |
| OFFICE OF THE CHILD ADVOCATE | | | | | |
| Personal Services | 597,041 | | | | |
| Other Expenses | 29,908 | | | | |
| Other Current Expenses | 93,622 | | | | |
| TOTAL-General Fund | 720,571 | | | | |
| WORKERS' COMPENSATION COMMISSION | | | | | |
| Personal Services | 8,657,752 | 9,227,232 | 9,022,493 | -9,022,493 | |
| Other Expenses | 2,263,712 | 2,341,706 | 2,284,102 | -2,284,102 | |
| Capital Outlay | | 34,000 | 15,900 | -15,900 | |
| Other Current Expenses | 7,071,234 | 7,127,651 | 7,202,250 | -7,202,250 | |
| TOTAL-Workers' Compensation Fund | 17,992,698 | 18,730,589 | 18,524,745 | -18,524,745 | |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|--------------------------------------|--------------------|----------------------|--------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| SUMMARY | | | | | |
| <u>Regulation and Protection</u> | | | | | |
| General Fund | 261,765,783 | 270,249,148 | 263,340,034 | -14,737,189 | 248,602,845 |
| Special Transportation Fund | 54,499,710 | 56,752,102 | 55,693,724 | -1,780,804 | 53,912,920 |
| Banking Fund | 19,119,261 | 21,388,823 | 20,683,325 | -1,171,094 | 19,512,231 |
| Insurance Fund | 23,533,480 | 25,776,961 | 25,297,425 | 2,244,465 | 27,541,890 |
| Consumer Counsel/Public Utility Fund | 21,000,141 | 3,033,713 | 2,989,134 | -223,546 | 2,765,588 |
| Workers' Compensation Fund | 18,603,119 | 19,415,185 | 19,207,476 | -971,772 | 18,235,704 |
| TOTAL | 398,521,494 | 396,615,932 | 387,211,118 | -16,639,940 | 370,571,178 |

CONSERVATION AND DEVELOPMENT

DEPARTMENT OF AGRICULTURE

| | | | | | |
|---|------------------|------------------|------------------|-----------------|------------------|
| Personal Services | 3,527,634 | 3,895,000 | 3,750,000 | -361,828 | 3,388,172 |
| Other Expenses | 684,475 | 716,168 | 700,668 | -93,000 | 607,668 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 278,620 | 404,501 | 404,501 | | 404,501 |
| Pmts to Other Than Local Governments | 192,453 | 200,056 | 200,056 | | 200,056 |
| TOTAL-General Fund | 4,683,182 | 5,215,726 | 5,055,226 | -454,828 | 4,600,398 |
| Personal Services | 332,327 | 390,151 | 386,193 | | 386,193 |
| Other Expenses | 321,123 | 271,507 | 273,007 | | 273,007 |
| Capital Outlay | | 3,500 | 1 | | 1 |
| Other Current Expenses | 233,739 | 261,401 | 266,473 | | 266,473 |
| TOTAL-Regional Market Operation Fund | 887,189 | 926,559 | 925,674 | | 925,674 |
| TOTAL-Appropriated Funds | 5,570,371 | 6,142,285 | 5,980,900 | -454,828 | 5,526,072 |

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

| | | | | | |
|---|-------------------|--------------------|-------------------|-------------------|-------------------|
| Personal Services | 31,531,776 | 34,945,655 | 33,677,502 | -4,449,543 | 29,227,959 |
| Other Expenses | 2,391,224 | 4,327,027 | 4,376,632 | | 4,376,632 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 36,669,796 | 37,790,608 | 36,320,037 | -715,568 | 35,604,469 |
| Pmts to Other Than Local Governments | 499,175 | 1,632,449 | 1,632,449 | -1,100,000 | 532,449 |
| Pmts to Local Governments | 156,850 | 200,000 | 200,000 | -100,000 | 100,000 |
| TOTAL-General Fund | 71,248,821 | 78,895,740 | 76,206,621 | -6,365,111 | 69,841,510 |
| Personal Services | | 11,254,613 | 11,989,348 | -387,294 | 11,602,054 |
| Other Expenses | | 2,952,619 | 1,550,391 | 100,000 | 1,650,391 |
| Capital Outlay | | 506,850 | 26,000 | | 26,000 |
| Other Current Expenses | | 8,681,025 | 9,431,872 | -1,224,515 | 8,207,357 |
| Pmts to Other Than Local Governments | | | | 1,100,000 | 1,100,000 |
| TOTAL-Consumer Counsel/Public Utility Fund | | 23,395,107 | 22,997,611 | -411,809 | 22,585,802 |
| TOTAL-Appropriated Funds | 71,248,821 | 102,290,847 | 99,204,232 | -6,776,920 | 92,427,312 |

COUNCIL ON ENVIRONMENTAL QUALITY

| | | | | | |
|---------------------------|----------------|----------------|----------------|---------------|----------------|
| Personal Services | 152,199 | 167,792 | 163,640 | -1,739 | 161,901 |
| Other Expenses | 417 | 3,634 | 3,634 | | 3,634 |
| Capital Outlay | | 1 | 1 | | 1 |
| TOTAL-General Fund | 152,616 | 171,427 | 167,275 | -1,739 | 165,536 |

COMMISSION ON CULTURE AND TOURISM

| | | | | | |
|--------------------------------------|-------------------|--|--|--|--|
| Personal Services | 2,557,920 | | | | |
| Other Expenses | 155,446 | | | | |
| Other Current Expenses | 313,502 | | | | |
| Pmts to Other Than Local Governments | 3,130,090 | | | | |
| Pmts to Local Governments | 9,114,456 | | | | |
| TOTAL-General Fund | 15,271,414 | | | | |

DEPT OF ECONOMIC AND COMMUNITY DEVELOPMENT

| | | | | | |
|--------------------------------------|------------|------------|------------|------------|-------------|
| Personal Services | 5,981,229 | 9,506,280 | 9,138,901 | 533,732 | 9,672,633 |
| Other Expenses | 686,244 | 1,618,799 | 1,618,799 | -684,159 | 934,640 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 3,539,467 | 19,749,753 | 20,547,159 | 14,005,959 | 34,553,118 |
| Pmts to Other Than Local Governments | 11,823,000 | 15,590,609 | 15,335,203 | 87,186,272 | 102,521,475 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|-------------------|----------------------|---------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| Pmts to Local Governments | 3,908,890 | 13,140,753 | 13,140,753 | -7,128,739 | 6,012,014 |
| TOTAL-General Fund | 25,938,830 | 59,606,195 | 59,780,816 | 93,913,065 | 153,693,881 |
| Other Current Expenses | | 168,639 | 168,639 | | 168,639 |
| TOTAL-Banking Fund | | 168,639 | 168,639 | | 168,639 |
| TOTAL-Appropriated Funds | 25,938,830 | 59,774,834 | 59,949,455 | 93,913,065 | 153,862,520 |
| AGRICULTURAL EXPERIMENT STATION | | | | | |
| Personal Services | 5,485,582 | 6,125,000 | 5,910,000 | -493,414 | 5,416,586 |
| Other Expenses | 882,096 | 923,511 | 923,511 | | 923,511 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 305,433 | 323,453 | 320,744 | 228,779 | 549,523 |
| TOTAL-General Fund | 6,673,111 | 7,371,965 | 7,154,256 | -264,635 | 6,889,621 |
| SUMMARY | | | | | |
| <u>Conservation and Development</u> | | | | | |
| General Fund | 123,967,974 | 151,261,053 | 148,364,194 | 86,826,752 | 235,190,946 |
| Banking Fund | | 168,639 | 168,639 | | 168,639 |
| Consumer Counsel/Public Utility Fund | | 23,395,107 | 22,997,611 | -411,809 | 22,585,802 |
| Regional Market Operation Fund | 887,189 | 926,559 | 925,674 | | 925,674 |
| TOTAL | 124,855,163 | 175,751,358 | 172,456,118 | 86,414,943 | 258,871,061 |
| HEALTH AND HOSPITALS | | | | | |
| DEPARTMENT OF PUBLIC HEALTH | | | | | |
| Personal Services | 31,195,193 | 35,633,513 | 34,626,728 | -2,647,002 | 31,979,726 |
| Other Expenses | 3,823,990 | 7,183,505 | 8,433,505 | | 8,433,505 |
| Capital Outlay | | 15,001 | 1 | | 1 |
| Other Current Expenses | 15,200,932 | 15,806,276 | 15,871,286 | -561,126 | 15,310,160 |
| Pmts to Other Than Local Governments | 18,142,668 | 17,813,878 | 17,813,878 | 11,064,080 | 28,877,958 |
| Pmts to Local Governments | 14,515,761 | 15,199,556 | 15,199,556 | -412,592 | 14,786,964 |
| TOTAL-General Fund | 82,878,544 | 91,651,729 | 91,944,954 | 7,443,360 | 99,388,314 |
| OFFICE OF THE CHIEF MEDICAL EXAMINER | | | | | |
| Personal Services | 4,608,378 | 5,223,625 | 5,050,652 | -5,050,652 | |
| Other Expenses | 721,168 | 906,282 | 906,282 | -906,282 | |
| Capital Outlay | 4,200 | 15,500 | 15,500 | -15,500 | |
| Other Current Expenses | 13,397 | 54,441 | 58,828 | -58,828 | |
| TOTAL-General Fund | 5,347,143 | 6,199,848 | 6,031,262 | -6,031,262 | |
| DEPARTMENT OF DEVELOPMENTAL SERVICES | | | | | |
| Personal Services | 264,653,601 | 286,909,798 | 275,149,434 | -24,697,070 | 250,452,364 |
| Other Expenses | 22,401,859 | 22,102,780 | 21,990,274 | 480,117 | 22,470,391 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 111,967,497 | 127,840,111 | 126,803,437 | 1,203,494 | 128,006,931 |
| Pmts to Other Than Local Governments | 571,047,928 | 610,844,493 | 633,687,012 | 6,723,082 | 640,410,094 |
| TOTAL-General Fund | 970,070,885 | 1,047,697,183 | 1,057,630,158 | -16,290,377 | 1,041,339,781 |
| DEPT MENTAL HEALTH & ADDICTION SVS | | | | | |
| Personal Services | 181,608,959 | 219,207,637 | 211,068,124 | -35,728,585 | 175,339,539 |
| Other Expenses | 27,018,635 | 29,200,732 | 28,599,021 | 31,469 | 28,630,490 |
| Capital Outlay | | 1 | 1 | 1 | 2 |
| Other Current Expenses | 333,101,986 | 380,044,430 | 403,808,811 | -12,438,473 | 391,370,338 |
| Pmts to Other Than Local Governments | 115,301,253 | 111,839,742 | 111,839,742 | -1,430,591 | 110,409,151 |
| TOTAL-General Fund | 657,030,833 | 740,292,542 | 755,315,699 | -49,566,179 | 705,749,520 |
| PSYCHIATRIC SECURITY REVIEW BOARD | | | | | |
| Personal Services | 316,524 | 332,091 | 320,081 | -320,081 | |
| Other Expenses | 26,305 | 31,469 | 31,469 | -31,469 | |
| Capital Outlay | | 1 | 1 | -1 | |
| TOTAL-General Fund | 342,829 | 363,561 | 351,551 | -351,551 | |

