



# CONNECTICUT QUALITY BENCHMARK INITIATIVE

Implementation Manual

Version 3.0

June 18, 2024

# Version History

| Version Number | Release Date     | Summary of Changes   |
|----------------|------------------|--|
| 1.0            | October 5, 2022  |  |
| 1.1            | November 2, 2022 | <ul style="list-style-type: none"> <li>Updated specifications for Asthma Medication Ratio to request commercial performance in two age bands (ages 5 to 18 and ages 19 to 64) rather than one age band (ages 5 to 64).</li> </ul>  |
| 2.0            | June 26, 2023    | <ul style="list-style-type: none"> <li>Removed Medical Professional Services (ID 105) from the list of Advanced Networks to be reported on.</li> <li>Added Wellcare to the list of insurance carriers required to report Quality Benchmark data.</li> <li>Clarified that OHS will not publicly report insurer and Advanced Network performance for measures that do not meet a minimum denominator size.</li> <li>Clarified that for clinical data measures, insurers may calculate performance using a sample rather than the full population, but carriers should not submit performance for clinical data measures calculated using only administrative data.</li> <li>Updated specifications to reflect that insurance carriers should use NCQA-HEDIS® MY 2022 specifications for calendar year 2022 performance.</li> <li>Added a new Appendix B with instructions for how the Department of Social Services (DSS) will submit Quality Benchmark data.</li> </ul> |

| Version Number | Release Date  | Summary of Changes   |
|----------------|---------------|--|
| 3.0            | June 18, 2024 | <ul style="list-style-type: none"> <li>• Updated the Quality Benchmark measures and values as follows: <ul style="list-style-type: none"> <li>○ Added interim Quality Benchmark values for 2024 for the Phase 2 Quality Benchmark measures.</li> <li>○ Modified the 2025 values for the Phase 2 Quality Benchmark measures based on recent Connecticut performance.</li> <li>○ Added additional monitoring with associated targets for the Obesity Equity Measure.</li> <li>○ Indicated that <i>HbA1c Control for Patients with Diabetes: HbA1c Poor Control</i> will transition to <i>Glycemic Status Assessment for Patients with Diabetes (&lt;9.0%)</i> for the 2024 performance year, in alignment with NCQA’s specification changes for this measure. Due to the significant specification changes, <i>Glycemic Status Assessment for Patients with Diabetes (&lt;9.0%)</i> will not be reported against a benchmark value for MY 2024. OHS will re-evaluate the 2025 Quality Benchmark value after performance is reported for MY 2024 with the potential to adjust the MY 2025 Quality Benchmark value.</li> </ul> </li> <li>• Updated specifications to reflect that insurance carriers should use NCQA-HEDIS® MY 2023 specifications for calendar year 2023 performance.</li> <li>• Clarified that insurers should not use OHS’ TIN-based definition of Advanced Networks that is to be employed for reporting of Cost Growth Benchmark performance unless the TIN-based definition aligns with the insurer’s value-based contract with the Advanced Network.</li> <li>• Updated Advanced Network names and</li> </ul> |

| Version Number | Release Date | Summary of Changes  |
|----------------|--------------|---|
|                |              | <p>organizational IDs as follows:</p> <ul style="list-style-type: none"> <li>○ Name change for Advanced Network ID 102 from Connecticut Children’s Medical Center to Connecticut Children’s Care Network for clarity.</li> <li>○ Name change for Advanced Network ID 101 from Community Medical Group to Privia Quality Network of Connecticut (PQN CT) after purchase.</li> <li>○ Removed ProHealth (Advanced Network ID 111) from the list of Advanced Networks to be reported on.</li> <li>○ Name change for Advanced Network ID 129 from WestMed Medical Group to Summit Health following partnership.</li> </ul> |

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## I. Overview

On January 22, 2020, Governor Lamont signed [Executive Order No. 5](#) directing the establishment of Quality Benchmarks and directing the Office of Health Strategy (OHS) to develop annual Quality Benchmarks for Calendar Year (CY) 2022–2025. In 2021, OHS selected seven Quality Benchmark measures and Benchmark values for phased implementation, per the recommendation of the OHS Quality Council, its key advisory body on quality measurement.<sup>1</sup> During the 2022 legislative session, Connecticut General Statute [19a-754g et. Seq.](#) codified Executive Order No. 5's provisions into law. It also created new reporting requirements for the Quality Benchmarks, including requiring that OHS collect and report on payer and provider entity performance on the Quality Benchmarks. This manual contains the technical and operational steps for collecting and reporting on the Quality Benchmarks.

**OHS Contact Information:** For questions about this manual or the data submission template, please contact Hanna Nagy at [Hanna.Nagy@ct.gov](mailto:Hanna.Nagy@ct.gov).

**Attachment 1.** Insurance Carrier MY23 Quality Benchmark Data Submission Template <https://portal.ct.gov/OHS/Pages/Guidance-for-Payer-and-Provider-Groups/Quality-Benchmark-Implementation-Manual>

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<sup>1</sup> Connecticut Office of Health Strategy. "Connecticut Quality Benchmarks." Accessed May 15, 2023 from <https://portal.ct.gov/-/media/OHS/Quality-Council/Quality-Benchmarks/Quality-Benchmarks-Report-May-2022.pdf>.

## II. Definitions of Key Terms

**Advanced Network:** An organized group of clinicians that come together for the purposes of contracting, or are an established billing unit that, at a minimum, includes primary care providers, and that collectively, during any given calendar year, has enough attributed lives to participate in total cost of care contracts, even if it is not engaged in a total cost of care contract with one or more payers. This term is equivalent to “provider entities” referenced in Connecticut General Statute 19a-754g et. Seq.

**Health Care Effectiveness Data and Information Set (HEDIS®):** Standardized performance measures developed and maintained by the National Committee for Quality Assurance (NCQA). These measures are designed to allow consumers and purchasers to compare plans against national or regional benchmarks.

