

## SECTION B: BUDGET SUMMARY

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# OFFICE OF LEGISLATIVE MANAGEMENT

<http://www.cga.ct.gov/olm>

## AGENCY PURPOSE

The Office of Legislative Management provides administrative and operational support for the Connecticut General Assembly.

The office, while implementing the policies of the Joint Committee on Legislative Management, provides administrative and financial services, administers compensation and human resources services,

and oversees the management and maintenance of all buildings and grounds under the supervision and control of the General Assembly.

The Office of Legislative Management ensures the daily functioning of the Legislature for the benefit of the legislators, their staff, and the general public.

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	435	446	446	0	446
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	41,294,270	41,812,955	46,473,050	0	46,473,050
Other Expenses	14,461,531	16,208,578	16,890,317	0	16,890,317
<u>Capital Outlay</u>					
Equipment	328,700	394,300	983,000	0	983,000
<u>Other Current Expenses</u>					
Flag Restoration	4,828	0	50,000	0	50,000
Minor Capitol Improvements	288,123	765,916	825,000	0	825,000
Interim Salary/Caucus Offices	415,624	539,125	461,000	0	461,000
Redistricting	13,018	190,000	400,000	0	400,000
CT Academy of Science & Engineering	0	0	100,000	0	100,000
Old State House	470,425	575,000	583,400	0	583,400
TOTAL - Other Current Expenses	1,192,018	2,070,041	2,419,400	0	2,419,400
<u>Pmts to Other Than Govts</u>					
Interstate Conference Fund	330,036	341,115	378,235	0	378,235
TOTAL - General Fund	57,606,555	60,826,989	67,144,002	0	67,144,002

# AUDITORS OF PUBLIC ACCOUNTS

<http://www.cga.ct.gov/apa>

## AGENCY PURPOSE

The Office of the Auditors of Public Accounts is a legislative agency of the State of Connecticut. Its primary mission is to audit the books and accounts of each agency of the state, the State Treasurer, the State Comptroller, all institutions supported by the state, and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. Each audit performed includes an examination and verification of accounting records and documents, a determination of the agency's compliance with applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of state revenue,

and examination of expenditures charged to state appropriations and federal grants.

The two Auditors may not be of the same political party and are appointed by the General Assembly to assure the independence and impartiality required for effective auditing.

The professional staff includes many that have completed their experience requirement for the CPA certificate through service in the office.

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	117	117	117	0	117
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	10,234,712	11,417,351	12,569,724	0	12,569,724
Other Expenses	712,607	1,015,510	806,647	0	806,647
<u>Capital Outlay</u>					
Equipment	4,704	10,000	50,000	0	50,000
TOTAL - General Fund	10,952,023	12,442,861	13,426,371	0	13,426,371

# COMMISSION ON AGING

## AGENCY PURPOSE

The Commission on Aging was established to act as an independent advocacy agency for older adults. In 2005, the commission became a legislative agency.

The commission:

- Advances systemic change in many areas including, but not limited to, long-term care, health care, nutrition, housing, employment, transportation, legal assistance and economic security.
- Raises awareness about the dynamic challenges and opportunities presented by an aging state and nation.
- Works directly with and serves as an independent resource to the Governor, the Connecticut General Assembly, municipalities, and stakeholders on aging-related issues.
- Independently, or at the General Assembly's direction, conducts and/or directs comprehensive studies on trends and issues, such as long-term care, that impact older adults and, increasingly, persons with disabilities.
- Convenes and leads diverse groups in multi-faceted efforts to develop, enhance and promote progressive public policy.
- Develops and comments on state legislation, regulations and state agency policies and programs to promote a more effective, efficient and coordinated system.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform  
*Eliminate the Commission on Aging.*

**2010-2011**  
-256,071

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	5	4	4	0	4

<b><i>Financial Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	338,579	202,794	216,207	0	216,207
Other Expenses	29,232	32,419	39,864	0	39,864
TOTAL - General Fund	367,811	235,213	256,071	0	256,071

# PERMANENT COMMISSION ON THE STATUS OF WOMEN

<http://www.cga.ct.gov/pcsw/>

## AGENCY PURPOSE

The Permanent Commission on the Status of Women (PCSW) was established to study and inform leaders about the nature and scope of sex discrimination in Connecticut, to serve as a liaison between government and private interest groups, to promote consideration of women for positions in government, and to work with state agencies

in monitoring and assessing programs and policies that affect the status of women. In addition, the commission provides research and analysis and makes recommendations to the Governor, the General Assembly and other state leaders regarding issues that affect women.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform

*Eliminate the Permanent Commission on the Status of Women.*

**2010-2011**

-505,420

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	6	6	0	6

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	649,861	341,998	389,217	0	389,217
Other Expenses	138,246	119,350	116,203	0	116,203
<u>Capital Outlay</u>					
Equipment	2,850	0	0	0	0
TOTAL - General Fund	790,957	461,348	505,420	0	505,420

# COMMISSION ON CHILDREN

<http://www.cga.ct.gov/coc/>

## AGENCY PURPOSE

The Commission on Children is a legislative agency established to:

- Study the status of children and children's programs in order to identify programs, policies and legislation that will improve the development of children and strengthen the capabilities of families to provide for children's basic needs.
- Inform individuals and leaders of business, labor, education, state and local government, the media and the General Assembly of findings and recommendations.
- Promote child and family program and policy coordination across the three branches of government and between local and state endeavors.
- Perform services to facilitate adoption of the recommendations.
- Develop and distribute informational materials regarding children's issues and respond to public queries about child and family policy.
- Promote community and family engagement for good child outcomes.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform  
*Eliminate the Commission on Children.*

**2010-2011**  
-530,420

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	7	7	0	7

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	820,755	333,047	457,745	0	457,745
Other Expenses	87,833	73,662	72,675	0	72,675
TOTAL - General Fund	908,588	406,709	530,420	0	530,420

# LATINO AND PUERTO RICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/lprac/>

## AGENCY PURPOSE

The Latino and Puerto Rican Affairs Commission's (LPRAC) mission is to coordinate and provide access to resources by developing and recommending to the Governor and the General Assembly policy for the advancement of the Latino and Puerto Rican community by:

- Reviewing and commenting on any proposed state legislation and regulations that would affect the Latino and Puerto Rican populations in the state.
- Advising and providing information to the Governor and the General Assembly on the State's policies concerning the Latino and Puerto Rican communities and state programs serving the Latino and Puerto Rican populations.
- Maintaining a liaison between the Latino and Puerto Rican communities and governmental entities.
- Encouraging Latino and Puerto Rican representation at all levels of State government.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform

*Eliminate the Latino and Puerto Rican Affairs Commission.*

**2010-2011**

-319,791

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6	3	3	0	3

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	506,151	265,314	280,797	0	280,797
Other Expenses	53,229	38,250	38,994	0	38,994
TOTAL - General Fund	559,380	303,564	319,791	0	319,791

# AFRICAN-AMERICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/aaac>

## AGENCY PURPOSE

The African-American Affairs Commission is a legislative agency established to:

- Review and comment on any proposed state legislation and regulations that would affect the African-American population in the state.
- Advise and provide information to the Governor on the state's policies concerning the African-American communities.
- Advise the General Assembly and the Governor concerning the coordination and administration of state programs serving the African-American population.
- Maintain a liaison between the African-American communities and governmental entities.
- Sponsor public forums on issues affecting the African-American community.
- Encourage African-American representation at all levels of state government, including state boards and commissions.
- Secure appropriate recognition of the accomplishments and contributions of the African-American population of the state.
- Prepare and submit to the General Assembly an annual report concerning its activities with any appropriate recommendations concerning the African-American population of the state.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform

*Eliminate the African-American Affairs Commission.*

**2010-2011**

-212,236

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	2	2	0	2

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	323,257	174,650	184,780	0	184,780
Other Expenses	46,080	30,724	27,456	0	27,456
TOTAL - General Fund	369,337	205,374	212,236	0	212,236



# ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

The Asian Pacific American Affairs Commission is established as a legislative agency to deal with issues related to the Asian Pacific American population.

***In light of the current fiscal situation and the Governor's efforts to reform state government, this commission is recommended for elimination in the Governor's budget.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Government Reform

*Eliminate the Asian Pacific American Affairs Commission.*

**2010-2011**

-52,310

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	0	1	0	1
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	0	22,392	49,810	0	49,810
Other Expenses	0	2,375	2,500	0	2,500
<u>Capital Outlay</u>					
Equipment	0	950	0	0	0
TOTAL - General Fund	0	25,717	52,310	0	52,310



# GOVERNOR'S OFFICE

<http://www.ct.gov/governorrell>

## AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the State.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.
- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

## RECOMMENDED ADJUSTMENTS

	<b>2010-2011</b>
<b>Reductions</b>	
• Reduce Personal Services Funding for Temporary Position	-21,287
<b>Technical Adjustments</b>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-102,335
• Annualize Personal Services Savings	-208,481
<b>Expansion Adjustments</b>	
• Provide Funding for Transition Expenses for Governor-Elect	100,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	37	35	35	0	35

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	2,549,277	2,622,460	2,780,000	-229,768	2,550,232
Other Expenses	291,905	139,902	236,995	-102,335	134,660
<u>Capital Outlay</u>					
Equipment	0	90	95	-94	1
<u>Other Current Expenses</u>					
Transition Expenses	0	0	0	100,000	100,000
<u>Pmts to Other Than Govts</u>					
New England Governors' Conference	79,378	90,219	100,692	0	100,692
National Governors' Association	106,600	109,535	119,900	0	119,900
TOTAL - Pmts to Other Than Govts	185,978	199,754	220,592	0	220,592
TOTAL - General Fund	3,027,160	2,962,206	3,237,682	-232,197	3,005,485



# SECRETARY OF THE STATE

<http://www.sots.ct.gov>

## AGENCY PURPOSE

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs, which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for the public to view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

### COMMERCIAL RECORDING DIVISION

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

### E-GOVERNMENT

The Secretary of the State maintains the Centralized Voter Registration System, the Connecticut Online Commercial Recording Database (CONCORD), and the Connecticut Finance Information System (CFIS).

## RECOMMENDED ADJUSTMENTS

	<u>2010-2011</u>
<b>Reductions</b>	
• Annualize FY 2009-10 Reductions	-15,831
• Remove Funding for Two Vacant Positions	-92,788
<b>Technical Adjustments</b>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-2,025,595
• Annualize Personal Services Savings	-86,473

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	88	88	-2	86
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	1,494,942	1,580,054	1,680,000	-189,993	1,490,007
Other Expenses	1,114,113	654,970	843,884	-198,843	645,041
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Commercial Recording Division	0	6,205,448	7,825,000	-1,831,752	5,993,248
TOTAL - General Fund	2,609,055	8,440,567	10,348,984	-2,220,687	8,128,297



# LIEUTENANT GOVERNOR'S OFFICE

<http://www.state.ct.us/otlg/>

## AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becoming vacant during the term.
- Operating the State government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Personal Services Funding for Temporary Position

**2010-2011**

-7,000

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-14,205

-9,983

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	5	5	0	5
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	424,110	437,036	448,000	-16,983	431,017
Other Expenses	52,470	69,224	87,054	-14,205	72,849
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	476,580	506,355	535,154	-31,287	503,867



# STATE ELECTIONS ENFORCEMENT COMMISSION

<http://www.ct.gov/seec/>

## AGENCY PURPOSE

The Elections Enforcement Commission seeks to improve public confidence in the electoral process and in those officials who seek or hold a public office. The Commission seeks to achieve this objective by focusing on the following core functions:

- Monitor compliance with elections and campaign finance laws.
- Conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.

- Audit financial disclosure statements filed by state, district and municipal candidates for public office; political parties; and political action committees.
- Render formal and informal advisory opinions and rulings.
- Conduct educational seminars, and publish explanatory guides to enhance compliance with the campaign finance laws.

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	17	53	53	0	53

<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,460,975	1,538,616	1,632,885	0	1,632,885
Other Expenses	215,564	299,458	326,396	0	326,396
<u>Capital Outlay</u>					
Equipment	10,308	24,985	0	0	0
<u>Other Current Expenses</u>					
Commission's Per Diems	26,000	0	0	0	0
Citizens' Election Fund Admin	0	3,000,000	3,200,000	0	3,200,000
TOTAL - Other Current Expenses	26,000	3,000,000	3,200,000	0	3,200,000
TOTAL - General Fund	1,712,847	4,863,059	5,159,281	0	5,159,281



# OFFICE OF STATE ETHICS

<http://www.ct.gov/ethics>

## AGENCY PURPOSE

The Office of State Ethics (OSE) is an independent oversight agency for the State of Connecticut. The OSE administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV, Ethical Considerations Concerning Bidding and State Contracting. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The OSE has four main functions: education, interpretation, enforcement and records retention. The OSE is charged with

providing education to state employees, public officials, lobbyists and legislators on the Codes of Ethics. The Citizen's Ethics Advisory Board (CEAB) is responsible for adjudicating cases brought under the Codes of Ethics as well as issuing advisory opinions – interpretations of the Codes as they apply to specific situations. All investigation and prosecution matters are the responsibility of the Ethics Enforcement Officer. The OSE is also statutorily obligated to receive process and maintain records of all lobbyist filings along with public official and state employee Statements of Financial Interests.

## RECOMMENDED ADJUSTMENTS

### Expansion Adjustments

- Add One Communications and Legislative Program Manager Position and Funding

**2010-2011**

81,826

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	21	18	18	1	19
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	1,316,052	1,512,220	1,600,359	81,826	1,682,185
Other Expenses	181,521	207,074	245,796	0	245,796
<u>Capital Outlay</u>					
Equipment	0	16,500	15,000	0	15,000
<u>Other Current Expenses</u>					
Judge Trial Referee Fees	0	20,000	20,000	0	20,000
Reserve for Attorney Fees	631	26,129	26,129	0	26,129
Information Technology Initiatives	526,266	50,000	50,000	0	50,000
TOTAL - Other Current Expenses	526,897	96,129	96,129	0	96,129
TOTAL - General Fund	2,024,470	1,831,923	1,957,284	81,826	2,039,110



# FREEDOM OF INFORMATION COMMISSION

## AGENCY PURPOSE

The Freedom of Information (FOI) Commission is an independent, government oversight agency charged with administering and enforcing Connecticut's FOI Act and thereby ensuring that the people of the state have full access to the records and meetings of all public agencies to the extent provided by law. In furtherance of this purpose, the commission focuses on the following core functions:

- Settle complaints informally through an ombudsman or mediation process.
- Decide complaints by a speedy, inexpensive process designed for lay people.
- Represent the commission, by staff counsel, in all court appeals from its decisions and in all other litigation affecting the commission.
- Render declaratory rulings that apply the FOI Act to situations of general concern.
- Conduct programs, publish literature, answer written and oral inquiries and perform other educational functions to ensure that the requirements of the law will be known and understood by everyone.

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	22	23	23	0	23

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	1,806,958	1,945,851	2,051,870	0	2,051,870
Other Expenses	163,665	239,918	248,445	0	248,445
<u>Capital Outlay</u>					
Equipment	21,202	44,800	48,500	0	48,500
TOTAL - General Fund	1,991,825	2,230,569	2,348,815	0	2,348,815

# JUDICIAL SELECTION COMMISSION

## AGENCY PURPOSE

To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the superior court, the appellate court and the supreme court.

The Judicial Selection Commission consists of 12 members: no more than 6 members can be from the same political party and none can be an elected or appointed state official or hold a statewide political party office. Of the 12 members, 6 must not be attorneys.

The Commission seeks qualified candidates for consideration by the Governor for nomination as judges for the superior court, appellate court and supreme court. It must also evaluate incumbent judges who seek reappointment to the same court.

The Commission develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

**2010-2011**

-924

### Technical Adjustments

- Annualize Personal Services Savings

-2,396

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	1	1	0	1
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	73,524	69,493	72,072	-2,396	69,676
Other Expenses	18,165	17,456	18,375	-919	17,456
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	91,689	87,044	90,547	-3,414	87,133



# STATE PROPERTIES REVIEW BOARD

## AGENCY PURPOSE

***Consistent with Public Act 09-3, June Special Session, the State Properties Review Board has been consolidated with the Department of Administrative Services.***

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><b><i>Permanent Full-Time Positions</i></b></u>					
General Fund	4	0	0	0	0

<b><i>Financial Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	301,275	0	0	0	0
Other Expenses	161,798	0	0	0	0
TOTAL - General Fund	463,073	0	0	0	0



# CONTRACTING STANDARDS BOARD

## AGENCY PURPOSE

The Contracting Standards Board is proposed to be a fourteen member board appointed to oversee all state contracts. Some of the Board's duties will be to:

- Conduct a comprehensive review of existing state contracting and procurement laws, regulations and practices.
- Audit state contracting agency's triennially to ensure they are in compliance with procurement policies.
- Issue recommendations regarding the disqualification of contractors from bidding or proposing on state contracts.
- Review all new proposed privatization contracts to ensure the state saves money without jeopardizing the quality of services.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

**2010-2011**

-38,849

### Technical Adjustments

- Annualize Personal Services Savings

-15,878

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	10	10	0	10
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	0	319,823	600,000	-33,378	566,622
Other Expenses	0	403,750	350,000	-21,250	328,750
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Contracting Standards Board	1,006	0	0	0	0
TOTAL - General Fund	1,006	723,668	950,100	-54,727	895,373



# STATE TREASURER

<http://www.state.ct.us/ott>

## AGENCY PURPOSE

The State Treasurer, elected for a term of four years as prescribed by the State Constitution, is responsible for the safe custody of the property and money of the State and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the State's General Fund as well as the assets of the State's pensions, trusts and other funds. The Treasurer administers the issuance of State bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the

borrowing of those funds, the repayment of which is a limited or contingent liability of the State.

The Treasurer also serves as the custodian for all unclaimed property remitted to the State. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

**2010-2011**

-5,005

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-4,180

-442,826

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	53	51	51	-3	48
<hr/>					
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	3,602,754	3,791,831	4,160,240	-442,826	3,717,414
Other Expenses	239,782	273,870	282,836	-9,180	273,656
<u><i>Capital Outlay</i></u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	3,842,536	4,065,796	4,443,176	-452,105	3,991,071



# STATE COMPTROLLER

<http://www.osc.state.ct.us/>

## AGENCY PURPOSE

The Office of State Comptroller (OSC) has a wide variety of duties and projects which originate from both its constitutional charges and directives from the legislature such as:

- Administering state payroll, retirement, employee benefits, health insurance and accounting systems.
- Analyzing state expenditures and receipts.
- Preparing financial statements and reports as required by statute.
- Monitoring and assisting state agencies to achieve compliance with accounting system procedures and to maximize accountability, standardization and cost effectiveness.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions
- Remove Funding for Vacant Positions

### Technical Adjustments

- Annualize Personal Services Savings
- Annualize Transfer for DOIT Revolving Fund Realignment

### 2010-2011

-5,984

-421,170

-1,387,679

-1,288,692

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	268	282	282	-13	269
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	20,096,798	21,219,875	23,024,256	-1,808,849	21,215,407
Other Expenses	5,311,423	3,693,035	5,129,692	-1,293,692	3,836,000
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Pmts to Other Than Govts</u>					
Governmental Accounting Standards Bd	18,592	18,591	19,570	-979	18,591
TOTAL - General Fund	25,426,813	24,931,596	28,173,618	-3,103,619	25,069,999



# DEPARTMENT OF REVENUE SERVICES

<http://www.ct.gov/drs>

## AGENCY PURPOSE

- Administers the tax laws of the State of Connecticut.
- Collects tax revenues in the most cost effective manner.
- Strives to achieve the highest level of taxpayer compliance through accurate, efficient and courteous customer service.
- Executes its duties in a manner which instills public confidence in the integrity and fairness of the department's programs.

## RECENT HIGHLIGHTS

- In FY 2009, DRS managed tax revenue deposits of \$12.4 billion and produced, distributed, and processed more than 4.4 million tax returns.
- Several proactive security measures were implemented to protect the confidentiality of taxpayer data including data loss prevention software, encryption, secure e-mail technology, and biometric thumb drives.
- The Collection and Enforcement Division collected \$122,235,123 in overdue tax revenue. This represents a 7.6 percent increase over last year's collection rate.
- The DRS Collection and Enforcement Division's Suspicious Filer Program automatically blocked more than \$1 million in fraudulent income tax refunds. More importantly, the systems provided evidence resulting in the arrest of several suspects operating these fraud rings – shutting them down before they could expand operations or continue into the next filing season, thus helping reduce potential revenue losses.
- Administered a tax amnesty program designed to collect unreported and underreported taxes.
- Increased use of electronic filing and online services:
  - DRS recorded 68 percent of the state's 1.7 million income tax returns being filed through electronic means.
  - DRS exceeded one million business returns filed through the DRS electronic Taxpayer Service Center. This represents an 11 percent increase over the prior year's filings through the Taxpayer Service Center.
  - Credit card payment options were expanded to all but a limited number of tax types.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Operational Expenditures **2010-2011**  
-400,000  
*Reduce funding for temporary employees (\$100,000), pursue remote audits (\$150,000), reduce mailing (\$50,000) and cancel maintenance contracts for non-critical information technology applications (\$100,000).*
- Annualize FY 2009-10 Reductions -5,099

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment -848,321
- Annualize Personal Services Savings -6,931,509

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	768	766	766	-35	731
<b>Financial Summary</b>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	59,747,812	54,844,037	65,105,383	-7,031,509	58,073,874
Other Expenses	9,977,588	9,075,111	9,880,972	-1,153,321	8,727,651
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Collection and Litigation Contingency	34,801	204,479	204,479	0	204,479
TOTAL - General Fund	69,760,201	64,123,722	75,190,934	-8,184,929	67,006,005



# DIVISION OF SPECIAL REVENUE

<http://www.dosr.state.ct.us>

## AGENCY PURPOSE

The Division of Special Revenue is the regulatory agency charged with ensuring the highest degree of integrity in the operation of all legalized gambling activity within the State by ensuring compliance with the gaming laws of the State of Connecticut and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos. In furtherance of this purpose, the Division focuses on the following core functions:

- Ensuring compliance with statutes, regulations, and procedures, to assure the public that all legalized gambling is conducted in a fair and honest manner.
- Licensing, registering or permitting only suitable individuals, organizations and vendors of equipment and services to be

employed by, or contracted with gaming licensees or permittees within the State, or to sell lottery tickets.

- Providing due process and an opportunity to be heard to those individuals or entities denied initial licensure or whose current license is in the process of being revoked, pursuant to the Uniform Administrative Procedures Act.
- Testing wagering systems and related equipment to insure the integrity of games.
- Conducting independent audits to ensure operations are compliant with statutory and regulatory requirements.
- Collecting pari-mutuel taxes and regulation fees, and paying grants to municipalities.

## RECENT HIGHLIGHTS

- Facilitated the passage of a new statute during the 2009 legislative session - Public Act No. 09-34 'An Act Authorizing Prizes for Blower Ball Games.'
- Completed the statewide Study on the Effects of Legalized Gambling on the Citizens of the State of Connecticut.

- Completed negotiations with the two Native American Tribes on an out-of-court resolution regarding disputed slot revenue.
- Implemented a new remote application to aid the efficiency of the Charitable Games Liaison Officers.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Operational Expenditures

**2010-2011**

-130,145

*Eliminate funding for two vacant positions (\$130,000) and reduce funding for Gaming Policy Board (\$145).*

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-53,003

-1,181,526

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	119	119	119	-15	104
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	4,820,775	4,831,577	5,822,699	-1,311,526	4,511,173
Other Expenses	1,405,155	1,092,001	1,144,445	-53,003	1,091,442
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Gaming Policy Board	0	2,758	2,903	-145	2,758
TOTAL - General Fund	6,225,930	5,926,431	6,970,147	-1,364,773	5,605,374

# STATE INSURANCE AND RISK MANAGEMENT BOARD

## AGENCY PURPOSE

***Consistent with Public Act 09-3, June Special Session, the State Insurance and Risk Management Board has been consolidated with the Department of Administrative Services.***

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	3	0	0	0	0
<b><i>Financial Summary</i></b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	274,603	0	0	0	0
Other Expenses	9,001,335	0	0	0	0
<u><i>Other Current Expenses</i></u>					
Surety Bonds State Officials & Emps	17,237	0	0	0	0
TOTAL - General Fund	9,293,175	0	0	0	0
Other Expenses	2,152,382	0	0	0	0
TOTAL - Special Transportation Fund	2,152,382	0	0	0	0
TOTAL - ALL FUNDS	11,445,557	0	0	0	0

# GAMING POLICY BOARD

## AGENCY PURPOSE

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***Consistent with Public Act 09 3, June Special Session, the Gaming Policy Board has been consolidated with Department of Special Revenue.***

## AGENCY SUMMARY

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<b><i>Financial Summary</i></b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Other Expenses	2,458	0	0	0	0
TOTAL - General Fund	2,458	0	0	0	0





# OFFICE OF POLICY AND MANAGEMENT

<http://www.ct.gov/opm>

## AGENCY PURPOSE

- Support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, energy, health and human services, and transportation.
- Prepare executive budget and execute biennial budgets as enacted into law.
- Provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- Formulate policy pertaining to the relationship between the State and Connecticut's municipalities.
- Improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- Deliver timely and effective labor relations and collective bargaining services on behalf of the State as an employer.
- Review and monitor the core financial management policies and practices in state agencies.
- Ensure the implementation of programs enacted by law.
- Review and approve agency legislative proposals.

## RECENT HIGHLIGHTS

### FINANCIAL MANAGEMENT POLICIES AND PRACTICES

Developed and issued updated procurement standards for state agencies to follow when hiring contractors.

### ASSETS MANAGEMENT POLICY AND PLANNING

Reviewed, analyzed and made recommendations for real estate transactions proposed by state agencies resulting in revenues to the state of approximately \$25.2 million.

### ENERGY POLICY AND PLANNING

Completed a "reverse auction" to procure electricity for state facilities, resulting in a four year contract, locking in the price and

providing the State with a significant amount of green energy at no added cost.

### AMERICAN RECOVERY & REINVESTMENT ACT OF 2009

Received awards totaling over \$18 million from the federal American Recovery and Reinvestment Act of 2009 (ARRA) for justice and energy programs. Developed plans to distribute these funds to municipalities. Provided technical assistance to meet ARRA accountability and reporting requirements.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |  |                  |
|--|------------------|
| • Annualize FY 2009-10 Reductions  | <b>2010-2011</b> |
| • Reduce Personal Services and Other Expenses  | -46,762          |
| • Reduce Funding for Selected Programs   | -225,000         |
| <i>Reflects reductions for the following programs: PILOT-Manufacturing and Equipment (\$4,453,016), Leadership, Education, Athletics and Partnership (\$425,000), Neighborhood Youth Centers (\$743,500) and Regional Planning Agencies (\$199,900).</i> | -5,821,416       |

### Reallocations or Transfers

- |  |           |
|--|-----------|
| • Reduce General Fund Expenditures by Transferring Long Term Care Costs to Insurance Fund                                | -223,669  |
| • Reduce General Fund Expenditures by Transferring Energy Unit Costs to Consumer Counsel and Public Utility Control Fund | -773,443  |
| • Reallocate Long Term Care Costs to Insurance Fund  | 380,765   |
| • Reallocate Energy Unit Costs to Consumer Counsel and Public Utility Control Fund                                       | 1,206,123 |

### Technical Adjustments

- |   |            |
|---|------------|
| • Annualize Transfer for DOIT Revolving Fund Realignment  | -965,913   |
| • Reflect the Transfer of Capital City Economic Development Authority Employees<br><i>The position count is increased by four positions to implement public act 09-7 section 165 of the September special session which eliminates the Capital City Economic Development Authority and transfers its duties and staff to the Office of Policy and Management.</i> |            |
| • Annualize Personal Services Savings   | -2,329,599 |

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	175	163	163	-25	138
Insurance Fund	0	0	0	2	2
Consumer Counsel/Public Utility Fund	0	0	0	11	11

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	14,933,788	13,600,084	15,676,743	-3,442,368	12,234,375
Other Expenses	2,943,993	2,752,780	2,802,640	-166,388	2,636,252
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Litigation Settlement Costs	2,153,884	0	0	0	0
Automated Budget Sys & Database Lnk	16,660	55,163	59,780	-4,705	55,075
Leadership, Educ, Athletics-Partnership	807,500	807,500	850,000	-425,000	425,000
Cash Management Improvement Act	0	95	100	-5	95
Justice Assistance Grants	2,031,176	1,245,538	2,027,750	-898,178	1,129,572
Neighborhood Youth Centers	1,188,333	1,412,650	1,487,000	-743,500	743,500
Contingency Needs	3,108,407	0	0	0	0
Land Use Education	142,500	0	0	0	0
Office of Property Rights Ombudsman	157,212	0	0	0	0
Office of Business Advocate	269,922	0	0	0	0
Implement Energy Initiatives	2,400,000	0	0	0	0
Water Planning Council	146,692	104,500	110,000	-5,500	104,500
Urban Youth Violence Prevention	1,000,000	0	0	0	0
Crim Jus/CT Imp. Driving Rcds Info Sys	950,000	903,317	950,000	-47,143	902,857
Furnace Repair and Upgrade	295,469	0	0	0	0
Energy Audit Subsidy	1,629,525	0	0	0	0
TOTAL - Other Current Expenses	16,297,280	4,528,763	5,484,630	-2,124,031	3,360,599
<u>Pmts to Other Than Govts</u>					
Tax Relief for Elderly Renters	20,311,015	22,000,000	24,000,000	0	24,000,000
Regional Planning Agencies	950,000	190,000	200,000	-199,900	100
Regional Performance Incentive Program	229,707	0	0	0	0
Operation Fuel 200% Federal Poverty Level	2,000,000	0	0	0	0
Operation Fuel Median Income	3,000,000	0	0	0	0
Heating Assist. Age 65	1,000,000	0	0	0	0
Operation Fuel Administration	500,000	0	0	0	0
TOTAL - Pmts to Other Than Govts	27,990,722	22,190,000	24,200,000	-199,900	24,000,100
<u>Pmts to Local Governments</u>					
Reimb Property Tax-Disability Exempt	347,042	400,000	400,000	0	400,000
Distressed Municipalities	6,328,289	7,800,000	7,800,000	0	7,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,899	20,505,899	0	20,505,899
Prop Tax Relief Elderly Freeze Program	609,536	610,000	560,000	0	560,000
Property Tax Relief for Veterans	2,792,713	2,970,099	2,970,099	0	2,970,099
P.I.L.O.T. New Mfg Machine & Equip	57,348,214	57,348,215	57,348,215	-4,453,016	52,895,199
Capital City Economic Development	7,525,000	6,050,000	6,050,000	0	6,050,000
Heating Assist. Schools	6,500,000	0	0	0	0
TOTAL - Pmts to Local Governments	101,956,693	95,684,213	95,634,213	-4,453,016	91,181,197
TOTAL - General Fund	164,122,476	138,755,935	143,798,326	-10,385,802	133,412,524
Personal Services	0	0	0	248,140	248,140
Other Expenses	0	0	0	6,900	6,900
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	0	125,725	125,725
TOTAL - Insurance Fund	0	0	0	380,765	380,765
Personal Services	0	0	0	746,000	746,000
Other Expenses	0	0	0	27,443	27,443
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	0	432,680	432,680
TOTAL - Consumer Counsel/Public Utility Fund	0	0	0	1,206,123	1,206,123
TOTAL - ALL FUNDS	164,122,476	138,755,935	143,798,326	-8,798,914	134,999,412



# DEPARTMENT OF VETERANS' AFFAIRS

<http://www.ct.gov/ctva/>

## AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a complete array of services, from long-term nursing care and dementia care to rehabilitation and end of life and palliative care. A plan of care is developed and continually updated for each resident by his/her

interdisciplinary team and quality healthcare services are provided to assist the veteran in reaching his/her maximum potential. Comprehensive healthcare services are provided to the residents in the residential facility through a co-managed medical collaborative model working with the Federal Veterans Administration.

- To provide a residential level of care, substance abuse recovery support, and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

## RECENT HIGHLIGHTS

### CAPITAL IMPROVEMENTS

The new \$35 million Sgt. John Levitow Veterans Health Care Center has been completely occupied since its opening in October 2008, serving 125 veterans in need of skilled nursing care, and has met or exceeded all required federal and state certifications. In June 2009, 24 new stained glass windows, donated by various veterans' organizations and individuals, were installed and dedicated in the Veterans Chapel of the new building. DVA has also received conditional approval from the USVA for a \$9.1 million Federal/State grant to renovate the State Veterans Home WWII-era residential buildings which provide housing to over 375 homeless and needy veterans. Upon final approval, the project will include new roofs and drainage systems, HVAC systems modernization, fire sprinkler upgrades and bathroom renovations. Construction is expected to begin in the summer of 2010.

### COMMUNITY OUTREACH

The agency's Office of Advocacy and Assistance (OAA) serviced over 2,000 walk-in clients, acquired 1,250 new clients and filed 1,851 new claims for Federal VA benefits on behalf of veterans in state fiscal year 2009. OAA's work resulted in over \$4 million in new income for Connecticut veterans and their families during this period. Accredited agency veterans service officers in five district offices conducted a variety of outreach across the state including seminars, benefit fairs, briefings for returning Reserve Component units, and nursing home visitations.

### CONNECTICUT VETERANS WARTIME SERVICE MEDALS

The agency continues to administer the Connecticut Veterans Wartime Service Medals Program awarding over 22,500 medals and certificates to date to eligible veterans and registering over 23,500 veterans in the Connecticut Veterans Registry to date. Over 40 community award ceremonies were held in fiscal year 2009 resulting in the personal presentation of thousands of medals. The agency also implemented the new posthumous Wartime Service Medal program for the families of Connecticut veterans who died on or after January 1, 2000, issuing over 100 posthumous medals.

### CONNECTICUT VETERANS INFO LINE

Over 5,000 inquiries from veterans are handled annually through the agency's toll-free Connecticut Veterans Info line. This popular

service provides veterans with easy access to information on general benefits, referrals to veterans' assistance programs and state agencies, and information on the most convenient locations to receive one-on-one assistance.

