### **PREAMBLE**

This Agreement is made by the Connecticut Division of Public Defender Services, hereinafter called the "Division" or the 'Employer" and AFSCME Council 4, Local 381, hereinafter referred to as "Union."

### **WITNESSETH**

Whereas the parties to this Agreement desire to establish a state of amicable understanding, cooperation and harmony; and

Whereas the parties to this Agreement consider themselves mutually responsible to improve the public service through increased morale, efficiency and productivity;

Now, therefore, the parties mutually agree as follows:

## ARTICLE 1 RECOGNITION

The Division of Public Defender Services and the Public Defender Commission herein recognizes the Division of Public Defender Services Local 381, Council 4, AFSCME, AFL-CIO as the exclusive representative of the employees in permanent full-time positions whose job titles were placed within these bargaining unit of Public Defender employees by the Connecticut State Board of Labor Relations in Cases No. SE-32,389 and SE-32,389A and Decision # 4929 & 4929-A.

This Agreement shall pertain only to the following employees whose job titles fall within the certifications issued by the Connecticut State Board of Labor Relations. Specifically, as follows:

Deputy Asst. Public Defender Sr. Asst. Public Defender

Asst. Public Defender Supervisory Asst. Public Defender

Trial Services Unit Asst & Sr. Asst. Public Defender (red

circled)

Once vacant, the Chief of Psychiatric Defense Services covered under the previous Agreement shall be filled as a Supervisory Asst. Public Defender. Once vacant, the Trial Services Unit Asst. and Sr. Asst. Public Defender will be refilled at the Deputy Asst. Public Defender or Asst. Public Defender levels.

This Agreement shall not apply to non-permanent employees appointed to non-permanent, temporary, per diem, emergency, or seasonal positions, nor shall it apply to durational positions of six (6) months or less.

When the classifications that have been red-circled become vacant by the current incumbents, the Division will determine the need for the position, and if required, will fill the position at the level of Supervisory. Asst. Public Defender.

## ARTICLE 2 MANAGEMENT RIGHTS

**Section One.** The parties recognize that the Connecticut Division of Public Defender Services is an agency within the Judicial Branch with all management rights except appointment of all Public Defenders, which is under the purview of the Public Defender Services Commission, in assuring compliance with the laws, the Constitution of the State of Connecticut, and the United States Constitution. The parties also recognize the clients of the Division's services, including the general public, demand the prompt and efficient defense of indigent individuals and insist upon the fullest protection of statutory, civil and constitutional rights.

Unless an express, specific provision of this Agreement provides otherwise, the Connecticut Division of Public Defender Services and Public Defender Services Commission acting through the Chief Public Defender, and such other officials as may be authorized to act on its behalf, retain all the rights and prerogatives of public and legal management it had prior to the signing of this Agreement either by law, custom, practice, usage or precedent, to manage and control the operations of the Division.

Section Two. Such rights include, but are not limited to, establishing standards of productivity and performance of its employees, including establishing qualifications for ability to perform work in classes and/or ratings; determining its budget; determining the organizational structure of the Division; determining the mission of an agency and the methods and means necessary to fulfill that mission, including the assignment of Assigned Counsel (Special Public Defender) in accordance with the Statutes; the discontinuation of services, positions, or programs in whole or in part; the determination of the content of job classification; classification and pay grade for newly created jobs; the appointment, promotion, assignment, direction and transfer of personnel; the suspension, demotion, discharge or any other appropriate action against its employees; the relief from duty of its employees because of lack of work or for other legitimate reasons; the determination of the hours of work, days when, and locations where its offices will be in operation; the establishment and enforcement of reasonable work rules; and the taking of all necessary actions to carry out its mission in emergencies.

**Section Three.** Management also reserves the right to decide whether, when and how to exercise its prerogatives, where or not enumerated in this Agreement. Accordingly, the failure to exercise any right shall not be deemed a waiver.

## ARTICLE 3 NON-DISCRIMINATION

**Section One.** The parties agree that neither shall discriminate against any employee because of the individual's race, color, religious creed, age, sex, marital status, national origin, ancestry, physical or mental disability, sexual orientation, history of mental disorder or developmental disability, except on the basis of bona fide occupational qualifications. The parties further agree in all aspects to follow the provisions of C.G.S. Sections 46a-81c, d, e, regarding the prohibition of discriminatory employment practices.

**Section Two.** The parties agree to work jointly to eliminate and to prevent discrimination and to ensure equal opportunity in the application of this Agreement.

**Section Three.** The Employer shall not discriminate against any employee who has utilized the statutory "whistle blower" provisions and filed information with the appropriate statutory officials.

**Section Four.** Notwithstanding any provision of this Agreement to the contrary, the Employer will have the right and duty to take all actions necessary to comply with the provision of the Americans with Disabilities

Act, 42 U.S.C. 2101, et seq. (ADA). Upon request, the Employer will meet and discuss specific concerns identified by the Union; however, this shall not delay any actions taken to comply with the ADA.

**Section Five**: Neither party shall discriminate against any employee on the basis of membership or non-membership or lawful activity on behalf of the exclusive bargaining agent.

# ARTICLE 4 NO STRIKES OR LOCKOUTS

**Section One.** Neither the Union nor any employee shall engage in, induce, support, encourage, or condone a strike, sympathy strike, work stoppage, slowdown, concerted withholding of services, sickout or any interference with the mission of any State Agency. This Article shall be deemed to prohibit the concerted boycott or refusal of overtime work, but shall be interpreted consistent with any provisions of this Agreement on distribution and assignment of overtime work.

**Section Two.** In any appeal of disciplinary action taken as a result of an alleged violation of this Article, the arbitrator shall have no authority to alter or modify the disciplinary penalty imposed if such penalty is less than the equivalent of a five (5) day suspension.

**Section Three.** The Union shall exert its best efforts to prevent or terminate any violation of Section One of this Article. Immediate written notice to employees involved of their obligation under this Section, with copies of such notice served on the Employer, shall constitute compliance with this Section.

Section Four. The Employer agrees that during the life of this Agreement there shall be no lockout.

**Section Five.** The Employer will provide security for employees who continue to meet job obligations in spite of any illegal strike, picket line or other job action posing a hazard to the employees' safety.

# ARTICLE 5 UNION SECURITY AND PAYROLL DEDUCTIONS

**Section One.** During the life of this Agreement, an employee retains the freedom of choice whether or not to become or remain a member of the Union, which has been designated as the exclusive bargaining agent.

**Section Two**. Union dues shall be deducted by the Division biweekly from the paycheck of each employee who provides the Union authorization to receive such deduction from the Division within thirty (30) days of the Union providing certification of said authorization to the Division. The Union shall provide to the Division Payroll, a digital list of all employees who have authorized dues deduction. By providing such list, the Union certifies that each employee has knowingly and willfully consented to the payroll deduction. The Union shall notify the Division Payroll, in writing, within ten (10) days of receipt of notification from the employee of any revocation of said authorizations and the effective date of the same.

Section Three. The parties recognize that the authorization of the Union to receive payroll deductions is an agreement solely between the Union and its members which the member may revoke consistent with the Union's membership rules. Below is the version of the agreement currently available and in use which the bargaining unit members are to sign. Should this language change, the Union will provide the Division with an updated version within ten (10) business days, and the Division will post online and later distribute printed version of this collective bargaining agreement accordingly.

If/when the applicable collective-bargaining agreement does not address revocation, then this voluntary authorization and assignment shall remain in effect, regardless of whether I am or remain a member of the Union at my current employer, for a period of one year from the date of execution, and for year to year thereafter unless I give the Employer and the Union written notice of revocation not more than ten (10) days before and not more than twenty (20) days after the end of any yearly period. The applicable collective bargaining agreement is available for review, upon request. This card supersedes any prior check-off authorization card I signed.

Should a bargaining unit member approach the Division or its agents seeking to terminate or modify their contractual relationship with the Union, that bargaining unit member will be directed to communicate such intent directly with the Union. In such case, the Division may notify the employee of its obligation to comply with this Article, including Section Two above. If the Division is informed of a dispute between a bargaining unit member and the Union concerning the obligation to withhold union dues, it may invoke Section Four.

**Section Four**. Upon request from the Division, the Union shall provide legally sufficient proof of the authorization to collect dues through payroll deduction to the Division for any employee who disputes said authorization. If the requested proof of authorization is not provided within seven (7) calendar days of the request, the Division will cease withholding union dues for that employee not later than the first day of the following pay period. Upon request, the Division may request a dues reconciliation not more than twice per contract year.

**Section Five.** The amount of dues deducted, under this Article, together with a list of all employees for whom said deductions were made and a list of all employees in the bargaining unit, shall be remitted to the Union's designee "as soon as available" or "as soon as practicable" after the payroll period in which such deductions were made.

**Section Six.** In accordance with procedures promulgated by the Office of the State Comptroller, the Division shall allow for the voluntary payroll deduction of contributions for the Union's political action fund. Authorization for such deduction by the employee shall be provided in writing by the Union to the Division Payroll consistent in Section Two above.

**Section Seven.** New Hires. The Division will provide notice to the Union of new employees assigned to this bargaining unit as soon as practicable after their hire. Such notice will be by email to the Union individual designated as the contact person. The notice shall include the new employees' work location(s).

Section Eight. Except as otherwise provided by the parties, all new members of the bargaining unit shall be released from work, if they so desire, for one (1) hour without loss of pay, to attend a Union orientation. If the Employer chooses, that orientation may be combined with the new hire orientation conducted by the Division. If this is the case, the Division will provide the Union with notice of date, time and location of said orientation. Management will not be present during the Union's orientation.

If not included in the Division's orientation, the Union shall schedule the orientation at its discretion but consistent with the Division's operational needs. During Orientation, the Union shall provide all new employees with a copy of this Agreement.

**Section Nine.** No payroll deduction of dues shall be made from worker's compensation, unpaid FMLA or for any payroll period in which earnings received are insufficient to cover the amount of the deduction, nor shall deductions be retroactive.

**Section Ten.** The Union shall indemnify and hold the State and the Division harmless from any and all claims, demands, suits, liability or damages and expenses incurred by the State and Division or its agents in complying with this Article.

### ARTICLE 6 UNION RIGHTS

**Section One**. The Union will furnish the Employer with a complete list of Union representatives designated to represent any of the employees covered by this Agreement, specifying the jurisdiction and location of each Union representative or group of Union representatives provided that the jurisdiction of each Union representative shall be limited to such geographical boundaries as are mutually agreed. The Union shall notify the Employer whenever there is a change in Union representatives or assignments.

The number of designated representatives shall be set as follows:

JUDICIAL DISTRICT	REPRESENTATIVES
Bridgeport	3
Milford	
Stamford	
Danbury	3
Waterbury	
Litchfield	
New Haven	3
Middletown	
Hartford	3
New Britain	
OCPD	
Tolland	3
Danielson	
Norwich	
New London	

**Section Two.** Except as otherwise provided, Employer representatives shall deal with Union-designated staff representatives or Union representatives exclusively in the processing of grievances or any other aspects of contract administration.

**Section Three.** Access to Premises. Union staff representatives shall be permitted to enter the work premises of the Employer at any reasonable time for the purpose of discussing, processing, or investigating filed or potential grievances or otherwise performing Union business, provided that (1) they make arrangements with the Supervisor of the employee prior to their arrival and give notice of their presence immediately upon arrival to the supervisor in charge, and (2) they do not interfere with the performance of duties. The Union will furnish the Employer with a current list of its staff personnel and their jurisdiction and shall maintain the currency of said list.

**Section Four.** Role of Steward in Processing Grievances. The steward will obtain permission from his/her immediate supervisor when leaving the work assignment to carry out steward duties in connection with this Agreement. When contacting an employee, the steward will first report to and obtain permission to see the employee from the employee's supervisor. Such permission will be granted unless the work situation or an emergency demands otherwise. If the immediate supervisor is unavailable, permission will be requested from the next level of supervision. Such requests shall include names (employees'), work location and approximate time (anticipated) that will be needed. The steward will report back to his/her supervisor upon completion of such duties and return to work without a loss in pay or benefits. The Union will cooperate in preventing abuse of this Section.

Only one (1) steward shall represent a grievant at any given time.

Stewards thus engaged will report back to their supervisors on completion of such duties and return to their job and will suffer no loss of pay or other benefits as a result thereof. The Union shall cooperate in preventing abuse of this section.

Stewards performing these tasks shall code their timesheets as follows:

LUBEA Union Steward Employee Agency	Paid leave for union stewards and other union officials to attend to contract administration duties at the steward's or official's own agency and work site that does not involve the participation of management representatives (e.g. meet with an employee(s) to process a grievance).
<b>LUBEO</b> Union Steward Employee Outside Office	Paid leave for union stewards and other union officials to attend to contract administration duties away from the steward's or official's own agency and/or work site that does not involve the participation of management representatives (e.g. meet with an employee(s) to process a grievance).
<b>LUBMR</b> Union Steward with Mgmt. Rep	Paid leave for union stewards and other union officials for activities that involve the participation of management representatives, such as attending grievance conferences, arbitrations or prohibited practice conferences, representing employees at investigatory interviews or pre-disciplinary meetings (Loudermill), and/or participating in labor management meetings.
LUBCN	Paid leave for members of the bargaining team for negotiations sessions. MUST BE APPROVED BY HUMAN RESOURCES

**Section Five.** Bulletin Board. The Division will continue to furnish reasonable bulletin board space in each institution, which the Union may utilize for its announcements. Bulletin board space shall not be used for material that is of a partisan political nature or is inflammatory or derogatory to the State employer or any of its officers or employees. The Union shall limit its posting of notices and bulletins to such bulletin board space.

**Section Six.** Access to Information. The Employer agrees to provide the Union, upon request and adequate notice, access to materials and information necessary for the Union to fulfill its statutory responsibility to administer this Agreement. The Union shall reimburse the State for the expense and time spent photocopying extensive information and otherwise as permitted under State Freedom of Information Law. The Union shall not have access to privileged or confidential information.