**Healthcare Cost Growth Benchmark (“Benchmark”):** The targeted annual per person growth rate for Connecticut’s total healthcare spending, expressed as the percentage growth from the prior year’s per spending. The benchmark is set on a calendar year basis (i.e., benchmarks for each calendar year).

**Insurance Carriers (Carriers):** Private health insurance companies that offer one or more of the following products: commercial insurance, benefit administration for self-insured employers, and Medicare Advantage.

**Market:** The highest levels of categorization of the health insurance market. For example, Medicare and Medicare MCO are collectively referred to as the “Medicare Market.” Medicaid Fee-for-Service is referred to as the “Medicaid Market.” Individual, self-insured, small and large group, and student health insurance markets are collectively referred to as the “Commercial Market.”

**Measurement Year (MY):** The measurement year is the calendar year for which Quality Benchmark performance is collected and reported.

**National Committee for Quality Assurance (NCQA):** A private organization that works to improve health care quality through the administration of evidence-

based standards, measures, programs and accreditation.

**Net Cost of Private Health Insurance (NCPHI):** The costs to Connecticut residents associated with the administration of private health insurance (including Medicare Advantage). It is defined as the difference between premiums earned and benefits incurred, and includes insurers' costs of paying bills, advertising, sales commissions, and other administrative costs, net additions or subtractions from reserves, rate credits and dividends, premium taxes and profits or losses.

**Payer:** A term used to refer collectively to both insurers and public programs that pay health care providers for healthcare services.

**Quality Benchmarks:** Annual measures and target values that all public and private payers, providers, and the State must work to achieve to improve healthcare quality in the State beginning on January 1, 2022 as per Executive Order No. 5 and Connecticut General Statute 19a-754g et. Seq. They are intended to ensure the maintenance and improvement of healthcare quality as the State in parallel implements the cost growth benchmark and the primary care spending target.

### III. Quality Benchmark Measures and Values

This section includes the Quality Benchmark measures and values and provides an overview of OHS' process for establishing, reviewing and updating the measures and values.

#### A. Process for Establishing Quality Benchmarks

[Executive Order No. 5](#) tasked OHS' Quality Council with providing recommendations on the Quality Benchmark measures and values. The Quality Council consists of healthcare providers, health insurers, patient advocates, consumer representatives, state agencies and other experts from across the Connecticut healthcare sector.<sup>2</sup> The Quality Council developed its recommendations for the Quality Benchmarks over the course of six meetings from June 2021 to December 2021. For a full description of the Quality Council's process for reviewing and recommending measures for the Quality Benchmark program, please see OHS' [Connecticut Quality Benchmarks Report](#).

#### Quality Benchmark Measures

The Quality Council recommended seven measures for the Quality Benchmarks. OHS accepted the Quality Council's recommendations and decided to implement the measures in a phased approach to reduce reporting burden. Phase 1 measures became effective on January 1, 2022. Phase 2 measures became effective on January 1, 2024. **Table 1** below includes the 2022-2025 Quality Benchmark measures.

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<sup>2</sup> A list of Quality Council members is available at:  
<https://portal.ct.gov/OHS/Pages/Quality-Council/Members>.

**Table 1. 2022–2025 Quality Benchmark Measures**

| Quality Benchmark Measure  | Steward <sup>3</sup> | Description  |
|--|----------------------|--|
| <b>Phase 1 Measures (2022–2025)</b>  |                      |  |
| <b>Asthma Medication Ratio</b><br>(Ages 5–18 and Ages 19–64)                                     | NCQA                 | Percentage of patients (ages 5–18 and 19–64 years of age) who were identified as having persistent asthma and had a ratio of controller medications to total asthma medications of 0.50 or greater during the measurement year |
| <b>Controlling High Blood Pressure</b>   | NCQA                 | Percentage of patients 18 to 85 years of age who had a diagnosis of hypertension and whose blood pressure was adequately controlled (<140/90 mmHg) during the measurement year   |
| <b>Hemoglobin A1c (HbA1c) Control for Patients with Diabetes: HbA1c Poor Control<sup>4</sup></b> | NCQA                 | Percentage of patients 18–75 years of age with diabetes (types 1 and 2) who had hemoglobin A1c > 9.0% during the measurement period  |
| <b>Phase 2 Measures (2024–2025)</b>  |                      |  |
| <b>Child and Adolescent Well-Care Visits</b>   | NCQA                 | Percentage of members 3–21 years of age who had at least one comprehensive well-care visit with a primary care provider or an OB/GYN practitioner during the measurement year  |
| <b>Follow-up After Emergency Department (ED) Visit for Mental Illness (7-day)</b>                | NCQA                 | Percentage of ED visits for members 6 years of age and older with a principal diagnosis of mental illness or intentional self-harm, who had a follow-up visit for mental illness within 7 days of the ED visit                 |

<sup>3</sup> CT OHS: Connecticut Office of Health Strategy  
 BRFSS: Behavioral Risk Factor Surveillance System  
 NCQA: National Committee for Quality Assurance

<sup>4</sup> *HbA1c Control for Patients with Diabetes: HbA1c Poor Control* will transition to *Glycemic Status Assessment for Patients with Diabetes (<9.0%)* for the 2024 performance year, in alignment with NCQA’s specification changes for this measure.

| Quality Benchmark Measure  | Steward <sup>3</sup>                         | Description   |
|--|--|---|
| <b>Follow-up After Hospitalization Visit for Mental Illness (7- day)</b> | NCQA   | Percentage of discharges for members 6 years of age and older who were hospitalized for treatment of selected mental health disorders and who had an outpatient visit, an intensive outpatient encounter, or partial hospitalization with a mental health practitioner within 7 days of discharge |
| <b>Obesity Equity Measure<sup>5</sup></b>                                | CT OHS (using data from BRFSS <sup>6</sup> ) | A ratio of statewide obesity rates for the Black, non- Hispanic population and the White, non- Hispanic population  |

<sup>5</sup> In addition to reporting statewide performance on the Obesity Equity Measure, OHS will also report the three-year rolling average obesity rate for the Black, non-Hispanic population.