### SPECIAL EVENTS

- **STAND DOWN** On September 18, 2009, DVA held the largest ever Annual "Connecticut Cares" Stand Down offering outreach and services to 1,200 homeless and needy veterans from across the state. Veterans in need received services, meals, clothing and other support from over 50 benefit providers including various state agencies, the Judicial Department, healthcare providers, community service organizations and over 200 volunteers.
- **OASIS CENTERS** As an outcome from a Summit for Returning Veterans, the DVA has partnered with the Department of Higher Education, the CT State University System, the Community and Technical College System and UCONN along with the Greater Federation of Women's Clubs of Connecticut to establish Operation Academic Support for Incoming Service-members (OASIS) centers for veterans returning to school on each of the state's public colleges and universities. As of December 2009, fourteen OASIS centers have opened.
- **HEROES FOR HIRE** The Department continues to work with the Department of Labor in attempting to match unemployed veterans with jobs and training opportunities. DVA and the Department of Labor co-sponsored another "Heroes for Hire" Job Fair at Rentschler Field in October 2009. Over 1,000 veterans met with nearly 80 businesses.
- **CT VETERANS DAY PARADE** The DVA was an active sponsor and participant in the annual Connecticut Veterans Day parade which was held in Hartford before 45,000 spectators on Sunday, November 8, 2009.
- **CONNECTICUT VETERANS HALL OF FAME** Governor Rell inducted eleven new honorees into the Class of 2009 Connecticut Veterans Hall of Fame on Tuesday, November 17, 2009. The Connecticut Veterans Hall of Fame, which is administered by the DVA, now includes 51 distinguished Connecticut veterans who have gone on to serve their communities with equal honor.

## RECOMMENDED ADJUSTMENTS

**Technical Adjustments**

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

**2010-2011**

-8,422

-1,574,016

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	316	298	298	-20	278
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	24,261,609	23,230,788	25,195,059	-1,574,016	23,621,043
Other Expenses	7,428,409	6,962,226	6,970,217	-8,422	6,961,795
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Support Services for Veterans	190,000	190,000	190,000	0	190,000
<u>Pmts to Other Than Govts</u>					
Burial Expenses	7,200	7,200	7,200	0	7,200
Headstones	335,814	370,000	370,000	0	370,000
TOTAL - Pmts to Other Than Govts	343,014	377,200	377,200	0	377,200
TOTAL - General Fund	32,223,032	30,760,309	32,732,576	-1,582,537	31,150,039



# OFFICE OF WORKFORCE COMPETITIVENESS

## AGENCY PURPOSE

- The Office for Workforce Competitiveness (OWC) serves as the Governor's principal workforce development policy agency.
- The OWC acts as a liaison between the Governor and various federal, state and local entities involved in workforce development issues and provides staff support to the Connecticut Employment and Training Commission (CETC) and the Governor's JOBS Cabinet.

## RECENT HIGHLIGHTS

### THE CONNECTICUT CAREER CHOICES PROGRAM (CCC)

The **Student Innovation EXPO** is the CCC program's signature event, held at the end of each school year. The year-long CCC program actively engages high school students and teachers in a comprehensive technology-based research and design challenge (the last two years incorporating a Green technology theme) and the EXPO allows them to showcase their ingenuity and hard work in front of professionals including university deans of engineering and representatives from tech companies and organizations.

### HEALTHCARE

Public Act 03-142, An Act Concerning Career Ladder Programs was enacted in June 2003 establishing a Connecticut Career Ladder Advisory Committee (CCLAC). OWC continues its facilitation and management of the pilot Career Ladder activities. Over the past five years, the Career Ladder Advisory Committee has provided approximately \$1.5 million to pilot projects designed to address obstacles to creating/pursuing career ladders in the health care field. Projects have focused on the transition to college for entry level

health care workers, improving career pathways, expanding career awareness, better preparing current and future nurses for their roles in hospitals and improving workplace cultures to support recruitment and retention of health care workers.

### THE CONNECTICUT JOBS FUNNEL

During FY2009, Jobs Funnel projects continued to help individuals begin careers in the construction trades. Services provided through the Jobs Funnel projects include outreach/recruitment, assessment, case management, pre-employment preparation (consisting of math, remediation, life skills workshops, customized training, and/or pre-apprenticeship training), job placement, and retention support services in Hartford, New Haven, Waterbury and Bridgeport.

Over time, the funnels have provided services to approximately 10,170 individuals, with over 2,690 placed in a variety of employment opportunities including construction and non-construction jobs in both union and non-union settings and apprenticeship training programs. The average starting hourly wage for the construction workers is \$16.00.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions
- Reduce Funding for Selected Programs

*Funding for the Nanotechnology Study and Small Business Innovation Research (SBIR) Matching Grants programs is reduced.*

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

### 2010-2011

-10,000  
-87,500

-21,218  
-145,284

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	4	4	-1	3
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	418,201	336,282	431,474	-145,284	286,190
Other Expenses	289,713	100,000	100,000	-21,218	78,782

Other Current Expenses

CETC Workforce	2,488,309	950,000	1,000,000	0	1,000,000
Jobs Funnel Projects	950,000	475,000	500,000	0	500,000
Connecticut Career Choices	760,000	0	0	0	0
Nanotechnology Study	285,000	190,000	200,000	-60,000	140,000
SBIR Initiative	237,500	0	0	0	0
Career Ladder Pilot Programs	475,000	0	0	0	0
Spanish American Merchant Association	285,000	541,500	570,000	0	570,000
Adult Literacy Council	167,945	0	0	0	0
Film Industry Training Program	1,150,000	0	0	0	0
SBIR Matching Grants	237,500	142,500	150,000	-37,500	112,500
TOTAL - Other Current Expenses	<u>7,036,254</u>	<u>2,299,000</u>	<u>2,420,000</u>	<u>-97,500</u>	<u>2,322,500</u>
TOTAL - General Fund	7,744,168	2,735,282	2,951,474	-264,002	2,687,472



# BOARD OF ACCOUNTANCY

<http://www.ct.gov/sboa>

## AGENCY PURPOSE

The Board of Accountancy is statutorily charged with the licensing and regulation of the public accounting profession. The nine member board and its staff ensure that the highest standards of

integrity and professionalism are maintained by Connecticut's certified public accountants (CPA).

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Reduce Funding for Other Expenses	-21,158
<b>Technical Adjustments</b>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-30,708
• Annualize Personal Services Savings	-8,773

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	5	5	5	0	5

<b><i>Financial Summary</i></b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	314,971	326,475	345,306	-8,773	336,533
Other Expenses	71,369	121,304	77,863	-51,866	25,997
<u><i>Capital Outlay</i></u>					
Equipment	0	6,728	0	0	0
TOTAL - General Fund	386,340	454,507	423,169	-60,639	362,530

## DEPARTMENT OF ADMINISTRATIVE SERVICES


<http://www.das.state.ct.us/>

## AGENCY PURPOSE

The Department of Administrative Services (DAS) is a service entity that provides programs and products, specialized support and business solutions to state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

DAS offers support by:

- Providing human resource policy direction and assistance.
- Procuring goods and services.
- Assisting small agencies with personnel, payroll, affirmative action and business office functions.
- Collecting money owed to the state.

- Managing the state's fleet of vehicles.
- Providing state mail and courier services.
- Distributing surplus property and ordering and distributing federally donated foods.
- Providing document management services.
- Administering the State's Workers' Compensation Program

In addition, during the 3<sup>rd</sup> quarter of 2009, the functions of the State Insurance and Risk Management Board, the Office of the Claims Commissioner, the State Property Review Board and the State Marshal Commission were consolidated within DAS.

## RECENT HIGHLIGHTS

**COLLECTIONS SERVICES**

Exceeded all previous years' revenues, generating \$1.6 billion in paid claims in FY 2008-09. The successful collaboration between DSS, DDS and DAS in the development of Medicaid rates was a key element in this substantial increase in revenue.

**FISCAL SERVICES**

The Delinquent Accounts unit collected \$1.4 million from the Federal and State Tax Intercept program.

Expanded fiscal services to include State Insurance and Risk Management Board, Office of the Claims Commissioner, State Property Review Board and State Marshal Commission as part of DAS, and the Commission on Human Rights and Opportunities as a new customer agency.

**FLEET SERVICES**

Successfully reduced the size of the State's fleet by 880 vehicles, yielding an annual cost savings of just over \$4 million.

Deferred spending \$10 million on the routine replacement of Fleet vehicles for the fiscal year and compensated in part for this decision by reallocation or redeployment of vehicles that were returned pursuant to Governor M. Jodi Rell's Executive Order 22.

**PROCUREMENT**

Captured approximately \$224 million in savings and cost avoidance on many State contracts through re-negotiations, re-bidding and one-time purchase savings.

Continued to reap benefits from the State Surplus program, receiving \$3.79 million in gross revenue from all State auctions. This is up 36% from the prior year.

**STRATEGIC SERVICES**

In response to revised FMLA regulations and new MFLA (Military Family Leave Amendments to the FMLA) trained 256 statewide HR professionals. Implemented a new FMLA toolkit for program administration.

Provided support to the Statewide Pandemic Flu Planning Unified Command Team of DAS, DEMHS, and DPH by testing and maintaining the outbound Emergency Command Center (ECC) email communication system, and developing and training state agency personnel in the inbound Web Based Emergency Operations Center communications system.

**STATEWIDE HUMAN RESOURCES**

Together with OPM and the Governor's Office, reviewed Executive Branch agencies' operating plans in the post-RIP environment, with regard to job classification and organizational structure. Also worked with OLR and impacted agencies to place approximately 50 employees impacted by recent closings and consolidations.

**STATE PROPERTY REVIEW BOARD**

Reviewed leases and rejected costly proposals resulting in \$7.2 million in cost avoidance.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Remove Funding for Five Vacant Positions
- Reduce Funding in Various Accounts  
*Loss Control and Risk Management, Employees' Review Board, Claims Commissioner Operations and Correctional Ombudsman accounts have been reduced.*

**Reallocations or Transfers**

- Reallocate Funds from Loss Control to Workers' Compensation Administrator Account

**2010-2011**

-260,389  
-284,795

0



**Technical Adjustments**

• Increase Other Expenses	49,000
<i>Additional funding is provided to reflect the transfer of the State Marshal Commission to DAS.</i>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-251,083
• Annualize Personal Services Savings	-2,610,471

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	289	288	288	-25	263
<hr/>					
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	20,551,113	20,957,442	23,500,389	-2,870,860	20,629,529
Other Expenses	1,025,426	13,565,954	14,803,653	-202,083	14,601,570
<u>Capital Outlay</u>					
Equipment	0	285	300	-299	1
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	457,296	0	0	0	0
Loss Control Risk Management	150,778	239,329	239,329	-96,278	143,051
Employees' Review Board	19,179	31,661	32,630	-7,495	25,135
Surety Bonds for State Officials/Employees	0	95,200	74,400	0	74,400
Quality of Work-Life	20,400	0	0	0	0
Refunds of Collections	22,677	28,500	28,500	0	28,500
W. C. Administrator	5,450,052	5,213,554	5,213,554	36,446	5,250,000
Hospital Billing System	48,535	109,950	114,950	0	114,950
Correctional Ombudsman	284,050	190,000	200,000	-200,000	0
Claims Commissioner Operations	0	339,094	343,377	-17,169	326,208
TOTAL - Other Current Expenses	6,452,967	6,247,288	6,246,740	-284,496	5,962,244
TOTAL - General Fund	28,029,506	40,770,969	44,551,082	-3,357,738	41,193,344
Other Expenses	0	2,536,000	2,717,500	0	2,717,500
TOTAL - Special Transportation Fund	0	2,536,000	2,717,500	0	2,717,500
TOTAL - ALL FUNDS	28,029,506	43,306,969	47,268,582	-3,357,738	43,910,844



# DEPARTMENT OF INFORMATION TECHNOLOGY

<http://www.ct.gov/doit>

## AGENCY PURPOSE

- To make effective use of information technology, build the statewide information infrastructure for State agencies and citizens, and direct the development of systems to meet the common business and technology needs of multiple state agencies.
- To provide quality information technology services and the most cost-effective solutions that facilitate and improve the conduct of business for state residents, businesses, visitors and government entities.

## RECENT HIGHLIGHTS

### COST SAVINGS

The Department of Information Technology (DOIT) led the statewide wireless reduction initiative, saving \$1.8 million by enforcing a freeze on new wireless services spending, cancelling 1,038 cellular phones/wireless devices across 31 agencies, and migrating 3,261 devices across 52 agencies to lower cost plans.

DOIT secured lower rates for network equipment and maintenance estimated to save \$1.5 million per year across 30 agencies. DOIT awarded a new desktop computer contract based on new, price-saving specifications.

### E-GOVERNMENT

DOIT continues to support the development of an enterprise e-licensing capability, with the development/procurement of a new Department of Public Health system enabling physicians, dentists and nurses to renew professional licenses online.

DOIT also launched the statewide Enterprise Learning Management System to deliver and reduce costs for required workforce training. The system is in use at 11 agencies with more than 15,000 user accounts created.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Selected Accounts

*Reflects additional reductions to the Personal Services, Internet and E-Mail Services and Statewide Information Technology Services accounts.*

**2010-2011**

-1,990,131

### Technical Adjustments

- Provide Funding for the Centralized Voter Registration System

*The Secretary of the State is responsible for the Centralized Voter Registration System. However, with the realignment of DOIT's revolving fund, system costs will now be borne by DOIT. Expenses were previously paid by federal HAVA (Help America Vote Act) funding.*

150,000

- Annualize Transfer for DOIT Revolving Fund Realignment

-3,304,143

- Annualize Personal Services Savings

-975,161

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	149	311	311	-15	296
<b><u>Financial Summary</u></b>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	9,174,090	8,195,923	8,990,175	-1,694,375	7,295,800
Other Expenses	6,376,031	7,157,164	6,648,090	-66,410	6,581,680
<b><u>Capital Outlay</u></b>					
Equipment	0	95	100	-99	1
<b><u>Other Current Expenses</u></b>					
Connecticut Education Network	3,073,463	3,980,885	4,003,401	0	4,003,401
Internet and E-Mail Services	5,492,751	5,548,968	5,553,331	-557,547	4,995,784
Statewide Information Technology Services	0	21,432,870	23,917,586	-3,801,103	20,116,483
TOTAL - Other Current Expenses	8,566,214	30,962,723	33,474,318	-4,358,650	29,115,668
TOTAL - General Fund	24,116,335	46,315,905	49,112,683	-6,119,534	42,993,149



# DEPARTMENT OF PUBLIC WORKS

<http://www.ct.gov/dpw/>

## AGENCY PURPOSE

- Supervise care and control of most State property, including buildings and grounds in Hartford, district office facilities in the major urban centers, various locations outside of Hartford by agreement and surplus property of the State.
- Purchase, lease and acquire property and space for State agencies.
- Provide technical assistance to agencies in developing plans for improvement to their facilities.
- Provide construction planning and management services for most capital improvements undertaken by the State. Provide energy management technical support and guidance on the State's energy policy.
- Collaborate with OPM Assets Management in the sale of surplus State property.
- Maintain an inventory of State land and buildings, including space utilization information.
- Establish and maintain security standards for most facilities, housing, offices and equipment of the State.

## RECENT HIGHLIGHTS

### SUSTAINABLE CONSTRUCTION / LEED CERTIFICATIONS

As of December 2009, DPW has completed construction of five Leadership in Energy and Environmental Design (LEED) Silver Buildings. Overall, DPW is in active design or has completed more than ten major projects designed to the LEED Silver standards.

### BUILDING INFORMATION MODELING

Building Information Modeling (BIM) is a new design and project delivery methodology, which utilizes three dimensional modeling of the building during design allowing conflicts to be identified and solved in the computer model prior to the construction effort. Expanded use of this design process is anticipated in the next few years.

### AMERICAN RECOVERY AND REVITALIZATION ACT (ARRA)

DPW is presently working on two ARRA projects. The Connecticut Veterans' Home and Hospital is receiving approximately \$9.1 million for improvements to several of their buildings. The DPW Energy Unit will receive \$5.0 million for energy conservation projects in many State owned buildings.

### CAPITOL AREA DISTRICT HEATING AND COOLING SYSTEM

The State, through DPW, purchased the Capitol Area District Heating and Cooling System from TEN Companies, Inc. The system currently serves ten State owned and four non-State facilities with hot and chilled water for space heating and cooling. The DPW Technical Services Unit has been tasked with operation of the system. It is anticipated that the State will save nearly \$1 million per year from its projected energy costs for the buildings served by the system.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Vacant Positions

*Eliminate funding for one vacant position (\$50,000) and increase turnover (\$50,000).*

### **2010-2011**

-100,000

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Funding for FY 2009-10 Deficiencies
- Annualize Personal Services Savings

-30,046

850,000

-1,064,319

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	139	138	138	-9	129

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	7,297,088	6,754,775	7,690,198	-1,164,319	6,525,879
Other Expenses	25,722,118	29,407,277	26,911,416	-30,046	26,881,370
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Management Services	4,651,148	4,836,508	3,836,508	500,000	4,336,508
Rents and Moving	9,979,636	13,946,996	11,225,596	0	11,225,596
Capitol Day Care Center	121,972	127,250	127,250	0	127,250
Facilities Design Expenses	4,793,163	5,304,705	4,744,945	350,000	5,094,945
TOTAL - Other Current Expenses	19,545,919	24,215,459	19,934,299	850,000	20,784,299
TOTAL - General Fund	52,565,125	60,377,606	54,536,013	-344,464	54,191,549



# ATTORNEY GENERAL

<http://www.ct.gov/ag>

## AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Office of the Attorney General serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts

within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

## RECOMMENDED ADJUSTMENTS

	<b><u>2010-2011</u></b>
<b>Reductions</b>	
• Annualize FY 2009-10 Reductions	-5,005
• Remove Funding for Vacant Positions	-750,987
<b>Technical Adjustments</b>	
• Annualize Personal Services Savings	-2,415,372
• Annualize Transfer for DOIT Revolving Fund Realignment	-3,365

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	316	309	309	-21	288

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	29,420,359	29,199,867	31,270,000	-3,166,359	28,103,641
Other Expenses	1,450,041	1,022,444	1,027,637	-8,365	1,019,272
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	30,870,400	30,222,406	32,297,737	-3,174,823	29,122,914

# OFFICE OF THE CLAIMS COMMISSIONER

## AGENCY PURPOSE

***Consistent with Public Act 09-3, June Special Session, the Office of the Claims Commissioner has been consolidated with the Department of Administrative Services.***

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	4	0	0	0	0

<b><i>Financial Summary</i></b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	215,036	0	0	0	0
Other Expenses	10,288	0	0	0	0
<b><i>Other Current Expenses</i></b>					
Adjudicated Claims	93,933	0	0	0	0
TOTAL - General Fund	319,257	0	0	0	0



# DIVISION OF CRIMINAL JUSTICE

## AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to contribute to the due process of criminal law

and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

## RECENT HIGHLIGHTS

### DOMESTIC VIOLENCE INITIATIVES

The Division has received federal STOP Violence Against Women Act (VAWA) funds for its Domestic and Sexual Violence Investigation Kits program in which police officers in the field are provided with the training and resources necessary to completely and accurately document a domestic or sexual violence crime, leading to increased abuser accountability and victim safety. The Division received Recovery Act VAWA funding to continue support for five dedicated domestic violence prosecutor positions.

### OPERATIONAL REORGANIZATION

A new organizational structure has been implemented in the Office of the Chief State's Attorney which focuses on program areas and will enable the Division to draw upon the broad range of experience of prosecutors and inspectors. Division personnel will have enhanced opportunities to develop and utilize their skills in several disciplines.

Under the reorganization, there are two Bureaus: the Financial Crimes Bureau which will be responsible for public integrity matters, elder abuse, environmental and other financial crimes, while the Violent Crimes Bureau's jurisdiction includes the Leroy Brown, Jr., and Karen Clarke Witness Protection Program, cold cases, organized crime, domestic violence, narcotics and other violent crimes.

### COLD CASE UNIT

Cold cases over the past year included DNA cases (with support from a federal Solving Cold Cases with DNA grant award), physical evidence cases and eye witness accounts. Arrests were made for crimes including murder, sexual assault, kidnapping, and armed robbery. The unit currently has 31 active cases, and case referral is at an all time high. The success of the unit has engendered the creation of a new Cold Case Unit for New London County, in cooperation with the Groton, Norwich, Stonington, and Waterford Police Departments, and the New London State's Attorney.

### TIP LINE

Established a toll-free "tip line" to allow the public to confidentially report allegations of corruption at the state and local levels of government which received over 200 calls in FY 2009.

### RECORDED CONFESSIONS

The division has implemented a pilot program for recording custodial interviews in major felony investigations. Participating sites are the Bridgeport, Meriden, Southington and Waterford Police Departments, and the Department of Public Safety's Eastern and Western District Major Crime Squads.

## RECOMMENDED ADJUSTMENTS

### Reductions

• Annualize FY 2009-10 Reductions in the General Fund	-10,779
• Remove Funding for Four Vacant Non-RIP Positions	-346,796
• Annualize FY 2009-10 Reductions in the Workers' Compensation Fund	-30,694

### Reallocations or Transfers

• Realign Funding to Reflect Correct Accounts in the Workers' Compensation Fund	0
<i>Transfer funding from Personal Services to Fringe Benefit account.</i>	

### Technical Adjustments

• Annualize Transfer for DOIT Revolving Fund Realignment	-2,601
• Annualize Personal Services Savings	-4,157,808

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	539	527	527	-29	498
Workers' Compensation Fund	4	4	4	0	4

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	47,141,396	45,137,512	48,910,817	-4,504,604	44,406,213
Other Expenses	2,607,696	2,196,434	2,243,902	-7,601	2,236,301
<u>Capital Outlay</u>					
Equipment	0	100	100	-99	1
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	1,010,977	0	0	0	0
Witness Protection	250,202	344,211	338,247	0	338,247
Training and Education	53,058	109,170	109,687	-5,746	103,941
Expert Witnesses	560,307	198,643	198,643	0	198,643
Medicaid Fraud Control	715,377	739,918	767,282	0	767,282
Persistent Violent Felony Offenders Act	44,029	0	0	0	0
Criminal Justice Commission	0	617	650	-33	617
TOTAL - Other Current Expenses	2,633,950	1,392,559	1,414,509	-5,779	1,408,730
TOTAL - General Fund	52,383,042	48,726,605	52,569,328	-4,518,083	48,051,245
Personal Services	55,336	560,138	590,714	-241,532	349,182
Other Expenses	0	21,339	22,776	-1,123	21,653
<u>Capital Outlay</u>					
Equipment	0	1,710	600	-599	1
<u>Other Current Expenses</u>					
Fringe Benefits	0	0	0	212,051	212,051
TOTAL - Workers' Compensation Fund	55,336	583,187	614,090	-31,203	582,887
TOTAL - ALL FUNDS	52,438,378	49,309,792	53,183,418	-4,549,286	48,634,132



# CRIMINAL JUSTICE COMMISSION

## AGENCY PURPOSE

*Consistent with Public Act 09-3, June Special Session, the Criminal Justice Commission has been consolidated with the Division of Criminal Justice.*

## AGENCY SUMMARY

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Other Expenses	632	0	0	0	0
TOTAL - General Fund	632	0	0	0	0

# STATE MARSHAL COMMISSION

## AGENCY PURPOSE

***Consistent with Public Act 09-3, June Special Session, the State Marshal Commission has been consolidated with the Department of Administrative Services.***

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	4	0	0	0	0

<b><i>Financial Summary</i></b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	296,933	51,782	0	0	0
Other Expenses	108,938	17,756	0	0	0
TOTAL - General Fund	405,871	69,538	0	0	0

# DEPARTMENT OF PUBLIC SAFETY



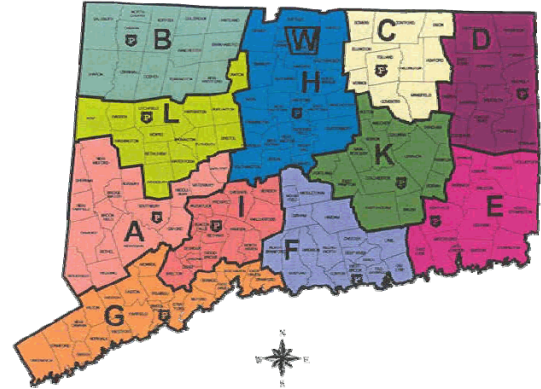
<http://www.ct.gov/dps/site/default.asp>

## AGENCY PURPOSE

To protect and improve the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

In striving to accomplish our mission, we embody our core values with great **P.R.I.D.E.**

- **PROFESSIONALISM** through an elite and diverse team of trained men and women.
- **RESPECT** for ourselves and others through our words and actions.
- **INTEGRITY** through adherence to standards and values that foster public trust.
- **DEDICATION** to service.
- **EQUALITY** through fair and unprejudiced application of the law.



## RECENT HIGHLIGHTS

### SEX OFFENDER REGISTRY

The Department of Public Safety's new and improved Sex Offender Registry System provides better monitoring of the State's sex offender population and allows residents to track registered sex offenders in their area. The web-based management tool improved collection of data required by the National Sex Offender Registry and makes it available to all state agencies responsible for the registration of sex offenders. By using this application to track sex offenders, there will be little to no delay in new or updated information for both public and law enforcement access. Additionally, the new registry allows for public access to the system. Users will be able to log on to a community web portal to search for specific offenders, register to be notified by e-mail when an offender moves into their area, and use a mapping tool to view offenders residing within a specified radius of a given address.

### NEW TROOPER CLASS

On April 15, 2009, a class of 61 recruits graduated to the rank of State Trooper Trainee and were subsequently assigned to eleven barracks throughout the State.

### FORENSIC SCIENCE LABORATORY

In 2009, the Division of Scientific Services, a full service forensic laboratory accredited through the American Society of Crime Laboratory Directors, Laboratory Accreditation Board, processed 7,572 requests for examinations and laboratory analysis from local, state, and federal law enforcement agencies. It is anticipated that the Phase III forensic addition will open in the beginning months of 2010, and the Toxicology and Controlled Substance section will move from Hartford to Meriden.

### EMERGENCY NOTIFICATION SYSTEMS (ENS)

After the passing of legislation releasing the 911 data base for the use of ENS, the Office of Statewide Emergency Telecommunications (OSET) embarked on the implementation of a statewide ENS. The statewide ENS will be provided to all of the 107 Public Safety Answering Points (PSAP's) as well as some critical state agencies and universities. This DPS/OSET project was implemented with the support and assistance of the Department of Emergency Management and Homeland Security (DEMHS) and the Department of Information Technology (DOIT). The system was set in place in the state Emergency Operations Center by late August to be prepared for hurricane season. Training and further implementation of the ENS is ongoing through the next six to nine months.

## RECOMMENDED ADJUSTMENTS

### Reductions

• Annualize FY 2009-10 Reductions	<b>2010-2011</b>
• Redeploy 21 School Resource Officers to Patrol Duties	-26,845
• Reassign 2 Troopers Serving as Pilots or Spotters to Patrol Duties	-1,050,000
• Defer Trooper Training Class	-100,000
	-1,533,850

*A trooper training class will commence in the Spring of 2010. This reduction would defer a class budgeted in FY 2010-11.*

### Technical Adjustments

• Annualize Transfer for DOIT Revolving Fund Realignment	-2,056,167
• Annualize Funding for FY 2009-10 Deficiencies	1,700,000
• Annualize Personal Services Savings	-11,470,981

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,787	1,761	1,761	-110	1,651
<hr/>					
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	132,347,420	119,713,371	131,161,610	-13,739,031	117,422,579
Other Expenses	30,316,901	28,710,749	30,368,119	-2,496,967	27,871,152
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Stress Reduction	7,265	23,354	23,354	0	23,354
Fleet Purchase	6,912,132	9,873,239	7,035,596	0	7,035,596
Gun Law Enforcement Task Force	299,509	0	0	0	0
Workers' Compensation Claims	4,377,763	5,138,787	3,438,787	1,700,000	5,138,787
COLLECT	44,346	48,925	48,925	0	48,925
Urban Violence Task Force	318,018	0	0	0	0
Persistent Violent Felony Offenders Act	514,000	0	0	0	0
TOTAL - Other Current Expenses	12,473,033	15,084,305	10,546,662	1,700,000	12,246,662
<u>Pmts to Other Than Govts</u>					
Civil Air Patrol	34,920	34,920	34,920	-1,746	33,174
<u>Pmts to Local Governments</u>					
SNTF Local Officer Incentive Program	129,600	0	0	0	0
TOTAL - General Fund	175,301,874	163,543,440	172,111,411	-14,537,843	157,573,568



# POLICE OFFICER STANDARDS & TRAINING COUNCIL

<http://www.post.state.ct.us/>

## AGENCY PURPOSE

The Police Officer Standards and Training Council (POST) is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the State of Connecticut. POST:

- Is the primary provider of training for municipal, state agency and state university police officers in the areas of basic law enforcement, in-service law enforcement and professional development.
- Is responsible for all of the certified police training activities at the Connecticut Police Academy.
- Develops and revises a comprehensive police training and education plan, which includes approving the operations of police training schools, approving courses of study, certifying instructors and setting minimum employment standards and certification requirements.
- Offers professional, advanced, specialized and continuing education to veteran police officers as part of the license renewal process, which requires each police officer to satisfactorily complete at least 60 hours of certified review training every three years.
- May revoke the certification, i.e., license, of police officers under certain statutory conditions.
- Confers State accreditation on law enforcement units who comply with 327 individual standards over a three tier system.

## RECENT HIGHLIGHTS

### MUNICIPAL POLICE AGENCY ACCREDITATION PROGRAM

POST has completed the fifth year of Municipal Police Agency Accreditation Program established by statute and has exceeded its goals having conferred accreditation upon 27 agencies with 42 more agencies in the process. During the year, seven agencies were awarded reaccreditation.

### TACTICAL TRAINING VILLAGE

POST, in conjunction with the Department of Public Safety and Wilcox State Technical School in Meriden, has completed construction of a five building tactical training village on the Connecticut Police Academy grounds. Plans are in place for one additional building and fixtures such as sidewalks, curbs and lighting.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

**2010-2011**

-1,046

-405,981

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	27	26	26	-4	22
<u>Financial Summary</u>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	2,012,632	1,774,346	2,101,436	-405,981	1,695,455
Other Expenses	817,918	992,406	993,398	-1,046	992,352
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	2,830,550	2,766,847	3,094,934	-407,126	2,687,808



# BOARD OF FIREARM PERMIT EXAMINERS

[www.ct.gov/bfpe](http://www.ct.gov/bfpe)

## AGENCY PURPOSE

The Board of Firearms Permit Examiners was established under C.G.S. 29-32b to provide a means of appeal to any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of Sections 29-28 or 29-36f, by any limitation or

revocation of a pistol permit or certificate issued under any of said sections, or by a refusal or failure of any issuing authority to furnish a pistol permit application as provided in Section 29-28a through administrative hearings.

***The Board of Firearm Permit Examiners is recommended for consolidation with the Department of Public Safety in the Governor's budget as part of her proposal on Government Reform.***

## RECOMMENDED ADJUSTMENTS

### Reductions

- Consolidate Board of Firearm Permit Examiners into DPS

**2010-2011**

-82,508

*The Department of Public Safety will assume the administrative duties associated with the Board of Firearm Permit Examiners.*

## AGENCY SUMMARY

	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	1	1	1	-1	0
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	89,570	72,390	73,536	-73,536	0
Other Expenses	8,695	8,971	8,971	-8,971	0
<u>Capital Outlay</u>					
Equipment	0	95	100	-100	0
TOTAL - General Fund	98,265	81,456	82,607	-82,607	0

## DEPARTMENT OF MOTOR VEHICLES


<http://www.ct.gov/dmv>

## AGENCY PURPOSE

- Perform public safety functions through enforcement of the statutes concerning motor vehicles and their operation.
- Issue credentials for motor vehicles, their operators and vehicle-related businesses.
- Deliver high quality, innovative services to customers.
- Impose sanctions on the credential-holders who violate laws and regulations.
- Collect revenues for the construction and maintenance of the State's highways, collect information on revenues, credentials and credential-holders, and provide it to all those with a legitimate need to know.

## RECENT HIGHLIGHTS

## FAST FACTS FY 2009

840,000	Calls to Phone Center	\$390 million	Revenue collected by DMV
1.7 million	Visitors to Branch Offices	29,548	16 and 17 Year Old Drivers
3.00 million	Registered Motor Vehicles	317,209	Non Driver Identifications
2.57 million	Licensed Drivers	952,296	Driver Licenses with organ donor designation.
840,000	Calls to Phone Center	\$390 million	Revenue collected by DMV

## RECOMMENDED ADJUSTMENTS

## Reductions

- Remove Funding for Vacant Positions -475,680
- Annualize FY 2009-10 Reductions -692,709
- Reduce Other Expenses -1,000,000

*Aligns funding with FY 2009-10 expenditure levels.*

## Technical Adjustments

- Annualize Personal Services Savings -4,918,275

## Expansion Adjustments

- Reflect the Boating Fund Administrative Costs in General Fund 490,853

*Add funding and positions to the General Fund in order to reflect Boating Fund administrative costs. The Boating Account, currently set up as a separate non-lapsing account, will be appropriated.*

- Institute Re-verification Procedures to Achieve Compliance with the REAL ID Act 250,000

*Add funding and 20 Motor Vehicle License Examiner positions effective April 1, 2011 in order to meet the REAL ID compliance date of May 10, 2011.*

## AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	0	0	3	3
Special Transportation Fund	649	618	618	-32	586

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	0	0	0	274,449	274,449
Other Expenses	0	0	0	216,404	216,404
TOTAL - General Fund	0	0	0	490,853	490,853
Personal Services	42,598,829	42,960,504	45,045,027	-5,788,423	39,256,604
Other Expenses	15,826,292	14,121,534	14,120,716	-1,005,000	13,115,716
<u>Capital Outlay</u>					
Equipment	425,712	566,159	638,869	-29,798	609,071
<u>Other Current Expenses</u>					
Insurance Enforcement	473,152	0	0	0	0
Commercial Veh Info Sys & Networks Project	372,379	255,407	268,850	-13,443	255,407
TOTAL - Other Current Expenses	845,531	255,407	268,850	-13,443	255,407
TOTAL - Special Transportation Fund	59,696,364	57,903,604	60,073,462	-6,836,664	53,236,798
TOTAL - ALL FUNDS	59,696,364	57,903,604	60,073,462	-6,345,811	53,727,651





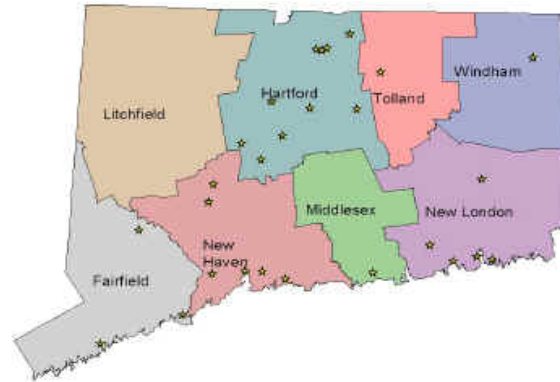
# MILITARY DEPARTMENT

<http://www.mil.state.ct.us/>

## AGENCY PURPOSE

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.

