



Public Act No 13-208 Sec. 69

**Hospital Transfers of Emergency Coronary Angioplasty Patients
for Elective Surgery or Open Heart Surgery:
October 1, 2013 to September 30, 2014**

Report to

The Joint Standing Committee of the General Assembly

Commissioner Jewel Mullen, MD, MPH, MPA

January 15, 2015

Transfers of Emergency Coronary Angioplasty Patients to Other Hospitals for Elective Coronary Angioplasty: October 1, 2013 – September 30, 2014

1.0 INTRODUCTION

Section 69 of Public Act No. 13-208 (P.A. 13-208 §69) required acute care general hospitals that had obtained a certificate of need (CON) to provide emergency (not elective) coronary angioplasty to report data to the Department of Public Health (DPH). The public act also required DPH to report to the joint standing committee of the General Assembly by January 15, 2015. The data submitted to DPH was for October 1, 2013 through September 30, 2014 on the number of persons on whom the hospital performed emergency/primary angioplasty and subsequently discharged to another hospital to receive elective coronary angioplasty or open heart surgery. (**Appendix I**)

2.0 BACKGROUND

2.1 Definitions

Emergency coronary angioplasty (PCA), also known as primary percutaneous coronary intervention (PCI), is an interventional procedure whereby a catheter is usually inserted into an artery in the groin and threaded through the circulatory system to a previously diagnosed blockage in the heart. An expanded balloon is passed to this spot, inflated several times to flatten the blockage-causing plaque, widen the artery and improve blood flow.¹ Primary PCI is performed on patients diagnosed with a ST-segment elevation myocardial infarction (STEMI), a type of heart attack determined by electrocardiogram and requiring immediate surgery to save the heart muscles supplied blood by the affected artery or arteries. Both primary and elective PCI are performed in a cardiac catheterization lab. Primary PCI is an emergency procedure followed by an inpatient stay in the hospital; however, elective PCI is a scheduled outpatient procedure. Open heart surgery (OHS) is an inpatient therapeutic operative procedure performed in an operating room on the heart or its coronary arteries, such as coronary artery bypass surgery or heart valve replacement, to correct anomalous conditions. Such surgery often uses a heart-lung by-pass machine for blood circulation.²

2.2 Practice Guidelines and Certificate of Need Statutory Criteria

Currently, applications from Connecticut hospitals seeking authorization from DPH's Office of Health Care Access (OHCA) to initiate a cardiac program are considered using conditions in guidelines^{3,4} derived from expert sources (i.e., American College of Cardiology, the American Heart Association, Society for Cardiovascular Angiography and Interventions and the Advisory Council (ACC/AHA/SCAI)) and CON statutory criteria specified by Connecticut General Statutes Section (C.G.S. §) 19a-639 (**Appendix II**).

¹ Connecticut Department of Public Health. October 2012. *Statewide Health Care Facilities and Services Plan*. Office of Health Care Access.

² Ibid.

³ ACCF/AHA/SCAI Guidelines for Percutaneous Coronary Intervention. 2011. American College of Cardiology Practice Guidelines.

⁴ Guidelines for Standards in Cardiac Surgery. 1997. Bulletin of American College of Surgeons, Vol. 82, No. 2.

Guidelines include a proven plan for rapid transportation in an emergency to an operating room in a nearby hospital as well as appropriate hemodynamic capability, such as continuous blood pressure and heart rate monitoring, for the transfer. A 2013 update of the guidelines recommends geographic considerations for underserved regions to authorize elective PCI for programs with annual volumes below 200 procedures.⁵ Sites with annual volumes below 200 procedures should strongly reconsider provision of PCI and if they are operating “to meet critical access needs” then they “must demonstrate acceptable outcome.”⁶

The same update also recommends that due to continuous decline in the incidence of STEMI, there should be “greater emphasis on developing systems of care for STEMI patients.” The 2014 update of the guidelines suggests state or federal level planning for the distribution of PCI because of the numerous numbers of low volume operators and institutions.⁷

Additionally, Connecticut CON statutory criteria require demonstration of clear public need for the program to prevent unnecessary duplication of existing health care services while ensuring access and availability to all Connecticut residents.

The guidelines are intended to assist healthcare providers in clinical decision making and provide generally accepted approaches to the diagnosis, management and prevention of specific diseases or conditions. The ultimate judgment regarding care of a particular patient should be made by the healthcare provider and patient.

2.3 Cardiac Programs in Connecticut

Including the center jointly operated by Saint Mary’s and Waterbury Hospitals, 16 of the 29 acute care general and children hospitals in Connecticut operate cardiac catheterization labs; nine of these hospitals are full-service centers that perform all cardiac procedures including open heart surgery (**Table 1**). Of the 16 hospitals, three are authorized to perform cardiac catheterizations only, three to perform primary PCI only and one to perform primary and elective PCI. The three hospitals authorized to provide primary PCI only and affected by the public act are:

- 1) Greenwich Hospital (program operated by Yale-New Haven Health Heart Institute which also operates the Bridgeport Hospital and Yale-New Haven Hospital cardiac programs);
- 2) The Hospital of Central Connecticut (in New Britain); and
- 3) Norwalk Hospital.

⁵ Writing Committee Members. 2013. *ACCF/AHA/SCAI 2013 Update of the Clinical Competence Statement of Coronary Artery Interventional Procedures*. ACCF/AHA/SCAI Clinical Competence Statement. *Circulation*. 2013;128;436-472. Accessed February 27, 2014 at <http://circ.ahajournals.org>.

⁶ Ibid.

⁷ *SCAI/ACC/AHA Expert Consensus Document. 2014 Update on Percutaneous Coronary Intervention Without Onsite Surgical Backup. Clinical Decision Making*. Society for Cardiovascular Angiography and Interventions, the American College of Cardiology Foundation, and the American Heart Association, Inc. Accessed January 9, <http://www.scai.org/guidelines/Default.aspx#2013>

In February 2013, OHCA authorized the Lawrence + Memorial Hospital in New London (L+M) to perform elective PCI in addition to its existing primary PCI program. The decision discussed the 2011 ACCF/AHA/SCAI practice guidelines which state: “It is only appropriate to consider initiation of a PCI program without on-site cardiac surgical backup if this program will clearly fill a void in the healthcare needs of the community. Competition with another PCI program in the same geographic area, particularly an established program with surgical backup, may not be in the best interests of the community.” The approval indicated allowing elective PCI would bring appropriate access to needed elective PCI services and would improve the quality of cardiac services in region that fares worse than the state as a whole in terms of health outcomes, health factors and limited access to primary care. No facility performs elective PCI procedures in the hospital’s service area or within southeastern Connecticut. The L+M cardiac program is supported by Yale-New Haven Hospital and Yale School of Medicine. ^{8,9}

Table 1: Hospitals with Cardiac Programs, 2013

Hospital	Cardiac Catheterization	Primary PCI	Elective PCI	Open Heart Surgery
William. W. Backus	√			
Bridgeport Hospital ¹	√	√	√	√
Danbury Hospital	√	√	√	√
John Dempsey Hospital	√	√	√	√
Greenwich Hospital ¹	√	√		
Hartford Hospital	√	√	√	√
Lawrence + Memorial Hospital	√	√	√	
Middlesex Hospital	√			
Heart Center of Greater Waterbury (Saint Mary's/Waterbury)	√	√	√	√
Hospital of Central CT	√	√		
Norwalk Hospital	√	√		
Rockville General ²	√			
St. Francis Hospital	√	√	√	√
St. Vincent's Medical Center	√	√	√	√
Stamford Hospital	√	√	√	√
Yale-New Haven Hospital ^{1,3}	√	√	√	√

¹ Operated by Yale-New Haven Health Heart Institute.

² On April 19, 2014, OHCA approved the hospital’s application to terminate its cardiac catheterization program; Certificate of Need Docket No. 12-31805.

³ Yale-New Haven Hospital and Hospital of Saint Raphael merged under Yale-New Haven Hospital’s license in September 2012.

⁸ CT Office of Health Care Access Certificate of Need Docket No. 12-31768 Establish and Operate an Elective Angioplasty Program at Lawrence and Memorial Hospital.

⁹ Yale-New Haven Health. 2010. *Annual Report*. Accessed December 23, 2014 at <http://yalenewhavenhealth.org/about/ynhhsar2010.pdf>

Between 2008 and 2014, the three PCI-only hospitals filed at least one application with OHCA requesting authorization to provide elective PCI. Each request was denied on the basis that there was not an existing void in the healthcare needs of the service area and thus clear public need was not demonstrated. Also, each hospital is in proximity to at least one full service program, some with an annual volume below 200 PCI procedures.¹⁰

Table 2 shows that, to date, all three hospitals affected by P.A. 13-208 § 69 are affiliated with other hospitals in the state.

Table 2: The Three Hospitals and their Corporate Affiliations with Other Hospitals, 2014

Hospital	Parent Corporation	Higher Level Parent Corporation	Other hospitals currently under the same parent corporation
Greenwich Hospital	Greenwich Healthcare Services, Inc.	Yale-New Haven Health Services Corporation ¹	Bridgeport Hospital ² Yale New Haven Hospital ¹
Hospital of Central Connecticut	Central Connecticut Health Alliance, Inc.	Hartford Healthcare Corporation	Hartford Hospital Midstate Medical Center William W. Backus Hospital Windham Community Memorial Hospital
Norwalk Hospital Association, The	Norwalk Health Services Corporation	Western Connecticut Health Network, Inc.	Danbury Hospital

Source: CT Department of Public Health Office of Health Care Access *FY 2013 Financial Stability Report*

¹On May 15, 2014, the former parent corporation of Yale-New Haven Hospital, YNH Network Corporation, merged into Yale-New Haven Hospital. The Hospital is now a direct subsidiary of the larger parent corporation, Yale-New Haven Health Services Corporation.

²On May 15, 2014, the former parent corporation of Bridgeport Hospital, Bridgeport Hospital & Healthcare Services, Inc., merged into Bridgeport Hospital. The Hospital is now a direct subsidiary of the larger parent corporation, Yale-New Haven Health Services Corporation.

The cardiac program guidelines' recommendations affect PCI referral patterns. Recommendations for PCI-only sites without on-site surgery include:

- a proven plan and transfer protocol with a full-service center for emergency surgery to ensure patient safety; and
- to maintain competence, an individual physician should perform yearly, a two-year average minimum of 50 PCI procedures that includes 11 primary PCI. The physician should perform the PCI at hospitals that perform 200 or more PCI and 36 or more primary PCI annually.¹¹

¹⁰ CT Office of Health Care Access Certificate of Need Docket No 08-31210, 12-31748, 12-30207, 12-31787 and 12-31793. The Hospital of Central Connecticut withdrew its application before OHCA rendered a final decision.

¹¹ SCAI/ACC/AHA *Expert Consensus Document. 2014 Update on Percutaneous Coronary Intervention Without Onsite Surgical Backup. Clinical Decision Making*. Society for Cardiovascular Angiography and Interventions, the American

Affiliations among hospitals tend to influence referral patterns. The hospitals at which operating physicians/surgeons perform PCI also influence referral patterns. Some surgeons perform PCI procedures at the three PCI-only hospitals, other affiliated hospitals or hospitals with existing patient transfer agreements. These physicians may also perform PCI at non-affiliated hospitals or hospitals without such transfer agreements. The same six physicians performing primary PCI at Greenwich are also performing PCI at Yale, which operates a full service cardiac program. Similarly, the physicians that perform primary PCI at Norwalk also perform PCI at St. Vincent's, a full service location. **(Table 3)**

Table 3: Physicians¹ Operating at Primary PCI-Only Hospitals and Full Service Hospitals, FY 2013

Physician	Greenwich	Yale	Bridgeport	Central CT	Hartford	John Dempsey	Saint Francis	Norwalk	St Vincent's	Stamford
1	√	√								
2								√	√	√
3	√	√								
4	√	√								
5								√	√	√
6								√	√	√
7				√	√					
8				√		√				
9				√	√					
10								√	√	√
11	√	√								
12								√	√	√
13				√			√			
14	√	√								
15	√	√								
16			√					√	√	

Source: CT DPH Office of Health Care Access Acute Care Hospital Inpatient Discharge Database

¹Physician with primary responsibility for performing the primary PCI procedure. The table does not include physicians that performed the procedure at only one hospital.

