

CORRECTIONS

Department Of Correction	507
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DEPARTMENT OF CORRECTION

AGENCY DESCRIPTION

[HTTP://WWW.DOC.STATE.CT.US/](http://www.doc.state.ct.us/)

The Department's strengthened commitment to supporting successful offender reintegration into society has resulted in the development of a comprehensive program that addresses the issues faced by those being released from incarceration. Matters such as reestablishing identification, and securing housing and employment are addressed. Additionally, the agency continues to seek collaboration and partnership from local, state and non-profit agencies to assist in these efforts. The State Department of Veteran's Affairs will now accept discharging veterans who are without housing. Also the agency has received a grant to create supportive housing units for additional offenders.

In order to improve the delivery of health services to the offender population in a fiscally responsible manner, the agency has undertaken a consolidation of both its medical and mental health services. The mental health consolidation has resulted in the Garner Correctional Institution being designated as the Department's mental health facility for adult male offenders. The facility is utilizing an innovative "Treatment Track" concept which provides treatment for inmates in specific housing units based upon their diagnoses. The consolidation of medical services will serve to reduce the duplication of services between adjacent facilities. Both consolidations are being carried out in conjunction with the agency's contracted health care provider, the University of Connecticut Correctional Managed Health Care. The State Department of Mental Health and Addiction Services is collaborating with the agency in the care of offenders with serious mental health needs.

In accordance with a legislative act which consolidated the Board of Parole with the Department of Correction, the Department has combined its Community Enforcement function with the Board of Parole field services. Robert Gillis, a correctional professional with 33-years of experience has been appointed as Director of Parole and Community Services. This consolidation allows for a continuum of custody, care and supervision from confinement through placement in the community to improve the offenders' chances of successful reintegration and to reduce their usage of prison beds.

To ensure the safe, secure and humane treatment of teenage male offenders, the agency has mandated that 16 and 17-year-old offenders who enter the jail population will be transferred to the Manson Youth Institution. This initiative provides this age group with a more appropriate correctional environment while increasing the access of these offenders to

appropriate programming including mandated educational services.

The agency has undertaken a comprehensive review and revision of its suicide prevention protocols. The initiative is intended to reduce the opportunities for inmates to engage in acts of self-harm. Orientation Units have been created at intake facilities which provide an increase in staff observation of offenders. Additionally, medical and mental health intake screening has been enhanced and national experts have been consulted to ensure a best practice model is being followed.

Outcome Measure

Goals Maintain order and security; and promote a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the Department to manage the challenging population.

Objectives Management focus on making inmates more accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to achieve the goal should result in a safer more secure and humane environment. Measurable indicators of success include: reduction in the number of inmate on staff and inmate on inmate assaults, a reduction in the amount of contraband found in facilities and a decline in the number of inmate disciplinary reports.

Outcomes (FY94 – FY03)

- 40% Reduction in Inmate on Staff Assaults.
- 31% Reduction in Inmate on Inmate Assaults.
- 26% Reduction in Offender Disciplinary Infractions.
- 96% Reduction in Escapes.

Energy Conservation Statement

Planned energy conservation activities for the next biennium include regular maintenance and upgrades of HVAC systems, resetting or adjusting building temperature controls, use of energy efficient lighting, regular maintenance of hot water systems, use of centralized energy management systems, use of Energy Star equipment, use of utility-sponsored conservation programs, and participation in ISO-New England demand response programs. This is a continuation of the efforts undertaken in the past two fiscal years.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	<u>2005-2006</u>	<u>2006-2007</u>	
• Remove Inflation	-1,052,577	-2,675,545	
• Transfer Equipment to CEPF	-2,519,210	-2,384,215	
• Fund Non-ERIP Accruals through RSA Account	-2,000,000	-2,000,000	
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-12,189	-30,049	
• Remove Funds for Temporary Boilers at MYI	-450,000	-250,000	
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-566,907	
• Carryforward FY05 Funds	-3,500,000	0	
Within Current Services			
• Annualize Costs for Halfway House Beds	891,250	891,250	
• Reduce Workers' Compensation Claims	-2,000,000	-2,000,000	
Reallocations or Transfers			
• Reallocate Funds and Staffing for Parole Field Services	0	0	
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision</i>	867,742	867,742	
New or Expanded Services	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Fund 4% COLA for Private Grant-Funded Providers <i>A 4% cost of living adjustment is proposed for grant-funded private providers under DMHAS, DMR, DCF, DOC, CTF and Judicial. This COLA is linked to successful imposition of a nursing home provider tax, through which nursing homes will receive a significant Medicaid rate increase, and which will generate increased federal Medicaid reimbursement. The new federal reimbursement will be used to support nursing home rate increases as well as 4% rate increases for: residential care homes, home health services, home care waiver services, state-funded home care, intermediate care facilities for the mentally retarded, personal care attendants, assisted living services agencies, and private grant-funded providers.</i>	1,048,258	1,048,258	1,048,258

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	6,298	712	-271	6,739	6,739	6,774	6,739	6,774
<i>Other Positions Equated to Full Time</i>			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			37	38	38	38	38	38
Private Contributions			70	70	69	69	62	62
			5	5	5	5	3	3
Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Care and Custody								
Custody	398,091,990	407,289,435	443,668,436	432,564,096	428,462,697	459,217,968	449,556,627	445,977,542
Programs and Treatment Services	42,426,515	42,825,147	45,933,462	45,028,986	45,126,923	46,092,388	45,392,430	45,434,883
Staff Training and Development	2,585,933	3,489,476	3,831,999	3,751,784	3,758,131	3,885,378	3,821,602	3,817,740
Health Services Program	78,002,266	82,998,701	85,159,434	84,881,982	84,883,613	87,039,964	86,765,776	86,766,452
TOTAL Program	521,106,704	536,602,759	578,593,331	566,226,848	562,231,364	596,235,698	585,536,435	581,996,617
Community Services	25,653,569	27,781,597	28,309,816	30,079,777	32,194,001	28,988,026	31,350,514	33,862,760
Consideration of Parole	5,466,303	9,975,284	12,289,869	11,597,979	3,809,548	12,822,174	12,188,959	3,976,548
Consideration of Pardons	26,125	26,721	27,067	26,721	26,721	27,663	26,721	26,721
Management Services	6,421,110	6,875,588	7,505,380	7,373,286	7,367,485	7,780,432	7,668,003	7,615,965
TOTAL Agency Programs - All Funds Gross	558,673,811	581,261,949	626,725,463	615,304,611	605,629,119	645,853,993	636,770,632	627,478,611
Less Turnover	0	0	-15,802,360	-16,234,009	-16,234,009	-16,296,836	-16,234,009	-16,234,009
TOTAL Agency Programs - All Funds Net	558,673,811	581,261,949	610,923,103	599,070,602	589,395,110	629,557,157	620,536,623	611,244,602
Summary of Funding								
General Fund Net	552,657,769	574,341,482	604,988,378	593,135,877	583,460,385	624,248,682	615,228,148	605,936,127
Special Funds, Non-Appropriated	1,025,818	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
Bond Funds	1,195,675	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000
Federal Contributions	2,928,650	3,317,236	2,637,500	2,637,500	2,637,500	2,087,500	2,087,500	2,087,500
Private Contributions	865,899	753,231	447,225	447,225	447,225	370,975	370,975	370,975
TOTAL Agency Programs - All Funds Net	558,673,811	581,261,949	610,923,103	599,070,602	589,395,110	629,557,157	620,536,623	611,244,602

CARE AND CUSTODY

Statutory Reference

C.G.S. Section 18-81 and 18-101

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process. To provide a comprehensive program for all employees to enhance their knowledge, skills and abilities and to ensure the safety and security of staff and inmates through training. To provide a continuum of quality health care, promote maximum individual and community wellness and ensure clinically appropriate, time-efficient and resource-sensitive utilization of services within an integrated managed-care environment.

Program Description

There are three subprograms within the Care and Custody program.

Custody Receives and houses adult and youthful accused and sentenced inmates. Correction Officers and others control all aspects of an inmate's confinement.

Programs and Treatment Services Provides such as addiction, educational, religious, volunteer and health services, which includes medical, nursing, mental health and pharmacy services. In addition, the Offender Classification and Population Management Unit handles inmate classification and population management, administrative segregation, close custody/chronic discipline units, protective custody placement and removal, inmate assessment, inmate record keeping, interstate compact systems and extensive services to victims of crimes.

Staff Training and Development Is offered to all employees, as well as a system of institutionally based Training Officers. Training Officers located throughout the system address the specific needs of permanent staff. Academy-based staff coordinate these activities and train newly hired staff.

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	6,167	690	-322	6,535	6,535	6,547	6,535	6,547

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended	Requested
General Fund	37	37	37	37	37	37	37
Federal Contributions	70	70	69	69	62	62	62
Private Contributions	5	5	5	5	3	3	3

Financial Summary

(Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	346,038,595	358,489,525	396,830,417	388,444,032	389,443,270	410,887,928	404,336,599	404,953,011
Other Expenses	58,723,052	61,629,476	63,487,584	62,288,457	61,813,579	65,001,609	63,609,845	61,839,551
Capital Outlay	85,276	180,164	2,938,530	2,520,210	1,000	2,878,735	2,385,215	1,000
Other Current Expenses	110,703,582	109,711,013	109,718,683	107,356,032	105,356,032	112,474,472	110,211,822	110,211,822
Pmts to Other Than Local Governments	37,294	48,758	49,392	49,392	48,758	50,479	50,479	48,758
TOTAL-General Fund	515,587,799	530,058,936	573,024,606	560,658,123	556,662,639	591,293,223	580,593,960	577,054,142

Additional Funds Available

Special Funds, Non-Appropriated	924,729	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Bond Funds	1,015,102	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Federal Contributions	2,787,834	3,317,236	2,637,500	2,637,500	2,637,500	2,087,500	2,087,500	2,087,500
Private Contributions	791,240	726,587	431,225	431,225	431,225	354,975	354,975	354,975
TOTAL Agency Programs - All Funds Net	521,106,704	536,602,759	578,593,331	566,226,848	562,231,364	596,235,698	585,536,435	581,996,617

Care and Custody

CUSTODY

Statutory Reference

C.G.S. Section 18-81

Statement of Need and Program Objectives

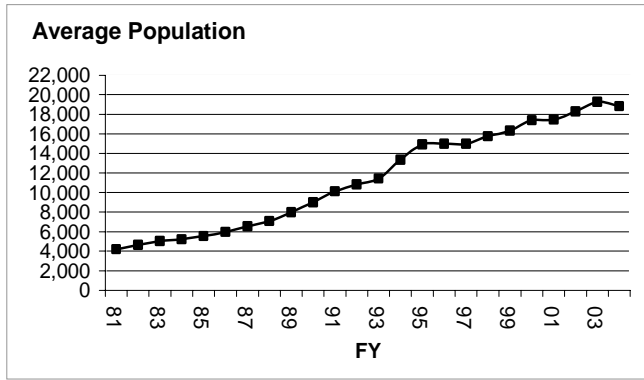
To ensure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process.

Program Description

The Department operates 18 facilities including long-term institutions and shorter-term correctional centers graded by

security level and program function. Each facility has an administrator who translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally there are 21 percent accused and 79 percent sentenced inmates detained in the facilities. Inmates are classified in level 2 (minimum) through level 5 (maximum) security status. Most facilities house inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

The chart below gives a historical perspective on prison growth.



During FY 1981-82, there were 23,103 admissions, 21,681 discharges and the average population was 4,639. In 2002-03, there were 34,645 admissions and 33,432 discharges with an average daily population of 18,288.

Correction Officers and others control all aspects of an inmate's confinement. Staff count, feed, clothe, handle money and property and provide for medical, dental, psychiatric services, visiting, transportation, work and recreation. Staff have ongoing contact with the inmates in the facilities. These functions place additional demand on time and maintenance of physical plants and increase the cost of incarceration.

The classification system is designed to objectively assess an inmate's security, custody and treatment needs. The objective classification system is employed by facilities for all

inmates regardless of legal status or sentence length. The classification ratings track an individual throughout the term of commitment. The system is intended to provide scheduled reviews of security and custody ratings that allow for progressive classification among facilities and programs. Classification is used to best match the individual characteristics of the inmate with the facilities and programs of the Department of Correction. An information base is also established that aids planning and resource allocation.

The growth of special management populations is a concomitant problem. These populations include emotionally disturbed, mentally retarded, inmates with contagious diseases, geriatrics and various categories of inmates requiring segregation for disruptive behavior, disciplinary or protective reasons. The Department has moved to address several of the most acute of these needs. Inmates identified as assaultive, escape risks, Security Risk Group Threat Members (gang affiliated), or sentenced to death are placed at the maximum security Northern Correctional Institution. The MacDougall-Walker Correctional Institution (Walker Building) in Suffield serves as the agency's primary intake facility for males with sentences of two years or greater. The facility provides inmates with skills to assist them in adapting to a structured environment and provides them with coping mechanisms consisting of self-discipline and respect for others that are necessary to successfully integrate them into the inmate population. In addition, the facility also confines pre-trial inmates with high bonds. The Garner Correctional Institution serves as the agency's primary psychiatric care facility for offenders requiring long-term, acute, brief and emergency mental health treatment. A 36-bed psychiatric care facility is also available for seriously emotionally disturbed inmates at the Whiting Forensic Unit of the Department of Mental Health and Addiction Services' Connecticut Valley Hospital.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	5,466	666	-302	5,830	5,830	5,842	5,830	5,842
<i>Other Positions Equated to Full Time</i>								
General Fund			3	3	3	3	3	3

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>(Net of Reimbursements)</i>								
Personal Services	304,990,668	316,642,790	350,508,123	343,100,686	343,983,282	363,732,215	357,932,751	358,478,421
Other Expenses	57,743,438	60,574,035	62,418,168	61,239,237	60,772,355	63,908,650	62,540,293	60,799,753
<u>Capital Outlay</u>								
Equipment	83,377	180,164	2,936,085	2,518,113	1,000	2,878,735	2,385,215	1,000
<u>Other Current Expenses</u>								
Out of State Beds	12,217,568	3,122,091	0	0	0	0	0	0
Inmate Tracking System	38,237	0	0	0	0	0	0	0
Stress Management	29,524	100,000	100,000	0	0	0	0	0
Workers' Compensation Claims	21,005,928	24,125,355	25,161,060	23,161,060	21,161,060	26,153,368	24,153,368	24,153,368
TOTAL-General Fund	396,108,740	404,744,435	441,123,436	430,019,096	425,917,697	456,672,968	447,011,627	443,432,542
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	924,729	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Bond Funds	1,011,936	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Private Contributions	46,585	45,000	45,000	45,000	45,000	45,000	45,000	45,000
TOTAL - All Funds	398,091,990	407,289,435	443,668,436	432,564,096	428,462,697	459,217,968	449,556,627	445,977,542

Custody

PROGRAMS AND TREATMENT SERVICES

Statutory Reference

C.G.S. Section 18-81 and 18-101

Statement of Need and Program Objectives

To ensure public and staff safety and provide safe, secure and humane facilities.

Program Description

Consistent with the Department's goals of ensuring public and staff safety and providing safe, secure and humane facilities, the Program division's mission is to promote risk reduction and to intervene in the lives of the offenders by involvement in the following varied programs:

Offender Programs and Victim Services. The established mission of this unit is to support the DOC Mission by identifying, designing, implementing and evaluating evidence-based programs and services to ensure victim safety and offender accountability.

The established vision of this unit is to be a national leader in the provision of evidenced-based programs and services.

The Victim Services Unit Provides support to crime victims in Connecticut by furnishing comprehensive information concerning the correctional system, supporting the activities of advocacy rights groups and promoting the active participation of victims in the correctional process. Notification of inmates discharged to all registered victims and referrals to appropriate state agencies are also provided. This unit coordinates for a victim awareness program for inmates called VOICES which a total of 328 inmates completed this year. The Victim Services staff processed a total of 13,579 inmate applications for sentence reduction, sentence review, parole board, sex offender registration and other types of release application other than furlough, and has responded to 278 inquires from facilities regarding victim issues. The Victim Services Unit maintains a total of 1625 active files of confidential request of victims requesting notification of inmate release. The Unit processed 905 new requests of confidential requests of notification of inmate releases and processed 627 notifications to victims of inmates who were released from Department of Correction custody. The number of contacts that the Unit has made with citizens, victim advocates, court officials, law enforcement agencies as well as federal authorities numbered 6,567 for the fiscal year 2003-2004.

The Offender Classification and Population Management Unit Five sub-units that together manage the Department's inmate classification, population management, administrative segregation, close custody/chronic discipline, protective custody placement and removal, inmate assessment, inmate record-keeping, interstate compact systems, records and classification operations, and coordination of all sex offender and felony offender DNA sample collection for the Department.

The Population Management Unit Manages the daily transfer activities of inmates between facilities and the objective classification process, providing training and audits throughout the department. The male and female confined populations as of June 30, 2004, were 17,117 and 1,395, respectively, for a facility population of 18,512.

The Assessment Unit Completes initial classification of risk and need scores for all male inmates sentenced to greater than two years, determining the most appropriate facility placement for the assigned level of intervention. During FY 2003-04, the unit assessed 2,412 inmates, performed 2,387 substance abuse evaluations, and held 247 group sessions regarding substance abuse and HIV information. The Assessment Unit also coordinates all sex offender and felony offender DNA collection and registration procedures for the department.