Connecticut Military Facilities



## RECENT HIGHLIGHTS

- The Connecticut Army National Guard (CTARNG) consists of four major commands with 45 units stationed in 19 state armories, two Army aviation facilities and five training facilities. The CTARNG is comprised of 2,216 traditional (part-time) Soldiers, 1,054 full-time federally employed Soldiers and 20 federal civilian employees, totaling 3,290 personnel. The CTARNG comprises 68 percent of the Military Department’s personnel strength and brings more than \$207 million of federal funding to the state annually.
- The 1-102<sup>nd</sup> Infantry Regiment has deployed more than 600 Soldiers to Afghanistan in support of Operation Enduring Freedom in November of 2009. While in Afghanistan, the 1-102<sup>nd</sup> Infantry Regiment will be training the Afghan Army and the Afghan Police in all aspects of their daily responsibilities from counterinsurgency operations and techniques to the daily routines of being a member of the Afghan Army or the Afghan Police Force.
- Including the 102<sup>nd</sup> Infantry, the CTARNG has over 1,000 soldiers deployed in worldwide missions including Iraq and Afghanistan.
- The Connecticut Air National Guard (CTANG) consists of a Headquarters element, the 103<sup>d</sup> Airlift Wing, known as the ‘Flying Yankees’, and the 103<sup>d</sup> Air Operations Group both located in East Granby and the 103<sup>d</sup> Air Control Squadron based in Orange.
- There are currently over 40 CTANG personnel deployed in worldwide missions.
- The CTANG brought in more than \$50 million in federal funding to the state in FY 2009, over \$37 million of which was in federal military and civilian salaries. In addition, the CTANG manages more than \$101.8 million in federal equipment and supplies and occupies and maintains 41 facilities with a combined cost basis of \$35.5 million.
- The National Defense Authorization Act of 2009 provided \$8 million in funding to the CTANG for establishment of a New England Disaster Training Center. The funds will be used to upgrade and construct facilities and procure equipment and supplies to provide Disaster Site Awareness Training for National Disaster Medical System teams, other emergency responders, and National Guard and Reserve military emergency medical personnel in domestic emergency medical deployment and response. This program will encompass both Air and Army facilities.
- Since the release of the Base Realignment and Closure list in May of 2005, the CTANG transformation continues to evolve and thrive with its C-21 bridge mission to the Joint Cargo Aircraft, Consolidated Intermediate Repair Facility and Air Operations Center. With these missions will come more than \$35 million in federal funding for facilities construction and renovation over the next five years.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Overtime

**2010-2011**

-25,000

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-16,439

-282,741

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	51	49	49	-3	46
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	3,265,551	3,236,554	3,475,246	-307,741	3,167,505
Other Expenses	3,125,732	2,729,398	2,744,995	-16,439	2,728,556
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Honor Guard	319,500	319,500	319,500	0	319,500
Veterans' Service Bonuses	149,500	306,000	306,000	0	306,000
TOTAL - Other Current Expenses	469,000	625,500	625,500	0	625,500
TOTAL - General Fund	6,860,283	6,591,547	6,845,841	-324,279	6,521,562



# EMERGENCY MANAGEMENT & HOMELAND SECURITY

## AGENCY PURPOSE

The Department of Emergency Management and Homeland Security (DEMHS) is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural

hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

## RECENT HIGHLIGHTS

- In 2009, DEMHS, working with other state agencies, including the lead agency, DPH, coordinated the state's response to the H1N1 outbreaks, including: publishing weekly State of Connecticut H1N1 situation reports, conducting weekly multi-agency H1N1 meetings, and, as needed, state-wide conference calls with all local emergency management directors.
  - DEMHS, in coordination with DPS, has implemented a state-wide emergency telephone notification system for use by state agencies and local public safety answering points (9-1-1 centers) to alert the public to immediate or impending emergency situations within their communities.
  - DEMHS developed guidance for municipal adoption and use of a Very High Frequency (VHF) radio telecommunications system.
- This system allows for communicate between each of the municipalities within Connecticut. The high band radio system contains five radio frequencies, each designated to one of five DEMHS Regions within the state.
- The DEMHS Radiological Emergency Preparedness Unit conducted and led a hostile action exercise of the State Radiological Emergency Response Plan, as well as a host community exercise, in New Haven.
  - DEMHS, in concert with the Coast Guard and the Area Maritime Security Council, facilitated the development of the Risk Mitigation Plan, which provides a five-year security road map for the three Connecticut ports.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

	<b><u>2010-2011</u></b>
• Annualize Transfer for DOIT Revolving Fund Realignment	-224,292
• Annualize Personal Services Savings	-253,210

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	46	36	36	-1	35
<hr/>					
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	3,778,950	3,147,631	3,407,563	-253,210	3,154,353
Other Expenses	622,550	641,657	854,460	-224,292	630,168
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	4,401,500	3,789,383	4,262,123	-477,601	3,784,522



# COMMISSION ON FIRE PREVENTION & CONTROL

<http://www.ct.gov/cfpc>

## AGENCY PURPOSE

- Reduce death, injury and property damage due to fire, emergencies and other man-made or natural disasters by increasing the proficiency of fire service personnel through training, education and professional competency certification.
- Serve as the focal point and primary advocate for Connecticut's fire service within state and federal government.
- Provide technical assistance, consulting services, information sharing and training resources to the fire and emergency services community.
- Raise the fire and life safety awareness level of the public.

## RECENT HIGHLIGHTS

- Delivered 513 training programs which served 9,448 students resulting in 199,897 contact hours. A number of agency programs have been approved for college transfer credit.
- Certified 2,707 Connecticut fire service personnel to any of twenty-two levels of professional competency.
- As per national standards, integrated Hazardous Materials and Weapons of Mass Destruction competencies into Firefighter I training and certification.
- In cooperation with the Connecticut State Firefighters Association Education Committee worked on the Regional Fire School Capital Improvement Plan seeking to rebuild 8 of the current 9 Regional Fire Schools.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Firefighter Training

**2010-2011**

-151,576

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-2,186

-15,501

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	18	16	16	0	16
<u><i>Financial Summary</i></u>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	1,699,793	1,640,214	1,683,823	-15,501	1,668,322
Other Expenses	681,051	710,214	715,288	-2,186	713,102
<u><i>Capital Outlay</i></u>					
Equipment	0	95	100	-99	1
<u><i>Other Current Expenses</i></u>					
Firefighter Training I	355,250	479,987	505,250	-151,576	353,674
<u><i>Pmts to Other Than Govts</i></u>					
Fire Training School - Willimantic	161,798	153,708	161,798	0	161,798
Fire Training School - Torrington	81,367	77,299	81,367	0	81,367
Fire Training School - New Haven	48,364	45,946	48,364	0	48,364
Fire Training School - Derby	37,139	35,282	37,139	0	37,139
Fire Training School - Wolcott	100,162	95,154	100,162	0	100,162
Fire Training School - Fairfield	70,395	66,875	70,395	0	70,395
Fire Training School - Hartford	169,336	160,869	169,336	0	169,336
Fire Training School - Middletown	59,053	56,100	59,053	0	59,053
Fire Training School - Stamford	3,182	0	0	0	0
Payments to Volunteer Fire Companies	162,784	185,250	195,000	0	195,000
Fire Training School - Stamford	52,250	52,660	55,432	0	55,432
TOTAL - Pmts to Other Than Govts	945,830	929,143	978,046	0	978,046
TOTAL - General Fund	3,681,924	3,759,653	3,882,507	-169,362	3,713,145



## DEPARTMENT OF BANKING

<http://www.ct.gov/dob>

## AGENCY PURPOSE

- Protect Connecticut consumers and investors.
- Administer the State's banking and related laws.
- Ensure the safety and soundness of regulated depository institutions.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Annualize FY 2009-10 Reductions

**2010-2011**

-50,000

**Technical Adjustments**

- Adjust Funding to Reflect Revised Indirect Overhead Costs
- Adjust Position Count to Reflect Five Retirement Incentive Plan Vacancies

146,615

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	129	125	125	-5	120
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	10,083,262	9,656,646	11,072,611	0	11,072,611
Other Expenses	1,823,628	1,974,735	1,885,735	0	1,885,735
<u>Capital Outlay</u>					
Equipment	0	18,035	21,708	0	21,708
<u>Other Current Expenses</u>					
Fringe Benefits	5,545,634	5,902,965	6,187,321	-50,000	6,137,321
Indirect Overhead	731,970	879,332	905,711	146,615	1,052,326
TOTAL - Other Current Expenses	6,277,604	6,782,297	7,093,032	96,615	7,189,647
TOTAL - Banking Fund	18,184,494	18,431,713	20,073,086	96,615	20,169,701



# INSURANCE DEPARTMENT

<http://www.ct.gov/cid>

## AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by providing assistance and information to the public and to policy makers, by regulating the insurance industry in a fair and efficient manner

which promotes a competitive and financially sound insurance market for consumers, and by enforcing the insurance laws to ensure that consumers are treated fairly and are protected from unfair practices.

## RECENT HIGHLIGHTS

### MARKET CONDUCT UNIT

The Market Conduct Unit recovered \$1,769,936 in fines as a result of Market Conduct examinations, during FY 2009.

### FRAUD, INVESTIGATIONS & COMPLIANCE UNIT

The Fraud & Compliance Unit revoked 16 individual agent licenses and leveled fines totaling in excess of \$38,500.

### CONSUMER AFFAIRS UNIT

The Consumer Affairs Unit handled over 27,750 phone calls and provided consumer education through distribution of over 800 informational pamphlets and booklets. As a direct result of this unit's

involvement, \$2,481,078 was recovered by Connecticut consumers during the fiscal year.

### LEGAL DIVISION

The Legal Division assisted Department divisions in 64 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$2,136,399 in fines and penalties. The division also supported 33 insurance rate hearings and participated in two hearings under the Connecticut Insurance Holding Act regarding the merger or acquisition of control of a Connecticut domiciled insurer.

## RECOMMENDED ADJUSTMENTS

### Reductions

• Reduce Equipment Funds	-45,000
• Reduce Other Expenses	-477,000
<i>Savings from change of hosting platform for the online credit card system (\$465,000) and fewer car rentals as a result of retirements (\$12,000).</i>	
• Annualize FY 2009-10 Reductions	-5,119
• Reduce Personal Services	-364,500
<i>Reduced costs due to the sharing of a DOIT Manager (\$78,000), savings from employee leave (\$147,000) and associated fringe benefits (\$139,500).</i>	

### Technical Adjustments

• Adjust Funding to Reflect Revised Indirect Overhead Costs	306,192
• Adjust Position Count to Reflect Seven Retirement Incentive Plan Vacancies	

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	149	148	148	-7	141
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	12,669,478	12,466,625	13,685,483	-225,000	13,460,483
Other Expenses	2,521,750	2,396,611	2,397,280	-477,000	1,920,280
<u>Capital Outlay</u>					
Equipment	56,646	97,256	101,375	-50,119	51,256
<u>Other Current Expenses</u>					
Fringe Benefits	6,928,727	7,737,063	8,169,016	-139,500	8,029,516
Indirect Overhead	524,348	370,204	395,204	306,192	701,396
TOTAL - Other Current Expenses	7,453,075	8,107,267	8,564,220	166,692	8,730,912
TOTAL - Insurance Fund	22,700,949	23,067,759	24,748,358	-585,427	24,162,931



# OFFICE OF CONSUMER COUNSEL

<http://www.ct.gov/occ>

## AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers (Conn. Gen. Stat. §16-2a et seq). OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. The OCC's advocacy includes the promotion of beneficial policies for ratepayers, such as the conservation of energy resources.

OCC participates actively in proceedings before the Connecticut Department of Public Utility Control (DPUC), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts. OCC works to advance ratepayer concerns at the U.S. Congress and the Connecticut General Assembly. OCC is a statutory party to all matters before the DPUC, including all contested matters and is authorized to appeal state regulatory decisions to court.

## RECENT HIGHLIGHTS

Over \$400 million in direct savings to Connecticut ratepayers was achieved by OCC this fiscal year as the office continued its advocacy in the four forums in which it appears: DPUC dockets, litigation, legislative advocacy and membership on state, regional and national ratepayer-interest boards and committees. The OCC Scorecard, which tallies docket work resulting in ratepayer savings, can be accessed at: <http://www.ct.gov/occ>.

OCC's ten-person professional staff of attorneys, utility specialists and rate analysts actively participated in dockets during FY 2009

involving all regulated utilities and cable companies. Three major issues affecting ratepayers were prominent in OCC's docket work this year: unsatisfactory customer service, including deficiencies among certain utilities in response time and billing inaccuracies; repeated attempts by companies to raise rates beyond a fair and reasonable amount; and energy planning and development of energy infrastructure.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Remove Funding for Two Vacant Positions
- Annualize FY 2009-10 Reductions

**2010-2011**

-167,875

-27,989

### Technical Adjustments

- Adjust Funding to Reflect Revised Indirect Overhead Costs
- Adjust Position Count to Reflect One Retirement Incentive Plan Vacancy

208,867

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<i>Permanent Full-Time Positions</i>					
Consumer Counsel/Public Utility Fund	17	17	17	-3	14

<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,341,222	1,308,977	1,523,895	-108,307	1,415,588
Other Expenses	423,923	529,122	556,971	-27,489	529,482
<i>Capital Outlay</i>					
Equipment	1,003	9,500	9,500	-500	9,000
<i>Other Current Expenses</i>					
Fringe Benefits	762,845	841,726	918,729	-59,568	859,161
Indirect Overhead	146,402	208,775	215,039	208,867	423,906
TOTAL - Other Current Expenses	909,247	1,050,501	1,133,768	149,299	1,283,067
TOTAL - Consumer Counsel/Public Utility Fund	2,675,395	2,898,100	3,224,134	13,003	3,237,137



# DEPARTMENT OF PUBLIC UTILITY CONTROL

<http://www.ct.gov/dpuc>

## AGENCY PURPOSE

- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To balance the public's need for adequate utility service at reasonable rates with the providers' right to earn a reasonable return on their investment in those industries still wholly regulated.
- To design and oversee competitive utility service (telephone, commercial/industrial natural gas and electric service) with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.
- To assure that cable television providers' certificates are awarded and operated according to statute and regulation and that customer service is being provided in a timely and courteous manner.
- To perform management audits of public service companies.
- To perform research and analysis to provide data and support for adjudicatory functions.
- To monitor and enforce utility safety requirements.
- To resolve individual consumer complaints.
- To increase consumers' knowledge of their rights.
- To counter-balance utility companies' rate increase requests with an independently prepared, presented and defended opposing case.
- To educate consumers about changes taking place in the utility industry.

## RECENT HIGHLIGHTS

- Awarded 57 grants totaling more than \$1.7 million under the State's Public, Education and Governmental Programming and Education Technology Investment Account (PEGPETIA) in order to promote and improve public, educational and governmental (PEG) access programming in Connecticut.
- Created a new Compliance Management Unit that ensures compliance with federal and state statutes, commission decisions, rules and safety standards, and takes enforcement action to correct non-compliance, as appropriate.
- Investigating contemplated workforce reductions by two of the state's three natural gas companies.
- Jointly adjudicating conservation plans and budgets for electric and gas companies.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions
  - Reduce Operational Expenditures
- Eliminate two vacant positions and associated fringe benefits (\$319,160), reduce other expenses through streamlining operations (\$10,000) and defer replacing equipment (\$20,000).*

**2010-2011**

-3,025

-349,160

### Technical Adjustments

- Adjust Funding to Reflect Revised Indirect Overhead Costs
- Adjust Position Count to Reflect Nine Retirement Incentive Plan Vacancies

-324,908

## AGENCY SUMMARY

Personnel Summary	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel/Public Utility Fund	142	126	126	-11	115



Budget Summary

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Other Current Expenses</u>					
Statewide Energy Efficiency and Outreach	1,103,401	0	0	0	0
TOTAL - General Fund	1,103,401	0	0	0	0
Personal Services	10,548,026	10,521,970	11,796,389	-202,000	11,594,389
Other Expenses	1,701,288	1,593,827	1,594,642	-10,000	1,584,642
<u>Capital Outlay</u>					
Equipment	0	57,475	80,500	-23,025	57,475
<u>Other Current Expenses</u>					
Fringe Benefits	5,855,504	6,649,407	6,850,941	-117,160	6,733,781
Indirect Overhead	149,270	387,526	410,780	-324,908	85,872
TOTAL - Other Current Expenses	6,004,774	7,036,933	7,261,721	-442,068	6,819,653
TOTAL - Consumer Counsel/Public Utility Fund	18,254,088	19,210,205	20,733,252	-677,093	20,056,159
TOTAL - ALL FUNDS	19,357,489	19,210,205	20,733,252	-677,093	20,056,159



# OFFICE OF THE HEALTHCARE ADVOCATE

<http://www.ct.gov/oha>

## AGENCY PURPOSE

The Office of the Healthcare Advocate (OHA) assists health insurance consumers to make informed choices when selecting a plan, to understand their rights and responsibilities under their plan, to appeal denials of service and reimbursement, and to access services through information, referral and assistance.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and makes legislative and regulatory recommendations to resolve those concerns.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Other Expenses
- Remove Funding for Vacant Position

### Technical Adjustments

- Adjust Funding to Reflect Revised Indirect Overhead Costs

**2010-2011**

-68,465

-131,854

-23,999

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	7	10	10	-1	9
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	524,351	709,853	757,235	-84,522	672,713
Other Expenses	134,632	174,175	204,838	-68,465	136,373
<u>Capital Outlay</u>					
Equipment	1,159	2,280	2,400	-120	2,280
<u>Other Current Expenses</u>					
Fringe Benefits	305,009	375,228	380,821	-47,332	333,489
Indirect Overhead	16,426	20,000	24,000	-23,999	1
TOTAL - Other Current Expenses	321,435	395,228	404,821	-71,331	333,490
TOTAL - Insurance Fund	981,577	1,281,536	1,369,294	-224,438	1,144,856



# DEPARTMENT OF CONSUMER PROTECTION

<http://www.ct.gov/dcp>

## AGENCY PURPOSE

- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs, cosmetics and medical devices.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.

## RECENT HIGHLIGHTS

- With assistance from a federal grant, the agency continued its work with the Connecticut Coalition to Stop Underage Drinking and municipal and state police departments on alcohol compliance checks designed to prevent underage drinking. In FY 2009, the agency conducted 758 compliance checks – a 37% increase over the previous year – as a direct result of the federal grant.
- The agency participated in 18 food recall effectiveness checks based on national recalls during FY 2009, including an investigation and successful resolution of Connecticut-packaged broccoli and bean sprouts that were implicated in a multi-state food-borne outbreak. The department was one of the first to implicate the source of one of the largest peanut butter recalls in history. Various imported Chinese food products were tested for the presence of melamine and three melamine-tainted products were removed from sale in Connecticut. In addition, the department assisted the Food and Drug Administration in disseminating drug recall notices to all the state's prescribers, pharmacists and pharmacies.
- Through full implementation of a new online licensing system, the agency greatly enhanced processing efficiency. More than 46,400 license renewals were processed online in FY 2009, up 45% from the prior year.
- With the assistance of a federal grant, the department implemented a new statewide web-based application allowing physicians, pharmacists, law enforcement and regulatory officials to monitor the distribution of prescription drugs, identify patterns of abuse and allow -- where necessary -- enforcement action. In FY 2009, the agency educated and trained thousands of prescribing practitioners, pharmacists and law enforcement personnel on using the application, and conducted educational and outreach activities for the general public on prescription drug use and abuse and safe storage and disposal of medications.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Remove Funding for Two Vacant Positions

**2010-2011**

-141,243

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-78,459

-1,088,920

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	147	140	140	-12	128
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	10,149,460	9,495,627	11,074,000	-1,230,163	9,843,837
Other Expenses	1,200,079	1,224,550	1,233,373	-78,459	1,154,914
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	11,349,539	10,720,272	12,307,473	-1,308,721	10,998,752



# DEPARTMENT OF LABOR

<http://www.ctdol.state.ct.us/>

## AGENCY PURPOSE

The mission of the Connecticut Department of Labor (CTDOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

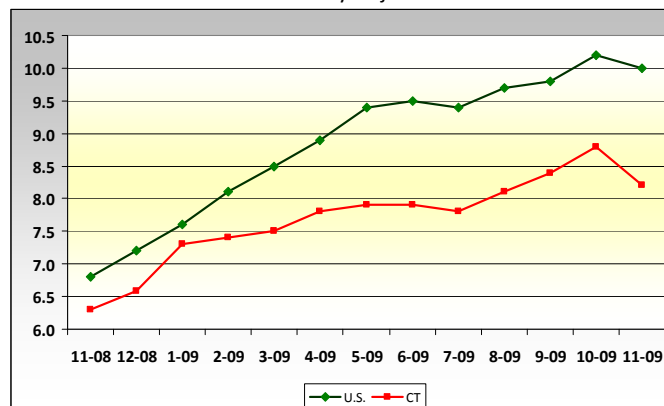
The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment assistance (through the local and regional job fairs and employer recruitments at *CTWorks* offices); tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by CTDOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. The chart below reflects the non-

farm unemployment rates for Connecticut and the U.S. for November 2008 through November 2009.

### 2008 – 2009 UNEMPLOYMENT RATE - CONNECTICUT AND U.S.

Seasonally Adjusted



## RECENT HIGHLIGHTS

### RAPID REEMPLOYMENT INITIATIVE

A new Web-based *Rapid Reemployment Initiative* is available through CTDOL and is geared toward reducing the length of time displaced workers remain unemployed. The overall goal is to provide a more effective job search tool for those employees affected by any type of employment loss.

Powered by *JobCentral*, the new service offers employers and jobseekers a no-cost, nationwide employment service to help match workers to jobs. Features include an employer outplacement data exchange, an event network for posting job fairs and other career-related events throughout the country, and résumé builder that offers integrated job search tools to the National Labor Exchange, ([www.jobcentral.com](http://www.jobcentral.com)).

### SHARED WORK PROGRAM

CTDOL's Shared Work Program, which typically has approximately 70 companies participating each year, is experiencing a numerical expansion. The program, which allows an employer to reduce the work schedules of some employees and permits impacted workers to collect partial unemployment insurance payment, has seen its participation rate rise in the past year. More than 700 companies are now involved.

The Shared Work Program provides employers a number of options in the face of an economic downturn. Companies can temporarily reduce personnel costs and avert layoffs, while maintaining continuity in their workforce. Employees benefit by retaining their jobs, financial security, health insurance and retirement benefits.

Companies typically sign up to participate in the Shared Work program for 26 weeks, with many opting to continue their involvement after that initial period.

### NEW ENGLAND CONSORTIUM GRANT

The CTDOL is working with seven other Northeast states in an effort to expand the number of "green collar" jobs available in Connecticut and throughout New England, New York and New Jersey. A \$3.9 million federal stimulus grant was awarded toward this effort.

Connecticut is working with the National *JobCentral* Labor Exchange, and efforts are being directed toward introducing an electronic "Green Job Bank" that will include current green employment listings.

### AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009

The agency has been a key participant in the state's stimulus programs, under the American Recovery and Reinvestment Act (ARRA) of 2009. Currently, a portion of the federal ARRA funds go directly to extensions for the state's unemployment insurance program, as well as a \$25 stimulus payment added weekly to benefit checks. Funds are used to provide additional employment and training services to the state's workforce.

### CLEAR2THERE DIGITAL RECORDING SYSTEM

The Clear2There digital recording system used to record Appeals Referee hearings at DOL was implemented in December, 2009. Since its implementation, there have been significant improvements in the quality of the hearing recordings and to the overall hearing process. In addition to high quality recordings of in-person hearings, the Clear2There product has a robust conference call functionality which promotes telephonic hearings, and better serves customers by allowing them to fully participate in the hearing without incurring the cost of traveling to a hearing site and, for employers, the associated loss of productivity.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Annualize FY 2009-10 Reductions 2010-2011  
-157,000
- Suspend Funding for Selected Programs -4,508,000

*Reflects the suspension of funding for the following programs: Connecticut's Youth Employment Program, Opportunity Industrial Centers, Individual Development Accounts, STRIDE (Skills, Transitional Support, Respect, Integrity, Direction and Employment) and STRIVE (Support and Training Result in Valuable Employees).*

**Technical Adjustments**

- Annualize Transfer for DOIT Revolving Fund Realignment -18,250
- Annualize Personal Services Savings -974,027

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	232	219	219	-6	213
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	8,546,585	7,863,478	8,748,706	-974,027	7,774,679
Other Expenses	1,011,033	732,685	750,000	-18,250	731,750
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Workforce Investment Act	27,097,087	30,454,160	30,454,160	0	30,454,160
Connecticut's Youth Employment Program	4,750,000	1,425,000	3,500,000	-3,500,000	0
Jobs First Employment Services	15,364,648	17,554,916	17,557,963	0	17,557,963
Opportunity Industrial Centers	475,000	475,000	500,000	-500,000	0
Individual Development Accounts	570,000	95,000	100,000	-100,000	0
STRIDE	270,290	256,500	270,000	-270,000	0
Apprenticeship Program	635,146	500,000	500,000	0	500,000
Connecticut Career Resource Network	147,914	142,184	150,363	0	150,363
21st Century Jobs	918,375	427,500	450,000	0	450,000
TANF Job Reorganization	6,136,095	0	0	0	0
Incumbent Worker Training	475,000	427,500	450,000	0	450,000
STRIVE	285,000	256,500	270,000	-270,000	0
WIA - ARRA	3,307,145	0	0	0	0
TOTAL - Other Current Expenses	60,431,700	52,014,260	54,202,486	-4,640,000	49,562,486
TOTAL - General Fund	69,989,318	60,610,518	63,701,292	-5,632,376	58,068,916
<u>Other Current Expenses</u>					
Customized Services	1,868,310	0	500,000	-25,000	475,000
TOTAL - Banking Fund	1,868,310	0	500,000	-25,000	475,000
<u>Other Current Expenses</u>					
Occupational Health Clinics	640,773	640,464	674,587	0	674,587
TOTAL - Workers' Compensation Fund	640,773	640,464	674,587	0	674,587
TOTAL - ALL FUNDS	72,498,401	61,250,982	64,875,879	-5,657,376	59,218,503



# OFFICE OF THE VICTIM ADVOCATE

<http://www.ova.state.ct.us/>

## AGENCY PURPOSE

- Monitor and evaluate the provision of services to crime victims and the enforcement of victims' rights in Connecticut.
- Receive complaints from crime victims regarding the violation of rights and provision of services and may investigate such complaints.
- File a limited appearance in court proceedings, when appropriate, to advocate for victims' rights when it is alleged that such rights have been or are being violated.
- Advance policies throughout the state that promote the fair and just treatment of victims throughout the criminal justice process.
- Provide oversight and advocacy when the criminal justice system fails crime victims.
- Ensure that the voices of crime victims play a central role in Connecticut's response to violence and those victimized by crime.

## RECENT HIGHLIGHTS

### INVESTIGATIONS

- Released an investigative report surrounding a domestic violence death in Terryville, Connecticut.
- Submitted an Amicus Curiae Brief to the Appellate Court. The issue addressed whether a criminal defendant could invade the marital assets in a pending divorce matter, in order to pay for his attorney in the unrelated criminal matter.
- Conducted the 1<sup>st</sup> Annual Best Practices of Advocating for Crime Victims Training on June 19, 2009. The training was held at the Legislative Office Building and there were approximately 45 attendees from various victim service agencies throughout the state of Connecticut.
- Attended the National Defense Attorneys Association (NDAA) National Conference Against Domestic Violence after receiving two scholarships; one from the Office for Victims of Crimes and one from the NDAA.
- Launched its first quarterly newsletter entitled "The Victims' Voice".
- In conjunction with participating entities, conducted Victims' Voice Day in which more than 321 letters of support from victims were collected and sent to legislators and the Governor.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- |   |                  |
|---|------------------|
| • Adjust Personal Services Account                                      | <b>2010-2011</b> |
| <i>Annualize funding required to support authorized staffing level.</i> | 32,000           |
| • Annualize Transfer for DOIT Revolving Fund Realignment                | -268             |
| • Annualize Personal Services Savings                                   | -8,612           |

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	0	4
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	316,805	250,649	265,374	23,388	288,762
Other Expenses	46,478	39,766	40,020	-268	39,752
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	363,283	290,510	305,494	23,021	328,515



# COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

<http://www.state.ct.us/chro>

## AGENCY PURPOSE

- To enforce human rights laws that prohibit illegal discrimination in employment, housing, public accommodations and credit transactions.
- To monitor compliance with state contract compliance laws and with laws requiring affirmative action in the state government.
- To provide education to the public regarding the protections afforded by Connecticut's civil rights laws.

## RECENT HIGHLIGHTS

### ENFORCEMENT/COMPLIANCE

- During FY 2009, the Commission, in conjunction with the federal Equal Employment Opportunity Commission, launched an advertising campaign, ERACE, designed to reach minorities, to provide information regarding discrimination and how to file a discrimination complaint.
- A detailed review of the entire complaint process is continuing, with the aim of making it more efficient from both a time and personnel perspective.

to continue to enhance the investigative ability of the Fair Housing Unit's staff members. In addition, several other agency staff members attended training in the area of investigative techniques, offered by the federal Equal Employment Opportunity Commission.

### SETTLEMENTS AND AWARDS

During FY 2009, 2.4 million dollars in known settlements or damage awards were paid to persons filing complaints. In addition, substantial damages were paid under confidential settlements to which the Commission was not a party.

### TRAINING

Staff of the Commission's Fair Housing Unit continued to attend mandated training at HUD's National Fair Housing Training Academy,

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment

**2010-2011**

-226,943

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	103	80	78	0	78
<i>Financial Summary</i>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	6,746,167	5,596,444	5,789,994	0	5,789,994
Other Expenses	552,747	512,834	663,076	-226,943	436,133
<i>Capital Outlay</i>					
Equipment	0	95	100	-99	1
<i>Other Current Expenses</i>					
Martin Luther King, Jr. Commission	6,317	6,650	6,650	0	6,650
TOTAL - General Fund	7,305,231	6,116,023	6,459,820	-227,042	6,232,778



# OFFICE OF PROTECTION & ADVOCACY FOR PERSONS WITH DISABILITIES

[www.ct.gov/opapd](http://www.ct.gov/opapd)

## AGENCY PURPOSE

To protect and advance the civil and human rights of people with disabilities by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.
- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves.
- Working with advocacy groups, service systems and communities to develop effective safeguards against discrimination and abuse.

## RECENT HIGHLIGHTS

- Secured favorable ruling and negotiated settlement in major housing discrimination case.
- Received and processed 1,126 reports of suspected abuse and neglect of adults with mental retardation, investigated or monitored the investigation of 982 allegations and oversaw implementation of 235 protective services plans.
- Provided case advocacy or legal representation to 853 individuals with disabilities.
- Responded to 8,746 requests for information, referrals and assistance in understanding rights and responsibilities from people with disabilities, families, businesses and agencies.
- Provided training and informational material to approximately 1,500 individuals who attended 85 training events and information on the agency website to 126,000 visitors.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- |  |                  |
|--|------------------|
| • Annualize Personal Services Savings                    | <b>2010-2011</b> |
| • Annualize Transfer for DOIT Revolving Fund Realignment | -34,193          |
| • Annualize FY 2009-10 Reductions                        | -8,345           |
|  | -8,063           |

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	33	33	33	0	33

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	2,314,756	2,239,593	2,292,590	-34,193	2,258,397
Other Expenses	280,451	353,601	369,483	-16,309	353,174
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	2,595,207	2,593,289	2,662,173	-50,601	2,611,572





# OFFICE OF THE CHILD ADVOCATE

## AGENCY PURPOSE

The Office of the Child Advocate (OCA) is an independent state agency established in 1995 to protect the civil, legal and special rights of the children of Connecticut, and to advance policies throughout the state that promote their well-being and best interests. State law provides the OCA with access to any and all

records pertaining to services or care provided to a child that may be necessary to intervene on behalf of that child.

Statutory responsibilities include evaluating the procedures for and the delivery of services to children by state agencies or other entities that receive public funding.

## RECENT HIGHLIGHTS

### CHILD FATALITY REVIEW

During the past fiscal year, the Child Fatality Review Panel (CFRP) reviewed unexplained or untimely child fatalities and fetal and infant deaths which were reported to the Child Advocate and reviewed by OCA staff.

### CITIZEN RESPONSE

In FY2009, OCA documented almost 1,000 requests for assistance regarding specific children. OCA conducted reviews of the cases of individual children in congregate care settings and institutions to intervene on behalf of these children and to enhance its systemic oversight and monitoring efforts.

### FACILITY INVESTIGATIONS

During the last year, OCA finished its formal two year monitoring of the state's children's psychiatric hospital, Riverview Hospital for Children and Youth, which was initiated by order of the Governor.

The OCA has continued to commit extensive staff resources to examine the pathways and conditions of confinement of youth in or at-risk for entering the adult justice system.

### CHILDREN WITH SPECIAL HEALTH CARE NEEDS

The OCA has made diligent efforts on behalf of children with complex medical conditions and developmental disabilities.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

**2010-2011**

-8,101

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-43,595

-16,604

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	9	9	0	9
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	742,279	624,262	645,160	-16,604	628,556
Other Expenses	109,145	112,553	162,016	-51,696	110,320
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Child Fatality Review Panel	90,111	95,010	95,010	0	95,010
TOTAL - General Fund	941,535	831,920	902,286	-68,399	833,887



# WORKERS' COMPENSATION COMMISSION

<http://wcc.state.ct.us>

## AGENCY PURPOSE

The purpose of the Workers' Compensation Commission is:

- To adjudicate and resolve disputes arising from the workers' compensation process.
- To administer the workers' compensation laws of the State of Connecticut.
- To promote safety in the workplace.
- To retrain permanently injured employees to enable them to return to the workforce.
- To educate employees and employers on their rights and responsibilities under the law.
- To review and approve applications for managed care plans.
- To certify self-insurance applications.

## RECENT HIGHLIGHTS

### EMPLOYEES AND EMPLOYERS BENEFIT FROM ENHANCED INTERNET-BASED CLAIM FILING SYSTEM

On January 1, 2009 the Workers' Compensation Commission instituted a policy that requires all First Reports of Injury filed pursuant to C.G.S. § 31-316 be transmitted electronically to the chairman's office.

The new technology was well received with nearly 98 percent of all employers complying with the mandate within the first year. The total percentage of first reports received via Electronic Data Interchange has now exceeded 95 percent. In addition, 67 trading partners, business entities that have established a relationship with the State of Connecticut to electronically submit first report claim data, now subscribe to the Commission's enhanced Internet-based system.

This interactive online technology expedites claims and produces savings and efficiencies for everyone involved.

### CORE FUNCTION EFFICIENCIES

Implementation of the commission's highly successful three-day hearing cancellation policy, in addition to scheduling short dockets and complex dockets, have assisted the commissioners in further reducing hearing waiting times, resolving disputed issues more expeditiously, and serving the public in a more efficient manner.

