



SECTION B

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# BUDGET SUMMARY

# OFFICE OF LEGISLATIVE MANAGEMENT

## AGENCY PURPOSE

<http://www.cga.ct.gov/olm>

The Office of Legislative Management provides administrative and operational support for the Connecticut General Assembly.

The office, while implementing the policies of the Joint Committee on Legislative Management, provides administrative and financial services, administers compensation and human resources services,

and oversees the management and maintenance of all buildings and grounds under the supervision and control of the General Assembly.

The Office of Legislative Management ensures the daily functioning of the Legislature for the benefit of the legislators, their staff, and the general public.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for the Office of Legislative Management

*In accordance with Section 4-73(f), C.G.S., the agency's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

	<b>2011-2012</b>	<b>2012-2013</b>
	-8,000,977	-9,527,025

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	445	-8	437	0	437
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	46,313,050	46,732,963	46,732,963	48,718,708	48,718,708
Other Expenses	15,664,317	16,067,587	16,067,587	17,711,168	17,711,168
<u>Capital Outlay</u>					
Equipment	983,000	2,008,000	2,008,000	1,766,000	1,766,000
<u>Other Current Expenses</u>					
Flag Restoration	50,000	75,000	75,000	75,000	75,000
Minor Capitol Improvements	125,000	1,450,000	1,450,000	1,450,000	1,450,000
Interim Salary/Caucus Offices	461,000	585,000	585,000	464,100	464,100
Redistricting	400,000	1,325,000	1,325,000	0	0
Old State House	583,400	597,985	597,985	616,523	616,523
TOTAL - Other Current Expenses	1,619,400	4,032,985	4,032,985	2,605,623	2,605,623
<u>Pmts to Other than Local Govts</u>					
Interstate Conference Fund	378,235	365,946	365,946	380,584	380,584
New England Board of Higher Education	183,750	188,344	188,344	194,183	194,183
TOTAL - Pmts to Other than Local Govts	561,985	554,290	554,290	574,767	574,767
TOTAL - General Fund	65,141,752	69,395,825	69,395,825	71,376,266	71,376,266
<u>Additional Funds Available</u>					
Private Funds	2,522,500	2,522,500	2,522,500	2,522,500	2,522,500
TOTAL - All Funds Net	67,664,252	71,918,325	71,918,325	73,898,766	73,898,766

# AUDITORS OF PUBLIC ACCOUNTS

## AGENCY PURPOSE

<http://www.cga.ct.gov/apa>

The Office of the Auditors of Public Accounts is a legislative agency whose primary mission is to audit the books and accounts of each agency of the state, all institutions supported by the state, and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. Each audit performed includes an examination and verification of accounting records and documents, a determination of the agency's compliance with

applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of state revenue, and examination of expenditures charged to state appropriations and federal grants.

The two Auditors may not be of the same political party and are appointed by the General Assembly to assure the independence and impartiality required for effective auditing.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Auditors of Public Accounts

	<b>2011-2012</b>	<b>2012-2013</b>
	-1,024,490	-1,159,195

*In accordance with Section 4-73(f), C.G.S., the agency's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	117	0	117	0	117
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	12,444,724	11,875,086	11,875,086	11,765,921	11,765,921
Other Expenses	951,647	894,009	894,009	856,702	856,702
<u>Capital Outlay</u>					
Equipment	30,000	10,000	10,000	10,000	10,000
TOTAL - General Fund	13,426,371	12,779,095	12,779,095	12,632,623	12,632,623



# COMMISSION ON AGING

## AGENCY PURPOSE

The Commission on Aging (CoA) was created in 1993 as an independent, non-partisan, public policy agency within state government for older adults. In 2005, the CoA became a legislative agency. In 2009 its board composition was altered and its mandate strengthened.

The CoA:

- Advances systemic change in many areas including, but not limited to, long-term care, health care, nutrition, housing, employment, transportation, legal assistance and economic security.
- Raises awareness about the dynamic challenges and opportunities presented by an aging state and nation.

- Works directly with and serves as an independent, non-partisan resource to the Connecticut General Assembly, Governor, municipalities, and stakeholders on aging-related issues.
- Independently, and at the General Assembly's direction, conducts and/or directs comprehensive studies on trends and issues that impact older adults and persons with disabilities.
- Convenes and leads diverse groups in multi-faceted efforts to develop, enhance, and promote progressive public policy.
- Develops and comments on state legislation, regulations, state agency policies, and programs to promote a more effective, efficient and coordinated system.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Reduce Funding for Legislative Commissions

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

<u>2011-2012</u>	<u>2012-2013</u>
-270,397	-299,248

## AGENCY SUMMARY

### **Personnel Summary**

#### Permanent Full-Time Positions

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
General Fund	4	0	4	0	4

### **Financial Summary**

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	248,207	414,234	414,234	430,713	430,713
Other Expenses	7,864	82,266	82,266	86,182	86,182
<u>Capital Outlay</u>					
Equipment	0	1,500	1,500	1,500	1,500
TOTAL - General Fund	256,071	498,000	498,000	518,395	518,395

# PERMANENT COMMISSION ON THE STATUS OF WOMEN

## AGENCY PURPOSE

<http://www.cga.ct.gov/pcsw/>

The Permanent Commission on the Status of Women (PCSW) was established to study and improve Connecticut women's economic security, health and safety; to promote consideration of qualified women to leadership positions; and to work toward the elimination of gender discrimination. The agency monitors, critiques and recommends changes to legislation to inform public

policy, and assesses programs and practices in State agencies for their effect on the state's women. The PCSW serves as a liaison between government and its diverse constituents, and convenes stakeholders, including the business, non-profit and educational communities, local governments, and the media, in order to promote awareness of women's issues.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Legislative Commissions

**2011-2012**      **2012-2013**

-141,172      -171,332

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

## AGENCY SUMMARY

### Personnel Summary

#### Permanent Full-Time Positions

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
General Fund	6	0	6	0	6

### Financial Summary

#### Capital Outlay

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	441,217	523,520	523,520	537,395	537,395
Other Expenses	64,203	64,203	64,203	66,195	66,195
Equipment	0	1,500	1,500	1,500	1,500
TOTAL - General Fund	505,420	589,223	589,223	605,090	605,090

# COMMISSION ON CHILDREN

## AGENCY PURPOSE

<http://www.cga.ct.gov/coc/>

The Commission on Children is a legislative agency established to:

- Study the status of children and children's programs in order to identify programs, policies and legislation that will improve the development of children and strengthen the capabilities of families to provide for children's basic needs.
- Inform individuals and leaders of business, labor, education, state and local government, the media and the General Assembly of findings and recommendations.
- Promote child and family program and policy coordination across the three branches of government and between local and state endeavors.
- Develop and distribute informational materials regarding children's issues and respond to public queries about child and family policy.
- Promote community and family engagement for positive child outcomes.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Legislative Commissions

	<u>2011-2012</u>	<u>2012-2013</u>
	-254,649	-288,438

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

## AGENCY SUMMARY

### Personnel Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	7	0	7	0	7

### Financial Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	517,745	654,913	654,913	668,874	668,874
Other Expenses	12,675	74,495	74,495	76,804	76,804
TOTAL - General Fund	530,420	729,408	729,408	745,678	745,678

# LATINO AND PUERTO RICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

<http://www.cga.ct.gov/lprac/>

The Latino and Puerto Rican Affairs Commission's (LPRAC) mission is to coordinate and provide access to resources by developing and recommending to the Governor and the General Assembly policy for the advancement of the Latino and Puerto Rican community. This is accomplished by:

- Reviewing and commenting on any proposed state legislation or recommendations that may affect the Latino and Puerto Rican population of the state.
- Advising the General Assembly and Governor concerning the coordination and administration of state programs that affect the Latino and Puerto Rican population of the state.
- Gathering and maintaining current information regarding the Latino and Puerto Rican population of the state that can be used to better understand the status, condition and contributions of such Latino and Puerto Rican population.
- Maintaining a liaison between the Latino and Puerto Rican population of the state and government agencies, including the General Assembly.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Latino and Puerto Rican population of the state.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Legislative Commissions

**2011-2012**      **2012-2013**

-48,512      -49,359

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	0	3	0	3
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	280,797	272,915	272,915	276,999	276,999
Other Expenses	38,994	50,500	50,500	52,064	52,064
TOTAL - General Fund	319,791	323,415	323,415	329,063	329,063

# AFRICAN-AMERICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

<http://www.cga.ct.gov/aaac>

The African-American Affairs Commission is a legislative agency established to:

Review and comment on any proposed state legislation or recommendations that may affect the African-American population of the state and provide copies of any such comments to members of the General Assembly.

Advise the General Assembly and Governor concerning the coordination and administration of state programs that affect the African-American population of the state.

Gather and maintain current information regarding the African-American population of the state that can be used to better understand the status, condition and contributions of such African-American population.

Maintain a liaison between the African-American population of the state and government agencies, including the General Assembly.

Conduct educational and outreach activities intended to raise awareness of critical issues for the African-American population of the state.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Legislative Commissions

<u>2011-2012</u>	<u>2012-2013</u>
-159,664	-175,400

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	2	4	0	4
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	184,780	306,659	306,659	311,842	311,842
Other Expenses	27,456	44,343	44,343	46,560	46,560
TOTAL - General Fund	212,236	351,002	351,002	358,402	358,402



# ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

The Asian Pacific American Affairs Commission is established as a legislative agency to assist in resolving issues related to the Asian Pacific American population. The commission makes recommendations to the General Assembly and the Governor on the health, safety, education, economic self-sufficiency and efforts to remain free from discrimination within the Asian Pacific American population in Connecticut by:

- Reviewing and commenting on proposed state legislation that may affect the Asian Pacific American population of the state.
- Advising on the coordination and administration of state programs that affect the Asian Pacific American population of the state.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Asian Pacific American population of the state.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Reduce Funding for Legislative Commissions

*In accordance with Section 4-73(f), C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 4-73(f), a reduction in requested funding is proposed and is a component of the legislative branch savings target included as a bottom line lapse.*

	<u>2011-2012</u>	<u>2012-2013</u>
	-158,186	-159,992

## AGENCY SUMMARY

### Personnel Summary

#### Permanent Full-Time Positions

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
General Fund	1	2	3	0	3

### Financial Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	49,810	209,835	209,835	213,868	213,868
Other Expenses	2,500	6,800	6,800	7,010	7,010
<u>Capital Outlay</u>					
Equipment	0	1,500	1,500	1,500	1,500
TOTAL - General Fund	52,310	218,135	218,135	222,378	222,378



# GOVERNOR'S OFFICE

## AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the State.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.
- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

## RECOMMENDED SIGNIFICANT CHANGES

### **Changes to Current Services**

Remove or Limit Inflation

<u>2011-2012</u>	<u>2012-2013</u>
-7,202	-18,638

Return Other Expenses Funding to Original FY 2011 Level

*After 57% midterm reduction from \$236,995 to \$134,660, funding is restored in order to enable the Governor to travel on behalf of the State.*

Adjust for Transition Expenses

*Note that the FY 2011 estimate reflects Finance Advisory Committee action required to remedy the failure to appropriate any funds for gubernatorial transition.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	32	-5	27	0	27
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	2,322,994	2,365,992	2,365,992	2,284,648	2,284,648
Other Expenses	217,044	238,683	236,995	243,110	236,995
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Pmts to Other than Local Govts</u>					
New England Governors' Conference	100,692	106,734	106,734	113,138	113,138
National Governors' Association	119,900	127,094	127,094	134,720	134,720
TOTAL - Pmts to Other than Local Govts	220,592	233,828	233,828	247,858	247,858
TOTAL - General Fund	2,760,631	2,838,504	2,836,816	2,775,617	2,769,502



# SECRETARY OF THE STATE

## AGENCY PURPOSE

<http://www.sots.ct.gov>

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs, which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. The office also encourages and monitors the implementation of the National Voter Registration Act, the Help America Vote Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

### **Commercial Recording Division**

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

### **E-Government**

The Secretary of the State maintains the Centralized Voter Registration System and the Connecticut Online Commercial Recording Database (CONCORD).

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<u>2011-2012</u>	<u>2012-2013</u>
Remove or Limit Inflation	-15,243	-47,577
Fund Automation Through Bond Funds	-1,700,000	-150,000

*Funding for the Centralized Voter Registration System and to complete development of the Connecticut Online Commercial Recording Database (CONCORD) will be provided through bond funds.*

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	84	0	84	0	84
Federal Contributions	1	0	1	0	1
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	1,365,658	1,510,000	1,510,000	1,450,000	1,450,000
Other Expenses	645,041	1,046,166	1,030,923	1,078,500	1,030,923
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Other Current Expenses</u>					
Commercial Recording Division	5,993,248	8,113,689	6,413,689	6,549,728	6,399,728
TOTAL - General Fund	8,003,948	10,669,856	8,954,613	9,078,229	8,880,652
<u>Additional Funds Available</u>					
Federal and Other Activities	2,965,042	0	0	0	0
TOTAL - All Funds Net	10,968,990	10,669,856	8,954,613	9,078,229	8,880,652



# LIEUTENANT GOVERNOR'S OFFICE

## AGENCY PURPOSE <http://www.state.ct.us/otlg/>

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becoming vacant during the term.
- Operating the State government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

Remove or Limit Inflation

<u>2011-2012</u>	<u>2012-2013</u>
-3,003	-3,702

## AGENCY SUMMARY

### **Personnel Summary**

#### Permanent Full-Time Positions

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
General Fund	5	0	5	0	5

### **Financial Summary**

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	431,017	436,806	436,806	424,702	424,702
Other Expenses	72,849	75,852	72,849	76,551	72,849
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
TOTAL - General Fund	503,867	512,659	509,656	501,254	497,552

# OFFICE OF GOVERNMENTAL ACCOUNTABILITY

## AGENCY PURPOSE

The Office of Governmental Accountability is a newly created agency formed by consolidating those agencies and programs whose primary mission is to enforce honesty, integrity, and accountability within state government.

The consolidated agencies include: the State Elections Enforcement Commission, the Office of State Ethics, the Freedom of Information

Commission, the Judicial Review Council, and the Contracting Standards Board.

The goal of this consolidation is to restructure state government and strengthen enforcement and compliance practices through information and knowledge sharing.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
Remove or Limit Inflation	-55,147	-124,021
Fund Equipment Through CEPF	-50,996	-49,176
Reduce Funding to Account for Streamlined Functions	-1,573,768	-1,591,518
<b>Reallocations or Transfers</b>		
Restructure State Government	10,190,442	10,064,036
<i>Consolidate the State Elections Enforcement Commission, the Office of State Ethics, the Freedom of Information Commission, the Contracting Standards Board, and the Judicial Review Counsel to form the Office of Governmental Accountability.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	78	78	0	78
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	0	0	6,972,167	0	6,815,171
Other Expenses	0	0	1,458,363	0	1,404,149
<u>Capital Outlay</u>					
Equipment	0	0	1	0	1
<u>Other Current Expenses</u>					
Judge Trial Referee Fees	0	0	10,000	0	10,000
Reserve for Attorney Fees	0	0	20,000	0	20,000
Information Technology Initiatives	0	0	50,000	0	50,000
TOTAL - Other Current Expenses	0	0	80,000	0	80,000
TOTAL - General Fund	0	0	8,510,531	0	8,299,321
<u>Additional Funds Available</u>					
Private Funds	0	0	1,346,800	0	11,309,480
TOTAL - All Funds Net	0	0	9,857,331	0	19,608,801



# STATE ELECTIONS ENFORCEMENT COMMISSION

## AGENCY PURPOSE

<http://www.ct.gov/seec/>

The Elections Enforcement Commission seeks to improve public confidence in the electoral process and in those officials who seek or hold a public office. The commission achieves this objective by focusing on the following core functions:

- Monitoring compliance with elections and campaign finance laws.
- Maintaining and improving the electronic campaign reporting system and acting as the state repository for all campaign finance records for party committees, political committees and candidate committees organized for state elections.
- Conducting investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.
- Auditing financial disclosure statements filed by state, district and municipal candidates for public office; political parties; and political action committees.
- Rendering formal and informal advisory opinions and rulings.
- Conducting educational seminars and publishing explanatory guides to enhance compliance with the campaign finance laws.
- Administrating and enforcing the Citizens' Election program, a public financing program through which grants are awarded to qualified candidates for statewide office and the General Assembly.

*The State Elections Enforcement Commission is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

Restructure State Government

<u>2011-2012</u>	<u>2012-2013</u>
-5,136,377	-5,139,197

*In accordance with Section 9-7c, C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 9-7c, requested funding is removed as a component of the bottom line lapse for the creation of the new Office of Governmental Accountability.*

## AGENCY SUMMARY

### Personnel Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	52	-3	49	0	49

### Financial Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	1,490,556	1,484,402	1,484,402	1,515,724	1,515,724
Other Expenses	326,396	334,092	334,092	343,868	343,868
<u>Capital Outlay</u>					
Equipment	0	28,356	28,356	30,576	30,576
<u>Other Current Expenses</u>					
Citizens' Election Fund Admin	3,200,000	3,289,487	3,289,487	3,249,029	3,249,029
TOTAL - General Fund	5,016,952	5,136,337	5,136,337	5,139,197	5,139,197
<u>Additional Funds Available</u>					
Private Funds	39,835,517	1,346,800	1,346,800	11,309,480	11,309,480
TOTAL - All Funds Net	44,852,469	6,483,137	6,483,137	16,448,677	16,448,677



# OFFICE OF STATE ETHICS

## AGENCY PURPOSE

[HTTP://WWW.CT.GOV/ETHICS](http://www.ct.gov/ethics)

The Office of State Ethics (OSE) is an independent oversight agency for the State of Connecticut. The OSE administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV, Ethical Considerations Concerning Bidding and State Contracting.

The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The OSE has four main functions: education, interpretation, enforcement and records retention.

*The Office of State Ethics is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Issued 11 advisory opinions and approximately 1,050 written staff opinions.
- Reviewed nearly 600 necessary expense reports from state employees and public officials.
- Revised its regulations to increase lobbyist registration fees to \$250 for two-year registrations in odd years and \$125 for one-year registrations in even years.
- Issued or received 35 complaints and opened 46 evaluations for alleged violations of the Code of Ethics for Public Officials or Lobbyists.
- Conducted four hearings and one probable cause hearing which ultimately led to a full board hearing and the imposition of a civil penalty of \$15,000.
- Handled over 2,700 public official statements of financial interest in paper and electronic form, and oversaw 100% compliance with this mandated filing.

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

Restructure State Government

2011-2012	2012-2013
-1,821,080	-1,787,123

*In accordance with Section 1-81a, C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 1-81a, requested funding is removed as a component of the bottom line lapse for the creation of the new Office of Governmental Accountability.*

## AGENCY SUMMARY

Personnel Summary	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	18	0	18	0	18
Financial Summary	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	1,548,936	1,582,388	1,582,388	1,543,751	1,543,751
Other Expenses	245,796	153,592	153,592	158,272	158,272
<u>Capital Outlay</u>					
Equipment	15,000	5,100	5,100	5,100	5,100
<u>Other Current Expenses</u>					
Judge Trial Referee Fees	20,000	10,000	10,000	10,000	10,000
Reserve for Attorney Fees	26,129	20,000	20,000	20,000	20,000
Information Technology Initiatives	50,000	50,000	50,000	50,000	50,000
TOTAL - Other Current Expenses	96,129	80,000	80,000	80,000	80,000
TOTAL - General Fund	1,905,861	1,821,080	1,821,080	1,787,123	1,787,123



# FREEDOM OF INFORMATION COMMISSION

## AGENCY PURPOSE

The Freedom of Information (FOI) Commission is an independent, government oversight agency charged with administering and enforcing Connecticut's FOI Act and thereby ensuring that the people of Connecticut have full access to the records and meetings of all public agencies to the extent provided by law. In furtherance of this purpose, the commission focuses on the following core functions:

- Settle complaints quickly and informally through an ombudsman or mediation process.
- Hear and decide complaints through a speedy, inexpensive process designed for lay people.

- Represent the commission, through staff counsel, in all court appeals from commission decisions and in all other litigation affecting the commission.
- Render declaratory rulings that apply the FOI Act to situations of general application.
- Conduct programs, publish literature, answer written and oral inquiries, and perform other educational functions so that the requirements of the law will be known and understood by everyone upon whom the act confers rights and duties.

***The Freedom of Information Commission is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

- The Commission received 805 formal citizen complaints in calendar year 2010.
- The Commission, with the support of the Connecticut Foundation for Open Government, printed and mailed its high school civics curriculum to all of Connecticut's school districts. The curriculum is designed to incorporate Freedom of Information into Connecticut's public schools' civics classes. The entire curriculum is available on the commission website.
- The Commission, with the support of the Connecticut Foundation for Open Government, continued to craft other facets of its community outreach program aimed at the state's minority communities. A pilot program targeting Spanish-speaking citizens is in the preparation stage.
- The Commission has provided an average of 74 speakers a year at a variety of venues for the past 5 years. In 2010, 92 speaking programs were offered.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reallocations or Transfers**

Restructure State Government

<u>2011-2012</u>	<u>2012-2013</u>
-2,465,801	-2,418,189

*In accordance with Section 1-205a, C.G.S., the commission's requested funding level is shown in the recommended amounts below. Notwithstanding Section 1-205a, requested funding is removed as a component of the bottom line lapse for the creation of the new Office of Governmental Accountability.*

## AGENCY SUMMARY

### **Personnel Summary**

#### Permanent Full-Time Positions

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
General Fund	23	0	23	0	23

### **Financial Summary**

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	2,009,938	2,167,297	2,167,297	2,096,602	2,096,602
Other Expenses	248,445	264,004	264,004	273,087	273,087
<u>Capital Outlay</u>					
Equipment	48,500	34,500	34,500	48,500	48,500
TOTAL - General Fund	2,306,883	2,465,801	2,465,801	2,418,189	2,418,189



# JUDICIAL SELECTION COMMISSION

## AGENCY PURPOSE

- To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the Superior Court, the Appellate Court and the Supreme Court. Incumbent judges who seek reappointment to the same court must also be evaluated.
- The Judicial Selection Commission consists of twelve members: no more than six members can be from the same political party and none can be an elected or appointed state official or hold a statewide political party office. Of the twelve members, six must not be attorneys.
- To develop a confidential listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

*The Judicial Selection Commission is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	0	-700
Remove or Limit Inflation	-436	-991
<b>Reallocations or Transfers</b>		
Restructure State Government	-93,314	-90,620

*Support for the Judicial Selection Commission is proposed for transfer to the Department of Administrative Services.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	-1	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	69,676	75,857	0	73,164	0
Other Expenses	17,456	17,892	0	18,447	0
<u>Capital Outlay</u>					
Equipment	1	1	0	700	0
TOTAL - General Fund	87,133	93,750	0	92,311	0



# CONTRACTING STANDARDS BOARD

## AGENCY PURPOSE

The Contracting Standards Board is a fourteen member board established in CGS 4e-2 to oversee all state contracts. The Board's mission is to ensure that state contracting and procurement processes reflect the highest standards of integrity, are clean and consistent, and are conducted in the most efficient manner possible.

Some of the Board's duties include:

- Conducting a comprehensive review of existing state contracting and procurement laws, regulations and practices.
- Auditing state contracting agencies triennially to ensure they are in compliance with procurement policies.
- Issuing recommendations regarding the disqualification of contractors from bidding or proposing on state contracts.
- Reviewing all new proposed privatization contracts to ensure the state saves money without jeopardizing the quality of services.
- Establishing procurement and project management training program and certification procedure for agency procurement officers.

***The Contracting Standards Board is recommended for consolidation with the Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure state government.***

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reallocations or Transfers***

Restructure State Government

**2011-2012**      **2012-2013**

-803,947      -792,973

*Consolidate the Contracting Standards Board into the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	5	-5	0	0	0
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	0	496,706	0	479,342	0
Other Expenses	10,000	304,200	0	313,630	0
<b><i>Capital Outlay</i></b>					
Equipment	1	3,041	0	1	0
TOTAL - General Fund	10,001	803,947	0	792,973	0



# STATE TREASURER

## AGENCY PURPOSE

<http://www.state.ct.us/ott>

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the safe custody of the property and money of the State and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the State's General Fund as well as the assets of the State's pensions, trusts and other funds. The Treasurer administers the issuance of State bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the

borrowing of those funds, the repayment of which is a limited or contingent liability of the State.

The Treasurer serves as the custodian for all unclaimed property remitted to the State. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>2011-2012</b>	<b>2012-2013</b>
Remove or Limit Inflation	-7,005	-15,718
Reduce Personal Services to Reflect Reimbursements	-123,560	-123,560

## AGENCY SUMMARY

### **Personnel Summary**

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	48	0	48	0	48
Special Transportation Fund	1	0	1	0	1
Unclaimed Property Fund	31	0	31	0	31
Bond Funds	3	0	3	0	3
Second Injury & Compensation Assurance	45	0	45	0	45
Investment Trust Fund	28	0	28	0	28
Private Funds	8	0	8	0	8
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	0	1	0	1

### **Financial Summary**

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	3,730,968	3,980,235	3,856,675	3,808,437	3,684,877
Other Expenses	273,656	280,661	273,656	289,374	273,656

### Capital Outlay

Equipment	1	1	1	1	1
<b>TOTAL - General Fund</b>	<b>4,004,625</b>	<b>4,260,897</b>	<b>4,130,332</b>	<b>4,097,812</b>	<b>3,958,534</b>

### Additional Funds Available

Unclaimed Property Fund	6,600,000	6,797,628	6,797,628	7,001,182	7,001,182
Special Funds, Non-Appropriated	500,000	450,000	450,000	450,000	450,000
Bond Funds	451,183	464,718	464,718	478,660	478,660
Second Injury & Compensation Assurance	7,507,356	7,731,077	7,731,077	7,961,509	7,961,509
Investment Trust Fund	74,170,823	76,395,198	76,395,198	78,686,303	78,686,303
Private Funds	157,077,349	76,445,544	76,445,544	28,287,580	28,287,580
<b>TOTAL - All Funds Net</b>	<b>250,311,336</b>	<b>172,545,062</b>	<b>172,414,497</b>	<b>126,963,046</b>	<b>126,823,768</b>



# STATE COMPTROLLER

<http://www.osc.state.ct.us/>

## AGENCY PURPOSE

The Office of State Comptroller (OSC) has a wide variety of duties and projects which originate from both its constitutional charges and directives from the legislature such as:

- Administering state payroll, retirement, employee benefits, health insurance and accounting systems.
- Analyzing state expenditures and receipts.
- Preparing financial statements and reports as required by statute.
- Monitoring and assisting state agencies to achieve compliance with accounting system procedures and to maximize accountability, standardization and cost effectiveness.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	-49,999	-349,999
Remove or Limit Inflation	0	-120,699
Provide Electronic Pay Advices Rather Than Paper Advices	-10,000	-235,000
Implement Mandatory Direct Deposit	-18,500	-86,000

*Full implementation of this change is anticipated to require agreement by the state employee unions.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	269	0	269	0	269
<b><u>Financial Summary</u></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	21,357,222	23,969,124	23,969,124	22,992,739	22,992,739
Other Expenses	4,164,000	4,111,132	4,082,632	4,462,434	4,020,735
<b><u>Capital Outlay</u></b>					
Equipment	1	50,000	1	350,000	1
<b><u>Pmts to Other than Local Govts</u></b>					
Governmental Accounting Standards Bd	18,591	19,570	19,570	19,570	19,570
<b>TOTAL - General Fund</b>	<b>25,539,814</b>	<b>28,149,826</b>	<b>28,071,327</b>	<b>27,824,743</b>	<b>27,033,045</b>



# DEPARTMENT OF REVENUE SERVICES

## AGENCY PURPOSE

<http://www.ct.gov/drs>

- Administer the tax laws of the State of Connecticut.
- Collect the tax revenues in the most cost effective manner.
- Strive to achieve the highest level of voluntary compliance
- through accurate, efficient and courteous customer services.
- Perform in a manner which instills public confidence in the integrity and fairness of the department's programs.

## RECENT HIGHLIGHTS

- Managed tax revenue deposits of more than \$12.6 billion.
- Collected \$148,077,777 in overdue tax revenue.
- Took additional measures to secure and protect taxpayer confidential data from unauthorized disclosure including expanded use of technologies, improved system security and strengthened policies and procedures.
- Initiated a program known as LEAN to identify improvements that save time, increase productivity, reduce costs and improve service to the taxpayers.
- Administered the Settlement Incentive Program that contacted nearly 75,000 taxpayers with eligible liabilities and collecting \$56.7 million within the timeframe statutorily allowed through the program.
- Collected over \$15 million through voluntary disclosure agreements with approximately 200 taxpayers.
- Launched a non-filer income tax compliance program that uses third party data and sophisticated data mining technology to identify individuals that have not filed a state or federal income tax return resulting in \$11 million of assessments.
- More than doubled the number of criminal arrests over the prior fiscal year as notice that the department will take strong action against those who violate the state's tax laws.
- Expanded the use of virtual audits and increasing taxpayers' use of electronic transactions to register, file returns and make payments.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>		
<b>Reductions to Current Services</b>				
Fund Equipment Through CEPF	-278,079	-407,810		
Remove or Limit Inflation	-217,188	-490,344		
Reduce the Management Services Program	-1,102,206	-1,065,087		
<i>Eliminate the Tax Products Unit and the Office of Planning and Organizational Development / Internal Audit Division due to the streamlining of agency operations.</i>				
Reduce the Mailing of Forms	-262,868	-262,868		
<i>Savings are generated by no longer mailing the 1040 and 1040 - NRPY (non-resident/part-year resident) and 1099-G (overpayment of Connecticut income tax) forms to taxpayers.</i>				
Remove Funding for Vacant Positions	-500,000	-500,000		
<b>Reallocations or Transfers</b>				
Transfer Information Technology Managers from DOIT to Line Agencies	376,213	362,801		
<i>Funding for three Information Technology Managers is being transferred.</i>				
<b>Revenues</b>				
Provide Funding for a Collection System	740,000	0		
<i>Purchase of a collection system based on risk-based scoring, decision analytics or collection scoring. This will result in \$6 million in additional revenue in FY 2012 and \$18 million annually beginning in FY 2013.</i>				
<b>New or Expanded Services</b>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>	
Provide Funding to Administer an Earned Income Tax Credit Program	877,750	863,750	863,750	
<i>Funding is provided for eleven staff and associated expenses due to the need to handle tax returns from those previously not required to file, as well as making payments to eligible filers.</i>				

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	731	3	734	0	734

Budget Summary

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	58,285,591	64,886,062	64,422,569	62,499,263	62,059,477
Other Expenses	8,577,651	8,794,839	9,270,033	9,067,995	8,516,033
<u>Capital Outlay</u>					
Equipment	1	278,080	1	407,811	1
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	204,479	204,479	104,479	204,479	104,479
TOTAL - General Fund	67,067,722	74,163,460	73,797,082	72,179,548	70,679,990
<u>Additional Funds Available</u>					
Federal and Other Activities	64,320	0	0	0	0
TOTAL - All Funds Net	67,132,042	74,163,460	73,797,082	72,179,548	70,679,990

## DIVISION OF SPECIAL REVENUE

### AGENCY PURPOSE

<http://www.dosr.state.ct.us>

The Division of Special Revenue is the regulatory agency charged with ensuring integrity in the operation of all legalized gambling activity within the State by ensuring compliance with the gaming laws of the State of Connecticut and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos. In furtherance of this purpose, the division focuses on the following core functions:

- Ensuring compliance with statutes, regulations, and procedures, to assure the public that all legalized gambling is conducted in a fair and honest manner.
- Licensing, registering or permitting only suitable individuals, organizations and vendors of equipment and services to be

employed by or contracted with gaming licensees or permittees within the State, or to sell lottery tickets.

- Providing due process and an opportunity to be heard to those individuals or entities denied initial licensure or whose current license is in the process of being revoked, pursuant to the Uniform Administrative Procedures Act.
- Testing wagering systems and related equipment to insure the integrity of games.
- Conducting independent audits to ensure operations are compliant with statutory and regulatory requirements.
- Collecting pari-mutuel taxes and regulation fees, and paying grants to municipalities.

*The Division of Special Revenue is recommended for consolidation with the Department of Consumer Protection in the Governor's budget as part of his proposal to restructure state government.*

### RECENT HIGHLIGHTS

- Worked with the General Assembly on creating a Delinquent Lottery Agent Amnesty Program which will be implemented in FY 2011.

### RECOMMENDED SIGNIFICANT CHANGES

<b><i>Reductions to Current Services</i></b>	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
Fund Equipment Through CEPF	-33,494	-30,449
Remove or Limit Inflation	-38,172	-80,439
Remove Funding for Vacant Positions	-132,918	-132,267
Eliminate the Charitable Games Unit	-1,020,103	-1,006,862
<b><i>Reallocations or Transfers</i></b>		
Restructure State Government	-5,071,168	-4,568,056

*Transfer the Division of Special Revenue to the Department of Consumer Protection.*

### AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	104	-104	0	0	0
Private Funds	30	-30	0	0	0
<b><i>Other Positions Equated to Fulltime</i></b>					
General Fund	3	-3	0	0	0
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Recommended	Services	Recommended	Recommended
Personal Services	4,197,617	5,129,988	0	4,612,984	0
Other Expenses	1,091,442	1,129,545	0	1,171,724	0

Capital Outlay

Equipment	1	33,495	0	30,450	0
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Other Current Expenses

Gaming Policy Board	2,758	2,827	0	2,915	0
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TOTAL - General Fund	5,291,818	6,295,855	0	5,818,073	0
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Additional Funds Available

Bond Funds	3,161,758	3,128,066	0	3,128,066	0
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Private Funds	4,466,677	5,021,646	0	5,021,646	0
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TOTAL - All Funds Net	12,920,253	14,445,567	0	13,967,785	0
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# OFFICE OF POLICY AND MANAGEMENT

<http://www.ct.gov/opm>

## AGENCY PURPOSE

- Support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, energy, and health and human services.
- Prepare executive budgets and execute biennial budgets as enacted into law.
- Provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- Formulate policy pertaining to the relationship between the State and Connecticut's municipalities.
- Improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- Deliver timely and effective labor relations and collective bargaining services on behalf of the state as an employer.
- Review and monitor the core financial management policies and practices in state agencies.
- Ensure the implementation of programs enacted by law.
- Review and approve agency legislative proposals.

## RECENT HIGHLIGHTS

### *Financial Management Policies and Practices*

Developed a standard Request for Proposal (RFP) for Purchase of Service (POS) procurements as a means to achieve greater standardization across the State's health and human services agencies.

### *Assets Management Policy and Planning*

Analyzed, evaluated and performed cost benefit analysis for seventeen interim space requests proposed by agencies. These requests totaled over 373,000 square feet of leased space, of which OPM disapproved over 85,000 square feet, which will result in an estimated five year cost savings of \$8.6 million.

### *Criminal Justice Policy and Planning*

Sponsored two criminal justice cross-training conferences designed to promote dialogue between criminal justice partners regarding current and future interagency partnership and collaboration.

Approximately 600 employees, stakeholders and practitioners in Connecticut's criminal justice system participated in the conferences.

### *Municipal Programs*

Assisted in accepting and processing claims for technical assistance grants to fifty municipalities under the Housing for Economic Growth grant program. Staff continue to process paperwork and administer payments that will ultimately exceed \$1 million to allow for the creation of Incentive Housing overlay zones. These zones encourage communities to create workforce housing in communities around the State, from large urban areas to small rural towns.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
Fund Equipment Through CEPF	-97,499	0
Reduce the Water Planning Council Funding	-3,816	-3,092
<i>Remove funding for Other Expenses and reallocate staff expenses to Personal Services.</i>		
Transfer Funding for the Leadership, Education, Athletics and Partnership to The Department of Education	-850,000	-850,000
Transfer Funding for Neighborhood Youth Centers to the Department of Education	-1,487,000	-1,487,000
Reduce Distressed Municipalities Funding	-2,000,000	-2,000,000
Eliminate Funding for PILOT Manufacturing and Equipment Grant	-47,895,199	-47,895,199
Remove or Limit Inflation	-2,934,460	-6,078,892
Reduce Funding for Other Expenses	-56,400	-56,418
Reduce Funding for Insurance Fund Staffing	-72,570	-72,504
<i>Reduce funding to reflect the change in the current workforce from full time to part time and paying for staff time from long term care administrative funds.</i>		

### **Reallocations or Transfers**

Restructure State Government	300,412	289,437
<i>Transfer the Policy and Planning Functions of DOIT to the Office of Policy and Management.</i>		
Reallocate Staff funding to the General Fund from the Consumer Counsel and Public Utility Control Fund	108,256	104,247
Restructure State Government	-1,388,114	-1,348,004
<i>Transfer Energy Unit to the Department of Energy and Environmental Protection.</i>		

**New or Expanded Services**

	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>
Provide Additional Funding for Regional Planning Agencies	600,000	600,000	600,000
<i>Includes incentive funding for RPAs that consolidate.</i>			
Provide Funding for Assistance for Agencies Reorganizing/Streamlining	350,000	0	0
Provide Funding to Assist with Revenue Maximization Efforts	250,000	0	0

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	142	4	146	0	146
Insurance Fund	2	0	2	0	2
Consumer Counsel and Public Utility Control Fund	7	-7	0	0	0
Federal Contributions	8	0	8	-4	4
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2
Consumer Counsel and Public Utility Control Fund	1	0	1	0	1
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	12,252,059	12,987,696	13,499,420	12,362,797	12,853,684
Other Expenses	2,636,252	2,711,094	2,589,252	2,800,283	2,589,252
<u>Capital Outlay</u>					
Equipment	1	97,500	1	1	1
<u>Other Current Expenses</u>					
Automated Budget Sys & Database Lnk	55,075	56,452	55,075	58,202	55,075
Leadership, Educ, Athletics-Partnership	850,000	871,250	0	898,259	0
Cash Management Improvement Act	95	97	95	100	95
Justice Assistance Grants	1,129,572	1,161,708	1,133,469	1,193,740	1,131,353
Neighborhood Youth Centers	1,487,000	1,524,175	0	1,571,424	0
Water Planning Council	104,500	112,485	0	107,731	0
Crim Jus/CT Imp. Driving Rcds Info Sys	902,857	925,428	902,857	954,116	925,428
Revenue Maximization	0	0	250,000	0	0
Reorganization Best Practices	0	0	350,000	0	0
TOTAL - Other Current Expenses	4,529,099	4,651,595	2,691,496	4,783,572	2,111,951
<u>Pmts to Other than Local Govts</u>					
Tax Relief for Elderly Renters	24,000,000	26,760,000	26,160,000	29,977,500	29,168,400
Regional Planning Agencies	200,000	205,000	800,000	211,355	800,000
TOTAL - Pmts to Other than Local Govts	24,200,000	26,965,000	26,960,000	30,188,855	29,968,400
<u>Pmts to Local Governments</u>					
Reimb Property Tax-Disability Exempt	400,000	410,000	400,000	422,710	400,000
Distressed Municipalities	7,800,000	7,995,000	5,800,000	8,242,845	5,800,000
Prop Tax Relief Elder-Circuit Breaker	20,365,899	21,018,547	20,505,900	21,670,122	20,505,900
Prop Tax Relief Elderly Freeze Program	560,000	390,000	390,000	390,000	390,000
Property Tax Relief for Veterans	2,970,099	3,044,351	2,970,098	3,138,726	2,970,098
P.I.L.O.T. New Mfg Machine & Equip	47,895,199	49,092,579	0	50,614,449	0
Capital City Economic Development	6,190,000	6,451,250	6,300,000	6,643,489	6,300,000
TOTAL - Pmts to Local Governments	86,181,197	88,401,727	36,365,998	91,122,341	36,365,998
TOTAL - General Fund	129,798,608	135,814,612	82,106,167	141,257,849	83,889,286
Personal Services	248,140	263,159	219,888	255,224	212,322
Other Expenses	6,900	7,073	500	7,293	500
<u>Capital Outlay</u>					
Equipment	0	2,250	2,250	0	0
<u>Other Current Expenses</u>					
Fringe Benefits	125,725	176,317	147,018	176,105	146,503

Budget Summary

TOTAL - Insurance Fund	380,765	448,799	369,656	438,622	359,325
Personal Services	746,000	809,833	0	781,397	0
Other Expenses	27,443	28,131	0	29,004	0
<u>Capital Outlay</u>					
Equipment	0	8,250	0	0	0
<u>Other Current Expenses</u>					
Fringe Benefits	432,680	542,588	0	539,164	0
TOTAL - Consumer Counsel/Public Utility Fund	1,206,123	1,388,802	0	1,349,565	0
<u>Additional Funds Available</u>					
Federal and Other Activities	36,372,303	30,130,014	15,596,875	8,302,435	7,941,935
Bond Funds	40,785,425	33,236,717	33,236,717	31,074,174	31,074,174
Private Funds	7,996,205	6,104,722	6,104,722	5,965,808	5,965,808
TOTAL - All Funds Net	216,539,429	207,123,666	137,414,137	188,388,453	129,230,528



# DEPARTMENT OF VETERANS' AFFAIRS

<http://www.ct.gov/ctva/>

## AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a variety of services, from long-term nursing care and dementia care to rehabilitation and end of life and palliative care. A plan of care is developed and continually updated for each resident by his/her interdisciplinary team and quality healthcare services are provided to assist the veteran in reaching his/her maximum potential. Comprehensive healthcare services are provided to the residents in the residential facility through a co-managed medical collaborative model working with the Federal Veterans Administration. The new healthcare facility, which opened in October 2008, is certified by the Federal Department of Veterans' Affairs for 125 licensed beds.
- To provide a residential level of care, substance abuse recovery support, and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible. The residential facility is certified by the Federal Department of Veterans' Affairs and has 488 licensed beds.

## RECENT HIGHLIGHTS

### *Capital Improvements*

In March 2010, the Rocky Hill Veterans Home was approved to receive an ARRA grant to assist in the renovation of the domiciliary facility at the Connecticut State Veterans Home originally constructed in 1940. The total cost of renovation is estimated to be \$9.16 million (65% federal and 35% state funded) with the scope of the project including life safety upgrades to the residential buildings (e.g. new roofs and gutters, a new sprinkler system, modernizing and designing handicapped accessible bathrooms, a more energy-efficient HVAC system, and handicapped accessible ramps). Renovations began in April 2010 with expected completion in 12-18 months.

### *Community Outreach*

The agency's Office of Advocacy and Assistance (OAA) serviced over 2,000 walk-in clients, acquired 1,450 new clients and filed 1,999 new claims for Federal VA benefits on behalf of veterans in fiscal year 2010. OAA's work resulted in over \$5 million in new income for Connecticut veterans and their families during this period.

### *Connecticut Veterans Wartime Service Medals*

The agency continues to administer the Connecticut Veterans Wartime Service Medals Program awarding over 27,000 medals and certificates to date to eligible veterans and registering over 26,000 veterans in the Connecticut Veterans Registry to date. The agency also implemented the new posthumous Wartime Service Medal program for the families of Connecticut veterans who died on or after January 1, 2000, issuing over 100 posthumous medals.

### *Connecticut Veterans Info Line*

Over 5,000 inquiries from veterans are handled annually through the agency's toll-free Connecticut Veterans Info line. This popular

service provides veterans with easy access to information on general benefits, referrals to veterans' assistance programs and state agencies, and information on the most convenient locations to receive one-on-one assistance.

### *Special Events*

*The 15th Annual Stand Down 2010*, a state-wide outreach to homeless and needy veterans held on Friday September 10, 2010 was another success, increasing participation from 1,000 in 2009 to 1,200 in 2010. Charitable contributions, foundation grants and federal grants covered the full cost of this significant event. It was held on the grounds of the Veterans' Home in Rocky Hill with over 80 federal, state and community agencies offering services and benefits information to needy veterans.

*The Connecticut Women Veterans Network (VETNET)*, sponsored by the Department was established to assist the state's 15,000 women veterans. The group held an organizational event on November 15, 2010 with guest speaker Brig. General Wilma Vaught, USAF (ret), founder of the National Women Veterans Memorial. The VETNET will help with information and access to benefits for women veterans and will identify any unmet needs experienced by women veterans and facilitate the development of services and programs to address those needs.

