



**STATE OF CONNECTICUT**  
*DEPARTMENT OF CONSUMER PROTECTION*

TO: ALL DCP EMPLOYEES

FROM: WILLIAM M RUBENSTEIN, COMMISSIONER

DATE: OCTOBER 24, 2012

SUBJ: GAMING ACTIVITIES (REVISED POLICY)

Effective immediately, any DCP employee who has the authority to make gaming related decisions, or whose public position exposes them to confidential information which may be used to obtain financial gain when participating in certain gaming activities, are prohibited from participating in such actions. The reason for this prohibition is to prevent any conflicts of interest and to avoid any appearance of impropriety. Specifically:

**Lottery:**

No employee in the sections, programs or divisions and job classifications listed below, or their immediate family member (related by blood, marriage or adoption, or person engaged in a domestic partnership) domiciled in their household, shall purchase any Lottery ticket issued by the Connecticut Lottery Corporation, nor shall they be paid any prize for any Connecticut Lottery Corporation games.

**Regulation Program:**

- Consumer Protection Division Director (Gaming);
- Consumer Protection Gaming Regulation Supervisor;
- Consumer Protection Lead Gaming Regulation Officer;
- Consumer Protection Gaming Regulation Officer.

**Gaming Surveillance, Enforcement, Assurance Program:**

- Manager of Surveillance, Enforcement and Assurance Program;
- Police Sergeant;
- Police Officer;
- Consumer Protection Gaming Investigator;
- Accounting Manager;
- License and Applications Supervisor.

**Auditing Section:**

- Manager of Accounting, Auditing and Information Technology;
- Special Revenue Assistant Unit Head (Technical Services);
- Supervising Accounts Examiner;
- Associate Accounts Examiner;
- Accounts Examiner.

**Legal Division:**

- Special Revenue Assistant Unit Head (Hearings);



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- Paralegal Specialist 1 (assigned to Gaming);
- Paralegal Specialist 2 (assigned to Gaming).

**Charitable Games:**

- No charitable program employee in the job classifications of Consumer Protection Division Director (Gaming), License and Applications Supervisor, and Consumer Protection Gaming Regulation Supervisor may participate in any charitable games activity. DCP employees are expected to notify their supervisor immediately for potential recusal should a matter come before them which involves a game they play or an organization they are involved with.

**Off Track Betting (OTB):**

No employee in the Section, Program and job classifications below shall participate in any gambling activities conducted at any OTB facility;

Regulation Program:

- Consumer Protection Division Director;
- Consumer Protection Gaming Regulation Supervisor;
- Consumer Protection Gaming Regulation Officer;
- Off Track Betting Supervisor;
- Teletrack Line Supervisor.

Gaming Surveillance, Enforcement, Assurance Program:

- Manager of Surveillance, Enforcement and Assurance Program;
- Police Sergeant;
- Police Officer;
- Consumer Protection Gaming Investigator;
- License and Applications Supervisor.

Auditing Section:

- Manager of Accounting, Auditing and Information Technology;
- Special Revenue Assistant Unit Head (Technical Services);
- Supervising Accounts Examiner;
- Associate Accounts Examiner;
- Accounts Examiner.

Legal Division:

- Special Revenue Assistant Unit Head (Hearings);
- Paralegal Specialist 1 (assigned to Gaming)
- Paralegal Specialist 2 (assigned to Gaming).

**Casino:**

No Liquor Control Supervising Agent or Liquor Control Casino may engage in any gaming activities at the casino to which he/she is assigned whether on or off duty. Managers assigned to the Liquor Control Division may not engage in gaming activities at either of the casinos.



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This prohibition does not include non-gaming entertainment or Bingo.

No employee in the Section, Program and Job classifications below may participate in any gaming activities at either of the casinos weather on or off duty.

**Regulation Program:**

- Consumer Protection Division Director;
- Consumer Protection Gaming Regulation Supervisor;
- Consumer Protection Lead Gaming Regulation Officer;
- Consumer Protection Gaming Regulation Officer.

**Auditing Section:**

- Manager of Accounting, Auditing and Information Technology;
- Special Revenue Assistant Unit Head (Technical Services);
- Supervising Accounts Examiner;
- Associate Accounts Examiner;
- Accounts Examiner.

**Gaming Surveillance, Enforcement, Assurance Program:**

- Manager of Surveillance, Enforcement and Assurance Program;
- Police Sergeant;
- Police Officer;
- Consumer Protection Gaming Investigator;
- Accounting Manager;
- License and Applications Supervisor.

**Legal Division:**

- Special Revenue Assistant Unit Head (Hearings);
- Paralegal Specialist 1 (assigned to Gaming);
- Paralegal Specialist 2 (assigned to Gaming).

No employee listed above may have a personal identification number, permit or registration issued by the Department of Consumer Protection.

No employee assigned to the Gaming Division; Gaming Surveillance, Enforcement, Assurance Program; or Auditing Section of the Department of Consumer Protection may be licensed or employed within any legalized gambling operation regulated by the Department of Consumer Protection, sell lottery tickets or own stocks in any business involved in gaming activities in Connecticut.

The provisions listed above shall apply to the individuals in the named positions, as well as any individuals in successor or reclassified positions having the same job duties and responsibilities.



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All DCP employees are prohibited from participating in gaming activities while on duty, regardless of the division they are assigned or their job classification. This prohibition includes paid breaks. Any permissible DCP employee who chooses to participate in gaming activities while they are on an unpaid break or off-duty must do so without any visible DCP identification (such as an identification badge or uniform).

Solicitation or acceptance of any gratuity in any form by an employee for services rendered is expressly prohibited.

Failure to adhere to this policy will result in appropriate disciplinary action, up to and including dismissal from State service.