1. DATE ISSUED MM/DD/YYYY 2. CFDA NO. 3. ASSIS 09/08/2016 93.624 Coop	Department of Health and Human Services Centers for Medicare & Medicaid Services				
1a. SUPERSEDES AWARD NOTICE dated 08/22/ except that any additions or restrictions previously impose	2016 ed remain		Acquisitions and Gran	C	
in effect unless specifically rescinded			7500 Security Boulevar Baltimore, MD 21244	d	
A. GRANT NO.	5. ACTION TYPE Post Award		Datti1016, MD 21244		
1G1CMS331404-01-15 Formerly	Amendment				
B. PROJECT PERIOD MM/DD/YYYY	MM/DD/YYYY			PN	
From 02/01/2015	Through 09/30/2019		IZATION (Legislation/R		
. BUDGET PERIOD MM/DD/YYYY	MM/DD/YYYY			ed by section 3021 of the	
From 02/01/2015	Through 09/27/2016		n and Affordable Care A		
3. TITLE OF PROJECT (OR PROGRAM)					
State Innovation Models: Roun	d Two of Funding for	Design and Test Ass	sistance		
a. GRANTEE NAME AND ADDRESS State of Connecticut Office of t	he Healthcare Advocate	9b. GRANTEE PROJECT DIRECTO			
PO BOX 1543	ne neureneure Auvocate	Dr. Mark C. Schaefer PO BOX 1543	Ph.D		
Hartford, CT 06144-1543		Hartford, CT 06144-1	.543		
		Phone: 860-331-2461			
			.		
0a. GRANTEE AUTHORIZING OFFICIAL Ms. Victoria Veltri		10b. FEDERAL PROJECT OFFICE	ĸ		
153 Market St		7500 Security Boulevard			
Hartford, CT 06103-1300		Baltimore, MD 21244			
		51 410506000			
Phone: 860-331-2441		Phone: 4107863900			
		Phone: 4107863900			
		Phone: 4107863900			
Phone: 860-331-2441	ALL AMOUNTS AF	E SHOWN IN USD			
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance)			sistance (from item 11m)	7,332,846.0	
)nly II	RE SHOWN IN USD 12. AWARD COMPUTATION			
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance) I Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finance	Only II	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass	Prior Budget Periods	7,332,846.0 0.0 7,332,846.0	
Phone: 860-331-2441 A. APPROVED BUDGET (Excludes Direct Assistance) I Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finan a. Salaries and Wages	only II ncial participation 378,387.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From	Prior Budget Periods This Budget Period	0.0 7,332,846.0 0.0	
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits	Only II ncial participation 378,387.00 296,776.00 296	E SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period	0.0 7,332,846.0	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs	Only II ncial participation 378,387.00 296,776.00 296	E SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT	0.0 7,332,846.0 0.0 7,332,846.0	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits	Image: constraint of the state of	E SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the	0.0 7,332,846.0 0.0 7,332,846.0 project):	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs	Only II acial participation 378,387.00 296,776.00 675,163.00 0.00	I2. AWARD COMPUTATION 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT C	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR	0.0 7,332,846.0 0.0 7,332,846.0	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment	Only II acial participation 378,387.00 296,776.00 675,163.00 0.00 12,995.50	Image: Shown in USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded to 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT O a. 2	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5	0.0 7,332,846.0 0.0 7,332,846.0 project):	
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies	Only II arcial participation 378,387.00 296,776.00 296,776.00 675,163.00 0.000 12,995.50 12,995.50 10,689.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻ d. AMOUNT OF FINANCIAL ASSIS 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT C a. 2 b. 3 b. 4	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR	0.0 7,332,846.0 0.0 7,332,846.0 project):	