*Veterans Hall of Fame* - Since its inception in 2005, the Department has received 50 to 70 nominations each year for this honor. Eleven distinguished veteran-citizens were inducted into the Class of 2010 on November 12, 2010 in the Atrium of the Legislative Office Building. The agency maintains a complete listing and biography of each of the sixty-two inductees on its website.

**RECOMMENDED SIGNIFICANT CHANGES**

<b><i>Reductions to Current Services</i></b>	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
Fund Equipment Through CEPF	-299,999	-799,999
Remove or Limit Inflation	-238,014	-483,428
Reduce OE by \$250,000	-250,000	-250,000
<i>A continued increase in efficiencies in Other Expenses is expected, resulting in a reduction in spending.</i>		
Remove Funding for Vacant Positions	-363,817	-353,540
Reduce Funding to Reflect Energy Savings	0	-85,000
<i>It is expected that a more efficient power plant system will produce annual energy savings beginning in FY 2013.</i>		
<b><i>Reallocations or Transfers</i></b>		
Transfer Information Technology Managers from DOIT to Line Agencies	88,069	84,807

**AGENCY SUMMARY**

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	278	1	279	0	279
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	24,198,014	25,385,635	25,109,887	24,679,535	24,410,802
Other Expenses	6,961,795	6,635,669	6,152,405	6,876,186	6,067,405
<b><i>Capital Outlay</i></b>					
Equipment	1	300,000	1	800,000	1
<b><i>Other Current Expenses</i></b>					
Support Services for Veterans	190,000	194,750	190,000	199,647	190,000
<b><i>Pmts to Other than Local Govts</i></b>					
Burial Expenses	7,200	7,200	7,200	7,200	7,200
Headstones	370,000	350,000	350,000	350,000	350,000
TOTAL - Pmts to Other than Local Govts	377,200	357,200	357,200	357,200	357,200
TOTAL - General Fund	31,727,010	32,873,254	31,809,493	32,912,568	31,025,408
<b><i>Additional Funds Available</i></b>					
Private Funds	2,035,000	2,025,000	2,025,000	2,025,000	2,025,000
TOTAL - All Funds Net	33,762,010	34,898,254	33,834,493	34,937,568	33,050,408



# OFFICE OF WORKFORCE COMPETITIVENESS

## AGENCY PURPOSE

- Acts as a liaison between the Governor and various federal, state and local entities involved in workforce development issues.
- Provides staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet.

*The Office of Workforce Competitiveness is recommended for consolidation with the Department of Economic and Community Development in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### *The Connecticut Jobs Funnel*

During FY 2010, Jobs Funnel projects continued to help individuals begin careers in the construction trades. Services provided through the Jobs Funnel projects include outreach/recruitment, assessment, case management, pre-employment preparation (consisting of math, remediation, life skills workshops, customized training, and/or pre-

apprenticeship training), job placement, and retention support services in Hartford, New Haven, Waterbury and Bridgeport.

Over time, the funnels have provided services to approximately 10,170 individuals, with over 2,690 placed in a variety of employment opportunities including construction and non-construction jobs in both union and non-union settings and apprenticeship training programs. The average starting hourly wage for the construction workers is \$16.00.

## RECOMMENDED SIGNIFICANT CHANGES

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
<b><i>Reductions to Current Services</i></b>		
Remove or Limit Inflation	-60,015	-136,655
Reduce Grants by 15%	-348,375	-348,375
<b><i>Reallocations or Transfers</i></b>		
Restructure State Government	-2,369,484	-2,358,260
<i>Transfer state job training and economic development initiatives by consolidating the agency with the Department of Economic and Community Development.</i>		

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b><i>Personnel Summary</i></b>	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	3	-3	0	0	0
<b><u>Other Positions Equated to Fulltime</u></b>					
Federal Contributions	1	-1	0	0	0
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	286,190	305,471	0	294,247	0
Other Expenses	78,782	91,839	0	94,680	0
<b><u>Capital Outlay</u></b>					
Equipment	0	1	0	1	0
<b><u>Other Current Expenses</u></b>					
CETC Workforce	1,000,000	1,025,000	0	1,056,775	0
Jobs Funnel Projects	500,000	512,500	0	528,388	0
Nanotechnology Study	140,000	143,500	0	147,949	0
Spanish American Merchant Association	570,000	584,250	0	602,362	0
SBIR Matching Grants	112,500	115,313	0	118,888	0

Budget Summary

TOTAL - Other Current Expenses	<u>2,322,500</u>	<u>2,380,563</u>	<u>0</u>	<u>2,454,362</u>	<u>0</u>
TOTAL - General Fund	<u>2,687,472</u>	<u>2,777,874</u>	<u>0</u>	<u>2,843,290</u>	<u>0</u>
<u>Additional Funds Available</u>					
Federal and Other Activities	<u>3,378,925</u>	<u>1,344,000</u>	<u>0</u>	<u>672,000</u>	<u>0</u>
TOTAL - All Funds Net	<u>6,066,397</u>	<u>4,121,874</u>	<u>0</u>	<u>3,515,290</u>	<u>0</u>



# BOARD OF ACCOUNTANCY

## AGENCY PURPOSE

<http://www.ct.gov/sboa>

The Board of Accountancy is statutorily charged with the licensing and regulation of the public accounting profession. The board

ensures that the highest standards of integrity and professionalism are maintained by Connecticut's certified public accountants.

***The Board of Accountancy is recommended for consolidation with the Department of Consumer Protection in the Governor's budget as part of his proposal to restructure state government.***

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b><i>Reductions to Current Services</i></b>		
Fund Equipment Through CEPF	-4,404	0
Remove or Limit Inflation	-1,079	-2,450
Reduce Other Expenses	-9,819	-9,819
<b><i>Reallocations or Transfers</i></b>		
Restructure State Government	-396,569	-387,331

*The Board of Accountancy will be transferred to the Department of Consumer Protection.*

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><i>Personnel Summary</i></b>					
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	5	-5	0	0	0
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	336,533	363,206	0	353,968	0
Other Expenses	47,155	44,261	0	45,632	0
<u><i>Capital Outlay</i></u>					
Equipment	0	4,404	0	0	0
TOTAL - General Fund	383,688	411,871	0	399,600	0





## AGENCY PURPOSE

<http://www.das.state.ct.us>

The Department of Administrative Services (DAS) is a service entity that provides quality programs and products, specialized support and sound business solutions to state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

DAS offers support by:

- Providing human resource policy direction and assistance.
- Procuring goods and services.
- Assisting small agencies with personnel, payroll, affirmative action and business office functions.
- Collecting money owed the state.
- Managing the state's fleet of vehicles.

- Providing state mail and courier services.
- Distributing surplus property and ordering and distributing federally donated foods.
- Providing document management services.
- Administering the state's Workers' Compensation Program.

The Department of Information Technology (DOIT), a portion of the Department of Public Works (DPW), and the Judicial Selection Commission are recommended for consolidation into DAS. Centralized IT functions from DOIT will be consolidated within the Department of Administrative Services as well as leasing and facilities management from DPW.

## RECENT HIGHLIGHTS

### *Collections Services*

For the second consecutive year, collections surpassed the billion dollar mark, generating in excess of \$1.2 billion in paid claims in FY 2009-10.

The Delinquent Accounts unit collected \$1.3 million from the Federal and State Tax Intercept program and direct payments.

### *Fleet*

Reduced the fleet by approximately 900 vehicles reducing fleet mileage by 14.75%.

Overall fuel mileage improved by 8% due to vehicle replacements which are more fuel efficient. The net impact of fewer miles traveled and higher miles per gallon resulted in 195,315 fewer gallons of fuel as compared to 2008, a 21% reduction in fuel consumption and cost.

### *Procurement*

Captured approximately \$1.2 million statewide in savings and cost avoidance through contract re-negotiations and re-bidding and by pursuing one-time purchase savings.

### *State Property Review Board*

Successfully implemented new legislation requiring SPRB to mediate between the Department of Transportation and owners seeking to repurchase their former residence.

Reviewed 152 contracts prepared by the Department of Public Works for the hiring of consulting architects and engineers, and 204 real estate contracts submitted by DPW, DOT, Agriculture, DAS, DECD and DEP.

### *Statewide Human Resources*

Implemented the eAlert system where job-seekers create an on-line account and are notified when State of Connecticut exams and job openings in which they are interested are posted.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	-30,800	-30,800
Remove or Limit Inflation	-101,651	-295,950
Remove Funding for Vacant Positions	-38,427	-38,427
<i>Reduce the Claims Commissioner Account by removing funding for a contracted position.</i>		
Reduce the Insurance and Risk Management Account in Line with Historical Spending	-358,905	-987,180

### **Reallocations or Transfers**

Transfer Insurance Payments from Department of Transportation	4,343,057	4,380,273
<i>Transfer insurance payments from the Department of Transportation into the Department of Administrative Services.</i>		
Restructure State Government	90,833,743	90,337,061
<i>Consolidate the Department of Information Technology, the Judicial Selection Commission, and portions of the Department of Public Works into DAS. Also transfer affirmative action duties from Commission of Human Rights and Opportunities into DAS.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	263	290	553	0	553
Bond Funds	124	0	124	0	124
<u>Other Positions Equated to Fulltime</u>					
General Fund	5	0	5	0	5
Special Funds, Non-Appropriated	0	21	21	0	21
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	20,717,528	21,470,528	43,809,488	20,649,156	42,309,196
Other Expenses	647,081	800,143	34,876,197	809,649	34,871,197
<u>Capital Outlay</u>					
Equipment	1	30,801	1	30,801	1
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	0	382,000	382,000	0	0
Labor - Management Fund	0	75,000	75,000	0	0
Management Services	0	0	5,062,697	0	5,030,792
Loss Control Risk Management	143,051	146,627	143,051	151,172	143,050
Employees' Review Board	25,135	25,763	25,135	26,562	25,135
Surety Bonds for State Officials/Employees	74,400	12,000	12,000	82,000	82,000
Quality of Work-Life	0	350,000	350,000	0	0
Refunds of Collections	28,500	29,213	28,500	30,119	28,500
Rents and Moving	0	0	12,367,289	0	12,724,000
Capitol Day Care Center	0	0	127,250	0	127,250
W. C. Administrator	5,850,000	5,328,750	5,250,000	5,493,941	5,250,000
Hospital Billing System	114,950	117,824	114,950	121,477	114,951
Connecticut Education Network	0	0	3,291,493	0	3,291,493
Claims Commissioner Operations	326,208	323,548	281,424	320,474	273,651
Insurance & Risk Operations	13,954,489	13,358,905	13,000,000	13,987,180	13,000,000
IT Services	0	0	13,558,587	0	13,416,019
TOTAL - Other Current Expenses	20,516,733	20,149,630	54,069,376	20,212,925	53,506,841
TOTAL - General Fund	41,881,343	42,451,102	132,755,062	41,702,531	130,687,235
Other Expenses	2,717,500	2,814,500	7,157,557	2,955,100	7,335,373
TOTAL - Special Transportation Fund	2,717,500	2,814,500	7,157,557	2,955,100	7,335,373
<u>Additional Funds Available</u>					
Federal and Other Activities	183,976	0	0	0	0
Special Funds, Non-Appropriated	0	0	1,747,662	0	1,682,994
Bond Funds	8,561,320	9,256,704	9,256,704	8,960,812	8,960,812
Private Funds	2,400	828	828	0	0
TOTAL - All Funds Net	53,346,539	54,523,134	150,917,813	53,618,443	148,666,414



# DEPARTMENT OF INFORMATION TECHNOLOGY

<http://www.ct.gov/doit>

## AGENCY PURPOSE

- To make effective use of information technology, build the statewide information infrastructure for state agencies and citizens, and direct the development of systems to meet the common business and technology needs of multiple state agencies.
- To provide quality information technology services and the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors and government entities.

*The Department of Information Technology is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of his proposal to restructure state government. In addition, agency IT managers that were housed in DOIT will be transferred to the applicable agencies and an IT policy unit will be housed in the Office of Policy and Management.*

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	-2,492,245	-3,017,945
Remove or Limit Inflation	-451,814	-1,064,733
Eliminate Three Consultant Positions	-800,000	-800,000
Achieve Savings Through Alternative E-mail Service <i>Savings can be achieved as alternatives such as cloud computing can provide e-mail services at less than the cost of DOIT's current e-mail service.</i>	-1,350,000	-1,350,000
Eliminate CITRIX Monitoring - Edgesight <i>Staff will have to correct any problems with the CITRIX environment without the information provided by this service.</i>	-357,417	-357,417
<b>Reallocations or Transfers</b>		
Transfer Information Technology Managers from DOIT to Line Agencies	-2,496,594	-2,406,039
Restructure State Government <i>Centralized IT operations will be transferred to the Department of Administrative Services. IT policy responsibilities and three positions will be transferred to the Office of Policy and Management.</i>	-39,452,663	-38,769,767

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	296	-296	0	0	0
Special Transportation Fund	4	-4	0	0	0
Insurance Fund	1	-1	0	0	0
Bond Funds	31	-31	0	0	0
<b><u>Other Positions Equated to Fulltime</u></b>					
General Fund	2	-2	0	0	0

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	7,537,752	7,400,000	0	7,150,000	0
Other Expenses	6,431,680	6,709,806	0	6,806,979	0
<u>Capital Outlay</u>					
Equipment	1	1,660,813	0	2,186,513	0
<u>Other Current Expenses</u>					
Connecticut Education Network	4,003,401	4,190,506	0	4,304,012	0
Internet and E-Mail Services	4,995,784	4,929,521	0	4,898,059	0
Statewide Information Technology Services	20,266,483	22,510,088	0	22,420,339	0
TOTAL - Other Current Expenses	29,265,668	31,630,115	0	31,622,410	0
TOTAL - General Fund	43,235,101	47,400,734	0	47,765,902	0
<u>Additional Funds Available</u>					
Federal and Other Activities	57,012	0	0	0	0
Bond Funds	8,318,863	6,800,000	0	6,800,000	0
Private Funds	2,619,000	1,819,000	0	1,219,000	0
TOTAL - All Funds Net	54,229,976	56,019,734	0	55,784,902	0



# DEPARTMENT OF PUBLIC WORKS

<http://www.ct.gov/dpw/>

## AGENCY PURPOSE

- Supervise care and control of certain state property, including most buildings and grounds in Hartford, district office facilities in the major urban centers, various locations outside of Hartford by agreement and surplus property of the state.
- Purchase, lease and acquire property and space for state agencies.
- Provide technical assistance to agencies in developing plans for improvement to their facilities.
- Provide construction planning and management services for most capital improvements undertaken by the state. Provide energy management technical support and guidance on the state's energy policy.
- Collaborate with OPM Assets Management in the sale of surplus property.
- Maintain an inventory of state land and buildings, including space utilization information.
- Establish and maintain security standards for most facilities, housing, offices and equipment of the state.

*The Department of Public Works is recommended for consolidation with the Department of Administrative Services and the Department of Construction Services in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### *American Recovery and Reinvestment Act (ARRA)*

The department has been implementing projects under the \$5 million United States Department of Energy American Recovery and Reinvestment Act State Facilities Energy Conservation Grant. DPW has completed a majority of the programmed projects and has several more under construction or development. These energy conservation projects are projected to save state facilities over \$600,000 annually. DPW plans to complete this program in early 2011.

state-owned and four private facilities in the vicinity of the Capitol, with hot and chilled water for space heating and cooling. During the fiscal year, DPW has put in place an aggressive maintenance program and has completed several significant improvement projects; including the replacement of the existing 30 year-old control system with a state-of-the-art computer-based system. This system will allow the operators, as well as DPW management staff, real-time access to the system operation information. The state has realized significant cost savings from its operation of the system for the fiscal year.

### *Capitol Area District Heating and Cooling System*

The department has been operating the Capitol Area Energy System (CAS) since June 2009. The system currently serves ten

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
Fund Equipment Through CEPF	-59,400	-125,000
Remove or Limit Inflation	-1,182,406	-2,430,497
Remove Funding for the Soldiers, Sailors and Marines Fund Office Lease	-20,000	-22,500

### **Reallocations or Transfers**

Restructure State Government	-4,248,733	-4,117,909
<i>Transfer funding to establish the Department of Construction Services.</i>		
Restructure State Government	-54,554,762	-54,649,731

*Transfer management and planning, facilities management, leasing and property transfer functions to the Department of Administrative Services.*

### **New or Expanded Services**

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>	<b><u>2013-2014</u></b>
Provide Funding for Facilities Design Bond Staff	500,000	500,000	500,000
<i>Funding will provide flexibility where a direct bond authorization is no longer available to fund a position.</i>			

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	129	-129	0	0	0
Bond Funds	60	-60	0	0	0
Private Funds	1	-1	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	6,701,865	7,769,182	0	7,473,447	0
Other Expenses	29,081,370	28,735,132	0	29,779,789	0
<u>Capital Outlay</u>					
Equipment	1	59,400	0	125,000	0
<u>Other Current Expenses</u>					
Management Services	5,536,508	5,234,090	0	5,376,808	0
Rents and Moving	14,560,641	12,387,289	0	12,746,500	0
Capitol Day Care Center	127,250	131,357	0	135,914	0
Facilities Design Expenses	5,094,945	5,248,851	0	5,208,179	0
TOTAL - Other Current Expenses	25,319,344	23,001,587	0	23,467,401	0
TOTAL - General Fund	61,102,580	59,565,301	0	60,845,637	0
<u>Additional Funds Available</u>					
Bond Funds	5,530,221	5,980,800	0	5,758,984	0
Private Funds	4,549,111	4,552,265	0	4,549,111	0
TOTAL - All Funds Net	71,181,912	70,098,366	0	71,153,732	0

# DEPARTMENT OF CONSTRUCTION SERVICES

## AGENCY PURPOSE

- Provide construction planning and management services for most capital improvements undertaken by the state.
- Develops, publishes and administers a broad range of building and fire codes, standards and regulations intended to protect the public from natural or technological failure or disaster.
- Reviews applications and plans for school construction projects.
- Processes payments for school construction projects.

*The Department of Construction Services is formed through the consolidation of the Department of Public Works facilities design unit, the Department of Education school construction grant unit and the Department of Public Safety building and fire safety unit in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reallocations or Transfers

Restructure State Government

<u>2011-2012</u>	<u>2012-2013</u>
9,444,980	9,215,667

*Reallocate school facilities bureau from the Department of Education; transfer fire and building safety services from Department of Public Safety and transfer facilities design staff to establish a new centralized construction services agency.*

## AGENCY SUMMARY

### Personnel Summary

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	85	85	0	85
Bond Funds	0	55	55	0	55
Federal Contributions	0	8	8	0	8

### Financial Summary

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	0	0	6,789,162	0	6,568,535
Other Expenses	0	0	2,655,818	0	2,647,132
TOTAL - General Fund	0	0	9,444,980	0	9,215,667
<u>Additional Funds Available</u>					
Bond Funds	0	0	5,980,800	0	5,980,800
Private Funds	0	0	4,488,991	0	4,491,338
TOTAL - All Funds Net	0	0	19,914,771	0	19,687,805



# ATTORNEY GENERAL

## AGENCY PURPOSE

<http://www.ct.gov/ag>

The Attorney General is the chief legal officer of the State of Connecticut. The Office of the Attorney General serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts

within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	0	-99,999
Remove or Limit Inflation	-26,050	-59,372

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	288	0	288	0	288
Second Injury & Compensation Assurance	14	0	14	0	14
<u>Other Positions Equated to Fulltime</u>					
General Fund	15	0	15	0	15
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	28,116,892	29,740,544	29,740,544	28,623,386	28,623,386
Other Expenses	1,019,272	1,043,322	1,017,272	1,074,644	1,015,272
<u>Capital Outlay</u>					
Equipment	1	1	1	100,000	1
TOTAL - General Fund	29,136,165	30,783,867	30,757,817	29,798,030	29,638,659
<u>Additional Funds Available</u>					
Federal and Other Activities	80,000	80,000	80,000	80,000	80,000
Second Injury & Compensation Assurance	1,850,000	1,850,000	1,850,000	1,850,000	1,850,000
Private Funds	275,000	290,000	290,000	300,000	300,000
TOTAL - All Funds Net	31,341,165	33,003,867	32,977,817	32,028,030	31,868,659





## DIVISION OF CRIMINAL JUSTICE

### AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the division's mission is to contribute to the due process of criminal law

and to achieve justice. Article 23, enacted by the voters in 1984, established the division as an Executive Branch agency and transferred it from the Judicial Branch.

### RECENT HIGHLIGHTS

#### *Domestic Violence Initiatives*

The division has received federal STOP Violence Against Women Act (VAWA) funds for its Domestic and Sexual Violence Investigation Kits program in which police officers in the field are provided with the training and resources necessary to completely and accurately document a domestic or sexual violence crime, leading to increased abuser accountability and victim safety. The division received Recovery Act VAWA funding to continue support for five dedicated domestic violence prosecutor positions.

#### *Operational Reorganization*

A new organizational structure has been implemented in the Office of the Chief State's Attorney which focuses on program areas and will enable the division to draw upon the broad range of experience of prosecutors and inspectors. Division personnel will have enhanced opportunities to develop and utilize their skills in several disciplines.

Under the reorganization, there are two bureaus: the Financial Crimes Bureau which will be responsible for public integrity matters, elder abuse, environmental and other financial crimes, while the Violent Crimes Bureau's jurisdiction includes the Leroy Brown, Jr., and Karen Clarke Witness Protection Program, cold cases, organized crime, domestic violence, narcotics and other violent crimes.

#### *Information Technology*

Utilizing four separate federal grants the division is upgrading its information technology infrastructure as well as designing and implementing an internal case management system that will minimize case processing time, eliminate redundant data, and streamline reporting. This will mark the first time in its history that the agency possesses an electronic database of case activity. In the further pursuit of optimizing the accuracy of data collection and reporting, the division commenced its federally-funded Audit of Law Enforcement Data Baseline to Criminal History Repository Records project.

### RECOMMENDED SIGNIFICANT CHANGES

#### **Reductions to Current Services**

	<b>2011-2012</b>	<b>2012-2013</b>
Fund Equipment Through CEPF	-346,900	-325,350
Remove or Limit Inflation - General Fund	-106,889	-229,280
Remove or Limit Inflation - Workers' Compensation Fund	-864	-1,589
Remove Funding for Vacant Positions	-450,000	-450,000
<i>Funding is removed for 5 vacancies.</i>		
Transfer Vehicles to Fleet Services - Workers' Compensation Fund	0	-26,999
<i>Funding is removed for purchases; the agency will utilize state fleet vehicles through the Department of Administrative Services.</i>		
Achieve Various Efficiencies	-175,185	-268,737
<i>Funding is removed in the Other Expenses, Witness Protection, Training and Education, and Expert Witnesses accounts.</i>		

### AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	498	-5	493	0	493
Workers' Compensation Fund	4	0	4	0	4
Federal Contributions	8	-7	1	-1	0
Private Funds	3	0	3	0	3

2011-2012      2011-2012      2012-2013      2012-2013

**Financial Summary**

	2010-2011 Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	45,663,917	49,226,668	48,776,668	47,730,107	47,280,107
Other Expenses	2,836,301	2,328,421	2,100,000	2,510,544	2,100,000
<u>Capital Outlay</u>					
Equipment	1	346,901	1	325,351	1
<u>Other Current Expenses</u>					
Witness Protection	338,247	246,456	220,000	265,398	220,000
Training and Education	153,941	75,000	70,000	75,000	70,000
Expert Witnesses	198,643	400,000	380,000	412,400	380,000
Medicaid Fraud Control	767,282	779,441	777,259	736,197	731,557
Criminal Justice Commission	617	415	400	450	415
TOTAL - Other Current Expenses	1,458,730	1,501,312	1,447,659	1,489,445	1,401,972
TOTAL - General Fund	49,958,949	53,403,302	52,324,328	52,055,447	50,782,080
Personal Services	349,182	416,894	416,894	407,580	407,580
Other Expenses	21,653	22,517	21,653	23,242	30,653
<u>Capital Outlay</u>					
Equipment	1	1	1	36,000	1
<u>Other Current Expenses</u>					
Fringe Benefits	212,051	279,320	279,320	281,230	281,230
TOTAL - Workers' Compensation Fund	582,887	718,732	717,868	748,052	719,464
<u>Additional Funds Available</u>					
Federal and Other Activities	1,474,463	217,189	217,189	217,189	217,189
Private Funds	390,914	268,816	268,816	260,440	260,440
TOTAL - All Funds Net	52,407,213	54,608,039	53,528,201	53,281,128	51,979,173



# DEPARTMENT OF PUBLIC SAFETY

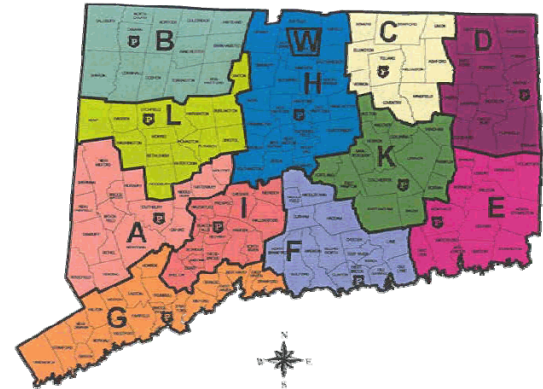
## AGENCY PURPOSE

<http://www.ct.gov/dps/site/default.asp>

To protect and improve the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

In striving to accomplish its mission, the agency embraces core values summarized by the acronym **P.R.I.D.E.:**

- **PROFESSIONALISM** through an elite and diverse team of trained men and women.
- **RESPECT** for ourselves and others through our words and actions.
- **INTEGRITY** through adherence to standards and values that foster public trust.
- **DEDICATION** to service.
- **EQUALITY** through fair and unprejudiced application of the law.



***The Department of Emergency Management and Homeland Security and the Board of Firearm Permit Examiners are recommended for consolidation with the Department of Public Safety in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

### DIVISION OF STATE POLICE

#### *Sex Offender Registry*

The department's new and improved Sex Offender Registry System provides better monitoring of the State's sex offender population and allows residents to track registered sex offenders in their area. The web-based management tool improved collection of data required by the National Sex Offender Registry and makes it available to all state agencies responsible for the registration of sex offenders. By using this application to track sex offenders, there will be little to no delay in new or updated information for both public and law enforcement access. Additionally, the new registry allows for public access to the system. Users will be able to log on to a community web portal to search for specific offenders, register to be notified by e-mail when an offender moves into their area, and use a mapping tool to view offenders residing within a specified radius of a given address.

#### *New Trooper Class*

On May 10, 2010, a class of 75 recruits commenced training at the Connecticut State Police Academy. Those trooper trainees successfully completed the training curriculum and graduated in November 2010.

### DIVISION OF SCIENTIFIC SERVICES

In April 2010, the Forensic Laboratory, Phase III construction project was completed and the Toxicology and Controlled Substance Laboratory located in Hartford, transferred to Meriden. The Phase III construction project provided the centralization of all forensic services for the State of Connecticut at the Mulcahy Complex in Meriden. Currently, the Division of Scientific Services is preparing for the ASCLAD/ISO accreditation process to ensure the division's three laboratories meet national standards in the Spring of 2011.

### DIVISION OF FIRE, EMERGENCY AND BUILDING SERVICES

#### *Office of Statewide Emergency Telecommunications*

The Office of Statewide Emergency Telecommunications is working on two linked projects. The first is the Public Safety Data Network (PSDN), a high speed fiber optic network connecting all Public Safety Answering Points (PSAPs) in the State. The PSDN, due to be completed during the first quarter of 2011, will support 9-1-1 service and the COLLECT system. The second project is to replace the existing 9-1-1 system which is becoming increasingly difficult to maintain due to its age. Next Generation 9-1-1 (NG 9-1-1) provides voice emergency communications, as well as new services including text messages, photos and video. NG 9-1-1 is scheduled for completion in May, 2012.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>2011-2012</b>	<b>2012-2013</b>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-3,016,045	-1,541,650
• Remove or Limit Inflation	-1,366,479	-2,642,552
• Staff to Agreed Upon Funding Levels for Security at Bradley Airport and Casinos <i>The agency currently runs shortfalls for operations at Bradley and the casinos due to discrepancies between funding levels approved and the costs for staff being provided. The department shall provide staff only to the level of funding provided. Savings will result from a decrease in overtime expenditures.</i>	-500,000	-500,000
• Seek 100% Reimbursement for Overtime for Resident Trooper Program	-840,000	-840,000
• Consolidate Troop Dispatch Functions, Dispatch for State Agencies and Alarm Monitoring	0	-613,708
• Eliminate Usage of Building <i>Currently the casino unit is located in a state facility in Old Saybrook which serves the two casino investigative units. By co-locating the casino unit and Eastern District Headquarters, the Old Saybrook building will no longer be needed.</i>	-11,600	-11,600
• Restructure State Government <i>The Department of Emergency Management and Homeland Security is consolidated into the Department of Public Safety.</i>	3,301,511	3,228,519
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies	102,992	99,178
• Consolidate Weigh Station Operations into the Department of Motor Vehicles	-881,804	-881,804
• Reallocate Funds to Add an Automotive Mechanic in Western District and Effectuate Savings	-148,717	-148,681
• Reallocate Fire and Building Safety Services to the Department of Construction Services	-4,431,895	-4,272,195
• Transfer Amusement Park Ride Inspections and Licensing to the Department of Consumer Protection	-98,130	-94,496

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	1,651	-36	1,615	-10	1,605
Federal Contributions	14	24	38	0	38
Private Funds	65	-1	64	0	64
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	122,277,191	132,940,483	129,543,682	128,756,939	124,946,832
Other Expenses	34,011,853	29,017,885	27,912,647	29,972,029	27,788,793
<u>Capital Outlay</u>					
Equipment	1	3,016,046	1	1,541,651	1
<u>Other Current Expenses</u>					
Stress Reduction	23,354	23,354	23,354	23,354	23,354
Fleet Purchase	9,285,596	7,211,486	7,035,596	7,435,042	7,035,596
Workers' Compensation Claims	5,138,787	5,431,520	5,236,550	5,420,559	5,138,787
COLLECT	48,925	50,148	48,925	51,703	48,925
TOTAL - Other Current Expenses	14,496,662	12,716,508	12,344,425	12,930,658	12,246,662
TOTAL - General Fund	170,785,707	177,690,922	169,800,755	173,201,277	164,982,288
<u>Additional Funds Available</u>					
Federal and Other Activities	7,081,689	1,514,103	43,986,293	1,235,103	20,971,094
Private Funds	32,322,106	32,733,790	35,837,056	33,157,142	36,277,271
TOTAL - All Funds Net	210,189,502	211,938,815	249,624,104	207,593,522	222,230,653



# POLICE OFFICER STANDARDS & TRAINING COUNCIL

## AGENCY PURPOSE

<http://www.post.state.ct.us/>

The Police Officer Standards and Training Council (POST) is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the State of Connecticut. POST:

- Is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- Is responsible for all of the certified police training activities at the Connecticut Police Academy.
- Develops and revises a comprehensive police training and education plan, which includes approving the operations of

police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements.

- Offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years.
- May revoke the certification of police officers under certain statutory conditions.
- Confers State accreditation on law enforcement units who comply with 327 individual standards over a three tier system.

***The Police Officer Standards and Training Council is recommended for consolidation into the new Department of Emergency Responder Training in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

### *Municipal Police Agency Accreditation Program*

POST has completed the sixth year of Municipal Police Agency Accreditation Program established by statute and has exceeded its goals having conferred accreditation upon 28 agencies with 47 more agencies in the process. During the year, seven agencies were awarded reaccreditation.

### *Tactical Training Village*

POST, in conjunction with the Department of Public Safety and H. C. Wilcox Technical High School in Meriden, has completed construction of a sixth building in the tactical training village on the Connecticut Police Academy grounds.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Fund Equipment Through CEPF
- Remove or Limit Inflation
- Charge Municipalities for Police Officer Training

**2011-2012      2012-2013**

-53,149      -111,784  
 -25,313      -53,325  
 -956,250      -1,275,000

*There will be a \$2,500 charge per police officer trained in the basic training class. Additionally, there will be \$100 fee for each individual taking in-service training classes. These revenues will offset expenses in the amounts shown.*

### **Reallocations or Transfers**

- Restructure State Government  
*The Police Officer Standards and Training Council is consolidated into the new Department of Emergency Responder Training.*

-1,630,678      -1,259,191

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	22	-22	0	0	0

<b><i>Financial Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,698,227	1,804,947	0	1,754,509	0
Other Expenses	992,352	807,293	0	833,006	0
<u><i>Capital Outlay</i></u>					
Equipment	1	53,150	0	111,785	0
TOTAL - General Fund	2,690,580	2,665,390	0	2,699,300	0
<u><i>Additional Funds Available</i></u>					
Federal and Other Activities	17,084	17,084	0	17,084	0
Private Funds	5,695	5,695	0	5,695	0
TOTAL - All Funds Net	2,713,359	2,688,169	0	2,722,079	0



# BOARD OF FIREARM PERMIT EXAMINERS

## AGENCY PURPOSE

The Board of Firearms Permit Examiners was established under C.G.S. 29-32b to provide a means of appeal to any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of Sections 29-28 or 29-36f, by any limitation or revocation of a pistol permit or certificate issued under any of said

[www.ct.gov/bfpe](http://www.ct.gov/bfpe)

sections, or by a refusal or failure of any issuing authority to furnish a pistol permit application as provided in Section 29-28a through administrative hearings. Administrative hearings are held by a seven member board of volunteers, thereby reducing court caseloads saving court costs for both the state and the affected citizens.

*The Board of Firearm Permit Examiners is recommended for consolidation with the Department of Public Safety in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Volunteer board members agreed to meet an additional twelve meetings per year for a total of twenty-four meetings resulting in a one year reduction in the wait time for administrative hearings.
- A declaratory ruling was issued defining the permit application process and a definition of suitable behavior in accordance with Connecticut law. The ruling was sent to local and state law enforcement agencies and is available to the public on the board's website. Board members have met to update the "Board Policies and Procedures".
- In FY 2010, the board received 314 new appeals and resolved 418 appeals.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation

	<u>2011-2012</u>	<u>2012-2013</u>
	-224	-509

### Reallocations or Transfers

- Restructure State Government

	-83,779	-81,086
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*The Board of Firearm Permit Examiners is consolidated into the Department of Public Safety.*

## AGENCY SUMMARY

### Personnel Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	-1	0	0	0

### Financial Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	73,536	74,807	0	72,114	0
Other Expenses	8,971	9,195	0	9,480	0
<u>Capital Outlay</u>					
Equipment	1	1	0	1	0
TOTAL - General Fund	82,508	84,003	0	81,595	0



# DEPARTMENT OF MOTOR VEHICLES

## AGENCY PURPOSE

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and motor vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State's highways and maintain records related to motor vehicles and credential holders.

## RECENT HIGHLIGHTS

Fiscal Year 2009-10 Fast Facts	
1.03 million	Calls to Phone Center
1.5 million	Visitors to Branch Offices
3.03 million	Registered Motor Vehicles
2.58 million	Licensed Drivers
142,187	Voters Registered *
\$ 401 million	Revenue Collected by DMV

\* Motor Voter Registration cards are included in License Renewal correspondence, filled out by the customer, returned to DMV, and forwarded to the Secretary of State for processing.

- Awarded a contract for and initiated work on the Connecticut Integrated Vehicle and Licensing System (CIVLS) Modernization Project, the agency's top strategic initiative. This new system will provide updated information technology systems, streamlined processes, and expanded customer service delivery options that will improve the timeliness and responsiveness of DMV's services to the citizens of Connecticut and its business partners.
- Re-engineered DMV's aging telephone center phone system. This enhancement is expected to improve customer service and response times while also offering pay-by-phone services to customers.
- As the lead State agency responsible for administering the commercial vehicle safety inspection program as required by the Federal Motor Carrier Safety Administration and State mandates, DMV oversaw and coordinated safety inspections of nearly 31,000 trucks and busses.
- Revamped the vehicle registration process by eliminating expiration stickers at a substantial savings of State funds. Also, in October 2010, DMV pursued additional savings by eliminating additional mailings of registration documents to customers.
- Published a Request for Proposals (RFP) in collaboration with the Department of Environmental Protection for the next Vehicle Emissions Inspection provider as the current contract expires in 2012.
- Introduced a new Customer Complaint Unit to mediate complaints against motor vehicle dealers and repairers and to streamline the investigatory process of complaints requiring such.
- Implemented a new renewal process for handicapped placards to reduce their abuse.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-357,227	-797,713
• Defer the Replacement of Equipment	-234,700	-96,100
• Eliminate the Vision Screening Program <i>Funding is removed in order to eliminate the proposed Vision Screening Program.</i>	-2,209,671	-2,156,757
• Eliminate the 800 Telephone Number <i>Funding is removed in order to eliminate the DMV 800 number in FY 2013.</i>	0	-80,000
• Eliminate License and Non Driver Identification Renewal Notices <i>Funding is removed to repeal the statutory requirement that the DMV commissioner send renewal notices to customers. The notices will now be transmitted through electronic means. Savings are realized by the reduction of materials, postage, and other costs associated with sending renewal notifications.</i>	-213,320	-213,320
• Eliminate Funding for the Handicapped Driver Training Program	-130,710	-130,710
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies <i>Position count for one IT Manager is transferred back to the DMV, which currently reflects the funding for the position.</i>	0	0
• Transfer Expenses and Revenue Associated with the Boating Account to the General Fund	501,404	490,853



Budget Summary

- Consolidate Weigh Station Operations to DMV 497,546      497,546  
*Funding is provided for 7 Weight and Safety Technicians (transferred from the Department of Public Safety) in order to designate most weigh station operations, personnel, and responsibilities to the DMV. In addition to these positions, nine State Troopers will be included in the reorganization, in order to have one trooper working with each group of inspectors.*

**New or Expanded Services**

- Fund Municipal Personal Property Taxation System 2011-2012    2012-2013    2013-2014  
*Funding is added to contract for services to provide municipalities data regarding boats located in the municipality and subject to the proposed local personal property tax.* 50,000      50,000      50,000

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	3	3	0	3
Special Transportation Fund	566	6	572	0	572
Auto Emissions	51	0	51	0	51
Special Funds, Non-Appropriated	3	0	3	0	3
Federal Contributions	19	0	19	0	19
<u>Other Positions Equated to Fulltime</u>					
Special Transportation Fund	81	0	81	0	81
Auto Emissions	8	0	8	0	8

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	0	0	285,000	0	274,449
Other Expenses	0	0	216,404	0	216,404
TOTAL - General Fund	0	0	501,404	0	490,853
Personal Services	39,207,082	42,383,732	42,656,658	41,268,883	41,541,809
Other Expenses	13,115,716	13,592,558	13,172,306	14,023,490	13,092,306
<u>Capital Outlay</u>					
Equipment	609,071	834,700	600,000	696,100	600,000
<u>Other Current Expenses</u>					
Commercial Veh Info Sys & Networks Project	255,407	246,203	239,818	312,228	296,289
VISION SCREENING PROGRAM	0	2,209,671	0	2,156,757	0
TOTAL - Other Current Expenses	255,407	2,455,874	239,818	2,468,985	296,289
TOTAL - Special Transportation Fund	53,187,276	59,266,864	56,668,782	58,457,458	55,530,404
<u>Additional Funds Available</u>					
Federal and Other Activities	5,525,760	1,722,184	1,722,184	1,722,184	1,722,184
Auto Emissions	6,500,000	6,500,000	6,500,000	6,500,000	6,500,000
Special Funds, Non-Appropriated	392,050	406,263	406,263	414,639	414,639
TOTAL - All Funds Net	65,605,086	67,895,311	65,798,633	67,094,281	64,658,080

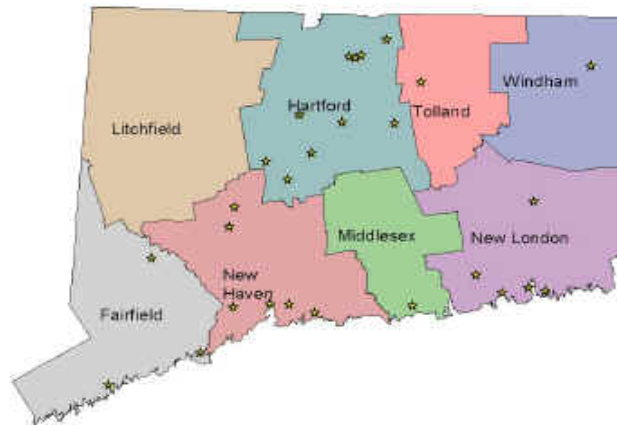


# MILITARY DEPARTMENT

## AGENCY PURPOSE

<http://www.mil.state.ct.us/>

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.



Connecticut Military Facilities

## RECENT HIGHLIGHTS

### *Troop Deployment*

- Currently there are over 700 Connecticut National Guard service members either deployed or preparing to deploy during this budget submittal cycle to the Middle East and Afghanistan in support of the Global War on Terror.
- Processed over 3,500 Combat Zone Military Bonus Payments to members of the Connecticut National Guard who have served during deployments in support of the Global War on Terror.

### *Drug Programs*

- Provided over \$1.1 million in federal support to Connecticut's drug interdiction and demand-reduction activities through the Connecticut National Guard Counter drug Program.
- Supported communities through training and community service programs, including STARBASE, Counter Drug and Drug Demand Reduction programs.

### *Construction and Maintenance Projects*

Connecticut ranks within the top ten states in Military Construction funding provided by the federal to the state government to achieve quality facilities to support the Readiness of the Connecticut National Guard (CTNG). This is quite an accomplishment for such a small state and enhances the state's military readiness, which is a necessary component of Connecticut security plans and disaster response capabilities.

### Readiness Centers

- Received preliminary approval, during the planning and programming phase, for over \$370 million worth of federal construction and consultant design funding.
- Programmed for 2013 and beyond is \$15 million in additional Base Realignment and Closure (BRAC) related construction projects at the Bradley Air National Guard Base and over \$100 million in Army Guard Military Construction.

### Completed Projects

- Completed a \$19.1 million readiness center at Camp Niantic and \$15 million of BRAC related new construction at the Bradley Air National Guard base in East Granby to support the unit's new flying mission.
- Completed \$8 million of new construction for a New England disaster training center at Windsor Locks which will provide critical training for military, police, fire and other emergency first responders from around the country.

### Minor Construction/Maintenance-Repair Program

Funded and completed 50 minor construction and maintenance repair projects valued at over \$3.2 million to improve the quality of life and mobilization capabilities for the CTNG service members.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-342,299	-375,699
• Remove or Limit Inflation	-100,258	-221,846
• Reduce Funds for Utilities and Maintenance of New Facilities <i>Reduce funds requested for utilities and maintenance to reflect anticipated start dates for new Armories/Readiness Centers coming on-line.</i>	-86,769	0
• Combine Governor's Horse Guard Units <i>Reduce funds to reflect a phase-out of costs associated with operating two separate units.</i>	-78,632	-141,501

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	46	0	46	-1	45
Federal Contributions	70	0	70	0	70
<u>Other Positions Equated to Fulltime</u>					
Federal Contributions	8	0	8	0	8
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Authorized	Services	Recommended	Services	Recommended
Personal Services	3,277,587	3,335,585	3,289,379	3,242,611	3,165,963
Other Expenses	2,728,556	3,329,020	3,109,567	3,450,608	3,163,909
<u>Capital Outlay</u>					
Equipment	1	342,300	1	375,700	1
<u>Other Current Expenses</u>					
Honor Guard	319,500	319,500	319,500	319,500	319,500
Veterans' Service Bonuses	306,000	182,500	182,500	160,000	160,000
TOTAL - Other Current Expenses	625,500	502,000	502,000	479,500	479,500
TOTAL - General Fund	6,631,644	7,508,905	6,900,947	7,548,419	6,809,373
<u>Additional Funds Available</u>					
Federal and Other Activities	56,013,842	61,719,944	61,719,944	44,153,195	44,153,195
Private Funds	274,375	285,475	285,475	287,736	287,736
TOTAL - All Funds Net	62,919,861	69,514,324	68,906,366	51,989,350	51,250,304



# COMMISSION ON FIRE PREVENTION & CONTROL

## AGENCY PURPOSE

<http://www.ct.gov/cfpc>

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of fire service personnel through training, education and recognition of professional competency through certification.
- Serve as the focal point and primary advocate within state and federal government for Connecticut's career and volunteer fire service.
- Provide technical assistance, consulting, information sharing and training resources to the fire and emergency services community.
- Raise fire and life safety awareness among the public.
- Serve as the fire service liaison at the State Emergency Operations Center (SEOC) during planned exercises and emergency activations.