Based on C.G.S. § 19a-654 and the Regulations of Connecticut State Agencies § 19a-167g-94, data shall not be reported by physician identifiers.

3.0 DATA ANALYSIS

In response to the mandate, DPH sent letters to hospitals requesting the information in **Appendix III**. The three primary PCI-only hospitals provided data on 296 patients they discharged between October 1, 2013 and September 30, 2014, the 12-month reporting period (**Table 4**).

During the 12-month period, the three hospitals performed primary PCI on 165 of the 296 patients. Fifteen patients (15) were subsequently transferred/referred to another hospital for elective PCI (9) and open heart surgery or unreported procedures (6).¹² The majority of these patients (9) were transferred/referred from Greenwich to Yale.

The remaining 131 of the 296 patients presented at the emergency department and were directly transferred/referred to another hospital for elective PCI or open heart surgery. About two-thirds (83) of the 131 patients were transferred/referred to another hospital for elective PCI; and the remaining one-third were transferred/referred for open heart surgery (24) or unreported procedures (24).¹³ In all, 81 patients were transferred/referred from Greenwich to Yale, where elective PCI was performed on 26 patients. It should be noted that the three primary PCI-only hospitals transferred/referred patients, who presented at the ED but did not receive primary PCI, to both affiliated and unaffiliated hospitals.

In total, the three primary PCI-only hospitals transferred/referred 146 patients to other hospitals:

1. 15 patients were subsequent to receiving primary PCI;
2. 131 did not receive primary PCI;
3. An overwhelming majority (130) of the transfers/referrals were from Greenwich;
4. Greenwich transferred/referred 81 patients to Yale and 37 to non-Connecticut hospitals; and
5. The total transfer/referrals were for elective PCI (92), open heart surgery (28) and unreported procedures (26).⁵

No outcomes data was requested or reported for any of the 146 transfer/referred patients.

¹² The information was not provided in the data.

¹³ Receiving hospitals were not mandated to provide information to DPH on patients transferred/referred from the three hospitals. Only Yale provided information on 81 Greenwich transferred/referred patients. Apart from the 81 patients, DPH could not determine if the remaining 75 patients actually received a cardiac procedure after the transfer/referral.

Table 4: Primary Percutaneous Coronary Intervention Only Programs' Patient Referrals, FY 2014

	Transferring Hospital			
	Greenwich	Central CT	Norwalk	Total
Number of patient records reported	149	80	67	296
Number that received primary/emergency PCI¹	28	79	58	165
Number NOT transferred/referred to another hospital	19	76	55	150
Number transferred/referred to another hospital for:	9	<6	<6	15
Elective PCI	<6	<6	<6	9
Open Heart Surgery	<6	<6		<6
Not reported	<6		<6	<6
Number that DID NOT receive primary/emergency PCI²	121	<6	9	131
Number that received cardiac catheterization ³	32		<6	34
Number referred to another hospital for:	121	<6	9	131
Elective PCI	80	<6	<6	83
Open heart surgery	24			24
Not reported	17		7	24
Reported total transferred/referred to another hospital for:	130	<6	12	146
Elective PCI	85	<6	<6	92
Open heart surgery	27	<6		28
Not reported	18		8	26
Receiving Hospitals				
Yale	81			81
St. Francis	<6			<6
St. Vincent's			<6	<6
Stamford	<6			<6
John Dempsey		<6		<6
Hartford		<6		<6
Bridgeport			<6	<6
Non-CT Hospital(s)	37		<6	38
Other ⁴	7		7	14
Total transferred/referred	130	<6	12	146

Source: CT DPH Office of Health Care Access Cardiac Transfer Data

Based on C.G.S. § 19a-654 and the Regulations of Connecticut State Agencies § 19a-167g-94, cell sizes that are less than six have been replaced with "<6" to ensure patient confidentiality.

¹Patients diagnosed with acute myocardial infarction and received a primary PCI are those assigned ICD-9-CM diagnosis code in the range 410.00 - 410.92 and procedure codes 00.66, 36.01, 36.02, 36.05, 36.06 or 36.07.

²But may have received a cardiac procedure such as cardiac catheterization, arterial catheterization, electrocardiogram or anticoagulant injections.

³ICD-9 procedure codes 37.21, 37.22 or 37.23.

⁴Not provided.

4.0 OUTCOMES DATA ON PRIMARY PERCUTANEOUS CORONARY INTERVENTION ONLY PROGRAMS

As part of CON authorization to operate a primary PCI-only program, OHCA required the three primary PCI-only hospitals to participate in the American College of Cardiology Foundation National Cardiovascular Data Registry (ACC-NCDR). Each program was also required to provide to OHCA, on an annual basis, copies of the executive summary of ACC-NCDR's quarterly Institutional Outcomes Reports on program quality and outcomes. To date, compliance with this requirement has been inconsistent.

One of the primary PCI-only hospitals indicated to OHCA that the ACC-NCDR comparative benchmarking did not benchmark primary PCI-only programs separately. “As a result, the report shows an “apples to oranges” comparison of data and is not a good indication of performance for PAMI only programs.”¹⁴

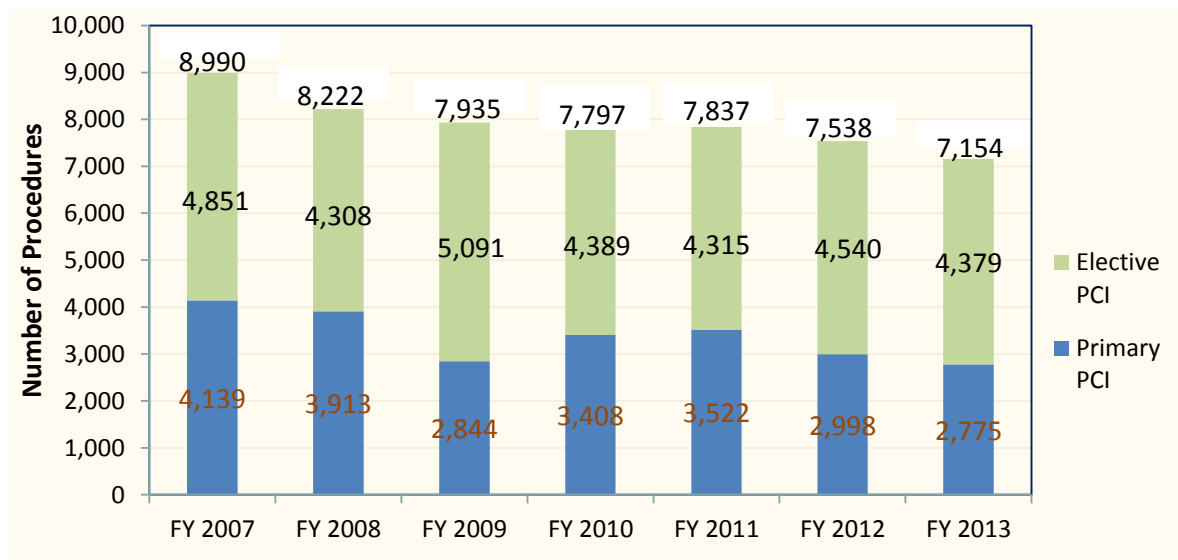
Therefore, OHCA does not have sufficient information to assess the quality and outcomes of these programs.

5.0 PRIMARY AND ELECTIVE PERCUTANEOUS CORONARY INTERVENTION VOLUME TRENDS

National PCI procedure volumes peaked in 2006 and have since dropped 30% because of factors such as increased emphasis on the use of other treatment modalities that include drug eluting stents and medical therapy, improved primary and secondary prevention efforts and reduced incidence of STEMI.¹⁵

Similar to the nation, statewide PCI volumes have been declining over the last seven years (**Figure 1**). The total number of PCI procedures in Connecticut dropped about 20% from 8,966 to 7,154 between FY 2007 and FY 2013. This decline is not due to a shift from the inpatient to the outpatient setting. During the same seven-year period, statewide outpatient elective PCI volumes also declined (4,851 to 4,379) albeit to a lesser extent than the reduction in inpatient primary PCI volumes (4,139 to 2,775); a 10% reduction compared to 33%.

Figure 1: Connecticut Primary and Elective Percutaneous Intervention Volume Trends, FY 2007-2013



Source: CT DPH Office of Health Care Access Hospital Reporting System Report 450

There is no evidence of unmet need for the provision of PCI in the system; however there is indication of excess capacity. With the declining volumes, additional elective PCI providers may result in shifting

¹⁴ Greenwich Hospital March 9, 2011 letter to OHCA on Docket Numbers 03-30148, 06-30148-MDF and 09-30148-MDF.

¹⁵ SCAI/ACC/AHA Expert Consensus Document. 2014 Update on Percutaneous Coronary Intervention Without Onsite Surgical Backup. Clinical Decision Making. Society for Cardiovascular Angiography and Interventions, the American College of Cardiology Foundation, and the American Heart Association, Inc. Accessed January 9, <http://www.scai.org/guidelines/Default.aspx#2013>

volumes from existing providers to new providers and an increased number of minimum threshold volume cardiac programs. Institutional volume threshold of <200 PCIs annually appears to be “consistently associated with worse outcomes” and the existence of programs with annual volumes below 200 PCI procedures “that are not serving isolated or underserved populations should be questioned and any laboratory that cannot maintain satisfactory outcomes should be closed.”¹⁶

6.0 SUMMARY

The influence of hospital affiliations, physician referrals and patient transfer agreements on PCI patient referral patterns were clearly demonstrated in the data obtained from the three primary PCI-only hospitals.

Between October 1, 2013 and September 30, 2014, 165 patients received primary PCI at the three primary PCI-only hospitals in the state: Greenwich, Norwalk and Central Connecticut. Fifteen patients were subsequently transferred/referred to other hospitals for elective PCI, open heart surgery and other unspecified procedures. Nine of the fifteen were transferred from Greenwich to Yale, an affiliated hospital. Norwalk and Central Connecticut each transferred fewer than six PCI patients to another hospital for elective PCI or open heart surgery during the same 12-month period. Greenwich transferred/referred the majority of its cardiology patients to Yale, including direct transfers/referrals from the emergency department. The cardiac programs at Greenwich, Yale New-Haven and Bridgeport Hospitals are operated by the Yale-New Haven Health Heart Institute which also supports the Lawrence + Memorial cardiac program. Such hospital affiliations influence PCI referral patterns.

Most cardiac programs have surgeons in common. Cardiac experts’ guidelines recommend that to maintain competency, physicians must perform PCI procedures at high volume institutions; this has an impact on PCI referral patterns. Ultimately, physicians and their patients decide on treatments and where to provide treatments, which also influence referral patterns.