* Public Act 11-6 as amended by Public Act 11-61 and
Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|----------------------|----------------------|----------------------|---------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| SUMMARY | | | | | |
| <u>Health and Hospitals</u> | | | | | |
| General Fund | 1,715,670,234 | 1,886,204,863 | 1,911,273,624 | -64,796,009 | 1,846,477,615 |
| TOTAL | 1,715,670,234 | 1,886,204,863 | 1,911,273,624 | -64,796,009 | 1,846,477,615 |
| | | | | | |
| TRANSPORTATION | | | | | |
| DEPARTMENT OF TRANSPORTATION | | | | | |
| Personal Services | 161,349,424 | 169,441,130 | 162,240,011 | -14,112,857 | 148,127,154 |
| Other Expenses | 57,338,652 | 49,396,497 | 49,228,630 | 2,742,204 | 51,970,834 |
| Capital Outlay | 10,507,878 | 13,974,500 | 9,075,500 | | 9,075,500 |
| Other Current Expenses | 303,094,656 | 339,477,084 | 351,429,190 | -5,375,050 | 346,054,140 |
| Pmts to Other Than Local Governments | | | | 3,155,532 | 3,155,532 |
| Pmts to Local Governments | 340,400 | 30,000,000 | 30,000,000 | | 30,000,000 |
| TOTAL-Special Transportation Fund | 532,631,010 | 602,289,211 | 601,973,331 | -13,590,171 | 588,383,160 |
| | | | | | |
| SUMMARY | | | | | |
| <u>Transportation</u> | | | | | |
| Special Transportation Fund | 532,631,010 | 602,289,211 | 601,973,331 | -13,590,171 | 588,383,160 |
| TOTAL | 532,631,010 | 602,289,211 | 601,973,331 | -13,590,171 | 588,383,160 |
| | | | | | |
| HUMAN SERVICES | | | | | |
| DEPARTMENT OF SOCIAL SERVICES | | | | | |
| Personal Services | 105,769,683 | 120,436,042 | 116,581,562 | -10,191,961 | 106,389,601 |
| Other Expenses | 85,989,616 | 89,316,801 | 88,800,670 | 24,838,990 | 113,639,660 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 64,094,260 | 60,896,543 | 66,198,419 | -20,230,275 | 45,968,144 |
| Pmts to Other Than Local Governments | 5,125,094,344 | 5,492,515,681 | 5,631,269,454 | -112,950,506 | 5,518,318,948 |
| Pmts to Local Governments | 6,587,191 | 914,609 | 914,609 | -634,026 | 280,583 |
| TOTAL-General Fund | 5,387,535,094 | 5,764,079,677 | 5,903,764,715 | -119,167,778 | 5,784,596,937 |
| Other Expenses | 475,000 | 475,000 | 475,000 | | 475,000 |
| TOTAL-Insurance Fund | 475,000 | 475,000 | 475,000 | | 475,000 |
| TOTAL-Appropriated Funds | 5,388,010,094 | 5,764,554,677 | 5,904,239,715 | -119,167,778 | 5,785,071,937 |
| | | | | | |
| SOLDIERS, SAILORS AND MARINES' FUND | | | | | |
| Personal Services | 568,455 | 614,866 | 604,504 | -12,124 | 592,380 |
| Other Expenses | 37,727 | 54,397 | 42,397 | | 42,397 |
| Other Current Expenses | 2,358,600 | 2,391,773 | 2,404,635 | | 2,404,635 |
| TOTAL-Soldiers, Sailors and Marines Fund | 2,964,782 | 3,061,036 | 3,051,536 | -12,124 | 3,039,412 |
| | | | | | |
| BUREAU OF REHABILITATIVE SERVICES | | | | | |
| Personal Services | | 4,733,062 | 4,599,638 | 179,075 | 4,778,713 |
| Other Expenses | | 991,631 | 991,631 | 25,000 | 1,016,631 |
| Capital Outlay | | 2 | 2 | | 2 |
| Other Current Expenses | | 5,708,140 | 5,686,537 | | 5,686,537 |
| Pmts to Other Than Local Governments | | 10,367,439 | 10,367,439 | -273,669 | 10,093,770 |
| TOTAL-General Fund | | 21,800,274 | 21,645,247 | -69,594 | 21,575,653 |
| Personal Services | | 116,274 | 116,274 | 78,800 | 195,074 |
| Other Expenses | | 14,436 | 14,436 | | 14,436 |
| TOTAL-Special Transportation Fund | | 130,710 | 130,710 | 78,800 | 209,510 |
| Personal Services | | 503,698 | 487,578 | | 487,578 |
| Other Expenses | | 23,400 | 24,500 | | 24,500 |
| Other Current Expenses | | 1,599,391 | 1,598,342 | | 1,598,342 |
| TOTAL-Workers' Compensation Fund | | 2,126,489 | 2,110,420 | | 2,110,420 |
| TOTAL-Appropriated Funds | | 24,057,473 | 23,886,377 | 9,206 | 23,895,583 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|----------------------|----------------------|----------------------|---------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| SUMMARY | | | | | |
| <u>Human Services</u> | | | | | |
| General Fund | 5,387,535,094 | 5,785,879,951 | 5,925,409,962 | -119,237,372 | 5,806,172,590 |
| Special Transportation Fund | | 130,710 | 130,710 | 78,800 | 209,510 |
| Insurance Fund | 475,000 | 475,000 | 475,000 | | 475,000 |
| Workers' Compensation Fund | | 2,126,489 | 2,110,420 | | 2,110,420 |
| Soldiers, Sailors and Marines Fund | 2,964,782 | 3,061,036 | 3,051,536 | -12,124 | 3,039,412 |
| TOTAL | 5,390,974,876 | 5,791,673,186 | 5,931,177,628 | -119,170,696 | 5,812,006,932 |
| | | | | | |
| EDUCATION | | | | | |
| DEPARTMENT OF EDUCATION | | | | | |
| Personal Services | 135,742,820 | 24,598,200 | 23,833,611 | -2,362,029 | 21,471,582 |
| Other Expenses | 20,059,463 | 3,324,506 | 3,124,506 | 1,077,000 | 4,201,506 |
| Capital Outlay | 300,001 | 1 | 1 | | 1 |
| Other Current Expenses | 40,692,165 | 214,990,097 | 209,833,296 | 36,364,932 | 246,198,228 |
| Pmts to Other Than Local Governments | 83,690,451 | 88,893,190 | 92,111,190 | -60,721,400 | 31,389,790 |
| Pmts to Local Governments | 2,427,957,446 | 2,465,771,083 | 2,486,093,973 | 141,692,217 | 2,627,786,190 |
| TOTAL-General Fund | 2,708,442,346 | 2,797,577,077 | 2,814,996,577 | 116,050,720 | 2,931,047,297 |
| | | | | | |
| BD OF EDUC & SERVICES FOR THE BLIND | | | | | |
| Personal Services | 3,920,631 | | | | |
| Other Expenses | 658,261 | | | | |
| Other Current Expenses | 5,282,630 | | | | |
| Pmts to Other Than Local Governments | 1,283,855 | | | | |
| TOTAL-General Fund | 11,145,377 | | | | |
| | | | | | |
| COMM ON THE DEAF & HEARING IMPAIRED | | | | | |
| Personal Services | 478,506 | | | | |
| Other Expenses | 96,094 | | | | |
| Other Current Expenses | 389,156 | | | | |
| TOTAL-General Fund | 963,756 | | | | |
| | | | | | |
| STATE LIBRARY | | | | | |
| Personal Services | 5,213,214 | 5,747,837 | 5,560,728 | -610,531 | 4,950,197 |
| Other Expenses | 701,327 | 767,111 | 767,111 | -38,356 | 728,755 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 3,316,074 | 3,677,174 | 3,670,583 | -472,250 | 3,198,333 |
| Pmts to Other Than Local Governments | 263,003 | 350,000 | 350,000 | | 350,000 |
| Pmts to Local Governments | 1,573,137 | 1,207,692 | 1,214,283 | | 1,214,283 |
| TOTAL-General Fund | 11,066,755 | 11,749,815 | 11,562,706 | -1,121,137 | 10,441,569 |
| | | | | | |
| FINANCIAL AND ACADEMIC AFFAIRS FOR HIGHER ED | | | | | |
| Personal Services | 2,436,134 | 1,240,000 | 1,240,000 | -104,022 | 1,135,978 |
| Other Expenses | 103,098 | 300,000 | 110,180 | -15,000 | 95,180 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 4,070,768 | 3,566,040 | 3,566,040 | -251,349 | 3,314,691 |
| Pmts to Other Than Local Governments | 62,993,068 | 52,795,726 | 50,881,571 | -5,858,114 | 45,023,457 |
| TOTAL-General Fund | 69,603,068 | 57,901,767 | 55,797,792 | -6,228,485 | 49,569,307 |
| | | | | | |
| UNIVERSITY OF CONNECTICUT | | | | | |
| Other Current Expenses | 232,655,600 | 225,353,662 | 222,340,907 | 86,307,345 | 308,648,252 |
| TOTAL-General Fund | 232,655,600 | 225,353,662 | 222,340,907 | 86,307,345 | 308,648,252 |
| | | | | | |
| UNIV OF CONNECTICUT HEALTH CENTER | | | | | |
| Other Current Expenses | 119,166,814 | 121,515,400 | 109,662,449 | -109,662,449 | |
| TOTAL-General Fund | 119,166,814 | 121,515,400 | 109,662,449 | -109,662,449 | |
