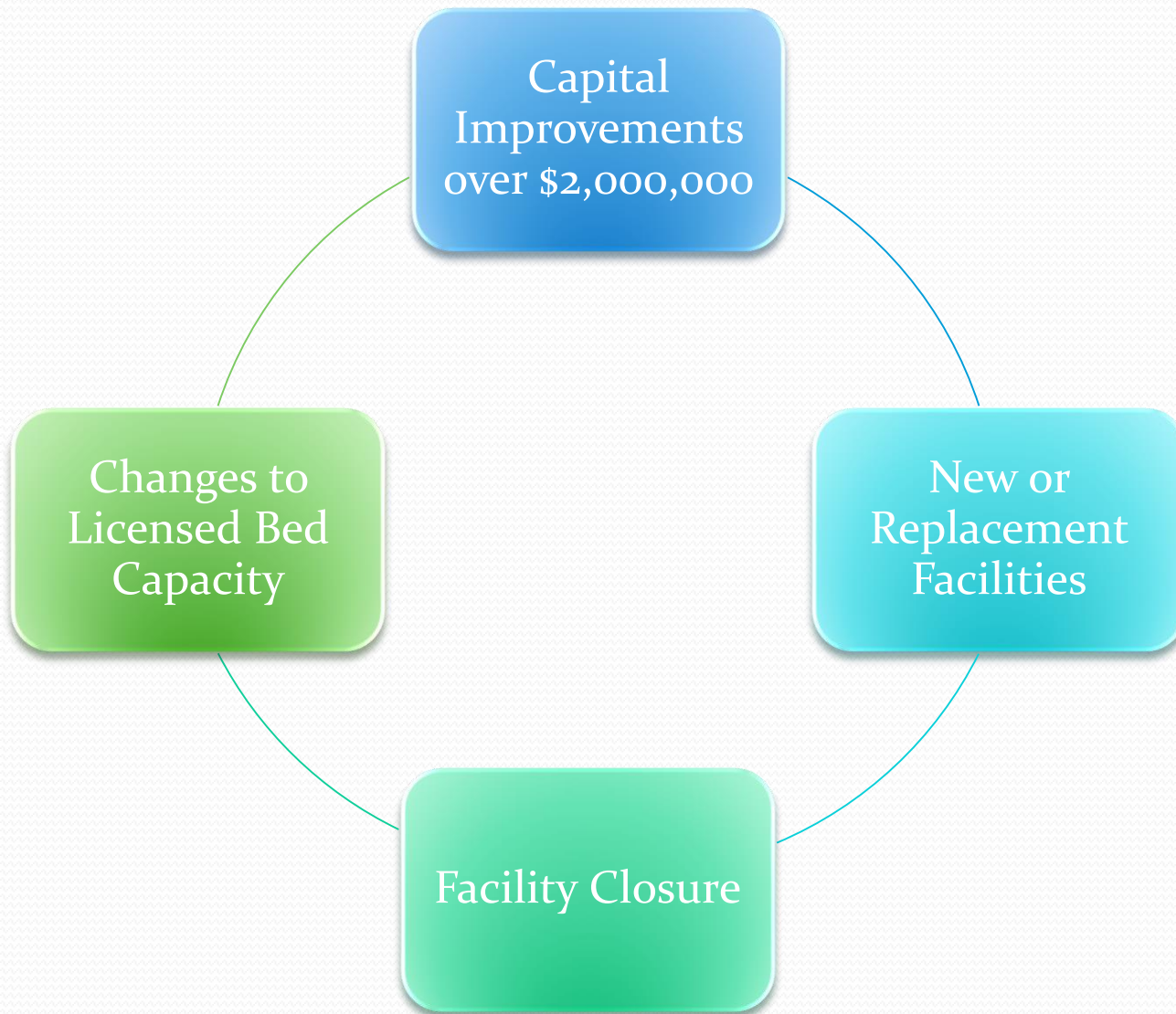


# Department of Social Services

## Reimbursement and Certificate of Need

The CON is a formal agreement approving, denying, or modifying a long term care facility's request to undertake an expansion or reduction in services.