The guidelines also recommend that primary PCI-only hospitals without onsite cardiac surgery backup have patient transfer agreements with full-service providers for timely and efficient patient transfer in an emergency, better outcomes and patient safety. While OHCA requires such an agreement, a primary PCI-only hospital decides with which full service hospital to have a patient transfer agreement. Further, the 2011 ACCF/AHA/SCAI guidelines state, “desires for personal or institutional financial gain, prestige, market share, or other similar motives are not appropriate considerations for initiation of PCI programs without on-site cardiac surgery.” ACCF/AHA/SCAI reaffirmed this statement in 2014.¹⁷

¹⁶ SCAI/ACC/AHA Expert Consensus Document. 2014 Update on Percutaneous Coronary Intervention Without Onsite Surgical Backup. *Clinical Decision Making*. Society for Cardiovascular Angiography and Interventions, the American College of Cardiology Foundation, and the American Heart Association, Inc. Accessed January 9, <http://www.scai.org/guidelines/Default.aspx#2013>

¹⁷ SCAI/ACC/AHA Expert Consensus Document. 2014 Update on Percutaneous Coronary Intervention Without Onsite Surgical Backup. *Clinical Decision Making*. Society for Cardiovascular Angiography and Interventions, the American College of Cardiology Foundation, and the American Heart Association, Inc. Accessed January 9, <http://www.scai.org/guidelines/Default.aspx#2013>

Generally, both primary and elective PCI volumes are declining in the nation and in Connecticut because of increased emphasis on other treatment modalities. There is no indication of unmet need in Connecticut, while there appears to be excess capacity for PCIs. New operators of elective PCI may result in a shift in volume from existing providers that have excess capacity and increase the number of low volume providers; low PCI volume institutions are associated with worse outcomes. Recently updated guidelines recommend that because of declining PCI volumes there should be greater focus on system level of care for STEMI patients and state or federal level planning for PCI distribution.

SCAI/ACC/AHA Expert Consensus Document: 2014 Update on Percutaneous Coronary Intervention Without On-site Surgical Backup used extensively in this report, is attached as
Appendix IV

Appendix I

Public Act No. 13-208 Sec. 69

AN ACT CONCERNING VARIOUS REVISIONS TO THE PUBLIC HEALTH STATUTES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Sec. 69. (*Effective from passage*) (a) From October 1, 2013, to September 30, 2014, inclusive, each hospital, as defined in section 19a-631 of the general statutes, that has obtained a certificate of need from the Office of Health Care Access that permits such hospital to provide coronary angioplasty services in an emergency situation but does not permit such services on an elective basis, shall report to the Department of Public Health once each month in the form and manner prescribed by the Commissioner of Public Health concerning: (1) The number of persons upon whom the hospital performed an emergency coronary angioplasty and who were discharged to another hospital in order to receive an elective coronary angioplasty; and (2) the number of persons upon whom the hospital performed an emergency coronary angioplasty and who were discharged by such hospital to another hospital in order to receive open-heart surgery.

(b) Not later than January 15, 2015, the Commissioner of Public Health shall report, in accordance with the provisions of section 11-4a of the general statutes, to the joint standing committee of the General Assembly having cognizance of matters relating to public health concerning the information received pursuant to this subsection.

Appendix II

Connecticut General Statutes Section 19a-639 (Formerly Sec. 19a-155).

CERTIFICATE OF NEED GUIDELINES AND PRINCIPLES.

(a) In any deliberations involving a certificate of need application filed pursuant to section 19a-638, the office shall take into consideration and make written findings concerning each of the following guidelines and principles:

- (1) Whether the proposed project is consistent with any applicable policies and standards adopted in regulations by the Department of Public Health;
 - (2) The relationship of the proposed project to the state-wide health care facilities and services plan;
 - (3) Whether there is a clear public need for the health care facility or services proposed by the applicant;
 - (4) Whether the applicant has satisfactorily demonstrated how the proposal will impact the financial strength of the health care system in the state or that the proposal is financially feasible for the applicant;
 - (5) Whether the applicant has satisfactorily demonstrated how the proposal will improve quality, accessibility and cost effectiveness of health care delivery in the region, including, but not limited to, (A) provision of or any change in the access to services for Medicaid recipients and indigent persons, and (B) the impact upon the cost effectiveness of providing access to services provided under the Medicaid program;
 - (6) The applicant's past and proposed provision of health care services to relevant patient populations and payer mix, including, but not limited to, access to services by Medicaid recipients and indigent persons;
 - (7) Whether the applicant has satisfactorily identified the population to be served by the proposed project and satisfactorily demonstrated that the identified population has a need for the proposed services;
 - (8) The utilization of existing health care facilities and health care services in the service area of the applicant;
 - (9) Whether the applicant has satisfactorily demonstrated that the proposed project shall not result in an unnecessary duplication of existing or approved health care services or facilities; and
 - (10) Whether an applicant, who has failed to provide or reduced access to services by Medicaid recipients or indigent persons, has demonstrated good cause for doing so, which shall not be demonstrated solely on the basis of differences in reimbursement rates between Medicaid and other health care payers.
- (b) The office, as it deems necessary, may revise or supplement the guidelines and principles through regulation prescribed in subsection (a) of this section.

**Appendix III
Data Submission Requirements**

Cardiac Data from Hospitals with Primary Percutaneous Coronary Intervention Programs for October 1, 2013 – September 30, 2014 (FY 2014)

In response to Public Act 13-208 Sec. 69, the three hospitals authorized to provide emergent coronary angioplasty (Primary PCI), namely, The Hospital of Central Connecticut, Greenwich Hospital and Norwalk Hospital, shall submit information to the Department of Public Health.

The three hospitals shall provide information on all patients on whom primary PCI was performed and must include those transferred to another hospital for elective PCI or open heart surgery. The three hospitals shall compile items 17-25 from receiving hospitals to report to the Department of Public Health. It will be helpful, if in addition, the hospitals provide information on all patients who presented at the ED and were directly transferred to another hospital for elective PCI or open heart surgery.

The information shall be reported on a monthly basis for encounters occurring from October 1, 2013 through September 30, 2014. The first submission is due on November 6, 2013 and the remaining shall be filed the first Wednesday of subsequent months. Each file shall be in comma separated value (.csv) format, using the capitalized column headings, valid contents and format listed for items 1-25 below, saved with the naming convention "Hospitalname_ddmmyyyy" and posted into the hospital assigned folder in the DPH secure file transfer portal <https://XXXX.ct.gov> in the folder DPH_CARDIO_HOSPNAME using existing credentials. Contact xxxx@ct.gov or (860) 509 XXXX with any questions and when a file is posted.

Column #	Column Description	Column Heading	Valid Contents	Format
1	Transfer/Discharge Hospital Name	HOSPITAL NAME	HOCCT GRENCH NRWLK	Text
2	Patient medical record number	MRN1		Text
3	Patient Admission Date/Time	ADATE		MM/DD/YYYY HH:MM
4	Patient Date of Birth	DOB		MM/DD/YYYY HH:MM
5	Patient Gender	SEX	M - Male F - Female	
6	Patient town	TOWN	169 CT Town	Text
7	Patient Primary Diagnosis Code	DX1	ICD-9-CM diagnosis code	Text, Decimal excluded
8	Patient Diagnosis Code 2	DX2	ICD-9-CM diagnosis code	Text, Decimal excluded
9	Patient Diagnosis Code 3	DX3	ICD-9-CM diagnosis code	Text, Decimal excluded
10	Primary procedure code	PPX	ICD-9-CM procedure code	Text, Decimal excluded
11	Primary procedure date/time	PPXDATE		MM/DD/YYYY HH:MM
12	Total Charge	CHARGE1		0000.00

Column #	Column Description	Column Heading	Valid Contents	Format
13	Discharge Date/Time	DDATE1		MM/DD/YYYY HH:MM
14	Transferred	TRANSFER	Y - Yes N - No	Text
15	Transfer Date/Time	TRANSFER DATE		MM/DD/YYYY HH:MM
16	Transfer for	TRANSFER FOR	E - Elective angioplasty O - Open-heart surgery	Text
17	Receiving Hospital Name	RECEIVING HOSPITAL	BRGPT DANBY HARTF HCOGW SARPH DMPSY SAFNS SAVCT STMFD YNHAV OTHER	Text
18	Receiving Hospital Patient Medical Record Number	MRN2		Text
19	Receiving Hospital Admission Date/Time	ADATE		MM/DD/YYYY HH:MM
20	Receiving Hospital Primary Diagnosis Code	RECEIVING HOSPITAL PDX	ICD-9-CM diagnosis code	Text, Decimal excluded
21	Receiving Hospital Primary Procedure Code	RECEIVING HOSPITAL PPX	ICD-9-CM procedure code	Text, Decimal excluded
22	Receiving Hospital Primary Procedure Date/Time	RECEIVING HOSPITAL PPXDATE		MM/DD/YYYY HH:MM
23	Receiving Hospital Discharge Date/Time	DDATE2		MM/DD/YYYY HH:MM
24	Receiving Hospital Patient Discharge Status	PSAT	1 - Home or self-care 2 - Transferred to another short-term hospital general hospital for inpatient care 3 - Discharged/transferred to SNF 4 - Discharged/transferred to ICF 6 - Discharged/transferred to home health services 7 - Left against medical advice 20 - Expired 30 - Still patient 99 - Other	Text
25	Receiving Hospital Total Charge	CHARGE2		0000.00

APPENDIX IV

Catheterization and Cardiovascular Interventions 00:00–00 (2014)

Clinical Decision Making

SCAI/ACC/AHA Expert Consensus Document: 2014 Update on Percutaneous Coronary Intervention Without On-Site Surgical Backup

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Key words: angioplasty; coronary artery bypass surgery; consensus

INTRODUCTION

In 2007, the Society for Cardiovascular Angiography and Interventions (SCAI) published an Expert Consensus Document titled “The Current Status and Future Direction of Percutaneous Coronary Intervention without On-Site Surgical Backup” [1]. This document summarized the available data on the performance of percutaneous coronary intervention (PCI) without on-site surgery in the United States (US), reviewed the existing literature, examined the recommendations for the performance of PCI in this setting from several professional organizations abroad and from experienced programs in the US, defined the best practices for facilities engaged in PCI without on-site surgery and made recommendations for the future role of PCI without on-site surgery.

Since publication of that document, new studies, meta-analyses, and randomized trials have been published comparing PCI with and without on-site surgery. In addition, the total number of PCIs performed annually has decreased, reports about the overuse of PCI have emerged, and appropriate use criteria for coronary revascularization have been published. A noteworthy change occurred in the 2011 PCI guideline in which elective PCI was upgraded to Class IIb and primary PCI was upgraded to Class IIa at facilities without on-site surgery [2]. Several tables on the structure and operation of programs without on-site surgery from the 2007 SCAI Expert Consensus Document were used in the 2011 PCI guideline recommendations. Finally, new

updates of the ACCF/SCAI Expert Consensus Document on Cardiac Catheterization Laboratory Standards and the ACCF/AHA/SCAI Clinical Competence in

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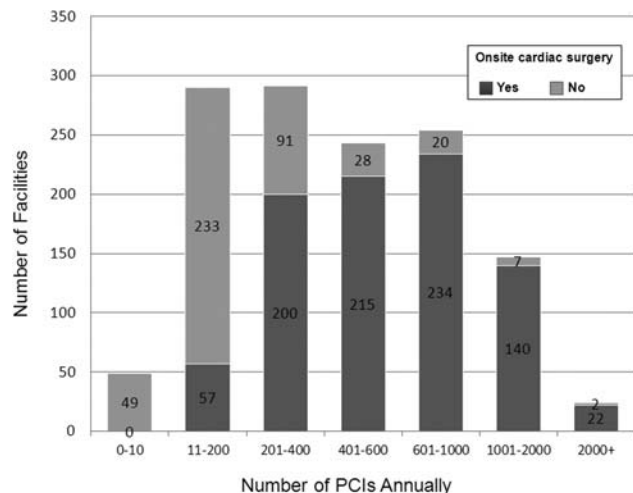


Fig. 1. PCI volume at facilities with and without cardiac surgery. (Reproduced from Ref [8] with permission. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

Coronary Artery Interventional Procedures have been published [3,4].

