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# FEDERAL FORM 990 RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX FOR THE YEAR ENDED SEPTEMBER 30, 2016

PUBLIC DISCLOSURE COPY

## **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Inspection

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

A Fo	r the 20	15 calendar year, or tax year begir	nning 10/01, <b>2015</b>	, and ending			0.9	9/30, 20	16		
_		C Name of organization				D Employer ide	ntifica	ation numb	er		
<b>B</b> Che	ck if applicable:	CCMC CORPORATION				22-261	987	6			
	Address change	Doing business as									
	Name change	Number and street (or P.O. box if mail is	not delivered to street address)	Room/suite		E Telephone nu	mber				
	Initial return	282 WASHINGTON STREET				(860) 545-9000					
	Final return/	City or town, state or province, country, a	and ZIP or foreign postal code								
	Amended	HARTFORD, CT 06106				<b>G</b> Gross receipts	s \$				
	return Application	F Name and address of principal officer:	JAMES E. SHMERLING,	DHA, FACE	ΙE	H(a) Is this a grow		ırn for	Yes	X No	
	pending	282 WASHINGTON STREET				subordinates <b>H(b)</b> Are all subord		included?	Yes	☐ No	
T Ta	ax-exempt s	<u> </u>	) <b>(</b> insert no.) 4947(a)(1)	or 527				st. (see instruc	,		
		WWW.CONNECTICUTCHILDREN		01   027		H(c) Group exem			,		
			Association Other	I Year of f	ormati	ion: 1985 <b>M</b>			micile.	CT	
Par		ummary	7133001ation Ctrici	L rear or r	omian	IOII. 1700 IVI	Otato	or regar de	mone.		
ı aı		ly describe the organization's mission or	r most significant activities: TO BEI	NEFTT. PE	RFO:	RM THE FII	NCT	TONS (	) F		
		RRY OUT THE PURPOSES OF,									
ž		FARE, PROGRAMS AND ACTIV									
Lua		<del></del>									
Governance		k this box  if the organization di	·				1 1			23.	
න න		ber of voting members of the governing					3			22.	
es		ber of independent voting members of t					4				
Activities		number of individuals employed in cale					5			0.	
Ċ	6 Total	number of volunteers (estimate if necess	sary)				6			0.	
~		unrelated business revenue from Part V					7a			0.	
_	<b>b</b> Net ι	inrelated business taxable income from I	Form 990-T, line 34				7b	0	( V	0.	
				-		Prior Year	_	Cur	rent Ye		
ē		ributions and grants (Part VIII, line 1h)					0.			0.	
le l		ram service revenue (Part VIII, line 2g)					0.			0.	
Revenue		stment income (Part VIII, column (A), line					0.			0.	
	11 Othe	r revenue (Part VIII, column (A), lines 5,	6d, 8c, 9c, 10c, and 11e)				0.			0.	
1		revenue - add lines 8 through 11 (must					0.			0.	
1		ts and similar amounts paid (Part IX, colu					0.			0.	
1	l <b>4</b> Bene	fits paid to or for members (Part IX, colu	mn (A), line 4)				0.			0.	
g 1		ies, other compensation, employee bene					0.				
Expenses	l <b>6a</b> Profe	essional fundraising fees (Part IX, column	(A), line 11e)				0.			0.	
×	<b>b</b> Total	fundraising expenses (Part IX, column (I	D), line 25) ▶ 0	)							
1		r expenses (Part IX, column (A), lines 11				71,69	91.		84	,993.	
		expenses. Add lines 13-17 (must equal				71,69			84	,993.	
1	9 Reve	nue less expenses. Subtract line 18 from	n line 12			-71,69	1.		-84	,993.	
ces					Begin	ning of Current \	<b>Year</b>	End	of Yea	r	
sets	20 Total	assets (Part X, line 16)				447,99	3.		724	,533.	
Net Assets or Fund Balances	21 Total	liabilities (Part X, line 26)		[			0.		361	,533.	
돌들 2		assets or fund balances. Subtract line 21				447,99	3.		363	,000.	
Par	S S	ignature Block									
Unde	r penalties	of perjury, I declare that I have examined thi	s return, including accompanying sched	ules and stateme	ents, a	nd to the best of	f my	knowledge	and be	elief, it is	
true,	correct, and	d complete. Declaration of preparer (other than	officer) is based on all information of wh	ich preparer has	any kn	owledge.					
Sign		Signature of officer				Date					
Here	•   •										
		Type or print name and title									
	Print	:/Type preparer's name	Preparer's signature	Date		Check	if	PTIN			
Paid	sco	TT J MARIANI				self-employ	,	P006	4248	6	
Prepa	rer Firm	's name	, PC		1	Firm's EIN ▶ 2					
Use (	חווע ⊢	s address >200 JEFFERSON PARK SUITE						-898-9			
Mav t		scuss this return with the preparer show				i ilolic ilo.	. •		es	No	
<u> </u>		Reduction Act Notice, see the separat	, , , , , , , , , , , , , , , , , , , ,							(2015)	

	Statement of Program Service Accomplishments  Check if Schedule O contains a response or note to any line in this Part III
1	Briefly describe the organization's mission: ATTACHMENT 1
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?  If "Yes," describe these changes on Schedule O.  Yes X N
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to othe the total expenses, and revenue, if any, for each program service reported.
4a	(Code:) (Expenses \$o. including grants of \$o) (Revenue \$o)  EXPENSES INCURRED IN INITIATING, DEVELOPING, RECOMMENDING,
	SUPPORTING AND CARRYING OUT THE GOALS AND PRIORITIES FOR NEW AND
	EXPANDED PROGRAMS FOR THE BENEFIT OF CONNECTICUT CHILDREN'S
	MEDICAL CENTER; A RELATED INTERNAL REVENUE CODE SECTION 501(C)(3)  TAX-EXEMPT HOSPITAL ORGANIZATION, AND ALL RELATED AFFILIATES.
	PLEASE REFER TO SCHEDULE O FOR THE ORGANIZATION'S COMMUNITY
	BENEFIT STATEMENT.
	(Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )
40	(Code) (Expenses \$\psi) (Nevertide \$\psi)
4c	(Code:) (Expenses \$ including grants of \$) (Revenue \$)
<u> </u>	Other program services (Describe in Schedule O.)
4 Cl	(Expenses \$ including grants of \$ ) (Revenue \$ )
4e	Total program service expenses ► 0.
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#### Part IV **Checklist of Required Schedules** Yes No Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," Χ 1 Х Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?........ 2 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to Χ 3 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) Χ Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, 5 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If Χ 6 Did the organization receive or hold a conservation easement, including easements to preserve open space, 7 Χ the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II......... Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," Χ 8 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 9 Χ 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted Χ endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V. . . . . . . 10 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," Χ complete Schedule D, Part VI 11a b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more Χ of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 11b c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more Χ of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets Х reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 11d e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 11e Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses Χ the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Χ 12a **b** Was the organization included in consolidated, independent audited financial statements for the tax year? If Χ "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 12b Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E............. 13 Χ Χ b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate Χ foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . . . . . . 14b Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or Χ 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other Χ 16 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Χ Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 17 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Χ 18 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? Χ

Form 990 (2015) Page 4

#### Part IV Checklist of Required Schedules (continued) No Χ 20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H. 20a If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or Χ 21 domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Χ Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III. Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the 23 organization's current and former officers, directors, trustees, key employees, and highest compensated 23 Χ Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b Χ through 24d and complete Schedule K. If "No," go to line 25a Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?..... Did the organization maintain an escrow account other than a refunding escrow at any time during the year 24c d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . . 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit Χ transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I 25a Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? Χ If "Yes," complete Schedule L, Part I Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any 26 current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II Χ 26 27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled Χ entity or family member of any of these persons? If "Yes," complete Schedule L, Part III........... 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L. Part IV instructions for applicable filing thresholds, conditions, and exceptions): Χ A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . . . 28a A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Χ 28b An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) Χ was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV. . . . . . . . Χ 29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M. . . . 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified Χ 30 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, 31 Χ 31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," 32 Χ 32 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations Χ Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, 34 X or IV. and Part V. line 1 Did the organization have a controlled entity within the meaning of section 512(b)(13)? Χ 35a 35 a If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a Χ 35b controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable Χ 36 related organization? If "Yes," complete Schedule R, Part V, line 2 Did the organization conduct more than 5% of its activities through an entity that is not a related organization 37 and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Χ 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.

Page 5

Form 990 (2015) **Part V** S Statements Regarding Other IRS Filings and Tax Compliance
Check if Schedule O contains a response or note to any line in this Part V

	Check if Schedule O contains a response or note to any line in this Part V	<del></del>		
			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable			
	Did the organization comply with backup withholding rules for reportable payments to vendors and			
C		1c		Х
_	reportable gaming (gambling) winnings to prize winners?	10		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax			
	Statements, filed for the calendar year ending with or within the year covered by this return . 2a 0.			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		X
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b		
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			
<b>4</b> a	over, a financial account in a foreign country (such as a bank account, securities account, or other financial			
		4-		Х
	account)?	4a		
b	If "Yes," enter the name of the foreign country: ►			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts			
	(FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the			
va		6a		Х
	organization solicit any contributions that were not tax deductible as charitable contributions?	- Ou		
D	If "Yes," did the organization include with every solicitation an express statement that such contributions or	C.L.		
	gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods			
	and services provided to the payor?	7a		<u> </u>
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			
	required to file Form 8282?	7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year			
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		
	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		
'		7g		
_	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			
_	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the			
	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
а	Did the sponsoring organization make any taxable distributions under section 4966?	9a		
	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
	Initiation fees and capital contributions included on Part VIII, line 12			
h	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
	Section 501(c)(12) organizations. Enter:			
11	Gross income from members or shareholders			
	Oross income from members of shareholders.			
D	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them.)	40-		
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note. See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which			
	the organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		Х
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		
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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. 

Sect	ion A. Governing Body and Management				
				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 1a	23			
	If there are material differences in voting rights among members of the governing body, or if the governing				
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent 1b	22			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with	th			
	any other officer, director, trustee, or key employee?		2	X	
3	Did the organization delegate control over management duties customarily performed by or under the dire				
	supervision of officers, directors, or trustees, or key employees to a management company or other person? .		3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	.	5		Х
6	Did the organization have members or stockholders?	.	6		Х
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoi	nt			
	one or more members of the governing body?	. L	7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) member				
	stockholders, or persons other than the governing body?	•	7b	X	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during	ng 📗			
	the year by the following:				
а	The governing body?	• ⊨	8a	X	
b	Each committee with authority to act on behalf of the governing body?	• -	8b	X	_
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached				37
Casti	the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9	. 1	X
Secti	ion B. Policies (This Section B requests information about policies not required by the Internal Rever	iue C	<i>,</i> 006	<i>.)</i> Yes	No
		4	0a	103	X
	Did the organization have local chapters, branches, or affiliates?	• -	va		21
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapter		0b		
	affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	• -	1a	Х	_
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	·   '	ıa		
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.	1	2a	Х	
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	• -	Za		<u> </u>
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give		2b	Х	
_	rise to conflicts?	• -			
С	describe in Schedule O how this was done		2c	Х	
12		• ⊢	13	X	
13 14	Did the organization have a written whistleblower policy?	• -	14	Х	
15	Did the process for determining compensation of the following persons include a review and approval l				
13	independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision	,			
а	The organization's CEO, Executive Director, or top management official		5a	Х	
b	Other officers or key employees of the organization	. –	5b	Х	
~	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).				
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangeme	ent			
	with a taxable entity during the year?		6a		Х
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate it	ts			
	participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the				
	organization's exempt status with respect to such arrangements?	. 1	6b		
Sect	ion C. Disclosure				
17	List the states with which a copy of this Form 990 is required to be filed ▶				
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Sec	tion 5	01(c	(3)s	only)
	available for public inspection. Indicate how you made these available. Check all that apply.  Own website  Another's website  Upon request  Other (explain in Schedule O)		`	. ,	,
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of	inter	est p	oolicy	, and
	financial statements available to the public during the tax year.				
20	State the name, address, and telephone number of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization's books and receptance of the person who possesses the organization of the person of the per	ords:	<b>&gt;</b>		

JSA 5E1042 1.000 Form **990** (2015)

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## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII..........

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

L	Check this box if neither the organization nor	any related	l organization compensa	ted any current offic	cer, director, or trus	stee.

<b>(A)</b> Name and Title	(B) Average hours per week (list any	box,	Position (do not check more than box, unless person is bo officer and a director/tru				an	(D)  Reportable compensation from	(E)  Reportable  compensation from  related	(F) Estimated amount of other
	hours for related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	compensation from the organization and related organizations
_(1)E. CLAYTON GENGRAS, III CHAIRMAN - DIRECTOR	1.00	X		х				0.	0.	0.
(2)WILLIAM C. POPIK, M.D.	1.00	21		21				0.	0.	
VICE CHAIRMAN - DIRECTOR		Х		Х				0.	0.	0.
(3)ROBERT J. SHANFIELD	1.00									
SECRETARY - DIRECTOR	0.	Х		Х				0.	0.	0.
(4)GENO AURIEMMA	1.00									
DIRECTOR	0.	Х						0.	0.	0 .
(5) MARILYN A. BACON, M.D.	1.00									
DIRECTOR	0.	X						0.	0.	<u> </u>
(6)THOMAS O. BARNES	1.00									
DIRECTOR	0.	Х						0.	0.	<u> </u>
	1.00	37						0	0	0
DIRECTOR - PRESIDENT MED STAFF	1.00	X						0.	0.	0
(8)JAMES W. FANELLI, CFP DIRECTOR	$\frac{1.00}{0}$	Х						0.	0.	0
(9)GLEN R. GREENBERG	1.00	Λ						0.	0.	0
DIRECTOR	1.00	Х						0.	0.	0
(10)SUSAN V. HERBST, PH.D.	1.00								<u> </u>	
DIRECTOR	0.	Х						0.	0.	0
(11) JEFFREY S. HOFFMAN	1.00									
DIRECTOR	0.	Х						0.	0.	0
(12)CATO LAURENCIN, M.D., PH.D. DIRECTOR	$\frac{1.00}{0.}$	Х						0.	0.	0
(13)SOREN TORP LAURSEN DIRECTOR	1.00	Х						0.	0.	0
(14)ROBERT S. LE BLANC DIRECTOR	1.00	Х						0.	0.	0
ISA	1 .						<u> </u>	<u> </u>		Form <b>990</b> (2015)

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Form **990** (2015)

