ACUTE CARE

SECTION 2 CHAPTER 3 ACUTE CARE

3.0 ACUTE CARE

Acute care is a branch of health care where a patient is treated for a severe injury or episode of illness, an urgent medical condition, or during recovery from surgery and is typically of a short duration. Acute care may require an emergency department visit, a hospital stay or treatment in an ambulatory surgery center, diagnostic services, surgery, or follow-up outpatient community care.

3.1 ACUTE CARE HOSPITALS

According to Connecticut General Statutes (CGS), "hospital" means an establishment for the lodging, care and treatment of persons suffering from disease or other abnormal physical or mental conditions and includes inpatient psychiatric services in general hospitals (19a-490(b)). According to Connecticut Public Health Code (PHC) that regulates hospitals, a General Hospital is defined as a short-term hospital that has facilities, medical staff and all necessary personnel to provide diagnosis, care and treatment of a wide range of acute conditions, including injuries (19-13-D3); a Children's General Hospital is a short-term hospital having facilities, medical staff and all necessary personnel to provide diagnosis, care and treatment of a wide range of acute conditions, including injuries (19-13-D3); a children's General Hospital is a short-term hospital having facilities, medical staff and all necessary personnel to provide diagnosis, care and treatment of a wide range of acute conditions among children, including injuries (19-13-D4).

Connecticut's 30 acute care hospitals provide a wide range of services from basic community level medical care to treatment of serious, complex medical illnesses (see Inventory Table 3 for a general list of services provided by individual hospital). Of the hospitals, 29 are licensed as a "General Hospital" and one, Connecticut Children's Medical Center (CCMC) is licensed as a "Children's General Hospital."⁴⁸ The use of the terms "hospital" or "acute care hospitals" throughout this section refers to the 29 general hospitals and one children's general hospital. All but one of the state's hospitals currently are not-for-profit; however the number of for-profit hospitals may increase as the result of proposed hospital consolidations. All of Connecticut's hospitals provide services for Medicare and Medicaid patients and have a comprehensive free care policy. Federal law mandates that hospitals must provide treatment for all patients, regardless of ability to pay.⁴⁹

The vast majority of hospitals are located in the central or southwestern part of the state (Figure 3.1). Hospital size and setting differ greatly throughout the state and range from small community hospitals in rural locations to large urban-based hospitals providing a wide range of specialty care using advanced technology.

Using licensed beds as an indicator of size, hospitals range from a relatively small, rural, 94-bed facility to a 1,008 bed, large, urban-setting campus (see Inventory Table 2 for a complete listing of hospitals).



⁴⁸In addition to CCMC, Yale-New-Haven Hospital operates a "Children's Hospital" within its General Hospital license.

⁴⁹Congress enacted the Emergency Medical Treatment & Labor Act (EMTALA) in 1986 to ensure public access to emergency services regardless of ability to pay. Section 1867 of the Social Security Act requires that Medicare-participating hospitals that provide emergency services conduct a medical screening examination (MSE) when a request is made for examination or treatment for an emergency medical condition (EMC), including active labor, regardless of an individual's ability to pay. Hospitals are then required to provide stabilizing treatment for patients with EMCs. If a hospital is unable to stabilize a patient or if the patient requests a transfer, then an appropriate transfer should be made.

Figure 3.1: Acute Care Hospitals in Connecticut and Locations



Prepared May 2012, DPH OHCA Note: CT Children's Medical Center is licensed as a children's hospital and Yale-New Haven Hospital operates a children's hospital department.within its General Hospital license.

Source: DPH Licensure Division



3.1.1 ACUTE CARE HOSPITAL UTILIZATION

In FY 2010, Connecticut hospitals treated and discharged approximately 426,000 patients. These patients received a variety of inpatient services that are related to child birth, cardiac care, respiratory issues, general medicine, women's health and other services. These inpatient services accounted for more than two million patient days. Although inpatient care continues to serve as the bedrock for acute care, more effective medicines, improved procedures and follow-up care, and advanced technology have facilitated the growing trend in which acute care is delivered outside of traditional hospital settings. Nationally, inpatient usage has declined while outpatient utilization has increased. Similarly, the number of discharges and patient days in

Connecticut from FY 2008 to FY 2011 also declined. Existing utilization numbers (Table 3.1) combined with expected demographic changes in Connecticut's population, suggest that future demand for inpatient services will remain steady for the foreseeable future. Hospitals will continue to adjust their business model and health service offerings to address outpatient care trends to remain competitive. Many hospitals currently offer or have partnered with additional providers to deliver a variety of outpatient services at hospital facilities or near hospital campuses.

A complete list of acute care hospitals is given in Inventory Table 2.

| | | Disch | arges | | chg | chg | Patient Days | | | | chg | chg |
|----------------------------|---------|---------|---------|---------|-------|-------|--------------|-----------|-----------|-----------|-------|-------|
| Service Line | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 |
| Cardiac Care - Medical | 43,072 | 41,747 | 41,795 | 40,542 | -6% | -3% | 159,087 | 156,890 | 155,239 | 153,817 | -3% | -1% |
| Cardiac Care -Surgical | 18,681 | 18,299 | 18,104 | 16,710 | -11% | -8% | 95,344 | 93,054 | 90,455 | 87,361 | -8% | -3% |
| Cancer Care - Medical | 7,933 | 7,761 | 7,997 | 7,627 | -4% | -5% | 52,106 | 50,875 | 51,824 | 50,681 | -3% | -2% |
| Cancer Care -Surgical | 3,191 | 3,307 | 3,226 | 3,064 | -4% | -5% | 16,870 | 16,734 | 15,295 | 15,590 | -8% | 2% |
| Neurological -Medical | 15,699 | 16,145 | 16,254 | 16,467 | 5% | 1% | 76,513 | 76,412 | 74,708 | 75,644 | -1% | 1% |
| Neurological -Surgical | 12,063 | 12,096 | 11,808 | 11,075 | -8% | -6% | 94,111 | 95,463 | 86,932 | 86,263 | -8% | -1% |
| Renal or Urology - Medical | 15,531 | 15,088 | 15,468 | 16,826 | 8% | 9% | 72,893 | 68,498 | 69,720 | 77,892 | 7% | 12% |
| Renal or Urology -Surgical | 4,972 | 4,758 | 4,696 | 4,675 | -6% | 0% | 22,221 | 20,781 | 19,696 | 20,115 | -9% | 2% |
| Womens Health | 52,484 | 51,297 | 49,296 | 48,451 | -8% | -2% | 151,760 | 148,910 | 141,260 | 141,202 | -7% | 0% |
| Orthopedics - Medical | 3,545 | 3,341 | 3,391 | 3,195 | -10% | -6% | 15,539 | 13,978 | 14,601 | 12,669 | -18% | -13% |
| Orthopedics - Surgical | 22,083 | 22,546 | 22,694 | 23,059 | 4% | 2% | 90,896 | 91,575 | 91,537 | 92,088 | 1% | 1% |
| Respiratory | 37,258 | 37,093 | 36,366 | 36,438 | -2% | 0% | 194,931 | 190,148 | 186,046 | 189,883 | -3% | 2% |
| Medicine | 80,274 | 82,129 | 84,943 | 87,554 | 9% | 3% | 386,912 | 387,561 | 401,449 | 420,730 | 9% | 5% |
| General Surgery | 26,492 | 27,251 | 25,933 | 24,330 | -8% | -6% | 153,864 | 149,611 | 139,559 | 135,446 | -12% | -3% |
| Other Surgery | 9,119 | 9,256 | 9,355 | 9,027 | -1% | -4% | 86,794 | 82,387 | 83,102 | 82,208 | -5% | -1% |
| Newborn | 42,830 | 41,150 | 39,480 | 39,666 | -7% | 0% | 166,437 | 159,917 | 155,209 | 154,707 | -7% | 0% |
| Psychiatry | 21,790 | 24,363 | 24,771 | 24,402 | 12% | -1% | 198,097 | 212,910 | 212,515 | 212,792 | 7% | 0% |
| Ophthalmology | 548 | 563 | 529 | 585 | 7% | 11% | 1,655 | 1,652 | 1,611 | 1,947 | 18% | 21% |
| Trauma - Medical | 3,639 | 3,840 | 3,797 | 3,844 | 6% | 1% | 12,785 | 14,061 | 13,681 | 14,200 | 11% | 4% |
| Trauma -Surgical | 1,803 | 1,701 | 1,712 | 1,683 | -7% | -2% | 14,901 | 13,844 | 15,145 | 13,689 | -8% | -10% |
| Dental | 277 | 316 | 371 | 349 | 26% | -6% | 946 | 1,204 | 1,461 | 1,215 | 28% | -17% |
| Substance Abuse | 5,280 | 6,104 | 6,439 | 6,661 | 26% | 3% | 26,540 | 30,424 | 32,662 | 34,093 | 28% | 4% |
| Miscellaneous | - | 8 | 3 | 5 | - | 67% | - | 48 | 17 | 33 | - | 94% |
| Total | 428,564 | 430,159 | 428,428 | 426,235 | -1% | -1% | 2,091,202 | 2,076,937 | 2,053,724 | 2,074,265 | -0.8% | 1.0% |

Table 3.1: Acute Care Hospitals Utilization by Service Line

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

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3.2 BED NEED

3.2.1 RELATIONSHIP TO CERTIFICATE OF NEED

Connecticut General Statutes Section 19a-638(a)(11) specifies that a Certificate of Need is required for an increase in the licensed bed capacity of a health care facility. Connecticut hospitals seeking authorization for additional licensed beds are required to demonstrate that they meet clear public need as well as other criteria set forth in Connecticut General Statutes Section 19a-639.

3.2.2 BED NEED METHODOLOGY

In coordination with the development of the Plan, the Acute Care and Ambulatory Surgery Subcommittee determined that a standardized methodology will enhance OHCA's ability to evaluate the availability of acute care services, help identify areas with unmet need and provide an equitable measure to determine how acute care beds are distributed throughout the state. Based on the acute care bed need projections for 2015, Connecticut has a statewide surplus of 1,581 inpatient beds. Each of the five individual DEMHS planning regions has excess capacity, ranging from a low of 71 surplus beds in DEMHS Region 2 to a high of 726 in DEMHS Region 3.

CONNECTICUT BED NEED CALCULATION

- Bed utilization is based on patient days and is calculated using data from three consecutive Federal Fiscal Years (FFYs). Patient days are broken down by DEMHS region, hospital, service category (Medical/Surgical, Maternity, Psychiatric, Rehabilitation and Pediatric) and age group (0-14, 15-44, 45-64, 65+) – the Pediatric category uses different age groups (0-19, 20+) to better utilize population estimate age ranges.
- 2. Patient days are divided by 365 (days) to calculate Average Daily Census (ADC) for each year of the three years.
- 3. A Weighted ADC is calculated, giving the greatest weight to the most current year and the least weight to the oldest year. Weighted avg. daily census = (Year1 + Year2 x 2 + Year3 x 3)/6
- 4. The Weighted ADC is multiplied by a population growth/attrition factor for each DEMHS region (based on projected population estimates for 2010 and 2015, provided by the Connecticut State Data Center) to produce the Projected Average Daily Census.
- 5. The Projected ADC is divided by the Target Occupancy factors provided by the Acute Care/Ambulatory Surgery Subcommittee to determine the number of beds needed.
- 6. "Beds Needed" is summed by service/age category and totaled by individual hospital.
- 7. The sum of "Beds Needed" is deducted from a hospital's total number of licensed beds (excluding bassinets) to determine the number of excess or additional licensed beds that are required (Excess (-)/Deficit (+)).
- 8. Individual hospital utilization and licensed bed data can be summed by the region in which hospitals are located to produce regional results. Statewide capacity is calculated using data from all 30 acute care hospitals.