The Central Records Unit Oversees the completion of accurate sentence information and time calculations and maintenance of the official records of all incarcerated offenders. To ensure compliance with Connecticut General Statutes and mandated procedures, this unit provides ongoing training, technical assistance and audits for each facility's records office. During FY 2003-04, staff responded to 13,837 inquiries for inmate information, provided expert witness testimony in 65 court cases; answered 78 requests for judicial verification; completed 2,970 entry deletions from the inmate movement screens and answered 24,999 requests for inmate files.

The Interstate Compact Office Manages the corrections compact and interstate agreement on detainers, participating with 29 other states and the Federal Bureau of Prisons. Comprehensive reviews of inmate candidates are completed so that the population may be appropriately placed, affording a valuable tool for effective population management. As of June 30, 2004, 63 Connecticut inmates were confined in other states. The Federal Bureau of Prison confined 10 Connecticut inmates and 61 Federal and out of state inmates were confined in the Connecticut Department of Correction.

Educational Services. The Department's Education Services unit consists of the Unified School District #1. The District's 18 schools served more than 12,241 incarcerated youth and adults with an average daily enrollment of 2,927. The District awarded 637 GED Diplomas, 4 with honors with an overall passage rate of approximately 60%. Individualized Education Plans were developed for 939 students under the age of 21 who required mandated special education programs. Certificates for completion of vocational training programs were awarded to 606 students in 26 disciplines. During the year, 32% of the agency's incarcerated population was engaged in some formal educational training. Sixty seven (67) formal graduation and recognition ceremonies were conducted as a means to emphasize the importance of education opportunity and accomplishment. Transition efforts have increased and eight career fairs were held throughout the district in an attempt to bridge the gap from incarceration to the community. An ongoing partnership with the CT Commission of Children and with Families in Crisis continues; both intended to improve parent/child relationships through reading to children. The Unified School District #1 continued to provide thousands of hours of service to various communities and state agencies in the areas of graphic printing, woodworking, auto repairs, bicycle/wheelchair repair, culinary arts, etc. Federal education grant funds have been used appropriately to supplement permanent staff services. Through the solicitation of donations and through the wise use of these supplemental grant funds, we hope to provide increased educational opportunities for our students with little or no increase in state monies. By providing exiting students with realistic job and training opportunities along with other community services which are vital to their survival, we hope to reduce the recidivism rate. This will provide significant savings to the taxpayers of the State of Connecticut.

Volunteer & Recreation Services In FY 2003-04, seven hundred sixty one (761) new volunteers representing communities in Southern New England were recruited, screened & oriented, joining an auxiliary corps of 2,500+ volunteers working together with correctional counselors, educators & clergy to improve the quality of offender/inmate physical education, health, addiction, education & religious programs. This year, some 3,261 departmental volunteers contributed 156,528 hours to programs, strengthening & diversifying offender services within the state's correctional facilities, including community-based units.

The in-kind value of these services is equivalent to \$2,471,577 or in terms of hours roughly seventy-five (75) full-time positions.

Most inmates are permitted one-hour of structured phys. ed. & healthful activity coordinated by Recreation Supervisors on a daily basis. Further lessening idle-time, our 3,261 volunteers involved 12,000+ offenders weekly in AA/NA meetings, specialized educational programs and religious services.

Voluntary & structured recreational activities continue to be limited to only those inmates/offenders who remain discipline free, e.g. without restrictions due to behavioral problems.

Additionally, Unit staff coordinated the department's statewide Big Brothers/Sisters Program. Unit staff in facilities conducted activities generating proceeds from inmate & staff fundraisers supporting employees Quality of Work-life Committee functions, the nationally recognized Corrections Hospice Program, CT Special Olympics, homeless shelters and other programs serving people with need.

Religious Services provides inmates with a reasonable opportunity to practice their religious beliefs. This is accomplished in a manner consistent with maintaining the safe and secure operation of a correctional facility. During the previous year, this unit provided an estimated 8,500 worship and study sessions as well as 35,000 plus pastoral counseling sessions. Each facility has a Chaplain who serves as an Institutional Religious Facilitator, providing pastoral care. The Unit, in concert with the Volunteer Services Unit, offered community volunteers the opportunity to provide diverse religious programming to inmates. Religious Services along with the Recreation Services Unit, provided opportunities for inmate choirs to enter the community and provide music.

Outcome Measure

Goal

Offer Education and Vocational programs to offenders for the purpose of supporting staff and public safety, facility order and offender responsibility for productive community membership.

Objectives By providing Adult Basic Education Skills, General Education Development and Vocation Education programs, inmates will acquire improved academic/vocational skills, improved literacy and the ability to function independently. Participation in programs reduces inmate idleness and indicates offenders accepting responsibility for productive community membership.

The Department of Correction's Unified School District #1 enrolls offenders in ABE, GED and vocational education programs and maintains maximum enrollment. ABE, GED and Vocational Education comprise 91% of all education programming.

Measurable outcomes include:

Educational programming provided to 32% of the population. Maintain position as the number one GED awarding school district in the State of Connecticut.

Outcomes

- 637 GEDs awarded in FY 2003-04.
- 2002/03 – 31% of population enrolled in educational programs.
- 2003/04 – 32% of population enrolled in educational programs.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
EDUCATION				
Students Enrolled in Educational Svcs	12,241	12,250	12,250	12,250
General Education Development Diplomas	637	650	650	650
Vocational Education Certificates	606	625	625	625
Special Education-Individualized Plans	939	950	950	950
ADDICTION SERVICES				
Number Served in Tier 1 - Basic S/A Education	5,305	5,500	5,500	5,500
Number Served in Tier 2 - Intensive Outpatient	1,645	1,750	1,750	1,750
Number Served in Tier 3 - Daycare	252	275	275	275
Number Served in Tier 4 - Residential Treatment	918	925	925	925
Total individual counseling sessions	9,739	9,750	9,750	9,750
Total group counseling sessions	9,196	9,250	9,250	9,250
A.A.-Annual Meetings	1,740	1,750	1,750	1,750
N.A.-Annual Meetings	1,071	1,250	1,250	1,250
VOLUNTEER SERVICES				
Hours of Service by Volunteers	156,528	160,000	160,000	160,000
Number of Volunteers	2,500	2,500	2,500	2,500
Total number of average inmates involved weekly	12,000	12,000	12,000	12,000
Number of new volunteers	760	775	775	775
RELIGIOUS SERVICES				
Worship services and studies	8,500	8,500	8,500	8,500
Religious counseling	35,000	35,000	35,000	35,000

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	642	13	-10	645	645	645	645	645

Other Positions Equated to Full Time	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended	
General Fund	34	34	34	34	34	34	34
Federal Contributions	70	70	69	69	62	62	62
Private Contributions	5	5	5	5	3	3	3

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	38,206,028	38,062,618	42,133,461	41,243,037	41,349,131	42,904,024	42,219,949	42,284,313
Other Expenses	648,809	716,173	725,664	711,960	706,534	741,635	725,752	705,562
<u>Capital Outlay</u>								
Equipment	0	0	2,445	2,097	0	0	0	0
<u>Other Current Expenses</u>								
Stress Management	1,854	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Volunteer Services	37,294	48,758	49,392	49,392	48,758	50,479	50,479	48,758
TOTAL-General Fund	38,893,985	38,827,549	42,910,962	42,006,486	42,104,423	43,696,138	42,996,180	43,038,633
<u>Additional Funds Available</u>								
Bond Funds	1,267	0	0	0	0	0	0	0
Private Contributions	743,429	680,362	385,000	385,000	385,000	308,750	308,750	308,750
Federal Contributions								
16579 Byrne Formula Grant Program	66,226	64,000	0	0	0	0	0	0
16586 Vio Offend Incarceration/Truth	477,966	475,000	475,000	475,000	475,000	125,000	125,000	125,000
16593 Subs Abuse Trtmt State Prisoners	484,010	634,121	200,000	200,000	200,000	0	0	0
84002 Adult Ed State Grant Program	91,885	191,488	175,000	175,000	175,000	175,000	175,000	175,000
84009 Ed Handicapped Child St School	0	4,204	0	0	0	0	0	0
84013 Title I Neglect/Delinquent Child	695,792	1,130,473	975,000	975,000	975,000	975,000	975,000	975,000
84048 Vo Education_Basic Grants	362,471	393,672	300,000	300,000	300,000	300,000	300,000	300,000
84151 Ed Improve Ptnership-Fed/St/Loc	14,662	13,228	12,500	12,500	12,500	12,500	12,500	12,500
84331 Grants States-Incarcerated Youth	547,436	407,578	500,000	500,000	500,000	500,000	500,000	500,000
93940 HIV Prevention Activities DPH	18,713	0	0	0	0	0	0	0
93947 Tuberculosis Professional Ed	6,245	0	0	0	0	0	0	0
93950 Capacity Expansion Program	22,428	3,472	0	0	0	0	0	0
TOTAL - All Funds	42,426,515	42,825,147	45,933,462	45,028,986	45,126,923	46,092,388	45,392,430	45,434,883

Programs and Treatment Services

STAFF TRAINING AND DEVELOPMENT

Statutory Reference

C.G.S. Section 18-81

Statement of Need and Program Objectives

To provide a comprehensive program for all employees to enhance their knowledge, skills and abilities and to ensure the safety and security of staff and inmates through training.

Program Description

Training is offered to all employees through the Maloney Center for Training and Staff Development. Training Officers located throughout the system address the specific needs of classified staff at all institutions and specialized field sites.

Orientation Training A ten week classroom/workplace training program, which includes presentations on subjects ranging from interpersonal skills, legal issues, inmate supervision skills, use of force and other security issues, as well as first aid, CPR and AIDS training.

Academy-based training Supplemented by a structured on-the-job training program at facilities to acquaint new employees with specific workplace policies and procedures and job tasks.

In-Service Training An annual, 40-hour session conducted by institutional Training Officers. Topics covered include

behavior management, communicable diseases, Department and institutional policy changes and emergency procedures.

Management Training and Professional Development Is a program for newly appointed supervisors. They receive 120-hours of instruction on both technical and management development topics such as Fundamentals of Supervision, Professional Ethics, Employee Dependability and Performance Appraisals. Workshops are also developed in particular functional skills areas including: Managing the Problem Employee, Time Management, Supervision Skills and Wellness.

Specialized Training Includes leadership development, correctional emergency response teams, fire safety, first aid, instructor development and firearms are conducted to meet department needs. In addition, the center coordinates training through the State community college system, the National Academy of Correction, American Correctional Association and other public/private sources. MCTSD also conducts organizational research initiatives pertaining to staff development and succession management.

Employee Centered Programs Plan, implement and administer proactive programs for staff and their families. The unit focuses on wellness programs, staff training, staff safety training, stress and behavior management and

employee quality of work life issues, including the employee recognition program. The unit coordinates the Critical Incident Stress Response Program and is the Department's liaison with a contractor who provides employee assistance to the Department's employees. The unit believes that employee assistance and wellness programs, when coupled with labor-management endorsement and effective peer and

supervisory support and referral, can be and has been instrumental in ensuring that the relationship between work, the agency, the employee and the employee's family remains constructive and cohesive and that problems on or off the job are effectively addressed before they threaten the delicate balance that criminal justice jobs demand.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
STAFF TRAINING & DEVELOPMENT				
Orientation training graduates	250	350	350	350
In-service training hours	250,000	300,000	300,000	300,000

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	54	11	-10	55	55	55	55	55

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,262,787	3,162,494	3,500,727	3,426,745	3,435,560	3,546,839	3,490,287	3,495,608
Other Expenses	318,122	325,757	330,047	323,814	321,346	337,314	330,090	320,907
<u>Capital Outlay</u>								
Equipment	1,899	0	0	0	0	0	0	0
TOTAL-General Fund	2,582,808	3,488,251	3,830,774	3,750,559	3,756,906	3,884,153	3,820,377	3,816,515
<u>Additional Funds Available</u>								
Bond Funds	1,899	0	0	0	0	0	0	0
Private Contributions	1,226	1,225	1,225	1,225	1,225	1,225	1,225	1,225
TOTAL - All Funds	2,585,933	3,489,476	3,831,999	3,751,784	3,758,131	3,885,378	3,821,602	3,817,740

Staff Training and Development

HEALTH & ADDICTION SERVICES PROGRAM

Statutory Reference

C.G.S. Section 18-81

Statement of Need and Program Objectives

The Health and Addiction Services Unit of the Department of Correction provides for the physical and behavioral health of offenders from admission through reintegration with the community. The Department of Correction collaborates with the University of Connecticut Health Center (UCHC) to facilitate the provision of comprehensive managed health care. Behavioral health services are provided by DOC Addiction Services counselors and UCHC-Correctional Managed Health Care (CMHC) mental health staff. The Health and Addiction Services Unit supports the DOC mission of public safety and community reintegration through provision of evidence-based assessment, treatment and aftercare services.

Program Description

Health care was provided to an average incarcerated population of 18,525 and a community release population of 4,309 offenders which represents total offenders served. Health service staff assessed 271,218 offenders on facility intake and during sick call, conducted 8,781 physical examinations and completed 232,807 mental health evaluations (including 6,026 suicide assessments). HIV testing/screening was conducted for 5,071 offenders. There were 40 inmate deaths, 7 of which were completed suicides and 132 suicide attempts/gestures for fiscal year 03/04.

The Health and Addiction Services Unit Participated in several community initiatives to improve reintegration into the community. The Alternative to Incarceration Committee, Chaired by Commissioner Lantz, brought several key

stakeholders together to develop solutions to the reentry issues that plague inmates upon release, in an effort to reduce recidivism and enhance successful reintegration with the community. Dr. Dan Bannish chaired the sub-committee for mental health and sex offender issues. Recommendations were forwarded to Commissioner Lantz. A continuum of services has been proposed from pre-arrest through reentry as a way to divert or limit incarceration of inmates with psychiatric disabilities. Stakeholders from the community, judicial, mental health services, advocacy and fiscal services were involved in the process. Recommendations for release of sex offenders on supervision as a way to enhance public safety were advanced. Addiction Services staff served on the Community Resources Workgroup.

Health and Addiction Services managers have been an integral part of the planning of a New Haven initiative to identify and provide case management services to inmates releasing into the New Haven community. The New Haven Engagement Group Prisoner Reentry Initiative has pulled together a host of stakeholders, service providers and State agencies to develop a comprehensive plan to reintegrate New Haven residents into that community following incarceration. This collaboration is consistent with successful models utilized in other cities, and is being explored for application in other Connecticut cities. The Byrne Grant proposal for Transitional Case Management is in the process of implementing a similar model in Waterbury. The Prison Hospice programs at MacDougall/Walker CI and York CI were visited by the Continuing Grace Project of the National Hospice and Palliative Care Organization this past year. CT DOC in collaboration with CMHC was ranked in the top 20 for prison hospice programs in the United States because of the active involvement and ownership of success

by the inter-disciplinary team, active involvement by custody, visible support from the warden, high visibility in general inmate population and effective use of organizational influence and support.

The DOC continues to collaborate with UCHC on a National Institute of Justice grant to implement Dialectical Behavior Therapy for behaviorally dysregulated inmates with psychiatric disorders at Garner Correctional Institution. This will be expanded to Osborn Correctional Institution and Manson Youth Institution. The Serious and Violent Offender Reentry Initiative through the Department of Justice grant and in conjunction with DMHAS will also begin providing mentally ill inmates with skill based treatment while incarcerated and wrap around discharge services through their transition to the community for up to one (1) year. DMHAS is involved with several stakeholders including the DOC in a SAMSA grant around developing an integrated model of mental health and addictions treatment for people with co-occurring disorders. DOC and DMHAS are also collaboration on a Byrne grant to provide transitional community aftercare services for inmates with serious addictions problems.

The DOC entered into an agreement with the Office of Protection and Advocacy to have all inmates at Northern Correctional Institution independently assessed by DMHAS to determine if they meet the criteria for serious mental illness. Those that reach these criteria will be transferred to Garner Correctional Institution for treatment. A compliance audit tool will be used to ensure that all parts of the agreement are adhered to.

DNA Felony Samples - Public Act 03-242 outlined that the Department of Correction would be responsible for the collection of a biological sample from inmates with felony convictions for DNA Databank Evidence Collection. Using DNA Buccal swab kits, the collection began on March 22, 2004, in all of the Health Services Units in each facility throughout the state. The DOC Health Services Unit wrote the procedure for the collection of the specimens. The process was a collaborative effort by Population Management and DOC Health and Addiction Services Unit. At this time, the swabs are obtained and taken by courier to the Forensic Lab in Meriden. The DOC Health and Addiction Services Unit continues to field issues and questions regarding the obtaining of swabs for all of the facilities.

Mental Health Consolidation The DOC in concert with UCHC/CMHC has developed and is implementing a

consolidation of mental health services. All male offenders with serious and/or acute psychiatric disabilities will be housed, assessed and treated at Garner Correctional Institution. Treatment will include four cognitive behavioral group tracks based on behavior and symptomology. Similar tracks will be adopted at Manson Youth Institution and York Correctional Institution. Treatment need will supercede security level unless there are exigent circumstances related to safety and security of the facility. This plan will involve concentrating resources and expertise in one facility and enhancing and supporting reentry and Alternative to Incarceration initiatives. Custody and health services staff have received additional training to facilitate the transition.

Addiction Services Unit Provides substance abuse treatment programs for chemically dependent offenders throughout the correctional system. Treatment programming is available at five levels of intervention, based upon the duration and intensity of treatment required by individual needs, and the point of impact at which intervention is designed to be most effective. The five levels of treatment are Tier 1, basic substance abuse education; Tier 2, intensive outpatient; Tier 3, daycare; Tier 4, residential treatment; and Community Addiction Services (CAS), a community based intensive outpatient program. According to the department's objective classification system, approximately 87 percent of the 17,998 offenders have a significant need for drug and alcohol treatment.