Pa	art VII Section A. Officers, Directors, Tru	ıstees, Ke	y En	nplo	ye	es,	and I	lig	hest Compensat	ed Employees (c	ontinue	ed)	
	(A) Name and title	(B) Average hours per week (list any hours for related	box,	unles er and	Pos heck ss pe	erson	e than cois both tor/trust	an ee)	(D)  Reportable compensation from the organization	(E) Reportable compensation from related organizations (W-2/1099-MISC)	an com	(F) stimated nount of other pensation the	f
		organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(W-2/1099-MISC)	(W-2/1099-WI3C)	org an	anizatio d related anization	b
15	) EDWARD LEWIS	1.00											
	DIRECTOR	0.	X						0.	0.			0.
16	) CHRISSY MONACO	1.00											
	DIRECTOR	0.	X						0.	0.			0.
17	) KATHERINE O. NIXON	1.00											
	DIRECTOR	0.	X						0.	0.			0.
18	) KOLAWOLE A. OLOFINBOBA, M.D.	1.00											
	DIRECTOR	0.	X						0.	0.			0.
19	) DAVID M. ROTH, ESQ.	1.00											
	DIRECTOR	0.	X						0.	0.			0.
20	) ANNE P. SARGENT	1.00											
	DIRECTOR	0.	Х						0.	0.			0.
21	) JAMES E. SHMERLING, DHA, FACHE DIRECTOR-PRES/CEO(EFF 11/1/15)	55.00 0.	Х		х				0.	176,960.		2,9	934.
$\overline{22}$	) LESLIE SILVERMAN	1.00											
	DIRECTOR	0.	Х						0.	0.			0.
23	) LAUREN K. ZELIGSON	1.00											
	DIRECTOR	0.	Х						0.	0.			0.
24	) SCOTT W. FANNING DIRECTOR (TERMED 01/01/16)	1.00	X						0.	0.			0.
25	) MARTIN J. GAVIN	55.00											
	DIRECTOR-PRES/CEO (10/1-10/31)	0.	Х		Х				0.	632,641.		30,0	88.
	Sub-total	l							0.	0.			0.
	: Total from continuation sheets to Part VII, S			• • •	• •	• •			0.	1,153,856.		77,3	68.
	I Total (add lines 1b and 1c)	_		-				•	0.	1,153,856.		77,3	
	Total number of individuals (including but not reportable compensation from the organization	limited to t	hose	liste				o re	eceived more than				
												Yes	No
•	Did the executestian list one former offic	مد ماند مد				_	ا دما		Javaa ar birdaa	t		103	140
3	Did the organization list any <b>former</b> offic employee on line 1a? <i>If</i> "Yes," <i>complete Schedi</i>										3		X
											3		
4													
	organization and related organizations gre										4	X	
_	individual										4	22	
5	Did any person listed on line 1a receive or for services rendered to the organization? If "Ye										5		X

## Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	<b>(C)</b> Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶ 0.

Part VII Section A. Officers, Directors, Tru	ustees, Ke	y En	plo	ye	es,	and F	lig	hest Compensat	ed Employ	ees (c	ontinue	ed)	
(A) Name and title	(B) Average hours per week (list any hours for	box,	unles	Pos heck ss pe	erson	e than o is both or/truste	an ee)	(D)  Reportable compensation from the	(E)  Reporta compensation relate organization	on from d	am	(F) timated tount of other pensati	of
	related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	organization (W-2/1099-MISC)	(W-2/1099-		orga and	om the anizatio d relateo anization	on d
26) JAMES A. MANAFORT, JR. DIRECTOR (TERMED 07/01/16)	1.00	Х						0.		0.			0.
27) CHARLES W. SHIVERY DIRECTOR (TERMED 12/31/15)	1.00	Х						0.		0.			0.
28) PATRICK J. GARVEY, CPA, CHFP TREASURER - SVP/CFO	55.00			Х				0.	344	,255.		44,3	346.
1b Sub-total c Total from continuation sheets to Part VII, S	ection A						<b>&gt;</b>						
d Total (add lines 1b and 1c)	limited to t	hose	liste				o re	eceived more than	\$100,000 d	of			
reportable compensation from the organizatio		0.	•									Yes	No
3 Did the organization list any former office employee on line 1a? If "Yes," complete Sched											3		Х
<b>4</b> For any individual listed on line 1a, is the organization and related organizations graindividual	eater than	\$15	0,0	00?	) If	"Yes	,"	complete Schedu	le J for	such	4	X	
5 Did any person listed on line 1a receive or for services rendered to the organization? If "Y											5		X
Section B. Independent Contractors													
1 Complete this table for your five highest com- compensation from the organization. Report of year.													
(A) Name and business add	dress							(B) Description of se	ervices	C	(C) Compens		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

Form **990** (2015)

Part VIII	Statement	of Revenue
-----------	-----------	------------

		Check if Schedule O contains a respon	nse or note to an	y line in this Part VI	II		
				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a b c d e f	Federated campaigns					
a S	g h	Noncash contributions included in lines 1a-1f: \$		0.			
	n	Total. Add lines 1a-1f	Business Code	0.			
Program Service Revenue	2a b c d e f	All other program service revenue	busiliess code				
Pro	g	Total. Add lines 2a-2f		0.			
	3	Investment income (including divider and other similar amounts)	nds, interest,  proceeds	0.			
	5 6a b	Royalties (i) Real  Gross rents	(ii) Personal	0.			
	d	Net rental income or (loss)	<b>•</b>	0.			
	7a	Gross amount from sales of assets other than inventory  (i) Securities	(ii) Other	0.			
	b c	Less: cost or other basis and sales expenses Gain or (loss)					
	d	Net gain or (loss)	. <u></u>	0.			
Other Revenue	8a b	Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18 a Less: direct expenses b					
	С	Net income or (loss) from fundraising events		0.			
	9a	Gross income from gaming activities. See Part IV, line 19 a					
	b c	Less: direct expenses b  Net income or (loss) from gaming activities		0.			
	10a	Gross sales of inventory, less returns and allowances a					
	b c	Less: cost of goods sold	Business Code	0.			
	11a						
	b						
	С	All					
	d	All other revenue		0.			
	e	Total. Add lines 11a-11d		0.			
JSA	12	Total revenue. See instructions		0.			- 000 (2045)

## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

	Check if Schedule O contains a resp	onse or note to any line	in this Part IX		
	not include amounts reported on lines 6b, 7b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	<b>(D)</b> Fundraising expenses
1	Grants and other assistance to domestic organizations				
	and domestic governments. See Part IV, line 21	0.			
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22	0.			
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16	0.			
4	Benefits paid to or for members	0.			
5	Compensation of current officers, directors,				
	trustees, and key employees	0.			
6	Compensation not included above, to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)	0.			
7	Other salaries and wages	0.			
	Pension plan accruals and contributions (include				
-	section 401(k) and 403(b) employer contributions)	0.			
9		0.			
10	Payroll taxes	0.			
11	Fees for services (non-employees):				
	Management	0.			
	Legal	0.			
	Accounting	0.			
	Lobbying	0.			
	Professional fundraising services. See Part IV, line 17	0.			
	f Investment management fees	0.			
9	Other. (If line 11g amount exceeds 10% of line 25, column	0.			
12	(A) amount, list line 11g expenses on Schedule O.).  Advertising and promotion	0.			
13	Office expenses	0.			
14	Information technology	0.			
15		0.			
	Royalties	0.			
		0.			
	Travel				
10	Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
10	· · · · · · · · · · · · · · · · · · ·	0.			
	Conferences, conventions, and meetings	0.			
	Interest	0.			
		0.			
	Depreciation, depletion, and amortization	0.			
	Insurance	· ·			
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If				
	line 24e amount exceeds 10% of line 25, column				
	(A) amount, list line 24e expenses on Schedule O.)				
_	ALLOCATION OF PERSONNEL COST	84,993.		84,993.	
٠		01,000.		01,333.	
р	·				
C	;				
d					
	All other expenses	84,993.		84,993.	
	Total functional expenses. Add lines 1 through 24e  Joint costs. Complete this line only if the	04,993.		04,333.	
-0	organization reported in column (B) joint costs				
	from a combined educational campaign and				
	fundraising solicitation. Check here if following SOP 98-2 (ASC 958-720)	0.			
		U .		1	

Form 990 (2015)

Part X Ba Page **11** 

## **Balance Sheet**

		Check if Schedule O contains a response or note to any line in this F	Part X		X
		Check is conteaded a contains a response of note to any line in this r	(A) Beginning of year		(B) End of year
	1	Cash - non-interest-bearing	12,667.	1	30,685.
	2	Savings and temporary cash investments	0.	2	0.
	3	Pledges and grants receivable, net	0.	3	0.
	4	Accounts receivable, net	0.	4	0.
	5	Loans and other receivables from current and former officers, directors,			
		trustees, key employees, and highest compensated employees.			
		Complete Part II of Schedule L Loans and other receivables from other disqualified persons (as defined under section	0.	5	0.
	6				
		4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary			
"		organizations (see instructions). Complete Part II of Schedule L	0.	6	0.
Assets	7	Notes and loans receivable, net	0.	7	0.
Ass	8	Inventories for sale or use	0.	8	0.
-	9	Prepaid expenses and deferred charges	0.	9	0.
	10 a	Land, buildings, and equipment: cost or			
		other basis. Complete Part VI of Schedule D 10a			
	b	Less: accumulated depreciation		10c	0.
	11	Investments - publicly traded securities			0.
	12	Investments - other securities. See Part IV, line 11		12	0.
	13	Investments - program-related. See Part IV, line 11	1,000.		1,000.
	14	Intangible assets	0.	17	0.
	15	Other assets. See Part IV, line 11	434,326.		692,848.
	16	Total assets. Add lines 1 through 15 (must equal line 34)	447,993.		724,533.
	17	Accounts payable and accrued expenses	0.	•••	0.
	18	Grants payable	0.		0.
	19	Deferred revenue	0.		0.
	20	Tax-exempt bond liabilities	0.		0.
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	0.	21	0.
Liabilities	22	Loans and other payables to current and former officers, directors,			
Ξ		trustees, key employees, highest compensated employees, and	0	22	0.
Li-	22	disqualified persons. Complete Part II of Schedule L	0.	23	0.
	23 24	Secured mortgages and notes payable to unrelated third parties Unsecured notes and loans payable to unrelated third parties	0.		0.
	25	Other liabilities (including federal income tax, payables to related third	0.	24	<u></u>
	23	parties, and other liabilities not included on lines 17-24). Complete Part X			
		of Schedule D	0.	25	361,533.
	26	Total liabilities. Add lines 17 through 25	0.	26	361,533.
_		Organizations that follow SFAS 117 (ASC 958), check here			
Ses		complete lines 27 through 29, and lines 33 and 34.			
anc	27	Unrestricted net assets	447,993.	27	363,000.
Bal	28	Temporarily restricted net assets	0.	28	0.
pu	29	Permanently restricted net assets	0.	29	0.
Net Assets or Fund Balances		Organizations that do not follow SFAS 117 (ASC 958), check here complete lines 30 through 34.			
ţ	30	Capital stock or trust principal, or current funds		30	
SSe	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
Ä	32	Retained earnings, endowment, accumulated income, or other funds		32	
Ne.	33	Total net assets or fund balances	447,993.	33	363,000.
	34	Total liabilities and net assets/fund balances	447,993.	34	724,533.
					Form <b>990</b> (2015)

Form **990** (2015)

Form 990 (2015) Page **12** 

OIIII 3	(2013)				1 4	gc • =	
Part						$\overline{}$	
	Check if Schedule O contains a response or note to any line in this Part XI						
1	Total revenue (must equal Part VIII, column (A), line 12)	1				$\frac{0.}{993.}$	
2							
3	3 Revenue less expenses. Subtract line 2 from line 1						
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		4	47,9	993.	
5	Net unrealized gains (losses) on investments	5				0.	
6	Donated services and use of facilities	6				0.	
7	Investment expenses	7				0.	
8	Prior period adjustments	8				0.	
9	Other changes in net assets or fund balances (explain in Schedule O)	9				0.	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line						
	33, column (B))	10		3	63,0	000.	
Part	XII Financial Statements and Reporting						
	Check if Schedule O contains a response or note to any line in this Part XII					X	
			_		Yes	No	
1	Accounting method used to prepare the Form 990: Cash X Accrual Other						
	If the organization changed its method of accounting from a prior year or checked "Other," ex	κplain	in				
	Schedule O.						
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		L	2a		X	
	If "Yes," check a box below to indicate whether the financial statements for the year were com-	piled	or				
	reviewed on a separate basis, consolidated basis, or both:						
	Separate basis Consolidated basis Both consolidated and separate basis						
b	Were the organization's financial statements audited by an independent accountant?			2b	X		
	If "Yes," check a box below to indicate whether the financial statements for the year were audit						
	separate basis, consolidated basis, or both:						
	Separate basis X Consolidated basis Both consolidated and separate basis						
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for committee that a	versi	aht				
_	of the audit, review, or compilation of its financial statements and selection of an independent acc		-	2c	X		
	If the organization changed either its oversight process or selection process during the tax year, e						
	Schedule O.	1					
3 a	As a result of a federal award, was the organization required to undergo an audit or audits as set	forth	in				
- u	the Single Audit Act and OMB Circular A-133?			3a		Х	
h	If "Yes," did the organization undergo the required audit or audits? If the organization did not und	ergo	the				
~	required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audit		I	3b			
	, , , , , , , , , , , , , , , , , , , ,			orm \$	990	(2015)	

### SCHEDULE A (Form 990 or 990-EZ)

## **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Department of the Treasury Internal Revenue Service

▶Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047
2015
Open to Public

Inspection

**Employer identification number** Name of the organization CCMC CORPORATION 22-2619876 Reason for Public Charity Status (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 6 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 9 An organization that normally receives: (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). X An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of 11 one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g. X Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. b Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. X Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. 5 f Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported organization (ii) EIN (iii) Type of organization (iv) Is the organization (v) Amount of monetary (vi) Amount of support (see (described on lines 1-9 listed in your governing other support (see above (see instructions)) document? instructions) instructions) ATTACHMENT 1 Yes No (A) (B) (C) (D) (E) Total

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	<b>(e)</b> 2015	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3						
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6	Public support. Subtract line 5 from line 4.						
	tion B. Total Support	(-) 0044	(h) 0040	(-) 0040	(4) 0044	(-) 0045	(0 T-4-1
	ndar year (or fiscal year beginning in)	<b>(a)</b> 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	<b>(e)</b> 2015	(f) Total
7 8	Amounts from line 4 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activities, etc. (s	see instructions)				12	
13	<b>First five years.</b> If the Form 990 is f organization, check this box and <b>stop here</b>	<u></u>					
	tion C. Computation of Public Sup					1	
14	Public support percentage for 2015 (li		,			14	<u>%</u>
15	Public support percentage from 2014					15	<u>%</u>
16a	331/3% support test - 2015. If the o						
<b>h</b>	this box and <b>stop here.</b> The organization						
D	331/3% support test - 2014. If the concept this box and stop here. The organization						
172	10%-facts-and-circumstances test - 2	•					
174	10% or more, and if the organization						
	Part VI how the organization meets t						
	organization			_	-		
b	10%-facts-and-circumstances test - 2						
-	15 is 10% or more, and if the orga		-				
	Explain in Part VI how the organizati						-
	supported organization				_	•	
18	Private foundation. If the organization	did not check a	a box on line 13	, 16a, 16b, 17a	i, or 17b, check	this box and see	•
	instructions						<u> </u>