OTHER FACTORS FOR CONSIDERATION

The office may also take the following criteria into consideration during its review of an application:

- 1. Observation Days; or
- 2. An average weekday occupancy rate/census for two separate and distinct periods of 30 calendar days for the most recent twelve month period at or above 80% of total licensed beds, it may qualify to add acute care beds. Those qualifying hospitals may seek a CON to add up to 10% of licensed bed capacity (not to exceed 50 beds), or alternatively up to 30 beds, whichever is greater. A hospital seeking to add beds under this exception must not have been granted a bed increase in the past 12 months and must have been licensed for at least one year.
- 3. Particular innovations, changes in care delivery models or modalities, resources (including physical resources and building facilities) needed to treat specific diseases or conditions
- 4. Quality or patient safety concerns



3.2.3 BED NEED PLANNING AREA(S)

OHCA's acute care bed need planning uses the Connecticut Department of Emergency Services and Public Protection, Division of Emergency Management and Homeland Security (DEMHS) regions. The DEMHS regions divide the state into five planning areas, comprising the Eastern, Western, North Central, South Central and Southwestern parts of the state (see Appendix E for a list of towns in each region). The DEMHS regions may be considered as part of the assessment under CGS 19a-639, specifically subsections (2) and (5), but are not necessarily considered the service area of the applicant.

3.2.4 ACUTE CARE BED NEED MODEL RESULTS

Based on the acute care bed need projections for 2015, Connecticut has a statewide surplus of 1,581 inpatient beds. Each of the five individual DEMHS planning regions has excess capacity, ranging from a low of 71 surplus beds in DEMHS Region 2 to a high of 726 in DEMHS Region 3. It is important to note, however, that DEMHS Region 3 has more hospitals (10) than any other region.

Projected regional and statewide bed need is shown in Tables 3.2 to 3.7.

| | FY 2009 | FY 2010 | FY 2011 | | | | | Excess (-) |
|-----------|-------------------|-------------------|-------------------|----------|-----------|--------|-------------------|------------|
| DEMHS | Patient | Patient | Patient | Weighted | Projected | Beds | Licensed | or Deficit |
| Region | Days ^a | Days ^a | Days ^a | ADC | ADC 2015 | Needed | Beds ^b | (+) |
| 1 | 387,655 | 394,049 | 392,123 | 1,074 | 1,073 | 1,408 | 1,653 | -245 |
| 2 | 470,952 | 467,202 | 480,843 | 1,300 | 1,352 | 1,746 | 1,817 | -71 |
| 3 | 663,114 | 648,363 | 651,204 | 1,787 | 1,783 | 2,315 | 3,041 | -726 |
| 4 | 149,602 | 150,786 | 152,093 | 414 | 466 | 601 | 727 | -126 |
| 5 | 245,697 | 238,115 | 243,295 | 663 | 700 | 907 | 1,321 | -414 |
| Statewide | 1,917,020 | 1,898,515 | 1,919,558 | 5,239 | 5,375 | 6,978 | 8,559 | -1,581 |

Table 3.2: Summary of Acute Care Bed Need Projections

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category

^bExcludes bassinets

Table 3.3: DEMHS Region 1 - Acute Care Bed Need Projection

| | | FY 2009 | FY 2010 | FY 2011 | | | | | Pop chg | | | | | Excess (-) |
|--------|-----------------------|---------|---------|---------|---------|---------|---------|----------|-------------------|-----------|-----------|--------|-------------------|------------|
| DEMHS | | Patient | Patient | Patient | FY 2009 | FY 2010 | FY 2011 | Weighted | 2010 to | Projected | Target | Beds | Licensed | or Deficit |
| Region | Services ^a | Days | Days | Days | ADC | ADC | ADC | ADC | 2015 ^b | ADC | Occupancy | Needed | Beds ^c | (+) |
| 1 | Medical/Surgical | | | | | | | | | | | | | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.92137 | - | 0.80 | - | | |
| | 15 - 44 | 34,863 | 35,386 | 35,057 | 95.5 | 96.9 | 96.0 | 96.3 | 1.00632 | 96.9 | 0.80 | 121 | | |
| | 45 - 64 | 79,753 | 82,215 | 81,249 | 218.5 | 225.2 | 222.6 | 222.8 | 1.05619 | 235.3 | 0.80 | 294 | | |
| | 65+ | 173,159 | 174,853 | 171,851 | 474.4 | 479.0 | 470.8 | 474.2 | 0.97070 | 460.3 | 0.80 | 575 | | |
| | Sub Total | 287,775 | 292,454 | 288,157 | 788.4 | 801.2 | 789.5 | 793.2 | | 792.5 | | 991 | | |
| | Maternity | | | | | | | | | | | | | |
| | 0-14 | 15 | 2 | 21 | 0.0 | 0.0 | 0.1 | 0.0 | 0.924938 | 0.0 | 0.50 | 0 | | |
| | 15 - 44 | 32,827 | 31,296 | 32,217 | 89.9 | 85.7 | 88.3 | 87.7 | 0.998229 | 87.5 | 0.50 | 175 | | |
| | 45 - 64 | 223 | 257 | 247 | 0.6 | 0.7 | 0.7 | 0.7 | 1.056390 | 0.7 | 0.50 | 1 | | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.966918 | - | 0.50 | - | | |
| | Sub Total | 33,065 | 31,555 | 32,485 | 90.6 | 86.5 | 89.0 | 88.4 | | 88.3 | | 177 | | |
| | Psychiatric | | | | | | | | | | | | | |
| | 0-14 | 2,949 | 2,686 | 2,593 | 8.1 | 7.4 | 7.1 | 7.4 | 0.92137 | 6.8 | 0.80 | 8 | | |
| | 15 - 44 | 20,471 | 20,027 | 21,411 | 56.1 | 54.9 | 58.7 | 57.0 | 1.00632 | 57.3 | 0.80 | 72 | | |
| | 45 - 64 | 14,788 | 14,841 | 14,405 | 40.5 | 40.7 | 39.5 | 40.0 | 1.05619 | 42.3 | 0.80 | 53 | | |
| | 65+ | 5,641 | 7,447 | 7,543 | 15.5 | 20.4 | 20.7 | 19.7 | 0.97070 | 19.1 | 0.80 | 24 | | |
| | Sub Total | 43,849 | 45,001 | 45,952 | 120.1 | 123.3 | 125.9 | 124.1 | | 125.5 | | 157 | | |
| | Rehabilitation | | | | | | | | | | | | | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.92137 | - | 0.80 | - | | |
| | 15 - 44 | 1,007 | 1,205 | 870 | 2.8 | 3.3 | 2.4 | 2.8 | 1.00632 | 2.8 | 0.80 | 3 | | |
| | 45 - 64 | 3,620 | 4,257 | 4,648 | 9.9 | 11.7 | 12.7 | 11.9 | 1.05619 | 12.6 | 0.80 | 16 | | |
| | 65+ | 11,267 | 13,279 | 13,907 | 30.9 | 36.4 | 38.1 | 36.3 | 0.97070 | 35.3 | 0.80 | 44 | | |
| | Sub Total | 15,894 | 18,741 | 19,425 | 43.5 | 51.3 | 53.2 | 51.0 | | 50.6 | | 63 | | |
| | Pediatric | | | | | | | | | | | | | |
| | 0-19 | 7,072 | 6,298 | 6,104 | 19.4 | 17.3 | 16.7 | 17.3 | 0.95358 | 16.5 | 0.80 | 21 | | |
| | 20+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.01406 | - | 0.80 | - | | |
| | Sub Total | 7,072 | 6,298 | 6,104 | 19.4 | 17.3 | 16.7 | 17.3 | | 16.5 | | 21 | | |
| Total | | 387,655 | 394,049 | 392,123 | 1,062 | 1,080 | 1,074 | 1,074.0 | | 1,073.4 | | 1,408 | 1,653 | -245 |

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category ^bSource: CT State Data Center (CTSDC) ^cExcludes bassinets