Figures listed may reflect overlapping use of services:

- Staff and volunteers provided treatment services to 16,856 offenders in 19 facilities and 4 Community Addiction Services Units.
- Three DUI programs served 903 offenders.
- Six Tier 1 programs served 5,305 offenders.
- Thirteen Tier 2 programs served 1,645 offenders.
- Three Tier 3 programs served 252 offenders.
- Four Tier 4 programs served 918 offenders.
- Four CAS Units served 1719 offenders.
- The unit conducted 9,739 individual counseling sessions and 9,196 group-counseling sessions, with 91 staff in 23 program sites.
- The unit facilitated 1,740 Alcoholics Anonymous (AA) meetings, and 1,071 Narcotics Anonymous (NA) meetings utilizing 87 staff and 3,328 volunteer visits.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
HEALTH SERVICES				
Physicals (Intake)	8,781	9,000	9,000	9,000
HIV Testing/Screenings	5,071	5,250	5,250	5,250
Psychiatric Evaluations	232,807	250,000	250,000	250,000

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	5	0	0	5	5	5	5	5

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	579,112	621,623	688,106	673,564	675,297	704,850	693,612	694,669
Other Expenses	12,683	13,511	13,705	13,446	13,344	14,010	13,710	13,329
<u>Other Current Expenses</u>								
Inmate Medical Services	77,410,471	82,363,567	84,457,623	84,194,972	84,194,972	86,321,104	86,058,454	86,058,454
TOTAL-General Fund	78,002,266	82,998,701	85,159,434	84,881,982	84,883,613	87,039,964	86,765,776	86,766,452

Health Services Program

COMMUNITY SERVICES

Statutory Reference

C.G.S. Section 18-81 and 18-101h-101k and Public Act 04-234

Statement of Need and Program Objectives

To protect the community and foster offenders' successful community reintegration.

Program Description

Community Services functions under the guidance of the newly formed Parole and Community Services Unit which plans, develops, supervises and evaluates a case-management counseling program of supervision, service intervention, and electronic monitoring in order to protect the community and foster inmates' successful community reintegration. Preparation for case management community supervision begins on the first day of incarceration. It continues with a consistent program methodology and monitoring in the community. The model relies on the Parole Officer to develop and monitor a comprehensive individual case plan for all offenders emphasizing concepts of risk management, relapse prevention, life skills, incentives and sanctions, and treatment interventions. The program is intended to assist the offender with community integration and emphasizes the effective utilization of contracted community residential and nonresidential programs including Alternative Incarceration Centers (AIC's).

Under the Deputy Commissioner of Operations, the Director of Parole and Community Services is responsible for the Unit's administration, operations, and planning. This includes responsibility for the overall supervision, case management, risk management, and monitoring of offenders supervised in the community; and for the coordination and supervision of Department of Correction community offices as well as the management of contracted residential, nonresidential and AIC utilization. The Director is in the process of implementing the Staffing and Operational merger recommendations of the Parole and Community Services Transitional Committee approved by the Commissioner. The objectives are to:

- Consolidate the caseloads of Parole and Community Enforcement into a unified Parole and Community Services Unit;
- Consolidate Parole and Community Enforcement Unit District Offices to one location within a municipality or region;
- Base the intensity of supervision on one validated risk and needs instrument;
- Unify the scheme of graduated sanctions within the community;

- Ensure the most efficient and effective use of community residential beds by matching the most needed community-supervised offenders with appropriate programs;
- Embark upon a reexamination of institutional and community programs to ensure that they are complimentary and foster the provisions of meaningful wrap-around services.

In Fiscal Year 04, the average number of offenders supervised monthly by Community Enforcement through Transitional Supervision increased from approximately 990 in the beginning of the fiscal year to the current level of 1,035. The total number of inmates participating in the Transitional Supervision program was 3,810. Staff were responsible for the day-to-day supervision, including curfew monitoring of offenders in the community, ensuring that all offenders comply with the conditions of their release. In Fiscal Year 2004, the Board of Parole had an average caseload of 2,050 and supervised an accumulative number of 2,700 parolees. Twenty-four hour on-call responsibilities were provided by Parole and Community Enforcement management staff.

In 04, Community Enforcement contracted with twenty-one (21) community agencies, providing fifty-five (55) different programs. Thirty-two (32) residential programs provided 735 beds, 621 male and 114 female beds, with 100% occupancy. Twenty-three (23) nonresidential programs provided a variety of services to offenders including outpatient substance abuse counseling, mental health evaluation and treatment, anger management, domestic violence, education, employment assistance, individual, couples and family counseling, family training, child care education, transportation and other social services. In 04, the Board of Parole had 55 residential beds under contract with 100% occupancy. In addition, the Board of Parole had contracts with 17 AIC's. At the AIC's, parolees were seen by a case manager and services included drug screening, group and individual counseling, job assistance, and education. Also, the Board of Parole contracted with the University of Connecticut Correctional Managed Health Care for drug and alcohol assessments, cognitive behavioral addiction treatment and drug screening. The Unit contracted with Connection, Inc. for sex offender assessments within the correctional facilities, and community outpatient counseling.

Participation in a contracted residential or nonresidential community service program may be a stipulated condition of the offender's case management plan or may be voluntary on the part of the offender. Community contracted programs also educate the public about criminal justice issues and promote citizen participation and public policy debate on issues of concern both in the criminal justice system and in the public arena.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
COMMUNITY SERVICES				
TRANSITIONAL SUPERVISION				
Total TS participants	3,810	4,000	4,000	4,000
Average monthly offenders supervised	1,035	1,100	1,100	1,100
RESIDENTIAL PROGRAM				
Budgeted Beds	735	1,040	1,040	1,040

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	67	11	-10	68	68	115	68	115

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,194,094	4,020,237	4,450,206	4,356,158	4,367,364	4,603,357	4,529,960	4,536,866
Other Expenses	814,725	1,046,213	1,061,656	1,041,607	1,033,669	1,085,210	1,061,968	1,032,426
<u>Other Current Expenses</u>								
Prison Overcrowding	572,989	0	0	0	0	0	0	0
<u>Pmts to Other Than Local Governments</u>								
Aid to Paroled and Discharged Inmates	8,700	8,750	9,000	9,000	9,000	9,500	9,500	9,500
Volunteer Services	93,315	122,000	123,586	123,586	122,000	126,305	126,305	122,000
Community Support Services	19,763,562	22,568,397	22,649,368	24,533,426	26,645,968	23,147,654	25,606,781	28,145,968
TOTAL-General Fund	<u>25,447,385</u>	<u>27,765,597</u>	<u>28,293,816</u>	<u>30,063,777</u>	<u>32,178,001</u>	<u>28,972,026</u>	<u>31,334,514</u>	<u>33,846,760</u>
<u>Additional Funds Available</u>								
Bond Funds	2,430	0	0	0	0	0	0	0
Private Contributions	62,938	16,000	16,000	16,000	16,000	16,000	16,000	16,000
Federal Contributions								
	140,816	0	0	0	0	0	0	0
TOTAL - All Funds	<u>25,653,569</u>	<u>27,781,597</u>	<u>28,309,816</u>	<u>30,079,777</u>	<u>32,194,001</u>	<u>28,988,026</u>	<u>31,350,514</u>	<u>33,862,760</u>
Community Services								

CORRECTIONAL ENTERPRISES OF CONNECTICUT

Statutory Reference

C.G.S. Sections 4-57, 4-57a and 18-88

Statement of Need and Program Objectives

To reduce inmate idleness, teach meaningful job skills and work habits and prepare inmates for release into the community workplace.

Program Description

The department administers the Correctional Enterprises of Connecticut (CEC) and Connecticut Correctional Commissaries Programs.

Correctional Enterprises Employs inmates in various manufacturing and service industries.

Correctional Commissaries Employs inmates in various job functions relative to the sale and delivery of commissary goods to the inmate population. The activities in this program are operating under a self-supporting revolving fund.

CORRECTIONAL ENTERPRISES OF CONNECTICUT

Statutory Reference

C.G.S. Section 18-88

Statement of Need and Program Objectives

To provide a program that maximizes inmate employment and vocational education skill development by offering a work setting within institutional walls that replicates private industries while maintaining a safe and secure setting for staff and inmates. CEC will be self-supporting, generating sufficient revenues from the sale of products and services to meet the costs of operation, and support the mission of the Agency.

Program Description

The Correctional Enterprises Unit sold \$6,391,385 in products and services to state, municipal and nonprofit agencies during FY 2003-04. CEC employed over 450 inmates on an average daily basis. This unit also serves the operational goals of the Department by reducing inmate idleness by

teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace.

Manufacturing endeavors include garment/apparel manufacturing, printing services, silk-screening (apparel/metal), regulatory and custom signs, name badges/custom plaques, mailing services, office systems, office furniture and ergonomic seating, furniture refinishing and re-upholstery, laundry services, eyeglass manufacturing and dental prosthetics, mattress/pillow manufacturing, data processing and optical scanning services, vehicle markers, metal beds and footlockers.

Offenders participating in the private sector drapery program contribute through payroll deductions to family support, the Victim's Services Fund, taxes and room and board to offset the costs of incarceration.

CEC product lines can be viewed through the DOC web site located at <http://www.doc.state.ct.us/enterprise>

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
CORRECTIONAL ENTERPRISES OF CONNECTICUT				
Sales (\$000)	6,391	7,000	7,000	7,000
Inmates Employed	450	450	450	450

CONNECTICUT CORRECTIONAL COMMISSARIES

Statutory Reference

C.G.S. Sections 4-57, 4-57a and 18-88

Statement of Need and Program Objectives

To sell and deliver products each week to the more than 19,000 inmates incarcerated in the State of Connecticut, while maintaining a safe and secure environment for both staff and inmates. To provide inmates the best products available, with the lowest potential for misuse, at the lowest prices, for purchase with their own funds and earnings from inmate work opportunities, thus providing personal incentive rather than complete General Fund dependency. The Commissary attempts to be sensitive to inmates' limited funds and has accommodated this with price reductions when feasible. To operate the Department's three district commissaries within the constraints of the operating budget while maintaining profitability to support the operation and provide residual profit to the Welfare Fund for humanitarian purposes benefiting the incarcerated.

Program Description

Correctional Commissaries sold \$12,096,498 worth of goods to inmates during FY 2003-04 including Holiday Package sales of \$323,113. These goods included such commodities

as health and beauty aids, over-the-counter medications, snacks and other food items, certain clothing, footwear, audiocassettes and electronic products.

The sales from these products are designed to generate sufficient income to pay for cost of goods, supplies and salaries directly related to the operation of this self-supporting program.

Inmates are encouraged to work in the commissaries to learn about retail and warehousing operations, ordering and inventory control. Approximately 120 inmates currently are employed. This program assists them with re-entry into the community and provides usable skills for employment opportunities.

<i>Three-Year Sales History</i>	
2001/2002:	\$12,326,564
2002/2003:	\$12,522,128
2003/2004:	\$12,096,498

Program Measure

CORRECTIONAL COMMISSARIES

Sales (\$000)

Inmates Served, weekly opportunities

Inmates Employed

2003-2004	2004-2005	2005-2006	2006-2007
<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
12,096	12,350	12,350	12,350
19,000	19,000	19,000	19,000
120	120	120	120

CONSIDERATION OF PAROLE

Statutory Reference

C.G.S. Sections 54-124a through 54-133 and 54-138a

Statement of Need and Program Objectives

To protect the public by releasing only those offenders who will likely live and remain at liberty without violating the law; by releasing prisoners only under those conditions which will maximize their chances of adopting a lawful lifestyle; by returning to prison any parolee who will not comply with the conditions of parole or poses a danger to the community and by sharing information with all areas of the criminal justice system in order to ensure that responsible decisions are made and the welfare of society is protected. To give victims a voice by ensuring victims are notified of pending hearings and are advised of their rights and options within the parole process.

Program Description

The members are appointed by the Governor. The Board of Parole decides whether to release or continue confinement of offenders after carefully evaluating case factors. Not less than two Board members must be present, thus in the case of a three-member panel, only a majority vote is required. The Board continues to maintain its interest in the public safety and in the rehabilitation of the offender by strictly enforcing parole conditions and returning inmates to confinement when deemed necessary - via the parole rescission and revocation processes.

The Hearings Division Ensures that all eligible offenders are reviewed for parole consideration in an organized and timely

manner and that release decisions are based on accurate, comprehensive and thorough case investigations. Also, the division is responsible for the timely scheduling of all revocation and rescission hearings.

Each case being reviewed for parole consideration requires a detailed Parole Summary. These comprehensive summaries provide Board members with information regarding applicant's criminal, social and correctional history. If the inmate is granted parole, these summaries then form the basis of information upon which field parole officers Case Investigations develop case management, treatment and supervision plans. These summaries also detail each parole eligible inmate's offense(s), adjustment and achievement during incarceration and letters from interested parties supporting or opposing parole.

In full panel hearings, panel members are provided with comprehensive case files prior to the hearing date for review. During these hearings the inmates are present.

Administrative Reviews, usually based on specific criteria, allows for parole decisions to be rendered by a two-member panel without the inmate present.

Revocation Hearings are conducted in cases of persons who have allegedly violated conditions of their parole and who are entitled to a hearing before a panel of the Board.

The Board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, Rescission Hearings are conducted prior to release.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Number of offenders granted parole	2,342	2,980	3,300	3,500
Number of offenders denied parole	532	620	680	750
Rescinded parole	50	55	65	65
Revoked parole	445	450	460	500
Successful completion of parole	980	1,570	1,810	1,910
Average number of parolees supervised in community	2,427	3,940	4,560	4,810
Average number of parolees supervised out of state	182	200	205	210

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	0	0	71	71	71	47	71	47

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,559,019	0	0	0	0	0	0	0
Other Expenses	907,284	0	0	0	0	0	0	0
<i>Other Current Expenses</i>								
Parole Staffing & Operations	0	6,393,982	8,705,920	7,843,921	3,809,548	9,159,378	8,264,467	3,976,548
Parole Support Services	0	3,581,302	3,583,949	3,754,058	0	3,662,796	3,924,492	0
TOTAL-General Fund	5,466,303	9,975,284	12,289,869	11,597,979	3,809,548	12,822,174	12,188,959	3,976,548

Consideration of Parole**CONSIDERATION OF PARDONS***Statutory Reference*

C.G.S. Sections 18-24a and 18-26

Statement of Need and Program Objectives

To provide jurisdiction over the granting of commutations of punishment or release, either with conditions or absolute, in the case of any person convicted of any offense against the state. To determine whether there shall be commutations from the death penalty.

Program Description

The Board of Pardons has the authority to grant pardons to persons convicted of any offense other than motor vehicle.

Consideration of Pardon applications are submitted for action by the board at its scheduled sessions. Consideration is given for pardon based on mercy. In deciding on whether to grant a pardon, the board reviews the following: the nature of the offense; time since the occurrence; the person's behavior since the offense; lack of criminal record since the offense; efforts to rehabilitate and any other pertinent information. The board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Applicants for consideration Inmates/Non-Inmates	225/250	225/250	225/250	225/250
Pardons granted Inmates/Non-Inmates	0/125	0/125	0/125	0/125
Number of Hearings Conducted Inmates/Non-Inmates	5/125	5/125	5/125	5/125
Request for applications	850	850	850	850

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	26,125	26,721	27,067	26,721	26,721	27,663	26,721	26,721
TOTAL-General Fund	26,125	26,721	27,067	26,721	26,721	27,663	26,721	26,721

Consideration of Pardons

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 18-81

Statement of Need and Program Objectives

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description

The primary areas of Management Services include the Office of the Commissioner, Management Information Systems, Fiscal Services, Human Resources, External Communications, Affirmative Action and Facilities Management & Engineering Services.

The Office of the Commissioner Formulates policy and procedures; develops innovative solutions to difficult problems; designs, activates and monitors programming for care and custody of the inmate population and initiates long and short range planning.

Management Information Systems Develops and maintains an on-line inmate tracking and management system that provides data and statistics pertaining to demographics, institutional movement, assessment, sentencing and criminal records of inmates. Inmates' records are made available on a restricted basis to the judicial system and state and local police.

The unit is responsible for the installation, management and support of CorrectNet, a 3000 user network that provides a range of data services including electronic mail, office automation, network printing, data backup, access to core agency systems and the internet. The Department's information technology needs are supported by the DOC

helpdesk, which handles approximately 1000 support calls each month. The unit also is responsible for the maintenance and support of the DOC web site. Other services include development and support of the inmate photo image system and video conferencing for parole, court and out-of-state hearings.

Fiscal Services Prepares and administers the Department's budget, reviews and administers contracts and grants, provides oversight for fiscal management operations and promulgates fiscal policy and procedures. This is accomplished through the Accounting, Accounts Payable, Budget, Commissary, Fiscal Field Services, Fiscal Standards & Development, Fiscal Support, Grants and Contracts, Inmate Trust, Inventory, Purchasing and Warehouse Sections.

Human Resources Centralizes services for employee recruitment, retention, compensation, benefit, administration, records management, represents the Department in policy development and implementation and in labor relations and employee grievances.

External Affairs Provides for inter- and intra-governmental liaison and is the Department's primary distributor of public information.

Affirmative Action Ensures that the principles of equal employment opportunity and affirmative action are an integral part of employment and advancement of all employees of the Department.