*The Commission on Fire Prevention and Control is recommended for consolidation with the Department of Emergency Responder Training in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Delivered 478 training programs through the Connecticut Fire Academy which served 8,786 students resulting in 207,476 contact hours. A number of Academy programs continue to be approved for college transfer credit adding value to our students.
- Tested and certified 2,723 Connecticut fire service personnel to any of forty levels of professional competency.
- As per national standards, integrated Hazardous Materials and Weapons of Mass Destruction competencies into Firefighter I training and certification.
- In cooperation with the Connecticut State Firefighters Association Education Committee, continue to work on the Regional Fire School Capital Improvement Plan seeking to rebuild eight of the current nine Regional Fire Schools. Commenced construction and facility improvements at the New Haven Regional Fire School. Finalizing procurement of land for both the Eastern Connecticut Fire School and Valley Fire Chiefs School.
- Coordinate both the Entry-Level Training Reimbursement and Supplemental Grant programs providing fiscal support to fire departments statewide.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>2011-2012</b>	<b>2012-2013</b>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-1,052,499	-921,499
• Remove or Limit Inflation	-47,846	-103,178
• Reduce Other Expenses	-50,000	-50,000
• Eliminate the Firefighter Training Account	-375,000	-375,000
• Reduce Regional Fire Schools' Grant Accounts	-195,851	-391,524
• Eliminate the Payments to Volunteer Fire Companies Program	-105,000	-105,000
<b>Reallocations or Transfers</b>		
• Replace Contracted Custodial Services with a Custodian Position <i>One position is provided with no additional funds. Agency will evaluate the cost/benefit of bringing such services in-house or continuing with contracted services.</i>	0	0
• Restructure State Government <i>The Commission on Fire Prevention and Control is consolidated into the new Department of Emergency Responder Training.</i>	-2,978,462	-2,736,865

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b>Permanent Full-Time Positions</b>					
General Fund	16	-16	0	0	0
Private Funds	3	-3	0	0	0

Budget Summary

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	1,677,293	1,728,164	0	1,682,060	0
Other Expenses	713,102	739,744	0	767,070	0
<u>Capital Outlay</u>					
Equipment	1	1,052,500	0	921,500	0
<u>Other Current Expenses</u>					
Firefighter Training I	295,250	375,000	0	375,000	0
<u>Pmts to Other than Local Govts</u>					
Fire Training School - Willimantic	161,798	165,847	0	170,988	0
Fire Training School - Torrington	81,367	83,401	0	85,986	0
Fire Training School - New Haven	48,364	49,573	0	51,110	0
Fire Training School - Derby	37,139	38,067	0	39,247	0
Fire Training School - Wolcott	100,162	101,666	0	104,818	0
Fire Training School - Fairfield	70,395	72,155	0	74,392	0
Fire Training School - Hartford	169,336	173,569	0	178,950	0
Fire Training School - Middletown	59,053	60,529	0	62,405	0
Payments to Volunteer Fire Companies	105,000	107,625	0	110,961	0
Fire Training School - Stamford	55,432	56,818	0	58,579	0
TOTAL - Pmts to Other than Local Govts	888,046	909,250	0	937,436	0
TOTAL - General Fund	3,573,692	4,804,658	0	4,683,066	0
<u>Additional Funds Available</u>					
Private Funds	1,615,215	1,615,215	0	1,615,215	0
TOTAL - All Funds Net	5,188,907	6,419,873	0	6,298,281	0

# DEPARTMENT OF EMERGENCY RESPONDER TRAINING

## AGENCY PURPOSE

The Department of Emergency Responder Training (DERT) will be responsible for the certification of fire service personnel and all police officers, law enforcement instructors and police training programs throughout the State of Connecticut. DERT's responsibilities will include:

- Being the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- Certifying police training activities at the Connecticut Police Academy.
- Developing and revising a comprehensive police training and education plan, which includes approving the operations of police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements.
- Offering professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years.
- Revoking the certification of police officers under certain statutory conditions.
- Conferring state accreditation on law enforcement units who comply with 327 individual standards over a three tier system.
- Reducing death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of fire service personnel through training, education and recognition of professional competency through certification.
- Serving as the focal point and primary advocate within state and federal government for Connecticut's career and volunteer fire service.
- Providing technical assistance, consulting, information sharing and training resources to the fire and emergency services community.
- Raising fire and life safety awareness among the public.
- Serving as the fire service liaison at the State Emergency Operations Center (SEOC) during planned exercises and emergency activations.

***The Commission on Fire Prevention and Control and the Police Officer Standards and Training Council are recommended for consolidation into the new Department of Emergency Responder Training in the Governor's budget as part of his proposal to restructure state government.***

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reallocations or Transfers***

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
• Reallocate Funding for Police and Firefighters from the Comptroller - Miscellaneous Accounts	426,643	426,643
• Restructure State Government	4,496,216	3,887,314

*The Commission on Fire Prevention and Control and the Police Officer Standards and Training Council are consolidated into the new Department of Emergency Responder Training.*

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	0	38	38	0	38
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	0	0	2,758,698	0	2,478,509
Other Expenses	0	0	1,150,322	0	1,017,282
<b><u>Capital Outlay</u></b>					
Equipment	0	0	1	0	1
<b><u>Pmts to Other than Local Govts</u></b>					
Fire Training School - Willimantic	0	0	121,349	0	80,899
Maintenance of County Base Fire Radio	0	0	25,176	0	25,176
Maint of State-Wide Fire Radio Network	0	0	16,756	0	16,756

**Budget Summary**

Police Association of Connecticut	0	0	190,000	0	190,000
Connecticut State Firefighter's Assoc	0	0	194,711	0	194,711
Fire Training School - Torrington	0	0	61,025	0	40,683
Fire Training School - New Haven	0	0	36,273	0	24,182
Fire Training School - Derby	0	0	27,764	0	18,569
Fire Training School - Wolcott	0	0	75,122	0	50,081
Fire Training School - Fairfield	0	0	52,796	0	35,197
Fire Training School - Hartford	0	0	127,002	0	84,668
Fire Training School - Middletown	0	0	44,290	0	29,527
Fire Training School - Stamford	0	0	41,574	0	27,716
TOTAL - Pmts to Other than Local Govts	0	0	1,013,838	0	818,165
TOTAL - General Fund	0	0	4,922,859	0	4,313,957
<u><i>Additional Funds Available</i></u>					
Private Funds	0	0	2,571,465	0	2,890,215
TOTAL - All Funds Net	0	0	7,494,324	0	7,204,172



# DEPARTMENT OF BANKING

<http://www.ct.gov/dob>

## AGENCY PURPOSE

- Administer the state's banking and related laws.
- Ensure the safety and soundness of regulated depository institutions.
- Protect Connecticut consumers and investors through various activities including administering the Truth-in-Lending Act and other consumer credit laws.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
• Remove or Limit Inflation	-23,659	-53,593
• Remove Funding for Vacant Positions	-83,000	-84,000
• Move from Leased Space Into State Property	101,728	-138,272

*Funding is adjusted to reflect moving costs in FY 2012 as well as a reduced lease cost for state property space in both years.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	120	0	120	0	120
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	11,072,611	11,000,000	10,950,000	10,650,000	10,600,000
Other Expenses	1,885,735	1,683,972	1,279,737	1,688,612	1,014,443
<u>Capital Outlay</u>					
Equipment	21,708	127,000	127,000	37,200	37,200
<u>Other Current Expenses</u>					
Fringe Benefits	6,137,321	7,370,000	7,337,000	7,348,500	7,314,500
Indirect Overhead	1,052,326	712,782	1,195,086	734,878	1,217,182
TOTAL - Other Current Expenses	7,189,647	8,082,782	8,532,086	8,083,378	8,531,682
TOTAL - Banking Fund	20,169,701	20,893,754	20,888,823	20,459,190	20,183,325
<u>Additional Funds Available</u>					
Private Funds	7,000	6,000	6,000	6,000	6,000
TOTAL - All Funds Net	20,176,701	20,899,754	20,894,823	20,465,190	20,189,325





# INSURANCE DEPARTMENT

<http://www.ct.gov/cid>

## AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by regulating the insurance industry fairly and efficiently, in order to promote a competitive and financially sound insurance market for consumers.

The Insurance Department enforces insurance laws to ensure that consumers are treated fairly and are protected from unfair practices, and it provides assistance and information to the public and policy makers.

## RECENT HIGHLIGHTS

### *Market Conduct Unit*

Recovered \$1,949,500 in fines as a result of Market Conduct examinations, during FY 2010.

### *Fraud, Investigations & Compliance Unit*

Revoked seven individual agent licenses and leveled fines totaling in excess of \$38,500.

### *Consumer Affairs Unit*

Handled over 24,000 phone calls and provided consumer education through distribution of over 500 informational pamphlets and

booklets. As a direct result of this unit's involvement, \$2,371,749 was recovered by Connecticut consumers during FY 2010.

### *Legal Division*

Assisted department divisions in 64 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$2,136,399 in fines and penalties. The division also supported 33 insurance rate hearings and participated in two hearings under the Connecticut Insurance Holding Act regarding the merger or acquisition of control of a Connecticut domiciled insurer.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Remove or Limit Inflation

<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
-49,620	-72,373

## AGENCY SUMMARY

### **Personnel Summary**

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	141	0	141	0	141
Private Funds	1	0	1	0	1

### **Financial Summary**

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	13,460,483	13,445,665	13,445,665	12,996,951	12,996,951
Other Expenses	1,920,280	2,072,073	2,022,453	2,094,826	2,022,453
<u>Capital Outlay</u>					
Equipment	51,256	40,060	40,060	40,060	40,060
<u>Other Current Expenses</u>					
Fringe Benefits	8,029,516	8,715,295	8,715,295	8,699,254	8,699,254
Indirect Overhead	701,396	58,043	58,043	59,842	59,842
TOTAL - Other Current Expenses	8,730,912	8,773,338	8,773,338	8,759,096	8,759,096
TOTAL - Insurance Fund	24,162,931	24,331,136	24,281,516	23,890,933	23,818,560
<u>Additional Funds Available</u>					
Private Funds	385,000	410,000	410,000	415,000	415,000
TOTAL - All Funds Net	24,547,931	24,741,136	24,691,516	24,305,933	24,233,560



# OFFICE OF CONSUMER COUNSEL

## AGENCY PURPOSE

<http://www.ct.gov/occ>

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers (C.G.S. §16-2a et seq). OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. The OCC's advocacy includes the promotion of beneficial policies for ratepayers, such as the conservation of energy resources.

OCC participates actively in proceedings before the Connecticut Department of Public Utility Control (DPUC), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts. OCC works to advance ratepayer concerns in the U.S. Congress and the Connecticut General Assembly. OCC is a statutory party to all matters before the DPUC, including all contested matters and is authorized to appeal state regulatory decisions to court.

*The Office of Consumer Counsel is recommended for consolidation with the Department of Consumer Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

Over \$500 million in direct savings to Connecticut ratepayers was achieved by OCC this fiscal year as the office continued its advocacy in the four forums in which it appears: DPUC dockets, litigation, legislative advocacy and membership on state, regional and national

ratepayer-interest boards and committees. The OCC Scorecard, which tallies docket work resulting in ratepayer savings, can be accessed at: <http://www.ct.gov/occ>.

## RECOMMENDED SIGNIFICANT CHANGES

	<b>2011-2012</b>	<b>2012-2013</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-13,893	-31,149
• Reduce Funding to FY 2010 Levels	-133,453	-133,453
<i>Other Expenses funding is reduced to FY 2010 levels.</i>		
<b>Reallocations or Transfers</b>		
• Restructure State Government	-3,033,713	-2,989,134
<i>Transfer the Office of Consumer Counsel to the Department of Consumer Protection.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel and Public Utility Control Fund	14	-14	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	1,415,588	1,357,585	0	1,309,791	0
Other Expenses	529,482	543,375	0	560,631	0
<u>Capital Outlay</u>					
Equipment	9,000	5,850	0	5,600	0
<u>Other Current Expenses</u>					
Fringe Benefits	859,161	909,582	0	901,742	0
Indirect Overhead	423,906	364,667	0	375,972	0
TOTAL - Other Current Expenses	1,283,067	1,274,249	0	1,277,714	0
TOTAL - Consumer Counsel/Public Utility Fund	3,237,137	3,181,059	0	3,153,736	0



# DEPARTMENT OF PUBLIC UTILITY CONTROL

## AGENCY PURPOSE

<http://www.ct.gov/dpuc>

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable television providers' certificates are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To resolve individual consumer complaints.
- To increase consumers' knowledge of their rights.
- To counter-balance utility companies' rate increase requests with an independently prepared, presented and defended opposing case.
- To educate consumers about changes taking place in the utility industry.

*The Department of Public Utility Control is recommended for consolidation with the Department of Energy and Environmental Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Created a new Compliance Management Unit that ensures compliance with federal and state statutes, commission decisions, rules and safety standards, and takes enforcement action to correct non-compliance, as appropriate.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-42,716	-94,793
• Reduce Other Expenses and Equipment	-119,008	-111,108
<b>Reallocations or Transfers</b>		
• Restructure State Government	-21,615,788	-21,273,613
<i>Transfer the Department of Public Utility Control to the Department of Energy and Environmental Protection</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel and Public Utility Control Fund	115	-115	0	0	0
Siting Council	10	-10	0	0	0
Federal Contributions	2	-2	0	0	0
<u>Other Positions Equated to Fulltime</u>					
Federal Contributions	1	-1	0	0	0

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	11,594,389	11,354,298	0	10,993,895	0
Other Expenses	1,584,642	1,622,941	0	1,671,700	0
<u>Capital Outlay</u>					
Equipment	57,475	72,550	0	73,050	0
<u>Other Current Expenses</u>					
Fringe Benefits	6,733,781	7,607,380	0	7,585,795	0
Indirect Overhead	85,872	1,120,343	0	1,155,074	0
TOTAL - Other Current Expenses	6,819,653	8,727,723	0	8,740,869	0
TOTAL - Consumer Counsel/Public Utility Fund	20,056,159	21,777,512	0	21,479,514	0
<u>Additional Funds Available</u>					
Siting Council	2,238,373	2,342,993	0	2,463,871	0
Federal and Other Activities	1,327,989	1,341,018	0	1,370,830	0
TOTAL - All Funds Net	23,622,521	25,461,523	0	25,314,215	0



# OFFICE OF THE HEALTHCARE ADVOCATE

## AGENCY PURPOSE

<http://www.ct.gov/oha>

The Office of the Healthcare Advocate (OHA) assists health insurance consumers in making informed choices when selecting health insurance plans, in understanding their rights and responsibilities under their plan, in appealing denials of service and reimbursement,

and in accessing services. OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and makes legislative and regulatory recommendations to resolve those concerns.

*The Office of the Healthcare Advocate is recommended for consolidation with the Department of Consumer Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
• Remove or Limit Inflation	-3,406	-7,734
<b>Reallocations or Transfers</b>		
• Restructure State Government	-1,595,445	-1,578,865
<i>Transfer all operations of the Office of the Healthcare Advocate into the Department of Consumer Protection.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	10	-10	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	757,235	806,398	0	785,540	0
Other Expenses	136,373	139,779	0	144,108	0
<u>Capital Outlay</u>					
Equipment	2,280	1,400	0	700	0
<u>Other Current Expenses</u>					
Fringe Benefits	380,821	533,954	0	535,294	0
Indirect Overhead	1	117,320	0	120,957	0
TOTAL - Other Current Expenses	380,822	651,274	0	656,251	0
TOTAL - Insurance Fund	1,276,710	1,598,851	0	1,586,599	0
<u>Additional Funds Available</u>					
Private Funds	2,000	2,000	0	2,000	0
TOTAL - All Funds Net	1,278,710	1,600,851	0	1,588,599	0



# DEPARTMENT OF CONSUMER PROTECTION

<http://www.ct.gov/dcp>

## AGENCY PURPOSE

- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- To protect Connecticut's citizens from unfair or deceptive practices in the marketplace through the enforcement of consumer protection laws.
- To protect Connecticut citizens from health and safety hazards and from unsafe or unscrupulous practitioners by administering professional licensing.
- To ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers.
- To assist health insurance consumers in making informed choices when selecting health insurance plans, in understanding their rights and responsibilities under their plan, in appealing denials of service and reimbursement, and in accessing services.

*The Division of Special Revenue, Board of Accountancy, Office of Consumer Counsel, Office of the Healthcare Advocate and the Department of Public Safety amusement park ride inspections are recommended for consolidation with the Department of Consumer Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- The agency participated in 18 food recall effectiveness checks based on national recalls, including an investigation and successful resolution of Connecticut-packaged broccoli and bean sprouts that were implicated in a multi-state food-borne outbreak. The department was one of the first to identify the source of one of the largest peanut butter recalls in history. Various imported Chinese food products were tested for the presence of melamine and three melamine-tainted products were removed from sale in Connecticut. In addition, the department assisted the Food and Drug Administration in disseminating drug recall notices to all the state's prescribers, pharmacists and pharmacies.
- With the assistance of a federal grant, the department implemented a new statewide web-based application allowing physicians, pharmacists, law enforcement and regulatory officials to monitor the distribution of prescription drugs, identify patterns of abuse and allow, where necessary, enforcement action.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
• Fund Equipment Through CEPF	-59,099	-37,899
• Remove or Limit Inflation	-34,031	-71,330
• Reduce Funding for Overtime	-17,351	-17,351
• Remove Funding for Vacant Positions	-306,671	-297,946

### **Reallocations or Transfers**

• Restructure State Government	9,013,807	8,460,642
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*Transfer the Board of Accountancy, Division of Special Revenue, amusement park ride inspections from Department of Public Safety, Office of Healthcare Advocate and Office of Consumer Counsel to the Department of Consumer Protection*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	128	87	215	0	215
Insurance Fund	0	7	7	0	7
Consumer Counsel and Public Utility Control Fund	0	12	12	0	12
Federal Contributions	1	0	1	0	1
Regulation and Protection	B - 61				Department of Consumer Protection

Budget Summary

Private Funds	28	30	58	0	58
<u>Other Positions Equated to Fulltime</u>					
General Fund	2	0	2	0	2

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	9,891,509	10,449,202	14,485,729	9,985,384	13,529,634
Other Expenses	1,154,914	1,010,559	1,723,459	1,047,858	1,723,459
<u>Capital Outlay</u>					
Equipment	1	59,100	1	37,900	1
<u>Other Current Expenses</u>					
Gaming Policy Board	0	0	2,758	0	2,758
TOTAL - General Fund	11,046,424	11,518,861	16,211,947	11,071,142	15,255,852
Personal Services	0	0	575,689	0	560,643
Other Expenses	0	0	95,641	0	95,462
<u>Capital Outlay</u>					
Equipment	0	0	1,400	0	1,400
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	379,379	0	379,379
Indirect Overhead	0	0	117,320	0	117,320
TOTAL - Other Current Expenses	0	0	496,699	0	496,699
TOTAL - Insurance Fund	0	0	1,169,429	0	1,154,204
Personal Services	0	0	1,178,200	0	1,137,050
Other Expenses	0	0	396,029	0	396,029
<u>Capital Outlay</u>					
Equipment	0	0	5,850	0	5,600
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	789,394	0	782,551
Indirect Overhead	0	0	364,667	0	375,972
TOTAL - Other Current Expenses	0	0	1,154,061	0	1,158,523
TOTAL - Consumer Counsel/Public Utility Fund	0	0	2,734,140	0	2,697,202
<u>Additional Funds Available</u>					
Federal and Other Activities	532,407	510,429	510,429	497,000	497,000
Bond Funds	0	0	3,115,066	0	3,115,066
Private Funds	3,820,971	3,972,120	8,993,766	4,161,236	9,182,882
TOTAL - All Funds Net	15,399,802	16,001,410	32,734,777	15,729,378	31,902,206



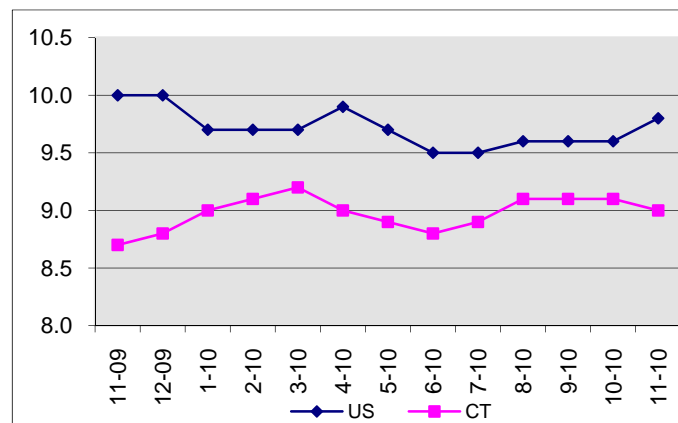
# DEPARTMENT OF LABOR

## AGENCY PURPOSE

[www.ctdol.state.ct.us](http://www.ctdol.state.ct.us)

The mission of the Connecticut Department of Labor (CTDOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy. The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the local and regional job fairs and employer recruitments at CTWorks offices); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends. The services provided by CTDOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. The following chart reflects the non-farm unemployment rates for Connecticut and the U.S. for November 2009 through November 2010.

**2009 – 2010 UNEMPLOYMENT RATE  
CONNECTICUT AND U.S.**  
Seasonally Adjusted



## RECENT HIGHLIGHTS

### *Connecticut's Reemployment Portal Wins Nationwide Contest Reemployment*

A new website, Connecticut's Reemployment Portal, has recently earned the CTDOL honors in the Electronic Tools category of a Workforce Information Council-sponsored contest. The national organization that oversees the development of labor market information practices for LMI-related agencies recently awarded a "state winner" designation to the new portal, which was designed by employees in the Office of Research.

Connecticut's Reemployment Portal provides users with the ability to look for available jobs in their chosen occupation, or to search for employers who might hire individuals with their skills. The site also helps expand a jobseeker's search by identifying other occupations with similar characteristics to the position they are seeking, based on that individual's common knowledge areas, tools, technology, and general work activities. In addition, visitors will find the wage rates, long-term outlooks for employment opportunities in each occupation, and other comprehensive resources which help users explore careers and find education and training in additional ways.

Connecticut's Reemployment Portal is linked to the agency's Labor Market Information (LMI) web site, and can be found under "Other Products" or it can be accessed directly by using the following address: [www1.ctdol.state.ct.us/Ctrep](http://www1.ctdol.state.ct.us/Ctrep).

### *Direct Deposit/Debit Card Program*

As part of the UI Modernization efforts currently underway, the Labor Department is working on a new service that will allow customers collecting unemployment insurance to have their benefits paid through direct deposit or a debit card. This service, called

DirectBenefits, will improve the unemployment benefits program at the agency. Benefits of this paperless new payment method include greater security, no more worry of lost or stolen checks, no check cashing fees, and significant savings in terms of the printing and mailing of UI checks.

### *Connecticut's Early Warning Demonstration Program Layoff Aversion through Incumbent Worker Training*

In today's economy, Connecticut companies are competing with businesses on a global level. As a result, employers may be forced to streamline or reinvent their operations to remain competitive. When these workplace changes take place, employees in these companies may find themselves disadvantaged because of outdated skills and facing possible dislocation.

To stay ahead of the curve in layoff prevention, the CTDOL has been awarded grant funds from the U.S. Department of Labor to administer an Early Warning Demonstration Program which is administered in partnership with the local Workforce Investment Boards. The project targets manufacturing companies in Connecticut experiencing critical workforce training needs that, without the intervention of assistance, might otherwise be forced to layoff workers.

The goal of this program is layoff aversion through Incumbent Worker Training made possible through a matching grant to the employer. Workers at the company whose skill sets are lacking are provided with hard skills training resulting in new or increased skills, job retention, and enhanced upward mobility. Skilled workers allow businesses to potentially expand their market base, diversify, and remain competitive.



## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-70,999	-65,999
• Remove or Limit Inflation	-598,249	-1,355,429
• Reduce Funding for Certain Programs by 15%	-459,737	-455,073
<i>Reduce funding for Incumbent Worker Training, 21st Century Jobs, Apprenticeship Program, STRIDE, STRIVE and Occupational Industrial Centers by 15%.</i>		
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies	115,358	111,085
• Consolidate State Job Training Programs	-2,605,179	-2,578,749
<i>Transfer funding for Incumbent Worker Training, 21st Century Jobs, Apprenticeship Program, STRIDE, STRIVE and Occupational Industrial Centers into the Department of Economic and Community Development's Job Training Program.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	213	-6	207	0	207
Employment Security Admin Fund	632	0	632	0	632
Private Funds	11	0	11	0	11
<u>Other Positions Equated to Fulltime</u>					
Employment Security Admin Fund	74	-65	9	0	9
Special Funds, Non-Appropriated	1	0	1	0	1
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	8,118,243	8,475,978	8,591,336	8,140,276	8,251,361
Other Expenses	731,750	1,031,172	1,004,323	1,069,088	1,004,323
<u>Capital Outlay</u>					
Equipment	1	71,000	1	66,000	1
<u>Other Current Expenses</u>					
Workforce Investment Act	28,619,579	28,619,579	28,619,579	28,619,579	28,619,579
Connecticut's Youth Employment Program	3,500,000	3,587,500	3,500,000	3,698,713	3,500,000
Jobs First Employment Services	17,557,963	18,124,783	17,741,841	18,527,132	17,657,471
Opportunity Industrial Centers	500,000	512,500	0	528,388	0
Individual Development Accounts	95,000	97,375	95,000	100,394	95,000
STRIDE	770,000	789,250	0	813,717	0
Apprenticeship Program	500,000	621,686	0	596,787	0
Connecticut Career Resource Network	150,363	165,386	164,883	159,022	157,880
21st Century Jobs	450,000	463,601	0	470,588	0
Incumbent Worker Training	450,000	461,250	0	475,549	0
STRIVE	270,000	276,750	0	285,329	0
TOTAL - Other Current Expenses	52,862,905	53,719,660	50,121,303	54,275,198	50,029,930
TOTAL - General Fund	61,712,899	63,297,810	59,716,963	63,550,562	59,285,615
<u>Other Current Expenses</u>					
Customized Services	500,000	512,500	500,000	528,388	500,000
TOTAL - Banking Fund	500,000	512,500	500,000	528,388	500,000
<u>Other Current Expenses</u>					
Occupational Health Clinics	674,587	710,055	684,596	733,561	682,731
TOTAL - Workers' Compensation Fund	674,587	710,055	684,596	733,561	682,731
<u>Additional Funds Available</u>					
Employment Security Admin Fund	124,536,716	128,900,760	128,900,760	132,238,686	132,238,686

Budget Summary

Federal and Other Activities	12,710,693	15,000	15,000	18,000	18,000
Special Funds, Non-Appropriated	182,022	185,323	185,323	187,503	187,503
Bond Funds	505,090	300,000	300,000	300,000	300,000
Private Funds	1,176,127	1,277,951	1,277,951	1,276,896	1,276,896
TOTAL - All Funds Net	<u>201,998,134</u>	<u>195,199,399</u>	<u>191,580,593</u>	<u>198,833,596</u>	<u>194,489,431</u>



# OFFICE OF THE VICTIM ADVOCATE

<http://www.ova.state.ct.us/>

## AGENCY PURPOSE

The Office of the Victim Advocate:

- Monitors and evaluates the provision of services to crime victims and the enforcement of victims' rights in Connecticut.
- Receives complaints from crime victims regarding the violation of rights and provision of services, and may investigate such complaints.
- Files a limited appearance in court proceedings, when appropriate, to advocate for victims' rights when it is alleged that such rights have been or are being violated.
- Advances policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provides oversight and advocacy when the criminal justice system fails crime victims.
- Ensures that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
• Fund Equipment Through CEPF	-1,399	-699
• Remove or Limit Inflation	-994	-2,257
• Eliminate Funding for Vacant Position	-50,585	-50,585

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	4	-1	3	0	3
<b><i>Other Positions Equated to Fulltime</i></b>					
General Fund	1	0	1	0	1
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	292,641	361,077	310,492	352,090	301,505
Other Expenses	39,752	27,094	26,100	28,357	26,100
<b><i>Capital Outlay</i></b>					
Equipment	1	1,400	1	700	1
TOTAL - General Fund	332,394	389,571	336,593	381,147	327,606



# COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

## AGENCY PURPOSE

<http://www.state.ct.us/chro>

- To enforce human rights laws that prohibit illegal discrimination in employment, housing, public accommodations and credit transactions.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in state government.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.

## RECENT HIGHLIGHTS

### *Enforcement/Compliance*

- The Legal Division is now offering a series of informational sessions at different locations around the state. These sessions are being co-sponsored by the African American Affairs Commission, Permanent Commission on the Status of Women, the Latino Affairs Commission, the Asian Pacific American Affairs Commission and the NAACP.
- A detailed review of the entire complaint process is continuing, with the aim of making it more efficient from both a time and personnel perspective.
- A documentary on Civil Rights is being developed in conjunction with the Film School at Wesleyan University.

### *Settlements and Awards*

During FY 2010, \$4.9 million in known settlements or damage awards were paid to persons filing complaints. In addition, substantial damages were paid under confidential settlements to which the Commission was not a party.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

	<u>2011-2012</u>	<u>2012-2013</u>
• Fund Equipment Through CEPP	-27,968	-22,814
• Remove or Limit Inflation	-12,449	-28,104
• Remove Funding for Vacant Positions	-35,602	-25,019

### *Reallocations or Transfers*

• Restructure State Government	-218,827	-217,472
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*Transfer the state agency affirmative action program to the Department of Administrative Services.*

## AGENCY SUMMARY

### *Personnel Summary*

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	80	-5	75	0	75

### *Financial Summary*

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	5,933,088	6,022,371	5,767,942	5,815,035	5,572,544
Other Expenses	582,133	416,174	403,891	431,618	403,891
<i>Capital Outlay</i>					
Equipment	1	27,969	1	22,815	1
<i>Other Current Expenses</i>					
Martin Luther King, Jr. Commission	6,650	6,816	6,650	7,027	6,650
TOTAL - General Fund	6,521,872	6,473,330	6,178,484	6,276,495	5,983,086
<i>Additional Funds Available</i>					
Federal and Other Activities	43,861	45,000	45,000	50,000	50,000
TOTAL - All Funds Net	6,565,733	6,518,330	6,223,484	6,326,495	6,033,086



# OFFICE OF PROTECTION AND ADVOCACY FOR PERSONS WITH DISABILITIES

## AGENCY PURPOSE

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

## RECENT HIGHLIGHTS

- Responded to requests for assistance from 9,909 individuals with disabilities, their family members, and interested parties.
- Received 1,126 allegations of suspected abuse or neglect of persons with mental retardation, resulting in 1,112 cases. OPA staff investigated or monitored 928 of those cases, including 12 cases where abuse or neglect was suspected of contributing to clients' deaths.
- Continued monitoring of consent decree settling major housing discrimination case.
- Supported disability focused community advocacy and coalition building through contractors who provided intensive special education training for parents of children with disabilities in Norwalk, Danbury, Willimantic, Bridgeport, Hartford, Greenwich and New London.
- Issued major investigation report on failing, segregated programming for students with emotional and behavioral disabilities in the Hartford Schools.
- Educated state and local emergency management professionals about emergency preparedness issues affecting persons with disabilities through participation in meetings with the Department of Emergency Management and the Red Cross and training emergency planners and people with disabilities through a series of workshops.
- Improved monitoring of protective service plans for adults with mental retardation who have been abused or neglected.
- Monitored conditions affecting prisoners with psychiatric disabilities.
- Supported and led operations of the Fatality Review

Board for Persons with Disabilities, which reviewed circumstances surrounding the deaths of approximately 230 DDS clients, identifying systemic health care issues and making recommendations to improve service delivery for persons with developmental disabilities in Connecticut.

- Continued to advocate for the rights of persons with mental illness who are warehoused in nursing facilities rather than supported in community settings.
- Educated youth with psychiatric diagnosis in Riverview Hospital about disability rights and resources and self-advocacy strategies.
- Educated policymakers concerning need to strengthen criminal code to protect victims of sexual assault who have communications disabilities; issues involving availability of Personal Care Services and sign language interpreters; and the need for a program within the Department of Developmental Services that would address needs of people at risk of incarceration.
- Received and investigated reports of serious restraint-related injuries from public agencies pursuant to PA 99-210, *An Act Concerning the Physical Restraint of Persons with Disabilities*.
- Addressed complaints from individuals who are deaf or hard of hearing involving treatment in prisons, hospitals and nursing facilities resulting in improved access to assistive technology devices and sign language interpreters.
- Translated and posted Spanish language version of OPA's accessible internet site ([www.ct.gov/opapd](http://www.ct.gov/opapd)) as a source of current, comprehensive, information on disability rights and resources.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Fund Equipment Through CEPF
- Remove or Limit Inflation
- Reduce Positions Not Deemed Critical to Core Mission

**2011-2012      2012-2013**

-16,399      -12,899  
-9,013      -17,716  
-276,429      -276,429

*Four positions and related funding are removed for activities not critical to the agency's core mission.*

- Reduce Funding for Legal Contracts to FY 2010 Levels

-38,403      -38,403

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Personnel Summary</b>	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	33	-4	29	0	29
Federal Contributions	14	0	14	0	14
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	2,314,274	2,595,321	2,335,596	2,496,933	2,237,208
Other Expenses	353,174	280,158	216,038	288,861	216,038
<u>Capital Outlay</u>					
Equipment	1	16,400	1	12,900	1
TOTAL - General Fund	2,667,449	2,891,879	2,551,635	2,798,694	2,453,247
<u>Additional Funds Available</u>					
Federal and Other Activities	1,536,329	1,571,646	1,571,646	1,607,816	1,607,816
TOTAL - All Funds Net	4,203,778	4,463,525	4,123,281	4,406,510	4,061,063



# OFFICE OF THE CHILD ADVOCATE

## AGENCY PURPOSE

The Office of the Child Advocate (OCA) is an independent state agency established in 1995 to protect the civil, legal and special rights of the children of Connecticut, and to advance policies throughout the state that promote their well-being and best interests. State law provides the OCA with access to any and all

records pertaining to services or care provided to a child that may be necessary to intervene on behalf of that child.

Statutory responsibilities include evaluating the procedures for and the delivery of services to children by state agencies or other entities that receive public funding.

## RECENT HIGHLIGHTS

### *Child Fatality Review*

In FY2010, the Child Fatality Review Panel (CFRP) reviewed 132 unexplained or untimely child fatalities and fetal and infant deaths, which were reported to the Child Advocate and reviewed by OCA staff. OCA released "the Excerpted Special Public Report, Findings and Recommendation on the Michael B. Fatality Review" in May, 2010.

### *Citizen Response*

In FY2010, OCA documented approximately 1,700 requests for assistance regarding specific children.

### *Services Investigations*

In July 2010 the Child Advocate and the Attorney General released a joint report "Protecting Our Children: Improving Protections for Children when Allegations are Made that School System Personnel Abused and/or Neglected Children". The report culminates an investigation as to how state and local systems respond to allegations that school employees have abused and/or neglected students.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Remove or Limit Inflation
- Streamline Activities of the Office of the Child Advocate

**2011-2012**      **2012-2013**

-2,758              -6,261

-550,557          -536,830

*In an effort to reduce state government to provide only essential services, resources of the Child Advocate are reduced, leaving two positions to provide advocacy services for children.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	9	-7	2	0	2
<b><u>Financial Summary</u></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	636,169	656,100	148,100	637,337	143,064
Other Expenses	110,320	56,528	11,213	60,031	11,213
<b><u>Capital Outlay</u></b>					
Equipment	1	1	1	1	1
<b><u>Other Current Expenses</u></b>					
Child Fatality Review Panel	95,010	98,335	98,335	95,010	95,010
TOTAL - General Fund	841,500	810,964	257,649	792,379	249,288



# WORKERS' COMPENSATION COMMISSION

## AGENCY PURPOSE

<http://wcc.state.ct.us>

- To adjudicate and resolve disputes arising from the workers' compensation process.
- To administer the workers' compensation laws of the State of Connecticut.
- To promote safety in the workplace.
- To retrain permanently injured employees to enable them to return to the workforce.
- To educate employees and employers on their rights and responsibilities under the Workers' Compensation Act.
- To review and approve applications for managed care plans.
- To certify self-insurance applications.

## RECENT HIGHLIGHTS

### *Payor and Medical Provider Guidelines to Improve the Coordination of Medical Service - Effective July 1, 2010*

In an effort to provide medical care providers and bill payors with the necessary tools to insure they provide timely medical treatment to injured workers, the Workers' Compensation Commission's Payor-Provider Task Force successfully developed guidelines to promote cooperation and communication among the parties to improve the delivery of medical services under the Workers' Compensation Act.

Over the course of the past year and a half, considerable time and energy was invested by, and input and comments were received from, stakeholders across the Connecticut workers' compensation system.

This document establishes the guidelines that the Workers' Compensation Commissioners will utilize in resolving issues that impede providing timely medical treatment to injured workers. The guidelines were effective on July 1, 2010.

The Chairman's Memorandum No. 2010-2 and the Guidelines are downloadable from the Commission's website at <http://wcc.state.ct.us/download/acrobat/payor-provider-guidelines.pdf>.

### *Claims Reviewed*

During FY 2010, the Commission received 67,408 new injury claims. Trial Commissioners presided over 51,601 informal hearings, 10,513 preformal hearings, and 1,410 formal hearings. The Compensation Review Board ruled on 127 appeals.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Remove or Limit Inflation
- Close the Middletown District Office
- Eliminate Funding for the Rehabilitation Services Program
- Reduce Information Technology Consultant Services

*One position is added so that the agency may eliminate the contract for Information Technology consultants.*

<u>2011-2012</u>	<u>2012-2013</u>
-92,222	-215,082
-1,371,479	-1,324,495
-2,126,489	-2,110,420
-134,711	-137,545

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
Workers' Compensation Fund	122	-15	107	0	107
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	10,040,000	9,659,708	8,504,939	9,441,487	8,309,774
Other Expenses	2,558,530	2,684,186	2,193,456	2,709,708	2,164,102
<u>Capital Outlay</u>					
Equipment	87,150	34,000	17,000	15,900	15,900
<u>Other Current Expenses</u>					
Rehabilitative Services	1,275,913	1,288,707	0	1,329,541	0



Budget Summary

Fringe Benefits	5,805,640	6,472,004	5,698,309	6,516,442	5,735,760
Indirect Overhead	1,202,971	945,406	945,406	974,714	974,714
TOTAL - Other Current Expenses	<u>8,284,524</u>	<u>8,706,117</u>	<u>6,643,715</u>	<u>8,820,697</u>	<u>6,710,474</u>
TOTAL - Workers' Compensation Fund	<u>20,970,204</u>	<u>21,084,011</u>	<u>17,359,110</u>	<u>20,987,792</u>	<u>17,200,250</u>
<u>Additional Funds Available</u>					
Private Funds	97,532	97,532	97,532	97,532	97,532
TOTAL - All Funds Net	<u>21,067,736</u>	<u>21,181,543</u>	<u>17,456,642</u>	<u>21,085,324</u>	<u>17,297,782</u>



# EMERGENCY MANAGEMENT AND HOMELAND SECURITY

## AGENCY PURPOSE

[HTTP://WWW.CT.GOV/DEMHS/](http://www.ct.gov/demhs/)

The Department of Emergency Management and Homeland Security (DEMHS) is charged with developing and administering a coordinated, integrated program for comprehensive statewide Emergency Management and Homeland Security, that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut. This is done in close collaboration and partnership with all 169 municipalities and two tribal nations, with the advice of the multi-jurisdiction, multi-discipline DEMHS Emergency Management and Homeland Security Coordinating Council. DEMHS is responsible for coordinating and collaborating with state, local and federal government personnel, agencies, authorities and the private sector to ensure adequate planning, equipment, training and exercises related to emergency management and homeland security. DEMHS

consolidates, as necessary, homeland security communications and communications systems of the state, local, and private sectors, as well as the general public, and may, as appropriate, coordinate the distribution of information and security warnings to state and local governments and the general public, as well as establishing standards and protocols related to the use of intelligence information. Among other responsibilities: DEMHS operates the State Emergency Operations Center in times of crisis or emergency; manages the State's fusion center, the Connecticut Intelligence Center; is responsible for radiological preparedness; manages the State Urban Search and Rescue Team; supports training and exercises for volunteers, first responders, government personnel, private sector, and citizens; and administers homeland security and emergency management grant and disaster relief programs.

***The Department of Emergency Management and Homeland Security is recommended for consolidation with the Department of Public Safety in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

- In 2010, the Governor activated the State Emergency Operations Center for numerous incidents. Presidential major disaster declaration requests and appeals for FY 2010 will result in an estimated \$15 million in federal disaster assistance.
- In 2010, DEMHS was instrumental in the establishment and implementation of the statewide and local Emergency Notification System, to alert some or all Connecticut residents and/or first responders in the event of an emergency.
- Total grant funding and disaster assistance administered by DEMHS since 2005 is in excess of \$230 million.
- Successfully competed for, and currently administers, 12 major grant programs, which in FY 2010 totaled \$120 million.
- Using Homeland Security grant funding, the Regional Emergency Planning Teams (REPTs) have initiated a multi-year budget process that addresses identified region-wide emergency preparedness priorities, including:
  - communications interoperability across all five DEMHS regions;
  - the need to enhance firefighting, law enforcement, mass care and public health resources;
  - identification, cataloging and sharing of regional assets, i.e., resource typing;
  - local emergency management personnel's use of the Web-based Emergency Operations Center (WebEOC) computer system for regional emergency situational awareness and management; and
  - preparation of a regional emergency support plan (RESP) defining multi-functional roles and responsibilities in managing a regional event.
- In 2009-2010, DEMHS, with the Department of Public Health, coordinated the state's response to the H1N1 outbreaks.
- The DEMHS WebEOC was also used in the winter to assist homeless shelters during severe cold weather. DEMHS also worked with the 2-1-1 United Way Infoline system to assist in sheltering of the homeless during severe cold weather.
- Worked with the 2-1-1 United Way Infoline system to collect resident and business damage information to successfully support the State's appeal to the President for a major disaster declaration in connection with the March 2010 severe weather events.
- Developed guidance for municipal adoption and use of a Very High Frequency (VHF) radio telecommunications system. This system is used to communicate with each of the municipalities within Connecticut.
- Received \$13 million from the federal Public Safety Interoperable Communications Grant (PSIC), and initiatives are underway to enhance the State's current 800 MHz to include the 700 MHz frequency band, which will increase communications capabilities for first responders statewide.
- The state's Urban Search and Rescue Team (USAR) was activated for the Middletown Kleen Energy Plant explosion, as well as the Bridgeport tornado.
- Working with state, local, and federal partners, crafted a new five year Statewide Homeland Security Strategy for 2010-2015. Goals in the first DEMHS five-year strategy (for 2005-2010) are over 89 % completed.
- Collaborated with the Military Department, the Department of Public Health and the Connecticut Fire Academy on the development of the New England Disaster Training Center in Windsor Locks, Connecticut.