| | | FY 2009 | FY 2010 | FY 2011 | | | | | Pop chg | _ | | | Liconcod | Excess (-) |
|--------|------------------|----------|---------|-----------|---------|---------|---------|----------|----------|-----------|-----------|--------|-------------------|------------|
| DEMHS | | Patient | Patient | Patient | FY 2009 | FY 2010 | FY 2011 | Weighted | 201010 | Projected | Target | Beds | Licenseu | or Deficit |
| Region | Services | Days | Days | Days | ADC | ADC | ADC | ADC | 2015- | ADC | Occupancy | Needed | Beds ⁻ | (+) |
| 2 | Medical/Surgical | | | | | | | | | | | | | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.95619 | - | 0.80 | - | | |
| | 15 - 44 | 58,724 | 56,169 | 56,564 | 160.9 | 153.9 | 155.0 | 155.6 | 1.02655 | 159.7 | 0.80 | 200 | | |
| | 45 - 64 | 116,572 | 120,602 | 130,507 | 319.4 | 330.4 | 357.6 | 342.1 | 1.02379 | 350.3 | 0.80 | 438 | | |
| | 65+ | 193,295 | 189,836 | 192,049 | 529.6 | 520.1 | 526.2 | 524.7 | 1.07205 | 562.5 | 0.80 | 703 | | |
| | Sub Total | 368,591 | 366,607 | 379,120 | 1,009.8 | 1,004.4 | 1,038.7 | 1,022.4 | | 1,072.5 | | 1,341 | | |
| | Maternity | | | | | | | | | | | | | |
| | 0-14 | 28 | 19 | 19 | 0.1 | 0.1 | 0.1 | 0.1 | 0.940104 | 0.1 | 0.50 | 0 | | |
| | 15 - 44 | 26,892 | 25,662 | 26,941 | 73.7 | 70.3 | 73.8 | 72.6 | 1.019895 | 74.1 | 0.50 | 148 | | |
| | 45 - 64 | 184 | 143 | 95 | 0.5 | 0.4 | 0.3 | 0.3 | 1.020156 | 0.4 | 0.50 | 1 | | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.082566 | - | 0.50 | - | | |
| | Sub Total | 27,104 | 25,824 | 27,055 | 74.3 | 70.8 | 74.1 | 73.0 | | 74.5 | | 149 | | |
| | Psychiatric | | | | | | | | | | | | | |
| | 0-14 | 8,450 | 9,213 | 8,696 | 23.2 | 25.2 | 23.8 | 24.2 | 0.95619 | 23.1 | 0.80 | 29 | | |
| | 15 - 44 | 21,035 | 21,020 | 21,876 | 57.6 | 57.6 | 59.9 | 58.8 | 1.02655 | 60.3 | 0.80 | 75 | | |
| | 45 - 64 | 14,580 | 15,125 | 14,279 | 39.9 | 41.4 | 39.1 | 40.0 | 1.02379 | 41.0 | 0.80 | 51 | 1 | |
| | 65+ | 5,247 | 4,606 | 5,829 | 14.4 | 12.6 | 16.0 | 14.6 | 1.07205 | 15.6 | 0.80 | 20 | 1 | |
| | Sub Total | 49,312 | 49,964 | 50,680 | 135.1 | 136.9 | 138.8 | 137.6 | | 140.1 | | 175 | 1 | |
| | Rehabilitation | | | | | | | | | | | | 1 | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.95619 | - | 0.80 | - | 1 | |
| | 15 - 44 | 95 | 75 | 44 | 0.3 | 0.2 | 0.1 | 0.2 | 1.02655 | 0.2 | 0.80 | 0 | 1 | |
| | 45 - 64 | 980 | 911 | 773 | 2.7 | 2.5 | 2.1 | 2.3 | 1.02379 | 2.4 | 0.80 | 3 | 1 | |
| | 65+ | 3,313 | 2,775 | 3,188 | 9.1 | 7.6 | 8.7 | 8.4 | 1.07205 | 9.0 | 0.80 | 11 | 1 | |
| | Sub Total | 4,388 | 3,761 | 4,005 | 12.0 | 10.3 | 11.0 | 10.9 | | 11.6 | | 14 | 1 | |
| | Pediatric | | | | | | | | | | | | 1 | |
| | 0-19 | 21,557 | 21,046 | 19,983 | 59.1 | 57.7 | 54.7 | 56.4 | 0.95347 | 53.8 | 0.80 | 67 | | |
| | 20+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.04201 | - | 0.80 | - | | |
| | Sub Total | 21,557 | 21,046 | 19,983 | 59.1 | 57.7 | 54.7 | 56.4 | | 53.8 | | 67 | | |
| Total | | /170 052 | 467 202 | /190 9/12 | 1 200 | 1 290 | 1 217 | 1 200 / | | 1 252 5 | | 1 7/6 | 1 917 | -71 |

Table 3.4: DEMHS Region 2 - Acute Care Bed Need Projection

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category ^bSource: CT State Data Center (CTSDC) ^cExcludes bassinets

Table 3.5: DEMHS Region 3 - Acute Care Bed Need Projection

| | | 514 2000 | 51/2010 | EV.0044 | | | | | Don cha | | | | | E () |
|----------|------------------|----------|---------|---------|---------|---------|---------|----------|----------|-----------|-----------|--------|----------|------------|
| 0.51.010 | | FY 2009 | FY 2010 | FY 2011 | EV 2000 | | | | 2010 to | | | | Liconcod | Excess (-) |
| DEMIHS | a | Patient | Patient | Patient | FY 2009 | FY 2010 | FY 2011 | Weighted | 201010 | Projected | larget | Beds | | or Deficit |
| Region | Services | Days | Days | Days | ADC | ADC | ADC | ADC | 2015 | ADC | Occupancy | Needed | Beds | (+) |
| 3 | Medical/Surgical | | | | | | | | | | | | | |
| | 0-14 | 58 | 26 | 25 | 0.2 | 0.1 | 0.1 | 0.1 | 0.92506 | 0.1 | 0.80 | 0 | | |
| | 15 - 44 | 68,099 | 66,792 | 61,416 | 186.6 | 183.0 | 168.3 | 176.2 | 0.96375 | 169.8 | 0.80 | 212 | | |
| | 45 - 64 | 156,044 | 156,117 | 159,394 | 427.5 | 427.7 | 436.7 | 432.2 | 1.00757 | 435.4 | 0.80 | 544 | | |
| | 65+ | 279,795 | 272,507 | 278,040 | 766.6 | 746.6 | 761.8 | 757.5 | 1.01743 | 770.7 | 0.80 | 963 | | |
| | Sub Total | 503,996 | 495,442 | 498,875 | 1,380.8 | 1,357.4 | 1,366.8 | 1,366.0 | | 1,376.1 | | 1,720 | | |
| | Maternity | | | | | | | | | | | | | |
| | 0-14 | 25 | 24 | 22 | 0.1 | 0.1 | 0.1 | 0.1 | 0.926644 | 0.1 | 0.50 | 0 | | |
| | 15 - 44 | 45,484 | 43,212 | 42,967 | 124.6 | 118.4 | 117.7 | 119.1 | 0.961228 | 114.5 | 0.50 | 229 | | |
| | 45 - 64 | 194 | 157 | 170 | 0.5 | 0.4 | 0.5 | 0.5 | 1.007208 | 0.5 | 0.50 | 1 | | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.000318 | - | 0.50 | - | | |
| | Sub Total | 45,703 | 43,393 | 43,159 | 125.2 | 118.9 | 118.2 | 119.6 | | 115.0 | | 230 | | |
| | Psychiatric | | | | | | | | | | | | | |
| | 0-14 | 9,485 | 8,541 | 8,275 | 26.0 | 23.4 | 22.7 | 23.5 | 0.92506 | 21.7 | 0.80 | 27 | | |
| | 15 - 44 | 40,121 | 38,682 | 38,494 | 109.9 | 106.0 | 105.5 | 106.4 | 0.96375 | 102.5 | 0.80 | 128 | | |
| | 45 - 64 | 26,546 | 27,928 | 27,640 | 72.7 | 76.5 | 75.7 | 75.5 | 1.00757 | 76.1 | 0.80 | 95 | | |
| | 65+ | 8,872 | 8,265 | 8,738 | 24.3 | 22.6 | 23.9 | 23.6 | 1.01743 | 24.0 | 0.80 | 30 | | |
| | Sub Total | 85,024 | 83,416 | 83,147 | 232.9 | 228.5 | 227.8 | 228.9 | | 224.3 | | 280 | | |
| | Rehabilitation | | | | | | | | | | | | | |
| | 0-14 | 95 | 60 | 64 | 0.3 | 0.2 | 0.2 | 0.2 | 0.92506 | 0.2 | 0.80 | 0 | | |
| | 15 - 44 | 60 | 0 | 95 | 0.2 | 0.0 | 0.3 | 0.2 | 0.96375 | 0.2 | 0.80 | 0 | | |
| | 45 - 64 | 0 | 0 | 15 | 0.0 | 0.0 | 0.0 | 0.0 | 1.00757 | 0.0 | 0.80 | 0 | | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.01743 | - | 0.80 | - | | |
| | Sub Total | 155 | 60 | 174 | 0.4 | 0.2 | 0.5 | 0.4 | | 0.3 | | 0 | | |
| | Pediatric | | | | | | | | | | | | | |
| | 0-19 | 28,236 | 26,052 | 25,849 | 77.4 | 71.4 | 70.8 | 72.1 | 0.93871 | 67.7 | 0.80 | 85 | | |
| | 20+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 0.98971 | - | 0.80 | - | | |
| | Sub Total | 28,236 | 26,052 | 25,849 | 77.4 | 71.4 | 70.8 | 72.1 | | 67.7 | | 85 | | |
| Total | | 663,114 | 648,363 | 651,204 | 1,817 | 1,776 | 1,784 | 1,787.0 | | 1,783.4 | | 2,315 | 3,041 | -726 |

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category

^bSource: CT State Data Center (CTSDC) ^cExcludes bassinets

| | | FY 2009 | FY 2010 | FY 2011 | | | | | Pop chg | | | | | Excess (-) |
|--------|-----------------------|---------|---------|---------|---------|---------|---------|----------|-------------------|-----------|-----------|--------|-------------------|------------|
| DEMHS | | Patient | Patient | Patient | FY 2009 | FY 2010 | FY 2011 | Weighted | 2010 to | Projected | Target | Beds | Licensed | or Deficit |
| Region | Services ^a | Days | Days | Days | ADC | ADC | ADC | ADC | 2015 ^b | ADC | Occupancy | Needed | Beds ^c | (+) |
| 4 | Medical/Surgical | | | | | | | | | | | | | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | | 1.03324 | - | 0.80 | - | | |
| | 15 - 44 | 14,502 | 14,908 | 13,945 | 39.7 | 40.8 | 38.2 | 39.3 | 0.99743 | 39.2 | 0.80 | 49 | | |
| | 45 - 64 | 37,335 | 38,034 | 39,714 | 102.3 | 104.2 | 108.8 | 106.2 | 1.11573 | 118.5 | 0.80 | 148 | | |
| | 65+ | 68,060 | 67,604 | 69,714 | 186.5 | 185.2 | 191.0 | 188.3 | 1.18866 | 223.8 | 0.80 | 280 | | |
| | Sub Total | 119,897 | 120,546 | 123,373 | 328.5 | 330.3 | 338.0 | 333.8 | | 381.6 | | 477 | | |
| | Maternity | | | | | | | | | | | | | |
| | 0-14 | 2 | 7 | 7 | 0.0 | 0.0 | 0.0 | 0.0 | 1.036931 | 0.0 | 0.50 | 0 | | |
| | 15 - 44 | 9,647 | 9,256 | 9,333 | 26.4 | 25.4 | 25.6 | 25.6 | 0.978173 | 25.1 | 0.50 | 50 | | |
| | 45 - 64 | 23 | 5 | 13 | 0.1 | 0.0 | 0.0 | 0.0 | 1.117310 | 0.0 | 0.50 | 0 | | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.173494 | - | 0.50 | - | | |
| | Sub Total | 9,672 | 9,268 | 9,353 | 26.5 | 25.4 | 25.6 | 25.7 | | 25.1 | | 50 | | |
| | Psychiatric | | | | | | | | | | | | | |
| | 0-14 | 5 | 3 | 8 | 0.0 | 0.0 | 0.0 | 0.0 | 1.03324 | 0.0 | 0.80 | 0 | | |
| | 15 - 44 | 6,969 | 7,174 | 6,371 | 19.1 | 19.7 | 17.5 | 18.5 | 0.99743 | 18.4 | 0.80 | 23 | | |
| | 45 - 64 | 5,885 | 6,248 | 5,348 | 16.1 | 17.1 | 14.7 | 15.7 | 1.11573 | 17.5 | 0.80 | 22 | | |
| | 65+ | 1,434 | 1,536 | 1,853 | 3.9 | 4.2 | 5.1 | 4.6 | 1.18866 | 5.5 | 0.80 | 7 | | |
| | Sub Total | 14,293 | 14,961 | 13,580 | 39.2 | 41.0 | 37.2 | 38.8 | | 41.4 | | 52 | | |
| | Rehabilitation | | | | | | | | | | | | | |
| | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.03324 | - | 0.80 | - | | |
| | 15 - 44 | 247 | 253 | 230 | 0.7 | 0.7 | 0.6 | 0.7 | 0.99743 | 0.7 | 0.80 | 1 | | |
| | 45 - 64 | 1,082 | 1,283 | 972 | 3.0 | 3.5 | 2.7 | 3.0 | 1.11573 | 3.3 | 0.80 | 4 | | |
| | 65+ | 2,764 | 2,982 | 3,546 | 7.6 | 8.2 | 9.7 | 8.8 | 1.18866 | 10.5 | 0.80 | 13 | | |
| | Sub Total | 4,093 | 4,518 | 4,748 | 11.2 | 12.4 | 13.0 | 12.5 | | 14.5 | | 18 | | |
| | Pediatric | | | | | | | | | | | | | |
| | 0-19 | 1,647 | 1,493 | 1,039 | 4.5 | 4.1 | 2.8 | 3.5 | 0.97369 | 3.4 | 0.80 | 4 | | |
| | 20+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.09251 | - | 0.80 | - | | |
| | Sub Total | 1,647 | 1,493 | 1,039 | 4.5 | 4.1 | 2.8 | 3.5 | | 3.4 | | 4 | | |
| Total | | 149,602 | 150,786 | 152,093 | 410 | 413 | 417 | 414.4 | | 466.1 | | 601 | 727 | -126 |