Facilities Management & Engineering Services Manages maintenance, construction, fire safety, engineering and environmental functions within the Department. They also provide technical assistance in the development of the Capital Budget.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	64	11	-10	65	65	65	65	65
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<i>(Net of Reimbursements)</i>								
Personal Services	4,541,779	4,688,119	5,189,519	5,079,847	5,092,914	5,420,703	5,334,274	5,342,406
Other Expenses	847,121	1,055,321	1,187,274	1,164,852	1,155,976	1,214,013	1,188,013	1,154,964
<i>Other Current Expenses</i>								
Out of State Beds	11,383	2,909	0	0	0	0	0	0
<i>Pmts to Other Than Local Governments</i>								
Legal Services to Prisoners	729,874	768,595	778,587	778,587	768,595	795,716	795,716	768,595
TOTAL-General Fund	6,130,157	6,514,944	7,155,380	7,023,286	7,017,485	7,430,432	7,318,003	7,265,965
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	101,089	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Bond Funds	178,143	250,000	250,000	250,000	250,000	250,000	250,000	250,000
Private Contributions	11,721	10,644	0	0	0	0	0	0
TOTAL - All Funds	6,421,110	6,875,588	7,505,380	7,373,286	7,367,485	7,780,432	7,668,003	7,615,965

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	297,904,438	292,137,332	328,840,836	331,976,581	339,149,324	344,095,175
Other Positions	2,357,530	2,258,471	2,020,705	2,020,705	2,022,863	2,022,863
Other	16,279,506	16,748,770	25,197,539	22,219,080	27,475,367	24,496,908
Overtime	42,792,013	56,878,308	51,236,062	43,512,182	53,089,434	45,042,337
TOTAL-Personal Services Gross	359,333,487	368,022,881	407,295,142	399,728,548	421,736,988	415,657,283
Less Reimbursements	0	-825,000	-825,000	-825,000	-825,000	-825,000
Less Turnover	0	0	-15,802,360	-16,234,009	-16,296,836	-16,234,009
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	359,333,487	367,197,881	390,667,782	382,669,539	404,615,152	398,598,274
	1,785,867	1,998,598	2,024,580	1,998,598	2,069,121	1,998,598
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	35,587	30,975	31,377	30,975	32,067	30,975
Utility Services	11,618,735	12,785,476	13,234,492	12,900,504	13,578,989	12,900,504
Rentals, Storage and Leasing	1,975,004	2,033,807	2,060,246	2,209,407	2,105,573	2,209,407
Telecommunication Services	2,208,721	2,317,493	2,347,621	2,317,493	2,399,270	2,317,493
General Repairs	2,222,194	2,274,716	2,304,289	2,274,716	2,354,982	2,274,716
Motor Vehicle Expenses	1,706,192	1,859,339	1,883,511	1,859,339	1,924,948	1,859,339
Fees for Outside Professional Services	1,394,392	904,769	918,644	904,769	940,828	904,769
Fees for Non-Professional Services	627,189	677,432	686,238	677,432	701,336	677,432
DP Services, Rentals and Maintenance	3,350,087	2,554,593	2,587,804	2,554,593	2,644,735	2,554,593
Postage	299,066	388,882	393,937	388,882	402,604	388,882
Travel	68,310	70,439	71,355	70,439	72,925	70,439
Other Contractual Services	1,524,141	1,765,258	1,788,205	1,765,258	1,827,545	1,765,258
Advertising	9,667	18,417	18,656	18,417	19,066	18,417
Printing & Binding	16,050	25,745	26,080	25,745	26,654	25,745
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	13,875,580	13,616,133	14,614,828	13,597,719	14,936,355	13,597,719
Books	156,122	165,407	167,558	165,407	171,245	165,407
Clothing and Personal Supplies	3,211,429	3,803,577	3,853,024	3,803,577	3,937,791	3,803,577
Maintenance and Motor Vehicle Supplies	6,296,765	8,400,645	8,522,295	8,400,645	8,712,047	8,400,645
Medical Supplies	20,980	31,678	32,090	31,678	32,796	31,678
Fuel	5,143,160	5,359,789	5,467,801	5,359,789	5,615,432	5,359,789
Office Supplies	3,149,232	3,415,732	3,460,137	3,415,732	3,536,262	3,439,449
Refunds of Expenditures Not Otherwise Classified	609,636	767,831	777,813	767,831	794,924	767,831
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	12,679	0	0	0	0	0
TOTAL-Other Expenses Gross	61,316,785	65,266,731	67,272,581	65,538,945	68,837,495	65,562,662
Less Reimbursements	0	-1,509,000	-1,509,000	-1,509,000	-1,509,000	-1,509,000
TOTAL-Other Expenses Net	61,316,785	63,757,731	65,763,581	64,029,945	67,328,495	64,053,662
<i>Other Current Expenses</i>						
Out of State Beds	12,228,951	3,125,000	0	0	0	0
Inmate Tracking System	38,237	0	0	0	0	0
Stress Management	31,378	100,000	100,000	0	0	0
Workers' Compensation Claims	21,005,928	24,125,355	25,161,060	21,161,060	26,153,368	24,153,368
Inmate Medical Services	77,410,471	82,363,567	84,457,623	84,194,972	86,321,104	86,058,454
Prison Overcrowding	572,989	0	0	0	0	0
Parole Staffing & Operations	0	6,393,982	8,705,920	3,809,548	9,159,378	3,976,548
Parole Support Services	0	3,581,302	3,583,949	0	3,662,796	0
TOTAL-Other Current Expenses	111,287,954	119,689,206	122,008,552	109,165,580	125,296,646	114,188,370
<i>Pmts to Other Than Local Govts</i>						
Aid to Paroled and Discharged Inmates	8,700	8,750	9,000	9,000	9,500	9,500
Legal Services to Prisoners	729,874	768,595	778,587	768,595	795,716	768,595
Volunteer Services	130,609	170,758	172,978	170,758	176,784	170,758
Corrections		521				Department of Correction

Budget-in-Detail

Community Support Services	19,763,562	22,568,397	22,649,368	26,645,968	23,147,654	28,145,968
TOTAL-Pmts to Other Than Local Govts	20,632,745	23,516,500	23,609,933	27,594,321	24,129,654	29,094,821

Character & Major Object Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	359,333,487	367,197,881	390,667,782	381,646,028	382,669,539	404,615,152	397,966,824	398,598,274
Other Expenses Net	61,318,307	63,757,731	65,763,581	64,521,637	64,029,945	67,328,495	65,886,547	64,053,662
Capital Outlay	85,276	180,164	2,938,530	2,520,210	1,000	2,878,735	2,385,215	1,000
Other Current Expenses	111,287,954	119,689,206	122,008,552	118,954,011	109,165,580	125,296,646	122,400,781	114,188,370
Payments to Other Than Local Governments	20,632,745	23,516,500	23,609,933	25,493,991	27,594,321	24,129,654	26,588,781	29,094,821
TOTAL-General Fund Net	552,657,769	574,341,482	604,988,378	593,135,877	583,460,385	624,248,682	615,228,148	605,936,127
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	1,025,818	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
Bond Funds	1,195,675	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000
Federal Contributions	2,928,650	3,317,236	2,637,500	2,637,500	2,637,500	2,087,500	2,087,500	2,087,500
Private Contributions	865,899	753,231	447,225	447,225	447,225	370,975	370,975	370,975
TOTAL-All Funds Net	558,673,811	581,261,949	610,923,103	599,070,602	589,395,110	629,557,157	620,536,623	611,244,602

NOTE: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

DEPARTMENT OF CHILDREN AND FAMILIES

Making A Difference For Children, Families And Communities

AGENCY DESCRIPTION

WWW.STATE.CT.US/DCF

Improving child safety, ensuring that more children have permanent families and advancing the overall well being of children is the central focus of the Department of Children and Families (DCF). DCF protects children who are being abused or neglected, strengthens families by giving parents and caretakers tools to better raise children and builds on existing strengths in families and communities to help children facing emotional and behavioral challenges, including those committed to the department by the juvenile justice system.

DCF, established under Section 17a-2 of the Connecticut General Statutes, is one of the nation's few agencies to offer child protection, behavioral health, juvenile justice and prevention services. This comprehensive approach to helping children enables DCF to offer quality services regardless of how their problems arise. Whether children are abused and/or neglected, are involved in the juvenile justice system, or have emotional, mental health or substance abuse issues, the Department can respond to all these children in a way that draws upon family, community and state resources to help them.

The agency recognizes the importance of family and strives to support children in their homes and communities. When this is not possible, the placement that meets the child's individualized needs in the least restrictive setting is pursued. When services are provided out of the child's home, whether in foster care, residential treatment or in a DCF facility, they are designed to return children safely and permanently back to the community.

In October 2003, the Federal Court approved the Exit Plan that established 22 performance-based outcomes for the department to achieve by November 2006. The foundation of the Positive Outcomes for Children Plan is consistent with the philosophy of our mission statement and guiding principles. The department identified four major categories that are critical to the achievement of these outcome measures and demonstrates the department's commitment to improve practice and service delivery for our families:

- 1). Develop a comprehensive assessment process – A holistic approach to assessing families beginning with the development of a risk and safety protocol and multidisciplinary consultations at critical points throughout the life of a case.
- 2). Strengthen the treatment planning process – Treatment plans will be a fluid document that accurately reflects current assessments, family input, case progress, service delivery, treatment planning goals, case decisions and expectations.
- 3). Strengthen the role of supervision – Case consultation will center around the treatment plan with an emphasis on assessment, treatment planning and concurrent planning.
- 4). Development of a managed service system – Each area office will have the capacity to assure a comprehensive and coordinated array of services will be available to meet the individualized behavioral needs of children.

The close of fiscal year 2004 marks the second full year of operation for many of the community-based KidCare funded services. Funds dedicated to KidCare have supported mobile crisis teams, additional care coordination, intensive home-based services and extended day treatment services. In addition, two crisis stabilization units have been developed to address the acute needs of children who do not require immediate hospitalization, but for whom an extended evaluation outside of their home environment is indicated.

Emergency Mobile Services

The statewide network of children's mobile crisis team continues to respond to urgent calls from a variety of sources seeking immediate assistance or consultation regarding a child's behavioral health concerns. The sixteen emergency mobile crisis teams have answered over 10,000 calls since the program's inception in 2002. During the 4th quarter of 2004 there were 1,611 calls to the mobile crisis teams throughout the state. Approximately a third of the children who received services from the mobile crisis teams were DCF involved.

Care Coordination

Care Coordinators continue to provide assistance to families who need help organizing their child's treatment and to identify appropriate services. During fiscal year 2004; 934 children received this service statewide. There are 60 state-funded care coordinators working to keep children at home and in their communities. The majority of children involved with care coordination are not DCF-involved.

Crisis Stabilization Units

Crisis Stabilization Units were developed to assist youngsters in crisis who need extensive evaluation and support but who do not meet criteria for psychiatric hospitalization. The two programs, one located on the UCONN Health Center campus (operated in collaboration with Wheeler Clinic) and one on the campus of the Children's Center in Hamden, opened in June, 2003, and have together served 181 children within their 8 bed programs.

Intensive Home-Based Services

DCF funds a statewide network of intensive home-based services. Teams of mental health professionals and support staff work closely with targeted children and their families to provide intensive treatment and rehabilitative services in the child's home.

Through 24 existing contracts, approximately 200 families can be offered care at any given time. During fiscal year 2004, 441 families were served utilizing one of three home-based models Intensive In-Home Child and Adolescent Psychiatric Services (IICAPS), Functional Family Therapy (FFT) or Multi-Dimensional Family Therapy (MDFT).

An intensive in-home family based therapy and counseling program, known as Multi-Systemic Therapy, is providing cost effective services to more than 200 families with youth in the juvenile justice system. MST is a very effective program for children that can be maintained in a less restrictive setting. MST has been proven to be as effective with this group as residential services in reducing recidivism but is less than one-third the cost.

During the past year the department created the Bureau of Juvenile Services to meet the specific needs of the Juvenile Justice population. The Juvenile Services Bureau is engaged in a continuous improvement plan to develop the necessary skills and infrastructure to provide this population with innovative programming that has proven to be successful. The Plan emphasizes staff training in the areas of treatment and behavior management. Physical plant changes are underway at the Connecticut Juvenile Training School to create a treatment-oriented atmosphere. The bureau is developing and expanding girls' gender specific programming, including elements of trauma and individualized treatment. The bureau is creating and implementing a new risk instrument and transition-planning model as the cornerstone of a community reintegration plan that will include community employment, mentoring, multi-dimensional family therapy,

and community service projects as part of the Balanced and Restorative Justice model. Juvenile Services is making great strides in establishing a well-rounded and comprehensive Juvenile Justice program to serve the individual needs of children.

Energy Conservation Statement

No energy conservation statement is on file for this agency.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-665,706	-1,664,319
• Transfer Equipment to CEPF	-1,999,000	-2,499,000
• Fund Non-ERIP Accruals Through the RSA Account	-232,568	-235,208
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-13,322	-31,747
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-386,500
• Cap Administrative and General Costs of Private Providers <i>This proposal caps administrative costs for grantees at 18% in FY 07. As a result, providers will be expected to manage administrative and indirect costs as efficiently and effectively as possible while at the same time maximizing funding for direct services.</i>	0	-5,234,371
• Remove Funding for a Failing Safe Home <i>A contract for a Safe Home will not be renewed next fiscal year by joint agreement.</i>	-842,507	-842,507
• Return High Risk Youth from Out-of-State <i>By reallocating resources at the Connecticut Juvenile Training School (CJTS) to develop a program on campus to serve high risk youth, the agency will be able to bring boys with severe service needs back to Connecticut from out of state facilities. Savings will occur by not refilling these out of state placements.</i>	-2,601,720	-2,704,227
• Reduce Staffing at CJTS Due to Reduced Census <i>The Connecticut Juvenile Training School (CJTS) has historically had a census of approximately 140 boys. Retooling programs at CJTS will result in a census of about 100. This initiative reduces 26 positions at CJTS and reallocates 10 positions from CJTS to other needs within the department.</i>	-995,845	-993,967

Within Current Services

• Transfer Funding for the Nurturing Families Network in the City of Hartford <i>Funds for the Nurturing Families Network in the City of Hartford are transferred to the Children's Trust Fund to properly account for program responsibility.</i>	-1,831,400	-1,870,305
• Establish Oversight Monitor for CJTS <i>Funds are provided to reinstate an oversight monitor at the Connecticut Juvenile Training School (CJTS). The monitor will report to the Child Advocate but be paid by DCF.</i>	46,800	46,800

Reallocations or Transfers

• Reallocate Funding for Family Violence Contracts from Judicial <i>Funding for three small contracts for Family Violence programs are reallocated from Judicial to DCF to more appropriately align program responsibility. Programs were previously supported with federal funds.</i>	159,104	159,104
• Transfer Responsibility for Children with Mental Retardation from DCF to DMR <i>This initiative transfers responsibility for the care of children in the Voluntary Services program at DCF who are also clients of the Department of Mental Retardation (DMR) from DCF to DMR. DMR can provide more appropriate services to these children.</i>	-11,837,000	-13,130,000
• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	1,887,184	1,887,184

New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Fund Two Youth Employment and Training Programs <i>In FY 2007, expenditures for two federally funded Youth Employment and Training programs for job readiness and internship experience will be paid from the general fund. Programs serve 220 youth a year.</i>	0	1,135,000	1,162,300
• Fund Early Childhood Intervention Initiative <i>The budget supports Early Childhood Consultation Program in the general fund once the Community Mental Health Strategy Board money that currently supports the program runs out. The initiative funds 11 Early Childhood Consultants around the state who work with young children who are at risk of disrupting from a child care placement.</i>	740,410	940,410	940,410

