

STATE OF CONNECTICUT OFFICE OF STATE ETHICS

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Former Department of Mental Health and Addiction Services Employee Dr. Manuel Rosales pays \$5K to Settle Ethics Code Violations

Hartford – Manuel Rosales, of Milford, Connecticut a former Supervising Psychologist at the Greater Bridgeport Community Mental Health Center Department – a state facility operated by the Department of Mental Health and Addiction Services (DMHAS) - paid a \$5,000 penalty for violating Sections 1-84(b) and (c) of the Code of Ethics (\$3,500 for his violations of Section 1-84 (c) and \$1,500 for his violations of Section 1-84 (b)).

From 2010 through 2014, while a state employee, Rosales owned and operated a private clinical practice where he was compensated for providing private clinical services. During that time period, Dr. Rosales utilized state resources, including his state-issued computer, state-provided e-mail account, and state-provided office space, in order to conduct his private business. Under Section 1-84 (c) of the Code, a public official or state employee is prohibited from using his state position - including state resources and offices - to obtain personal financial gain.

Rosales also violated Section 1-84 (b) which prohibits a public official or state employee from accepting outside employment that will impair his independence of judgment as to his official duties or employment. On several occasions, Rosales performed psychological evaluations in his private capacity on individuals who were, at the same time, clients of DMHAS. His private evaluation of DMHAS clients had a clear possibility of affecting the DMHAS clients' access to state funds and services, thereby impairing his judgment as to his state responsibilities.

Additionally, the investigation revealed, Rosales took on clients in his private practice who were referred to him by DMHAS employees.

"State employees, who turn their offices into private, for-profit businesses will face significant penalties," said Executive Director Carol Carson. The Code of Ethics is clear that while state employees may have other jobs, their state jobs must come first. Preserving the independence of a state employee's judgment is not just part of the law, it is critical to maintaining the integrity of the public workforce."

Through a separate but related personnel action, Rosales lost his state position and is no longer a state employee.

View: Stipulation and Consent Order 2014-08

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The Connecticut Office of State Ethics mission is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Code of Ethics. To contact us please visit our website at www.ct.gov/ethics or call us at (860) 263-2400.