Schedule A (Form 990 or 990-EZ) 2015 Page 3

#### Support Schedule for Organizations Described in Section 509(a)(2) Part III

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	tion A. Public Support						
Caler	ndar year (or fiscal year beginning in)	<b>(a)</b> 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	<b>(e)</b> 2015	(f) Total
1	Gifts, grants, contributions, and membership fees						
	received. (Do not include any "unusual grants.")	<u> </u>					
2	Gross receipts from admissions, merchandise						
	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose	_					
3	Gross receipts from activities that are not an						
	unrelated trade or business under section 513	_					
4	Tax revenues levied for the						
	organization's benefit and either paid						
	to or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
	organization without charge						
6	Total. Add lines 1 through 5						
7 a	Amounts included on lines 1, 2, and 3						
	received from disqualified persons						
b	Amounts included on lines 2 and 3						
	received from other than disqualified						
	persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
	Add lines 7a and 7b						
8	Public support. (Subtract line 7c from						
	line 6.)						
Sec	tion B. Total Support						
	ndar year (or fiscal year beginning in)	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9	Amounts from line 6						
10 a	Gross income from interest, dividends,						
	payments received on securities loans,						
	rents, royalties and income from similar sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after June 30, 1975						
С	Add lines 10a and 10b						
11	Net income from unrelated business						
• •	activities not included in line 10b,						
	whether or not the business is regularly						
40	carried on						
12	Other income. Do not include gain or						
	loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11,						
. •	and 12.)						
14	First five years. If the Form 990 is f	or the organiza	tion's first seco	nd, third, fourth	or fifth tax v	ear as a section	501(c)(3)
	organization, check this box and <b>stop here</b>	-			•		
Sec	tion C. Computation of Public Sup						
<u> 15</u>	Public support percentage for 2015 (line 8			mn (f))		15	%
16	Public support percentage from 2014 Sche					16	%
	tion D. Computation of Investmen						/0
<u> 17</u>	Investment income percentage for 2015 (li			13 column (f))		17	%
18	Investment income percentage from 2014					18	<u> </u>
	331/3% support tests - 2015. If the or						
ıJa		-					. $\square$
L	17 is not more than 331/3%, check th	-	-	•		•	·
D	331/3% support tests - 2014. If the organized the support tests - 2014 is not more than 331/3% shock						
20	line 18 is not more than 331/3 %, check		•	•	. ,		<del></del>

Schedule A (Form 990 or 990-EZ) 2015 Page 4

#### Part IV **Supporting Organizations**

(Complete only if you checked a box in line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

ecu	on A. All Supporting Organizations		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1	103	X
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2		Х
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.	3a		Х
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.	3с		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.	4a		Х
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B)	1.0		
5a	purposes.  Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).	4c 5a		X
b	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .			Х
7		6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	7		X
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	8		Х
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .	9a		Х
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI.</b>	9b		Х
С	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from assets in which the supporting organization also had an interest? If "Yes" provide detail in <b>Part VI</b>	9с		Х

from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI. 10 a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated

Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to

Schedule A (Form 990 or 990-EZ) 2015

10a

10b

supporting organizations)? If "Yes," answer 10b below.

determine whether the organization had excess business holdings.)

Χ

Scheau	le A (Form 990 or 990-EZ) 2015		ŀ	age J
Part	Supporting Organizations (continued)			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			7.7
_	below, the governing body of a supported organization?	11a		X
	A family member of a person described in (a) above?	11b		X
	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		Х
Secti	on B. Type I Supporting Organizations		V	NI -
			Yes	NO
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1	Х	
_		1	Λ	
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part</b> VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		Х
Secti	on C. Type II Supporting Organizations			
00011	on o. Type it supporting organizations		Yes	No
4	Were a majority of the organization's directors or trustees during the tay year also a majority of the directors		. 55	
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Secti	on D. All Type III Supporting Organizations	•		I
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of			
	the organization's governing documents in effect on the date of notification, to the extent not previously			
	provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's			
	supported organizations played in this regard.	3		
Secti	on E. Type III Functionally-Integrated Supporting Organizations			
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see ins	structi	ions):	
а	The organization satisfied the Activities Test. Complete line 2 below.			
b	The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.			
С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see	instru	$\overline{}$	
2	Activities Test. Answer (a) and (b) below.		Yes	NO
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
-	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these	۵.		
	activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
_	trustees of each of the supported organizations? <i>Provide details in Part VI.</i>	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.	21-		
	or no supported organizations: if tes, describe in <b>Fait vi</b> the fole played by the organization in this legard.	3b		

Schedule A (Form 990 or 990-EZ) 2015

Page 6 Schedule A (Form 990 or 990-EZ) 2015

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organ	nizations	3	201
1 Check here if the organization satisfied the Integral Part Test as a qualifying	trust on	Nov. 20, 1970. <b>See ir</b>	structions. All
other Type III non-functionally integrated supporting organizations must con	nplete Se	ections A through E.	
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year
		(A) I Hol Teal	(optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or			
collection of gross income or for management, conservation, or			
maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see			
instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
<b>b</b> Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other			
factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		
Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to			
emergency temporary reduction (see instructions)	6		
7 Check here if the current year is the organization's first as a non-functionall	y-integra	ted Type III supporting	organization (see
instructions).	-		•

Schedule A (Form 990 or 990-EZ) 2015

Page 7

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)								
Secti	on D - Distributions			Current Year				
1	Amounts paid to supported organizations to accomplish ex							
2	Amounts paid to perform activity that directly furthers exer	ed						
	organizations, in excess of income from activity							
3	Administrative expenses paid to accomplish exempt purpo	ses of supported organiz	zations					
	Amounts paid to acquire exempt-use assets							
5	Qualified set-aside amounts (prior IRS approval required)							
	Other distributions (describe in Part VI). See instructions.							
7	Total annual distributions. Add lines 1 through 6.							
8	Distributions to attentive supported organizations to which	the organization is resp	onsive					
	(provide details in <b>Part VI</b> ). See instructions.	5						
9	Distributable amount for 2015 from Section C, line 6							
	Line 8 amount divided by Line 9 amount							
			(ii)	(iii)				
	Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	Underdistributions Pre-2015	Distributable Amount for 2015				
1	Distributable amount for 2015 from Section C, line 6							
2	Underdistributions, if any, for years prior to 2015							
	(reasonable cause required-see instructions)							
3	Excess distributions carryover, if any, to 2015:							
а								
b								
С								
d	From 2013							
е	From 2014							
f	Total of lines 3a through e							
g	Applied to underdistributions of prior years							
h	Applied to 2015 distributable amount							
i	Carryover from 2010 not applied (see instructions)							
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.							
4	Distributions for 2015 from Section							
	D, line 7: \$							
а	Applied to underdistributions of prior years							
b	Applied to 2015 distributable amount							
С	Remainder. Subtract lines 4a and 4b from 4.							
5	Remaining underdistributions for years prior to 2015, if							
	any. Subtract lines 3g and 4a from line 2 (if amount							
	greater than zero, see instructions).							
6	Remaining underdistributions for 2015. Subtract lines 3h							
	and 4b from line 1 (if amount greater than zero, see							
	instructions).							
7	Excess distributions carryover to 2016. Add lines 3j							
	and 4c.							
8	Breakdown of line 7:							
а								
b								
С	Excess from 2013							
d	Excess from 2014							
е	Excess from 2015							

Schedule A (Form 990 or 990-EZ) 2015

Schedule A (Form 990 or 990-EZ) 2015 Page 8

**Part VI** Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE A, PART IV, SECTION A, QUESTION 1

CCMC CORPORATION WAS INCORPORATED ON JUNE 1, 1985, AS A NOT-FOR-PROFIT ORGANIZATION UNDER THE NON-STOCK CORPORATION ACT OF THE STATE OF CONNECTICUT. IT IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM. CCMC CORPORATION IS THE SOLE MEMBER OF CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT CHILDREN'S"); A RELATED INTERNAL REVENUE CODE SECTION 501(C)(3) TAX-EXEMPT ORGANIZATION THAT PROVIDES MEDICALLY NECESSARY HEALTHCARE SERVICES TO ALL CHILDREN IN A NON-DISCRIMINATORY MANNER REGARDLESS OF RACE, COLOR, CREED, SEX, NATIONAL ORIGIN OR ABILITY TO PAY.

CCMC CORPORATION WAS ORGANIZED FOR THE PURPOSE OF BENEFITING, CARRYING
OUT THE PURPOSES OF, AND UPHOLDING, PROMOTING AND FURTHERING THE WELFARE,
PROGRAMS AND ACTIVITY OF CONNECTICUT CHILDREN'S. IN SECTION 2(A),
PURPOSE, OF THE ORGANIZATION'S AMENDED AND RESTATED CERTIFICATE OF
INCORPORATION IT STATES THAT THE NATURE OF THE ACTIVITIES TO BE
CONDUCTED, OR THE PURPOSES TO BE PROMOTED AND FURTHER THE GOALS, WELFARE,
PROGRAMS AND ACTIVITIES OF CONNECTICUT CHILDREN'S.

ALL OF CCMC CORPORATION'S SUPPORTED ORGANIZATIONS ARE NOT LISTED BY NAME IN THE ORGANIZATION'S GOVERNING DOCUMENTS. IN ACCORDANCE WITH EACH SUBSIDARY ORGANIZATION'S MISSION, THE PURPOSES OF EACH IS TO SUPPORT THE OVERALL MISSION OF CONNECTICUT CHILDREN'S IN PROVIDING MEDICALLY NECESSARY HEALTHCARE SERVICES TO ALL CHILDREN IN A NON-DISCRIMINATORY MANNER REGARDLESS OF RACE, COLOR, CREED, SEX, NATIONAL ORIGIN OR ABILITY TO PAY, IN VARIOUS WAYS.

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

CCMC CORPORATION SUPPORTS ALL OF ITS SUBSIDARIES BY VIRTUE OF THE FACT

THAT IT ACTS AS THE PARENT ENTITY OF THE HEALTHCARE SYSTEM AND THE SOLE

MEMBER OF CONNECTICUT CHILDRENS. CCMC CORPORATION SUPPORTS ALL AFFILIATES

INCLUDED IN SCHEDULE A, PART I, LINE 11G REGARDLESS OF WHETHER THEY ARE

INCLUDED IN CCMC CORPORATION'S ORGANIZING DOCUMENTS AS A SUPPORTED

ORGANIZATION.

				ATTACHMENT :	1
SCHEDULE A, PART I - INFORMATION ABOUT	SUPPORTED C	RGANIZATIO	NS		
(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV) YES NO	(V) AMOUNT OF SUPPORT	(VI) OTHER SUPPORT AMOUNT
CONNECTICUT CHILDREN'S MEDICAL CENTER	06-0646755	3	Х	0.	0.
CONNECTICUT CHILDREN'S MEDICAL CENTER FOUNDATION, INC.	22-2619869	5	х	0.	0.
CCMC AFFILIATES, INC.	22-2619870	9	Х	0.	0.
CONNECTICUT CHILDREN'S SPECIALTY GROUP, INC.	06-1446900	9	х	0.	0.
CHILDREN'S HEALTH & DEVELOPMENT INSTITUTE, INC.	06-1504725	7	Х	0.	0.
TOTAL AMOUNT OF SUPPORT				0.	0.

## SCHEDULE D (Form 990)

## Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Open to Public

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

Inspection Employer identification number

CCMC CORPORATION 22-2619876 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Part I Complete if the organization answered "Yes" on Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts 1 2 Aggregate value of contributions to (during year) 3 Aggregate value of grants from (during year) Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised 5 funds are the organization's property, subject to the organization's exclusive legal control? Yes Nο Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used 6 only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose Yes No **Conservation Easements.** Part II Complete if the organization answered "Yes" on Form 990, Part IV, line 7. Purpose(s) of conservation easements held by the organization (check all that apply). Preservation of land for public use (e.g., recreation or education) Preservation of a historically important land area Preservation of a certified historic structure Protection of natural habitat Preservation of open space Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation 2 Held at the End of the Tax Year easement on the last day of the tax year. 2a а 2b 2c Number of conservation easements on a certified historic structure included in (a) С Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register 2d Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the 3 Number of states where property subject to conservation easement is located ▶ Does the organization have a written policy regarding the periodic monitoring, inspection, handling of Yes Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year 6 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) 8 and section 170(h)(4)(B)(ii)? In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements. Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: Revenue included in Form 990, Part VIII, line 1 ▶ \$ ▶ \$

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Schedule D (Form 990) 2015 Page **2** 

Par	t III Organizations Maintaini	ng Collections of	Art, Histo	rical Trea	sures, or Oth	er Similar Asse		ied)	
3	Using the organization's acquisition								
	collection items (check all that app	ly):							
а	Public exhibition		d	Loan or e	xchange prograr	ns			
b	Scholarly research		е	Other					
С	Preservation for future gene	rations							
4	Provide a description of the organ	nization's collections	s and explair	how they	further the org	ganization's exemp	t purpose in	Part	
	XIII.								
5	During the year, did the organization	on solicit or receive	donations of	art, historic	al treasures, or o	other similar			
	assets to be sold to raise funds rath	ner than to be maint	ained as part	of the orga	anization's collec	ction?	Yes	No	
Par	Part IV Escrow and Custodial Arrangements.  Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.								
1a	Is the organization an agent, truste	ee, custodian or oth	er intermedia	ry for cont	ributions or other	assets not			
	included on Form 990, Part X?					[	Yes	No	
b	If "Yes," explain the arrangement i								
						Amount			
С	Beginning balance				1c				
d	Additions during the year				1d				
е	Distributions during the year				. 1e				
f	Ending balance								
	Did the organization include an am					_	Yes	_ No	
	If "Yes," explain the arrangement i	n Part XIII. Check h	ere if the exp	lanation has	s been provided	on Part XIII			
Par	t V Endowment Funds.		-"	000 D	N/ 15 - 40				
	Complete if the organizat					( D =			
		(a) Current year	(b) Prior y	rear (C	Two years back	(d) Three years back	(e) Four years	s back	
1a	Beginning of year balance								
b	Contributions								
С	Net investment earnings, gains,								
	and losses								
d	Grants or scholarships								
е	Other expenditures for facilities								
	and programs								
f	Administrative expenses								
g	End of year balance								
2	Provide the estimated percentage			(line 1g, col	umn (a)) held as:				
a	Board designated or quasi-endown	nent ▶	_%						
	Permanent endowment  Temporarily restricted endowment								
С	The percentages on lines 2a, 2b, a		100%						
32	Are there endowment funds not in			on that are	held and admin	istered for the			
Ja	organization by:	the possession of t	ne organizati	on that are	neid and admin	iistered for the	Yes	No	
	(i) unrelated organizations						3a(i)	+	
	(ii) related organizations						3a(ii)		
b	If "Yes" on line 3a(ii), are the relate						3b		
4	Describe in Part XIII the intended	J	•						
	t VI Land, Buildings, and Equ Complete if the organiza	ipment.			'				
	Complete if the organiza	tion answered "Ye	es" on Form	990, Part			t X, line 10		
	Description of property		r other basis (stment)	<b>(b)</b> Cost or oth (other)		eciation (c	l) Book value		
1a	Land	,	,	, - /					
b	Buildings								
С	Leasehold improvements								
d	Equipment								
е	Other								
Tota	I. Add lines 1a through 1e. (Column	(d) must equal For	m 990, Part X	, column (B	), line 10c.)	•			

