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SANDY HOOK ADVISORY COMMISSION

APRIL 12, 2013

Legislative Office Building

Hartford, CT

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1                   MADELON BARANOSKI: -- find someone  
2           who had a long arrest record and had weapons charges  
3           and so on. Okay. So that's the feeling and that's  
4           the problem. The other thing is that we know  
5           treatment alters courses because we see a change in  
6           symptoms, but if we're always going to focus on  
7           violence as the outcome, we're never going to know  
8           if we prevented anything because we know when  
9           something happens. But we don't know when it didn't  
10          happen. Right? So -- and that's different from  
11          police. So police go to a -- somebody nearly  
12          jumping off of a building, and they interrupt a case  
13          or they interrupt a hostage situation. They're  
14          interrupting it. They didn't prevent it altogether.

15                   In mental illness, I'm talking about  
16          preventing it. We don't know how many times our  
17          treatments worked. So I could say, "Oh, yeah, you  
18          know, we stopped a lot of these killings going on."  
19          And you could say, "No, look at your base rates so  
20          low, you didn't stop anything." You can't prove  
21          prevention on an individual basis. And our research  
22          has always had competing goals with treatment,  
23          right? So if I asked you or made the argument that  
24          we should get rid of airport detectors, metal  
25          detectors because they don't predict hijackings, in

1 fact, in very horrible hijackings, they didn't pick  
2 up the metal. And I go through and I always set it  
3 off. And I'm never going to hijack, so we should  
4 get rid of it. And you'd argue, no, no, no, they're  
5 not to predict an outcome; they're to detect a risk,  
6 a risk of metal. But our research, we look at the  
7 outcome of violence as a measure, you see, and so  
8 I'm going to take you now through something that may  
9 be a little tedious but kind of interesting, and if  
10 you're ever on Jeopardy it might come up.

11                   So in that -- World War II, the British  
12 Navy developed a method of analyzing whether sonar  
13 on submarines was accurately detecting what was  
14 really in the sea around them. So that was the big  
15 issue. Could you tell when a real submarine was  
16 coming or was it a whale? And they developed what  
17 was called signal detection theory and analysis.  
18 And so the idea is there's a reality out there, and  
19 the thing around that submarine is either another  
20 submarine or it's a whale, and can that sonar detect  
21 it?

22                   And what they wanted was a sonar that  
23 said, "Yup, it's a submarine" when it was a  
24 submarine, and "Yup, it's a whale" when it's a  
25 whale. And that's where we get the term "false

1 positives." So if the detection said that it's a  
2 submarine and it was really a whale, that's a false  
3 positive. If it said it was a whale when it was  
4 really a submarine, that's a false negative. So  
5 you've heard those terms, right? You go to get your  
6 TB shots or TB testing, this is the analysis they  
7 use to determine whether the test they're using on  
8 you is reliable and valid. Right? Or we say  
9 sensitivity and specificity. How many false  
10 positives and how many false negatives? And this is  
11 the analysis we apply to our work.

12 But look what happened. Over there, the  
13 scientists that were developing this, they wanted a  
14 lot of true positives and a lot of true negatives,  
15 and these were the mistakes.

16 Now, would that work in clinical  
17 practice? We would not tolerate a psychiatric  
18 system that sat around identifying high-risk people  
19 and then celebrating when they committed violence.  
20 What do we do? You see somebody who's high risk and  
21 you try to move them over into the no risk. And  
22 then you have a false positive on research.

23 So our work isn't to predict violence.  
24 It's to identify risk and mitigate it, manage it.  
25 But how do we know that we're working or doing it?

1 That's what we can't determine. We don't know how  
2 many times we've been successful in presenting  
3 or -- preventing something very, very bad. All we  
4 know is how many times we've reduced symptoms,  
5 reduced anger, hospitalized someone. In fact, we  
6 could argue when we put somebody in for suicide and  
7 then let them out, somebody could say they weren't  
8 going to do it anyway, and we wouldn't know for  
9 sure, see.

10 So the difficulty of determining the  
11 effectiveness of mental health services for those  
12 rare outcomes in populations that don't show common  
13 violence -- that odd violence that's associated with  
14 psychiatric symptoms -- is very, very hard to show.  
15 And I'll maintain we are making a difference. We  
16 are intervening. But we're intervening when they  
17 access treatment. You can't intervene when someone  
18 doesn't access treatment.

19 So the management of risk, then, begins  
20 with assessment. Assessment is part of risk  
21 management. It cannot be separate. And when  
22 somebody tells me "Oh, yeah, I did an assess -- a  
23 risk assessment, when he first came in three years  
24 ago," I know they don't understand what they're  
25 doing. Risk assessment takes place every time you

1 see somebody, how they changed, their risk for their  
2 discomfort, their suffering, their risk for symptoms  
3 without worrying about whether we're predicting an  
4 absolute episode.

5                   Assessment also allows us to look at the  
6 management of treatment and to figure out the next  
7 step. So a man who is always in trouble and gets  
8 into fights and even threatens peoples with knives  
9 but it always happened when he was homeless, on  
10 drugs and off meds, and we get him hospitalized for  
11 a little bit, and then we get him housing, and we  
12 get him in the drug treatment, and then we say "So  
13 what's the next step, now? What's the next step?"  
14 And that's what assessment tells us, how you layer  
15 the risks. Take them away one by one based on  
16 what's the most severe but also what's the most  
17 accessible to do.

18                   Assessment allows us to monitor the  
19 mitigators and exacerbators. Are problems getting  
20 worse or not? Is the child being bullied more in  
21 school now because he was put in special ed than he  
22 was before? And bullying was associated with the  
23 fights that he had in school. So a solution, now,  
24 is never seen as standing independently without an  
25 assessment to see if it worked. An assessment has

1 to include all measures.

2                   So I would never agree that we should  
3 use actuarial measures, but we should never use them  
4 alone on a psychiatric population. The clinical  
5 risk assessment, you've seen this before. That is  
6 also a guide for treatment. We identified the  
7 target prob -- Ignore the letters on the side;  
8 that's just a formatting issue. You don't have them  
9 on your paper. Are we looking at long term or are  
10 we looking at a person right now? Are we predicting  
11 whether this person should be housed on a maximum  
12 security which is something that DOC would be  
13 concerned about, or are we looking at whether they  
14 can leave the hospital today? Different questions.

15                   Discharge placement and level of acute  
16 care: What do they need in the community to  
17 maintain their level of mitigated risk? Just  
18 because they leave the hospital doesn't mean all  
19 risk factors have gone away. And are we consulting?  
20 Are we doing clinical management? What is our role  
21 in identifying risk? And all of this guides how we  
22 do the assessment and make the recommendation.

23                   Now, I just want to talk about harm  
24 reduction because harm reduction is a very useful  
25 idea in substance abuse. You reduce harm, you

1       reduce the things that make a person crave for  
2       drugs, you begin to whittle away at as much of the  
3       risk as you can, knowing there may be a core of risk  
4       you can never touch. So in harm reduction, we look  
5       at titrating risk with appropriate services,  
6       putting as much in place as need be to bring the  
7       risk as low as we can. And in high-risk patients,  
8       we want to try to increase the mitigators and reduce  
9       the aggravators. And there are a lot of aggravators  
10      when you're homeless in a community craving for  
11      drugs with a psychiatric illness. And so to reduce  
12      risk, much of our work is at stabilizing a person's  
13      life. Now, again, we can't prove that they would  
14      have done something horrible, right? But we know  
15      we've reduced the risk when we've treated.

16                   We target the interventions to specific  
17      risk. Every intervention should be addressed at  
18      increasing the person's functioning and reducing the  
19      risk. So the harm reduction goals are these: We  
20      need an identification of specific factors that  
21      alter risk level for every person that we're trying  
22      to treat, and that means information beyond what we  
23      can collect. The incorporation of substance abuse  
24      treatment needs to be considered. And substance  
25      abuse treatment cannot end when a person is sober,



1 even for several months.

2           People coming out of prison are still  
3 craving drugs, even if they've been in for years;  
4 especially when they get back into the neighborhood  
5 where they used the drugs before. And so substance  
6 abuse treatment is a way of talking about low  
7 frustration tolerance, impaired coping skills, and a  
8 fast and accessible fix. And, unfortunately, drugs  
9 are more accessible than treatment. And actually,  
10 drug dealers employ more readily than most other  
11 jobs that we have as well.

12           We need adequate information. What's  
13 included is always a weapons assessment. Not only  
14 firearms, but weapons. Do you have weapons in the  
15 house? The answer to that is always, "Yes, of  
16 course," but a person who says, "No, I don't have  
17 any," you know, they haven't thought about weapons  
18 in general. They've thought about it in a very  
19 specific way. Environmental and social  
20 stabilization: We need to decrease isolation and  
21 increase access to treatment, increase access to  
22 consultation for those who aren't the patients, to  
23 families and others who have questions. And we need  
24 to increase helpful eyes-on; not policing eyes-on,  
25 necessarily; helpful eyes-on.