Table 3.6: DEMHS Region 4 - Acute Care Bed Need Projection

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category ^bSource: CT State Data Center (CTSDC) ^cExcludes bassinets

| | 1 | | | | | | | | Dava ala a | | | | | |
|--------|------------------|---------------|----------|---------|--|---------|---------|----------|------------|-----------|-----------|--------|----------|------------|
| | | FY 2009 | FY 2010 | FY 2011 | | | [] | | Pop cng | | | | Liconcod | Excess (-) |
| DEMHS | | Patient | Patient | Patient | FY 2009 | FY 2010 | FY 2011 | Weighted | 201010 | Projected | Target | Beds | Licenseu | or Deficit |
| Region | Services® | Days | Days | Days | ADC | ADC | ADC | ADC | 2015" | ADC | Occupancy | Needed | Beds | (+) |
| 5 | Medical/Surgical | └─── │ | | I' | ļ' | / | L' | | | | | | | |
| | 0-14 | 30 | 42 | 30 | 0.1 | 0.1 | 0.1 | 0.1 | 0.91754 | 0.1 | 0.80 | 0 | . | |
| | 15 - 44 | 22,712 | 20,917 | 21,137 | 62.2 | 57.3 | 57.9 | 58.4 | 0.99420 | 58.1 | 0.80 | 73 | . | |
| | 45 - 64 | 56,982 | 56,112 | 57,175 | 156.1 | 153.7 | 156.6 | 155.6 | 1.05765 | 164.6 | 0.80 | 206 | . | |
| | 65+ | 119,496 | 116,614 | 122,594 | 327.4 | 319.5 | 335.9 | 329.0 | 1.07915 | 355.0 | 0.80 | 444 | . | |
| | Sub Total | 199,220 | 193,685 | 200,936 | 545.8 | 530.6 | 550.5 | 543.1 | | 577.8 | | 722 | . | |
| | Maternity | | L 1 | (' | <u> </u> | | ' | | | | | | | |
| | 0-14 | 12 | 11 | 16 | 0.0 | 0.0 | 0.0 | 0.0 | 0.920643 | 0.0 | 0.50 | 0 | . | |
| | 15 - 44 | 16,579 | 15,856 | 15,108 | 45.4 | 43.4 | 41.4 | 42.7 | 0.991468 | 42.4 | 0.50 | 85 | | 1 1 |
| | 45 - 64 | 89 | 51 | 52 | 0.2 | 0.1 | 0.1 | 0.2 | 1.062294 | 0.2 | 0.50 | 0 | . | |
| | 65+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | - | 1.067004 | - | 0.50 | - | . | |
| | Sub Total | 16,680 | 15,918 | 15,176 | 45.7 | 43.6 | 41.6 | 42.9 | | 42.6 | | 85 | . | 1 1 |
| | Psychiatric | | | | | | | | | | | | . | |
| I. | 0-14 | 255 | 288 | 196 | 0.7 | 0.8 | 0.5 | 0.6 | 0.91754 | 0.6 | 0.80 | 1 | . | |
| I. | 15 - 44 | 10,643 | 10,118 | 9,286 | 29.2 | . 27.7 | 25.4 | 26.8 | 0.99420 | 26.7 | 0.80 | 33 | . | |
| I. | 45 - 64 | 8,839 | 9,197 | 8,386 | 24.2 | 25.2 | 23.0 | 23.9 | 1.05765 | 25.3 | 0.80 | 32 | . | |
| 1 | 65+ | 4,650 | 3,910 | 4,558 | 12.7 | 10.7 | 12.5 | 11.9 | 1.07915 | 12.9 | 0.80 | 16 | . | |
| l | Sub Total | 24,387 | 23,513 | 22,426 | 66.8 | 64.4 | 61.4 | 63.3 | | 65.4 | | 82 | . | |
| | Rehabilitation | | | | | | | | | | | | | |
| I. | 0-14 | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | | 0.91754 | | 0.80 | - | . | |
| I. | 15 - 44 | 231 | 295 | 298 | 0.6 | 0.8 | 0.8 | 0.8 | 0.99420 | 0.8 | 0.80 | 1 | . | |
| l | 45 - 64 | 1,345 | 1,507 | 1,402 | 3.7 | 4.1 | 3.8 | 3.9 | 1.05765 | 4.1 | 0.80 | 5 | . | |
| | 65+ | 2,569 | 2.368 | 2.279 | 7.0 | 6.5 | 6.2 | 6.5 | 1.07915 | 7.0 | 0.80 | 9 | | |
| l | Sub Total | 4.145 | 4.170 | 3.979 | 11.4 | 11.4 | 10.9 | 11.2 | | 11.9 | | 15 | . | |
| 1 | Pediatric | | | | | | | | | | | | . | 1 |
| | 0.10 | 1 265 | 829 | 778 | 35 | 23 | 21 | 24 | 0 95514 | 23 | 0.80 | 3 | . | 1 1 |
| 1 | • 11=1-7 | 4 | | 4 1.0 | 5.5, | 2.5 | £ | 2 | 0.5551 | 2.5 | 0.00 | ~ | . 1 | 1 1 |
| | 20+ | 0 | 0 | 0 | 0.0 | 0.0 | 0.0 | | 1 03022 | | 0.80 | | ' 1 | |
| | 20+ Sub Total | 0 | 0 829 | 0 | 0.0 | 0.0 | 0.0 | - 24 | 1.03022 | - 23 | 0.80 | - | | |

Table 3.7: DEMHS Region 5 - Acute Care Bed Need Projection

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

^aExcludes Newborn service category ^bSource: CT State Data Center (CTSDC) ^cExcludes bassinets Based on projections derived from the acute care bed need model, Connecticut has sufficient acute care inpatient bed capacity, overall. However, further study is necessary to determine if regional gaps in service exist by service line/department.

3.2.5 INPATIENT BED CAPACITY

Based on projections derived from the acute care bed need model, Connecticut has sufficient acute care inpatient bed capacity, overall. However, further study is necessary to determine if regional gaps in service exist by service line/department (e.g., psychiatric, maternity, medical/surgical). OHCA's ability to remedy any gaps identified would be limited, as acute care beds are licensed generally (not by service type or department). In addition, hospitals apportion beds based on individual operational considerations, rather than regional needs.

3.3 EMERGENCY DEPARTMENTS

Connecticut has emergency departments in each of its 30 acute care hospitals (Figure 3.2). The emergency department (ED) provides initial treatment to patients with a broad range of illnesses and injuries; some may be life threatening. Upon arrival at the ED, people typically undergo a brief triage to evaluate the nature of the illness or injury. Individuals with more serious illnesses are examined by a

physician sooner than patients with less severe symptoms or injuries. After treatment in the ED, patients are discharged, admitted to the hospital, or stabilized and transferred to another hospital. Most EDs operate 24 hours and 7 days a week, with staffing levels usually lower at night.

A free-standing ED is one that is located away from the main campus of a hospital and provides care for emergency medical conditions on an urgent basis without requiring a previously scheduled appointment. Free-standing EDs are not licensed separately from hospitals in Connecticut. OHCA regulates the establishment of these facilities. (Sec. 19a-630-(10)(C)). Connecticut's free-standing EDs are shown in Figure 3.2 and additional information is provided about them in Table 3.8.

3.3.1 RELATIONSHIP TO CERTIFICATE OF NEED

Connecticut General Statutes Sections 19a-638(a)(3) and 19a-638(a)(7) specify that a Certificate of Need is required for the establishment of a free-standing emergency department or the termination of an emergency department by a short-term acute care general hospital. Connecticut hospitals seeking authorization to establish a free-standing emergency department are required to demonstrate that they meet clear public need as well as other criteria set forth in Connecticut General Statutes Section 19a-639.



Satellite Emergency Departments

4

Source: DPH Licensure Division and OHCA CON Database

Prepared August 2012 OHCA

| Hospital | ED Site | Location | Description |
|---------------------|-----------------------|-------------|--|
| William W. Backus | Plainfield Emergency | Plainfield | Provides comprehensive emergency medical |
| Hospital | Care Center | | treatment 24 hours per day, 7 days per week. Also |
| | | | provides diagnostic imaging and lab services. |
| Charlotte | Winsted Health Center | Winsted | Emergency treatment services provided 7 days per |
| Hungerford Hospital | | | week, including holidays from 9:00 AM to 9:00 PM. |
| | | | Urgent and Non-urgent treatments also provided |
| | | | at this location. On-site X-ray and laboratory |
| | | | services. |
| Lawrence and | Pequot Health Center | Groton | Walk-in medical treatment is available 7 days per |
| Memorial Hospital | | | week from 7:00 am to 11:00 pm. Provides |
| | | | Emergency and Urgent Care as well as other |
| | | | health care services: X-Ray, MRI and CT scanning |
| | | | capabilities and laboratory. |
| Middlesex Hospital | Marlborough Medical | Marlborough | Offers full complement of emergency services, 24 |
| | Center | | hours per day, 7 days per week. An Express Care |
| | | | area serves patients with urgent medical needs. |
| | | | There are also isolation and decontamination |
| | | | areas in the ED and a helipad on-site for LIFE STAR. |
| Middlesex Hospital | Shoreline Medical | Essex | Emergency treatment services are provided by |
| | Center | | Middlesex Hospital 24 hours per day, 7 days per |
| | | | week and supported by a broad spectrum of |
| | | | diagnostic capabilities. |
| Yale-New Haven | Yale-New Haven | Guilford | Emergency treatment services are provided by |
| Hospital, Inc. | Shoreline Medical | | Yale-New Haven Hospital 24 hours per day, 7 days |
| | Center | | per week. This facility is supported by on-site |
| | | | diagnostic radiology and laboratory services. |

Table 3.8: Free-Standing Emergency Departments

3.3.2 BEHAVIORAL HEALTH CARE IN EMERGENCY DEPARTMENTS

The number of patients with behavioral health (BH) conditions treated in EDs has been increasing for more than a decade.⁵⁰ One in eight (12.5%) visits made to U.S. hospital emergency departments in 2007 involved a diagnosis related to behavioral health.⁵¹

Compared to national estimates, data for Connecticut has higher ED utilization for patients with BH related issues, raising concerns in the emergency medical community about overcrowding. In FY 2010, 18% of all ED visits had a diagnosis (in any of the 15 captured diagnoses) related to BH.⁵²

The Behavioral Health and Acute Care/Ambulatory Surgery Subcommittees determined that the creation of focus groups consisting of a broad representation of hospital and ED staff would be beneficial to help identify concerns about ED patients' ability to access behavioral health services. (See Appendix F for a list of members). Focus groups met in three different venues and discussed all ED patient populations (i.e., all payer types), and issues pertaining to both children and adults.⁵³

⁵⁰Larkin, G.L., Claassen, C.A., Edmond, J.A., Pelletier, A.J., & Camargo, C.A. (2005). Trends in U.S. Emergency Department Visits for Mental Health Conditions, 1992 to 2001. Psychiatric Services. doi: 10.1176/appi.ps.56.6.671

⁵¹Owens, P., Mutter R., & Stocks, C. (2007). Mental Health and Substance Abuse-Related Emergency Department Visits among Adults, 2007. *Agency for Healthcare Research and Quality* (AHRQ). 1.