<sup>6</sup> The Behavioral Risk Factor Surveillance System (BRFSS) is a health survey administered by state health departments examining behavioral risk factors.

## B. Quality Benchmark Values

After recommending Quality Benchmark measures, the Quality Council considered Benchmark values for each measure. The Quality Council recommended setting separate Benchmark values for each market, i.e., the commercial, Medicaid, and Medicare Advantage markets. This approach acknowledges that the baseline performance for each measure varies by market. To recommend initial Quality Benchmark values, the Council considered Connecticut's market-specific performance in 2019 and selected 2025 Benchmark values after considering market-specific national and New England performance. For each measure, the Quality Council strived to select 2025 Benchmark values that:

1. motivated meaningful quality improvement;
2. could reasonably be attained by 2025 and
3. were equally ambitious for each market (i.e., the difference in the baseline rate and the 2025 Benchmark value for each measure should be similar across markets).

The Quality Council also developed recommendations for interim annual Benchmark values for 2022, 2023 and 2024 for the Phase 1 Quality Benchmark measures. The Quality Council recommended setting the 2022 Benchmark value at the 2019 baseline rate and the Quality Council recommended setting 2023 and 2024 Benchmark values that reflected equal annual performance improvement. For a full description of the Quality Council's process for recommending the original Quality Benchmark values for the Quality Benchmark program, please see OHS' [Connecticut Quality Benchmarks Report](#). OHS accepted the Quality Council's recommendations for Quality Benchmark values for all measures.

In 2023, with guidance from the Quality Council, OHS established interim Quality Benchmark values for 2024 for the Phase 2 Quality Benchmark measures and modified the 2025 values for the Phase 2 Quality Benchmark measures based on more recent Connecticut performance. Finally, per the Quality Council's recommendation, OHS added additional monitoring with associated targets for the *Obesity Equity Measure*.

**Table 2**, **Table 3**, and **Table 4** include the Benchmark values for the commercial, Medicaid, Medicare Advantage markets, respectively. **Table 5** includes the statewide

Benchmark values for the *Obesity Equity Measure*.

**Table 2. Commercial Quality Benchmark Values**

| Quality Benchmark Measure   | 2022 Value | 2023 Value | 2024 Value        | 2025 Value | Percentage Point Improvement <sup>7</sup> |
|---|------------|------------|-------------------|------------|---|
| <b>Phase 1 Measures</b>   |            |            |                   |            |   |
| Asthma Medication Ratio (Ages 5 – 18)                                       | 79%        | 81%        | 83%               | 86%        | Three-year: 7%<br>Annual: 2%              |
| Asthma Medication Ratio (Ages 19 – 64)                                      | 78%        | 80%        | 82%               | 85%        | Three-year: 7%<br>Annual: 2%              |
| Controlling High Blood Pressure   | 61%        | 63%        | 65%               | 68%        | Three-year: 7%<br>Annual: 2%              |
| HbA1c Control for Patients with Diabetes: HbA1c Poor Control <sup>8,9</sup> | 27%        | 26%        | N/A <sup>10</sup> | 23%        | Three-year: 4%<br>Annual: 1%              |
| <b>Phase 2 Measures</b>   |            |            |                   |            |   |
| Child and Adolescent Well-Care Visits                                       | N/A        | N/A        | 80%               | 82%        | One-year: 2%                              |

<sup>7</sup> Annual percentage point improvement values may not be even due to rounding.

<sup>8</sup> *HbA1c Control for Patients with Diabetes: HbA1c Poor Control* will transition to *Glycemic Status Assessment for Patients with Diabetes (<9.0%)* for the 2024 performance year, in alignment with NCQA’s specification changes for this measure.

<sup>9</sup> A lower rate indicated better performance.

<sup>10</sup> Due to significant specification changes by the National Committee for Quality Assurance (NCQA) for measurement year (MY) 2024, this measure will not be reported against a benchmark value for MY 2024. OHS will re-evaluate the 2025 Quality Benchmark value after performance is reported for MY 2024 with the potential to adjust the MY 2025 Quality Benchmark value.

| Quality Benchmark Measure                                  | 2022 Value | 2023 Value | 2024 Value | 2025 Value | Percentage Point Improvement <sup>7</sup> |
|--|------------|------------|------------|------------|---|
| Follow-up After ED Visit for Mental Illness (7-day)        | N/A        | N/A        | 64%        | 66%        | One-year: 2%                              |
| Follow-up After Hospitalization for Mental Illness (7-day) | N/A        | N/A        | 67%        | 69%        | One-year: 2%                              |

**Table 3. Medicaid Quality Benchmark Values**

| Quality Benchmark Measure   | 2022 Value | 2023 Value | 2024 Value        | 2025 Value | Percentage Point Improvement <sup>11</sup> |
|---|------------|------------|-------------------|------------|--|
| <b>Phase 1 Measures</b>   |            |            |                   |            |  |
| Asthma Medication Ratio (Ages 5 – 18)   | 66%        | 68%        | 70%               | 73%        | Three-year: 7%<br>Annual: 2%               |
| Asthma Medication Ratio (Ages 19 – 64)  | 63%        | 65%        | 67%               | 70%        | Three-year: 7%<br>Annual: 2%               |
| Controlling High Blood Pressure   | 61%        | 63%        | 65%               | 68%        | Three-year: 7%<br>Annual: 2%               |
| HbA1c Control for Patients with Diabetes: HbA1c Poor Control <sup>12,13</sup> | 37%        | 36%        | N/A <sup>14</sup> | 33%        | Three-year: 4%<br>Annual: 1%               |
| <b>Phase 2 Measures</b>   |            |            |                   |            |  |
| Child and Adolescent Well-Care Visits <sup>9</sup>                            | N/A        | N/A        | 66%               | 68%        | One-year: 2%                               |
| Follow-up After ED Visit for Mental Illness (7-day)                           | N/A        | N/A        | 60%               | 62%        | One-year: 2%                               |
| Follow-up After Hospitalization for Mental Illness (7-day)                    | N/A        | N/A        | 53%               | 55%        | One-year: 2%                               |

<sup>11</sup> Annual percentage point improvement values may not be even due to rounding.