1                   Now, the way to do that -- And I  
2                   know -- I understand HIPAA -- So I understand what  
3                   the rules are about this. But one of the things we  
4                   need to start looking at is why it is so hard for  
5                   people to see mental illness the way they do a  
6                   common cold and a sore throat. So we have all these  
7                   docs in a box run up and people are always going in  
8                   asking for antibiotics. There's no shame in that,  
9                   is there?

10                   But there is so much shame connected  
11                   with mental illness. That stigma we've not -- we've  
12                   eroded a bit, but we haven't removed. The same  
13                   thing was true when AIDS was first diagnosed, and  
14                   we've come along way with AIDS, with people being  
15                   able to say, "Yes, I have this and I'm going for  
16                   treatment." And that took a lot of concerted effort  
17                   and collaboration from the community level on down.

18                   This is a poster that's put out by NAMI  
19                   and by APA, American Psychiatric Association. It's  
20                   in airports across the country right now, and I  
21                   thought it was a very nice way of sort of being in  
22                   your face saying, "Come on. Think of mental illness  
23                   as a disease." Those kind of approaches will allow  
24                   parents to identify their children as sick, not as  
25                   bad and identify and allow people to think, yeah,

1 maybe this is more suffering than I need to do, even  
2 though I know I'm right and the rest of the world's  
3 against me, but I don't have to suffer so much with  
4 that. So changing the culture around mental illness  
5 is one of the approaches that will increase the  
6 ability to access treatment, the ability to have  
7 eyes-on, the ability to work collaboratively within  
8 a community. Okay.

9 I'm going to turn -- I'm going to let  
10 you finish.

11 MICHAEL NORKO: Okay.

12 MADELON BARANOSKI: I'm going to  
13 turn it over for the last stage.

14 MICHAEL NORKO: All right. So we  
15 have a few models of risk management. One of the  
16 things to -- to note is that when we talk about  
17 risk, risk is not a unitary concept, so we have to  
18 talk about the probability, also the imminence and  
19 the severity. So the severity is actually best  
20 defined by prior violence. So we usually think if  
21 someone's committed a certain level of violence in  
22 the past, they're certainly capable of that violence  
23 again in the future. If you're trying to define  
24 what the probability or likelihood is, that's  
25 probably still best defined by actuarial, but

1       imminence really has to be sort of a clinical in the  
2       here-and-now kind of assessment.

3                       The risk -- The basic risk of management  
4       approach is we identify what are the needs and  
5       deficits that the person has that create the risk,  
6       and then we try to target those needs and deficits  
7       with interventions, and then we monitor what the  
8       effect is; and we adjust what we do based on what we  
9       see, we give people increasing opportunities, and we  
10      monitor how they do with that, and that's how we  
11      continue. Paul Mullin says it in a very similar  
12      way, but his chart here is probably easier to go  
13      through. So down here we have this is the  
14      probability of violent behavior. So if we don't  
15      recognize it, we can't do anything about it. But if  
16      we recognize it, then there's all sorts of things  
17      that we can do. We can try to decrease situational  
18      triggers. We can try to decrease substance abuse.  
19      We can try to have an impact on decreasing symptoms  
20      of the mental disorder. We can try to improve  
21      social and interpersonal protectors, try to decrease  
22      social and interpersonal stressors, all of which  
23      leads to a current state of mind that will be more  
24      conducive to not responding to situational triggers;  
25      but we could also try to do something about those.

1                   The thing that we can't do anything  
2                   about is the preexisting vulnerabilities, but that's  
3                   only one element here, and everything else is what  
4                   we work on in a risk management plan. I won't  
5                   bother going through that; you can look at it. One  
6                   of the problems that's been recognized very recently  
7                   in our literature is that although we have a lot  
8                   impressive science and we've made a lot of  
9                   improvements in the last 20 years, our science  
10                  actually lags behind our clinical practice. And the  
11                  reason is that we haven't really identified causal  
12                  dynamic risk factors for violence in a way that  
13                  shows us how to intervene. And as these authors  
14                  pointed out, there are a number of these that we  
15                  need to look at as potential objects for us to study  
16                  and have -- and develop ways of looking at them in  
17                  terms of tools so that our tools would  
18                  be -- wouldn't be limited to historical factors, but  
19                  they'd be more useful. I won't bother going through  
20                  the summary slides because you've now heard it and  
21                  you've had a long day, so we'll stop there and see  
22                  if there's any questions we can answer.

23                               SCOTT JACKSON: Thank you for your  
24                               very thorough presentation. Do we have questions  
25                               for the panel?

1                   I have one quick question. There were a  
2                   number of specific tools, assessment tools  
3                   identified about halfway through. Those are tools  
4                   that are to be delivered in a clinical environment.  
5                   That is to say, are they of such depth and  
6                   complexity that, say, a crisis team operating in a  
7                   school, it would be an inappropriate tool for them?

8                   MADELON BARANOSKI: Some of them --  
9                   The HCR is used on a unit, but they're for adults.  
10                  So applying them to children, you'd have to be sure  
11                  that you're picking up the appropriate behaviors  
12                  that children show compared to what adults show. So  
13                  there are some child measures, however, that look at  
14                  impulsivity and mood changes and so on that could be  
15                  done in a school. And all of these can be done in a  
16                  clinical setting, all of the tools. But they need  
17                  access to past information if they're the actuarial  
18                  tools.

19                  MICHAEL NORKO: And the cover, for  
20                  example, is one that you actually have to buy as a  
21                  computer program because it guides you through --  
22                  It's based on -- It's based on the iterative  
23                  classification tree, meaning that you ask the first  
24                  question, you put the response from the individual;  
25                  depending on what the response was determines what

1 the next question is. And you keep going down a  
2 decision tree with the computer deciding what's the  
3 next best question to ask to start separating as to  
4 whether or not this person's low risk or high-risk.  
5 So that requires something that you have to  
6 purchase.

7 HAROLD SCHWARTZ: Thank you to both  
8 of you. As somebody who's tried to lecture on risk  
9 assessment and low-base-rate events myself, I have  
10 to say that that was a tour de force and greatly  
11 appreciated, the very best I've ever heard on the  
12 subject. And so I have a comment and a question, a  
13 comment to the commission. So imagine the  
14 difficulty of risk assessment using these  
15 sophisticated tools and methods of analysis, and now  
16 imagine something like the reporting requirement  
17 that was incorporated into New York State's gun law.  
18 And I raise this just for when we get to our  
19 deliberations about the possibility of reporting  
20 requirements, that the notion of asking clinicians  
21 out there to simply make judgments of the potential  
22 dangerousness of their patient with no further  
23 guidance and then to actually report and have  
24 people's names go into -- go to public agencies, I  
25 mean, it's just -- you know, it's breathtaking to

1 think how useless, you know, such a requirement  
2 would be. So that -- that's for our discussion  
3 further down the road.

4           But I have a question for you about a  
5 special population because we come away from these  
6 lectures or presentations on subjects like this with  
7 the -- with the general belief that individuals with  
8 psychiatric illness are no more dangerous than other  
9 populations. But then we -- you know, we learn  
10 there are subsets if you have -- if you're untreated  
11 and you're using substances. One particular subset  
12 I would just -- I wonder if you have any thoughts  
13 about are the untreated first episodes, people who  
14 are in what -- that researchers call the -- I'm  
15 sorry. I'm losing my thought here. During -- Oh,  
16 the time to first treatment.

17           So there was a review article recently,  
18 I think it was in Schizophrenia Bulletin, that  
19 indicated from a meta-analysis of studies of that  
20 population that a group of people, untreated,  
21 undetected illness might be at as much as 600 times  
22 higher risk than the general population. All of the  
23 studies or most of the studies that contribute to  
24 the kind of research that you are using here are  
25 studies of people from a period -- from an episode



1 of treatment. The MacArthur study, for instance,  
2 follows people from psychiatric hospitalization over  
3 the course of a year. Now we're talking about  
4 people who have never been detected as having a  
5 psychiatric illness going from perhaps the first  
6 point of symptomatology, if it could be determined  
7 retrospectively, out to the first episode of  
8 treatment, and that population's very different.  
9 Can you speak to that?

10 MICHAEL NORKO: So the  
11 epidemiological studies, for example, don't  
12 necessarily start with identified patients. So the  
13 epidemiological catchment area survey was really a  
14 question about the prevalence of various kinds of  
15 mental disorders in the population, but because it  
16 had within it all those questions about, have you  
17 been in a fight with someone else? Have you hit  
18 somebody in the last 12 months? They were able to  
19 take the questions that were answered about various  
20 symptoms and come up with diagnostic profiles  
21 related to that and then compare that to the  
22 symptoms. So these people weren't necessarily  
23 identified patients, but they had symptoms. In the  
24 Washington Heights study in New York, they were  
25 actually very specific about finding people who were

1 not identified patients, but who were nonetheless  
2 psychotic. And in New York City, they had enough of  
3 those to find that they could actually study them  
4 and get statistically meaningful results from it.  
5 And what they found was -- because they were  
6 sociologists who were doing the study, they were  
7 interested in trying to figure out whether this was  
8 a labeling phenomenon. Was it the idea that because  
9 you were labeled as a patient or because you  
10 identified yourself as a patient that somehow that  
11 had to do with whether or not you were violent?

