FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)			
LINE	DESCRIPTION	AFFILIATE INFORMATION			
A.	AFFILIATE NAME	GRIFFIN HEALTH SERVICES CORPORATION			
1	Affiliate Description	PARENT COMPANY			
2	Affiliate Description Affiliate type of service	Parent Corporation			
3	Tax Status	Not for Profit			
4	Street Address	130 DIVISION ST			
5	Town	Derby			
6	State	Connecticut			
7	Zip Code	06418 -			
8	CEO Name	PATRICK CHARMEL			
9	CEO Title	PRESIDENT, CHIEF EXECUTIVE OFFICER			
	CT Agent Name	PATRICK CHARMEL			
	CT Agent Company	Griffin Health Services Corp.			
	CT Agent Company Street Address	130 DIVISION ST,			
	CT Agent Town	Derby			
	CT Agent State	Connecticut			
15	CT Agent Zip Code	06418 -			
В.	AFFILIATE NAME	G.H. VENTURES, INC.			
В.	AFFILIATE NAME	G.H. VENTURES, INC.			
		FOR PROFIT ENTITY CARRIES OUT BIO MED, HOME CARE, SOUTHFORD MEDICAL CENTER,			
1	Affiliate Description	FAMILY HEALTHCARE AND OTHER HEALTH RELATED FUNCTIONS.			
2	Affiliate type of service	Real Estate			
3	Tax Status	For Profit			
4	Street Address	130 DIVISION ST			
5	Town	Derby			
6	State	Connecticut			
	Zip Code	06418 -			
	CEO Name	PATRICK CHARMEL			
	CEO Title	PRESIDENT, CHIEF EXECUTIVE OFFICER			
	CT Agent Name	PATRICK CHARMEL			
	CT Agent Company Street Address	G.H Ventures, Inc 130 DIVISION ST			
	CT Agent Company Street Address CT Agent Town	Derby			
	CT Agent Town CT Agent State				
15	CT Agent State CT Agent Zip Code	O6418 -			
1.0					
C.	AFFILIATE NAME	GRIFFIN FACULTY PRACTICE PLAN			
		A NOT-FOR-PROFIT ENTITY FOR THE PURPOSE OF PROVIDING MEDICAL SERVICES AND TO			
1	Affiliate Description	CHARGE FOR SERVICES PERFORMED BY PHYSICIANS AS SUPERVISORS OF INTERNS.			
2	Affiliate type of service	Physicians Services			
3	Tax Status	Not for Profit			
4	Street Address	130 DIVISION ST			
5	Town	Derby Connecticut			
6 7	State Zip Code	Connecticut 06418 -			
8	Zip Code CEO Name	PATRICK CHARMEL			
	CEO Title	CEO CEO			
	CT Agent Name	PATRICK CHARMEL			
	CT Agent Name CT Agent Company	Griffin Faculty Practice Plan			
<u> </u>	or rigorit company	Chimic acting a factor of factor			

REPORT 20 1 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
12	CT Agent Company Street Address	130 DIVISION ST,
13	CT Agent Town	Derby
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06418 -

REPORT 20 2 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)		
LINE	DESCRIPTION	AFFILIATE INFORMATION		
LINE	DESCRIPTION	AFFICIATE INFORMATION		
D.	AFFILIATE NAME	GRIFFIN HOSPITAL DEVELOPMENT FUND		
1	Affiliate Description	FUND RAISING ORGANIZATION FORN THE GRIFFIN HEALTH SERVICES.		
2	Affiliate type of service	Fund Raising/Management		
3	Tax Status	Not for Profit		
4	Street Address	130 DIVISION ST		
5	Town	erby		
6	State	Connecticut		
7	Zip Code	06418 -		
8	CEO Name	PATRICK CHARMEL		
9	CEO Title	PRESIDENT, CHIEF EXECUTIVE OFFICER		
	CT Agent Name	PATRICK CHARMEL		
11	CT Agent Company	Griffin Hospital Development Fund		
	CT Agent Company Street Address	130 DIVISION ST		
	CT Agent Town	Derby		
	CT Agent State	Connecticut		
15	CT Agent Zip Code	06418 -		
_	AFFILIATE MAME	CDIEFIN DUADMACY & CIET SUOD		
E.	AFFILIATE NAME	GRIFFIN PHARMACY & GIFT SHOP		
1	Affiliate Description	SELLING PHARMACEUTICALS AND GIFTS		
2	Affiliate type of service	Pharmacy		
3	Tax Status	Not for Profit		
4	Street Address	130 DIVISION ST		
5	Town	Derby		
6	State	Connecticut		
7	Zip Code	06418 -		
8	CEO Name	PATRICK CHARMEL		
9	CEO Title	CEO		
10	CT Agent Name	PATRICK CHARMEL		
11	CT Agent Company	Griffin Pharmacy & Gift Shop		
12	CT Agent Company Street Address	130 DIVISION ST,		
13	CT Agent Town	Derby		
	CT Agent State	Connecticut		
15	CT Agent Zip Code	06418 -		
F.	AFFILIATE NAME	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD		
		A FOR PROFIT OF CHORE CARTIVE INCURANCE COMPANY WHICH PROVIDES CERTAIN		
1	Affiliate Description	A FOR-PROFIT OFF-SHORE CAPTIVE INSURANCE COMPANY WHICH PROVIDES CERTAIN INSURANCE COVERAGE TO GHSC AND ITS SUBSIDIARIES.		
2	Affiliate type of service	Insurance		
3	Tax Status	For Profit		
4	Street Address	130 DIVISION ST		
5	Town	Derby		
6	State	Connecticut		
7	Zip Code	06418 -		
	CEO Name	PATRICK CHARMEL		
9	CEO Title	PRESIDENT, CHIEF EXECUTIVE OFFICER		
	CT Agent Name	PATRICK CHARMEL		
11	CT Agent Company	Healthcare Alliance Insurance Co LTD		
		Floatificate / illianoe modranoe de ETD		

