



Connie

Connecting People for Better Health

Board of Directors Meeting

June 3, 2020

OPENING

- Roll Call
- Approval: Board Meeting Minutes
- Approval: Meeting Agenda



REPORTS

- Board Chair Report
- Operations Report
- Executive Director Search Committee Report



BUSINESS AGENDA



Board Treasurer and Secretary



Update: Technical Assistance & Connectivity Program



Participation

- Medicaid Providers will be validated by the CT AVRS identifier
- Empanelment enables you to participate in data sharing through the submission of patient and provider rosters
- Clinical care summary enables you to participate through the electronic transmission of C-CDA (HL7 messages)



Milestones

- Milestone 1 – Legal (20%)
- Milestone 2 – Empanelment Use Case (30%)
- Milestone 3 – Clinical Care Summary Use Case (50%)



Redesign

- Easy to explain, understand and describe to organizations and stakeholders
- Streamlines payment to focus on two types of domains – individual organization and network connector
- Aligns payment with work per connection (not organization)
- Reallocates funding percentages with payment level needed for the type of work being completed in the milestone



Organizations vs. Connections

- The original TA program calculated funding allocations by organization. That number was 1100+ organizations.
- The redesigned TA program calculates funding based on the number of **connections** between EHRs and Connie.
- This helps target the available \$\$\$ to the **work** required to **connect** legally, technically and to participate in both empanelment and clinical care summary use cases.



Updated Funding Chart

Onboarding Domain	Participating Organizations	TA&C Funding	Milestone 1	Milestone 2	Milestone 3	# Connections
Individual Organization	Physician(s) and Clinician Provider Organization SNF, LTPAC Lab, Imaging Surgical or Ambulatory Care Behavioral Health	\$25,000	\$5,000	\$7,500	\$12,500	\$11.725 Million = 469 Connections
Network Connector	Health System HIE's State Agency State Program Hospital	\$75,000	\$15,000	\$22,500	\$37,500	\$2.85 Million = 38 Connections
Core HIE	Connie Technology					\$3 Million
					TOTAL	\$17,575,000



Auditor Evaluation and Selection



Background and Purpose

- A financial statement audit provides an independent, third-party report to management, shareholders and other interested parties that indicates whether an entity's financial statements provide reliable information
- HIA may be required to perform a Single audit under the Federal Uniform Guidance
- These services will only be necessary if expenditures in a given year exceed the thresholds established for Federal Uniform Guidance (currently at \$750,000 a year)
- Reviewing the auditor's qualifications is a critical step



Engagement Scope

➤ Annual Audit Services

- Financial Statement Audit
- Federal Uniform Guidance Audit (if necessary)
- State of Connecticut Single Audit (if necessary)

➤ Annual Tax Services

- IRS Form 990

➤ Communications

- Availability throughout the year to answer questions and provide advice to management and BODs
- Presentation of audit planning, audit results and required communications to the BODs



Identifying Quality Audit Firms

SELECTION CRITERIA	EVALUATION
EXPERIENCE AND PROFESSIONAL DEVELOPMENT	<ul style="list-style-type: none">• Evaluating whether the firm is equipped to provide a high-quality audit to HIA and its ability to demonstrate its qualifications in response to HIA's request for proposal. Evaluate whether the firm has experience, qualified staff, continuing education, etc.• Not only is overall experience important, but also experience relevant to HIA (healthcare, not-for-profit, etc.) is a component of the firm's qualifications
IDENTIFYING QUALITY AUDIT FIRMS	<ul style="list-style-type: none">• The AICPA established the profession's Peer Review Program to enhance the quality of accounting, auditing and attestation services performed by its members in public practice. Reviewing the results of a firm's peer review provide a look at quality control systems and adherence to the profession's standards is part of the evaluation process




Identifying Quality Audit Firms

SELECTION CRITERIA	EVALUATION
INDEPENDENCE	<ul style="list-style-type: none">• CPA professional standards require that the auditor be independent for purposes of auditing financial information and issuing an auditor's report on the financial statements. The auditor's independence is essential for a successful audit because it enables him or her to approach the audit with the necessary professional skepticism. Independent auditors must adhere to rules of auditor independence established by the AICPA (and the SEC and the Public Company Accounting Oversight Board, if applicable)
LICENSING	<ul style="list-style-type: none">• CPA firms are required to meet the licensing requirements of the state(s) in which they practice and the audit firm holds a valid, up-to-date license or certificate to perform auditing services



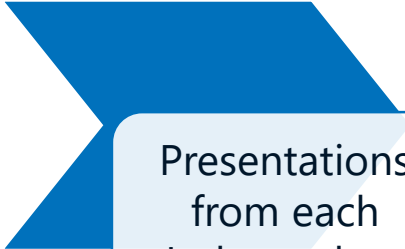
Proposed Next Steps




Consideration
of Finance/
Audit
Committee/
Audit Task
Force



Review of
Request for
Quotes (RFQ)
& Summary
Comparative
Analysis



Presentations
from each
Independent
Auditing Firm
in July



Board Selects
Independent
Accountant



Policies: Second Read and Adoption

- Data Governance
- HIPAA Privacy and Security
- Board Approval of Compensation



CONSENT AGENDA

- Policy – First Read: Cybersecurity
- Executive Session



Adjournment