12                   And what they found was it didn't matter  
13 whether the person was a patient or not a patient.  
14 What mattered was whether they were psychotic or not  
15 psychotic. That's the only data that I can think of  
16 to bring to bear on that, obviously not through the  
17 longer period of time that someone remains psychotic  
18 that they've not been identified, it increases the  
19 risk.

20                   MADELON BARANOSKI: I think I do  
21 know, though, that I think Dr. Schwartz might be  
22 referring to the study: On average it takes two  
23 years from the presentation of symptoms to when a  
24 person accepts treatment. They may flirt with  
25 treatment a little bit, but in general -- and that

1 had 600 times the period of violence. But I thought  
2 the comparison was to the whole other period of with  
3 schizophrenia. I may have read it wrong. So that  
4 it's the most violent time in schizophrenia, but  
5 overall the violence of schizophrenia is still low,  
6 but that's the critical time when the person first  
7 develops symptoms up until the time they accept  
8 treatment.

9                   What's interesting is the court often is  
10 the case finder on those, and not for major violence  
11 but for peculiar things like for an odd breach of  
12 peace because the person is acting peculiarly in a  
13 coffee shop or something or is threatening. But  
14 then it's dismissed very quickly because they have  
15 no previous history, and they're not interested in  
16 treatment, and it's not a big thing. So our  
17 diversion program had picked up young people for  
18 whom the disease is not completely declared. It  
19 takes a while for all the symptoms to manifest, and  
20 so it's a very complicated period, and they are  
21 different. I think that is different.

22                   HAROLD SCHWARTZ: And I may have  
23 misread the com -- the comparison group was the  
24 general public or --

25                   MADELON BARANOSKI: Other than

1 schizophrenia.

2 HAROLD SCHWARTZ: -- who were in  
3 treatment, and I apologize to the commission because  
4 I meant to bring that article with me today knowing  
5 that you guys would be making this presentation.

6 But the point is, merely -- I mean, we  
7 are talking, you know, about very hard risk to  
8 assess in either group. The point is I think for us  
9 that it heightens the importance of early detection  
10 and early intervention. It says, you know, if --  
11 within the individuals with psychosis, you know, as  
12 a group in its entirety, the risk is enormously  
13 higher in the untreated group, particularly never  
14 treated, living through that period of first  
15 symptomatology to first coming to -- to treatment.  
16 And so as we focus on recommendations, with regard  
17 to the importance of early detection and early  
18 intervention, I think it's just -- it's further  
19 evidence of the importance of that.

20 MICHAEL NORKO: One thing to add to  
21 that, just recalled is the stranger homicide study.  
22 The vast majority of the stranger homicides were  
23 committed by people who were not in treatment.  
24 There were -- 42 episodes that they were analyzing,  
25 and only five of those were by people had been

1 engaged in treatment.

2                   MADELON BARANOSKI: One of the  
3 things responding to that is, often when the  
4 symptoms first emerge, the person is engaged in  
5 something that promises a future. They might be in  
6 school; they might be at work. And the response of  
7 the outside world is to shut them off. So they get  
8 in trouble in school, they're not passing grades,  
9 and they're failing out -- and often it's college.  
10 When we had the draft, you'd see it at boot camp,  
11 and you'd see young men returning with psychosis.  
12 So the way to pick them up often is to make  
13 treatment acceptable, not only accessible, but  
14 acceptable. And right now it's sort of a failure of  
15 your future, which is a very painful time.

16                   HAROLD SCHWARTZ: Now, that's  
17 another great point. And I think we all clinically,  
18 you know, are aware of it in the young man with  
19 schizophrenia who finally comes around to realize  
20 that he's not going back to school, not going back  
21 to the job he was on, in fact, is living with his  
22 parents and -- or on the street or whatever. But  
23 realizing it really for the first time. Very, very  
24 high risk period for suicide, but sometimes also for  
25 other-directed violence. An important point to know

1 clinically -- I don't know how that works into risk  
2 assessment tools, but very interesting and important  
3 issue.

4                   MADELON BARANOSKI: Well, it's  
5 often hard after these tragedies to focus at all on  
6 the agony of the person who did it because the agony  
7 they've caused is outrageous. But if we focus for a  
8 moment on identifying people who have fallen off of  
9 their trajectory to normal life and outreach to that  
10 group, that group is going to have a number -- at  
11 least in our recent experience is going to have a  
12 number of those people who end up expressing  
13 schizophrenia later, undiagnosed at that time,  
14 untreated and at higher risk.

15                   HAROLD SCHWARTZ: And, again, a  
16 little bit more important to try to keep people from  
17 falling off that trajectory. That's why we're  
18 focusing more and more on young adult programs, late  
19 adolescence and young adult and intervening with the  
20 first -- before the first episode of psychosis  
21 whenever possible.

22                   SCOTT JACKSON: Thank you. I think  
23 we have time for one more question if anyone has  
24 anything for the panel. Ms. Keavney?

25                   PATRICIA KEAVNEY-MARUCA: I want to



1 observation. I will say that a label can be as much  
2 of a silo as a place. And so a label, unless it has  
3 a cure attached to it that you can do, does not  
4 address the need of a person to develop their own  
5 self-esteem, their self-worth, their connection.  
6 And so the early identification is important, but  
7 the early identification along with supports to  
8 develop specific talents is going to be also another  
9 piece of that.

10 We have a number of patients at our  
11 Connecticut Mental Health Center who do incredible  
12 art. It was only identified after they've been a  
13 patient for a long time. Once they were identified  
14 as special needs, the avenue to express one's self  
15 and develop as a person got cut off. And so the  
16 early labeling is important, to be sure, but it's to  
17 be sure they get everything they need, not just a  
18 treatment that doesn't cure. That's the other  
19 problem.

20 SCOTT JACKSON: Thank you very much  
21 for your presentation.

22 Friends on the commission, we've had a  
23 couple of days of testimony on mental and behavioral  
24 health issues. If you're able to stick around for a  
25 little while longer, I'd like to kind of start to



1 discuss where -- what we're going to look at. We've  
2 seen a lot, and we've seen more than we can probably  
3 really grapple with, and this is just day two. So  
4 in terms of focusing our efforts in certain  
5 directions, we've heard some common items, we've  
6 heard -- we've heard in large measure that the  
7 system is limited, somewhere between limited and  
8 broken, depending on who we've spoken to. We've  
9 heard that there were financial issues intertwined  
10 with a lack of providers and lack of access and  
11 failure to fully extend appropriate practices.  
12 We've heard that it's impossible to project violent  
13 behavior. We've heard that early intervention  
14 yields positives results, and we've heard from some  
15 programs, and including points of interaction, be it  
16 law enforcement, be it in the schools, where we can  
17 hopefully start to develop some tools to recognize  
18 issues and provide an impetus for developing  
19 communities that feel. I think that's the language  
20 I think I stole from Alice, but that can help  
21 prevent this, because ultimately that is our charge.  
22 Our charge is to take a look at a certain series of  
23 improvements that can be made in a number of  
24 different areas that can prevent something like this  
25 from happening again. So if you can stick with it

1 for a couple more minutes, I just want to hear where  
2 you want to go, where you want to focus. Do you  
3 want to focus on children's issues? Do you want to  
4 deal with the whole structure? What are folks'  
5 thoughts?

6 SPEAKER: First of all, this is one  
7 of the most excellent presentations I've heard, and  
8 it really puts a lot of our thoughts in context. I  
9 think that you're not going to get all the dollars  
10 you want; we know that; we have to be somewhat  
11 pragmatic, and I think our best effort is to focus  
12 on younger people and the early identification and  
13 treatment up through adolescence and through school.  
14 You know, to me that's the best place to get them  
15 while they're young, identify them as soon as you  
16 can, recognizing, I know that schizophrenia's one of  
17 those things that doesn't kick in until later, but I  
18 think the more you can do with the earlier age, the  
19 better off we are.

20 ALICE M. FORRESTER: I just want to  
21 add on there what the doctor's last point was, that  
22 it's not just identification and labeling, but also  
23 really understanding the complexity of needs. So  
24 it's not just mental health or psychiatry, but it  
25 could be housing or poverty or sexual abuse or, you

1 know, the complexity that the child might be exposed  
2 to.

3 ROBERT DUCIBELLA: I can't get over  
4 the constant repetitive and extraordinarily  
5 well-documented concern, whether it's young people,  
6 which I agree with Bernie on that seems to be the  
7 targeted population statistically, or whether this  
8 is a matter of addressing issues of a socioeconomic  
9 nature that then have a potential predictor affect.  
10 I'll set all that aside.

11 I keep hearing that the system is very,  
12 very difficult to understand and access. I keep  
13 hearing that over and over and over again, and it  
14 would seem that we would have some obligation to  
15 make some statement about and institute some process  
16 for the eventual opportunity for there to be a means  
17 for people to access mental health in a way that is  
18 at least more comprehensible and more affordable.  
19 Those are the two things I've walked away with.