The Union shall not have access to information which the Employer reasonably determines is privileged or confidential, unless and until such information constitutes the basis, in whole or in part, for disciplinary action as defined in this Agreement.

**Section Seven**: Subject to prior written approval from Division's Human Resources office, paid union leave may be granted to Union officers, stewards, delegates or designees as follows:

- 1. For each year of the contract, a bank of one hour per employee in the bargaining unit as of July 1 to be used for Union business and steward training shall be established. If on June 30<sup>th</sup> there is a balance of time in the bank, 10% of said time shall be rolled over into the next contract year.
- 2. Union business leave shall be granted as follows:
  - a. Usage shall not be unreasonably denied except where a Division emergency exists. However, if the usage would cause significant impact on the Division operations, the Employer and the Union shall discuss the situation and may, by mutual Agreement, postpone or cancel the leave usage.
  - b. Unless mutually agreed otherwise, the Union will give seven (7) full working days written notice requesting Union Business Leave to the Human Resources office.

The following codes shall be used on employee's timesheet when utilizing Union business leave under this section:

**LUBLP** Union Business Leave Paid

Paid leave for Union stewards and other Union officials when they are authorized to leave their work site on Union business leave (UBL). This time is deducted from the contractual bank of hours provided in the contract for such things as steward training, conventions etc. MUST BE PRE-APPROVED BY HUMAN RESOURCES.

Upon expiration of this Agreement and prior to approval of a successor agreement, the Union shall continue to have available leave time as provided in subsection (1) of this Section Seven (7). Upon approval of a successor agreement, the leave time utilized during this transition period will be deducted from what approved leave time has been incorporated into the successor agreement.

## <u>ARTICLE 7</u> PROBATIONARY PERIOD

Section One. Initial Probationary Period. The probationary period shall be deemed an extension of the hiring process. Permanent status in any position is attained only after the conclusion of a satisfactory probationary period of one year of continuous service and approval of the Public Defender Services Commission.

An employee whose performance has been deemed to be unsatisfactory during the probationary period will be notified in writing prior to the end of the probationary period that they will be terminated.

Dismissal of an employee during the initial probationary period shall not be subject to the grievance and arbitration procedure.

Upon mutual agreement of the parties, the probationary period may be extended up to ninety (90) days beyond the one (1) year period.

**Section Two**. Promotional Probationary Period. Employees promoted to the classifications of Supervisory Asst. Public Defender, Director of Juvenile Post Conviction, Chief of Psychiatric Defense Services or Chief

of Legal Services shall serve nine (9) months of continuous service probationary period. Upon conclusion of a satisfactory performance and the approval of the Public Defender Services Commission, the employee shall be deemed permanent in the higher-level classification.

An employee whose performance has been deemed to be unsatisfactory during the promotional probationary period will be notified in writing prior to the end of the probationary period and they shall be returned to their previous class and not necessarily in the previous location based on operational needs.

The decision to remove and reassign an employee during the promotional probationary period shall not be subject to the grievance and arbitration procedure.

Upon mutual agreement of the parties, the probationary period may be extended up to ninety (90) days beyond the nine (9) month period.

**Section Three.** The attainment of permanent status by an employee shall not be construed to mean that the employee may not be suspended or discharged.

# ARTICLE 8 APPOINTMENT & REAPPOINTMENT

**Section One.** Employees of the Division are employed within the Judicial Branch and referred to in the Connecticut Constitution as the Judicial Department. Therefore, pursuant to Connecticut General Statutes § 5-198, they are exempt from the classified service.

**Section Two.** Chapter 887 of the General Statutes establishes the Public Defender Services Commission as the appointing authority for all employees of the Division.

In accordance with CGS § 51-293, all attorneys employed by the Division are appointed by the Commission for a four (4) year term of office and may be removed during those terms by the Commission for cause and after notice and hearing consistent with the terms of this Agreement.

Any bargaining unit vacancy in the Office of Chief Public Defender, Deputy Asst. Public Defender, Asst. Public Defender Sr. Asst. Public Defender, or Supervisory Asst. Public Defender may be filled by the Commission for the balance of the term of the person they succeed.

Section Three: An attorney may not be re-appointed to a four (4) year term if the following has occurred:

- a) The attorney has received substantiated discipline that led to a suspension that was brought before the Commission; or,
- b) The attorney has been noticed that their reappointment is in jeopardy due to substantiated issues (i.e. attendance or performance) during their current term of appointment; or,
- c) The attorney has received substantiated discipline against them by the Statewide Grievance Committee.

In the above stated, the attorney must have been provided notice and due process in accordance with the terms of this Agreement.

### ARTICLE 9 SERVICE RATINGS

**Section One.** All new employees shall receive an annual evaluation three (3) months but not less than two (2) months prior to their anniversary date (January 1, or July 1, as applicable). When the month end falls on a holiday or weekend, the rating shall be deemed timely if tendered on the first business day after said weekend or holiday.

The parties will create a working committee to evaluate the current evaluation process and forms with the goal to streamline the process, while maintaining its integrity and providing for a more detailed evaluation prior to the reappointment process that occurs every four years.

Service ratings may be issued: (1) during any Probationary Period, (2) when the employer wishes to amend a previously submitted less than good rating due to marked improvement, (3) and at such other times as the appointing authority deems that the quality of service of an employee should be recorded.

**Section Two.** The service rating will be conducted by a supervisor or manager familiar with the employee's work and performance.

In most cases, the supervisor of an employee is a member of the bargaining unit. In these cases, the supervisor is responsible for conducting a fair and unbiased review of an employee's performance. Failure to conduct a review in this manner may result in disciplinary action against the supervisor.

**Section Three.** The Employer retains all other contractually or statutorily permitted mechanisms for assessing employee performance. Any files maintained concerning interim conferences shall be in the form of supervisory notes and shall not be on the established rating form.

**Section Four.** There shall be two (2) overall ratings: "satisfactory" and "unsatisfactory." An employee receiving an "unsatisfactory" overall rating shall not receive an annual increment, or if at the maximum for the salary schedule, a lump sum payment.

Section Five. Two (2) successive unsatisfactory service ratings shall be grounds for dismissal from State service.

Section Six. Disputes only concerning overall "unsatisfactory" may be subject to the grievance and arbitration process.

# ARTICLE 10 PERSONNEL RECORDS

Section One. An employee's "personnel file" is defined as the personnel file maintained by the Human Resources office of the Division.

**Section Two.** An employee, on his/her request, or a Union representative, upon written authorization, shall be permitted to examine and copy during normal business hours and at his/her expense, all materials placed in his/her personnel file other than any pre-employment material or any other material that is confidential (Medical Information) or privileged. Any privileged or confidential information shall not be revealed to any party outside the Division without the written consent of the employee.

The Division reserves the right to require its designee to be present while such file is being inspected or copied. The Union may have access to any employee records upon presentation of written authorization by the appropriate employee.

**Section Three.** In the interest of protecting the safety of Public Defenders, the Division shall not disclose to any person other than the Division or a State agency with a business need for the information:

- a) The home address or telephone number of a Public Defender;
- b) Information concerning the Public Defender's activities or organizational affiliations that could lead to discovery of his/her home address or telephone number.

**Section Four.** No new material derogatory to an employee shall be placed in the employee's personnel file unless the employee or the Union Steward has been afforded an opportunity to sign (indicating receipt of such material) and has received a copy of such material. Notices of proven or accepted discipline and stipulated resolutions thereof are recognized as records to be retained in the personnel file. Any disciplinary material not subsequently merged into a service rating, following the inclusion of said material into the personnel file, shall be voided after five (5) years from the date of issue, unless disciplinary action is taken for a similar type situation or issue. For purposes of this, voided shall be defined as:

- 1) Documents removed and placed in another non-personnel file;
- 2) No negative presumption can be drawn from the document; and
- 3) The document is not usable in the future as a reference or a document.

**Section Five.** This Article shall not be deemed to prohibit supervisors from maintaining written notes or records of employee's performance for the purpose of preparing service ratings.

Section Six. Requests for information contained within a personnel file ("Record") shall be complied with to the extent required under existing law (e.g. court order, Freedom of Information).

# **ARTICLE 11 SENIORITY**

**Section One.** Seniority shall be defined as an employee's length of continuous full time permanent service in the Division of Public Defender Services. Any part-time service shall be pro-rated in accordance with the number of hours worked by the employee.

Section Two. Seniority shall not be computed until after completion of the initial Probationary Period.

Section Three. Seniority shall accrue during the following:

- a. Authorized FMLA Leave;
- b. All paid leaves provided that the employee returns to work immediately following the leave:
- c. Military leave granted in accordance with Article 16;
- d. Unpaid medical leave of absence following exhaustion of sick leave for up to nine (9) months for an employee with permanent status provided that the employee returns to work immediately following the leave;
- e. Workers' Compensation leave.

**Section Four.** Seniority shall be deemed broken by:

- (1) termination of employment caused by resignation, dismissal or retirement;
- (2) Failure to report for five (5) consecutive working days without authorization.

Credit for seniority up to a break in service shall be restored to an employee who is reemployed within one (1) year of a service break.

## ARTICLE 12 VACATION & PERSONAL LEAVE

Section One. Permanent employees are eligible to accrue vacation leave after the first full month of employment.

**Section Two.** Vacation is accrued on a monthly basis in accordance with State statutes and regulations. The following accrual schedule shall apply per completed calendar month of service:

0-9 completed years of full-time service 1.25 days per calendar month

40 hrs. = 10 hrs. 37.5 hrs. = 9.37 hrs. 35 hrs. = 8.75 hrs.

10 plus completed years of full-time service 1.66 days per calendar month

40 hrs. = 13.33 hrs. 37.5 hrs. = 12.5 hrs. 35 hrs. = 11.66 hrs.

Eligible permanent part-time employees who work over twenty (20) hours per week shall accrue on a prorated basis.

Vacation leave does not accrue when, during a calendar month, an employee is off the payroll for an aggregate of more than three (3) of the employee's working days.

Section Three. Unused vacation accruals may accumulate up to a maximum of the hourly equivalent of one-hundred twenty (120) days. After an employee has attained this maximum accrual, vacation hours will not accrue again until the balance drops below the maximum. Example: January 120 days- no accrual; February 120 days takes two (2) days- will accrue.

Section Four. Taking Vacation Time. An employee shall be allowed, subject to the approval of his supervisor, to choose the time of his vacation. In the event of conflicting schedules of leave, seniority shall prevail, provided the more senior employee has chosen the time of his vacation within sixty (60) days of the commencement date of the vacation. Seniority for the purposes of this paragraph shall be defined as aggregate service as a full-time employee in the Division.

Any employee wishing to use more than the hourly equivalent of twenty-five (25) working days accrued vacation time in any one (1) calendar year must first obtain the approval of the Chief Public Defender.

Section Five. Personal Leave. Full-time permanent employees of the Division who are eligible to accrue sick and vacation leave will be granted the hourly equivalent of three (3) days of personal leave of absence on January 1<sup>st</sup> of each year. Permanent part-time employees are granted personal leave on a pro-rated basis.

Personal leave is granted to new employees in permanent positions at the conclusion of six (6) months of continuous service, during which period they have not been on a leave of absence without pay for more than ten (10) working days.

Personal leave of absence shall be for the purpose of conducting private affairs including the observance of religious holidays. Personal leave must have prior supervisory approval.

Personal leave days not taken during the calendar year are not accumulated from year to year and shall expire on December 31st of each year.

Section Six: Vacation and Personal Leave accruals are posted on the individual employee's paycheck and CORE timesheet each week. It is the responsibility of the individual employee to keep track of their accrual balance when requesting time off.

If an employee does not have the time available and takes it, they will be charged for unauthorized leave without pay for the time unless approval is received ahead of time.

## Section Seven. Payment of Accrued Vacation Time on Termination of Employment.

- a) On termination of employment, each eligible (in good standing) employee shall be granted lump sum payment for vacation leave accrued and unused up to and including the last full calendar month of work. The amount paid shall be equal to the employee's hourly rate, which shall be based upon the employee's salary at the time of his termination or retirement.
- b) In the event an employee, other than one whose compensation is fixed by statute, dies, a lump sum payment shall be made for all vacation leave accrued to them and unused at the time of their death. The amount paid shall be equal to the salary the deceased employee would have received had they remained in the service of the Division until the expiration of such vacation period. Such payment shall be made to the surviving beneficiary or beneficiaries lawfully designated by the employee under the state employees' retirement system or, if there is no such designated beneficiary or beneficiaries, to the estate of the deceased.
- c) An employee who voluntarily terminates their employment shall normally give two (2) weeks' notice of termination. No vacation time will be granted during this period. Failure of an employee to provide such notice may result in their resignation recorded as "not in good standing."

Section Eight. Remote work cannot be used in lieu of Vacation or Personal Leave time.

### ARTICLE 13 SICK LEAVE

Section One. Accrual. Full-time permanent employees shall accrue sick leave at the rate of one and one-quarter (1.25) day per completed calendar month of service:

- a) 10 hours for employees working 40 hours per week
- b) 9.37 hours for employees working 37.5 hours per week

Eligible permanent part-time employees who work over twenty (20) hours per week shall accrue on a prorated basis. Accrued sick leave may not be used until the month after it is accrued.

- a) Leave starts to accrue only on the first working day of the calendar month and is credited upon completion of the month.
- b) Employees shall not accrue sick leave for the first calendar month of employment unless they commence employment on the first working day of the calendar month.
- c) No sick leave will accrue when an employee is on leave of absence without pay for an aggregate of more than three (3) workdays.
- d) Sick leave shall accrue for the first twelve (12) months in which a Division employee is being paid workers' compensation benefits relating to not being able to work or paid sick leave benefits under the provisions of the General Statutes.