**RECOMMENDED SIGNIFICANT CHANGES**

	<u>2011-2012</u>	<u>2012-2013</u>
<b><i>Reductions to Current Services</i></b>		
• Fund Equipment Through CEPF	-78,744	-71,400
• Remove or Limit Inflation	-17,607	-38,083
• Reduce the Number of Vehicles	-10,498	-10,498
• Use Federal Funds for Various Other Expense Needs	-246,266	-260,197
<b><i>Reallocations or Transfers</i></b>		
• Restructure State Government	-3,631,493	-3,540,783
<i>The Department of Emergency Management and Homeland Security is consolidated into the Department of Public Safety.</i>		

**AGENCY SUMMARY**

<b><i>Personnel Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	35	-35	0	0	0
Federal Contributions	24	-24	0	0	0
Private Funds	7	-7	0	0	0
<b><i>Financial Summary</i></b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	3,164,853	3,368,088	0	3,291,309	0
Other Expenses	630,168	537,775	0	558,251	0
<b><i>Capital Outlay</i></b>					
Equipment	1	78,745	0	71,401	0
TOTAL - General Fund	3,795,022	3,984,608	0	3,920,961	0
<b><i>Additional Funds Available</i></b>					
Federal and Other Activities	68,421,921	42,472,190	0	19,735,991	0
Private Funds	6,165,959	3,558,556	0	3,558,556	0
TOTAL - All Funds Net	78,382,902	50,015,354	0	27,215,508	0



# DEPARTMENT OF AGRICULTURE

## AGENCY PURPOSE

<http://www.ct.gov/doag>

Foster agriculture by developing, promoting and regulating agriculture businesses and protecting agricultural and aquacultural resources.

Protect consumers and animal health by regulating, inspecting and enforcing animal care and animal health standards for pet shops and commercial kennels.

Protect public health and animal agriculture by enforcing livestock and poultry disease statutes and administering animal disease prevention and control programs.

Protect domestic animals by responding to and investigating animal cruelty and animal neglect complaints.

Protect public health by regulating, inspecting and enforcing food production and manufacturing standards for the shellfish, fluid milk and cheese manufacturing industries.

Preserve agricultural resources by restricting non agricultural uses and prohibiting their partitioning thus preserving the land for food and fiber production.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-76,749	-38,649
• Remove or Limit Inflation	-20,937	-44,430
• Remove Funding for Vacant Positions	-205,000	-200,000
• Remove or Limit Inflation - Regional Market Operation Fund	-8,016	-19,617

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	51	0	51	0	51
Regional Market Operation Fund	7	0	7	0	7
Federal Contributions	2	0	2	0	2
Private Funds	6	0	6	0	6
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	3,557,998	4,100,000	3,895,000	3,950,000	3,750,000
Other Expenses	680,000	735,818	716,168	743,332	700,668
<u>Capital Outlay</u>					
Equipment	1	76,750	1	38,650	1
<u>Other Current Expenses</u>					
Vibrio Bacterium Program	1	1	1	1	1
Senior Food Vouchers	300,000	404,500	404,500	404,500	404,500
TOTAL - Other Current Expenses	300,001	404,501	404,501	404,501	404,501
<u>Pmts to Other than Local Govts</u>					
WIC Pgm for Fresh Produce for Seniors	104,500	0	0	0	0
Collection of Agricultural Statistics	1,026	1,052	1,026	1,085	1,026
Tuberculosis and Brucellosis Indemnity	900	900	900	900	900
Fair Testing - Exhibits and Demonstrations	4,040	4,141	4,040	4,269	4,040
Connecticut Grown Product Promotion	10,000	10,250	10,000	10,568	10,000
WIC Coupon Program for Fresh Produce	184,090	185,000	184,090	185,000	184,090
TOTAL - Pmts to Other than Local Govts	304,556	201,343	200,056	201,822	200,056
TOTAL - General Fund	4,842,556	5,518,412	5,215,726	5,338,305	5,055,226
Personal Services	370,000	390,151	390,151	386,193	386,193
Other Expenses	271,507	279,523	271,507	292,624	273,007

Budget Summary

Capital Outlay

Equipment	1	3,500	3,500	1	1
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Other Current Expenses

Fringe Benefits	245,942	261,401	261,401	266,473	266,473
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TOTAL - Regional Market Operation Fund	<u>887,450</u>	<u>934,575</u>	<u>926,559</u>	<u>945,291</u>	<u>925,674</u>
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Additional Funds Available

Federal and Other Activities	1,338,742	1,338,742	1,338,742	1,338,742	1,338,742
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Bond Funds	6,881,172	6,881,172	6,881,172	6,881,172	6,881,172
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Private Funds	7,923,899	3,803,953	3,803,953	3,803,953	3,803,953
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TOTAL - All Funds Net	<u>21,873,819</u>	<u>18,476,854</u>	<u>18,166,152</u>	<u>18,307,463</u>	<u>18,004,767</u>
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# DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

## AGENCY PURPOSE

To ensure that:

- The state's natural resources are preserved, conserved and protected.
- Strict environmental quality standards are implemented fairly and effectively.
- All parts of society – communities, individuals, business, state and local governments – have access to accurate information sufficient to effectively participate in managing human health and environmental risks.
- The state's communities and ecosystem are diverse, sustainable and economically productive.
- Open space acquisition, urban development and ecosystem management reflect the understanding that our natural and fiscal resources are finite, and our preservation and utilization of both must be systematically managed.
- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To develop and analyze energy options and strategies.

*The Council of Environmental Quality, Department of Public Utility Control and the Office of Policy and Management's Energy Unit are recommended for consolidation with the Department of Energy and Environmental Protection formerly known as the Department of Environmental Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### Permit Reform

The department worked closely with the Governor's Office, the business community and environmental advocates on passage of legislation requiring the agency to review the process for decision making on permit applications. Under this new law, P.A. 10-158, DEP will analyze resources needed to ensure timely action on permits – without jeopardizing environmental standards.

### LEAN

The department has made a commitment to LEAN, a process designed to achieve efficiencies, better serve customers, and make more effective use of staff and resources – all while maintaining current levels of environmental protection. In the past fiscal year, DEP staff participated in 10 LEAN projects, raising to 23 the total number of projects conducted since this initiative was launched in 2008. LEAN projects are helping to make the agency more efficient

across all programs – from forestry to boating and from air quality permitting to water quality enforcement.

### eGovernment

The department is making growing use of information and communication technology to improve government services. The agency launched two on-line initiatives for the regulated community: EMIT, which allows reporting of air emissions in accordance with the federal Clean Air Act; and Discharge Monitoring Reporting on-line – netDMR, which allows reporting of water discharge monitoring data as required under the federal Clean Water Act.

### Support for Environmentally Friendly Businesses

The department launched a Green Lodging program to recognize hotels, motels and other lodging facilities that implement environmentally friendly practices.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-639,499	-473,999
• Remove or Limit Inflation	-606,413	-1,329,751
• Reduce Emergency Spill Response Account	-3,282,578	-3,282,576
• Reduce the Underground Storage Tank Account	-1,944,609	-1,933,397
<i>Reduce available funding to pay for outstanding claims in this program.</i>		
• Reduce Number of State Run Fish Hatcheries <i>Reflects closing of the Kensington hatchery.</i>	-441,847	-433,550
• Reduce Funding to FY 2010 Levels <i>Funding for Stream Gaging, Mosquito Control, Laboratory Fees, and State Superfund Site Maintenance are reduced to FY 2010 expenditure levels.</i>	-233,941	-233,940
• Eliminate Funding to the Councils, Districts, and Environmental Review Teams Land Use Assistance Pass Through Grants	-400,000	-400,000

### Reallocations or Transfers

• Reallocate the Interstate Environmental Commission to the Department of Environmental Protection	48,783	48,783
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Budget Summary

- Restructure State Government 23,171,766 22,790,105  
*Transfer the Department of Public Utility Control to establish the Bureau of Utilities Control; transfer the Office of Policy and Management energy unit to establish a Bureau of Energy Policy and Efficiency and reallocate funding from Personal Services vacancies to Other Expenses to provide funding for the Bureau of Energy Policy and Efficiency.*

**Revenues**

- Transfer Expenses and Revenue Associated with the Boating Account to the General Fund 2,650,000 2,650,000

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	698	25	723	0	723
Consumer Counsel and Public Utility Control Fund	0	125	125	0	125
Siting Council	0	10	10	0	10
Federal and Other Activities	0	3	3	0	3
Bond Funds	37	0	37	0	37
Federal Contributions	211	2	213	0	213
Restricted State Accounts	67	0	67	0	67
Private Funds	11	0	11	0	11
<u>Other Positions Equated to Fulltime</u>					
General Fund	8	0	8	0	8
Federal Contributions	1	1	2	0	2
Restricted State Accounts	1	0	1	0	1
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
Personal Services	32,343,715	33,301,058	34,945,655	32,078,501	33,677,502
Other Expenses	3,466,520	3,604,572	4,327,027	3,732,616	4,376,632
<u>Capital Outlay</u>					
Equipment	1	639,500	1	474,000	1
<u>Other Current Expenses</u>					
Stream Gaging	202,355	207,414	199,561	213,844	199,561
Mosquito Control	285,000	301,658	272,144	302,691	268,518
State Superfund Site Maintenance	352,877	361,699	241,100	372,912	241,100
Laboratory Fees	235,875	241,772	170,309	249,267	170,309
Dam Maintenance	121,443	130,424	130,164	126,605	126,016
Councils, Districts and ERTs Land Use	400,000	410,000	0	422,710	0
Emergency Spill Response Account	10,591,753	11,156,563	7,743,139	11,087,786	7,508,059
Solid Waste Management Account	2,690,808	2,876,052	2,868,088	2,799,550	2,781,459
Underground Storage Tank Account	3,156,104	3,303,410	1,303,410	3,338,903	1,279,716
Clean Air Account Fund	4,662,379	5,169,081	5,131,094	5,100,723	5,014,450
Environmental Conservation Fund	8,724,509	9,278,924	8,716,605	9,282,312	8,575,170
Environmental Quality Fees Fund	9,472,114	10,480,117	10,414,994	10,303,574	10,155,679
TOTAL - Other Current Expenses	40,895,217	43,917,114	37,190,608	43,600,877	36,320,037
<u>Pmts to Other than Local Govts</u>					
Interstate Environmental Commission	0	0	48,783	0	48,783
Agreement USGS-Hydrological Study	157,632	161,573	155,456	166,582	155,456
N E Interstate Water Pollution Comm	8,400	8,610	28,827	8,877	28,827
Northeast Interstate Forest Fire Comp	2,040	2,091	3,295	2,156	3,295
Conn River Valley Flood Control Comm	40,200	41,205	32,395	42,482	32,395
Thames River Valley Flood Control Comm	48,281	49,488	48,281	51,022	48,281
Agree USGS Quality Stream Monitoring	218,428	223,889	215,412	230,830	215,412
TOTAL - Pmts to Other than Local Govts	474,981	486,856	532,449	501,949	532,449

Pmts to Local Governments

Lobster Restoration	200,000	205,000	200,000	211,355	200,000
TOTAL - General Fund	77,380,434	82,154,100	77,195,740	80,599,298	75,106,621
Personal Services	0	0	12,277,253	0	11,886,089
Other Expenses	0	0	1,557,709	0	1,550,391

Capital Outlay

Equipment	0	0	21,850	0	26,000
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Other Current Expenses

Fringe Benefits	0	0	8,302,867	0	8,276,798
Indirect Overhead	0	0	1,120,343	0	1,155,074
TOTAL - Other Current Expenses	0	0	9,423,210	0	9,431,872
TOTAL - Consumer Counsel/Public Utility Fund	0	0	23,280,022	0	22,894,352

Additional Funds Available

Siting Council	0	0	2,342,993	0	2,463,871
Federal and Other Activities	51,282,248	31,875,616	47,781,773	29,469,367	31,229,697
Bond Funds	3,455,000	3,455,000	3,455,000	3,455,000	3,455,000
Restricted State Accounts	27,459,200	27,946,600	27,946,600	28,130,087	28,130,087
Private Funds	2,630,900	2,484,460	2,484,460	2,441,629	2,441,629
TOTAL - All Funds Net	162,207,782	147,915,776	184,486,588	144,095,381	165,721,257



# COUNCIL ON ENVIRONMENTAL QUALITY

## AGENCY PURPOSE

- To monitor, analyze and report the status of Connecticut's air, water, land and wildlife to the Governor, General Assembly and citizens of Connecticut.
- To recommend appropriate legislation and program improvements to correct deficiencies in state environmental policy.
- To publish the *Environmental Monitor* online and send to all municipalities.
- To investigate and resolve citizens' complaints on environmental matters.
- To review projects and policies of other state agencies and provide advice.

*The Council on Environmental Quality is recommended for consolidation with the Department of Environmental Protection in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation

2011-2012	2012-2013
-91	-207

### Reallocations or Transfers

- Restructure State Government

-171,427	-167,275
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*Eliminate the Council as a separate agency and eliminate staffing. The Department of Environmental Protection will provide assistance to the Council.*

## AGENCY SUMMARY

### Personnel Summary

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	-2	0	0	0

### Financial Summary

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended
Personal Services	162,081	167,792	0	163,640	0
Other Expenses	3,634	3,725	0	3,841	0
<u>Capital Outlay</u>					
Equipment	1	1	0	1	0
TOTAL - General Fund	165,716	171,518	0	167,482	0
<u>Additional Funds Available</u>					
Private Funds	832	0	0	0	0
TOTAL - All Funds Net	166,548	171,518	0	167,482	0



# COMMISSION ON CULTURE & TOURISM

## AGENCY PURPOSE

- Preserve and promote Connecticut's cultural and tourism assets in order to enhance the quality of life and economic vitality of the state.
- Provide marketing, research, hospitality services, direct sales and business marketing assistance to state tourism partners, venues and destinations. This includes operation of the state's four Welcome Centers and publication of getaway guides for visitors as well as the [www.CTvisit.com](http://www.CTvisit.com) website.
- Administer programs that identify, register, protect, rebuild and reuse buildings, sites, structures, districts and objects that comprise Connecticut's cultural heritage.
- Operate four state-owned museums.
- Develop and strengthen the arts in Connecticut, make artistic experiences widely accessible and encourage public participation in the arts.
- Administer tax credit programs that serve as economic incentives for restoring and rehabilitating historic properties which help support urban revitalization and smart growth.

*The Commission on Culture and Tourism is recommended for consolidation with the Department of Economic and Community Development in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### Cross Division Grant Programs

The agency offers cross division grant opportunities to a range of constituents. The cross division grant opportunities include Challenge Grants, Cooperative Grants and Strategic Initiative Grants. The 4th Annual Governor's Awards for Culture & Tourism were presented to four renowned Connecticut individuals and organizations in four areas of recognition, including: Connecticut Humanities Council (Heritage); Diane Smith (Tourism); ESPN (Film/Digital Media); and Barkley L. Hendricks (Arts).

### STRATEGIC PLAN

The commission released its second strategic plan to carry it forward into the next three years. The Commission continues to focus on supporting and educating the cultural and tourism organizations across the state.

### Sports Advisory Board

The agency was charged with establishing a Sports Advisory Board to advise on the most effective ways to utilize state resources to promote, attract and market in-state professional and amateur sports and sporting events and to advise how to coordinate the use of state-owned facilities to enhance sports-related tourism.

### Arts

The commission's gallery featured the artwork of 18 Connecticut artists with disabilities in a juried exhibition entitled CHANGE which

honors the creativity, imagination and talent of artists with disabilities. The exhibition modeled accessibility accommodations including Braille, interpretation and audio-description so all could enjoy the art. Over 150 educators and teaching artists attended the commission's 17th Higher Order Thinking (HOT) Schools Summer Institute. Participants shared HOT Schools best practices, honed leadership skills, and explored innovative approaches to teaching and learning in the arts with the goal of preparing students for the 21st century.

### Historic Preservation & Museums

Funded by the Community Investment Act, over \$14 million in grants were awarded to restore, rehabilitate and plan for the reuse and preservation of historic buildings owned by municipalities and non-profit organizations. An exhibit was organized on the history of preservation in Connecticut focusing on historic houses entitled *From Stone House to Glass House*.

### Tourism

Challenge Grants and Cooperative Grants, innovative marketing grant programs to assist cultural and tourism entities to better leverage the agency's marketing efforts, were offered for a second year. The division coordinated the 6th Annual Connecticut Open House Day featuring 216 participating cultural and tourist attractions to celebrate the state's 375th anniversary.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

• Fund Equipment Through CEPF	<b>2011-2012</b>	<b>2012-2013</b>
	-24,544	-5,294
• Remove or Limit Inflation	-347,150	-790,775
• Reduce Grant Funding	-1,144,409	-1,144,409
• Suspend Tourism District Funding	-1,873,000	-1,873,000

### Reallocations or Transfers

• Restructure State Government	-28,875,598	-28,756,135
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*The agency will be consolidated with the Department of Economic and Community Development.*

### New or Expanded Services

• Restore Funding to Statewide Marketing	<b>2011-2012</b>	<b>2012-2013</b>	<b>2013-2014</b>
	15,000,000	15,000,000	15,000,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	-31	0	0	0
Federal Contributions	6	-6	0	0	0
Private Funds	2	-2	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,753,878	2,929,052	0	2,809,589	0
Other Expenses	646,860	661,082	0	681,560	0
<u>Capital Outlay</u>					
Equipment	1	24,545	0	5,295	0
<u>Other Current Expenses</u>					
Statewide Marketing	1	1	0	1	0
CT Asso Performing Arts/Schubert Theater	378,712	388,180	0	400,213	0
Hartford Urban Arts Grant	378,712	388,180	0	400,213	0
New Britain Arts Council	75,743	77,637	0	80,043	0
Ivoryton Playhouse	44,294	45,401	0	46,809	0
TOTAL - Other Current Expenses	877,462	899,399	0	927,279	0
<u>Pmts to Other than Local Govts</u>					
Discovery Museum	378,712	388,180	0	400,213	0
National Theatre for the Deaf	151,484	155,271	0	160,085	0
Culture, Tourism and Art Grant	1,879,708	1,926,701	0	1,986,428	0
CT Trust for Historic Preservation	210,396	215,656	0	222,341	0
Connecticut Science Center	630,603	646,368	0	666,405	0
TOTAL - Pmts to Other than Local Govts	3,250,903	3,332,176	0	3,435,472	0
<u>Pmts to Local Governments</u>					
Greater Hartford Arts Council	94,677	97,044	0	100,052	0
Stamford Center for the Arts	378,712	388,180	0	400,213	0
Stepping Stones Museum for Children	44,294	45,401	0	46,809	0
Maritime Center Authority	531,525	544,813	0	561,702	0
Basic Cultural Resources Grant	1,398,750	1,433,719	0	1,478,164	0
Tourism Districts	1,687,500	1,729,688	0	1,783,308	0
Connecticut Humanities Council	2,103,953	2,156,552	0	2,223,405	0
Amistad Committee for the Freedom Trail	44,294	45,401	0	46,809	0
Amistad Vessel	378,712	388,180	0	400,213	0
New Haven Festival of Arts and Ideas	797,287	817,219	0	842,553	0
New Haven Arts Council	94,677	97,044	0	100,052	0
Palace Theater	378,712	388,180	0	400,213	0
Beardsley Zoo	354,350	363,209	0	374,468	0
Mystic Aquarium	620,112	635,615	0	655,319	0
Quinebaug Tourism	46,375	47,534	0	49,008	0
Northwestern Tourism	46,375	47,534	0	49,008	0
Eastern Tourism	46,375	47,534	0	49,008	0
Central Tourism	46,375	47,534	0	49,008	0
Twain/Stowe Homes	95,674	98,066	0	101,106	0
TOTAL - Pmts to Local Governments	9,188,729	9,418,447	0	9,710,418	0
TOTAL - General Fund	16,717,833	17,264,701	0	17,569,613	0
<u>Additional Funds Available</u>					
Federal and Other Activities	1,581,671	0	0	0	0
Private Funds	2,550,000	3,055,000	0	3,055,000	0
TOTAL - All Funds Net	20,849,504	20,319,701	0	20,624,613	0



# DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

## AGENCY PURPOSE

[www.ct.gov/ecd](http://www.ct.gov/ecd)

The Department of Economic and Community Development develops and implements strategies to attract and retain businesses and jobs, revitalize neighborhoods and communities, ensure quality housing, and foster responsible development in Connecticut's towns and cities.

DECD goals include:

- Coordinating the activities of all state agencies in advancing economic development opportunities;
- Fostering a productive business environment that enables businesses to succeed in the global economy;

- Advancing job creation and retention;
- Promoting, encouraging and implementing Responsible Growth principles and practices and regional cooperation;
- Advocating on behalf of Connecticut's business community;
- Marketing Connecticut to domestic and foreign businesses and workers and encouraging them to relocate to the state; and
- Strengthening and revitalizing neighborhoods and communities by stimulating the development of affordable housing opportunities for moderate and low-income families and through the implementation of other quality-of-life investments.

*The Commission on Culture and Tourism and the Office of Workforce Competitiveness are recommended for consolidation with the Department of Economic and Community Development in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### *Economic Development*

- In FY 2010, through the Manufacturing Assistance Act, DECD funded a total of 12 projects involving \$32,875,000 in state funds, leveraging a total investment of \$317,838,200. These projects resulted in the retention of 3,043 jobs and the creation of 1,712 jobs in Connecticut.
- As a part of the Enterprise Zone and Urban Jobs Program, a program providing tax credits to Connecticut companies, DECD certified 47 companies; 2,153 jobs were retained and 1,768 new jobs were created.
- In FY 2010 the Office of Film, Television & Digital Media was relocated from the Commission on Culture and Tourism to DECD. During FY 2010, 109 tax credit applications were processed, and \$43 million in tax credits were issued to 31 production companies (\$34.3 million to 28 production companies and \$8.7 million to 4 infrastructure projects).

### *Responsible Development*

- The Recovery Zone Bond program provides \$90 million in self-sustaining bonding allocation with federal stimulus funding to designated "Recovery Zones" throughout the state and allows for lowered financing costs for shovel-ready, governmental purpose construction projects that meet the criteria. The Recovery Zone Bond program is jointly administered by the Connecticut Development Authority and DECD. Financing through Recovery Zone Economic Development bonds will help lower project borrowing costs. A Recovery Zone Economic Development bond allocation of \$16 million for the first phase of the Harbor Point project in Stamford will create the opportunity for up to 10,000 jobs. \$6 million in Recovery Zone Economic Development bonds were allocated for the Reed Putnam project in Norwalk.
- DECD grants totaling more than \$24.2 million were used to fund construction contracts for infrastructure projects with various organizations such as the Shelton Downtown Redevelopment,

East Haddam Opera House Foundation, Inc. Phase II and the University of New Haven.

- Six new economic development programs are being administered through DECD.

### *Housing Development*

- Under the federal HOME program, the Affordable Housing and Housing Trust Fund programs and several other state housing programs, DECD executed contract awards totaling more than \$52.6 million for 28 projects and 897 units in FY 2010.

### *Community Development*

- DECD administered 211 state community projects totaling more than \$107 million in state funding with 49 new projects totaling more than \$8.9 million and 34 contracts totaling over \$9 million.
- DECD awarded \$14 million in federal Small Cities block grants to 31 communities.

### *Brownfields*

- The Office of Brownfield Remediation and Development (OBRD) awarded grants to five communities totaling \$2,225,000 under the second round of the Brownfield Municipal Pilot Program.
- DECD was awarded an additional \$600,000 in supplemental funding from EPA to fund projects under the DECD EPA Statewide EPA Revolving Loan Fund program. Funding will support remediation projects in Middletown, Montville, and Windham. Under the DECD EPA Assessment program, DECD conducted eight environmental site assessments for sites located in Salisbury, Killingly, Hartford, Windham, Enfield, South Windsor, and Manchester. The cumulative funding provided by DECD for these projects was approximately \$101,000.
- The Dry Cleaning Establishment Remediation Fund approved more than \$430,000 in funding reimbursements for site investigations and remediation activities to six program participants.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-103,499	-28,663
• Remove or Limit Inflation	-467,643	-1,061,871
• Suspend Funding for the Film Industry Training Program	-237,500	-237,500
• Reduce Economic Development Grants by 15%	-320,813	-320,813
<i>Reduce funding for Small Business Incubator Program, Hydrogen/Fuel Cell Economy, Southeast CT Incubator, CT Manufacturing Supply Chain, CONNSTEP and Development Research and Economic Assistance by 15% in FY 2012 and FY 2013.</i>		
<b>Reallocations or Transfers</b>		
• Consolidate State Job Training Programs	3,880,179	3,853,749
<i>Funding for Apprenticeship Program, Incumbent Worker Training, 21st Century Jobs, Opportunity Industrial Centers, STRIDE, STRIVE, Jobs Funnel Projects and Connecticut Employment and Training Commission is combined into a new Jobs Training account in DECD.</i>		
• Consolidate Economic Development Grants	699,125	699,125
<i>Funding for Small Business Incubator Program, Hydrogen/Fuel Cell Economy, Southeast CT Incubator, CT Manufacturing Supply Chain, CONNSTEP, Development Research and Economic Assistance, Nanotechnology Study, Spanish American Merchant Association and Small Business Innovation Research is combined into a new Economic Development Grants account in DECD.</i>		
• Restructure State Government	29,270,955	29,140,921
<i>The Office of Workforce Competitiveness and Cultural and Tourism are recommended for consolidation with the Department of Economic Development.</i>		

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	73	40	113	0	113
Bond Funds	21	0	21	0	21
Federal Contributions	31	-3	28	0	28
Private Funds	1	0	1	0	1

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	6,065,845	6,577,228	9,811,751	6,329,312	9,433,148
Other Expenses	971,939	996,345	1,708,686	1,027,219	1,709,339
<u>Capital Outlay</u>					
Equipment	1	103,500	1	28,664	1
<u>Other Current Expenses</u>					
Elderly Rental Registry and Counselors	1,098,171	1,125,625	1,098,171	1,160,520	1,098,171
Statewide Marketing	0	0	15,000,001	0	15,000,001
Small Business Incubator Program	500,000	512,500	0	528,388	0
CT Asso Performing Arts/Schubert Theater	0	0	378,712	0	378,712
Hartford Urban Arts Grant	0	0	378,712	0	378,712
New Britain Arts Council	0	0	75,743	0	75,743
Fair Housing	308,750	316,469	308,750	326,279	308,750
Main Street Initiatives	171,000	175,275	171,000	180,709	171,000
Office of Military Affairs	153,508	157,346	153,508	162,224	153,508
Hydrogen/Fuel Cell Economy	225,625	231,266	0	238,435	0
Southeast CT Incubator	175,000	179,375	0	184,936	0
Film Industry Training Program	237,500	243,438	0	250,985	0
Ivoryton Playhouse	0	0	44,294	0	44,294
CCAT-CT Manufacturing Supply Chain	300,000	307,500	0	317,033	0
Job Training	0	0	3,880,179	0	3,853,749

Economic Development Grants	0	0	2,517,062	0	2,517,062
TOTAL - Other Current Expenses	3,169,554	3,248,794	24,006,132	3,349,509	23,979,702
<u>Pmts to Other than Local Govts</u>					
Subsidized Assisted Living Demo	2,166,000	1,730,000	1,730,000	2,272,000	2,272,000
Congregate Facilities Operation Costs	6,884,547	7,056,661	6,884,547	7,275,417	6,884,547
Housing Assistance & Counseling Pgm	438,500	449,463	438,500	463,396	438,500
Elderly Congregate Rent Subsidy	2,389,796	2,449,541	2,389,796	2,525,477	2,389,796
Discovery Museum	0	0	378,712	0	378,712
National Theatre for the Deaf	0	0	151,484	0	151,484
CONNSTEP	760,000	779,000	0	803,149	0
Dev Research & Economic Assistnce	178,125	182,578	0	188,238	0
Culture, Tourism and Art Grant	0	0	1,479,165	0	1,479,165
CT Trust for Historic Preservation	0	0	210,396	0	210,396
Connecticut Science Center	0	0	630,603	0	630,603
TOTAL - Pmts to Other than Local Govts	12,816,968	12,647,243	14,293,203	13,527,677	14,835,203
<u>Pmts to Local Governments</u>					
Tax Abatement	1,704,890	1,747,512	1,704,890	1,801,685	1,704,890
Payment in Lieu of Taxes	2,204,000	2,259,100	2,204,000	2,329,132	2,204,000
Greater Hartford Arts Council	0	0	94,677	0	94,677
Stamford Center for the Arts	0	0	378,712	0	378,712
Stepping Stones Museum for Children	0	0	44,294	0	44,294
Maritime Center Authority	0	0	531,525	0	531,525
Basic Cultural Resources Grant	0	0	1,101,204	0	1,101,204
Connecticut Humanities Council	0	0	1,657,633	0	1,657,633
Amistad Committee for the Freedom Trail	0	0	44,294	0	44,294
Amistad Vessel	0	0	378,712	0	378,712
New Haven Festival of Arts and Ideas	0	0	797,287	0	797,287
New Haven Arts Council	0	0	94,677	0	94,677
Palace Theater	0	0	378,712	0	378,712
Beardsley Zoo	0	0	354,350	0	354,350
Mystic Aquarium	0	0	620,112	0	620,112
Twain/Stowe Homes	0	0	95,674	0	95,674
TOTAL - Pmts to Local Governments	3,908,890	4,006,612	10,480,753	4,130,817	10,480,753
TOTAL - General Fund	26,933,197	27,579,722	60,300,526	28,393,198	60,438,146
<u>Additional Funds Available</u>					
Federal and Other Activities	49,882,607	39,349,295	40,693,295	36,816,516	37,488,516
Bond Funds	108,065,058	68,529,164	68,529,164	63,474,842	63,474,842
Private Funds	483,018	397,125	3,452,125	397,125	3,452,125
TOTAL - All Funds Net	185,363,880	135,855,306	172,975,110	129,081,681	164,853,629



# AGRICULTURAL EXPERIMENT STATION

*"Putting Science To Work For Society"*

## AGENCY PURPOSE

<http://www.ct.gov/caes>

To conduct field and laboratory experiments at laboratories in New Haven and Windsor and at research farms in Hamden, Windsor, and Griswold. This research includes:

- Discovering insects and ticks that transmit disease organisms to people and animals and devising methods of detecting and reducing these diseases.
- Evaluating new crops for biodiesel fuel and pest resistance.
- Devising ways to manage agricultural and forest pests using fewer and less toxic pesticides.

- Ensuring an ample and economical food supply by increasing yields, introducing new successful crops, and controlling pests and plant diseases.
- Protecting people from toxic substances found in food and water, mold in buildings, and from deficient food, drugs and agricultural supplies.
- Devising ways to control invasive aquatic plants in lakes and wetland dieback in salt marshes.

## RECENT HIGHLIGHTS

### *Food Safety and Biosecurity*

At the requests of other state agencies, foods and other consumer products were tested for pesticides and other unwanted chemicals. The Experiment Station participates in the national Food Emergency Response Network under the guidance of the US Food and Drug Administration (FDA). In response to the Deep Horizon oil spill in the Gulf of Mexico, seafood samples were shipped to the Station's laboratories and two other facilities in the country for analysis. In collaboration with scientists at the FDA Forensic Chemistry Center in Cincinnati and at the Minnesota Department of Agriculture, more efficient methods of chemical analyses were developed to detect petroleum-related chemicals in seafood.

### *New Agricultural Crops*

Laboratory and field experiments were conducted with the objective of improved crops for farmers, fresh produce for consumers, the use

of compost materials, and reducing the amount of pesticides used in farming areas. A patent application is being prepared for a new strawberry cultivar that was developed that is resistant to black-vine weevil and root rot (caused by a fungus). Other new crops being tested include edamame, vegetable amaranth, rapeseed, calabaza (squash), garlic, and several grape cultivars.

### *Tick Control*

The black-legged tick transmits organisms that cause a number of diseases. As an alternative to using chemicals to control ticks on homeowner properties, experiments were conducted on a fungus that kills ticks. Based on the results, the fungus biopesticide now has registration from the US Environmental Protection Agency and will be used in future tick management programs.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-225,749	-215,249
• Remove or Limit Inflation	-40,617	-81,397
• Remove Funding for Vacant Positions	-95,000	-90,000

## AGENCY SUMMARY

### **Personnel Summary**

#### Permanent Full-Time Positions

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
General Fund	67	0	67	0	67
Federal and Other Activities	5	0	5	0	5
Federal Contributions	18	0	18	0	18
Private Funds	1	0	1	0	1
<u>Other Positions Equated to Fulltime</u>					
General Fund	3	-3	0	0	0

### **Financial Summary**

	2010-2011 Estimated	2011-2012 Current Services	2011-2012 Total Recommended	2012-2013 Current Services	2012-2013 Total Recommended

Personal Services	5,808,895	6,220,000	6,125,000	6,000,000	5,910,000
Other Expenses	923,511	961,184	923,511	998,222	923,511
<u>Capital Outlay</u>					
Equipment	1	225,750	1	215,250	1
<u>Other Current Expenses</u>					
Mosquito Control	222,089	235,641	232,979	237,219	231,173
Wildlife Disease Prevention	83,344	90,756	90,474	90,211	89,571
TOTAL - Other Current Expenses	305,433	326,397	323,453	327,430	320,744
TOTAL - General Fund	7,037,840	7,733,331	7,371,965	7,540,902	7,154,256
<u>Additional Funds Available</u>					
Federal and Other Activities	3,389,000	3,515,500	3,515,500	3,550,500	3,550,500
Private Funds	506,033	508,500	508,500	508,500	508,500
TOTAL - All Funds Net	10,932,873	11,757,331	11,395,965	11,599,902	11,213,256





# DEPARTMENT OF PUBLIC HEALTH

<http://www.ct.gov/dph/>

## AGENCY PURPOSE

- Protect the health and safety of the people of Connecticut.
- Actively work to prevent disease and promote wellness through education and programs such as prenatal care, newborn screening, immunizations, AIDS awareness, and supplemental foods.
- Monitor infectious diseases, environmental and occupational health hazards, and birth defects.
- Assure planning for and response to public health emergencies.
- Regulate health care providers such as health facilities, health professionals, and emergency medical services.
- Provide testing and monitoring support through the state laboratory.
- Collect and analyze health data to help plan policy for the future.
- Serve as the repository for all birth, adoption, paternity, marriage, and death certificates.
- Ensure the availability of a safe and adequate drinking water supply for Connecticut's residents.

## RECENT HIGHLIGHTS

- Successfully updated the department's emergency communication and incident management systems, and other information systems to monitor diseases.
- The department was awarded nearly \$1.3 million in federal stimulus funds to support public health efforts to help residents quit smoking, address obesity in children and other healthy choice initiatives in schools and communities.
- The state dedicated about \$68 million in stimulus funds to projects that will upgrade public drinking water and sewage treatment systems and create jobs. Twelve projects were funded in Connecticut through the Drinking Water State Revolving fund, which is administered by the department.
- The Governor established the Health Care Reform Cabinet led by the Department of Public Health. The Cabinet is charged with providing transparent access to information, pursuing federal funds for a temporary high-risk insurance pool, creating a consumer-friendly health insurance purchasing exchange and reviewing insurance industry reforms to ensure Connecticut is ready for final implementation of the national law in 2014. The Cabinet will develop a strategy so that all Connecticut residents and businesses get the full benefit of the national law and what it is intended to provide – quality and affordable health care for all.
- The department awarded \$9.8 million in grants to support nearly two dozen stem cell research projects by scientists at the University of Connecticut and at Yale. The grants are part of the \$100 million Stem Cell Research Fund. Connecticut was just the third state in the nation to offer public funding for human stem cell research. The program was created to support the growing bioscience industry and the jobs it creates in Connecticut
- Licensed physicians, dentists and nurses in Connecticut can now renew their professional licenses online through the Department of Public Health's eLicense system, another enhancement of the user-friendly system that already allows the public to verify whether a doctor has been disciplined. The new system will also allow the DPH to collect valuable work force data that is currently unavailable but needed to identify and address health care work force shortage issues.
- The department successfully implemented its pandemic influenza plan in response to the H1N1 influenza pandemic. Department actions focused on public education and strategies to vaccinate high risk groups and the general population.
- The department was recognized by the national Association of Health Facility Survey Agencies with its best practice award for the department's *Generation to Generation* program. This program was created in partnership with the Connecticut Association of Schools to connect young people with nursing home residents to help improve their quality of life.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2011-2012</u>	<u>2012-2013</u>
• Fund Equipment Through CEPF	-2,648,702	-929,273
• Remove or Limit Inflation	-2,137,314	-4,396,707
• Remove Funding for Fetal and Infant Mortality Review <i>Funds are removed for the Fetal and Infant Mortality Review program that has not been active since FY 2009.</i>	-315,000	-315,000
• Reduce Funding for Lesser Utilized AIDS Services <i>Funds are reduced for grants that serve a minimal number of clients.</i>	-495,260	-495,260
• Reduce Funding for Lower Priority Childhood Lead Poisoning Contract <i>Funds are removed for one non-continuous education/outreach grant.</i>	-90,000	-90,000
• Reduce Funding to FY 2010 Level for Community Health Services <i>Funds are reduced for Community Health Centers to a level that retains current contract commitments.</i>	-585,000	-585,000
• Reduce Funding for Dormant Genetic Diseases Program Provider <i>Remove funding for an inactive organization.</i>	-48,672	-48,672

• Eliminate Funding for Advocacy Contract <i>Funds are removed for an advocacy contract. Remaining funding supports direct service activities.</i>	-75,000	-75,000
• Reduce Grants to Health Centers Offset Increase Medicaid Payments <i>Funding for Community Health Centers is reduced in recognition of increased Medicaid payments to FQHCs.</i>	-3,801,052	-3,801,052
• Remove Funding for Vacant Positions	-600,000	-600,000
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies	121,912	117,467
• Combine Funding for Lead Poisoning Prevention Activities into one Account <i>All funding for lead poisoning prevention is consolidated in the Children's Health Initiative account.</i>	0	0
<b>New or Expanded Services</b>		
• Certification of Water Operators <i>Two positions are provided to support certification of water treatment operators.</i>	<u>2011-2012</u> 0	<u>2012-2013</u> 0
		<u>2013-2014</u> 0

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	517	6	523	0	523
Federal Contributions	350	-3	347	-12	335
Private Funds	3	0	3	0	3
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	31,725,209	36,043,017	35,564,929	35,040,677	34,558,144
Other Expenses	7,826,574	7,343,477	7,111,505	8,918,449	8,361,505
<u>Capital Outlay</u>					
Equipment	1	2,648,703	1	929,274	1
<u>Other Current Expenses</u>					
Needle and Syringe Exchange Program	455,072	475,095	455,072	495,049	455,072
Children's Health Initiatives	1,481,766	1,563,772	2,442,813	1,610,064	2,435,161
Childhood Lead Poisoning	1,098,172	1,146,492	0	1,194,645	0
AIDS Services	4,952,598	5,170,512	4,457,338	5,387,674	4,457,338
Breast & Cervical Cancer Detectn/Treatment	2,426,775	2,282,036	2,183,669	2,377,877	2,181,483
Children w/Special Hlth Care Needs	1,271,627	1,327,579	1,271,627	1,383,337	1,271,627
Medicaid Administration	3,782,177	4,288,802	4,276,747	4,228,972	4,201,595
Fetal and Infant Mortality Review	315,000	322,875	0	332,884	0
TOTAL - Other Current Expenses	15,783,187	16,577,163	15,087,266	17,010,502	15,002,276
<u>Pmts to Other than Local Govts</u>					
Community Health Services	6,986,052	7,293,438	2,600,000	7,599,762	2,600,000
Rape Crisis	439,684	459,030	439,684	478,309	439,684
X-Ray Screening and Tuberculosis Care	379,899	1,252,800	1,200,000	1,305,418	1,200,000
Genetic Diseases Programs	877,416	916,022	828,744	954,495	828,744
Immunization Services	9,044,950	9,442,928	9,044,950	9,839,531	9,044,950
TOTAL - Pmts to Other than Local Govts	17,728,001	19,364,218	14,113,378	20,177,515	14,113,378
<u>Pmts to Local Governments</u>					
Local & District Departments of Health	4,264,470	4,401,082	4,294,470	4,537,516	4,294,470
Venereal Disease Control	195,210	203,799	195,210	212,359	195,210
School Based Health Clinics	10,440,646	10,900,034	10,440,646	11,357,835	10,440,646
TOTAL - Pmts to Local Governments	14,900,326	15,504,915	14,930,326	16,107,710	14,930,326
TOTAL - General Fund	87,963,298	97,481,493	86,807,405	98,184,127	86,965,630
<u>Additional Funds Available</u>					
Federal and Other Activities	139,612,892	135,332,926	135,332,926	134,759,739	134,759,739
Private Funds	30,146,914	30,698,539	30,698,539	29,019,496	29,019,496
TOTAL - All Funds Net	257,723,104	263,512,958	252,838,870	261,963,362	250,744,865



# OFFICE OF THE CHIEF MEDICAL EXAMINER

## AGENCY PURPOSE

<http://www.ct.gov/ocme/>

### TO INVESTIGATE

- Deaths due to any form of injury, whether resulting from accident, suicide or homicide.
- Sudden or unexpected deaths not due to readily recognizable disease.
- Deaths occurring under suspicious circumstances (e.g. child abuse).
- Deaths of any individual whose body is to be disposed of in a manner that will render it unavailable for later examination.
- Deaths at or related to the workplace.
- Deaths due to disease that might constitute a threat to the public health.

### TO PROTECT THE PUBLIC HEALTH

- By diagnosing previously unsuspected contagious disease.
- By identifying hazardous environmental conditions in the workplace, the home and elsewhere.
- By identifying trends such as changes in the numbers of homicides, traffic fatalities, and drug and alcohol related deaths.
- By identifying new types and forms of drugs appearing in the state or existing drugs/substances becoming new subjects of abuse.
- By providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<u>2011-2012</u>	<u>2012-2013</u>
• Fund Equipment Through CEPF	-95,000	-14,000
• Remove or Limit Inflation	-20,579	-44,876
• Remove Funding for Vacant Positions	-105,715	-104,695

### Revenues

• Increase Cremation Certificate Fee	0	0
<i>Fee to increase from \$100 to \$150 per certificate.</i>		

## AGENCY SUMMARY

### Personnel Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	58	0	58	0	58

### Financial Summary

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	4,900,935	5,223,625	5,117,910	5,050,652	4,945,957
Other Expenses	706,282	726,861	706,282	751,158	706,282
<u>Capital Outlay</u>					
Equipment	4,750	110,500	15,500	29,500	15,500
<u>Other Current Expenses</u>					
Medicolegal Investigations	100,039	104,441	104,441	108,828	108,828
TOTAL - General Fund	5,712,006	6,165,427	5,944,133	5,940,138	5,776,567
<u>Additional Funds Available</u>					
Bond Funds	26,000	26,000	26,000	26,000	26,000
TOTAL - All Funds Net	5,738,006	6,191,427	5,970,133	5,966,138	5,802,567

# DDS DEPARTMENT OF DEVELOPMENTAL SERVICES

## AGENCY PURPOSE

<http://www.ct.gov/dds>

To plan for and assist in the development of a comprehensive array of services for Connecticut citizens who have intellectual disabilities resulting from an IQ below 70 or Prader-Willi Syndrome by

- Providing case management, respite, family support, residential and employment services to DDS consumers and their families through a system of public and private providers.
- Performing as lead agency for the Birth-to-Three program serving infants and toddlers with developmental delays.
- Ensuring appropriate delivery of health care services to consumers receiving DDS residential supports.

- Assisting DDS consumers involved in the criminal justice system to ensure appropriate representation and services.
- Coordinating an autism program for adults with autism spectrum disorder who do not have mental retardation.
- Coordinating the Voluntary Services Program for children who have mental retardation and behavioral health needs.
- Planning and managing emergency response activities for persons receiving DDS services.

## RECENT HIGHLIGHTS

### *Federal Waivers*

DDS operates two Medicaid Home and Community Based Services (HCBS) waivers serving a total of 8,640 people. DDS has submitted an additional waiver to serve individuals whose needs can be met with a smaller package of supports than what is offered in the other two waivers. It is expected that this new waiver will be approved by the Centers for Medicaid and Medicare Services (CMS) in FY 2011.

### *Consumer Milestones*

DDS assisted 999 people to fully self-directed supports and 3,739 to control individual budgets for residential, employment and day services and supports.

### *Private Providers*

DDS revised the qualification process for all new prospective providers and added a mandatory training component. Provider profiles are now posted on the DDS website so consumers and families can search for qualified providers by name or town, including Clinical Behavioral Support and Healthcare Coordination services. The department qualified 211 providers.

