

APCD Data Release Committee

December 13, 2022



Welcome and Call to Order

Agenda

Agenda Item

Welcome and Call to Order

Public Comment

Centering Equity and Interagency Data Efforts

Review APCD-DRC Charter Amendment

Action: Review and Approve Minutes: October 11, 2022

Update on APCD Applications and Releases

General Discussion

Action: Meeting Adjournment

Public Comment

(2 minutes per commenter)

Centering Equity and Interagency Data Efforts

Scott Gaul, Chief Data Officer & Katie Breslin, OPM



P20•WIN

CENTERING EQUITY AND INTERAGENCY
DATA EFFORTS

December 13, 2022 Meeting

AISP EQUITY IN PRACTICE LEARNING COMMUNITY GRANT

- This grant will allow us to develop sustainable procedures and tools for incorporating resident perspectives and equity into state data integration efforts.
- Grant objectives include:
 - a. Complete planning and launch a Resident Advisory Board for P20 WIN
 - b. Develop equity and ethics impact assessment tools and related rubrics for review of data requests
 - c. Identify opportunities to increase collection and reporting of standardized race, ethnicity, and language data
 - d. Develop procedures and tools to report publicly on efforts to promote equity through data integration

OVERVIEW

- [P20 WIN](#) is Connecticut's state longitudinal data system and is the mechanism by which data from multiple agencies are matched to address critical policy questions. P20 WIN informs sound policies and practice through secure sharing of longitudinal data across participating agencies to ensure that individuals successfully navigate supportive services and educational pathways into the workforce.
- P20 WIN is a federated data system that has been operational since 2014. P20 WIN is used to answer policy questions, fulfill federal and state reporting requirements; support program review; inform school districts of postsecondary outcomes; provide employment and wage outcome data; and support research and analysis on a variety of topics.

MEMBERSHIP

- **Participating Agencies**
 - Department of Labor (DOL)
 - State Department of Education (SDE)
 - Office of Early Childhood (OEC)
 - CT State Colleges and Universities (CSCU)
 - University of Connecticut (Uconn)
 - Department of Social Services (DSS)
 - Department of Children and Families (DCF)
 - Office of Higher Education (OHE)
 - CT Conference of Independent Colleges (CCIC)
 - CT Coalition to End Homelessness (CCEH)
 - Department of Mental Health and Addiction Services (DMHAS)
- **Operating Group**
 - Office of Policy and Management (OPM)

GOVERNANCE STRUCTURE

- P20 WIN has an **Executive Board**, a **Data Governing Board** and **Data Stewards** that provide the structure to create and implement the necessary policies and procedures for a multi-agency Data Sharing system to address broad policy questions and state needs.
 - Respond to data requests.
 - Determine authorized Users and access rights.
 - Develop and maintain a cross-agency Data Dictionary
 - Add, Suspend and Readmit Participating Agencies
 - Responsible for P20WIN Policies, Staffing and Financial Support
- **Data Stewards** are identified by each Participating Agency to maintain and create datasets for approved data requests.
- The **Resident Advisory Board** will provide accountability and transparency for data requests that seek to use data from marginalized communities. RAB members will have lived experience in poverty and have been or are currently users of state services.



P20 WIN EQUITY COMMITMENTS

- Executive Board duties and responsibilities:
 - Assist the Data Sharing process to ensure that the work always promotes equity and that research and data sharing efforts do not disparately impact consumers or families
 - Develop a plan to establish a Resident Advisory Board with the same goal, and to also advise agencies in earning public trust, preventing misuse of data and advise on questions about consent, privacy, data retention / destruction
- Data Governing Board duties and responsibilities:
 - Establish a process to regularly review, and on an annual basis, produce a report on the efforts of P20 WIN to promote equity and that research and data sharing efforts do not disparately impact consumers or families

CURRENT P20 WIN PROCESS

- All data requestors describe potential impact for review by committee members:

SECTION 5 – PLANS FOR DATA ANALYSIS AND REPORTING FINDINGS (To be completed by data requestor)

1. What are the plans for data analysis for the project?

Click or tap here to enter text.

2. What is the planned process for dissemination or publication of results before release?

Click or tap here to enter text.

3. How will this project use data as a public asset to advance social good, making best use of public resources? What steps will be taken to ensure that one's racial identity does not influence how one fares in society? This includes the creation of racially-just policies, practices, attitudes, and cultural messages, and the elimination of structures that reinforce differential experiences and outcomes by race.

