

98-11



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

October 5, 1998

P R E S S R E L E A S E

On July 20, 1998, Ethics Commission Attorney Rachel S. Rubin filed a Complaint against Gerald C. Werner, a professor at Southern Connecticut State University. The Complaint alleged that a business with which Mr. Werner is associated entered into a contract with Connecticut State University without following the open and public bid process required by Conn. Gen. Stat. §1-84(i) of the Code of Ethics for Public Officials.

On October 2, 1998, the Ethics Commission and Mr. Werner settled this matter by entering into a Stipulation and Order. The Respondent has been ordered to pay a civil penalty of \$1,000.00.

Copies of the Complaint and the Stipulation and Order are attached.

FOR MORE INFORMATION CALL:

Alan S. Plofsky
Executive Director and General Counsel

or

Rachel S. Rubin
Managing Director & Commission Attorney
566-4472



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 98-11) STATE ETHICS COMMISSION
IN THE MATTER OF A) 20 TRINITY STREET
COMPLAINT AGAINST) HARTFORD, CT 06106
GERALD C. WERNER) SEPTEMBER 25, 1998

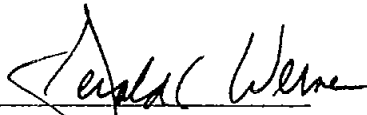
STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent Gerald C. Werner agree to settle this matter in the manner described below:

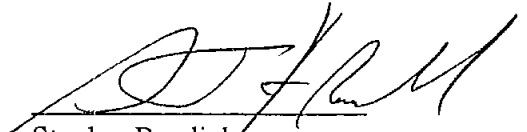
1. The Commission finds that the Respondent unintentionally violated the Code of Ethics for Public Officials as alleged in the attached Complaint dated July 20, 1998.
2. The Respondent acknowledges that he violated the Code of Ethics, as alleged, but states that any violation was unintentional. He further states that he entered into the contract at the State University System's request and was unaware of the requirements of Conn. Gen. Stat. §1-84(i).
3. The State Ethics Commission acknowledges that this agreement does not in any way preclude the Respondent from entering into future contracts with the State, as long as the requirements of Conn. Gen. Stat. §1-84(i) are followed.
4. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-82, 1-82a, 1-87 and 1-80, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and Gerald C. Warner agrees to, the following orders in lieu of any other action it is authorized to take with regard to this Complaint:

The Respondent is ordered to pay the State Ethics Commission \$2000.00, within thirty days, with \$1000.00 suspended in recognition of the University's failure to also adhere to the requirements of Conn. Gen. Stat. §1-84(i).


Gerald C. Warner

9/28/98
Dated


Stanley Burdick
Chairperson, State Ethics Commission

10/2/98
Dated



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

CONFIDENTIAL

DOCKET NUMBER 98-10¹¹) STATE ETHICS COMMISSION
IN THE MATTER OF A) 20 TRINITY STREET
COMPLAINT AGAINST) HARTFORD, CT 06106
GERALD C. WERNER) JULY 23, 1998

COMPLAINT

1. At all times relevant to this complaint herein, the Respondent was a state employee subject to the requirements of the Code of Ethics for Public Officials, Chapter 10, Part I, General Statutes.
2. Pursuant to Conn. Gen. Stat. §1-79(b), Werner & Associates is a business with which the Respondent is associated.
3. Pursuant to Conn. Gen. Stat. §1-84(i), a state employee or a business with which the state employee is associated may not enter into a contract with the state, valued at one hundred dollars or more, with exceptions not pertinent, unless it is awarded through an open and public process.
4. On or about February 2, 1998, Werner & Associates entered into a consulting contract valued in excess of one hundred dollars with Connecticut State University.
5. The contract described in paragraph four above was not awarded through an open and public process.
6. By the actions described in paragraphs four and five above, the Respondent violated Conn. Gen. Stat. §1-84(i).