### *Transitioned Youth*

DDS transitioned 32 youths from DCF to the Voluntary Services Program (VSP). The program has a total of 420 youths. Requests for out-of-home placements were reviewed by the Children's Services Committee, a group consisting of representatives from DCF, SDE,

DDS, the Office of the Child Advocate and families to assure all in home supports were tried first.

### *Respite Centers*

DDS provided respite services to 1,051 individuals including 304 children and 747 adults in 11 respite centers statewide.

### *Employment Initiative*

The *Employment First* initiative was launched to promote employment of DDS consumers through Connect-Ability, Connecticut's Medicaid Infrastructure Grant, awarded to the DSS Bureau of Rehabilitation Services.

### *Birth to Three*

This program received, for the fourth year in a row, a determination of "meets requirements" by the Individuals with Disabilities Education Act according to the U.S. Department of Education. 9,591 eligible children - 3.6% of all children under the age of three on a daily basis and about 10% of each birth cohort based on children born 2000 – 2006 were served through a system of qualified providers. Approximately 600 children with autism spectrum disorders, 500 in autism-specific programs and 100 in general early intervention programs were served.

### *Autism Spectrum Disorder Adult Program*

DDS continued to operate a pilot program for 65 individuals in the Greater New Haven and Hartford areas with autism spectrum disorder who do not have mental retardation.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

- Remove or Limit Inflation
- Reflect Savings in Birth to Three Program

*Savings are anticipated in the Birth to Three program by closing statutory loopholes.*

- Fund Equipment Through CEPF
- Reduce Funding for Self-Directed Payments by 1%  
*Reduce by 1%, the funding to families and individuals who self-direct their services and supports.*
- Reduce Funding to the Pilot Program for Autism Services to FY 2010 Levels

### ***Within Current Services***

- Fund Discretionary Caseload Growth  
*\$21.3 million in FY 2012 and an additional \$24.5 million in FY 2013 is recommended to support caseload growth over the biennium. Funds will support day programs for 367 individuals who are graduating from high school or aging out of services provided by the Department of Children and*

	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
	-19,025,163	-44,032,068
	-1,600,000	-3,200,000
	-1,596,951	-1,017,990
	-739,626	-739,626
	-340,000	-340,000
	21,261,281	24,481,985

## Budget Summary

Families or local education agencies, as well as 108 placements into residential services provided under DDS' adult service system in FY 2012 and an additional 390 individuals in day programs and 83 residential placements in FY 2013. Funding also supports 6 forensic (court involved) cases, caseload growth under the MFP program and the FY 2011 Voluntary Services transfers from the Department of Children and Families.

- Transfer Funding from DSS for Home Health Services 500,000 0  
This transfer will enable the Department of Developmental Services to make emergency decisions about securing adequate community support services and/or living arrangements without having to request a transfer of funds from the Department of Social Services' Medicaid account.
- Obtain Savings through Attrition in State Operated Programs -6,081,440 -2,914,746  
Approximately \$9 million is saved over the biennium through the anticipated consolidation of campus settings at Southbury and closure of 5 public group homes because of natural attrition in various state operated programs.

### Reallocations or Transfers

- Transfer Information Technology Managers from DOIT to Line Agencies 239,565 230,883  
Reallocate funding for 2 IT Managers from the Department of Information Technology

### Revenues

- Institute an ICF/MR User Fee 13,100,000 13,400,000  
Funds will allow the state to leverage federal dollars under the proposed ICF/MR user fee.

### New or Expanded Services

- Fund Study of Needs of Persons with Autism Spectrum Disorder  
Provide funding through carry forward of FY 2011 autism funding to support a study of the needs of persons with autism spectrum disorder including the feasibility of a Center for Autism and Developmental Disabilities. **2011-2012** 0 **2012-2013** 0 **2013-2014** 0
- Provide Positions to Support Autism Waiver 0 0 0  
Provide 3 additional case managers to support caseload growth anticipated from the new autism waiver.

## AGENCY SUMMARY

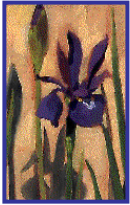
Personnel Summary	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,657	-40	3,617	0	3,617
Federal Contributions	13	0	13	0	13
<u>Other Positions Equated to Fulltime</u>					
General Fund	671	0	671	0	671
Financial Summary	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	273,891,320	286,870,233	287,109,798	275,118,551	275,349,434
Other Expenses	26,416,396	23,156,030	22,304,097	23,929,735	22,191,798
<u>Capital Outlay</u>					
Equipment	1	1,596,952	1	1,017,991	1
<u>Other Current Expenses</u>					
Human Resource Development	219,790	225,285	219,790	232,269	219,790
Family Support Grants	3,280,095	3,362,097	3,280,095	3,466,322	3,280,095
Cooperative Placements Program	21,639,755	22,703,588	21,928,521	23,820,849	22,576,043
Clinical Services	4,642,372	4,843,788	4,639,522	4,993,196	4,585,370
Early Intervention	37,888,242	38,835,448	36,288,242	40,039,347	34,688,242
Community Temporary Support Services	67,315	68,998	67,315	71,137	67,315
Community Respite Care Programs	330,345	338,604	330,345	349,101	330,345
Workers' Compensation Claims	16,246,035	17,347,009	16,544,371	18,410,278	16,246,035
Pilot Program for Autism Services	1,525,176	1,563,305	1,185,176	1,611,767	1,185,176
Voluntary Services	30,996,026	32,031,635	31,256,734	32,984,825	31,225,026
Supplemental Payments for Medical Services	0	0	13,100,000	0	13,400,000
TOTAL - Other Current Expenses	116,835,151	121,319,757	128,840,111	125,979,091	127,803,437

Pmts to Other than Local Govts

Rent Subsidy Program	4,537,554	4,650,993	4,537,554	4,795,174	4,537,554
Family Reunion Program	134,900	138,273	134,900	142,559	134,900
Employment Opportunities & Day Svcs	179,095,617	192,151,857	187,674,466	208,653,382	198,201,167
Community Residential Services	406,938,055	431,210,652	420,297,573	456,894,000	432,613,391
TOTAL - Pmts to Other than Local Govts	<u>590,706,126</u>	<u>628,151,775</u>	<u>612,644,493</u>	<u>670,485,115</u>	<u>635,487,012</u>
TOTAL - General Fund	1,007,848,994	1,061,094,747	1,050,898,500	1,096,530,483	1,060,831,682

Additional Funds Available

Federal and Other Activities	8,991,448	8,413,101	8,413,101	8,413,101	8,413,101
Private Funds	130,155	130,155	130,182	130,155	130,155
TOTAL - All Funds Net	<u>1,016,970,597</u>	<u>1,069,638,003</u>	<u>1,059,441,783</u>	<u>1,105,073,739</u>	<u>1,069,374,938</u>



# DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

## AGENCY PURPOSE

<http://www.dmhas.state.ct.us/>

To assist persons with psychiatric and substance use disorders to recover and sustain their health through delivery of high quality services that are person-centered, value-driven, promote hope,

improve health and are anchored to a recovery-oriented system of care.

## RECENT HIGHLIGHTS

### *Improved Service System*

*Providing a comprehensive array of services that promote health, economic opportunity, social inclusion and sustain stability in individuals' lives.*

- Expanded the Military Support Program statewide to provide counseling services to Connecticut National Guard/Reserve members and their families.
- Developed a Behavioral Health Partnership (BHP) in conjunction with the Department's of Social Services (DSS) and Children and Families (DCF) to better manage mental health and addiction services to persons enrolled in Medicaid fee-for-service programs.
- Implemented the Residential Supports Program to provide housing case management to individuals with behavioral health disorders involved in the criminal justice system to improve their ability to live successfully in the community.
- Provided clinical and recovery support services to the most vulnerable populations with substance use disorders through the federal Access to Recovery Program.
- Reduced minors' access to tobacco by conducting inspections of tobacco outlets.

### *Quality of Care Management*

*Managing by outcomes, expanding continuing care recovery and effective service models.*

- Established jail diversion and trauma recovery services for veterans in the Norwich/New London Courts.
- Converted 10 general psychiatry beds to serve individuals with co-occurring severe mental illnesses and substance use disorders.
- Added 8 agencies to the Co-Occurring Improvement Collaborative to make a total of 30 state-operated and funded programs available to serve individuals with co-occurring disorders.
- Collaborated with DSS and providers to improve treatment of adults with co-occurring disorders served in Medicaid enhanced care clinics.
- Continued gender responsive programming and trauma-informed care in seventeen women and children programs.
- Provided intensive home and community services to individuals residing in nursing homes or who are at-risk of entering nursing homes.

- Maximized use of resources across DMHAS, DCF and the Judicial Branch through a joint initiative that intervenes with families in crisis, improves safety and increases permanency of children removed from homes due to parental substance abuse.
- Continued the Alternative to Hospitalization Program that diverts individuals with substance use disorders who present in emergency departments and decreases admissions to acute inpatient care.

### *Workforce/Organizational Effectiveness*

*Investing in people who provide consumer-friendly, high quality services.*

- Trained additional law enforcement personnel through the Crisis Intervention Training program to work effectively with persons with psychiatric disorders.
- Upgraded the state-operated behavioral health information system to a more cost-effective, HIPAA compliant solution.
- Continued to address workforce issues through the Project for Addictions Cultural Competence Training Project to increase the number of minorities working in the addictions field.

### *Resource Base*

*Creating a resource base to support service goals, expansions, collaborations, and fiscally sound investments in workforce, technology, information and excellence in communication.*

- Cultivated alliances with 29 agencies to launch the federally funded Jail Diversion and Trauma Services Initiative.
- Partnered with DSS, the Department of Labor, Workforce Investment Boards, Bureau of Rehabilitation Services, and DMHAS employment providers on a Medicaid Infrastructure Grant-funded pilot employment program focused on promoting workforce development opportunities for tenants of supportive housing.
- Awarded a 5-year \$11.5 million federal grant to help achieve a targeted decrease in alcohol consumption by youth ages 12-17 and 18-20 and awarded a 2-year \$375,000 grant to enhance the Connecticut Statewide Healthy Campus Initiative to change aspects of campus and community environments that contribute to high-risk drinking and other drug use.
- Continued to house 900 formerly homeless individuals and their families with mental health and substance use disorders through a \$10M federal HUD grant. Increased the supportive housing inventory by adding nearly 50 units and funding four new projects in coordination with the Interagency Committee on Supportive Housing.



## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
• Remove or Limit Inflation	-19,582,690	-40,883,681
• Reduce Grants for Uncompensated Care in Hospitals and FQHCs <i>The conversion of the SAGA population to the Medicaid Low Income Adult program has resulted in significant additional funding to hospitals and FQHCs through higher rates and increased utilization. Funding is reduced in recognition of the decreased need for these uncompensated care grants.</i>	-3,611,552	-3,611,552
• Remove Funding for Vacant Positions	-3,433,667	-3,433,667
• Fund Equipment Through CEPF	-2,238,697	-1,091,433
• Implement an Alternative Benefit Package and other Programmatic Changes under the Medicaid Low Income Adult Program <i>\$5.5 million in savings over the biennium will result from the implementation of an alternative benefit package, rate changes, targeted co-pays and other initiatives.</i>	-2,000,000	-3,500,000
• Implement Office of the Commissioner Reorganization <i>\$1 million will be saved through the transfer of 15 staff currently assigned to the Office of the Commissioner to fill critical infrastructure vacancies at state operated facilities.</i>	-1,000,000	-1,000,000
• Reduce Non-Direct Care Training and Technical Assistance Funding	-250,000	-250,000
• Reduce Research Support at the Connecticut Mental Health Center <i>Funding for research activities at the Connecticut Mental Health Center is reduced.</i>	-348,138	-348,138
• Reduce Support for Administrative Functions on the Yale Staffing Contract	-142,829	-142,829
<b>Within Current Services</b>		
• Fund Caseload Growth in General Assistance Managed Care <i>Funding is recommended to support caseload growth of 11% in FY 2012 and 8% in FY 2013. The increase is net of savings assumed from utilization management by the new administrative savings organization.</i>	21,665,888	15,441,974
• Fund Caseload Growth in Young Adult Services <i>\$4.4 million is recommended in each year of the biennium to fund 50 additional youth transitioning from the Department of Children and Families or the Judicial Department's Court Support Services Division.</i>	4,410,556	4,410,556
• Fund Federally Required IT Improvements <i>\$4.8 million is being recommended over the biennium to support the development of integrated client information systems including treatment planning, seclusion and restraint information and medication, pharmacy and incident management.</i>	2,750,000	2,050,000
• Fund Additional Placements Under the Medicaid Waiver for Persons with Mental Illness <i>\$2.4 million in FY 2012 and an additional \$2.1 million in FY 2013 will fund 219 placements over the biennium in the Medicaid home and community based waiver for adults with serious mental illness.</i>	2,415,224	2,071,763
• Fund Additional Community Placements for Individuals with TBI/ABI <i>\$1.6 million in FY 2012 and an additional \$1.5 million in FY 2013 will fund 11 placements for individuals with traumatic or acquired brain injury over the biennium.</i>	1,634,500	1,517,666
• Fund Community Placements from Inpatient Settings <i>\$3.4 million is recommended over the biennium to fund 18 placements each year from inpatient settings into various levels of care and another \$400,000 to fully support the cost of the new Intermediate Care unit developed in response to the closure of Cedarcrest hospital.</i>	1,523,235	2,255,833
<b>Reallocations or Transfers</b>		
• Reallocate Funding for Criminal Justice Diversion Programs/Transfer CSSD Funding <i>Funding that supports services for the criminal justice population (including \$8.3 million transferred from CSSD) is being reallocated into a new account.</i>	8,252,316	8,252,316
• Transfer Information Technology Managers from DOIT to Line Agencies <i>Funding for 4 IT Managers from the Department of Information Technology is being transferred to DMHAS.</i>	416,162	400,929
• Provide Funding to Support 30 Additional Mental Health Waiver Slots per Year <i>\$489,000 in FY 2012 and \$1.026 million in FY 2013 is transferred from the DSS Medicaid account to support 30 waiver slots per year to divert individuals who present in emergency rooms and shelters from nursing homes.</i>	489,000	1,026,000
• Merge accounts that fund Supportive Housing Initiatives <i>Reallocate Next Steps Supportive Housing funding to the Housing Supports and Services account to consolidate funds for supportive housing into one account.</i>	0	0



**New or Expanded Services****2011-2012**    **2012-2013**    **2013-2014**

- Provide Service Dollars for 150 new units of Supportive Housing  
 \$562,000 is being recommended to support the operating costs of 150 new units of supportive housing anticipated to come on-line in January 2013.

0    562,500    1,130,000

**AGENCY SUMMARY**

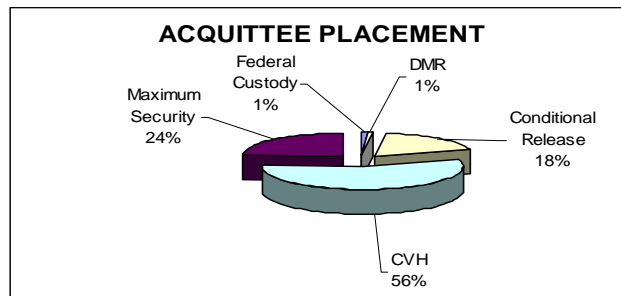
<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,574	4	3,578	0	3,578
Federal Contributions	5	-1	4	-4	0
Private Funds	15	-7	8	5	13
<u>Other Positions Equated to Fulltime</u>					
Private Funds	1	0	1	0	1
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	192,043,426	223,225,142	219,207,637	215,100,862	211,068,124
Other Expenses	42,014,152	30,178,191	29,200,732	30,795,934	28,599,021
<u>Capital Outlay</u>					
Equipment	1	2,238,698	1	1,091,434	1
<u>Other Current Expenses</u>					
Housing Supports and Services	13,424,867	14,015,561	14,424,867	14,604,215	14,987,367
Managed Service System	38,883,898	40,794,679	35,814,262	42,465,296	35,790,249
Legal Services	539,269	552,751	539,269	569,886	539,269
Connecticut Mental Health Center	8,540,721	8,916,513	8,049,754	9,291,006	8,049,754
Professional Services	11,788,898	12,341,328	11,822,615	12,825,947	11,788,898
General Assistance Managed Care	162,146,032	191,599,610	182,485,221	214,644,578	195,756,101
Workers' Compensation Claims	12,344,566	13,191,937	12,583,085	13,386,586	12,344,566
Nursing Home Screening	622,784	638,354	622,784	658,143	622,784
Young Adult Services	54,374,159	62,605,377	61,307,178	68,065,063	65,271,066
TBI Community Services	9,402,612	12,093,137	11,715,956	14,017,501	13,211,421
Jail Diversion	4,426,568	4,731,045	0	4,780,712	0
Behavioral Health Medications	8,669,095	6,550,534	6,169,095	6,825,657	6,169,095
Prison Overcrowding	6,231,683	6,647,743	0	6,831,084	0
Medicaid Adult Rehabilitation Option	3,963,349	4,137,736	3,963,349	4,311,521	3,963,349
Discharge and Diversion Services	8,962,116	10,879,685	10,020,351	13,476,017	12,276,184
Home and Community Based Services	4,625,558	7,327,041	7,660,683	9,652,413	10,252,082
Persistent Violent Felony Offenders Act	703,333	734,280	0	765,120	0
Next Steps Supportive Housing	1,000,000	1,044,000	0	1,087,848	0
Prison Overcrowding/Diversion	0	0	21,821,010	0	21,741,675
TOTAL - Other Current Expenses	350,649,508	398,801,311	388,999,479	438,258,593	412,763,860
<u>Pmts to Other than Local Govts</u>					
Grants for Substance Abuse Services	25,277,766	26,389,987	24,301,966	27,498,366	24,301,966
Grants for Mental Health Services	76,394,230	79,755,576	75,689,439	83,105,309	75,689,439
Employment Opportunities	10,417,746	10,678,190	10,417,746	11,009,214	10,417,746
TOTAL - Pmts to Other than Local Govts	112,089,742	116,823,753	110,409,151	121,612,889	110,409,151
TOTAL - General Fund	696,796,829	771,267,095	747,817,000	806,859,712	762,840,157
<u>Additional Funds Available</u>					
Federal and Other Activities	49,315,445	46,969,581	46,969,581	44,908,532	44,908,532
Bond Funds	567,074	262,909	262,909	78,375	78,375
Private Funds	24,585,450	24,049,867	24,049,867	23,938,557	23,938,557
TOTAL - All Funds Net	771,264,798	842,549,452	819,099,357	875,785,176	831,765,621

# PSRB PSYCHIATRIC SECURITY REVIEW BOARD

## AGENCY PURPOSE

[www.ct.gov/psrb](http://www.ct.gov/psrb)

The Psychiatric Security Review Board is the state agency to which the Superior Court commits persons who are found not guilty of a crime by reason of mental disease or mental defect. It is the board's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety. The board is governed by Connecticut General Statutes, Sections 17a-580 through 17a-603.



## RECENT HIGHLIGHTS

- Maintained zero percent criminal recidivism of conditionally released acquittees, as reflected by no arrests.
- Modified the Board's Statutes to clarify the confidentiality of acquittee records in the Board's possession via Public Act 10-29.
- Expanded green technology initiative in reducing paper waste by increasing the use of electronic records in a variety of circumstances.
- Conducted bimonthly Psychiatric Security Review Board (PSRB) trainings in partnership with the Department of Mental Health & Addiction Services and provided on-site statewide PSRB training to a variety of community providers.
- Participated in statewide emergency operations exercise utilizing the Board's Continuity of Operations Plan.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Fund Equipment Through CEPF
- Remove or Limit Inflation

	<u>2011-2012</u>	<u>2012-2013</u>
	-12,899	-3,399
	-936	-1,940

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	4	0	4	0	4
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	318,217	332,091	332,091	320,081	320,081
Other Expenses	37,469	32,405	31,469	33,409	31,469
<u>Capital Outlay</u>					
Equipment	1	12,900	1	3,400	1
TOTAL - General Fund	355,687	377,396	363,561	356,890	351,551



# DEPARTMENT OF TRANSPORTATION

## AGENCY PURPOSE

<http://www.ct.gov/dot>

- To provide a safe, efficient, and cost-effective transportation system that meets the mobility needs of its users.
- To construct, repair, and maintain the State highway system consisting of more than 10,000 lane miles and more than 3,800 bridges.
- To provide commuter rail service along the New Haven Line and its branch lines and on the Shore Line East corridor.
- To promote continued operation of all urban and rural bus services, ridesharing and transportation demand management programs.
- To maintain, operate and develop five state-owned general aviation airports, the Connecticut River ferries and facilities located at the Port of New London. The agency maintains, operates and develops Bradley International Airport, whose costs are fully financed with revenues generated at the airport through the Bradley Enterprise Fund.
- To focus available resources in the most effective manner; to ensure that all transportation systems are operated and maintained in a safe manner; to maintain all transportation systems in a state of good repair; to make investments that increase the productivity of existing systems; to utilize transportation investments to promote and facilitate economic development; and to provide additional transportation capacity where it is essential.
- To support and adhere to Responsible Growth principles, criteria and initiatives across Connecticut and ensure that transportation projects and programs are consistent with the State's Plan of Conservation and Development. Also, the agency, in conjunction with other state agencies, is committed to reducing greenhouse gas emissions as part of the State's climate change efforts.
- To monitor transportation trends and forecast future needs of the transportation system and to develop transportation plans and services to address those needs.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>	
<b>Reductions to Current Services</b>			
• Remove or Limit Inflation	-2,193,679	-4,733,929	
• Remove Funding for Vacant Positions	-4,150,000	-4,000,000	
• Eliminate Funding for First Transit Administration <i>Funding for five transit managers to administer the CT Transit Bus Service is eliminated and DOT will administer the service with its own staff.</i>	-1,133,734	-1,168,880	
• Cap Demand Response Grants for Bus Operations <i>The amount available for towns to apply for this state matching grant program will be capped at \$3,000,000.</i>	-895,000	-1,015,745	
• Cap Capital Grants for Bus Operations <i>The amount available to transit districts for the non-federal match for small capital projects will be capped at \$1,000,000.</i>	-963,841	-1,012,937	
<b>Reallocations or Transfers</b>			
• Transfer Information Technology Managers from DOIT to Line Agencies <i>Three positions are added to DOT's authorized count to reflect the transfer of IT managers from the Department of Information Technology to the line agencies. Funding for these positions currently is included in DOT's budget.</i>	0	0	
• Transfer Insurance Payments to the Department of Administrative Services <i>The Department of Administrative Services will take over the purchase of insurance previously included as part of DOT's Rail Operations and Bus Operations accounts.</i>	-4,343,057	-4,380,273	
<b>New or Expanded Services</b>			
• Expand Usage of Pay-As-You-Go Funding for Transportation Projects <i>Current Services funding for the Highway and Bridge Renewal account is transferred to a new Pay-As-You-Go Transportation Projects account. Funding may be used for non-bondable transportation projects (less than 20 years project life) beyond highways and bridges. Additional funding of \$14 million is provided in FY12 with on-going funding of \$9 million provided beginning in FY13. In addition, one-time funding in the amount of \$5 million is added to the Highway and Bridge Renewal - Equipment account in FY12.</i>	19,000,000	9,000,000	9,000,000
• Provide Funding for Municipal Personal Property Taxation System <i>Funding is added to contract for services to provide municipalities data regarding aircraft located in the municipality and subject to the proposed local personal property tax.</i>	50,000	50,000	50,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	3,294	3	3,297	0	3,297
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	155,913,962	174,000,000	169,850,000	168,000,000	164,000,000
Other Expenses	51,396,685	49,517,586	48,245,650	51,306,205	48,250,650
<u>Capital Outlay</u>					
Equipment	1,911,500	1,642,000	1,642,000	1,743,000	1,743,000
Highway & Bridge Renewal-Equipment	6,000,000	7,000,000	12,000,000	7,000,000	7,000,000
TOTAL - Capital Outlay	7,911,500	8,642,000	13,642,000	8,743,000	8,743,000
<u>Other Current Expenses</u>					
Minor Capital Projects	332,500	340,813	332,500	351,378	332,500
Highway Planning and Research	2,819,969	2,981,000	2,981,000	3,105,000	3,105,000
Rail Operations	133,431,327	146,501,015	144,997,567	157,617,591	155,715,305
Bus Operations	132,955,915	141,428,361	135,029,058	146,404,846	139,464,784
Highway and Bridge Renewal	12,402,843	14,000,000	0	14,000,000	0
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
ADA Para-transit Program	25,565,960	27,175,000	27,175,000	28,880,000	28,880,000
Non-ADA Dial-A-Ride Program	576,361	590,770	576,361	609,084	576,361
Pay-As-You-Go Transportation Projects	0	0	27,718,098	0	22,687,740
TOTAL - Other Current Expenses	309,584,875	334,516,959	340,309,584	352,467,899	352,261,690
<u>Pmts to Local Governments</u>					
Town Aid Road Grants	0	30,000,000	30,000,000	30,000,000	30,000,000
TOTAL - Special Transportation Fund	524,807,022	596,676,545	602,047,234	610,517,104	603,255,340



# DEPARTMENT OF SOCIAL SERVICES

## AGENCY PURPOSE

<http://www.ct.gov/dss>

The Department of Social Services (DSS) provides a continuum of services to families and individuals who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living in cooperation with other state agencies, municipalities, and community-based organizations. The

department administers, coordinates, plans, delivers and funds approximately ninety health and human service programs to support eligible children, youth, families, adults, elderly and people with disabilities. More than one-half million Connecticut individuals are assisted by DSS' programs.

## RECENT HIGHLIGHTS

### *Medicaid for Low Income Adults*

In June 2010, the department received federal approval to cover low-income individuals in Medicaid under an option made available under the Affordable Care Act. Most Medicaid for Low Income Adult participants previously qualified for the State Administered General Assistance (SAGA) medical program. By replacing the SAGA medical program with Medicaid for Low Income Adults, the department receives federal reimbursement for a greater portion of program expenditures.

### *Money Follows the Person and Diversion Grants*

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals out of institutional settings and into community settings with appropriate supports. DSS receives enhanced federal Medicaid reimbursement for the first year of an individual's transition. MFP complements other ongoing state initiatives to provide community supports to individuals who are at risk of institutionalization or who are institutionalized and transitioning into the community. DSS began accepting applications in FY 2009 from persons interested in moving from institutions into the community. As of December 31, 2010, 400 persons had transitioned to the community under MFP; an additional 68 non-MFP clients were also transitioned to the community. The department anticipates transitioning 2,251 persons under MFP by the end of FY 2013. As part of MFP, the department is also pursuing federal support to help nursing facilities diversify their existing business model by restructuring and reducing the number of skilled nursing beds. The Affordable Care Act extends MFP through FFY 2020.

### *Medicare Savings Programs*

These Medicaid programs provide Medicare-related benefits to eligible individuals, including payment of Medicare Part B premiums. Individuals are automatically eligible for the Medicare Part D Low-Income Subsidy, also known as "Extra Help." In October 2009, the department aligned the maximum income limit for the Medicare Savings Programs (MSP) with that of the ConnPACE program. The department also eliminated the asset test for the Medicare Savings Programs. These changes allow most ConnPACE members to qualify for the Medicare Savings Programs, which provides them with Medicare-related benefits including the federal Low-Income Subsidy. For those clients enrolled in MSP, the state-funded ConnPACE program is no longer responsible for Medicare D prescription drug deductibles, copayments, premiums or coverage gap costs, resulting in savings to the state.

### *ARRA*

Under the American Recovery and Reinvestment Act (ARRA) of 2009, the department is receiving significant federal funding to support a broad range of programs, including Medicaid, weatherization, additional services through the Community Services Block Grant, emergency shelter grants for homelessness prevention and rapid rehousing, commodity assistance through the Emergency Food Assistance Program, child care assistance, elderly nutrition, and Community Services Employment for Older Americans. As of September 30, 2010, DSS received over \$1.2 billion in ARRA funds, including enhanced federal reimbursement under the Medicaid program. DSS received \$13.7 million in ARRA child care funds and \$38.9 million in ARRA TANF Emergency Contingency Funds.

### *Child Support*

Approval was granted in November 2009 to begin a Connecticut Child Support Enforcement System (CCSES) simplification and modernization project using ARRA funds. The first stage of the project is expected to be completed by January 31, 2011 with the final stage to be implemented by June 30, 2011. When completed, the project is expected to improve services to families and reduce the need of families to apply for state benefit programs; reduce costs for future CCSES programming; postpone the need for costly replacement of the child support system; increase productivity to offset staff shortages; increase federal performance ranking; and increase the collection of federal incentive funds.

### *HUSKY and Charter Oak*

The HUSKY A and HUSKY B programs and the Charter Oak Health Plan provide access to affordable health care for children and adults up to age 65, regardless of income. Under the HUSKY A and HUSKY B programs, lower income individuals and families receive financial assistance in the form of state subsidized premiums. Under the Charter Oak Health Plan, depending on the date of enrollment, state premium assistance may be available to individuals under a sliding scale based upon income. As of January 1, 2011, a total of 391,054 individuals were enrolled in the HUSKY A program, 14,874 individuals were enrolled in the HUSKY B program, and 8,326 individuals were enrolled in the Charter Oak Health Plan.

### *SNAP Caseload Growth*

Due to the July 2009 expansion of eligibility, the department saw dramatic increases in the Supplemental Nutrition Assistance Program (SNAP) (formerly Food Stamps). As a result of this policy change and the economy, average monthly caseloads for the federal program grew by 36% between July 2009 and October 2010.

## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>
• Remove or Limit Inflation	-4,018,594	-9,412,267
• Remove Funding for Vacant Positions	-3,000,000	-3,000,000
• Fund Equipment Through CEPF	-5,116,969	-1,538,849
• Remove Rate Add for Nursing Homes	-68,900,000	-95,500,000
<p><i>Under current statute, DSS is required to rebase nursing home rates no more than once every two years and no less than once every four years. Since nursing home rates were last rebased in FY 2006, the current services budget includes a rate increase of 5.66% in FY 2012 to reflect the rebasing of rates at a cost of \$66.9 million in FY 2012. To comply with DSS' regulations, the current services budget also includes a 1.9% inflationary adjustment in FY 2013 based on the anticipated increase in the gross national product (GNP) deflator. In addition, under current statute, DSS incorporates an adjustment to accommodate improvements to real property (referred to as a "fair rent adjustment") when setting annual nursing home rates. Legislation is being proposed to eliminate these increases over the biennium. However, a rate increase is being proposed for nursing homes under the proposal entitled Restructure Nursing Home User Fee.</i></p>		
• Eliminate Funding for Disproportionate Share Hospital (DSH) Grants	-83,275,000	-83,275,000
<p><i>Both the Disproportionate Share - Medical Emergency Assistance account and the DSH - Urban Hospitals in Distressed Municipalities account provide disproportionate share payments to acute-care general hospitals that serve a large number of low-income patients, such as people on Medicaid and the uninsured. These payments are in addition to the regular payments hospitals receive for providing inpatient care to Medicaid beneficiaries. As a result of the recent Medicaid expansion to low-income adults (LIA), hospitals are receiving more than triple the funding that they received under SAGA. (In FY 2011, hospitals would have received \$66.3 million under the SAGA hospital pool, which was capped regardless of caseload growth, but under LIA, which has already experienced a caseload growth of over 27% in the first six months of this fiscal year, hospitals are projected to receive over \$226.8 million - an increase of \$160.5 million. These figures do not include the increased reimbursement hospitals are receiving from DMHAS as a result of LIA.) Due to this significant influx in funding for hospitals, funding for the two DSH accounts is eliminated. In addition, it should be noted that under federal health care reform, DSH payments to states will be significantly phased down beginning in 2014 to account for anticipated reductions in the number of uninsured individuals.</i></p>		
• Implement the Recommendations of the Pharmaceutical Bulk Purchasing Committee for DSS	-76,300,000	-82,700,000
<p><i>Pursuant to PA 09-206, An Act Concerning Health Care Cost Initiatives, the committee charged with developing an implementation plan for a prescription drug purchasing program concluded that significant savings could be achieved if DSS were to either join the state's prescription drug program administered by the Office of the State Comptroller for the state employee and retiree prescription drug plan or mirror the reimbursement levels. Under this proposal, DSS' reimbursement levels will be reduced to align with those under the state employee and retiree programs.</i></p>		
• Reverse Recent Change to Marital Asset Exemption for Community Spouses	-29,300,000	-32,000,000
<p><i>Legislation from last session requires that the spouse of someone in an institution who remains in the community be allowed to receive the maximum amount of assets allowed by federal law. With this change, Connecticut became one of only 14 states that allows the community spouse to keep up to the federal maximum of \$109,560. Prior to the passage of PA 10-73, non-institutionalized spouses were allowed to keep the home, one car and one-half of the couple's assets (with a minimum amount of \$21,912) without affecting the institutionalized spouse's eligibility for long-term care Medicaid assistance. These asset levels are much higher than the vast majority of states, which use the federal minimum of \$21,912, with a few states using a slightly higher minimum. Under this proposal, the state's prior asset exemption of 50% of liquid marital assets, capped at \$109,560, would be reinstated.</i></p>		
• Suspend Cost of Living Adjustments for Clients on Public Assistance	-6,311,149	-12,517,317
<p><i>Effective July 1, 2011 and July 1, 2012, recipients of Temporary Family Assistance, State Administered General Assistance, and the Aid to the Aged, Blind and Disabled programs are scheduled to receive a state-funded cost of living adjustment based on the percentage increase in the Consumer Price Index - Urban (CPI-U). This proposal eliminates the standards increases for the biennium.</i></p>		
• Limit State-Funded Premium Assistance under the Charter Oak Health Plan	-7,240,000	-12,400,000
<p><i>Last year, as part of deficit mitigation efforts, premium assistance under the Charter Oak Health Plan was limited to clients who were enrolled in the program as of June 1, 2010. Premium assistance is scheduled to resume for all clients beginning July 1, 2011. Under this proposal, premium assistance, which ranges from \$50 to \$175, depending on income, will continue to be</i></p>		

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<i>limited to clients who were enrolled in the program as of June 1, 2010. Lower income individuals who choose to enroll in the Charter Oak Health Plan will be responsible for the full premium costs.</i>		
<ul style="list-style-type: none"> <li>• Reduce Non-Emergency Dental Services for Adults under Medicaid</li> </ul>	-9,800,000	-10,300,000
<i>Less than half of the states provide full dental benefits for adults under their Medicaid programs; most states have annual expenditure caps or provide only emergency coverage. In the last few years, many states have reduced or eliminated adult dental benefits offered through Medicaid. Under this proposal, changes will be made to the current dental benefits for adults that will reduce the overall program expenditures while maintaining services that will prevent further disease, unnecessary emergency department use and maintain appropriate oral health. Changes include limiting adult periodic exams, cleanings and bitewing x-rays to once per year for healthy adults. In addition, new regulations will soon be promulgated that will significantly restrict the use of dental procedure codes for both children and adults to cases of clearly defined criteria based on medical necessity.</i>		
<ul style="list-style-type: none"> <li>• Impose Cost-Sharing Requirements on Certain Individuals Receiving Medicaid Services</li> </ul>	-8,250,000	-9,450,000
<i>According to the Kaiser Family Foundation, a total of 44 states impose co-payments under their Medicaid programs. Only six states, including Connecticut, have no co-payment requirements at all. Under this proposal, DSS will require co-pays of up to \$3.00, not to exceed 5% of family income on allowable medical services (excluding hospital inpatient, emergency room, home health, laboratory and transportation services). Under federal rules, co-pays for FFY 2011 can range from \$0.65 to \$3.65, depending on the cost of the service. Co-pays for pharmacy services will be capped at \$20 per month. Consistent with federal rules, certain children under age 18, individuals at or below 100% of the federal poverty level, SSI recipients, pregnant women, women being treated for breast or cervical cancer and persons in institutional settings are exempt from the cost sharing requirement.</i>		
<ul style="list-style-type: none"> <li>• Remove Rate Add for Boarding Homes</li> </ul>	-4,913,749	-8,550,425
<i>Under current statute, DSS is required to annually determine rates for various boarding homes. Per DSS regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. Current law also requires rates for residential care homes to be increased an additional 2% on top of inflation. This proposal eliminates the rate increases that have been included in the current services budget for boarding homes.</i>		
<ul style="list-style-type: none"> <li>• Strengthen Fraud Recovery Efforts</li> </ul>	-3,646,408	-7,628,729
<i>This proposal will result in savings by strengthening fraud recovery efforts in the Child Care (Care4Kids) program, the Personal Care Assistance waiver under Medicaid and in the Third Party Liability Unit. Six positions will be added to expand department oversight, investigate fraud and increase recoveries.</i>		
<ul style="list-style-type: none"> <li>• Restructure Non-Emergency Medical Transportation under Medicaid</li> </ul>	-6,300,000	-7,000,000
<i>Current regulations require DSS to pay for ambulance service for individuals who are stretcher bound but do not require medical attention during transport. Under this proposal, transportation options under Medicaid will be expanded to include stretcher van service for those individuals who are medically stable but must lie flat during transport. The new stretcher van rate will be significantly less than the non-emergency ambulance rate, which has a base rate of \$218 plus \$2.88 per mile (approximately \$275 for a 20 mile one-way trip). This change is consistent with at least twelve other states that have recognized the economic value of stretcher vans in their Medicaid programs. Under this restructuring, clients will continue to be safely transported.</i>		
<ul style="list-style-type: none"> <li>• Implement an Alternative Benefit Package and Other Programmatic Changes under the Medicaid Low Income Adult Program</li> </ul>	-3,000,000	-6,500,000
<i>To address the significant growth in the new coverage group for low-income adults (LIA) under Medicaid - well beyond budgeted levels - this option reflects savings associated with the implementation of an alternative benefit package, rate changes, targeted co-pays and other initiatives.</i>		
<ul style="list-style-type: none"> <li>• Delay Coverage of Medical Interpreters through an Administrative Process</li> </ul>	-6,000,000	-6,000,000
<i>To improve access to health care for Medicaid clients with limited English proficiency, the legislature required that DSS amend the Medicaid state plan to include foreign language interpreter services provided to any beneficiary with limited English proficiency as a covered service under the Medicaid program. Under this proposal, DSS will delay implementation until FY 2014. When implemented, services will be provided from one centralized vendor. This is a more cost-efficient, streamlined model than requiring a Medicaid state plan amendment, where providers will be allowed to submit claims for reimbursement of medical interpreter costs, and is federally reimbursable.</i>		
<ul style="list-style-type: none"> <li>• Increase Cost Sharing under the State-Funded Connecticut Home Care Program</li> </ul>	-5,510,000	-5,750,000
<i>PA 09-5, September special session, introduced a client cost sharing requirement of 15% of the costs of his or her care under the state-funded Connecticut Home Care program. This requirement was</i>		



<i>reduced to 6% under PA 10-179. Under this proposal, the cost sharing requirement will be returned to 15%.</i>		
<ul style="list-style-type: none"> <li>• Reduce State-Funded Premium Assistance under the Charter Oak Health Plan <i>Currently, individuals with income at or below 300% of the federal poverty level, enrolled in the Charter Oak Health Plan as of June 1, 2010, receive state-funded premium assistance, which ranges from \$50 to \$175, depending on income. Under this proposal, clients whose premiums are being subsidized by the state will be responsible for a greater share of the premiums.</i></li> </ul>	-4,790,000	-4,940,000
<ul style="list-style-type: none"> <li>• Allow Administration of Medication by Unlicensed Certified Providers <i>Currently, only nurses may administer medication in community settings. Home health aides may be part of the care team in an individual's home, but they are not permitted to administer medication. Under this proposal, specially trained and qualified home health aides will be allowed to administer oral and topical medications and eye drops. Nurses will still be required to administer all injections.</i></li> </ul>	-1,840,000	-4,180,000
<ul style="list-style-type: none"> <li>• Phase Out the ConnPACE Program <i>For the majority of ConnPACE enrollees, ConnPACE is the secondary payor to Medicare Part D. ConnPACE recipients are required to exhaust their Part D benefits under Medicare. The department pays any copays above \$16.25 and any premiums and deductibles, as well as any coverage gap costs, for those enrolled in Medicare Part D. With the recent increase in income eligibility under the Medicare Savings Programs (MSP), ConnPACE clients who are Medicare eligible are encouraged to enroll in MSP, which makes them eligible for the federal low income subsidy under Medicare Part D. As a result, prescription co-pays are reduced from a maximum of \$16.25 to no more than \$6.30 (co-pays could be as low as \$1.10). Under this proposal, ConnPACE coverage for Medicare eligible clients will be eliminated. Those clients who are Medicare eligible, but who do not otherwise qualify for the federal low income subsidy, will need to enroll in MSP to ensure their pharmacy costs remain affordable. By doing so, they will also be able to take advantage of the assistance provided under MSP. The remaining 110 clients or so who are not eligible for Medicare Part D benefits will be grandfathered under the ConnPACE program and will continue to receive assistance.</i></li> </ul>	-4,376,400	-4,127,400
<ul style="list-style-type: none"> <li>• Remove Rate Add for Intermediate Care Facilities <i>To comply with DSS' regulations, the current services budget includes a 3.0% increase in both FY 2012 and FY 2013 for Intermediate Care Facilities for those with developmental disabilities based on the anticipated increase in the gross national product (GNP) deflator. These rate increases are eliminated over the biennium.</i></li> </ul>	-1,900,000	-3,900,000
<ul style="list-style-type: none"> <li>• Eliminate Funding for Nurturing Families Network at Non-Hospital Sites <i>This proposal will eliminate funding for the Nurturing Families Network at non-hospital sites in New Haven and Hartford, which are the only cities receiving program funding for non-hospital sites. Services will continue to be provided at all 29 birthing hospitals throughout the state, including hospitals in New Haven and Hartford.</i></li> </ul>	-3,211,016	-3,211,016
<ul style="list-style-type: none"> <li>• Eliminate Funding to Low Priority Programs <i>Under this proposal, funding for a variety of non-entitlement accounts and programs within DSS will be eliminated. The following accounts will be eliminated: Children's Health Council, HUSKY Outreach, Human Resource Development and Human Resource Development-Hispanic Programs. In the following accounts, a portion of programs or services will be eliminated: Services to the Elderly, Services to Persons with Disabilities, Housing/Homeless Services, Day Care Projects and Community Services. These programs have been identified as low priorities and are not essential to DSS' core mission.</i></li> </ul>	-2,454,557	-2,454,557
<ul style="list-style-type: none"> <li>• Restructure Medicaid Reimbursement for Certain Hospital Outpatient Services <i>Currently, DSS sets the rates for outpatient hospital services that are paid using revenue center codes. Some codes are fixed fees - a specific dollar amount for a code and every hospital approved for that code gets the same amount. Other outpatient services are paid a percentage of cost to charges - the ratio is hospital specific and code specific based on the hospital department where the costs and charges are reported. Under this proposal, DSS will set state-wide fixed Medicaid fees for certain outpatient services that are now paid based on hospital specific ratio of cost to charges. In addition, the department will pay for outpatient pharmacy services based on the pricing system used for community pharmacies.</i></li> </ul>	-1,100,000	-2,400,000
<ul style="list-style-type: none"> <li>• Revise Medicare Part D Co-payment Requirements for Dually Eligible Clients <i>Currently, persons dually eligible for Medicare and Medicaid are responsible for paying up to \$15 per month in Medicare co-pays for Part D-covered drugs. Connecticut is one of only a few states assisting dually eligible clients with the costs of the Medicare Part D co-payments, which range from \$1.10 to \$6.30 in 2011. Under this proposal, dually eligible clients will be responsible for paying up to \$25 per month in Medicare co-pays for Part D-covered drugs.</i></li> </ul>	-2,200,000	-2,300,000
<ul style="list-style-type: none"> <li>• Reduce the Number Served under the HIV/AIDS Waiver <i>The HIV/AIDS waiver is a home and community-based services waiver that is being developed by DSS to provide additional services beyond those traditionally offered under Medicaid (e.g., case</i></li> </ul>	-700,000	-2,160,000



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management, homemaker, personal care assistance, adult day health and respite). Although the waiver was to serve up to 100 persons living with symptomatic HIV or AIDS, in recognition of the state's fiscal position, the number to be served under the waiver will be reduced to no more than 50 persons.