Although many of the concerns about the safety of PCI without on-site surgery have been resolved, there are new issues to consider as the delivery of PCI continues to evolve in the US. Accordingly, the SCAI, ACCF, and AHA have engaged in this effort to reevaluate the current status of PCI without on-site surgery in the US. The specific goals of this effort were to:

1. Determine current trends in the prevalence of PCI without on-site surgery in the US;
2. Summarize new literature related to the performance of PCI without on-site surgery;
3. Review existing guidelines, expert consensus documents, competency statements and other documents related to PCI without on-site surgery and summarize all relevant information into a single resource document;
4. Outline the current best practice methods and requirements for facilities engaged in performing PCI without on-site surgery; and
5. Evaluate the role of PCI without on-site surgery within the current US healthcare system.

Trends in the Performance of PCI

Although the use of PCI in the US had grown considerably since the early 1980s, data from the Nationwide Inpatient Sample cited by the Agency for Healthcare Research and Quality shows that the annual volume of PCI procedures peaked in 2006 and has

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since declined by over 30% [5]. Numerous factors have contributed to this decline, including a reduction in restenosis by drug-eluting stents, a greater emphasis on medical therapy for the treatment of stable coronary artery disease, enhanced primary and secondary prevention efforts, a reduction in the incidence of ST-segment elevation myocardial infarction (STEMI), the increasing use of techniques such as fractional flow reserve to better evaluate lesion severity and the development and application of appropriate use criteria [5,6]. As a result of these factors, many operators and hospitals now have low-volume practices. Using data from 2008, Maroney et al. estimated that 61% of interventional cardiologists performed 40 or fewer Medicare fee-for-service PCIs annually [7]. Clinical data from 1298 facilities reporting to the National Cardiovascular Data Registry (NCDR) show that 49% of facilities performed ≥ 400 PCIs and 26% performed ≥ 200 PCIs annually (Fig. 1) [8]. Approximately 33% of facilities had no on-site surgery, and among these, 65% (282 facilities) had an annual case volume of ≥ 200 PCI procedures.

Across the US, PCI without on-site surgery has increased since 2007. The writing committee assessed the current use of PCI without on-site surgery from a survey of ACC Governors for each state, data from industry sources and direct contact with physicians in various states (Fig. 2). Currently, 45 states allow both primary and elective PCI without on-site surgery, 4 states allow only primary PCI without on-site surgery, and 1 state prohibits PCI without on-site surgery. PCI without on-site surgery is regulated by the State Department of Health in 34 states but is unregulated in the remaining 16 states. Elective PCI without on-site surgery was allowed at selected facilities in 9 states but only as part of statewide demonstration projects or to allow participation in the Cardiovascular Patient Outcomes Research Team (CPORT) Nonprimary PCI (CPORT-E) trial [9]. Since the conclusion of CPORT-E, the use of PCI without on-site surgery is being reevaluated in several of these states. PCI without on-site surgery is currently performed in 19 of the 65 cardiac catheterization laboratories within the Veterans Health Administration [10].

Recent Literature on PCI Without On-site Surgery

Since 2006, 11 original studies and 3 meta-analyses on the topic of PCI without on-site surgery have been identified by a computerized systematic literature search using Medline (PubMed and Ovid) and Cochrane Databases [9,11–23].

Primary PCI without on-site surgery. Seven studies and 2 meta-analyses of primary PCI showed no

F1

F2

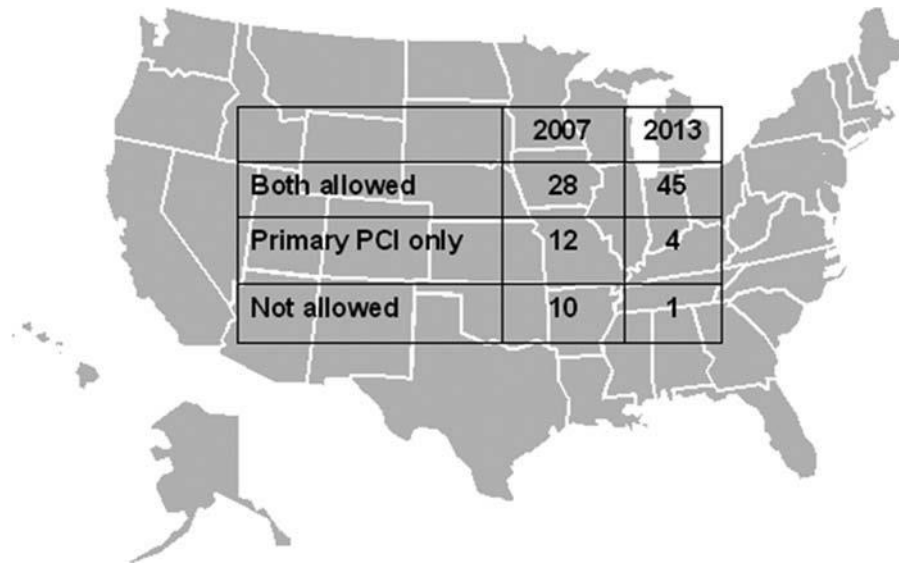


Fig. 2. Change in the availability of PCI without on-site surgery from 2007 to 2013. The numbers shown indicate the number of states where primary and nonprimary PCI without on-site surgery are allowed.

T1 difference for in-hospital or 30-day mortality between sites with and without on-site surgery (Table I). None of the individual studies examining the occurrence of emergency CABG surgery after primary PCI showed a difference between sites with and without on-site surgery. However, 1 meta-analysis showed that sites without on-site surgery had a lower occurrence of emergency CABG surgery after primary PCI (odds ratio, 0.53; 95% confidence interval 0.35–0.79) [20].

T2 PCI without on-site surgery for conditions other than STEMI. Eight studies examined nonprimary PCI at sites with and without on-site surgery (Table II). The majority of studies and meta-analyses showed no difference in mortality or a need for emergency CABG at sites without on-site surgery. One study at a high-volume facility performing only elective PCIs and staffed by high-volume interventionalists showed a lower mortality at the facility without on-site surgery (OR, 0.11; 95% CI 0.01–0.79) [21]. However, the baseline clinical and angiographic characteristics of the study groups with and without on-site surgery were sufficiently different that a meaningful adjusted analysis could not be performed, and there is therefore the possibility of a case selection bias.

Two randomized trials of nonprimary PCI have now been published. The CPORT-E trial randomized over 18,000 patients in a 1 : 3 ratio to undergo PCI at hospitals with and without on-site cardiac surgery, respectively [9]. High-risk patients were excluded, as was the use of atherectomy devices. The trial had 2 primary endpoints: 6-week mortality and 9-month incidence of major adverse cardiac events (composite of death,

Q-wave myocardial infarction, or target-vessel revascularization). The 6-week mortality rate was 0.9% at hospitals without on-site surgery compared with 1.0% at those with on-site surgery ($P = 0.004$ for noninferiority). The 9-month rates of major adverse cardiac events were 11.2% and 12.1% at hospitals with and without on-site surgery, respectively ($P = 0.05$ for noninferiority). A similar, but smaller randomized study of none-emergency PCI was performed in Massachusetts hospitals [11]. The rates of major adverse cardiac events were 9.5% in hospitals without on-site cardiac surgery and 9.4% in hospitals with on-site cardiac surgery at 30 days (relative risk, 1.00; 95% one-sided upper confidence limit, 1.22; $P < 0.001$ for noninferiority) and 17.3% and 17.8%, respectively, at 12 months (relative risk, 0.98; 95% one-sided upper confidence limit, 1.13; $P < 0.001$ for noninferiority). The individual rates of death, myocardial infarction, repeat revascularization and stroke did not differ significantly between the groups at either time point.

Three meta-analyses conducted primarily with registry data have examined the use of nonprimary PCI at facilities with and without on-site surgery [19,20,23]. Overall, the mortality rate and need for emergency CABG surgery did not differ between hospitals with and without on-site surgery. In 1 meta-analysis, after adjusting for publication bias, the mortality rate for nonprimary PCI was 25% higher at centers without on-site surgery compared with centers that had on-site surgery (OR, 1.25; 95% CI, 1.01–1.53; $P = 0.04$) [20]. However, it is important to note that these meta-analyses preceded the publication of the 2 randomized trials [9,11]. Therefore, based on these

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TABLE I. Studies on Primary PCI Without On-site Surgery Published Since 2006

Author (Year)	Sites	On-site Surgery	No. of Patients in Arm	Mortality		Emergency CABG		Comments
				Incidence %	OR (95% CI)	Incidence %	OR (95% CI)	
Carlsson (2007) [12]	Multicenter SCAAR Registry	No	857	7.0	1.05 (0.79–1.40)	0.1	0.10 (0.00–2.51)	30-day mortality is reported; Incidence of emergency CABG is for all patients (primary and nonprimary PCI)
		Yes	4,595	6.7		0.2		
Peels (2007) [13]	Single center	No	336	2.1	2.17 (0.26–17.8)	0	0.10 (0.00–2.51)	
		Yes	103	0.97		1.0		
Pereira (2008) [14]	Multicenter Portuguese Registry	No	1214	5.0	0.79 (0.55–1.14)	1.8	1.52 (0.90–2.56)	Cardiogenic shock mortality was 53.4% with on-site surgery and 50.9% without (NS)
		Yes	1470	4.0		2.7		
Kutcher (2009) [15]	Multicenter NCDR Registry	No	1,934	5.1	0.97 (0.79–1.20)	0.7	0.60 (0.35–1.03)	In-hospital mortality reported. Only 42% of sites without on-site surgery performed ♦36 primary PCIs annually compared with 80% of sites with on-site surgery
		Yes	31,099	5.2		1.2		
Pride (2009) [16]	Multicenter NRMI Database	No	1,795	3.3	0.86 (0.61–1.23)			Propensity matched patient cohort. In-hospital mortality reported and only for patients undergoing primary PCI.
		Yes	1,795	3.8				
Hannan (2009) [17]	Multicenter New York State Database	No	1,729	2.3	1.22 (0.76–1.94)	0.06	0.17 (0.02–1.38)	CABG not reported Propensity matched patient cohort. In-hospital/30-day mortality reported
		Yes	1,729	1.9		0.35		
Singh (2009) [18]	3 sites Mayo Clinic experience	No	667	2.5	0.80 (0.42–1.54)	0.7	1.25 (0.33–4.68)	Propensity matched patient cohort of nonelective PCI defined as acute MI within 24 h or cardiogenic shock.
		Yes	667	3.1		0.6		
Meta-analyses								
Zia [2011] [19]		No	8703	6.1	0.93 (0.83–1.05)	3.0	0.87 (0.68–1.11)	9 studies included in the analysis
		Yes	97386	7.6		3.4		
Singh M [2011] [20]		No	16489	4.6	0.96 (0.88–1.05)	0.22	0.53 (0.35–0.79)	11 studies included in the analysis
		Yes	107585	7.2		1.03		

CABG, coronary artery bypass graft surgery; NCDR, National Cardiovascular Data Registry; NRMI, National Registry of Myocardial Infarction; OR, odds ratio; PCI, percutaneous coronary intervention; SCAAR, Swedish Coronary Angiography and Angioplasty Registry.