REPORT 20 3 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)	
LINE	DESCRIPTION	AFFILIATE INFORMATION	
12	CT Agent Company Street Address	130 DIVISION ST	
13	CT Agent Town	Derby	
14	CT Agent State	Connecticut	
15	CT Agent Zip Code	06418 -	

REPORT 20 4 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

G. AFFILIATE NAME NAUGATUCK VALLEY WEIGHT LOSS LLC Naugatuck Valley Weight Loss Center, LLC (NVWLC) is a for-profit company with its principle place of business in Orange, CT. G.H. Ventures, inc. owns a 30% equity interest. NVWLC is not a subsidiary of G.H. Ventures, inc. but is being shown here to roll Affiliate byze of service For Profit Services (Specify) Town SHELTON Stell Town SHELTON State Connecticut GEO Name PATRICK CHARMEL VEDWARD KALCUST CT Agent Name Roc SERVICES COMPANY CT Agent Company Street Address Connecticut CT Agent Day Code NIVAL LLC (RWWLC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, inc. bots are agent presented sets at 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are agent presented sets at 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are agent presented sets at 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc. bots are sequely interest of sets at an 3%. NaVal. LLC is not a subsidiary of G.H. Ventures, inc	(1)	(2)	(3)		
G. AFFILIATE NAME NAUGATUCK VAILEY WEIGHT LOSS LLC Naugatuck Valley Weight Loss Center, LLC (NVWLC) is a for-profit company with its principle place of business in Orange, CT. GH. Ventures, Inc. owns a 30% equity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest. NVWLC is not a subsidiary of GH. Ventures, Loss. Uses a Say Seculity interest of less than 3%. NuVal, LLC in not a subsidiary of GH. Ventures, Loss at subsidiary of GH. Ventures, Loss at subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC in not a subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC in not a subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC is not a subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC is not a subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC is not a subsidiary of GH. Ventures, Loss at Say Seculity interest of less than 3%. NuVal, LLC is not a subsidiary of GH. Ventures, Loss at Say Seculity interest. NuVal LLC is not a subsidiary of GH. Ventures, Loss at Sa					
Naugatuck Valley Weight Loss Center, LLC (NWVLC) is a 10-profit company with its principle place of C.H. Ventures, inc. but is being shown here to rell for Profit services (Specify)	LINE	DESCRIPTION	AFFILIATE INFORMATION		
Naugatuck Valley Weight Loss Center, LLC (NWVLC) is a 10-profit company with its principle place of C.H. Ventures, inc. but is being shown here to rell for Profit services (Specify)					
Naugatuck Valley Weight Loss Center, LLC (NWVLC) is a 10-profit company with its principle place of C.H. Ventures, inc. but is being shown here to rell for Profit services (Specify)	G	AEEU IATE NAME	NATICATION VALLEY WEIGHT LOSS LLC		
business in Orange, CT. G.H. Ventures, Inc. owns a 30% equity interest. NVWLC is not a subsidiary of G.H. Ventures, Inc. but its being shown here to refl 2. Affiliate type of service For Profit Services (Specify) 3. Tas Status For Profit Services (Specify) 4. Street Address 330 BRIGGEPORT AVENUE 5. Town SHELTON 6. State Code 06484 - 7. Zip Code 06484 - 8. GEO Name PATRICK CHARMEL EDWARD KALOUST 9. GEO Title MANAGING MEMBER 10. CT Agent Company Street Address 18. SERVICES COMPANY 11. CT Agent Company Street Address 28. SERVICES COMPANY 12. CT Agent Town HARTFORD 13. CT Agent Town ANAGING MEMBER 14. OT Agent State Connecticut 15. CT Agent Zip Code 0619 - 16. Affiliate Description Portion Street	G.	AFFILIATE NAME			
Affiliate type of service For Profit Services (Specify)			business in Orange, CT. G.H. Ventures, Inc. owns a 30% equity interest. NVWLC is not a subsidiary of		
33 Tax Status					
Street Address					
5 Town					
6 State Connecticut 7 Zip Code 06494 - 8 CEO Name PATRICK CHARMEL EDWARD KALOUST 9 CEO Title MANAGING MEMBER 10 CT Agent Name RAC SERVICES COMPANY 11 CT Agent Company RAC SERVICES COMPANY 12 CT Agent Company Street Address 200 TRUMBULL ST 13 CT Agent Town HARTFORD 14 CT Agent State Connecticut 15 CT Agent Zip Code 06103 - 16 Affiliate Description Number Received State Profit Company with its principle place of business in Quincy, MA. G.H. Ventures, inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, inc. but is being shown here to reflect the own For Profit Stevies (Specify) 1 Affiliate Description Profit in Received Profi					
7. Zip Code					
CEO Name					
SECO Title					
11 CT Agent Company R&C SERVICES COMPANY					
12 CT Agent Town	10	CT Agent Name	R&C SERVICES COMPANY		
13	11	CT Agent Company	R&C SERVICES COMPANY		
1.1					
H. AFFILIATE NAME NUVAL, LLC NUVAL, LLC (NVVICC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, Inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. but is being shown here to reflect the own Affiliate type of service For Profit Services (Specify) Tax Status For Profit Street Address 1 Rex Drive Braintree State Massachusetts 7 Zip Code O2:184 - 8 CEO Name Nancy Modermott OCT Agent Name none designated CT Agent Company Street Address CT Agent Company Street Address CT Agent Company Street Address CT Agent State Massachusetts Street Address Tax Status Not for Profit Street Address Town Derby CT Agent Company Derby CT Agent Name CT Ag					
H. AFFILIATE NAME NUVAL, LLC NuVAL, LLC (NVWLC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, Inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc. holds are quite interest of less than 3%. NuVal, LLC is not a subsidiary of					
