



STATE OF CONNECTICUT  
STATE ETHICS COMMISSION

92-12

November 2, 1992

P R E S S R E L E A S E

On September 14, 1992, Ethics Commission Staff Attorney Rachel S. Rubin filed a complaint (Docket No. 92-12) against Ms. Betty Baronowski, a former state employee in the Department of Administrative Services. The Complaint alleged violations of the post-state employment provisions of the Code of Ethics for Public Officials.

On November 2, 1992, the Ethics Commission and the Respondent settled this matter by entering into a Stipulation and Order (copies of the Complaint and Stipulation are attached). Under the Stipulation, the Commission found violations as alleged in the Complaint. The Respondent stated that the violations were unintentional.

FOR FURTHER INFORMATION CALL:

Rachel S. Rubin, Esq.  
State Ethics Commission  
566-4472



STATE OF CONNECTICUT  
STATE ETHICS COMMISSION

DOCKET NUMBER 92-12 ) STATE ETHICS COMMISSION  
 IN THE MATTER OF A ) 97 ELM STREET (REAR)  
 COMPLAINT AGAINST ) HARTFORD, CONN. 06106  
 BETTY J. BARONOWSKI ) OCTOBER 28, 1992

STIPULATION AND AGREEMENT

1. The Commission finds that the Respondent violated the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-84b(b), as alleged in paragraphs 5, 6, 7, and 8 of the attached complaint.
2. The Respondent admits she unintentionally violated Connecticut General Statute §1-84b(b) by engaging in the conduct alleged in paragraphs 5, 6, 7 and 8 of the attached Complaint. The Respondent denies any intentional violation of any prohibition in the Code of Ethics for Public Officials.
3. The Respondent waives any rights she may have under Connecticut General Statutes §§1-80, 1-82, 1-82a and §1-87 including the right to a hearing or appeal on this case and agrees with the Commission to an informal disposition of this matter as authorized by Connecticut General Statutes §4-177(c). The Commission waives its right under §1-88(c) to report its findings to the Chief State's Attorney.

WHEREFORE, the State Ethics Commission enters, and Ms. Betty Baronowski agrees to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the Respondent to (1) pay a civil penalty of \$1,000 within thirty days; and (2) henceforth comply with the requirements of Connecticut General Statutes §1-84b of the Code of Ethics for Public Officials.

Betty Baronowski  
Respondent

10/30/92  
Dated

[Signature]  
Chairperson  
State Ethics Commission

11/2/92  
Dated

**CONFIDENTIAL**

CONNECTICUT STATE ETHICS COMMISSION  
97 ELM STREET (REAR)  
HARTFORD, CONNECTICUT 06106  
TELEPHONE NUMBER 566-4472

Docket No. 92-12

COMPLAINT

THE STATE ETHICS COMMISSION ISSUES A COMPLAINT ALLEGING A VIOLATION OF:

      x      THE CODE OF ETHICS FOR PUBLIC OFFICIALS AND STATE EMPLOYEES, CHAPTER 10, PART I, GENERAL STATUTES

            THE CODE OF ETHICS FOR LOBBYISTS, CHAPTER 10, PART II, GENERAL STATUTES

TIME AND DATE MATTERS COMPLAINED OF OCCURRED:

Various times during the months of May and June, 1992.

PLACE VIOLATION OCCURRED:

Office of the Dept. of Administrative Services, Hartford, CT

PERSONS INVOLVED:

Ms. Betty Baronowski

WITNESSES:

Provided in advance of any hearing on this matter.

CIRCUMSTANCES WHICH INDICATE THAT THE CODE OF ETHICS DESIGNATED ABOVE WAS VIOLATED ARE AS FOLLOWS (A SHORT, PLAIN STATEMENT ALLEGING A VIOLATION OF CHAPTER 10, GENERAL STATUTES):

See attached.

(OVER)

**000350**

Rachel A. Rubin  
SIGNATURE

9-14-92  
DATE

COMPLAINANT'S NAME AND ADDRESS: STATE ETHICS COMMISSION  
97 ELM STREET (REAR)  
HARTFORD, CT 06106

COMPLAINANT'S TELEPHONE NUMBER: 566-4472

C O N F I D E N T I A L

DOCKET NO. 92-12 ) STATE ETHICS COMMISSION  
IN THE MATTER OF A ) 97 ELM STREET (REAR)  
COMPLAINT AGAINST ) HARTFORD, CT 06106  
BETTY J. BARONOWSKI ) AUGUST 3, 1992

COMPLAINT

It is hereby alleged that:

1. Ms. Betty J. Baronowski (hereinafter referred to as the "Respondent") was from September 20, 1990 until February 4, 1992 an employee of the Department of Administrative Services, Workers Compensation Unit (hereinafter referred to as "DAS").

2. By virtue of the Respondent's position, she was a "state employee" as defined by Conn. Gen. Stat. §1-79 and subject to the Code of Ethics for Public Officials, Chapter 10, Part I, General Statutes, including the post-employment restrictions of Conn. Gen. Stat. §1-84b.

3. After the Respondent's termination from state service, she began employment with The Mathog & Moniello Companies, Inc. (hereinafter referred to as "the Company").

4. On May 26, 1992, DAS issued Request for Proposal #20 for the State Employees Managed Care Program for Workers' Compensation (hereinafter referred to as the "RFP").

5. On May 26, 1992, at the Respondent's request, DAS mailed a copy of the RFP to the Respondent at the office of the Company.

6. After May 26, 1992 and prior to the submission of a bid, the Respondent contacted the Administrator, Workers' Compensation Unit for DAS and informed him that her current employer, the Company, would be involved in submitting a bid in response to the RFP.

7. On June 26, 1992, the Respondent hand-delivered the response to the RFP at DAS for Network Health Services, Inc. which described the Company as an affiliated subcontractor.

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8. The response described in paragraph 7 listed the Respondent as one of the key personnel to be involved in the project, and included the Respondent's biography which described her former state position.

9. Each of the activities alleged in paragraphs 5, 6, 7, and 8 above are violations of Conn. Gen. Stat. §1-84b(b) which prohibits a state employee, for one year after leaving state service, from representing anyone, other than the State, for compensation before the agency in which he served at the time of his termination of service, concerning any matter in which the State has a substantial interest.