TABLE II. Studies on Nonprimary PCI Without On-site Surgery Published Since 2006

Author (Year)	Sites	On-site Surgery	No. of Patients in Arm	Mortality		Emergency CABG		Comments
				Incidence %	OR (95% CI)	Incidence %	OR (95% CI)	
Carlsson (2007) [12]	Multicenter SCAAR Registry	No	7,981	0.81	1.23 (0.91–1.65)	0.1		30-day mortality is reported; Incidence of emergency CABG is for all patients (primary and nonprimary PCI)
		Yes	20,930	0.66		0.2		
Frutkin (2008) [21]	2 sites	No	1,090	0.09	0.11 (0.01–0.79)	0.2	6.10 (0.55–67.3)	Nonrandomized comparison of 2 sites. Stable and unstable angina plus NSTEMI included. In-hospital mortality shown
		Yes	3,317	0.8		0.03		
Pereira (2008) [14]	Multicenter Portuguese Registry	No	4831	0.5	1.43 (0.85–2.41)	0.7	3.14 (2.13–4.63)	
		Yes	5584	0.7		2.1		
Kutcher (2009) [15]	Multicenter NCDR Registry	No	6,802	0.8	0.99 (0.76–1.30)	0.2	0.69 (0.40–1.16)	72% of sites without on-site surgery performed <200 PCIs annually compared with 6% among sites with on-site surgery
		Yes	268,312	0.8		0.3		
Pride (2009) [22]	Multicenter NRMI Registry	No	1,282	1.0	0.76 (0.37–1.58)			Only patients with NSTEMI included in study cohort
		Yes	1,282	1.3				
Singh (2009) [18]	3 sites Mayo clinic	No	1,842	0.2	0.57 (0.17–1.95)	0	1.00 (0.02–50.4)	Propensity matched patient cohort
		Yes	1,842	0.4		0.2		
Aversano (2012) [9]	Multicenter Randomized Trial	No	14,149	0.9		0.1		Mortality reported after 6 weeks and incidence of emergency CABG shown.
		Yes	4,718	1.0		0.2		
Jacobs (2013) [11]	Multicenter Randomized Trial	No	2774	0.7	1.96 (0.58–6.64)	0.3	2.30 (0.3–18.6)	All-cause and cardiac mortality at 30 days were no different. PCI without on-site surgery was not inferior
		Yes	917	0.3		0.1		
Meta-analyses								
Zia (2011) [19]		No	28552	1.6	1.03 (0.64–1.66)	1.0	1.38 (0.65–2.95)	6 studies included in the analysis
		Yes	881261	2.1		0.9		
Singh M (2011) [20]		No	30423	0.9	1.15 (0.93–1.41)	0.17	1.21 (0.52–2.85)	9 studies included in the analysis
		Yes	883865	0.8		0.29		
Singh PP (2011) [23]		No	1812	0.17	2.3 (0.60–12.97)	0.11	0.47 (0.07–3.19)	4 studies included in the analysis but only 2 with data on mortality and CABG; Risk ratios rather than OR are reported in this analysis
		Yes	4039	0.72		0.02		

CABG, coronary artery bypass graft surgery; NCDR, National Cardiovascular Data Registry; NRMI, National Registry of Myocardial Infarction; OR, odds ratio; PCI, percutaneous coronary intervention; SCAAR, Swedish Coronary Angiography and Angioplasty Registry.

recent studies, there is no indication of increased mortality or a greater need for emergency CABG for either primary or nonprimary PCI at sites without on-site cardiac surgery.

Guidelines, Competency Documents, Policy Statements, and Other Programs

Since 2007, there have been several new documents published that provide guidance for the performance of PCI without on-site surgery. Each new document builds incrementally upon the recommendations from prior documents with slight modifications based on new information. The recommendations for PCI programs without on-site surgery are maturing and becoming uniform over time through the vetting of these recommendations by numerous separate writing committees and undergoing extensive external reviews during document development. Key recommendations for PCI without on-site surgery from those documents are briefly summarized below and have been combined to develop the unified recommendations in this document.

2009 Focused Guideline Update on the Management of Patients with STEMI and Guideline Update on PCI

The 2009 focused update of the ACC/AHA guidelines for the management of patients with STEMI and the ACC/AHA/SCAI guidelines on PCI has been superseded by newer separate guidelines for STEMI and PCI [2,24,25]. However, a number of the recommendations from the 2009 document regarding triage and transfer of patients and the development of local STEMI systems have been incorporated into the current document.

2011 ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention

Compared with prior guidelines, the 2011 ACCF/AHA/SCAI Guidelines for Percutaneous Coronary Intervention stipulated new classification ratings for both primary and elective PCI at hospitals without on-site cardiac surgery [2]. Primary PCI was assigned a class IIa recommendation (Level of Evidence: B) stating that primary PCI is “reasonable,” provided appropriate planning for program development has been accomplished. Previously, this was assigned a class IIb recommendation. Elective PCI, previously assigned a class III recommendation, was given a class IIb recommendation (Level of Evidence: B) stating it “might be considered in hospitals without on-site cardiac surgery, provided that appropriate planning for program development has been accomplished and rigorous clinical and angiographic criteria are used for proper patient selection”. Elective PCI without Catheterization and Cardiovascular Interventions DOI 10.1002/ccd.

on-site cardiac surgical backup was considered appropriate only when performed by experienced operators, with complication rates and outcomes equivalent or superior to national benchmarks. Importantly, the ACCF/AHA/SCAI PCI guidelines state, “desires for personal or institutional financial gain, prestige, market share, or other similar motives are not appropriate considerations for initiation of PCI programs without on-site cardiac surgery.” The guideline assigns a class III recommendation (Level of Evidence: C) to performing primary or elective PCI in hospitals without on-site cardiac surgery without a proven plan for rapid transport to a cardiac surgery operating room in a nearby hospital and without appropriate hemodynamic support capability for transfers. The 2011 PCI guideline document adapted personnel, facility, operator and structural requirements for PCI without on-site surgery from the 2007 SCAI Expert Consensus document [1]. New facility and operator volume requirements were not addressed in the 2011 PCI guidelines but deferred to the 2013 PCI Clinical Competency document [4]. In 2011, ACCF/AHA also published a Guideline for Coronary Artery Bypass Surgery that did not discuss the performance of PCI without on-site surgery [26].

2012 ACCF/SCAI Expert Consensus Document on Cardiac Catheterization Laboratory Standards Update

Similar to the 2011 PCI guidelines, this document presented requirements for PCI at facilities without on-site cardiac surgery that were derived from the 2007 SCAI expert consensus document with some modifications [3]. This document also presented criteria for excluding patients, based on risk and lesion characteristics, from PCI at facilities without on-site cardiac surgery. The document prescribed the quality assurance/quality improvement (QA/QI) program necessary for all cardiac catheterization laboratories with specific recommendations for structure, process, and outcome variables appropriate for monitoring. Moreover, it recommended that all major complications be reviewed by the QA/QI committee at least every 6 months and that any individual operator with complication rates above benchmarks for 2 consecutive 6-month intervals should have the issue directly addressed by the QA director with a written plan for remediation. The document also recommended that a random sample of cases from all operators should be reviewed at least annually.

2013 ACCF/AHA/SCAI Update of the Clinical Competence Statement on Coronary Artery Interventional Procedures

In addition to defining numerous requirements for operator competency, new operator, and facility PCI

volume requirements were established [4]. Reflecting the overall decline in PCI volumes, this document recommended that laboratories performing both primary and elective PCI, with and without on-site cardiac surgery, should perform a minimum of 200 PCIs annually. Laboratories performing <200 cases annually must have stringent systems and process protocols in place with close monitoring of clinical outcomes and additional strategies that promote adequate operator and catheterization laboratory staff experience through collaborative relationships with larger volume facilities. The existence of laboratories performing <200 PCIs annually that are not serving isolated or underserved populations should be questioned, and any laboratory that cannot maintain satisfactory outcomes should be closed. This recommendation was based on an extensive review of studies that identified a signal suggesting worse outcomes in laboratories performing <200 PCIs annually. The writing committee recommended that operators perform a minimum of 50 PCIs annually [averaged over 2 years], including no less than 11 primary PCIs annually. Ideally, these procedures should be performed in institutions performing >200 total and >36 primary PCI procedures annually. However, it was emphasized that individual operator volume is but one of several factors that should be considered in assessing operator competence, which include lifetime experience, institutional volume, the operator's other cardiovascular interventions and quality assessment of the operator's ongoing performance. Operators who cannot maintain these case volume recommendations at their primary practice site should maintain privileges and continue to perform PCI procedures at a high-volume institution with on-site surgical backup to meet annual volume requirements. It was also recommended that operators should be board certified in interventional cardiology and maintain certification, with the exception of operators who have received equivalent training outside the US and are ineligible for board certification in the US.

2013 ACCF/AHA Guideline for the Management of ST-Elevation Myocardial Infarction

This document did not specifically comment on PCI without on-site cardiac surgery but supported the 2011 ACCF/AHA/SCAI PCI guidelines recommendations [25]. It recommended that primary PCI be performed in high-volume, well-equipped centers with experienced interventional cardiologists, and skilled support staff.

2010 European Society of Cardiology and European Association for Cardio-Thoracic Surgery Guidelines

In contrast to the 2011 ACC/AHA/SCAI PCI guidelines, the 2010 European Society of Cardiology and

the European Association for Cardio-Thoracic Surgery guidelines on myocardial revascularization do not comment on PCI without on-site surgery or issues related to institutional or operator competency [27]. However, the European guidelines continue to stress the importance of full disclosure regarding the lack of availability of on-site cardiac surgery and the inadvisability of performing PCI for high-risk patients/lesions at facilities that do not have on-site surgical backup.

The European guidelines for STEMI do not provide specific recommendations regarding PCI at centers without on-site surgery [28]. Rather, emphasis is placed on the development of networks between hospitals with differing levels of technology, connected by an efficient emergency transport system. To maximize staff experience, the guidelines recommend that primary PCI centers perform procedures 24 h a day, 7 days a week for all STEMI patients.

Other models mentioned in the European guidelines, although not ideal, include weekly or daily rotation of primary PCI centers or multiple primary PCI centers in the same region. Hospitals that cannot offer a 24/7 service for primary PCI should be allowed to perform primary PCI in patients already admitted for another reason and who develop STEMI during their hospital stay. These hospitals should, however, be discouraged from initiating a service limited to daytime or within-hours primary PCI, because this generates confusion with Emergency Medical Services (EMS) operators and is unlikely to match the door-to-balloon time and quality of intervention of focused 24/7 primary PCI centers. In a survey of European countries, the mean population served by a single primary PCI center varied between 0.3 and 7.4 million inhabitants. In countries offering primary PCI services to the majority of their STEMI patients, this population varied between 1.3 and 1.1 million per center [29]. In small service areas, experience can be suboptimal due to an insufficient number of STEMI patients, but the optimal size of a catchment area could not be clearly defined. For geographical areas where the expected transfer time to a primary PCI center makes it impossible to achieve satisfactory reperfusion times, thrombolysis with subsequent immediate transfer to a primary PCI center has been endorsed. Although there is a risk of intracranial bleeding, a potential role for this strategy in selected circumstances has been emphasized [30].

Other Guidelines and Recommendations

The 2007 SCAI Expert Consensus Document summarized the recommendations from the British Cardiac Society and British Cardiovascular Intervention Society, the Cardiac Society of Australia and New Zealand

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(CSANZ), the Spanish Society of Cardiology, the Brazilian Society of Hemodynamics and Interventional Cardiology (Sociedade Brasileira de Hemodinamica e Cardiologia Intervencionista) and from several other countries [31–39]. Since 2007, only the guidelines from CSANZ have been updated, most recently in 2011 [32]. CSANZ guidelines state that primary PCI without on-site surgery should be performed: (a) by operators and institutions meeting the overall requirements and standards of primary PCI centers; (b) by institutions with a proven plan for rapid transport to a cardiac surgical center; (c) in a timely fashion (<90 min); and (d) using rigorous case selection criteria. The CSANZ guidelines acknowledged that rural patients might have limited access to diagnostic angiography and PCI, and providing these services at institutions without on-site surgery by appropriately trained individuals facilitates equity of access, which should result in improved quality of care. However, the CSANZ guidelines also specifically state that rural and regional centers should not perform elective, high-risk PCI procedures if they are located more than 1 hour travel time from cardiac surgery centers.