20 KATHLEEN FLAHERTY: I think -- And  
21 clearly because of the event that happened, I think  
22 there is a big discussion about focusing on younger  
23 children and intervening as early as possible, but I  
24 think we have to change the discussion of the  
25 culture of all folks who are living with a mental

1 illness, and I think that is the whole age spectrum.  
2 I mean, can we change this whole entire broken  
3 system? No. I mean, there's no way. But I would  
4 like to see this commission make a pretty definitive  
5 and pretty clear statement that we believe the  
6 culture needs to change, that the stigma needs to  
7 end, and we really need to change the way  
8 Connecticut treats folks with mental illness and  
9 that would become part of a community. Like I said  
10 when Eric was here, that idea of school  
11 connectedness is wonderful, and I would love to see  
12 community connectedness statewide, not just in the  
13 schools.

14 DENIS McCARTHY: One thing that  
15 concerned me is the statement that this has been  
16 subject to a review and analysis by a Blue Ribbon  
17 Commission in the state in 2010, which was not that  
18 long ago.

19 SPEAKER: 2000.

20 DENIS McCARTHY: Oh, 2000. Okay.  
21 But still, what were the recommendations of that  
22 commission and do they have relevance today, and  
23 should we retrace some of those steps in a  
24 presentation to understand what had got an  
25 exhaustive study before we maybe make the same

1 recommendations that, unfortunately, might have the  
2 same outcome.

3 SCOTT JACKSON: And on that, just  
4 from a process standpoint, our deliverable in this  
5 is defined by us. And by that, I mean we don't  
6 necessarily have to put together a list of 1200  
7 independent items to fix the system. We can say you  
8 know what, that needs work, and it's really beyond  
9 the scope or the skill set of the people at this  
10 table at this time. But the creation or the review  
11 and analysis of that report may very well be a very  
12 credible recommendation. Does  
13 someone -- Dr. Schwartz?

14 HAROLD SCHWARTZ: Well, I agree  
15 that -- I think that we ought to say something about  
16 mental health and mental illness and services for  
17 everyone across the spectrum. I think we can do  
18 that but still decide that we want to focus or  
19 emphasize a younger population. And by the younger  
20 population, by the way, I think we have to be  
21 talking through young adulthood because so  
22 typically, the onset of the major mental  
23 illnesses -- illnesses can go through 25, 26, 28  
24 years of age even when you think of that adolescent-  
25 to young-adult period, I think when we're talking

1       about the younger people. But I think a focus on  
2       that is relevant, it's going to -- I think we're  
3       going to be -- we're seeing that nationally in the  
4       national dialogue on mental illness that's getting  
5       going. I think we can do it, but I think it would  
6       be a mistake to not embed it in the context of a  
7       larger overview of the entire system.

8                       And I've just been starting to think  
9       today, you know, I served on that Blue Ribbon  
10      Commission in the year 2000. And if you ask me now,  
11      could you please just highlight, you know, what some  
12      of the major recommendations were, I would have to  
13      take a pass, go to the men's room and try to find it  
14      on Google.

15                      So I, you know, some of them -- I'm  
16      exaggerating a little bit, but not by that much. I  
17      think it would be an interesting starting place if  
18      we were to build in a little bit of time to relook  
19      at that -- at that commission report. One of the  
20      things I was saying to Ron yesterday, this was one  
21      of the things I know that was in there was the  
22      notion of a crisis intervention unit for children  
23      and adolescents -- we have the unit, and it's called  
24      "Cares" at the Institute of Living -- came in part  
25      out of that Blue Ribbon Commission, but if I recall,

1 the commission recommended several of those units  
2 around the state, and to this day there is only one.

3 And I think when we think -- you know, I keep  
4 beating the drum about access to beds, and I know  
5 that's not politically correct, and it's not  
6 popular, you know, with many, you know, of the  
7 advocates and nor is it really financially feasible.  
8 But an additional Cares unit is something, I think,  
9 that this commission ought to look at, and it would  
10 relate back to the recommendations from the  
11 commission in 2000, and it would address the needs  
12 of young people.

13 ALICE M. FORRESTER: I just want to  
14 also point out if we're focusing on children or  
15 young adults, they're all looked within the context  
16 of a family. And, you know, that's -- one of the  
17 problems that hasn't been discussed today is that,  
18 you know, we silo not only, you know, different  
19 treatments, but we silo individuals and family, and  
20 there's really no sense of the whole family and what  
21 the whole family needs.

22 So if the parent is suffering with, you  
23 know, their own illness, you know, sometimes the kid  
24 gets the treatment. Actually, we've done a big  
25 study of a thousand moms in New Haven and, you know,

1 77 percent of the moms bring their kids to  
2 treatment; they, themselves, only 25 percent also  
3 need help and don't get it. So I think that we  
4 could sort of look at the whole -- And also a lot of  
5 the 25- and 26-year-olds that you're identifying, a  
6 lot of them are parents. Unfortunately, a lot of  
7 16- and 17-year-olds are too, so it doesn't cut out,  
8 you know, studying the adults in the complex of  
9 children.

10 ROBERT DUCIBELLA: With respect to  
11 the deliverable, I think there is a fundamental  
12 decision to be made about whether we focus on  
13 highlighting areas of obvious need, which would be a  
14 listing of many of the things that we've heard in a  
15 consolidated fashion or whether you take that to a  
16 higher level of maturity in terms of coming back  
17 with recommendations. So I think some of the other  
18 subjects we've had: Safety in school, security or  
19 the gun law provisions, we had, I think,  
20 opportunities in the safe school design environment  
21 that put together a series of things that were  
22 relatively speaking easy to codify but maybe hard to  
23 fund, but they're still easy to define.

24 In the gun law issue, I think there were  
25 a lot of -- there were a lot of issues that were



1 very clear that we felt ought to be implemented, but  
2 there were political positions on those, and some  
3 favored them and some did not. But it wasn't a  
4 question of lack of understanding. It was a  
5 question of difference in support. You know, I  
6 don't think that there is anyone on this commission  
7 who doesn't have a very active neural network, but I  
8 find myself on this one subject much more adept in  
9 identifying a lot of things that we've identified as  
10 deficiencies and very inept at identifying what the  
11 specific recommendations ought to be for those.

12                   And so as we move forward, the  
13 investment in time that this group makes and  
14 therefore the consequent fidelity in product that we  
15 produce is a fundamental discussion about how far do  
16 we go in identifying things that we want others to  
17 solve in other environments with more talent, more  
18 time and with or without funding, and we bring those  
19 to say after X number of weeks of testimony, here  
20 are the things that really need to be addressed,  
21 and then that is then passed on as a baton or  
22 whether we say here are the things that need to be  
23 addressed and here's the things we think we ought to  
24 do about it.

25                   I bring that up without a

1 position -- I'm agnostic on the position but it's  
2 what I feel at this particular point is cognizant  
3 about you saying in a chair, how far do we want to  
4 take the document? And that's my initial impression  
5 on that. Thank you.

6 ADRIENNE L. BENTMAN: I think that  
7 one of the -- one of the things that they've offered  
8 to us are several frameworks with which to think  
9 about mental -- about mental illness and thus our  
10 recommendations, and that would in some ways tie  
11 into what you're describing. So when we think --  
12 and I'm going to tell you what I think those  
13 frameworks are, and then we can look at each of them  
14 and decide whether there are literally things that  
15 we want to make a recommendation about or whether we  
16 want to recommend that we turn this over to someone  
17 else.

18 So, for example, one framework was  
19 offered today, and we've heard several conversations  
20 about it, and that's the issue of access to care.  
21 So the elements of that were stigma, accessibility,  
22 acceptability, preservation of dignity and  
23 affordability -- that may be under accessible. So  
24 that's one umbrella with which to think about on  
25 what we've heard.

1                   Another umbrella to think about it would  
2                   be let's just call it risk assessment. So I would  
3                   put threat assessment under that, I would put care  
4                   and communities under that. And there may be -- I'd  
5                   have to sort of review my notes. But that's risk  
6                   assessment, threat assessment; that's another  
7                   umbrella.

8                   A third umbrella is what I'll call the  
9                   life span. So the life span begins with healthy  
10                  parents and zero to three and early intervention and  
11                  schools and, you know, school communities and then,  
12                  you know, you sort of take it through the life --  
13                  you know, you take it through the life span. And we  
14                  can -- If we think about what we've heard ultimately  
15                  under those umbrellas -- and there may be others  
16                  I've missed -- then I think we can think about what  
17                  belongs to someone else, and what we actually might  
18                  want to make recommendations about.

19                  CHRISTOPHER LYDDY: You know, as I  
20                  first came onto this commission, was appointed, I  
21                  thought I was going to have a very different  
22                  approach to this conversation being a social worker.  
23                  But the conversations we've had today, what we  
24                  learned today really opened my eyes to something  
25                  that I think I really needed to hear and that there

1 are best practices, there are evidence-based  
2 practices, we know what works. It's really about  
3 that access and decreasing that stigma. And that  
4 wasn't a priority for me when I first came on this  
5 commission, this idea of the stigma being one of the  
6 most -- the most difficult barriers to actually  
7 accessing treatment. And the Newtown Police  
8 Chief last night, I think, was quoted as saying,  
9 "Precious time is precious lives." And he was  
10 talking about guns, but I think the same standard  
11 holds true with accessing mental health care and  
12 services. And so whether or not we're talking about  
13 those people committing violent acts or not or just  
14 achieving a well-being and wellness, to me it  
15 doesn't matter. I think the important fact that we  
16 have to look at is this bottom-line common  
17 denominator of wellness for communities and for  
18 families.