Click or tap here to enter text.

SAMPLE PEER IDS PROCESS (NORTH CAROLINA HHS)

- All data requestors describe potential impact for review by committee members:

8. Please describe the security characteristics of the location where the NCDHHS data will be stored (e.g. physical and technical safeguards, encryption applied to transmissions as well as files at rest, etc.).

9. How will you address issues of racial equity and bias within this research?

10. How will you ensure that privacy risks of re-disclosure or re-identification are mitigated?

11. How will the findings from this research be used and disseminated?



Review APCD-DRC Charter Amendment

Dr. Patricia Checko & OHS

Centering Equity Language Addition

- APCD Advisory Group met on November 10th, 2022.
 - Reviewed the APCD-DRC charter
 - A member asked that language be included in the charter to align better with PA 21-35 (REL)

APCD-DRC Charter Amendment

Article 2: Purpose

Section 1: The purpose of the APCD-DRC shall be to:

- (i) review and approve or deny Data Release Applications submitted by Applicants for the release of Data (in accordance with policy and established procedures);
- (ii) provide support to the OHS during the receipt and review of Data Release Applications; **and**
- **(iii) assess the potential impact of the release of data on Connecticut residents, including for disparate impact by race, ethnicity, language, sex, gender identity, disability status or other factors.**

Discussion

- How can the DRC operationalize this new language?

Review and Approve Minutes

October 11, 2022 Meeting

Update on APCD Applications and Releases

Olga Armah, OHS

APCD Applications and Aggregate Data Requests

- **One application in queue :**
 - Hammurabi Insurance Services – Application received
- **One aggregate data request:**
 - James Messina – Request received
 - Claim count of patients with specific diagnoses that received certain procedures

APCD Applications - Status

- **One application in queue :**
 - Hammurabi Insurance Services
- Project / Research Title: Predictors of high-cost medical utilization and comorbidity burden among US individuals with public and private insurance
 - Research question(s) addressed: “This study will entail a retrospective, administrative claims analysis utilizing pharmacy, medical, and eligibility data from public and private health insurers across the US. Data will be de-identified and the project is IRB reviewed.”
- Status: OHS administrative review

Aggregate Data Request - Status

- **Aggregate request:**
 - Claim count for CPT surgery codes
 - Patients diagnosed with ICD codes and underwent CPT procedures below.
 - ICD 9: 836.(0-4), 836.5(0-4, 9), 836.6(0-4, 9), 844.(0-2, 8), 717.(0-5, 8)
 - ICD 10: S83.1(0-4, 9)6A, S81.009A
 - CPT: 29888-29889, 27405, 27409, 27427-27429 Time from injury to surgery.
 - Status:
 - Pending — Need more information from Requestor

Releases – 2022 Approved De-identified Data Requests

Data Extracts

1.	UConn School of Medicine – Suicide and Opioid prescribing and its consequences
2.	Apperture, LLC – Medication adherence of CT persons diagnosed with three chronic disease conditions: asthma, diabetes, and cancer
3.	Yale University School of Public Health – Study on HPV/Genital Warts
4.	Federal Trade Commission – CT bordering state hospital merger investigation <i>(Friendly federal subpoena to State)</i>
REFRESH 5.	Yale University – Study on population health total cost of care and care continuity enhancement
NEW 6.	Yale University School of Public Health - Understanding Trends in Healthcare Use, Cost, and Outcomes for Populations with Chronic Conditions

APCD Data Types & Years Available

The APCD comprises **medical, pharmacy, and dental*** claims information from enrollment and eligibility files

Payer Source	Claim Type	Years Available
Commercial** - Fully insured claims - State Employees & Retirees - Medicare Advantage (Medical only)	Eligibility/Enrollment Medical claims Pharmacy claims	1/1/2012 – 6/30/2022
Medicaid	Eligibility/Enrollment Medical claims Pharmacy claims	1/1/2015 – 6/30/2022
Medicare	Eligibility/Enrollment Medical claims Pharmacy claims	1/1/2012- 12/31/2019 1/1/2012 – 12/31/2018

*Collection to begin in 2023

**Anthem, Aetna, Cigna East, Cigna West, ConnectiCare, United Healthcare, HealthyCT, Harvard Pilgrim, Optum Health, Oxford, WellCare Health, eviCORE Healthcare, Express Scripts, Caremark

Reporting threshold – 3,000 members

General Discussion

Wrap up and Adjournment

Next Meeting: January 10, 2023