AHA Policy Statement on PCI Without Surgical Backup

In March 2012, the AHA issued a policy statement on PCI without surgical backup defining two major reasons for providing PCI without on-site surgery [40]. First, PCI without on-site surgery is considered reasonable if the intent is to provide high quality timely primary PCI for patients with STEMI. The statement recommended that each community and facility in the community have an agreed-upon plan for how STEMI patients are to be treated. The plan should indicate hospitals that should receive STEMI patients from EMS units capable of obtaining diagnostic electrocardiograms, the management at the initial receiving hospital and written criteria and agreements for the expeditious transfer of patients from nonPCI-capable to PCI-capable facilities. Second, PCI without on-site surgery is a reasonable consideration for providing local care to patients and families who do not want to travel significant distances or who have certain preferred local physicians. This is an important consideration, but the policy statement emphasized that evolving evidence suggests that such centers should have mechanisms in place to ensure high quality care. In addition to emphasizing the current guideline classifications for PCI without on-site surgery, the AHA policy statement provided recommendations for states wishing to address the issue of PCI without on-site surgery through the regulation of legislation.

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Mission Lifeline

The Mission Lifeline program developed in 2006 from a series of conferences sponsored by the AHA and has continued to mature [41–43]. The goal of Mission Lifeline is to improve the quality of care and outcomes for patients with STEMI and to improve healthcare system readiness and response to STEMI. An important focus of Mission Lifeline is to increase the number of patients with timely access to primary PCI. Criteria for the structure and operation of a STEMI referral and STEMI-receiving hospitals are part of the Mission Lifeline initiative and apply to facilities without on-site surgery.

Door-to-Balloon Alliance

The Door-to-Balloon [D2BTM] effort began in January 2006 when the ACC recognized the need to reduce D2B times for patients with STEMI. This led to the development of a national initiative to achieve D2B times <90 min for at least 75% of nontransfer primary PCI patients with STEMI in participating hospitals performing primary PCI. This alliance consists of a nationwide network of hospitals, physician champions and strategic partners committed to improving D2B times. Participation in the Alliance provides the necessary tools; information and support for helping hospitals achieve the D2B treatment goals and encourages the use of real-time performance feedback on D2B times to drive the quality improvement effort [44]. The D2B program has been highly successful, having achieved its initial goals [45].

Access to Primary PCI in the United States

Data from the American Hospital Association and the 2000 US Census were used to estimate the proportion of the adult population (>18 years of age) who lived within 60 min of a PCI hospital [46]. An estimated 79.0% lived within a 1 hour drive of a PCI hospital, with a median driving time of 11.3 min. Even among those living closer to non-PCI hospitals, 74% would experience <30 min of additional delay with a direct referral to a PCI hospital. Approximately 5 years later, Concannon et al., using similar data sources and methodology, showed that despite a 44% relative increase in the number of facilities capable of performing PCI, the number of adults within a 1 hour drive of a PCI facility increased to only 79.9%, with the median driving time reduced by <1 min to 10.5 min [47]. Access in rural areas remained far less than in urban areas, with driving times reduced for only 9% of the population compared with the earlier survey. These findings mirrored a smaller experience in Michigan

where expansion of primary PCI to 12 hospitals without on-site surgery increased access for only 4.8% of the population [48]. Finally, Horwitz et al. showed that hospitals are more likely to introduce new invasive cardiac services when neighboring hospitals already offer such services and confirmed that the increase in the number of hospitals offering invasive cardiac services has not led to a corresponding increase in geographic access [49]. In total, these data support the argument that the addition of more PCI centers has not substantially improved access to PCI services for most patients.

Financial Considerations for Facilities Providing PCI Without On-site Surgery

Medicare payments to hospitals for invasive cardiac procedures have generally remained favorable, although physician reimbursement has decreased. Per-case revenue margins for PCI are typically higher than the overall hospital operating margins, and PCI improves the hospital case mix index. PCI programs bring prestige to an institution, and STEMI is one of the most prestigious diseases for treatment [50,51]. The push to develop rapid STEMI care has led many to currently advocate for EMS bypassing non-PCI hospitals; there is even consideration being given to triaging patients based on D2B metrics. Exclusion from providing STEMI care might be a lesser financial concern than the loss of downstream revenue from additional testing in patients suspected of having an acute coronary syndrome. This includes not only testing performed to exclude CAD as the cause of chest pain but also testing to evaluate noncardiac causes of chest pain. This can be an additional financial motivator for developing PCI facilities [52]. How the further bundling of payments and reimbursements on a global or capitated basis by accountable care organizations (ACO) will affect PCI programs is unclear at this time, but given the concerns about the cost of healthcare, increases in payments are unlikely [53,54]. However, even in an ACO environment, hospitals might benefit from keeping cardiovascular procedures in-house where they have the ability to control costs rather than transferring patients to tertiary hospitals.

The Volume-Outcome Relationship for PCI and the Certificate of Need

There are 26 states with Certificate of Need (CON) regulations for the development of cardiac catheterization laboratories, but the effect of such regulations is uncertain. Ho et al. found that the removal of state cardiac CON regulations was associated with an increase in the number of hospitals performing CABG and PCI,

but the statewide number of procedures was unchanged. The average procedure volume per hospital for both CABG and PCI therefore declined [55]. Despite this, they found no evidence that CON regulations lowered procedural mortality rates for CABG or PCI. In other studies, CON regulation of cardiac catheterization was associated with care that was judged more appropriate, whereas the removal of CON regulation of cardiac surgery has been associated with an increase in low-volume cardiac surgical centers and increased mortality [56,57]. Concerns have been raised that the proliferation of small centers performing complex procedures that have a small but definite risk of important complications might dilute the ability to provide efficient high quality service [52,58]. Reduced mortality has been associated with an increased volume of primary PCI procedures in centers, higher volume operators, total volume of PCIs in centers, and the commitment of a center to provide PCI rather than fibrinolytic therapy [59–63]. Lieu et al. reported that redundant or low-volume primary PCI programs were cost ineffective [64]. Elective PCI at centers without on-site surgery was more expensive than PCI at centers with on-site surgery in one case-matched study [65]. In addition, the high fixed costs of a cardiac surgery program in the face of decreasing surgical volumes is leading to the consolidation of numerous smaller surgery programs, depriving some PCI programs of surgical backup.

The issue of a PCI volume-outcome relationship was extensively reviewed in the 2013 PCI Competency document for centers with and without on-site surgery and for primary and elective PCI [4]. The document concluded that in the current era, volume-outcome relationships are not as robust as in the past when balloon angioplasty was the only treatment modality. However, an institutional volume threshold of <200 PCIs annually appeared to be consistently associated with worse outcomes. Primary PCI Volume; the guideline-recommended minimum of 36 annually was associated with worse in-hospital mortality in a recent series of over 86,000 patients in the NCDR [66]. The cutoff points of <200 total PCIs annually and ≥36 primary PCIs annually has important implications because 26% of the PCI facilities submitting data to the NCDR performed ≥200 total PCIs annually and 38% performed ≥36 primary PCIs annually [8,66]. Recent data suggested a modest volume-outcome relationship for variables other than mortality, but these data have limitations and are not consistent across all studies [4]. Although there was an association between annual PCI volumes <200 and worse outcomes, there was no association between higher annual hospital volumes and improved outcomes at higher volume PCI centers. There was less evidence to support a threshold for individual operator volume for both elective and primary PCI.

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TABLE III. Facility Requirements for PCI Programs Without On-Site Surgery

General Recommendations	Source
Requisite support equipment must be available and in good working order to respond to emergency situations.	PCI-GL PCI-CS ML
Should demonstrate appropriate planning for program development and should complete both a primary PCI development program and an elective PCI development program. Program developments to include routine care process and case selection review.	Well-equipped and maintained cardiac catheterization laboratory with high-resolution digital imaging capability. The capability for real-time transfer of images and hemodynamic data [via T-1 transmission line] as well as audio and video images to review terminals for consultation at the facility providing surgical backup support is highly recommended.
Full support from hospital administration in fulfilling the necessary institutional requirements, including appropriate support services such as intensive care, advanced imaging (CT, MR and other vascular imaging), respiratory care, blood bank and nephrology consultation with access to dialysis.	
The institution should have systems for credentialing and governing the PCI program. On-site data collection, quality assessment, quality improvement and error management are essential. Each institution must establish an ongoing mechanism for valid and continuous peer review of its quality and outcomes. A quality improvement program should routinely 1) review quality and outcomes of the entire program; 2) review results of individual operators; 3) include risk adjustment; 4) provide peer review of difficult or complicated cases; and 5) perform random case reviews. The review process should assess the appropriateness of the interventional procedures. Evaluation should include the clinical indications for the procedure, technical performance and the quality and interpretation of the coronary angiograms.	PCI-GL PCI-CS ML PCI-GL, PCI-CS
Written agreements for emergency transfer of patients to a facility with cardiac surgery must exist. Transport protocols should be tested a minimum of 2 times per year involving both the referring and receiving facility. Develop agreements with a ground or air ambulance service capable of advanced life support and IABP transfer that guarantees a transport vehicle will be on-site to begin transport in <30 min and arrival at the surgical hospital within 60 min of the decision to declare the need for emergency surgery. Tertiary facility must agree to accept emergent and nonemergent transfers for additional medical care, cardiac surgery or intervention. Tertiary centers should be able to establish cardiopulmonary bypass on emergency transfer patients within <120 min of an urgent referral.	New
Meticulous clinical and angiographic selection criteria for PCI (Table V).	PCI-GL, AHA
Participation in a national data registry, such as the ACC NCDR in the United States is required. This allows benchmarking, risk adjustment and facilitates outcomes analysis of local data.	PCI-GL ECD AHA
A program should be in place to track and ensure treatments with ACC/AHA guideline-based Class I therapies, both acutely and at discharge.	PCI-CS, ML
Full service laboratories [both primary and elective PCI, with and without on-site cardiac surgery] performing <200 cases annually must have stringent systems and process protocols with close monitoring of clinical outcomes and additional strategies that promote adequate operator and catheterization laboratory staff experience through collaborative relationships with larger volume facilities. Both physicians and staff should have the opportunity to work at a high volume center to enhance their skills. The continued operation of laboratories performing <200 procedures annually that are not serving isolated or underserved populations should be questioned and any laboratory that cannot maintain satisfactory outcomes should be closed.	PCI-CS
Geographic isolation exists if the emergency transport time to another facility for a STEMI patient is >30 min.	New
Satisfactory outcomes should be defined by each local facility as part of their quality review process and should be based on national or regional benchmarks. Programs that fail to meet their established criteria for satisfactory performance for 2 consecutive quarters must undertake efforts to improve engaging outside experts if necessary. Failure to improve quality metrics should also be grounds for program closure regardless of the location.	ML PCI-CS D2B
As part of the local continuous quality improvement program, there should be a regular review of all patients transferred for emergency surgery with the outcome of surgery and identification of improvement opportunities.	PCI-GL