• Eliminate Disincentives to Adoption	805,673	1,203,628	1,350,628
<i>This initiative will 1. Allow a contractor to be the central intake for all inquiries statewide by prospective families for foster care and adoption which will result in more timely and consistent responses to prospective families, 2. Fund case management, assessment and referral services that will support families who are experiencing difficulties maintaining an adoption, 3. Develop a post-masters certification program, 4. Provide for postsecondary education for children and youth adopted after Jan. 1, 2005. The maximum allowable stipend under this initiative will be calculated at the cost of attending an in-state public university, 5. For adoptions finalized after Jan. 1, 2005, the subsidy paid by the state will be comparable to the foster care rate.</i>			
• Enhance Care Coordination	1,840,000	1,840,000	1,840,000
<i>Initiative funds Enhanced Care Coordinators that were established under one time federal funds who provide care coordination services in concert with the Area Offices and make treatment recommendations for clients with complex service needs.</i>			
• Enhance Training for Social Worker Competencies and Practice Standards	1,910,400	1,910,400	1,910,400
<i>Initiative funds additional training for Social Workers and Supervisors in the areas of Treatment Planning, Family Group Conferencing and Concurrent Planning.</i>			
• Enhance Adoption and Foster Care Recruitment and Training	500,000	500,000	500,000
<i>Initiative continues recruitment programs previously funded through an expiring federal grant, expands the Life Long Family Ties model to find family resources for 20 youth and develops therapeutic placements for juvenile justice clients.</i>			
• Expand Intensive In Home Services and Other Intensive Community Based Family-Focused Services	5,209,000	6,209,000	6,209,000
<i>Funds are provided to expand various intensive in-home service modalities both years of the biennium including Intensive In-Home Child and Adolescent Psychiatric Services (IICAPS), Multi-Systemic Therapy (MST) and Multi-Dimensional Family Therapy (MDFT) as well as providing funding for these in-home services previously funded with federal and Mental Health Strategy Board monies.</i>			
• Enhance Juvenile Justice Programs	864,876	925,508	875,508
<i>Expands services for youth involved in the juvenile justice system include additional clinical and support services in the community as well as additional psychological services at the Connecticut Juvenile Training School.</i>			
• Manage and Coordinate Medication for Children	1,300,000	1,675,000	1,675,000
<i>Initiative will provide DCF with expert consultation on decisions to change psychotropic drugs for DCF clients.</i>			
• Expand Services to Prepare Youth for Adult Living Settings	8,202,621	12,214,554	12,214,554
<i>Under this initiative 1. Six group homes will be developed for 30-36 youth with severe behavioral problems and/or mental illness, 2. Three new Transitional Living Apartment Programs (TLAP) to serve a total of 30 youth will be established, 3. Clinical services at Community Housing Assistance Programs (CHAP) will be enhanced, and 4. In FY 2007 additional funds will allow the enhancement of clinical services at the 18 current group homes.</i>			
• Fund TANF Supportive Housing Vouchers	0	706,716	706,716
<i>In FY 2007, continue funding for housing vouchers currently supported from TANF Bonus funds.</i>			
• Develop an Automated Title IV-E Eligibility System	1,200,000	300,000	0
<i>Provides for the development of an automated eligibility determination capability in DCF's computerized data system (LINK) that would be compliant with federal requirements.</i>			
• Phase-in Increased Foster Care and Subsidized Guardianship Rates	1,424,037	2,224,578	2,257,883
<i>This initiative will adjust inflation for Foster Care and Subsidized Guardianship rates to the USDA estimated annual expense of supporting a child in the Urban Northeast. A previous DCF policy linking these rates to the USDA level was suspended for the FY 2003-2005 biennium when these rates were inflated by the same rates afforded private providers. The initiative will incrementally build to the applicable USDA rate. Funds are provided to increase the Foster Care rates to half the distance between the FY 2005 rate and the USDA rate in FY 2006, and to three quarters of the distance between FY 2005 rates and the USDA rate in FY 2007.</i>			
• Expand Sex Abuse Evaluation and Treatment	514,000	514,000	514,000
<i>Provides additional funds for Sexual Abuse Evaluation and Treatment that will solidify and expand current programs to conduct critical clinical evaluations and forensic interviews to evaluate the presence and effects of sexual abuse and/or severe physical abuse.</i>			
• Fund 4% COLA for Private Grant-Funded Providers	10,684,756	10,684,756	10,684,756
<i>A 4% cost of living adjustment is proposed for grant-funded private providers under DMHAS, DMR, DCF, DOC, CTF and Judicial. This COLA is linked to successful imposition of a nursing home provider tax, through which nursing homes will receive a significant Medicaid rate increase, and which will generate increased federal Medicaid reimbursement. The new federal reimbursement will be used to support nursing home rate increases as well as 4% rate increases for: residential care homes, home health services, home care waiver services, state-funded home care, intermediate care facilities for the mentally retarded, personal care attendants, assisted living services agencies, and private grant-funded providers.</i>			
• Provide Services for Youth in Supportive Housing Initiative	140,000	175,000	245,000
<i>Funds services for youth in the statewide supportive housing initiative.</i>			

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	3,231	226	63	3,520	3,520	3,558	3,520	3,558
Federal Contributions	33	1	0	34	34	34	34	34

Budget-in-Detail

<i>Other Positions Equated to Full Time</i>	2003-2004		2004-2005		2005-2006		2006-2007	
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions	1		1		1	0	1	0
Private Contributions	15		15		15	15	15	15
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Child Protection Services								
Child Protection - Community Services	37,905,157	42,170,246	38,746,520	39,856,231	39,901,018	39,331,670	40,870,578	38,900,769
Child Protection - Out of Home	157,827,298	169,292,227	182,398,540	192,143,163	193,341,669	192,160,984	211,615,074	206,988,787
Child Protection Administration	116,113,575	128,456,025	143,978,365	142,698,666	143,112,184	146,463,915	145,027,853	144,440,288
TOTAL Program	311,846,030	339,918,498	365,123,425	374,698,060	376,354,871	377,956,569	397,513,505	390,329,844
Behavioral Health								
Behavioral Health - Community Services	48,588,256	61,927,908	63,155,771	64,874,705	72,285,160	65,733,213	68,394,785	72,148,363
Behavioral Health - Out of Home	114,035,511	120,165,052	121,451,087	127,349,000	122,359,996	127,922,629	138,291,765	131,199,599
Behavioral Health - State Facilities	42,083,914	47,460,342	55,265,616	54,774,953	54,765,063	55,946,545	55,409,561	55,054,799
TOTAL Program	204,707,681	229,553,302	239,872,474	246,998,658	249,410,219	249,602,387	262,096,111	258,402,761
Juvenile Justice								
Juvenile Justice - Community Services	9,443,746	13,065,985	12,163,311	13,662,059	13,727,342	11,595,724	13,292,622	12,738,786
Juvenile Justice - Out of Home	24,304,045	33,603,698	27,799,250	29,285,347	27,951,024	29,437,451	32,195,706	30,605,341
Juvenile Justice - State Facility	32,192,972	33,836,922	36,188,125	35,915,649	36,061,869	37,048,693	36,762,881	36,458,156
TOTAL Program	65,940,763	80,506,605	76,150,686	78,863,055	77,740,235	78,081,868	82,251,209	79,802,283
Prevention	3,779,917	3,371,792	3,293,442	2,436,602	2,862,946	3,370,575	2,569,394	2,937,134
Administration	41,085,396	45,945,235	46,095,202	47,199,216	46,203,058	47,068,739	48,513,112	46,580,325
TOTAL Agency Programs - All Funds Gross	627,359,787	699,295,432	730,535,229	750,195,591	752,571,329	756,080,138	792,943,331	778,052,347
Less Turnover	0	0	-10,722,453	-5,175,051	-5,175,051	-10,722,453	-5,213,863	-5,213,863
TOTAL Agency Programs - All Funds Net	627,359,787	699,295,432	719,812,776	745,020,540	747,396,278	745,357,685	787,729,468	772,838,484
<u>Summary of Funding</u>								
General Fund Net	607,484,397	671,009,591	701,826,908	727,034,672	729,410,410	728,917,252	771,289,035	756,398,051
Federal Contributions	15,731,273	26,758,936	17,550,213	17,550,213	17,550,213	16,004,778	16,004,778	16,004,778
Private Contributions	4,144,117	1,526,905	435,655	435,655	435,655	435,655	435,655	435,655
TOTAL Agency Programs - All Funds Net	627,359,787	699,295,432	719,812,776	745,020,540	747,396,278	745,357,685	787,729,468	772,838,484

CHILD PROTECTION SERVICES

Statutory Reference

C.G.S. Sections 17a-3 and 17a-90

Statement of Need and Program Objectives

To protect children and youth who are reported as abused or neglected. To strengthen families so children can remain safely at home. To find permanent homes for children and youth through reunification with their families, subsidized guardianship with a relative, adoption, or independent living. To help foster and relative parents and other substitute caregivers provide temporary care when children cannot reside at home.

Program Description

The Bureau of Child Welfare Services provides services through a partnership of state staff and community-based resources, including foster and adoptive parents. Services start when a report from the community comes to the statewide Hotline, which takes calls 24 hours a day, seven days a week. Reports alleging that a child has been abused, neglected or abandoned are forwarded to area offices for investigation.

Investigators will complete an assessment of the family and determine whether neglect and/or abuse of the children have occurred. If abuse or neglect is substantiated, appropriate services are provided to the child and family. These include in-home services for children and families to help ensure the safety of the children at home. If the investigation determines

a child or youth cannot remain safely in the home, the department seeks a court order to remove the child from the home. Following removal, the department determines whether reunification with the family is possible.

If this goal cannot be achieved, the department will seek a permanent home for the child through subsidized guardianship, adoption or independent living. In seeking permanency for children, the department always remains focused on the critical importance of the child's sense of time.

Positive Outcomes for Children Plan – Budget Implications

The budget has been expanded in a number of areas to help support the Positive Outcomes for Children Plan and increase resources for children and families in need. Additionally, there has been an increase in funding for recruitment and retention activities designed to target placement resources for children of color, medically complex children, and adolescents. The department, as well as the Attorney General's Office, has hired additional legal staff to enable the Department to achieve better outcomes for children and to expedite permanency planning for children in out of home care. Additionally, there has been a significant increase in the number of staff hired within the department to enhance service delivery to children and families. During State Fiscal Year 2004, 565 employees were hired agency wide. Of these, 347 are social workers/social work trainees who have enabled the department to meet the Exit Plan's caseload requirements.

Program Measure	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Permanency for Children - Average Number of Subsidized Guardianship Placements	1,258	1,418	1,590	1,762
Average Number of Subsidized Adoptions	4,278	4,464	4,664	4,864
Average Number of Children in Foster Care	4,309	4,278	4,493	4,708

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	1,936	104	37	2,077	2,077	2,107	2,077	2,107
Federal Contributions	2	0	0	2	2	2	2	2

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	117,717,926	127,749,462	142,616,450	141,081,661	140,500,963	144,946,817	143,047,271	142,242,334
Other Expenses	13,881,751	17,582,899	18,757,760	18,882,177	20,060,520	19,150,534	19,416,166	19,705,691
Capital Outlay	0	0	214,307	161,682	0	158,200	143,351	0
Other Current Expenses	1,427,959	4,670,562	2,741,488	2,761,506	2,756,051	3,199,841	3,225,212	3,181,658
Pmts to Other Than Local Governments	173,932,321	182,943,939	195,846,485	206,864,099	208,090,402	205,604,242	226,784,570	220,303,226
TOTAL-General Fund	306,959,957	332,946,862	360,176,490	369,751,125	371,407,936	373,059,634	392,616,570	385,432,909
Additional Funds Available								
Federal Contributions	4,796,073	6,971,636	4,946,935	4,946,935	4,946,935	4,896,935	4,896,935	4,896,935
Private Contributions	90,000	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	311,846,030	339,918,498	365,123,425	374,698,060	376,354,871	377,956,569	397,513,505	390,329,844

Child Protection Services**CHILD PROTECTION SERVICES - COMMUNITY BASED SERVICES***Statutory Reference*

C.G.S Section 17a-90

Statement of Purpose and Program Objectives

To protect children from abuse or injury, provide in-home services to children and their families, and maintain or reunify children with their families when possible.

Program Description

Services in this area include:

Child Abuse and Neglect Hotline The Hotline received more than 45,000 reports alleging abuse or neglect. In FY 2004, DCF conducted 31,150 investigations in the area offices, and 31.6 percent or 10,074 investigations were substantiated. Substantiated cases are frequently transferred to ongoing services when it is assessed the children are at significant risk without the department's continued involvement. Services are identified to address the issues that precipitated DCF intervention. The department often refers unsubstantiated cases to community services to help families.

Social Work Services Cases substantiated for abuse or neglect usually are assigned to a treatment social worker in one of our 13 area offices across the state. These social workers provide ongoing services to help ensure children are safe and families are supported, whether the children are at home or placed in out-of-home care. The goal of intervention for children placed in out-of-home care is reunification with

their biological family or the placement of the child in a permanent home.

As a result of efforts to maintain and support children in their home or provide children with permanency through reunification, adoption, subsidized guardianship or independent living, the number of children in state care on November 30, 2004 was 5 percent lower than six months earlier.

In an effort to increase support to families, the department increased the amount of flexible funding available to children and families to provide them with services that would not be covered under traditional contracted programs or by another state agency. The use of discretionary funding enables the Department to meet the individualized needs of children and families in a timely and effective manner. The department's ability to meet the needs of children and families depends on local resources and flexibility in accessing payment for services.

Community-based, In-Home Services The department averages 3,100 families in the child protection system receiving community-based, in-home services provided through private, non-profit providers under department contract. The services include intensive family preservation, parent aide and substance abuse screening.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	199	4	4	207	207	207	207	207

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	14,798,441	15,042,544	15,435,811	15,269,696	15,206,845	15,614,904	15,410,269	15,323,554
Other Expenses	281,737	480,194	472,567	475,701	505,388	482,965	489,664	496,966
<u>Other Current Expenses</u>								
Emergency Needs	0	3,250,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
<u>Pmts to Other Than Local Governments</u>								
Gis Psychiatric Clinics for Children	56,290	56,980	57,927	59,780	61,770	59,201	62,493	57,963
Child Abuse and Neglect Intervention	4,000,841	4,049,633	4,129,690	4,261,775	4,239,761	4,220,544	4,455,261	3,971,051
Community Emergency Services	176,414	178,582	183,867	189,747	188,768	187,912	198,362	188,768
Community Based Prevention Services	1,388,855	996,505	1,012,179	578,653	763,373	1,034,447	615,673	764,907
Family Violence Outreach and Counseling	498,316	504,441	522,272	538,977	695,297	533,762	563,447	695,297
Family Preservation Services	6,493,120	5,012,687	5,092,382	5,267,985	5,240,773	5,204,414	5,507,152	4,908,400
Child Welfare Support Services	2,235	0	0	0	0	0	0	0
Individualized Family Supports	6,024,420	7,745,040	6,986,185	8,360,277	8,145,403	7,139,881	8,714,617	7,640,223
TOTAL-General Fund	33,720,669	37,316,606	34,892,880	36,002,591	36,047,378	35,478,030	37,016,938	35,047,129
<u>Additional Funds Available</u>								
Federal Contributions								
93551 Abandoned Infants	488,942	450,000	450,000	450,000	450,000	450,000	450,000	450,000
93556 Promoting Safe & Stable Families	3,308,590	3,889,640	2,889,640	2,889,640	2,889,640	2,889,640	2,889,640	2,889,640
93643 Children's Justice Grants-States	192,821	224,000	224,000	224,000	224,000	224,000	224,000	224,000
93669 Child Abuse/Neglect State Grants	194,135	290,000	290,000	290,000	290,000	290,000	290,000	290,000
TOTAL - All Funds	37,905,157	42,170,246	38,746,520	39,856,231	39,901,018	39,331,670	40,870,578	38,900,769
Child Protection - Community Services								

CHILD PROTECTION SERVICES - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Sections 46b-129 (j), 17a-3, 17a-101g, 17a-117 and 17a-126

Statement of Purpose and Program Objectives

To protect abused and neglected children and meet their individual developmental needs through an out-of-home placement while a child's own family cannot care for them or, in cases where reunification is not possible, while a child awaits a permanent placement. To provide permanency through subsidized guardianship, adoption and Independent Living services.

Program Description

SAFE Homes provide the opportunity to conduct a full needs assessment of the child and develop the best possible plan for the child and the family. They offer short-term placements of up to 45 days for children who need to be placed out-of-home for the first time. The goal is to provide better long-term outcomes in reunification and permanency. There are 16 SAFE Homes across the state with 187 beds. Since the advent of this program in 1999, the percentage of children in care with two or more placements within the first year has been cut by two-thirds.

DCF - Yale University Research Collaborations track the outcome of a subset of children who have entered out-of-home care. Preliminary data supports the department's expansion of comprehensive community-based family support and mental health services. These new initiatives are expected to help further decrease rates of repeat maltreatment and number of out-of-home placements for children. Ongoing research and evaluation will further refine the system of care for maltreated children and promote better outcomes for children.

Relative Caregivers offer the best option when children cannot live safely in their homes. On June 30, 2004, there were 1,145 children living with relatives licensed by the department.

Foster Care provides a substitute family experience that, together with other services provided to the foster parents, families and children, facilitates reunification of children with their families or establishes another permanent family for children. The average number of children living in foster care during FY04 was 3,552. DCF recruits, licenses and provides support to foster parents.

Permanency Diagnostic Centers Based on the highly successful SAFE Home model, the department has developed a program to promote permanency by stabilizing and offering comprehensive assessments for severely traumatized children who have experienced multiple placements. Children receive clinical assessments, structure, supervision and care coordination to achieve permanent family relationships. There are two Permanency Diagnostic Centers with 22 beds serving the state. Since the advent of the program in January 2003, 49 children were discharged with improved levels of functioning.

Permanent Homes for Kids - Adoption and Subsidized Guardianship is provided for children who cannot return to their biological families. These services include: legally freeing a child for adoption, preparing adoptive home studies, pre-placement planning, helping children prepare for adoption, placement planning with the child and adoptive family, and providing financial/medical subsidies for children with special needs. Currently 4,464 children receive adoption subsidies administered by the Office of Foster and Adoption Services. In FY 2004, DCF approved 368 adoptions.

Subsidized Guardianship provides a permanent home for children with relatives who function as adoptive parents but without parental rights being terminated. Subsidized guardianship offers relatives subsidies to assist them in providing care to these children. In FY 2004, the program provided permanent homes for 217 children and youth.

Independent Living programs provide permanency for older adolescents. They provide youth who have been in foster care or other placement settings opportunities to live on their own

with supportive services by DCF and other community programs, as well as to assist in their successful transition to adulthood. The Independent Living programs serve over 700 youth each year. More than 225 youth are currently enrolled in a post-secondary educational program with financial assistance from DCF. DCF, through the use of federal funding, provides the youth in post-secondary educational programs with computers to support their educational pursuits.