| | | | | | |
| CHARTER OAK STATE COLLEGE | | | | | |
| Other Current Expenses | 2,891,694 | | | | |
| TOTAL-General Fund | 2,891,694 | | | | |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|--|----------------------|----------------------|----------------------|---------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| TEACHERS' RETIREMENT BOARD | | | | | |
| Personal Services | 1,456,985 | 1,785,698 | 1,731,184 | -1,731,184 | |
| Other Expenses | 927,818 | 664,470 | 685,068 | -685,068 | |
| Capital Outlay | | 1 | 1 | -1 | |
| Pmts to Other Than Local Governments | 581,593,215 | 791,976,992 | 821,924,316 | -821,924,316 | |
| TOTAL-General Fund | 583,978,018 | 794,427,161 | 824,340,569 | -824,340,569 | |
| BOARD OF REGENTS FOR HIGHER EDUCATION | | | | | |
| Other Current Expenses | | 315,677,556 | 307,949,183 | -18,049,397 | 289,899,786 |
| TOTAL-General Fund | | 315,677,556 | 307,949,183 | -18,049,397 | 289,899,786 |
| REGIONAL COMMUNITY-TECHNICAL COLLEGES | | | | | |
| Other Current Expenses | 158,282,029 | | | | |
| TOTAL-General Fund | 158,282,029 | | | | |
| CONNECTICUT STATE UNIVERSITY SYSTEM | | | | | |
| Other Current Expenses | 162,271,103 | | | | |
| TOTAL-General Fund | 162,271,103 | | | | |
| SUMMARY | | | | | |
| <u>Education</u> | | | | | |
| General Fund | 4,060,466,560 | 4,324,202,438 | 4,346,650,183 | -757,043,972 | 3,589,606,211 |
| TOTAL | 4,060,466,560 | 4,324,202,438 | 4,346,650,183 | -757,043,972 | 3,589,606,211 |
| CORRECTIONS | | | | | |
| DEPARTMENT OF CORRECTION | | | | | |
| Personal Services | 433,096,431 | 440,501,363 | 397,466,166 | -8,998,615 | 388,467,551 |
| Other Expenses | 75,373,742 | 78,932,503 | 75,245,412 | -1,016,230 | 74,229,182 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 126,735,869 | 134,330,229 | 131,166,005 | -11,522,062 | 119,643,943 |
| Pmts to Other Than Local Governments | 40,808,746 | 41,420,974 | 41,420,974 | | 41,420,974 |
| TOTAL-General Fund | 676,014,788 | 695,185,070 | 645,298,558 | -21,536,907 | 623,761,651 |
| DEPARTMENT OF CHILDREN AND FAMILIES | | | | | |
| Personal Services | 263,095,676 | 300,803,182 | 293,558,016 | -36,609,433 | 256,948,583 |
| Other Expenses | 36,029,080 | 37,534,834 | 37,513,645 | -745,329 | 36,768,316 |
| Capital Outlay | | 1 | 1 | | 1 |
| Other Current Expenses | 24,813,480 | 29,466,002 | 29,356,471 | -243,618 | 29,112,853 |
| Pmts to Other Than Local Governments | 484,506,865 | 513,513,622 | 534,504,303 | -38,778,096 | 495,726,207 |
| TOTAL-General Fund | 808,445,101 | 881,317,641 | 894,932,436 | -76,376,476 | 818,555,960 |
| SUMMARY | | | | | |
| <u>Corrections</u> | | | | | |
| General Fund | 1,484,459,889 | 1,576,502,711 | 1,540,230,994 | -97,913,383 | 1,442,317,611 |
| TOTAL | 1,484,459,889 | 1,576,502,711 | 1,540,230,994 | -97,913,383 | 1,442,317,611 |
| JUDICIAL | | | | | |
| JUDICIAL DEPARTMENT | | | | | |
| Personal Services | 314,757,262 | 331,983,792 | 324,964,531 | -16,748,953 | 308,215,578 |
| Other Expenses | 70,196,752 | 68,451,443 | 69,762,607 | -5,417,672 | 64,344,935 |
| Capital Outlay | 219,350 | 100,000 | 305,000 | -280,000 | 25,000 |
| Other Current Expenses | 111,192,968 | 110,884,378 | 114,153,437 | -5,742,311 | 108,411,126 |
| TOTAL-General Fund | 496,366,332 | 511,419,613 | 509,185,575 | -28,188,936 | 480,996,639 |
| Other Current Expenses | 2,998,083 | 5,252,904 | 5,324,914 | | 5,324,914 |
| TOTAL-Banking Fund | 2,998,083 | 5,252,904 | 5,324,914 | | 5,324,914 |
| Other Current Expenses | 3,393,978 | 3,493,813 | 3,602,121 | | 3,602,121 |
| TOTAL-Criminal Injuries Compensation Fund | 3,393,978 | 3,493,813 | 3,602,121 | | 3,602,121 |
| TOTAL-Appropriated Funds | 502,758,393 | 520,166,330 | 518,112,610 | -28,188,936 | 489,923,674 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|----------------------|----------------------|----------------------|---------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| PUBLIC DEFENDER SERVICES COMMISSION | | | | | |
| Personal Services | 36,564,149 | 40,367,054 | 39,204,811 | -1,212,017 | 37,992,794 |
| Other Expenses | 1,786,798 | 1,648,454 | 1,654,345 | -183,141 | 1,471,204 |
| Other Current Expenses | 11,503,041 | 25,139,967 | 22,774,112 | -1,164,195 | 21,609,917 |
| TOTAL-General Fund | 49,853,988 | 67,155,475 | 63,633,268 | -2,559,353 | 61,073,915 |
| COMMISSION ON CHILD PROTECTION | | | | | |
| Personal Services | 517,786 | | | | |
| Other Expenses | 130,837 | | | | |
| Other Current Expenses | 13,042,927 | | | | |
| TOTAL-General Fund | 13,691,550 | | | | |
| SUMMARY | | | | | |
| <u>Judicial</u> | | | | | |
| General Fund | 559,911,870 | 578,575,088 | 572,818,843 | -30,748,289 | 542,070,554 |
| Banking Fund | 2,998,083 | 5,252,904 | 5,324,914 | | 5,324,914 |
| Criminal Injuries Compensation Fund | 3,393,978 | 3,493,813 | 3,602,121 | | 3,602,121 |
| TOTAL | 566,303,931 | 587,321,805 | 581,745,878 | -30,748,289 | 550,997,589 |
| NON-FUNCTIONAL | | | | | |
| MISC APPROPRIATION TO THE GOVERNOR | | | | | |
| Other Current Expenses | | 1 | 1 | | 1 |
| TOTAL-General Fund | | 1 | 1 | | 1 |
| DEBT SERVICE - STATE TREASURER | | | | | |
| Other Current Expenses | 1,629,672,327 | 1,894,080,839 | 1,935,247,677 | -19,355,481 | 1,915,892,196 |
| TOTAL-General Fund | 1,629,672,327 | 1,894,080,839 | 1,935,247,677 | -19,355,481 | 1,915,892,196 |
| Other Current Expenses | 441,433,329 | 478,835,373 | 492,217,529 | -10,243,342 | 481,974,187 |
| TOTAL-Special Transportation Fund | 441,433,329 | 478,835,373 | 492,217,529 | -10,243,342 | 481,974,187 |
| Other Current Expenses | 63,524 | 38,338 | 7,147 | | 7,147 |
| TOTAL-Regional Market Operation Fund | 63,524 | 38,338 | 7,147 | | 7,147 |
| TOTAL-Appropriated Funds | 2,071,169,180 | 2,372,954,550 | 2,427,472,353 | -29,598,823 | 2,397,873,530 |
| RESERVE FOR SALARY ADJUSTMENTS | | | | | |
| Other Current Expenses | | 42,568,534 | 200,090,187 | -155,968,724 | 44,121,463 |
| TOTAL-General Fund | | 42,568,534 | 200,090,187 | -155,968,724 | 44,121,463 |
| Other Current Expenses | | 2,363,787 | 14,081,949 | -11,050,266 | 3,031,683 |
| TOTAL-Special Transportation Fund | | 2,363,787 | 14,081,949 | -11,050,266 | 3,031,683 |
| TOTAL-Appropriated Funds | | 44,932,321 | 214,172,136 | -167,018,990 | 47,153,146 |
| WORKERS' COMPENSATION CLAIMS | | | | | |
| Other Current Expenses | | 27,726,672 | 27,239,041 | -275,000 | 26,964,041 |
| TOTAL-General Fund | | 27,726,672 | 27,239,041 | -275,000 | 26,964,041 |
| Other Current Expenses | | 6,756,577 | 6,626,481 | -82,000 | 6,544,481 |
| TOTAL-Special Transportation Fund | | 6,756,577 | 6,626,481 | -82,000 | 6,544,481 |
| TOTAL-Appropriated Funds | | 34,483,249 | 33,865,522 | -357,000 | 33,508,522 |
| JUDICIAL REVIEW COUNCIL | | | | | |
| Personal Services | 111,411 | | | | |
| Other Expenses | 16,910 | | | | |
| TOTAL-General Fund | 128,321 | | | | |
| STATE COMPTROLLER - MISCELLANEOUS | | | | | |
| Other Current Expenses | 5,776,780 | 6,050,000 | 4,000,000 | | 4,000,000 |
| Pmts to Other Than Local Governments | 386,183 | | | 809,826,550 | 809,826,550 |
| TOTAL-General Fund | 6,162,963 | 6,050,000 | 4,000,000 | 809,826,550 | 813,826,550 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary

SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|-------------------------------------|-------------------|----------------------|---------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| STATE COMPTROLLER - FRINGE BENEFITS | | | | | |
| Other Current Expenses | 1,806,002,984 | 2,313,861,926 | 2,312,534,587 | -109,652,954 | 2,202,881,633 |
| TOTAL-General Fund | 1,806,002,984 | 2,313,861,926 | 2,312,534,587 | -109,652,954 | 2,202,881,633 |
| Other Current Expenses | 131,600,950 | 162,983,271 | 167,722,969 | -4,657,808 | 163,065,161 |
| TOTAL-Special Transportation Fund | 131,600,950 | 162,983,271 | 167,722,969 | -4,657,808 | 163,065,161 |
| TOTAL-Appropriated Funds | 1,937,603,934 | 2,476,845,197 | 2,480,257,556 | -114,310,762 | 2,365,946,794 |

SUMMARY

Non-Functional

| | | | | | |
|--------------------------------|---------------|---------------|---------------|--------------|---------------|
| General Fund | 3,436,189,815 | 4,278,237,972 | 4,475,111,493 | -285,252,159 | 4,189,859,334 |
| Special Transportation Fund | 573,034,279 | 650,939,008 | 680,648,928 | -26,033,416 | 654,615,512 |
| Regional Market Operation Fund | 63,524 | 38,338 | 7,147 | | 7,147 |
| TOTAL | 4,009,287,618 | 4,929,215,318 | 5,155,767,568 | -311,285,575 | 4,844,481,993 |

STATE SUMMARY

General Fund

| | | | | | |
|--------------------------------------|----------------|----------------|----------------|--------------|----------------|
| Personal Services | 2,272,622,883 | 2,366,927,901 | 2,266,456,095 | -176,324,005 | 2,090,132,090 |
| Other Expenses | 453,195,328 | 466,066,660 | 464,997,061 | 6,801,305 | 471,798,366 |
| Capital Outlay | 1,215,021 | 384,905 | 675,944 | -295,501 | 380,443 |
| Other Current Expenses | 5,201,175,307 | 6,259,276,792 | 6,448,681,632 | -331,383,233 | 6,117,298,399 |
| Pmts to Other Than Local Governments | 7,126,313,565 | 7,778,789,428 | 7,995,415,071 | -149,444,261 | 7,845,970,810 |
| Pmts to Local Governments | 2,738,745,252 | 2,721,750,643 | 2,742,080,124 | 127,116,860 | 2,869,196,984 |
| TOTAL | 17,793,267,356 | 19,593,196,329 | 19,918,305,927 | -523,528,835 | 19,394,777,092 |

LESS:

| | | | | | |
|---|----------------|----------------|----------------|-------------|----------------|
| Unallocated Lapses | | -92,006,562 | -91,676,192 | | -91,676,192 |
| Unallocated Lapses - Legislative | | -2,700,000 | -3,028,105 | | -3,028,105 |
| Unallocated Lapses - Judicial | | -3,545,000 | -5,400,672 | | -5,400,672 |
| General Personal Services Reduction - Legislative | | -476,000 | -476,000 | | -476,000 |
| General Personal Services Reduction - Executive | | -11,538,800 | -11,538,800 | | -11,538,800 |
| General Other Expenses Reductions - Legislative | | -374,000 | -374,000 | | -374,000 |
| General Other Expenses Reductions - Executive | | -9,066,200 | -9,066,200 | | -9,066,200 |
| Labor Management Savings - Legislative | | -4,586,734 | -6,671,872 | 6,671,872 | |
| Labor Management Savings - Executive | | -625,947,354 | -806,963,225 | 806,963,225 | |
| Labor Management Savings - Judicial | | -27,670,929 | -30,622,622 | 30,622,622 | |
| Allocated Lapses | | -122,743,438 | | | |
| Additional Legislative Savings | | | | -2,000,000 | -2,000,000 |
| Additional Judicial Department Savings | | | | -4,800,000 | -4,800,000 |
| NET - General Fund | 17,793,267,356 | 18,692,541,312 | 18,952,488,239 | 313,928,884 | 19,266,417,123 |

Special Transportation Fund

| | | | | | |
|--------------------------------------|---------------|---------------|---------------|-------------|---------------|
| Personal Services | 200,343,503 | 212,214,062 | 203,898,094 | -15,814,861 | 188,083,233 |
| Other Expenses | 70,579,613 | 62,666,559 | 62,498,692 | 2,742,204 | 65,240,896 |
| Capital Outlay | 10,746,821 | 14,574,500 | 9,675,500 | | 9,675,500 |
| Other Current Expenses | 884,619,395 | 997,813,467 | 1,039,709,780 | -31,408,466 | 1,008,301,314 |
| Pmts to Other Than Local Governments | | | | 3,155,532 | 3,155,532 |
| Pmts to Local Governments | 340,400 | 30,000,000 | 30,000,000 | | 30,000,000 |
| TOTAL | 1,166,629,732 | 1,317,268,588 | 1,345,782,066 | -41,325,591 | 1,304,456,475 |