<sup>12</sup> *HbA1c Control for Patients with Diabetes: HbA1c Poor Control* will transition to *Glycemic Status Assessment for Patients with Diabetes (<9.0%)* for the 2024 performance year, in alignment with NCQA’s specification changes for this measure.

<sup>13</sup> A lower rate indicated better performance.

<sup>14</sup> Due to significant specification changes by the National Committee for Quality Assurance (NCQA) for measurement year (MY) 2024, this measure will not be reported against a benchmark value for MY 2024. OHS will re-evaluate the 2025 Quality Benchmark value after performance is reported for MY 2024 with the potential to adjust the MY 2025 Quality Benchmark value.

**Table 4. Medicare Advantage Quality Benchmark Values**

| Quality Benchmark Measure   | 2022 Value | 2023 Value | 2024 Value        | 2025 Value | Percentage Point Improvement <sup>15</sup> |
|---|------------|------------|-------------------|------------|--|
| <b>Phase 1 Measures</b>   |            |            |                   |            |  |
| Controlling High Blood Pressure   | 73%        | 75%        | 77%               | 80%        | Three-year: 7%<br>Annual: 2%               |
| HbA1c Control for Patients with Diabetes: HbA1c Poor Control <sup>16,17</sup> | 20%        | 18%        | N/A <sup>18</sup> | 15%        | Three-year: 5%<br>Annual: 2%               |

**Table 5. Statewide Quality Benchmark Values**

| Quality Benchmark Measure               | 2022 Value | 2023 Value | 2024 Value | 2025 Value | Ratio Improvement |
|---|------------|------------|------------|------------|-------------------|
| <b>Phase 2 Measures</b>                 |            |            |            |            |                   |
| Obesity Equity Measure <sup>19,20</sup> | N/A        | N/A        | 1.42       | 1.38       | One-year: 0.04    |

<sup>15</sup> Annual percentage point improvement values may not be even due to rounding.

<sup>16</sup> *HbA1c Control for Patients with Diabetes: HbA1c Poor Control* will transition to *Glycemic Status Assessment for Patients with Diabetes (<9.0%)* for the 2024 performance year, in alignment with NCQA's specification changes for this measure.

<sup>17</sup> A lower rate indicates better performance.

<sup>18</sup> Due to significant specification changes by the National Committee for Quality Assurance (NCQA) for measurement year (MY) 2024, this measure will be reporting only for MY 2024. OHS will re-evaluate the 2025 Quality Benchmark value after performance is reported for MY 2024 with the potential to adjust the MY 2025 Quality Benchmark value.

<sup>19</sup> This measure is the ratio of statewide obesity rates for Black, non-Hispanic population and White, non-Hispanic population. A rate of 1 indicates that the statewide obesity rates for both populations are identical.

<sup>20</sup> In addition to reporting statewide performance on the Obesity Equity Measure, OHS will also report the three-year rolling average obesity rate for the Black, non-Hispanic population against target values of 37% for 2024 and 35% for 2025.

## C. Process for Reviewing and Updating Quality Benchmark Measures and Values

OHS staff will review measure specifications in September of the measurement year to determine if there have been any major specification changes. For NCQA measures, OHS will review measure specifications (typically released by August 1 of the year preceding the measurement year) to determine whether there has been a substantive change. OHS will solicit feedback from the Quality Council before deciding if the NCQA specification changes are substantive.<sup>21</sup> During the measurement year, OHS will consult NCQA's measure trending determinations (released in the summer of each measurement year) to confirm the Quality Council's assessment whether any changes were substantive (i.e., caused a "break in trending"). For the *Obesity Equity Measure*, OHS will review changes in the BRFSS survey questions, the method of distribution, the population receiving the survey, and any other difference that might affect the comparison. OHS will solicit feedback from the Quality Council before deciding if the BRFSS survey changes are substantive.

If the change is considered substantive, OHS will solicit feedback from the Quality Council in October of the measurement year on the following options:

1. Remove the Quality Benchmark measure for the affected and future measurement years and discuss including an alternate measure instead.
2. Reset the Quality Benchmark value for the affected and future measurement years (using the same methodology in place to develop the initial values).
3. Maintain the original Quality Benchmark measure and value and re-evaluate after the next measurement period.

OHS will decide, using feedback from the Quality Council, how to address the substantive change by November of the measurement year. It will communicate the change to all measured Advanced Networks and payers.

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<sup>21</sup> OHS may assess whether the change is substantive by comparing the year-over-year trend in national median performance for the measurement year in which the substantive change occurred to prior measurement years. This assessment is not always reliable, however, if there are other major changes that are likely to impact measure performance (e.g., COVID-19, changes in health insurance coverage).

## D. Public Reporting of Quality Benchmark Performance

This section contains details about how OHS will report performance on the Quality Benchmarks, including the levels at which performance will be reported and the reporting timeline.

### Levels of Reporting

OHS will report performance on the Quality Benchmark Measures at three different levels:

1. **Market-level performance** for each measure for each market (commercial, Medicare Advantage, Medicaid)
2. **Insurer-level performance** for each measure across Advanced Networks for each market (commercial, Medicare Advantage, Medicaid)
3. **Advanced Network-level performance** for each measure across insurers for each market (commercial, Medicare Advantage, Medicaid)

This manual specifies OHS' request for commercial and Medicare Advantage performance on the Quality Benchmark Measures (**Appendix A**). OHS will obtain Medicaid performance on the Quality Benchmark Measures from the Connecticut Department of Social Services (DSS) (**Appendix B**).

OHS will compare performance at all three levels to the applicable Quality Benchmarks, and will publish year-over-year performance to display changes over time.

**Minimum Denominator Size for Public Reporting:** At the insurer and Advanced Network-levels, OHS will not report performance for measures with denominators less than 30 (for Advanced Networks, less than 30 when aggregated across insurers), consistent with NCQA's minimum denominator size for its Effectiveness of Care measures.