### INTER-GOVERNMENTAL COLLABORATION

The Workers' Compensation Commission database has proven to be a valuable asset when combined with the resources of the Attorney General's Office, Chief State's Attorney's Office, Department of Social Services, Bureau of Child Support Enforcement, Department of Information Technology, and most recently, U.S. Department of Health and Human Services Office of Child Support Enforcement Match Program. This partnership has increased the collections of outstanding child support payments and recoveries of overpayments of state funds, and reduced workers' compensation and insurance fraud.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Rehabilitative Services
- Reduce Equipment Funds
- Annualize FY 2009-10 Reductions

### Technical Adjustments

- Adjust Funding to Reflect Revised Indirect Overhead Costs
- Adjust Position Count to Reflect Eight Retirement Incentive Plan Vacancies

### 2010-2011

-1,044,185  
-45,000  
-4,850

280,525

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Workers' Compensation Fund	132	130	130	-8	122

Budget Summary

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	9,347,791	8,951,982	10,040,000	0	10,040,000
Other Expenses	2,736,339	2,558,530	2,558,530	0	2,558,530
<u>Capital Outlay</u>					
Equipment	0	92,150	137,000	-49,850	87,150
<u>Other Current Expenses</u>					
Criminal Justice Fraud Unit	473,129	0	0	0	0
Rehabilitative Services	2,247,880	2,173,662	2,320,098	-1,044,185	1,275,913
Fringe Benefits	5,340,441	5,586,922	5,805,640	0	5,805,640
Indirect Overhead	1,107,242	895,579	922,446	280,525	1,202,971
TOTAL - Other Current Expenses	9,168,692	8,656,163	9,048,184	-763,660	8,284,524
TOTAL - Workers' Compensation Fund	21,252,822	20,258,825	21,783,714	-813,510	20,970,204

## DEPARTMENT OF AGRICULTURE


<http://www.ct.gov/doag>

## AGENCY PURPOSE

- Foster agriculture by developing, promoting and regulating agriculture businesses and protecting agricultural and aquacultural resources.
- Protect consumers and animal health by regulating, inspecting and enforcing animal care and animal health standards for pet shops and commercial kennels.
- Protect public health and animal agriculture by enforcing livestock and poultry disease statutes and administering animal disease prevention and control programs.
- Protect domestic animals by responding to and investigating animal cruelty and animal neglect complaints.
- Protect public safety by supporting local animal control and police enforcement of animal bite statutes.
- Protect public health by regulating, inspecting and enforcing food production and manufacturing standards for the shellfish, fluid milk and cheese manufacturing industries.
- Preserve agricultural resources by restricting non agricultural uses and prohibiting their partitioning thus preserving the land for food and fiber production.

## RECENT HIGHLIGHTS

**DAIRY FARMERS**

The agency distributed approximately \$9,350,000 to Connecticut dairy farmers as part of an initiative to maintain the viability of dairy farmers in the state.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Regional Market – Annualize FY 2009-10 Reductions and Reduce Equipment

**2010-2011**

-6,099

**Technical Adjustments**

- Annualize Personal Services Savings

-419,343

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	53	53	53	-2	51
Regional Market Operation Fund	8	7	7	0	7
<i>Financial Summary</i>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	3,856,812	3,497,841	3,930,000	-419,343	3,510,657
Other Expenses	777,468	400,000	400,000	0	400,000
<i>Capital Outlay</i>					
Equipment	0	95	100	-99	1
<i>Other Current Expenses</i>					
CT Seafood Advisory Council	38,167	0	0	0	0
Food Council	20,100	0	0	0	0
Vibrio Bacterium Program	1,274	95	100	-99	1
Connecticut Wine Council	39,339	0	0	0	0
Dairy Farmers	0	9,500,000	0	0	0
Senior Food Vouchers	268,971	300,000	300,000	0	300,000
TOTAL - Other Current Expenses	367,851	9,800,095	300,100	-99	300,001

Budget Summary

Pmts to Other Than Govts

WIC Pgm for Fresh Produce for Seniors	55,124	104,500	104,500	0	104,500
Collection of Agricultural Statistics	1,140	1,026	1,080	-54	1,026
Tuberculosis and Brucellosis Indemnity	0	900	900	0	900
Exhibits and Demonstrations	526	4,788	5,040	0	5,040
Connecticut Grown Product Promotion	13,942	14,250	15,000	0	15,000
WIC Coupon Program for Fresh Produce	129,064	184,090	184,090	0	184,090
TOTAL - Pmts to Other Than Govts	199,796	309,554	310,610	-54	310,556
TOTAL - General Fund	5,201,927	14,007,585	4,940,810	-419,595	4,521,215

Personal Services	330,663	311,264	370,000	0	370,000
Other Expenses	488,931	270,896	271,507	0	271,507

Capital Outlay

Equipment	0	95	100	-99	1
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Other Current Expenses

Fringe Benefits	200,719	237,596	251,942	-6,000	245,942
TOTAL - Regional Market Operation Fund	1,020,313	819,851	893,549	-6,099	887,450
TOTAL - ALL FUNDS	6,222,240	14,827,436	5,834,359	-425,694	5,408,665



# DEPARTMENT OF ENVIRONMENTAL PROTECTION

## AGENCY PURPOSE

The agency's purpose is to ensure that:

- The state's natural resources are preserved, conserved and protected.
- Strict environmental quality standards are implemented fairly and effectively.
- All parts of society – communities, individuals, business, state and local governments – have access to accurate information

sufficient to effectively participate in managing human health and environmental risks.

- The state's communities and ecosystem are diverse, sustainable and economically productive.
- Open space acquisition, urban development and ecosystem management reflect the understanding that our natural and fiscal resources are finite, and our preservation and utilization of both must be systematically managed.

## RECENT HIGHLIGHTS

### CLIMATE CHANGE

With the passage of Public Act 08-98 "An Act Concerning Connecticut Global Warming Solutions (GWSA)," Connecticut became one of only six states to adopt comprehensive climate change legislation and enforceable greenhouse gas reduction targets. In 2009, the DEP moved forward on implementing the GWSA by issuing a greenhouse gas emissions inventory, and issuing a draft report on anticipated climate change impacts in Connecticut.

### BOTTLE BILL EXPANSION

In 2009, the 30 year old state bottle bill was expanded to include water bottles and other noncarbonated beverages. This expansion diverts hundreds of millions of non-biodegradable plastic bottles into the recycling stream where they can be reused for other products. The state bottle bill has already kept billions of bottles and cans of soda or beer from our waste stream.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Selected Programs

*Reflects reductions for the Underground Storage Tank (\$1,785,640) and Councils, Districts, and ERTs Land Use Assistance (\$400,000) programs.*

- Suspend Funding for Agreement with USGS - Geological Investigation
- Annualize FY 2009-10 Reductions

**2010-2011**

-2,185,640

-47,000

-439,486

### Technical Adjustments

- Provide Funding to Accurately Reflect Environmental Conservation Fund Expenditures
- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

755,000

-1,739

-2,507,505

### Expansion Adjustments

- Transfer Boating Account Positions and Expenses to General Fund

2,650,000

*The non-appropriated boating account is abolished and the expenditures and revenues are transferred to the General Fund.*

- Suspend Funding for the Vessel PILOT Payment

*The Vessel PILOT currently paid by the non-appropriated boating account is suspended as the funding is not transferred to the General Fund with the abolishment of the boating account.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	386	704	704	23	727

Budget Summary

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	32,451,140	31,254,511	34,410,000	-607,505	33,802,495
Other Expenses	3,478,825	3,454,627	3,468,259	748,261	4,216,520
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Stream Gaging	195,456	199,561	202,355	0	202,355
Mosquito Control	335,053	285,000	300,000	-15,000	285,000
State Superfund Site Maintenance	278,389	352,877	371,450	-18,573	352,877
Laboratory Fees	260,200	235,875	248,289	-12,414	235,875
Dam Maintenance	123,630	125,865	128,067	-6,624	121,443
Clean Diesel Buses	588,747	0	0	0	0
Griswold Recreational Fields	50,000	0	0	0	0
Storm Drain Filters	308,002	0	0	0	0
Invasive Plants Council	224,362	0	0	0	0
Councils, Districts and ERTs Land Use	0	760,000	800,000	-400,000	400,000
Emergency Spill Response Account	0	10,048,885	10,591,753	0	10,591,753
Solid Waste Management Account	0	2,690,808	2,832,429	-141,621	2,690,808
Underground Storage Tank Account	0	4,679,335	4,941,744	-1,785,640	3,156,104
Clean Air Account Fund	0	4,657,936	4,907,534	-245,155	4,662,379
Environmental Conservation Fund	0	7,892,385	7,969,509	755,000	8,724,509
Environmental Quality Fees Fund	0	9,448,515	9,472,114	0	9,472,114
TOTAL - Other Current Expenses	2,363,839	41,377,042	42,765,244	-1,870,027	40,895,217
<u>Pmts to Other Than Govts</u>					
Agree USGS-Geology Investigation	44,650	47,000	47,000	-47,000	0
Agreement USGS-Hydrological Study	152,259	155,456	157,632	0	157,632
N E Interstate Water Pollution Comm	7,980	8,400	8,400	0	8,400
Northeast Interstate Forest Fire Comp	1,938	2,040	2,040	0	2,040
Conn River Valley Flood Control Comm	38,190	40,200	40,200	0	40,200
Thames River Valley Flood Control Comm	47,690	48,281	48,281	0	48,281
Agree USGS Quality Stream Monitoring	210,981	215,412	218,428	0	218,428
TOTAL - Pmts to Other Than Govts	503,688	516,789	521,981	-47,000	474,981
<u>Pmts to Local Governments</u>					
Lobster Restoration	90,000	190,000	200,000	0	200,000
TOTAL - General Fund	38,887,492	76,793,064	81,365,584	-1,776,370	79,589,214

# COUNCIL ON ENVIRONMENTAL QUALITY

## AGENCY PURPOSE

- Monitor, analyze and report the status of Connecticut's air, water, land and wildlife to the Governor, General Assembly and citizens of Connecticut.
- Recommend appropriate legislation and program improvements to correct deficiencies in state environmental policy.
- Publish the *Environmental Monitor* on-line and send to all municipalities.
- Investigate and resolve citizens' complaints on environmental matters.
- Review projects and policies of other state agencies and provide advice.

## RECENT HIGHLIGHTS

- Completed transition to internet version of the Council's annual environmental quality report and special reports, reducing the number of printed copies by 100 percent.
- Published a special report, *Swamped*, that analyzed the statistical differences among municipalities' implementation of the Inland Wetlands and Watercourses Act. Documented widespread noncompliance and recommended administrative and legislative changes to enhance compliance.
- Expanded the *Environmental Monitor* to include public notices of transfers of state-owned lands, pursuant to P.A. 07-213, and promoted to the public.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reflect Savings from Conversion of Annual Report to Online Format

**2010-2011**

-8,898

### Technical Adjustments

- Annualize Personal Services Savings

-3,280

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2	2	2	0	2

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	149,056	158,446	163,355	-3,280	160,075
Other Expenses	2,467	14,500	14,500	-8,898	5,602
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
TOTAL - General Fund	151,523	173,041	177,955	-12,277	165,678





# COMMISSION ON CULTURE & TOURISM

<http://www.cultureandtourism.org>

## AGENCY PURPOSE

- Preserve and promote Connecticut's cultural and tourism assets in order to enhance the quality of life and economic vitality of the state.
- Provide marketing, research, hospitality services, direct sales and business marketing assistance to state tourism partners, venues and destinations, including operation of the state's six Visitor Welcome Centers and publication of the Connecticut Vacation Guide, Culture & Special Events Guide and [www.ctvisit.com](http://www.ctvisit.com).
- Administer programs that identify, register, protect, rebuild and reuse buildings, sites, structures, districts and objects that comprise Connecticut's cultural heritage.
- Operate four state-owned museums.
- Develop and strengthen the arts in Connecticut, make artistic experiences widely accessible and encourage public participation in the arts.
- Administer tax credit programs which serve as economic incentives to restore and rehabilitate historic properties which help support urban revitalization and smart growth.

## RECENT HIGHLIGHTS

### CROSS DIVISION GRANT PROGRAMS

The Commission on Culture and Tourism offers cross division grant opportunities to a range of constituents. The cross division grant opportunities include Challenge Grants, Cooperative Grants and Strategic Initiative Grants. The 3rd Annual Governor's Awards for Culture and Tourism were presented to four renowned Connecticut residents in four areas of recognition, including: Smith Edwards Architects (Historic Preservation/History); Jacques Pepin (Tourism); Campbell Scott (Film); and Philip Roth (Arts).

### SPORTS ADVISORY BOARD

The Commission on Culture and Tourism was charged with establishing a Sports Advisory Board to advise on the most effective ways to utilize state resources to promote, attract and market in-state professional and amateur sports and sporting events and to advise how to coordinate the use of state-owned facilities to enhance sports-related tourism. The Sports Advisory Board hosted a panel on the importance of sporting events to the economy on June 23, 2009 at the TPC River Highlands in Cromwell.

### ARTS

Developed the Arts Jobs Preservation Grant program to disburse American Recovery and Reinvestment Act of 2009 (ARRA) stimulus

funds received from the National Endowment for the Arts. Conducted the first state-wide "Forum on Careers in the Arts for People with Disabilities".

### HISTORIC PRESERVATION & MUSEUMS

Funded by the Community Investment Act, awarded over \$2.5 million in grants to restore, rehabilitate and plan for the reuse and preservation of historic buildings owned by municipalities and non-profit organizations. Reserved over \$17.6 million in tax credit reservations with an estimated private investment of over \$77,000,000. Communities served include, but are not limited to Hartford, New Haven, New Britain, Bridgeport, Waterbury and Manchester.

### TOURISM

Challenge Grants and Cooperative Grants, innovative marketing grant programs to assist cultural and tourism entities to better leverage the agency's marketing efforts, were offered for a second year. Coordinated the 5<sup>th</sup> annual Connecticut Open House Day featuring 214 participating cultural and tourist attractions. Assisted an estimated 2.77 million potential visitors with Connecticut tourism information.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions
- Reduce Funding for Selected Culture, Tourism, and Arts Grants

### Reallocations or Transfers

- Transfer Film Industry Program Funding to the Department of Economic and Community Development  
*Consistent with the FY 2010 relocation of the Film Division from the Commission on Culture and Tourism (CCT) to the Department of Economic and Community Development (DECD), the Film Training Program is transferred from CCT to DECD.*

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment

### 2010-2011

-710,628

-4,829,845

-237,500

-210,798

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	44	34	34	-3	31
<hr/>					
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	3,448,501	2,332,058	2,726,406	0	2,726,406
Other Expenses	893,245	657,658	857,658	-210,798	646,860
<b><u>Capital Outlay</u></b>					
Equipment	0	95	100	-99	1
<b><u>Other Current Expenses</u></b>					
Statewide Marketing	4,370,999	1	1	0	1
CT Asso Performing Arts/Schubert Theater	475,000	385,819	406,125	-162,449	243,676
Hartford Urban Arts Grant	475,000	385,819	406,125	-162,449	243,676
New Britain Arts Council	95,000	77,164	81,225	-32,489	48,736
Film Industry Training Program	0	237,500	250,000	-250,000	0
Ivoryton Playhouse	47,500	45,125	47,500	-19,000	28,500
TOTAL - Other Current Expenses	5,463,499	1,131,428	1,190,976	-626,387	564,589
<b><u>Pmts to Other Than Govts</u></b>					
Discovery Museum	475,000	385,819	406,125	-162,449	243,676
National Theatre for the Deaf	190,000	154,327	162,450	-64,981	97,469
Culture, Tourism and Art Grant	3,725,621	1,914,708	2,000,000	-785,292	1,214,708
CT Trust for Historic Preservation	237,500	214,344	225,625	-90,249	135,376
Connecticut Science Center	0	642,437	676,250	-270,501	405,749
TOTAL - Pmts to Other Than Govts	4,628,121	3,311,635	3,470,450	-1,373,472	2,096,978
<b><u>Pmts to Local Governments</u></b>					
Greater Hartford Arts Council	118,750	96,454	101,531	-40,613	60,918
Stamford Center for the Arts	500,000	385,819	406,125	-162,449	243,676
Stepping Stones Museum for Children	47,500	45,125	47,500	-19,000	28,500
Maritime Center Authority	641,250	541,500	570,000	-228,000	342,000
Basic Cultural Resources Grant	2,264,525	1,425,000	1,500,000	-600,000	900,000
Tourism Districts	4,275,000	1,710,000	1,800,000	-540,000	1,260,000
Connecticut Humanities Council	2,375,000	2,143,437	2,256,250	-902,501	1,353,749
Amistad Committee for the Freedom Trail	42,750	45,125	47,500	-19,000	28,500
Amistad Vessel	475,000	385,819	406,125	-162,449	243,676
New Haven Festival of Arts and Ideas	950,000	812,250	855,000	-342,000	513,000
New Haven Arts Council	118,750	96,454	101,531	-40,613	60,918
Palace Theater	475,000	385,819	406,125	-162,449	243,676
Beardsley Zoo	380,000	361,000	380,000	-152,000	228,000
Mystic Aquarium	712,500	631,750	665,000	-266,000	399,000
Quinebaug Tourism	95,000	47,500	50,000	-25,000	25,000
Northwestern Tourism	95,000	47,500	50,000	-25,000	25,000
Eastern Tourism	95,000	47,500	50,000	-25,000	25,000
Central Tourism	95,000	47,500	50,000	-25,000	25,000
Twain/Stowe Homes	120,000	97,470	102,600	-41,040	61,560
TOTAL - Pmts to Local Governments	13,876,025	9,353,022	9,845,287	-3,778,114	6,067,173
TOTAL - General Fund	28,309,391	16,785,896	18,090,877	-5,988,870	12,102,007



# DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

<http://www.ct.gov/ecc>

## AGENCY PURPOSE

The Department of Economic and Community Development develops and implements strategies to attract and retain businesses and jobs, revitalize neighborhoods and communities, ensure quality housing and foster responsible development in Connecticut's towns and cities.

DECD goals include:

- Coordinating the activities of all state agencies in advancing economic development opportunities;
- Fostering a productive business environment that enables businesses to succeed in the global economy;

- Advancing job creation and retention;
- Promoting, encouraging and implementing Responsible Growth principles and practices and regional cooperation;
- Advocating on behalf of Connecticut's business community;
- Marketing Connecticut to domestic and foreign businesses and workers and encouraging them to relocate to the state; and
- Strengthening and revitalizing neighborhoods and communities by stimulating the development of affordable housing opportunities for moderate-and low-income families and through the implementation of other quality of life investments.

## RECENT HIGHLIGHTS

### ECONOMIC DEVELOPMENT

- Through the Manufacturing Assistance Act, DECD funded a total of 14 projects involving \$23,882,448 in state funds, leveraging a total investment of \$279,409,785. These projects resulted in the retention of 8,718 jobs and the creation of 524 jobs in Connecticut.
- As a part of the Enterprise Zone and Urban Jobs Program, DECD certified 58 companies; 6,297 jobs were retained and 928 new jobs were created.
- DECD helped Connecticut companies with another tax credit under the Urban and Industrial Site Reinvestment (URA) Program, providing 6 projects with \$190,000,000 in credits which will create 2,544 jobs, retain 1,756 jobs, and leverage \$591,250,000 in private investment.

### RESPONSIBLE DEVELOPMENT

DECD was active in encouraging responsible growth and regional economic development cooperation through active collaboration with the state's eight regional Comprehensive Economic Development Strategy working groups, the Regional Planning

Association's Mayors Institutes and the Community Builders Institute's educational forums.

### HOUSING DEVELOPMENT

Under the HOME program, the Affordable Housing and Housing Trust Fund programs and several other state housing programs, DECD executed contract awards totaling over \$28.7 million for 19 projects in fiscal year 2009. These monies will finance the creation and preservation of more than 550 units of affordable housing across the state.

### COMMUNITY DEVELOPMENT

- DECD administered 187 state community projects totaling over \$106 million in state funding with 10 new projects totaling over \$2 million and 48 contracts totaling over \$20 million.
- DECD awarded \$13 million in Federal Small Cities Block grants to 28 communities in fiscal year 2009.

### BROWNFIELDS

The Office of Brownfield Remediation and Development awarded grants to 5 communities totaling \$2,225,000 under the first round of the Brownfield Municipal Pilot Program.

## RECOMMENDED ADJUSTMENTS

### Reductions

• Annualize FY 2009-10 Reductions	<b>2010-2011</b> -229,473
• Adjust Funding for Office of Military Affairs	-33,000
<i>Funding for the Office of Military Affairs is reduced to reflect FY 2009-10 expenditure levels.</i>	
• Reduce Funding for Selected Programs	-1,191,580
<i>Reflects additional reductions beyond the annualization of FY 2009-10 rescissions for the following programs: Small Business Incubator Program, Fair Housing Program, Southeast Connecticut Incubator Program, Congregate Facilities Operation Costs, as well as the Development Research and Economic Assistance program.</i>	
• Suspend Funding for Selected Programs	-1,000,231
<i>Reflects the suspension of funding for the following programs: Connecticut Center for Advanced Technology (CCAT) Energy Application Research, Main Street Initiatives, Hydrogen/Fuel Cell Economy, CCAT Manufacturing Supply Chain, and Entrepreneurial Centers.</i>	

### Reallocations or Transfers

• Reallocate Residential Service Coordinators Funding	0
• Reallocate Film Industry Training Program Funding from the Commission on Culture and Tourism	237,500

### Technical Adjustments

• Annualize Transfer for DOIT Revolving Fund Realignment	-533,249
• Annualize Personal Services Savings	-1,384,114

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	95	86	86	-13	73
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	7,177,742	6,237,380	7,514,161	-1,484,114	6,030,047
Other Expenses	574,354	1,001,772	1,505,188	-533,249	971,939
<b><u>Capital Outlay</u></b>					
Equipment	0	95	100	-99	1
<b><u>Other Current Expenses</u></b>					
Elderly Rental Registry and Counselors	568,359	568,262	598,171	500,000	1,098,171
Connecticut Research Institute	325,450	0	0	0	0
Small Business Incubator Program	700,000	650,000	650,000	-300,000	350,000
Biodiesel	3,180,000	0	0	0	0
Public Housing Deferred Maintenance	3,591,110	0	0	0	0
Fair Housing	332,500	308,750	325,000	-97,500	227,500
CCAT-Energy Application Research	213,750	95,000	100,000	-100,000	0
Main Street Initiatives	76,000	171,000	180,000	-180,000	0
Residential Service Coordinators	707,576	475,000	500,000	-500,000	0
Office of Military Affairs	85,045	153,508	161,587	-41,079	120,508
Hydrogen/Fuel Cell Economy	237,500	225,625	237,500	-237,500	0
Southeast CT Incubator	250,000	237,500	250,000	-75,000	175,000
Film Industry Training Program	0	0	0	237,500	237,500
CCAT-CT Manufacturing Supply Chain	0	380,000	400,000	-400,000	0
TOTAL - Other Current Expenses	10,267,290	3,264,645	3,402,258	-1,193,579	2,208,679
<b><u>Pmts to Other Than Govts</u></b>					
Entrepreneurial Centers	135,375	128,606	135,375	-135,375	0
Subsidized Assisted Living Demo	2,068,000	1,623,550	2,166,000	0	2,166,000
Congregate Facilities Operation Costs	6,076,724	6,634,547	6,884,547	-688,455	6,196,092
Housing Assistance & Counseling Pgm	383,500	416,575	438,500	0	438,500
Elderly Congregate Rent Subsidy	1,644,080	2,284,699	2,389,796	0	2,389,796
CONNSTEP	950,000	760,000	800,000	-40,000	760,000
Dev Research & Economic Assistnce	233,310	237,500	237,500	-59,375	178,125
SAMA Bus Windham	285,000	0	0	0	0
TOTAL - Pmts to Other Than Govts	11,775,989	12,085,477	13,051,718	-923,205	12,128,513
<b><u>Pmts to Local Governments</u></b>					
Tax Abatement	1,704,890	1,704,890	1,704,890	0	1,704,890
Payment in Lieu of Taxes	2,204,000	2,204,000	2,204,000	0	2,204,000
TOTAL - Pmts to Local Governments	3,908,890	3,908,890	3,908,890	0	3,908,890
TOTAL - General Fund	33,704,265	26,498,259	29,382,315	-4,134,246	25,248,069



# AGRICULTURAL EXPERIMENT STATION

"Putting Science to Work for Society"

<http://www.ct.gov/caes>

## AGENCY PURPOSE

To perform a variety of research and analyses at laboratories in New Haven and Windsor and research farms in Hamden, Windsor, and Griswold. This research includes:

- Discovering insects and ticks that transmit disease organisms to people and animals and devising methods of detecting and reducing these diseases.
- Evaluating new crops for biodiesel fuel and pest control.
- Devising ways to manage agricultural and forest pests using fewer and less toxic pesticides.
- Ensuring an ample and economical food supply by increasing yields, introducing new successful crops, and controlling pests and plant diseases.
- Protecting people from toxic substances found in food and water, mold in buildings, and deficient food, drugs and agricultural supplies.
- Devising ways to control invasive aquatic plants in lakes and wetland dieback in salt marshes.

## RECENT HIGHLIGHTS

### FOOD SAFETY AND BIOSECURITY

At the request of the Department of Consumer Protection and other state agencies, foods and other consumer products were tested for pesticides and other unwanted chemicals. In analyses of imported cookies and candies, unacceptable concentrations of melamine were recorded and the products were recalled. In other analyses of imported cereals, there were illegal residues of an insecticide present. Findings were reported to the U.S. Food and Drug Administration, which resulted in the national recall of the cereal products.

### INVASIVE AQUATIC PLANTS

Several species of invasive plants have been detected in lakes and ponds. Explosive growth of these weeds can greatly reduce water quality and displace native plant populations. Decreased property values and negative impacts on recreation and wildlife habitats are major public concerns. Of the 137 lakes surveyed over several years in Connecticut, more than 60% contain one or more invasive plant species. Field experiments revealed that a single application of dilute herbicide or the introduction of grass carp can control certain species of invasive plants. The release of a predatory beetle on Eurasian water-milfoil in Lake Candlewood is being evaluated.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-547,776

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	71	71	71	-4	67
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	6,121,884	5,746,273	6,170,000	-547,776	5,622,224
Other Expenses	658,471	923,511	923,511	0	923,511
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Mosquito Control	216,170	222,089	222,089	0	222,089
Wildlife Disease Prevention	78,277	83,344	83,344	0	83,344
TOTAL - Other Current Expenses	294,447	305,433	305,433	0	305,433
TOTAL - General Fund	7,074,802	6,975,312	7,399,044	-547,875	6,851,169



# DEPARTMENT OF PUBLIC HEALTH

<http://www.ct.gov/dph/>

## AGENCY PURPOSE

- Protect the health and safety of the people of Connecticut.
- Actively work to prevent disease and promote wellness through education and programs such as prenatal care, newborn screening, immunizations, AIDS awareness, and supplemental foods.
- Monitor infectious diseases, environmental and occupational health hazards, and birth defects.
- Assure planning for and response to public health emergencies.
- Regulate health care providers such as health facilities, health professionals, and emergency medical services.
- Provide testing and monitoring support through the state laboratory.
- Collect and analyze health data to help plan policy for the future.
- Serve as the repository for all birth, adoption, paternity, marriage, and death certificates.
- Ensure the availability of a safe and adequate drinking water supply for Connecticut's residents.

## RECENT HIGHLIGHTS

- The DPH Local Health Administration Branch was awarded a "Vulnerable Populations Identification and Outreach Initiative for Emergency Planning" grant from the Centers for Disease Control and Prevention (CDC) for pandemic influenza response.
- The *Home by One* oral health initiative was successful in integrating its program into the existing state Maternal and Child Health infrastructure and also trained parents, non-dental providers and dental homes in advocacy and oral health.
- In FY 2009, the Office of Health Care Access (OHCA) completed its strategic planning process which allowed the office to initiate planning and changes to its certificate of need (CON) statutes and regulations in response to changes in the industry and to streamline the CON process. OHCA approved nearly 100 CONs and waivers in FY 2009 with an associated \$345 million in capital expenditures.
- The DPH Tobacco Use and Prevention Program was awarded \$6.8 million from the Tobacco Health and Trust Fund to conduct statewide initiatives targeting Connecticut residents through a counter marketing campaign and the Quit Line, youth through school-based prevention and cessation programs, and persons with mental illnesses.
- DPH won a highly competitive \$3.75 million grant award to develop a federal Healthy Start program within the city of Hartford over the next five years. The goal of the grant is to reduce racial and ethnic perinatal health disparities.
- The DPH Drinking Water Section developed and implemented a program to utilize \$19.5 million in federal funding made available to DPH for drinking water infrastructure improvement projects. This funding was provided under the American Recovery and Reinvestment Act of 2009.
- DPH partnered with the Department of Consumer Protection to implement a new licensing system in July, which allows physicians, dentists and nurses to renew their licenses on-line.
- Connecticut is the first state in the nation to fully automate its nursing home survey process.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Annualize FY 2009-10 Reductions	-1,225,335
• Reduce Funding for Selected Programs <i>Reflects additional reductions beyond the annualization of FY 2009-10 rescissions for the following programs: Needle and Syringe Exchange, AIDS Services, Community Health Services, Genetic Diseases, Venereal Disease Control, and School Based Health Clinics.</i>	-4,030,407
• Suspend Funding for Fetal and Infant Mortality Review	-299,250
<b>Technical Adjustments</b>	
• Annualize Funding for FY 2009-10 Deficiencies <i>Funding is provided to annualize the agency's FY 2009-10 shortfall in Personal Services.</i>	1,500,000
• Laboratory Information Management Systems (LIMS) Support <i>Funding is provided for two Information Technology Analysts to provide application and technical support for the new Laboratory Information Management System, effective January 2011.</i>	76,112
• Restore Partial Funding for Tuberculosis/X-Ray Screening Program <i>Public Act 09-3 reduced funding for this program, in anticipation of revenue that could be secured by identifying individuals who would be eligible for a Medicaid special coverage group. Funding is partially restored as it has become apparent that few individuals would qualify for such coverage.</i>	389,096
• Annualize Online Licensing Platform Cost <i>Provides funding to support the platform for the new online licensing initiative.</i>	43,000
• Annualize Transfer for DOIT Revolving Fund Realignment	-265,562
• Annualize Personal Services Savings	-4,290,320

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	565	558	558	-41	517
<hr/>					
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	34,190,128	33,630,244	33,709,718	-2,714,208	30,995,510
Other Expenses	8,773,773	6,489,895	5,549,136	-222,562	5,326,574
<u>Capital Outlay</u>					
Equipment	193,532	190	100	-99	1
<u>Other Current Expenses</u>					
Needle and Syringe Exchange Program	481,091	432,318	455,072	-136,522	318,550
Comm Svs Support Persons w/ AIDS	195,194	0	0	0	0
Children's Health Initiatives	2,800,461	1,407,678	1,481,766	0	1,481,766
Childhood Lead Poisoning	720,637	1,043,263	1,098,172	0	1,098,172
AIDS Services	7,227,794	4,799,968	4,952,598	-1,485,779	3,466,819
Breast & Cervical Cancer Detectn/Treatment	3,951,312	2,426,775	2,426,775	0	2,426,775
Services for Children Affected by AIDS	268,712	232,778	245,029	0	245,029
Children w/Special Hlth Care Needs	1,365,283	1,208,046	1,271,627	0	1,271,627
Medicaid Administration	3,554,506	3,780,968	3,782,177	0	3,782,177
Fetal and Infant Mortality Review	275,500	299,250	315,000	-315,000	0
TOTAL - Other Current Expenses	20,840,490	15,631,044	16,028,216	-1,937,301	14,090,915
<u>Pmts to Other Than Govts</u>					
Community Health Services	8,849,331	6,636,749	6,986,052	-2,095,816	4,890,236
Emergency Med Svcs Regional Offices	638,603	0	0	0	0
Rape Crisis	436,482	439,684	439,684	0	439,684
X-Ray Screening and Tuberculosis Care	1,140,383	360,904	379,899	370,101	750,000
Genetic Diseases Programs	877,415	833,545	877,416	-263,225	614,191
Loan Repayment Program	145,187	0	0	0	0
Immunization Services	9,012,138	9,044,950	9,044,950	0	9,044,950
TOTAL - Pmts to Other Than Govts	21,099,539	17,315,832	17,728,001	-1,988,940	15,739,061
<u>Pmts to Local Governments</u>					
Local & District Departments of Health	5,434,810	4,264,470	4,264,470	0	4,264,470
Venereal Disease Control	214,141	195,210	195,210	-22,722	172,488
School Based Health Clinics	10,312,159	9,918,614	10,440,646	-1,216,933	9,223,713
TOTAL - Pmts to Local Governments	15,961,110	14,378,294	14,900,326	-1,239,655	13,660,671
TOTAL - General Fund	101,058,572	87,445,499	87,915,497	-8,102,765	79,812,732



# OFFICE OF HEALTH CARE ACCESS

<http://www.ct.gov/ohca>

## AGENCY PURPOSE

**Consistent with Public Act 09-3, June Special Session, the Office of Health Care Access has been consolidated with Department of Public Health.**

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	22	0	0	0	0

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	1,995,649	0	0	0	0
Other Expenses	158,764	0	0	0	0
TOTAL - General Fund	2,154,413	0	0	0	0





# OFFICE OF THE CHIEF MEDICAL EXAMINER

<http://www.ct.gov/ocme/>

## AGENCY PURPOSE

### TO INVESTIGATE FATALITIES

- Deaths due to any form of injury, whether resulting from accident, suicide or homicide.
- Sudden or unexpected deaths not due to readily recognizable disease.
- Deaths occurring under suspicious circumstances (e.g. child abuse).
- Deaths of any individual whose body is to be disposed of in a manner that will render it unavailable for later examination.
- Deaths at or related to the workplace.
- Deaths due to disease that might constitute a threat to the public health.

### TO PROTECT THE PUBLIC HEALTH

- By diagnosing previously unsuspected contagious disease.
- By identifying hazardous environmental conditions in the workplace, the home and elsewhere.
- By identifying trends such as changes in the numbers of homicides, traffic fatalities, and drug and alcohol related deaths.
- By identifying new types and forms of drugs appearing in the state or existing drugs/substances becoming new subjects of abuse.
- By providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-408,622

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	60	60	60	-2	58
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	4,817,821	4,610,144	5,247,978	-408,622	4,839,356
Other Expenses	804,111	706,304	706,703	-421	706,282
<u>Capital Outlay</u>					
Equipment	6,968	4,750	5,000	-250	4,750
<u>Other Current Expenses</u>					
Medicolegal Investigations	37,208	100,039	100,039	0	100,039
TOTAL - General Fund	5,666,108	5,421,237	6,059,720	-409,293	5,650,427

## DEPARTMENT OF DEVELOPMENTAL SERVICES


<http://www.ct.gov/dds>

## AGENCY PURPOSE

- Provide case management, respite, family support, residential and employment services to DDS consumers and their families through a system of public and private providers.
- Perform as lead agency for the Birth-to-Three program serving infants and toddlers with developmental delays.
- Ensure appropriate delivery of health care services to consumers receiving DDS residential supports.
- Assist DDS consumers involved in the criminal justice system to ensure appropriate representation and services.
- Coordinate an autism pilot program for adults with autism spectrum disorder who do not also have mental retardation.
- Coordinate the Voluntary Services Program for children who have mental retardation and behavioral health needs.
- Plan and manage emergency response activities for persons receiving DDS services.

