



DOCKET NUMBER 2010-45)	OFFICE OF STATE ETHICS
)	
IN THE MATTER OF A)	18-20 TRINITY STREET
)	
COMPLAINT AGAINST)	HARTFORD, CT 06106
)	
MARYANN DOUGLAS)	NOVEMBER 2, 2010

STIPULATION AND CONSENT ORDER

Pursuant to the Code of Ethics, Connecticut General Statutes §§ 1-79, *et seq.*, Rick Morneau, a member of the public, filed a complaint (“Complaint”) with the Office of State Ethics (“OSE”) against Maryann Douglas (“Douglas” or “Respondent”), alleging violations of the Code of Ethics. Following a preliminary investigation conducted by the Enforcement Division of the OSE, the Ethics Enforcement Officer has probable cause to believe that the Respondent, a state marshal, did not fully and completely report on her Statement of Financial Interests (“SFI”) amounts and sources of income earned in her capacity as a state marshal, in violation of General Statutes § 1-83.

The Parties have entered into this Stipulation and Consent Order following issuance of the Complaint, but without adjudication of any issue of fact or law herein.

I. STIPULATION

The Ethics Enforcement Officer and the Respondent stipulate to the following:

1. At all times relevant hereto, Respondent was engaged in employment as a Connecticut state marshal.
2. Pursuant to General Statutes §§ 1-83 (a) (1) and (b) (2) and pursuant to Regulations of Connecticut State Agencies §§ 1-81-12 and 1-81-13, each marshal must file, by May 1 of the following year, a SFI disclosing the amounts, and sources of income that the marshal earned in the calendar year.
3. On May 1, 2009, the Respondent was required to file a SFI reflecting the amounts and sources of income earned in her capacity as state marshal during calendar year 2008.
4. On or about April 30, 2009, the Respondent filed a SFI with the OSE for calendar year 2008.
5. The SFI filed by Respondent for calendar year 2008 did not fully and completely reflect the income she received in her capacity as state marshal.
6. By filing an incomplete SFI for calendar year 2008, Respondent violated § 1-83 (a).
7. On May 1, 2010, the Respondent was required to file a SFI reflecting the amounts and sources of income earned in her capacity as state marshal during calendar year 2009.
8. On or about April 29, 2010, the Respondent filed a SFI with the OSE for calendar year 2009.
9. Respondent filed her calendar year 2009 SFI under penalty of false statement.
10. The SFI filed by the Respondent for calendar year 2009 did not fully and completely reflect the income she received in her capacity as state marshal.
11. By filing an incomplete SFI for calendar year 2009, Respondent violated § 1-83 (a).

12. Respondent admits the foregoing facts are true and admits that her conduct violated General Statutes § 1-83.

NOW THEREFORE, the Ethics Enforcement Officer of the Connecticut Office of State Ethics and the Respondent hereby enter into this Stipulation and Consent Order and hereby agree as follows:

II. JURISDICTION

1. The Ethics Enforcement Officer is authorized to investigate the Respondent's SFI reporting practices, and to enter into this Stipulation and Consent Order.
2. The provisions of this Stipulation and Consent Order apply to and are binding upon the Respondent.
3. The Respondent hereby waives all objections and defenses to the jurisdiction of the Ethics Enforcement Officer and the Office of State Ethics over matters addressed in this Stipulation and Consent Order.
4. The Respondent waives any rights she may have under General Statutes §§ 1-80, 1-82, 1-82a, 1-87 and 1-88, including the right to a hearing or appeal in this case, and agrees with the Ethics Enforcement Officer to an informal disposition of this matter as authorized by General Statutes § 4-177 (c).
5. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut seeks to enforce this Stipulation and Consent Order. The Respondent recognizes that the

Connecticut Superior Court has the authority to specifically enforce the provisions of this Stipulation and Consent Order, including the authority to award equitable relief.

6. The terms set forth herein are in addition to, and not in lieu of, any other existing or future statutory, regulatory, or other legal obligation that may be applicable to the Respondent.

IV. ORDER

NOW THEREFORE, pursuant to General Statutes § 4-177 (c), the OSE and Respondent agree to settle the matter in the manner described below:

1. Pursuant to General Statutes § 1-88 (a) (1), the OSE orders and the Respondent agrees to cease and desist from any future violation of General Statutes § 1-83.

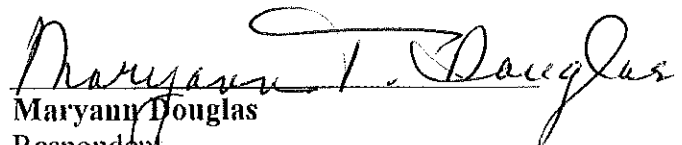
2. Pursuant to General Statutes § 1-88 (a) (3), the OSE orders and the Respondent agrees that the Respondent pay civil penalties to the State in the amount of one thousand, seven hundred and ninety-one dollars (\$1,791.00) for her violations of General Statutes § 1-83.

3. Respondent agrees to henceforth fully and accurately file her SFI including all amounts and sources of income earned in her capacity as a state marshal.

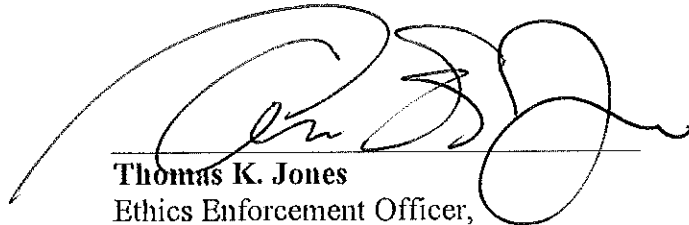
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WHEREFORE, the OSE and the Respondent hereby execute this Stipulation and Consent Order dated 11/2, 2010.

Dated: 11/2/10


Maryann Douglas
Respondent
P.O. Box 494
Plainville, CT 06062

Dated: 11/5/10


Thomas K. Jones
Ethics Enforcement Officer,
Enforcement Division,
State of Connecticut Office of State Ethics
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Hartford, CT 06106
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