

STATE OF CONNECTICUT OFFICE OF STATE ETHICS

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Multiple Client Lobbyists fined by the Office of State Ethics For Failing to Report Meal Expenses At 2012 Democratic National Convention

Hartford – Boehringer Ingelheim Pharmaceuticals, Inc. (Boehringer), CPV Towantic LLC (CPV Towantic), CPV Danbury (CPV Danbury), and United Healthcare Services, Inc. (United Healthcare), all client lobbyist registrants, violated the Code of Ethics when each failed to report expenditures associated with receptions that each hosted during the 2012 Democratic National Convention. In addition, CPV Towantic and CPV Danbury registered as client lobbyists 23 days late. Boehringer and United Healthcare paid \$5,000 civil penalties, while CPV Towantic and CPV Danbury together paid a \$5,230 civil penalty.

In 2012, Boehringer sponsored a breakfast, CPV Towantic and CPV Danbury, both subsidiaries of CPV Power Development, Inc., together sponsored a breakfast, and United Healthcare sponsored a dinner in Charlotte, North Carolina during the 2012 Democratic National Convention. At each meal, multiple Connecticut state employees, public officials and/or members of a public official's staff or immediate family attended. However, these client lobbyist did not report the expenditures on their respective lobbyist financial reports. All but United Healthcare failed to provide notification to attendees that the meals provided exceeded \$10 in value, as required by law.

According to the Stipulation and Consent Orders each violated General Statutes §§ 1-96 (a) and (e) which mandates reporting of lobbying expenditures. All but United Healthcare also violated § 1-97 (d) which requires notification to public officials when a lobbyist has provided meals valued at more than \$10. Finally, CPV Towantic violated § 1-95 (a) which requires registration every two years or prior to commencement of lobbying, whichever comes first. Each company has since submitted the proper filings and notifications. In each of the matters, the client lobbyists stated their action was inadvertent and/or denied that it was a violation.

"A total of six client lobbyists have now paid penalties for violating the reporting requirements of the Code of Ethics for Lobbyists for events during the 2012 Democratic National Convention," said Carol Carson, Executive Director, Office of State Ethics. "It is worth noting that the public officials and employees that attended these meals did not violate the Code, because the total cost per person did not exceed the \$50 limit."

View: Stipulation and Consent Order 2013-19

Stipulation and Consent Order 2013-20

Stipulation and Consent Order 2013-21 and 2013-22

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The Connecticut Office of State Ethics mission is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Code of Ethics. To contact us please visit our website at www.ct.gov/ethics or call us at (860) 263-2400.