## RECENT HIGHLIGHTS

**WAITING LIST INITIATIVE**

Successfully completed the fifth and final year of the "Waiting List Initiative" with new residential supports to 218 people, additional residential supports to 167 individuals and enhanced family supports to 107 families, serving a total of 1,598 people with residential supports and 520 with enhanced family supports over the five year period.

**FEDERAL WAIVERS**

Received five year renewal of the *Comprehensive HCBS (Home and Community Based Services) Waiver* by the Centers for Medicare and Medicaid Services (CMS). Began work on an *Employment and Day Supports Waiver* for anticipated CMS approval in late FY2010.

**CONSUMER MILESTONES**

Assisted 959 people to fully self-directed supports and 3,951 to control individual budgets for residential, employment and day services and supports.

**PRIVATE PROVIDERS**

Revised the qualification process for all new prospective providers and added a mandatory training component. Posted provider profiles on the DDS website so consumers and families can search for qualified providers by name or town. Qualified 188 providers.

**TRANSITIONED YOUTH**

Transitioned 29 youths from DCF to DDS and enrolled approximately 100 others in the Voluntary Services Program bringing the program total to 434. Reviewed requests for out-of-home placements with

the Children's Services Committee – a group consisting of representatives from DCF, SDE, DDS, the Office of the Child Advocate and families.

**RESPITE CENTERS**

Served approximately 1,203 individuals in 11 respite centers statewide.

**EMPLOYMENT INITIATIVE**

Launched *Employment First* initiative to promote employment of DDS consumers through Connect-Ability, Connecticut's Medicaid Infrastructure Grant, awarded to the DSS Bureau of Rehabilitation Services.

**BIRTH TO THREE**

Received, for the second year in a row, a determination of "meets requirements" by the Individuals with Disabilities Education Act according to the U.S. Department of Education. Served 9,112 eligible children - 3.5% of all children under the age of three on a daily basis. Added four new Birth to Three programs to ensure sufficient provider capacity in the northeast part of the state and Fairfield County and ten new autism-specific programs to ensure statewide coverage. Served approximately 250 children in autism-specific programs.

**AUTISM SPECTRUM DISORDER PILOT**

Operated a pilot program for 55 individuals in the New Haven and Hartford areas with autism spectrum disorder who do not have mental retardation.

## RECOMMENDED ADJUSTMENTS

**Reductions**

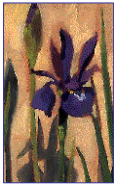
	<b>2010-2011</b>
• Reflect Savings in Employment and Day Services Pursuant to FY2010 Rescissions <i>\$5.9 million is removed to reflect the annualization of the new attendance-based reimbursement system begun in spring 2010 as a result of FY 2010-11 rescissions in the Employment Opportunities and Day Services account</i>	-5,946,000
• Reduce Personal Services through Attrition <i>Funding is reduced in anticipation of the gradual attrition of the DDS workforce and all but the most essential positions not being refilled because of the strict hiring freeze.</i>	-3,211,338
• Reduce Overtime in DDS Operated Settings <i>Savings will be realized through strict management of overtime.</i>	-2,386,800
• Reduce Personal Services through two Building Closures at Southbury Training School <i>Funding is reduced to reflect the consolidation of clients at Southbury Training School to permit consolidation of housing units allowing part time staff to be redeployed to cover existing direct care vacancies throughout the rest of the system.</i>	-1,190,748

Budget Summary

- Re-Direct Birth to Three Incentive Payments -335,928  
*Changes in the billing practices for birth to three insurance recoveries will generate additional funding to offset program costs.*
- Annualize FY 2009-10 Reductions -173,099  
*FY2010 rescission in the Clinical Services and Family Reunion accounts is annualized into FY 2011-12.*
- Reallocations or Transfers**
- Transfer Home Health Services Funding 500,000  
*\$500,000 is transferred from the Department of Social Services to support home-health services and increase access for individuals and families for whom home health services have not been readily available.*
- Technical Adjustments**
- Annualize Personal Services Savings -24,151,246
- Reduce Caseload in the Voluntary Services Program -1,696,390  
*Funding is reduced as a result of the FY2010 closure of the Voluntary Services Program and the aging out of 38 children into DDS adult services during the fiscal year.*
- Annualize Transfer for DOIT Revolving Fund Realignment -783,240
- Annualize Funding for FY 2009-10 Deficiencies 13,700,000  
*Funding is provided to annualize the FY 2010-11 shortfalls in the Early Intervention, Workers' Compensation and Community Residential Services accounts.*
- Annualize Community Development Costs 7,625,821  
*Funding is recommended to support the FY 2011-12 costs of 17 group home conversions from public to private operation made possible by the loss of staff in the 2009 retirement incentive program.*
- Revenue Adjustments**
- Move Individuals Back to Connecticut 475,620  
*Additional revenue will result by bringing 24 DDS clients (10 adults and 14 voluntary services children) back to the state permitting them to be put into waivers. An additional cost of \$476,000 required to support these placements will generate \$2.2 million in new revenue.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4,054	3,981	3,974	-317	3,657
<hr/>					
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	299,459,381	279,542,628	304,572,458	-36,854,311	267,718,147
Other Expenses	25,815,620	27,654,413	27,199,636	-783,240	26,416,396
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Human Resource Development	213,436	219,790	219,790	0	219,790
Family Support Grants	3,280,095	3,280,095	3,280,095	0	3,280,095
Cooperative Placements Program	20,103,553	20,679,838	21,639,755	0	21,639,755
Clinical Services	4,639,147	4,642,372	4,812,372	-170,000	4,642,372
Early Intervention	38,167,649	39,243,415	28,840,188	8,964,072	37,804,260
Community Temporary Support Services	67,315	63,949	67,315	0	67,315
Community Respite Care Programs	330,345	313,828	330,345	0	330,345
Workers' Compensation Claims	15,449,122	16,246,035	14,246,035	2,000,000	16,246,035
Pilot Program for Autism Services	723,288	1,448,917	1,525,176	0	1,525,176
Voluntary Services	0	33,138,568	32,692,416	-1,531,300	31,161,116
TOTAL - Other Current Expenses	82,973,950	119,276,807	107,653,487	9,262,772	116,916,259
<u>Pmts to Other Than Govts</u>					
Rent Subsidy Program	4,617,538	4,537,554	4,537,554	0	4,537,554
Family Reunion Program	134,616	134,900	137,900	-3,000	134,900
Employment Opportunities & Day Svcs	162,298,520	174,033,860	185,041,617	-6,014,884	179,026,733
Community Residential Services	395,021,853	385,347,857	390,498,055	16,819,414	407,317,469
TOTAL - Pmts to Other Than Govts	562,072,527	564,054,171	580,215,126	10,801,530	591,016,656
TOTAL - General Fund	970,321,478	990,528,114	1,019,640,807	-17,573,348	1,002,067,459



# DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

<http://www.dmhas.state.ct.us/>

## AGENCY PURPOSE

- To assist persons with psychiatric and substance use disorders to recover and sustain their health through delivery of recovery-oriented treatment and support services.
- To develop and maintain a high quality service system that is person-centered, value-driven, promotes hope, improves health and is anchored to a recovery-oriented foundation.

## RECENT HIGHLIGHTS

### IMPROVED SERVICE SYSTEM

- Secured vocational training for more than 1,000 persons across the State through the federally funded *Access to Recovery* Program.
- Implemented the Frequent User Service Enhancement (FUSE) pilot that is designed to provide appropriate housing to individuals that cycle between the criminal justice and homeless shelter systems. FUSE will ultimately serve 30 individuals who reside in the cities of Bridgeport, Hartford, and New Haven.
- Transitioned 45 individuals from nursing homes into the community and appropriately diverted an additional 65 people from admission by developing services in community settings through the Nursing Home Discharge and Diversion Program.
- Expanded the Military Support Program (MSP) statewide clinical panel to 300 clinicians who have been trained to provide outpatient counseling services to National Guard/Reserve members and their families.
- Continued training law enforcement personnel on working effectively with persons with psychiatric disorders, with 568 officers from 52 departments trained since 2004.
- Expanded the Transitional Case Management Program to two additional communities for men struggling with addiction who are discharged from prison.
- Increased the Offender Reentry Program by two communities to benefit adults with serious mental health disorders who are discharged from prison.

### QUALITY OF CARE MANAGEMENT

- Developed a Provider Report Card that includes key outcome data at both the agency and program level.
- Designed, competitively procured, and awarded contracts for two new 20-bed Co-Occurring Enhanced Intensive Residential

Programs in Bridgeport and Waterbury, primarily for individuals diverted from the state's emergency departments.

- Expanded the two Co-Occurring Practice Improvement Collaboratives for a total of 25 mental health and addiction treatment agencies actively working to increase their capacity to serve individuals with co-occurring disorders.
- Introduced a new Collaborative Safety Strategies Training Program to approximately 2,600 direct care staff at DMHAS' Connecticut Valley Hospital.
- Served 593 youth and families and 432 adults and professionals through the Connecticut Youth Suicide Prevention Initiative.
- Facilitated town hall meetings in 33 Connecticut communities in conjunction with 2,000 others across the United States to discuss and raise public awareness about the critical issue of underage drinking.

### WORKFORCE/ORGANIZATIONAL EFFECTIVENESS

- Dedicated a portion of federal Mental Health Transformation grant funding to support the Quality Improvement Collaborative (QuIC), a coalition of grassroots advocacy organizations and individuals in recovery who are seeking to influence positive systems change by redefining service relationships.
- Contracted with Advocacy Unlimited, Inc., to develop a competency-based training curriculum and corresponding certification process for Recovery Support Specialists in Peer-Delivered Services.

### RESOURCE BASE

- Awarded a \$2 million, five year federal grant to provide diversion, treatment and recovery support services to newly returned veterans struggling with trauma-related problems who become involved in the criminal justice system.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |   |                                |
|---|--------------------------------|
| <ul style="list-style-type: none"> <li>Annualize FY 2010-11 Suspension of Research Activities at the Connecticut Mental Health Center<br/><i>Funding to support research activities at the Connecticut Mental Health Center is removed.</i></li> </ul>                                  | <b>2010-2011</b><br>-1,200,000 |
| <ul style="list-style-type: none"> <li>DMHAS Controlled Hiring Initiative<br/><i>Reflects the gradual attrition of the DMHAS workforce and all but the most essential positions not being refilled because of the strict hiring freeze.</i></li> </ul>                                  | -1,000,000                     |
| <ul style="list-style-type: none"> <li>Annualize FY 2009-10 Reductions<br/><i>FY 2010-11 rescissions in the Connecticut Mental Health Center, Legal Services, Medicaid Adult Rehabilitation Option and Employment Opportunities accounts are annualized into FY 2011-12.</i></li> </ul> | -477,367                       |

Budget Summary

- Shift from Partial Hospitalization to Intensive Outpatient in the General Assistance Behavioral Health Program -316,225  
*Savings are generated by shifting from the use of partial hospitalization services in the General Assistance Behavioral Health Program to intensive outpatient.*
- Reduce Funding Consistent with Lapses in FY 2008-09 -293,109  
*Reduce the Grants for Mental Health Services and Employment Opportunities accounts by the amounts of the FY 2008-09 lapse.*
- Reduce Administrative Service Organization Functions of the Eastern Region Service Center -280,000  
*Reflects the reduction of administrative functions at the Eastern Region Service Center.*
- End Redundant Funding of Methadone Maintenance Co-Occurring Disorders Screenings -251,000  
*Funding is removed to eliminate the redundancy between the Methadone Maintenance co-occurring screening contracts and the broader service system requirement that screenings be done without explicit funding.*
- Reduce Pharmacy Costs -200,000  
*Savings are generated through the anticipated management of pharmacy costs by prescribing generics and steering individuals to entitlements where possible.*
- Suspend Funding Yale Child Study Contract for Parent Training -124,924  
*Reflects the suspension of funding for the Yale Child Study contract for Training Parents with Children with Developmental/Psychiatric Disorders.*
- Reduce On-Call Beeper Pay -120,000  
*Savings are generated through the reduction in the number of staff that are contractually required to carry beepers each night.*

**Technical Adjustments**

- Annualize Personal Services Savings -22,968,231
- Annualize Transfer for DOIT Revolving Fund Realignment -892,101
- Annualize Funding for FY 2009-10 Deficiencies 6,100,000  
*Funding is provided to annualize the FY 2010-11 shortfalls in the Professional Services and General Assistance Managed Care accounts.*
- Annualize FY2009-10 Community Placements 5,882,000  
*Funding is recommended to annualize the cost of community placements and the development of alternative care beds necessary to support the closure of Cedarcrest Hospital.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,589	3,791	3,791	-217	3,574

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	199,337,072	189,824,105	209,150,535	-24,088,231	185,062,304
Other Expenses	33,306,873	42,824,915	34,886,253	-1,172,101	33,714,152
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Housing Supports and Services	11,632,080	12,479,867	13,224,867	0	13,224,867
Managed Service System	31,111,004	36,464,646	37,208,822	-124,924	37,083,898
Legal Services	550,275	539,269	550,275	-11,006	539,269
Connecticut Mental Health Center	8,621,544	8,465,721	8,638,491	-1,372,770	7,265,721
Capitol Region Mental Health Center	331,898	0	0	0	0
Professional Services	9,513,997	11,788,898	9,688,898	2,100,000	11,788,898
Regional Action Councils	258,750	0	0	0	0
General Assistance Managed Care	80,015,222	85,081,389	86,346,032	3,683,775	90,029,807
Workers' Compensation Claims	11,861,205	12,344,566	12,344,566	0	12,344,566
Nursing Home Screening	618,869	622,784	622,784	0	622,784
Young Adult Services	39,650,500	46,003,639	56,874,159	0	56,874,159
TBI Community Services	6,151,929	7,553,290	9,402,612	0	9,402,612
Jail Diversion	4,302,419	4,338,037	4,426,568	0	4,426,568
Behavioral Health Medications	8,968,710	8,869,095	8,869,095	-200,000	8,669,095
Prison Overcrowding	5,471,855	6,107,049	6,231,683	0	6,231,683
Community Mental Health Strategy Board	7,089,727	0	0	0	0
Medicaid Adult Rehabilitation Option	3,144,234	3,963,349	4,044,234	-80,885	3,963,349
Discharge and Diversion Services	3,030,935	7,080,116	3,080,116	5,882,000	8,962,116

Budget Summary

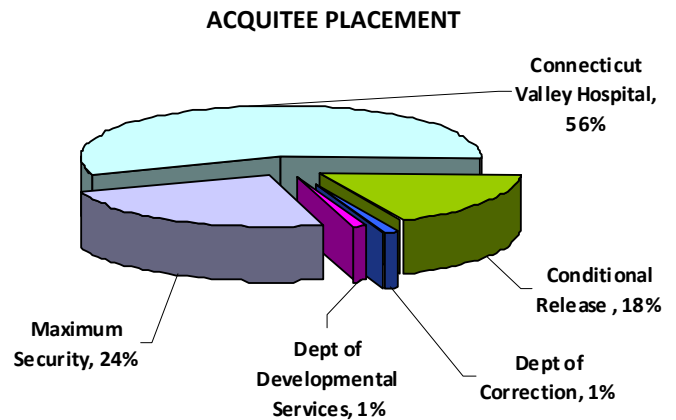
Home and Community Based Services	1,162,767	2,822,720	4,625,558	0	4,625,558
Persistent Violent Felony Offenders Act	496,000	689,266	703,333	0	703,333
TOTAL - Other Current Expenses	<u>233,983,920</u>	<u>255,213,701</u>	<u>266,882,093</u>	<u>9,876,190</u>	<u>276,758,283</u>
<i>Pmts to Other Than Govts</i>					
Grants for Substance Abuse Services	26,044,980	25,018,191	25,528,766	-251,000	25,277,766
Gov's Partnership-Protect CT Workforce	395,950	0	0	0	0
Grants for Mental Health Services	79,338,875	76,336,345	76,394,230	-250,000	76,144,230
Employment Opportunities	10,587,244	10,417,746	10,630,353	-255,716	10,374,637
TOTAL - Pmts to Other Than Govts	<u>116,367,049</u>	<u>111,772,282</u>	<u>112,553,349</u>	<u>-756,716</u>	<u>111,796,633</u>
TOTAL - General Fund	<u>582,994,914</u>	<u>599,635,098</u>	<u>623,472,330</u>	<u>-16,140,957</u>	<u>607,331,373</u>

# PSYCHIATRIC SECURITY REVIEW BOARD



## AGENCY PURPOSE

The Psychiatric Security Review Board is the state agency to which the Superior Court commits persons who are found not guilty of a crime by reason of mental disease or mental defect. It is the board's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety. The board is governed by Connecticut General Statutes, Sections 17a-580 through 17a-603.



## RECENT HIGHLIGHTS

- Maintained zero percent criminal recidivism of conditionally released acquittees.
- Participated in the Governor's Insanity Acquittee Review Committee (IARC) to review Connecticut's insanity defense and acquittal process and provide quality improvement recommendations.
- Successfully modified the board's statutes to provide for expanded supervisory options for acquittees on temporary leave.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Annualize FY 2009-10 Reductions	-2,071
<b>Technical Adjustments</b>	
• Annualize Personal Services Savings	-5,168

## AGENCY SUMMARY

	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	0	4
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	313,371	314,376	321,454	-5,168	316,286
Other Expenses	31,103	37,469	39,441	-1,972	37,469
<u>Capital Outlay</u>					
Equipment	0	0	100	-99	1
TOTAL - General Fund	344,474	351,845	360,995	-7,239	353,756



# DEPARTMENT OF TRANSPORTATION

<http://www.ct.gov/dot>

## AGENCY PURPOSE

- To provide a safe, efficient, and cost-effective transportation system that meets the mobility needs of its users.
- To construct, repair, and maintain the State highway system consisting of over 10,000 lane miles and over 3,800 bridges.
- To provide commuter rail service along the New Haven Line and its branch lines from New Haven to Greenwich and into New York City and on the Shore Line East corridor from New London to New Haven and Stamford.
- To promote continued operation of all urban and rural bus services, ridesharing and transportation demand management programs.
- To maintain, operate and develop five State-owned general aviation airports, the Connecticut River ferries and facilities located at the Port of New London. The agency maintains, operates and develops Bradley International Airport, whose costs are fully financed with revenues generated at the airport through the Bradley Enterprise Fund.
- To focus available resources in the most effective manner, the agency established a long-term infrastructure investment strategy with the following five interactive and interrelated goals: to ensure that all transportation systems are operated and maintained in a safe manner; to maintain all transportation systems in a state of good repair; to make investments that increase the productivity of existing systems; to utilize transportation investments to promote and facilitate economic development; and to provide additional transportation capacity where it is essential.
- To support and adhere to Responsible Growth principles, criteria and initiatives across Connecticut and ensure that transportation projects and programs are consistent with the State's Plan of Conservation and Development. Also, the agency, in conjunction with other state agencies, is committed to reduce greenhouse gas emissions as part of the State's climate change efforts.
- To monitor transportation trends and forecast future needs of the transportation system and to develop transportation plans and services to address those needs.
- To coordinate with the Transportation Strategy Board (TSB) to ensure that the agency's long-term investment strategy is consistent with the need to maintain the economic competitiveness of the State in the global economy.

## RECENT HIGHLIGHTS

- The noise study at Oxford Airport was completed and signed by the Federal Aviation Administration, along with the completion of an Environmental Assessment and Relocation Plan for the voluntary purchase of homes adjacent to the airport.
- A major effort to select a new operator for the provision of fuel and concessions at the service plaza facilities located on the John Davis Lodge Turnpike (I-95 and I-395) and the Merritt and Wilbur Cross Parkways was completed in the fall of 2009. The new agreement is for thirty-five years, and will change the face of the plazas, with major renovations at all twenty-three locations.
- The final phase of Bradley International Airport's terminal expansion and improvement program (Terminal A refurbishment) is expected to be completed in 2010. The agency has commenced preliminary engineering for the next phase of terminal development, ultimately to result in demolition and redevelopment of the Murphy Terminal complex, including new roadway and parking facilities.

## RECOMMENDED ADJUSTMENTS

	<u>2010-2011</u>
<b>Reductions</b>	
• Close Four Manned Ticket Windows at Rail Stations <i>Manned ticket windows will be eliminated at stations in Darien, Fairfield, New Canaan and Westport. Tickets will be available through vending machines or may be purchased on-line.</i>	-400,000
• Annualize FY 2009-10 Reductions	-75,000
<b>Technical Adjustments</b>	
• Annualize Funding for FY 2009-10 Deficiencies	3,500,000
• Annualize Personal Services Savings	-9,674,181
• Annualize Funding for Rail Operations <i>Reflects required funding after negotiations with Metro North.</i>	13,867,820
<b>Expansion Adjustments</b>	
• Expand Service on Shoreline East to New London	1,600,000



## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	3,426	3,398	3,398	-104	3,294
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	152,726,365	152,490,171	157,723,930	-9,674,181	148,049,749
Other Expenses	56,649,316	51,877,142	43,426,685	3,500,000	46,926,685
<u>Capital Outlay</u>					
Equipment	2,997,995	2,001,945	1,911,500	0	1,911,500
Minor Capital Projects	437,747	332,500	332,500	0	332,500
Highway & Bridge Renewal-Equipment	8,824,723	6,000,000	6,000,000	0	6,000,000
TOTAL - Capital Outlay	12,260,465	8,334,445	8,244,000	0	8,244,000
<u>Other Current Expenses</u>					
Highway Planning and Research	2,634,109	2,670,601	2,819,969	0	2,819,969
Hospital Transit for Dialysis	7,038	0	0	0	0
Rail Operations	108,147,408	117,635,208	127,726,327	15,067,820	142,794,147
Bus Operations	115,567,969	124,282,445	132,955,915	0	132,955,915
Highway and Bridge Renewal	10,300,402	12,402,843	12,402,843	0	12,402,843
Tweed-New Haven Airport Grant	570,000	1,425,000	1,500,000	-75,000	1,425,000
ADA Para-transit Program	23,020,591	24,862,375	25,565,960	0	25,565,960
Non-ADA Dial-A-Ride Program	576,359	576,361	576,361	0	576,361
SE CT Intermodal Transportation Center	448,396	0	0	0	0
TOTAL - Other Current Expenses	261,272,272	283,854,833	303,547,375	14,992,820	318,540,195
<u>Pmts to Local Governments</u>					
Town Aid Road Grants	30,000,000	0	0	0	0
TOTAL - Special Transportation Fund	512,908,418	496,556,591	512,941,990	8,818,639	521,760,629

# DEPARTMENT OF SOCIAL SERVICES


<http://www.ct.gov/dss>

## AGENCY PURPOSE

The Department of Social Services (DSS) provides a continuum of core services to families and individuals who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living in cooperation with other state agencies, municipalities, and community-based organizations. The

department administers, coordinates, plans, delivers and funds approximately ninety health and human service programs to support eligible children, youth, families, adults, elderly and people with disabilities. Over half a million Connecticut individuals are assisted by DSS' programs.

## RECENT HIGHLIGHTS

### ARRA

Under the American Recovery and Reinvestment Act (ARRA) of 2009, the Department of Social Services is receiving significant federal funding to support a broad range of programs, including Medicaid, Weatherization, additional services through the Community Services Block Grant, Emergency Shelter Grants for Homelessness Prevention and Rapid Rehousing, commodity assistance through the Emergency Food Assistance Program, Child Care Assistance, Elderly Nutrition, and Community Services Employment for Older Americans. In FY 2008-09, DSS received a total of \$440.8 million in ARRA funds, including enhanced federal reimbursement under the Medicaid program.

### HUSKY AND CHARTER OAK

The HUSKY A and HUSKY B programs and the Governor's Charter Oak Health Plan provide access to affordable health care for children and adults up to age 65, regardless of income, with lower income individuals and families receiving financial assistance in the form of state subsidized premiums. As a result of a re-procurement, Aetna Better Health, AmeriChoice and Community Health Network of Connecticut were selected as managed care providers for these programs and began serving clients in FY 2008-09. As of June 2009, a total of 342,784 individuals were enrolled in the HUSKY A program and 15,053 enrollees were in the HUSKY B program. By June 2009, the first year of the Charter Oak Health Plan, approximately 8,200 individuals who previously had no health insurance or could not afford coverage were enrolled in the program.

### MONEY FOLLOWS THE PERSON AND DIVERSION GRANTS

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals out of institutional settings and into community settings with appropriate supports. DSS receives enhanced federal Medicaid reimbursement for the first year of an individual's transition. MFP complements other ongoing state initiatives to provide community

supports to individuals who are at risk of institutionalization or who are institutionalized and transitioning into the community. DSS began accepting applications in FY 2008-09 from persons interested in moving from institutions into the community. As of December 31, 2009, 126 persons had successfully relocated to the community under MFP; an additional 35 non-MFP clients were also transitioned to the community. The department anticipates exceeding their original goal of transitioning 700 persons under MFP by the end of FY 2011-12.

### MEDICARE SAVINGS PROGRAMS

Under the Medicare Savings Programs (MSP), the state covers some of the out-of-pocket Medicare costs, such as premiums and co-insurance amounts, for Medicare beneficiaries through the Medicaid program. DSS recently expanded eligibility for the Medicare Savings Programs to allow all ConnPACE clients to enroll in MSP. By enrolling, the majority of ConnPACE clients will have their Medicare Part A and B premiums, deductibles and co-insurance covered by DSS, while a smaller portion of clients with slightly higher incomes will have their Medicare Part B premiums covered. In addition, ConnPACE clients that enroll in MSP will qualify for the federal low-income subsidy under Medicare Part D and, as a result, will have their prescription co-pays reduced from \$16.25 to \$2.50 or \$6.30, depending on the drug.

### SNAP CASELOAD GROWTH

Due to the April 2009 expansion of eligibility (the gross income limit was increased from 130% to 185% of the federal poverty level and the asset test was eliminated), the department saw dramatic increases in the Supplemental Nutrition Assistance Program (SNAP) (formerly Food Stamps). As a result of these policy changes and the economy, average monthly caseloads grew by 31% between December 2008 and December 2009. Although federal SNAP benefits are 100% federally funded, program administrative costs are shared between the department and the federal government.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |   |                  |
|---|------------------|
| • Annualize FY 2009-10 Reductions   | <b>2010-2011</b> |
| • Convert HUSKY to a Non-Risk Model   | -3,207,489       |
| <i>While Connecticut's current managed care model within the Medicaid program was a positive development intended to reduce the cost while improving the quality of care, the current system has grown to unsustainable levels. In general, based on past practice, managed care plans contracting with DSS have administrative costs that exceed 11.5% of the total per member per month rate. Over the biennium, that added administrative cost is budgeted at over \$185 million. This proposal will revamp managed care from an "at risk" arrangement to a non-risk contract with the program continuing under an administrative services organization (ASO) arrangement.</i> |                  |
| • Pay Medicare Part D "Clawback" in Accordance with Federal Payment Standards   | -28,800,000      |
| <i>Under Medicare Part D, individuals who are eligible for both Medicaid and Medicare receive prescription drug coverage under Medicare.</i>  |                  |
|   | -12,000,000      |

## Budget Summary

- Offsetting Medicaid's cost avoidance resulting from the Part D program is the requirement that states reimburse the federal government to help finance the Medicare Part D program. This provision, known as clawback, is intended to capture the state's savings had the state continued coverage for Medicaid eligible individuals. Currently, DSS issues a check to the federal government within the month they are invoiced. Under this proposal, the June 2011 payment will be delayed until July 2012 to take full advantage of the grace period allowed under federal rules. This will result in one-time savings in FY 2010-11 as subsequent payments will follow the same timing.*
- **Impose Cost-Sharing Requirements on Individuals Receiving Medicaid Services** -9,000,000  
*A total of 45 states impose co-payments under their Medicaid programs. Under this proposal, DSS will require co-pays of up to \$3.00 per service on allowable medical services (excluding hospital inpatient, emergency room, home health, laboratory and transportation services), not to exceed 5% of family income. Co-pays for pharmacy services will be capped at \$20 per month. Consistent with federal rules, the following populations will be exempt from the cost sharing requirement: children under age 18; individuals at or below 100% of the federal poverty level; Supplemental Security Income (SSI) recipients; pregnant women; women being treated for breast or cervical cancer; and persons in institutional settings.*
  - **Remove Coverage of Most Over-the-Counter Drugs under DSS' Pharmacy Programs** -7,670,000  
*This proposal removes coverage of over-the-counter drugs, with the exception of insulin and insulin syringes, under DSS' pharmacy programs. This change is consistent with the current policy under the ConnPACE program. To comply with federal rules, Connecticut will continue to provide coverage of over-the-counter drugs to all children under the age of 21 under the HUSKY A program.*
  - **Reduce Funding for Certain Non-Entitlement Accounts** -6,262,998  
*Under this proposal, funding for a variety of non-entitlement accounts within DSS will be reduced by 25%. This will impact the following accounts: Children's Trust Fund; Employment Opportunities; Safety Net Services; Human Resources Development; Community Services; Human Service Infrastructure Community Action Program; and Day Care Projects. Note: Due to ARRA requirements, Day Care Projects will not be reduced in the first quarter of FY 2010-11.*
  - **Restructure Non-Emergency Medical Transportation under Medicaid** -5,900,000  
*Current regulations require DSS to pay for ambulance service for individuals who are stretcher bound but do not require medical attention during transport. Under this proposal, transportation options under Medicaid will be expanded to include stretcher van service for those individuals who are medically stable but must lie flat during transport. The new stretcher van rate will be significantly less than the non-emergency ambulance rate, which has a base rate of \$218 plus \$2.88 per mile (approximately \$275 for a 20 mile one-way trip). This change is consistent with a number of other states that have recognized the economic value of stretcher vans.*
  - **Restrict Vision Services for Adults under Medicaid** -4,600,000  
*The provision of eyeglasses, contact lenses and services provided by optometrists are considered optional under federal Medicaid rules. Under this proposal, DSS will no longer provide coverage of eyeglasses, contact lenses and services provided by optometrists under Medicaid. DSS will continue to provide coverage of services provided by ophthalmologists. To comply with federal rules, the current benefit will continue to be provided to all children under the age of 21 under the HUSKY A program.*
  - **Update Medical Necessity and Appropriateness Definition under Medicaid** -4,500,000  
*The current medical necessity and appropriateness definitions under Medicaid establish an unreasonably high standard of services necessary to achieve "optimal" functioning and fail to provide for the application of medical evidence in medical review decisions. This proposal replaces these outdated definitions with the definition being used under the State Administered General Assistance (SAGA) program since January 2005. The new definition combines the concepts of medical necessity and appropriateness as is done in Medicare and under public sector and commercial health care programs and incorporates the principle of providing services which are "reasonable and necessary" or "appropriate" in light of clinical standards of practice. Eliminating "maintaining an optimal level of health" will help to eliminate varying interpretations, which often result in levels of care that well exceed the definition of medical appropriateness.*
  - **Limit Premium Assistance under the Charter Oak Health Plan** -4,200,000  
*The vast majority of individuals enrolled in the Charter Oak Health Plan receive premium assistance, which is to range from \$50 to \$175, depending on income. Under this proposal, DSS will limit premium assistance to clients who are enrolled in the program as of June 30, 2010. Lower income individuals who choose to enroll in the Charter Oak Health Plan after June 30, 2010, will be responsible for the full premium costs.*
  - **Restrict DSS Funding for Federally Qualified Health Center Enhancements** -4,090,000  
*Under federal Medicaid rules, DSS is required to reimburse federally qualified health centers (FQHCs) at their full allowable cost. They are the only providers that receive full reimbursement under the state's Medicaid program. Last biennium, funds were appropriated to cover the cost of FQHC enhancements. In addition to funds provided in Medicaid to supplement operating costs, funds were provided to cover the full cost of 16 new outstationed eligibility workers. Of these 16 positions, only 7 have been filled. Under this proposal, the discretionary funding provided under Medicaid will be curtailed and the FQHCs will be required to contribute towards the cost of any outstationed eligibility workers.*
  - **Suspend Funding for the Transportation for Employment Independence Program** -3,155,532  
*This program funds work-related transportation activities for adults eligible for the Temporary Family Assistance program. Given the state's fiscal situation, this proposal will suspend funding thru FY 2010-11.*
  - **Suspend Funding for Nurturing Families Network at Non-Hospital Sites** -2,463,451  
*This proposal, in conjunction with other reduction options, will suspend funding for the Nurturing Families Network (NFN) at non-hospital sites in New Haven and Hartford, which are the only cities receiving program funding for non-hospital sites. NFN services will continue to be provided at all 29 birthing hospitals throughout the state, including hospitals in New Haven and Hartford.*
  - **Return SAGA to a Fee-for-Service Structure** -2,340,000  
*When the State Administered General Assistance program was restructured in FY 2003-04, it was envisioned that a contractor would be responsible for managing ancillary medical services as well as a fixed pool of dollars for primary care and specialty services. Despite the recent carve-out of pharmacy and dental services and the fact that primary care and specialty services are now reimbursed at the Medicaid rate under a fee-for-service arrangement, \$2.8 million will be expended annually to cover the contractor's administrative costs. Under this proposal, savings will be achieved by eliminating these administrative costs and processing all claims thru the Medicaid claims processing system at a significantly lower cost. This change will have no impact on the ability of SAGA clients to receive the necessary medical care.*
  - **Provide for Coverage of Medical Interpreters through an Administrative Process** -1,800,000  
*To improve access to health care for Medicaid clients with limited English proficiency, the legislature mandated that DSS amend the Medicaid state plan to include foreign language interpreter services provided to any beneficiary with limited English proficiency as a covered service under the Medicaid program. The enacted budget includes partial year funding in FY 2010-11 of \$2.5 million for this initiative, with*

