Question	Response categories		
SECTION 1. PRACTICE CHARACTERISTICS			
1. Are you a primary care physician (including internal medicine, family medicine, and pediatrics) or a specialist?	<ul> <li>Primary care (including internal medicine, family medicine, and pediatrics)</li> <li>Specialty (Please specify.)</li> </ul>		
2. About how many hours per week, on the average, do you			
spend seeing patients?	Hours Per Week Seeing Patients		
3. About how many hours per week, on the average, do you			
spend providing primary care to patients?	Hours Per Week Providing Primary Care		
<ol> <li>Please estimate the number of patients you see in a typical week.</li> </ol>	Number of Patients Per Week		
5. Over the next three years do you expect the number of hours	Increase		
of patient care you provide per week to increase, decrease,	Decrease		
or stay the same?	Stay the same		
6. In a typical week, in how many different outpatient offices do	□ <sub>1</sub> One		
you see patients? <i>(Check one)</i>	□ <sub>2</sub> Two		
	□ <sub>3</sub> Three or more		
7. About what percent of your patients do you see at your main			
practice site? If you are not sure, please estimate.	%		
7. What is your main practice site? Is it a	☐ Physician's Office		
	☐ Hospital		
	☐ Community Health Center		
	☐ Walk-in Clinic		

Question	Response categories
	☐ Urgent Care Center
	☐ Retail Clinic
	☐ Other
8. Are you a:	□1 Partner or Shareholder in the practice
	□2 Owner of the practice
	□3 Not an owner of the practice
9. How would you best characterize your (main) practice?	☐ Solo practice
(PLEASE CHECK ONLY ONE)	☐ Single specialty partnership or practice
	☐ Multispecialty partnership or practice (including staff or group model HMOs)
10. How many full -time clinicians, including yourself, are there	# Physicians
in your main practice:	# Nurse practitioners
	# Physician assistants
11. How many part-time clinicians, including yourself, are there	# Physicians
in your main practice:	# Nurse practitioners
	# Physician assistants
12. Please <b>estimate</b> the percentage of your patients that have	% Medicare
the following types of insurance:	% HUSKY/Medicaid/CHIP
	% Dual Eligible
13. Do you currently accept NEW patients?	□ Yes
	□ No SKIP TO QX
14. Do you currently accept NEW patients who have the	a) Medicare Yes No

Question	Response categories	
following types of insurance:	b) HUSKY/Medicaid/CHIP Yes No	
15. What is the zip code of your (main) practice location?	Zip code	
SECTION 2: TECHNOLOGY		
1. Does your main practice have an electronic health record (EHR), that is, an integrated clinical information system that tracks patient health data, and may include such functions as visit notes, prescriptions, lab orders, etc.?	$\Box_1$ Yes $\Box_2$ No (If "No", please <b>answer a.</b> and then <b>SKIP to Question 19</b> )	
1a. When do you plan to implement an EHR? (move to end of EHR Questions)	□ <sub>1</sub> Within the next 12 months □ <sub>2</sub> Within the next 1-2 years □ <sub>3</sub> Within the next 3-5 years □ <sub>4</sub> No plans	
1b. What is the name of your EHR system (e.g. Epic, Logician):	Epic Logician Allscripts EclinicalWorks NextGen Other	
1c. Please indicate what year your practice <b>first</b> began using an EHR:	( Year)	
2. Does your practice maintain or have access to an electronic list or registry of patients with chronic diseases (e.g., asthma, CHF, depression, diabetes)?	□ Yes □ No	
3. With your current electronic medical record system, how easy would it be for you or your staff to generate the following information about your patients?		

Question	Response categories			
3a. List of patients by diagnosis (e.g., diabetes)	Very Easy			
ou. List of patients by diagnosis (e.g., diabetes)	Somewhat Easy			
	Somewhat difficult			
	Very Difficult			
	Cannot Generate			
	Very Easy			
3b. List or stratify patients by health risk/need for care	Somewhat Easy			
coordination	Somewhat difficult			
	Very Difficult			
	Cannot Generate			
	cannot deficiate			
3c. List of patients by laboratory results (e.g., patients	Very Easy			
with abnormal hematocrit levels)	Somewhat Easy			
With action in the material reverse,	Somewhat difficult			
	Very Difficult			
	Cannot Generate			
3d. List of patients by medications they currently take	Very Easy			
(e.g., patients on warfarin)	Somewhat Easy			
(0.8.) passesses on manual my	Somewhat difficult			
	Very Difficult			
	Cannot Generate			
4. Please indicate the following features of the EHR that you have	ve available in your practice. For those features that you have,			
indicate the extent to which <b>you use</b> them:				
<ul><li>4a. Generating reminders for interventions (e.g. smoking cessation advice)</li></ul>	Available:YesNoDon't Know			
cessation advice)	Use: I do not use			
	I use some of the time			
	I use most or all of the time			
Ah Providing nationts with an electronic copy of health				
4b. Providing patients with an electronic copy of health	Available:YesNoDon't Know			