- Freeze Intake on Category 1 of the State-Funded Connecticut Home Care Program

*The state-funded Connecticut Home Care Program provides home and community-based services to elderly who are at risk of nursing home placement and meet the program's financial eligibility criteria. Category 1 is targeted to individuals who are at risk of hospitalization or short-term nursing facility placement if preventive home care services are not provided. Category 2 is targeted to individuals who are frail enough to require nursing facility care, but have resources that would prevent them from qualifying for Medicaid upon admission to a nursing facility. This proposal closes intake for Category 1 under the state-funded program. While this proposal does not impact existing clients, DSS has identified a new opportunity under the 1915(i) waiver that will allow the department to transfer dually eligible clients under the state-funded program who do not meet the functional requirements for the home care waiver program to Medicaid and claim reimbursement. To the extent that this is cost effective and based on federal approval, DSS will implement a program under Medicaid for Category 1 clients who are dually eligible. Under this proposal, intake to the state-funded program for Category 1 will be closed, but intake under the newly established Medicaid program will continue for those who qualify.*

-1,800,000    -2,100,000
- Reduce the Personal Needs Allowance for Residents of Long-Term Care Facilities

*Social Security and other income received by residents of long-term care facilities are applied towards the cost of care except for a monthly personal needs allowance (PNA). Residents use funds for such items as gifts, clothing, cosmetics, grooming, personal phone, cable TV, reading materials and entertainment outside of the facility. In 1998, Connecticut increased the PNA from the federal minimum of \$30 to \$50 per month and provided for July 1 annual updates equal to Social Security inflation increases. The Connecticut PNA is currently \$69 per month. Under this option, the PNA will be reduced from \$69 to \$60 per month, which is \$30 above the federal minimum. This level is same as the amount allowed in Massachusetts and \$10 higher than New York.*

-1,900,000    -2,050,000
- Reduce Funding to Non-Entitlement Accounts

*This proposal reduces funding for the following non-entitlement accounts by 10%: Safety Net Services, Employment Opportunities, Human Service Infrastructure Community Action Program and Teen Pregnancy Prevention. A portion of funding for programs in the Services for Persons with Disabilities and Community Services accounts will also be reduced. These programs have been identified as not essential to DSS' core mission.*

-1,723,003    -1,723,003
- Apply Annual Social Security Increases to Offset Costs under the Aid to the Aged, Blind and Disabled (AABD) Program

*In past years, any cost of living adjustments (COLA) received as part of an AABD client's Social Security benefit were considered an increase in income and applied to the client's cost of care. As a result of a legislative change, effective FY 2006, AABD clients retain their Social Security COLA (by increasing the unearned income disregard) without a concurrent reduction in their state benefit. This proposal reinstates the previous policy of applying any federal COLA to offset the cost of care.*

-458,684    -1,680,790
- Increase Ability to Bill Insurance Companies for LifeStar

*The LifeStar grant supports the operation and maintenance of the helicopter at Hartford Hospital. From FY 2002, when the grant was first introduced by the legislature at a cost of \$1.0 million, through the end of this fiscal year, the state will have provided assistance of over \$12.9 million for LifeStar. Under this proposal, the state's subsidy for LifeStar will be eliminated. This reduction will be offset by increasing Hartford Hospital's ability to bill insurance companies for the cost of the service.*

-1,388,190    -1,388,190
- Remove Funding for Legislative Earmarks

*In FY 2007 and FY 2008, the legislature provided new or additional funding for Citizenship Training, After School Programs, Senior Center Initiative Projects and Teen Pregnancy Prevention. This proposal will eliminate the funding for these legislative adds. It should be noted, as part of deficit mitigation efforts, no funds have been expended for Senior Center Initiative Projects in FY 2010 and FY 2011.*

-1,050,000    -1,050,000
- Restrict Vision Services for Adults under Medicaid

*The provision of eyeglasses, contact lenses and services provided by optometrists for adults are considered optional under federal Medicaid rules. Under this proposal, the coverage of eyeglasses will be reduced to no more than one pair every other year. To comply with federal rules, the current benefit will continue to be provided to all children under the age of 21 under the HUSKY A program.*

-825,000    -950,000
- Cap the Total Number of Beds under Small House Nursing Home Projects

*PA 08-91 requires DSS to establish, within available appropriations, a pilot program to support the development of up to ten "small house nursing home" projects with the goal of improving the quality of life for nursing home residents by providing care in a more home-like setting. While each*

0    -750,000

unit can house no more than ten individuals, each project can have multiple units. One project that is in the early stages of development will convert approximately 280 certified beds to this model. To control future costs, this proposal restricts any further development of "small house nursing home" by capping the number of beds at 280.

<ul style="list-style-type: none"> <li>• Implement Pharmacy Integrated Behavioral Health Prescribing Intervention Pilot <i>Initial analysis of the HUSKY program's utilization data and medical records has determined significant opportunities for quality improvement in the area of behavioral health pharmacy prescribing. Under this proposal, the ASO for the Behavioral Health Partnership will implement a pharmacy intervention demonstration project, utilizing a progressive intervention strategy. Intervention will range from mailing Prescriber Profile Reports, which demonstrate actual prescribing metrics against key benchmarks within the HUSKY program, to consultations between the ASO medical director and key physicians. While the interventions are targeted to high volume or high risk prescribing practitioners, the effect of establishing a culture of best practice will impact the ongoing care for the HUSKY population at large, resulting in improved quality and cost containment.</i></li> </ul>	-350,000	-700,000
<ul style="list-style-type: none"> <li>• Reduce Benefits under the State-Funded Supplemental Nutrition Assistance Program <i>The State-Funded Supplemental Nutrition Assistance Program provides benefits for non-citizens who are ineligible for the federal Supplemental Nutrition Assistance Program (SNAP). Connecticut is one of only seven states to offer such a program for non-citizens. Clients under the state-funded program receive a benefit that is equal to 75% of what they would have received under the federal program. This proposal reduces the monthly state-funded benefit from 75% to 50% of the federal SNAP benefit.</i></li> </ul>	-478,036	-675,322
<ul style="list-style-type: none"> <li>• Eliminate State Funding for AIDS Drug Assistance Account <i>The Connecticut AIDS Drug Assistance Program (CADAP) covers the cost of federally approved HIV antiretroviral drugs and drugs which prevent opportunistic infections associated with HIV/AIDS. This program is primarily funded with federal Ryan White Title II funds. The state account supplements this funding, but is not needed to cover program expenditures given the level of federal funding available. In addition, due to the significant growth in the new coverage group for low-income adults (LIA) under Medicaid and the expansion in eligibility due to the elimination of the asset test, it is expected that many individuals who had been served under CADAP are now eligible for full medical coverage under LIA.</i></li> </ul>	-606,678	-606,678
<ul style="list-style-type: none"> <li>• Implement Changes to the Security Deposit Guarantee Program <i>This proposal makes several changes to the Security Deposit Guarantee Program to strengthen program criteria and enhance identification of fraudulent claims. Under this proposal, the following changes are recommended to generate savings: 1) the time required before a client can reapply for a Security Deposit will be extended from 18 months to 5 years; 2) the proof of homelessness criteria will be improved to require legal documentation that is filed in court; 3) landlords will be required to provide DSS with receipts (rather than estimates) for the damage caused by the tenant and; 4) a small client co-pay will be added to ensure clients have a buy-in in the program. The co-pay will not exceed 10% of one month's rent and may be waived at the Commissioner's discretion.</i></li> </ul>	-457,610	-499,210
<ul style="list-style-type: none"> <li>• Reduce Funding for the Connecticut Children's Medical Center <i>Since FY 1999, the Connecticut Children's Medical Center (CCMC) has received a grant subsidy from DSS to help compensate the hospital for the cost of providing health care to Medicaid clients. CCMC's base grant amount of \$7.0 million was increased further in FY 2007 with the hospital now receiving \$11 million annually. Under this proposal, the grant to CCMC will be reduced by 4%.</i></li> </ul>	-440,800	-440,800
<ul style="list-style-type: none"> <li>• Suspend Funding for Casino Bus Run <i>The casino bus transports working individuals with income less than 75% of the state median income from Hartford Country to Foxwoods and Mohegan Sun. This proposal discontinues funding for the casino bus, as it is not critical to DSS' core mission.</i></li> </ul>	-360,272	-360,272
<ul style="list-style-type: none"> <li>• Eliminate State Funds for Fatherhood Initiative <i>In September 2006, DSS received a five-year federal grant totaling \$5 million for the Fatherhood Initiative. DSS has a federal match requirement of approximately \$195,000 per year. This match requirement ends after September 2011. This proposal eliminates state funds for the program for the remainder of FY 2012 and all of FY 2013, as there will be no federal requirement to maintain the match for the program.</i></li> </ul>	-146,250	-195,000
<ul style="list-style-type: none"> <li>• Eliminate Funding for Safe Harbor Respite Home <i>Safe Harbor Respite is a new program under the jurisdiction of DCF that was proposed by the legislature to fund a group home for adolescent girls (ages 13-17) who are experiencing problems living at home. In FY 2011, the legislature eliminated DCF funding, which represented the majority of the program's funding, but retained funds for in DSS for support services. Under this proposal, the remaining balance will be eliminated.</i></li> </ul>	-105,717	-105,717
<ul style="list-style-type: none"> <li>• Reduce State Funding for Independent Living Centers <i>DSS' Bureau of Rehabilitation Services provides funds to maintain the operation of five independent</i></li> </ul>	-96,589	-96,589

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living centers. These are non-residential, non-profit agencies that provide an array of services for persons with disabilities. In addition to the core state funding, each of the five centers is expected to receive approximately \$210,000 annually in direct funds from the federal Rehabilitation Services Administration and through other federal dollars that flow through DSS to the centers. Under this proposal, state funding for the independent living centers is reduced by 15%.

- Eliminate Balance of Funding for Project Homeshare -52,566 -52,566  
*The Home Share program matches adults, at least one of whom is 60 years of age or older, to share household expenses, services or companionship. Funding for this program was eliminated in FY 2010 due to the difficulties in finding compatible matches under this program. However, the FY 2011 budget only included savings associated with eliminating the component under Grants-Other. This proposal eliminates the corresponding portion of the program funded under Grants to Towns.*

**Reallocations or Transfers**

- Restructure State Government 7,574,188 7,448,286  
*Under this proposal, the Commission on the Deaf and Hearing Impaired and certain functions from the Board of Education and Services for the Blind will be consolidated within DSS. Funding for the Commission on the Deaf and Hearing Impaired, including five full-time positions and approximately 40 part-time interpreter positions, and funding for the Board of Education and Services for the Blind, including 58 full-time positions, will be transferred to DSS.*
- Transfer Information Technology Managers from DOIT to Line Agencies 322,698 311,117  
*This proposal transfers three information technology managers from the Department of Information Technology back to DSS.*
- Transfer Funds from Judicial Department's Court Support Services Division (CSSD) to DSS 10,000 10,000  
*Under this proposal, funds will be transferred from CSSD to DSS for the Point-In Time Homelessness Count, which is a statewide standardized and coordinated census of homelessness. The funds supplement administrative costs for the project, such as data collection and entry into the federal Homeless Management Information System.*
- Transfer the Child Day Care Program to the State Department of Education -16,445,153 -16,442,252  
*This proposal transfers the funds for child day care center slots to the State Department of Education. The State Department of Education already provides funding for child day care center slots under the School Readiness program. Under this proposal, the State Department of Education will develop a framework to expand the School Readiness program to include the slots that will be transferred.*
- Reallocate School Readiness Funding in the Child Care Quality Enhancements Account to State Department of Education -1,158,608 -1,158,608  
*Currently, DSS transfers funds to the State Department of Education for enhancement grants for SDE's School Readiness Program. Under this proposal, these funds will be moved to SDE to reduce fund transfers between agencies. The grant will continue to fund quality initiatives under the SDE School Readiness program.*
- Transfer Funds to Support Waiver Slots under Mental Health Waiver -489,000 -1,026,000  
*Under this proposal, funds will be transferred from Medicaid to DMHAS to support 30 additional waiver slots for diversion.*
- Realign Grant Funding to More Appropriately Reflect Funding Sources 0 0  
*Under this proposal, funds in the Community Services and Teen Pregnancy Prevention accounts will be reallocated between the corresponding non-municipal and municipal accounts.*
- Consolidate Funding in Various Areas 0 0  
*This proposal consolidates all funds for the United Way 2-1-1 contract to the Child Care Quality Enhancements account. In addition, funds that support child day care center slots will be transferred from the Day Care Projects and Child Care Quality Enhancements accounts to the municipal and non-municipal Child Day Care accounts.*

**Revenues**

- Reinstatement Hospital User Fee 266,600,000 269,000,000  
*The hospital user fee was first instituted in April 1994 and was eliminated in April 2000. Under this proposal, a user fee on hospitals will be reinstated. Reinstating a hospital user fee is consistent with the direction that many other states have taken - according to the Kaiser Family Foundation, 29 states (including all of the other New England states) had in place a hospital user fee in 2010 and another 5 plan to adopt a user fee in 2011. Under this proposal, funds will be redistributed to the hospitals under the disproportionate share hospital (DSH) program and the state will receive 50% federal reimbursement. Recognizing that the DSH program may be phased down beginning in 2014 due to changes under the Affordable Care Act, hospital rates may be increased in combination with the DSH payments to hospitals to maintain the overall payments to hospitals in the aggregate. The user fee, in the aggregate, will hold the hospitals harmless and allow the state to claim net additional revenue of \$134.5 million when fully annualized in FY 2013.*
- Restructure Nursing Home User Fee 42,700,000 51,500,000

Federal rules allow for user fees not to exceed 5.5% of provider revenue through September 30, 2011, increasing to 6.0% thereafter. Under this proposal, the existing nursing home user fee will be increased to maximize the amount of revenue to the state. In addition, the nursing home industry will receive a substantial infusion of new funding through their Medicaid rates. The revenue gained from the user fee assessment will be returned to the nursing homes in the form of increased Medicaid rates as well as any federal dollars gained from that initial federal claiming. When fully annualized in FY 2013, nursing homes will be assessed an additional user fee of \$34.3 million while realizing a Medicaid rate increase of \$51.5 million. This proposal will result in a net gain to the state of \$8.5 million when fully annualized in FY 2013.

<ul style="list-style-type: none"> <li>• Implement ICF/MR User Fee Under this proposal, the user fee will be extended to both private and public intermediate care facilities for the mentally retarded (ICF/MRs). Extending a user fee to ICF/MRs is consistent with the direction that many states have taken - according to the Kaiser Family Foundation, 33 states had in place an ICF/MR user fee in 2010 with an additional state planning to adopt an ICF/MR user fee in 2011. For private ICF/MRs, the revenue gained from the user fee assessment will be returned in the form of increased Medicaid rates as well as any federal dollars gained from that initial federal claiming. When fully annualized in FY 2013, private ICF/MRs will be assessed a user fee of \$3.9 million while realizing a Medicaid rate increase of \$5.8 million. For public ICF/MRs, the Department of Developmental Services' appropriation has been increased to cover the cost of the user fee that will be assessed by and paid to the Department of Revenue Services; DSS will then be able to claim revenue on the DDS payments for net savings of \$6.7 million in FY 2013, when fully annualized. In total, this proposal will result in a net gain to the state of \$7.6 million when fully annualized in FY 2013.</li> </ul>	4,100,000	5,800,000
<ul style="list-style-type: none"> <li>• Modify PCIP Premium Schedule and Revise Charter Oak Eligibility The state's high risk pool, Connecticut Pre-existing Condition Insurance Plan (CT PCIP), has been in operation since August 1, 2010. Interest in the program has been low - as of February 1, 2011, there were only 45 individuals enrolled in the program. Individuals who have a pre-existing condition can enroll in the CT PCIP, which is subject to monthly premiums that range from \$243 to \$893, depending on age, or they can enroll in the Charter Oak Health Plan, which has a flat, non-age-adjusted premium of \$307 per month. Under this proposal, the department will compress or eliminate age rating in the CT PCIP and establish statewide premium(s) somewhat higher than the current Charter Oak premium but, on average, significantly less than the current premium. Individuals will not be able to enroll in the Charter Oak Health Plan if they are eligible for coverage under the CT PCIP. This proposal will not impact current enrollees in the Charter Oak Health Plan who have a pre-existing condition. These changes will allow the state to leverage the \$50 million available to Connecticut to support clients' premium costs and will increase the likelihood that Connecticut's administrative costs will fall within the 10% cap and thus can be charged in their entirety to the federal allotment.</li> </ul>	0	0
<ul style="list-style-type: none"> <li>• Eliminate the Establishment of a Long-Term Care Reinvestment Account Current statute requires the establishment of a Long-Term Care Reinvestment account, a non-lapsing account within the General Fund, beginning in FY 2012. The account is to be funded with the 25% enhanced federal match received under Money Follows the Person in the first year of each transition to support changes in the long-term care infrastructure. The state is projected to receive enhanced reimbursement of \$4.8 million in FY 2012 and \$6.7 million in FY 2013. Under this proposal, the 25% enhanced match will continue to be treated as General Fund revenue.</li> </ul>	0	0
<ul style="list-style-type: none"> <li>• Charge \$25 Fee for Child Support Collections for Non-TFA Clients The Child Support Enforcement program is a joint federal-state effort to strengthen families and reduce welfare dependency by ensuring that parents live up to the responsibility of supporting their children. In accordance with the Deficit Reduction Act of 2005, a \$25 annual fee was mandated from the first \$500 collected of any non-TFA custodial party beginning in FFY 2008. In any given year, no fee is charged if less than \$500 is collected from the custodial party. Currently, the state pays the federal government approximately \$430,000 in these fees. Under this proposal, the custodial party will absorb the cost of this fee.</li> </ul>	0	0
<p><b>New or Expanded Services</b></p> <ul style="list-style-type: none"> <li>• Expand Tobacco Cessation Efforts under Medicaid Effective October 2010, Connecticut began providing smoking cessation coverage for pregnant women on Medicaid as required under federal health care reform, but smoking cessation services for other Medicaid recipients are not yet covered. Medicaid recipients are at higher risk for tobacco addiction than the general population because low income is a variable strongly associated with tobacco use. In 2006, Massachusetts began providing a smoking cessation benefit for Medicaid beneficiaries and in the first 2.5 years, the smoking rate fell 26% with corresponding decreases in heart attacks and emergency department visits for asthma symptoms among cessation benefit users. Under this proposal, tobacco cessation services will be extended to all Medicaid recipients beginning January 1, 2012.</li> </ul>	<p><b>2011-2012</b> 3,750,000</p>	<p><b>2012-2013</b> 7,500,000</p>
	<p><b>2013-2014</b> 7,725,000</p>	

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- Upgrade DSS' Eligibility Management System 1,556,737      453,016      0  
*The replacement of DSS' Eligibility Management System (EMS) is long overdue, but has been delayed due to costs projected at \$100 million or more. With the passage of the Affordable Care Act and the need to have state systems in place on January 1, 2014, to support the operation of exchanges, states that streamline and upgrade their Medicaid eligibility systems to provide a simple and seamless enrollment experience for consumers who qualify for Medicaid or who are shopping for health insurance in the exchanges will be eligible for 90% federal reimbursement. This enhanced reimbursement applies to the design, development and installation of automated Medicaid eligibility systems and is unprecedented. Recognizing this opportunity, funds are provided for consultation services and staff to assist with the procurement and development of a new eligibility system.*
- Provide RAP Certificates for New Supportive Housing Units 0      775,850      1,551,700  
*The proposed capital budget for the upcoming biennium includes \$30.0 million in FY 2012 for supportive housing initiatives under the Department of Economic and Community Development. This option provides half year funding in FY 2013 to cover RAP certificates for 150 units.*
- Conduct MITA State Self Assessment 500,000      0      0  
*In order to improve the effective administration of the Medicaid program and maximize enhanced federal matching funds to support ongoing system operation, modifications, and enhancements, this proposal provides funds to procure consultant services to (1) conduct a MITA (Medicaid Information Technology Architecture) State Self-Assessment and (2) develop an associated MITA strategic roadmap to outline planned improvements in Medicaid business processes and technology support. This effort will support more effective program administration, including better automation of program functions, and timelier and less costly implementation of program initiatives and is federally reimbursable at a rate of 90%.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,804	70	1,874	0	1,874
Federal Contributions	309	32	341	0	341
Private Funds	27	14	41	0	41
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	110,298,644	122,767,623	125,169,104	118,896,735	121,181,200
Other Expenses	107,252,393	89,457,395	90,468,432	92,189,616	89,812,301
<u>Capital Outlay</u>					
Equipment	1	5,116,970	1	1,538,850	1
<u>Other Current Expenses</u>					
Children's Trust Fund	13,173,147	13,502,476	9,856,414	13,921,052	9,856,414
Children's Health Council	218,317	223,775	0	230,712	0
HUSKY Outreach	335,564	343,953	335,564	354,616	335,564
Genetic Tests in Paternity Actions	191,142	199,552	191,142	207,933	191,142
State-Funded Suppl Nutrition Assistance	816,357	1,414,090	936,054	2,025,966	1,350,644
Day Care Projects	478,820	490,791	0	506,005	0
HUSKY Program	36,612,000	38,700,000	37,700,000	43,700,000	42,600,000
Charter Oak Health Plan	15,150,000	20,800,000	8,770,000	25,100,000	7,760,000
Part-Time Interpreters - Hearing Impaired	0	0	195,241	0	191,633
TOTAL - Other Current Expenses	66,975,347	75,674,637	57,984,415	86,046,284	62,285,397
<u>Pmts to Other than Local Govts</u>					
Vocational Rehabilitation	7,386,668	7,571,335	7,386,668	7,806,046	7,386,668
Medicaid	4,410,983,855	4,659,550,000	4,492,766,800	4,820,400,000	4,615,160,300
Lifestar Helicopter	1,388,190	1,422,895	0	1,467,004	0
Old Age Assistance	34,955,566	38,036,824	35,486,987	40,851,316	35,649,918
Aid to the Blind	729,000	854,735	767,306	928,504	752,223

Aid to the Disabled	61,575,424	67,039,552	61,512,712	71,079,865	60,728,321
Temporary Assist to Families - TANF	118,958,385	122,165,559	120,401,266	125,361,706	122,010,034
Emergency Assistance	475	1	1	1	1
Food Stamp Training Expenses	12,000	12,300	12,000	12,681	12,000
Conn Pharmaceutical Assist to Elderly	6,588,700	4,678,800	664,900	3,957,400	255,000
Healthy Start	1,490,220	1,527,476	1,490,220	1,574,827	1,490,220
DMHAS – Disproportionate Share	105,935,000	105,935,000	105,935,000	105,935,000	105,935,000
Connecticut Home Care Program	55,650,000	62,500,000	51,290,000	65,000,000	52,650,000
Human Resource Dev-Hispanic Pgms	1,040,365	1,066,374	0	1,099,432	0
Services to the Elderly	4,593,155	4,534,834	3,471,552	4,673,865	3,471,552
Safety Net Services	2,100,897	2,153,419	1,890,807	2,220,175	1,890,807
Transportation for Employment Indep	3,155,532	3,234,420	3,155,532	3,334,687	3,155,532
Transitory Rental Assistance	572,680	0	0	0	0
Refunds of Collections	177,792	182,237	177,792	187,886	177,792
Services for Persons with Disabilities	660,544	677,057	500,865	698,046	500,865
Child Care Services - TANF/CCDBG	98,415,536	100,398,443	97,598,443	109,804,819	104,304,819
Nutrition Assistance	447,663	458,855	447,663	473,079	447,663
Housing/Homeless Services	50,224,357	54,296,194	52,538,401	59,736,596	57,029,871
Employment Opportunities	1,169,810	1,199,055	1,052,829	1,236,226	1,052,829
Human Resource Development	38,581	39,546	0	40,771	0
Child Day Care	10,617,392	10,882,827	0	11,220,194	0
Independent Living Centers	643,927	660,025	547,338	680,486	547,338
AIDS Drug Assistance	606,678	606,678	0	606,678	0
Disproportionate Share-Med Emer Asst	51,725,000	51,725,000	266,600,000	51,725,000	269,000,000
DSH-Urban Hospitals/Distressed	31,550,000	31,550,000	0	31,550,000	0
State Administered General Assistance	4,109,400	14,736,800	14,550,817	15,137,100	14,723,163
Child Care Quality Enhancements	4,561,767	4,675,811	3,337,757	4,820,761	3,337,757
Connecticut Children's Medical Center	11,020,000	11,020,000	10,579,200	11,020,000	10,579,200
Community Services	2,735,606	2,803,996	1,226,093	2,890,920	1,177,343
Alzheimer Respite Care	2,294,388	2,351,748	2,294,388	2,424,652	2,294,388
Human Svcs Infrastructure CAP	3,798,856	3,893,827	3,418,970	4,014,536	3,418,970
Teen Pregnancy Prevention	1,527,384	1,565,569	2,001,372	1,614,101	2,001,372
Vocational&Supplemental Svcs for the Blind	0	0	2,053,604	0	2,053,604
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>5,093,440,793</b>	<b>5,376,007,192</b>	<b>5,345,157,283</b>	<b>5,565,584,360</b>	<b>5,483,194,550</b>
<b><u>Pmts to Local Governments</u></b>					
Child Day Care	5,263,706	5,395,299	0	5,562,553	0
Human Resource Development	31,034	31,810	0	32,796	0
Human Resource Dev-Hispanic Pgms	5,900	6,048	0	6,235	0
Teen Pregnancy Prevention	870,326	892,084	56,567	919,739	56,567
Services to the Elderly	44,405	45,515	0	46,926	0
Housing/Homeless Services	686,592	703,756	634,026	725,572	634,026
Community Services	116,358	119,267	87,268	122,964	87,268
<b>TOTAL - Pmts to Local Governments</b>	<b>7,018,321</b>	<b>7,193,779</b>	<b>777,861</b>	<b>7,416,785</b>	<b>777,861</b>
<b>TOTAL - General Fund</b>	<b>5,384,985,499</b>	<b>5,676,217,596</b>	<b>5,619,557,096</b>	<b>5,871,672,630</b>	<b>5,757,251,310</b>
Other Expenses	475,000	486,875	475,000	501,968	475,000
<b>TOTAL - Insurance Fund</b>	<b>475,000</b>	<b>486,875</b>	<b>475,000</b>	<b>501,968</b>	<b>475,000</b>
<b><u>Additional Funds Available</u></b>					
Federal and Other Activities	569,489,835	560,546,100	550,033,653	572,649,027	560,921,248
Private Funds	3,709,194	3,040,236	4,686,474	2,991,729	4,815,394
<b>TOTAL - All Funds Net</b>	<b>5,958,659,528</b>	<b>6,240,290,807</b>	<b>6,174,752,223</b>	<b>6,447,815,354</b>	<b>6,323,462,952</b>



# STATE DEPARTMENT ON AGING

## AGENCY PURPOSE

Pursuant to Public Act 05-280, a 20-member task force was formed to study the re-establishment of a department on aging and to make recommendations on revisions to the general statutes and other changes needed to launch the new department. As a result of their findings, the task force recommended that the General Assembly analyze the service needs of the state's elderly population by

conducting a long-term care needs assessment. This assessment was completed by the University of Connecticut Health Center in June 2007. An additional study was conducted by Southern Connecticut State University.

The new State Department on Aging is scheduled to begin operations effective July 1, 2013.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<u>2011-2012</u>	<u>2012-2013</u>
• Transfer Equipment Through CEPF	-6,199	-3,599
• Remove or Limit Inflation	0	-3,682
• Delay the Establishment of the State Department on Aging until July 1, 2013	-435,323	-424,923

## AGENCY SUMMARY

<b>Financial Summary</b>	2011-2012		2011-2012		2012-2013	
	2010-2011	Current	Total	Current	Total	2012-2013
	Estimated	Services	Recommended	Services	Recommended	
Personal Services	0	317,072	0	306,672	0	0
Other Expenses	0	118,250	0	121,932	0	0
<u>Capital Outlay</u>						
Equipment	2	6,200	0	3,598	0	0
TOTAL - General Fund	2	441,522	0	432,202	0	0



# SOLDIERS, SAILORS AND MARINES FUND

## AGENCY PURPOSE

- To provide temporary financial assistance to needy wartime veterans and their families.
- To provide veterans with counseling and guidance to assist them in overcoming a variety of adverse circumstances.
- To refer veterans to appropriate state, federal and local organizations in circumstances where long-term assistance is required.

## RECENT HIGHLIGHTS

The Soldiers, Sailors and Marines Fund commenced its tenth decade of service to Connecticut's veterans, having commemorated the ninetieth anniversary of the agency's founding in 2009.

The agency participated in demobilization briefings for returning Connecticut National Guard and Reserve units in order to ensure

awareness of the assistance available to veterans from the Soldiers, Sailors and Marines Fund.

The agency also participated in "Stand Down" at the Veterans Home in Rocky Hill as part of its efforts to further outreach to the veteran population.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Remove or Limit Inflation
- Co-locate SSM Central Office and Department of Veteran Affairs' Office of Advocacy and Assistance  
*Additional funds are necessary for the relocation of the SSMF Central Office for FY 2012 only. The Office staff will be moved from their currently leased space in Hartford to the state-owned Department of Veterans Affairs Rocky Hill campus. This move is expected to result in more efficient, higher quality services provided to Connecticut's veterans and to reduce total state leasing costs by \$20,000 annually.*

	<u>2011-2012</u>	<u>2012-2013</u>
Remove or Limit Inflation	-67,945	-141,497
Co-locate SSM Central Office and Department of Veteran Affairs' Office of Advocacy and Assistance	12,000	0

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
Soldiers, Sailors and Marines Fund	9	0	9	0	9
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	568,991	614,866	614,866	604,504	604,504
Other Expenses	63,960	43,459	54,397	44,809	42,397
<u>Other Current Expenses</u>					
Award Payments to Veterans	1,979,800	2,046,683	1,979,800	2,118,885	1,979,800
Fringe Benefits	380,653	411,973	411,973	424,835	424,835
TOTAL - Other Current Expenses	2,360,453	2,458,656	2,391,773	2,543,720	2,404,635
TOTAL - Soldiers, Sailors and Marines Fund	2,993,404	3,116,981	3,061,036	3,193,033	3,051,536





# DEPARTMENT OF EDUCATION

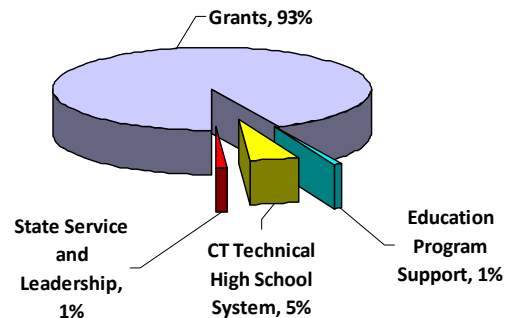
## AGENCY PURPOSE

<http://www.state.ct.us/sde/>

- Support school districts with leadership, curriculum, research, planning, evaluation, education technology data analyses and other assistance as needed.
- Distribute funds to school districts through 26 grant programs, including Education Cost Sharing (by far the largest grant to districts) at \$1.9 billion.
- Operate the 16 technical high schools, a system that serves approximately 10,500 full-time high school and adult day students with comprehensive education and training in 39 occupational areas and 3,750 adult apprenticeship students.
- Assess the achievements of students and schools through statewide testing, strategic school profiles and reporting on the condition of education.
- Ensure the quality of teachers by testing teacher candidates, supporting and assessing beginning teachers and providing professional development opportunities.
- Work with local school districts to improve student achievement.

### MAJOR PROGRAM AREAS

(Based on FY2010 Budget)



The Connecticut Technical High School System (CTHSS), which is overseen by the State Board of Education as a division of SDE, is the state's largest secondary school system serving approximately 10,500 high school students and about 3,750 adult learners. PA 10-76 required that the CTHSS be a separate budgeted agency from SDE; however, the intent of the act was to provide more transparency and better accountability of the budgetary needs of the CTHSS. This can be accomplished within the existing agency structure because the CTHSS is a separate program within SDE; therefore, the budget for CTHSS is recommended within the overall budget for SDE.

## RECENT HIGHLIGHTS

### Early Childhood

The Department reconstituted the membership and governance of the Early Childhood Cabinet. The newly constituted Early Childhood Cabinet commenced in January 2010. One of the new Cabinet's roles will be to design an action plan in response to the Federal Head Start program.

### Secondary School Reform

The Department of Education has begun implementation of The Connecticut Plan: Academic and Personal Success of Every Middle and High School Student under P.A. 10-111, including increased requirements for high school graduation, greater emphasis on mathematics and science, and additional student support systems.

### No Child Left Behind: State Accountability

Under Section 223 (e) of the CT General Statutes, the Department of Education will continue its intervention work with sixteen "partner"

districts that are in their fourth and fifth years of "improvement," as defined by *No Child Left Behind*. Under the new requirements of the American Recovery and Reinvestment Act (ARRA), school accountability will undergo significant changes, principally in four districts whose schools are among the lowest 5% performing across the state. Models for intervention and improvement will now be prescribed directly for these relatively few schools.

### Implement the Stipulated Sheff Agreement

In 2009, the State Department of Education, Hartford Public Schools, and CREC worked to enable 27 percent of Hartford's students to be educated in less racially-isolated settings. By 2013, the department expects to have fully implemented the goals of the Stipulated Sheff Agreement of 80 percent of the demand for such desegregated education being met or 41 percent of Hartford's minority students being educated in a reduced racial isolation setting.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Fund Equipment Through CEPF
- Remove or Limit Inflation
- Reduce Transportation Grant Based on Results from FY 2012 RESC Alliance Study

	<b>2011-2012</b>	<b>2012-2013</b>
	-405,399	-147,199
	-3,570,588	-7,761,927
	50,000	-1,000,000

• Flat Fund Public Transportation of School Children at the FY 2011 Level	-19,314,280	-19,314,280
• Reduce LEAP and Neighborhood Youth Centers Grants by 10%	-233,700	-233,700
• Reduce Priority School District and Transportation Grants by an Additional 10%	-7,296,405	-7,143,766
• Extend Cap on State Expenditures for the Transportation of Public School Children Grant	-40,784,594	-46,180,509
• Extend Cap on State Expenditures for the Excess Costs-Student Based Grant	-30,046,534	-43,566,774
• Extend Cap on State Expenditures for the Nonpublic Health Services Grant	-3,049,758	-3,560,392
• Extend Cap on State Expenditures for the Nonpublic School Transportation Grant	-1,384,762	-1,578,971
• Extend Cap on State Expenditures for the Adult Education Grant	-1,321,202	-2,008,452

**Reallocations or Transfers**

• Transfer Information Technology Managers from DOIT to Line Agencies	0	0
• Incorporate the Budget for the Technical High School System Within SDE	149,543,414	143,627,045
• Reallocate School Readiness Enhancement Grant from DSS to SDE	1,158,608	1,158,608
• Reallocate School Facilities Bureau from SDE to the Department of Construction Services	-865,275	-836,740
• Reallocate BESB Education Grants to SDE	4,839,899	4,821,904
• Phase in the Operation of CTHSS to District- or RESC-Run With New Technical High School Grant <i>This would phase in the operation of the Connecticut Technical High School System (CTHSS) over a four year period to be operated by the districts in which the schools are located or by the Regional Education Service Center (RESC) that serves the area. A new Technical High School Grant will be provided to districts and/or RESCs for the operation of such schools.</i>	0	0
• Transfer OPM Education Related Grants to SDE	2,337,000	2,337,000
• Transfer the DSS Child Day Care Program to SDE	16,445,153	16,442,252

**New or Expanded Services**

• Increase Child Care Services Slot Rates <i>Additional funding is added in order to equalize the DSS preschool slot rates with the SDE preschool slot rates. The remaining funding from this pool of money would go to increase the infant/toddler slot rates in order to maintain the level of those slots.</i>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>
	1,977,500	1,977,500	1,977,500
• Provide Additional Funding to Match Private Early Childhood Funding <i>With this additional funding the state will appropriate a total of \$1.7 million over the biennium to finance the state portion of an important public/private partnership to support early childhood education, parent leadership training and early literacy. With this state investment, about \$4.1 million will be matched from private philanthropic sources, including the Graustein and Annie Casey Foundations as well as the Child Health and Development Institute.</i>	22,500	22,500	22,500

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,706	33	1,739	2	1,741
Bond Funds	2	-2	0	0	0
Federal Contributions	138	2	140	0	140
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	-1	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	144,794,284	25,463,475	126,408,715	24,670,351	92,159,640
Other Expenses	17,476,121	3,119,555	15,572,162	1,976,686	10,320,294
<u>Capital Outlay</u>					
Equipment	1	405,400	1	147,200	1
<u>Other Current Expenses</u>					
Educ Aid Blind/Visually Handicap Child	0	0	4,839,899	0	4,821,904
Basic Skills Exam Teachers in Training	1,239,559	1,306,734	1,291,314	1,305,794	1,270,775
Teachers' Standards Implementation Pgm	2,896,508	3,296,508	3,296,508	3,096,508	3,096,508
Early Childhood Program	5,007,354	5,025,344	5,024,906	5,023,483	5,022,489
Develop of Mastery Exams Grades 4,6&8	18,786,664	19,534,861	19,106,711	20,022,888	19,050,559
Primary Mental Health	507,294	519,976	507,294	536,095	507,294

Budget Summary

Leadership, Educ, Athletics-Partnership	0	0	765,000	0	765,000
Adult Education Action	240,687	246,704	240,687	254,352	240,687
Vocational Technical School Textbooks	500,000	0	385,031	0	269,330
Repair of Instructional Equipment	232,386	0	178,951	0	125,176
Minor Repairs to Plant	370,702	0	285,463	0	199,682
Connecticut Pre-Engineering Program	262,500	269,063	262,500	277,404	262,500
Connecticut Writing Project	50,000	51,250	50,000	52,839	50,000
Resource Equity Assessment	283,654	304,471	301,980	305,341	299,683
Neighborhood Youth Centers	0	0	1,338,300	0	1,338,300
Longitudinal Data Systems	648,502	1,500,000	1,500,000	1,500,000	1,500,000
School Accountability	1,803,284	2,225,550	2,186,318	2,290,501	2,201,405
Sheff Settlement	26,662,844	9,265,012	9,265,012	10,293,799	10,293,799
Community Plans for Early Childhood	427,500	438,188	450,000	451,772	450,000
Improving Early Literacy	150,000	153,750	150,000	158,516	150,000
Parent Trust	500,000	500,000	500,000	500,000	500,000
Child Care Services	0	0	18,422,653	0	18,419,752
<b>TOTAL - Other Current Expenses</b>	<b>60,569,438</b>	<b>44,637,411</b>	<b>70,348,527</b>	<b>46,069,292</b>	<b>70,834,843</b>
<u><i>Pmts to Other than Local Govts</i></u>					
American School for the Deaf	9,480,242	9,768,242	9,768,242	10,264,242	10,264,242
Regional Education Services	1,384,613	1,419,228	1,434,613	1,463,224	1,384,613
Head Start Services	2,748,150	2,816,854	2,748,150	2,904,176	2,748,150
Head Start Enhancement	1,773,000	1,817,325	1,773,000	1,873,662	1,773,000
Family Resource Centers	6,041,488	6,192,525	6,041,488	6,384,493	6,041,488
Charter Schools	53,047,200	56,460,300	56,460,300	59,994,300	59,994,300
Youth Service Bureau Enhancement	625,000	620,300	620,300	620,300	620,300
Head Start - Early Childhood Link	2,090,000	2,142,250	2,090,000	2,208,660	2,090,000
Institutional Student Aid	882,000	904,050	882,000	932,076	882,000
Child Nutrition State Match	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
Health Foods Initiative	3,512,146	3,701,801	3,613,997	3,816,558	3,613,997
<b>TOTAL - Pmts to Other than Local Govts</b>	<b>83,937,839</b>	<b>88,196,875</b>	<b>87,786,090</b>	<b>92,815,691</b>	<b>91,766,090</b>
<u><i>Pmts to Local Governments</i></u>					
Vocational Agriculture	4,560,565	4,674,579	4,560,565	4,819,491	4,560,565
Transportation of School Children	28,649,720	88,748,594	25,784,748	94,144,509	24,884,748
Adult Education	20,594,371	21,934,182	20,612,980	22,614,142	20,605,690
Health Serv for Pupils Private Schools	4,297,500	7,347,258	4,297,500	7,857,892	4,297,500
Education Equalization Grants	1,889,609,057	1,889,609,057	1,889,609,057	1,889,609,057	1,889,609,057
Bilingual Education	1,916,130	1,964,033	1,916,130	2,024,918	1,916,130
Priority School Districts	117,237,188	118,534,657	112,595,033	120,432,947	112,121,287
Young Parents Program	229,330	235,063	229,330	242,350	229,330
Interdistrict Cooperation	11,127,369	11,411,575	11,136,173	11,407,337	11,131,935
School Breakfast Program	1,634,103	1,674,956	1,634,103	1,726,880	1,634,103
Excess Cost - Student Based	139,805,731	169,852,265	139,805,731	183,372,505	139,805,731
Non-Public School Transportation	3,995,000	5,379,762	3,595,500	5,573,971	3,595,500
School to Work Opportunities	213,750	219,094	213,750	225,886	213,750
Youth Service Bureaus	2,947,268	3,020,950	2,947,268	3,114,599	2,947,268
OPEN Choice Program	14,465,002	19,839,066	19,839,066	22,090,956	22,090,956
Magnet Schools	174,131,395	215,855,338	215,855,338	235,564,251	235,564,251
After School Program	4,500,000	4,612,500	4,500,000	4,755,487	4,500,000
School Readiness Quality Enhancement	0	0	1,158,608	0	1,158,608
Technical High Schools	0	0	34,385,798	0	66,261,040
<b>TOTAL - Pmts to Local Governments</b>	<b>2,419,913,479</b>	<b>2,564,912,929</b>	<b>2,494,676,678</b>	<b>2,609,577,178</b>	<b>2,547,127,449</b>
<b>TOTAL - General Fund</b>	<b>2,726,691,162</b>	<b>2,726,735,645</b>	<b>2,794,792,173</b>	<b>2,775,256,398</b>	<b>2,812,208,317</b>
<u><i>Additional Funds Available</i></u>					
Federal and Other Activities	749,906,849	500,129,000	524,354,930	468,834,000	493,153,930
Bond Funds	2,260,769	0	3,504,000	0	3,518,000
Private Funds	12,334,500	2,785,500	12,685,500	2,849,500	13,046,500
<b>TOTAL - All Funds Net</b>	<b>3,491,193,280</b>	<b>3,229,650,145</b>	<b>3,335,336,603</b>	<b>3,246,939,898</b>	<b>3,321,926,747</b>

# CONNECTICUT TECHNICAL HIGH SCHOOL SYSTEM

## AGENCY PURPOSE

- Operate the 16 technical high schools, a system that serves approximately 10,500 full-time high school and adult day students through comprehensive education and training in 39 occupational areas and 3,750 adult apprenticeship students.

## RECENT HIGHLIGHTS

### ***Student Enrollment and Post Graduate Plans***

The Connecticut Technical High School System (CTHSS) is the seventh largest school district in the state of Connecticut. The 16 schools serve 10,484 high school students of which 45 percent are racially diverse and 37 percent are female. The high school experience includes extracurricular activities, including National Honor Society,

male and female varsity sports and numerous clubs and community service organizations. About 44 percent of CTHSS graduates go on to higher education and another 47 percent are employed or in the military. The system serves an additional 3,750 adult students in evening courses that lead to apprenticeships and trade and technology skills.