The Professional Parent Program (PPP) is an intensive, foster home program designed for the specific treatment needs of each youth served. It provides highly structured and supervised living for youth who would otherwise be in more costly residential placements. The adolescents served by PPP come to the program from one of three places: Connecticut Juvenile Training School, residential care, or, most recently, the area offices. Many of these youth were previously removed from the community because their behavior was threatening to themselves or someone else.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	25	2	0	27	27	27	27	27
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,561,166	1,596,900	1,975,868	1,954,604	1,946,559	2,002,641	1,976,396	1,965,275
Other Expenses	504,065	1,270,891	1,251,166	1,259,465	1,338,062	1,278,967	1,296,707	1,316,043
<u>Capital Outlay</u>								
Equipment	0	0	19,807	14,943	0	0	0	0
<u>Other Current Expenses</u>								
Family Support Services	0	52,664	342,716	360,246	354,791	758,295	777,406	733,852
<u>Pmts to Other Than Local Governments</u>								
Health Assessment and Consultation	185,877	266,610	270,279	979,747	978,302	276,225	1,024,228	978,302
Child Welfare Support Services	310,916	312,692	281,895	290,911	289,409	288,096	304,118	1,203,194
Board and Care for Children - Adoption	49,718,182	51,930,445	53,770,959	57,704,553	58,509,149	58,208,805	64,000,931	62,523,094
Board and Care for Children - Foster	81,786,920	89,236,348	99,015,072	102,743,866	104,263,880	103,387,654	113,877,671	111,302,799
Board & Care - Residential	22,951,900	22,144,114	24,161,165	25,453,894	24,291,736	24,692,710	27,008,290	25,672,667
Individualized Family Supports	338,035	509,862	362,613	433,934	422,781	370,591	452,327	396,561
TOTAL-General Fund	157,357,061	167,320,526	181,451,540	191,196,163	192,394,669	191,263,984	210,718,074	206,091,787
<u>Additional Funds Available</u>								
Federal Contributions								
93599 Chafee Educ/Training Vouchers	251,607	842,000	547,000	547,000	547,000	547,000	547,000	547,000
93603 Adoption Incentive Payments	206,699	340,301	0	0	0	0	0	0
93652 Adoption Opportunities	600	669,400	350,000	350,000	350,000	350,000	350,000	350,000
93958 Block Grants Comm Mental Health	11,331	120,000	50,000	50,000	50,000	0	0	0
TOTAL - All Funds	157,827,298	169,292,227	182,398,540	192,143,163	193,341,669	192,160,984	211,615,074	206,988,787

Child Protection - Out of Home

CHILD PROTECTIVE SERVICES – ADMINISTRATION

In order to be more responsive to families and the community, the department decentralized agency functions and moved from three large regions to 13 area offices in February 2004. Recognizing that each community was unique in its demographics and resources, this affords the department the opportunity to actively engage and partner with local communities. These locally-based operations offer a structure that supports local responsibility for meeting the holistic needs of children and families and creates avenues to establish new resources and foster community

relationships. Area Directors manage office operations and enhance community relationships and collaboration among providers. Additionally, the department added a Behavioral Health Program Director in each area office and increased Regional Resource Group staff to help assure we are responsive to the behavioral needs of children and families. Ensuring quality in our service delivery, a manager is assigned in all area offices to review and evaluate our performance on an ongoing basis.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	1,712	98	33	1,843	1,843	1,873	1,843	1,873
Federal Contributions	2	0	0	2	2	2	2	2

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	101,358,319	111,110,018	125,204,771	123,857,361	123,347,559	127,329,272	125,660,606	124,953,505
Other Expenses	13,095,949	15,831,814	17,034,027	17,147,011	18,217,070	17,388,602	17,629,795	17,892,682
Capital Outlay								
Equipment	0	0	194,500	146,739	0	158,200	143,351	0
Other Current Expenses								
Workers' Compensation Claims	1,427,959	1,367,898	1,398,772	1,401,260	1,401,260	1,441,546	1,447,806	1,447,806
TOTAL-General Fund	115,882,227	128,309,730	143,832,070	142,552,371	142,965,889	146,317,620	144,881,558	144,293,993
Additional Funds Available								
Private Contributions	90,000	0	0	0	0	0	0	0
Federal Contributions								
93645 Child Welfare Services Grants	141,348	146,295	146,295	146,295	146,295	146,295	146,295	146,295
TOTAL - All Funds	116,113,575	128,456,025	143,978,365	142,698,666	143,112,184	146,463,915	145,027,853	144,440,288

Child Protection Administration

BEHAVIORAL HEALTH

Statutory Reference

C.G.S. Sections 17a-3 and 17a-127

Statement of Purpose and Program Objectives

To provide appropriate behavioral health and substance abuse assessment, treatment and aftercare to address the behavioral health needs of Connecticut's children. To restructure and reform the delivery of children's behavioral health services in consultation with the Department of Social Services. To promote the further development of an appropriate system of community based services so that children may be served in their homes and communities to the greatest extent possible. To address the specialized needs of infants and young children and the unique behavioral health challenges facing children in the foster care system. To plan, collaborate and administer with the Department of Mental Health and Addiction Services a cost-effective adult substance treatment program for families in the mental health system. To develop and enhance services for older adolescents with mental health needs who will need services from the Department of Mental Health and Addiction Services. To promote use of the most effective,

evidence-based practices in all behavioral health services provided or contracted by the Department.

Program Description

Connecticut Community KidCare is an innovative reform and restructuring of the state's behavioral health services for children that operates according to the nationally-recognized and endorsed System of Care Model. KidCare services are centered on the best interest of the child in the context of their family and community. Family involvement and cultural competence are key values. KidCare enhances and develops community-based, group home and residential services to insure that children get access to the appropriate level of service when they need it and, whenever possible, to receive those services in their home or community.

DCF operates three facilities. DCF also licenses and monitors a wide variety of behavioral health programs and services provided by private providers under contract to the state.

DCF provides behavioral health services to children committed to the Department as a result of abuse and/or neglect, committed to DCF as delinquent, and other children with behavioral health needs.

Program Measure

Average Number of Children in Residential Treatment & Group Homes

(inverse relationship to Foster Care, overall increase = anticipated change in numbers served)

2003-2004	2004-2005	2005-2006	2006-2007
<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
1,372	1,322	1,247	1,247

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	539	46	11	596	596	599	596	599
Federal Contributions	29	0	0	29	29	29	29	29

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions	1	1	1	0	1	0

Financial Summary

(Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	36,724,727	41,338,218	49,388,114	48,856,616	48,655,520	49,877,735	49,224,080	48,947,094
Other Expenses	4,678,357	4,944,987	4,922,738	4,955,389	5,264,631	5,060,879	5,131,077	5,207,589

Capital Outlay	0	0	157,460	118,794	0	190,770	172,863	0
Other Current Expenses	7,278,855	17,783,972	22,186,629	22,919,139	22,673,590	27,755,749	28,334,564	26,837,396
Pmts to Other Than Local Governments	144,919,738	153,394,517	151,944,304	158,875,491	161,543,249	156,144,025	168,660,298	166,837,453
TOTAL-General Fund	193,601,677	217,461,694	228,599,245	235,725,429	238,136,990	239,029,158	251,522,882	247,829,532
<u>Additional Funds Available</u>								
Federal Contributions	10,133,921	11,956,047	11,137,668	11,137,668	11,137,668	10,437,668	10,437,668	10,437,668
Private Contributions	972,083	135,561	135,561	135,561	135,561	135,561	135,561	135,561
TOTAL Agency Programs - All Funds Net	204,707,681	229,553,302	239,872,474	246,998,658	249,410,219	249,602,387	262,096,111	258,402,761

Behavioral Health**BEHAVIORAL HEALTH - COMMUNITY BASED SERVICES***Statutory Reference*

C.G.S. Sections 17a-90, 17a-20, 17a-22 and 17a-127

Statement of Purpose and Program Objectives

To provide effective community-based behavioral health services to children and their families. To provide community based services to prevent/reduce deterioration in child or family functioning that may require more intensive, restrictive, or out of home care. To assist youth with successfully re-integrating into their homes and/or communities following a period of out of home care. To promote the emotional and behavioral health and well being of children and their families.

Program Description

Connecticut Community KidCare provides a variety of family-focused community-based, mental health programs for children throughout the state including: emergency mobile psychiatric services; care coordination services; parent advocacy services, child guidance clinics, extended day treatment programs and substance abuse treatment programs for youth, including innovative family-focused treatment and supportive housing programs. A significant expansion of intensive in-home treatment services in the last several years prevents or reduces the need for out of home care. Several evidence-based treatment models have been expanded or introduced including:

Multi-Systemic Therapy (MST) is an intensive, in-home therapy and counseling service that treats the whole family.

Studies show it is a clinically-effective and cost-effective alternative to residential care.

Multi-Dimensional Family Therapy (MDFT) is an intensive in-home therapy and counseling service that treats adolescent substance abuse with a focus on parenting, family dynamics and adolescent developmental issues.

Functional Family Therapy (FFT) is a family-focused, intensive in-home therapy and counseling service that understands problem behavior in terms of its function within the family. The emphasis is on reducing known risk factors and promoting known protective factors.

Intensive In-Home Child and Adolescent Psychiatric Service (IICAPS) is an intensive in-home therapy and counseling service that treats children and youth with serious emotional disturbance. IICAPS is informed by the field of developmental psychopathology and is driven by family-identified needs and strengths.

Family Support Teams (FST) are an intensive, in-home therapy and counseling service for children and youth in foster care, and for children and youth that either are returning from or at risk of being placed in out of home care. A multidisciplinary team of professionals and paraprofessionals operate with a "whatever it takes" philosophy to support and strengthen families so that children can remain safely in their homes and communities.

Approximately 42,780 individual children, youth and family members were served in community based behavioral health programs during State Fiscal Year 2004.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	15	3	0	18	18	21	18	21
Federal Contributions	1	0	0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,918,326	1,929,222	1,495,730	1,479,633	1,473,543	1,528,909	1,508,872	1,500,382
Other Expenses	146,653	209,991	207,273	208,648	221,668	212,019	214,960	218,165
<u>Other Current Expenses</u>								
Substance Abuse Screening	1,626,446	1,699,973	1,728,513	1,783,797	1,774,583	1,766,540	1,864,781	1,661,864
Local Systems of Care	1,728,213	1,877,765	2,013,080	1,926,426	1,922,801	2,046,461	1,975,148	1,895,904
Family Support Services	0	8,878,571	10,058,000	10,572,476	10,412,372	12,080,040	12,384,483	11,690,652
<u>Pmts to Other Than Local Governments</u>								
Gts Psychiatric Clinics for Children	12,314,151	12,777,742	12,894,882	13,307,314	13,750,276	13,178,569	13,911,467	12,903,060
Day Treatment Centers for Children	5,304,815	5,405,117	5,468,182	5,671,432	5,642,136	5,588,482	5,928,915	5,283,743
Juvenile Justice Outreach Services	93,250	110,602	95,974	139,395	137,536	98,085	145,723	131,278
Child Abuse and Neglect Intervention	1,273,071	1,334,988	1,357,398	1,400,814	1,393,578	1,387,260	1,464,410	1,305,254
Community Based Prevention Services	506,761	514,559	522,653	298,796	394,178	534,152	317,912	394,971

Budget-in-Detail

Support for Recovering Families	2,550,807	4,449,412	4,516,807	4,661,272	4,777,195	4,616,177	4,872,894	5,223,887
Substance Abuse Treatment	1,175,644	1,609,076	1,635,730	1,688,048	1,783,302	1,671,716	1,764,685	1,676,610
Board & Care - Residential	1,801,051	1,696,865	1,851,428	1,950,488	1,861,433	1,892,159	2,069,598	1,967,251
Individualized Family Supports	606,329	939,547	668,204	799,631	779,079	682,905	833,523	730,761
Community KidCare	13,312,927	13,526,604	13,991,917	14,336,535	21,311,480	14,299,739	14,987,414	21,414,581
TOTAL-General Fund	44,358,444	56,960,034	58,505,771	60,224,705	67,635,160	61,583,213	64,244,785	67,998,363
<i>Additional Funds Available</i>								
Private Contributions	836,522	0	0	0	0	0	0	0
Federal Contributions								
20525 Treatment Aftercare Juv Offenders	558,794	0	0	0	0	0	0	0
20258 Drug and Alcohol Treatment	633,759	750,000	750,000	750,000	750,000	750,000	750,000	750,000
93104 Community MH for Children	682,126	1,817,874	2,500,000	2,500,000	2,500,000	2,000,000	2,000,000	2,000,000
93958 Block Grants Comm Mental Health	1,518,611	2,400,000	1,400,000	1,400,000	1,400,000	1,400,000	1,400,000	1,400,000
TOTAL - All Funds	48,588,256	61,927,908	63,155,771	64,874,705	72,285,160	65,733,213	68,394,785	72,148,363

Behavioral Health - Community Services

BEHAVIORAL HEALTH – OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Section 17a-90.

Statement of Purpose and Program Objectives

To treat children whose behavioral health needs are too acute to address in the community. To provide foster home placements for some of those youth who are unable to return to their families.

treatment programs to meet the myriad needs of children and adolescents: residential treatment, group homes and therapeutic group homes; specialized foster care, treatment foster care; Professional Parent Programs; transitional programs for youth about to receive services from the Department of Mental Health and Addiction Services; residential drug treatment and short-term residential substance abuse treatment.

Program Description

Residential treatment programs are licensed and monitored by DCF to provide structured out-of-home treatment. DCF contracts with a number of forms of residential and/or

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	30	0	1	31	31	31	31	31
Federal Contributions	3	0	0	3	3	3	3	3

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,050,011	1,559,108	2,449,756	2,423,393	2,413,418	2,494,421	2,461,731	2,447,879
Other Expenses	35,929	36,985	36,397	36,638	38,925	37,199	37,715	38,277

Other Current Expenses

Short Term Residential Treatment	656,753	664,848	675,935	697,606	694,002	690,806	729,278	649,918
Family Support Services	0	1,360,984	4,334,748	4,556,475	4,487,473	7,692,300	7,886,162	7,444,346

Pmts to Other Than Local Governments

No Nexus Special Education	7,227,948	7,549,241	7,681,606	7,921,201	7,880,284	7,912,054	8,280,824	7,379,722
Board & Care - Residential	98,574,190	103,252,823	101,097,411	106,506,568	101,643,761	104,117,048	113,880,713	108,249,046
Individualized Family Supports	178,794	227,941	162,112	193,997	189,011	165,679	202,220	177,289
TOTAL-General Fund	108,723,625	114,651,930	116,437,965	122,335,878	117,346,874	123,109,507	133,478,643	126,386,477

Additional Funds Available

Federal Contributions

	8,494	0	0	0	0	0	0	0
84027 Special Ed Grants to States	100,008	103,508	103,508	103,508	103,508	103,508	103,508	103,508
84173 Special Ed Preschool Grants	0	200,000	200,000	200,000	200,000	0	0	0
93667 Social Services Block Grant	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614	3,209,614
93674 Chafee Foster Care Ind. Living	1,993,770	2,000,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
TOTAL - All Funds	114,035,511	120,165,052	121,451,087	127,349,000	122,359,996	127,922,629	138,291,765	131,199,599

Behavioral Health - Out of Home

BEHAVIORAL HEALTH - STATE OPERATED FACILITIES

Statutory Reference

C.G.S. Sections 17a-79, 17a-94

Statement of Purpose and Program Objectives

To provide emergency shelter and diagnosis, residential treatment and acute psychiatric hospital care for children with behavioral health needs. To maintain a full range of services required for a behavioral health continuum of care.

Program Description

DCF's behavioral health facilities are High Meadows, Riverview Hospital for Children and Youth, and Connecticut Children's Place.

High Meadows, located in Hamden, has three mental health treatment units and offers emergency diagnostic and residential treatment services. It has a bed capacity of 42. Approximately 110 children are served per year. Specific interventions include individual and group therapy, education, vocational services, rehabilitation therapy and medical services.

Riverview Hospital for Children and Youth, located in Middletown, offers in-patient services in seven acute, co-ed units and one sub-acute unit for children ages 5 to 18. Each unit has a capacity of 11 to 15 beds. Interdisciplinary teams consisting of a nurse, a social worker, rehabilitation therapist, psychologist, speech and language specialist, educational testing expert and child psychiatrist provide clinical evaluation and treatment. Riverview is accredited by the Joint Commission on Accreditation of Health Care Organizations and received a ranking among the top 10 percent of all facilities surveyed.

The Connecticut Children's Place (CCP), located in East Windsor, provides residential care, medical services, treatment, a full range of social work services and an education program. CCP also offers a therapeutic recreation program to explore creative talents and offers sports and other activities. The age range of children served is from 10 to 16 years. Approximately 150 children are served each year.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	494	43	10	547	547	547	547	547
Federal Contributions	25	0	0	25	25	25	25	25
<i>Other Positions Equated to Full Time</i>			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			1	1	1	0	1	0

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	32,756,390	37,849,888	45,442,628	44,953,590	44,768,559	45,854,405	45,253,477	44,998,833
Other Expenses	4,495,775	4,698,011	4,679,068	4,710,103	5,004,038	4,811,661	4,878,402	4,951,147
<u>Capital Outlay</u>								
Equipment	0	0	157,460	118,794	0	190,770	172,863	0
<u>Other Current Expenses</u>								
Workers' Compensation Claims	3,267,443	3,301,831	3,376,353	3,382,359	3,382,359	3,479,602	3,494,712	3,494,712
TOTAL-General Fund	40,519,608	45,849,730	53,655,509	53,164,846	53,154,956	54,336,438	53,799,454	53,444,692
<u>Additional Funds Available</u>								
Private Contributions	135,561	135,561	135,561	135,561	135,561	135,561	135,561	135,561
Federal Contributions								
84013 Title I Neglect/Delinquent Child	51,877	53,693	53,693	53,693	53,693	53,693	53,693	53,693
84027 Special Ed Grants to States	148,142	153,326	153,326	153,326	153,326	153,326	153,326	153,326
84048 Vo Education_Basic Grants	15,461	13,582	13,582	13,582	13,582	13,582	13,582	13,582
84173 Special Ed Preschool Grants	0	705	705	705	705	705	705	705
84186 Safe Drug-Free Schools & Communi	635	635	635	635	635	635	635	635
84298 Innovative Educ Pgm Strategies	18,100	16,772	16,267	16,267	16,267	16,267	16,267	16,267
93645 Child Welfare Services Grants	1,194,530	1,236,338	1,236,338	1,236,338	1,236,338	1,236,338	1,236,338	1,236,338
TOTAL - All Funds	42,083,914	47,460,342	55,265,616	54,774,953	54,765,063	55,946,545	55,409,561	55,054,799

Behavioral Health - State Facilities

JUVENILE JUSTICE

Statutory Reference

17a - 3

Statement of Purpose and Program Objectives

The mission of the Bureau of Juvenile Services, in collaboration with communities, is to aid children, adolescents and their families in Connecticut's juvenile justice system by providing a continuum of prevention,

treatment and transitional services. The children committed to DCF Juvenile Services represent the most challenging children in the State's juvenile justice system. The services provided by Juvenile Services are intensive and results oriented, preparing the children served by developing the skills necessary to prevent recidivism.