Schedule D (Form 990) 2015

1704FQ U600 PAGE 24

Schedule D (F	Form 990) 2015				Page
Part VII	Investments - Other Securities. Complete if the organization answered	"Yes" on Form 990	), Part I	V, line 11b. See Form 990, Pa	art X, line 12.
	(a) Description of security or category (including name of security)	(b) Book value		(c) Method of valuation: Cost or end-of-year market v	
(1) Financia	al derivatives				
	-held equity interests				
(3) Other_					
(A)					
(B)					
<u>(C)</u>					
<u>(D)</u>					
(E)					
<u>(F)</u>					
<u>(G)</u> (H)					
	n (b) must equal Form 990, Part X, col. (B) line 12.)				
Part VIII					
	Complete if the organization answered	"Yes" on Form 990	), Part I	IV, line 11c. See Form 990, Pa	art X, line 13.
	(a) Description of investment	(b) Book value		(c) Method of valuation Cost or end-of-year market v	
(1)					
(2)					
(3)					
_(4)					
(5)					
(6)					
(7)					
(8) (9)					
	n (b) must equal Form 990, Part X, col. (B) line 13.)				
Part IX	Other Assets. Complete if the organization answered	"Ves" on Form 000	) Part I	V line 11d See Form 990 P	art Y line 15
	(a) Desc		, i ait i	11, 11110 1110. 000 1 01111 000, 1 0	(b) Book value
(1) DUE	FROM AFFILIATED ENTITIES				692,848
(2)					
(3)					
(4)					
_(5)					
_(6)					
(8)					
(9)	umn (b) must equal Form 990, Part X, col. (B) lin	15 )			692,848
Part X	Other Liabilities.	10.70.7			0,2,010
	Complete if the organization answered line 25.	"Yes" on Form 990	), Part I	V, line 11e or 11f. See Form 9	990, Part X,
1.	(a) Description of liability	(b) Book valu	ıe		
	al income taxes				
	TO AFFILIATED ENTITIES	361,	533.		
(3)					
<u>(4)</u>					
(5)					
<u>(6)</u> (7)					
(8)					
(9)					
	nn (b) must equal Form 990, Part X, col. (B) line 25.)	<b>361</b> ,	533.		

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII JSA 5E1270 1.000 1704FQ U600

Schedule D (Form 990) 2015

Part	Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.	n.	
1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:	-	
a	Net unrealized gains (losses) on investments		
b	Donated services and use of facilities		
C	Recoveries of prior year grants		
d	Other (Describe in Part XIII.)		
е	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIII.)	_	
_ C	Add lines 4a and 4b	4c	
5 Part	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5   !rn	
rait	Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.		
1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
а	Donated services and use of facilities		
b	Prior year adjustments		
С	Other losses		
d	Other (Describe in Part XIII.)	0-	
е	Add lines 2a through 2d	2e 3	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:  Investment expenses not included on Form 990, Part VIII, line 7b 4a		
a b	Other (Describe in Part XIII.)		
	Add lines 4a and 4b	4c	
5	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	5	
	XIII Supplemental Information.		
2; Par	e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Patt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional inform PAGE 5		A, iiile

Schedule D (Form 990) 2015

Schedule D (Form 990) 2015 Page 5

Part XIII Supplemental Information (continued)

SCHEDULE D, PART X, QUESTION 2

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM

("SYSTEM"). THE SYSTEM ISSUES AUDITED CONSOLIDATED FINANCIAL STATEMENTS

WHICH INCLUDE ALL RELATED ENTITIES; INCLUDING THIS ORGANIZATION. THE

AUDITED CONSOLIDATED FINANCIAL STATEMENTS ALSO CONTAIN CONSOLIDATING

SCHEDULES ON AN ENTITY BY ENTITY BASIS. THE FOLLOWING FOOTNOTE IS

INCLUDED IN THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS FOR THE FISCAL

YEARS ENDED SEPTEMBER 30, 2016 AND SEPTEMBER 30, 2015 THAT REPORTS THE

SYSTEM'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 (ASC 740):

"THE CORPORATION ACCOUNTS FOR UNCERTAINTY IN INCOME TAXES USING A RECOGNITION THRESHOLD OF MORE-LIKELY-THAN NOT TO BE SUSTAINED UPON EXAMINATION BY THE APPROPRIATE TAXING AUTHORITY. MEASUREMENT OF THE TAX UNCERTAINTY OCCURS IF THE RECOGNITION THRESHOLD IS MET. MANAGEMENT HAS DETERMINED THAT THERE WERE NO MATERIAL TAX UNCERTAINTIES THAT MET THE RECOGNITION THRESHOLD IN 2016 AND 2015."

1704FQ U600

## **SCHEDULE F** (Form 990)

Department of the Treasury

## Statement of Activities Outside the United States

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990. ▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047 **Open to Public** Inspection

Internal Revenue Service Name of the organization **Employer identification number** 22-2619876 CCMC CORPORATION General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Part I Form 990, Part IV, line 14b. For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States. Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) (d) Activities conducted in (a) Region (b) Number of (c) Number of (e) If activity listed in (d) is (f) Total region (by type) (e.g., offices in the émployees, a program service, expenditures for describe specific type of fundraising, program services, region agents, and and investments investments, grants to recipients independent service(s) in region in region contractors in region located in the region) (1) CENTRAL AMERICA/CARIBBEAN INVESTMENTS 880,000. (2) (3) (4) (5) (6) (7) (8) (9) (10) (11) (12) (13)(14)(15)(16)(17)1. 1. 880,000. Sub-total 3a

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2015

Total from continuation sheets to Part I Totals (add lines 3a and 3b)

880,000.

Page 2 Schedule F (Form 990) 2015

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV appraisal, other)
1)									
2)									
3)									
4)									
5)									
6)									
7)									
3)									
9)									
l <b>0</b> )									
∣1)									
12)									
13)									
14)									
15)									
16)									
	or total number of reginion	nt organizations listed above	hat are recognized	as sharities by the	foreign country, re	ocanizad as tax	ovomnt		

Schedule F (Form 990) 2015

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Page 4 Schedule F (Form 990) 2015

#### Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)		Yes	X	No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)		Yes	X	No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)	X	Yes		No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)		Yes	X	No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)		Yes	X	No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)		Yes	X	No

Schedule F (Form 990) 2015

Schedule F (Form 990) 2015 Page 5

## Part V Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE F, PART I

DURING THE FISCAL YEAR ENDED SEPTEMBER 30, 2016, THIS ORGANIZATION, THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM ("SYSTEM"), CREATED NEW ENGLAND PEDIATRICS INDEMNITY, LTD. ("NEPI"), A FOREIGN WHOLLY OWNED CAPTIVE FINANCIAL VEHICLE. ON AUGUST 26, 2016, CONNECTICUT CHILDREN'S MEDICAL CENTER; A RELATED INTERNAL REVENUE CODE SECTION 501(C)(3) TAX-EXEMPT HOSPITAL ORGANIZATION TRANSFERRED \$880,000 TO NEPI, AS A CAPTIAL CONTRIBUTION, ON BEHALF OF THIS ORGANIZATION FOR ITS INVESTMENT IN NEPI.

## **SCHEDULE J** (Form 990)

Department of the Treasury Internal Revenue Service

**Compensation Information**For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization Employer identification number CCMC CORPORATION 22-2619876 Part I Questions Regarding Compensation

			Yes	No			
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form						
	990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.						
	First-class or charter travel Housing allowance or residence for personal use						
	Travel for companions Payments for business use of personal residence						
	Tax indemnification and gross-up payments Health or social club dues or initiation fees						
	Discretionary spending account  Personal services (e.g., maid, chauffeur, chef)						
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment						
	or réimbursement or provision of all of the expenses described above? If "No," complete Part III to explain						
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all	1b					
	directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line						
	1a?	2					
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the						
	organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.						
	X Compensation committee Written employment contract						
	X   Independent compensation consultant   X   Compensation survey or study						
	Form 990 of other organizations  X Approval by the board or compensation committee						
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing						
•	organization or a related organization:						
а	Receive a severance payment or change-of-control payment?	4a		X			
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X			
С	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X			
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.						
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.						
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any						
	compensation contingent on the revenues of:						
а	The organization?	5a		X			
b	Any related organization?	5b		Х			
	If "Yes" to line 5a or 5b, describe in Part III.						
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any						
	compensation contingent on the net earnings of:						
а	The organization?	6a		X			
b	Any related organization?	6b		X			
	If "Yes" on line 6a or 6b, describe in Part III.						
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed						
	payments not described on lines 5 and 6? If "Yes," describe in Part III.	7	X				
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject						
	to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe						
	in Part III	8		X			
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in						
	Regulations section 53.4958-6(c)?	9					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015

Schedule J (Form 990) 2015 Page 2

## Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown o	f W-2 and/or 1099-MI	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
JAMES E. SHMERLING, DHA	(i)	0.	0.	0.	0.	0.	0.	0.
1DIRECTOR-PRES/CEO(EFF 11/1/15)	(ii)	93,936.	82,713.	311.	0.	2,934.	179,894.	0.
MARTIN J. GAVIN	(i)	0.	0.	0.	0.	0.	0.	0.
	(ii)	277,975.	314,625.	40,041.	26,500.	3,588.	662,729.	0.
PATRICK J. GARVEY, CPA,	(i)	0.	0.	0.	0.	0.	0.	0.
3TREASURER - SVP/CFO	(ii)	209,158.	116,250.	18,847.	14,575.	29,771.	388,601.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
16	(ii)							

Schedule J (Form 990) 2015

## Part | Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

CORE FORM, PART VII AND SCHEDULE J

IN ACCORDANCE WITH INTERNAL REVENUE SERVICE FORM 990 RULES, REGULATIONS AND INSTRUCTIONS, THE COMPENSATION REPORTED IN CORE FORM, PART VII AND SCHEDULE J, PART II OF THIS FORM 990 IS DERIVED FROM 2015 FORMS W-2.

SCHEDULE J, PART I; QUESTION 3

EACH YEAR, TOWERS WATSON CONDUCTS A MARKET ANALYSIS OF CONNECTICUT

CHILDREN'S MEDICAL CENTER'S ("CONNECTICUT CHILDREN'S") PRESIDENT/CHIEF

EXECUTIVE OFFICER, OFFICERS AND OTHER KEY EMPLOYEES. TO AUGMENT THEIR

PROPRIETARY AND OTHER DATA TO WHICH THEY HAVE ACCESS, CONNECTICUT

CHILDREN'S PROVIDES THE DATA RESULTS FROM SALARY SURVEYS IN WHICH

CONNECTICUT CHILDREN'S PARTICIPATES. THE ANALYSIS AND PRESENTATION OF THE

DATA IS PRESENTED BY THE TOWERS WATSON REPRESENTATIVE TO THE

PRESIDENT/CHIEF EXECUTIVE OFFICER AND THE MEMBERS OF THE EXECUTIVE

COMMITTEE OF THE CONNECTICUT CHILDREN'S BOARD OF DIRECTORS. ANNUALLY THE

PRESIDENT/CHIEF EXECUTIVE OFFICER AND THE BOARD OF DIRECTORS THEN REVIEW

AND DISCUSS SALARY RECOMMENDATIONS FOR THE OFFICERS AND OTHER KEY

Schedule J (Form 990) 2015

Schedule J (Form 990) 2015

### Part | Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

EMPLOYEES AND SIGN OFF ON THE FINAL RECOMMENDATIONS. CONNECTICUT

CHILDREN'S EXECUTIVE COMMITTEE MEETS INDEPENDENTLY WITH THE

PRESIDENT/CHIEF EXECUTIVE OFFICER TO DISCUSS HIS INDIVIDUAL PERFORMANCE.

FOLLOWING THE PERFORMANCE EVALUATION, A SALARY RECOMMENDATION IS MADE AND

COMMUNICATED TO THE SENIOR VICE PRESIDENT OF HUMAN RESOURCES TO AUTHORIZE

PROCESSING.

SCHEDULE J, PART I; QUESTION 7 AND CORE FORM, PART VII

CALENDAR YEAR 2015 WHICH AMOUNTS WERE INCLUDED IN COLUMN B(II) HEREIN AND IN EACH INDIVIDUAL'S 2015 FORM W-2, BOX 5, AS TAXABLE MEDICARE WAGES.

PLEASE REFER TO THIS SECTION OF THE FORM 990, SCHEDULE J FOR THIS

THE INDIVIDUALS INCLUDED IN SCHEDULE J, PART II RECEIVED A BONUS DURING

INFORMATION BY PERSON BY AMOUNT.

# SCHEDULE O (Form 990 or 990-EZ)

# Supplemental Information to Form 990 or 990-EZ

OMB No. 1545-0047

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

Name of the organization
CCMC CORPORATION

Employer identification number 22-2619876

CORE FORM, PART III; STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM

("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER

("CONNECTICUT CHILDREN'S"). THE INTERNAL REVENUE SERVICE ("IRS") HAS

RECOGNIZED CCMC CORPORATION AS A TAX-EXEMPT ORGANIZATION UNDER INTERNAL REVENUE CODE SECTION 501(C)(3). CCMC CORPORATION IS THE SOLE CORPORATE

MEMBER OF VARIOUS NOT FOR-PROFIT AND FOR-PROFIT ENTITIES WITHIN THE INTEGRATED HEALTHCARE DELIVERY SYSTEM.

BACKGROUND

=======

CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT CHILDREN'S") IS

RECOGNIZED BY THE INTERNAL REVENUE SERVICE ("IRS") AS AN INTERNAL REVENUE

CODE SECTION 501(C)(3) TAX-EXEMPT ORGANIZATION. PURSUANT TO ITS

CHARITABLE PURPOSES CONNECTICUT CHILDREN'S PROVIDES MEDICALLY NECESSARY

HEALTHCARE SERVICES TO ALL CHILDREN IN A NON-DISCRIMINATORY MANNER

REGARDLESS OF RACE, COLOR, CREED, SEX, NATIONAL ORIGIN OR ABILITY TO PAY.