19 And so, you know, I think that we really  
20 need to address this idea of stigma and not make it  
21 a campaign to address stigma, but really to make it  
22 a fabric of our communities. You know, for me, as  
23 being a former politician, campaigns begin and they  
24 end. This is not something that I think should  
25 begin and end. This idea of addressing stigma

1 should be forever, and we need to really create a  
2 culture of acceptance and understanding for people  
3 with mental illness that I think we're so fearful of  
4 doing.

5                   So, you know, to me I would reprioritize  
6 some of what I thought initially as kind of  
7 identifying best practices and evidence-based  
8 practices and move up this idea of addressing the  
9 stigma and the quick access to care.

10                   PATRICIA KEAVNEY-MARUCA: I can't  
11 stop thinking about Alice's comment earlier today  
12 where she said in the car she thought about, you  
13 know, the one thing that came out of Columbine was  
14 don't protect the exterior, get in there so you save  
15 precious lives and what's the one thing that might  
16 come out of this commission or the three or four  
17 things that might come out. And I'm thinking about  
18 that in today's time where, you know, the UConn  
19 girls just made national notoriety, and two  
20 Connecticut hockey teams are going to compete. So  
21 Connecticut's like in the forefront in some areas.  
22 Why can't we shoot for the stars? Why can't we put  
23 forth a recommendation that maybe is not attainable  
24 in perfection, but this is what we'd like to see --  
25 we would like to see every town have one or two

1 schools be these centers of healthcare and use all  
2 day; it's the hub of the neighborhood; it's the hub  
3 of the community where parents can access mental  
4 health support if they need it or they can access  
5 social work services. And if they can't -- No, if  
6 they're working, that's wonderful. But if the  
7 day -- if they can't do it during the school day, at  
8 least they can go there.

9                   You know, the real concrete suggestion  
10 along with what Chris just said, not necessarily  
11 campaign but a real commitment to cultural change  
12 that reduces the stigma so that people who have  
13 issues with mental health don't get singled out and  
14 feel comfortable and accepted and know -- you know,  
15 just like a physical illness. So I guess in  
16 summary, it sounds like Pollyanna here. But I guess  
17 in summary what I'm saying is I would like to see  
18 us, at least in draft form, take away all the  
19 limits. Maybe we could put them back in, but what  
20 would be the ideal? And let's shoot for that.

21                   HAROLD SCHWARTZ: Yeah, I think  
22 that is raising a central question. So the Blue  
23 Ribbon Commission that was formed in 2000 had a  
24 charge to look at the system and actually come up  
25 with recommendations that could be enacted to

1 improve the system to the degree that it was  
2 conceivably possible. I think we do have to step  
3 back and say, well, was that our charge or might our  
4 charge be to describe an ideal universe? And then  
5 let others see how far we are from that ideal  
6 universe and what parts of it, you know, might be  
7 achievable in the practical world now and what parts  
8 might be achievable in some practical world  
9 some -- you know, years from now.

10                   The other thing I'd say is that we do  
11 have several other days of testimony and issues on  
12 mental health to come before the commission, so  
13 whatever we say in this discussion, we shouldn't  
14 leap to premature closure on where we're going to  
15 go. We need to hear the rest of this.

16                   SCOTT JACKSON: Absolutely. The  
17 goal was actually to hopefully help focus and  
18 streamline those presentations in a way that fits  
19 where the commission would like to go.

20                   I got a Q, and we'll start with Dr.  
21 Schonfeld.

22                   SPEAKER: And, again, I'm sorry. I  
23 had to go take a call, but I think the -- I agree  
24 that we shouldn't have limits on what we aspire to,  
25 but I do think we should have focus on what we focus

1 our recommendations on. So it might be that in a  
2 preamble or in an introduction, we might say, you  
3 know, the ideal goal for trying to address these  
4 issues would look at these ten areas. And we could  
5 see models might be all integrated. Our commission  
6 has chosen for the purposes of this report to focus  
7 on two or three areas that we think have either  
8 short-term -- short-term opportunities or perhaps  
9 have long-term aspirations, but in a focused area.  
10 But I think if we are too broad in the scope of what  
11 we do, we won't have the opportunity to really ask  
12 the questions that we need, nor will we come up with  
13 recommendations that will be any more impactful than  
14 part of what's already been said before by other  
15 people.

16                   And so that's the concern that I have  
17 is, and, you know, I was asking where am I even  
18 supposed to focus my questions? What are we trying  
19 to get out of the different testimony that's here?  
20 Because there is a lot good things being said by  
21 people who know a lot, but it's a very broad area.  
22 And I don't I don't know where people want to focus  
23 their energies, but I'm concerned if it's too broad,  
24 it may be kept there as a wonderfully written  
25 document, it might not be thrown out, but I don't



1 think it will actually change the lives of children  
2 in the state of Connecticut unless we're able to  
3 focus it, so --

4 SPEAKER: I can't agree with you  
5 more. Certainly all of our time and energy should  
6 be at, you know, great impact. So I can agree with  
7 you very much on that, and I guess I'd -- I just  
8 want -- We get trapped a little bit around this  
9 conversation that it's mental health. You know, we  
10 put gun control and mental health together, and, you  
11 know, to relegate the conversation on the mental  
12 health system, it limits, then, the conversation  
13 around the education system or social service system  
14 or the judiciary system. So I don't mean to counter  
15 what you're saying as, you know, get narrow, but I  
16 also think we can't get too narrow because this --  
17 the conversation around stigma and community needs  
18 to happen well before the mental health disorder or  
19 the symptoms are exhibiting.

20 So in a lot of ways we have to maybe  
21 change the conversation a little bit. It's not  
22 mental health that we're trying to fix, but rather  
23 quality of life. Increase the eyes-on, you know.  
24 You know, one of the problems, you know, in the  
25 12/14 event was, you know, the shooter had no eyes

1 on him. And, I'm sorry, I'm making some  
2 generalizations from the little bit of data that we  
3 have. But the reality was that this kid, you know,  
4 no one saw him in -- visually or connected, and so  
5 it's creating a community that tracks and  
6 understands when -- You mentioned earlier the  
7 drop-out, the eyes off. And so schools have to do  
8 it. We've heard over and over again, it's a threat  
9 assessment, a risk assessment is the fire  
10 department, is the police, is the social workers, is  
11 the educators, it's everyone together. So creating  
12 a model for that. And, Bob, about your  
13 conversation, do we create a model or suggest a  
14 model or an answer or not I think is on the table,  
15 but maybe we could suggest a model that -- you know,  
16 or bring in some experts who might be able to help  
17 us make a recommendation for a model, so --

18 SPEAKER: I'm going to try to build  
19 on what David has said and what I think Adrienne has  
20 said and others have said. If we want to start out  
21 with creating a framework for this document being  
22 rational, credible and esteemable, we need a mission  
23 statement, some kind of a chartered mission  
24 statement up-front that aspires to the goals that  
25 are talked about. I think that has real purpose,

1 and I think it's extraordinarily valuable. I think  
2 to David's point, though, if we just stay at that  
3 very, very high 30,000-foot level, we'll never grow  
4 any crops because we won't have the focus that we  
5 need on individual issues that need to be addressed.

6                   So I'm not proposing this for your  
7 general consumption, but it sort of seems from  
8 listening that some sort of a very, very appropriate  
9 high-level mission statement that attempts to codify  
10 a number of the things that we hear aren't right  
11 that should be made right. It seems like a very  
12 good up-front way to posture the circumstance.  
13 We've heard a lot of testimony; everyone is  
14 conveniently saying that things aren't what they  
15 should be, and so we as a commission have an  
16 opportunity to bring that into the public forum and  
17 also say what we hear about it that should be fixed;  
18 that's "A." "B," I think there could be a number of  
19 focused areas open for discussion, as David  
20 suggested. Okay, let's take of the ten things which  
21 are in our mission charter, let's take three or four  
22 or five or two or three that we really think are  
23 most important and subject those to recommendations  
24 if we can agree on them. And then those that remain  
25 that we can't reach agreement on simply because we

1 don't have the testimony, the time or the  
2 intellectual resources to address, we suggest need  
3 to be addressed as a follow-up program at least  
4 there's a statement, there are a series of  
5 hot-button issues that we can make recommendations  
6 on, and then the rest of the issues aren't lost in  
7 the weeds because they've been published, they've  
8 been identified and we recommend a way forward for  
9 them. And although we can't address them with  
10 fidelity, we leave that as a chore for someone else  
11 and as a charge. I'm not being specific, I'm being  
12 process-oriented in a discussion; that's all.