### Timeline for Measuring and Reporting Quality Benchmark Performance

OHS collected baseline MY 2021 data for the Phase 1 Quality Benchmarks in November 2022 and reported on statewide baseline performance by market in April 2023.

Beginning with MY 2022 data, OHS follows a specific timeline to collect and report payer and Advanced Network performance, as required by Connecticut General Statute 19a-754g et. Seq.:

- Not later than **August 15**, each payer shall submit Quality Benchmark performance data.
- Not later than **March 31**, and annually thereafter, OHS shall prepare and post a report concerning the Quality Benchmark performance data reported by payers and Advanced Networks.
- Not later than **May 1**, OHS shall identify, and notice within 30 days, each payer or Advanced Network that exceeded the Quality Benchmarks for the performance year.
- Not later than **June 30**, OHS shall hold an informational public hearing to compare the performance of payers and provider entities in the performance year to the Quality Benchmarks. Such hearing shall include an examination of:
  - the information reported by March 31st as outlined above;
  - any other matters that OHS deems relevant, including requiring participation from any payer or Advanced Network that failed to meet any of the Quality Benchmarks during the performance year.
- Not later than **October 15**, OHS shall prepare and submit a report to the General Assembly. The report shall:
  - describe healthcare quality trends in the state and the factors underlying such trends;
  - include the information reported by March 31<sup>st</sup> as outlined above;
  - disclose OHS' recommendations, if any, concerning strategies to improve the quality of the state's healthcare system, including, but not limited to, any recommended legislation concerning the state's healthcare system.

## **E. Insurers Required to Submit and Advanced Networks upon Which Insurers Are Required to Report**

OHS will collect measure-specific performance from payers, including:

- i. insurance carriers that report data for the Cost Growth Benchmark for the commercial (i.e., the fully-insured, self-insured and student markets) and Medicare Advantage markets (excluding dual eligibles) and
- ii. DSS for the Medicaid market (excluding dual eligibles).

**Table 6. Insurance Carriers Requested to Report Measure Performance by Market**

| <b>Carrier</b>      | <b>Commercial Fully and Self-Insured</b> | <b>Medicare Advantage</b> |
|---------------------|--|---------------------------|
| Aetna Health & Life | X  | X                         |
| Anthem              | X  | X                         |
| Cigna               | X  |                           |
| ConnectiCare        | X  | X                         |
| UnitedHealthcare    | X  | X                         |
| Wellcare            |  | X                         |

# Appendix A:

## Insurer Quality Benchmark Performance Data Specification

This carrier Quality Benchmark performance data specification provides technical details to assist insurers in reporting quality data to OHS.

### A. Quality Benchmark Excel File Submission Instructions and Schedule

The Quality Benchmark data submission file layout for insurance carriers is included in this Appendix. Carriers will submit Quality Benchmark performance using the Excel template provided by OHS according to the schedule outlined in **Table A-1**. Carriers will submit Quality Benchmark data annually.

**Table A-1. Insurance Carriers' Quality Benchmark Filing Schedule**

| Date            | Files Due   |
|-----------------|---|
| August 15, 2024 | MY 2023<br>Phase 1 Quality Benchmark Measures           |
| August 15, 2025 | MY 2024<br>Phase 1 & Phase 2 Quality Benchmark Measures |
| August 15, 2026 | MY 2025<br>Phase 1 & Phase 2 Quality Benchmark Measures |

After carriers submit their data according to the filing schedule, they must actively engage with OHS as it validates the data to ensure such data were submitted using the specifications outlined in this Implementation Manual. OHS will engage the carriers one-on-one to discuss the initial analysis of data, and once again to review final data before they are published. OHS also expects carriers to engage in data sharing with Advanced Networks whose performance will be publicly reported to describe and explain any discrepancies in performance with that assessed for use in value-based payment contracts.

### B. General Guidance for Quality Benchmark Data Reporting

The performance data that insurers submit to OHS in response to this request should

align with what is calculated for contractual purposes with Advanced Networks for all Quality Benchmark measures. If insurers did not include a Quality Benchmark in contracts with Advanced Networks for MY 2023, they need not report MY 2023 performance for the Quality Benchmark. Please note that two of the three Quality Benchmark measures (*Controlling High Blood Pressure* and *Hemoglobin A1c Control for Patients with Diabetes: HbA1c Poor Control*) are Core measures in OHS' [2023 Aligned Measure Set](#), meaning that OHS requests insurers use these measures in all value-based Advanced Network contracts.

### C. Quality Data Specifications

**Eligible Population for All Measures:** All Quality Benchmark measures should be calculated with members attributed to Advanced Networks during the measurement year, pursuant to all contracts between the insurer and an Advanced Network that include Quality Benchmark measures. Members can be attributed monthly, quarterly, annually, or at another frequency, so long as the attribution timing is consistent with the insurer contract.

Note: Unlike for the Healthcare Cost Growth Benchmark, for which performance measurement is limited to Connecticut residents, quality performance data may include all patients attributed to an Advanced Network, including those who reside outside of Connecticut.

**Performance for Clinical Data Measures:** For clinical data measures, insurers may calculate performance using a sample rather than the full population. Carriers should not submit performance for clinical data measures calculated using only administrative data. If a carrier submits an administrative-only rate for a clinical data measure, OHS will not use this rate when calculating performance at the state, market, insurer or Advanced Network levels.

### D. Advanced Network Organization IDs

The following Advanced Networks are to be reported on using the identification number listed in **Table A-2** below. This list of Advanced Networks may be updated from time to time as the Advanced Network market changes.

