



STATE OF CONNECTICUT  
STATE ETHICS COMMISSION

94-3

October 5, 1994

P R E S S R E L E A S E

On July 20, 1994, Ethics Commission Attorney Rachel S. Rubin filed a complaint (Docket No. 94-3) against Mr. Peter Waldron, former Assistant Executive Director of the Legislative Management Committee. The complaint alleged that Mr. Waldron, on numerous occasions, had used his public office or position for personal financial gain.

On October 3, 1994, the Ethics Commission settled this matter with Mr. Waldron. Although Mr. Waldron denied any intentional violations, the Commission found that he had violated Conn. Gen. Stat. Section 1-84(c) of the Code of Ethics for Public Officials and ordered that Mr. Waldron pay a civil penalty of \$2,000. A copy of the complaint and stipulation and order is attached.

FOR FURTHER INFORMATION CALL:

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Hartford, Connecticut 06106-1660

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STATE OF CONNECTICUT  
STATE ETHICS COMMISSION

DOCKET NUMBER 94-3 ) STATE ETHICS COMMISSION  
 IN THE MATTER OF A ) 97 ELM STREET (REAR)  
 COMPLAINT AGAINST ) HARTFORD, CT 06106  
 PETER WALDRON ) SEPTEMBER, 2, 1994

STIPULATION AND ORDER

1. The Commission finds that the Respondent used his state position for personal financial gain in violation of Conn. Gen. Stat. §1-84(c), as alleged in the attached Complaint dated July 20, 1994.

2. For purposes of this Stipulation, the Respondent does not contest the allegations but denies any intentional violation of the Code of Ethics. The Respondent admits, however, that he exercised poor judgment regarding his relationship with both Corporate Maintenance Services, Inc. and Mushroom Landscaping. The Respondent also admits that he exercised poor judgment by utilizing the state storage facility for his personal use.

3. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-80, 1-82, 1-82a, and 1-87, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

NOW THEREFORE, pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent Peter Waldron agree to settle this matter in the manner described below:

The Ethics Commission orders and the Respondent agrees to pay to the Commission a civil penalty of \$2,000.00.

*Peter Waldron*  
Respondent

9/27/94  
Date

*RELM*  
Chairperson, Ethics Commission

9-2-94  
Date

Phone: (203) 566-4472

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CONNECTICUT STATE ETHICS COMMISSION  
97 ELM STREET (REAR)  
HARTFORD, CONNECTICUT 06106  
TELEPHONE NUMBER 566-4472

COMPLAINT

THE STATE ETHICS COMMISSION ISSUES A COMPLAINT ALLEGING A VIOLATION OF:

       <sup>x</sup> THE CODE OF ETHICS FOR PUBLIC OFFICIALS AND STATE EMPLOYEES, CHAPTER 10, PART I, GENERAL STATUTES

       THE CODE OF ETHICS FOR LOBBYISTS, CHAPTER 10, PART II, GENERAL STATUTES

TIME AND DATE MATTERS COMPLAINED OF OCCURRED: various times during the last three years.

PLACE VIOLATION OCCURRED: Hartford, Connecticut

PERSONS INVOLVED: Peter Waldron

WITNESSES: list to be provided prior to any hearing in this matter.

CIRCUMSTANCES WHICH INDICATE THAT THE CODE OF ETHICS DESIGNATED ABOVE WAS VIOLATED ARE AS FOLLOWS (A SHORT, PLAIN STATEMENT ALLEGING A VIOLATION OF CHAPTER 10, GENERAL STATUTES):

see attached statement

(OVER)

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Rachel S. Rubin  
SIGNATURE

7/20/94  
DATE

COMPLAINANT'S NAME AND ADDRESS: STATE ETHICS COMMISSION  
97 ELM STREET (REAR)  
HARTFORD, CT 06106

COMPLAINANT'S TELEPHONE NUMBER: 566-4472

C O N F I D E N T I A L

DOCKET NUMBER 94-3 ) STATE ETHICS COMMISSION  
IN THE MATTER OF A ) 97 ELM STREET (REAR)  
COMPLAINT AGAINST ) HARTFORD, CT 06106  
PETER WALDRON ) JULY 20, 1994

COMPLAINT

1. At all times relevant to the complaint herein, Peter Waldron (hereinafter the "Respondent") was a state employee subject to the requirements of the Code of Ethics for Public Officials, Chapter 10, Part I, General Statutes.
2. The Respondent was the Assistant Executive Director of the Legislative Management Committee and was responsible for overseeing and coordinating all maintenance and construction activities at the State Capitol and Legislative Office Building ("LOB").
3. Corporate Maintenance Services, Inc. (hereinafter "CMS"), had contracts through the Legislative Management Committee to provide building maintenance services; Mushroom Landscaping had contracts through the Legislative Management Committee to provide grounds maintenance and snow removal.
4. The Respondent was responsible for the general administration of the contracts described in paragraph three above.
5. The Respondent stored a table and six chairs which he owned in a storage facility leased by the State of Connecticut for approximately 38 months and did not reimburse the State for the use of that space.
6. Pursuant to the Respondent's instructions, the table and six chairs described in paragraph five above were transported to the LOB dock from the State leased storage facility along with other State property needed at the LOB.
7. The actions described in paragraphs five and six above were a use of the Respondent's state office and position for personal financial gain in violation of Conn. Gen. Stat. §1-84(c).

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8. One or more employees of CMS transported the table and six chairs from the loading dock at the LOB to the Respondent's residence using a CMS van.
9. Mushroom Landscaping provided snow plowing services on one or more occasions for the Respondent's personal residence for which the Respondent was not billed.
10. Mushroom Landscaping provided lawn services at the Respondent's personal residence from on or about June, 1993 through November, 1993. The Respondent delayed payment for these services until on or about February, 1994.
11. CMS provided the Respondent with the personal use of a Jaguar automobile to allow the Respondent to decide if he wanted to assume the lease payments of the car. The Respondent, however, had possession of the Jaguar for several weeks, a period far in excess of the time necessary to adequately inspect the automobile and during which time he did not have another vehicle for personal use. Nonetheless, the Respondent did not reimburse CMS for use of the automobile.
13. An employee of CMS fashioned a golf practice device for the Respondent while on duty to provide maintenance services at the LOB.
14. From time to time, the Respondent used various CMS employees to perform personal errands while on duty to perform maintenance services at the LOB.
15. The course of conduct of utilizing state employees and/or the services of Mushroom Landscaping and CMS for his own personal needs as more fully described in paragraphs eight through fourteen above were a use of the Respondent's state office or position for personal financial gain in violation of Conn. Gen. Stat. §1-84(c).