Section Two. Use of sick leave. Sick leave to the extent accumulated by the employee and credited to their accrual balance shall be granted to an eligible employee under the following circumstances:

- a) For medical, dental or eye examination or treatment for which arrangements cannot be made outside of working hours. If patterns of full days are taken for these appointments, the Division may request medical certification.
- b) In the event of death in the immediate family, immediate family means spouse, father, mother, sister, brother or child and any relative who is domiciled in the employee's household. The employee may use up to five (5) days of accrued sick leave.
- c) In the event of critical or severe injury/illness to a member of the immediate family requiring the assistance of the employee, up to ten (10) days of accrued sick leave in a calendar year. (SFAM)
- d) In the event of attending a funeral for individuals other than members of the immediate family, if permission is requested and granted by the employee's supervisor. The employee may use one (1) day of accrued sick leave and providing that not more than three (3) days shall be used doing the course of the calendar year.

**Section Three.** If an employee becomes ill during a period of authorized vacation, they may file a request along with an acceptable medical certificate verifying that the employee would have been unable to work on the day or days originally requested as vacation, they will be permitted to use accrued sick leave for those days.

**Section Four: Medical Certificate**. An acceptable medical certificate, which shall be on the form prescribed by the Commissioner of Administrative Services or a form signed by a licensed physician or other practitioner whose method of healing is recognized by the State providing the same basic information, may be required of an employee by the Division to substantiate a request for sick leave for the following reasons:

- a) Any period of absence consisting of more than five (5) consecutive working days;
- b) To support request for sick leave during annual vacation;

- c) Leave of any duration if absence from duty recurs frequently or habitually provided the employee has been notified that a certificate will be required;
- d) Leave of any duration when evidence indicates reasonable cause for requiring such a certificate, except cases of alleged misconduct.
- e) The Division may request that a further examination is required by a Physician chosen by the Division.

**Section Five.** A quarterly review of sick leave usage will be conducted agency wide. Employees and their supervisor who have had high occurrences and/or tandem occurrences in accordance with Administrative Policy #501 "Attendance" of the Division of Public Defender Services Administrative Policy & Procedure Manual shall be notified and action taken as prescribed in said policy.

**Section Six.** The provisions of § 504 "Use of Accrued Sick Leave" of the Division of Public Defender Services Administrative Policy & Procedure Manual remain in effect.

**Section Seven**. Compensation for Unused Sick Leave Accrual upon Retirement. Each eligible employee in the Division shall be compensated, effective as of his/her retirement, at the rate of one-fourth (1/4) of his/her daily salary for each day of sick leave accrued to his/her credit as of his/her last day on the active payroll up to a maximum payment equivalent to sixty (60) days' pay.

**Section Eight.** Payment of Sick Leave upon Death of Employee. Upon death of an employee who has completed ten (10) years of State service, the employer shall pay to the beneficiary one-fourth (1/4) of the deceased employee's daily salary for each day of sick leave accrued to his/her credit as of his/her last day on the active payroll up to a maximum payment equivalent to sixty (60) days' pay.

Section Nine. Remote work/telework cannot be used in lieu of sick leave.

# ARTICLE 14 ADVANCED SICK LEAVE

**Section One.** Eligibility. Employees must have completed at least five (5) years of full-time permanent employment in the Division. Employees must have first exhausted all sick, personal leave and vacation accrual balances. Employees must not have had any attendance issues that required intervention of Human Resources.

Advanced sick leave will only be granted in cases of serious illness or injury which result in extended periods of absence.

Employees who have an open balance of advanced sick leave will not be eligible for additional advanced sick leave.

**Section Two.** Eligible employees shall submit in writing a request to the Director of Human Resources. Said request shall be made in advance indicating the following:

- a) If all accrual balances have been depleted due to an extended period of illness or injury;
- b) A medical certification indicating the reason and anticipated dates of extended absence.

Section Three. Advanced sick leave, if granted, shall be on the basis of one (1) day at full pay for each completed year of full-time service. In no case shall advance sick leave exceed thirty (30) days at full pay.

**Section Four. Repayment.** In that advanced sick leave is considered an advancement of compensation, it is required that it be repaid to the Division.

Once an employee has returned to work and has accrued five (5) days of sick leave, they will be required to repay the balance of advanced leave through deductions to their sick leave accrual balance of 50% for each month until a full repayment had been made. Example: after the month in which they have achieved a balance of five (5) sick leave days, the next month ½ of the scheduled accrual will go to the individual employee 's accrual balance and ½ will go towards repayment of the advanced sick leave.

In the event that advanced sick leave is not repaid eighteen (18) months subsequent to it being granted, the Director of Human Resources and the employee shall work out a payment plan in which bi-weekly deductions are taken until the advanced sick leave is repaid.

If the employee separates for any reason prior to the repayment of the advanced sick leave, the dollar value of the advanced sick leave will be taken from the final paycheck. In the event that the full amount or partial amount cannot be taken from the final paycheck, the employee will be given the opportunity to pay in full the balance or the matter will be referred to the Department of Administrative Services Collection Unit for payment arrangements.

**Section Five.** Regulations 247-5 shall be superseded regarding this Article.

# ARTICLE 15 EMERGENCY SICK LEAVE BANK

**Section One.** There shall be an Emergency Sick Leave Bank to be used by full-time permanent employees. The purpose of the Bank is to provide sick leave in the event of catastrophic or extended long term illnesses.

Section Two. The Fund. All members of the Bargaining Unit will be required to initially donate eight (8) hours to the Bank. Members may donate additional hours. Once the Bank reaches a balance of one thousand (1,000) hours, the members will be advised that mandatory donation will be taken. If an employee has a balance that would be impacted by a donation (under thirty-two (32) hours of accrued sick leave) they shall donate the hours from their vacation accruals.

In the event that the employee has no accruals at the time of a mandatory donation, the donation shall be spread out over two (2) months.

**Section Two. Eligibility.** A full-time permanent employee shall be eligible to utilize the emergency Sick Leave Bank when:

- a) The employee has been employed by the Division for two (2) or more years;
- b) The employee has exhausted all accrued sick leave, personal leave and vacation leave as well as advanced sick leave;
- c) They are not receiving benefits under workers' compensation, short term or long-term disability;
- d) They have provided a medical certification supporting the catastrophic or extended long term illness;
- e) The employee has not been disciplined for sick leave abuse during the two (2) year period preceding the application for the benefit;
- f) The bank has not been depleted; and
- g) In the judgement of the Chief Public Defender and Director of Human Resources, the extent and circumstances of the employee's usage of sick leave prior to the illness in question do not appear to abuse sick leave.

Section Three. Benefit Amount. Benefits under this Article shall be paid at the rate of one-half (½) day or a full day for each day of illness or injury. At the time of application, the employee must specify their choice. The limits of obtaining this benefit shall not to exceed a maximum of one hundred twenty (120) days per five (5) year period per employee. Employees receiving benefits under this Article shall not accrue vacation or sick leave during the period of eligibility or be eligible for holiday or other paid leave benefits.

Section Four. Program Administration. An eligible employee requesting use of emergency sick leave may make application on the prescribed form to a Labor-Management panel established to administer the program. Said panel shall be comprised of two (2) members; one (1) from the Employer and one (1) from the Union. The panel shall have full authority to grant benefits and administer the program in accordance with the guidelines above or as mutually agreed to. When an employee returns to work, or when sick leave benefits have been exhausted, the Agency will notify the panel, in writing, with the total number of hours used by said employee.

The actions or non-actions of the panel shall in no way be subject to collateral attack or subject to the grievance-arbitration process. The panel shall not be considered a State/Division agency, nor shall it be considered a board or other subdivision of the Employer. All actions shall be taken at the discretion of the panel, and no requests shall be conducted as contested cases.

Section Five. Regulations 247-6 shall be superseded regarding this Article.

## ARTICLE 16 OTHER LEAVES

#### MILITARY LEAVE

**Section One.** A full-time permanent employee of the Division shall be granted military leave and the benefits attendant thereto as provided by State and Federal law. Such military leave shall be granted upon submission to the Chief Public Defender of acceptable and official written military orders signed by a superior/commanding officer.

## PREGNANCY, MATERNAL, PARENTAL & CAREGIVER LEAVE

**Section One.** Disabilities resulting from or contributed to by pregnancy, miscarriage, termination of pregnancy, childbirth or maternity, defined as that period of time, as certified by the attending physician, in which an employee is unable to perform the requirements of her job, will be charged to any accrued sick leave and may be charged to any other accrued leave upon the exhaustion of accrued sick leave.

After the period of paid leave, an employee who remains disabled may request a medical leave of unpaid absence to the extent provided by existing statutes and regulations, as they may be amended.

**Section Two.** Parental and Family Leave shall be governed by C.G.S § 31-51kk et.seg (and any amendments) and the appurtenant regulations. The current FMLA manual for State/Division employees is located on the DAS website at this link: FMLA Manual for State Employees.

**Section Three.** Caregiver FMLA: Sick Leave may be used to care for an immediate family member if the circumstances meet the requirements for qualified family care under FMLA. The C.G.S § 31-51kk, et seq. governs this usage.

**Section Four.** Holidays which occur during the period covered by the parental leave provisions of C.G.S. § 31-51kk, et seq shall not be compensated unless the employee is concurrently utilizing paid vacation, sick or personal leave, the day prior and the day after the holiday.

## CIVIL LEAVE AND JURY DUTY

**Section One. Civil Leave**. (a) If an employee receives a subpoena or other order of the court requiring an appearance during regular working hours, time off with pay and without loss of earned leave time shall be granted. This provision shall not apply in cases where the employee is a plaintiff or defendant in the court action. Employee must submit subpoena or other order. Employee must also submit documentation from Court as to time spent in Court. Employees are only permitted this time for actual time on these matters. The Division reserves the right to review when full days are taken for these matters.

(b) If a court appearance (not jury duty) is required as part of an employee's assignment, time spent shall be considered as time worked.

**Section Two.** Jury Duty. An employee who is called to serve as a juror will receive his/her regular pay less pay received as a juror for each workday while on jury duty. This provision shall not apply to "on call" jury time when the employee is able to be at work. Upon receipt of a notice to report for jury duty, the employee shall inform the personnel office immediately and provide said notice.

The Employer may request that the employee be excused or exempted from jury duty if, in the Employer's judgment, the employee's services are needed at that time.

Time spent on jury duty shall not be considered time worked for completing a working test period or trainee requirements.

If an employee is dismissed from jury duty, they are to return to work. Time will only be paid for actual time spent at jury duty. If an employee does not wish to return to work, they will be required to take vacation or personal leave. The Division reserves the right to review when full days are taken for these matters

## ARTICLE 17 HOLIDAYS

Section One. For the purposes of this Article, holidays are as follows:

New Year's Day

Martin Luther King Jr Day

Lincolns Birthday

Presidents Day

Good Friday

Memorial Day

Juneteenth

Independence Day

Labor Day

Columbus Day

Veterans Day

Thanksgiving Day

Christmas Day

### ARTICLE 18 HOURS OF WORK

Section One. The standard workweek for all full-time employees shall be as follows:

Deputy Assistant Public Defender	Forty (40) hours per week (8 per day)
Assistant Public Defender	Forty (40) hours per week (8 per day)
Senior Assistant Public Defender	Forty (40) hours per week (8 per day)
Supervising Assistant Public Defender	Forty (40) hours per week (8 per day)
TSU Assistant Public Defender TSU Senior Assistant Public Defender	Thirty-seven and ½ (37.5) hours per week (7.5 per day)

The standard workweek shall be Monday to Friday between 8:00 am and 5:30 pm.

**Section Two. Scheduling of Hours**. Employees shall work such hours as are required to fulfill the professional responsibilities of their positions, with the minimum daily hours as indicated in Section One of this Article.

For special programs or assignments, an employee's work schedule may be adjusted by mutual agreement for the employee and the Division.

**Section Three: Meal Periods**. Meal periods shall be either one (1) hour or one-half hour (1/2) in duration and shall be scheduled close to the middle of the day consistent with operating needs of the Division. Meal periods are not compensated time and as such are not counted as work time. The voluntary omission of a meal periods, in whole or part, shall not modify the starting or leaving time schedule.

**Section Four. Rest Periods.** Unless precluded by existing agency policy and subject to the operating needs of any agency, employees will be scheduled to receive a fifteen (15) minute rest period in each half shift, except that operational needs may preclude such periods for courtroom personnel. The voluntary omission of a rest periods, in whole or part, shall not modify the starting or leaving time schedule.

**Section Five. Scheduled Office Days:** On scheduled "Office Days," Employees are required to be present at work location performing job functions. This is not considered an "Off" day.

## ARTICLE 19 COMPENSATION

**Section One.** Salaries for the duration of this Agreement are set forth in Appendix A.

## Section Two. One Time Lump Sum Payments.

Effective July 1, 2021	One-time lump sum special payment in the amount of two thousand five hundred (2,500) dollars (pro-rated part time employees). Eligible employees are those who were active on March 31, 2022.
Effective July 1, 2022	One-time lump sum special payment in the amount of one thousand (1,000) dollars (pro-rated for part time employees).

### Section Three. General Wage Increases.

Effective July 1, 2021	Retroactive to July 1, 2021, Wage Increase of two and one half (2.5) percent for active employees and those employees who left State Service in good standing with ten (10) years or more of State service or employees who retired after July 1, 2021.
Effective July 1, 2022	General Wage Increase of two and one half (2.5) percent for active employees.
Effective July 1, 2023	General Wage Increase of two and one half (2.5) percent for active employees.
Effective July 1, 2024	Wage Re-Opener.

Section Four. Annual Increments and Payments in Lieu of Annual Increments. Annual Increments and Lump Sums shall be provided on the following schedule.

who retired after July 1, 2021.	)   
Effective July 1, 2022 Annual Increment on Time.	
Effective July 1, 2023 Annual Increment on Time.	
Effective July 1, 2024 Wage Re-Opener.	

When annual increments or payments in lieu of annual increments are made, the following schedule shall be in place.