MOREOVER, CONNECTICUT CHILDREN'S OPERATES CONSISTENTLY WITH THE FOLLOWING

CRITERIA OUTLINED IN IRS REVENUE RULING 69-545:

1. CONNECTICUT CHILDREN'S PROVIDES MEDICALLY NECESSARY HEALTHCARE

CCMC CORPORATION

Name of the organization

Employer identification number

SERVICES TO ALL CHILDREN REGARDLESS OF ABILITY TO PAY, INCLUDING CHARITY CARE, SELF-PAY, MEDICARE AND MEDICAID PATIENTS;

- 2. CONNECTICUT CHILDREN'S OPERATES AN ACTIVE EMERGENCY ROOM FOR ALL CHILDREN WHICH IS OPEN 24 HOURS A DAY, 7 DAYS A WEEK, 365 DAYS PER YEAR;
- 3. CONNECTICUT CHILDREN'S MAINTAINS AN OPEN MEDICAL STAFF, WITH PRIVILEGES AVAILABLE TO ALL QUALIFIED PHYSICIANS;
- 4. CONTROL OF CONNECTICUT CHILDREN'S RESTS WITH ITS BOARD OF DIRECTORS.

  ITS BOARD IS COMPRISED OF INDEPENDENT CIVIC LEADERS AND OTHER PROMINENT

  MEMBERS OF THE COMMUNITY; AND
- 5. SURPLUS FUNDS ARE USED TO IMPROVE THE QUALITY OF PATIENT CARE, EXPAND AND RENOVATE FACILITIES AND ADVANCE MEDICAL CARE, PROGRAMS AND ACTIVITIES.

THE OPERATIONS OF CONNECTICUT CHILDREN'S, AS SHOWN THROUGH THE FACTORS

OUTLINED ABOVE AND OTHER INFORMATION CONTAINED HEREIN, CLEARLY

DEMONSTRATE THE HOSPITAL PROVIDES SUBSTANTIAL COMMUNITY BENEFIT AND THAT

THE USE AND CONTROL OF CONNECTICUT CHILDREN'S IS FOR THE BENEFIT OF THE

PUBLIC, AND THAT NO PART OF THE INCOME OR NET EARNINGS OF THE

ORGANIZATION INURES TO THE BENEFIT OF ANY PRIVATE INDIVIDUAL, NOR IS ANY

PRIVATE INTEREST BEING SERVED OTHER THAN INCIDENTALLY.

HISTORY

======

CONNECTICUT CHILDREN'S IS A NATIONALLY RECOGNIZED NOT-FOR-PROFIT WITH A MEDICAL STAFF OF MORE THAN 1,000 PHYSICIANS WHO PROVIDE COMPREHENSIVE, WORLD-CLASS HEALTHCARE IN MORE THAN 30 PEDIATRIC SPECIALTIES AND SUBSPECIALTIES. CONNECTICUT CHILDREN'S IS THE PRIMARY PEDIATRIC TEACHING HOSPITAL FOR THE UCONN SCHOOL OF MEDICINE, HAS A TEACHING PARTNERSHIP WITH THE FRANK H. NETTER, M.D. SCHOOL OF MEDICINE AT QUINNIPIAC UNIVERSITY AND IS A RESEARCH PARTNER OF THE JACKSON LABORATORY.

THE HISTORY OF CONNECTICUT CHILDREN'S SPANS MORE THAN 100 YEARS. FOUNDED AS A 10-BED HOSPITAL FOR CHILDREN WHO SUFFERED THEN INCURABLE CONDITIONS SUCH AS CEREBRAL PALSY, SPINA BIFIDA AND POLIO, CONNECTICUT CHILDREN'S IS NOW ONE OF ONLY TWO FREESTANDING CHILDREN'S HOSPITALS IN NEW ENGLAND AND IS THE ONLY FREESTANDING CHILDREN'S HOSPITAL IN CONNECTICUT.

CONNECTICUT CHILDREN'S PROVIDES AN ARRAY OF PEDIATRIC SERVICES IN

LOCATIONS ACROSS CONNECTICUT AND IN MASSACHUSETTS, INCLUDING AT HOSPITALS

IN HARTFORD AND WATERBURY, NEONATAL INTENSIVE CARE UNITS IN HARTFORD AND

FARMINGTON, AN AMBULATORY SURGERY CENTER IN FARMINGTON PRIMARY CARE

CENTERS AND EAST HARTFORD AND WEST HARTFORD, FIVE SPECIALTY CARE CENTERS,

AND 11 OTHER LOCATIONS. ITS LEVEL 1 PEDIATRIC TRAUMA CENTER IS THE

BUSIEST BETWEEN BOSTON AND NEW YORK.

MISSION

======

CONNECTICUT CHILDREN'S IS DEDICATED TO IMPROVING THE PHYSICAL AND EMOTIONAL HEALTH OF CHILDREN THROUGH FAMILY-CENTERED CARE, RESEARCH, EDUCATION AND ADVOCACY. CONNECTICUT CHILDREN'S EMBRACES DISCOVERY, TEAMWORK, INTEGRITY AND EXCELLENCE IN ALL THAT IT DOES.

PROGRAMS OF EXCELLENCE

CONNECTICUT CHILDREN'S OFFERS THE HIGHEST LEVEL OF CARE TO CHILDREN AND OUR PHYSICIANS AND PROGRAMS ARE CONSISTENTLY HONORED FOR THEIR EXCELLENCE. RECENT AWARDS INCLUDE THE 2017 WOMEN'S CHOICE AWARD FOR BEST CHILDREN'S HOSPITALS AND THE 2016 PRESS GANEY SUCCESS STORY AWARD.

IN ADDITION, THERE ARE SEVERAL PROGRAMS OF EXCELLENCE AT THE MEDICAL

CENTER THAT HAVE TIME AND AGAIN EARNED NATIONAL AND INTERNATIONAL HONORS

AND ACCOLADES INCLUDING, BUT NOT LIMITED TO:

- DIABETES & ENDOCRINOLOGY;
- GASTROENTEROLOGY;
- NEONATOLOGY;
- ORTHOPAEDICS;
- RECONSTRUCTIVE AND SOLID TUMOR SURGERY;

1704FQ U600

- SURGERY; AND
- UROLOGY.

EACH OF OUR PROGRAMS OF EXCELLENCE OFFERS SUPERIOR PEDIATRIC CLINICAL CARE AND HAS DEMONSTRATED THE ABILITY TO:

- 1) EXPAND ACCESS TO SERVICES TO MEET THE GROWING NEEDS OF CHILDREN AND FAMILIES;
- 2) INTEGRATE VARIOUS DISCIPLINES OF CLINICAL PRACTICE TO PROVIDE EXPANDED ADVANCED CARE;
- 3) DEVELOP OPPORTUNITIES TO EXPAND BASIC AND CLINICAL RESEARCH; AND
- 4) CREATE OPPORTUNITIES TO BE AN INNOVATIVE LEADER IN EDUCATION AND TRAINING.

OUTCOMES

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NOTHING MATTERS MORE THAN THE OUTCOME. CONNECTICUT CHILDREN'S KEEPS KIDS HEALTHY, PROTECTS THEM FROM SURGICAL COMPLICATIONS AND IMPROVES THE QUALITY OF LIFE OF THOSE WITH CHRONIC CONDITIONS.

PROCESS OF CARE

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SEVERAL ELEMENTS ARE CONSIDERED, INCLUDING HOSPITAL COMPLIANCE WITH BEST

1704FQ U600

Name of the organization
CCMC CORPORATION

Employer identification number

PRACTICES AND A COMMITMENT TO INFECTION CONTROL.

RESEARCH

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CONNECTICUT CHILDREN'S IS COMMITTED TO MAKING CHILDREN AND FAMILIES

HEALTHIER. OUR TALENTED MEDICAL PROFESSIONALS ARE AT THE FOREFRONT OF

RESEARCH AND CLINICAL TRIALS. SUCH SCIENTIFIC INQUIRIES CHANGE THE FUTURE

OF CHILDREN'S HEALTHCARE. FROM FUNDAMENTAL MOLECULAR SCIENCE THAT HELPS

US UNDERSTAND DISEASES AT THE MOST BASIC LEVEL, TO MOTION STUDIES

DESIGNED TO DISCOVER NEW WAYS FOR YOUNG ATHLETES TO AVOID INJURIES AND

CLINICAL TRIALS THAT ESTABLISH THE MOST EFFECTIVE AND EFFICIENT PROTOCOLS

FOR TREATING CHILDREN, CONNECTICUT CHILDREN'S IS A RESEARCH LEADER.

FOR EXAMPLE, CONNECTICUT CHILDREN'S DIVISION OF HEMATOLOGY & ONCOLOGY IS ENGAGED IN CONDUCTING MORE THAN 100 ACTIVE CLINICAL TRIALS AND RESEARCH STUDIES THROUGH THE CHILDREN'S ONCOLOGY GROUP, THE NEUROBLASTOMA AND MEDULLOBLASTOMA TREATMENT RESEARCH CONSORTIUM, THE PEDIATRIC CANCER FOUNDATION'S SUNSHINE PROJECT, THE SUNCOAST COMMUNITY CLINICAL ONCOLOGY PROGRAM AND PHARMACEUTIC COMPANY SPONSORS. THIS NUMBER OF OPEN PROTOCOLS DISTINGUISHES CONNECTICUT CHILDREN'S HEMATOLOGY & ONCOLOGY DIVISION AMONG THE TOP 20 PERCENT AMONG ITS PEERS. ADDITIONALLY, THE DIVISION IS IN THE TOP THIRD PERCENTILE FOR TOTAL PEDIATRIC CANCER PATIENTS ENROLLED IN TRIALS. THIS IS ESPECIALLY IMPRESSIVE GIVEN THAT CONNECTICUT CHILDREN'S IS CONSIDERED ONE OF THE SMALLER FREE-STANDING CHILDREN'S HOSPITALS IN

THE COUNTRY.

AWARDS AND RECOGNITION

CONNECTICUT CHILDREN'S HAS RECENTLY RECEIVED THE FOLLOWING AWARDS:

- 2017 WOMEN'S CHOICE AWARD FOR BEST CHILDREN'S HOSPITALS;
- 2016 CHILDKIND HOSPITAL DESIGNATION;
- 2016 PRESS GANEY SUCCESS STORY AWARD;
- 2016 HARTFORD BUSINESS JOURNAL HEALTHCARE HERO (CHRISTINE FINCK,

M.D.);

- 2016 HARTFORD BUSINESS JOURNAL BEST IN BUSINESS, ONCOLOGY (CENTER FOR

CANCER AND BLOOD DISORDERS);

- 2016 TOP MASTER'S IN HEALTHCARE ADMINISTRATION;
- 2016 GET WITH THE GUIDELINES RESUSCITATION SILVER AWARD; AND
- 2015 TOP WORKPLACE IN CONNECTICUT, RANKED 10TH IN LARGE ORGANIZATION

CATEGORY.

OFFICE OF COMMUNITY CHILD HEALTH ("OCCH")

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CONNECTICUT CHILDREN'S OFFICE FOR COMMUNITY CHILD HEALTH ELEVATES THE EFFECTIVENESS AND STATUS OF THE MEDICAL CENTER AS A CRITICAL COMMUNITY RESOURCE BY DEVELOPING, PROMOTING, SUPPORTING, EVALUATING AND

1704FQ U600

Name of the organization

CCMC CORPORATION

Employer identification number

DISSEMINATING INNOVATIVE, EFFECTIVE COMMUNITY-ORIENTED PROGRAMS AND SERVICES TO ADDRESS CHILDREN'S CRITICAL HEALTH NEEDS. SERVICES TO ADDRESS CHILDREN'S CRITICAL HEALTH NEEDS.

CHILDREN'S CRITICAL HEALTH NEEDS.

CORE FORM, PART III; STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

WHAT WE DO

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OCCH ADDRESSES CRITICAL CONTEMPORARY ISSUES IN CHILDREN'S LIVES THAT HAVE
THE POTENTIAL TO ADVERSELY AFFECT THEIR HEALTH AND DEVELOPMENT. THE
OFFICE NOT ONLY SERVES AS A CRITICAL COMMUNITY RESOURCE, BUT ALSO
CULTIVATES INNOVATIVE AND COST-EFFECTIVE SOLUTIONS TO ADDRESS EXISTING
GAPS IN OUR HEALTH CARE AND CHILD SERVICE SYSTEMS. THROUGH THE OFFICE,
CONNECTICUT CHILDREN'S MEDICAL CENTER ENSURES THAT FAMILIES HAVE ACCESS
TO A COMPREHENSIVE SYSTEM OF COMMUNITY PROGRAMS AND SERVICES THAT
SUPPORTS THEM IN PROMOTING THEIR CHILDREN'S OPTIMAL HEALTHY DEVELOPMENT.

OUR MODEL

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THE OFFICE OVERSEES A VARIETY OF COMMUNITY-ORIENTED PROGRAMS THAT ADDRESS

A WIDE RANGE OF FACTORS THAT INFLUENCE CHILDREN'S HEALTHY DEVELOPMENT.

THOSE PROGRAMS, AND THEIR COMMUNITY-BASED PARTNERS, NOT ONLY FOCUS ON THE

1704FQ U600

PAGE 44

CCMC CORPORATION

TRADITIONAL AREAS OF CHILD HEALTH SERVICES, FAMILY SUPPORT, AND EARLY CARE AND EDUCATION, BUT ALSO TOUCH OTHER SECTORS INCLUDING FOOD AND NUTRITION, HOUSING, ECONOMIC DEVELOPMENT, CHILD WELFARE, AND TRANSPORTATION.

THE OFFICE TAKES A THREE-PRONGED APPROACH TO PROMOTING CHILDREN'S OPTIMAL HEALTHY DEVELOPMENT:

- 1. STRENGTHENING EXISTING COMMUNITY-ORIENTED PROGRAMS;
- 2. FACILITATING SYNERGIES AMONG THOSE PROGRAMS; AND
- 3. SERVING AS AN INNOVATION INCUBATOR FOR PROMISING APPROACHES THAT IMPROVE SHORT AND LONG-TERM HEALTH OUTCOMES FOR CHILDREN.

THE OFFICE SERVES AS A NEW MODEL FOR OTHER CHILDREN'S HOSPITALS TO FOLLOW IN TERMS OF DEMONSTRATING THEIR COMMUNITY BENEFIT TO MAINTAIN TAX EXEMPT STATUS. IN THE PAST, HOSPITALS HAVE TRADITIONALLY RELIED ON DOCUMENTING THE DISCOUNTED AND UNREIMBURSED CARE THAT THEY PROVIDE TO PATIENTS. NOW, UNDER THE AFFORDABLE CARE ACT, THERE HAS BEEN A SHARP REDUCTION IN THE NUMBER OF AMERICANS WHO ARE UNINSURED AND, THEREFORE, A REDUCTION IN THE NEED FOR DISCOUNTED OR UNREIMBURSED CARE. BECAUSE OF THAT, HOSPITALS ARE BEING ENCOURAGED TO FIND NEW WAYS TO DEMONSTRATE THE BENEFIT THEY OFFER TO THEIR COMMUNITIES. THE OFFICE'S MODEL OFFERS A STRATEGIC APPROACH THAT SHOWS HOW ITS PROGRAMS ARE LINKED TO CRITICAL COMMUNITY NEEDS AND HOW THEY ARE ADDRESSING THOSE NEEDS.