LESS:

| | | | | | |
|-----------------------------------|---------------|---------------|---------------|------------|---------------|
| Estimated Unallocated Lapses | | -11,000,000 | -11,000,000 | | -11,000,000 |
| Labor-Management Savings | | -42,536,383 | -56,949,138 | 56,949,138 | |
| Allocated Lapses | | -37,600,000 | | | |
| NET - Special Transportation Fund | 1,166,629,732 | 1,226,132,205 | 1,277,832,928 | 15,623,547 | 1,293,456,475 |

Mashantucket Pequot and Mohegan Fund

| | | | | | |
|---------------------------|------------|------------|------------|--|------------|
| Pmts to Local Governments | 61,779,907 | 61,779,907 | 61,779,907 | | 61,779,907 |
| TOTAL | 61,779,907 | 61,779,907 | 61,779,907 | | 61,779,907 |

Soldiers, Sailors and Marines' Fund

| | | | | | |
|------------------------|-----------|-----------|-----------|---------|-----------|
| Personal Services | 568,455 | 614,866 | 604,504 | -12,124 | 592,380 |
| Other Expenses | 37,727 | 54,397 | 42,397 | | 42,397 |
| Other Current Expenses | 2,358,600 | 2,391,773 | 2,404,635 | | 2,404,635 |
| TOTAL | 2,964,782 | 3,061,036 | 3,051,536 | -12,124 | 3,039,412 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

Financial Summary
SUMMARY OF EXPENDITURES, APPROPRIATIONS, ADJUSTMENTS & RECOMMENDATIONS

| | FY 2011 Actual | FY 2012 Estimated | FY 2013 | | |
|---|-----------------------|-----------------------|-----------------------|--------------------|------------------------|
| | | | Appropriated* | Net Adjustments | Revised Recommended |
| <u>Regional Market Operation Fund</u> | | | | | |
| Personal Services | 332,327 | 390,151 | 386,193 | | 386,193 |
| Other Expenses | 321,123 | 271,507 | 273,007 | | 273,007 |
| Capital Outlay | | 3,500 | 1 | | 1 |
| Other Current Expenses | 297,263 | 299,739 | 273,620 | | 273,620 |
| TOTAL | 950,713 | 964,897 | 932,821 | | 932,821 |
| <u>Banking Fund</u> | | | | | |
| Personal Services | 9,882,175 | 10,950,000 | 10,600,000 | -377,206 | 10,222,794 |
| Other Expenses | 1,471,632 | 1,279,737 | 1,014,443 | 468,359 | 1,482,802 |
| Capital Outlay | 16,571 | 127,000 | 37,200 | | 37,200 |
| Other Current Expenses | 10,746,966 | 14,453,629 | 14,525,235 | -1,262,247 | 13,262,988 |
| TOTAL | 22,117,344 | 26,810,366 | 26,176,878 | -1,171,094 | 25,005,784 |
| LESS: | | | | | |
| Branch Savings Target - Judicial | | | -63,729 | | -63,729 |
| NET - Banking Fund | 22,117,344 | 26,810,366 | 26,113,149 | -1,171,094 | 24,942,055 |
| <u>Insurance Fund</u> | | | | | |
| Personal Services | 12,686,443 | 14,411,951 | 13,934,813 | 970,588 | 14,905,401 |
| Other Expenses | 2,988,892 | 2,634,326 | 2,634,327 | | 2,634,327 |
| Capital Outlay | 52,098 | 43,710 | 40,760 | | 40,760 |
| Other Current Expenses | 8,626,688 | 9,531,630 | 9,521,850 | 1,268,140 | 10,789,990 |
| TOTAL | 24,354,121 | 26,621,617 | 26,131,750 | 2,238,728 | 28,370,478 |
| <u>Consumer Counsel/Public Utility Fund</u> | | | | | |
| Personal Services | 12,005,785 | 12,612,198 | 13,299,139 | -334,258 | 12,964,881 |
| Other Expenses | 2,071,095 | 3,348,648 | 1,946,420 | 100,000 | 2,046,420 |
| Capital Outlay | 24,156 | 512,700 | 31,600 | | 31,600 |
| Other Current Expenses | 8,048,643 | 9,955,274 | 10,709,586 | -1,501,097 | 9,208,489 |
| Pmts to Other Than Local Governments | | | | 1,100,000 | 1,100,000 |
| TOTAL | 22,149,679 | 26,428,820 | 25,986,745 | -635,355 | 25,351,390 |
| <u>Workers' Compensation Fund</u> | | | | | |
| Personal Services | 9,006,934 | 10,147,824 | 9,917,651 | -362,116 | 9,555,535 |
| Other Expenses | 2,277,540 | 2,386,759 | 2,339,255 | -102,000 | 2,237,255 |
| Capital Outlay | | 34,001 | 15,901 | | 15,901 |
| Other Current Expenses | 7,893,706 | 9,690,958 | 9,764,553 | -507,656 | 9,256,897 |
| TOTAL | 19,178,180 | 22,259,542 | 22,037,360 | -971,772 | 21,065,588 |
| <u>Criminal Injuries Compensation Fund</u> | | | | | |
| Other Current Expenses | 3,393,978 | 3,493,813 | 3,602,121 | | 3,602,121 |
| TOTAL | 3,393,978 | 3,493,813 | 3,602,121 | | 3,602,121 |
| TOTAL - APPROPRIATED FUNDS | 19,116,785,792 | 20,090,093,515 | 20,399,956,556 | 329,000,814 | 20,728,957,370 |

* Public Act 11-6 as amended by Public Act 11-61 and Public Act 11-1, June Special Session

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

| | FY 2011 Authorized | FY 2012 Estimated | FY 2013 Recommended | | |
|---|-----------------------|----------------------|---------------------|-----------------|------------------------|
| | | | Appropriated | Net Adjustments | Revised Recommended |
| GENERAL FUND | | | | | |
| Legislative Management | 445 | 438 | 438 | 0 | 438 |
| Auditors of Public Accounts | 117 | 117 | 117 | 0 | 117 |
| Commission on Aging | 4 | 4 | 4 | 0 | 4 |
| Permanent Commission on the Status of Women | 6 | 6 | 6 | 0 | 6 |
| Commission on Children | 7 | 7 | 7 | 0 | 7 |
| Latino and Puerto Rican Affairs Commission | 3 | 3 | 3 | 0 | 3 |
| African-American Affairs Commission | 2 | 2 | 2 | 0 | 2 |
| Asian Pacific American Affairs Commission | 1 | 2 | 2 | 0 | 2 |
| Governor's Office | 32 | 27 | 27 | 0 | 27 |
| Secretary of the State | 84 | 88 | 88 | 0 | 88 |
| Lieutenant Governor's Office | 5 | 9 | 9 | 0 | 9 |
| Elections Enforcement Commission | 52 | 0 | 0 | 0 | 0 |
| Office of State Ethics | 18 | 0 | 0 | 0 | 0 |
| Freedom of Information Commission | 23 | 0 | 0 | 0 | 0 |
| Judicial Selection Commission | 1 | 0 | 0 | 0 | 0 |
| Contracting Standards Board | 5 | 0 | 0 | 0 | 0 |
| State Treasurer * | 48 | 48 | 48 | 0 | 48 |
| State Comptroller | 269 | 273 | 273 | 27 | 300 |
| Department of Revenue Services | 731 | 734 | 734 | (56) | 678 |
| Office of Governmental Accountability | 0 | 86 | 86 | 0 | 86 |
| Division of Special Revenue | 104 | 0 | 0 | 0 | 0 |
| Office of Policy and Management * | 142 | 146 | 146 | 0 | 146 |
| Department of Veterans' Affairs | 278 | 279 | 279 | (26) | 253 |
| Office of Workforce Competitiveness | 3 | 0 | 0 | 0 | 0 |
| Board of Accountancy | 5 | 0 | 0 | 0 | 0 |
| Department of Administrative Services | 263 | 547 | 547 | 91 | 638 |
| Department of Information Technology | 296 | 0 | 0 | 0 | 0 |
| Department of Construction Services | 0 | 88 | 88 | (88) | 0 |
| Department of Public Works | 129 | 0 | 0 | 0 | 0 |
| Attorney General | 288 | 288 | 288 | 10 | 298 |
| Division of Criminal Justice * | 498 | 494 | 494 | (20) | 474 |
| Department of Emergency Services and Public Protection | 1,651 | 1,634 | 1,634 | 47 | 1,681 |
| Police Officer Standards and Training Council | 22 | 0 | 0 | 0 | 0 |
| Board of Firearms Permit Examiners | 1 | 0 | 0 | 0 | 0 |
| Department of Motor Vehicles * | 0 | 3 | 3 | 0 | 3 |
| Military Department | 46 | 46 | 46 | (4) | 42 |
| Commission on Fire Prevention and Control | 16 | 0 | 0 | 0 | 0 |
| Department of Consumer Protection | 128 | 215 | 215 | 35 | 250 |
| Labor Department | 213 | 219 | 219 | (4) | 215 |
| Office of Victim Advocate | 4 | 0 | 0 | 0 | 0 |
| Commission on Human Rights and Opportunities | 80 | 80 | 80 | (80) | 0 |
| Office of Protection and Advocacy for Persons with Disabilities | 33 | 31 | 31 | (31) | 0 |
| Department on Human Rights, Protection and Advocacy | 0 | 0 | 0 | 105 | 105 |
| Office of the Child Advocate | 9 | 0 | 0 | 0 | 0 |
| Department of Agriculture * | 51 | 51 | 51 | (3) | 48 |
| Department of Energy and Environmental Protection * | 698 | 727 | 727 | (58) | 669 |
| Council on Environmental Quality | 2 | 2 | 2 | 0 | 2 |
| Commission on Culture and Tourism | 31 | 0 | 0 | 0 | 0 |
| Department of Economic and Community Development | 73 | 103 | 103 | 12 | 115 |
| Agricultural Experiment Station | 67 | 67 | 67 | 2 | 69 |