January 1 <sup>st</sup>	Employees hired between October 2 <sup>nd</sup> and April 1 <sup>st</sup> , inclusive, following completion of at least nine (9) full months of paid employment.
July 1st	Employees hired between April 2 <sup>nd</sup> and October 1 <sup>st</sup> , inclusive, following completion of at least nine (9) full months of paid employment.

An employee who has transferred from another branch of State government will retain the annual increment date established by that branch.

Annual increments dates may be changed by the Chief Public Defender in connection with an employee's reclassification or promotion, or to provide for equitable treatment among employees of the Division.

**Section Five. Longevity.** No employee hired on or after July 1, 2011, shall be entitled to a longevity payment; provided however, any individual hired on or after said date who has military service which would count toward longevity under current (pre-July 2011) rules shall be entitled to longevity if such individual obtains the requisite service in the future.

For those eligible for longevity, "qualifying service" includes prior service in a permanent, full-time position, whether classified or unclassified with any branch or agency of state government. Other types of prior service, (e.g. war service, legislative service, Circuit Court service) may also qualify towards the ten (10) year longevity minimum under certain statutory and administrative specifications.

With the exception of unpaid military leaves, unpaid leaves of absence are not considered state service for computing length of service for longevity pay purposes.

Employees are eligible to receive a lump sum longevity payment twice a year based on service completed as of April 1 and October 1 of each year.

Payments will be made in accordance with the following schedule:

YEARS OF QUALIFYING SERVICE	AMOUNT OF PAYMENT
10 or more but less than 15	1/4 of longevity amount
15 or more but less than 20	½ of longevity amount
20 or more but less than 25	<sup>3</sup> / <sub>4</sub> of longevity amount
25 or more	Full amount

Longevity Schedule Appendix B

#### Section Six. Structure & Progression.

1) <u>Hire:</u> Individuals hired into the Division shall be brought in at the **Deputy Assistant Public Defender\*** Level based on the following schedule:

Status	Hire
Law School Graduate pending admission (Certified Legal Intern)	75% of Step One (1)
Law School Graduate	Step One (1)
Law School Graduate with two years of paid experience	Step Two (2)
Law School Graduate with three years of paid experience	Step Three (3)
Law School Graduate with four years or more of paid experience	Step Four (4)

Experience will be seen as work directly related to the work performed by members of the Division.

\* Attorneys doing full-time appellate work in either adult, juvenile, or child protection may be brought in at the Assistant Public Defender level at Step five (5) based on the extent of their appellate experience. This does not preclude hiring at the Deputy Assistant Public Defender level for training purposes.

On a monthly basis, the union president will be notified of new hires and respective Step placements. Step placements of Attorneys are not subject to grievance or arbitration process.

3) <u>Progression</u>: Incumbents in the class of Deputy Assistant Public Defender shall be eligible for progression to Assistant Public Defender after the completion of three (3) years of service.

Example:	Hired as Deputy Assistant Public Defender:	2/7/2022
•	Third anniversary:	2/7/2025
	Progress to Assistant Public Defender:	7/1/2025

Progression shall be effective as of January 1<sup>st</sup> or July 1<sup>st</sup>, the date following the completion of three (3) years of service and provided that they have had satisfactory performance reviews.

Anniversary Date July 2 <sup>nd</sup> to December 31 <sup>st</sup> :	January 1st.
Anniversary Date January 1st to July 1st:	July 1st.

4) Effective July 1, 2022, in recognition of progression to the Assistant Public Defender the employee shall be awarded a \$2,500 one-time stipend. If the collective bargaining agreement includes annual increments, the employee will move to their regular scheduled step.

NOTE: Employees that are currently classified as DAPD's and scheduled to move to Assistant Public Defender classification effective July 1, 2021 to July 1, 2022 and are currently at Step five (5) or above will not be awarded the stipend due to already receiving an eight (8) percent increase when placed in Step five (5). They will progress to the next step in lieu of the annual increment as is established practice.

5) In the event that the parties agree that there are no Annual Increments awarded during any of the four (4) years of employment, upon completion of three (3) years of service, the employee shall be moved to the Step described below. If this occurs the Stipend mentioned in Item three (3) will not occur.

Step Hired At	Years as DAPD	Step APD
One (1)	Three (3) years	Step four (4)
Two (2)	Three (3) years	Step five (5)
Three (3)	Three (3) years	Step six (6)
Four (4)	Three (3) years	Step seven (7)

- 6) Incumbents in the class of Assistant Public Defender shall be eligible to become a **Senior Assistant Public Defender** effective as of their scheduled annual increment date (implemented or not) closest to the end of their tenth (10<sup>th</sup>) year of employment as an Assistant Public Defender with the Division and if they have had satisfactory performance reviews. There is no step advancement associated with the movement to the Senior Assistant Public Defender level.
- 7) The Commission of Public Defender Services, upon recommendation from the Chief Public Defender, may promote an Assistant or Senior Assistant Public Defender to the level of **Supervisory Assistant Public Defender** to supervise the operational and administrative functions of a Geographical Area Court, Juvenile Office, or Work unit.

Upon promotion to the Supervisory Assistant Public Defender, the employee shall be placed in the salary plan for the position and assigned to the step which provides the employee an increase at least equal to the average annual increment on said schedule.

# ARTICLE 20 REDUCTION IN FORCE

**Section One.** A layoff is defined as the involuntary non-disciplinary separation of an employee due to a reduction in the workforce. A layoff shall not be deemed to include a non-reappointment of a person appointed for a statutory term of office.

Section Two. Employees may be laid off because of lack of work, economy, insufficient appropriation, a change in division organization, or abolition of position.

Section Three. Seniority for purposes of layoff. Seniority for purposes of layoff shall be consistent with Article 11, in that it is defined as an employee's length of continuous full-time service in the Division of Public Defender Services. Part-time hours shall be pro-rated.

If the seniority of two (2) or more employees is the same, identification for layoff and recall shall be determined by random selection.

### Section Four. Procedures for Reduction in Force.

- 1) Individuals in temporary and/or durational positions shall be laid off prior to individuals in permanent positions in the Division.
- 2) Employees who have not attained permanent status in the classification in which the layoff is to occur, shall be removed prior to the layoff of any permanent employee in the classification.
- 3) The least senior attorney(s) in the bargaining unit in the Division shall be laid off.
- 4) The Division shall notify the incumbent in writing with as much notice as possible, but not less than six (6) weeks. Such written notice shall state the reason for the layoff and a copy of said notice shall be sent to Council #4.

**Section Five.** Once the procedures for reduction in force described above are implemented, the Division may then utilize transfer for operational needs to fill the voids of the laid off staff. The Division shall be permitted to reallocate resources to ensure that the obligations of the Division are met.

Section Six. Reemployment. A laid off employee shall be placed on a Division reemployment list in order of seniority as defined in Article 11 and Section Three (3) herein. The employee shall remain on the

reemployment list for a period of two (2) years, during which time the employee shall have reemployment rights in order of seniority to any new or vacant position that the Division decides to fill.

An employee appointed from the reemployment list to a position in their former salary group will be placed at the same step in such group as they held when they last worked in the bargaining unit.

In addition, laid off employees shall have SEBAC rights in accordance with agreements between Unions, Executive and Judicial Branch.

**Section Seven**. In the event of a court closure, the Division shall prepare a plan to transfer staff in accordance with the language of the collective bargaining agreement. The parties will meet to discuss the plan and its implementation. The plan in and of itself shall not be subject to the grievance process.

# ARTICLE 21 DISMISSAL, SUSPENSION, DEMOTION, OR OTHER DISCIPLINE

**Section One. Types of Discipline**. Discipline is defined as follows:

- 1) Discharge.
- 1) Demotion. (An appointing authority may demote an employee for inefficiency or incompetence when the employee's performance of his duties clearly indicates he is not rendering satisfactory service.)
- 2) Suspension.
- 3) Denial of annual increment due to misconduct.
- 1) Letter of reprimand. (An appointing authority or supervisor may reprimand an employee for just cause, if the appointing authority or supervisor believes that, given the relevant circumstances, the specific conduct that is the subject of the reprimand does not warrant a suspension, demotion or dismissal, but that specific conduct involving the employee's job performance, attendance or conduct on duty warrants putting the employee on notice that such specific conduct constitutes just cause, and that the continuation of the specific conduct may result in more severe disciplinary action.)

Discipline shall not include the non-reappointment of a person holding a statutory term of office or appointment. The Union President shall be notified when an employee is discharged, demoted or suspended.

**Section Two.** No permanent employee shall be disciplined (Letters of reprimand or warning are not included), demoted, suspended or dismissed except for just cause. Just cause may include but is not necessarily restricted to:

- a) Conviction of a felony.
- b) Conviction of a misdemeanor committed while on duty.
- c) Conviction of a misdemeanor committed off duty which would impact upon the performance of job responsibilities.
- d) Offensive or abusive conduct towards the public, co-workers, or clients.
- e) Two (2) successive unsatisfactory service ratings, if filed within two (2) years of each other.
- f) Fraud or collusion in connection the business of the State and Division
- g) Theft, willful neglect or misuse of any State funds, property, equipment, material, supplies or time.

- h) Deliberate violation of any law, state regulation or Division rule.
- i) Absence without leave for five (5) or more working days or failure to return to duty within five (5) working days following authorized leave.
- i) Use of and/or intoxication from alcohol or illegal drugs while on duty.
- k) Insubordination, including but not limited to failure to work overtime if directed to do so.
- 1) Engaging in any activity which is detrimental to the best interests of the Division or of the State.
- m) Any act that constitutes a conflict of interest within the meaning of C.G.S. Section 5-266 (a)-1 of the regulations of State Agencies and violations of section.

**Section Three**. **Authority to Discipline**. Upon recommendation of the Chief Public Defender, the Commission is responsible for the imposing of discipline that is a suspension of longer than fifteen (15) days, demotion, or discharge from State service. The Commission shall follow the established procedures stated in Chapter 887 Public Defender Services § 51-293.

**Section Four.** After a management decision is made to impose a suspension, demotion or dismissal, the Chief Public Defender or his/her designee will offer the employee the opportunity for a pre-disciplinary (Loudermill) conference in accordance with State Administrative Regulations § 5-240-7a which states:

- a) Prior to a decision to suspend an employee, demote an employee except at the request of the employee or dismiss an employee, the appointing authority shall provide the employee with oral or written notice.
- b) Said notice shall include what form of action is being considered and shall contain a concise statement explaining what evidence supports the imposition of the action that is being considered. The notice shall state a specific time and place for the meeting and that the employee will have an opportunity to present their side of the story and reasons why the employee feels that the action being considered should not be taken. The meeting will be held by the appointing authority or designee.
- c) If written notice is given, it may be mailed or emailed, return receipt requested, or hand delivered to the employee at work. If the notice is mailed, the time of meeting when the employee will be given an opportunity to present their side shall be no sooner than five (5) working days following the mailing of the notice. If the notice is hand delivered to the employee at work or given orally, the time of the meeting when the employee will be given an opportunity to present their side may be any time following receipt of such notice, including immediately following the receipt of the notice unless the complexity of the charges requires additional time. In such case, the employee may request and be granted a reasonable amount of time before being required to respond.
- d) If an employee declines or fails to attend the pre-disciplinary meeting, the appointing authority may proceed with disciplinary action consistent with the notice provided under this section.

Written notice of formal disciplinary action (suspension, demotion, or dismissal) shall be sent to the employee by certified mail or email or served in person. A copy of such notice shall be provided to the Union via email within twenty-four (24) hours of the notice to the employee, by the close of the next business day.

**Section Five.** Notwithstanding the provisions of Section Four, advance notice of suspension or dismissal may be waived in cases where:

a) The Chief Public Defender or their designee determines that there is probable cause that the employee's action(s) constitutes serious misconduct affecting the public, the welfare, health of safety of clients, employees, or the protection of state property.

b) The Chief Public Defender or their designee determines that there is probable cause that the employee's continued presence on the job would severely interfere with operations. Such determination shall be reviewable through the grievance and arbitration process. In these cases, a notice of discipline shall be served no later than five (5) days following the date the employee is suspended or dismissed.

**Section Six.** Once the investigation and pre-disciplinary meeting have been concluded, the Chief Public Defender shall make recommendations to the Commission regarding matters involving suspension over fifteen (15) days, demotion or discharge.

**Section Seven:** Placement if an employee on paid administrative leave shall be governed by Regulations 5-240-5a to permit investigation or to remove an employee:

- (a) where it has been determined by the Chief Public Defender or designee that there is probable cause that the employee's action(s) constitutes serious misconduct affecting the public, the welfare, health, or safety of clients, the public or State employees or the protection of State property; and/or
- (b) The Chief Public Defender or designee determines that there is probable cause that the employee's continued presence on the job would severely interfere with operations.

Paid administrative leave shall not exceed fifteen (15) workdays.

**Section Eight.** The grievance procedure shall be the exclusive forum for resolving disputes over disciplinary action and shall supersede all preexisting forums. It is understood that the arbitrator's remedial powers include only reinstatement with back pay and restoration of all other rights.

**Section Nine.** If an employer has reason to reprimand or counsel an employee, it shall be done in a manner that will not embarrass the employee before other employees or the public. In turn, if an employee has an issue with their supervisor or other manager, it shall be done in a manner that will not embarrass the supervisor/manager before other employees and the public.

**Section Ten. Investigative Interview**. An employee who is being interviewed concerning an incident or action, which may subject them to disciplinary action, shall be notified of their right to have a Union steward or other representative present. However, this provision shall not unreasonably delay completion of the investigative interview. This provision shall be applicable to interrogation before, during or after the filing of a charge against an employee or notification to the employee of disciplinary action.

The provisions of this Section shall not be interpreted to prevent a supervisor from questioning an employee at the workplace or discussing an employee's service rating with them.

**Section Eleven.** Whenever practicable, the investigation, interviewing or discipline of employees shall be scheduled in a manner intended to conform to the employee's work schedule, with an intent to avoid overtime. When an employee is called to appear at any time beyond their normal work time, they shall be deemed to be actually working. This provision shall not apply to Union Stewards or Executive Board members.