⁵²Source: Connecticut Hospital Association Chime ED Data, Fiscal Year 2010.

⁵³As active members of OHCA's Subcommittees on Behavioral Health and Acute Care/Ambulatory Services, both DMHAS and CHA are supporting these focus groups, with DMHAS offering the resources of a UCHC research assistant to lead the discussions, and CHA, working with our member hospitals, providing venues and recruiting participants.

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The ED Focus Groups provided a written summary of their concerns. Each group was asked to discuss the following areas: patient management (patient characteristics, medical conditions, insurance coverage, etc.), behavioral health resources/system capacity (availability of resources, discharge planning and placement and community resources), and other challenges (constraints/barriers, transportation and other access issues, etc.).

Several common themes emerged from the group discussion:

- Behavioral health patients presenting at EDs, although other treatment settings would be more appropriate
- Limited access to behavioral health services (especially inpatient adult or residential youth services)
- Lack of coordination of care between EDs and community based services

The groups identified and listed examples of ED use that may be inappropriate:

- Police dropping off patients who are intoxicated
- Schools sending students with conduct problems
- Nursing homes transferring disruptive/combative or patients with dementia
- Parents bringing children who are under the influence of alcohol/drugs or exhibiting disruptive behaviors
- Family care givers who need respite bringing in family members for evaluation

All focus groups reported a significant increase in behavioral health visits over the past several years. Participants anecdotally identified the characteristics of patients presenting more frequently at the ED:

- Behavioral health patients of moderate severity
- Combative patients
- Children and Adolescents
- Elders of family members who can no longer cope with their care
- Chronic alcoholic or PCP using patients

ED staff participants believed that many behavioral health patients presenting do not need emergency room treatment and could be more effectively and less expensively managed in outpatient settings. Focus group members were concerned that inappropriate referrals will continue as long as EDs are the only facilities available around-the-clock. In addition, limitations on the length of stay for patients in general hospital inpatient psychiatric beds, by private insurance companies, has added to the problem of patients relapsing and returning to the ED. Participants noted that the decline in State-operated beds for adults and community residential beds for children places an extreme burden on EDs. For "new" patients with behavioral health needs, it can be difficult to schedule appointments in the community for initial assessments to obtain outpatient treatment or medication management. Obtaining preauthorization for behavioral health services can be very time consuming. The group believes that communication between EDs and community programs needs to be improved to help behavioral health patients receive more appropriate care in settings outside the ED (see Appendices G and H for the Focus Group Summary and Solutions documents in their entirety).

3.3.3 ED UTILIZATION

ED utilization has increased steadily over the past few years as a result of multiple factors. Being uninsured or underinsured, appointment scheduling difficulties, and a poor economy where many residents have lost their jobs and health care coverage have all been mentioned as reasons for the spike in ED utilization. Sufficient availability, capacity and placement of primary care and behavioral health resources (both facility and private practitioner) may also result in the continuing increase in the utilization of hospital ED services.

ED volumes have risen in each of the past four fiscal years. From FY 2008 to FY 2011, ED volume increased from 1.5 million to 1.6 million visits (7%) (see Figure 3.3 and Appendix I).



Figure 3.3: Connecticut ED Visits

Source: CT Connecticut Hospital Association Chime, Inc. Emergency Department Data and OHCA Sharon Hospital Emergency Department Data

3.3.4 ED PLANNING

The American College of Emergency Physicians (ACEP) Policy Statement (October 2007) on emergency department planning <u>http://www.acep.org/content.aspx?id=29208</u> is a useful resource for emergency department services. OHCA encourages adherence to the ACEP Policy Statement.

It states that:

- Access to emergency medical and nursing care should be unrestricted and available to all residents.
- Emergency departments must have adequate resources to support the evaluation, management and treatment of all patients presenting at an ED.
- EDs should maintain appropriate levels of qualified staff, 24 hours a day, due to the unscheduled and episodic nature of health emergencies. ED personnel must establish effective working relationships with emergency services providers (EMS), ancillary hospital personnel, physicians, and other health care and social service resources to ensure the continuity of ED patient care. Effective policies and plans should be in place to ensure that administration, staffing, design of facility, equipment, medication and all other ancillary services are sufficiently addressed and cohesively work together to provide quality health care for patients experiencing serious health emergencies.

3.3.5 TRAUMA

A trauma center is a hospital equipped to provide comprehensive emergency medical services to patients who require complex and multi-disciplinary treatment following traumatic injuries. According to the public health code, the terms Trauma and Trauma Center are specifically defined as follows:

- "Trauma" means a wound or injury to the body caused by accident, violence, shock or pressure, excluding poisoning, drug overdose, smoke inhalation, and drowning (19a-177-1(6))
- "Trauma facility" means a hospital that has met the requirements as prescribed in section 19a-177-4 of the Regulations of Connecticut State Agencies and has received such designation from the Office of Emergency Medical Services (OEMS) in accordance with section 19a-177-3 of the Regulations of Connecticut State Agencies (19a-177-1(8)).

The American College of Surgeons provides a voluntary verification that designates the specific capabilities and identifies trauma centers by "Level" designation. Hospitals seeking designation as a Level I, Level II, Level III or Level IV trauma facility must apply to and be approved by the OEMS.

Connecticut currently has hospitals designated as Level I, Level II or Level III trauma centers. Connecticut trauma centers, their current trauma level designations and definitions of each level are given in Table 3.9.

| Connecticut Designated Trauma Centers | City | Level |
|---------------------------------------|------------|-------------------------------|
| Connecticut Children's Medical Center | Hartford | Level I (Pediatric) |
| Hartford Hospital | Hartford | Level I (Adult) |
| Yale New Haven Hospital | New Haven | Level I (Adult and Pediatric) |
| Bridgeport Hospital | Bridgeport | Level II (Adult) |
| Danbury Hospital | Danbury | Level II (Adult) |
| Hospital of St. Raphael | New Haven | Level II (Adult) |
| Norwalk Hospital | Norwalk | Level II (Adult) |
| Saint Francis Medical Center | Hartford | Level II (Adult) |
| Saint Mary's Hospital | Waterbury | Level II (Adult) |
| St. Vincent's Medical Center | Bridgeport | Level II (Adult) |
| Stamford Hospital | Stamford | Level II (Adult) |
| Waterbury Hospital | Waterbury | Level II (Adult) |
| William W. Backus Hospital | Norwich | Level III (Adult) |

Table 3.9: Connecticut Trauma Centers

LEVEL I: A regional resource and a tertiary care facility central to the trauma care system. In addition to acute care responsibilities, Level I trauma centers provide leadership in education, research, and system planning.

LEVEL II: Also expected to provide initial definitive trauma care, regardless of the severity of injury. However, depending on a variety of factors (geographic location, patient volume, personnel and resources) patients with more complex injuries may be transferred to Level I trauma centers if needed.

LEVEL III: Serves communities that do not have immediate access to a Level I or II institution and can provide prompt assessment, resuscitation, emergency operations, and stabilization. They also arrange for possible transfer to a facility that can provide definitive trauma care.

LEVEL IV: Provides advanced trauma life support in remote areas before patients can be transferred to a higher level of care. A Level IV facility may be a clinic without a readily available physician and must establish a good working relationship with the nearest Level I, II or III trauma center in order to provide expeditious transfer of seriously injured patients.⁵⁴

⁵⁴American College of Surgeons Committee on Trauma. Retrieved at <u>www.facs.org/trauma/hospitallevels.pdf</u>

3.3.6 EMERGENCY MEDICAL SERVICES

Emergency medical services (EMS) are an integrated system of personnel, equipment, communication and services that provide pre-hospital, in-hospital and inter-hospital medical treatment to individuals who have suffered illness or injury, to prevent loss of life, the aggravation of the illness or injury, or to alleviate suffering. This can include both basic and advanced emergency medical treatment.⁵⁵

3.3.7 OFFICE OF EMERGENCY MEDICAL SERVICES (OEMS)

The DPH Office of Emergency Medical Services (OEMS) administers and enforces emergency medical related services statutes, regulations, programs, and policies. OEMS regulates ground ambulances and is also responsible for the education, training and certification of Emergency Medical Service (EMS) workers.

Public Act 00-151, passed in 2000, mandating the development of a data collection system to track patients from initial entry into the emergency medical service system through arrival at the emergency room. As of June 2012, 90% of services complied with the mandate, moving from paper Patient Care Run (PCR) forms to computer-based software, or electronic PCRs. This has enabled services, sponsor hospitals and OEMS to begin to collect EMS data, creating the potential to analyze the data and create best practices.

In late 2008/early 2009 the American Heart Association formed a ST segment elevation myocardial infarction (STEMI) stakeholders group comprising three sub-committees (Pre-hospital, Timely transfers and Education) intended to develop and promulgate best practice related to ST segment elevation myocardial infarction (STEMI). The group included physicians (EMS/ED, cardiology, and interventional cardiology), nurses, pre-hospital providers and health care administrators/regulators. This group drafted recommendations to improve care in relation to pre-hospital triage of STEMI patients, timely transfers of patients between facilities and issues of education for pre-hospital providers. In Connecticut, a "STEMI Protocol" was also drafted to offer statewide guidance for best practice regarding patients experiencing chest pain and subsequently diagnosed by pre-hospital EKG with a STEMI.

The "STEMI Protocol" was reviewed by the CT EMS Medical Advisory Committee (CEMSMAC) beginning in 2010. After significant work by the CEMSMAC, a revised version of the document entitled "CT EMS STEMI Guideline" was approved by the Commissioner of Public Health in January 2012.⁵⁶ OHCA encourages adherence to the CT EMS STEMI Guideline.