NuVal, LLC (NVWLC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, Inc. but is being shown here to reflect the own Affiliate Upse of service For Profit Services (Specify) Tax Status Not For Profit Services (Specify) Tax Status Not For Profit Service For Profit Services (Specify) Tax Status Not For Profit Service Profit Services (Specify) Tax Status Not For Profit Service Profit Services Status Not for Profit Service Profit Services P	15	CT Agent Zip Code	06103 -		
NuVal, LLC (NVWLC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, Inc. but is being shown here to reflect the own Affiliate Upse of service For Profit Services (Specify) Tax Status Not For Profit Services (Specify) Tax Status Not For Profit Service For Profit Services (Specify) Tax Status Not For Profit Service Profit Services (Specify) Tax Status Not For Profit Service Profit Services Status Not for Profit Service Profit Services P					
NuVal, LLC (NVWLC) is a for-profit company with its principle place of business in Quincy, MA. G.H. Ventures, Inc. but is being shown here to reflect the own Affiliate Upse of service For Profit Services (Specify) Tax Status Not For Profit Services (Specify) Tax Status Not For Profit Service For Profit Services (Specify) Tax Status Not For Profit Service Profit Services (Specify) Tax Status Not For Profit Service Profit Services Status Not for Profit Service Profit Services P	ш	AFEILIATE NAME	NUVAL LLC		
Affiliate Description Affiliate Description Affiliate Description Count is being shown here to reflect the own Tax Status For Profit Strave Address For Profit Rex Drive State Address For Profit Rex Drive State Assachusetts For Profit Rex Drive State Assachusetts For Profit Rex Drive State Assachusetts Zip Code O2184 - CEO Name Nancy Modermott President CT Agent Company Inone designated CT Agent Company They Company Street Address Rex Drive Tagent Town Braintree L AFFILIATE NAME PLANETREE INC Ventures, Inc., bolds an equity interest of less than 3%. NuVal, LLC is not a subsidiary of G.H. Ventures, Inc., but is being shown here to reflect the own For Profit Evervices (Specify) For Profit For Pr		ATTICIATE NAME	· · · · · · · · · · · · · · · · · · ·		
2 Affiliate type of service For Profit Services (Specify) 3 Tax Status For Profit 4 Street Address 1 Rex Drive 5 Town Braintree 6 State Massachusetts 7 Zip Code 02184 - 8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Detby					
3 Tax Status					
4 Street Address 1 Rex Drive 5 Town Braintree 6 State Massachusetts 7 Zip Code 02184 - 8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Nam					
5 Town Braintree 6 State Massachusetts 7 Zip Code 02184 - 8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10					
6 State Massachusetts 7 Zip Code 02184 - 8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
7 Zip Code 02184 - 8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent Zip Code 02184 - 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER					
8 CEO Name Nancy Mcdermott 9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 PATICK CHARMEL 9 PATICK CHARMEL 9 PATICK CHARMEL 9 CEO Title PATRICK CHARMEL	-				
9 CEO Title President 10 CT Agent Name none designated 11 CT Agent Company none designated 11 CT Agent Company Street Address 1 Rex Drive 12 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 1 AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 PATRICK CHARMEL 1 PRESIDENT, CHIEF EXECUTIVE OFFICER 9 CEO Title PATRICK CHARMEL					
11 CT Agent Company none designated 12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - 1. AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL			, ,		
12 CT Agent Company Street Address 1 Rex Drive 13 CT Agent Town Braintree 14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - I. AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL			none designated		
13 CT Agent Town 14 CT Agent State 15 CT Agent Zip Code 1. AFFILIATE NAME PLANETREE INC 1 Affiliate Description 2 Affiliate type of service 3 Tax Status 4 Street Address 130 DIVISION ST 5 Town 6 State 7 Zip Code 8 CEO Name 9 CEO Title 10 CT Agent Name Parintree Massachusetts PATIENT FOCUSED CARE PHILOSOPHY Other HealthCare Svcs(Specify) Not for Profit 4 Street Address 130 DIVISION ST Connecticut 7 Zip Code 96418 - 9 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name	11	CT Agent Company	none designated		
14 CT Agent State Massachusetts 15 CT Agent Zip Code 02184 - I. AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL			1 Rex Drive		
1. AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
I. AFFILIATE NAME PLANETREE INC 1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL	15	CT Agent Zip Code	UZ184 -		
1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
1 Affiliate Description PATIENT FOCUSED CARE PHILOSOPHY 2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL	1.	AFFII IATF NAME	PLANETREE INC		
2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL		ALL IEIGHE NAME			
2 Affiliate type of service Other HealthCare Svcs(Specify) 3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
3 Tax Status Not for Profit 4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
4 Street Address 130 DIVISION ST 5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL		• •			
5 Town Derby 6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
6 State Connecticut 7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
7 Zip Code 06418 - 8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
8 CEO Name PAT CHARMEL 9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
9 CEO Title PRESIDENT, CHIEF EXECUTIVE OFFICER 10 CT Agent Name PATRICK CHARMEL					
10 CT Agent Name PATRICK CHARMEL					
	-				
			Planetree		

REPORT 20 5 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)	
LINE	DESCRIPTION	AFFILIATE INFORMATION	
12	CT Agent Company Street Address	130 DIVISION ST	
13	CT Agent Town	Derby	
14	CT Agent State	Connecticut	
15	CT Agent Zip Code	06418 -	