Question	Response categories
info	
	Use:I do not use
	I use some of the time
	I use most or all of the time
5. For each outcome listed below, indicate whether you think the	e effect of an EHR is very positive, somewhat positive, no effect,
somewhat negative, or very negative on:	
a) Health care costs	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
b) Quality of health care	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
c) Patient-physician communication	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
d) Patient privacy	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
e) Clinicians' access to up-to-date information	Very Positive
	Somewhat Positive
	No Effect

Question	Response categories
	Somewhat Negative
	Very Negative
f) Efficiency of providing care	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
g) Medication errors	Very Positive
	Somewhat Positive
	No Effect
	Somewhat Negative
	Very Negative
SECTION 3: MEDICAL HOME CHARACTERISTICS	
1. a. Is your main practice a designated Patient Centered	If no, is this practice in the process of obtaining PCMH
Medical Home (PCMH)? Yes No	designation?
If no, skip to question	☐ Yes ☐ No
<del>-</del>	
If yes, by what organization(s)?	If no, are you interested in becoming a designated PCMH?
	☐ Yes ☐ No
a. NCQA (2011) Yes No	
b. NCQA (2008) Yes No	
c. JCAHO Yes No	
d. State of Connecticut Department of Social Services	
∐Yes ∐No	

ď	estion	Response categories	
	e. Other		
2.	How familiar are you with the concept of a patient centered		_very familiar
	medical home		_somewhat familiar
			_not very familiar
			_not at all familiar
3.	How likely would you be to use state fund technical		_very likely
	assistance if it was available to help develop a patient		_somewhat likely
	centered medical home?		_not very likely
			_not at all likely
4.	Please indicate whether you agree or disagree with the following	ng state	
	a) A patient centered medical home can provide better	□1	Strongly Agree
	quality care to patients than traditional approaches	□2	Agree
		□3	Neither Agree or Disagree
		□4	Strongly Agree
		□5	Agree
	b) A patient centered medical home would be financially	□1	Strongly Agree
	beneficial for my practice	□2	Agree
		□3	Neither Agree or Disagree
		□4	Strongly Agree
		□5	Agree
	c) Establishing a patient centered medical home is	□1	Strongly Agree
	challenging for a practice like mine	□2	Agree
		□3	Neither Agree or Disagree
		□4	Strongly Agree
		□5	Agree
5.	Does your practice use clinical or non-clinical staff whose		
	primary job is to coordinate and improve the quality of care	□1	Yes

Question		Respo	nse categories
for patients v	vith chronic diseases?	□2	No
6. SKIP based on earlier responses At the majority of your practice sites, does your medical group/practice use:			
a) primary o	are teams, by which we mean a group of	$\Box_1$	Yes
physician	s and other staff (such as nurse care managers,	□2	No
medical a	ssistants, health coaches) who meet with each		
other <u>reg</u>	ularly to discuss the care of a defined group of		
<u>patients a</u>	and who share responsibility for their care.		
b) "Advance	d access" or "open access" scheduling that	□1	Yes
encourag	es your office staff to offer same-day	□2	No
appointm	ents to virtually all patients who want to be		
seen.			
c) Group vis	its in which multiple patients with chronic illness	$\Box_1$	Yes
meet tog	ether with a trained clinician to obtain routine	□2	No
medical o	are and to address educational and psychosocial		
concerns			
7. Does your me	dical group/practice have any <b>non-physician</b>	□1	Yes
<b>staff</b> , for exan	nple, nurses, dieticians, or health educators, who	□2	No
have time set	aside to meet with and/or call patients to help		
educate them	about diet, exercise, and/or tobacco or		
excessive alco	hol use?		
8. Does your me	edical group or practice participate in a formal	□1	Yes
program that	coordinates transitions of care from hospital	□2	No
discharge to	nome care, nursing home care, or follow-up		
with the pati	ent's primary care physician or specialist?		
The next series of questions asks about information or support you get from your medical group, practice, or an IPA, PHO, or			
health plan that	you are affiliated with.		