The foremost goal is for authorized EMS personnel to obtain a 12-lead electrocardiogram in the field for all patients suspected of myocardial infarction, thereby increasing the likelihood for percutaneous coronary intervention (PCI) within 90 minutes of first medical contact.

3.3.8 LIFE STAR

Life Star is a critical care helicopter service owned and operated by Hartford Hospital. Life Star responds to and provides transport for critical care patients who require tertiary care. Approximately 39% of transports are trauma related, 25% cardiac related, 14% pediatric, and 24% acute medical, including neonatal and high risk obstetrics. The Life Star program began operation in 1985 and currently operates two helicopters 24 hours a day, 7 days per week and is available to all emergency/critical care patients within a 150-mile radius of Life Star's two bases (the rooftop helipad at Hartford Hospital, and The William Backus Hospital in Norwich). Each helicopter can transport two patients and is generally able to be airborne within seven minutes and reach speeds of 155 miles per hour. According to OEMS, Hartford Hospital's Life Star program received approximately 629 service requests during 2010.

⁵⁵The Vermont Statutes Online, Title 24: Municipal and County Government, Chapter 71: AMBULANCE SERVICES 24 V.S.A. § 2651, Definitions

⁵⁶Connecticut EMS STEMI Guideline, CEMSMAC 2011; <u>http://www.ct.gov/dph/lib/dph/ems/pdf/stemi_patients.pdf</u>

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3.3.9 MOBILE FIELD HOSPITAL

According to CGS, a mobile field hospital is a modular, transportable facility used intermittently, deployed at the discretion of the Governor for the provision of medical services at a mass gathering, for the purpose of training, or in the event of a public health emergency. Mobile field hospitals can be used for triage, isolation or to provide surge capacity for a hospital during a mass casualty event or when an infrastructure failure occurs (Sec. 19a-487).

The Ottilie W. Lundgren Memorial Field Hospital is a multi-functional facility providing bed surge capacity, isolation capacity and an emergency/disaster medicine training facility for the healthcare delivery workforce, both civilian and military. It is deployable as a flexible configuration of 25 bed units that can be operated jointly or independently of one another to provide triage and treatment anywhere in the state in the event of a mass casualty, or to support an acute care hospital after catastrophic structural or mechanical failure. During long term deployments, resources from the state's 30 acute care hospitals and also the VA Hospital will staff the Field Hospital.

The Field Hospital can be removed from storage and assembled in hours anywhere in CT, and be ready to triage and treat hundreds of patients during any public health emergency. The Field Hospital comes with medical equipment, and is staffed by the dedicated volunteers of the Disaster Medical Assistance Team, or DMAT, to triage and treat patients who may become sick or injured as a result of a man-made or natural disaster.

3.4 CARDIAC SERVICES

3.4.1 RELATIONSHIP TO CERTIFICATE OF NEED

Connecticut General Statutes Section 19a-638(a)(8) specifies that a Certificate of Need is required for the establishment of cardiac services, including inpatient and outpatient cardiac catheterization, interventional cardiology and cardiovascular surgery. Connecticut hospitals seeking authorization to establish a cardiac program are required to demonstrate that they meet clear public need as well as other criteria set forth in Connecticut General Statutes Section 19a-639.

According to OHCA's Policies and Procedures, "interventional cardiology" is defined as non-surgical procedures performed in the cardiac catheterization laboratory for the treatment of coronary artery and peripheral vascular disease. Procedures include, but are not limited to, angioplasty, valvuloplasty, cardiac ablation, coronary thrombectomy, and congenital heart defect correction. Only those procedures authorized pursuant to CON may be performed by a health care facility or provider. Multiple cardiac services may be authorized under one CON decision. A facility that is authorized to provide open heart surgery is also authorized to provide the full range of cardiac procedures mentioned above.

3.4.2 CARDIAC CATHETERIZATION

Cardiac catheterization is defined as a medical procedure requiring the passage of a catheter into one or more cardiac chambers of the left and right heart, with or without coronary arteriograms, for the purpose of diagnosing congenital or acquired cardiovascular disease, or for determining measurement of blood pressure flow.

Connecticut hospitals seeking authorization to initiate an Elective PCI program without on-site cardiac surgery capabilities will be required to meet the conditions required in the ACCF/AHA/SCAI Practice Guideline and to demonstrate clear public need for the program. The guideline states that it is only appropriate to consider initiation of a PCI program without on-site cardiac surgical backup if this program will clearly fill a void in the healthcare needs of the community. Further, the guideline notes that competition with another PCI program in the same geographic area, particularly an established program with surgical backup, may not be in the best interests of the community.

3.4.3 PERCUTANEOUS CORONARY INTERVENTION (PCI)

Primary (emergent) Percutaneous Coronary Intervention (PCI) or Coronary Angioplasty (PCA) is an interventional procedure whereby a catheter, usually inserted into an artery in the groin, is threaded through the circulatory system to a previously diagnosed blockage in the heart. An expandable balloon is passed to this spot and inflated several times, thereby flattening the blockage-causing plaque, potentially widening the artery, and thus improving blood flow.

Elective (scheduled) Percutaneous Coronary Intervention (PCI) or Coronary Angioplasty (PCA) is an interventional procedure performed in a catheterization lab whereby a catheter, usually inserted into an artery in the groin, is threaded through the circulatory system to a previously diagnosed blockage in the heart. An expandable balloon is passed to this spot and inflated several times, thereby flattening the blockage-causing plaque, potentially widening the artery, and thus improving blood flow.

PCI and Elective PCI are often combined with the permanent placement of a small wire mesh tube called a stent to help prop the artery open and decrease the chance of it narrowing again. Some stents are coated with medication to help keep the artery open (drug-eluting stents), while others are not (bare-metal stents).

3.4.3.1 PCI without Surgical Backup

Authorization for Connecticut hospitals to perform elective catheter-based interventions for coronary artery disease has been limited in the past to hospitals with the ability to perform cardiac surgery on-site. However, increasing operator experience, improvements in surgical technique and major advances in technology and pharmacology have contributed to a progressive trend to allow PCI without on-site surgical backup. New evidence gives support to the positive effects that these medical advances have contributed to the significant reduction in emergency surgery following PCI.⁵⁷

In 2011, the American College of Cardiology Foundation/American Health Association/Society for Cardiovascular Angiography and Interventions (ACCF/AHA/SCAI) Practice Guideline for Percutaneous Coronary Intervention without on-site surgical backup was modified from a class III (not recommended, no benefit) to a class IIb (benefit is either equal to or greater than the risk) recommendation. In addition, the Cardiovascular Patient Outcomes Research Team (CPORT) Non-Primary PCI (CPORT-E) trial findings concluded in May 2012 that patients have no greater risk of

death or complications when they have elective PCI at a hospital without cardiac surgery backup.

Connecticut hospitals seeking authorization to initiate an Elective PCI program without on-site cardiac surgery capabilities will be required to meet the conditions required in the guideline and to demonstrate clear public need for the program. The guideline states that it is only appropriate to consider initiation of a PCI program without on-site cardiac surgical backup if this program will clearly fill a void in the healthcare needs of the community. Further, the guideline notes that competition with another PCI program in the same geographic area, particularly an established program

⁵⁷Shahian, D.M, Mayer, G.S., Yeh, R.W., Fifer, M.A. & Torchiana, D.F. (2012). Percutaneous Coronary Interventions without On-Site Cardiac Surgical Backup. *The New England Journal of Medicine*.

with surgical backup, may not be in the best interests of the community. The revised guideline also emphasizes that such programs adhere to rigorous clinical programmatic requirements and angiographic criteria for proper patient selection.

3.4.4 OPEN HEART SURGERY

Open heart surgery refers to a therapeutic operative procedure performed on the heart and/or its coronary arteries in order to correct anomalous conditions (for example, coronary artery bypass surgery, heart valve replacement), often using a heart-lung by-pass machine to perform the functions of circulation during surgery.

3.4.5 CURRENT SERVICE LOCATIONS

| | Cardiac | Primary | Elective | Open |
|------------------------------|-----------------|---------|----------|-------|
| Hospital | Catheterization | PCI | PCI | Heart |
| William. W. Backus | Х | | | |
| Bridgeport Hospital | Х | Х | Х | Х |
| Danbury Hospital | Х | Х | Х | Х |
| John Dempsey Hospital | Х | Х | Х | Х |
| Greenwich Hospital | Х | Х | | |
| Hartford Hospital | Х | Х | Х | Х |
| Lawrence & Memorial Hospital | Х | Х | | |
| Middlesex Hospital | Х | | | |
| Hospital of Central CT | Х | Х | | |
| Norwalk Hospital | Х | Х | | |
| Rockville General | Xª | | | |
| St. Francis Hospital | Х | Х | Х | Х |
| HCGW (Saint Mary/Waterbury) | Х | Х | Х | Х |
| Hospital of Saint Raphael | Х | Х | Х | Х |
| St. Vincent's Medical Center | Х | Х | Х | X |
| Stamford Hospital | Х | Х | Х | X |
| Yale-New Haven Hospital | Х | Х | Х | Х |

Table 3.10: Adult Cardiac Services in Connecticut⁵⁸

Source: CT DPH-OHCA Acute Care Hospital Service Line Survey, 2012

^aAlthough authorized to provide cardiac catheterization, the hospital has not been providing the service.

⁵⁸In addition to the adult cardiac programs, Connecticut Children's Hospital has a full-service cardiac program for children.

3.4.6 STANDARDS/GUIDELINES

The Office of Health Care Access has historically utilized professional societies and organizations held to be the experts for establishing standards and guidelines for cardiac care, and will continue to make appropriate use of their recommendations in the review and determination of CON applications. Examples of expert sources to be used in determining standards and guidelines include: the American College of Cardiology, the American Heart Association and the Advisory Council for Cardiothoracic Surgery.

PERCUTANEOUS CORONARY INTERVENTION (PCI)

Primary PCI

- 1. Based on ACC and AHA, Primary PCI for STEMI should be performed by experienced operators who perform more than 75 elective PCIs per year and, ideally, at least 11 PCI procedures for STEMI per year. Ideally, these procedures should be performed in institutions that perform more than 400 elective PCIs per year and more than 36 primary PCI procedures for STEMI per year.
- 2. A report by the American College of Cardiology/American Heart Association Task Force on practice guidelines, referring to Class II b, suggests that Primary PCI for patients with STEMI might be considered in hospitals without on-site cardiac surgery provided that appropriate planning for program development has been accomplished and includes: experienced physician operators (more than 75 total PCIs and, ideally, at least 11 primary PCIs per year for STEMI); an experienced catheterization team on a 24 hours per day; 7 day per week call schedule; a well-equipped catheterization lab with digital imaging equipment; a full array of interventional equipment; intra-aortic balloon pump capability; and a proven plan for rapid transport to a cardiac surgery operating room in a nearby hospital with appropriate hemodynamic support capability for transfer. Primary PCI should be limited to patients with STEMI or MI with new or presumably new LBBB on ECG and should be performed in a timely fashion (goal of balloon inflation within 90 minutes of presentation) by persons skilled in the procedure (at least 75 PCIs per year) and at hospitals that perform a minimum of 36 Primary PCI procedures per year. (Level of Evidence: B Limited populations evaluated. Data derived from a single randomized trial or nonrandomized studies).