Page 2

ALSO, THE OFFICE'S FOCUS ON PREVENTIVE AND COST-EFFECTIVE MODELS OF CARE FOR CHILDREN IS TIMELY GIVEN THE SHIFT IN HEALTHCARE TOWARDS ACCOUNTABLE CARE THAT EMPHASIZES VALUE IN TERMS OF KEEPING POPULATIONS HEALTHY. THE OFFICE TAKES AN ACTIVE LEADERSHIP ROLE IN INFORMING POPULATION HEALTH EFFORTS AT THE LOCAL, STATE AND NATIONAL LEVELS.

OUR IMPACT, AT A GLANCE

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THE OCCH HAS ESTABLISHED ITSELF AS A CRITICAL RESOURCE IN THE LOCAL COMMUNITY AND ACROSS THE NATION AND IS:

- LEADING EFFORTS TO DEVELOP A BLUEPRINT FOR WOMEN'S AND CHILDREN'S HEALTH FOR THE CITY OF HARTFORD;
- PARTNERING WITH THE CONNECTICUT OFFICE FOR EARLY CHILDHOOD TO LEAD THE DEVELOPMENT OF A COMPREHENSIVE, STATEWIDE EARLY CHILDHOOD SYSTEM; AND
- ENGAGED IN ONGOING DIALOGUE ON KEY PUBLIC POLICY CONCEPTS NECESSARY FOR SYSTEM-BUILDING WITH A WIDE ARRAY OF FEDERAL AGENCIES, INCLUDING THE MATERNAL AND CHILD HEALTH BUREAU, THE ADMINISTRATION FOR CHILDREN AND FAMILIES AND THE SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION.

OCCH PROGRAMS

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Name of the organization	Employer identification number
CCMC CORPORATION	

CONNECTICUT CHILDREN'S OCCH OPERATES A TOTAL OF 15 PROGRAMS:

### INNOVATION:

- THE HELP ME GROW NATIONAL CENTER;
- CONNECTICUT CHILDREN'S ADVANCING KIDS INNOVATION PROGRAM;
- EASY BREATHING;
- MID-LEVEL DEVELOPMENTAL ASSESSMENT; AND
- CARE COORDINATION COLLABORATIVE MODEL.

#### DIRECT SERVICES:

- CONNECTICUT CHILDREN'S CENTER FOR CARE COORDINATION;
- CONNECTICUT CHILDREN'S HEALTHY HOMES PROGRAM;
- HARTFORD YOUTH HIV IDENTIFICATION AND LINKAGE CONSORTIUM; AND
- PERSON-CENTERED MEDICAL HOME.

## EDUCATION AND RESEARCH:

- INJURY PREVENTION CENTER;
- CO-MANAGEMENT;
- CHILDREN'S CENTER ON FAMILY VIOLENCE;
- EDUCATING PRACTICES IN THE COMMUNITY;
- PRACTICE QUALITY IMPROVEMENT PROGRAM; AND
- RESIDENT EDUCATION IN ADVOCACY AND COMMUNITY HEALTH.

CORE FORM, PART III; STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

DESCRIPTIONS OF THE ABOVE PROGRAMS ARE LISTED BELOW:

#### INNOVATION:

- THE HELP ME GROW NATIONAL CENTER, INNOVATED IN HARTFORD AND BASED AT CONNECTICUT CHILDREN'S MEDICAL CENTER, SERVES AS A NATIONAL RESOURCE FOR SUPPORTING THE REPLICATION OF HELP ME GROW SYSTEMS THROUGHOUT THE COUNTRY. TESTED AS A PILOT PROJECT IN HARTFORD IN 1997, THE PROGRAM EXPANDED STATEWIDE IN 2002 AND IS ALSO BEING REPLICATED AROUND THE COUNTRY. HELP ME GROW LINKS CHILDREN WHO ARE AT RISK FOR DEVELOPMENTAL OR BEHAVIORAL PROBLEMS TO HELPFUL COMMUNITY-BASED PROGRAMS AND SERVICES.
- CONNECTICUT CHILDREN'S ADVANCING KIDS INNOVATION PROGRAM CONNECTICUT

  CHILDREN'S ADVANCING KIDS INNOVATION PROGRAM ("AKIP") OFFERS INDIVIDUALS

  AND ORGANIZATIONS AN EXCITING OPPORTUNITY TO GET CRUCIAL GUIDANCE, ACCESS

  TO KEY STAKEHOLDERS, AND TECHNICAL ASSISTANCE NEEDED TO MAKE INNOVATIONS

  PROMOTING THE OPTIMAL HEALTHY DEVELOPMENT OF CHILDREN SUCCESSFUL ON A

  LOCAL, STATEWIDE, AND EVEN NATIONAL LEVEL. AKIP, WHICH IS PART OF

  CONNECTICUT CHILDREN'S OFFICE FOR COMMUNITY CHILD HEALTH (THE OFFICE),

  SEEKS TO ESTABLISH A PIPELINE OF INNOVATIONS THAT FOCUS ON THE HEALTH OF

  CHILDREN, STRENGTHEN FAMILIES, AND SHOW HIGH POTENTIAL FOR BEING BOTH

  SUSTAINABLE AND REPLICABLE. AKIP SEEKS TO ENGAGE A BROAD RANGE OF

  POTENTIAL COMMUNITY HEALTH INNOVATORS ADDRESSING CRITICAL COMMUNITY

  HEALTH NEEDS, INCLUDING INDIVIDUAL ENTREPRENEURS, BUSINESSES,

  COMMUNITY-BASED ORGANIZATIONS; RESEARCHERS; BEHAVIORAL HEALTH PROVIDERS,

  OTHER HEALTHCARE PROVIDERS, HEALTHCARE PAYERS AND OTHERS COMMITTED TO

  IMPROVING CHILDREN'S HEALTHY DEVELOPMENT. THE PROGRAM PLANS TO SUPPORT

INNOVATIONS AS THEY MOVE TOWARDS MORE EVIDENCE-INFORMED, HIGHER IMPACT SOLUTIONS DESIGNED TO CLOSE EXISTING GAPS IN PROGRAMS AND SERVICES CURRENTLY OFFERED TO CHILDREN ACROSS A VARIETY OF SECTORS.

- EASY BREATHING IS A COMMUNITY-BASED ASTHMA MANAGEMENT PROGRAM INNOVATED AT CONNECTICUT CHILDREN'S AND HOUSED IN OUR ASTHMA CENTER. IT ENSURES CHILDREN, FAMILIES AND PHYSICIANS WORK TOGETHER TO MANAGE ASTHMA SYMPTOMS USING NATIONAL ASTHMA GUIDELINES. THE PROGRAM IS AVAILABLE TO CHILDREN ACROSS CONNECTICUT AND IS ALSO BEING REPLICATED IN OTHER STATES.
- THE MID-LEVEL DEVELOPMENTAL ASSESSMENT INNOVATION PROVIDES A NEW MODEL FOR ASSESSING CHILDREN WITH MILD OR MODERATE DEVELOPMENTAL DELAYS TO DETERMINE WHICH SERVICES WOULD BE MOST BENEFICIAL TO THEM. CHILDREN WITH MILD TO MODERATE DELAYS, OR THOSE WHO ARE AT RISK FOR DELAYS, ARE TYPICALLY INELIGIBLE FOR PUBLICLY FUNDED PROGRAMS SUCH AS EARLY INTERVENTION OR PRESCHOOL SPECIAL EDUCATION PROGRAMS. THIS ASSESSMENT CAN EFFICIENTLY DETERMINE THEIR NEEDS AND ENSURE THEIR CONNECTION TO COMMUNITY-BASED PROGRAMS THROUGH THE HELP ME GROW SYSTEM IN CONNECTICUT. MID-LEVEL DEVELOPMENTAL ASSESSMENT HAS EXPANDED STATEWIDE AND IS CURRENTLY BEING REPLICATED IN OTHER STATES.
- THE CARE COORDINATION COLLABORATIVE MODEL IS THE SIGNATURE INNOVATION
  OF CONNECTICUT CHILDREN'S CETNER FOR CARE COORDINATION. THE MODEL
  IMPROVES COLLABORATION AMONG CARE COORDINATORS FROM DIVERSE SECTORS
  INCLUDING CHILD HEALTH, EARLY CARE AND EDUCATION, AND FAMILY SUPPORT. IT

ALSO PROVIDES CHILDREN AND FAMILIES WITH LINKS TO EFFECTIVE SERVICES. IT
WORKS BY BRINGING TOGETHER CARE COORDINATORS FROM SEVERAL CHILD-SERVING
SECTORS FOR PERIODIC MEETINGS TO LEARN ABOUT AVAILABLE SERVICES AND HOW
TO HELP FAMILIES ACCESS THEM, TO REVIEW CHALLENGING CASES AND DEVELOP
SOLUTIONS FOR FAMILIES, AND TO ADVOCATE FOR POLICY LEVEL SOLUTIONS TO
HELP FAMILIES ADDRESS CHALLENGES THEY FACE CONNECTING TO SERVICES.
INITIALLY LAUNCHED IN THE GREATER HARTFORD AREA, THE PROGRAM HAS EXPANDED
ACROSS THE STATE OF CONNECTICUT AND INTO ADDITIONAL STATES.

#### DIRECT SERVICES:

- THE CONNECTICUT CHILDREN'S CENTER FOR CARE COORDINATION (THE CENTER)

  EMPOWERS FAMILIES OF ALL CHILDREN, INCLUDING THOSE WITH SPECIAL NEEDS, BY

  HELPING THEM ADVOCATE FOR ACCESS TO APPROPRIATE MEDICAL, BEHAVIORAL,

  EDUCATIONAL, LEGAL, AND SOCIAL SERVICES. THE CENTER ALSO PROVIDES

  TRAINING AND TECHNICAL SUPPORT FOR COMMUNITY-BASED PRIMARY CARE

  PROVIDERS, SUPPORTING THEM TO BECOME MEDICAL HOMES FOR THE CHILDREN THEY

  SERVE.
- THE CONNECTICUT CHILDREN'S HEALTHY HOMES PROGRAM IMPROVES THE LIVES OF CHILDREN BY MAKING THEIR HOMES HEALTHIER, SAFER AND MORE ENERGY EFFICIENT. THE PROGRAM PROVIDES QUALIFIED HOMEOWNERS AND TENANTS WITH INSPECTIONS AND PLANS FOR THE REMOVAL OF LEAD, ASTHMA TRIGGERS, AND SAFETY HAZARDS. IT ALSO PROVIDES FINANCIAL ASSISTANCE FOR REMEDIATION, RELOCATION ASSISTANCE DURING CONSTRUCTION, REFERRALS TO LOW-COST OR NO-COST WEATHERIZATION PROGRAMS TO INCREASE ENERGY EFFICIENCY, AND

EDUCATION PERTAINING TO HEALTHY AND SAFE HOMES.

- THE HARTFORD YOUTH HIV IDENTIFICATION AND LINKAGE CONSORTIUM WORKS TO PREVENT THE SPREAD OF HIV AND OTHER SEXUALLY TRANSMITTED DISEASES AMONG YOUTH. THE PROGRAM PROVIDES INTERACTIVE PREVENTION EDUCATION, COMMUNITY ENGAGEMENT AND OUTREACH, AND YOUTH FRIENDLY HIV/STD SCREENINGS. THE CONSORTIUM ALSO LINKS YOUTH TO MEDICAL CARE SERVICES, EMPLOYMENT SERVICES AND OTHER COMMUNITY RESOURCES.
- THE CONNECTICUT CHILDREN'S PRIMARY CARE CENTER RECENTLY RECEIVED

  DESIGNATION AS A PERSON CENTERED MEDICAL HOME. IN ACHIEVING THAT

  RECOGNITION, THE CENTER MET RIGOROUS STANDARDS SET BY THE NATIONAL

  COMMITTEE FOR QUALITY ASSURANCE AND WAS RECOGNIZED FOR COORDINATING

  PATIENT CARE, MAINTAINING A HIGH LEVEL OF QUALITY IN SERVICE DELIVERY,

  AND ENSURING THAT PATIENTS AND FAMILIES REMAIN AT THE CENTER OF ALL CARE.

  SEVERAL PROGRAMS OVERSEEN BY THE OFFICE FOR COMMUNITY CHILD HEALTH

  SUPPORT THE PRIMARY CARE CENTER IN ITS MEDICAL HOME STATUS.

# EDUCATION AND RESEARCH:

- THE INJURY PREVENTION CENTER ENGAGES IN RESEARCH, COMMUNITY OUTREACH,
EDUCATION, TRAINING, AND PUBLIC POLICY WORK TO REDUCE PREVENTABLE
INJURIES AND VIOLENCE TO CHILDREN. ITS THREE PRIMARY FOCUS AREAS ARE TEEN
DRIVING SAFETY, TEEN SUICIDE PREVENTION AND DOMESTIC VIOLENCE PREVENTION.
ITS COMMUNITY PROGRAMS, INCLUDING SAFE KIDS CONNECTICUT AND THE INJURY
FREE COALITION FOR KIDS OF HARTFORD, ADDRESS A WIDE RANGE OF CHILD SAFETY

CCMC CORPORATION

Employer identification number

CONCERNS RANGING FROM CHILD PASSENGER SAFETY TO POISONING PREVENTION AND OTHER HOME SAFETY ISSUES.

- CO-MANAGEMENT EMPOWERS PRIMARY CARE PROVIDERS TO IMPROVE THE BREADTH
  AND QUALITY OF CARE THEY PROVIDE TO CHILDREN. PRIMARY CARE PROVIDERS

  PARTNER WITH SUBSPECIALISTS TO DESIGN PROTOCOLS FOR THE CARE OF CERTAIN
  HIGH PREVALENCE CONDITIONS THAT ARE TYPICALLY REFERRED TO SUBSPECIALISTS.