REPORT 20 6 OF 28 9/15/2017,11:39 AM

FISCAL YEAR 2016

REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP AND CORPORATION RELATED TO THE HOSPITAL

(1)	(2)	(3)		
LINE	DESCRIPTION	AFFILIATE INFORMATION		
J.	AFFILIATE NAME	VALUE CARE ALLIANCE, LLC		
	ACCURATE CONTRACTOR OF THE CON	Value Care Alliance, LLC (VCA) is a for-profit company with its principle place of business in Derby, CT. Griffin Hospital is a partial equity owner of VCA. VCA is not a subsidiary of Griffin Hospital but is being		
	Affiliate Description	shown here to reflect the ownership int		
3	Affiliate type of service	Affilate Support Services For Profit		
<u> </u>	Tax Status	r Protit 0 Division Street		
5	Street Address Town			
	State	Derby Connecticut		
		04618 -		
	Zip Code CEO Name	Jeanne O'Brien		
<u> </u>	CEO Title	Chief Executive Officer		
	CT Agent Name	Value Care Alliance, LLC		
	CT Agent Name CT Agent Company	Patrick Charmel		
		130 Division Street		
_	CT Agent Company Street Address CT Agent Town	Derby		
		,		
	CT Agent State	Connecticut 06418 -		
15	CT Agent Zip Code	00410 -		

^{*} P.O. BOX IS UNACCEPTABLE WITHOUT A

STREET ADDRESS FOR EACH AGENT COMPANY

REPORT 20 7 OF 28 9/15/2017,11:39 AM

REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS

(1)	(2)	(3)	(4)
	, ,	FUND DESCRIPTION /	BALANCE AS OF
LINE	AFFILIATE NAME	FUND PURPOSE	9/30/2016
Α.	GRIFFIN HOSPITAL		
1		Unrestricted	(\$38,610,232)
2		Temporarily Restricted by Donor	\$2,732,629
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$5,742,115
5		Intercompany Eliminations	(\$7,834,670)
		Total:	(\$37,970,158)
В.	GRIFFIN HEALTH SERVICES CORPORATION		24.224.222
1		Unrestricted	\$4,861,202
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0 \$0
<u>4</u> 5		Permanently Restricted by Donor Intercompany Eliminations	(\$1,350,156)
<u> </u>		Total:	\$3,511,046
		i Otal.	\$3,311,U46
	CH VENTURES INC		
C .	G.H. VENTURES, INC.	Unrestricted	\$698,097
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$698,097
		Total.	4000,007
D.	GRIFFIN FACULTY PRACTICE PLAN		
1		Unrestricted	\$1,895,137
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	(\$1,895,137)
		Total:	\$0
Ε.	GRIFFIN HOSPITAL DEVELOPMENT FUND		
1		Unrestricted	\$4,266,668
2		Temporarily Restricted by Donor	\$1,825,386
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$1,742,616
5		Intercompany Eliminations	\$0
		Total:	\$7,834,670
F.	GRIFFIN PHARMACY & GIFT SHOP		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0 \$0
5		Intercompany Eliminations	\$0
		Total:	\$0
	HEALTHOADE ALLIANOE INOURANCE COMPANY: TO		
G.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD		A
1		Unrestricted	\$482,859
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0

REPORT 5 8 OF 26 9/15/2017, 11:39 AM

REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS

(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME	FUND DESCRIPTION / FUND PURPOSE	BALANCE AS OF 9/30/2016
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$482,859

REPORT 5 9 OF 26 9/15/2017, 11:39 AM

REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS

(1)	(2)	(3)	(4)
	AFFILIATE NAME	FUND DESCRIPTION / FUND PURPOSE	BALANCE AS OF 9/30/2016
Н.	NAUGATUCK VALLEY WEIGHT LOSS LLC		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$0
1.	NUVAL, LLC		
1	NOVAL, LLC	Llaractrictad	\$0
2		Unrestricted Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$0
		Total.	ΨΟ
J.	PLANETREE INC		
1		Unrestricted	\$197,838
2		Temporarily Restricted by Donor	\$32,497
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$230,335
Κ.	VALUE CARE ALLIANCE, LLC		
1	TALUE OAKE ALLIANOL, LLO	Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0 \$0
١Ť		Total:	\$0
			4.7
	Total of all Affiliates (before Intercompany Eliminations)	Fund Balance:	(\$14,133,188)
	Intercompany Eliminations		(\$11,079,963)
	Total of all Affiliates	Fund Balance:	(\$25,213,151)

REPORT 5 10 OF 26 9/15/2017, 11:39 AM

REPORT 6 - TRANSACTIONS BETWEEN THE HOSPITAL AND AFFILIATES OR RELATED CORPORATIONS

(1)	(2)	(3)	(4)	(5)
LINE	AFFILIATE NAME	DESCRIPTION OF TRANSFER	DATE	TRANSFER TO / FROM HOSPITAL
_	ODIFFIN LIFALTH OFFINIONS CORPORATION			
Α.	GRIFFIN HEALTH SERVICES CORPORATION			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	(\$276,635)
1		Transfer of Funds	09/30/2016	\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	(\$276,635)
В.	G.H. VENTURES, INC.			
В.	G.H. VENTORES, INC.			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$1,979,739
1		Transfer of Funds	09/30/2016	(\$152,907)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$1,826,832
C.	GRIFFIN FACULTY PRACTICE PLAN			
<u> </u>	ONIT IN TAGELL FRAGINGE LEAV			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$127,790
1		Transfer of Funds	09/30/2016	(\$127,790)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
D.	GRIFFIN HOSPITAL DEVELOPMENT FUND			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$21,117
1		Transfer of Funds	09/30/2016	\$14,874
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$35,991
E.	GRIFFIN PHARMACY & GIFT SHOP			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$85,317
1		Transfer of Funds	09/30/2016	\$93,399
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$178,716
F.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$2,744,414
1		Transfer of Funds	09/30/2016	\$1,535,098
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$4,279,512
G.	NAUGATUCK VALLEY WEIGHT LOSS LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0