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance) I Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction	Only II 378,387.00 296,776.00 296,776.00 675,163.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻ d. AMOUNT OF FINANCIAL ASSIS 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT O a. 2 b. 3 c. 4 15. ERCOMMENCATE SUMU REUSED MULTICES DATE	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 1. APPROVED BUDGET (Excludes Direct Assistance) I Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other	Only II 378,387.00 296,776.00 296,776.00 675,163.00 675,163.00 12,995.50 10,689.00 0.00 0.00	I2. AWARD COMPUTATION 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded to 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds at YEAR TOTAL DIRECT OF a. 2 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATIVES:	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual	Only II 378,387.00 296,776.00 296,776.00 675,163.00 0.00 12,995.50 10,689.00 0.00 3,996.50 6,630,002.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT C a. 2 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATIVES: a. DEDUCTION b. ADDITIONAL COSTS c MATCHING	Prior Budget Periods This Budget Period STANCE THIS ACTION To Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 LACCORD WITH ONE OF THE FOLLOW	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual j. TOTAL DIRECT COSTS	Only II 378,387.00 296,776.00 296,776.00 675,163.00 675,163.00 12,995.50 10,689.00 0.00 0.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT C a. 2 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATIVES: a. DEDUCTION b. ADDITIONAL COSTS c MATCHING	Prior Budget Periods This Budget Period STANCE THIS ACTION To Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 LACCORD WITH ONE OF THE FOLLOW	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual	Only II 378,387.00 296,776.00 296,776.00 675,163.00 0.00 12,995.50 10,689.00 0.00 3,996.50 6,630,002.00	III. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded ti 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds at YEAR TOTAL DIRECT O a. 2 b. 3 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATIVES: a. DEDUCTION b. ADDITIONAL COSTS c. MATCHINES OTHER RESERFEMARKS) 16. THIS AWARD IS BASED ON AN APPLICA	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 IACCORD WITH ONE OF THE FOLLOW Peduct Option) ATION SUBMITTED TO, AND AS APPRR	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual j. TOTAL DIRECT COSTS k. INDIRECT COSTS	Only II 378,387.00 296,776.00 296,776.00 675,163.00 0.00 0.00 12,995.50 10,689.00 0.00 0.00 3,996.50 6,630,002.00 7,332,846.00 0.00	III. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds at YEAR TOTAL DIRECT O a. 2 b. 3 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATVES: a. DEDUCTION b. ADDITIONAL COSTS c. MATCHINES C. MATCHINES A. OTHER RESERFEMENCE IN THE ABOVE TITLED PROJECT AND IS SUI ON THE ABOVE TITLED PROJECT AND IS SUING:	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 IACCORD WITH ONE OF THE FOLLOW Peduct Option) ATION SUBMITTED TO, AND AS APPRR	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual j. TOTAL DIRECT COSTS	Only II 378,387.00 296,776.00 296,776.00 675,163.00 0.00 0.00 12,995.50 10,689.00 0.00 3,996.50 6,630,002.00 7,332,846.00	I2. AWARD COMPUTATION 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT C a. 2 b. 3 c. 4 DEDUCTION b. ADDITIONAL COSTS c. MATCHINS d. OTHER RESEARCH (Add /D e. OTHER (See REMARKS) 16. THIS AWARD IS BASED ON AN APPLICA ON THE ABOVE TITLED PROJECT AND IS SU OR BY REFERENCE IN THE FOLLOWING: a. The grant program regulations b. The grant program regulations	Prior Budget Periods This Budget Period STANCE THIS ACTION To Date for Project Period PORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 LACCORD WITH ONE OF THE FOLLOW Meduct Option) TITION SUBMITTED TO, AND AS APPRR BJECT TO THE TERMS AND CONDITION S.	