  BY DOING SO, THIS CARE MODEL ALLOWS CHILDREN TO RECEIVE SOME SUBSPECIALTY
  CARE WITHIN THEIR MEDICAL HOME AND ENSURES THAT THEY RECEIVE TIMELY

  ACCESS TO SUBSPECIALTY CARE WHEN NEEDED. THE MODEL FREES UP SUBSPECIALTY
  APPOINTMENTS FOR CHILDREN WHOSE CONDITIONS CANNOT BE MANAGED IN THE
  PRIMARY CARE SETTING.
- THE CHILDREN'S CENTER ON FAMLLY VIOLENCE AIMS TO INCREASE UNDERSTANDING
  ABOUT THE IMPACT FAMILY VIOLENCE HAS ON CHILDREN AND TO INCREASE SUPPORT
  SERVICES FOR AFFECTED CHILDREN. THE CENTER IS A PARTNERSHIP BETWEEN THE
  CONNECTICUT CHILDREN'S SUSPECTED CHILD ABUSE AND NEGLECT PROGRAM, THE
  CONNECTICUT CHILDREN'S INJURY PREVENTION CENTER, AND THE CONNECTICUT
  COALITION AGAINST DOMESTIC VIOLENCE. THE CENTER'S GOALS INCLUDE IMPROVING
  SERVICE SYSTEMS FOR AFFECTED FAMILIES, WHICH CURRENTLY OFTEN DO NOT
  UTILIZE BEST PRACTICES, AND ENHANCING RESEARCH EFFORTS FOR CHILD-FOCUSED
  INTERVENTIONS, WHERE THE EVIDENCE BASE IS CURRENTLY INADEQUATE. THE
  CENTER ALSO PLANS TO ESTABLISH A STATEWIDE FAMILY VIOLENCE INFORMATION
  SYSTEM TO GATHER DATA, IDENTIFY TRENDS, AND TRACK PROGRESS OVER TIME.

- THE EDUCATING PRACTICES IN THE COMMUNITY PROGRAM IS A SIGNATURE
  INNOVATION OF THE CHILD HEALTH AND DEVELOPMENT INSTITUTE OF CONNECTICUT
  AND IS SUPPORTED BY THE CONNECTICUT CHILDREN'S OFFICE FOR COMMUNITY CHILD
  HEALTH. IT'S DESIGNED TO BRING THE NEWEST DEVELOPMENTS IN PEDIATRIC
  PRIMARY CARE TO CONNECTICUT'S CHILD HEALTH PROVIDERS THROUGH THE PROCESS
  OF ACADEMIC DETAILING AND WORKS TO ADVANCE SUSTAINABLE IMPROVEMENTS IN
  PRIMARY AND PREVENTIVE HEALTH AND MENTAL HEALTH CARE PRACTICES FOR ALL
  CONNECTICUT CHILDREN.
- THE PRACTICE QUALITY IMPROVEMENT PROGRAM HELPS PRIMARY CARE PHYSICIANS

  USE A DATA-DRIVEN, QUALITY IMPROVEMENT APPROACH TO ENHANCE THE SERVICES

  THEY PROVIDE TO CHILDREN. PROGRAM ACTIVITIES HELP PRACTICES IMPROVE THEIR

  SURVEILLANCE AND SCREENING FOR DEVELOPMENTAL RISKS, IMPROVE THEIR

  IDENTIFICATION OF BEHAVIORAL CONCERNS, AND CONNECT CHILDREN AND FAMILIES

  TO HELPFUL SERVICES.
- THE RESIDENT EDUCATION IN ADVOCACY AND COMMUNITY HEALTH PROGRAM HELPS
  TO FOSTER THE GROWTH OF FUTURE GENERATIONS OF PEDIATRICIANS WHO ARE
  COMMUNITY CHILD HEALTH ADVOCATES. THE PROGRAM NURTURES PEDIATRIC
  RESIDENTS TO BECOME FUTURE LEADERS BY PROVIDING THEM WITH UNIQUE
  OPPORTUNITIES TO DEVELOP KNOWLEDGE AND SKILLS IN THE AREAS OF ADVOCACY,
  COMMUNITY HEALTH AND PUBLIC POLICY. DURING THEIR TIME IN THE PROGRAM,
  PEDIATRIC RESIDENTS WORK CLOSELY WITH EXPERTS IN THE FIELDS OF POPULATION
  HEALTH, COMMUNITY RESEARCH, POLICY REFORM, AND SOCIAL INNOVATION ALL WITH
  THE GOAL OF PROMOTING CHILDREN'S HEALTHY DEVELOPMENT.

Name of the organization

CCMC CORPORATION

Employer identification number

CORE FORM, PART VI, SECTION A, QUESTION 2

WILLIAM C. POPIK, M.D. & DAVID M. ROTH, ESQ. - BUSINESS RELATIONSHIP.

CORE FORM, PART VI, SECTION B; QUESTION 11B

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM

("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER

("CONNECTICUT CHILDREN'S"). THE ORGANIZATION'S FEDERAL FORM 990 WAS

PROVIDED TO EACH VOTING MEMBER OF ITS GOVERNING BODY (ITS BOARD OF DIRECTORS) PRIOR TO FILING WITH THE INTERNAL REVENUE SERVICE ("IRS"). IN ADDITION, CONNECTICUT CHILDREN'S FINANCE AND AUDIT COMMITTEE ASSUMES THE RESPONSIBILITY TO OVERSEE AND COORDINATE THE FEDERAL FORM 990 PREPARATION, REVIEW AND FILING PROCESS.

AS PART OF THE ORGANIZATION'S FEDERAL FORM 990 TAX RETURN PREPARATION

PROCESS THE ORGANIZATION HIRED A PROFESSIONAL CPA FIRM WITH EXPERIENCE

AND EXPERTISE IN BOTH HEALTHCARE AND NOT-FOR-PROFIT TAX RETURN

PREPARATION TO PREPARE THE FEDERAL FORM 990. THE CPA FIRM'S TAX

PROFESSIONALS WORKED CLOSELY WITH THE SYSTEM'S FINANCE PERSONNEL

INCLUDING THE SENIOR VICE PRESIDENT/CHIEF FINANCIAL OFFICER, CORPORATE

CONTROLLER, ACCOUNTING MANAGER AND VARIOUS OTHER INDIVIDUALS ("INTERNAL WORKING GROUP") TO OBTAIN THE INFORMATION NEEDED IN ORDER TO PREPARE A

COMPLETE AND ACCURATE TAX RETURN.

THE CPA FIRM PREPARED A DRAFT FEDERAL FORM 990 AND FURNISHED IT TO THE SYSTEM'S INTERNAL WORKING GROUP FOR REVIEW. THE SYSTEM'S INTERNAL WORKING GROUP REVIEWED THE DRAFT FEDERAL FORM 990 AND DISCUSSED QUESTIONS AND COMMENTS WITH THE CPA FIRM. REVISIONS WERE MADE TO THE DRAFT FEDERAL FORM 990 WHERE NECESSARY AND A FINAL DRAFT WAS FURNISHED BY THE CPA FIRM TO THE SYSTEM'S INTERNAL WORKING GROUP FOR FINAL REVIEW AND APPROVAL. THE FORM 990 WAS THEN PROVIDED TO CONNECTICUT CHILDREN'S FINANCE AND AUDIT COMMITTEE AND SUBSEQUENTLY TO EACH VOTING MEMBER OF THIS ORGANIZATION'S GOVERNING BODY PRIOR TO FILING WITH THE IRS.

CORE FORM, PART VI, SECTION B; QUESTION 12

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM

("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER

("CONNECTICUT CHILDREN'S"). THE ORGANIZATION AND SYSTEM REGULARLY MONITOR AND ENFORCE COMPLIANCE WITH ITS CONFLICT OF INTEREST POLICY. ANNUALLY ALL MEMBERS OF THE BOARD OF DIRECTORS, OFFICERS AND SENIOR MANAGEMENT

PERSONNEL ARE REQUIRED TO REVIEW THE EXISTING CONFLICT OF INTEREST POLICY AND COMPLETE A QUESTIONNAIRE. THE CONNECTICUT CHILDREN'S MEDICAL CENTER CORPORATE COMPLIANCE/CONFLICT OF INTEREST COMMITTEE ("COMMITTEE") HAS OVERSIGHT OVER THE MANAGEMENT OF IDENTIFIED OR REPORTED CASES OF CONFLICTS OF INTEREST. THE COMMITTEE IS ALSO RESPONSIBLE FOR DEVELOPING, APPROVING, AND IMPLEMENTING, AS APPROPRIATE, POLICIES AND EDUCATION RELATING TO VARIOUS TYPES OF CONFLICTS OF INTEREST.

Name of the organization

CCMC CORPORATION

Employer identification number

THE COMMITTEE HAS DELEGATED THE DAY TO DAY INVESTIGATION OF DISCLOSURES

TO THE SYSTEM'S GENERAL COUNSEL AND DIRECTOR OF COMPLIANCE. COMPLETED

QUESTIONNAIRES ARE RETURNED TO THE SYSTEM'S GENERAL COUNSEL, WHO PERFORMS

AN INITIAL SCREENING OF THE CONFLICT OF INTEREST DISCLOSURES. IF

POTENTIAL CONFLICTS OF INTERESTS ARE REPORTED, GENERAL COUNSEL COMPLETES

FURTHER INVESTIGATION AND RECOMMENDS A MANAGEMENT PLAN OR OTHER

CORRECTIVE ACTIONS TO THE COMMITTEE.

CORE FORM, PART VI, SECTION B; QUESTION 15

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM ("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT CHILDREN'S"). THIS FILING ORGANIZATION ITSELF HAS NO PAID SENIOR MANAGEMENT PERSONNEL RECEIVING COMPENSATION DIRECTLY FROM THIS ORGANIZATION. RATHER, KEY SENIOR MANAGEMENT PERSONNEL INCLUDING, BUT NOT LIMITED TO, THE PRESIDENT/CHIEF EXECUTIVE OFFICER AND SENIOR VICE PRESIDENT/CHIEF FINANCIAL OFFICER ARE EMPLOYED CONNECTICUT CHILDREN'S. HOWEVER, THE COMPENSATION AND BENEFITS OF THESE INDIVIDUALS ARE SHOWN ON THIS TAX RETURN BECAUSE THEY ARE EITHER DIRECTORS OR OFFICERS OF THIS ORGANIZATION. ACCORDINGLY, CONNECTICUT CHILDREN'S BOARD OF DIRECTORS HAS AN EXECUTIVE COMPENSATION COMMITTEE ("COMMITTEE"). THE COMMITTEE HAS ADOPTED A WRITTEN EXECUTIVE COMPENSATION PHILOSOPHY WHICH IT FOLLOWS WHEN IT REVIEWS AND APPROVES OF THE COMPENSATION AND BENEFITS OF CONNECTICUT CHILDREN'S SENIOR MANAGEMENT, INCLUDING, BUT NOT LIMITED TO, THE PRESIDENT/CHIEF EXECUTIVE OFFICER AND SENIOR VICE PRESIDENT/CHIEF

FINANCIAL OFFICER. THE COMMITTEE REVIEWS THE "TOTAL COMPENSATION" OF THE INDIVIDUALS WHICH IS INTENDED TO INCLUDE BOTH CURRENT AND DEFERRED COMPENSATION AND ALL EMPLOYEE BENEFITS, BOTH QUALIFIED AND NON-QUALIFIED. THE COMMITTEE'S REVIEW IS DONE ON AT LEAST AN ANNUAL BASIS AND ENSURES THAT THE "TOTAL COMPENSATION" OF SENIOR MANAGEMENT OF THE ORGANIZATION IS REASONABLE.

THE ACTIONS TAKEN BY THE COMMITTEE ENABLE THE ORGANIZATION TO RECEIVE THE REBUTTABLE PRESUMPTION OF REASONABLENESS FOR PURPOSES OF INTERNAL REVENUE CODE SECTION 4958 WITH RESPECT TO THE TOTAL COMPENSATION OF CERTAIN MEMBERS OF THE SENIOR MANAGEMENT TEAM, INCLUDING, BUT NOT LIMITED TO, THE PRESIDENT/CHIEF EXECUTIVE OFFICER AND SENIOR VICE PRESIDENT/CHIEF FINANCIAL OFFICER. THE THREE FACTORS WHICH MUST BE SATISFIED IN ORDER TO RECEIVE THE REBUTTABLE PRESUMPTION OF REASONABLENESS ARE THE FOLLOWING:

- 1. THE COMPENSATION ARRANGEMENT IS APPROVED IN ADVANCE BY AN
  "AUTHORIZED BODY" OF THE APPLICABLE TAX-EXEMPT ORGANIZATION WHICH IS
  COMPOSED ENTIRELY OF INDIVIDUALS WHO DO NOT HAVE A "CONFLICT OF INTEREST"
  WITH RESPECT TO THE COMPENSATION ARRANGEMENT;
- 2. THE AUTHORIZED BODY OBTAINED AND RELIED UPON "APPROPRIATE DATA AS TO COMPARABILITY" PRIOR TO MAKING ITS DETERMINATION; AND
- 3. THE AUTHORIZED BODY "ADEQUATELY DOCUMENTED THE BASIS FOR ITS DETERMINATION" CONCURRENTLY WITH MAKING THAT DETERMINATION.

THE COMMITTEE IS COMPRISED OF MEMBERS OF THE BOARD OF DIRECTORS EACH OF WHO ARE INDEPENDENT AND ARE FREE FROM ANY CONFLICTS OF INTEREST.

THE COMMITTEE RELIED UPON APPROPRIATE COMPARABLE DATA; SPECIFICALLY THE COMMITTEE OBTAINED A WRITTEN COMPENSATION STUDY FROM AN INDEPENDENT FIRM WHICH SPECIALIZES IN THE REVIEWING OF HOSPITAL AND HEALTHCARE SYSTEM EXECUTIVE COMPENSATION AND BENEFITS THROUGHOUT THE UNITED STATES. THIS STUDY USED COMPARABLE GEOGRAPHIC AND DEMOGRAPHIC MARKET DATA INCLUDING BUT NOT LIMITED TO SIMILAR SIZED HOSPITALS, # OF LICENSED BEDS AND NET PATIENT SERVICE REVENUE, INCLUDING COMPLEXITY OF SERVICES. THE COMMITTEE ADEQUATELY DOCUMENTED ITS BASIS FOR ITS DETERMINATION THROUGH THE TIMELY PREPARATION OF WRITTEN MINUTES OF THE COMPENSATION COMMITTEE MEETINGS DURING WHICH THE EXECUTIVE COMPENSATION AND BENEFITS WAS REVIEWED AND SUBSEQUENTLY APPROVED.

THE ACTIONS OUTLINED ABOVE WITH RESPECT TO THE COMMITTEE AND THE
ESTABLISHMENT OF THE REBUTTABLE PRESUMPTION OF REASONABLENESS ONLY
APPLIES TO CERTAIN SENIOR MANAGEMENT PERSONNEL, INCLUDING, BUT NOT
LIMITED TO THE PRESIDENT/CHIEF EXECUTIVE OFFICER AND SENIOR VICE
PRESIDENT/CHIEF FINANCIAL OFFICER. THE COMPENSATION AND BENEFITS OF
CERTAIN OTHER INDIVIDUALS ARE REVIEWED ANNUALLY BY THE PRESIDENT/CHIEF
EXECUTIVE OFFICER WITH ASSISTANCE FROM THE SYSTEM'S SENIOR VICE PRESIDENT
OF HUMAN RESOURCES AND THE SYSTEM'S HUMAN RESOURCES DEPARTMENT, IN
CONJUNCTION WITH THE INDIVIDUAL'S JOB PERFORMANCE DURING THE YEAR AND IS

CCMC CORPORATION

BASED UPON OTHER OBJECTIVE FACTORS DESIGNED TO ENSURE THAT REASONABLE AND FAIR MARKET VALUE COMPENSATION IS PAID BY THE ORGANIZATION. OTHER OBJECTIVE FACTORS INCLUDE MARKET SURVEY DATA FOR COMPARABLE POSITIONS, INDIVIDUAL GOALS AND OBJECTIVES, PERSONNEL REVIEWS, EVALUATIONS, SELF-EVALUATIONS AND PERFORMANCE FEEDBACK MEETINGS.