REPORT 6 - TRANSACTIONS BETWEEN THE HOSPITAL AND AFFILIATES OR RELATED CORPORATIONS

(1)	(2)	(3)	(4)	(5)
				TRANSFER TO / FROM
LINE	AFFILIATE NAME	DESCRIPTION OF TRANSFER	DATE	HOSPITAL
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
Н.	NUVAL, LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
I.	PLANETREE INC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$1,518,117
1		TANSFER OF FUNDS	09/30/2016	\$2,822
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$1,520,939
J.	VALUE CARE ALLIANCE, LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
			Grand Total:	\$7,565,355

REPORT 6 12 OF 25 9/15/2017, 11:39 AM

REPORT 6A - TRANSACTIONS BETWEEN HOSPITAL AFFILIATES OR RELATED CORPORATIONS

(1)	(2)	(3)	(4)	(5)	(6)
	AFFILIATE TRANSFERRING FUNDS	AFFILIATE DECENTION FUNDS	DESCRIPTION OF TRANSFER	D.4.T.E	AMOUNT
LINE	AFFILIATE TRANSFERRING FUNDS	AFFILIATE RECEIVING FUNDS	DESCRIPTION OF TRANSFER Beginning Unconsolidated	DATE	AMOUNT
			Intercompany Balance	10/01/2015	\$0
Α.	GRIFFIN HEALTH SERVICES CORPORATION		intercompany Balance	10/01/2010	Ψυ
			Nothing to Report		\$0
			Total:	9/30/2016	\$0
В.	G.H. VENTURES, INC.		Nothing to Report		\$0
			Total:	9/30/2016	\$0 \$0
			Total	3/00/2010	ΨΟ
C.	GRIFFIN FACULTY PRACTICE PLAN				
			Nothing to Report		\$0
			Total:	9/30/2016	\$0
	GRIFFIN HOSPITAL DEVELOPMENT FUND				
D.	GRIFFIN HOSPITAL DEVELOPMENT FUND		Nothing to Report		\$0
			Total:	9/30/2016	\$0
					4.5
E.	GRIFFIN PHARMACY & GIFT SHOP				
			Nothing to Report		\$0
			Total:	9/30/2016	\$0
F.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD				
F.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD		Nothing to Report		\$0
-			Total:	9/30/2016	\$ 0
					·
G.	NAUGATUCK VALLEY WEIGHT LOSS LLC				
			Nothing to Report		\$0
			Total:	9/30/2016	\$0
Н.	NUVAL, LLC				
- "-	MOTAL, LLO		Nothing to Report		\$0
			Total:	9/30/2016	\$0
I.	PLANETREE INC				
			Nothing to Report	0/00/0040	\$0
			Total:	9/30/2016	\$0
J.	VALUE CARE ALLIANCE, LLC				
	THE CHILD THE PROPERTY OF THE		Nothing to Report		\$0
			Total:	9/30/2016	\$0
			Ending Unconsolidated		
			Intercompany Balance	9/30/2016	\$0

REPORT 7- EXPENDITURES BY AFFILIATES / RELATED CORPORATIONS FOR THE BENEFIT OF THE HOSPITAL

(1)	(2)		(3)	(4)
LINE	AFFILIATE NAME & DESCRIPTION OF EXPENDITURE		AMOUNT	DATE
	DECOMI HON OF EXPENDITORE		7.11100111	5/(12
Α.	GRIFFIN HEALTH SERVICES CORPORATION			
0	Nothing to Report		\$0	
		Total:	\$0	9/30/2016
B.	G.H. VENTURES, INC.		A 0	
-	Nothing to Report	Total:	\$0 \$0	9/30/2016
		rotan	40	3/30/2010
C.	GRIFFIN FACULTY PRACTICE PLAN			
0	Nothing to Report		\$0	
		Total:	\$0	9/30/2016
D.	GRIFFIN HOSPITAL DEVELOPMENT FUND			
0	Nothing to Report	Total:	\$0 \$0	0/20/2016
		i Otai.	\$0	9/30/2016
E.	GRIFFIN PHARMACY & GIFT SHOP			
0	Nothing to Report		\$0	
		Total:	\$0	9/30/2016
F.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD			
0	Nothing to Report	Total:	\$0	0/00/0040
		iotai:	\$0	9/30/2016
G.	NAUGATUCK VALLEY WEIGHT LOSS LLC			
0	Nothing to Report		\$0	
	3 4 4 4	Total:	\$0	9/30/2016
H.	NUVAL, LLC			
0	Nothing to Report	=	\$0	
		Total:	\$0	9/30/2016
	PLANETREE INC			
1. 0	Nothing to Report		\$0	
Ť	Trouming to Proport	Total:	\$0	9/30/2016
J.	VALUE CARE ALLIANCE, LLC			
0	Nothing to Report		\$0	
		Total:	\$0	9/30/2016
		Grand Total:	\$0	9/30/2016
		Grand Total:	\$0	9/30/2016