Program Description

Juvenile Services seeks to develop competency, accountability, and responsibility in all programs and services through the Balanced and Restorative Justice model (BARJ) – with the ultimate goal of each child achieving success in the community. Juvenile Services offers programming through community-based services, private

residential treatment, and state-operated facilities. Juvenile Services collaborates with community providers, public and private agencies, families, and educational agencies to individualize the treatment for each child, based on the child's strengths, culture and ethnicity, and gender, while maintaining community safety.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Forensic Patients - Number of Children Discharged from Riverview Hospital Forensic Unit to Home, Relative Care, or Residential Care During the Fiscal Year	16	30	30	30
Prevention of Unauthorized Exit from Facility - Completed Escapes and AWOL's per 100 Person Days of Confinement	0.07	0.05	0.04	0.04
Maximize Responsible Behavior - Major Misconduct by Juveniles per 100 Days of Confinement	1.4	1.4	1.2	1.1
Provide Individual Treatment Based on Youths' Assessed Needs: Percent of Youth Confined for More Than 60 Days Who Are Receiving Required Health, Mental Health, and Substance Abuse Treatment.	100%	100%	100%	100%
Increase Grade Level Performance Through Year Round Education and Special Education Services: Youth Entering Long Lane School Perform Academically at an Average Grade Level of 4.8.	2	2	2	2

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	395	47	8	450	450	417	450	417
Federal Contributions	1	0	0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,758,153	28,112,523	31,544,117	31,204,651	31,076,210	32,085,931	31,665,441	31,487,257
Other Expenses	6,486,621	5,992,109	5,918,190	5,957,444	6,329,219	6,070,861	6,155,068	6,246,849
Capital Outlay	0	0	115,000	86,761	0	263,000	238,314	0
Other Current Expenses	3,655,725	3,694,200	3,777,578	3,784,298	3,784,298	3,893,096	3,910,002	3,910,002
Pmts to Other Than Local Governments	28,739,432	35,205,174	33,727,095	36,761,195	35,481,802	35,495,709	40,009,113	37,884,904
TOTAL-General Fund	64,639,931	73,004,006	75,081,980	77,794,349	76,671,529	77,808,597	81,977,938	79,529,012

Additional Funds Available

Federal Contributions	458,533	7,264,329	921,686	921,686	921,686	126,251	126,251	126,251
Private Contributions	842,299	238,270	147,020	147,020	147,020	147,020	147,020	147,020
TOTAL Agency Programs - All Funds Net	65,940,763	80,506,605	76,150,686	78,863,055	77,740,235	78,081,868	82,251,209	79,802,283

Juvenile Justice

JUVENILE JUSTICE – COMMUNITY BASED SERVICES

Statutory Reference

C.G.S. Section 17a-3 (h)

Statement of Purpose and Program Objectives

To help youth committed to DCF as delinquents by the juvenile court to successfully re-integrate back into their communities after discharge from a facility or residential program. To serve and supervise juvenile justice youth who have completed out-of-home treatment, are living at home and are on parole.

Program Description

Parole Services are provided for Juvenile Justice youth who are residing in the community or in residential treatment centers.

Aftercare for Delinquent Youth helps youth who have been committed to the Department as delinquents to successfully re-integrate back to their communities through a combination of Aftercare programs, including:

Multi-Systemic Therapy, an intensive, in-home therapy and counseling that treats the whole family. Studies show it is as effective as residential services in reducing recidivism at less than one-third the cost.

Outreach, Tracking and Reunification and Choice, which provides intensive supervision for youth in the community and promotes successful reunification with the family upon a youth's release from a residential setting.

Personnel Summary		As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		61	2	1	64	64	64	64	64
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		3,365,281	3,651,427	4,863,128	4,810,793	4,790,991	4,949,895	4,885,026	4,857,538
Other Expenses		494,145	461,218	454,900	457,917	486,494	465,085	471,536	478,567
<i>Pmts to Other Than Local Governments</i>									
Juvenile Justice Outreach Services		2,630,621	3,438,376	2,984,247	4,334,397	4,276,598	3,049,901	4,531,180	4,082,021
Substance Abuse Treatment		2,212,364	2,259,862	2,297,296	2,370,773	2,504,553	2,347,836	2,478,406	2,354,710
Child Welfare Support Services		37,148	41,911	42,550	43,911	43,684	43,486	45,904	181,613
Individualized Family Supports		339,187	879,860	625,755	748,833	729,587	639,521	780,570	684,337
TOTAL-General Fund		9,078,746	10,732,654	11,267,876	12,766,624	12,831,907	11,495,724	13,192,622	12,638,786
<i>Additional Funds Available</i>									
Private Contributions		91,250	116,250	25,000	25,000	25,000	25,000	25,000	25,000
Federal Contributions									
16523 Juvenile Accountability Grants		0	1,414,665	645,435	645,435	645,435	0	0	0
16579 Byrne Formula Grant Program		273,750	348,750	225,000	225,000	225,000	75,000	75,000	75,000
16586 Vio Offend Incarceration/Truth		0	453,666	0	0	0	0	0	0
TOTAL - All Funds		9,443,746	13,065,985	12,163,311	13,662,059	13,727,342	11,595,724	13,292,622	12,738,786
Juvenile Justice - Community Services									

JUVENILE JUSTICE – OUT OF HOME

Statutory Reference

C.G.S. Section 17a-3 (h)

Statement of Purpose and Program Objectives

To treat youth in the juvenile justice system whose behavioral health needs are too acute to address in the community. To treat children who cannot be treated in the community because of family or legal issues.

Program Description

Residential treatment programs licensed and monitored by DCF provide out of home treatment. DCF contracts with a number of different kinds of residential and/or treatment centers to meet the varied needs of children: residential treatment; group homes; specialized foster care; treatment foster care; Professional Parent Programs; inpatient drug treatment; and short-term residential substance abuse treatment.

Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses		21,358	24,163	23,778	23,936	25,429	24,301	24,638	25,005
<i>Pmts to Other Than Local Governments</i>									
Board and Care for Children - Foster		3,751	3,900	3,959	4,000	4,000	4,046	4,100	4,100
Board & Care - Residential		23,514,642	28,579,638	27,771,513	29,257,411	27,921,595	29,409,104	32,166,968	30,576,236
TOTAL-General Fund		23,539,751	28,607,701	27,799,250	29,285,347	27,951,024	29,437,451	32,195,706	30,605,341
<i>Additional Funds Available</i>									
Private Contributions		629,029	0	0	0	0	0	0	0
Federal Contributions									
16586 Vio Offend Incarceration/Truth		135,265	4,995,997	0	4,995,997	4,995,997	0	0	0
TOTAL - All Funds		24,304,045	33,603,698	27,799,250	29,285,347	27,951,024	29,437,451	32,195,706	30,605,341
Juvenile Justice - Out of Home									

JUVENILE JUSTICE – STATE OPERATED FACILITIES

Statutory Reference

C.G.S. Section 17a-3 (a)

Statement of Purpose and Program Objectives

To serve the most challenging boys in the juvenile justice system by providing innovative programming in education, treatment, and rehabilitation services in a secure, state-operated juvenile justice facility. Services promote the boys' successful reentry into the community by focusing on core competencies, accountability and community safety.

Program Description

The Connecticut Juvenile Training School (CJTS) opened August 2001 and serves boys adjudicated as delinquent and committed to the department by Juvenile Court. A full array of programming is offered focusing on innovative vocational and academic education, treatment and rehabilitative services while maintaining public safety.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	334	45	7	386	386	353	386	353
General Fund								
Federal Contributions	1	0	0	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	22,392,872	24,461,096	26,680,989	26,393,858	26,285,219	27,136,036	26,780,415	26,629,719
Other Expenses	5,971,118	5,506,728	5,439,512	5,475,591	5,817,296	5,581,475	5,658,894	5,743,277
Capital Outlay								
Equipment	0	0	115,000	86,761	0	263,000	238,314	0
Other Current Expenses								
Workers' Compensation Claims	3,655,725	3,694,200	3,777,578	3,784,298	3,784,298	3,893,096	3,910,002	3,910,002
Pmts to Other Than Local Governments								
Board & Care - Residential	1,719	1,627	1,775	1,870	1,785	1,815	1,985	1,887
TOTAL-General Fund	32,021,434	33,663,651	36,014,854	35,742,378	35,888,598	36,875,422	36,589,610	36,284,885
Additional Funds Available								
Private Contributions	122,020	122,020	122,020	122,020	122,020	122,020	122,020	122,020
Federal Contributions								
84013 Title I Neglect/Delinquent Child	49,518	51,251	51,251	51,251	51,251	51,251	51,251	51,251
TOTAL - All Funds	32,192,972	33,836,922	36,188,125	35,915,649	36,061,869	37,048,693	36,762,881	36,458,156

Juvenile Justice - State Facility**PREVENTION***Statutory Reference*

C.G.S. Section 17a-3, 17a-38, 17a-49, 17a-54, and 17a-56.

Statement of Purpose and Program Objectives

To promote positive development in children, youths, families and communities. To build on the strengths of children, youths, families and communities. To respect and value children, youths, families and communities as partners with DCF. To support comprehensive, collaborative and coordinated strategies that are rooted in communities. To respond to and respect the cultural and unique personal identities of children, youths, families and communities. To provide families and communities with tools and resources to thrive. To ensure that services are inclusive, accessible and affordable to all.

Program Description

DCF funds prevention programs offered by community providers and offers prevention services directly. DCF also funds Family Support Centers, Parent Education and Support Programs, Drug and Alcohol Prevention Programs, Early Childhood and Therapeutic Childcare Services, Juvenile Review Boards, Juvenile Criminal Diversion Projects, Mentoring Programs and a Young Parents Program. Over 14,000 families received these kinds of prevention services in State Fiscal Year 2004.

Family Centers and Parent Education and Support Centers target high-risk parents. The programs provide child development and parenting education, increase family management skills, and assist in accessing needed community services.

Drug and Alcohol Prevention Programs increase social skills training for youth and parents at risk of substance abuse. They also build and mobilize the protective factors in the extended family, neighborhood, schools and community. Substance abuse prevention programs served 300 children last year and 4,550 family members and caregivers.

Suicide Prevention The department provides staff support and funding to the Connecticut Youth Suicide Advisory

Board. The Board is expanding a social marketing campaign to reduce youth suicide through increased public awareness.

The Youth Suicide Prevention Project:

- Funds the CT Clearinghouse to distribute materials related to behavioral health, focusing specifically on prevention of youth suicide. Materials are also provided to all DCF area offices.
- Funds training on youth suicide prevention.
- Maintains a DCF suicide prevention website and develops publications for targeted audiences.

Early Childhood and Therapeutic Child Care programs target children under 6 years old and their families. These programs evaluate each child and offer follow-up services to strengthen that child's developmental capacity. These programs also focus on parenting education, support and skill development appropriate to their child's needs.

Mentoring programs offer "One-On-One" support services to youth by a competent, dedicated adult. This adult mentor serves as a positive role model to youth in need of support and life skills development.

The Young Parents Program at Rockville Hospital provides counseling, case management and support to pregnant adolescents in the hospital's prenatal clinic. Fifteen young women were served with this program.

Parents with Cognitive Limitations Workgroup brings together state agencies, service providers, and other stakeholders to develop a comprehensive, coordinated, efficient and effective system of policies, practices and services for families headed by a parent or other caregiver with cognitive limitations. Training for DCF/community providers has been offered and will be provided around the state six times in the coming year.

Regional Homelessness Prevention Training continues to be offered in collaboration with legal services office, DCF area offices and community providers to promote housing stability for families.

Family Day – DCF contracts with the American Academy of Pediatrics to organize and coordinate Family Day activities in the Community. Eleven communities were given small grants to do community activities.

Child Abuse Prevention Month (April) Activities are conducted in collaboration with American Academy of Pediatrics to coordinate and conduct a conference for

daycare providers on abuse and neglect prevention as well as prevention of Shaken Baby Syndrome.

The Wilderness School, a DCF-operated facility, is a prevention program for troubled Connecticut youth. The Wilderness School offers high-impact wilderness programs intended to foster positive youth development.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of Children that were referred to Early Childhood Programs for High-Risk Families Experiencing Increase in Safety	85%	85%	85%	85%
Percentage of Parents referred to Early Childhood Programs for High-Risk Families Demonstrating Improved Parenting	80%	80%	80%	80%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	0	0	1	1	1	1	1
<i>Other Positions Equated to Full Time</i>								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Private Contributions			15	15	15	15	15	15

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	75,271	148,491	170,486	168,651	167,957	180,869	178,499	177,494
Other Expenses	54,485	62,349	61,529	61,937	65,802	62,950	63,823	64,775
<i>Pmts to Other Than Local Governments</i>								
Juvenile Justice Outreach Services	315,710	374,456	324,932	471,940	465,647	332,080	493,365	444,460
Community Based Prevention Services	850,836	1,416,172	2,361,547	1,350,074	1,781,049	2,413,501	1,436,447	1,784,628
Child Welfare Support Services	25,000	25,307	25,692	26,514	26,377	26,258	27,719	109,663
Covenant to Care	150,000	151,838	154,381	159,319	158,496	157,778	166,552	158,496
Neighborhood Center	100,000	101,225	102,921	106,213	105,664	105,185	111,035	105,664
TOTAL-General Fund	1,571,302	2,279,838	3,201,488	2,344,648	2,770,992	3,278,621	2,477,440	2,845,180
<i>Additional Funds Available</i>								
Private Contributions	2,178,615	1,091,954	91,954	91,954	91,954	91,954	91,954	91,954
Federal Contributions	30,000	0	0	0	0	0	0	0
TOTAL - All Funds	3,779,917	3,371,792	3,293,442	2,436,602	2,862,946	3,370,575	2,569,394	2,937,134

Prevention

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-2, 17a-3, 17a-6, 17a-9, 17a-15 and 17a-37

Statement of Purpose and Program Objectives

To ensure the effective and efficient delivery of service to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the department's area offices and facilities.

Program Description

The Office of the Commissioner determines the agency's course by establishing priorities and setting policy and regulations necessary for the overall management of services and ensuring the proper training of all staff.