PLEASE ALSO REFER TO OUR RESPONSE INCLUDED IN SCHEDULE J, PART III,

QUESTION 3 FOR FURTHER INFORMATION ON HOW CONNECTICUT CHILDREN'S MEDICAL

CENTER SATISFIES THE CRITERIA TO SATISFY THE REBUTTABLE PRESUMPTION OF

REASONABLENESS FOR PURPOSES OF INTERNAL REVENUE CODE SECTION 4958 WITH

RESPECT TO EXECUTIVE COMPENSATION REVIEW AND APPROVAL.

CORE FORM, PART VI, SECTION C; QUESTION 19

THE ORGANIZATION'S GOVERNING POLICIES AND CONFLICT OF INTEREST POLICY ARE AVAILABLE TO THE PUBLIC ON ITS WEBSITE, WWW.CONNECTICUTCHILDRENS.ORG, OR BY REQUEST. THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST.

CORE FORM, PART VII AND SCHEDULE J

CORE FORM, PART VII AND SCHEDULE J REFLECT CERTAIN BOARD MEMBERS AND OFFICERS RECEIVING COMPENSATION AND BENEFITS FROM A RELATED ORGANIZATION.

PLEASE NOTE THIS REMUNERATION WAS FOR SERVICES RENDERED AS FULL-TIME EMPLOYEES OF A RELATED ORGANIZATION; NOT FOR SERVICES RENDERED AS A VOTING MEMBER OR OFFICER OF THE ORGANIZATION'S BOARD OF DIRECTORS.

CCMC CORPORATION

CORE FORM, PART VII, SECTION A, COLUMN B

THE ORGANIZATION IS AN AFFILIATE WITHIN CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM ("SYSTEM"). CERTAIN BOARD OF DIRECTOR MEMBERS AND OFFICERS LISTED ON CORE FORM, PART VII AND SCHEDULE J OF THIS FORM 990 MAY HOLD SIMILAR POSITIONS WITH BOTH THIS ORGANIZATION AND OTHER AFFILIATES WITHIN THE SYSTEM. THE HOURS SHOWN ON THIS FORM 990, FOR BOARD MEMBERS WHO RECEIVE NO COMPENSATION FOR SERVICES RENDERED IN A NON-BOARD CAPACITY, REPRESENT THE ESTIMATED HOURS DEVOTED PER WEEK FOR THIS ORGANIZATION. TO THE EXTENT THESE INDIVIDUALS SERVE AS A MEMBER OF THE BOARD OF DIRECTORS OF OTHER RELATED ORGANIZATIONS WITHIN THE SYSTEM, THEIR RESPECTIVE HOURS ARE APPROXIMATELY THE SAME AS REFLECTED ON CORE FORM, PART VII OF THIS FORM 990. THE HOURS REFLECTED CORE FORM, PART VII OF THIS FORM 990, FOR BOARD MEMBERS WHO RECEIVE COMPENSATION FOR SERVICES RENDERED IN A NON-BOARD CAPACITY, PAID OFFICERS, REFLECT TOTAL HOURS WORKED PER WEEK ON BEHALF OF THE SYSTEM; NOT SOLELY THIS ORGANIZATION.

CORE FORM, PART XII; QUESTION 2

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM ("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT CHILDREN'S"). AN INDEPENDENT CPA FIRM AUDITED THE CONSOLIDATED FINANCIAL STATEMENTS OF CCMC CORPORATION AND SUBSIDIARIES, FOR THE FISCAL YEARS ENDED SEPTEMBER 30, 2016 AND SEPTEMBER 30, 2015;

Page 2

Name of the organization CCMC CORPORATION

Employer identification number

RESPECTIVELY. THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS CONTAIN

CONSOLIDATING SCHEDULES ON AN ENTITY BY ENTITY BASIS. THE INDEPENDENT CPA

FIRM ISSUED AN UNQUALIFIED OPINION WITH RESPECT TO THE AUDITED

CONSOLIDATED FINANCIAL STATEMENTS.

CONNECTICUT CHILDREN'S MEDICAL CENTER'S FINANCE AND AUDIT COMMITTEE

ASSUMES RESPONSIBILITY FOR THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS

AND THE SELECTION OF AN INDEPENDENT AUDITOR.

ATTACHMENT 1

# FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

TO BENEFIT, PERFORM THE FUNCTIONS OF, CARRY OUT THE PURPOSES OF,

UPHOLD, PROMOTE AND FURTHER THE GOALS, WELFARE, PROGRAMS AND

ACTIVITIES OF CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT

CHILDREN'S") BY:

- (1) INITIATING, DEVELOPING, RECOMMENDING AND IMPLEMENTING GOALS AND PRIORITIES FOR NEW OR EXPANDED PROGRAMS FOR THE BENEFIT OF CONNECTICUT CHILDREN'S SERVICE TO THE COMMUNITY IT SERVES;
- (2) DEVELOPING, EVALUATING, MAINTAINING AND REVISING PLANS FOR EFFECTIVE CONDUCT OF PROGRAMS AND UTILIZATION OF FACILITIES OF CONNECTICUT CHILDREN'S AS COMMUNITY CIRCUMSTANCES WARRANT;
- (3) EXAMINING, EVALUATING AND MAKING RECOMMENDATIONS REGARDING NEEDS AND OPPORTUNITIES FOR ACQUISITION OF PROPERTIES OR CONSTRUCTION OF

CCMC CORPORATION

Name of the organization Employer identification number

ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

FACILITIES BY OR FOR THE USE OF CONNECTICUT CHILDREN'S;

- (4) PLANNING FOR ACQUISITION AND PLACEMENT OF NEW FACILITIES AND EQUIPMENT BY OR FOR THE USE OF CONNECTICUT CHILDREN'S; AND
- (5) CONDUCTING PUBLIC RELATIONS ACTIVITIES ON BEHALF OF CONNECTICUT CHILDREN'S, SOLICITING AND RECEIVING GIFTS AND GRANTS EARMARKED FOR THE EXCLUSIVE CHARITABLE PURPOSES OF CONNECTICUT CHILDREN'S.

PLEASE REFER TO THE ORGANIZATION'S COMMUNITY BENEFIT STATEMENT INCLUDED IN SCHEDULE O.

## **SCHEDULE R** (Form 990)

# **Related Organizations and Unrelated Partnerships**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990.

OMB No. 1545-0047 Open to Public Inspection

Employer identification number

Department of the Treasury Internal Revenue Service Name of the organization

▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

CCMC CORPORATION 22-2619876

(a) Name, address, and EIN (if applicable) of disregarded entity	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had Part II one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity		12(b)(13) rolled
						Yes	No
(1) CONNECTICUT CHILDREN'S MEDICAL CENTER 06-0646755							
282 WASHINGTON STREET HARTFORD, CT 06106	HLTHCARE SVCS	CT	501(C)(3)	HOSPITAL	CCMC CORP	X	
(2) CONNECTICUT CHILDREN'S MEDICAL CTR FDN 22-2619869							
282 WASHINGTON STREET HARTFORD, CT 06106	FUNDRAISING	CT	501(C)(3)	509(A)(1)	CCMC CORP	X	
(3) CCMC AFFILIATES, INC. 22-2619870							
282 WASHINGTON STREET HARTFORD, CT 06106	HLTHCARE SVCS	CT	501(C)(3)	509(A)(2)	CCMC CORP	X	
(4) CONNECTICUT CHILDREN'S SPECIALTY GROUP 06-1446900							
282 WASHINGTON STREET HARTFORD, CT 06106	HLTHCARE SVCS	CT	501(C)(3)	509(A)(2)	CT CHILDRENS		X
(5) CHILDREN'S FUND OF CONNECTICUT, INC. 06-1364513							
270 FARMINGTON AVENUE FARMINGTON, CT 06032	HLTHCARE SVCS	CT	501(C)(3)	509(A)(3)	CT CHILDRENS		X
(6) CHILDREN'S HEALTH & DEVEL. INSTITUTE INC 06-1504725							
270 FARMINGTON AVENUE FARMINGTON, CT 06032	HLTHCARE SVCS	CT	501(C)(3)	509(A)(1)	CFCT		X
(7) CAPITAL AREA HEALTH CONSORTIUM, INC. 51-0173264							
270 FARMINGTON AVENUE FARMINGTON, CT 06032	SUPPORT SVCS	CT	501(C)(3)	509(A)(3)	CT CHILDRENS		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2015

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a)  Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	allocations? a		amount in box 20 of Schedule K-1 (Form 1065)		eral or aging tner?	(k) Percentage ownership
		country)		000000000000000000000000000000000000000			Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)	_											
(5)	_											
(6)	-											
							-					
<u>(7)</u>	-											

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreigr country)		(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(1 controlle entity?
								Yes No
(1) CCMC VENTURES, INC. 22-261987	3							
282 WASHINGTON STREET HARTFORD, CT 06106	INACTIVE	CT	CCMC CORP	C CORP.	0.	0.	100.0000	х
(2) NEW ENGLAND PEDIATRICS INDEMNITY, LTD.								
50 CEDAR AVENUE HAMILTON, BERMUDA BD HM 11	FINANCIAL VEHICLE	BD	CCMC CORP	FOREIGN CORP.	0.	880,000.	100.0000	х
(3)								
(4)								
(5)								
(6)								
(7)								

Schedule R (Form 990) 2015

# Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

No	te. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.		Yes	No
	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
		1a	$\neg$	_
b		1b		X
С		1c		X
d	Loans or loan guarantees to or for related organization(s)	1d	Х	
е		1e	X	
f	Dividends from related organization(s).	1f		X
g		1g		X
	Purchase of assets from related organization(s)	1h		X
i	Exchange of assets with related organization(s)	1i		X
j	Lease of facilities, equipment, or other assets to related organization(s)	1j	$ \longrightarrow $	_X
k		1k		X
I		11		X
	, , , , , , , , , , , , , , , , , , ,	1m		X
	5	1n		X
0	Sharing of paid employees with related organization(s)	10	_X	_
	Reimbursement paid to related organization(s) for expenses		Х	
q	Reimbursement paid by related organization(s) for expenses	1q	_	X
			37	
r	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	1r	X	
S	Other transfer of cash or property from related organization(s)	1s	X	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	if the answer to any of the above is Tes, see the instructions for information on who must complete t	ilis ilile, ilicidaling cove	red relationships and trans-	action thresholds.
	(a)  Name of related organization	(b) Transaction type (a-s)	<b>(c)</b> Amount involved	(d) Method of determining amount involved
(1)	CONNECTICUT CHILDREN'S MEDICAL CENTER	0	84,993.	COST
(2)	CONNECTICUT CHILDREN'S MEDICAL CENTER	S	7,870,348.	COST
<u>(3)</u>	CONNECTICUT CHILDREN'S MEDICAL CENTER	R	9,212,678.	COST
<u>(4)</u>	CONNECTICUT CHILDREN'S MEDICAL CTR FDN	S	600,000.	COST
<u>(5)</u>	CONNECTICUT CHILDREN'S MEDICAL CTR FDN	R	847,537.	COST
<u>(6)</u>	CCMC AFFILIATES, INC.	S	750,000.	COST

Schedule R (Form 990) 2015 Page 3

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity			[	1a	
b	Gift, grant, or capital contribution to related organization(s)				1b	
С	Gift, grant, or capital contribution from related organization(s)				1c	
d	Loans or loan guarantees to or for related organization(s)				1d	
е	Loans or loan guarantees by related organization(s)				1e	
f	Dividends from related organization(s)			[	1f	
g	Sale of assets to related organization(s)				1g	
h	Purchase of assets from related organization(s)				1h	
i	Exchange of assets with related organization(s)				1i	
j	Lease of facilities, equipment, or other assets to related organization(s)				1j	
-						
k	Lease of facilities, equipment, or other assets from related organization(s)			[	1k	
1	Performance of services or membership or fundraising solicitations for related organization(s)				11	
m	Performance of services or membership or fundraising solicitations by related organization(s).				1m	
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)				1n	
0	Sharing of paid employees with related organization(s)				10	
р	Reimbursement paid to related organization(s) for expenses			[	1p	
q	Reimbursement paid by related organization(s) for expenses				1q	
r	Other transfer of cash or property to related organization(s)			[	1r	
s	Other transfer of cash or property from related organization(s)				1s	
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete this	s line, including cover	red relationships and transa	action thres	holds	
	(a)	(b)	(c)		(d)	
	Name of related organization	Transaction type (a-s)	Amount involved	Method o	of deter nt invol	
		377 (2. 3)				
1)	CCMC AFFILIATES, INC.	R	150,000.	COST		
2)						
3)						
4)						
5)						
6)						
SA			Sch	edule R (F	orm 9	90) 2015

Yes No

Schedule R (Form 990) 2015

# Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity  Co Legal domicile (state or foreign country)  (unrelated, excluded from tax under		(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership	
			sections 512-514)	Yes				Yes	No		Yes	No	1
1)													
(2)													
3)													
4)													
(5)													
(6)													
7)													
(8)													
9)													
10)													
11)													
12)													
13)													
14)													
15)													
16)								-				_	

Schedule R (Form 990) 2015 Page 5

#### Part VII **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

SCHEDULE R, PART V

THE ORGANIZATION IS THE TAX-EXEMPT PARENT ENTITY OF CCMC CORPORATION AND SUBSIDIARIES; A TAX-EXEMPT INTEGRATED HEALTHCARE DELIVERY SYSTEM ("SYSTEM") WHICH INCLUDES CONNECTICUT CHILDREN'S MEDICAL CENTER ("CONNECTICUT CHILDREN'S"). IN THE ORDINARY COURSE OF BUSINESS, CONNECTICUT CHILDREN'S MEDICAL CENTER; A RELATED INTERNAL REVENUE CODE SECTION 501(C)(3) TAX EXEMPT ORGANIZATION, MAY PAY EXPENSES OR TRANSFER FUNDS AMONGST AND FOR VARIOUS AFFILIATES. THESE RELATED PARTY TRANSACTIONS ARE RECORDED ON THE REVENUE/EXPENSE AND BALANCE SHEET STATEMENTS OF THIS ORGANIZATION AND ITS AFFILIATES. THESE ENTITIES WORK TOGETHER TO DELIVER HIGH QUALITY HEALTHCARE AND WELLNESS SERVICES TO THE COMMUNITIES IN WHICH THEY ARE SITUATED.