Financial Summary

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

| | FY 2011 Authorized | FY 2012 Estimated | FY 2013 Recommended | | |
|---|-----------------------|----------------------|---------------------|-----------------|------------------------|
| | | | Appropriated | Net Adjustments | Revised Recommended |
| Department of Public Health | 517 | 524 | 524 | (19) | 505 |
| Office of the Chief Medical Examiner | 58 | 58 | 58 | (58) | 0 |
| Department of Developmental Services | 3,657 | 3,617 | 3,617 | (195) | 3,422 |
| Department of Mental Health and Addiction Services | 3,574 | 3,578 | 3,578 | (311) | 3,267 |
| Psychiatric Security Review Board | 4 | 4 | 4 | (4) | 0 |
| Department of Social Services | 1,804 | 1,806 | 1,806 | (14) | 1,792 |
| Bureau of Rehabilitative Services * | 0 | 101 | 101 | 8 | 109 |
| Department of Education | 1,706 | 1,706 | 1,708 | (34) | 1,674 |
| Board of Education and Services for the Blind | 95 | 0 | 0 | 0 | 0 |
| Commission on the Deaf and Hearing Impaired | 7 | 0 | 0 | 0 | 0 |
| State Library | 61 | 61 | 61 | (6) | 55 |
| Office of Financial and Academic Affairs for Higher Education | 28 | 15 | 15 | 0 | 15 |
| University of Connecticut | 2,985 | 2,901 | 2,921 | 1,726 | 4,647 |
| University of Connecticut Health Center | 1,625 | 1,641 | 1,658 | (1,658) | 0 |
| Charter Oak State College | 31 | 0 | 0 | 0 | 0 |
| Teachers' Retirement Board | 30 | 27 | 27 | (27) | 0 |
| Board of Regents for Higher Education | 0 | 4,463 | 4,500 | 28 | 4,528 |
| Regional Community - Technical Colleges | 2,058 | 0 | 0 | 0 | 0 |
| Connecticut State University System | 2,294 | 0 | 0 | 0 | 0 |
| Department of Correction | 6,492 | 6,493 | 6,493 | (145) | 6,348 |
| Department of Children and Families | 3,456 | 3,364 | 3,393 | (196) | 3,197 |
| Judicial Department * | 4,201 | 4,217 | 4,274 | 0 | 4,274 |
| Public Defender Services Commission | 400 | 440 | 440 | 8 | 448 |
| Commission on Child Protection | 9 | 0 | 0 | 0 | 0 |
| Judicial Review Council | 1 | 0 | 0 | 0 | 0 |
| Department of Emergency Management and Homeland Security | 35 | 0 | 0 | 0 | 0 |
| TOTAL - General Fund | 42,112 | 41,880 | 42,042 | (938) | 41,104 |
| SPECIAL TRANSPORTATION FUND | | | | | |
| State Treasurer * | 0 | 1 | 1 | 0 | 1 |
| Department of Motor Vehicles * | 566 | 572 | 572 | 0 | 572 |
| Department of Transportation | 3,294 | 3,292 | 3,276 | (300) | 2,976 |
| Bureau of Rehabilitative Services * | 0 | 2 | 2 | 1 | 3 |
| TOTAL - Special Transportation Fund | 3,860 | 3,867 | 3,851 | (299) | 3,552 |
| BANKING FUND | | | | | |
| Department of Banking | 120 | 120 | 120 | (2) | 118 |
| Judicial Department * | 50 | 51 | 51 | 0 | 51 |
| TOTAL - Banking Fund | 170 | 171 | 171 | (2) | 169 |
| INSURANCE FUND | | | | | |
| Office of Policy and Management * | 2 | 2 | 2 | 0 | 2 |
| Insurance Department | 141 | 141 | 141 | 18 | 159 |
| Office of the Healthcare Advocate | 10 | 9 | 9 | 4 | 13 |
| TOTAL - Insurance Fund | 153 | 152 | 152 | 22 | 174 |
| CONSUMER COUNSEL/PUBLIC UTILITY FUND | | | | | |
| Office of Policy and Management * | 7 | 0 | 0 | 0 | 0 |
| Office of Consumer Counsel | 14 | 14 | 14 | 3 | 17 |
| Department of Public Utility Control | 115 | 0 | 0 | 0 | 0 |
| Department of Energy and Environmental Protection * | 0 | 125 | 125 | 0 | 125 |
| TOTAL - Consumer Counsel/Public Utility Fund | 136 | 139 | 139 | 3 | 142 |
| WORKERS' COMPENSATION FUND | | | | | |
| Division of Criminal Justice * | 4 | 4 | 4 | 0 | 4 |
| Labor Department | 0 | 0 | 0 | 115 | 115 |
| Workers' Compensation Commission | 122 | 117 | 117 | (117) | 0 |
| Bureau of Rehabilitative Services * | 0 | 6 | 6 | 0 | 6 |
| TOTAL - Workers' Compensation Fund | 126 | 127 | 127 | (2) | 125 |

PERMANENT FULL TIME POSITIONS - APPROPRIATED FUNDS

| | FY 2011 Authorized | FY 2012 Estimated | FY 2013 Recommended | | |
|--|-----------------------|----------------------|---------------------|-----------------|------------------------|
| | | | Appropriated | Net Adjustments | Revised Recommended |
| SOLDIERS, SAILORS AND MARINES' FUND | | | | | |
| Soldiers, Sailors and Marines' Fund | 9 | 9 | 9 | 0 | 9 |
| TOTAL - Soldiers, Sailors and Marines Fund | 9 | 9 | 9 | 0 | 9 |
| REGIONAL MARKET OPERATION FUND | | | | | |
| Department of Agriculture * | 7 | 7 | 7 | 0 | 7 |
| TOTAL - Regional Market Operation Fund | 7 | 7 | 7 | 0 | 7 |
| TOTAL - Appropriated Funds | 46,573 | 46,352 | 46,498 | (1,216) | 45,282 |

* Indicates positions funded from two or more appropriated funds

Note: For the higher education constituent units, authority regarding establishing and filling positions rests with the agency.
The authorized count represents the estimated number of positions that can be filled.

Financial Summary

ESTIMATED COSTS OF MAJOR FRINGE BENEFITS BY AGENCY*

| | Estimated FY 2013 |
|---|------------------------------|
| Legislative Management | 14,303,970 |
| Auditors of Public Accounts | 3,432,692 |
| Commission on Aging | 78,799 |
| Commission on the Status of Women | 147,055 |
| Commission on Children | 158,724 |
| Latino and Puerto Rican Affairs Commission | 93,112 |
| African-American Affairs Commission | 53,497 |
| Asian Pacific American Affairs Commission | 44,305 |
| Governor's Office | 717,151 |
| Secretary of the State | 346,626 |
| Lieutenant Governor's Office | 134,459 |
| State Treasurer | 1,129,222 |
| State Comptroller | 7,513,374 |
| Department of Revenue Services | 17,758,726 |
| Office of Governmental Accountability | 263,067 |
| Office of Policy and Management | 3,608,721 |
| Department of Veterans Affairs | 6,903,386 |
| Department of Administrative Services | 15,174,066 |
| Attorney General | 9,336,541 |
| Division of Criminal Justice | 14,092,683 |
| Department of Emergency Services and Public Protection | 36,864,158 |
| Military Department | 880,705 |
| Department of Consumer Protection | 4,774,814 |
| Department of Labor | 5,195,433 |
| Department on Human Rights, Protection and Advocacy | 2,375,952 |
| Department of Agriculture | 1,184,773 |
| Department of Energy and Environmental Protection | 12,816,541 |
| Council on Environmental Quality | 50,821 |
| Department of Economic and Community Development | 2,994,177 |
| Agricultural Experiment Station | 1,700,266 |
| Department of Public Health | 10,038,436 |
| Department of Developmental Services | 78,616,997 |
| Department of Mental Health and Addiction Services | 55,039,081 |
| Department of Social Services | 33,437,758 |
| Bureau of Rehabilitative Services | 1,714,322 |
| Department of Education | 6,739,930 |
| State Library | 1,553,867 |
| Office of Financial and Academic Affairs for Higher Education | 356,583 |
| University of Connecticut | 92,756,268 |
| Board of Regents for Higher Education | 90,896,469 |