13 SPEAKER: I just wanted to let the  
14 rest of the commission members know where we stand  
15 in terms of invitations. We've got invitations out  
16 and a number of confirmations for two-and-a-half  
17 other days. And hopefully everything that any of  
18 the speakers do will be incremental to what we've  
19 discussed today, so I'll be happy to share that  
20 information with them.

21 Those of us who planned -- got through  
22 some of the mental-health-related events talked  
23 about focusing now on adolescents and young adults,  
24 so that would be young people up to age 25, they're  
25 looking at the mental health system for adults over

1 25 years old. We have a commitment from attorneys  
2 at the University of Virginia School of Law, John  
3 Monahan and then Professor Bonney? -- Is there a  
4 link between mental health issues and violence? And  
5 what is the place of leverage coercion? Which we  
6 will learn to understand.

7           We have sessions committed for  
8 addressing trauma, and also some of the legal  
9 issues, mandatory reporters and legal issues. So at  
10 this point, it looks like two-and-a-half days; you  
11 might all recommend that we need to consolidate  
12 more, maybe insert other sections in there, but just  
13 to give you a sense that I think everything you're  
14 mentioning today does help to augment the rest of  
15 the schedule, and we can start to identify the gaps.

16           Our committee started talking about  
17 school culture issues; we did get into a little bit  
18 of that today, but there's so many other topics that  
19 this whole commission could explore, not necessarily  
20 mental health, but one thing does leads to another  
21 in our discussions.

22           And just to add one other point, while I  
23 wasn't on the Blue Ribbon Commission that  
24 Dr. Schwartz mentioned, I was in the room and know  
25 exactly where to find a hard copy and know some of

1 the people who were involved who are still working  
2 within the system who I'm sure would be thrilled to  
3 be invited back to sit.

4 SPEAKER: Just a language  
5 clarification because you had mentioned we were  
6 focusing on mental health and you want to be  
7 broader, and I guess what I would say is that I  
8 consider that we haven't been focusing on mental  
9 health today; we were focusing on mental illness  
10 more. And that really, if we were talking about  
11 mental health, which -- and this is just common  
12 language -- people interchange them, and I do think  
13 I would completely agree with you that what we need  
14 to do is actually focus on mental health which  
15 involves both how you promote health.

16 So one of the speakers actually defined  
17 what mental health was and then defined mental  
18 illness, and so I thought that it would be nice if  
19 we could take that perspective that what we want in  
20 our schools and in our communities is to promote  
21 mental health, and that involves the identification  
22 of mental illness and its appropriate referral and  
23 treatment or treatment or early intervention in  
24 school settings.

25 But it also is a conscious attempt to

1 promote the health, the mental health of children  
2 through forming connectedness in their school,  
3 forming relationships, helping them learn social and  
4 emotional skills and creating more resilient and  
5 competent individuals. And I think if we do that,  
6 that's going to be broad enough, but we  
7 might -- But that's, I think, one of the -- We seem  
8 to be spending some time on the mental -- the  
9 intervention for mental illness, and so I think  
10 that's an appropriate topic. I would just like to  
11 know if that's what we want to do. Do we want to  
12 look at the mental healthcare delivery system in the  
13 State of Connecticut and try and make  
14 recommendations on how to modify that, which that's  
15 a big -- I mean, that is a huge task. And if we do,  
16 then I would suggest we pick some part of it. I  
17 think if we, for example, try and figure out the  
18 financing of it, that's -- that's a -- that may be  
19 insurmountable for this group to do in a year.

20           So maybe it's not the financing. It's  
21 looking at the types of programs that we think need  
22 to be there. But if we're now going up into  
23 adulthood, then, you know, if you do up to 25 or 27,  
24 and said are we going to then look at young adults?  
25 And what about the elderly and what -- You know,

1       it's not that it's -- it's not good or bad. It's  
2       just you can have mission creep, and then you can  
3       just take on so much. And so all of the speakers  
4       that you have talked about, all of the topics I  
5       think are quite appropriate. But as we listen to  
6       them, it would help me if I knew, okay, so you're  
7       talking about 25-year-olds? The reason this is  
8       relevant to me right now is I want to figure out how  
9       this helps kids in schools. If -- Or am I listening  
10      to it because I'm now trying to think about how to  
11      improve the mental health of 25-year-olds or am I  
12      trying to think about the treatment of 25-year-olds  
13      with mental illness? Or am I trying to figure out  
14      the systems that would be cost-effective to provide  
15      that treatment? And I guess that's -- So that's  
16      where -- It's not that the topics aren't great.  
17      It's just I would be helped if I knew where am I --  
18      Why -- What am I listening to this for? What's my  
19      filter? So that I can hone in on actionable items  
20      for recommendations.

21                   SPEAKER: The reason that we had  
22      talked about the full spectrum of age was really to  
23      address the charge of the governor to be looking at  
24      mental health within the state. Certainly this  
25      commission can decide to focus more in certain areas



1 than the other. We've got a semi-permeable boundary  
2 between a child who's three years old who acts out  
3 and a six-year-old and a nine-year-old and so on.  
4 And we have many people in the state who are adults  
5 with mental illness or we might say people with  
6 mental health issues for whom the current service  
7 delivery system isn't working.

8                   This may give us an opportunity to say  
9 if we were building it again recommending going  
10 forward, this is what we would need to put in its  
11 place. So there's really no one right way to do it.  
12 I do agree we need to focus, but having a broad  
13 array of ideas certainly will help us to focus.

14                   SCOTT JACKSON: And to that point,  
15 critical to that process, that semi-permeable  
16 boundary is creating an articulation point between  
17 the youth system and the adult system, so we do not  
18 hit one of those transitions that Ms. Keavney was  
19 talking about, where you go off your trajectory and  
20 there's no one there to see it so that hand-off is  
21 coordinated in a more significant fashion.

22                   SPEAKER: Very briefly, this is a  
23 bit like renovating an old house. The roof leaks,  
24 so you want to fix it. And the plumbing leaks, you  
25 can't take a shower, you want to fix it. The floor

1 is creaking, you're going to fall through it. We  
2 have this wonderful saying in the design profession,  
3 scope and creep. And I know for me personally --  
4 and I don't know whether this is shared -- as I  
5 listen to these, I'm very much sensitive to what  
6 David said which was, I can be a much more effective  
7 commission member if I know where I want to go, what  
8 questions I want to ask, based on the deliverable we  
9 want to produce. And so maybe as a suggestion at  
10 the end of the sessions, I know we don't all want to  
11 stay here until midnight on Friday night, but if we  
12 can revisit this issue of deliverable of what we  
13 want at the end of each one of these sessions, I  
14 need a scope creep; I need to be bracketed. I know  
15 that I'm -- I'm not struggling, but I know I'm not  
16 being as effective as I could be. So if we could,  
17 Chair, think about at the end of each one of these  
18 sessions, are we closer to understanding what we  
19 think we want to produce as a deliverable at the end  
20 of each one? Because then I can focus more  
21 intelligently on what the presentations are, ask  
22 relevant questions about that knowing that those  
23 questions are focused on what it is that we as a  
24 commission group think we want to produce. That's  
25 just a suggestion. I don't know how others feel

1 about that.

2 KATHLEEN FLAHERTY: I just wanted  
3 to let people know for those people that might not  
4 be aware of this, and this might affect how people  
5 think about this, that in the new law that the  
6 governor signed last week, there actually is a new  
7 task force established. So folks may know or may  
8 not know, but they have a very specific mission, and  
9 that's why I was flipping through this because I  
10 wanted to find it. But they have a task force to  
11 study the provision of behavioral health services in  
12 the state with a particular focus on the provision  
13 of behavioral health services for persons 16 to 25,  
14 inclusive. And they have to analyze and make  
15 recommendations concerning improving behavioral  
16 health screening, early intervention treatment,  
17 closing gaps in insurance coverage, case management,  
18 insufficient number of certain behavioral health  
19 providers and 13 other things. So I'm not going to  
20 read them all. But basically folks should know  
21 it's Section 66 of the new law. So that might be  
22 something for us to consider too when we look at  
23 what we want to focus on in our report.

24 SPEAKER: I think you hit it right  
25 on the head, and I wonder if we would think about

1 mental hygiene, you know, the old turn of the  
2 century sort of term, but I think that that's what  
3 you were sort of suggesting, the idea of, you know,  
4 good health from a mental perspective. So thank  
5 you.

6                   SPEAKER: You know, I liked the way  
7 we did the feedback with the whole gun thing and the  
8 safety, we sort of fed it to you, and you called out  
9 the things that were common, and we discussed it.  
10 Perhaps that's a way to go, too, with some of these  
11 issues around mental health and behavioral. Excuse  
12 me. If people submitted to you like a draft of a  
13 mission statement and, you know, submit -- Maybe not  
14 you, maybe you're too busy, but to one central  
15 person, and then we could sort of consolidate views  
16 like that, and then we'd have some food for thought  
17 to take away or to study in the days between the  
18 meetings.

19                   SPEAKER: So I find myself really  
20 of many different minds about this. I think this  
21 is -- you know, it's going to be difficult for us to  
22 formulate exactly how we proceed, you know, forward.