# Certificate of Need Process



# When is a CON Needed?

**Certificate of Need approval is required prior to undertaking any of the following activities:**

- Capital expenditure exceeding \$2 million.
- Capital expenditure exceeding \$1 million, which increases facility square footage by five thousand square feet or five percent of existing square footage.
- Introduction of any new or additional function or service.
- Termination of a health service including facility closure or a substantial decrease in total bed capacity by a facility or institution.
- New nursing facilities associated with a continuing care facility provided such beds do not participate in the Medicaid program.
- Medicaid certified beds to be relocated from one licensed nursing facility to another licensed nursing facility to meet a priority need identified in the strategic plan developed pursuant to subsection (c) of section 17b-369 of the Connecticut General Statutes.
- Medicaid beds to be relocated from a licensed facility to a new licensed facility, provided at least one currently licensed facility is closed in the transaction, and the new facility bed total is not less than 10% lower than the total number of beds relocated.
- Requests to license a new residential care facility or intermediate care facility for the intellectually disabled.

# CON Timeline

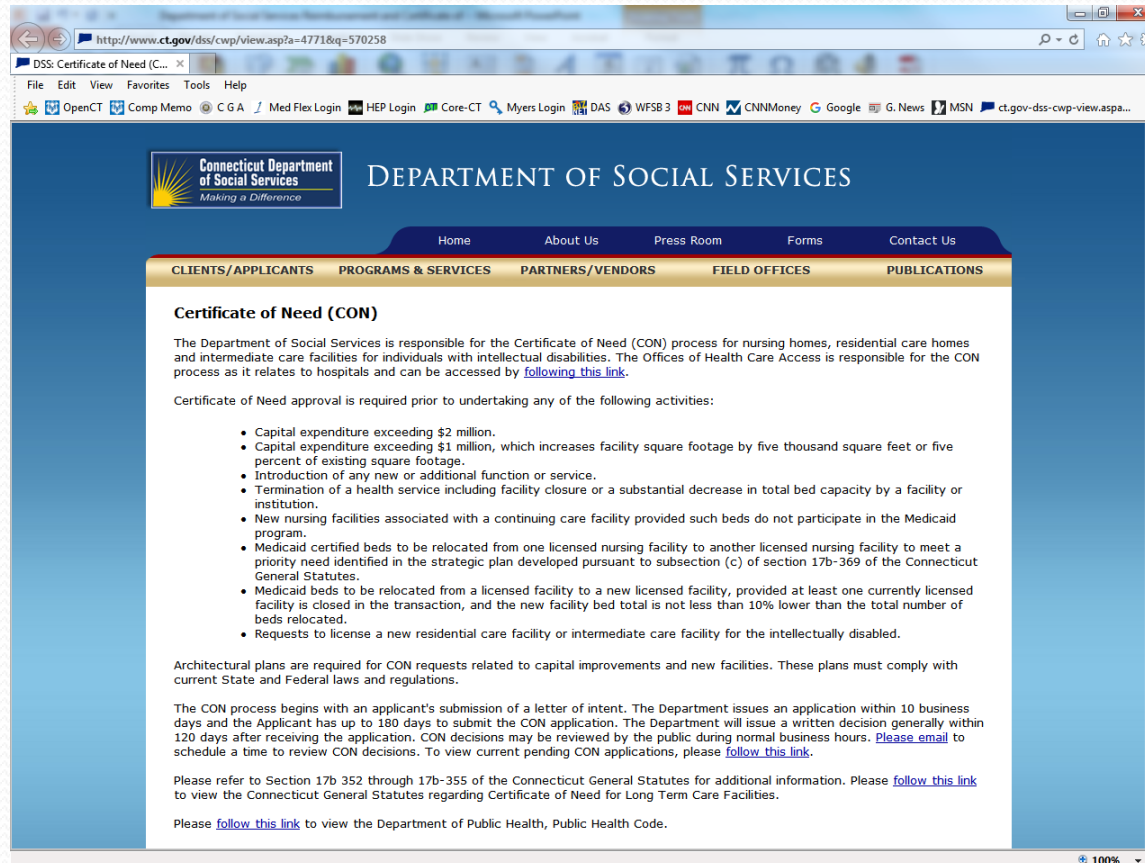
- The CON process begins with an applicant's submission of a letter of intent.
- The Department issues a CON application within 10 business days.
- A Closure Request requires a Public Hearing at the facility within 30 days of the letter of intent.
- The Applicant has up to 180 days to submit the CON application.
- The Department will issue a written decision generally within 120 days after receiving the application.