REPORT 7 14 OF 25 9/15/2017, 11:39 AM

REPORT 8 - HOSPITAL COMMITMENTS / ENDORSEMENTS FOR THE BENEFIT OF AFFILIATES / RELATED CORPORATIONS

(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME & DESCRIPTION OF THE COMMITMENT AND/OR ENDORSEMENT	AMOUNT	TERM IN YEARS
	ODIFFINALE ALTILOFD. (1050 CODDODATION)		
A.	GRIFFIN HEALTH SERVICES CORPORATION Nothing to Report	\$0	0
-	Total:	\$ 0	0
	TOTALI	40	
B.	G.H. VENTURES, INC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
C.	GRIFFIN FACULTY PRACTICE PLAN		
0	Nothing to Report	\$0	0
	Total:	\$0	
D.	GRIFFIN HOSPITAL DEVELOPMENT FUND		
0	Nothing to Report	\$0	0
	Total:	\$0	
<u>E.</u>	GRIFFIN PHARMACY & GIFT SHOP	0.0	
0	Nothing to Report	\$0	0
	Total:	\$0	
_			
F .	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD Nothing to Report	\$0	0
-	Total:	\$0 \$0	Ü
	Total.	Ψ0	
G.	NAUGATUCK VALLEY WEIGHT LOSS LLC		
0.	Nothing to Report	\$0	0
	Total:	\$0	
		, .	
Н.	NUVAL, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
I.	PLANETREE INC		
0	Nothing to Report	\$0	0
	Total:	\$0	
J.	VALUE CARE ALLIANCE, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
	Grand Total:	\$0	

REPORT 16 - DONATIONS AND FUNDS RESTRICTED FOR INDIGENT CARE AND FREE BEDS

(1)	(2)	(3)	(4)	(5)	(6)
		FY 2015	FY 2016		
LINE	DESCRIPTION	ACTUAL	ACTUAL	AMOUNT DIFFERENCE	% DIFFERENCE
Α.	Indigent Care				
	Beginning Balance	\$0.00	\$0.00		0%
1	Donations	\$0.00	\$0.00		0%
2	Income	\$0.00	\$0.00		0%
3	Expenditures	\$0.00	\$0.00	-	0%
4	Unrealized Gains and Losses	\$0.00	\$0.00	\$0.00	0%
	Ending Balance	\$0.00	\$0.00		0%
5	Projected Interest Income	\$0.00	\$0.00	\$0.00	0%
В.	Free Beds				
	Beginning Balance	\$237,289.48	\$228,150.31	(\$9,139.17)	-4%
1	Donations	\$0.00	\$0.00	\$0.00	0%
2	Income	\$6,150.74	\$5,713.14	(\$437.60)	-7%
3	Expenditures	\$3,439.00	\$3,990.00		16%
4	Unrealized Gains and Losses	(\$11,850.91)	\$14,084.27	\$25,935.18	-219%
	Ending Balance	\$228,150.31	\$243,957.72		7%
5	Projected Interest Income	\$7,713.00	\$5,713.00	(\$2,000.00)	-26%
С.	Other				
	Beginning Balance	\$0.00	\$0.00	\$0.00	0%
1	Donations	\$0.00	\$0.00	\$0.00	0%
2	Income	\$0.00	\$0.00	\$0.00	0%
3	Expenditures	\$0.00	\$0.00		0%
4	Unrealized Gains and Losses	\$0.00	\$0.00	\$0.00	0%
	Ending Balance	\$0.00	\$0.00	\$0.00	0%
5	Projected Interest Income	\$0.00	\$0.00	\$0.00	0%

REPORT 16 16 OF 25 9/15/2017, 11:39 AM

	CDIFFINITIONNEL						
	GRIFFIN HOSPITAL ANNUAL REPORTING						
	FISCAL YEAR 2016						
REPORT 1	7A - HOSPITAL BED FUNDS HELD OR ADMINISTERE	D BY THE HOSPITAL					
A. Darling And M							
A. Patient Activity							
(1)	(2)	(3)					
<u>Patient</u>	Name of Hospital Bed Fund (FULL NAME)	Amount					
 Number of Applications for Ho 	ospital Bed Funds	1					
2. A. Number of Patients receiving	Hospital Bed Fund Grants	1					
2. B. The Actual Total Dollar Amoun	nt provided to all patients from Hospital Bed Funds:	\$3,990.00					
1	eno fund	\$3,990.00					
	Grand Total	\$3,990.00					

GRIFFIN HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 17B - HOSPITAL BED FUNDS HELD OR ADMINISTERED BY THE HOSPITAL B. BED FUND ACTIVITY (1) (2) (3) (4) (5) (6) **FMV of Principal Actual Earnings** Earnings Reinvested Earnings Available Name of Hospital Bed Fund Line Fair Market Value of the Principal of each individual Hospital Bed Fund, or the Principal attributable to each Hospital Bed (3) Total Actual Earnings for each Hospital Bed Fund or the Earnings attributable to each Hospital Bed Fund. (4) (5) Actual Dollar Amount of Earnings reinvested as Principal, if any. Actual Dollar Amount of Earnings available for Patient Care. (6) pine trust \$56,739.00 \$7,450.00 \$3,460.00 \$33,488.00 eno fund \$91,607.00 \$8,968.00 \$7,363.00 \$100,432.00 **Total Bed Funds:** \$148,346.00 \$16,418.00 \$10,823.00 \$133,920.00