Note: Unlike as for the Healthcare Cost Growth Benchmark, OHS is not requesting a separate category of quality performance data



for members who are unattributed to an Advanced Network. Also, insurers should report Advanced Network Quality Benchmark measure performance if those measures are included in an Advanced Network’s value-based contract. The clinicians comprising the Advanced Network should be defined as those on the Advanced Network’s roster for the value-based contract. **Insurers should not use OHS’ TIN-based definition of Advanced Networks that is to be employed for reporting of Cost Growth Benchmark performance unless the TIN-based definition aligns with the insurer’s value-based contract with the Advanced Network.**

**Table A-2. Advanced Network Organizational Identification Number for Quality Benchmark Reporting**

| Advanced Network   | Organizational Identification Number |
|--|--------------------------------------|
| Privia Quality Network of Connecticut (PQN CT) (formerly Community Medical Group)                                | 101                                  |
| Connecticut Children’s Care Network  | 102                                  |
| Connecticut State Medical Society IPA  | 103                                  |
| Integrated Care Partners   | 104                                  |
| NA <sup>22</sup>   | 105                                  |
| Northeast Medical Group  | 106                                  |
| OptumCare Network of Connecticut (including ProHealth)   | 107                                  |
| Prospect Connecticut Medical Foundation Inc. (dba Prospect Medical, Prospect Health Services, Prospect Holdings) | 108                                  |
| Southern New England Health Care Organization (aka SOHO Health, Trinity Health of New England ACO, LLC)          | 109                                  |
| Value Care Alliance  | 110                                  |
| NA <sup>23</sup>   | 111                                  |
| Charter Oak Health Center  | 112                                  |
| CIFC Greater Danbury Community Health Center   | 113                                  |
| Community Health and Wellness Center of Greater Torrington   | 114                                  |
| Community Health Center  | 115                                  |

<sup>22</sup> Advanced Network ID 105 was previously assigned to Medical Professional Services, which became a non-operating entity effective 2/15/2022. Any 2021 and 2022 spending attributed to Medical Professional Services should be assigned to Advanced Network ID 999 (Members Not Attributed to an Advanced Network).

<sup>23</sup> Advanced Network ID 111 was previously assigned to ProHealth. In 2023, OptumCare Network of Connecticut acquired ProHealth’s administrative operations.

| Advanced Network                               | Organizational Identification Number |
|--|--------------------------------------|
| Community Health Services                      | 116                                  |
| Cornell Scott Hill Health Center               | 117                                  |
| Fair Haven Community Health Center             | 118                                  |
| Family Centers                                 | 119                                  |
| First Choice Community Health Centers          | 120                                  |
| Generations Family Health Center               | 121                                  |
| Norwalk Community Health Center                | 122                                  |
| Optimus Health Care, Inc.                      | 123                                  |
| Southwest Community Health Center, Inc.        | 124                                  |
| Stamford Health Medical Group                  | 125                                  |
| Starling Physicians                            | 126                                  |
| UConn Medical Group                            | 127                                  |
| United Community and Family Services           | 128                                  |
| Summit Health (formerly WestMed Medical Group) | 129                                  |
| Wheeler Clinic                                 | 130                                  |
| Yale Medicine                                  | 131                                  |

## E. Quality Benchmark Reporting File Specifications

Carriers should submit one Excel File using the template provided by OHS that includes its commercial and Medicare Advantage quality performance data. This section describes the detailed information that carriers should submit within the following tabs in the Excel file:

- Contents
- References Tables
- Commercial – 2023
- Medicare Advantage – 2023
- Mandatory Questions
- Validation by Market
- Validation by Advanced Network

### **Contents Tab**

This tab contains information regarding what the Excel file includes. Carriers do not need to submit information within this tab.

## Reference Tables Tab

This tab includes reference tables of key codes outlined herein for ease of reference and descriptions of the Quality Benchmark measures. Carriers do not need to submit information within this tab.

### Commercial – 2023 Tab

#### Insurance Carrier Commercial Performance Table

**Insurance Carrier Org ID:** The OHS-assigned organization ID for the carrier submitting the file, which is outlined in **Table A-3**.

**Table A-3. Insurance carriers’ Organizational Identification Number for TME Reporting**

| Insurer             | Organizational ID |
|---------------------|-------------------|
| Aetna Health & Life | 201               |
| Anthem              | 202               |
| Cigna               | 203               |
| ConnectiCare        | 204               |
| UnitedHealthcare    | 206               |
| Wellcare            | 208               |

**Performance Period Beginning and Ending Dates:** The dates for the beginning and ending of the period represented by the reported data. OHS requests that payers submit data for the performance year **beginning January 1 and ending December 31** to remain consistent with the Healthcare Cost Growth Benchmark and the payer measurement period reporting to NCQA.

Note: OHS recognizes that some carriers may have Advanced Network contracts that do not align with the calendar year. Carriers with contracts that do not align with the calendar year should still submit performance but indicate performance period start and end dates in their data submission. If the performance period bridges the calendar year, carriers should use the contract period that ended in the calendar year being requested (e.g., the period ending in 2023 for MY 2023 performance).

**Numerator and Denominator Data:** Commercial numerator and denominator data at the insurance carrier level following the specifications for each Phase I Quality

Benchmark Measure listed in **Table A-4**.

**Table A-4. Phase 1 Quality Benchmark Measures and Technical Specifications**

| Quality Benchmark Measure  | Steward | Data Source/Technical Specifications       |
|--|---------|--|
| <b>Asthma Medication Ratio</b><br>(Ages 5-18 and ages 19-64)                         | NCQA    | Admin Data<br>NCQA-HEDIS® MY 2023          |
| <b>Controlling High Blood Pressure</b>   | NCQA    | Admin/Clinical Data<br>NCQA-HEDIS® MY 2023 |
| <b>Hemoglobin A1c (HbA1c) Control for Patients with Diabetes: HbA1c Poor Control</b> | NCQA    | Admin/Clinical Data NCQA-HEDIS® MY 2023    |

*Advanced Network Commercial Performance Table*

**Advanced Network Organization ID:** The OHS Organization ID of the Advanced Network, as listed in **Table 8**.

Note: Unlike as for the Healthcare Cost Growth Benchmark, OHS is not requesting a separate category of quality performance data for members who are unattributed to an Advanced Network.