23                   As I think about the notion of mental  
24 hygiene, it gets back to, you know, the distinction  
25 between mental health and mental illness, often used

1 kind of interchangeably, and yet if you think about  
2 mission creep, you know, to my mind it lies on the  
3 mental health side or the mental hygiene side,  
4 which -- you know, which can reach to, you know, all  
5 of the things that could lead and contribute to a  
6 healthy and productive life. And really, you know,  
7 it is potentially endless.

8                   When I think about what do the people of  
9 Connecticut want from us, I think what they want is  
10 something that dresses what looks to them like  
11 mental illness and its ramifications and  
12 consequences and what the interventions are around  
13 mental illness that we would recommend. And then I  
14 started making notes. Before you read about the  
15 legislative paneling notes, well, you know  
16 detection, and that goes to schools and what we can  
17 do in schools, access to care and then -- and  
18 effective programs, things that will change lives,  
19 the lives that are coming up and perhaps reduce the  
20 risk of future events because that -- you know, that  
21 it might connect to reducing the risk of future  
22 events I think will always be on people's minds, and  
23 they'll always want to see some tie-back to that.  
24 We know the tie-back to it. Any tie-back we come up  
25 with will be very vague and generalized.



1                   SCOTT JACKSON: Do you have a  
2 response?

3                   SPEAKER: Just a little behind the  
4 scenes. Because we had decided very definitively  
5 that we were going to focus on guns and school  
6 safety issues in our preliminary report that we  
7 issued in formal form on the 18th of March, I did  
8 speak to legislative staffers to say that we weren't  
9 ready with mental health related recommendations  
10 because we were asked directly on behalf of the --  
11 or I was asked directly on behalf of this commission  
12 if we had recommendations and the legislature would  
13 have been willing to entertain them. So I think  
14 that's very positive, and maybe at this point  
15 looking at these recommendations, we could engage  
16 with the parties that are organizing that particular  
17 task force to make sure that if we're heading in the  
18 same direction or mutually complimentary directions,  
19 we take advantage of that role.

20                   SPEAKER: I'm just trying out an  
21 idea and option for people to consider since I was  
22 not aware of this group being formed and I do see  
23 that the mission would overlap, potentially quite  
24 significantly or ought to, to be quite honest. So  
25 one option would be to say, look, our group was

1 created in response to an episode, it was formed  
2 quickly because there was a sense of a need for  
3 urgency, which was quite appropriate. Subsequently,  
4 another group is now being formed to study this more  
5 in depth, so what we could do is help frame the  
6 questions that this group would then answer in  
7 moving forward.

8           And so we could say that these in our  
9 deliberations, either based on our reflection of  
10 what has occurred in school crisis events, which is  
11 one narrow area of how mental illness or mental  
12 health impacts the lives of children, but -- or we  
13 can certainly broaden it as to say these are the  
14 issues that we think are there so beyond what's in  
15 the bill, but actually now say through our  
16 testimony, through deliberations and through our  
17 discussion, we've identified these areas in a little  
18 more depth than we would specifically like this  
19 group or another -- but I think it's then presumed  
20 it's them -- to go in more depth, and then they'd  
21 have a starting point. But I agree we would want to  
22 reach out to them and talk with them, and make sure  
23 that they felt comfortable with us playing that  
24 role, because if they say no, we want to set our own  
25 agenda, then that wouldn't be a good use of our



1 time.

2                   So that's one option we could do, and  
3 then we could focus more on an area that's, you  
4 know, more specifically related to schools so -- and  
5 I've already voiced this to a couple people -- is  
6 that I think I understand the issue around the gun  
7 safety, and I think it is important for us to make  
8 those recommendations in a timely way. We're now  
9 looking at mental health, but we have not really  
10 looked at the mental health of children that are  
11 impacted by crisis events. And we're called the  
12 Sandy Hook Commission for a reason, and I really  
13 feel like we're not talking about what they need.

14                   And I'm not suggesting that we would  
15 give specific recommendations to that community,  
16 because I don't think that's our place. But there  
17 are children who are impacted by crisis events in  
18 schools, and they don't have mental illness, and  
19 they're not the ones with the guns, but that's what  
20 we're named after. So I think to a certain degree  
21 I'd like to speak to the issue that's facing  
22 communities such as that that will be helpful to  
23 that community now if they choose to review that  
24 information, and it will be unfortunately helpful to  
25 other communities. And whether or not it's from a

1 shooting in their school or whether it's from  
2 community violence in their communities, I think  
3 there are a number of children that would benefit  
4 from some careful deliberation and consideration of  
5 how to be helpful to that group. And I -- So I  
6 don't see it just as guns and mental illness. I see  
7 it as those impacted by the intersection of this.

8 SPEAKER: I just want to get -- So  
9 if I'm understanding it -- So the -- Because I know  
10 we have the session that's scheduled on the trauma  
11 interventions, but this is trauma above and beyond  
12 for people that are already in care for mental  
13 health issues. This is dealing with trauma that's  
14 faced by the community in general, specifically the  
15 kids who were in a school after some kind of  
16 traumatic event.

17 SPEAKER: And what I will say is  
18 that there is -- And I don't know the speakers that  
19 are coming, but I would imagine that you're going to  
20 be hearing a talk about trauma symptoms and trauma  
21 disorders. Kids that are in schools that have been  
22 in events such as this are also dealing with grief  
23 and bereavement. They're also dealing with senses  
24 of difficulty with safety, anxiety, sadness,  
25 depression. How do you support staff that are in



1       that one of the people killed was a very close  
2       friend that he had grown up with, and I said, "Do  
3       you think perhaps it might be an issue that your  
4       friend is dead?" And he said, "That's what it is."  
5       And I said, "Would you like to talk to someone about  
6       that?" And he said, "If you have someone for that,  
7       I will go. But I don't want to go for trauma  
8       treatment."

9                       Now, the concern was that several weeks  
10       had passed, but no one had offered that to him. And  
11       I've been in a number of communities. I've worked  
12       with New York City after 9/11. New York City didn't  
13       even have a bereavement center for children. And  
14       so -- And they have one now, but it's small and it's  
15       struggling. And so the issue is bereavement  
16       treatment isn't reimbursable in the same way as  
17       trauma treatment is.

18                      And there's all of these issues and  
19       so -- And it tends to crowd out some of the other  
20       concerns that children have. So, definitely, we do  
21       need to discuss trauma. But I think we need to  
22       broaden the conversation beyond that, and I don't  
23       know that we have that planned to do. So yes,  
24       children in Sandy Hook went through a horrible  
25       tragedy and a lot of trauma, but they also lost

1 peers. And so I want to make sure we broaden the  
2 conversation a little bit, and I don't know that  
3 we're doing that yet.

4 SPEAKER: I know that some of that  
5 was covered way back when, when Dr. Wong came in  
6 from UCLA with her charts, and we should probably go  
7 back and look at that presentation. I know in an  
8 ideal world, after listening intently today that,  
9 you know, if we sat down for an omission statement  
10 or we'd probably start by drawing some different  
11 charts with how you would gain access to the system.

12 I mean, as I hear this over and over and  
13 over again, there are so many needs. And the theme  
14 I keep hearing is there are so many barriers to  
15 meeting those needs in this system. So when I think  
16 of a report, I think of whether we're talking about  
17 accessibility to care and insurance or the students  
18 who have been traumatized by the incident. Gaps in  
19 coverage, we have for acute, we have for outpatient,  
20 but there's a whole set of needs that aren't being  
21 met. I just think how do we set up a system that we  
22 tear down those walls? How do we -- Every time we  
23 come across that, just say this is what would be  
24 appropriate or more appropriate because it doesn't  
25 sounds like overall the system works in a myriad of

1 ways, and there's so many people I respect immensely  
2 in this room. There's got to be some better ways.  
3 There's got to, because so many of us are working so  
4 hard to meet those needs, but they're not being met  
5 well.

6 SPEAKER: And I just want to  
7 clarify because there's two sets of needs there,  
8 the kind of perennial needs of mental health  
9 (unintelligible) in communities, and then there are  
10 also the acute, and they become long-term needs of  
11 the communities that have gone through catastrophic  
12 events. And so I just want to make sure: There are  
13 barriers to getting mental health treatment in  
14 Connecticut as there are in every other state in the  
15 country. There are a unique set of needs and I  
16 would argue a unique set of potential solutions to  
17 the barriers for communities that have been directly  
18 impacted by events, but what happens is there's the  
19 presumption that those needs get taken care of by  
20 other mechanisms, and those -- When you go through  
21 these events, you realize those mechanisms are not  
22 as effective as you would like in terms of  
23 efficiently and comprehensively meeting the needs of  
24 the children and the staff, and so I'm just asking,  
25 Ron, if that's -- if that's an area that we want to

1 go into, which is a school has an event, how do we  
2 as a state respond to those needs so that you aren't  
3 relying solely on donated services, local resources  
4 or federal resources that may or may not be  
5 delivered in a timely way and meet all the needs?