Question	Resp	onse cate	egories
9. Does your medical group/practice have any non-physician	□1	Yes	
<b>staff</b> , for example, nurses, dieticians, or health educators, who	□2	No	
have <b>time set aside</b> to meet with and/or call patients to help			
educate them about diet, exercise, and/or tobacco or			
excessive alcohol use?			
10. Drop for non-affiliated Thinking about your patients with	□1	Yes	IF YES: For what percent of your patients do you
chronic diseases (e.g., asthma, CHF, depression, diabetes), do	□2	No	receive this?
you get guideline-based reminders – that you see at the time			1. None
you are seeing the patient – for services the patient should			2. Less than half
receive? An example would be a pop-up within an electronic			3. Half or more
medical record or an appropriate reminder attached to the			4. All or almost all
front of the chart each time that you see the patient.			
11. Drop for non-affiliated Do you get feedback on the quality	□1	Yes	IF YES: For what percent of your patients do you
of care you provide to patients with chronic illness?	□2	No	receive this?
			1. None
			2. Less than half
			3. Half or more
			4. All or almost all
12. Drop for non-affiliated Do you get the results about surveys	□1	Yes	IF YES: For what percent of your patients do you
of patient experiences at least once a year?	□2	No	receive this?
			1. None
			2. Less than half
			3. Half or more
			4. All or almost all
13. Drop for non-affiliated Do you get feedback on the quality	$\Box_1$	Yes	IF YES: For what percent of your patients do you
of preventive care you provide to patients?	□2	No	receive this?
			1. None
			2. Less than half
			3. Half or more
			4. All or almost all

Question	Response categories	
14. How challenging is it for you and your staff to recognize	☐ <sub>1</sub> Very Challenging	
behavioral health problems in your patients?	☐2 Somewhat Challenging	
	☐3 Not Very Challenging	
	□ <sub>4</sub> Not at All Challenging	
15. How challenging is it for you and your staff to provide (or	☐ Very Challenging	
arrange for?) appropriate treatment for behavioral health	☐ <sub>2</sub> Somewhat Challenging	
problems in your patients?	□₃ Not Very Challenging	
	□₄ Not at All Challenging	
SECTION 4: CULTURAL COMPETENCY		
1. To what extent, if any, have the <b>physicians</b> in your medical	a. our physicians have had little or no formal training	
group participated in formal training programs to improve	b. our physicians have had some formal training	
their skills in the areas of patient communication and/or	c. our physicians have had a great deal of formal training	
cultural competence? Please choose one of the following		
statements.		
2. When providing care for patients that you have difficulty com the following?	municating with due to language barriers, how likely are you to do	
a) Use a member of your clinical or office staff as anVery likely		
interpreter	Somewhat likely	
	Not very likely	
	Not at all likely	
b) Use an interpreter (either someone employed by	Very likely	
the practice or a hired service)	Somewhat likely	
,,	Not very likely	
	Not at all likely	
c) Ask the patient's family member or friend to	Very likely	
interpret	Somewhat likely	

Question	Response categories
	Not very likely
	Not at all likely
d) Try to work through the encounter despite the	Very likely
language barrier	Somewhat likely
	Not very likely
	Not at all likely
SECTION 6: PHYSICIAN ATTITUDES	
In the past year how often have you	
1 considered leaving clinical practice?	Never
	A few times a year or less
	Once a month or less
	A few times a month
	Once a week
	A few times a week
	Every Day
2 considered altering your practice (e.g., limiting the number	Never
of patients you see, limiting the hours you work?)	A few times a year or less
	Once a month or less
	A few times a month
	Once a week
	A few times a week
	Every Day
3 considered conversion to a concierge model?)	Never
	A few times a year or less
	Once a month or less
	A few times a month
	Once a week
	A few times a week

## STATE INNOVATION MODEL INITIATIVE

Question	Response categories
	Every Day
4. Please tell us how challenging you find the following issues fo	
a) Lack of uniformity of forms and procedures across	☐ Very Challenging
insurance plans	☐ <sub>2</sub> Somewhat Challenging
	□ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
b) Varying policies across insurance plans for prior	□ <sub>1</sub> Very Challenging
authorizations	□ <sub>2</sub> Somewhat Challenging
	□ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
c) Staying current in my area of practice	□ <sub>1</sub> Very Challenging
	☐ <sub>2</sub> Somewhat Challenging
	□ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
d) Referring patients for behavioral health treatment	□ <sub>1</sub> Very Challenging
	☐ <sub>2</sub> Somewhat Challenging
	□ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
e) Referring patients to other specialists	□ <sub>1</sub> Very Challenging
	☐ <sub>2</sub> Somewhat Challenging
	□ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
f) Balancing professional and personal responsibilities	□ <sub>1</sub> Very Challenging
	☐ <sub>2</sub> Somewhat Challenging

Question	Response categories
	$\square_3$ Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
g) Incorporating pay-for-performance or shared savings	$\square_1$ Very Challenging
programs into your practice	□ <sub>2</sub> Somewhat Challenging
	☐ <sub>3</sub> Not Very Challenging
	□ <sub>4</sub> Not at All Challenging
SECTION 7: NARROW NETWORKS	
1. In the past year, has a plan denied you membership in a	Yes
network you wanted to join?	No
2. In the past year, has a plan dropped you from their	Yes
network?	No
3. If yes, how many networks were you denied membership	Number denied
in?	
4. If yes, please estimate how many patients you were unable	Number of patients not accepted
to accept in the past year because of network denials	
SECTION 8: PHYSICIAN DEMOGRAPHICS	
1. Are you:	☐ Male
	Female
2. In what year did you graduate from medical school?	