**Numerator and Denominator Data:** Commercial numerator and denominator data at the Advanced Network level following the specifications for each Phase 1 Quality Benchmark measure listed in **Table 10**.

**Medicare Advantage – 2023 Tab**

*Insurance Carrier Medicare Advantage Performance Table*

**Insurance Carrier Org ID:** The OHS–assigned organization ID for the carrier submitting the file, which is outlined in **Table A-5**.

**Table A-5. Insurance Carriers’ Organizational Identification Number for Quality Benchmark Reporting**

| Insurer             | Organizational ID |
|---------------------|-------------------|
| Aetna Health & Life | 201               |
| Anthem              | 202               |
| Cigna               | 203               |

| Insurer          | Organizational ID |
|------------------|-------------------|
| ConnectiCare     | 204               |
| UnitedHealthcare | 206               |
| Wellcare         | 208               |

**Performance Period Beginning and Ending Dates:** The dates for the beginning and ending of the period represented by the reported data. OHS requests that payers submit data for the performance year **beginning January 1 and ending December 31** to remain consistent with the Healthcare Cost Growth Benchmark and the payer measurement period reporting to NCQA.

Note: OHS recognizes that some carriers may have contracts that do not align with the calendar year. Carriers with contracts that do not align with the calendar year should still submit performance but indicate performance period start and end dates in their data submission. If the performance period bridges the calendar year, carriers should use the contract period that ended in the calendar year being requested (e.g., the period ending in 2023 for MY 2023 performance).

**Numerator and Denominator Data:** Medicare Advantage numerator and denominator data at the insurance carrier level following the specifications for each Phase 1 Quality Benchmark Measure listed in **Table 10** (with the exception of *Asthma Medication Ratio*, which is a commercial and Medicaid-only measure).

[Advanced Network Medicare Advantage Performance Table](#)

**Advanced Network Organization ID:** The OHS Organization ID of the Advanced Network, as listed in **Table 8**.

Note: Unlike as for the Healthcare Cost Growth Benchmark, OHS is not requesting a separate category of quality performance data for members who are unattributed to an Advanced Network.

**Numerator and Denominator Data:** Medicare Advantage numerator and denominator data at the Advanced Network level following the specifications for each Phase 1 Quality Benchmark measure listed in **Table 10** (with the exception of *Asthma Medication Ratio*, which is a commercial and Medicaid-only measure).

**Mandatory Questions Tab**

Insurers should answer questions about their data submission to ensure the

submission is in alignment with the specifications outlined in this implementation manual.

### ***Validation by Market Tab***

This tab uses insurer-provided information from the Commercial and Medicare Advantage tabs to flag potentially aberrant rates and numerators/denominators. These summary tables are intended to help insurers validate their own data prior to submission to OHS.

Insurers are not required to input any data in this tab, but should review it prior to submitting to ensure the data are correct.

### ***Validation by Advanced Network Tab***

This tab uses insurer-provided information from the Commercial and Medicare Advantage tabs to flag potentially aberrant rates and numerators/denominators. These summary tables are intended to help insurers validate their own data prior to submission.

Insurers are not required to input any data in this tab but should review it prior to submitting to ensure the data are correct.

## **F. File Submission**

### **File Submission Naming Conventions**

Data submissions should follow the following naming conventions:

#### **Insurance Carrier Name\_QualityBenchmarks\_YYYY\_Version.xls**

YYYY is the four-digit year of submission (which will generally be one year later than the year of the data reflected in the report).

Version is optional and indicates the submission number. The file extension must be .xls or .xlsx

#### **Below are examples of valid file names:**

CARRIER A\_QualityBenchmarks\_2023\_01.xlsx or CARRIER

A\_QualityBenchmarks\_2023\_1.xlsx or CARRIER A\_QualityBenchmarks\_2023.xlsx

### **Submitting Files to OHS**

Electronic files are to be submitted through the State's secure file transfer (SFT) server at <https://sft.ct.gov/> to OHS.

OHS will provide a contact form at <https://portal.ct.gov/OHS/Pages/Guidance-for-Payer-and-Provider-Groups/Payer-Data-Portal> for the carrier's contact(s) to fill out and email to OHS. This information is required:

- To facilitate user access to the State's SFT Web Client;
- To confirm the user is the authorized and designated contact for the carrier at registration;
- To facilitate securing and protecting confidential data;
- To enable OHS communicate with the contact about data error correction and validation, system or process changes and updates.

The contact should fill out the form and email it to [OHS@ct.gov](mailto:OHS@ct.gov). OHS will acknowledge receipt of the filled form, credential and grant the contact/new user access to the State's network within two business days. Upon receiving the credentials to access the server, the authorized user will upload the required data files. The contact must alert OHS through email after uploading the file(s).

## Appendix B:

# CT DSS Medicaid Quality Benchmark Performance Data Specification

This Quality Benchmark performance data specification provides technical details to assist the Department of Social Services (DSS) in reporting quality data to OHS.

### A. Quality Benchmark Submission Instructions and Schedule

DSS will submit Quality Benchmark performance annually to OHS according to the schedule outlined in **Table B-1**. DSS will submit its Quality Benchmark data using its own Excel report format.

**B-1. Insurance Carriers’ Quality Benchmark Filing Schedule**

| Date            | Files Due   |
|-----------------|---|
| August 15, 2024 | MY 2023<br>Phase 1 Quality Benchmark Measures           |
| August 15, 2025 | MY 2024<br>Phase 1 & Phase 2 Quality Benchmark Measures |
| August 15, 2026 | MY 2025<br>Phase 1 & Phase 2 Quality Benchmark Measures |

After DSS submits its data according to the filing schedule, OHS will engage with DSS as it validates the data to ensure such data were submitted using the specifications outlined in this Implementation Manual. OHS will also engage with DSS to discuss the initial analysis of data, and once again to review final data before they are published.

