

Facility Type	State Licensed/ Frequency	Federally Certified/ Frequency	Initial State Licensure Process	Renewal State Licensure Process	Initial Federal Certification Process	Renewal Federal Certification Process	Statutory and Regulatory Requirements	Survey Protocol
Hospitals	Yes	Yes, if they choose	OHCA CON, plan review, licensure application, inspections including physical plant and clinical, pre-licensure consent order	Application and inspection	CMS Form 855a Application	None	State-regulations section 19-13-D3	State FLIS Guidelines
	Renewal every 2 years if deemed or every 4 years	A certification survey (validation survey) is conducted as directed by CMS, one hospital per year on average					State-CGS	
	CVH and Albert Solnit Children's Hospitals are not licensed by DPH	Yes, as directed by CMS					Federal-42CFR Part 482	Federal State Operations Manual Appendix A
Outpatient Surgical Facilities	Yes	46 of 60 licensed facilities are federally certified.	OHCA CON, plan review, licensure application, inspections including physical plant and clinical	Application and inspection	CMS Form 855b Application	None	State regulations section 19-13-D56	State FLIS Guidelines
	Renewal every 2 years	DPH conducts certification surveys of at least 25% of non-deemed facilities and no more than 6 years elapses between surveys	Pre-licensure consent order				State-CGS	
							Federal 42CFR Part 416	Federal State Operations Manual Appendix L
Mental Health Facilities	Yes	No * There are a small number of federally certified Mental Health Facilities. CMHC- 4 PRTF- 6	OHCA CON for for-profit only; licensure application, clinical inspection	Application and inspection	*	n/a	State-regulations section 19a-495-550	State FLIS Guidelines
	Renewal every 2 years						State-CGS	
Substance Abuse Treatment Facilities	Yes	No	OHCA CON for for-profit only, licensure application, clinical inspection	Application and inspection	No certification	n/a	State- 19a-495-570	State FLIS Guidelines
	Renewal every 2 years						State CGS	

CFR Code of Federal Regulations

CGS Connecticut General Statutes

CON Certificate of Need

CMHC Community Mental Health Centers

CVH Connecticut Valley Hospital

OHCA Office of Health Care Access

PHC Public Health Code

PoC Plan of Correction

PRTF Psychiatric Residential Treatment Facility