TABLE III. Continued

General Recommendations	Source
STEMI Treatment Recommendations	
Each community should develop a STEMI system of care that follows standards at least as strong as those developed for Mission Lifeline, including:	2009
Performance of primary PCI as the first-choice treatment for STEMI to ensure streamlined care paths and increased case volumes.	PCI-GL 2011
<ul style="list-style-type: none"> • A process for prehospital identification and activation. Protocols for triage, diagnosis and cardiac catheterization laboratory activation should be established within the primary PCI hospital/STEMI-Receiving Center. • A single activation phone call should alert the STEMI team. Criteria for EMS activation of the cardiac catheterization laboratory should be established in conjunction with EMS providers. • Transfer protocols for patients who arrive at STEMI referral centers who are in cardiogenic shock and/or are primary PCI candidates ineligible for fibrinolytic drugs. 	PCI-GL M L D 2
STEMI receiving centers should be available and on-call 24 hours/7 days a week (no diversion) to perform primary PCI. Primary PCI should not be performed at facilities unless it is provided on a 24/7 schedule. ^a The cardiac catheterization laboratory staff and interventional cardiologist should arrive within 30 min of a STEMI activation call. Facilities should have a plan for triage and treatment of simultaneous presentation of STEMI patients.	B PCI-GL, AHA ML
STEMI receiving centers should perform a minimum of 36 primary PCI procedures annually, and these procedures should ideally be performed at facilities that perform a minimum of 200 total PCI procedures annually.	
Facilities performing only primary PCI should perform a minimum of 36 primary PCIs annually and work in collaboration with a high volume PCI facility to ensure good outcomes	
There should be a recognized STEMI-Receiving Center liaison/system coordinator to the system and a recognized physician champion.	PCI-GL
The STEMI-Receiving Centers should participate in the Mission Lifeline-approved data collection tool, ACTION Registry-Get with the Guidelines™.	PCI-GL
They should also participate in the regional Mission Lifeline Stakeholder group (if available) to contribute to the development of a regional STEMI System of Care Plan	CS ML
Monthly multidisciplinary team meetings to evaluate outcomes and quality improvement data. Operational issues should be reviewed, problems identified, and solutions implemented. The following measurements should be evaluated on an ongoing basis:	PCI-GL
a. Door-to-first device time, nontransfer patients	CS
b. STEMI Referral Hospital ED door-to-balloon [first device used] time	ML
c. First medical contact to balloon inflation [first device used] time, nontransfer patients	
d. First medical contact to balloon inflation [first device used] time, transfer patients	M
e. Proportion of eligible patients receiving reperfusion therapy	L
f. Proportion of eligible patients administered guideline-based class I therapies	D
g. Proportion of patients with field diagnosis of STEMI and activation of the Cardiac Catheterization Laboratory for intended primary PCI who	2 B
i. do not undergo acute catheterization because of misdiagnosis	M
ii. undergo acute catheterization and found to have no elevation in cardiac biomarkers and no revascularization in the first 24 h	L
h. In-hospital mortality	ML

^aRequired for U.S. facilities but might not be possible for all facilities worldwide.

ACC, American College of Cardiology; AHA, American Heart Association policy statement; CT, computed tomography; CTO, chronic total occlusion; D2B, Door-to-Balloon Alliance; ECD, 2012 Expert Consensus Document on Cardiac Catheterization Standards; EMS, emergency medical systems; GL, Guidelines; IABP, intra-aortic balloon pump; IVUS, intravascular ultrasound; ML, Mission Lifeline; MR, magnetic resonance; New, New recommendation in this document; NCDR, National Cardiovascular Data Registry; PCI-CS, 2013 PCI Competency Statement; PCI-GL, 2011 ACCF/AHA/SCAI PCI guidelines; PCI, percutaneous coronary intervention; SCAI, Society for Cardiovascular Angiography and Interventions; and STEMI, ST-segment elevation myocardial infarction.

Italics font: New or modified recommendation in the document.

Recommendations

We have provided recommendations for PCI without on-site surgery that are a composite of recommendations from the 2007 SCAI Expert Consensus Statement, the 2011 PCI guidelines, the 2012 Expert Consensus Document on Cardiac Catheterization Laboratory Standards, the 2013 PCI Competency statement and

recommendations from the policy statement of the American Heart Association and requirements for the Mission Lifeline program and D2B Alliance [1–4,40,43,44]. Redundant recommendations from these documents were consolidated, and the writing committee included several new recommendations consistent with evolving practice standards.

TABLE IV. Personnel Requirements for PCI Programs Without On-Site Surgery

Personnel Recommendations	Source
Experienced nursing and technical laboratory staff with training in interventional laboratories. Personnel must be comfortable treating acutely ill patients with hemodynamic and electrical instability.	PCI GL PCI-CS
Coronary care unit nursing staff must be experienced and comfortable with invasive hemodynamic monitoring, operation of temporary pacemaker, management of IABP, management of in-dwelling arterial/venous sheaths and identifying potential complications such as abrupt closure, recurrent ischemia and access site complications.	PCI-GL PCI-CS
Personnel should be capable of endotracheal intubation and ventilator management both on-site and during transfer if necessary.	New PCI-GL
Operators should have ABIM board certification in interventional cardiology and maintain certification, with the exception of operators who have gone through equivalent training outside the United States and are ineligible for ABIM certification and recertification exams.	PCI-CS,
Interventional cardiologists should perform a minimum of 50 coronary interventional procedures per year [averaged over a 2-year period] to maintain competency.	PCI-CS
Primary PCI should be performed by experienced operators who perform a minimum of 50 elective PCI procedures per year and, ideally, at least 11 primary PCI procedures per year. Ideally, these procedures should be performed in institutions that perform more than 200 elective PCIs per year and more than 36 primary PCI procedures for STEMI per year.	PCI-CS ML
Facilities should develop internal review processes to assess operators performing <50 PCIs annually. Individual operator level volume is one of several factors that should be considered in assessing operator competence, which include lifetime experience, institutional volume, individual operator's other cardiovascular interventions and quality assessment of the operator's ongoing performance.	PCI-CS
It is unwise for a newly trained interventional cardiologist to start a new PCI program. Newly trained interventional cardiologists joining an established PCI program should be mentored by existing physicians until it is determined their skills, judgment and outcomes are acceptable.	New

ABIM, American Board of Internal Medicine; ML, Mission Lifeline; PCI-CS, 2013 PCI Competency Statement; PCI-GL, 2011 ACCF/AHA/SCAI PCI guidelines; IABP, intra-aortic balloon pump; New, new recommendation in this document; PCI, percutaneous coronary intervention; STEMI, ST-segment elevation myocardial infarction.

Italics font: New or modified recommendation in the document.

Facility Requirements for PCI Programs Without On-Site Surgery

Facility requirements are similar to those presented in past documents but now include a greater emphasis on the presence of quality review programs for facilities and operators, as described in the 2013 PCI competency document (4) (Table III). Diagnostic modalities such as IVUS and especially fractional flow reserve previously considered desirable for facilities without on-site surgery have now increased in importance and are necessary for all PCI centers.

The 2013 PCI Competency Document identified a signal suggesting that an institutional volume threshold of <200 PCIs/year was associated with worse outcomes. Therefore, the 2013 Competency Document recommended that the continued operation of laboratories performing <200 procedures annually that are not serving isolated or underserved populations be questioned and that any laboratory that cannot maintain satisfactory outcomes should be closed. Past documents have not specified any criteria for geographic isolation. The writing committee suggests it be defined not by distance but by the time required for emergency transport of a STEMI patient to another facility. Hospitals justify the creation of new PCI centers without on-site surgery by stating that they improve access for geographically under-served

populations and allow patients to be cared for in close geographic proximity to their own families and physicians. However, multiple low-volume and partial-service PCI centers within a geographic area diffuse PCI expertise, increase costs for the overall health system and have not been shown to improve access [46–49]. If the transfer time is >30 min, it is reasonable to assume that transfer to the nearest PCI center will provide reperfusion as rapidly as if it were available at the first hospital. For transport times longer than 30 min, performing PCI on-site is likely to be quicker than a transfer. The development of PCI facilities within a 30-min emergency transfer time to an established facility is therefore strongly discouraged.

What constitutes a reasonable transport time for a patient requiring emergency surgery has not been consistently addressed in prior documents. Both CPORT-E and MASS-COMM studies provide guidance contained in their on-line supplementary materials [9,11]. Both require a transport vehicle to be available to begin transport within 30 min and arrival at the surgical hospital within 60 min of the decision to declare the need for emergency surgery. MASS-COMM further recommends that surgical intervention begin within 120 min. Given the existing data on the distribution of PCI facilities in the US, the performance of elective PCI at facilities that cannot meet these transfer times is discouraged [46,47].

TABLE V. Recommendations for Off-Site Surgical Backup and Case Selection

Recommendations—Cardiologist—Cardiac Surgeon Interactions	Source
Interventional cardiologists must establish a working relationship with cardiac surgeons at the receiving facility.	PCI-GL ECD
Cardiac surgeons should have privileges at the referring facility to allow review of treatment options as time allows.	PCI-GL ECD
Ideally, face-to-face meetings between cardiothoracic surgeons and cardiologists involved should occur on a regular basis (Heart Team approach) especially for the discussion of management of patients undergoing nonprimary PCI who have left main, three-vessel CAD or two-vessel CAD with involvement of the LAD or comorbidities such as diabetes, depressed LV function or complex anatomy.	PCI-GL ECD New
Cardiac surgeon and receiving hospital agree to provide cardiac surgical backup for urgent cases at all hours and for elective cases at mutually agreed hours.	PCI-GL ECD
Surgeon and receiving facility ensure that patients will be accepted based on medical condition, capacity of surgeon to provide services at the time of request and availability of resources. If this cannot be ensured before the start of an elective procedure, the case should not be done at that time.	PCI-GL ECD
Interventional cardiologists must review with surgeons the immediate needs and status of any patient transferred for urgent surgery.	PCI-GL ECD
Interventional cardiologist should be familiar with and have immediate access to appropriate life support devices, such as intraaortic balloon pumps, and should be qualified for handling emergencies such as pericardial tamponade and embolization.	PCI-GL ECD
Hospital administrations from both facilities endorse the transfer agreement.	PCI-GL ECD
Transferring physicians obtain consent for surgery from patients or appropriate surrogates.	PCI-GL ECD
Initial informed consent for PCI discloses that the procedure is being performed without on-site surgical backup and acknowledges the possibility of risks related to transfer. The consent process should include the risk of urgent surgery and state that a written plan for transfer exists. Consent for PCI should be obtained before the procedure and before any sedatives are given. Consent for PCI obtained while the patient is on the table is not informed consent and is unacceptable in non-emergency situations.	PCI-GL ECD New
Recommendations - Case Selection and Management	
Avoid intervention in patients with:	New
<ul style="list-style-type: none"> • >50% diameter stenosis of left main artery proximal to infarct-related lesion, especially if the area in jeopardy is relatively small and overall LV function is not severely impaired. • Long, calcified, or severely angulated target lesions at high risk for PCI failure with TIMI flow grade 3 present during initial diagnostic angiography. • Lesions in areas other than the infarct artery (unless they appeared to be flow limiting in patients with hemodynamic instability or ongoing symptoms). • Lesions with TIMI flow grade 3 in patients with left main or three-vessel disease where bypass surgery is likely a superior revascularization strategy compared with PCI. • Culprit lesions in more distal branches that jeopardize only a modest amount of myocardium when there is more proximal disease that could be worsened by attempted intervention. • Chronic total occlusion. 	PCI-GL ECD
The management of patients with STEMI resuscitated from sudden cardiac death is complex, and decisions about the need for immediate PCI with or without therapeutic hypothermia or possible transfer to a tertiary facility for treatment should be individualized.	
Emergency transfer for coronary bypass surgery patients with	
<ul style="list-style-type: none"> • High-grade left main or three-vessel coronary disease with clinical or hemodynamic instability after successful or unsuccessful PCI of an occluded vessel and preferably with IABP support. • Failed or unstable PCI result and ongoing ischemia, with IABP support during transfer. 	