<i>annualized costs projected at \$6.0 million. Under this proposal, DSS will obtain these services from one centralized vendor. This is a more cost-efficient, streamlined model than requiring a Medicaid state plan amendment, where providers will be allowed to submit claims for reimbursement of medical interpreter costs. Providing services from one centralized vendor is expected to result in annualized costs of \$1.7 million, significantly less than the \$6.0 million projected under a state plan amendment.</i>	
<ul style="list-style-type: none"> <li>● <b>Adjust SAGA FQHC Payments to 90% of Medicaid Rates</b> <span style="float: right;">-1,700,000</span>  <i>In general, with the exception of hospitals, medical providers under the State Administered General Assistance program are reimbursed at the Medicaid rate. As a result, federally qualified health centers (FQHCs) are reimbursed at their full allowable cost, consistent with federal Medicaid rules. They are the only providers that receive full reimbursement. Under this proposal, FQHC rates under SAGA will be reduced to 90% of the Medicaid rate.</i></li> <li>● <b>Modify Premium Payment Requirements under HUSKY B</b> <span style="float: right;">-1,440,000</span>  <i>HUSKY B offers a full health insurance package for children and teenagers up to age 19, regardless of family income. The program is divided into three income bands: the first band (185% to 235% of the federal poverty level (FPL)) pays no premiums; the second band (236% to 300% FPL) has monthly premiums of \$30 for families with one child and \$50 for families with two or more children; and the third band (over 300% FPL) is responsible for the full premium. Under this proposal, monthly premiums for Band 2 will increase to \$50 for families with one child and \$75 for families with two or more children.</i></li> <li>● <b>Remove Limited Vision and Non-Emergency Medical Transportation Benefits under SAGA</b> <span style="float: right;">-1,400,000</span>  <i>In FY 2006-07, the legislature provided funding for a limited vision and non-emergency medical transportation program under SAGA. Under this proposal, these expanded benefits are eliminated. It is anticipated that DSS will continue to provide transportation for dialysis and cancer treatments.</i></li> <li>● <b>Limit Maximum Allowable Cost Reimbursement for Certain Drugs</b> <span style="float: right;">-1,100,000</span>  <i>Maximum Allowable Cost (MAC) programs are designed to ensure state Medicaid programs pay appropriate prices for generic and multi-source brand drugs. This proposal revises MAC reimbursement under DSS' pharmacy programs from the average wholesale price (AWP) minus 45% to AWP minus 50%.</i></li> <li>● <b>Revise Medicare Part D Co-payment Requirements for Dually Eligible Clients</b> <span style="float: right;">-1,100,000</span>  <i>As of 2007, Connecticut was one of only eight states covering the costs of the Medicare Part D co-payments for persons dually eligible for Medicare and Medicaid. Beginning this fiscal year, dually eligible clients are responsible for paying up to \$15 per month in Medicare co-pays for Part D-covered drugs. These co-pays range from \$1.10 to \$6.30 in 2010. Under this proposal, dually eligible clients will be responsible for paying up to \$20 per month in Medicare co-pays for Part D-covered drugs.</i></li> <li>● <b>Expand DSS' Preferred Drug List to Include All Mental Health Drugs</b> <span style="float: right;">-1,040,000</span>  <i>Mental health related drugs are one of the highest cost categories of drugs under Medicaid. Currently, all new mental health prescriptions are subject to the preferred drug list process, but existing mental health prescriptions are exempted from the process. Under this proposal, all mental health prescriptions will be subject to the preferred drug list. Prior authorization will be required to receive coverage of any mental health drug that is not on the preferred drug list.</i></li> <li>● <b>Delay Implementation of the HIV/AIDS Waiver</b> <span style="float: right;">-1,000,000</span>  <i>The HIV/AIDS waiver is a home and community-based services waiver that is being developed by DSS to provide additional services beyond those traditionally offered under Medicaid (e.g., case management, homemaker, personal care assistance, adult day health and respite) for up to 100 persons living with symptomatic HIV or AIDS. In recognition of the state's fiscal crisis, implementation of the waiver will be delayed thru FY 2010-11.</i></li> <li>● <b>Accelerate the Phase-In of Higher Premiums under the Charter Oak Health Plan</b> <span style="float: right;">-750,000</span>  <i>Current law limits the state's premium subsidies under the Charter Oak Health Plan from \$50 to \$175, depending on income. In implementing the program, DSS limited the premiums for low income clients at a level that would still require the state to subsidize premiums in excess of the statutory maximums. To correct this, the department has been directed to phase-in the higher premiums with increases to be effective February 1, 2010, July 1, 2010 and January 1, 2011. Under this proposal, the phase-in will be accelerated such that the premiums that were to be in place on January 1, 2011 will instead be in place on October 1, 2010.</i></li> <li>● <b>Align HUSKY B Co-Pay Requirements to State Employee Health Plans</b> <span style="float: right;">-710,000</span>  <i>Currently, the HUSKY B program includes cost sharing requirements consistent with the co-pay requirements that were in place for state employees at the time that HUSKY B was developed. Under this proposal, HUSKY B co-pay requirements will be re-aligned to remain consistent with current and future co-pay requirements. Under federal rules, the family obligation (premiums and co-pays) cannot exceed 5% of a family's gross annual income.</i></li> <li>● <b>Suspend Funding for HUSKY Outreach</b> <span style="float: right;">-671,129</span>  <i>This program funds information and referral services related to HUSKY and the Charter Oak Health Plan, including HUSKY Infoline. This proposal, in conjunction with other reduction options, will suspend the HUSKY Outreach program. Individuals will continue to access information regarding services through other avenues, such as the DSS website, community action agencies, doctors' offices and regional agency offices.</i></li> <li>● <b>Shift Support for Independent Living Centers to Federal Funds</b> <span style="float: right;">-643,927</span>  <i>Under ARRA, the state's five independent living centers, which serve the greater Bridgeport, Waterbury, Hartford, New Haven and Norwich areas, are slated to receive \$1.12 million in federal funding over the stimulus period. This proposal, in conjunction with other reduction options, will suspend state funding to these centers in FY 2010-11 due to the availability of the ARRA funds.</i></li> <li>● <b>Suspend Funding for Parent Trust Fund under the Children's Trust Fund Account</b> <span style="float: right;">-350,000</span>  <i>This program funds small grants to private providers to educate parents in becoming involved citizens and advocates in their communities and schools. Because this program goes out to bid annually, each year there are new contracts and new clients. This proposal, in conjunction with other reduction options, will suspend funding for this initiative through FY 2010-11.</i></li> <li>● <b>Revert to Original Payment Structure for Outstationed Eligibility Workers</b> <span style="float: right;">-272,611</span>  <i>Under this proposal, DSS will revert to the original agreement to bill facilities at 66% of the cost of outstationed eligibility workers, as opposed to the department's current policy, under which facilities are billed approximately 48% of the cost of these workers.</i></li> <li>● <b>Conform Payment of SSI Attorney Fees to Allowable Federal Law</b> <span style="float: right;">-200,000</span>  <i>Currently, attorneys who represent clients appealing to the Social Security Administration (SSA) for retroactive benefits are paid from the state's General Fund. However, the SSA allows attorney fees to be deducted from an individual's successful appeal. Under this proposal, attorneys will be permitted to seek an assignment from the client's retroactive benefit received from a successful appeal, as the General Fund will no longer pay their fees.</i></li> </ul>	

Budget Summary

- Suspend New Funding for Elderly Services Transportation Initiative -175,000  
*Beginning in FY 2007-08, the legislature added \$250,000 to expand the public/private sustainable elderly transportation efforts to five communities, but DSS' request for proposal garnered no appropriate bids and no expenditures are anticipated in FY 2009-10. This proposal, in conjunction with other reduction options, will suspend funding for this initiative through FY 2010-11.*
- Suspend Funding for Legal Services for Children under the Children's Trust Fund Account -105,000  
*In addition to representing children in family court, the Children's Law Center provides information in legal matters and advocates in support of legislative policies involving children. This proposal, in conjunction with other reduction options, will suspend funding for this initiative through FY 2010-11.*
- Suspend Funding for Citizenship Training -70,000  
*The legislature provided funding for Citizenship Training beginning in FY 2007-08. This proposal, in conjunction with other reduction options, will suspend funding for this initiative through FY 2010-11.*
- Delay Implementation of the Safe Harbor Respite Program under the Children's Trust Fund Account -66,500  
*This proposal will defer DSS funding for the development of the Safe Harbor Respite program (a new program under the jurisdiction of the Department of Children and Families) until FY 2011-12. The balance of this program's funding is recommended for reduction in DCF.*
- Remove Other Expenses Funding for Mary Wade Home due to Fair Rent Provisions under Medicaid -50,000  
*Section 32 of P.A. 09-5, September special session, exempted several nursing homes with an approved Certificate of Need (CON), including Mary Wade Home, from the fair market rent restrictions placed on other nursing facilities. As such, this additional funding for Mary Wade Home, a new legislative add in DSS, is superfluous and will be removed under this proposal.*

**Reallocations or Transfers**

- Transfer Funding from Judicial for the Intensive In-home Child and Adolescent Psychiatric Services Program 1,896,800  
*This proposal transfers funding for the Intensive In-home Child and Adolescent Psychiatric Services (IICAPS) program from Judicial's Court Support Services Division to DSS' Medicaid account.*
- Transfer the Functions of the Commission on the Deaf and Hearing Impaired to DSS 644,011  
*Under this proposal, funding for five full-time staff positions and approximately 40 part-time interpreter positions will be transferred to DSS.*
- Transfer Home Health Services Funding -500,000  
*This proposal transfers home health services funding to the Department of Developmental Services to facilitate and increase access for individuals and families.*

**Technical Adjustments**

- Provide Funding to Reflect Current Expenditure and Caseload Trends 156,299,538
- Annualize Personal Services Savings -11,351,810
- Annualize Transfer for DOIT Revolving Fund Realignment -7,396,406

**Expansion Adjustments**

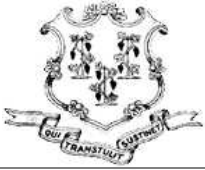
- Reverse FY 2010-11 Delay of HUSKY Capitation Payment 72,500,000  
*The enacted budget assumed one-time savings as a result of delaying the June 2011 HUSKY capitation payment to July 2011. Based on the expectation that congressional action will extend the enhanced federal Medicaid match under ARRA thru FY 2010-11, this delay will likely be in violation of ARRA provisions that require states to pay Medicaid bills within 30 days. As a result, funds are restored to ensure that the state adheres to existing ARRA rules.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,871	1,903	1,913	-102	1,811
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	115,256,476	105,606,020	121,948,904	-11,852,553	110,096,351
Other Expenses	94,533,331	97,166,192	89,398,799	-2,111,207	87,287,592
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Children's Trust Fund	0	10,852,283	13,673,147	-6,974,411	6,698,736
Children's Health Council	207,401	207,401	218,317	0	218,317
HUSKY Outreach	1,008,058	671,129	706,452	-706,452	0
Genetic Tests in Paternity Actions	104,100	191,142	201,202	-10,060	191,142
State Food Stamp Supplement	311,160	558,616	511,357	305,000	816,357
Day Care Projects	453,721	454,879	478,820	-119,705	359,115
HUSKY Program	35,437,140	34,761,200	36,463,900	584,100	37,048,000
Energy Assistance Programs	234,616	0	0	0	0
Charter Oak Health Plan	0	23,460,000	22,510,000	-2,910,000	19,600,000
Interpreters - Deaf & Hearing Impaired	0	0	0	316,944	316,944
<b>TOTAL - Other Current Expenses</b>	<b>37,756,196</b>	<b>71,156,650</b>	<b>74,763,195</b>	<b>-9,514,584</b>	<b>65,248,611</b>

Pmts to Other Than Govts

Vocational Rehabilitation	7,386,667	7,386,668	7,386,668	0	7,386,668
Medicaid	3,851,691,907	3,953,604,700	3,694,819,974	219,711,826	3,914,531,800
Lifestar Helicopter	1,179,780	1,388,190	1,388,190	0	1,388,190
Old Age Assistance	35,554,872	35,328,262	38,110,566	-3,155,000	34,955,566
Aid to the Blind	720,654	724,259	753,000	-24,000	729,000
Aid to the Disabled	58,941,606	59,949,322	62,720,424	-1,145,000	61,575,424
Temporary Assist to Families - TANF	112,605,456	121,134,597	119,158,385	11,200,000	130,358,385
Emergency Assistance	0	475	500	-25	475
Food Stamp Training Expenses	6,990	30,777	32,397	-20,397	12,000
Conn Pharmaceutical Assist to Elderly	31,464,032	31,779,645	6,813,755	2,674,945	9,488,700
Healthy Start	1,490,219	1,415,709	1,490,220	0	1,490,220
DMHAS – Disproportionate Share	105,935,000	105,935,000	105,935,000	0	105,935,000
Connecticut Home Care Program	69,105,615	67,628,400	75,724,600	-10,774,600	64,950,000
Human Resource Dev-Hispanic Pgms	1,033,266	988,347	1,040,365	0	1,040,365
Services to the Elderly	4,902,276	5,055,248	4,969,548	107,300	5,076,848
Safety Net Services	2,076,348	1,995,852	2,100,897	-525,224	1,575,673
Transportation for Employment Indep	3,256,312	3,155,532	3,321,613	-3,321,613	0
Transitionary Rental Assistance	1,134,208	1,127,346	1,186,680	-614,000	572,680
Refunds of Collections	94,432	177,792	187,150	-9,358	177,792
Services for Persons with Disabilities	761,534	660,544	695,309	-34,765	660,544
Child Care Services - TANF/CCDBG	93,118,727	93,172,455	95,915,536	-11,500,000	84,415,536
Nutrition Assistance	372,663	425,280	447,663	0	447,663
Housing/Homeless Services	40,494,764	41,873,622	47,306,657	-2,411,875	44,894,782
Employment Opportunities	977,476	1,169,810	1,231,379	-369,414	861,965
Human Resource Development	36,818	36,652	38,581	-9,645	28,936
Child Day Care	10,617,392	10,086,522	10,617,392	0	10,617,392
Independent Living Centers	637,134	418,000	665,927	-665,927	0
AIDS Drug Assistance	606,678	606,678	606,678	0	606,678
Disproportionate Share-Med Emer Asst	53,725,000	51,725,000	51,725,000	0	51,725,000
DSH-Urban Hospitals/Distressed	31,550,000	31,550,000	31,550,000	0	31,550,000
State Administered General Assistance	203,186,272	219,843,580	304,029,156	-68,694,156	235,335,000
School Readiness	4,619,696	4,619,697	4,619,697	0	4,619,697
Connecticut Children's Medical Center	11,020,000	11,020,000	11,020,000	0	11,020,000
Community Services	6,238,949	3,243,312	3,414,013	-1,098,503	2,315,510
Alzheimer Respite Care	2,106,753	2,179,669	2,294,388	-1,000,000	1,294,388
Family Grants	478,293	0	0	0	0
Human Svcs Infrastructure CAP	3,998,791	3,798,856	3,998,796	-1,199,639	2,799,157
Teen Pregnancy Prevention	1,172,452	1,451,015	1,527,384	0	1,527,384
Medicare Part D Supplemental Needs	25,264,058	5,500,000	4,330,000	-4,330,000	0
Hospital Hardship Fund	7,952,900	0	0	0	0
TOTAL - Pmts to Other Than Govts	4,787,515,990	4,882,186,813	4,703,173,488	122,790,930	4,825,964,418
<u>Pmts to Local Governments</u>					
Child Day Care	5,263,706	5,263,706	5,263,706	0	5,263,706
Human Resource Development	31,034	31,034	31,034	0	31,034
Human Resource Dev-Hispanic Pgms	5,900	5,900	5,900	0	5,900
Teen Pregnancy Prevention	288,022	870,326	870,326	0	870,326
Services to the Elderly	45,692	44,405	44,405	0	44,405
Housing/Homeless Services	709,859	686,592	686,592	0	686,592
Community Services	109,161	116,358	116,358	-29,090	87,268
TOTAL - Pmts to Local Governments	6,453,374	7,018,321	7,018,321	-29,090	6,989,231
TOTAL - General Fund	5,041,515,367	5,163,134,091	4,996,302,807	99,283,397	5,095,586,204
Other Expenses	0	475,000	500,000	-25,000	475,000
TOTAL - Insurance Fund	0	475,000	500,000	-25,000	475,000
TOTAL - ALL FUNDS	5,041,515,367	5,163,609,091	4,996,802,807	99,258,397	5,096,061,204



# STATE DEPARTMENT ON AGING

## AGENCY PURPOSE

Pursuant to Public Act 05-280, a 20-member task force was formed to study the re-establishment of a department on aging and to make recommendations on revisions to the general statutes and other changes needed to launch the new department. As a result of their findings, the task force recommended that the General Assembly analyze the service needs of the state's elderly population by

conducting a long-term care needs assessment. This assessment was completed by the University of Connecticut Health Center in June 2007. An additional study was conducted by Southern Connecticut State University.

The new State Department on Aging is scheduled to begin operations effective July 1, 2010.

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	0	4	0	4

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	0	0	334,615	0	334,615
Other Expenses	0	0	118,250	0	118,250
<u>Capital Outlay</u>					
Equipment	0	0	100	-99	1
TOTAL - General Fund	0	0	452,965	-99	452,866

# SOLDIERS, SAILORS AND MARINES FUND



## AGENCY PURPOSE

- To provide temporary financial assistance to needy wartime veterans and their families.
- To provide counseling and guidance to veterans in helping to alleviate the circumstances which create the need for assistance.
- To refer veterans to appropriate state, federal and local organizations in circumstances where long-term assistance is required.

## RECENT HIGHLIGHTS

- The Soldiers, Sailors and Marines Fund celebrated the ninetieth anniversary of its founding on May 21, 2009. The occasion was commemorated by the Connecticut General Assembly by issuance of a citation marking the milestone and expressing appreciation for the work of the agency in alleviating distress among the state's veterans and in recognition of the enduring partnership between the State of Connecticut and the American Legion in achieving this end.
- The agency participated in all demobilization briefings for returning Connecticut National Guard troops in order to ensure their awareness of the assistance available to them from the Soldiers, Sailors and Marines Fund. The agency also participated in "Stand Down" at the Veterans Home in Rocky Hill as part of its efforts to further outreach to the veteran population.
- The American Legion, by action of its Department Executive Committee, adopted a revision to the Bylaws governing the Fund, expanding the membership of the State Fund Commission to nine from seven. The amendment was intended to expand participation, increase diversity, and offer a greater variety of opinion to the Administrator.
- The Norwich Field Office of the agency was relocated from the Buckingham Building to Norwich Town Hall. The move was accomplished at no expense to the state and without disruption of service to Norwich area veterans.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

**2010-2011**

-4,139

### Reallocations or Transfers

- Transfer Funds from Other Expenses to Personal Services and Employee Fringe Benefits  
*Funding of \$14,700 is reallocated from Other Expenses to Personal Services (\$3,700) and Employee Fringe Benefits (\$11,000) to provide adequate funding in these accounts. This transfer maintains sufficient funding in Other Expenses for agency operations.*

0

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Soldiers, Sailors and Marines Fund	12	9	9	0	9
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	727,954	506,320	565,291	3,700	568,991
Other Expenses	60,424	78,649	82,799	-18,839	63,960
<u>Capital Outlay</u>					
Equipment	6,880	0	0	0	0
<u>Other Current Expenses</u>					
Award Payments to Veterans	1,968,330	1,979,800	1,979,800	0	1,979,800
Fringe Benefits	435,297	352,941	369,653	11,000	380,653
TOTAL - Other Current Expenses	2,403,627	2,332,741	2,349,453	11,000	2,360,453
TOTAL - Soldiers, Sailors and Marines Fund	3,198,885	2,917,710	2,997,543	-4,139	2,993,404





# DEPARTMENT OF EDUCATION

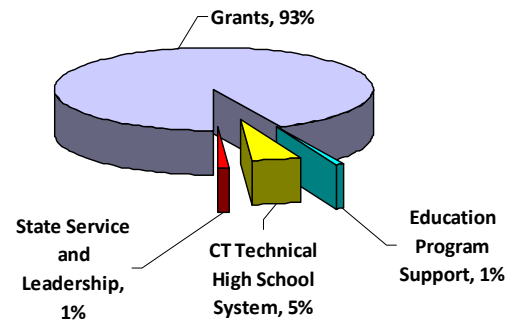
<http://www.state.ct.us/sde/>

## AGENCY PURPOSE

- Support school districts with leadership, curriculum, research, planning, evaluation, education technology data analyses and other assistance as needed.
- Distribute funds to school districts through 26 grant programs, including Education Cost Sharing (by far the largest grant to districts) at \$1.9 billion.
- Operate the 18 technical high schools, a system that serves 10,500 full-time high school and adult day students with comprehensive education and training in 39 occupational areas and 5,000 adult apprenticeship students.
- Assess the achievements of students and schools through statewide testing, strategic school profiles and reporting on the condition of education.
- Ensure the quality of teachers by testing teacher candidates, supporting and assessing beginning teachers and providing professional development opportunities.
- Work with local school districts to improve student achievement.

## MAJOR PROGRAM AREAS

(Based on FY2009 Budget)



## RECENT HIGHLIGHTS

### EARLY CHILDHOOD

Participated in the implementation of Connecticut's comprehensive readiness initiative, *Ready by Five, Fine by Nine*. Completion of several key policy documents, expansion of slots made available to 3- and 4-year-olds statewide, and the reconstitution of the membership and governance of the Early Childhood Cabinet. The newly constituted Early Childhood Cabinet will commence in January 2010. One of the new Cabinet's role will be to design an action plan in response to the Federal Head Start program.

### SECONDARY SCHOOL REFORM

The Department of Education has begun implementation of The Connecticut Plan: Academic and Personal Success of Every Middle and High School Student on a voluntary basis. The plan will be incorporated, in part, into the department's 2010 *Race to the Top* application for federal funding the summer of 2010.

### NO CHILD LEFT BEHIND: STATE ACCOUNTABILITY

Under Section 223 (e) of the CT General Statutes, the Department of Education will continue its intervention work with sixteen "partner" districts that are in their fourth and fifth years of "improvement," as defined by *No Child Left Behind*. Under the new requirements of the American Recovery and Reinvestment Act (ARRA), school accountability will undergo significant changes, principally in four districts whose schools are among the lowest 5% performing across the state. Models for intervention and improvement will now be prescribed directly for these relatively few schools.

### IMPLEMENT THE STIPULATED SHEFF AGREEMENT

In 2009, the State Department of Education, Hartford Public Schools, and CREC worked to enable 27 percent of Hartford's students to be educated in less racially-isolated settings. By 2013, the Department expects to have fully implemented the goals of the Stipulated Sheff Agreement of 80 percent of the demand for such desegregated education being met or 41 percent of Hartford's minority students being educated in a reduced racial isolation setting.

## RECOMMENDED ADJUSTMENTS

### Reductions

• Annualize FY 2009-10 Reductions	<b>2010-2011</b> -813,249
• Annualize FY 2009-10 Savings from Suspension of Licensed Practical Nurse (LPN) Program	-3,900,000
• Reduce Funding for Selected Programs	-10,926,386
<i>Reflects additional reductions beyond the annualization of FY2009-10 rescissions for the following programs: Connecticut Pre-Engineering Program, Non-Sheff related Interdistrict Cooperation funding, Primary Mental Health, Adult Education Action, Regional Education Services, Health Foods Initiative, Transportation of School Children, Health and Welfare Services for Private Pupils, Bilingual Education, and Non-Public School Transportation. It also reflects the suspension of the Early Childhood Advisory Cabinet and the Best Practices Program.</i>	

• Suspend Funding for Selected Programs	-2,824,269
<i>Reflects the suspension of funding for the following programs: the new Early Childhood Office that is duplicative of an existing bureau, special Magnet School subsidies for Wintergreen and Edison Magnet Schools, the non-formula ECS increase for Stamford, the non-formula increase for Trailblazers Charter School in Stamford and the funding for Community Plans for Early Childhood.</i>	
<b>Reallocations or Transfers</b>	
• Realign Funding to Reflect Correct Accounts	0
<i>This would breakout the three components (Institutional Student Aid, Child Nutrition State Match and Health Foods Initiative) that comprise the Omnibus Education Grants into three separate SIDs for greater financial transparency.</i>	
<b>Technical Adjustments</b>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-239,184
• Adjust Other Expenses	499,731
<i>This would provide funding to safeguard and maintain the J. M. Wright Technical High School building; provide funding to support the cost of bringing one technical high school into compliance with Connecticut's air standards regulations; provide funding for air filters and maintenance on those systems to ensure the health and safety of students and teachers; and to provide funding for litigation related costs.</i>	
• Annualize Personal Services Savings	-4,882,064
<i>This reflects the annualization of retirement and management savings as well as a positive correction related to education personnel costs.</i>	

### AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,837	1,787	1,787	-121	1,666
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	145,072,132	135,194,300	151,482,064	-9,182,064	142,300,000
Other Expenses	18,161,445	16,582,162	16,689,076	387,045	17,076,121
<u>Capital Outlay</u>					
Equipment	101,956	95	100	-99	1
<u>Other Current Expenses</u>					
Institutes for Educators	129,118	0	0	0	0
Basic Skills Exam Teachers in Training	1,300,767	1,239,559	1,239,559	0	1,239,559
Teachers' Standards Implementation Pgm	3,046,129	0	2,896,508	0	2,896,508
Early Childhood Program	4,984,139	5,007,354	5,007,354	0	5,007,354
Admin - Early Reading Success	249,203	0	0	0	0
Admin - Magnet Schools	310,609	0	0	0	0
Admin - Adult Basic Education	1,029,080	0	0	0	0
Develop of Mastery Exams Grades 4,6&8	16,424,710	17,533,629	18,786,664	0	18,786,664
Admin - Interdistrict Cooperative Program	133,874	0	0	0	0
Primary Mental Health	459,679	475,275	507,294	-122,258	385,036
Admin - Youth Service Bureaus	8,000	0	0	0	0
Adult Education Action	228,659	240,687	253,355	-73,727	179,628
Vocational Technical School Textbooks	664,082	475,000	500,000	0	500,000
Repair of Instructional Equipment	202,825	232,386	232,386	0	232,386
Minor Repairs to Plant	359,674	370,702	370,702	0	370,702
Connecticut Pre-Engineering Program	380,000	332,500	350,000	-87,500	262,500
Connecticut Writing Project	57,000	47,500	50,000	0	50,000
Resource Equity Assessment	327,191	283,654	283,654	0	283,654
Readers as Leaders	61,750	57,000	60,000	0	60,000
Early Childhood Advisory Cabinet	849,888	71,250	75,000	-75,000	0
Best Practices	475,000	451,250	475,000	-475,000	0
Longitudinal Data Systems	1,249,265	1,579,982	775,000	-126,498	648,502
Para Professional Development	106,723	0	0	0	0
School Readiness Staff Bonuses	142,500	0	0	0	0
School Accountability	1,728,579	1,803,284	1,855,062	-51,778	1,803,284
Preschool Quality Rating System	1,644,102	0	0	0	0
Connecticut Science Center	475,000	0	0	0	0
Reach Out and Read	142,500	0	0	0	0
Sheff Settlement	4,250,476	12,779,510	26,662,844	0	26,662,844

## Budget Summary

Admin - After School Program	150,000	0	0	0	0
Community Plans for Early Childhood	0	427,500	450,000	-450,000	0
Improving Early Literacy	0	142,500	150,000	0	150,000
<b>TOTAL - Other Current Expenses</b>	<b>41,570,522</b>	<b>43,550,522</b>	<b>60,980,382</b>	<b>-1,461,761</b>	<b>59,518,621</b>
<u><i>Pmts to Other Than Govts</i></u>					
American School for the Deaf	9,979,202	9,480,242	9,979,202	-498,960	9,480,242
RESC Leases	760,000	0	0	0	0
Regional Education Services	1,730,000	1,720,254	1,843,181	-458,568	1,384,613
Omnibus Education Grants State Support	6,699,610	6,748,146	6,748,146	-6,748,146	0
Head Start Services	2,610,742	2,610,742	2,748,150	0	2,748,150
Head Start Enhancement	1,684,348	1,684,350	1,773,000	0	1,773,000
Family Resource Centers	6,041,488	5,739,414	6,041,488	0	6,041,488
Charter Schools	41,654,700	48,152,000	53,117,200	-70,000	53,047,200
CT Public Television	142,500	0	0	0	0
Youth Service Bureau Enhancement	618,300	625,000	625,000	0	625,000
Head Start - Early Childhood Link	2,090,000	2,090,000	2,200,000	-110,000	2,090,000
After School Enhancements	142,500	0	0	0	0
Institutional Student Aid	0	0	0	882,000	882,000
Child Nutrition State Match	0	0	0	2,354,000	2,354,000
Health Foods Initiative	0	0	0	2,634,110	2,634,110
<b>TOTAL - Pmts to Other Than Govts</b>	<b>74,153,390</b>	<b>78,850,148</b>	<b>85,075,367</b>	<b>-2,015,564</b>	<b>83,059,803</b>
<u><i>Pmts to Local Governments</i></u>					
Vocational Agriculture	4,560,565	4,560,565	4,560,565	0	4,560,565
Transportation of School Children	47,974,255	47,964,000	47,964,000	-4,796,400	43,167,600
Adult Education	19,566,580	20,594,371	20,594,371	0	20,594,371
Health Serv for Pupils Private Schools	4,775,000	4,775,000	4,775,000	-477,500	4,297,500
Education Equalization Grants	1,882,944,341	1,889,609,057	1,889,609,057	-426,769	1,889,182,288
Bilingual Education	2,117,319	2,129,033	2,129,033	-212,903	1,916,130
Priority School Districts	114,416,585	117,237,188	117,237,188	0	117,237,188
Young Parents Program	229,330	229,330	229,330	0	229,330
Interdistrict Cooperation	14,419,095	14,127,369	14,127,369	-3,000,000	11,127,369
School Breakfast Program	1,582,832	1,634,103	1,634,103	0	1,634,103
Excess Cost - Student Based	140,044,731	120,491,451	120,491,451	0	120,491,451
Non-Public School Transportation	3,995,000	3,995,000	3,995,000	-399,500	3,595,500
School to Work Opportunities	213,750	213,750	213,750	0	213,750
Youth Service Bureaus	2,885,706	2,946,418	2,947,268	0	2,947,268
OPEN Choice Program	14,572,415	14,465,002	14,465,002	0	14,465,002
Early Reading Success	2,050,000	0	0	0	0
Magnet Schools	128,612,642	148,107,702	174,631,395	-1,500,000	173,131,395
After School Program	5,280,000	5,000,000	5,000,000	0	5,000,000
Young Adult Learners	500,000	0	0	0	0
School Safety	1,800,000	0	0	0	0
<b>TOTAL - Pmts to Local Governments</b>	<b>2,392,540,146</b>	<b>2,398,079,339</b>	<b>2,424,603,882</b>	<b>-10,813,072</b>	<b>2,413,790,810</b>
<b>TOTAL - General Fund</b>	<b>2,671,599,591</b>	<b>2,672,256,566</b>	<b>2,738,830,871</b>	<b>-23,085,515</b>	<b>2,715,745,356</b>



# BOARD OF EDUCATION AND SERVICES FOR THE BLIND

<http://www.ct.gov/besb>

## AGENCY PURPOSE

- Provision of statewide comprehensive, community-based rehabilitative services to adults who are legally blind or deaf-blind, and children who are visually impaired, legally blind or deaf-blind to maximize inclusion and participation in education, employment and community activities.
- Public education, training and advocacy on matters pertaining to blindness.
- Delivery of specialized programs and services that enable agency constituents to achieve greater access to public information, public safety, job seeking and news media in accessible formats.
- Lending library of educational textbooks and materials in Braille and large-print for school districts to utilize.

## RECENT HIGHLIGHTS

- For FY 2009, the registry for the agency grew to 12,166 clients. A total of 821 newly blind individuals were added to the registry, 572 of whom, or 70 percent, were age 65 or older. Of that total number of new clients, 106 were children, bringing the total number of children on the registry to 1,071.
- In total, nearly 27,000 hours of rehabilitative services were provided to consumers of the agency in FY 2009, reflecting an increase of more than 10% over FY 2008.
- In FY 2009, the agency provided over 3,700 hours of direct Orientation and Mobility services to teach safe travel techniques to children and adults, an increase of over 23 percent from the prior year.
- Purchased 339 Braille books and 746 large print books for school children and loaned an additional 98 Braille and 312 large print books through the agency library to students to enable them to fully participate in classroom learning. The agency also added over 3,000 volumes to its lending library during the year. There are now just under 50,000 catalogued volumes in one central location available at no cost to school districts and Connecticut's students who are blind or visually impaired.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Lower CRIS Radio Subsidy

*The Connecticut Radio Information System (CRIS) is a private non-profit entity which provides radio reading services.*

- Annualize FY 2009-10 Reductions

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize FY 2009-10 Reductions

**2010-2011**

-4,382

-100,000

-19,145

-142,564

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	96	96	96	-1	95
<u>Financial Summary</u>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	4,217,263	4,095,721	4,356,971	-242,564	4,114,407
Other Expenses	657,058	764,831	816,317	-11,246	805,071
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Educ Aid Blind/Visually Handicap Child	5,512,229	4,634,348	4,641,842	-7,899	4,633,943
Enhanced Employment Opportunities	605,460	673,000	673,000	0	673,000
TOTAL - Other Current Expenses	6,117,689	5,307,348	5,314,842	-7,899	5,306,943
<u>Pmts to Other Than Govts</u>					
Supplementary Relief and Services	103,401	103,925	103,925	0	103,925
Vocational Rehabilitation	989,454	890,454	890,454	0	890,454
Special Training for the Deaf Blind	285,879	298,585	298,585	0	298,585
Connecticut Radio Information Service	92,253	87,640	87,640	-4,382	83,258
TOTAL - Pmts to Other Than Govts	1,470,987	1,380,604	1,380,604	-4,382	1,376,222
TOTAL - General Fund	12,462,997	11,548,599	11,868,834	-266,190	11,602,644



# COMMISSION ON THE DEAF & HEARING IMPAIRED

<http://www.ct.gov/cdhi>

## AGENCY PURPOSE

The purpose of the Commission on the Deaf and Hearing Impaired includes advocating, strengthening and implementing state policies affecting deaf and hard of hearing individuals.

## RECENT HIGHLIGHTS

- 5% increase in interpreter services.
- Increased number of courtroom interpreters.
- Increase collaborative efforts to expand available services to the community.
- The majority of interpreting services provided access to service rendered by other state agencies. Twenty five percent of the requests were from private/non-profit agencies.
- In FY 2009, the agency provided interpreting services for:
  - 4,223 educational situations,
  - 1,779 advocacy needs,
  - 1,436 employment issues,
  - 1,302 legal situations,
  - 1,143 mental health issues and
  - 917 medical needs.