# ARTICLE 22 GRIEVANCE PROCEDURE

**Section One. Definition. Grievance**. A grievance is defined as, and limited to, a written complaint involving an alleged violation or a dispute involving the application or interpretation of a specific provision of this Agreement.

**Section Two.** Grievances shall be filed on mutually agreed forms, which specify: (a) the facts, (b) the issue, (c) the date of the violation alleged, (d) the specific controlling contract provision, (e) the remedy or relief sought.

Section Three. A Union representative, with or without the aggrieved employee, may submit a grievance and the Union may in appropriate cases submit an "institutional" or "general" grievance on its own behalf. When individual employee(s) or in case of a class grievance, a group of employees, elect(s) to submit a grievance without Union representation, the Union representative or steward shall be notified of the pending grievance. In addition, the Union shall be provided a copy thereof, and shall have the right to be present at any discussion of the grievance, except that if the employee does not wish to have the steward present, the steward shall not attend the meeting but shall be provided with a copy of the written response to the grievance.

The steward shall be entitled to receive from the Employer upon request all documents furnished to the grievant pertinent to the disposition of the grievance and to file statements of position. Any adjustment of a grievance filed by an employee(s) without representation shall not be inconsistent with the terms of this Agreement.

Section Four. Informal Resolutions. The grievance procedure outlined herein is designed to facilitate resolution of disputes. It is therefore urged that the parties attempt informal resolution of all disputes and avoid the formal procedures.

**Section Five.** A grievance shall be deemed waived unless submitted at Step I within thirty (30) days from the date of the cause of the grievance or within thirty (30) days from the date the grievant or any Union representative or steward knew or through reasonable diligence should have known of the cause of the grievance. A grievance shall be deemed waived unless subsequently processed within the time limits provided in this Agreement.

#### Section Six. The Grievance Procedure.

In matters involving suspensions over fifteen (15) days, demotion, and discharge, once the Commission has issued a decision, if an employee seeks to grieve that decision, they shall proceed right to the Step III arbitration.

**Step I.** A grievance shall be submitted within the thirty (30) day period specified in Section Five to the Director of Human Resources. Such individual shall meet with the Union representative and/or the grievant within ten (10) days of the submission of the grievance and issue a written response within ten (10) days thereafter.

**Step II.** The Commission at the request of the employee may review a suspension under fifteen (15) days. The Commission will not review grievances relating to lower-level discipline or alleged contract language violations.

**Step III. Mediation**. Within fourteen (14) days after the employer's answer is due at Step I or if no conference is held within thirty (30) days, within fourteen (14) days after the expiration of the thirty (30) day period, an unresolved grievance may be submitted to mediation by the Union or by the State, but not an individual employee.

**Step IV. Arbitration.** Once the Mediator of the State Board has determined that the parties are at an impasse, the grievance shall move to the Arbitration process.

**Section Seven. Waiver of Union representation**. Individual employees may submit to mediation or arbitration in cases of dismissal or suspension of more than five (5) working days once they have waived union representation. A signed document must be presented to the Division indicating the waiver of Union representation.

**Section Eight**. For the purpose of the time limits hereunder, "days" means calendar days unless otherwise specified. The parties by mutual agreement may extend time limits or waive any or all of the steps or meetings.

Section Nine. Settlements of Grievances. Settlements of grievances under Section Six of this Article shall be reduced to writing, signed, and a copy submitted to all parties and placed in the respective employee's personal file. Only the Director of Human Resources or designee may enter into agreements on behalf of the Division and only the AFSCME Representative may enter into agreements on behalf of the Union. Settlements shall not be considered a precedent and shall not be admissible as evidence in any arbitration proceedings.

**Section Ten.** Arbitration. Either party may file a matter to *mediation* or arbitration once the grievance process has been completed. The parties agree to use the services of the State Board of Mediation and Arbitration. The party filing the arbitration shall be responsible for any fees.

Submission to arbitration or mediation shall be by certified letter, postage prepaid to the Director of Human Resources. The expenses for the State Board of Mediation and Arbitration for the hearing shall be shared equally by the State and the Union or in dismissal or suspension cases when the Union is not a party, one-half the cost shall be borne by the State and the other half by the party submitting to arbitration.

On grievances when the question of arbitrability has been raised, either party may request that the Board issue a decision on the issue of arbitrability prior to hearing the merits of the case.

The arbitration hearing shall not follow the formal rules of evidence unless the parties agree in advance, with the concurrence of the Board at or prior to the time of their appointment.

In cases of dismissals, demotions, or suspension in excess of five (5) days, either party may request the Board to maintain a recording of the hearing testimony. In such cases, either party may also request that there be an official stenographical service provided. Costs of transcription shall be borne by the requesting party. A party requesting a stenographic transcript shall arrange for the stenographer and pay the cost thereof. The State will continue its practice of paid leave time for witnesses of either party.

The Board shall have no power to add to, subtract from, alter, or modify this Agreement. The Board shall have no power to grant to either party matters which were not obtained in the bargaining process, nor to impose matters which were not obtained in the bargaining process. The Board shall have no power to impose any remedy or right of relief for any period prior to the effective date of the Agreement, nor to grant pay retroactivity for more than thirty (30) calendar days prior to the effective date of the Agreement. The

Board shall have no power to grant pay retroactivity for more than thirty (30) calendar days prior to the date a grievance was submitted at Step I.

The Board shall render its decision in writing no later than thirty (30) calendar days after the conclusion of the hearing unless the parties jointly agree otherwise. The Board's decision shall be final and binding on the parties in accordance with Connecticut General Statutes Section 52-418, provided, however, neither the submission of questions of arbitrability to any arbitrator in the first instance nor any voluntary submission shall be deemed to diminish the scope of judicial review over arbitral awards, including awards on arbitrability, nor to restrict the authority of a court of competent jurisdiction to construe any such award as contravening the public interest.

The parties may, by mutual agreement, consolidate for hearing by a single arbitrator two (2) or more grievances arising out of the same or similar fact situations or involving the same issues of contract interpretation or both.

**Section Eleven.** Notwithstanding any contrary provision of this Agreement, the following matters shall not be subject to the grievance or arbitration procedure:

- (a) Dismissal of employees during the initial working test period.
- (b) Dismissal of nonpermanent employees.
- (c) The decision to layoff or non-disciplinary termination of employment. The Local President shall receive concurrent written notice of all non-disciplinary terminations.
- (d) Classification, job specifications and pay grade for newly created jobs, provided, however, this clause shall not diminish the Union's right to negotiate on pay grades.
- (e) Disputes over claimed unlawful discrimination shall be subject to the grievance procedure but shall not be arbitrable if a complaint is filed with the Commission on Human Rights and Opportunities arising from the same common nucleus of operative fact.
- (f) Any incident, which occurred or failed to occur prior to the effective date of this Agreement, with the understanding that grievances filed prior to that date shall not be deemed to have been waived because of the execution of this Agreement.
- (g) The decision to subcontract.
- (h) Service Ratings with an overall satisfactory; only an overall rating of unsatisfactory is subject to the grievance procedure.
- (i) Decisions rendered by the Commission concerning outside or dual employment.

**Section Twelve.** All grievance conferences, arbitrations and grievance related meetings shall be closed to the press and the public, unless the parties jointly agree to the contrary.

## ARTICLE 23 GROUP INSURANCE HEALTH

Section One. Health Insurance. The terms of employee health insurance and other benefits are covered by a separate agreement pursuant to Conn. Gen Stat. § 5-278 (f) and SEBAC.

### **ARTICLE 24**

### JOB CLASSIFICATIONS & LOCATIONS

Section One. The following classifications are established for the members of this bargaining unit.

Deputy Asst. Public Defender | TSU Asst. Public Defender (Red-Circled)

Asst. Public Defender

Senior Asst. Public Defender TSU Senior Asst. Public Defender (Red-Circled)

Supervisory Asst. Public Defender

Section Two. The following work units or offices are established for the members of this bargaining unit:

Judicial Courts Part A Geographical Courts (GA) Part B

Juvenile Courts Office of the Chief Public Defender

Delinquency Defense & Child Protection | LSU Legal Services Unit (Waterbury &

Hartford)

Parole Revocation Unit Habeas and Innocence Project

Juvenile Post Conviction Psychiatric Defense Unit

Section Three. The following are the established locations. The parties agree that these locations are in existence at the time of this initial collective bargaining agreement. The parties further agree that the Judicial Division is responsible for the establishments, consolidations and closures relating to the Court System. In the event of new, consolidated or closure, the Division will inform the Union and an addendum of this Article will be added to the collective bargaining agreement.

JUDICIAL DISTRICT	COURT LOCATION
LITCHFIELD	Torrington (JD & GA18)
HARTFORD	Hartford (JD, GA14, & HCC) Enfield (GA13) Manchester (GA12)
NEW BRITAIN	New Britain (JD & GA15)
WATERBURY	Waterbury (JD & GA4)

DANBURY	Danbury (JD & GA3)
TOLLAND	Rockville (JD & GA19)
WINDHAM	Danielson (JD & GA11)
NEW LONDON	Norwich (GA21)
	New London (JD & GA10)
MIDDLESEX	Middletown (JD & GA9)
NEW HAVEN	New Haven (JD & GA23)
	Meriden (GA7)
ANSONIA/MILFORD	Milford (JD & GA22)
	Derby (GA5)
FAIRFIELD	Fairfield
	(JD & GA2)
STAMFORD	Stamford (JD & GA1)
	Norwalk(GA20)

JUVENILE	COURTS
Bridgeport & Stamford Juvenile	Rockville/New Britain Juvenile
New Haven Juvenile	Waterbury & Torrington Juvenile
Hartford Juvenile	Waterford/Willimantic Juvenile
Middletown Juvenile	

SPECIALTY	UNITS
Parole Revocation Unit (Waterbury)	Psychiatric Defense Unit (Connecticut Valley Hospital & Whiting Forensic Hospital Middletown)
LSU (Hartford & Waterbury)	The Connecticut Innocence Project & Post Conviction (Hartford)
Delinquency Defense and Child Protections (Hartford)	Complex Litigation

#### **ARTICLE 25**

### TRAVEL EXPENSES AND REIMBURSEMENT

**Section One.** The standard State travel regulations and the Department of Administrative Services General Letter 115 regarding use of State Vehicles shall remain in force as of the date of ratification and shall be incorporated by reference, except as superseded herein.

Section Two. Mileage. The Division Motor Vehicle Policy issued January 27, 2017, shall remain in force as of the date of ratification and shall be incorporated by reference, except as superseded herein.

**Section Three.** Employees of the Division of Public Defender Services will be reimbursed for approved expenses incurred in the performance of their duties, subject to limitations set forth in Division policy and rules and applicable collective bargaining agreements.

**Section Four.** Generally, the Division will reimburse employees for reasonable business related, out of pocket expenses arising from:

- 1) Travel authorized by Supervisor or Chief or Deputy Chief or Designee
- 2) Attendance at meetings and educational programs
- 3) Necessary use of equipment (copying, phone) or services while away from office
- 4) Necessary minor expenses (e.g. mailing shipping)

With the exception of business related in state mileage reimbursement, the determination of what is a reasonable business-related expense will be made by the Deputy Chief Public Defender and should include prior approval before such expenditure occurs.

**Section Five:** The Reimbursable Business-Related Expenses § 612 of the Divisions Administrative Policy and Procedure Manual will remain in effect.

# ARTICLE 26 TEMPORARY SERVICE SUPERVISOR

**Section One.** An employee who is assigned by the Chief Public Defender to perform temporary service as a Supervisory Asst. Public Defender for a period in excess of fifteen (15) workdays shall, commencing with the sixteenth ( $16^{st}$ ) workday, be paid for such work as if promoted to the supervisory position in accordance with Article 20, § 5 of this collective bargaining agreement

### ARTICLE 27 TRANSFERS

**Section One.** While the Division seeks to provide opportunities to employees, they reserve the management right to determine locations and if a candidate for a transfer opportunity is appropriate for a specific office location. The Division reserves the right to determine the job classification to be transferred. Transfers may only be initiated by the Division for operational need.

Section Two. Permanent Transfers. A permanent transfer is defined as a permanent, indefinite change in duty station.

Permanent transfers shall first be attempted on a voluntary basis. There shall be two (2) methods of seeking volunteers; the decision on which method shall be used will be with the Chief Public Defender and shall not be subject to the grievance process.

a) <u>Division Wide Posting of Transfer Opportunity:</u> Every effort will be made to post transfer opportunities division wide. Once volunteers are identified, the Division will conduct interviews and determine the employee to be transferred.

In the event that only one (1) individual volunteers, and it has been determined that, due to extenuating circumstances, the individual would not be an appropriate placement for the target office, the Division will review caseloads and office staffing and determine available staffing resources. When that office has been identified, the process outlined in subsection b shall be followed. The Division will meet with the Union to explain the circumstances of the decision. This will not be subject to the grievance process.

In the event that no volunteers come forward through the Division wide posting, the process outlined in subsection (b) shall be followed.

b) <u>Specific Office(s) Resource Distribution</u>: When the Chief Public Defender determines that the staffing resources between two (2) specific offices need to be reallocated, the Division shall first seek volunteers from the office. Based on the needs in the target office, the Division may determine the job classification. If there are no volunteers in the job classification, the Division will complete an involuntary transfer with the least senior in the job classification being moved.

**Section Three.** If an attorney refuses to accept a permanent transfer, they may bump the least senior attorney in the selected job classification in the judicial district in which the selected employee is employed. The bumped employee shall then be subject to transfer. The employee may only grieve if there is an issue concerning the seniority calculations of those affected. This will not be subject to arbitration.