*The Connecticut Technical High School System (CTHSS), which is overseen by the State Board of Education as a division of SDE, is the state's largest secondary school system serving approximately 10,500 high school students and about 3,750 adult learners. PA 10-76 required that the CTHSS be a separate budgeted agency from SDE; however, the intent of the act was to provide more transparency and better accountability of the budgetary needs of the CTHSS. This can be accomplished within the existing agency structure because the CTHSS is a separate program within SDE; therefore, the budget for CTHSS is recommended within the overall budget for SDE.*

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reductions to Current Services***

- |                               | <u>2011-2012</u> | <u>2012-2013</u> |
|-------------------------------|------------------|------------------|
| • Fund Equipment Through CEPF | -388,500         | -388,500         |
| • Remove or Limit Inflation   | -642,473         | -1,302,254       |

### ***Reallocations or Transfers***

- |  |              |              |
|--|--------------|--------------|
| • Incorporate the Budget for the Technical High School System Within SDE | -149,543,414 | -143,627,045 |
|--|--------------|--------------|

## AGENCY SUMMARY

<b><i>Financial Summary</i></b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	0	132,210,899	0	126,844,530	0
Other Expenses	0	16,856,822	0	16,931,940	0
<u>Capital Outlay</u>					
Equipment	0	388,500	0	388,500	0
<u>Other Current Expenses</u>					
Vocational Technical School Textbooks	0	500,000	0	515,500	0
Repair of Instructional Equipment	0	238,196	0	245,580	0
Minor Repairs to Plant	0	379,970	0	391,749	0
TOTAL - Other Current Expenses	0	1,118,166	0	1,152,829	0
TOTAL - General Fund	0	150,574,387	0	145,317,799	0
<u>Additional Funds Available</u>					
Federal and Other Activities	0	8,528,000	0	8,622,000	0
Bond Funds	0	3,504,000	0	3,518,000	0
Private Funds	0	9,900,000	0	10,197,000	0
TOTAL - All Funds Net	0	172,506,387	0	167,654,799	0



# BOARD OF EDUCATION AND SERVICES FOR THE BLIND

## AGENCY PURPOSE

<http://www.ct.gov/besb>

- Provision of statewide comprehensive, community-based rehabilitative services to adults who are legally blind or deaf and blind, and children who are visually impaired, legally blind or deaf and blind in order to maximize inclusion and participation in education, employment and community activities.
- Public education, training and advocacy on matters pertaining to blindness.
- Delivery of specialized programs and services that enable agency clients to achieve greater access to public information, public safety, job seeking and news media in accessible formats.
- Comprehensive lending library of educational textbooks and materials in Braille and large-print for use by school districts across the state.

*The Board of Education and Services for the Blind is recommended for consolidation with the Department of Social Services and the State Department of Education in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- The registry for the agency grew to 12,426 clients for FY 2010. A total of 791 newly blind individuals were added to the registry, 549 of whom, or nearly 70 percent, were age 65 or older. Of that total number of new clients, 103 were children, growing the total number of children on the registry to 1,097.
- The agency directly provided the services of teachers of the visually impaired to 114 school districts across the state, at no cost to cities and towns, for direct instruction and consultation services to maximize the participation of children who are blind or visually impaired in public education. Over 8,000 hours of educational assistance, with just under half of those hours in Braille assessment and training, were provided to students served by the agency in FY 2010.
- A total of 47 entrepreneurs participated in the Business Enterprise Program during the past federal fiscal year. These entrepreneurs employed an additional 69 workers within their operations, 20 percent of whom also had disabilities. Combined gross sales for these business ventures exceeded \$5.1 million. Despite the challenging economy, combined total earnings of these entrepreneurs grew by 7 percent, approaching \$1.5 million.
- The agency facilitated nearly 23,000 volunteer service hours from individuals and organizations during fiscal year 2010. BESB clients were directly assisted by volunteers with such daily living activities as grocery shopping and reading mail. Volunteers also assisted the agency with transcribing books and materials into Braille for school children. The estimated total value of these volunteer service hours to the agency and clients exceeded \$470,000.
- Through the provision of vocational rehabilitation services, 103 individuals achieved successful employment outcomes. Cumulative annualized earnings for all vocational rehabilitation clients exceeded \$2.5 million. The program served 935 participants, with 122 new applicants for services during the year. Vocational rehabilitation staff delivered over 1,400 hours of employer outreach services in FY 2010. In addition, the Vocational rehabilitation division provided over 2,000 hours of direct vocational counseling services to clients served in the program, an increase of over 16 percent.
- The agency organized and conducted seven full days of training for non-BESB classroom teachers, paraprofessionals, Birth-to-Three personnel, preschool teachers and other service providers who work with students who are blind, visually impaired or deafblind. A total of 299 professionals and paraprofessionals attended these trainings.
- In collaboration with the National Federation of the Blind of Connecticut (NFB-CT) and the Connecticut Radio Information System (CRIS), services through NFB Newsline grew to 999 subscribers who gained access to state and national newspapers through touchtone telephones. The number of times subscribers accessed NFB Newsline grew over 8 percent to more than 23,600 times during the year, with a total in excess of 7,100 hours of news information delivered. Over 19,500 electronic newsletters were also sent to Connecticut subscribers during the year.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-20,999	-5,999
• Remove or Limit Inflation	-128,712	-304,253
• Remove Funding for Vacant Positions	-146,993	-144,385
• Restructure State Government	-410,000	-396,000

*The agency is consolidated with the Department of Social Services and the State Department of Education. Personal Services savings are anticipated from the consolidation of operating functions resulting from the mergers.*

**Reallocations or Transfers**

- Restructure State Government -6,956,043   -6,839,580  
*The agency, excluding the Children's Services program, is consolidated with the Department of Social Services.*
- Restructure State Government -4,839,899   -4,821,904  
*The Children's Services program is consolidated with the State Department of Education. This program provides specialized training, adaptive materials and services to children who are legally blind, visually impaired or deaf-blind.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	95	-95	0	0	0
Federal Contributions	30	-30	0	0	0
Private Funds	14	-14	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	4,299,355	4,592,999	0	4,459,928	0
Other Expenses	805,071	877,213	0	902,862	0
<u>Capital Outlay</u>					
Equipment	1	21,000	0	6,000	0
<u>Other Current Expenses</u>					
Educ Aid Blind/Visually Handicap Child	4,633,943	4,906,490	0	4,973,133	0
Enhanced Employment Opportunities	673,000	689,825	0	711,210	0
TOTAL - Other Current Expenses	5,306,943	5,596,315	0	5,684,343	0
<u>Pmts to Other than Local Govts</u>					
Supplementary Relief and Services	103,925	106,523	0	109,825	0
Vocational Rehabilitation	890,454	912,715	0	941,009	0
Special Training for the Deaf Blind	298,585	306,050	0	315,538	0
Connecticut Radio Information Service	87,640	89,831	0	92,616	0
TOTAL - Pmts to Other than Local Govts	1,380,604	1,415,119	0	1,458,988	0
TOTAL - General Fund	11,791,974	12,502,646	0	12,512,121	0
<u>Additional Funds Available</u>					
Federal and Other Activities	4,545,425	4,979,383	0	3,753,746	0
Bond Funds	6,328	7,000	0	8,000	0
Private Funds	1,749,430	1,821,850	0	1,821,550	0
TOTAL - All Funds Net	18,093,157	19,310,879	0	18,095,417	0



# COMMISSION ON THE DEAF & HEARING IMPAIRED

## AGENCY PURPOSE

<http://www.ct.gov/cdhi>

- To advocate, strengthen and implement state policies affecting deaf and hard of hearing individuals and those individuals' relationships with the public, industry, health care and education sectors.
- To provide counseling, employment support, interpreting services, outreach and advocacy to consumers and their families.
- To provide liaison support, education and training to consumers.

*The Commission on the Deaf and Hearing Impaired is recommended for consolidation with the Department of Social Services in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Increased collaboration with community resources to expand available services.
  - Provided internship opportunity for Northwestern Connecticut Community College (NCCC) Deaf Studies student, and continued support to NCCC Interpreter for the Deaf Program by providing classroom space.
  - Development of new on-line Interpreter Scheduling software which will allow field Interpreters to access work schedules more efficiently and allow consumers to request services on-line.
- In FY 2010, the agency provided interpreting services for:
- 3,675 educational opportunities,
  - 1,529 advocacy needs,
  - 1,513 employment situations,
  - 854 legal situations,
  - 734 mental health needs, and
  - 1,008 medical needs.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-6,399	0
• Remove or Limit Inflation	-3,138	-7,116
• Restructure State Government	-177,000	-170,000
<i>The agency is consolidated with the Department of Social Services. Personal Services savings are anticipated from the consolidation of operating functions resulting from the merger.</i>		
<b>Reallocations or Transfers</b>		
• Restructure State Government	-618,147	-608,708
<i>The agency is consolidated with the Department of Social Services.</i>		

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	7	-7	0	0	0
Federal Contributions	2	-2	0	0	0
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	471,155	474,706	0	461,875	0
Other Expenses	125,199	128,337	0	132,315	0

Capital Outlay

Equipment	1	6,400	0	1	0
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Other Current Expenses

Part-Time Interpreters	316,944	195,241	0	191,633	0
TOTAL - General Fund	913,299	804,684	0	785,824	0

Additional Funds Available

Federal and Other Activities	195,064	206,100	0	216,405	0
Private Funds	4,474	1,968	0	2,115	0
TOTAL - All Funds Net	1,112,837	1,012,752	0	1,004,344	0





# CONNECTICUT STATE LIBRARY

Preserving the Past, Informing the Future

## AGENCY PURPOSE

<http://www.cslib.org/>

- Provide high-quality library and information services to state government and the citizens of Connecticut.
- Preserve and make accessible the records of Connecticut’s history and heritage.
- To advance the development of library services statewide.

## RECENT HIGHLIGHTS

### Archives & Public Records

Grants were awarded in the amount of \$615,000 to 94 municipalities supporting improvements in the preservation and management of historic documents across the state.

The State Archives acquired 44 accessions amounting to 508 cubic feet bringing the total quantity to 37,489 cubic feet. Accessions included Chief Justice Joseph S. Longo Papers, 1932-1996; records of the towns of Danbury, 1826-1932, and Bridgeport, 1855-1957; photographs of the 1955 floods in Ansonia, Putnam and Seymour; Papers of Truman Smith, 1811-2009 bulk 1811-1889, an antebellum U. S. Senator, who helped form the Republican Party in Connecticut; records of the First Congregational Church in Groton, 1727-1893; and records of the John Bouton Family, 1820-1865, including letters written by Bouton while serving with the Union Army in the Civil War.

### Conservation Connection

The State Library was one of 5 states to receive a Connecting to Collections Statewide Implementation Grant from the Institute of Museum and Library Services. The \$238,605 grant will allow the State Library to implement two workshop series, one on disaster planning and response and the other on the care and storage of historic collections. A website has been established for the project (<http://www.conservationct.org>).

### Access Services

Major digitization initiatives included Connecticut Veterans Commemorative Booklets from World War II; and an agreement to supply Connecticut materials such as the “Monthly Bulletin of the Connecticut State Board of Health” starting 1887, and the “List of Registered Motor Vehicles” from the early 1900s to the Internet Archive.

Connecticut residents gained greater remote access to many of our databases from their home or office as the result of a Proxy Server launched on May 28, 2010 by Collection Services in collaboration with Access Services and IT Services.

In FY 2010, 4,539 objects were added to the Connecticut Digital Archive including 323 into the Treasures of Connecticut Libraries. A total of 33,935 digital objects are in CONTENTdm. State Publications is the largest collection with 2,631 new objects archived for a total of 17,008 State Publication objects in the Digital Archive. In FY 2010, the entire digital collection had 924,457 items viewed. Most heavily viewed collections include Aerial photographs, State Publications and the WPA Architectural Survey.

### Museum of Connecticut History

Through a partial gift from descendants, “The Colonel Samuel Colt Clothing Collection & Colt Family Historical Letters & Memorabilia Collection” was added to the museum’s permanent collections. The collection is a genuine national treasure which includes Samuel Colt’s extraordinary 1850s Connecticut militia uniform, tailor-dated civilian clothing, a winter housecoat and trousers given to Colt by a czar of Russia, pastel portraits of his parents and a lot of highly personal letters to his orphaned nephew.

### Library Development

**Downloadable Audios** - The State Library developed a statewide downloadable audio books service. The audio books collection is offered through iCONN and includes over 2,100 digital audio book titles. Titles can be downloaded from <http://www.connstatelib.myilibraryaudio.com/>.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Fund Equipment Through CEPF
- Remove or Limit Inflation
- Eliminate Funding for the Info Anytime Program
- Eliminate Funding for the Interlibrary Loan Delivery Service
- Reduce Connecticard Payments

	<u>2011-2012</u>	<u>2012-2013</u>
	-110,499	-249,999
	-171,499	-387,330
	-42,500	-42,500
	-281,392	-273,594
	-704,483	-704,483

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	61	-6	55	0	55
Private Funds	2	-2	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	24	0	24	0	24
Federal Contributions	2	-2	0	0	0
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	5,214,259	5,747,837	5,747,837	5,560,728	5,560,728
Other Expenses	817,111	790,488	767,111	818,060	767,111
<u>Capital Outlay</u>					
Equipment	1	110,500	1	250,000	1
<u>Other Current Expenses</u>					
State-Wide Digital Library	1,973,516	2,022,854	1,973,516	2,085,562	1,973,516
Interlibrary Loan Delivery Service	266,434	282,342	0	275,751	0
Legal/Legislative Library Materials	1,083,000	1,110,075	1,083,000	1,144,487	1,083,000
State-Wide Data Base Program	674,696	691,563	674,696	713,001	674,696
Info Anytime	42,500	43,563	0	44,913	0
Computer Access	190,000	194,750	190,000	200,787	190,000
TOTAL - Other Current Expenses	4,230,146	4,345,147	3,921,212	4,464,501	3,921,212
<u>Pmts to Other than Local Govts</u>					
Support Cooperating Library Serv Units	350,000	358,750	350,000	369,871	350,000
<u>Pmts to Local Governments</u>					
Grants to Public Libraries	347,109	355,787	347,109	366,816	347,109
Connecticard Payments	1,226,028	1,256,679	521,545	1,295,636	521,545
TOTAL - Pmts to Local Governments	1,573,137	1,612,466	868,654	1,662,452	868,654
TOTAL - General Fund	12,184,654	12,965,188	11,654,815	13,125,612	11,467,706
<u>Additional Funds Available</u>					
Federal and Other Activities	1,976,118	1,920,000	1,920,000	1,920,000	1,920,000
Private Funds	1,066,144	1,078,375	1,078,375	990,625	990,625
TOTAL - All Funds Net	15,226,916	15,963,563	14,653,190	16,036,237	14,378,331

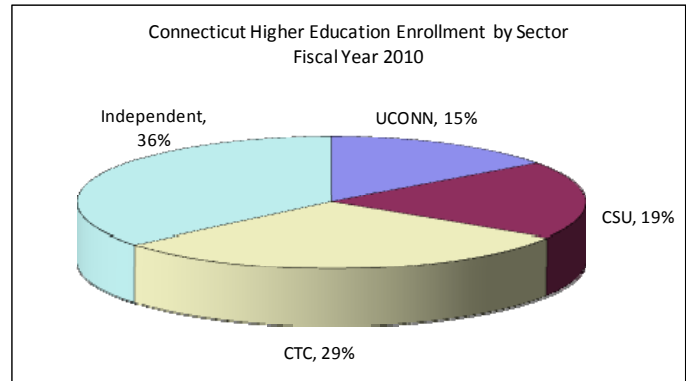
# BOARD OF REGENTS FOR HIGHER EDUCATION

## AGENCY PURPOSE

[www.ctdhe.org](http://www.ctdhe.org)

The Department of Higher Education, working with the Board of Governors for Higher Education, serves as the state policy-making and coordinating authority for higher education.

Its mission is to increase lifelong access to, and success in, higher education to serve the needs of the state, its employers and its citizens. To support this mission, the department manages the strategic framework for defining system priorities, facilitating collaboration across institutions and among state policymakers, and promoting institutional quality as well as student access and success.



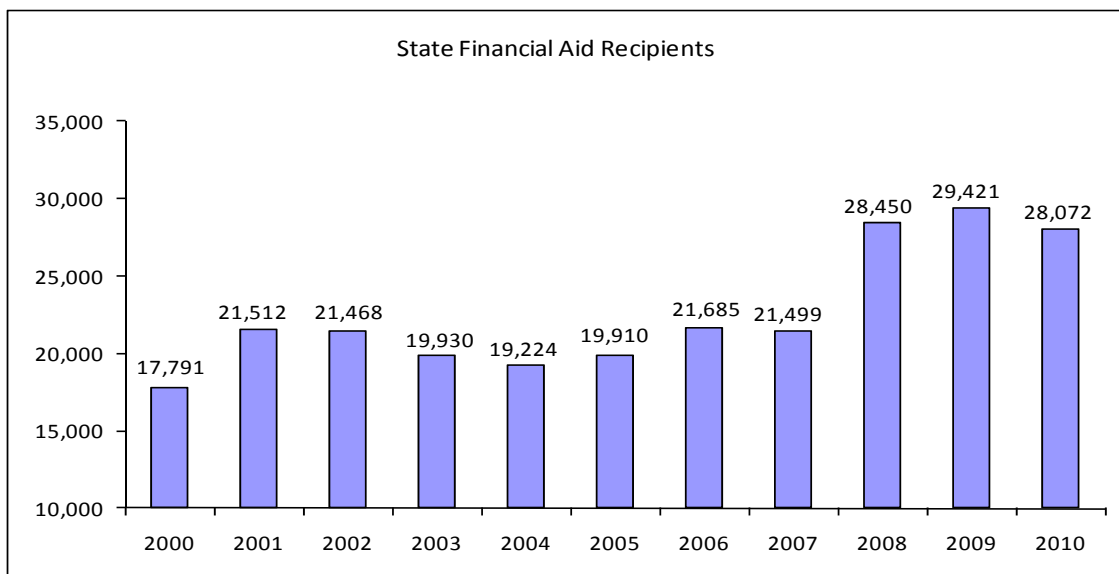
*The Connecticut State University System, the Regional Community-Technical Colleges, and Charter Oak State College are recommended for consolidation with the Department of Higher Education in the Governor’s budget as part of his proposal to restructure state government. The combined agency is named the Board of Regents for Higher Education.*

## RECENT HIGHLIGHTS

- The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into four constituent units: the University of Connecticut, its five regional branch campuses and health center; the Connecticut State University System consisting of four regional state universities; the Connecticut Community-Technical College System consisting of twelve community colleges; and Charter Oak State College, the state’s only external degree-granting institution. A separate board of trustees governs each of these four constituent units and each unit receives its own appropriation. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.
- In the fall of 2009, 191,134 students were enrolled in Connecticut’s public and independent institutions of higher education. This total is the highest ever with the highest one-year increase and the twelfth consecutive year of growth. At the same time, 38,047 degrees were conferred by Connecticut’s

colleges and universities. Over the last decade, enrollment has increased 21 percent and degrees have increased 23 percent, which means more students are not only starting but completing their educations than ever before.

- Today’s higher education system is clearly a more accessible environment for today’s students. Seventy-eight percent of Connecticut’s 38,419 public high school graduates in 2008 have chosen to pursue higher education at 2- and 4-year institutions and, of those, nearly 59 percent stayed in Connecticut where the college experience is more attractive than ever.
- As a result of the \$22 million increase to state-supported student financial aid, the number of award recipients increased by 37 percent from 2007 to 2009. Over the ten year period ending in 2009, funding nearly doubled, recipients increased by 62 percent and the average award grew from \$1,815 to \$2,148. This funding is instrumental to continued access to higher education in this difficult economy.



## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Transfer Equipment Through CEPF	-6,299	-6,299
• Remove or Limit Inflation	-1,684,118	-3,824,627
• Reduce Funding for the Connecticut Independent College Student Grant <i>Funding for the Connecticut Independent College Student Grant is reduced by 25% in FY 2012 and by 50% in FY 2013.</i>	-5,853,465	-11,706,930
• Suspend Funding for the Kirklyn M. Kerr Grant Program	-500,000	-500,000
• Suspend Funding for the Washington Center	-1,250	-1,250
<b>Reallocations or Transfers</b>		
• Restructure Higher Education Governance <i>Funding for the Connecticut State University System, the Regional Community-Technical Colleges and Charter Oak State College is transferred to the newly created Board of Regents for Higher Education.</i>	310,164,630	303,191,876

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	28	4,450	4,478	37	4,515
Federal Contributions	20	0	20	0	20
Private Funds	7	0	7	0	7
<u>Other Positions Equated to Fulltime</u>					
General Fund	1	0	1	0	1
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	2,458,648	2,584,015	2,584,015	2,499,844	2,499,844
Other Expenses	166,939	171,139	166,939	176,476	166,939
<u>Capital Outlay</u>					
Equipment	1	6,300	1	6,300	1
<u>Other Current Expenses</u>					
Minority Advancement Program	2,405,666	2,465,808	2,405,666	2,542,248	2,405,666
Alternate Route to Certification	100,000	102,500	100,000	105,678	100,000
National Service Act	328,365	336,574	328,365	347,008	328,365
International Initiatives	66,500	68,163	66,500	70,275	66,500
Minority Teacher Incentive Program	471,374	483,158	471,374	498,136	471,374
Education and Health Initiatives	522,500	535,563	522,500	552,165	522,500
CommPACT Schools	712,500	730,313	712,500	752,953	712,500
Charter Oak State College	0	0	2,722,225	0	2,670,543
Regional Community - Technical Colleges	0	0	149,130,964	0	145,667,984
Connecticut State University	0	0	158,311,441	0	154,853,349
TOTAL - Other Current Expenses	4,606,905	4,722,079	314,771,535	4,868,463	307,798,781
<u>Pmts to Other than Local Govts</u>					
Capitol Scholarship Program	8,902,779	9,125,348	8,902,779	9,408,233	8,902,779
Awards Children Deceased/Disabled Vets	4,000	4,100	4,000	4,227	4,000
CT Independent College Student Grant	23,413,860	23,999,207	17,560,395	24,743,182	11,706,930
CT Aid for Public College Students	30,208,469	30,963,681	30,208,469	31,923,555	30,208,469
Connecticut Aid to Charter Oak	59,393	60,878	59,393	62,765	59,393
Kirklyn M. Kerr Grant Program	500,000	500,000	0	500,000	0
Washington Center	1,250	1,281	0	1,321	0
TOTAL - Pmts to Other than Local Govts	63,089,751	64,654,495	56,735,036	66,643,283	50,881,571
TOTAL - General Fund	70,322,244	72,138,028	374,257,526	74,194,366	361,347,136

Budget Summary

Additional Funds Available

State University Operating/Tuition Fd	0	0	512,599,817	0	516,534,690
Community Tech College Operating Fund	0	0	212,324,532	0	216,224,621
Federal and Other Activities	8,501,718	8,501,718	140,547,422	8,501,718	142,653,346
Special Funds, Non-Appropriated	0	0	10,388,375	0	10,710,415
Bond Funds	16,919	17,000	8,912,000	17,000	9,517,000
Private Funds	1,636,500	1,644,500	1,661,128	1,651,500	1,668,128
TOTAL - All Funds Net	80,477,381	82,301,246	1,260,690,800	84,364,584	1,258,655,336



# UNIVERSITY OF CONNECTICUT

## AGENCY PURPOSE

[www.uconn.edu](http://www.uconn.edu)

- Founded in 1881, the University of Connecticut serves as the flagship for public higher education and the primary doctoral degree granting public institution in the state, and is dedicated to excellence demonstrated through national and international recognition.
- Through freedom of academic inquiry and expression, the University creates and disseminates knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach.
- Through a focus on teaching and learning, the University helps every student grow intellectually and become a contributing member of the state, national, and world communities.
- Through research, teaching, service, outreach, and public engagement, the University embraces diversity and cultivates leadership, integrity, and engaged citizenship in the University's students, faculty, staff, and alumni.
- As the state's flagship public university, and as a land and sea grant institution, the University promotes the health and well being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.

## RECENT HIGHLIGHTS

### *Ranking*

For the 12th consecutive year, the University of Connecticut was named the top public university in New England in *U.S. News & World Report: America's Best Colleges*. The 2010 report ranked UConn 27<sup>th</sup> among 164 public American universities with national reputations.

### *Freshmen Enrollment*

In Fall 2010, 30,034 students were enrolled in degree credit programs at the Storrs campus, regional campuses (Avery Point, Stamford, Torrington, West Hartford, and Waterbury), School of Law and Graduate Business Learning Center in Hartford, School of Social Work in West Hartford, and Schools of Medicine and Dental Medicine and graduate programs at the Health Center in Farmington. The enrollment represents the largest number of students ever at the University.

Freshmen applications to UConn have risen dramatically, from 10,809 for Fall 1995 to 23,278 for Fall 2010. Almost 4,600 new freshmen and nearly 1,000 new transfers joined the UConn community in Fall 2010. At all of UConn's campuses, 74 percent of the new freshmen were Connecticut residents, and 28 percent were from minority groups.

### *Student Retention*

Student retention continued to be remarkably high, with 92% of all freshmen and 94% of minority freshman at Storrs remaining for

their sophomore year. Six-year graduation rates at Storrs ranked UConn 21<sup>st</sup> among 58 public research universities for all freshmen (with a rate of 76%) and 22<sup>nd</sup> out of 58 for minority freshmen (a rate of 70%). Latest (Fall 2009) Storrs rates are even higher (78% and 72%).

### *Degrees Conferred*

Approximately 7,000 degrees were conferred in FY 2010 for completions of undergraduate, graduate, and professional programs at the Storrs, regional and Health Center campuses. Since its founding in 1881, the University has conferred more than 249,400 degrees.

### *Research Grants*

UConn research and training grants exceeded \$230 million in FY 2010 from federal agencies. The Storrs campus has approximately 85 active centers and institutes involved in research and graduate education. These centers include the following: Biotechnology/Bioservices Center, Booth Engineering Center for Advanced Technology, Center for Environmental Sciences and Engineering, Center for Health, Intervention and Prevention, Center for Public Health and Health Policy, Center for Regenerative Biology, Connecticut Sea Grant College Program, Institute of Materials Science, Marine Sciences and Technology Center, National Undersea Research Center, Neag Center for Gifted Education and Talent Development, and Roper Center for Public Opinion Research.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

- Reduce Block Grant by 10%

<u>2011-2012</u>	<u>2012-2013</u>
-25,462,590	-24,776,584

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,985	-84	2,901	20	2,921
University of Connecticut Operating Fund	1,512	0	1,512	0	1,512
UConn Research Foundation	440	0	440	0	440
<u>Other Positions Equated to Fulltime</u>					
University of Connecticut Operating Fund	1,024	0	1,024	0	1,024
UConn Research Foundation	352	0	352	0	352
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Estimated	Services	Recommended	Services	Recommended
<u>Other Current Expenses</u>					
Operating Expenses	219,793,819	241,408,445	217,267,600	234,548,386	211,093,547
Tuition Freeze	4,741,885	4,741,885	4,267,696	4,741,885	4,267,696
Regional Campus Enhancement	8,375,559	8,375,559	7,538,003	8,375,559	7,538,003
Veterinary Diagnostic Laboratory	100,000	100,000	90,000	100,000	90,000
TOTAL - Other Current Expenses	233,011,263	254,625,889	229,163,299	247,765,830	222,989,246
TOTAL - General Fund	233,011,263	254,625,889	229,163,299	247,765,830	222,989,246
<u>Additional Funds Available</u>					
University of Connecticut Operating Fd	685,260,406	683,166,382	683,166,382	696,184,557	696,184,557
UConn Research Foundation	34,198,000	36,250,000	36,250,000	38,063,000	38,063,000
Federal and Other Activities	94,979,783	97,604,436	97,604,436	101,352,316	101,352,316
TOTAL - All Funds Net	1,047,449,452	1,071,646,707	1,046,184,117	1,083,365,703	1,058,589,119



# UNIVERSITY OF CONNECTICUT HEALTH CENTER

## AGENCY PURPOSE

[www.uhc.edu](http://www.uhc.edu)

- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To deliver health care and wellness services to underserved citizens.
- To further Connecticut's economic development by transferring its research discoveries into new technologies, products and jobs.

## RECENT HIGHLIGHTS

### UConn Health Network

On June 4, 2010, Governor Rell signed the UConn Health Network law, creating clinical, research and educational partnerships involving the Health Center and the region's other hospitals. It includes plans to renovate John Dempsey Hospital and build a new patient tower.

### Extramural Research Funding Tops \$100 Million

The UConn Health Center received \$101,908,000 million in extramural research funding. Thanks in part to federal stimulus grants, the Health Center topped the \$100 million mark for the first time.

### Get With The Guidelines® Silver Performance Achievement Award

The Pat and Jim Calhoun Cardiology Center received the American Heart Association's Get With The Guidelines® Silver Performance Achievement Award. This is a quality improvement initiative related to heart disease and stroke care and designed to prevent future hospitalizations.

### Presidential Award for Excellence in Science, Mathematics and Engineering Mentoring

In January 2010, President Obama honored Dr. Cato T. Laurencin, vice president for health affairs and medical school dean, as one of 22 recipients of the Presidential Award for Excellence in Science, Mathematics and Engineering Mentoring.

### Artificial Turf Fields Study Completed

Researchers at the Health Center and three other state agencies completed a study of artificial turf fields made from crumb rubber. They concluded the playing surface presented no elevated health risks.

### Comprehensive Sickle Cell Clinical & Research Center

The UConn Health Center now has a Comprehensive Sickle Cell Clinical and Research Center, filling a much-needed void in the region for adult sickle cell care. The research center is part of the Lea's Foundation Center for Hematologic Disorders at the Carole and Ray Neag Comprehensive Cancer Center.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-12,643	-28,712
• Reduce Block Grant by 10%	-12,556,633	-12,128,527
<b>Reallocations or Transfers</b>		
• Transfer Pass Through Funds from Judicial <i>Transfers funds from the Judicial Department's Court Support Services Division to UCHC for mental health evaluations, home care, and trauma services.</i>	658,000	658,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,625	16	1,641	17	1,658
University of Connecticut Health Center Operating Fund	1,116	15	1,131	18	1,149
UConn Health Center Research	510	18	528	17	545
UConn Health Center Clinical Programs	758	30	788	29	817
<u>Other Positions Equated to Fulltime</u>					



Budget Summary

University of Connecticut Health Center Operating Fund	711	15	726	16	742
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<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
<u>Other Current Expenses</u>					
Operating Expenses	118,840,640	125,566,326	113,667,693	121,285,269	109,814,742
AHEC for Bridgeport	505,707	518,350	505,707	534,419	505,707
TOTAL - Other Current Expenses	<u>119,346,347</u>	<u>126,084,676</u>	<u>114,173,400</u>	<u>121,819,688</u>	<u>110,320,449</u>
TOTAL - General Fund	119,346,347	126,084,676	114,173,400	121,819,688	110,320,449
<u>Additional Funds Available</u>					
UConn Hlth Cntr Operating Fund	295,936,005	303,257,319	303,257,319	310,642,905	310,642,905
UConn Health Center Research	95,627,914	99,234,500	99,234,500	102,987,423	102,987,423
UConn Health Center Clinical Programs	228,972,374	238,516,957	238,516,957	248,483,842	248,483,842
TOTAL - All Funds Net	<u>739,882,640</u>	<u>767,093,452</u>	<u>755,182,176</u>	<u>783,933,858</u>	<u>772,434,619</u>

# CHARTER OAK STATE COLLEGE

## AGENCY PURPOSE

### *Charter Oak State College*

- Extend access to higher education to adults through its certificate, associate and baccalaureate degree programs, which incorporate transfer credit, Charter Oak distance learning courses, testing, and other methods of competency validation.
- Encourage innovation in higher education in order to meet the needs of adult learners.
- Web Site: [www.charteroak.edu](http://www.charteroak.edu)

### *Connecticut Distance Learning Consortium*

- Promote eLearning through partnerships with educational institutions as well as state and non-profit agencies.
- Provide online educational services including technology hosting, instructional design, custom web application development, collaborative learner services, and a single point of presence for eLearning offered by Connecticut providers.
- Web site: [www.ctdlc.org](http://www.ctdlc.org)

*The Charter Oak State College is recommended for consolidation with the Board of Regents for Higher Education in the Governor’s budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### *Connecticut Distance Learning Consortium*

- Saw a 7.5% growth (4,103 students) in the Adult Virtual High School.
- Added a third national collaboration to the eTutoring program which provided more than 31,000 tutoring sessions representing a 59% growth with 94 collaborating institutions.
- Created and launched the [www.ctstemjobs.org](http://www.ctstemjobs.org) website to assist those seeking employment in science, technology, engineering or math (STEM).
- Working with two Connecticut community colleges, and one in Massachusetts, and one in New Jersey, were awarded a three year \$500,000 federal FIPSE grant to create an online Adult Success Center designed to improve the retention, persistence, and graduation of adult degree seeking students in Community Colleges.
- Created and launched [www.write.ctdlc.org](http://www.write.ctdlc.org) an online website with writing tools for college students. The tools were developed by the Central Connecticut State University, Charter Oak State College, University of Bridgeport, and the University of Hartford and are available free for students and faculty.
- Created and delivered an online course to train new faculty on best practices for teaching online.

### *Charter Oak State College*

- Increased enrollment by 20%; surpassing the projected goal of 14%.
- Exceeded DHE ConnCAS plan goal for the enrollment of Hispanic/Latino students for the first time.
- Transitioned the Financial Aid Office to 100% direct lending.
- Became a State Department of Education-approved provider of CEUs for non-credit courses in Education.
- Implemented two course requirements to address writing issues, student retention, and program level learning outcomes.
- Received approval from the Connecticut Nursing Board for the College’s new virtual RN Refresher Program.
- Developed certificate programs in Health Insurance Customer Services and Infant-Toddler Care.
- Completed evaluations for four state law enforcement academies for credit - recommendations – State Police, Municipal Police, Corrections, & State Judicial Marshal.
- Received national recognition as one of the top 50 providers of CLEP testing.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Reduce Operating Expenses and Distance Learning Consortium Funding by 10%

	<u>2011-2012</u>	<u>2012-2013</u>
	-302,469	-296,727

### **Reallocations or Transfers**

- Restructure State Government

	-2,722,225	-2,670,543
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*The agency is consolidated with the Board of Regents for Higher Education.*

**AGENCY SUMMARY**

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Personnel Summary</b>	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	-31	0	0	0
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Financial Summary</b>	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
<u>Other Current Expenses</u>					
Operating Expenses	2,156,847	2,319,662	0	2,276,803	0
Distance Learning Consortium	690,786	705,032	0	690,467	0
DOC - Distance Learning	50,000	0	0	0	0
TOTAL - Other Current Expenses	2,897,633	3,024,694	0	2,967,270	0
TOTAL - General Fund	2,897,633	3,024,694	0	2,967,270	0
<u>Additional Funds Available</u>					
Federal and Other Activities	3,826,057	3,826,057	0	3,826,057	0
Private Funds	16,628	16,628	0	16,628	0
TOTAL - All Funds Net	6,740,318	6,867,379	0	6,809,955	0



# TEACHERS' RETIREMENT BOARD

## AGENCY PURPOSE

<http://www.ct.gov/trb>

Administration of the teachers' retirement system is delegated by the twelve member Teachers' Retirement Board (TRB) to the Administrator.

The staff is responsible for determining eligibility of all funds received from various sources such as, but not limited to: the Boards of Education, the members' financial companies or the member. Acceptance of all funds must be consistent with the statutes governing this system and the IRS code governing 401(a) plans. Upon receipt of applications for various benefits such as retirement, disability, survivorship and health insurance benefits for participating members and their beneficiaries, the staff is responsible for determining eligibility and computing and initiating the benefit on

behalf of the member, spouse, dependent or beneficiaries. The staff determines eligibility and computes the cost to members purchasing additional service credits and maintains an installment payment program for the purchase of service credits. The staff also coordinates the medical records and agenda for the Medical Review Committee who provides the Board with recommendations on disability allowance eligibility.

The TRB sponsors a retiree Medicare supplemental health insurance program funded through payroll deductions and state funds for eligible retired members, spouses, civil union partners or eligible dependents.

## RECENT HIGHLIGHTS

During FY 2010, the agency processed 1,463 retirements. The actuarial valuation as of June 30, 2010, indicates there were 51,368 active members, 11,315 inactive members, 1,315 deferred vested members, and 30,493 retired members and beneficiaries. The

annual average benefit for retirees and beneficiaries is \$44,754. The Teachers' Retirement System has assets of approximately \$14.4 billion.

## RECOMMENDED SIGNIFICANT CHANGES

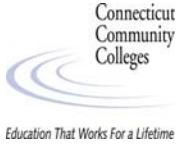
### **Reductions to Current Services**

- Remove or Limit Inflation
- Reduce System Operating Costs

<b>2011-2012</b>	<b>2012-2013</b>
-19,065	-43,298
-98,194	-77,596

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	27	0	27	0	27
<b>Financial Summary</b>					
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	1,683,452	1,785,698	1,785,698	1,731,184	1,731,184
Other Expenses	2,612,674	781,729	664,470	805,962	685,068
<u>Capital Outlay</u>					
Equipment	1	1	1	1	1
<u>Pmts to Other than Local Govts</u>					
Retirement Contributions	581,593,215	757,246,000	757,246,000	787,536,000	787,536,000
Retirees Health Service Cost	0	24,958,272	24,958,272	26,500,836	26,500,836
Municipal Retiree Health Insurance Costs	0	7,372,720	7,372,720	7,887,480	7,887,480
TOTAL - Pmts to Other than Local Govts	581,593,215	789,576,992	789,576,992	821,924,316	821,924,316
TOTAL - General Fund	585,889,342	792,144,420	792,027,161	824,461,463	824,340,569



# REGIONAL COMMUNITY TECHNICAL COLLEGES

## AGENCY PURPOSE

- To offer two-year associate degrees, transfer opportunities and short-term certificates, as well as skill-building and personal interest courses in more than 100 career-related areas.
- To serve undergraduates in Connecticut public higher education. More than 55,000 credit students are enrolled each semester.
- To provide programs of general study including remediation, general and adult education and continuing education.



*The Regional Community-Technical Colleges is recommended for consolidation with the Board of Regents for Higher Education in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- During academic year 2009-2010, credit enrollment at Connecticut's community colleges grew by more than 10%, to 55,112 students, as full- and part-time students of all ages and circumstances chose a community college to meet their needs.
- More than 21,000 students, or about 39%, studied full-time; 25,000 or 45% were under age 22, and about 19,000 or 34% were minority students. More than two-thirds of all minority undergraduates enrolled in Connecticut public higher education in fall 2009 studied at one of the Connecticut community colleges.
- Student financial aid of more than \$100 million – about two-thirds from Federal sources – provided opportunity to many who otherwise could not access higher education, while guaranteed admissions agreements with the Connecticut State University system, the University of Connecticut, and other institutions, significantly expanded opportunities for community college students to continue their educations at the four-year level.
- Career programs in nursing and allied health, manufacturing, early childhood education, sustainable operations, engineering technologies and others continued to prepare highly qualified students for the state workforce, increasing the earning power of graduates as evidenced by data from the Connecticut Department of Labor, Connecticut Employment and Training Commission, and others.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	2011-2012	2012-2013
• Remove or Limit Inflation	-13,625	-30,942
• Reduce Block Grant, Tuition Freeze and Manufacturing Technology Program by 10%	-16,570,106	-16,570,106

### Reallocations or Transfers

• Restructure State Government	-149,130,964	-145,667,984
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*The agency is consolidated with the Board of Regents for Higher Education.*

## AGENCY SUMMARY

Personnel Summary	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,058	-2,058	0	0	0
Community Technical College Operating and Tuition Fund	223	-223	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	17	-17	0	0	0

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Operating Expenses	155,817,336	162,995,145	0	159,532,165	0
Tuition Freeze	2,160,925	2,160,925	0	2,160,925	0
Manufacturing Tech Pgm - Asnuntuck	345,000	353,625	0	364,587	0
Expand Manufacturing Technology Program	200,000	205,000	0	211,355	0
TOTAL - Other Current Expenses	158,523,261	165,714,695	0	162,269,032	0
TOTAL - General Fund	158,523,261	165,714,695	0	162,269,032	0
<u>Additional Funds Available</u>					
Community Tech College Operating Fund	205,718,429	212,324,532	0	216,224,621	0
Federal and Other Activities	93,321,559	95,542,611	0	97,768,752	0
TOTAL - All Funds Net	457,563,249	473,581,838	0	476,262,405	0



# CONNECTICUT STATE UNIVERSITY SYSTEM

*Central, Eastern, Southern & Western Connecticut State Universities*

## AGENCY PURPOSE

[www.ctstateu.edu](http://www.ctstateu.edu)

The four comprehensive universities of the Connecticut State University System (CSUS) provide affordable, accessible and high-quality learning opportunities, offering baccalaureate, graduate and professional programs in more than 160 subject areas.

CSUS is the state's largest university system, with more than 36,000 students and 180,000 alumni. Central Connecticut State University (CCSU), Eastern Connecticut State University (ECSU), Southern Connecticut State University (SCSU) and Western Connecticut State University (WCSU) provide affordable, accessible and transformative higher education, cognizant of Connecticut's workforce areas of high demand.

Important facts about CSUS include:

- 93% of CSUS students are Connecticut residents.
- CSUS enrolls the largest share (41%) of all Connecticut residents who are pursuing a bachelor's or graduate degree at all colleges, both public and private, in the state.
- 86% of CSUS graduates live and work in Connecticut after completing their degrees.
- 49% of students are the first generation in their families to attend college.
- 43% of new student transfers came from Connecticut community colleges.

*The Connecticut State University System is recommended for consolidation with the Board of Regents for Higher Education in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### *Admissions, Enrollment and Retention*

- Full time undergraduate and graduate enrollment is at the highest level ever. Undergraduate enrollment increased for the seventh consecutive year in 2009-10, and graduate enrollment increased for the first time in the past six years. Total enrollment is 36,503, increasing by more than 8,000 since 1996.
- Reflecting a strong commitment to dramatically improve the ease of transfer from Connecticut's community colleges to CSUS institutions, a new Dual Admission program began, which includes all 12 colleges and four CSUS universities. It includes a joint advising component to work with students who plan on transferring to a CSUS university after earning their associate's degree. The number of students transferring from Connecticut community colleges has increased 51 percent since 2001. At 7 of the 12 colleges in 2009-10, the number of transfer students matched or surpassed previous record levels.
- The CSUS Board of Trustees voted to strengthen academic admission standards, as early as 2015. The new standards address requirements in English, math, science, social studies, and world language, as well as the arts.
- More than 1,000 students originally attending out-of-state colleges and universities transferred to CSUS universities in fall 2009, and 83 percent were returning Connecticut residents, an increase from 76 percent the previous year.

### *New Programs – Meeting New Needs*

- Working collaboratively, CSUS obtained an almost unprecedented \$1.9 million in federal funds for university programs, including nanotechnology, autism, nursing, college readiness and dual enrollment, to benefit students and the state.
- ECSU has established a new interdisciplinary major in Labor Relations and Human Resource Management shared by the Economics, Business, and Psychology Departments.
- SCSU received Board of Trustees approval to develop a Center on Autism Spectrum Disorders – the first of its kind among Connecticut schools. The Center will focus on three areas: 1) the training of current and future educators and professional staff in the best practices of teaching students with autism spectrum disorders, 2) practical autism research designed to benefit these students, and 3) direct service through such activities as evaluating children, conducting clinics and holding special events.
- The Board of Governors for Higher Education approved Accreditation of a Master of Arts in Teaching Program (leading to Certification in Secondary Education (6-12) with options in mathematics, Spanish, and biology) at WCSU, Licensure of a Bachelor of Science in Labor & Workplace Studies Degree Program at ECSU, Licensure & Accreditation of 6th year Education Coach Certification Program at SCSU, Licensure of a Bachelor of Arts in Musical Theater at WCSU and Accreditation of a Bachelor of Arts in Journalism Degree Program at CCSU.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-135,359	-275,563
• Reduce General Fund Appropriation by 10%	-17,601,197	-17,232,546
<b>Reallocations or Transfers</b>		
• Transfer Pass Through Funds from the Judicial Department <i>Transfer funds from the Judicial Department's Court Support Services Division to CCSU's Institute for Technology and Business Development for room rental and voicemail boxes.</i>	36,000	36,000
• Restructure Higher Education Governance <i>Block Grant funding for the Connecticut State University System is recommended for transfer to the newly created Board of Regents for Higher Education.</i>	-158,311,411	-154,853,349

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,294	-2,294	0	0	0
State University Operating/Tuition Fund	2,030	-2,030	0	0	0
 <b>Financial Summary</b>	 2010-2011	 2011-2012	 2011-2012	 2012-2013	 2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Operating Expenses	154,875,922	168,326,668	0	164,657,692	0
Tuition Freeze	6,561,971	6,561,971	0	6,561,971	0
Waterbury-Based Degree Programs	1,079,339	1,123,328	0	1,105,795	0
TOTAL - Other Current Expenses	162,517,232	176,011,967	0	172,325,458	0
TOTAL - General Fund	162,517,232	176,011,967	0	172,325,458	0
<u>Additional Funds Available</u>					
State University Operating/Tuition Fd	483,157,803	512,599,817	0	516,534,690	0
Federal and Other Activities	36,119,083	32,677,035	0	32,556,818	0
Special Funds, Non-Appropriated	10,135,000	10,388,375	0	10,710,415	0
Bond Funds	8,000,000	8,895,000	0	9,500,000	0
TOTAL - All Funds Net	699,929,118	740,572,194	0	741,627,381	0





# DEPARTMENT OF CORRECTION

## AGENCY PURPOSE

<http://www.doc.state.ct.us/>

The Connecticut Department of Correction shall protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support successful community reintegration.