Financial Summary

ESTIMATED COSTS OF MAJOR FRINGE BENEFITS BY AGENCY*

| | Estimated FY 2013 |
|-------------------------------------|------------------------------|
| Department of Correction | 121,939,964 |
| Department of Children and Families | 80,656,160 |
| Judicial Department | 96,748,870 |
| Public Defender Services Commission | 11,925,938 |
| Department of Motor Vehicles | 12,567,129 |
| Department of Transportation | 46,497,114 |
| Department of Banking | 3,208,935 |
| Insurance Department | 4,311,799 |
| Office of the Healthcare Advocate | 301,424 |
| Office of Consumer Counsel | 427,791 |
| Soldiers, Sailors and Marines' Fund | 185,948 |

*Note: Estimates assume actual costs of Social Security, average cost of Health Insurance, the normal cost of State Employees Retirement, and Alternate Retirement Program pension contributions as an estimated percentage of Recommended Appropriations for Personal Services. For the higher education constituent units, figures are based on the amounts appropriated for Operating Expenses.

Financial Summary
CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

General Fund
 Proposed Budget for Fiscal Year 2013
 (In Millions)

| | Actual Audited <u>2010</u> | Actual Unaudited <u>2011</u> | Estimated <u>2012</u> | Proposed <u>2013</u> |
|--|----------------------------------|------------------------------------|--------------------------|-------------------------|
| Summary of Operating Results - Budgetary (Modified Cash) Basis | | | | |
| Total General Fund Revenues | \$ 17,688.5 | \$ 17,707.5 | \$ 18,693.9 | \$ 19,318.0 |
| Release of Reserved Fund Balance | <u>-</u> | <u>449.9</u> | <u>-</u> | <u>-</u> |
| Net General Fund Revenues (Adjusted) | 17,688.5 | 18,157.3 | 18,693.9 | 19,318.0 |
| Total Expenditures - Budgetary Basis | 17,208.0 | 17,845.1 | 18,692.5 | 19,266.4 |
| Total Adjustments to Appropriations | <u>30.6</u> | <u>75.3</u> | <u>(73.6)</u> | <u>-</u> |
| Net Appropriations/Expenditures (Adjusted) | <u>17,238.7</u> | <u>17,920.4</u> | <u>18,618.9</u> | <u>19,266.4</u> |
| Operating Surplus/(Deficit) | <u>\$ 449.9</u> | <u>\$ 236.9</u> | <u>\$ 75.0</u> | <u>\$ 51.6</u> |

Summary of Operating Results - Budgetary (Modified Cash) Basis vs. GAAP Basis

| | | | | |
|--|--------------------------|-------------------------|----------------------|----------------------|
| Modified Cash Basis Operating Surplus (Deficit) | \$ 449.9 | \$ 236.9 | \$ 75.0 | \$ 51.6 |
| Adjustments | | | | |
| Increases (decreases) in revenue accruals: | (155.7) | 158.7 | (190.6) | (6.8) |
| (Increases) decreases in expenditure accruals: | (168.7) | 0.2 | 117.8 | (40.7) |
| Increase (decrease) in Continuing Appropriations | 32.7 | 79.5 | - | - |
| Reclassification of equity adjustments | - | - | - | - |
| Proceeds of Recovery Notes | 947.6 | - | - | - |
| Transfer of restricted resources | (1,278.5) | (103.2) | - | - |
| Transfer of prior year surplus | <u>-</u> | <u>(449.9)</u> | <u>-</u> | <u>-</u> |
| GAAP Based Operating Surplus/(Deficit) | <u>\$ (172.8)</u> | <u>\$ (77.8)</u> | <u>\$ 2.3</u> | <u>\$ 4.1</u> |

Unreserved Fund Balance - Budgetary (Modified Cash) Basis

| | | | | |
|--|--------------------|--------------------|--------------------|--------------------|
| Operating Surplus/(Deficit) | \$ 449.9 | \$ 236.9 | \$ 75.0 | \$ 51.6 |
| Transfer to/(from) Budget Reserve Fund | - | - | - | - |
| Reduce Bonded Indebtedness | - | 236.9 | - | 1.6 |
| Reserve for GAAP | - | - | 75.0 | 50.0 |
| Reserves | <u>449.9</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Unreserved Fund Balance Surplus/(deficit) | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |

Unreserved Fund Balance - Budgetary (Modified Cash) Basis vs. GAAP Basis

| | | | | |
|---|----------------------------|----------------------------|----------------------------|----------------------------|
| Unreserved Fund Balance (Deficit) | | | | |
| Modified Cash Basis | \$ - | \$ - | \$ - | \$ - |
| Additional Assets | 497.3 | 656.0 | 540.5 | 583.7 |
| Additional Liabilities | <u>(2,176.2)</u> | <u>(2,412.7)</u> | <u>(2,294.8)</u> | <u>(2,335.5)</u> |
| Unreserved Fund Balance (Deficit) GAAP Basis | <u>\$ (1,678.9)</u> | <u>\$ (1,756.7)</u> | <u>\$ (1,754.4)</u> | <u>\$ (1,751.8)</u> |
| Additional GAAP Assets less Liabilities | | | | |

General Fund Fund Balances - GAAP Basis

| | | | | |
|---------------------------|--------------------------|----------------------------|----------------------------|----------------------------|
| Reserved | \$ 696.1 | 230.7 | \$ 348.5 | \$ 307.8 |
| Unreserved | <u>(1,678.9)</u> | <u>(1,756.7)</u> | <u>(1,754.4)</u> | <u>(1,751.8)</u> |
| Total Fund Balance | <u>\$ (982.8)</u> | <u>\$ (1,526.0)</u> | <u>\$ (1,405.9)</u> | <u>\$ (1,444.0)</u> |

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

| | Actual Audited <u>2010</u> | Actual Unaudited <u>2011</u> | Estimated <u>2012</u> | Proposed <u>2013</u> |
|--|----------------------------------|------------------------------------|--------------------------|-------------------------|
| <u>Special Transportation Fund</u> | | | | |
| Revenue | \$ 1,117,888 | \$ 1,167,208 | \$ 1,227,800 | \$ 1,299,600 |
| Expenditures | 1,101,443 | 1,166,630 | 1,226,132 | 1,293,456 |
| Total Adjustments to Appropriations | <u>(4,652)</u> | <u>1,424</u> | <u>-</u> | <u>-</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 11,793 | \$ 2,001 | \$ 1,668 | \$ 6,144 |
| Adjustments (Conversion to GAAP) | <u>(3,064)</u> | <u>122</u> | <u>5,656</u> | <u>(273)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ 8,729 | \$ 2,123 | \$ 7,324 | \$ 5,871 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ 41,977 | \$ 40,554 | \$ 40,554 | \$ 40,554 |
| Unreserved | <u>105,364</u> | <u>107,365</u> | <u>109,033</u> | <u>115,177</u> |
| Total Fund Balance, Budgetary Basis | \$ 147,341 | \$ 147,919 | \$ 149,587 | \$ 155,731 |
| Adjustments (Conversion to GAAP) | \$ (11,290) | \$ (11,168) | \$ (5,512) | \$ (5,785) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ 70,166 | \$ 68,742 | \$ 68,742 | \$ 68,742 |
| Unreserved | <u>94,074</u> | <u>96,197</u> | <u>103,521</u> | <u>109,392</u> |
| Total Fund Balance, GAAP Basis | \$ 164,240 | \$ 164,940 | \$ 172,264 | \$ 178,135 |
| <u>Banking Fund</u> | | | | |
| Revenue | \$ 17,834 | \$ 20,316 | \$ 26,900 | \$ 25,000 |
| Expenditures | <u>18,214</u> | <u>22,117</u> | <u>26,810</u> | <u>24,942</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ (380) | \$ (1,801) | \$ 90 | \$ 58 |
| Adjustments (Conversion to GAAP) | <u>91</u> | <u>(29)</u> | <u>(31)</u> | <u>(32)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ (289) | \$ (1,830) | \$ 59 | \$ 26 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ 115 | \$ 115 | \$ 115 | \$ 115 |
| Unreserved | <u>20,799</u> | <u>18,998</u> | <u>19,088</u> | <u>19,146</u> |
| Total Fund Balance, Budgetary Basis | \$ 20,914 | \$ 19,113 | \$ 19,203 | \$ 19,261 |
| Adjustments (Conversion to GAAP) | (852) | (881) | (912) | (944) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ 115 | \$ 115 | \$ 115 | \$ 115 |
| Unreserved | <u>19,947</u> | <u>18,117</u> | <u>18,176</u> | <u>18,202</u> |
| Total Fund Balance, GAAP Basis | \$ 20,062 | \$ 18,232 | \$ 18,291 | \$ 18,317 |
| <u>Insurance Fund</u> | | | | |
| Revenue | \$ 29,018 | \$ 22,603 | \$ 26,700 | \$ 28,400 |
| Expenditures | <u>23,305</u> | <u>24,354</u> | <u>26,622</u> | <u>28,370</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 5,713 | \$ (1,751) | \$ 78 | \$ 30 |
| Adjustments (Conversion to GAAP) | <u>(915)</u> | <u>(257)</u> | <u>(267)</u> | <u>(276)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ 4,798 | \$ (2,008) | \$ (189) | \$ (246) |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>11,500</u> | <u>9,749</u> | <u>9,827</u> | <u>9,857</u> |
| Total Fund Balance, Budgetary Basis | \$ 11,500 | \$ 9,749 | \$ 9,827 | \$ 9,857 |
| Adjustments (Conversion to GAAP) | (7,366) | (7,623) | (7,890) | (8,166) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>4,134</u> | <u>2,126</u> | <u>1,937</u> | <u>1,691</u> |
| Total Fund Balance, GAAP Basis | \$ 4,134 | \$ 2,126 | \$ 1,937 | \$ 1,691 |