Section Four. Temporary Transfers. A temporary transfer is defined as a temporary assignment away from the regular duty station. Ordinarily, such transfers shall not exceed sixty (60) calendar days. No employee will be required to be in a temporary transfer status for more than one hundred twenty (120) calendar days in any calendar year unless with mutual agreement between the parties. Temporary transfers shall first be attempted on a voluntary basis. Involuntary temporary transfers shall be made in accordance with inverse seniority and in the location from which the transfer is being made.

Employees in temporary transfer status shall receive mileage in excess of what their normal commute is for their regular duty station.

Section Five. In the event of involuntary transfers, Part B and Juvenile Attorneys shall be limited to other GA and Juvenile Courts; Attorneys assigned to Delinquency Defense & Child Protection, Habeas, Innocence Project, LSU, or Trial Services with no or limited Part A experience shall be limited to these specific units or GA and Juvenile Courts. Experience shall be determined by the Chief Public Defender and not be subject to the grievance process.

**Section Six.** Those employees who have been identified as involuntary transfers shall be provided with at least two (2) weeks' notice.

**Section Seven**. In combined JD/GA courts, when an internal opportunity is available for an attorney to be assigned to Part A work a majority of the time, all attorneys assigned to that court will be able to submit their names for consideration. The Office Head and the Director of Human Resources or their designee

will conduct interviews and make the selection of the attorney who will be assigned. The process does not pertain to vacancies. Selection shall not be subject to the grievance and arbitration process.

## ARTICLE 28 OUTSIDE/DUAL EMPLOYMENT

**Section One.** Attorneys are not permitted to practice law outside of the Division in accordance with C.G.S § 51-293

**Section Two.** Attorneys may not engage in any other remunerative employment or position unless such attorney has received approval from the Public Defender Services Commission. Attorneys in the Division wishing to seek and accept outside employment must request approval prior to accepting such employment opportunity. Failure to secure approval prior to accepting employment shall result in denial.

Section Three. Attorneys wishing to work for another State Agency while still employed with the Division must receive the approval from the Chief Public Defender and the Public Defender Services Commission prior to beginning such work. Failure to secure approval prior to accepting employment shall result in denial.

**Section Four. Outside Employment**. Subject to the approvals noted in the above Sections, employment must be outside of the employee's normal work hours and not interfere with or present a conflict with the employee's duties within the Division. Such outside employment shall not involve the practice of law.

Section Five. Dual Employment. Any request for dual employment is subject to the provisions outlined in CGS § 5-208a. No State employee shall be compensated for services rendered to more than one (1) State agency during a bi-weekly pay period, unless the appointing authority of each agency certifies that the duties performed are outside the responsibility of the agency of principal employment. Certification must also include that the hours worked at each agency are documented and received to preclude duplicate payments and that no conflicts of interest exist.

This statute applies to contractual, temporary and part-time arrangements, as well as the receipt of honoraria for services rendered.

Section Six. Procedures. The following procedures are established concerning outside/dual employment.

#### **Outside Employment:**

- 1) Requests for approval must be submitted in writing to the Chief Public Defender and the Director of Human Resources.
- 2) Requests must specify the nature of the proposed employment, the amount of the employee's time it would require, the days and/or hours of employment, the identity, and the location of employer.
- 3) The Chief Public Defender shall submit the request to the Commission with recommendations.
- 4) Such requests may be approved if the proposed outside employment will not be incompatible with the employee's duties within the Division and will not otherwise interfere with the conduct of their duties within the Division.

### **Dual Employment:**

- 1) Requests for approval of this arrangement must be submitted in writing to the Chief Public Defender and the Director of Human Resources.
- 2) Requests must specify the nature of the proposed employment, the amount of the employee's time it would require, the days and/or hours, the identity, and location and nature of the employer.

- 3) An Attorney must complete the <u>Dual Employment Request</u> form that will be provided by the secondary agency.
- 4) It is the Attorney's responsibility to notify the secondary agency of their employment status with the Division.
- 5) Once completed, the Attorney shall return the form to the secondary agency, who will submit the completed paperwork to the Divisions Human Resources Department.

**Section Seven.** Failure to follow the established policies and procedures may result in the request not being approved and disciplinary action.

**Section Eight.** Provisions of § 210 "Outside/Dual Employment" of the Division of Public Defender Services Administrative Policy & Procedure Manual shall remain in effect.

**Section Nine.** For employees who are teaching on-line, they must provide documentation signed by the school indicating the days and times of classes, and the times that they are required to be online working for resource, office hours, meetings etc.

## ARTICLE 29 RETIREMENT

The terms of retirement are covered by separate agreement pursuant to Conn. Gen. Stat. § 5-278 (f).

## ARTICLE 30 DRESS CODE

**Section One.** Division Attorneys shall dress in a professional manner at all times when representing the Division, which includes off-site work such as field investigations, jail visits, and training, unless casual dress is necessary or specifically authorized. Attorneys must always appear for work in attire that is appropriate, professional and suitable to a law office setting.

**Section Two.** The provisions of § 602 'Dress Code" of the Division of Public Defender Services Administrative Policy & Procedures Manual remain in effect.

## ARTICLE 31 LABOR MANAGEMENT

**Section One.** To facilitate communication between the parties and to promote a climate conducive to constructive employee-employer relations, a joint labor management committee may be established to discuss the implementation of this Agreement and other matters of mutual interest. The committee shall include up to four (4) representatives from each side.

Topics for the Committee to consider for agenda items are improvement of parties' relationship; efficiency; cost savings and productivity; general affirmative action matters; safety and health issues and other issues pertaining to the provisions of this Agreement. A Representative from the Division's Human Resources and a Staff Representative may participate in meetings.

**Section Two**. Labor Management committee meetings may be requested by either party and shall be scheduled at a mutually convenient time as soon as practicable. Agenda items may be submitted by both parties and provided at least one (1) week prior to a scheduled meeting. Failure to provide agenda items will result in cancellation of meetings.

Section Three. Approved time spent in such meetings shall be charged to Union Business Leave.

Section Four. Labor Management committee shall have no authority to negotiate agreements but may exchange letters of understanding.

## ARTICLE 32 INDEMNIFICATION

**Section One.** The State shall continue to indemnify an employee for damage or injury, not wanton or willful, caused in the performance of his/her duties and within the scope of their employment, as provided by C.G.S § 5-141d.

Section Two. The Division shall provide counsel to an employee who is sued for malpractice

if the employee was acting within the scope or their practice/employment and was not acting in a willful or wanton manner.

Disputes over the Division's obligations to provide counsel under this Section shall be subject to expedited arbitration. In deciding questions of whether an employee was acting within the scope of their employment or in a willful or wanton manner, the arbitrator shall give due weight to the remedial purpose of the indemnification statutes.

### ARTICLE 33 MISCELLANEOUS

**Section One.** An employee who is subpoenaed to testify in any matter concerning the discharge of duties for the Division shall promptly notify their supervisor and the Division's Legal Counsel. Any witness fee received by the employee shall be declined if any of the time spent in court in response to the subpoena occurs during the employee's normal working hours for which the employee is paid by the Division. Failure to provide such notice may result in discipline up to and including dismissal.

**Section Two.** Each employee shall participate in the minimum number of hours of professional development each year as prescribed by the Judicial Branch or other oversight groups.

# ARTICLE 34 SAFETY

Section One. The Division shall provide a safe and secure work environment.

**Section Two.** The Division is receptive to all recommendations regarding improvement of apparently unsafe or unhealthy conditions. The parties acknowledge that the Division's offices are located primarily in locations owned or leased by the Judicial Department. Other Division locations are located in buildings leased and/or managed by third parties.

Once the Division determines a potentially unsafe or unhealthy condition exists, it will exert a good faith effort with appropriate third parties (Judicial Department, management companies) to alleviate or otherwise remedy the condition.

**Section Three.** Employees shall perform their duties in a safe manner and shall comply with the safety, security policies and procedures, regulations and accident prevention measures established by the Division and the State.

**Section Four.** The bargaining unit members agree to bring to the attention of the Division any conditions within the working environment deemed unsuitable under the provisions of applicable laws and regulations. In the event there is a disagreement regarding whether an unsafe or unhealthy condition exists, the Union and the Division will attempt to resolve it informally.

**Section Five**. Disputes over unsafe or unhealthy working conditions that cannot be informally resolved shall be submitted to the Connecticut OSHA and shall not be subject to the grievance and arbitration process.

## ARTICLE 35 LEGISLATIVE ACTION

Section One. The cost items contained in this Agreement and the provisions of this Agreement which supersede pre-existing statutes shall not become effective unless and until legislative approval has been granted pursuant to CGS § 5-278 (b).

The Division shall request such approval as provided in CGS § 5-278. If the legislature rejects such request as a whole or any portion thereof, the parties shall return to the bargaining table to discuss those items, which the legislature has rejected.

### ARTICLE 36 SAVING CLAUSE

**Section One.** Should any provisions of this Agreement be found unlawful by a court of competent jurisdiction, the remainder of this Agreement shall continue in force, and the parties shall immediately negotiate a substitute provision. Disputes concerning the appropriate substitute provision shall be handled as provided under CGS § 5-276a.

### ARTICLE 37 SUPERSEDENCE

Section One. The inclusion of language in the Agreement concerning matters formerly governed by law, regulation, or policy directive shall not be deemed a preemption only of those sections specifically addressed in the provisions of this Agreement. Accordingly, those sections of written policies promulgated by the Department of Administrative Services, Comptroller, Judicial Department and the Chief Public Defender or their designees of agent of the Governor shall be deemed superseded if addressed by specific provisions of this Agreement.

Statutes or regulations shall be construed to be superseded by this Agreement as provided in the Supersedence Appendix if where, by necessary implication, no other construction is tenable.

The Division shall prepare a Supersedence Appendix listing any provisions of the Agreement, which are in conflict with any existing statute or regulation for submission to the legislature.

### ARTICLE 38 PAST PRACTICES

**Section One.** Any changes in or discontinuation of an unwritten past practice concerning wages, hours or other conditions of employment not covered by this Agreement shall be subject to a test of reasonableness. The test of reasonableness is:

- a) Whether or not there is in fact a valid, current past practice in effect, and
- b) The change or discontinuation may be submitted to arbitration in accordance with the provisions of Article 24 Grievance Procedure for reasonableness.

## ARTICLE 39 TELEWORK PROGRAM

Remote/telework is a voluntary arrangement whereby an employee is permitted to work from home on a pre-approved basis for part of their regular workweek. Remote/Telework does not change the nature of the work that the employee is expected to perform, the hours the employee is expected to be working, the employee's official duty station or the employee's obligation to comply with the laws, regulations, policies and procedures of the State and Division.

**Section One.** General Guidelines. Telework is not a flexible schedule plan. Members of the bargaining unit must work during the normal hours of operation, which are 8:00 am to 5:30 pm. Remote work is done in whole day increments.

- a) The Attorney shall choose the day(s) per week/pay period and that shall remain as their schedule during this period, barring any direction by the Court to be present in person. The day cannot be established or changed each week.
- b) Attorneys shall be responsible for providing the Supervisor with a weekly update of what they are working on during their remote work time. Supervisors will be required to ensure that this information is submitted.
- c) Remote workdays cannot be used for child or elder care. Employees will be required to provide documentation that child or elder care is being provided by someone other than the employee. If there are any changes in the employees' family responsibilities concerning child or elder care, they must notify Human Resources immediately.
- d) There will be no Telework approved for Mondays, Fridays or days prior to or after a State Holiday. If a remote workday is scheduled for a day prior to or after a holiday, the employee will be expected to work in the office that day, no other day will be provided that week.
- e) If a situation presents itself that the Court or operational need requires an employee to be in the office, they are required to report to their duty station. No other remote workday will be provided that week.
- f) Employees must have reliable phone and internet services and provide contact information on their Telework Application.
- g) Employees must notify their supervisor immediately of any situation that interferes with their ability to perform their job on their scheduled remote workday. This includes equipment malfunction, loss of power, unexpected need to care for family members, etc.
- h) If a situation occurs at the official duty station location that interferes with the ability of nontelecommuting employees to work at that location (power failure, weather condition etc.) while the telecommuting employee is working remotely, this employee is not excused from duty for

- this period of time as they would not be affected by those conditions. Example: due to weather there is an early release, the employee who is remote working is responsible to work for the full day.
- i) Telecommuting employees shall report to the official duty station when directed, based on management priorities, such as meetings, training or other work-related requirements. The employee shall not be reimbursed for mileage if they report to their official duty station.

**Section Two.** The Division will determine if a position is appropriate for Remote/Telework. The Division shall not eliminate or reassign any duties for the sole purpose of making a position (or a specific employee) more conducive to telecommuting. The Division will consider the following but not limited to:

- a) Can the work be done with minimal supervision.
- b) What is the level/amount of face-to-face interaction required with co-workers, clients, other stakeholders.
- c) Will permitting the employee to remotely work impair the efficiency and productivity of the work group:
- d) Is there a measurable work product.
- e) What materials, documents or other data are required to complete the work.
- f) The impact on the attorney client relationship.

**Section Three**. The Division will evaluate the employee requesting the Remote/Telework to determine if the employee demonstrates the qualities required to perform the work remotely. The Division will consider the following but not limited to:

- a) Is the employee able to work productively on their own.
- b) Is the employee self-motivated.
- c) Does the employee possess time management and organizational skills.
- d) Is the employee knowledgeable of the Division and State policies and procedures.
- e) Does the employee have any attendance issues.
- f) Does the employee have good communication skills.
- g) Is the employee successful in current position in meeting goals, objectives and deadlines.
- h) Will the remote work negatively impact the attorney client relationship.

Section Four: Schedule. Employees in this bargaining unit are permitted to work a maximum of one (1) day per pay period remotely which the exception of Attorneys assigned to the Legal Services and CTIP who due to the nature of their work are permitted to work remotely two (2) days per week. The approved day(s) shall remain as their schedule during the approved period, barring any direction by the Court to be present in person or operational need in the respective office location.