REPORT 17B FUND ACTIVITY 18 OF 25 9/15/2017, 11:39 AM

REPORT 18 - HOSPITAL COLLECTION PLACEMENT POLICIES AND COLLECTION AGENT INFORMATION

(1)	(2)	(3)
LINE	DESCRIPTION	COLLECTION INFORMATION
I.	GENERAL COLLECTION PROCESSES AND PROCEDURES	
A.	Hospital's processes and policies for assigning a debt to a Collection Agent	After the third attempt to collect on a self pay account, If no payment is made the account will be referred to an outside collection agency. The data mailer includes all free care and uninsurred information which is made available at Griffin Hospital.
B.	Hospital's processes and policies for compensating a Collection Agent for services rendered	currenlty have two active outide collection agencies. The contracts stipulate agency fees. The compensation paid are expensed to a collection fee line item.
C.	Total Recovery Rate on accounts assigned (excluding Medicare accounts) to Collection Agents	22.65%
II.	SPECIFIC COLLECTION AGENT INFORMATION	
A	Collection Agent	
1	Collection Agent Name	OUTSOURCE GROUP- PARALLON
2	Collection Agent Type	Collection Agency
	Related / Not Related Entity	Not Related
4	If the Hospital follows the same processes and policies described in Section I, for assigning debt with this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	After the third attempt to collect on a self pay account, If no payment is made the account will be referred to an outside collection agency. The data mailer includes all free care and uninsurred information which is made available at Griffin Hospital.
5	If the Hospital follows the same processes and policies described in Section I, for compensating this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	currenlty have two active outide collection agencies. The contracts stipulate agency fees. The compensation paid are expensed to a collection fee line item.
6	Recovery Rate on Accounts Assigned (excluding Medicare accounts) to Collection Agent.	15.70%
	Collection Amont	
<u>B</u>	Collection Agent Collection Agent Name	AMERICAN ADJUSTMENT BUREAU
<u> </u>	Poliection Agent Name	AWENICAN ADJUSTIMENT DUKEAU

REPORT 18 19 OF 25 9/15/2017,11:39 AM

REPORT 18 - HOSPITAL COLLECTION PLACEMENT POLICIES AND COLLECTION AGENT INFORMATION

(1)	(2)	(3)
LINE	DESCRIPTION	COLLECTION INFORMATION
2	Collection Agent Type	Collection Agency
	Related / Not Related Entity	Not Related
	If the Hospital follows the same processes and policies described in Section I, for assigning debt with this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	After the third attempt to collect on a self pay account, If no payment is made the account will be referred to an outside collection agency. The data mailer includes
	If the Hospital follows the same processes and policies described in Section I, for compensating this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	all free care and uninsurred information which is made available at Griffin Hospital. currenlty have two active outide collection agencies. The contracts stipulate agency fees. The compensation paid are expensed to a collection fee line item.
6	Recovery Rate on Accounts Assigned (excluding Medicare accounts) to Collection Agent.	29.59%

REPORT 18 20 OF 25 9/15/2017,11:39 AM

REPORT 19 - SALARIES AND FRINGE BENEFITS OF THE TEN HIGHEST PAID HOSPITAL EMPLOYEES

LINE	POSITION TITLE	EMPLOYEE NAME	SALARY	FRINGE BENEFITS	TOTAL
1.	CHIEF EXECUTIVE OFFICER	Charmel, Patrick	\$555,354	\$25,388	\$580,742
					•
2.	CHIEF FINANCIAL OFFICER	ONeill, Mark	\$311,412	\$7,428	\$318,840
3.	CHIEF, DEPARTMENT OF PSYCHIATRY	Zigun, Benjamin J.	\$268,961	\$22,418	\$291,379
4.	CHIEF, PULMONARY DISEASE	Dobular, Kenneth	\$283,394	\$6,277	\$289,671
5.	VP ANCILLARY SERVICES	Deegan, Margaret	\$238,752	\$24,452	\$263,204
6.	PSYCHIATRIC PHYSICIAN	Halstead, Edward G.	\$236,638	\$24,433	\$261,071
7.	VICE PRESIDENT, NURSING	Stumpo, Barbara J.	\$229,794	\$24,472	\$254,266
8.	VP, ACCOUNTABLE CARE	Liu, Todd	\$217,295	\$22,953	\$240,248
9.	PSYCHIATRIC PHYSICIAN	Boran, M.D., Mihaela	\$210,872	\$23,040	\$233,912
10.	VP PATIENT SAFETY	Martin, Kathleen	\$187,233	\$24,223	\$211,456
		Grand Total:	\$2,739,705	\$205,084	\$2,944,789

REPORT 19 21 OF 25 9/15/2017, 11:39 AM

GRIFFIN HEALTH SERVICES CORPORATION ANNUAL REPORTING FISCAL YEAR 2016

REPORT 19B - SALARIES AND FRINGE BENEFITS OF THE TEN HIGHEST PAID HEALTH SYSTEM EMPLOYEES

LINE	POSITION TITLE	EMPLOYEE NAME AND COMPANY	SALARY	FRINGE BENEFITS	TOTAL
1.	PHYSICIAN GASTROENTEROLOGY	Simon, Jonathan T Griffin Hospital Practice Plan	\$624,859	\$27,046	\$651,905
2.	CHIEF EXECUTIVE OFFICER	Charmel, Patrick - Griffin Hospital	\$555,354	\$25,388	\$580,742
3.	PHYSICIAN GASTROENTEROLOGY	Dreznick, Jeffrey - Griffin Hospital Practice Plan	\$468,185	\$10,518	\$478,703
4.	PHYSICIAN UROLOGY	Camilleri Jr M.D., Joseph A Griffin Hospital Practice Plan	\$390,657	\$26,173	\$416,830
5.	V.P. MEDICAL AFFAIRS	Browne M.D., Frederick A Griffin Hospital Practice Plan	\$379,687	\$24,073	\$403,760
6.	PHYSICIAN SURGERY	Soto, III, Leland J Griffin Hospital Practice Plan	\$318,450	\$27,709	\$346,159
7.	PHYSICIAN CHIEF, SURGERY	Salzano Jr., Richard P Griffin Hospital Practice Plan	\$313,611	\$27,045	\$340,656
8.	PHYSICIAN PRIMARY CARE	Mathias, Elliot - Griffin Hospital Practice Plan	\$325,733	\$10,067	\$335,800
9.	PHYSICIAN SURGERY	Barajas, Denise - Griffin Hospital Practice Plan	\$296,076	\$26,013	\$322,089
10.	PROGRAM DIRECTOR, PREVENTATIVE MEDICINE	Nawaz, Haq - Griffin Hospital Pracice Plan	\$294,138	\$26,073	\$320,211
		Grand Total:	\$3,966,750	\$230,105	\$4,196,855