***The Commission on the Deaf and Hearing Impaired is recommended for consolidation with the Department of Social Services in the Governor's Budget as part of her proposal to reform state government.***

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Government Reform	-904,012
<i>Consolidate the Commission on the Deaf and Hearing Impaired with the Department of Social Services.</i>	
<b>Technical Adjustments</b>	
• Annualize Transfer for DOIT Revolving Fund Realignment	-34,389
• Annualize Personal Services Savings	-155,221

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	8	8	-8	0
<hr/>					
<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	558,857	497,090	617,089	-617,089	0
Other Expenses	165,360	126,961	159,588	-159,588	0
<u>Capital Outlay</u>					
Equipment	0	95	100	-100	0
<u>Other Current Expenses</u>					
Part-Time Interpreters	349,804	316,944	316,944	-316,944	0
TOTAL - General Fund	1,074,021	941,090	1,093,721	-1,093,721	0



# CONNECTICUT STATE LIBRARY

*Preserving the Past, Informing the Future*

<http://www.cslib.org/>

## AGENCY PURPOSE

- Provide high-quality library and information services to state government and the citizens of Connecticut.
- Work cooperatively with related agencies and constituent organizations in providing those services.
- Preserve and make accessible the records of Connecticut's history and heritage.
- Promote the development and growth of high-quality information services on an equitable basis statewide.
- Provide leadership and cooperative opportunities to the library, educational and historical communities in order to enhance the value of individual and collective service missions.

## RECENT HIGHLIGHTS

### RETIREMENTS AND REORGANIZATION

With nearly 19% of the State Library's professional staff retiring in 2009, the agency responded by restructuring its operations. The State Library is also moving forward with plans to make more materials available on line and is enhancing its web presence.

### ARCHIVES & PUBLIC RECORDS

Grants in the amount of \$1,595,327 were awarded to 151 municipalities supporting improvements in the preservation and management of historic documents across the state. The Works Progress Administration (WPA) Art Inventory Project scanned the 1,300 black and white photographs of art produced under Connecticut's Federal Art Project; finished compiling biographical files of the approximately 170 artists hired by the WPA; and completed and posted short biographies of the 170 artists on the State Library's web site at [www.wpa.cslib.org](http://www.wpa.cslib.org).

### CONSERVATION CONNECTION

Received a \$40,000 grant from the Institute of Museum and Library Services for a statewide planning process to identify the conservation needs of nearly 900 collecting institutions in Connecticut. A statewide survey has been completed, a website (<http://conservationct.org>) designed and partnerships developed.

### ACCESS SERVICES

Digitized materials, including survey forms and photos, from the Census of Old Buildings in Connecticut are being made available

town by town through the Library's digital archive (<http://cslib.cdmhost.com/custom/wpaarchsurv.php>).

### LIBRARY DEVELOPMENT

(<http://ctwebjunction.org>)

- **iCONN** - [www.iconn.org](http://www.iconn.org) - searches 32 databases, including reQuest, the statewide library catalog, simultaneously. Residents and students viewed a full text article or other resource 9,594,885 times, a 7% increase over the previous year.
- **Downloadable Audios** - The State Library implemented a new statewide downloadable audio books service made possible by a PEGPETIA grant from the Department of Public Utility Control. The audio books collection is offered through iCONN and includes over 1,300 digital audio book titles. Titles can be downloaded from <http://connstatelib.mylibraryaudio.com/> to PC or Macs using a free plugin. From a computer, the user may transfer titles to iPods and certain MP3 players for limited times.
- **Connecticard** - The Connecticard program allows Connecticut citizens to use any library in the state with their hometown library card. Use of this popular service increases every year with a record 4.6 million items borrowed in the last year.
- **Public Library Construction** - The State Library Board awarded and the State Bond Commission approved \$8,883,615 in state bonds for 16 public library construction projects.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Annualize FY 2009-10 Reductions

### Technical Adjustments

- Annualize Personal Services Savings

### 2010-2011

-57,000

-1,215,725

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	72	72	72	-11	61
<hr/>					
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	6,031,677	5,235,205	6,369,643	-1,215,725	5,153,918
Other Expenses	794,787	807,045	817,111	0	817,111
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
State-Wide Digital Library	1,959,671	1,870,354	1,973,516	0	1,973,516
Interlibrary Loan Delivery Service	244,043	266,434	266,434	0	266,434
Legal/Legislative Library Materials	1,140,000	1,083,000	1,140,000	-57,000	1,083,000
State-Wide Data Base Program	663,188	640,961	674,696	0	674,696
Arts Inventory	28,776	0	0	0	0
Info Anytime	142,500	40,375	42,500	0	42,500
Computer Access	190,000	180,500	190,000	0	190,000
TOTAL - Other Current Expenses	4,368,178	4,081,624	4,287,146	-57,000	4,230,146
<u>Pmts to Other Than Govts</u>					
Support Cooperating Library Serv Units	332,500	350,000	350,000	0	350,000
<u>Pmts to Local Governments</u>					
Grants to Public Libraries	347,109	347,109	347,109	0	347,109
Connecticard Payments	1,226,028	1,226,028	1,226,028	0	1,226,028
TOTAL - Pmts to Local Governments	1,573,137	1,573,137	1,573,137	0	1,573,137
TOTAL - General Fund	13,100,279	12,047,106	13,397,137	-1,272,824	12,124,313



# DEPARTMENT OF HIGHER EDUCATION

<http://www.ctdhe.org>

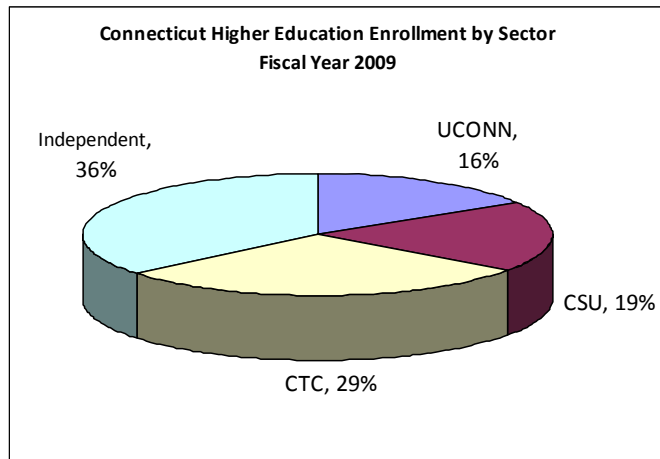
## AGENCY PURPOSE

The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into four constituent units: the University of Connecticut, its five regional branch campuses and health center; the Connecticut State University System consisting of four regional state universities; the Connecticut Community-Technical College System consisting of twelve community colleges; and Charter Oak State College, the state's only external degree-granting institution. A separate board of trustees governs each of these four constituent units and each unit receives its own appropriation. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.

The Department of Higher Education, working with the Board of Governors for Higher Education, serves as the state policy-making and coordinating authority for higher education.

In conjunction with the Board of Governors, the department assists state policy makers to define higher education priorities, improve coordination and accountability, plan effectively for the delivery of

needed program, reduce unnecessary duplication, and preserve and enhance institutional quality.

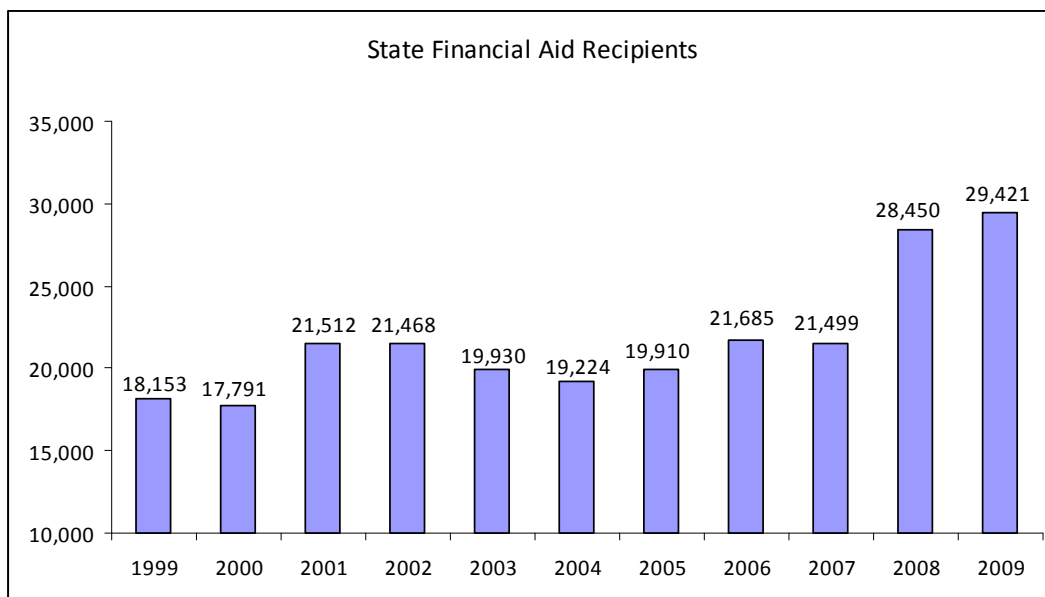


## RECENT HIGHLIGHTS

- In the fall of 2009, 191,134 students were enrolled in Connecticut's public and independent institutions of higher education. This total is the highest ever and the twelfth consecutive year of growth. At the same time, 38,047 degrees were conferred by Connecticut's colleges and universities. Over the last decade, enrollment has increased 21 percent and degrees have increased 23 percent, which means more students are not only starting but completing their educations than ever before.
- Today's higher education system is clearly a more accessible environment for today's students. Seventy-eight percent of

Connecticut's 38,419 public high school graduates in 2008 have chosen to pursue higher education at 2- and 4-year institutions and, of those, nearly 59 percent stayed in Connecticut where the college experience is more attractive than ever.

- As a result of the \$22 million increase to state-supported student financial aid, the number of award recipients increased by 37 percent from 2007 to 2009. Over the ten year period ending in 2009, funding nearly doubled, recipients increased by 62 percent and the average award grew 18 percent from \$1,815 to \$2,148.





## RECOMMENDED ADJUSTMENTS

**Reductions**

• Reduce Minority Advancement Program Funding	<b>2010-2011</b>
• Suspend Funding for Selected Programs	-491,423
	-1,712,500

*Reflects the suspension of funding for the following programs: CommPACT schools, Americorps, and the Kirklyn M. Kerr grant program.*

**Reallocations or Transfers**

• Transfer Connecticut Independent College Student Grant funds to Opportunities in Veterinary Medicine	0
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**Technical Adjustments**

• Annualize Transfer for DOIT Revolving Fund Realignment	-83
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## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	30	30	-2	28

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	2,962,004	2,177,585	2,384,731	0	2,384,731
Other Expenses	132,654	233,960	167,022	-83	166,939
<u>Capital Outlay</u>					
Equipment	0	48	50	-49	1
<u>Other Current Expenses</u>					
Minority Advancement Program	2,381,342	2,405,666	2,405,666	-491,423	1,914,243
Alternate Route to Certification	137,464	139,106	100,000	0	100,000
National Service Act	292,421	312,217	328,365	0	328,365
International Initiatives	65,204	63,175	66,500	0	66,500
Minority Teacher Incentive Program	447,254	447,805	471,374	0	471,374
Education and Health Initiatives	522,500	496,375	522,500	0	522,500
CommPACT Schools	712,500	676,875	712,500	-712,500	0
Americorps	0	175,000	500,000	-500,000	0
TOTAL - Other Current Expenses	4,558,685	4,716,219	5,106,905	-1,703,923	3,402,982
<u>Pmts to Other Than Govts</u>					
Capitol Scholarship Program	8,743,529	8,902,779	8,902,779	0	8,902,779
Awards Children Deceased/Disabled Vets	800	4,000	4,000	0	4,000
CT Independent College Student Grant	23,396,519	23,413,860	23,913,860	-500,000	23,413,860
CT Aid for Public College Students	30,208,469	30,208,469	30,208,469	0	30,208,469
New England Board of Higher Education	183,750	183,750	183,750	0	183,750
Connecticut Aid to Charter Oak	59,393	59,393	59,393	0	59,393
Kirklyn M. Kerr Grant Program	0	475,000	0	0	0
Washington Center	0	1,187	1,250	0	1,250
ECE - Collaboration with Higher Ed	180,309	0	0	0	0
TOTAL - Pmts to Other Than Govts	62,772,769	63,248,438	63,273,501	-500,000	62,773,501
TOTAL - General Fund	70,426,112	70,376,250	70,932,209	-2,204,055	68,728,154



# UNIVERSITY OF CONNECTICUT

<http://www.uconn.edu>

## AGENCY PURPOSE

- Founded in 1881, the University of Connecticut serves as the flagship for public higher education and the primary doctoral degree granting public institution in the state, and is dedicated to excellence demonstrated through national and international recognition.
- Through freedom of academic inquiry and expression, creates and disseminates knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach.
- Through a focus on teaching and learning, helps every student grow intellectually and become a contributing member of the state, national, and world communities.
- Through research, teaching, service, and outreach, embraces diversity and cultivates leadership, integrity, and engaged citizenship in the University's students, faculty, staff, and alumni.
- As the state's flagship public university, and as a land and sea grant institution, promotes the health and well being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.

## RECENT HIGHLIGHTS

### RANKING

For the eleventh consecutive year, the University of Connecticut was named the top public university in New England in [U.S. News & World Report: America's Best Colleges](#). The 2009 report ranked UConn 26<sup>th</sup> among 164 public American universities with national reputations.

### FRESHMEN ENROLLMENT

In Fall 2009, 29,517 students were enrolled in degree credit programs at the Storrs campus, regional campuses (Avery Point, Stamford, Torrington, West Hartford, and Waterbury), School of Law and Graduate Business Learning Center in Hartford, School of Social Work in West Hartford, and Schools of Medicine and Dental Medicine and graduate programs at the Health Center in Farmington. The enrollment represents the largest number of students ever at the University.

Freshmen applications to UConn have risen dramatically, from 10,809 for Fall 1995 to 23,289 for Fall 2009. Almost 4,400 new freshmen and over 1,000 new transfers joined the UConn community in Fall 2009. At all of UConn's campuses, 75 percent of the new freshmen were Connecticut residents, and 24 percent were from minority groups.

### STUDENT RETENTION

Student retention continued to be remarkably high, with 92% of all freshmen and 94% of minority freshman at Storrs remaining for their sophomore year. Six-year graduation rates at Storrs ranked

UConn 21<sup>st</sup> among 58 public research universities for all freshmen (with a rate of 76%) and 22<sup>nd</sup> out of 58 for minority freshmen (a rate of 70%). Latest (Fall 2009) Storrs rates are even higher (78% and 72%).

### DEGREES CONFERRED

More than 6,970 degrees were conferred in FY 2009 for completions of undergraduate, graduate, and professional programs at the Storrs, regional and Health Center campuses. The 4,610 bachelor's degrees were the highest numbers of baccalaureate degrees awarded in any year of the University's history. Since its founding in 1881, the University has conferred more than 242,430 degrees.

### RESEARCH GRANTS

UConn research and training grants exceeded \$210 million in FY 2009 from federal agencies. The Storrs campus has approximately 70 active centers and institutes involved in research and graduate education. A small sampling of the centers includes the following: Biotechnology/Bioservices Center, Booth Engineering Center for Advanced Technology, Center for Environmental Sciences and Engineering, Center for Health, Intervention and Prevention, Center for Public Health and Health Policy, Center for Regenerative Biology, Center for Survey Research and Analysis, Connecticut Sea Grant College Program, Institute of Materials Science, Marine Sciences and Technology Center, National Undersea Research Center, and Roper Center for Public Opinion Research.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**  
-2,653,991

AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2,816	2,993	2,993	-8	2,985

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Operating Expenses	222,210,139	220,511,958	222,447,810	-2,653,991	219,793,819
Tuition Freeze	4,741,885	4,741,885	4,741,885	0	4,741,885
Regional Campus Enhancement	7,005,704	8,002,420	8,375,559	0	8,375,559
Veterinary Diagnostic Laboratory	100,000	100,000	100,000	0	100,000
TOTAL - Other Current Expenses	234,057,728	233,356,263	235,665,254	-2,653,991	233,011,263
TOTAL - General Fund	234,057,728	233,356,263	235,665,254	-2,653,991	233,011,263

# UNIVERSITY OF CONNECTICUT HEALTH CENTER



## AGENCY PURPOSE

- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To deliver health care and wellness services to underserved citizens.
- To further Connecticut's economic development by transferring its research discoveries into new technologies, products and jobs.

## RECENT HIGHLIGHTS

- The Health Center used a \$3.8 million philanthropic gift to create an integrated imaging and treatment suite that enhances patient care, particularly in cancer and cardiology.
- A new patient navigator program is now available for patients with cancer, and their families, at the Health Center. The navigator helps connect patients and families with resources they need – from help finding support groups to medical appointments – throughout their cancer experience.
- The UConn chapter of the American Medical Student Association (AMSA) was awarded a prestigious 2009 Paul R. Wright Award for Excellence in Medical Education. It is the first time UConn medical students have received this award, which was presented at the AMSA's annual convention. The award recognizes a medical school, chosen by the nations' medical students, whose exemplary achievements in medical education foster the development of socially responsive physicians.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Correct Authorized Position Limit **2010-2011**  
*Updates the authorized position count to reflect General Fund positions only.*
- Annualize Personal Services Savings -3,612,716

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	933	5,008	5,077	-3,782	1,295

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	127,706,498	117,402,790	120,841,356	-3,612,716	117,228,640
AHEC for Bridgeport	505,707	505,707	505,707	0	505,707
TOTAL - Other Current Expenses	<u>128,212,205</u>	<u>117,908,497</u>	<u>121,347,063</u>	<u>-3,612,716</u>	<u>117,734,347</u>
TOTAL - General Fund	128,212,205	117,908,497	121,347,063	-3,612,716	117,734,347



# CHARTER OAK STATE COLLEGE

## AGENCY PURPOSE

### CHARTER OAK STATE COLLEGE

- Extend access to higher education to adults through its certificate, associate and baccalaureate degree programs which incorporate transfer credit, Charter Oak distance learning courses, testing, and other methods of competency validation.
- Encourage innovation in higher education to meet the needs of adult learners.
- Web Site: [www.charteroak.edu](http://www.charteroak.edu)

### CONNECTICUT DISTANCE LEARNING CONSORTIUM

- Promote eLearning through partnerships with educational institutions as well as state and non-profit agencies.
- Provide online educational services including technology hosting, instructional design, custom web application development, collaborative learner services, and a single point of presence for eLearning offered by Connecticut providers.
- Web site: [www.ctdlc.org](http://www.ctdlc.org)

## RECENT HIGHLIGHTS

- Received legislative approval to offer Master's degrees. The College is developing a joint program in Communication and Organizational Effectiveness with Western Connecticut State University to submit to DHE for approval.
- Increased enrollment in its distance learning courses by 18% from FY 2008 to FY 2009.
- College's Board approved a new veteran's benefit for Connecticut veterans matriculated at the college effective January 1, 2010.
- Maintained 90+% student retention rate.
- Approved as a Navy College Program Distance Learning Partner. In addition, the College was recognized as one of America's top military friendly colleges by Military Advanced Education.
- The CTDLC concluded its seventh year of directing the CT Adult Virtual High School in June 2009. Funded by a \$325,000 grant

- from the State Department of Education Bureau of Adult Education, the program provided 30 online courses to 2,000 students through the Connecticut Adult Credit Diploma program an Online GED study course, and an Online Writing Lab.
- In spring 2009, the Consortium's unique collaborative eTutoring programs had 57 institutional participants from 9 states. It provided more than 9,000 synchronous and asynchronous tutoring sessions to more than 3,800 students.
- The CTDLC launched a website and portal for Developing Today's Professionals, a highly successful SDE project directed at increasing graduation and college entrance rates of African-American males. The site enhances communication between the DTP staff, school coordinators, and the young men.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-80,251

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	31	31	31	0	31

<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<i>Other Current Expenses</i>					
Operating Expenses	2,064,735	2,169,321	2,237,098	-80,251	2,156,847
Distance Learning Consortium	648,058	682,547	690,786	0	690,786
TOTAL - Other Current Expenses	2,712,793	2,851,868	2,927,884	-80,251	2,847,633
TOTAL - General Fund	2,712,793	2,851,868	2,927,884	-80,251	2,847,633



# TEACHERS' RETIREMENT BOARD

<http://www.ct.gov/trb>

## AGENCY PURPOSE

Administration of the retirement system is delegated by the 12 member Teachers' Retirement Board (TRB) to the Administrator.

The staff is responsible for determining eligibility of all funds received from various sources such as, but limited; to the Boards of Education, the members' financial companies or the member. Acceptance of all funds must be consistent with the statutes governing this system and the IRS code governing 401(a) plans. Upon receipt of applications for various benefits such as retirement, disability, survivorship and health insurance benefits for participating members and their beneficiaries, the staff is responsible for determining eligibility and computing and initiating the benefit on

behalf of the member, spouse, dependent or beneficiaries. The staff determines eligibility and computes the cost to members purchasing additional service credits and maintains an installment payment program for the purchase of service credits. The staff also coordinates the medical records and agenda for the Medical Review Committee who provides the Board with recommendations on disability allowance eligibility.

The TRB sponsors a retiree Medicare supplemental health insurance program funded through payroll deductions, and state funds for eligible retired members, spouses, civil union partners or eligible dependents.

## RECENT HIGHLIGHTS

During FY2009, the agency processed 1,555 retirements. As of June 30, 2009, there were 53,961 active members, 10,281 inactive members, 1,140 deferred vested members, and 30,142 retired

members and beneficiaries. The annual average benefit for retirees and beneficiaries is \$47,925. The Teachers' Retirement System has assets of approximately \$11.4 billion.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

**2010-2011**

-13,648

-300,600

## AGENCY SUMMARY

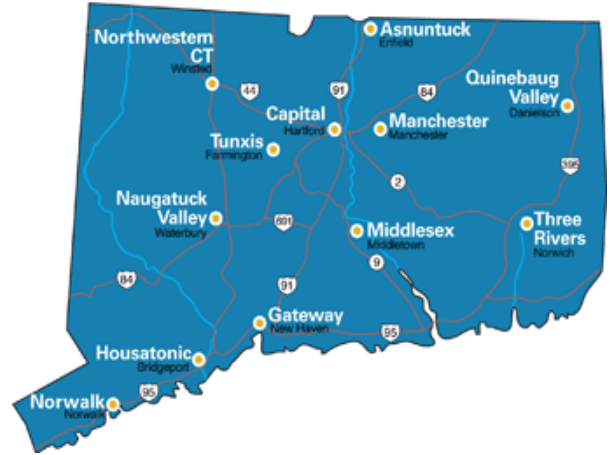
<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	30	30	-3	27
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,832,131	1,727,588	1,968,345	-300,600	1,667,745
Other Expenses	493,474	763,373	776,322	-13,648	762,674
<u>Capital Outlay</u>					
Equipment	682	95	100	-99	1
<u>Pmts to Other Than Govts</u>					
Retirement Contributions	539,302,674	559,224,245	581,593,215	0	581,593,215
Retirees Health Service Cost	14,548,169	0	0	0	0
Municipal Retiree Health Insurance Costs	7,885,215	0	0	0	0
TOTAL - Pmts to Other Than Govts	561,736,058	559,224,245	581,593,215	0	581,593,215
TOTAL - General Fund	564,062,345	561,715,301	584,337,982	-314,347	584,023,635



# REGIONAL COMMUNITY - TECHNICAL COLLEGES

## AGENCY PURPOSE

- The Connecticut Community Colleges offer two-year associate degrees, short-term certificate programs, skill-building and personal interest courses in over 100 career-related areas.
- The twelve community colleges and their outreach programs serve nearly 52 percent of the undergraduates in Connecticut public higher education with nearly 55,112 students enrolled in credit courses in the fall of 2009.
- The community colleges provide associate degrees and certificate programs in a wide array of liberal arts, occupational, vocational, technical programs in over 100 career-related areas.
- The community colleges also provide programs of general study including remediation, general and adult education and continuing education.



## RECENT HIGHLIGHTS

More than two-thirds of the African American and Hispanic undergraduates enrolled at public institutions of higher education are enrolled at the Community Colleges.

During 2008, the colleges reported more than 65,600 registrations for noncredit courses largely related to job preparation, career advancement, or retraining. In many cases, these courses are sponsored by the state's businesses and industries to enhance employee skills.

Twenty-seven percent of credit enrollments in fall 2008 were students over the age of 30, illustrating the system's significant role in preparing a skilled workforce to support the state's economic development. Many of the colleges' programs are specifically developed for the state's businesses and industries, state agencies and non-profit organizations.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-1,570,735

## AGENCY SUMMARY

	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	2,164	1,927	2,078	-20	2,058
<b>Financial Summary</b>					
	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	156,058,748	156,051,336	157,388,071	-1,570,735	155,817,336
Tuition Freeze	2,160,925	2,160,925	2,160,925	0	2,160,925
Manufacturing Tech Pgm - Asnuntuck	327,750	345,000	345,000	0	345,000
Expand Manufacturing Technology Program	190,000	200,000	200,000	0	200,000
<b>TOTAL - Other Current Expenses</b>	<b>158,737,423</b>	<b>158,757,261</b>	<b>160,093,996</b>	<b>-1,570,735</b>	<b>158,523,261</b>
<b>TOTAL - General Fund</b>	<b>158,737,423</b>	<b>158,757,261</b>	<b>160,093,996</b>	<b>-1,570,735</b>	<b>158,523,261</b>



# CONNECTICUT STATE UNIVERSITY SYSTEM

*Central, Eastern, Southern & Western Connecticut State Universities*

[www.ctstateu.edu](http://www.ctstateu.edu)

## AGENCY PURPOSE

The four comprehensive universities of the Connecticut State University System (CSUS) provide affordable, accessible and high-quality learning opportunities, offering baccalaureate, graduate and professional programs in more than 160 subject areas.

CSUS is making a difference everywhere in Connecticut as the state's largest university system, with more than 36,000 students and 180,000 alumni. Central Connecticut State University (CCSU), Eastern Connecticut State University (ECSU), Southern Connecticut State University (SCSU) and Western Connecticut State University (WCSU) provide affordable, accessible and transformative higher education, cognizant of Connecticut's workforce areas of high demand.

Important facts about CSUS include:

- 93% of CSUS students are Connecticut residents.
- CSUS enrolls the largest share (40%) of all Connecticut residents who are pursuing a bachelor's or graduate degree at all colleges, both public and private, in the state.
- 86% of CSUS graduates live and work in Connecticut after completing their degrees.
- 49% of students are first generation in their families to attend college.
- 50% of transfer students have attended a CT Community College.

## RECENT HIGHLIGHTS

### ADMISSIONS, ENROLLMENT AND RETENTION

Full-time undergraduate and graduate enrollments are both at the highest level ever, and total enrollment, including full-time and part-time students, increased from 35,891 to 36,503.

Applications for admission at the CSUS universities were up 11 percent for the current academic year, after increasing 18 percent over the previous four years. Applications are up 20 percent since 2004.

Reflecting a strong commitment to improve the ease of transfer from Connecticut's Community Colleges to CSUS institutions, a new Dual Admission program was developed that includes all 12 Connecticut Community Colleges and all four CSUS universities. It includes a joint advising component, to work with students who plan on transferring to a CSUS university after earning their associate's degree.

Slightly more than 75 percent of full time students received some form of financial aid, and 71 percent of all financial aid awarded was need-based. Nearly 90 percent of all non-loan institutional aid awarded was need-based.

### NEW PROGRAMS – MEETING NEW NEEDS

The Board of Governors for Higher Education licensed a program in Creative Writing leading to the Master of Fine Arts (MFA) degree at Southern, in Mathematics Education Leadership leading to a Sixth-Year Certificate at Central, in Civil Engineering leading to the Bachelor of Science (B.S.) degree at Central, and in Journalism leading to the Bachelor of Arts (B.A.) degree at Central.

The Board of Governors also accredited a program in Mechanical Engineering leading to the Bachelor of Science degree and a Master of Arts in Teaching (MAT) program leading to Certification in Mathematics, Science, Spanish, English, and Technology Education, both at Central.

Southern's first group of students to enter the university's new Accelerated Career Entry (ACE) program in nursing graduated and the second, larger, class began their studies this year. The intensive 12-month program, for individuals already possessing a bachelor's degree, requires 900 hours of clinical experience as part of the academic program.

Western began offering a Master of Arts in Teaching (MAT) that provides non-traditional students with both a master's degree and a teaching certification in one program. The MAT is for candidates already holding a bachelor's degree, and is a 42 credit program that can be completed in 15 months, helping to alleviate the state's need for teachers in biology, math or Spanish in elementary schools.

Southern launched a 12-credit graduate level certificate program in emergency and disaster management, responding to the need for emergency management training.

With the financial support of the National Aeronautics and Space Administration, Central initiated a year-long program to reach out to high school juniors with high potential in math and science to expose them to the potential of a career in aerospace engineering. Students from Waterbury, New Britain, Hartford, Bloomfield and Bridgeport participated.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-632,242



## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2,252	2,330	2,330	-36	2,294

<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Other Current Expenses</i></u>					
Operating Expenses	155,425,445	155,155,730	155,508,164	-632,242	154,875,922
Tuition Freeze	6,561,971	6,561,971	6,561,971	0	6,561,971
Waterbury-Based Degree Programs	947,818	1,038,281	1,079,339	0	1,079,339
TOTAL - Other Current Expenses	162,935,234	162,755,982	163,149,474	-632,242	162,517,232
TOTAL - General Fund	162,935,234	162,755,982	163,149,474	-632,242	162,517,232



# DEPARTMENT OF CORRECTION

<http://www.ct.gov/doc>

## AGENCY PURPOSE

To protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support successful community reintegration.

## RECENT HIGHLIGHTS

### COST SAVINGS EFFICIENCIES

The Department of Correction (DOC) was able to recommend to the Governor in November the closure of Webster Correctional Institution based on its ability to effectively and safely manage the inmate population. It will take approximately 8-10 weeks to close the level 2, minimum security facility, which opened in 1990. Two of the four facility housing units had already been closed. The approximately 220 inmates can be absorbed into other level 2 and 3 facilities without burdening those facilities and compromising safety and security.

The Department closed buildings and housing units of some facilities, reduced the number of deputy wardens by 11, consolidated management staffing, reallocated resources within offender education and addiction services and reduced the number of motor vehicles assigned to the agency.

In collaboration with other agencies, the Maloney Center is implementing a computerized Learning Management System (LMS) to track and more efficiently provide distance based learning to staff.

### THE HUMAN RESOURCES UNIT

The Human Resources Unit worked collaboratively with other state agencies and participated in the Ergonomics Study and the 24/7 Scheduling Project, designed to prioritize and address staff health initiatives, improve workplace and personal health for Department employees and improve scheduling and payment of overtime. The Scheduling Project is expected to commence in CY 2010.

### MANAGEMENT INFORMATION (MIS) AND FISCAL SERVICES

MIS and Fiscal Services collaborated with Western Union to establish a new electronic deposit system for inmates to receive

funds from family and friends saving staff time to process the money order and allowing the inmate to get his/her funds in a timelier manner.

As part of the increase in information sharing with law enforcement, MIS installed additional video conferencing units in 14 facilities and Parole and Community Service offices. Since the units became operational, more than 3,000 video conferences have been held. Approximately 67% of parole hearings are now done through video conferencing.

### PAROLE AND COMMUNITY SERVICES DIVISION

The Parole and Community Services Division implemented the use of the Level of Service Inventory-Revised and Adult Substance Use Survey-Revised (**LSI-R/ASUS-R**) assessments to identify the likelihood of recidivism, identify criminogenic risks and needs, and match offenders to appropriate interventions. The division uses results from these assessments to determine levels of supervision and program referrals to enhance public safety.

During FY 2009, 4,017 offenders were released to Transitional Supervision (TS) compared to 3,225 in FY 2008, a 25% increase. The number of persons released to parole rose to 2,737 in FY 2009 from 2,097 in FY 2008, a 31% increase. During the same period, the total violation rate for persons on community supervision decreased 12 percent. Criminal violation rates for all community supervision programs were six percent lower in FY 2009 than in FY 2008 after declining 33% the previous year.

### CELL PHONE DETECTION DOG

As a major security enhancement, the DOC trained and put on-line the first cell phone detection dog in the Northeast, only the third state in the country to complete this. This specially-trained canine located seven cell phones within the prisons.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Inmate Medical Services
- Suspend Funding for Distance Learning Program
- Suspend Funding for Children of Incarcerated Parents Program
- Reduce Funding for Mental Health Alternative to Incarceration Center

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Funding for FY 2009-10 Deficiencies
- Restore Funding Based on the Re-estimate of Various Criminal Justice Policies' Savings
- Annualize Personal Services Savings

### 2010-2011

-2,000,000  
-250,000  
-700,000  
-200,000

-1,722,747  
5,000,000  
5,051,805  
-18,572,946

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6,958	6,728	6,448	44	6,492
<hr/>					
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	447,584,813	427,147,431	417,157,898	-13,521,141	403,636,757
Other Expenses	85,720,882	98,165,442	82,322,977	-1,722,747	80,600,230
<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Stress Management	9,270	0	0	0	0
Workers' Compensation Claims	26,629,797	30,898,513	24,898,513	5,000,000	29,898,513
Inmate Medical Services	103,194,273	100,097,473	100,624,298	-2,000,000	98,624,298
Board of Pardons and Paroles	6,004,231	6,091,924	6,197,800	0	6,197,800
Mental Health AIC	250,000	475,000	500,000	-200,000	300,000
Persistent Violent Felony Offenders Act	1,125,485	0	0	0	0
Distance Learning	0	237,500	250,000	-250,000	0
Children of Incarcerated Parents	0	665,000	700,000	-700,000	0
TOTAL - Other Current Expenses	137,213,056	138,465,410	133,170,611	1,850,000	135,020,611
<u>Pmts to Other Than Govts</u>					
Aid to Paroled and Discharged Inmates	6,750	9,500	9,500	0	9,500
Legal Services to Prisoners	768,595	870,595	870,595	0	870,595
Volunteer Services	163,969	170,758	170,758	0	170,758
Community Support Services	38,681,771	40,370,121	40,370,121	0	40,370,121
TOTAL - Pmts to Other Than Govts	39,621,085	41,420,974	41,420,974	0	41,420,974
TOTAL - General Fund	710,139,836	705,199,352	674,072,560	-13,393,987	660,678,573



# DEPARTMENT OF CHILDREN AND FAMILIES

[www.ct.gov/dcf](http://www.ct.gov/dcf)

## AGENCY PURPOSE

The Department of Children and Families is established under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children (under age 18) and families. Its mandates include child protective and family services, juvenile justice services, mental health services, substance abuse related services, prevention and educational services (acting in the capacity of a school district for the children in the department's care).

The mission of the department is to protect children, improve child and family well-being, and support and preserve families. These efforts are accomplished by respecting and working within individual cultures and communities in Connecticut, and in partnership with others.