Elective PCI

- 1. Elective/urgent PCI should be performed by operators with an acceptable annual volume (>75 procedures) at high volume centers (> 400 procedures) with on-site cardiac surgery (Level of Evidence: C)
- 2. Elective/urgent PCI should be performed by operators and institutions whose current risk-adjusted outcome statistics are comparable to those reported in contemporary national data registries, (Level of Evidence: C)
- 3. It is reasonable that low volume operators (< 75 PCI procedures per year) perform elective/urgent PCI at high volume centers (> 400 PCI procedures per year) with on-site cardiac surgery. Ideally, operators with an annual procedure volume of fewer than 75 procedures per year should only work at institutions with an activity level of more than 600 procedures per year. Operators who perform fewer than 75 procedures per year should develop a defined mentoring relationship with a highly experienced operator who has an annual procedural volume of at least 150 procedures per year. (Level of Evidence C)
- 4. It is not recommended that elective/urgent PCI be performed by low volume operators (< 75 PCI procedures per year) at low volume centers (200 to 400 procedures per year) with or without on-site cardiac surgery. An institution with a volume of fewer than 200 procedures per year, unless in a region that is underserved because of geography, should carefully consider whether it should continue to offer this service. (Level of Evidence: C)
- 5. The 2005 PCI Guideline does not establish an explicit minimum hospital volume threshold for elective PCI; there are multiple references in the context of operator and institutional competency to "centers" performing 200 400 elective PCI procedures per year.

CHAPTER 3 ACUTE CARE

- 6. The 2011 PCI Guideline states that operator and hospital volume recommendations have been maintained from the ACC/AHA/SCAI 2005 Guideline Update for Percutaneous Coronary Intervention.
 - a. PCI in Hospitals without On-Site Surgical Back-up is in the Class IIb category.

Class II b: Elective PCI might be considered in hospitals without on-site cardiac surgery, provided that appropriate planning for program development has been accomplished and rigorous clinical and angiographic criteria are used for proper patient selection (Level of Evidence: B)

Any hospital considering elective PCI without on-site-cardiac surgery must meet the criteria set forth in the 2011 ACCF/AHA/SCAI PCI Guideline, Section 4.8., PCI in Hospitals Without On-Site Surgical Backup: Recommendations (see link below):

http://circ.ahajournals.org/content/124/23/e574.full. pdf+html

Any hospital not meeting the 2011 ACCF/ANA/SCAI PCI Guideline criteria will be considered to be in Class III.

Class III–Harm: Primary and elective PCI should not be performed in hospitals without on-site cardiac surgery capabilities without a proven plan for rapid transport to a surgery operating room in a nearby hospital or without appropriate hemodynamic support capability for transfer. (Level of Evidence: C)



Quality and Performance Considerations for PCI Programs

Based on the 2011 American College of Cardiology Foundation/American Heart Association/Society for Cardiovascular Angiography and Interventions Guidelines for Percutaneous Coronary Intervention:

- 1. Every PCI program should operate a quality improvement program that routinely:
 - a) reviews quality and outcomes of the entire program;
 - b) reviews results of individual operators;
 - c) includes risk adjustment;
 - d) provides peer review of difficult or complicated cases; and
 - e) performs random case reviews (Level of Evidence: C)
- 2. Every PCI program should participate in a regional or national PCI registry for the purpose of benchmarking its outcomes against national norms. (Level of Evidence: C)

PCI quality and performance considerations are defined by attributes related to structure, processes, and risk adjusted outcomes. Structural elements include, for example: staffing, equipment, supplies, operator and institutional volumes, and the availability of electronic medical records. Processes include strategies for the appropriate patient, protocols for pre- and post-procedural care, appropriate procedural execution and management of complications, and participation in databases and registries for benchmarking program and individual operator performance. The end result of these structures and processes of care are risk-adjusted outcomes, and when available, are more reliable measures of quality than the institutional and individual operator volumes.⁵⁹

⁵⁹ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention. (2011). American College of Cardiology Practice Guidelines.

CARDIAC SURGERY/OPEN HEART SURGERY⁶⁰

- 1. Guidelines for Standards in Cardiac Surgery developed by the Advisory Council for Cardiothoracic Surgery and approved by the American College of Surgeons' Board of Regents in October 1996 Bulletin of the American College of Surgeons, Vol. 82, No. 2, February 1997:
 - a) An annual volume of at least 100 to 125 open heart procedures per hospital is necessary from a quality standpoint and there is a greater variation in adjusted mortality rates for teams doing lower volumes as compared with those doing a high volume
 - b) At least 200 procedures per year are necessary in order for a program to function efficiently
 - c) A team approach with a minimum of 2 qualified cardiac surgeons is recommended to provide adequate and continuous perioperative care as well as assistance in the OR
- 2. The following conditions must be met to initiate a new OHS program:
 - a) The annual caseload of other programs w/in the proposed PSA shall not drop below 350 procedures
 - b) Epidemiological evidence of conditions for which OHS is appropriate w/in the PSA or demonstrates a significant unmet need in the PSA for these procedures
 - c) Existing program(s) in the service area are performing at least 350 open heart surgeries annually
 - d) Evidence demonstrating the performance of a minimum of 200 open heart surgeries annually within the first three years of the start of the new Open Heart Surgery program

OTHER FACTORS FOR CONSIDERATION

Supplemental to the current guidelines and principles, as listed in Section 19a-639, CGS, OHCA may consider proposed service areas that include patients from those states that border Connecticut, i.e., Massachusetts, New York and Rhode Island, when reviewing a Certificate of Need request.

3.4.7 UTILIZATION OF CARDIAC CARE

Based on hospital discharge data, inpatient cardiac care is declining both in patient volume and overall patient days (Table 3.11 and Fig 3.4). From FY 2008 to FY 2011, Cardiac Care-Medical discharges dropped 6%, while the corresponding patient days declined by 3%. During the same time period, Cardiac Care-Surgical declined even more significantly, with both discharges (11%) and patient days (8%) falling.

Table 3.11: Cardiac Inpatient Discharges and Patient Days, Connecticut, FY 2008-2011

| Service | FY 2008 | Change 08-11 | Change 10-11 | | | |
|------------------------|---------|-----------------|-----------------|--------|------|-----|
| Cardiac Care -Medical | 43,072 | 41,747 | 41,795 | 40,542 | -6% | -3% |
| Cardiac Care -Surgical | 18,681 | 18,299 | 18,104 | 16,710 | -11% | -8% |
| Total Cardiac | 61,753 | 60,046 | 59,899 | 57,252 | -7% | -4% |

| | | Change | Change | | | |
|------------------------|---------|---------|---------|---------|-------|-------|
| Service | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 |
| Cardiac Care -Medical | 159,087 | 156,890 | 155,239 | 153,817 | -3% | -1% |
| Cardiac Care -Surgical | 95,344 | 93,054 | 90,455 | 87,361 | -8% | -3% |
| Total Cardiac | 254,431 | 249,944 | 245,694 | 241,178 | -5% | -2% |

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database



Figure 3.4: Connecticut Inpatient Cardiac Care

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

Cardiac discharges are greatest among the elderly; 64% of discharges in FY 2011 were for patients age 65 years of age and older (Figure 3.5).



Figure 3.5: Cardiac Discharges by Age (FY 2011)

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

3.4.8 UNMET NEED, GAPS IN SERVICES AND CAPACITY ISSUES

Identified areas of unmet need for cardiac care in the state are shown in Table 3.12. Patient demand (the number of residents from a given region that received treatment for cardiac medical services or surgery in a given year) is compared to the overall volume of cardiac patients served by hospitals in the same region. If hospital volumes are lower than patient demand, additional capacity may be needed and additional study of patient migration may be warranted.

Table 3.12: Bed Need for Inpatient Cardiac Services at Acute Care Hospitals, Connecticut, FY 07-10

| | | | | | | ſ | | | | | |
|-----------------|-------------------------|----------|----------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| | | | | | Dischar | ges | | | Patient | Days | |
| DEMHS Region | Hospitals | Service | | FY 2007 | FY 2008 | FY 2009 | FY 2010 | FY 2007 | FY 2008 | FY 2009 | FY 2010 |
| | | | Demand | 7,589 | 7,249 | 7,242 | 7,060 | 29,191 | 28,470 | 27,882 | 27,702 |
| | | Medical | Served | 8,642 | 8,345 | 8,412 | 8,230 | 32,392 | 32,060 | 31,436 | 31,124 |
| 7 | Eriageport, Norwalk, | | Surplus (-) or Deficit (+) | -1,053 | -1,096 | -1,170 | -1,170 | -3,201 | -3,590 | -3,554 | -3,422 |
| - | St Vincent's | | Demand | 3,418 | 3,340 | 3,031 | 2,926 | 17,810 | 16,340 | 15,777 | 14,429 |
| | | Surgical | Served | 3,850 | 3,728 | 3,263 | 3,205 | 19,693 | 17,724 | 16,198 | 15,181 |
| | | | Surplus (-) or Deficit (+) | -432 | -388 | -232 | -279 | -1,883 | -1,384 | -421 | -752 |
| | | | Dema nd | 10,202 | 10,250 | 9,967 | 10,664 | 36,304 | 37,646 | 36,740 | 38,338 |
| | | Medical | Served | 10,000 | 9,936 | 9,745 | 10,539 | 35,660 | 37,066 | 36,198 | 38,379 |
| ç | Yale, Griffin, Milford, | | Surplus (-) or Deficit (+) | 202 | 314 | 222 | 125 | 644 | 580 | 542 | -41 |
| 7 | St Raphael, MidState | | Demand | 4,243 | 4,119 | 4,088 | 4,176 | 21,406 | 21,045 | 20,583 | 20,451 |
| | | Surgical | Served | 5,117 | 5,118 | 5,323 | 5,441 | 25,969 | 26,043 | 26,558 | 25,675 |
| | | | Surplus (-) or Deficit (+) | -874 | 666- | -1,235 | -1,265 | -4,563 | -4,998 | -5,975 | -5,224 |
| | Dempsev. Saint | | Dema nd | 12,700 | 12,162 | 11,646 | 10,991 | 45,621 | 47,067 | 46,730 | 44,209 |
| | Francis, Bristol, | Medical | Served | 14,235 | 13,846 | 13,164 | 12,561 | 51,503 | 53,644 | 53,461 | 50,397 |
| C | HOCCT, Manchester, | | Surplus (-) or Deficit (+) | -1,535 | -1,684 | -1,518 | -1,570 | -5,882 | -6,577 | -6,731 | -6,188 |
| n | Hartford, CTCMC, | | Demand | 5,902 | 5,599 | 5,600 | 5,357 | 33,547 | 29,771 | 30,034 | 28,483 |
| | Middlesex, Rockville, | Surgical | Served | 7,704 | 7,280 | 7,172 | 6,951 | 43,656 | 39,799 | 39,143 | 38,204 |
| | nosnhol | | Surplus (-) or Deficit (+) | -1,802 | -1,681 | -1,572 | -1,594 | -10,109 | -10,028 | -9,109 | -9,721 |
| | | | Dema nd | 5,252 | 5,773 | 5,422 | 5,451 | 17,269 | 19,042 | 18,659 | 17,806 |
| | | Medical | Served | 4,772 | 5,187 | 4,904 | 4,926 | 14,865 | 16,203 | 15,676 | 15,085 |
| | Backus, L&M, Day | | Surplus (-) or Deficit (+) | 480 | 586 | 518 | 525 | 2,404 | 2,839 | 2,983 | 2,721 |
| 4 | Kimball, Windham | | Dema nd | 1,948 | 2,051 | 1,980 | 2,120 | 10,652 | 10,340 | 9,556 | 10,658 |
| | | Surgical | Served | 382 | 426 | 396 | 414 | 2,227 | 1,982 | 1,923 | 2,052 |
| | | | Surplus (-) or Deficit (+) | 1,566 | 1,625 | 1,584 | 1,706 | 8,425 | 8,358 | 7,633 | 8,606 |
| | | | Demand | 6,454 | 6,026 | 5,884 | 5,864 | 22,071 | 21,794 | 21,988 | 21,740 |
| | Danbury, New | Medical | Served | 6,183 | 5,758 | 5,522 | 5,539 | 20,718 | 20,114 | 20,119 | 20,254 |
| Ľ | Milford, Hungerford, | | Surplus (-) or Deficit (+) | 271 | 268 | 362 | 325 | 1,353 | 1,680 | 1,869 | 1,486 |
| ר | Sharon, St Mary's, | | Demand | 3,001 | 2,864 | 2,901 | 2,811 | 14,999 | 14,367 | 13,705 | 12,906 |
| | Waterbury | Surgical | Served | 2,164 | 2,129 | 2,145 | 2,093 | 10,302 | 9,796 | 9,232 | 9,343 |
| | | | Surplus (-) or Deficit (+) | 837 | 735 | 756 | 718 | 4,697 | 4,571 | 4,473 | 3,563 |
| | | | Demand | 1,635 | 1,612 | 1,586 | 1,765 | 4,682 | 5,068 | 4,891 | 5,444 |
| 0+0+0 for +0.0 | | Medical | Served | | | | | | | | |
| or Thknown | Distributed among | | Surplus (-) or Deficit (+) | | | | | | | | |
| Address | several hospitals | | Demand | 705 | 708 | 669 | 714 | 3,433 | 3,481 | 3,399 | 3,528 |
| | | Surgical | Served | | | | | | | | |
| | | | Surplus (-) or Deficit (+) | | | | | | | | |
| EU C | J -[F[11]] | | | - | | | | | | | |