CTO, chronic total occlusion; ECD, 2012 Expert Consensus Document on Cardiac Catheterization Standards; PCI-GL, 2011 ACCF/AHA/SCAI PCI Guidelines; IABP, intraaortic balloon pump; LV, left ventricle; New, new recommendation in this document; PCI, percutaneous coronary intervention; TIMI, thrombolysis in myocardial Infarction.

Italics font: New or modified recommendation in the document

The 2013 PCI competency document also states that any laboratory that cannot maintain satisfactory outcomes should be closed; however, there is currently no national definition for “satisfactory outcomes”. The writing committee recommends that these be defined by

each PCI center, including those with on-site surgery, as part of their quality review process, using national benchmark data. Programs failing to meet established criteria for satisfactory performance for two consecutive quarters must undertake efforts to improve their

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TABLE VI. Patient and Lesion Characteristics That Could be Unsuitable for Nonemergency Procedures at Facilities Without On-Site Cardiac Surgery

High-risk patients	Source
<ul style="list-style-type: none"> Decompensated congestive heart failure [Killip Class ≥ 3] without evidence for active ischemia. Recent [< 8 weeks] cerebrovascular accident. Advanced malignancy. Known clotting disorders. LVEF $\leq 30\%$. Chronic kidney disease [creatinine > 2.0 mg/dl or creatinine clearance < 60 mL/min]. Serious ongoing ventricular arrhythmias. Patients with left main stenosis [$> 50\%$ diameter] or three-vessel disease unprotected by prior bypass surgery [$> 70\%$ stenoses in the proximal or mid segments of all major epicardial coronary arteries], treatment of any or all stenoses. Scoring systems, such as SYNTAX may be useful in defining the extent of disease and type of revascularization procedure. Patients with a single-target lesion that jeopardizes an extensive amount of myocardium. Patients undergoing intervention on the last remaining conduit to the heart. 	<p>PCI-GL AHA ECD</p>
<p>High-risk lesions</p> <ul style="list-style-type: none"> Unprotected left main stenosis. Diffuse disease [> 20 mm in length]. Extremely angulated segment [$> 90\%$] or excessive proximal or in-lesion tortuosity. More than moderate calcification of a stenosis or proximal segment Inability to protect major side branches. Degenerated older vein grafts with friable lesions. Substantial thrombus in the vessel or at the lesion site. Any other feature that could, in the operator's judgment, impede successful stent deployment. Anticipated need for rotational or other atherectomy device, cutting balloon or laser. 	<p>PCI-GL ECD New</p>
<p>The characteristics listed above identify high-risk patient and lesion features but are not absolute contraindications to performing PCI at a facility without on-site surgery. For example, an elevated creatinine levels increases the procedure risk for the patient, but this is not unique to facilities without on-site surgery and treatments to mitigate this complication can be used at all facilities. Ultimately, the operator should consider all factors and make a decision about the suitability of the patient for PCI at the facility.</p>	<p>New</p>
<p>Strategy for surgical backup based on lesion and patient risk</p> <ul style="list-style-type: none"> High-risk patients with high-risk lesions should not undergo nonemergency PCI at a facility without on-site surgery. High-risk patients with nonhigh-risk lesions: Nonemergency patients with this profile may undergo PCI, but confirmation that a cardiac surgeon and operating room are immediately available is necessary. Non-high-risk patients with high-risk lesions require no additional precautions. Non-high-risk patients with nonhigh-risk lesions require no additional precautions. Best scenario for PCI without on-site surgery. 	<p>PCI-GL</p>

CTO, chronic total occlusion; ECD, 2012 Expert Consensus Document on Cardiac Catheterization Standards; PCI-GL, 2011 ACCF/AHA/SCAI PCI Guidelines; LVEF, left ventricular ejection fraction; New, new recommendation; PCI, percutaneous coronary intervention; SYNTAX, Synergy Between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery. *Italics font: New or modified recommendation in the document.*

performance, engaging outside experts if necessary. Failure to improve quality metrics should lead to program closure regardless of the location. To ensure proper assessment and monitoring, laboratories are required to submit data to a national data registry, have regular meetings to discuss key performance metrics and develop plans for the correction of any deficiencies. Especially with facility PCI volumes decreasing, it becomes increasingly difficult to determine whether there are significant differences in the data reports from year to year. For example, to detect (with statistically certainty) a doubling of in-hospital mortality from 1% to 2% at a hospital with an annual case volume of 200 PCIs, nearly 4 years of continuous data collection would be required. This does not negate the importance of data submission to a national registry that can help identify Catheterization and Cardiovascular Interventions DOI 10.1002/ccd.

trends, but it emphasizes why these same data must be carefully evaluated and adjudicated at the local facility. The importance of unbiased local or external peer review cannot be overemphasized [67,68]. Implementation of the SCAI Quality Toolkit and certification by Accreditation for Cardiovascular Excellence [ACE] are recommended as resources for improving quality [69,70].

Personnel Requirements for PCI Programs Without On-Site Surgery

Recognizing the potential for isolation and the advantage of clinical experience, the 2007 SCAI Expert Consensus Document included a recommendation that operators at PCI programs without on-site

surgery perform at least 100 total and 18 primary PCIs annually, a recommendation that might not be achievable in the current environment. The 2013 PCI Competency Document moves away from strict volume requirements to focus more on achieving quality metrics for facilities and individual operators. As noted earlier, the 2013 Competency document recommended that operators perform a minimum of 50 PCIs annually (averaged over 2 years), including no less than 11 primary PCIs annually. Ideally, these procedures should be performed in institutions performing >200 total and

T4 >36 primary PCI procedures annually (Table IV)

Again acknowledging the importance of experience, the 2007 SCAI Expert Consensus Document suggested that initial operators at a new program without on-site surgery should have a lifetime experience of >500 PCIs as primary operator after completing a fellowship. In the current environment of decreasing PCI volumes and in view of the recommendations of the 2013 PCI competence document, this number would be difficult to achieve. Nevertheless, it is unwise for a newly trained interventional cardiologist to start a new PCI program. Newly trained interventional cardiologists joining an established PCI program should be mentored by more experienced physicians until it is determined that the skills, judgment and outcomes of these new cardiologists are acceptable.

Requirements for Off-Site Surgical Backup

Recommendations for the interactions between cardiologists and cardiac surgeons are listed in Table V. A limitation of programs performing PCI without on-site surgery is the lack of on-site access to a cardiac surgeon for consultation about revascularization options. This makes the concept of a Heart Team consultation more difficult to achieve and could necessitate performing only diagnostic catheterization until a case review with a cardiac surgeon can be performed. The application of telemedicine consultations with a heart surgeon could facilitate these interactions. In reality, many of the nonemergency patients who merit discussion by a Heart Team are not optimal candidates for PCI at facilities without on-site cardiac surgery. It is important to emphasize that the role of the cardiac surgeon is not confined to the treatment of PCI complications but includes the participation in decisions about revascularization options. Recommendations for case selection at facilities without on-site surgery are shown in Table V, and criteria for identifying high-risk lesions

T5 and patients are contained in Table VI. There are statistical models for identifying PCI patients at higher risk for mortality or emergency CABG that could be helpful for identifying patients who should not undergo

T6

PCI at facilities without on-site surgery [18,71]. However, these models have not been tested or applied on a large scale to determine the advisability of performing a PCI at facilities without on-site surgery.

The Delivery of PCI Services in the Future

As a result of the additional randomized studies on PCI without on-site surgery and the recent change in guideline recommendations, the performance of PCI without on-site surgery in the US has gained greater acceptance, and questions about its safety in the pres-

ence of a proven, well defined, and protocol driven approach have diminished. PCI programs should be evaluated based on their ability to: (a) sustain adequate quality metrics, (b) provide access to elective and emergency PCI procedures that would otherwise be unavailable in their service area, and (c) maintain the operator and institutional volumes recommended in the 2013 PCI Competency Document. For the future, the focus must now shift to developing a rational plan for the distribution of PCI services. Small PCI programs with large fixed costs are inefficient and unnecessary if they do not improve access in areas of need. However, it is unlikely that issues of system-wide efficiency will be addressed without central planning on the state or federal level. This writing group reaffirms the statement from the 2011 ACCF/AHA/SCAI PCI Guidelines that “desires for personal or institutional financial gain, prestige, market share, or other similar motives are not appropriate considerations for initiation of PCI programs without on-site cardiac surgery” and suggests that new programs offering PCI without on-site surgery are inappropriate unless they clearly serve geographically isolated populations. The writing group recognizes the need for ongoing study and surveillance of all PCI programs through participation in national databases encourages public reporting of their results and acknowledges that further declines in PCI volumes might necessitate the closure of PCI programs in the future.

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APPENDIX 1. SCAI/ACCF/AHA Expert Consensus Document Update on Percutaneous Coronary Intervention without On-Site Surgical Backup—Author Relationships with Industry and Other Entities (Relevant)

Committee Member	Employment	Consultant	Speaker's Bureau	Ownership/ Partnership/Principal	Personal Research	Institutional, Organizational or Other Financial Benefit	Expert Witness
James C. Blankenship	Geisinger Medical Center—Director, Cardiac Catheterization Laboratory	None	None	None	<ul style="list-style-type: none"> • Abiomed* • Astra-Zeneca* • Boston Scientific* • Kai Pharmaceutical* • Novartis • Schering Plough • The Medicines Company* • Volcano 	<ul style="list-style-type: none"> • SCAI—Vice President* 	None
Mehmet Cilingiroglu	Arkansas Heart Hospital	None	None	None	None	None	None
Greg J. Dehmer (Chair)	Texas A&M College of Medicine, Scott & White Clinic Cardiology Division—Professor of Medicine; Director of Cardiology	None	None	None	None	None	None
James G. Dwyer	Heart and Vascular Center of Northern Arizona	None	None	None	None	None	None
Dmitriy N. Feldman	New York Presbyterian Hospital/Cornell	<ul style="list-style-type: none"> • Gilead • Maquet 	<ul style="list-style-type: none"> • Abbott Vascular • Bristol Myers Squibb* • Daiichi-Sankyo • Eli Lilly • Pfizer • The Medicines Company* 	None	None	None	None
Timothy J. Gardner	Christiana Care Health System—Medical Director	None	None	None	None	None	None
Cindy L. Grines	Harper University Hospital—Vice President	<ul style="list-style-type: none"> • Abbott Vascular • Bristol Meyers Squibb • Lilly USA • Merck • The Medicines Company • Volcano* 	None	None	None	<ul style="list-style-type: none"> • Journal of Interventional Cardiology[†] 	None
Mandeep Singh	Mayo Clinic	None	None	None	None	None	None This table

represents all healthcare relationships of committee members with industry and other entities that were reported by authors, including those not deemed to be relevant to this document, at the time this document was under development. The table does not necessarily reflect relationships with industry at the time of publication. A person is deemed to have a significant interest in a business if the interest represents ownership of $\geq 5\%$ of the voting stock or share of the business entity, or ownership of $\geq \$10,000$ of the fair market value of the business entity; or if funds received by the person from the business entity exceed 5% of the person's gross income for the previous year. Relationships that exist with no financial benefit are also included for the purpose of transparency. Relationships in this table are modest unless otherwise noted. Please refer to <http://www.cardiosource.org/Science-And-Quality/Practice-Guidelines-and-Quality-Standards/Relationships-With-Industry-Policy.aspx> for definitions of disclosure categories or additional information about the ACCF Disclosure Policy for Writing Committees.

*No financial benefit.

[†]Significant relationship.

ACC indicates American College of Cardiology; AMA, American Medical Association; FDA, Food and Drug Administration; NHLBI, National Heart Lung and Blood Institute; SCAI, Society for Cardiovascular Angiography and Intervention

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