- a) If the Court or operational need requires an employee to be in the office on their scheduled remote workday, they are required to report to their duty station. No other remote workday will be provided that week.
- b) The hours of work while working remotely shall match the hours that would be worked at the official duty station. Employees must adhere to their approved work schedules, including lunch and breaks. Employees are not permitted to flex their time (i.e. work hours outside of normal working hours (8:00 am to 5:30 pm)). Compensatory time cannot be received for work performed at the remote work location.

- c) Remote/Telework schedules shall be defined and preapproved for the employee. The Division's operational needs take precedence over the employees' personal needs.
- d) Employees are not permitted to change their telework days once they have been approved.
- e) Telework days are not permitted on Mondays, Fridays, or the days prior to or after a holiday. Regarding the latter, if the employee is scheduled to be working remotely on one of those days, they will be required to report to their official duty station. No other remote workday will be provided that week.
- f) Remote/Telework days are done in whole day increments. An employee may not leave their official duty station part way through the day to remote work for the rest of the day.
- g) Remote work cannot be used in lieu of sick leave, vacation leave, personal leave, State, Federal or SEBAC leaves or any other leaves.

Section Five. Attorneys Located in the Courts. The courts have become fully operational to prepandemic levels. Due to these operations returning to full capacity, it is anticipated that the backlog of cases will be substantial in the majority of our court locations. It is anticipated that Attorneys as well as other staff in these locations will be required to work in the office. Supervisors will be responsible for evaluating their operational needs. Supervisors will discuss their needs with the Chief Public Defender-Deputy Chief Public Defender and Director of Human Resources. If operationally feasible, Attorneys in these locations will be permitted to work remotely one day per week or one day a pay period.

Attorneys Located in Parole Revocation; CTIP and LSU: Attorneys assigned to these units will be permitted to telework two (2) days per week.

It will be the responsibility of the Supervisor to ensure that there is coverage in the office to meet the operational needs for the given location.

Section Six. Application Process. Attorneys will be required to complete a Telework Application to be submitted to their respective Supervisor, who will then submit it to the Director of Human Resources for review and approval.

Applications to Telework must be analyzed based on specific job duties and approval is not guaranteed. All employees wishing to telework must qualify for participation. The employee must submit a fully completed and detailed Application and Security Addendum to their Manager. Division Human Resources will have the authority to review and approve applications for Remote/Telework. A mutually agreed upon, specific work plan which may include daily productivity reports will be required while an employee is teleworking.

The Division has discretion to approve or deny telework requests based upon its assessment of the individual's **Application** and **Security Addendum** in accordance with these guidelines and the business needs of the Division. Seniority shall apply in resolving conflicts between competing requests to telework whenever possible. Seniority shall be defined by the specific bargaining unit agreement if only one (1) is applicable, or as total State service if the conflict involves employees of more than one (1) bargaining unit. ADA accommodations are an example of agreed upon exceptions to the seniority rule.

In addition to the Application and Security Addendum, the employee must provide a copy of homeowners/renters insurance, child or elder care documentation. The related supplemental information will be maintained in an employee file separate from the employee's personnel file. Employees are responsible for providing updated information and renewals as needed due to change or on an annual basis.

Section Seven. Denied/Terminated Application. The determination of the employer to deny an application to telework, and/or terminate an existing telework agreement, shall not be subject to the

grievance and/or arbitration process as set forth in this collective bargaining agreement. As employee's participation in a telecommuting arrangement may be terminated by the Division upon reasonable notice unless a performance, disciplinary, safety or information security issue arises whereby the arrangement may be terminated immediately.

Employees may appeal a denial or termination of approved telework. The Division and the Union will use a preselected facilitator to hear employee appeals. Appeal hearing shall last no longer than forty-five (45) minutes and as many as eight (8) hearings may be scheduled for one day. The employee and the Division shall each have fifteen (15) minutes to present the respective facts of the appeal. The Union and the Division will each assign a panel member with the facilitator serving as the neutral who will preside over said panel. Employees shall self-advocate and will not be afforded union or legal representation but may consult with the Union prior to the hearing. Any documents that either party wishes to submit shall be submitted at the hearing with four (4) copies being presented. In cases of initial denials, the employee bears the burden of proof. In cases of early termination, the Division bears the burden of proof. Appeal hearings shall be scheduled on a quarterly basis.

The facilitator shall decide on one (1) of two (2) potential issues. Shall telework be approved or denied. The non-prevailing party (Union or Branch) shall be responsible for the costs of the facilitator's fee for the respective hearing.

The facilitator may make a bench decision on the matter or take up to three (3) calendar days to make the decision. No briefs from either party are admissible, and no reasoned (written) opinion is required by the facilitator. The facilitator has the final decision, and their decision is not subject to appeal in other forums.

The approval of one (1) Remote/Telework request shall not create any rights or expectations that the Division will approve a subsequent request from the same employee or a request from a different employee in the same job classification.

**Section Eight. Performance & Response.** The employee who is remote working is responsible for maintaining availability, appropriate levels of production, and quality of work while telecommuting. The telecommuting arrangement can be terminated immediately if performance issues arise with the employee, or the arrangement causes a decrease in the productivity and efficiency of the work group.

Employees, when working remotely are expected to respond to their supervisors, co-workers or others involved in the performance of their work within one (1) to two (2) hours during the established work hours of the Division.

Section Nine. Accrued Time: Remote work cannot be used in lieu of sick leave, vacation leave, personal leave, State, Federal or SEBAC leaves or any other leaves. Employees who are working remotely remain subject to the same rules of using sick, vacation or personal leave. If the employee is unable to work for any portion of their scheduled remote workday, they are required to notify their supervisor and use the appropriate accrual.

**Section Ten**. Nothing in this agreement precludes the Division from taking any appropriate disciplinary action with an employee who fails to comply with the provisions mentioned herein or with the policies of the Division. Non-compliance may also result in the immediate cancellation of the telecommuting arrangement. Cancellation of the telecommuting arrangements is not subject to the grievance or arbitration process.

Section Eleven. Standards of Conduct. While Remote/Teleworking, the employee is bound by all State, Division and Judicial Branch policies, practices, and regulations as if they were working at their official duty station. This includes all rules of professional conduct, including confidentiality and attorney client privilege.

Section Twelve. Compensation and Benefits. A Remote/Telework agreement is not a basis for changing the employee's salary or benefits. The employee's salary, work hours, and benefits will remain subject to the rules governing the collective bargaining agreements or existing State statutes and regulations.

In no event shall an employee be eligible for any additional compensation as a result of the Remote/Telework agreement. This agreement does not change the employee's official duty station, the employee's official duty station remains at the work location assigned prior to the Remote/Telework agreement.

Section Thirteen. Work Performance. The employee is responsible for maintaining availability, appropriate levels of production and quality of work product while working remotely.

The employee's supervisor/manager shall establish methods and standards for measuring the work performance, including productivity and efficiency, of the employee and other employees in their work group which may include sign in/out and daily work report requirements. If performance issues arise, or if the Remote/Telework agreement causes a decrease in the productivity and/or efficiency of the work group as a whole, the Remote/Telework agreement can be terminated immediately by the supervisor/manager. Such termination shall not be subject to the grievance and arbitration process or denial process.

Employees who are working remotely are expected to respond to their supervisors or co-workers or other during normal work hours within one (1) to two (2) hours.

Inability to Work at Telework Location: The employee must notify their supervisor immediately of any situations that interfere with their ability to perform their job. This includes equipment malfunction; loss of power or internet at remote work location; unexpected need to care for child/family member, etc. If the employee who is working remotely is unable to work that day or any portion of their remote workday, the employee will be required to contact their supervisor and use the applicable personal, vacation, sick, or other accrued leave for the hours not worked, subject to the Division rules and procedures regarding leave, or report to their official duty station.

Employees with Remote/Telework agreements are subject to the same rules as non-remote working employees for using sick, vacation, personal and other leaves.

Any change(s) to the scheduled Remote/Telework day must be preapproved in writing by the Division. If the change is intended to be ongoing, then the new arrangement must be memorialized.

Once approved for a Remote/Telework agreement, the employee shall code such time as REGTC.

Section Fourteen. Duration. Remote/Telework is strictly voluntary and may end without cause, by either the employee or the Division. An employee's participation in a Remote/Telework arrangement may be terminated by the Division upon reasonable notice unless a performance, disciplinary, safety or information security issue arises whereby the arrangement may be terminated immediately. All requests to end the Remote/Telework arrangement must be made to the Director of Human Resources. The Director will review the termination within five (5) working days. Disputes regarding whether a termination of the agreement was warranted will follow the appeal process in this Article but will not be subject to arbitration.

If the employee is transferred, promoted, or otherwise moved into a different job classification requiring a working test period or assumes duties inconsistent with remote work, the Remote/Telework agreement must be terminated effective the date of the job change.

Remote/Telework agreements are not permanent. The agreements will be subject to review and re-approval at intervals no greater than nine (9) months. If an employee and their supervisor want to continue the agreement, the original agreement may be renewed with the review and approval of the Director of Human Resources.

Section Fifteen. Remote/Telework Location. The employee is responsible for maintaining a designated workspace in a safe, healthy, professional and secure manner, which is configured to protect and maintain attorney client privilege and confidentiality. The workspace must have the necessary environment and furnishings (for example a private workspace, desk, chair and telephone), to enable the employee to accomplish their assigned duties.

The employee must have reliable phone and internet services in place at the remote work location in order to be available and to conduct their assigned duties. The employee is required to provide the Division with the phone numbers where they can be reached on remote workdays. The employee is not authorized to perform work at any site other than the approved Remote/Telework location unless approval is given by their supervisor. An example of this would be an Attorney doing a jail visit on a remote workday.

Employees must notify their supervisor immediately of any situation that interferes with their ability to perform their job on their scheduled remote workday. This includes equipment malfunction, loss of power, unexpected need to care for family members etc.

The Division retains the right to make visits to the Remote/Telework location during normal business hours. With notice, the Division may make on-site visits to the designated workspace for the purposes of verifying that employee is teleworking as scheduled, determining that the site is safe and free from hazards, and maintaining, repairing, inspecting, or retrieving Division-owned equipment, software, data, or supplies. The Division will make inspections only during Employee's scheduled telework hours. An example of notice would include but not be limited to leaving a message on the employee's designated phone number or sending an email.

**Section Sixteen. Official Duty Station.** If a situation occurs at the official duty station location that interferes with the ability of non-telecommuting employees to work at that location (power failure, weather condition, etc.) while the telecommuting employee is working remotely, this employee is not excused from duty for this period of time as they would not be affected by those conditions. Example: due to weather there is an early release, the employee who is remote working is responsible to work for the full day.

**Section Seventeen.** Availability to Report to Official Duty Station. The employee shall report to the official duty station when directed based on management priorities such as meetings, court appearances; training or other related work requirements. Business meetings, meetings with clients or other stakeholders or regularly scheduled meetings with co-workers shall not be held at the remote work location unless they can be accomplished through a teleconference process.

The employee shall not be reimbursed for mileage if they report to the official duty station but will remain

eligible for reimbursement for travel to other locations in accordance with the applicable collective bargaining agreements and/or State travel Regulations.

Section Eighteen. Family Responsibilities. Remote/Telework shall not be used to provide child/family care. The employee shall make arrangements to have dependent children or elders cared for by other individuals during the scheduled remote work hours. The employee will be responsible for providing proof/documentation that these arrangements are in place.

If there are any changes in the employee's family responsibilities or child/elder care arrangements, the employee must immediately notify the Division Human Resources and provide updated proof of child/elder care arrangements.

Section Nineteen. Outside/Dual Employment. Remote/Telework shall not be used to support an employee's ability to obtain outside/dual employment. All applications for Remote/Telework shall be reviewed to determine if an employee's outside/dual employment or outside income is such that telework will enable or create the appearance of enabling the employee to co-mingle State and non-State work during the established work hours.

For those employees requesting outside/dual employment for teaching, additional information will be required indicating time of classes, times that are required for office hours and other meetings. Employees are prohibited from conducting any of these activities during the remote workday unless using accruals.

Conducting any activities related to outside/dual employment may result in disciplinary action up to and including dismissal.

Section Twenty. Equipment & Supplies. State and federal laws and policies regarding computer security and encryption, confidentiality of data, and software licensing, as well as the technical requirements of the state's networks, databases and firewalls must be fulfilled to perform all computer-based work from home. Employees will be required to use their state-assigned laptop/desktop. All peripherals (e.g., thumb drives) connected to state equipment must be compliant and purchased through the Division's IT organization. Personal equipment is not permitted to perform remote work for the Division. The employee may use their personal equipment only when accessing their state email or accessing CORE CT.

The Division is under no obligation to purchase equipment beyond existing appropriations to enable an employee to work remotely. All Division equipment remains the property of the Division and must be returned at the conclusion of the employee's employment. The employee must obtain authorization before bringing the Division equipment to the remote work location. The purchase and installation of software licenses shall be coordinated with the Division IT unit and must comply with the Division/Judicial/State acceptable use and procurement guidelines.

Division-owned equipment shall only be used for Division business. Personal use of this equipment is prohibited, even during non-working hours.

Section Twenty-One. Freedom of Information. Remote work performed on Division issued laptops/desktops and cell phones is subject to the Freedom of Information Act (FOIA). Requests for information are the same as if the employee was working at their assigned work location.

Section Twenty-Two. Division Responsibility. The Division assumes no responsibility for any operating costs associated with the employee using their personal residence as a remote work location, including home maintenance, insurance, utilities, telephone or internet service. The employee must have sufficient ISP access at their location. There is no expectation of reimbursement for this service. Similarly, out of pocket expenses for supplies normally available through the Division will not be eligible for reimbursement.

Employees who work remotely are not eligible for any contractual home office or other monetary stipend other than those to which they would have been entitled in the absence of telework.

Section Twenty-Three. Security of Equipment and Materials. Employees are responsible for the physical security of Division equipment, supplies, and information in their possession while working remotely. The employee will be liable for any loss or damage to Division equipment or supplies due to the employee's negligence or misconduct.