3.5 CANCER TREATMENT

Oncology is the branch of medicine concerned with the study and treatment of cancer, including screening, diagnosis, therapy, follow-up and palliative care. It includes various sub-specialties such as radiation oncology (medical use of high-energy radiation to kill malignant cells), surgical oncology, and pediatric oncology. Chemotherapy, most generally the treatment of disease by chemicals, can be used for a range of diseases, but most frequently refers to antineoplastic drugs to treat cancer.

In Connecticut, there is no unique licensure category for cancer treatment. The American College of Surgeons, Commission on Cancer (CoC) administers an accreditation program that encourages hospitals, treatment centers, and other facilities to improve the quality of patient care by focusing on prevention, early diagnosis, pretreatment evaluation, staging, optimal treatment, rehabilitation, surveillance for recurrent disease, support services, and end-of-life care. Nationally, 80% of all newly diagnosed cancer patients are treated in CoC-accredited cancer programs.⁶¹ According to the Connecticut Cancer Partnership, 67% of acute care hospitals in Connecticut are CoC-accredited.⁶²

Connecticut's cancer treatment services are well distributed throughout the state. Acute care hospitals, cancer centers, free-standing oncology centers, and private practices, along with appropriate support services are accessible to the majority of Connecticut residents.⁶³

⁶¹American College of Surgeons Cancer Programs. Retrieved from <u>http://www.facs.org/cancer/coc/cocar.html</u>

⁶²Connecticut Cancer Partnership. Connecticut Cancer Plan 2009-2013. 63.

⁶³Connecticut Cancer Partnership.

3.5.1 CoC-ACCREDITED CANCER PROGRAMS

Connecticut's CoC-accredited cancer programs and their CoC-designated accreditation category are shown in Table 3.13. The CoC-accreditation categories describe the services available at the facility and may have customized requirements for selected standards based on facility type or the number of new patients receiving care each year.⁶⁴

| Commission on Cancer (CoC) Accreditation Category | Town | Hospital (s) | | |
|--|-------------|---|--|--|
| NCI-designated Comprehensive Cancer Center Program (NCIP) ^a | New Haven | Yale-New Haven Hospital | | |
| | Bridgeport | Bridgeport Hospital | | |
| | Farmington | University of Connecticut Health Center | | |
| Teaching Hespital Cancer Drogram (THCD) ^b | Hartford | Hartford Hospital | | |
| | Hartford | Saint Francis Hospital & Medical Center | | |
| | New Britain | The Hospital of Central Connecticut | | |
| | New Haven | Hospital of Saint Raphael | | |
| | Bridgeport | St. Vincent's Medical Center | | |
| | Danbury | Danbury Hospital | | |
| | Greenwich | Greenwich Hospital | | |
| | Meriden | MidState Medical Center | | |
| | Middletown | Middlesex Hospital | | |
| Company ity Hearitel Company hereive Concer Droomers (COMD) ^c | New London | Lawrence and Memorial Hospital | | |
| Community Hospital Comprehensive Cancer Program (COMP) | Manchester | Manchester Memorial Hospital | | |
| | Norwalk | Norwalk Hospital | | |
| | Norwich | William W. Backus Hospital | | |
| | Stamford | Stamford Hospital | | |
| | Matorbury | St. Mary's Hospital | | |
| | waterbury | Waterbury Hospital Health Center | | |
| | Bristol | Bristol Hospital | | |
| | Derby | Griffin Hospital | | |
| Community Hospital Cancer Program (CHCP) ^d | New Milford | New Milford Hospital | | |
| | Sharon | Sharon Hospital | | |
| | Torrington | The Charlotte Hungerford Hospital | | |
| Veterans Affairs Cancer Program (VACP) ^e | West Haven | VA Connecticut Healthcare System | | |

Table 3.13: Accredited Cancer Programs

^aSecures a National Cancer Institute (NCI) Cancer Center Support Grant and is designated a Comprehensive Cancer Center by the NCI. A full range of diagnostic and treatment services and staff physicians with major specialty board certification. Participates in clinical research. May train resident physicians. No minimum caseload required.

^bAssociated with a medical school and participates in training residents in at least four areas (medicine, surgery and two others). Offers full range of diagnostic and treatment services. Medical staff are board certified in the major medical specialties. Required to participate in clinical research. No minimum caseload required.

^cThe facility adds 650 or more new cancer cases each year and provides a full range of diagnostic and treatment services. The medical staff are board certified. Clinical research is required. Training resident physicians is optional.

^dThe facility adds between 100 and 649 new cancer cases each year and provides a full range of diagnostic and treatment services; but, referral for a portion of treatment is common. Medical staff are board certified. May participate in clinical research. Training of resident physicians is optional.

^eProvides care to military veterans and offers the full range of diagnostic and treatment services. Medical staff are board certified. Participation in clinical research is required, the training of resident physicians is optional. No minimum caseload.

⁶⁴Commission on Cancer (CoC) categories of accreditation. Retrieved from http://www.facs.org/cancer/coc/categories.html

3.5.2 CANCER SERVICES UTILIZATION

Inpatient cancer care is declining both in patient volume and overall patient days (Table 3.14). From FY 2008 to FY 2011, Cancer Care-Medical discharges dropped 4%, while patient days declined by 3%. During the same time period, Cancer Care-Surgical discharges declined (4%) and patient days fell 8%.

| | | Discha | arges | | Change | Change | Patient Days | | | | Change | Change |
|-----------------------|---------|---------|---------|---------|--------|--------|--------------|---------|---------|---------|--------|--------|
| Service Line | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 |
| Cancer Care - Medical | 7,933 | 7,761 | 7,997 | 7,627 | -4% | -5% | 52,106 | 50,875 | 51,824 | 50,681 | -3% | -2% |
| Cancer Care -Surgical | 3,191 | 3,307 | 3,226 | 3,064 | -4% | -5% | 16,870 | 16,734 | 15,295 | 15,590 | -8% | 2% |
| Total | 11,124 | 11,068 | 11,223 | 10,691 | -4% | -5% | 68,976 | 67,609 | 67,119 | 66,271 | -4% | -1% |

Table 3.14: Inpatient Cancer Utilization

Source: CT DPH Office of Health Care Access Acute Care Hospital Discharge Database

Cancer patients receive most treatment on an outpatient basis. Chemotherapy and radiation, for example, are delivered primarily in outpatient settings. Some patients receive chemotherapy at their doctor's office or may, in certain cases, be given an oral prescription that can be taken at home. From FY 2008 to FY 2011 chemotherapy visits increased by 17% (Table 3.15). This increase may be the result of new drugs, or combinations of drugs and/or delivery techniques provided to patients.

Positron Emission Tomography (PET) and Computed Tomography (CT) imaging are essential diagnostic tools physicians use to detect the presence and severity of cancer. PET/CT imaging helps physicians identify cancer, evaluate the extent of disease, select the most appropriate treatments, determine if the therapy is working and detect any recurrent tumors. From FY 2008 to FY 2011, PET volumes have fallen by 9% and PET/CT scans also fell by 4% (Table 3.15).

A linear accelerator (LINAC) is the machine used to treat cancer patients, using external beam radiation treatments. Radiation therapy uses high-energy radiation to kill cancer cells by damaging their DNA. Linear accelerator procedures increased by 4% from FY 2008 to FY 2011 (Table 3.15).

Table 3.15: Outpatient Cancer Services Utilization

| | | Disch | Change | Change | | |
|--|---------|---------|---------|---------|-------|-------|
| Service | FY 2008 | FY 2009 | FY 2010 | FY 2011 | 08-11 | 10-11 |
| PET Scans - Outpatient (Excluding ED) | 4,895 | 3,272 | 4,508 | 4,105 | -16% | -9% |
| PET/CT Scans - Outpatient (Excluding ED) | 10,619 | 11,062 | 10,599 | 10,185 | -4% | -4% |
| Linear Accelerator - Outpatient Procedures | 192,462 | 200,821 | 199,576 | 199,923 | 4% | 0.2% |
| Chemotherapy - Outpatient Visits | 96,291 | 102,003 | 103,554 | 112,775 | 17% | 9% |

Source: CT DPH Office of Health Care Access, Hospital Reporting System (HRS) Report 450