### B. Quality Data Specifications

DSS will report numerators, denominators, and performance rates by program (HUSKY A/B, HUSKY C, and HUSKY D) and statewide<sup>24</sup> for the Quality Benchmark

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<sup>24</sup> The statewide rate for the hybrid measures will be a weighted average of HUSKY A/B,

measures. DSS should submit performance following the specifications for each Phase 1 Quality Benchmark Measure listed in **Table B-2** below. DSS will report numerators, denominators, and performance rates by Advanced Network for the Quality Benchmark measures that are in DSS' PCMH+ Wave 3 Quality Measure Set using the Advanced Network Organization IDs in **Table B-3** below. DSS data will exclude Medicare/Medicaid dual eligible members and Third Party Liability (TPL) policies from Quality Benchmark performance data.

### B-2. Phase 1 Quality Benchmark Measures and Technical Specifications

| Quality Benchmark Measure  | Steward | Data Source/Technical Specifications       |
|--|---------|--|
| <b>Asthma Medication Ratio</b><br>(Ages 5-18 and ages 19-64)                         | NCQA    | Admin Data<br>NCQA-HEDIS® MY 2023          |
| <b>Controlling High Blood Pressure</b>   | NCQA    | Admin/Clinical Data<br>NCQA-HEDIS® MY 2023 |
| <b>Hemoglobin A1c (HbA1c) Control for Patients with Diabetes: HbA1c Poor Control</b> | NCQA    | Admin/Clinical Data<br>NCQA-HEDIS® MY 2023 |

### C. Advanced Network Organization IDs

DSS will report on Advanced Networks using the identification numbers listed in Table **B-3** below. This list of Advanced Networks may be updated from time to time as the Advanced Network market changes.

DSS will only report performance by Advanced Network for measures calculated using administrative data (i.e., not for hybrid measures). For the Phase 1 Quality Benchmark measures, DSS will only report performance by Advanced Network for Asthma Medication Ratio (ages 5-18 and ages 19-64).

Note: Unlike as for the Healthcare Cost Growth Benchmark, OHS is not requesting a separate category of quality performance data for members who are unattributed to an Advanced Network. Also, DSS should report Advanced Network Quality Benchmark measure performance if those measures are included in an Advanced




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HUSKY C, and HUSKY D.

Network's value-based contract. The clinicians comprising the Advanced Network should be defined as those on the Advanced Network's roster for the value-based contract with DSS. **DSS should not use OHS' TIN-based definition of Advanced Networks that is to be employed for reporting of Cost Growth Benchmark performance unless the TIN-based definition aligns with DSS' value-based contract with the Advanced Network.**

### B-3. Advanced Network Organizational Identification Number for Quality Benchmark Reporting

| Advanced Network   | Organizational Identification Number |
|--|--------------------------------------|
| Privia Quality Network of Connecticut (PQN CT) (formerly Community Medical Group)                                | 101                                  |
| Connecticut Children's Care Network  | 102                                  |
| Connecticut State Medical Society IPA  | 103                                  |
| Integrated Care Partners   | 104                                  |
| NA <sup>25</sup>   | 105                                  |
| Northeast Medical Group  | 106                                  |
| OptumCare Network of Connecticut (including ProHealth)   | 107                                  |
| Prospect Connecticut Medical Foundation Inc. (dba Prospect Medical, Prospect Health Services, Prospect Holdings) | 108                                  |
| Southern New England Health Care Organization (aka SOHO Health, Trinity Health of New England ACO, LLC)          | 109                                  |
| Value Care Alliance  | 110                                  |
| NA <sup>26</sup>   | 111                                  |
| Charter Oak Health Center  | 112                                  |
| CIFC Greater Danbury Community Health Center   | 113                                  |
| Community Health and Wellness Center of Greater Torrington   | 114                                  |
| Community Health Center  | 115                                  |
| Community Health Services  | 116                                  |
| Cornell Scott Hill Health Center   | 117                                  |
| Fair Haven Community Health Center   | 118                                  |
| Family Centers   | 119                                  |
| First Choice Community Health Centers  | 120                                  |
| Generations Family Health Center   | 121                                  |
| Norwalk Community Health Center  | 122                                  |
| Optimus Health Care, Inc.  | 123                                  |
| Southwest Community Health Center, Inc.  | 124                                  |

<sup>25</sup> Advanced Network ID 105 was previously assigned to Medical Professional Services, which became a non-operating entity effective 2/15/2022. Any 2021 and 2022 spending attributed to Medical Professional Services should be assigned to Advanced Network ID 999 (Members Not Attributed to an Advanced Network).

<sup>26</sup> Advanced Network ID 111 was previously assigned to ProHealth. In 2023, OptumCare Network of Connecticut acquired ProHealth's administrative operations.

| Advanced Network                               | Organizational Identification Number |
|--|--------------------------------------|
| Stamford Health Medical Group                  | 125                                  |
| Starling Physicians                            | 126                                  |
| UConn Medical Group                            | 127                                  |
| United Community and Family Services           | 128                                  |
| Summit Health (formerly WestMed Medical Group) | 129                                  |
| Wheeler Clinic                                 | 130                                  |
| Yale Medicine                                  | 131                                  |

### Submitting Files to OHS

Electronic files are to be submitted through the State’s secure file transfer (SFT) server at <https://sft.ct.gov/> to OHS.

OHS will provide a form at <https://portal.ct.gov/OHS/Pages/Guidance-for-Payer-and-Provider-Groups/Payer-Data-Portal> for the carrier’s contact(s) to fill out and email to OHS. This information is required:

- To facilitate user access to the State’s SFT Web Client;
- To confirm the user is the authorized and designated contact for the carrier at registration;
- To facilitate securing and protecting confidential data;
- To enable OHS communicate with the contact about data error correction and validation, system or process changes and updates.

The contact should fill out the form and email it to [OHS@ct.gov](mailto:OHS@ct.gov). OHS will acknowledge receipt of the filled form, credential and grant the contact/new user access to the State’s network within two business days. Upon receiving the credentials to access the server, the authorized user will upload the required data files. The contact must alert OHS through email after uploading the file(s).