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

| | Actual Audited <u>2010</u> | Actual Unaudited <u>2011</u> | Estimated <u>2012</u> | Proposed <u>2013</u> |
|--|----------------------------------|------------------------------------|--------------------------|-------------------------|
| <u>Consumer Counsel/Public Utility Fund</u> | | | | |
| Revenue | \$ 21,114 | \$ 20,224 | \$ 26,500 | \$ 25,900 |
| Expenditures | <u>20,551</u> | <u>22,150</u> | <u>26,429</u> | <u>25,351</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 563 | \$ (1,926) | \$ 71 | \$ 549 |
| Adjustments (Conversion to GAAP) | <u>(3,862)</u> | <u>1,570</u> | <u>(190)</u> | <u>(241)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ (3,299) | \$ (356) | \$ (119) | \$ 308 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>7,767</u> | <u>5,841</u> | <u>5,912</u> | <u>6,461</u> |
| Total Fund Balance, Budgetary Basis | \$ 7,767 | \$ 5,841 | \$ 5,912 | \$ 6,461 |
| Adjustments (Conversion to GAAP) | (9,955) | (8,385) | (8,575) | (8,816) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>(2,188)</u> | <u>(2,544)</u> | <u>(2,663)</u> | <u>(2,355)</u> |
| Total Fund Balance, GAAP Basis | \$ (2,188) | \$ (2,544) | \$ (2,663) | \$ (2,355) |
| <u>Workers' Compensation Fund</u> | | | | |
| Revenue | \$ 30,413 | \$ 10,543 | \$ 22,300 | \$ 21,100 |
| Expenditures | <u>19,672</u> | <u>19,178</u> | <u>22,260</u> | <u>21,066</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 10,741 | \$ (8,635) | \$ 41 | \$ 34 |
| Adjustments (Conversion to GAAP) | <u>(55)</u> | <u>(35)</u> | <u>(36)</u> | <u>(37)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ 10,686 | \$ (8,670) | \$ 5 | \$ (3) |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>17,609</u> | <u>8,974</u> | <u>9,015</u> | <u>9,049</u> |
| Total Fund Balance, Budgetary Basis | \$ 17,609 | \$ 8,974 | \$ 9,015 | \$ 9,049 |
| Adjustments (Conversion to GAAP) | (996) | (1,031) | (1,067) | (1,104) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>16,613</u> | <u>7,943</u> | <u>7,948</u> | <u>7,945</u> |
| Total Fund Balance, GAAP Basis | \$ 16,613 | \$ 7,943 | \$ 7,948 | \$ 7,945 |
| <u>Mashantucket Pequot and Mohegan Fund</u> | | | | |
| Revenue | \$ 61,800 | \$ 61,800 | \$ 61,800 | \$ 61,800 |
| Expenditures | <u>61,780</u> | <u>61,780</u> | <u>61,780</u> | <u>61,780</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 20 | \$ 20 | \$ 20 | \$ 20 |
| Adjustments (Conversion to GAAP) | - | - | - | - |
| Operating Surplus / (Deficit), GAAP Basis | \$ 20 | \$ 20 | \$ 20 | \$ 20 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>70</u> | <u>90</u> | <u>110</u> | <u>130</u> |
| Total Fund Balance, Budgetary Basis | \$ 70 | \$ 70 | \$ 90 | \$ 110 |
| Adjustments (Conversion to GAAP) | - | - | - | - |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>70</u> | <u>90</u> | <u>110</u> | <u>130</u> |
| Total Fund Balance, GAAP Basis | \$ 70 | \$ 90 | \$ 110 | \$ 130 |

Financial Summary

CONVERSION OF OPERATING RESULTS (BUDGETARY BASIS) TO FUND BALANCES (GAAP BASIS)

Other Appropriated Funds

Proposed Budget for Fiscal Year 2013

(In Thousands)

| | Actual Audited <u>2010</u> | Actual Unaudited <u>2011</u> | Estimated <u>2012</u> | Proposed <u>2013</u> |
|---|----------------------------------|------------------------------------|--------------------------|-------------------------|
| <u>Soldiers, Sailors and Marines' Fund</u> | | | | |
| Revenue | \$ 2,762 | \$ 2,266 | \$ 3,100 | \$ 3,100 |
| Expenditures | <u>2,935</u> | <u>2,965</u> | <u>3,061</u> | <u>3,039</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ (173) | \$ (699) | \$ 39 | \$ 61 |
| Adjustments (Conversion to GAAP) | <u>178</u> | <u>694</u> | <u>(39)</u> | <u>(61)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ 5 | \$ (5) | \$ - | \$ - |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>(3,960)</u> | <u>(4,659)</u> | <u>(4,620)</u> | <u>(4,559)</u> |
| Total Fund Balance, Budgetary Basis | \$ (3,960) | \$ (4,659) | \$ (4,620) | \$ (4,559) |
| Adjustments (Conversion to GAAP) | 3,965 | 4,659 | 4,620 | 4,559 |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>5</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Total Fund Balance, GAAP Basis | \$ 5 | \$ - | \$ - | \$ - |
| <u>Regional Market Operation Fund</u> | | | | |
| Revenue | \$ 950 | \$ 944 | \$ 970 | \$ 940 |
| Expenditures | <u>882</u> | <u>951</u> | <u>965</u> | <u>933</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ 67 | \$ (7) | \$ 5 | \$ 7 |
| Adjustments (Conversion to GAAP) | <u>(7)</u> | <u>(1)</u> | <u>(2)</u> | <u>(1)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ 60 | \$ (8) | \$ 3 | \$ 6 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>982</u> | <u>975</u> | <u>980</u> | <u>987</u> |
| Total Fund Balance, Budgetary Basis | \$ 982 | \$ 975 | \$ 980 | \$ 987 |
| Adjustments (Conversion to GAAP) | (39) | (40) | (42) | (43) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>943</u> | <u>935</u> | <u>938</u> | <u>944</u> |
| Total Fund Balance, GAAP Basis | \$ 943 | \$ 935 | \$ 938 | \$ 944 |
| <u>Criminal Injuries Compensation Fund</u> | | | | |
| Revenue | \$ 889 | \$ 1,888 | \$ 3,500 | \$ 3,700 |
| Expenditures | <u>3,110</u> | <u>3,394</u> | <u>3,494</u> | <u>3,602</u> |
| Operating Surplus / (Deficit), Budgetary Basis | \$ (2,221) | \$ (1,506) | \$ 6 | \$ 98 |
| Adjustments (Conversion to GAAP) | <u>(183)</u> | <u>(14)</u> | <u>(14)</u> | <u>(15)</u> |
| Operating Surplus / (Deficit), GAAP Basis | \$ (2,404) | \$ (1,520) | \$ (8) | \$ 83 |
| Fund Balance, Budgetary Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>3,478</u> | <u>1,972</u> | <u>1,978</u> | <u>2,076</u> |
| Total Fund Balance, Budgetary Basis | \$ 3,478 | \$ 1,972 | \$ 1,978 | \$ 2,076 |
| Adjustments (Conversion to GAAP) | (387) | (401) | (415) | (430) |
| Fund Balance, GAAP Basis | | | | |
| Reserved | \$ - | \$ - | \$ - | \$ - |
| Unreserved | <u>3,091</u> | <u>1,571</u> | <u>1,563</u> | <u>1,646</u> |
| Total Fund Balance, GAAP Basis | \$ 3,091 | \$ 2,868 | \$ 2,960 | \$ 3,043 |