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS ING DVED BY, THE FEDERAL AWARDING AGENC NS INCORPORATED EITHER DIRECTLY	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C I Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual j. TOTAL DIRECT COSTS k. INDIRECT COSTS	Donly II 378,387.00 296,776.00 296,776.00 675,163.00 675,163.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	RE SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT (a. 2 b. 3 c. 4 DEDUCTION b. ADDITIONAL COSTS c. 4 OTHER NESEARCH (Add / D e. OTHER (See REMARKS) 16. THIS AWARD IS BASED ON AN APPLICA ON THE ABOVE TITLED PROJECT AND IS SUJ ON THE ABOVE TITLED PROJECT AND IS SUJ 0 THE grant program regulation B. THE grant program regulation D. THE grant program regulation D. THE grant program regulation	Prior Budget Periods This Budget Period STANCE THIS ACTION to Date for Project Period PPORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 I ACCORD WITH ONE OF THE FOLLOW Heduct Option) TATION SUBMITTED TO, AND AS APPRR BJECT TO THE TERMS AND CONDITION	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS	
Phone: 860-331-2441 I. APPROVED BUDGET (Excludes Direct Assistance) Financial Assistance from the Federal Awarding Agency C II Total project costs including grant funds and all other finar a. Salaries and Wages b. Fringe Benefits c. Total Personnel Costs d. Equipment e. Supplies f. Travel g. Construction h. Other i. Contractual j. TOTAL DIRECT COSTS k. INDIRECT COSTS l. TOTAL APPROVED BUDGET	Only II 378,387.00 296,776.00 296,776.00 675,163.00 0.00 0.00 12,995.50 10,689.00 0.00 0.00 3,996.50 6,630,002.00 7,332,846.00 0.00	E SHOWN IN USD 12. AWARD COMPUTATION a. Amount of Federal Financial Ass b. Less Unobligated Balance From c. Less Cumulative Prior Award(s) ⁻¹ d. AMOUNT OF FINANCIAL ASSI: 13. Total Federal Funds Awarded t 14. RECOMMENDED FUTURE SUP (Subject to the availability of funds a YEAR TOTAL DIRECT O a. 2 b. 3 c. 4 15. PROGRAM INCOME SHALL BE USED IN ALTERNATIVES: a. DEDUCTION b. ADDITIONAL COSTS c. MATCHINES d. OTHER (See REMARKS) 16. THIS AWARD IS BASED ON AN APPLICA ON THE ABOVE TITLED PROJECT AND IS SUI OR BY REFERENCE IN THE FOLLOWINS: a. The grant program legislation b. The grant program regulations c. This award notice including ter In the event three are conflicting or otherwise	Prior Budget Periods This Budget Period STANCE THIS ACTION To Date for Project Period PORT and satisfactory progress of the COSTS YEAR d. 5 e. 6 f. 7 ACCORD WITH ONE OF THE FOLLOW Peduct Option) ATION SUBMITTED TO, AND AS APPRR BJECT TO THE TERMS AND CONDITION s imments, cost principles and audit requirem se inconsistent policies applicable to 10	0.0 7,332,846.0 0.0 7,332,846.0 project): TOTAL DIRECT COSTS ING DVED BY, THE FEDERAL AWARDING AGENC NS INCORPORATED EITHER DIRECTLY Nder REMARKS. ents applicable to this grant. the grant, the above order of precedence sh	

This Notice of Award modifies the current budget period end date from September 30, 2016 to September 27, 2016.

GRANTS MANAGEMENT OFFICIAL: Michelle Feagins, Grants Management Officer

17. OBJ CLAS	ss 4115	18a. VENDOR CODE	1066000798Q3	18b. EIN	066000798	19. DUNS	026200517	20. CONG. DIST.	01
FY-A	ACCOUNT NO.	DOCUI	MENT NO.		ADMINISTRATIVE CODE	AMT	ACTION FIN ASST	APPROPRIA	TION
21. а.	5-5990300	b. 1G13	331404A	С.	SIM	d.	\$0.00	e. 7!	5X0522
22. a.		b.		С.		d.		e.	
23. a.		b.		C.		d.		e.	

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of	2	DATE ISSUED
		09/08/2016
GRANT NO. 1G1C		MS331404-01-15

Federal Financial Report Cycle					
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date		
02/01/2015	09/27/2016	Annual	12/26/2016		