REPORT 19B 22 OF 25 9/15/2017, 11:39 AM

REPORT 19C - FINANCIAL GAINS REALIZED FROM A TRANSFER OF OWNERSHIP CON

				SEVERANCE	STOCK OFFERING	OTHER FINANCIAL	
LINE	NAME	POSITION TYPE	SALARY	PAYMENT	VALUE	GAIN	TOTAL
1.	NA		\$0	\$0	\$0	\$0	\$0
	NA		\$0	\$0 \$0		\$0	\$0
	NA		\$0	\$0 \$0		\$0	\$0
4.			\$0	\$0		\$0	\$0
5.			\$0	\$0		\$0	\$0
6.			\$0	\$0		\$0	\$0
7.			\$0	\$0		\$0	\$0
8.			\$0	\$0	\$0	\$0	\$0
9.			\$0	\$0	\$0	\$0	\$0
10.			\$0	\$0	\$0	\$0	\$0
11.			\$0	\$0		\$0	\$0
12.			\$0	\$0		\$0	\$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0
13.			\$0	\$0		\$0	\$0
14.			\$0	\$0		\$0	\$0
15.			\$0	\$0		\$0	\$0
16.			\$0	\$0		\$0	\$0 \$0 \$0 \$0
17.			\$0	\$0		\$0	\$0
18.			\$0	\$0		\$0	\$0
19.			\$0	\$0		\$0	\$0
20.			\$0	\$0		\$0	\$0
21.			\$0	\$0		\$0	\$0
22.			\$0	\$0		\$0	\$0
23.			\$0	\$0		\$0	\$0
24.			\$0	\$0		\$0	\$0
25.			\$0	\$0		\$0	\$0 \$0 \$0 \$0 \$0 \$0
26.			\$0	\$0		\$0	\$0
27.			\$0	\$0		\$0	\$0 \$0 \$0 \$0
28.			\$0	\$0		\$0	\$0
29.			\$0	\$0		\$0	\$0
30.			\$0	\$0		\$0	\$0
31.			\$0	\$0		\$0	\$0
32.			\$0	\$0		\$0	\$0
33.			\$0	\$0		\$0	\$0 \$0 \$0 \$0
34.			\$0	\$0		\$0	\$0
35.			\$0	\$0	\$0	\$0	\$0

REPORT 19C 23 OF 25 9/15/2017, 11:39 AM

REPORT 19C - FINANCIAL GAINS REALIZED FROM A TRANSFER OF OWNERSHIP CON

LINE	NAME	POSITION TYPE	SALARY	SEVERANCE PAYMENT	STOCK OFFERING VALUE	OTHER FINANCIAL GAIN	TOTAL
36.			\$0	\$0	\$0	\$0	\$0
37.			\$0 \$0	\$0 \$0	\$0	\$0	\$0
38.			\$0	\$0	\$0	\$0	\$0
39.			\$0	\$0	\$0	\$0	\$0
40.			\$0	\$0	\$0	\$0	\$0
41.			\$0	\$0	\$0	\$0	\$0
42.			\$0	\$0	\$0	\$0	\$0
43.			\$0	\$0	\$0	\$0	\$0
44.			\$0	\$0	\$0	\$0	\$0
45.			\$0	\$0	\$0	\$0	\$0
46.			\$0	\$0	\$0	\$0	\$0
47.			\$0	\$0	\$0	\$0	\$0
48.			\$0	\$0	\$0	\$0	\$0
49.			\$0	\$0	\$0	\$0	\$0 \$0
50.			\$0	\$0	\$0	\$0	\$0
		Grand Total:	\$0	\$0	\$0	\$0	\$0

REPORT 21- HOSPITAL SALARIES AND FRINGE BENEFITS

PAID BY JOINT VENTURES, AFFILIATES AND RELATED CORPORATIONS

(1)	(2)	(3)	(4)	(5)
		SALARIES	FRINGE	
		(Directly or	BENEFITS ^A (DirectI	
LINE	DESCRIPTION	indirectly) ^c	y or Indirectly) ^C	TOTAL
		***	, , , ,	
Α.	GRIFFIN HEALTH SERVICES CORPORATION			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
В.	G.H. VENTURES, INC.	* -		*-
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
С.	GRIFFIN FACULTY PRACTICE PLAN			
	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
1 2	Paid by the Entity Listed Above to Hospital Employees(b) Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0 \$0
	Paid by the Hospital to Employees of the Entity Listed Above	φυ	φυ	ΦΟ
D.	GRIFFIN HOSPITAL DEVELOPMENT FUND			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
_		<u> </u>		·
Ε.	GRIFFIN PHARMACY & GIFT SHOP			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
F.	HEALTHCARE ALLIANCE INSURANCE COMPANY LTD			
<u>г.</u> 1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Entity Listed Above to Hospital Employees(b) Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0 \$0
	and by the Hospital to Employees of the Emity Elsted Above	ΨΟ	ΨΟ	ΨΟ
G.	NAUGATUCK VALLEY WEIGHT LOSS LLC			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
				·
Η.	NUVAL, LLC			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
-				
1.	PLANETREE INC			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	<u>\$0</u>
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
J .	VALUE CARE ALLIANCE, LLC			
J . 1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0 \$0	\$0	\$0 \$0
	i aid by the Hospital to Employees of the Entity Listed Above	ψυ	ΨΟ	ΨΟ

For each entity listed on Report 20, complete Report 21.

REPORT 21 25 OF 25 9/15/2017,11:39 AM

A - Fringe benefits shall represent the value of all forms of compensation as described in Section 19a-643-206-(b)(21), including the fair market value where appropriate.

B - A hospital employee is anyone who provides a service which incurs an expense for the hospital.

C - Indirect payments include but are not limited to payments made to related entities.