Employees should make every effort to scan files and/or use electronic documents. The removal of hard copies of files from offices should be avoided unless necessary. If removal of hard copy is required, the employee shall provide office head or their designee notification in writing of the names of the files being removed.

Documents and other materials that the employee transports from their official workstation to the remote work location are their responsibility and must be kept confidential and secure. The employee must protect records from unauthorized disclosure or damage and must comply with all State, Judicial and Division policies and procedures regarding such matters, including but not limited to the following:

- a) State of Connecticut Acceptable Use of State Systems Policy.
- b) Policy on Security for Mobile Computing and Storage Devices.
- c) Telecommunications Equipment Policy;
- d) Division and Judicial Network Security Policy and Procedures; and,
- e) Connecticut Practice Book.

Remote work creates the need for additional diligence and security on remote work location security practices. Employees are responsible for appropriate security measures on networks used for performing remote work. Breaches of information security while teleworking, whether it be by accident or design, or failure to notify the supervisor and IT of a potential breach of security, may be grounds to immediately terminate the telework agreement and may be cause for disciplinary action in accordance with Division policies and collective bargaining agreement.

Employees working remotely and using Division issued software must adhere to the respective licensing agreements, including prohibition against unauthorized duplication. Specifically, the installation, use and removal of software must only occur with permission and guidance from the IT department and must comply with all license agreements and State, Division and Judicial policies.

**Section Twenty-Four. Liability for Injuries.** The Division will continue to provide workers' compensation coverage to the teleworking employee as governed by Connecticut General Statutes, provided the alternate work location has been approved in the telework agreement.

An injury must arise strictly out of and within the course of employment in order to be considered as a workers' compensation liability, wherein all standard workers' compensation regulations will apply. Accidents at the employee's home to persons who are not on-duty Division employees are the responsibility

of the employee. An employee must contact their supervisor as soon as the injury occurs, whether covered by workers' compensation or not.

The authority for determining if an injury "arises out of or within the course of employment" falls within the jurisdiction of the Workers' Compensation Commission.

**Section Twenty-Five.** Nothing in this article concerning teleworking precludes the Division from taking any appropriate disciplinary action against an employee who fails to comply with the provisions included herein. Non-compliance may also result in the immediate cancellation of the Telework Agreement. Cancellation due to violations of the provisions related to telework is not subject to the grievance or arbitration process.

Section Twenty-Six. Miscellaneous. It is the remote working employee's responsibility to ensure compliance with any local zoning ordinances related to working at home or maintaining a home office.

The tax implications of teleworking are entirely the responsibility of the employee.

### ARTICLE 40 DURATION OF AGREEMENT

This Agreement covers the period July 1, 2021, to June 30, 2025, inclusive.

Negotiations for the successor to this Agreement shall commence with the timetable established under the CGS § 5-276a (a).

# APPENDIX A SALARY SCHEDULE July 1, 2021 to June 30, 2022 2.5% GWI

## Deputy Asst. Public Defender, Asst. Public Defender & Senior Asst. Public Defender

1 Annual	74,270.20	8 Annual	97,734.58	15 Annual 128,613.79
Bi-Wk	2,845.60	Bi-Wk	3,744.62	Bi-Wk 4,927.73
2 Annual	77,240.30	9 Annual	101,643.48	16 Annual 133,754.65
Bi-Wk	2,959.40	Bi-Wk	3,894.39	Bi-Wk 5,124.70
3 Annual	80,332.29	10 Annual	105,708.30	17 Annual 137,772.26
Bi-Wk	3,077.87	Bi-Wk	4,050.13	Bi-Wk 5,278.63
4 Annual	83,543.95	11 Annual	109,936.72	18 Annual 141,902.95
Bi-Wk	3,200.92	Bi-Wk	4,212.13	Bi-Wk 5,436.89
5 Annual	86,885.71	12 Annual	114,337.52	19 Annual 146,154.43
Bi-Wk	3,328.95	Bi-Wk	4,380.75	Bi-Wk 5,599.79
6 Annual	90,361.14	13 Annual	118,907.42	20 Annual 150,544.26
Bi-Wk	3,462.11	Bi-Wk	4,555.84	Bi-Wk _ 5,767.98
7 Annual	93,975.01	14 Annual	123,665.08	21 Annual 155,057.06
Bi-Wk	3,600.57	Bi-Wk	4,738.13	Bi-Wk 5,940.88
				<b>Lump</b> 3,876.43

#### Supervisory Asst. Public Defender

1 Annual	104,674.14	6 Annual	127,352.08	11 Annual	153,450.26
Bi-Wk	4,010.50	Bi-Wk	4,879.39	Bi-Wk	5,879.32
2 Annual	108,860.84	7 Annual	132,448.13	12 Annual	158,056.76
Bi-Wk	4,170.91	Bi-Wk	5,074.64	Bi-Wk	6,055.81
3 Annual	113,215.22	8 Annual	137,743.41	13 Annual	162,794.92
Bi-Wk	4,337.75	Bi-Wk	5,277.53	Bi-Wk	6,237.35
4 Annual	117,746.31	9 Annual	143,253.63	14 Annual	167,678.28
Bi-Wk	4,511.35	Bi-Wk	5,488.64	Bi-Wk	6,424.46
5 Annual	122,452.96	10 Annual	148,981.08	LUMP	4,191.96
Bi-Wk	4,691.68	Bi-Wk	5,708.09		

#### **Asst. Public Defender TSU**

9 An	nual	167,205.39
Bi-	Wk	6,406.34
LU	MP	4,180.13

# APPENDIX A SALARY SCHEDULE July 1, 2022 to June 30, 2023 2.5% GWI

## Deputy Asst. Public Defender, Asst. Public Defender & Senior Asst. Public Defender

1 Annual	76,126.95	8 Annual	100,177.94	15 Annual	131,829.14
Bi-Wk	2,916.74	Bi-Wk	3,838.24	Bi-Wk	5,050.92
2 Annual	79,171.31	9 Annual	104,184.57	16 Annual	137,098.52
Bi-Wk	3,033.38	Bi-Wk	3,991.75	Bi-Wk	5,252.82
3 Annual	82,340.59	10 Annual	108,351.00	17 Annual	141,216.56
Bi-Wk	3,154.81	Bi-Wk	4,151.38	Bi-Wk	5,410.60
4 Annual	85,632.55	11 Annual	112,685.13	18 Annual	145,450.53
Bi-Wk	3,280.94	Bi-Wk	4,317.44	Bi-Wk	5,572.82
5 Annual	89,057.86	12 Annual	117,195.96	19 Annual	149,808.29
Bi-Wk	3,412.18	Bi-Wk	4,490.27	Bi-Wk	5,739.78
6 Annual	92,620.17	13 Annual	121,880.11	20 Annual	154,307.86
Bi-Wk	3,548.67	Bi-Wk	4,669.74	Bi-Wk	5,912.18
7 Annual	96,324.38	14 Annual	126,756.71	21 Annual	158,933.49
Bi-Wk	3,690.59	Bi-Wk	4,856.58	Bi-Wk	6,089.41
				Lump	3,973.34

#### Supervisory Asst. Public Defender

1 Annual	104,674.14	6 Annual	127,352.08	11 Annual	153,450.26
Bi-Wk	4,010.50	Bi-Wk	4,879.39	Bi-Wk	5,879.32
2 Annual	108,860.84	7 Annual	132,448.13	12 Annual	158,056.76
Bi-Wk	4,170.91	Bi-Wk	5,074.64	Bi-Wk	6,055.81
3 Annual	113,215.22	8 Annual	137,743.41	13 Annual	162,794.92
Bi-Wk	4,337.75	Bi-Wk	5,277.53	Bi-Wk	6,237.35
4 Annual	117,746.31	9 Annual	143,253.63	14 Annual	167,678.28
Bi-Wk	4,511.35	Bi-Wk	5,488.64	Bi-Wk	6,424.46
5 Annual	122,452.96	10 Annual	148,981.08	LUMP	4,191.96
Bi-Wk	4,691.68	Bi-Wk	5,708.09		

#### Asst. Public Defender TSU

9 Annual	171,385.53
Bi-Wk	6,566.50
LUMP	4,284.64

#### APPENDIX A

#### SALARY SCHEDULE July 1, 2023 to June 30, 2024 2.5% GWI

## Deputy Asst. Public Defender, Asst. Public Defender & Senior Asst. Public Defender

1 Annual	78,030.13		8 Annual	102	2,682.39	15	Annual	135,124.8	6
Bi-Wk	2,989.66		Bi-Wk	3	3,934.19		Bi-Wk	5,177.2	0
2 Annual	81,150.59		9 Annual	106	5,789.18	16	Annual	140,525.9	8
Bi-Wk	3,109.22		Bi-Wk		1,091.54		Bi-Wk	5,384.1	4
3 Annual	84,399.11	1	0 Annual	111	1,059.78	17	Annual	144,746.9	8
Bi-Wk	3,233.68		Bi-Wk	4	1,255.16		Bi-Wk	5,545.8	6
4 Annual	87,773.37	1	1 Annual	115	5,502.26	18	Annual	149,086.7	9
Bi-Wk	3,362.96		Bi-Wk	4	1,425.37		Bi-Wk	5,712.1	4
5 Annual	91,284.30	1	2 Annual	120	),125.86	19	Annual	153,553.5	0
Bi-Wk	3,497.48		Bi-Wk	4	1,602.52		Bi-Wk	5,883.2	8
6 Annual	94,935.67	1	3 Annual	124	1,927.11	20	Annual	158,165.5	6
Bi-Wk	3,637.38		Bi-Wk	4	1,786.48		Bi-Wk	6,059.9	8
7 Annual	98,732.49	1	4 Annual	129	9,925.62	21	Annual	162,906.8	2
Bi-Wk	3,782.85		Bi-Wk	4	1,977.99		Bi-Wk	6,241.6	4
							Lump	4,072.6	7

#### Supervisory Asst. Public Defender

1 Annual	107,290.99	6 Annual	130,535.88	11 Annual	157,286.52
Bi-Wk	4,110.77	Bi-Wk	5,001.37	Bi-Wk	6,026.30
2 Annual	111,582.36	7 Annual	135,759.34	12 Annual	162,008.18
Bi-Wk	4,275.19	Bi-Wk	5,201.51	Bi-Wk	6,207.21
3 Annual	116,045.60	8 Annual	141,186.99	13 Annual	166,864.79
Bi-Wk	4,446.19	Bi-Wk	5,409.46	Bi-Wk	6,393.29
4 Annual	120,689.96	9 Annual	146,834.97	14 Annual	171,870.24
Bi-Wk	4,624.14	Bi-Wk	5,625.86	Bi-Wk	6,585.07
5 Annual	125,514.28	10 Annual	152,705.61	LUMP	4,296.76
Bi-Wk	4,808.98	Bi-Wk	5,850.79		

#### Asst. Public Defender TSU

9 Annual	175,670.16
Bi-Wk	6,730.66
LUMP	4,391.75

#### APPENDIX B

#### LONGEVITY SCHEDULE

# Deputy Asst. Public Defender, Asst. Public Defender & Senior Asst. Public Defender

Years of Service	2021-2022	2022-2023	2023-24
10-14 years	1,063	1,089	1,117
15-19 years	2,126	2,179	2,233
20-24 years	3,189	3,268	3,350
25+ years	4,252	4,358	4,467

#### **Asst. Public Defender TSU**

Years of Service	2021-2022	2022-2023	2023-24
10-14 years	1,109	1,137	1,165
15-19 years	2,066	2,118	2,171
20-24 years	3,325	3,408	3,493
25+ years	4,433	4,544	4,658

#### Supervisory Asst. Public Defender

Years of Service	2021-2022	2022-2023	2023-24
10-14 years	1,182	1,212	1,242
15-19 years	2,364	2,423	2,484
20-24 years	3,547	3,635	3,726
25+ years	4,728	4,847	4,968

#### APPENDIX C SUPERSEDENCE

To the extent applicable and necessary, certain provision of the Agreement supersede pre-existing statutes as follows:

ARTICLE	STATUTE
Article 19 Compensation. The setting of compensation for the individuals in the classification of Director of Juvenile Post Conviction, Chief of Psychiatric Defense and Chief of Legal Services. Removes setting of compensation outside collective bargaining agreement.	Chapter 872 § 51-47 Chapter 887 § 51-293 (h)
Article 19 Compensation. The setting of compensation for Deputy Asst. Public Defender; Asst. Public Defender and Supervisory Asst. Public Defender. Removes parity with States Attorney.	Chapter 887 § 51-293 (h)
Article 22 Dismissal, Suspension, Demotion Or Other Discipline  Article 23 Grievance Procedure. Provides for Representation.	C.G.S. Section 5-271 (a).
<b>Article 30 Retirement</b> (those individuals in the classification of Director of Juvenile Post Conviction, Chief of Psychiatric Defense and Chief of Legal Services).	Chapter 872 § 51-48

For the Division:

State of Connecticut Division of Public Defender Services For the Union:

Public Defender Attorneys & Supervising Attorneys AFSCME Local 381

Diane M. Fitzpatrick Director of Human Resources

Chief Negotiator

Anthony Bento, Staff Representative AFSCME Chief Negotiator

Christine Rapillo, Chief Public Defender

John Day, Deputy Chief Public Defender

Susan Hamilton, Director of Juvenile Delinquency & Child Protection

Paula Lohr, Human Resources Officer

Christopher Eddy, Sr. Asst. Public Defender New Britain JD

Sharon Elias, Supervising Asst. Public Defender Waterbury Juvenile

Jonathan Gable, Supervising Asst. Public Defender Derby GA

David Warner, Supervising Asst. Public Defender Hartford GA

Samuel Greenburg, Asst. Public Defender New Haven GA

Attorney John R. Day Acting Chief Public Defender

Date

Attorney Laila M.G. Haswell President, Local 381

Laila M. H. Karwell

Date