Supporting the department's programs in achieving its objectives is an administrative infrastructure that includes: administrative law and policy, affirmative action, audit, contract management, engineering, fiscal, health advocates, human resources, multi-cultural affairs, payroll, planning and evaluation, quality management, and revenue enhancement.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Training Hours Devoted to Increasing Staff Knowledge and Skills	6,000	8,000	17,600	17,600
Number of Facility Inspections	270	263	340	340
Number of Treatment Planning Conferences/Administrative Case Reviews Completed	18,028	25,500	26,520	26,700

Budget-in-Detail

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	360	29	7	396	396	434	396	434
General Fund	360	29	7	396	396	434	396	434
Federal Contributions	1	1	0	2	2	2	2	2

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	29,122,488	32,731,899	30,911,470	32,465,997	32,340,133	31,625,139	33,097,872	32,922,246
Other Expenses	11,289,119	12,297,941	12,121,674	12,202,076	12,963,545	12,389,788	12,561,646	12,748,957

Capital Outlay

Equipment	1,000	1,000	2,164,200	1,632,763	1,000	2,147,000	1,945,472	1,000
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Other Current Expenses

Workers' Compensation Claims	298,923	286,351	292,814	293,336	293,336	301,768	303,078	303,078
TOTAL-General Fund	40,711,530	45,317,191	45,490,158	46,594,172	45,598,014	46,463,695	47,908,068	45,975,281

Additional Funds Available

Private Contributions	61,120	61,120	61,120	61,120	61,120	61,120	61,120	61,120
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Federal Contributions

93003 Public Health/Social Serv Emerg	0	23,000	0	0	0	0	0	0
93556 Promoting Safe & Stable Families	106,628	110,360	110,360	110,360	110,360	110,360	110,360	110,360
93658 Foster Care_Title IV-E	206,118	433,564	433,564	433,564	433,564	433,564	433,564	433,564
TOTAL - All Funds	41,085,396	45,945,235	46,095,202	47,199,216	46,203,058	47,068,739	48,513,112	46,580,325

Administration

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	172,913,242	191,469,285	218,167,831	220,851,279	220,919,052	223,450,262
Other Positions	9,221,050	12,890,384	11,070,784	10,661,430	11,197,218	10,804,253
Other	12,728,084	8,957,926	9,365,876	5,988,999	9,772,767	6,109,872
Overtime	14,536,189	16,762,998	16,026,146	15,239,075	16,827,454	15,412,038
TOTAL-Personal Services Gross	209,398,565	230,080,593	254,630,637	252,740,783	258,716,491	255,776,425
Less Reimbursements						
Less Turnover	0	0	-10,722,453	-5,175,051	-10,722,453	-5,213,863
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	209,398,565	230,080,593	243,908,184	247,565,732	247,994,038	250,562,562

	47,165	63,936	64,767	63,936	66,192	63,936
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Other Expenses-Contractual Services

Dues and Subscriptions	21,506	25,515	25,847	25,515	26,415	25,515
Utility Services	1,096,408	1,137,502	1,177,811	1,137,502	1,210,327	1,137,503
Rentals, Storage and Leasing	5,486,567	6,102,734	7,389,250	7,442,793	7,531,998	7,442,793
Telecommunication Services	1,982,613	2,442,060	2,405,924	2,375,046	2,458,854	2,375,046
General Repairs	1,071,858	1,297,039	852,400	833,039	871,152	833,039
Motor Vehicle Expenses	2,837,864	3,195,552	3,469,795	3,344,676	3,541,012	3,344,676
Fees for Outside Professional Services	969,294	1,433,117	1,456,503	1,479,917	1,500,791	1,479,917
Fees for Non-Professional Services	2,072,651	2,182,013	2,225,976	4,272,413	2,277,946	4,192,413
DP Services, Rentals and Maintenance	8,596,880	9,678,296	9,159,847	10,242,296	9,361,365	9,342,296
Postage	426,941	410,789	416,129	410,789	425,284	410,789
Travel	785,440	1,707,423	1,729,620	1,707,423	1,767,672	1,707,423
Other Contractual Services	931,735	949,317	962,143	949,317	983,689	949,317
Advertising	183,028	755,124	764,942	755,124	781,771	755,124
Printing & Binding	29,966	23,613	23,920	23,613	24,447	23,613

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	873,588	1,089,398	1,106,807	1,089,398	1,131,156	1,089,398
Books	300,446	283,913	287,949	283,913	294,284	283,913
Clothing and Personal Supplies	295,042	304,021	307,987	304,021	314,762	304,021

Maintenance and Motor Vehicle Supplies	1,409,046	1,396,948	1,427,606	1,448,548	1,461,274	1,448,548
Medical Supplies	844,950	847,889	880,698	847,889	920,424	847,889
Fuel	2,826,322	2,747,768	2,802,872	3,173,217	2,878,550	3,443,360
Office Supplies	2,457,449	2,002,910	2,029,245	1,669,924	2,073,891	1,669,924
Refunds of Expenditures Not Otherwise Classified	248,356	237,045	240,126	237,045	245,408	237,045
Highway Supplies	4,584	1,500	1,520	1,500	1,553	1,500
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	590,311	564,863	572,207	564,863	584,795	564,863
TOTAL-Other Expenses Gross	36,390,333	40,880,285	41,781,891	44,683,717	42,735,012	43,973,861
Less Reimbursements						
TOTAL-Other Expenses Net	36,390,333	40,880,285	41,781,891	44,683,717	42,735,012	43,973,861

Other Current Expenses

Short Term Residential Treatment	656,753	664,848	675,935	694,002	690,806	649,918
Substance Abuse Screening	1,626,446	1,699,973	1,728,513	1,774,583	1,766,540	1,661,864
Workers' Compensation Claims	8,650,050	8,650,280	8,845,517	8,861,253	9,116,012	9,155,598
Local Systems of Care	1,728,213	1,877,765	2,013,080	1,922,801	2,046,461	1,895,904
Family Support Services	0	10,292,219	14,735,464	15,254,636	20,530,635	19,868,850
Emergency Needs	0	3,250,000	1,000,000	1,000,000	1,000,000	1,000,000
TOTAL-Other Current Expenses	12,661,462	26,435,085	28,998,509	29,507,275	35,150,454	34,232,134

Pmts to Other Than Local Govts

Health Assessment and Consultation	185,877	266,610	270,279	978,302	276,225	978,302
Gts Psychiatric Clinics for Children	12,370,441	12,834,722	12,952,809	13,812,046	13,237,770	12,961,023
Day Treatment Centers for Children	5,304,815	5,405,117	5,468,182	5,642,136	5,588,482	5,283,743
Juvenile Justice Outreach Services	3,039,581	3,923,434	3,405,153	4,879,781	3,480,066	4,657,759
Child Abuse and Neglect Intervention	5,273,912	5,384,621	5,487,088	5,633,339	5,607,804	5,276,305
Community Emergency Services	176,414	178,582	183,867	188,768	187,912	188,768
Community Based Prevention Services	2,746,452	2,927,236	3,896,379	2,938,600	3,982,100	2,944,506
Family Violence Outreach and Counseling	498,316	504,441	522,272	695,297	533,762	695,297
Support for Recovering Families	2,550,807	4,449,412	4,516,807	4,777,195	4,616,177	5,223,887
No Nexus Special Education	7,227,948	7,549,241	7,681,606	7,880,284	7,912,054	7,379,722
Family Preservation Services	6,493,120	5,012,687	5,092,382	5,240,773	5,204,414	4,908,400
Substance Abuse Treatment	3,388,008	3,868,938	3,933,026	4,287,855	4,019,552	4,031,320
Child Welfare Support Services	375,299	379,910	350,137	359,470	357,840	1,494,470
Board and Care for Children - Adoption	49,718,182	51,930,445	53,770,959	58,509,149	58,208,805	62,523,094
Board and Care for Children - Foster	81,790,671	89,240,248	99,019,031	104,267,880	103,391,700	111,306,899
Board & Care - Residential	146,843,502	155,675,067	154,883,292	155,720,310	160,112,836	166,467,087
Individualized Family Supports	7,486,765	10,302,250	8,804,869	10,265,861	8,998,577	9,629,171
Community KidCare	13,312,927	13,526,604	13,991,917	21,311,480	14,299,739	21,414,581
Covenant to Care	150,000	151,838	154,381	158,496	157,778	158,496
Neighborhood Center	100,000	101,225	102,921	105,664	105,185	105,664
TOTAL-Pmts to Other Than Local Govts	349,033,037	373,612,628	384,487,357	407,652,686	400,278,778	427,628,494

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	209,398,565	230,080,593	243,908,184	248,602,525	247,565,732	247,994,038	251,999,300	250,562,562
Other Expenses Net	36,390,333	40,880,285	41,781,891	42,059,023	44,683,717	42,735,012	43,327,780	43,973,861
Capital Outlay	1,000	1,000	2,650,967	2,000,000	1,000	2,758,970	2,500,000	1,000
Other Current Expenses	12,661,462	26,435,085	28,998,509	29,758,279	29,507,275	35,150,454	35,772,856	34,232,134
Payments to Other Than Local Governments	349,033,037	373,612,628	384,487,357	404,614,845	407,652,686	400,278,778	437,689,099	427,628,494
TOTAL-General Fund Net	607,484,397	671,009,591	701,826,908	727,034,672	729,410,410	728,917,252	771,289,035	756,398,051
<u>Additional Funds Available</u>								
Federal Contributions	15,731,273	26,758,936	17,550,213	17,550,213	17,550,213	16,004,778	16,004,778	16,004,778
Private Contributions	4,144,117	1,526,905	435,655	435,655	435,655	435,655	435,655	435,655
TOTAL-All Funds Net	627,359,787	699,295,432	719,812,776	745,020,540	747,396,278	745,357,685	787,729,468	772,838,484

NOTE: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

COUNCIL TO ADMINISTER THE CHILDREN'S TRUST FUND

AGENCY DESCRIPTION

A sixteen member interdisciplinary council governs the Children's Trust Fund. The council includes Commissioners from the Departments of Children and Families, Public Health, Social Services and Education as well as legislatively appointed individuals from the business community, the child abuse field, parents and a pediatrician.

The Children's Trust Fund is responsible for funding programs aimed at preventing child abuse and neglect and establishing resources in communities that support and strengthen the functioning of families.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services	<u>2005-2006</u>	<u>2006-2007</u>	
• Remove Inflation	-455	-1,235	
• Transfer Equipment to CEPF	-9,000	-6,400	
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-10,869	
• Reduce Funding for Family Empowerment Initiative Programs <i>To effect economies funding for Family Empowerment Programs is reduced.</i>	-111,693	-111,693	
• Reduce Funding for Kinship Fund <i>To effect economies funding for the Kinship Fund program is reduced.</i>	-164,937	-164,937	
Within Current Services			
• Transfer Funds for the Nurturing Families Network in the City of Hartford <i>Funds for the Nurturing Families Network in the City of Hartford are transferred from the Department of Children and Families to properly account for program responsibility.</i>	1,831,400	1,870,305	
New or Expanded Services			
• Establish Great Beginnings Program <i>Establish new program as part of the Governor's Early Childhood Initiative to provide all 40,000 new parents in the state with regular, essential information during the first four critical years of a child's development.</i>	<u>200,000</u>	<u>200,000</u>	<u>200,000</u>
• Fund 4% COLA for Private Grant-Funded Providers <i>A 4% cost of living adjustment is proposed for grant-funded private providers under DMHAS, DMR, DCF, DOC, CTF and Judicial. This COLA is linked to successful imposition of a nursing home provider tax, through which nursing homes will receive a significant Medicaid rate increase, and which will generate increased federal Medicaid reimbursement. The new federal reimbursement will be used to support nursing home rate increases as well as 4% rate increases for: residential care homes, home health services, home care waiver services, state-funded home care, intermediate care facilities for the mentally retarded, personal care attendants, assisted living services agencies, and private grant-funded providers.</i>	290,979	290,979	290,979

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	9	1	0	10	10	10	10	10
Federal Contributions	4	0	0	4	4	4	4	4
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	1	1	1	1	1
Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Children's Trust Fund	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017
TOTAL Agency Programs - All Funds Gross	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017
Less Turnover								
TOTAL Agency Programs - All Funds Net	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017
Summary of Funding								
General Fund Net	5,502,966	6,204,487	6,569,129	8,644,393	8,567,517	6,723,865	8,987,175	8,587,017
Federal Contributions	307,500	464,403	384,403	426,000	426,000	384,403	426,000	426,000
Private Contributions	149,102	62,000	62,000	62,000	62,000	62,000	62,000	62,000
TOTAL Agency Programs - All Funds Net	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017

CHILDREN'S TRUST FUND

Statutory Reference

Sec 17a-50 CGS

Statement of Need and Program Objectives:

Child abuse and neglect is among the most serious and challenging issues facing our state and the nation. Approximately 12,000 cases of maltreatment are substantiated in Connecticut each year.

Program Description

The Children's Trust Fund provides funding for several nationally recognized prevention programs that assist high-risk groups of parents and others involved in the lives of children. These include parents involved with domestic violence and substance abuse, addressing mental health concerns, raising children with disabilities, living in extreme poverty and social isolation or have a family history of abuse.

Key programs of the Children's Trust Fund include:

The Nurturing Families Network, now operating out of 21 of the thirty-one hospitals in the state and in 10 community centers in the city of Hartford, provides education and support for all interested new parents and intensive home visiting services for parents identified as most at risk. The Nurturing Families Network reaches more than 3,000 first time families each year and has offered home visiting services to approximately 2,630 vulnerable families at risk of abusing, neglecting or abandoning their children.

Home visitors become involved during the mother's pregnancy and continue working with the family, on average, for sixteen months. They teach child development and help the family to bond with and take hold of their responsibility to their child. Seventy percent (70%) of the time fathers are involved. Home visitors support the parent to finish school, to secure a job, and to find and utilize the services of a pediatrician. They connect families to WIC, and to counselors and others in the community who can help.

The Nurturing Families Network also offers intensive group support to parents and extended family members. The program teaches the family appropriate expectations for their children and fosters empathetic understanding and strategies for enhancing the well being of children. Approximately 500 families have participated in the Nurturing Program. A goal of the agency is to make statistically significant improvements on the Child Abuse Potential Inventory (CAPI) for parents enrolled in the program.

Family Empowerment Initiatives include eight prevention programs that assist high-risk groups of parents with children of various ages. The programs are co-located in various settings where families may be addressing other issues,

including a school, a substance abuse center, a prison, a domestic violence shelter, a child guidance center and a public housing project.

The Help Me Grow Program is a prevention initiative that identifies and refers young children with behavioral health, development and psychosocial needs to community-based services. The program bridges the gap between children with the early signs of developmental problems and the services designed to address them. The program serves children who may not be eligible for the state's Birth to Three or preschool special education programs, yet are still at risk for developmental problems.

The Hartford Community Partnership has been implemented with the Department of Children and Families and various organizations in the city of Hartford. The Partnership is piloting a differential response to families who come to the attention of the department. The Community Partnership seeks to engage the full community in efforts to protect children and prevent abuse and neglect. The Partnership is serving families in two Hartford neighborhoods – Frog Hollow and Upper Albany.

The Kinship Fund awards small grants to orphaned or abandoned children living with court-appointed relative guardians. The Trust Fund provides funding to eight probate courts to administer the program. The grants provide for a range of activities including tutoring, camp, fees for a variety of programs and extra-curricular experiences as well as clothing, eyeglasses and other basic necessities.

The Children's Trust Fund is Connecticut's lead agency for the federal Health and Human Services Community Based Grant for the Prevention of Child Abuse and Neglect. Under this grant, the Children's Trust Fund is supporting three important initiatives aimed at:

- Preventing shaken baby syndrome by collaborating with hospitals and community organizations to offer a parent education program based on the successful DIAS model piloted in up-state New York.
- Preventing childhood sexual abuse by requesting innovative proposals for a two-year pilot program focused on adult and community responsibility for preventing offending behavior.
- Offering community based training through the Family Development Institute. The Institute, a collaborative with the UCONN School of Family Studies, offers training in family development to enhance the skills of paraprofessionals, supervisors and managers of human services agencies.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Immunization rates for children in program compared to medicaid population	93%/66%	93%/66%	93%/66%	93%/66%
Rate of physical abuse for families participating in Nurturing Families Network compared to national rates	1%/19%	1%/19%	1%/19%	1%/19%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>						
<i>Permanent Full-Time Positions</i>								
General Fund	9	1	0	10	10	10	10	10
Federal Contributions	4	0	0	4	4	4	4	4
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	1	1	1	1	1

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	590,596	672,513	766,066	766,066	699,425	796,435	785,566
Other Expenses	0	35,000	35,455	35,455	35,000	36,235	36,235	35,000
Capital Outlay								
Equipment	0	0	7,100	10,000	1,000	5,400	7,400	1,000
Other Current Expenses								
Children's Trust Fund	5,502,966	5,578,891	5,854,061	7,832,872	7,765,451	5,982,805	8,147,105	7,765,451
TOTAL-General Fund	5,502,966	6,204,487	6,569,129	8,644,393	8,567,517	6,723,865	8,987,175	8,587,017
Additional Funds Available								
Private Contributions	149,102	62,000	62,000	62,000	62,000	62,000	62,000	62,000
Federal Contributions								
93590 Comm-Based Family Resource/Supp	307,500	464,403	384,403	426,000	426,000	384,403	426,000	426,000
TOTAL - All Funds	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Personal Services						
Permanent Fulltime Positions	0	664,068	723,448	722,628	752,931	741,219
Other Positions	0	33,231	34,846	36,438	34,848	37,347
Other	0	0	0	6,000	0	6,000
Overtime	0	0	0	1,000	0	1,000
TOTAL-Personal Services Gross	0	697,299	758,294	766,066	787,779	785,566
Less Reimbursements	0	-106,703	-85,781	0	-88,354	0
Less Turnover						
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	0	590,596	672,513	766,066	699,425	785,566
Other Expenses-Contractual Services						
Fees for Non-Professional Services	0	7,000	7,091	7,000	7,247	7,000
Postage	0	4,000	4,052	4,000	4,141	4,000
Travel	0	10,000	10,130	10,000	10,353	10,000
Printing & Binding	0	6,000	6,078	6,000	6,212	6,000
Other Expenses-Commodities						
Office Supplies	0	8,000	8,104	8,000	8,282	8,000
TOTAL-Other Expenses Gross	0	35,000	35,455	35,000	36,235	35,000
Less Reimbursements						
TOTAL-Other Expenses Net	0	35,000	35,455	35,000	36,235	35,000
Other Current Expenses						
Children's Trust Fund	5,502,966	5,578,891	5,854,061	7,765,451	5,982,805	7,765,451
TOTAL-Other Current Expenses	5,502,966	5,578,891	5,854,061	7,765,451	5,982,805	7,765,451

Character & Major Object Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	0	590,596	672,513	766,066	766,066	699,425	796,435	785,566
Other Expenses Net	0	35,000	35,455	35,455	35,000	36,235	36,235	35,000
Capital Outlay	0	0	7,100	10,000	1,000	5,400	7,400	1,000
Other Current Expenses	5,502,966	5,578,891	5,854,061	7,832,872	7,765,451	5,982,805	8,147,105	7,765,451
TOTAL-General Fund Net	5,502,966	6,204,487	6,569,129	8,644,393	8,567,517	6,723,865	8,987,175	8,587,017
Additional Funds Available								
Federal Contributions	307,500	464,403	384,403	426,000	426,000	384,403	426,000	426,000
Private Contributions	149,102	62,000	62,000	62,000	62,000	62,000	62,000	62,000
TOTAL-All Funds Net	5,959,568	6,730,890	7,015,532	9,132,393	9,055,517	7,170,268	9,475,175	9,075,017

NOTE: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.