# Factors to Analyze

- The relationship of the request to the state health plan,
- The financial feasibility of the request and its impact on the applicant's rates;
- The impact to the quality, accessibility and cost-effectiveness of health care delivery in the region;
- Is there a clear public need for the request including a demonstrated bed need in the towns within a fifteen-mile radius, beds for current and prospective residents of the continuing care facility;
- The impact to the applicant's current utilization statistics,
- The business interests of all owners; and
- Any other factors the Department deems relevant to the request

# CON Web Pages

The Department of Social Service maintains web pages to share information on the CON process and provide access to information on specific CONs where there is public interest.



The screenshot shows a web browser window displaying the Connecticut Department of Social Services website. The browser's address bar shows the URL: <http://www.ct.gov/dss/cwp/view.asp?a=4771&eq=570258>. The browser's title bar reads "DSS: Certificate of Need (C...". The website's header features the Connecticut Department of Social Services logo with the tagline "Making a Difference" and the text "DEPARTMENT OF SOCIAL SERVICES". Below the header is a navigation menu with links for Home, About Us, Press Room, Forms, and Contact Us. A secondary navigation menu includes CLIENTS/APPLICANTS, PROGRAMS & SERVICES, PARTNERS/VENDORS, FIELD OFFICES, and PUBLICATIONS. The main content area is titled "Certificate of Need (CON)" and contains the following text:

The Department of Social Services is responsible for the Certificate of Need (CON) process for nursing homes, residential care homes and intermediate care facilities for individuals with intellectual disabilities. The Offices of Health Care Access is responsible for the CON process as it relates to hospitals and can be accessed by [following this link](#).

Certificate of Need approval is required prior to undertaking any of the following activities:

- Capital expenditure exceeding \$2 million.
- Capital expenditure exceeding \$1 million, which increases facility square footage by five thousand square feet or five percent of existing square footage.
- Introduction of any new or additional function or service.
- Termination of a health service including facility closure or a substantial decrease in total bed capacity by a facility or institution.
- New nursing facilities associated with a continuing care facility provided such beds do not participate in the Medicaid program.
- Medicaid certified beds to be relocated from one licensed nursing facility to another licensed nursing facility to meet a priority need identified in the strategic plan developed pursuant to subsection (c) of section 17b-369 of the Connecticut General Statutes.
- Medicaid beds to be relocated from a licensed facility to a new licensed facility, provided at least one currently licensed facility is closed in the transaction, and the new facility bed total is not less than 10% lower than the total number of beds relocated.
- Requests to license a new residential care facility or intermediate care facility for the intellectually disabled.

Architectural plans are required for CON requests related to capital improvements and new facilities. These plans must comply with current State and Federal laws and regulations.

The CON process begins with an applicant's submission of a letter of intent. The Department issues an application within 10 business days and the Applicant has up to 180 days to submit the CON application. The Department will issue a written decision generally within 120 days after receiving the application. CON decisions may be reviewed by the public during normal business hours. [Please email](#) to schedule a time to review CON decisions. To view current pending CON applications, please [follow this link](#).

Please refer to Section 17b 352 through 17b-355 of the Connecticut General Statutes for additional information. Please [follow this link](#) to view the Connecticut General Statutes regarding Certificate of Need for Long Term Care Facilities.

Please [follow this link](#) to view the Department of Public Health, Public Health Code.

The browser's status bar at the bottom right shows a zoom level of 100%.

# CON 2014 - 2016 CON Decisions

- 10-20 CON requests annually
- 2 Facility Closures for -210 beds
- 7 Bed Reductions for -148 beds
- 6 Capital Improvements totaling \$44.7 million
- 4 New RCH facilities
- 5 Extensions or Modifications
- 1 New 280 bed nursing home for \$64 million
- 2 Denials for Capital Improvements
- 2 Pending Applications