



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

92-15

March 1, 1993

P R E S S R E L E A S E

On September 17, 1992, State Ethics Commission Staff Attorney Marianne D. Smith filed a complaint (Docket No. 92-15) against Janice P. Hills, former Deputy Executive Director and Director of Research and Development of the State of Connecticut Commission on Hospitals and Health Care. A copy of the complaint is attached. Following a confidential probable cause hearing on the matter the Ethics Commission terminated its preliminary investigation and found probable cause to believe that Ms. Hills violated Conn. Gen. Stat. §1-84b(b) as alleged in the complaint.

On March 1, 1993 the Ethics Commission and Ms. Hills settled this matter by entering into a Stipulation and Order. Under the terms of the settlement, the Respondent agreed to pay a civil penalty in the amount of \$750. Copies of the Commission's specific findings and the Stipulation and Order are attached.

FOR FURTHER INFORMATION CALL:

Alan S. Plofsky, Esq.
Executive Director and General Counsel
State Ethics Commission

or

Marianne D. Smith
Staff Attorney
State Ethics Commission

566-4472

000375



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 92-15) STATE ETHICS COMMISSION
 IN THE MATTER OF A) 97 ELM STREET (REAR)
 COMPLAINT AGAINST) HARTFORD, CT 06106
 JANICE P. HILLS) SEPTEMBER 17, 1992

COMPLAINT

COUNT ONE

1. On February 29, 1992 Janice P. Hills (hereinafter "the respondent") resigned from the Commission on Hospitals and Health Care (hereinafter "CHHC") as Deputy Executive Director and Director of Research and Development.

2. As an employee of CHHC, the respondent was a state employee as that term is defined in Conn. Gen. Stat. §1-79(q), serving in the executive branch of state government.

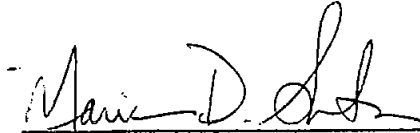
3. In response to a legislative mandate, CHHC published notice of a Request for Proposals ("RFP") for a contract to create a Statewide Health Facilities Plan.

4. In response to its RFP, CHHC, in July, 1992, received a proposal from Arthur D. Little, Inc. which identified the respondent as a consultant on the project and as part of the "key staff and central project group," and referenced her previous affiliation with CHHC. The proposal stated that the respondent would work 40 hours on the project at an hourly rate of \$75, for a total of \$3,000.

5. Pursuant to Conn. Gen. Stat. §1-84b(b), no former executive branch public official shall, for one year after leaving state service, represent anyone, other than the state, for compensation before the agency in which she served at the time of her termination of service, concerning any matter in which the state has a substantial interest.

6. The creation of a Statewide Health Facilities Plan is a matter in which the State has a substantial interest.

7. The respondent's participation in Arthur D. Little, Inc.'s submission to CHHC less than one year after the termination of her state service constituted representation of Arthur D. Little, Inc. before her former agency, in violation of Conn. Gen. Stat. §1-84b(b).



Marianne D. Smith
Staff Attorney

9/17/92
Dated



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

ETHICS COMMISSION PROBABLE CAUSE FINDINGS

DOCKET NO: 92-15

The Commission finds that there exists probable cause to believe that:

1. On February 29, 1992 Janice P. Hills (hereinafter "the Respondent") resigned from the Commission on Hospitals and Health Care where, in her role as Deputy Executive Director and Director of Research and Development, she had been a state employee within the meaning of Conn. Gen. Stat. §1-79(q), serving in the executive branch of state government.
2. In response to a legislative mandate, the Commission on Hospitals and Health Care published notice of a Request for Proposals for a contract to create a Statewide Health Facilities Plan, a matter in which the State has a substantial interest.
3. In response to its Request for Proposals, the Commission on Hospitals and Health Care, in July, 1992, received a proposal from Arthur D. Little, Inc. which identified the respondent as a project participant, referenced her previous affiliation with the Commission and stated that she would work 40 hours on the project at an hourly rate of \$75, for a total of \$3,000.
4. The respondent's participation in the Arthur D. Little, Inc. submission to the Commission on Hospitals and Health Care less than one year after the termination of her state service constituted representation of Arthur D. Little, Inc. before her former agency, for compensation, in violation of Conn. Gen. Stat. §1-84b(b).

By order of the Commission

A handwritten signature in cursive script that reads "Cindy Cannata".

Cindy Cannata
Clerk of the Commission