To meet these goals the department's resources are used to support the following activities:

- Protecting children and youth who are reported as abused or neglected.
- Strengthening families so children can remain safely at home.
- Assuring family involvement and self-determination in the planning and service delivery process.
- Engaging communities and providers as partners to meet the variety of children's and families' needs and assuring provider accountability.

- Finding permanent homes for children and youth through reunification with their families, adoption or transfer of guardianship.
- Providing appropriate mental health and substance abuse assessment, treatment, and aftercare to address the behavioral health needs of Connecticut's children.
- Restructuring and reforming the delivery of children's behavioral health services in collaboration with the Department of Social Services.
- Addressing the specialized needs of infants and young children as well as the unique behavioral health challenges facing children in the foster care system and the juvenile justice system.
- Promoting a range of services to enable children and families to thrive independently in their communities; to ensure a smooth, timely and sustained transition for children, youth and families from DCF involvement to a state of independence and well being through the application of evidence-based or best practice prevention approaches at strategic points in the DCF continuum of care; and to prevent DCF involvement altogether.

## RECENT HIGHLIGHTS

### KEEPING FAMILIES TOGETHER AND PREVENTING PLACEMENTS

- Approximately 86% of all children served in FY2009 were served in their home -- reflecting a sizable shift away from relying on out of home placements and toward serving intact families.
- Today, the average in-home caseload is 43% higher than in 2002.

### BETTER INTERVENTIONS BRING LOWER LEVELS OF REPEAT VICTIMIZATION

The percentage of children who are victims of repeat maltreatment has fallen from 9.4% in the 3<sup>rd</sup> quarter of 2004 to 5.4% in the 3<sup>rd</sup> quarter of 2009. The department kept repeat maltreatment at or below 6% in the last three quarters.

### TIMELY PERMANENCE AND MORE PERMANENT HOMES

Compared to the 1<sup>st</sup> quarter of 2004, the percentage of children adopted within 24 months has more than tripled as an average over the last eight quarters ending Sept. 30, 2009.

- Q1 -2004 -Q1 - 10.7 %
- Q4 -2007 through Q3 -2009 - 34 % quarterly average.

During state fiscal years 1997 to 2005, an average of 615 permanent homes (adoptions and subsidized guardianships) were found annually for children in foster care -- more than four times the number in 1996. In each of the last four years, more than 800 permanent homes were found. In FY2009, a total of 863 new permanent homes were found for children in care.

### A MORE FLUID SYSTEM FOR MEETING BEHAVIORAL HEALTH NEEDS IN THE LEAST RESTRICTIVE TREATMENT SETTING

- Because of the development of in-home clinical services and small group homes, there are nearly 30% fewer children needing residential care compared to June 2007. This is a decrease from 675 in June 2007 to 473 in December 2009.
- Intensive in-home clinical services and family support services are available to approximately 3,000 children and their families which represents a doubling of the number of families served since 2006.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Suspend Funding for Lower Priority Contract Service Types <i>Funding is suspended for various low priority, small, and/or miscellaneous grants. These grants include: Social Coach - \$133,213, Therapeutic Mentoring - \$202,018, Aftercare - \$45,963, Mentoring - \$12,449, Temporary Childcare - \$63,942, Youth Support Services - \$84,694, Assessment and Treatment in DCF Facilities - \$81,442, Substance Abusing Families at Risk - \$134,047, Respite Care - \$91,918, Enhanced Care Coordination - \$1,933,104 and the Short-term Residential program - \$1,373,334.</i>	-4,156,124
• Delay Implementation of New Program to FY 2011-12 <i>Delay funding for Safe Harbor Respite program until the next biennium.</i>	-375,000
• Realign Funding - Intensive Safety Planning / Promoting Safe and Stable Families <i>Suspend funding for the Intensive Safety Planning program that has not met original expectations and reinvest a portion of the funding into the Reconnecting Families program.</i>	-1,000,000
• Reduce Unproven Foster Care Recruitment Contracts <i>Suspend funding for the Life Long Family Ties program that serves only 30 clients a year.</i>	-591,550
• Reduce Excess Juvenile Justice Contract Capacity <i>Reduce funds for Outreach and Tracking grants to calibrate grant funds with the current number of juvenile justice clients in DCF. The census of juvenile justice clients in DCF has declined significantly over the past several years.</i>	-2,000,000
• Restructure Safe Homes <i>Based on demand, funding for the current number of Safe Homes is reduced by 20%. However, additional resources are provided to enhanced clinical services for remaining programs.</i>	-1,000,000
• Adjust Therapeutic Group Home Capacity in Consideration of Utilization <i>Based on current census trends in therapeutic group homes, funds are reduced to allow for the closure of four group homes.</i>	-3,670,454
• Manage Wrap-around Services <i>Garner savings by better management of wrap-around funds which includes initiating clinical authorization of one-to-one services.</i>	-1,000,000
• Annualize FY 2009-10 Reductions <i>FY 2009-10 rescissions are continued into FY 2010-11 in Personal Services -\$2,500,000, Emergency Needs -\$90,000 and Board and Care - Residential -\$2,500,000.</i>	-5,090,000
• Suspend Funding for Expansion of Care Coordinators <i>Suspend funding for newly authorized care coordinators that have yet to be established.</i>	-240,000
<b>Reallocations or Transfers</b>	
• Transfer Funding from Judicial for the Intensive In-Home Child and Adolescent Psychiatric Services Program <i>This proposal transfers funding for the Intensive In-Home Child and Adolescent Psychiatric Services (IICAPS) program from Judicial's Court Support Services Division to DCF's Community KidCare account.</i>	411,186
<b>Technical Adjustments</b>	
• Implement Fostering Connections to Success and Increasing Adoptions Act of 2008 <i>Funds are provided in the Department of Children and Families to implement requirements of federal legislation intent on providing education stability for children and youth in foster care. When a new foster care placement occurs, provisions of the "Fostering Connections to Success and Increasing Adoption Act of 2008" requires states to allow the child to continue attending his or her original school as long as it is in the child's best interest.</i>	2,884,215
• Implement Raise the Age Legislation <i>Recent legislation raises the age of jurisdiction of juveniles to include 16 year olds as of January 1, 2010 and to include 17 year olds as of July 1, 2012. Additional funds are provided to expand services along the continuum of care in DCF from the Connecticut Juvenile Training School to community programs to meet the needs of these youth.</i>	1,495,904
• Annualize Transfer for DOIT Revolving Fund Realignment	-5,735,777
• Annualize Personal Services Savings	-14,151,531

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,546	3,517	3,513	-57	3,456
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	284,760,884	274,512,956	289,599,056	-15,139,277	274,459,779
Other Expenses	47,674,398	40,770,506	46,112,706	-5,165,777	40,946,929

<u>Capital Outlay</u>					
Equipment	0	95	100	-99	1
<u>Other Current Expenses</u>					
Short Term Residential Treatment	713,128	698,866	713,129	0	713,129
Substance Abuse Screening	1,805,897	1,787,020	1,823,490	0	1,823,490
Workers' Compensation Claims	8,386,899	8,530,849	8,627,393	0	8,627,393
Local Systems of Care	2,013,397	2,283,800	2,297,676	-240,000	2,057,676
Family Support Services	11,743,184	10,997,077	11,221,507	0	11,221,507
Emergency Needs	1,000,000	1,710,000	1,800,000	-90,000	1,710,000
TOTAL - Other Current Expenses	25,662,505	26,007,612	26,483,195	-330,000	26,153,195
<u>Pmts to Other Than Govts</u>					
Health Assessment and Consultation	939,845	946,354	965,667	0	965,667
Gts Psychiatric Clinics for Children	14,127,881	13,918,204	14,202,249	-81,442	14,120,807
Day Treatment Centers for Children	5,797,624	5,681,677	5,797,630	0	5,797,630
Juvenile Justice Outreach Services	11,794,955	12,474,261	12,728,838	748,650	13,477,488
Child Abuse and Neglect Intervention	6,172,274	6,076,862	6,200,880	0	6,200,880
Community Emergency Services	112,701	83,000	84,694	-84,694	0
Community Based Prevention Services	4,194,768	4,753,518	4,850,529	0	4,850,529
Family Violence Outreach and Counseling	1,658,531	1,836,303	1,873,779	0	1,873,779
Support for Recovering Families	8,701,709	10,950,393	14,026,730	-134,047	13,892,683
No Nexus Special Education	7,677,869	8,682,808	8,682,808	0	8,682,808
Family Preservation Services	5,219,218	5,277,688	5,385,396	0	5,385,396
Substance Abuse Treatment	4,333,681	4,389,684	4,479,269	0	4,479,269
Child Welfare Support Services	3,848,962	4,193,894	4,279,484	-1,058,412	3,221,072
Board and Care for Children - Adoption	77,304,989	81,533,474	86,105,702	-591,550	85,514,152
Board and Care for Children - Foster	107,635,073	108,909,873	115,122,667	2,884,215	118,006,882
Board & Care - Residential	195,993,166	189,396,420	195,597,901	-13,253,788	182,344,113
Individualized Family Supports	15,065,664	16,880,448	17,236,968	-289,073	16,947,895
Community KidCare	23,232,707	25,427,496	25,946,425	-1,723,936	24,222,489
Covenant to Care	166,516	158,190	166,516	0	166,516
Neighborhood Center	246,214	255,790	261,010	0	261,010
TOTAL - Pmts to Other Than Govts	494,224,347	501,826,337	523,995,142	-13,584,077	510,411,065
TOTAL - General Fund	852,322,134	843,117,506	886,190,199	-34,219,230	851,970,969

# CHILDREN'S TRUST FUND COUNCIL

## AGENCY PURPOSE

***Consistent with Public Act 09-3, June Special Session, the Children's Trust Fund has been consolidated with Department of Social Services.***

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	17	0	0	0	0
<b><i>Financial Summary</i></b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	1,369,449	222,628	0	0	0
Other Expenses	25,800	10,133	0	0	0
<u><i>Other Current Expenses</i></u>					
Children's Trust Fund	13,159,537	2,154,691	0	0	0
TOTAL - General Fund	14,554,786	2,387,452	0	0	0



# JUDICIAL BRANCH

<http://www.jud.ct.gov/>

## AGENCY PURPOSE

- To operate a fair, efficient and open court system to adjudicate all state criminal, civil, family and administrative cases.
- To maintain secure and safe conditions in courthouses and other Judicial Branch facilities.
- To create and sustain a full range of alternatives to incarceration and evidence-based services for adult and juvenile populations.
- To advocate for victims of violent crime and to ensure that they are provided with services and financial compensation.
- To effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education.
- To provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.
- To assist parents by enforcing, reviewing and adjusting child support orders.
- To utilize effective supervision practices and intervention strategies that promote recidivism reduction, positive behavior change and restorative justice principals with persons placed on probation.

## RECENT HIGHLIGHTS

### PUBLIC SERVICE AND TRUST COMMISSION

In June of 2008 the Chief Justice approved a strategic plan that encompasses the recommendations of the Public Service and Trust Commission. The plan provides a framework to guide the Judicial Branch over the next three to five years. Since the adoption of the plan, the Judicial Branch has been working on implementation of these recommendations. They are grouped into five major areas: access to the courts, changing demographics, delivery of services, collaboration with those who interact with the Branch, and accountability to the people served.

The adoption of the strategic plan by the Chief Justice was just the beginning of the strategic planning process. Its implementation has required the sustained commitment of the Judicial Branch. Through the use of performance measures, timelines and consistent oversight, the Judicial Branch regularly assesses its progress in implementing the plan.

### IMPLEMENTATION OF "RAISE THE AGE"

Effective January 1, 2010, the jurisdiction of juvenile court expanded to include 16-year-olds. Implementation has required extensive work by Judicial Branch staff in both the Court Operations and the Court Support Services Divisions.

### FORECLOSURE MEDIATION PROGRAM

The highly successful foreclosure mediation program entered its second year. As the result of legislation passed during the 2008 session, it was expanded to include all cases in which the defendant has filed an appearance, rather than just those in which the defendant has requested mediation. Resources were provided to the Branch for the additional mediators and other support personnel necessary to support the increased caseload. The program continues to provide relief to homeowners who are facing foreclosure. This program will end on July 1, 2010.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Suspend Funding for Justice Education Center
- Remove Funding for Vacant Positions

### Reallocations or Transfers

- Transfer Funding for the Intensive In-home Child and Adolescent Psychiatric Services Program  
*Transfer funding for the Intensive In-home Child and Adolescent Psychiatric Services (IICAPS) program from Judicial's Court Support Services Division to DSS' Medicaid account and DCF's Community KidCare account.*

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

### 2010-2011

-293,111  
-2,000,000

-2,307,986

-734,150

-18,624,838



## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4,452	4,314	4,309	-198	4,111
<hr/>					
<i>Financial Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	316,273,093	306,015,369	326,564,876	-20,624,838	305,940,038
Other Expenses	68,786,269	74,313,448	74,943,156	-734,150	74,209,006
<u>Capital Outlay</u>					
Equipment	3,047,772	45,249	44,350	0	44,350
<u>Other Current Expenses</u>					
Forensic Sex Evidence Exams	0	1,021,060	1,021,060	0	1,021,060
Alternative Incarceration Program	51,720,313	54,851,576	55,157,826	0	55,157,826
Justice Education Center, Inc.	293,111	293,111	293,111	-293,111	0
Juvenile Alternative Incarceration	29,301,905	29,236,110	30,169,861	-2,070,000	28,099,861
Juvenile Justice Centers	3,104,877	3,104,877	3,104,877	0	3,104,877
Probate Court	2,500,000	5,500,000	11,250,000	0	11,250,000
Youthful Offender Services	7,919,014	7,993,529	9,512,151	-237,986	9,274,165
Victim Security Account	14,897	73,000	73,000	0	73,000
TOTAL - Other Current Expenses	94,854,117	102,073,263	110,581,886	-2,601,097	107,980,789
TOTAL - General Fund	482,961,251	482,447,329	512,134,268	-23,960,085	488,174,183
<u>Other Current Expenses</u>					
Foreclosure Mediation Program	1,958,044	0	0	0	0
TOTAL - Banking Fund	1,958,044	0	0	0	0
<u>Other Current Expenses</u>					
Criminal Injuries Compensation Fund	2,620,504	3,132,410	3,408,598	0	3,408,598
TOTAL - Criminal Injuries Compensation Fund	2,620,504	3,132,410	3,408,598	0	3,408,598
TOTAL - ALL FUNDS	487,539,799	485,579,739	515,542,866	-23,960,085	491,582,781



# PUBLIC DEFENDER SERVICES COMMISSION

<http://www.ocpd.state.ct.us/>

## AGENCY PURPOSE

- Ensure the constitutional administration of criminal justice within the state criminal court system, by maintaining a public defender office at all juvenile and adult court locations throughout the state.
- Provide legal representation to indigent accused children and adults in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency and juvenile post-conviction matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing, and to convicted persons seeking exoneration through the Connecticut Innocence Project.
- Provide social work services to clients for referrals to alternative pre-trial programs and sentencing alternatives to incarceration and to assist them in addressing personal and social problems to prevent recidivism within the criminal justice system.
- Contribute to public safety initiatives by participation in the development of specialized programs that promote successful reentry through reduced violence, homelessness and recidivism by utilizing Domestic Violence Courts, Community Courts, Diversionary Programs, Drug Intervention, Alternatives to Incarceration and Team Child programs.
- Provide a balanced perspective within the criminal justice community by participation on state policy boards, task forces, and committees involved in addressing criminal and juvenile justice issues.
- Fulfill the State's constitutional obligation to provide counsel for indigent accused in a professional, effective and cost efficient manner.

## RECENT HIGHLIGHTS

### CONNECTICUT INNOCENCE PROJECT (CTIP)

The work of CTIP has resulted in the exoneration of three individuals who were wrongfully convicted and incarcerated in Connecticut prisons for 18-20 years before exoneration.

CTIP, in collaboration with the Division of Criminal Justice and the State Forensic Laboratory, has recently been awarded \$1.4 million in federal grant dollars from the Department of Justice to expedite exonerations of Connecticut inmates convicted of murder, aggravated sexual assaults, and non-negligent manslaughter who claim that they are innocent.

### ELECTRONIC EVIDENCE TRAINING AND INFORMATION TECHNOLOGY INITIATIVES

The Division expanded the use of computerized electronic evidence presentation techniques in the courtroom. The agency's Training Department continues to provide innovative training in case management, time line, and electronic evidence presentation to those offices involved in serious felony and capital case trials.

### RAISE THE AGE CONNECTICUT

The Director of Juvenile Delinquency Defense serves as an important resource for the agency and the General Assembly to effectuate implementation of the Raise the Age initiative to include the 16-year-old population under juvenile jurisdiction in 2010.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |  |                                    |
|--|------------------------------------|
| • Reduce the Training and Education Appropriation<br><i>Aligns funding with FY 2009-10 expenditure levels.</i>                     | <b><u>2010-2011</u></b><br>-30,009 |
| • Reduce the Special Public Defenders - Non-Contractual Appropriation<br><i>Aligns funding with FY 2009-10 expenditure levels.</i> | -137,488                           |
| • Annualize FY 2009-10 Reductions  | -326,137                           |

### Technical Adjustments

- |  |            |
|--|------------|
| • Annualize Transfer for DOIT Revolving Fund Realignment | -4,411     |
| • Annualize Personal Services Savings                    | -3,138,355 |

## AGENCY SUMMARY

<b>Personnel Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	400	400	400	-20	380
<hr/>					
<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	36,470,379	35,834,787	39,095,094	-3,138,355	35,956,739
Other Expenses	1,528,632	1,500,644	1,471,223	-4,411	1,466,812
<u>Capital Outlay</u>					
Equipment	0	100	105	-104	1
<u>Other Current Expenses</u>					
Special Public Defenders - Contractual	3,044,467	2,987,244	3,144,467	-50,000	3,094,467
Special Public Defenders - NonContractual	4,782,758	5,137,488	5,407,777	-407,777	5,000,000
Expert Witnesses	1,840,646	1,535,646	1,535,646	0	1,535,646
Training and Education	66,502	111,009	116,852	-35,852	81,000
Persistent Violent Felony Offenders Act	506,400	0	0	0	0
TOTAL - Other Current Expenses	10,240,773	9,771,387	10,204,742	-493,629	9,711,113
TOTAL - General Fund	48,239,784	47,106,918	50,771,164	-3,636,499	47,134,665



# CHILD PROTECTION COMMISSION

<http://www.ct.gov/ccpa>

## AGENCY PURPOSE

Pursuant to C.G.S. §46b-123, the Commission on Child Protection's purpose is to improve the system for the provision of legal services and guardians ad litem to children and indigent respondents in family matters in which the state has been ordered to pay the cost of such services and in proceedings before the superior court for juvenile matters.

The Commission is also required to ensure that attorneys providing legal services pursuant to this section are assigned to cases in a

manner that will avoid conflicts of interest, as defined by the Rules of Professional Conduct, and in a manner consistent with the Standards of Practice.

The Commission is required to establish and implement training, practice and caseload standards for the representation of children and indigent respondents in juvenile and family courts.

## RECENT HIGHLIGHTS

### DEPLOYED CASE MANAGEMENT INFORMATION SYSTEM

Kidsvoice Information Database System (K.I.D.S). has been made available to all Juvenile Contract Attorneys to receive case assignments, manage case information, record activities and outcomes, and commencing January 2010, to submit billings. This system will enhance the ability of both the attorneys and the Commission to function efficiently and allow the system to compile data.

### MODEL CHILD WELFARE LAW OFFICE PILOTS

Two multi-disciplinary model Child Protection Law Offices are currently providing legal representation to 900 children in juvenile

matters cases in New Haven and Waterford. The multi-disciplinary model is considered a one-stop shop where a child can get all the services he or she needs to guarantee he or she receives a consistent, strong and continuous method of representation while providing avenues for expanding other social services and education.

### ESTABLISHED CHILD WELFARE LAW SPECIALTY CERTIFICATION PROGRAM

In June of 2009, 41 juvenile contract attorneys were the first in Connecticut to earn their certification as Child Welfare Law Specialists.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce the Contracted Attorney Appropriation
- Annualize FY 2009-10 Reductions

**2010-2011**

-600,733

-535,728

### Reallocations or Transfers

- Realign Funding to Reflect Correct Accounts

0

*Transfer funding from Contracted Attorneys account to Contracted Attorney Related Expenses account.*

### Technical Adjustments

- Annualize Transfer for DOIT Revolving Fund Realignment
- Annualize Personal Services Savings

-1,722

-9,054

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	9	9	9	0	9
<i>Financial Summary</i>					
	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	611,665	641,226	656,631	-9,054	647,577
Other Expenses	166,500	173,413	175,047	-1,722	173,325
<i>Capital Outlay</i>					
Equipment	0	100	100	-99	1

Budget Summary

Other Current Expenses

Training for Contracted Attorneys	42,750	42,750	42,750	0	42,750
Contracted Attorneys	10,887,169	9,759,490	10,295,218	-1,186,461	9,108,757
Contracted Attorneys Related Expenses	168,713	108,713	108,713	50,000	158,713
Family Contracted Attorneys/AMC	0	736,310	736,310	0	736,310
TOTAL - Other Current Expenses	<u>11,098,632</u>	<u>10,647,263</u>	<u>11,182,991</u>	<u>-1,136,461</u>	<u>10,046,530</u>
TOTAL - General Fund	11,876,797	11,462,002	12,014,769	-1,147,336	10,867,433

# JUDICIAL REVIEW COUNCIL

<http://www.ct.gov/jrc>

## PURPOSE

The Judicial Review Council ensures the integrity of the judiciary through the investigation of any alleged misconduct and through the power to discipline those found guilty of misconduct.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Personal Services Savings

**2010-2011**

-21,533

## AGENCY SUMMARY

<i>Personnel Summary</i>	2008-2009 Authorized	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	1	1	0	1
<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
Personal Services	135,077	128,273	142,514	-21,533	120,981
Other Expenses	20,617	27,449	27,449	0	27,449
<u>Capital Outlay</u>					
Equipment	0	95	100	0	100
TOTAL - General Fund	155,694	155,817	170,063	-21,533	148,530

# MISCELLANEOUS APPROPRIATION TO THE GOVERNOR

## PURPOSE

A contingency appropriation is made available to the Governor for emergency expenditures in accordance with Section 4-84 C.G.S. A minimal amount is recommended to keep the account open.

## AGENCY SUMMARY

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Governor's Contingency Account	0	95	100	-99	1
TOTAL - General Fund	0	95	100	-99	1

# DEBT SERVICE – STATE TREASURER

## PURPOSE

The servicing of all state debt obligations is performed by the State Treasurer. Funds for the payment of debt service are appropriated to non-functional accounts.

## RECOMMENDED ADJUSTMENTS

	<b>2010-2011</b>
<b>Reductions</b>	
• Suspend Funding for New Child Care Facilities Loans	-3,500,000
• Reduce the Reserve for Cash Flow Borrowing	-5,300,000
• Reduce Debt Service to Reflect Lower Projected Interest Rates	-7,000,000
<b>Reallocations or Transfers</b>	
• Realign Funding to Reflect Correct Accounts	0
<b>Technical Adjustments</b>	
• Revise Debt Service Estimates- General Fund	-12,726,250
• Revise Debt Service Estimates- Special Transportation Fund	-8,407,032

## AGENCY SUMMARY

<b>Financial Summary</b>	2008-2009	2009-2010	2010-2011	2010-2011	2010-2011
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Other Current Expenses</u>					
Debt Service	1,363,285,877	1,488,430,083	1,510,443,670	-23,217,324	1,487,226,346
UConn 2000 - Debt Service	100,785,838	106,934,315	118,426,565	-1,808,926	116,617,639
CHEFA Day Care Security	5,211,107	8,075,000	8,500,000	-3,500,000	5,000,000
Pension Obligation Bonds - TRB	0	58,451,142	65,349,255	0	65,349,255
TOTAL - Other Current Expenses	<u>1,469,282,822</u>	<u>1,661,890,540</u>	<u>1,702,719,490</u>	<u>-28,526,250</u>	<u>1,674,193,240</u>
TOTAL - General Fund	1,469,282,822	1,661,890,540	1,702,719,490	-28,526,250	1,674,193,240
<u>Other Current Expenses</u>					
Debt Service	428,709,113	443,958,243	467,246,486	-8,407,032	458,839,454
TOTAL - Special Transportation Fund	<u>428,709,113</u>	<u>443,958,243</u>	<u>467,246,486</u>	<u>-8,407,032</u>	<u>458,839,454</u>
<u>Other Current Expenses</u>					
Debt Service	122,002	64,350	63,524	0	63,524
TOTAL - Regional Market Operation Fund	<u>122,002</u>	<u>64,350</u>	<u>63,524</u>	<u>0</u>	<u>63,524</u>
TOTAL - ALL FUNDS	<u>1,898,113,937</u>	<u>2,105,913,133</u>	<u>2,170,029,500</u>	<u>-36,933,282</u>	<u>2,133,096,218</u>



# RESERVE FOR SALARY ADJUSTMENTS

## PURPOSE

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time of the recommended budget formulation.

## AGENCY SUMMARY

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	21,204,305	153,524,525	0	153,524,525
TOTAL - General Fund	0	21,204,305	153,524,525	0	153,524,525
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	0	12,947,130	0	12,947,130
TOTAL - Special Transportation Fund	0	0	12,947,130	0	12,947,130
TOTAL - ALL FUNDS	0	21,204,305	166,471,655	0	166,471,655



# WORKERS' COMPENSATION CLAIMS – DAS

## PURPOSE

The Department of Administrative Services designs the State of Connecticut workers' compensation program, establishes statewide reporting and processing procedures, manages statewide fiscal and

accounting functions, procures and manages the third party claim administration company and offers a wide array of loss control and safety services statewide.

## RECENT HIGHLIGHTS

- By implementing a new Workers' Compensation Preferred Provider network of physicians, administrative processing expenses were reduced by 2%. In conjunction with the network's lower reimbursement rates for physicians, this would have yielded approximately \$2 million in savings if implemented for the full fiscal year.
- By implementing the first ever Workers' Compensation Pharmacy Benefit Management program, prescription drug costs were reduced by 3%. A full year of implementation would have yielded \$359,000 in savings.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- |  |                               |
|--|-------------------------------|
| • Annualize Funding for FY 2009-10 Deficiency in the General Fund                | <b>2010-2011</b><br>1,500,000 |
| • Annualize Funding for FY 2009-10 Deficiency in the Special Transportation Fund | 1,500,000                     |

## AGENCY SUMMARY

<i><b>Financial Summary</b></i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<i><u>Other Current Expenses</u></i>					
Workers' Compensation Claims	24,282,407	27,206,154	24,706,154	1,500,000	26,206,154
TOTAL - General Fund	24,282,407	27,206,154	24,706,154	1,500,000	26,206,154
<i><u>Other Current Expenses</u></i>					
Workers' Compensation Claims	4,958,043	7,200,783	5,200,783	1,500,000	6,700,783
TOTAL - Special Transportation Fund	4,958,043	7,200,783	5,200,783	1,500,000	6,700,783
TOTAL - ALL FUNDS	29,240,450	34,406,937	29,906,937	3,000,000	32,906,937

## STATE COMPTROLLER – OTHER THAN FRINGE BENEFITS

## PURPOSE

The non-fringe benefit portion of the Miscellaneous Appropriations Administered by the Comptroller consists of grants for such purposes as maintenance of fire radio networks, the State Police Association of

Connecticut and CT State Firefighters Association. It also consists of grants to towns for various purposes as well as some other small grants.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Reduce Funding for the Interstate Environmental Commission
- Remove the New Funding for State Pilot Funds

**2010-2011**

-48,782

-500,000

<i>Financial Summary</i>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b>GENERAL FUND</b>					
<b><i>MAINT COUNTY BASE FIRE RADIO NETWORK</i></b>					
<i>Pmts to Other Than Govts</i>					
Maintenance of County Base Fire Radio	25,176	25,176	25,176	0	25,176
<b><i>MAINT STATE-WIDE FIRE RADIO NETWORK</i></b>					
<i>Pmts to Other Than Govts</i>					
Maint of State-Wide Fire Radio Network	16,756	16,756	16,756	0	16,756
<b><i>EQUAL GRANTS TO NON-PROFIT GENERAL HOSPITALS</i></b>					
<i>Pmts to Other Than Govts</i>					
Equal Grants to Non-Profit Hospitals	30	30	31	0	31
<b><i>POLICE ASSOCIATION OF CONNECTICUT</i></b>					
<i>Pmts to Other Than Govts</i>					
Police Association of Connecticut	166,392	190,000	190,000	0	190,000
<b><i>CT STATE FIREFIGHTERS ASSOCIATION</i></b>					
<i>Pmts to Other Than Govts</i>					
Connecticut State Firefighter's Assoc	159,400	194,711	194,711	0	194,711
<b><i>INTERSTATE ENVIRONMENTAL COMMISSION</i></b>					
<i>Pmts to Other Than Govts</i>					
Interstate Environmental Commission	97,565	97,565	97,565	-48,782	48,783
<b><i>LOSS OF TAXES ON STATE PROPERTY</i></b>					
<i>Pmts to Local Governments</i>					
Loss of Taxes on State Property	80,019,144	73,519,215	73,519,215	-500,000	73,019,215
<b><i>LOSS TAXES PRIVATE TAX-EXEMPT PROPERTY</i></b>					
<i>Pmts to Local Governments</i>					
Loss Taxes Private Tax-Exempt Property	122,430,256	115,431,737	115,431,737	0	115,431,737
<b>MASHANTUCKET PEQUOT AND MOHEGAN FUND</b>					
<b><i>MASHANTUCKET PEQUOT AND MOHEGAN FUND GRANT</i></b>					
<i>Pmts to Local Governments</i>					
Grants to Towns	92,998,519	61,779,907	61,779,907	0	61,779,907

## STATE COMPTROLLER - FRINGE BENEFITS

## PURPOSE

Fringe benefits for General and Special Transportation Fund employees and all retired state employees are funded through these accounts which include the state share of social security taxes, unemployment compensation, tuition reimbursement, life and health insurance, and retirement contributions.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b><u>2010-2011</u></b>
• Reduce Funding to Anticipated FY 2010-11 Levels	-5,000,000
• Reduce State's Contribution to the State Employees Retirement Plan Per the 2009 SEBAC Agreement	-100,000,000
• Adjust for Net Impact of Position Changes - General Fund	-1,677,100
• Additional Reimbursement to Fringe Benefit Accounts from DSS <i>The additional reimbursement DSS receives from the payment structure for outstationed eligibility workers will be used to offset the fringe benefit accounts.</i>	-109,000
<b>Reallocations or Transfers</b>	
• Adjust for Net Impact of Position Changes - General Fund	-169,400
• Adjust for Net Impact of Position Changes - Special Transportation Fund	-56,000
<b>Technical Adjustments</b>	
• Impact of the 2009 State Employees Bargaining Agent Coalition (SEBAC) Agreement - General Fund <i>To reflect the health related savings resulting from the SEBAC negotiations.</i>	-1,384,329
• Re-Estimate of Self Insurance Savings <i>To reflect the re-estimated one-time savings for the lag in claims incurred but not yet reported in switching to a self insured plan.</i>	10,000,000
• Adjust for Net Impact of Position Changes - General Fund	472,100
• Impact of the 2009 State Employees Bargaining Agent Coalition (SEBAC) Agreement - Transportation Fund <i>To reflect the health related savings resulting from the SEBAC negotiations</i>	-3,972,190
• Adjust Funding to Reflect Updated Unemployment Compensation Costs	11,000
<b>Expansion Adjustments</b>	
• Adjust for Net Impact of Position Changes - Special Transportation Fund	51,400

<b>Financial Summary</b>	2008-2009 Actual	2009-2010 Estimated	2010-2011 Appropriated	2010-2011 Net Adjustments	2010-2011 Revised Recommended
<b>GENERAL FUND</b>					
<b><u>UNEMPLOYMENT COMPENSATION</u></b>					
<i>Other Current Expenses</i>					
Unemployment Compensation	5,038,307	9,438,980	6,323,979	0	6,323,979
<b><u>ST EMPLOYEES RETIREMENT CONTRIBUTIONS</u></b>					
<i>Other Current Expenses</i>					
Employee Retirement Contribution	454,776,174	578,096,904	663,329,057	-100,000,000	563,329,057
<b><u>HIGHER ED ALTERNATIVE RETIREMENT SYSTEM</u></b>					
<i>Other Current Expenses</i>					
Higher Ed Alternative Retirement Sys	21,674,111	33,403,201	34,152,201	-3,000,000	31,152,201
<b><u>PENSIONS &amp; RETIREMENTS-OTHER STATUTORY</u></b>					
<i>Other Current Expenses</i>					
Pension & Ret Other Statutory	1,689,149	1,857,000	1,965,000	0	1,965,000
<b><u>JUDGES &amp; COMPENSATION COMM RETIREMENT</u></b>					
<i>Other Current Expenses</i>					
Judges & Comp Commissioner Ret	14,172,454	0	0	0	0

Budget Summary

**INSURANCE - GROUP LIFE**

Other Current Expenses

Group Life Insurance	6,748,994	8,101,143	8,254,668	0	8,254,668
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**TUITION REIMBURSEMENT-TRAINING&TRAVEL**

Other Current Expenses

Tuition Reimbursement Training, Travel	3,348,088	1,020,000	900,000	0	900,000
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**EMPLOYERS SOCIAL SECURITY TAX**

Other Current Expenses

Employers Social Security Tax	227,424,254	225,122,176	249,827,582	-16,831,600	232,995,982
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**STATE EMPLOYEES HEALTH SERVICE COST**

Other Current Expenses

State Employees Health Service Cost	489,278,029	498,638,893	516,871,061	-26,303,229	490,567,832
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**RETIRED ST EMPLOYEES HEALTH SERV COST**

Other Current Expenses

Retired Employee Health Service Cost	434,564,847	542,172,600	546,985,000	48,267,100	595,252,100
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**SPECIAL TRANSPORTATION FUND**

**UNEMPLOYMENT COMPENSATION**

Other Current Expenses

Unemployment Compensation	260,387	220,960	334,000	11,000	345,000
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**ST EMPLOYEES RETIREMENT CONTRIBUTIONS**

Other Current Expenses

Employee Retirement Contribution	71,426,000	70,413,000	82,437,000	0	82,437,000
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**INSURANCE - GROUP LIFE**

Other Current Expenses

Group Life Insurance	242,717	314,300	324,000	0	324,000
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**EMPLOYERS SOCIAL SECURITY TAX**

Other Current Expenses

Employers Social Security Tax	14,540,025	18,228,071	20,652,971	-952,400	19,700,571
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**STATE EMPLOYEES HEALTH SERVICE COST**

Other Current Expenses

State Employees Health Service Cost	32,662,370	33,423,070	37,104,290	-3,024,390	34,079,900
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