



STATE OF CONNECTICUT  
STATE ETHICS COMMISSION

DOCKET NUMBER 98-10

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

ANDREW DE ROCCO

AUGUST 24, 1998

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent agree to settle this matter in the manner described below:

1. The Commission finds that the Respondent unintentionally violated the Code of Ethics for Public Officials as alleged in the Complaint dated July 10, 1998.

2. The Respondent and the Board of Governors of Higher Education state that they mistakenly believed that the Respondent could accept fees or honoraria for participation at the events in question and also use state time to attend these events.

3. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-82, 1-82a, 1-87 and 1-80, including any right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

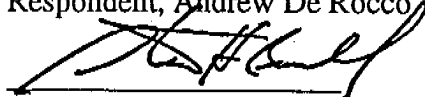
WHEREFORE, the State Ethics Commission enters and the Respondent agrees to the following order in lieu of any other action regarding this matter:

For Count One of the Complaint, the Commission directs the Respondent to return the honoraria, described in the Complaint, to their source. For Count Two of the Complaint, the Commission assesses a penalty of \$1000. The Respondent agrees to make these payments within thirty days of the signing of this Stipulation and Order, and henceforth to comply with the Code of the Ethics.

Andrew M. De Rocco  
Respondent, Andrew De Rocco

18.11X 98

Date



8-24-98

Date

State Ethics Commission  
By: Its Chairperson