## RECENT HIGHLIGHTS

Based upon the success of the re-entry model and its ability to effectively and safely manage the inmate population, the agency announced the closure of Webster Correctional Institution, a level two, minimum security adult male facility, at an annual savings of some \$3.4 million. Two of four housing units had already been closed. The remaining 220 inmates were dispersed to other facilities in the agency and 98 correctional staff were redeployed to other facilities.

A study of the size of the national prison population conducted by the Pew Center on the States showed Connecticut in the top seven in terms of reducing its inmate population. The measurement of Connecticut's prison population showed a decline of 4.6 percent or 945 offenders during FY 2009.

The department continued its ongoing efforts to reduce expenses and achieve efficiencies. The department reduced its overtime usage during FY 2010 by more than 147,000 hours as a result of intensive scrutiny of all personnel costs, resulting in savings of nearly \$5.5 million; Other Expenses were reduced more than \$10 million for FY 2010. Food Services saved more than \$69,000 by giving inmates the choice of milk or beverage; fiscal warehouse staff reviewed staff uniform requisitions and reduced uniform expenditures by about \$150,000. Through energy conservation efforts, the agency's FY 2009 energy savings were nearly \$2.4 million.

A new Return to Work Program, initiated in conjunction with the correction officers' union, enhances the Recuperative Post Program (RPP) and assists employees on Workers' Compensation to return to work in a position that requires minimum physical exertion. The light duty assignments are offered for a 60-day period and serve as a transition to a recuperative assignment or to full duty. A total of 422 posts were created and more than 161 staff, who would not normally have qualified for the traditional RPP, returned to a light duty assignment.

Under reestablished statutory authority, the department began to place appropriate offenders in the community, on reentry furlough, under supervision, for up to the last 45 days of their incarceration. Reentry furlough is a vital component of the agency's reentry mission as it supports the successful reintegration of offenders back into their home community.

The department undertook several initiatives to enhance public safety in regard to the discharge of sex offenders. The Parole and Community Services' Special Management Unit adopted a strategy of conducting statewide compliance checks carried out in conjunction with local law enforcement. The Security Division's Special Intelligence Unit implemented the Hartford Shelter Notification Program, which provides Hartford Police with names of offenders discharging into local shelters. Osborn Correctional Institution created a Sex Offender/High Notoriety Checklist for

purposes of notifying applicable local agencies of sex offenders discharging into their communities.

### *Inmate Programs*

The 18 schools in the Unified School District #1, which provide educational services to inmates, awarded 642 GED diplomas, 15 of which were with honors, and 1,954 vocational training certificates. Religious Services provided 77,532 hours of ministry time.

### *Staff Training and Organizational Development*

The Maloney Center for Training and Organizational Development instituted a Learning Management System (LMS), enhancing staff training and achieving fiscal efficiencies. LMS facilitates computerized facility-based training for staff, while at the same time providing administrative oversight to track such things as the expiration of certifications.

### *Affirmative Action*

The Affirmative Action Unit continued its emphasis on training the department's staff in the areas of affirmative action, including sexual harassment and discrimination, and diversity. The unit developed and submitted a timely filed affirmative action plan that reinforces the department's commitment to having a diversified workforce with equal opportunity for all. In FY 2010 the number of people of color comprised 33.3 percent of the total workforce; female staff comprised 26 percent of the total workforce.

### *Health Care Services*

The Health and Addiction Services Unit received national recognition for the Hospice, Bereavement and Palliative Care program and for the integrated model of parole supervision for mental health offenders.

### *Volunteer and Victim Services*

The Volunteer and Recreation Services Unit added 1,263 new volunteers, interns and professional partners to a cadre of 1,810, contributing 130,320 hours of service, with an in-kind value of \$2,638,980, and the Victim Services Unit maintained 4,246 active cases of victim notifications.

### *Performance Measures*

When comparing FY 2000 with FY 2010, the department continues to maintain impressive performance measures:

- Inmate-on-Inmate assaults are down 52.35%.
- Inmate assaults on staff are down 12.75%.
- Offender disciplinary infractions are down 33.41%.
- Use of force has dropped 9.43%.

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## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Fund Equipment Through CEPF	-3,177,093	-2,830,973
• Remove or Limit Inflation	-5,879,309	-11,855,442
• Eliminate Funding for Distance Learning and Children of Incarcerated Parents Programs	-120,000	-120,000
• Negotiate a Schedule Change for Correction Officers and Correctional Lieutenants	-10,000,000	-10,000,000
• Reduce Funds for Board of Pardons & Paroles	-250,000	-250,000
• Implement an Offender Management Incentive Program to Allow Early Release <i>The department will implement a program that allows certain inmates to earn risk reduction credits towards reducing their sentences.</i>	-3,800,000	-8,000,000
• Substitute Prison Time with House Arrest for DUI and Minor Drug Arrests <i>Offenders sentenced to prison for Driving Under the Influence and minor drug offenses will instead be confined to house arrest under DOC supervision. Certain offenders will be required to wear alcohol monitoring devices and have breath alcohol ignition interlock devices installed in their vehicles.</i>	-3,750,000	-15,000,000
• Limit Electricity Use by Enforcing a "Lights Out" Policy	-140,000	-140,000
• Reduce Funds to Reflect Pharmaceutical Cost Savings <i>Increased pharmaceutical cost savings are anticipated as a result of Correctional Managed Health Care's efforts to recycle drugs and obtain 340b pricing.</i>	-200,000	-200,000
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies	139,701	134,808
• Multi-Agency Pass Through Funding - CSSD to Agencies <i>Transfer private provider pass-through funding from Judicial's Court Support Services to the Department of Correction, which is the agency that negotiates the contracts.</i>	1,827,687	1,827,687

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6,492	1	6,493	0	6,493
Bond Funds	85	0	85	0	85
Federal Contributions	14	-14	0	0	0
Private Funds	1	-1	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	35	0	35	0	35
Federal Contributions	21	0	21	0	21
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	425,281,823	468,753,494	451,593,195	451,265,935	419,400,743
Other Expenses	91,600,230	83,124,938	80,210,230	85,754,968	79,460,230
<u>Capital Outlay</u>					
Equipment	1	3,177,094	1	2,830,974	1
<u>Other Current Expenses</u>					
Workers' Compensation Claims	29,898,513	33,991,051	33,123,609	33,911,946	32,436,219
Inmate Medical Services	98,624,298	102,632,616	100,990,252	102,640,537	99,587,370
Board of Pardons and Paroles	6,197,800	6,540,176	6,280,668	6,353,120	6,082,447
Mental Health AIC	300,000	300,000	300,000	300,000	300,000
Distance Learning	10,000	10,000	0	10,000	0
Children of Incarcerated Parents	110,000	110,000	0	110,000	0
TOTAL - Other Current Expenses	135,140,611	143,583,843	140,694,529	143,325,603	138,406,036
<u>Pmts to Other than Local Govts</u>					
Aid to Paroled and Discharged Inmates	9,500	9,500	9,500	9,500	9,500
Legal Services to Prisoners	870,595	892,360	870,595	920,023	870,595

Budget Summary

Volunteer Services	170,758	175,027	170,758	180,453	170,758
Community Support Services	40,370,121	41,379,374	42,197,808	42,662,135	42,197,808
TOTAL - Pmts to Other than Local Govts	41,420,974	42,456,261	43,248,661	43,772,111	43,248,661
TOTAL - General Fund	693,443,639	741,095,630	715,746,616	726,949,591	680,515,671
<u>Additional Funds Available</u>					
Federal and Other Activities	4,129,867	2,824,000	2,824,000	2,675,000	2,675,000
Bond Funds	20,940,815	20,750,000	20,750,000	20,750,000	20,750,000
Private Funds	300,564	286,000	286,000	286,000	286,000
TOTAL - All Funds Net	718,814,885	764,955,630	739,606,616	750,660,591	704,226,671



# DEPARTMENT OF CHILDREN AND FAMILIES

## AGENCY PURPOSE

[www.ct.gov/dcf](http://www.ct.gov/dcf)

The Department of Children and Families is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, juvenile justice services, mental health services, substance abuse related services, prevention and educational services.

The mission of the Department is to protect children, improve child and family well-being, and support and preserve families. These efforts are accomplished by respecting and working within individual cultures and communities in Connecticut, and in partnership with others.

To meet these goals the department's resources are used to support activities, including, but not limited to, the following:

Protecting children and youth who are reported as abused or neglected.

Strengthening families so children can remain safely at home.

Assuring family involvement and self-determination in the planning and service delivery process.

Engaging communities and providers as partners to meet the variety of children's and families' needs and assuring provider accountability.

Securing permanent homes for children and youth through reunification with their families, adoption or transfer of guardianship.

Providing appropriate mental health and substance abuse assessment, treatment, and aftercare to address the behavioral health needs of Connecticut's children.

Restructuring and reforming the delivery of children's behavioral health services in collaboration with the Department of Social Services.

Addressing the specialized needs of infants and young children as well as the unique behavioral health challenges facing children in the foster care system and the juvenile justice system.

Promoting a range of services (1) to enable children and families to thrive independently in their communities, (2) to ensure a smooth, timely and sustained transition for children, youth and families from DCF involvement to a state of independence and well-being through the application of evidence-based or best practice prevention approaches at strategic points in the DCF continuum of care, and (3) to prevent DCF involvement altogether.

## RECENT HIGHLIGHTS

### *Fewer Children Removed from Home*

The rate of child removals per 1,000 in the overall State population declined from 3.0 in CY 2008 to 2.79 in CY 2009 -- placing CT well below the 4.1 national average.

### *More Children Served at Home*

Due to increased in-home services, 80% of the children served on Dec. 1, 2009 lived at home compared to 62% in 2000 and 73% in 2007.

### *Fewer Children in Care Due to Abuse and Neglect*

There is a 24.9% reduction in the number of children under age 18 who are in care due to abuse and neglect in the three-year period ending August 2010 -- going from 5,173 in August 2007 to 3,880.

### *Children Achieve Permanency*

In FY2010, 690 adoptions and 213 subsidized guardianships were finalized for a total of 903 children attaining permanent homes.

### *National Accreditation for the Connecticut Juvenile Training School (CJTS)*

In FY 2010, the Connecticut Juvenile Training School (CJTS) received accreditation from the American Correctional Association (ACA). ACA creates national best-practice standards for juvenile and adult correctional facilities. CJTS had to be 100% compliant with 30 mandatory standards. There were also 425 non-mandatory standards in which CJTS had to be 90% compliant. CJTS achieved a score of 98.7 percent

### *A More Fluid Behavioral Health System*

Due to the availability of in-home behavioral health services for about 3,000 children and families, there has been a 37.5 percent reduction in the number of children in residential care since August 2007 and a 50 percent reduction since April 2004.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Fund Equipment Through CEPF
- Remove or Limit Inflation
- Remove or Limit Inflation - Residential Rate Increases

*Funding is removed for rate increases of residential services not covered under the Single Cost accounting system.*

- Remove or Limit Inflation Increases - Single Cost Accounting

*Funding is removed in the biennium to reflect suspension of the rate increases usually determined by Single Cost Accounting for residential treatment facilities.*

<u>2011-2012</u>	<u>2012-2013</u>
-1,982,513	-1,835,502
-8,322,975	-18,950,531
-2,547,140	-5,703,722

-3,926,418	-7,324,144
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## Budget Summary

• Remove or Limit Rate Increases - Foster Care & Adoption <i>Suspend rate increases for foster care, subsidized guardianship and adoption subsidies.</i>	-4,458,777	-9,978,024
• Competitively Procure Sheriff Services	-200,000	-200,000
• Expand Credentialed Services <i>Achieve savings through credentialing of wrap-around services in an effort to ensure a standard level of service and cost for similar services.</i>	-1,400,000	-1,400,000
• Adjust Group Home Service Model <i>Achieve savings by adjusting the required level of administrative and clinical oversight in therapeutic group homes.</i>	-1,500,000	-1,500,000
• Reduce Funding for Lower Priority Juvenile Services Contracted Services <i>Achieve savings through the reduction of juvenile justice services contracts that have been determined to be of lower priority or to have excess capacity.</i>	-999,296	-999,296
• Manage Capacity of Group Home Services <i>Achieve savings by managing the vacancy level experienced in group homes.</i>	-1,841,169	-1,841,169
• Increase Parole Caseloads <i>Achieve savings by increasing the caseload for parole staff with the associated reduction of 22 staff.</i>	-1,703,881	-1,640,774
• Reduce or Eliminate Miscellaneous Contract Service Types <i>Achieve savings through the reduction of contractual services that have been determined to be of lower priority or to have excess capacity.</i>	-2,988,907	-2,988,907
• Suspend Funding for New Program During the Biennium- Homeless Youth <i>Suspend funding for a new program proposed to provide services to homeless youth. Program has not started.</i>	-1,000,000	-1,000,000
<b>Reallocations or Transfers</b>		
• Transfer Information Technology Managers from DOIT to Line Agencies	484,552	466,903
• Transfer Pass Through Juvenile Service Funds from CSSD <i>Funds that the Judicial Department pays for direct services through DCF's contracts are being transferred from CSSD to DCF.</i>	2,072,413	2,072,413

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,456	-18	3,438	29	3,467
Federal Contributions	26	0	26	0	26
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
	Recommended	Services	Recommended	Services	Recommended
Personal Services	281,423,038	302,022,511	300,803,182	294,731,887	293,558,016
Other Expenses	40,946,929	38,784,354	37,534,834	40,222,822	37,513,645
<u>Capital Outlay</u>					
Equipment	1	1,982,514	1	1,835,503	1
<u>Other Current Expenses</u>					
Short Term Residential Treatment	713,129	730,957	713,129	753,617	713,129
Substance Abuse Screening	1,823,490	1,851,043	1,745,896	1,908,426	1,745,896
Workers' Compensation Claims	8,627,393	10,591,035	10,391,768	10,721,918	10,322,750
Local Systems of Care	2,057,676	2,194,251	2,176,906	2,175,784	2,136,393
Family Support Services	11,221,507	10,484,010	8,728,303	10,809,015	8,728,303
Emergency Needs	1,710,000	1,752,750	1,710,000	1,807,085	1,710,000
Homeless Youth	1,000,000	1,025,000	0	1,056,775	0
TOTAL - Other Current Expenses	27,153,195	28,629,046	25,466,002	29,232,620	25,356,471
<u>Pmts to Other than Local Govts</u>					
Health Assessment and Consultation	965,667	989,779	965,667	1,020,429	965,667
Gts Psychiatric Clinics for Children	14,120,807	14,473,827	14,120,807	14,922,516	14,120,807
Day Treatment Centers for Children	5,797,630	5,942,571	5,497,630	6,126,791	5,497,630
Juvenile Justice Outreach Services	13,477,488	13,814,425	14,257,630	15,043,672	15,058,630

Child Abuse and Neglect Intervention	5,379,261	5,513,744	5,379,261	5,684,665	5,379,261
Community Based Prevention Services	4,850,529	4,971,796	4,850,529	5,125,919	4,850,529
Family Violence Outreach and Counseling	1,873,779	1,795,213	1,751,427	1,850,865	1,751,427
Support for Recovering Families	13,964,107	14,852,373	14,434,061	17,580,799	16,702,061
No Nexus Special Education	8,682,808	9,038,803	8,682,808	9,644,403	8,682,808
Family Preservation Services	5,385,396	5,520,032	5,385,396	5,691,154	5,385,396
Substance Abuse Treatment	4,479,269	4,713,603	4,228,046	4,859,725	4,228,046
Child Welfare Support Services	3,221,072	3,301,238	3,221,072	3,403,125	3,221,072
Board and Care for Children - Adoption	85,514,152	94,840,926	92,100,506	103,950,240	97,875,380
Board and Care for Children - Foster	117,006,882	126,016,159	121,435,935	136,621,980	128,055,232
Board & Care - Residential	180,737,447	201,911,019	190,186,108	218,477,261	197,913,618
Individualized Family Supports	17,536,968	18,030,317	17,424,785	18,657,365	17,424,785
Community KidCare	24,244,167	24,850,277	23,965,417	25,620,638	23,965,417
Covenant to Care	166,516	170,679	166,516	175,970	166,516
Neighborhood Center	261,010	267,535	261,010	275,829	261,010
TOTAL - Pmts to Other than Local Govts	507,664,955	551,014,316	528,314,611	594,733,346	551,505,292
TOTAL - General Fund	857,188,118	922,432,741	892,118,630	960,756,178	907,933,425
<u>Additional Funds Available</u>					
Federal and Other Activities	15,038,875	12,708,174	12,708,174	12,762,133	12,762,133
Private Funds	178,000	25,000	25,000	25,000	25,000
TOTAL - All Funds Net	872,404,993	935,165,915	904,851,804	973,543,311	920,720,558



# JUDICIAL DEPARTMENT

## AGENCY PURPOSE

<http://www.jud.ct.gov/>

- To operate a fair, efficient and open court system to adjudicate all state criminal, civil, family and administrative cases.
- To maintain secure and safe conditions in courthouses and other Judicial Department facilities.
- To create and sustain a full range of alternatives to incarceration and evidence-based services for adult and juvenile populations.
- To advocate for victims of violent crime and to ensure that they are provided with services and financial compensation.
- To effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education.
- To provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.
- To assist parents by enforcing, reviewing and adjusting child support orders.
- To utilize effective supervision practices and intervention strategies that promote recidivism reduction, positive behavior change and restorative justice principles with persons placed on probation.

## RECENT HIGHLIGHTS

### *Continued Implementation of Strategic Plan*

Over the past year, the Judicial Department has pursued multiple initiatives arising out of the Chief Justice's 2008 Strategic Plan. These initiatives include:

- A comprehensive review and revision of policies and procedures to better assist the people the Judicial Department serves, including both attorneys and self-represented parties.
- Conversion of Judicial Department forms to "plain language."
- An Advice Day Program, in which private attorneys volunteer to be available at court on designated days to dispense free legal advice to unrepresented parties. This program was piloted in Hartford, and in its first six months assisted more than 300 people.
- The "Courthouse Greeter" program in the New Haven Judicial District, where a clearly identifiable Judicial Department employee is stationed near the entrance of the courthouse to provide information, directions and assistance to people entering the courthouse. This program also eases congestion in the clerk's office by directing people where to go without having them unnecessarily stand in line at the clerk's office.

- Making important information about jury service available on the Judicial Department website. This information includes what to expect, the juror's rights and obligations, and the employers' rights and obligations.

### *Implementation of "Raise The Age"*

January 1, 2011 marks the one-year anniversary of the expansion of juvenile court jurisdiction to include 16-year-olds. Over the past year, the Judicial Department has devoted significant time and effort to implementing this change. The expansion of jurisdiction has resulted in a 25% increase in the juvenile court delinquency caseload while the adult probation system reduced intake by 3%.

### *Foreclosure Mediation Program*

In 2010 legislation was enacted to extend the foreclosure mediation program to June 30, 2012. During the two years that this program has been in existence, 7,887 cases have completed mediation, with 78 percent reaching a settlement. Of the cases settled, 63% resulted in the homeowner staying in the home and 48% have resulted in a loan modification.

## RECOMMENDED SIGNIFICANT CHANGES

### ***Reallocations or Transfers***

- Transfer Multi-Agency Pass Through Funding from CSSD to Agencies  
*Transfer private provider pass-through funding from the Court Support Services Division within the Judicial Department to the agencies that negotiate the contracts: \$8,252,316 to the Department of Mental Health and Addiction Services; \$2,072,413 to the Department of Children and Families; \$1,827,687 to the Department of Correction; \$658,000 to the University of Connecticut Health Center; \$36,000 to the Connecticut State University System; and \$10,000 to the Department of Social Services. This transfer is reflected in the agency's budget request.*

<u>2011-2012</u>	<u>2012-2013</u>
-12,856,416	-12,856,416

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Personnel Summary</b>	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4,201	0	4,201	0	4,201
Banking Fund	50	-50	0	0	0
Federal Contributions	4	0	4	0	4
Private Funds	83	-50	33	0	33
<u>Other Positions Equated to Fulltime</u>					
General Fund	187	0	187	0	187
Private Funds	2	0	2	0	2
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
Personal Services	316,050,143	335,264,723	335,264,723	326,265,219	326,265,219
Other Expenses	74,239,391	69,965,443	69,965,443	70,693,406	70,693,406
<u>Capital Outlay</u>					
Equipment	219,350	100,000	100,000	150,000	150,000
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	1,021,060	1,021,060	1,021,060	1,021,060	1,021,060
Alternative Incarceration Program	55,518,949	45,040,191	45,040,191	45,050,190	45,050,190
Justice Education Center, Inc.	293,111	293,111	293,111	293,110	293,110
Juvenile Alternative Incarceration	30,169,861	27,638,436	27,638,436	27,638,437	27,638,437
Juvenile Justice Centers	3,104,877	3,104,877	3,104,877	3,104,877	3,104,877
Probate Court	11,250,000	8,200,000	8,200,000	7,300,000	7,300,000
Youthful Offender Services	9,512,151	8,205,287	8,205,287	8,205,286	8,205,286
Victim Security Account	73,000	48,000	48,000	48,000	48,000
Children of Incarcerated Parents	350,000	350,000	350,000	350,000	350,000
TOTAL - Other Current Expenses	111,293,009	93,900,962	93,900,962	93,010,960	93,010,960
TOTAL - General Fund	501,801,893	499,231,128	499,231,128	490,119,585	490,119,585
<u>Other Current Expenses</u>					
Foreclosure Mediation Program	3,349,982	4,721,556	4,721,556	1,180,389	1,180,389
TOTAL - Banking Fund	3,349,982	4,721,556	4,721,556	1,180,389	1,180,389
<u>Other Current Expenses</u>					
Criminal Injuries Compensation Fund	3,408,598	3,493,813	3,493,813	3,602,121	3,602,121
TOTAL - Criminal Injuries Compensation Fund	3,408,598	3,493,813	3,493,813	3,602,121	3,602,121
<u>Additional Funds Available</u>					
Federal and Other Activities	5,932,472	3,123,779	3,123,779	1,559,554	1,559,554
Bond Funds	3,407,008	2,874,133	2,874,133	1,450,000	1,450,000
Private Funds	9,135,551	5,885,000	5,885,000	5,685,000	5,685,000
TOTAL - All Funds Net	527,035,504	519,329,409	519,329,409	503,596,649	503,596,649





# PUBLIC DEFENDER SERVICES COMMISSION

## AGENCY PURPOSE

<http://www.ocpd.state.ct.us/>

- Ensure the constitutional administration of criminal justice within the state criminal court system by maintaining a public defender office at all juvenile and adult court locations throughout the state.
- Provide legal representation to indigent accused children and adults in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency and juvenile post-conviction matters, Psychiatric Security Review Board cases, post-conviction petitions for DNA testing, and to convicted persons seeking exoneration through the Connecticut Innocence Project.
- Provide social work services to clients for referrals to alternative pre-trial programs and sentencing alternatives to incarceration and to assist them in addressing personal and social problems to prevent recidivism within the criminal justice system.
- Contribute to public safety initiatives by participation in the development of specialized programs that promote successful reentry through reduced violence, homelessness and recidivism by utilizing Domestic Violence Courts, Community Courts, Diversionary Programs, Drug Intervention, Alternatives to Incarceration and Team Child programs.
- Provide a balanced perspective within the criminal justice community by participation on state policy boards, task forces, and committees involved in addressing criminal and juvenile justice issues.
- Fulfill the State's constitutional obligation to provide counsel for indigent accused in a professional, effective and cost efficient manner.

## RECENT HIGHLIGHTS

### *Connecticut Innocence Project (CTIP)*

The work of CTIP has resulted in the exoneration of three individuals who were wrongfully convicted and incarcerated in Connecticut prisons for 18-20 years before exoneration.

CTIP, in collaboration with the Division of Criminal Justice and the State Forensic Laboratory, has recently been awarded \$1.4 million in federal grant dollars from the Department of Justice to expedite exonerations of Connecticut inmates convicted of murder, aggravated sexual assaults, and non-negligent manslaughter who claim that they are innocent.

## RECOMMENDED SIGNIFICANT CHANGES

### **Reductions to Current Services**

- Achieve a Savings Comparable to Other State Agencies  
*Reduces the Public Defender Services Commission budget to reflect reductions comparable to other agencies across state government. It is also recommended that the 34 positions requested above the FY 2011 authorized position count of 400 not be included in the adopted biennium budget. This savings is reflected as a bottom line lapse.*

<u>2011-2012</u>	<u>2012-2013</u>
-2,496,562	-2,166,192

## AGENCY SUMMARY

### **Personnel Summary**

	2010-2011 Total Authorized	2011-2012 Change From 2010-2011	2011-2012 Total Recommended	2012-2013 Change From 2011-2012	2012-2013 Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	400	34	434	0	434
Federal Contributions	3	-3	0	0	0
<u>Other Positions Equated to Fulltime</u>					
General Fund	3	0	3	0	3

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	37,769,516	40,013,716	40,013,716	38,873,860	38,873,860
Other Expenses	1,466,812	1,466,813	1,466,813	1,466,813	1,466,813
<u>Capital Outlay</u>					
Equipment	6	0	0	0	0
<u>Other Current Expenses</u>					
Special Public Defenders - Contractual	3,094,467	3,097,000	3,097,000	3,097,000	3,097,000
Special Public Defenders - NonContractual	5,600,000	5,590,250	5,590,250	5,590,250	5,590,250
Expert Witnesses	2,335,646	2,100,000	2,100,000	2,200,000	2,200,000
Training and Education	81,000	75,000	75,000	100,000	100,000
TOTAL - Other Current Expenses	11,111,113	10,862,250	10,862,250	10,987,250	10,987,250
TOTAL - General Fund	50,347,447	52,342,779	52,342,779	51,327,923	51,327,923
<u>Additional Funds Available</u>					
Federal and Other Activities	525,728	0	0	0	0
Bond Funds	309,794	0	0	0	0
Private Funds	130,000	125,000	125,000	125,000	125,000
TOTAL - All Funds Net	51,312,969	52,467,779	52,467,779	51,452,923	51,452,923



# COMMISSION ON CHILD PROTECTION

## AGENCY PURPOSE

<http://www.ct.gov/ccpa>

Pursuant to C.G.S. §46b-123c, d & e, the Commission on Child Protection's purpose is to improve the system for the provision of legal services and guardians ad litem (GAL) to children and indigent respondents in family matters in which the state has been ordered to pay the cost of such services and in proceedings before the superior court for juvenile matters. This entails ensuring that attorneys providing legal services pursuant to this section are assigned to cases

in a manner that will avoid conflicts of interest, as defined by the Rules of Professional Conduct, and in a manner consistent with the Standards of Practice.

The Commission is required to establish and implement training, practice and caseload standards for the representation of children and indigent respondents in juvenile and family courts.

## RECENT HIGHLIGHTS:

### *Certification*

- Provided scholarships through the Training for Contracted Attorneys account to two classes of applicants to sit for the exam to be certified as Child Welfare Law Specialists (CWLS). There are now 81 CWLS's serving children and parents in child protection proceedings

### *Compensation*

- Implementation of hourly billing for all new juvenile contract attorneys and all Attorneys for Minor Children (AMC) and GAL's in Family Matters to improve accountability and encourage more efficient work.
- Certified Child Welfare Law Specialists receive \$75.00 per hour.

### *System of Quality Assurance and Case Management*

- Created and implemented a web-based Case Assignment, Management and Billing system enabling the Commission to compare attorney activities to Standards of Practice. It also streamlines the case assignment and bill paying process and provides attorneys with a valuable case management tool specifically designed for child protection cases.

### *Caseload Standards*

- The number of attorneys practicing in child protection has increased since the Commission took over in July of 2006 from 144 attorneys to 228 attorneys, resulting in significant reductions in case loads.

### *Training Standards*

- Established extensive training program and resources for Juvenile Contract Attorneys through collaboration with the Center for Children's Advocacy, Lawyers for Children of America, the Department of Children and Families, Connecticut Bar Association, National Association of Counsel for Children, National Institute for Trial Advocacy, the Judicial Department and the Governor's Task Force on Justice for Abused Children,

including three days pre-service for new attorneys and in-service training for all attorneys.

- Secured federal funding for scholarships to national trainings and three days of trial skills training through the National Institute of Trial Advocacy. Through this funding the commission has sent approximately 50 attorneys to the Annual Child and Family Law Conference of the National Association of Counsel for Children and provided trial skills training to approximately 140 juvenile contract attorneys.
- Formed Family Matters Advisory Board and collaborated with the Judicial Branch and Quinnipiac University School of Law to create a six day training program and manual for AMC's and GAL's in Family Matters divorce and custody cases.

### *Appellate Advocacy Program*

- Contracted with twelve attorneys specializing in appeals to review potential appeals for merit and handle appeals that are filed.
- Created a moot court program to assist appellate attorneys and juvenile contract attorneys with preparation for oral argument.

### *Contempt and Paternity Representation*

- Implemented new contract system to provide experienced and committed attorneys to represent indigent clients before Family Magistrate Support Enforcement court. This new contract has reduced expenditures for this program by approximately \$400,000 without diminishing the quality and availability of representation.

### *Establishment of Model Child Welfare Law Offices*

- Pursuant to C.G.S. § 46b-123d(1)(b), the commission may and has contracted with three not-for-profit law offices: the South Eastern Connecticut Center for Juvenile Justice, New Haven Legal Assistance and Connecticut Legal Services to provide a multi-disciplinary model of legal representation to children in Waterford, Willimantic, Hartford, New Haven and Bridgeport child protection proceedings.

## AGENCY SUMMARY

<b>Personnel Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Total Authorized	Change From 2010-2011	Total Recommended	Change From 2011-2012	Total Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	1	10	0	10
<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
Personal Services	660,416	838,228	838,228	815,841	815,841
Other Expenses	173,325	201,641	201,641	207,532	207,532
<u>Capital Outlay</u>					
Equipment	1	41,000	41,000	0	0
<u>Other Current Expenses</u>					
Training for Contracted Attorneys	42,750	45,000	45,000	45,000	45,000
Contracted Attorneys	12,409,490	11,616,407	11,616,407	11,625,552	11,625,552
Contracted Attorneys Related Expenses	158,713	205,000	205,000	205,000	205,000
Family Contracted Attorneys/AMC	736,310	736,310	736,310	736,310	736,310
TOTAL - Other Current Expenses	13,347,263	12,602,717	12,602,717	12,611,862	12,611,862
TOTAL - General Fund	14,181,005	13,683,586	13,683,586	13,635,235	13,635,235

# MISCELLANEOUS APPROPRIATION TO THE GOVERNOR

## PURPOSE

A contingency appropriation is made available to the Governor for emergency expenditures in accordance with Section 4-84 C.G.S. A minimal amount is recommended to keep the account open.

## AGENCY SUMMARY

<i>Financial Summary</i>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Governor's Contingency Account	1	1	1	1	1
TOTAL - General Fund	1	1	1	1	1

## DEBT SERVICE – STATE TREASURER

### PURPOSE

The servicing of all state debt obligations is performed by the State Treasurer. Funds for the payment of debt service are appropriated to non-functional accounts.

### AGENCY SUMMARY

<i>Financial Summary</i>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Debt Service	1,485,726,346	1,712,397,515	1,712,397,515	1,703,331,881	1,703,331,881
UConn 2000 - Debt Service	116,617,639	120,289,293	120,289,293	130,029,220	130,029,220
CHEFA Day Care Security	5,000,000	5,500,000	5,500,000	5,500,000	5,500,000
Pension Obligation Bonds - TRB	65,349,255	80,894,031	80,894,031	121,386,576	121,386,576
<b>TOTAL - Other Current Expenses</b>	<b>1,672,693,240</b>	<b>1,919,080,839</b>	<b>1,919,080,839</b>	<b>1,960,247,677</b>	<b>1,960,247,677</b>
<b>TOTAL - General Fund</b>	<b>1,672,693,240</b>	<b>1,919,080,839</b>	<b>1,919,080,839</b>	<b>1,960,247,677</b>	<b>1,960,247,677</b>
<u>Other Current Expenses</u>					
Debt Service	458,839,454	478,835,373	478,835,373	492,217,529	492,217,529
<b>TOTAL - Special Transportation Fund</b>	<b>458,839,454</b>	<b>478,835,373</b>	<b>478,835,373</b>	<b>492,217,529</b>	<b>492,217,529</b>
<u>Other Current Expenses</u>					
Debt Service	63,524	38,338	38,338	7,147	7,147
<b>TOTAL - Regional Market Operation Fund</b>	<b>63,524</b>	<b>38,338</b>	<b>38,338</b>	<b>7,147</b>	<b>7,147</b>
<b>TOTAL - All Funds Net</b>	<b>2,131,596,218</b>	<b>2,397,954,550</b>	<b>2,397,954,550</b>	<b>2,452,472,353</b>	<b>2,452,472,353</b>

# RESERVE FOR SALARY ADJUSTMENTS

## PURPOSE

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time of the recommended budget formulation.

## AGENCY SUMMARY

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Reserve for Salary Adjustments	78,303,018	42,568,534	42,568,534	200,090,187	200,090,187
TOTAL - General Fund	78,303,018	42,568,534	42,568,534	200,090,187	200,090,187
<u>Other Current Expenses</u>					
Reserve for Salary Adjustments	4,882,439	2,363,787	2,363,787	14,081,949	14,081,949
TOTAL - Special Transportation Fund	4,882,439	2,363,787	2,363,787	14,081,949	14,081,949
TOTAL - All Funds Net	83,185,457	44,932,321	44,932,321	214,172,136	214,172,136

# DAS WORKERS' COMPENSATION CLAIMS – DAS

## PURPOSE

The Department of Administrative Services designs the State of Connecticut Workers' Compensation Program, establishes statewide reporting and processing procedures, manages statewide fiscal and

accounting functions, procures and manages the third party claim administration contract and offers a wide array of loss control and safety services statewide.

## RECENT HIGHLIGHTS

### *Workers Compensation*

In order to contain costs, preferred specialty networks were established with associated discount pricing for diagnostic imaging and prescription drugs, resulting in \$1.3 million in savings in FY 2010.

## RECOMMENDED SIGNIFICANT CHANGES

### *Reductions to Current Services*

- Remove or Limit Inflation - General Fund
- Remove or Limit Inflation - Special Transportation Fund

<u>2011-2012</u>	<u>2012-2013</u>
-1,181,283	-1,778,842
-291,031	-477,531

## AGENCY SUMMARY

<i>Financial Summary</i>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u><i>Other Current Expenses</i></u>					
Workers' Compensation Claims	27,206,154	28,907,955	27,726,672	29,017,883	27,239,041
TOTAL - General Fund	27,206,154	28,907,955	27,726,672	29,017,883	27,239,041
<u><i>Other Current Expenses</i></u>					
Workers' Compensation Claims	6,700,783	7,047,608	6,756,577	7,104,012	6,626,481
TOTAL - Special Transportation Fund	6,700,783	7,047,608	6,756,577	7,104,012	6,626,481
TOTAL - All Funds Net	33,906,937	35,955,563	34,483,249	36,121,895	33,865,522



# JUDICIAL REVIEW COUNCIL

## PURPOSE

<http://www.ct.gov/jrc>

The Judicial Review Council ensures the integrity of the judiciary through the investigation of any alleged misconduct and through the power to discipline those found guilty of misconduct.

*The Judicial Review Council is recommended for consolidation with the new Office of Governmental Accountability in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED SIGNIFICANT CHANGES

	<b>2011-2012</b>	<b>2012-2013</b>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-686	-1,558
<b>Reallocations or Transfers</b>		
• Restructure State Government	-156,196	-155,682
<i>The agency will be consolidated with the newly created Office of Governmental Accountability.</i>		

## AGENCY SUMMARY

	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Personnel Summary</b>	Total	Change From	Total	Change From	Total
	Authorized	2010-2011	Recommended	2011-2012	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	-1	0	0	0
	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<b>Financial Summary</b>	Estimated	Current	Total	Current	Total
	Services	Services	Recommended	Services	Recommended
Personal Services	120,981	128,746	0	128,232	0
Other Expenses	27,449	28,135	0	29,007	0
<u>Capital Outlay</u>					
Equipment	100	1	0	1	0
TOTAL - General Fund	148,530	156,882	0	157,240	0

# STATE COMPTROLLER – OTHER THAN FRINGE BENEFITS

## PURPOSE

The non-fringe benefit portion of the Miscellaneous Appropriations Administered by the Comptroller consists of grants for such purposes as maintenance of fire radio networks, the State Police Association of

Connecticut and CT State Firefighters Association. It also consists of grants to towns for various purposes as well as some other small grants.

## RECOMMENDED SIGNIFICANT CHANGES

	<u>2011-2012</u>	<u>2012-2013</u>
<b>Reductions to Current Services</b>		
• Remove or Limit Inflation	-5,970	-6,300
• Reduce Funding to FY 2011 Levels	-73,267,655	-73,272,203
<b>Reallocations or Transfers</b>		
• Transfer Miscellaneous Accounts to Other Agencies	-475,456	-475,456
<i>Transfer fire radio and police and firefighter association accounts to new Department of Emergency Responder Training. Transfer funding for Interstate Environmental Commission to Department of Energy and Environmental Protection.</i>		

## AGENCY SUMMARY

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current Services	Total Recommended	Current Services	Total Recommended
<u>Other Current Expenses</u>					
Adjudicated Claims	0	4,000,000	4,000,000	4,000,000	4,000,000
<u>Pmts to Other than Local Govts</u>					
Maintenance of County Base Fire Radio	25,176	25,176	0	25,176	0
Maint of State-Wide Fire Radio Network	16,756	16,756	0	16,756	0
Equal Grants to Non-Profit Hospitals	31	30	0	30	0
Police Association of Connecticut	190,000	194,750	0	194,750	0
Connecticut State Firefighter's Assoc	194,711	194,711	0	194,711	0
Interstate Environmental Commission	48,783	97,565	0	102,443	0
TOTAL - Pmts to Other than Local Govts	475,457	528,988	0	533,866	0
<u>Pmts to Local Governments</u>					
Loss of Taxes on State Property	73,519,215	73,519,215	73,519,215	73,519,215	73,519,215
Loss Taxes Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	115,431,737	115,431,737
TOTAL - Pmts to Local Governments	188,950,952	188,950,952	188,950,952	188,950,952	188,950,952
TOTAL - General Fund	189,426,409	193,479,940	192,950,952	193,484,818	192,950,952
<u>Pmts to Local Governments</u>					
Grants to Towns	61,779,907	135,000,000	61,779,907	135,000,000	61,779,907
TOTAL - Mashantucket Pequot and Mohegan Fund	61,779,907	135,000,000	61,779,907	135,000,000	61,779,907
TOTAL - All Funds Net	251,206,316	328,479,940	254,730,859	328,484,818	254,730,859

# STATE COMPTROLLER - FRINGE BENEFITS

## PURPOSE

Fringe benefits for General and Special Transportation Fund employees and all retired state employees are funded through these accounts which include the state share of social security taxes,

unemployment compensation, tuition reimbursement, life and health insurance, and retirement contributions.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

	<b>2011-2012</b>	<b>2012-2013</b>
• Adjust for Net Impact of Position Changes - Mergers/Consolidations in the General Fund	-768,900	-1,835,900
• Adjust for Net Impact of Position Changes - Reduction Options in the General Fund	-546,500	-1,607,500
• Adjust for Net Impact of Position Changes - Reduction Options in the Special Transportation Fund	-237,100	-542,700
• Eliminate the GAAP Salary Reserve Account	0	-15,900,000

*Reflects the Governor's direction that the state move to budgeting on a GAAP basis. A specific appropriation is not required as GAAP recognizes accrued salary costs.*

### Reallocations or Transfers

• Adjust for Net Impact of Position Changes - Merger/Consolidation of Services to the Transportation Fund	127,100	136,200
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### New or Expanded Services

	<b>2011-2012</b>	<b>2012-2013</b>	<b>2013-2014</b>
• Adjust for Net Impact of Position Changes - Expansion Options in the General Fund	1,010,000	1,110,300	1,110,300

## AGENCY SUMMARY

<b>Financial Summary</b>	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Estimated	Current	Total	Current	Total
		Services	Recommended	Services	Recommended
<b><u>Other Current Expenses</u></b>					
Unemployment Compensation	6,323,979	9,769,553	11,610,253	8,783,932	8,901,932
Employee Retirement Contribution	563,329,057	722,137,072	722,137,072	715,503,022	715,503,022
Higher Ed Alternative Retirement Sys	31,152,201	37,959,646	37,959,646	37,737,659	37,737,659
Pension & Ret Other Statutory	1,965,000	1,822,697	1,822,697	1,842,652	1,842,652
Judges & Comp Commissioner Ret	0	15,095,489	15,095,489	16,005,904	16,005,904
Group Life Insurance	8,254,668	8,586,000	8,586,000	8,758,000	8,758,000
Employers Social Security Tax	232,281,222	246,705,800	246,002,100	248,369,600	247,630,300
State Employees Health Serv Cost	490,632,020	604,161,460	602,719,060	665,056,420	663,344,620
Retired Employee Health Serv Cost	595,252,100	597,384,379	597,384,379	648,330,408	648,330,408
Tuition Reimburs Training, Travel	900,000	3,327,500	3,327,500	0	0
GAAP Salary Reserve Account	0	0	0	15,900,000	0
<b>TOTAL - Other Current Expenses</b>	<b>1,930,090,247</b>	<b>2,246,949,596</b>	<b>2,246,644,196</b>	<b>2,366,287,597</b>	<b>2,348,054,497</b>
<b>TOTAL - General Fund</b>	<b>1,930,090,247</b>	<b>2,246,949,596</b>	<b>2,246,644,196</b>	<b>2,366,287,597</b>	<b>2,348,054,497</b>
<b><u>Other Current Expenses</u></b>					
Unemployment Compensation	345,000	402,000	676,400	462,000	462,000
Employee Retirement Contribution	82,437,000	99,636,000	99,636,000	105,694,000	105,694,000
Group Life Insurance	324,000	327,000	327,000	334,000	334,000
Employers Social Security Tax	19,611,180	18,633,500	18,485,400	18,650,000	18,501,900
State Employees Health Serv Cost	34,032,200	42,101,285	41,864,985	42,717,680	42,459,280
<b>TOTAL - Other Current Expenses</b>	<b>136,749,380</b>	<b>161,099,785</b>	<b>160,989,785</b>	<b>167,857,680</b>	<b>167,451,180</b>
<b>TOTAL - Special Transportation Fund</b>	<b>136,749,380</b>	<b>161,099,785</b>	<b>160,989,785</b>	<b>167,857,680</b>	<b>167,451,180</b>
<b>TOTAL - All Funds Net</b>	<b>2,066,839,627</b>	<b>2,408,049,381</b>	<b>2,407,633,981</b>	<b>2,534,145,277</b>	<b>2,515,505,677</b>