6 So if -- Do you want our group to also address that?

7                   RON CHIVINSKI: Absolutely. I  
8 don't think there's anything we should shy away  
9 from. I know that -- remembering when Dr. Wong was  
10 here, and she was showing a lot of long-term graphs  
11 of the peaks and the valleys and the highs and the  
12 lows that both students and staff and community  
13 members would go through, and a little bit of  
14 no-man's land, I would argue right now with our  
15 long-term recovery plan. You know, and I know a lot  
16 of staff are, you know, outside of Sandy Hook  
17 Elementary School itself a little bit anxious. I  
18 think the theme of -- You're right. There are many  
19 different types of needs, and that's what I keep  
20 hearing, many different types of needs. And there  
21 is a Sandy Hook in the Sandy Hook Advisory  
22 Commission, and I think that we have an obligation  
23 to look at those specialized needs for all those  
24 involved and how well or how well not they're met  
25 and how best you do meet them.

1                   I know specifically that our union, you  
2 know, from the national on down, has invited Neosh  
3 (Phonetic) in to really try to analyze this. So the  
4 answer to your question would be yes.

5                   SPEAKER: I think it is a good  
6 thing to remember our name, Sandy Hook Advisory  
7 Commission, and that we, I think by the nature of  
8 that and when and how we were established, need to  
9 address -- in addition to anything else we may wish  
10 to address -- those matters that are specific to  
11 this particular incident. And that would include --  
12 I think that has to include the special needs of  
13 communities who have been traumatized by events like  
14 this and how a state like Connecticut might organize  
15 itself to respond in the future.

16                   There are other specifics which we  
17 really haven't started to get to, so we have not yet  
18 had the report from the state's attorney, and I  
19 don't know if we had it if it would have provided  
20 enough information to know, but at some point we  
21 will know whether the shooter in this case, in fact,  
22 was someone on the autism spectrum. And hopefully  
23 we will know whether, over the course of his life,  
24 there were difficulties in accessing resources and  
25 other things necessary to address the problems that



1 he might have had.

2 Now, I know we've had some discussion in  
3 the past that the advocates for individuals on the  
4 autism spectrum would rather that we not have a  
5 discussion about that. But, in fact, when we talk  
6 about access, access to care for individuals who are  
7 on the autism spectrum is a very big problem,  
8 perhaps bigger than access to care for individuals  
9 who have schizophrenia. So if it does turn out when  
10 final reports are issued, you know, about this event  
11 and, you know, we learn more that this may have been  
12 the case and -- for this individual and that issues  
13 of accessing care played a prominent role in his and  
14 his mother's life, I think it would -- it will turn  
15 out to have been a mistake if we do not look at the  
16 issue of access to care for that group of  
17 individuals.

18 ALICE M. FORRESTER: I also -- In  
19 what Dr. Schonfeld said around, you know, sort of  
20 the particular incident, am interested, also, in  
21 perhaps hearing from the families from Newtown. I  
22 don't know if there's been any conversation around  
23 having them available to be on the agenda or if they  
24 have been invited to speak. I have personally  
25 interfaced with quite a few of the members, and they

1 have a lot to say on some of the hygiene and mental  
2 health conversations that we're having and -- in  
3 terms of response, and I think that it would be  
4 helpful to have their voice and/or, you know,  
5 invited, if that's something that we can do.

6 SPEAKER: Just to piggyback on  
7 Alice's comment, I think if we're going to move in  
8 the direction of this kind of acute response as well  
9 as in a long-term response in looking at what works,  
10 what doesn't, what needs to happen when another  
11 tragedy, God forbid, happens, we need to give a  
12 voice to the people who are in it. We need to hear  
13 from the teachers, and we need to hear from  
14 administrators, and we need to hear from families.

15 That's a big charge, though. And that's  
16 a very big ask. And I think if this group commits  
17 to doing or moving in that direction, then those are  
18 the people we can't forget about, the Newtown  
19 community, the leaders there. But I also think that  
20 timing is certainly a challenge right now because  
21 Newtown is in the thick of it. The families are in  
22 the thick of it, the teachers are, and so to  
23 Dr. Schonfeld's point about kind of having this  
24 commission put together perhaps not a template, but  
25 a plan for the future, we don't know what the

1 lessons learned are from Newtown yet.

2 And so I would just caution us to take  
3 that into consideration. But, again, I would  
4 endorse inviting the Newtown community, the  
5 families, the teachers to share their experience,  
6 what their lessons have been and how their stories  
7 can shape what we recommend.

8 ROBERT DUCIBELLA: I'll be quick.  
9 Just, as we think about how broad our task is  
10 ultimately, just an advisory that we should keep our  
11 eye on the issue of commission fatigue. I don't say  
12 that as a warning that we should do less because we  
13 might become fatigued, but rather that at particular  
14 points as we contemplate, you know, where we're  
15 going to go, that there could be a little fatigue  
16 that can set in that could perhaps lead us to lower  
17 our expectations of ourselves, and that would be a  
18 mistake.

19 SPEAKER: I just want to say thank  
20 you to Kathy for drawing our attention to this  
21 document because I think that it's important reading  
22 as we set the agenda and some of what we think our  
23 goals are moving forward, because in this, including  
24 what you referred to, there is also threat  
25 assessment and stigma and other issue that have been

1 addressed in the law. So I think that we need to  
2 understand that and either add emphasis to it or  
3 take it off our list. But I think that there is  
4 quite a bit in there that we have talked about  
5 certainly today that we ought to be mindful that has  
6 been addressed in the legislation, and we need to  
7 decide how to deal with it now that it has been  
8 included in the bill. Thank you.

9 SPEAKER: I'm thinking of Alfred  
10 Hitchcock. Alfred Hitchcock frequently used advice  
11 known as the "Macguffin," which is when you think  
12 the movie's about one thing and it turns out to be  
13 about another thing. We have been mistakenly called  
14 the gun panel. I think the guns were the Macguffin  
15 here. As we move forward on this topic, I'm calling  
16 the Macguffin out and say that I was mistaken. I  
17 think that Dr. Schwartz is exactly right in how he  
18 framed what I think the residents of Connecticut  
19 expect from this commission in terms of mental and  
20 behavioral issues, and that is that intervention  
21 point, creating the intervention point for someone  
22 who is on a bad trajectory. However, as we keep  
23 talking about it, it appears, while there are  
24 certainly financial and provider issues in terms of  
25 access, it seems that this issue of stigma, if it's

1 not at the top of the list, if it's not item number  
2 1, it's item number 1 prime, and dealing with that  
3 issue is not one of mental illness, but one of  
4 mental health. So like Mr. Lyddy, who acknowledged  
5 that his thoughts on things changed as the panel's  
6 progressed, so have mine. I came in thinking of  
7 mental illness, and now I'm thinking of mental  
8 health.

9                   So what I'm going to try to do is take  
10 these items that have come up, and if people have  
11 other thoughts upon reflexion -- you know, we all  
12 have a drive home somewhere, if you have other  
13 thoughts and you want to shoot me some thoughts by  
14 email, I'd be glad to accept them. We'll try to  
15 synthesize that into a document of some sort that  
16 provides at least the first cut of a framework so  
17 that we can then sort of mark our progress, as Mr.  
18 Ducibella said, and then make sure that, well, we  
19 always maintain the flexibility, then we'll have the  
20 direction. We will always maintain that  
21 flexibility, but focusing our efforts may be useful  
22 at this point. So I will try to put that together.  
23 Any other remarks? Dr. Bentman?

24                   ADRIENNE L. BENTMAN: Yeah. It's  
25 not a remark. It's actually just -- It's a really

1 more concrete framework question. We're in the  
2 middle of April, and so now would be a good marker  
3 point to look at the Fridays from now through May  
4 and sort of tell if there are Fridays we know we're  
5 going to be meeting, when are they? If there are  
6 Fridays we know we're not going to be meeting, when  
7 are they? And --

8 SCOTT JACKSON: -- keeping the  
9 calendar. I think the working group had every  
10 Friday in April from here out scheduled, right?

11 SPEAKER: I think so. There's  
12 two-and-a-half more dates of testimony that we have  
13 currently scheduled.

14 ADRIENNE L. BENTMAN: That's April.  
15 How about May?

16 SPEAKER: Yeah, I think the  
17 first -- I think we have something, I know, for the  
18 morning of May 3rd. I think we have that half day,  
19 and it's just a matter of scheduling that second  
20 half of May 3rd.

21 SCOTT JACKSON: We will provide an  
22 updated schedule with the meeting notice for next  
23 Friday. Mr. Chivinski?

24 RON CHIVINSKI: Thank you. Harry,  
25 if you can find that article you referenced, that

1 would be great if you could send it out.

2 HAROLD SCHWARTZ: I definitely  
3 could find it, and I will -- I'll get it out to the  
4 commission.

5 SCOTT JACKSON: Seeing nothing  
6 else, thanks for your time, everyone. Thanks for  
7 your attention and we will see you, or at least most  
8 of you, next week. Take care.

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15 (Hearing concluded.)

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CERTIFICATION

I hereby certify that the foregoing 71 pages are a complete and accurate transcription to the best of my ability of the electronic sound recording of the April 12, 2013, Sandy Hook Advisory Commission hearing.

\_\_\_\_\_  
Chloe M. Stefanelli, LSR

\_\_\_\_\_  
Date



