



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

June 6, 1988

P R E S S R E L E A S E

On May 2, 1988, Ethics Commission Staff Attorney Lisa Doyle Moran filed complaints (Docket Nos. 88-2, 88-3 and 88-5) against the Connecticut Hospital Association and two of its employees, Mr. Stephen Frayne and Mr. Donald Berkowitz. The complaint against CHA, as amended, alleged the following:

- 1.) The Association failed to report any of its expenditures for administrative lobbying on Commission on Hospitals and Health Care regulations which set guidelines for the setting of price controls on hospitals;
- 2.) The Association failed to report fully its expenditures for activities in furtherance of legislative lobbying on hospital price control legislation; and
- 3.) The Association failed to report expenditures for media solicitations of the general public to communicate with their legislators on hospital price control legislation.

The complaints against Mr. Frayne and Mr. Berkowitz alleged that they had failed to register as lobbyists on behalf of CHA for calendar year 1987.

On June 6, 1988 the Ethics Commission and the Respondents settled these matters by entering into Stipulations and Orders, copies of which are attached. Under the stipulations, the Respondents admitted they violated the Code of Ethics for Lobbyists and agreed to pay fines totalling' \$5,000.

FOR FURTHER INFORMATION CALL:
Alan Plofsky or Lisa Doyle Moran
State Ethics Commission
566-4472



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 88-3) STATE ETHICS COMMISSION
 IN THE MATTER OF A) 97 ELM STREET (REAR)
 COMPLAINT AGAINST) HARTFORD, CONN. 06106
 CONNECTICUT HOSPITAL ASSOC.) MAY 23, 1988

STIPULATION AND ORDER

1. The Commission finds that the Respondent violated Chapter 10, Part II, General Statutes, as alleged in the attached Amended Complaint.

2. The Respondent admits violating Chapter 10, Part II, General Statutes.

3. The Respondent waives any rights it may have under section 1-93 and 1-93a, General Statutes and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by subsection 4-177(d), General Statutes.

WHEREFORE, the State Ethics Commission enters, and the Connecticut Hospital Association agrees to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the Respondent within thirty days to (1) file amended client lobbyist financial reports for the first, third and fourth quarters of 1987; (2) file amended client lobbyist financial reports for 1986 to reflect expenditures for solicitations; (3) pay a civil penalty of \$4,000; and (4) henceforth, comply with the reporting requirements of the Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes.

J. Lemist May
Respondent

June 3, 1988
Dated

William A. Elms
Chairperson
State Ethics Commission

6-6-88
Dated

Phone: (203) 566-4472

97 Elm Street — Rear ~~500~~ ~~Unity~~ Street • Hartford, Connecticut 06106

000130

An Equal Opportunity Employer



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 88-3) STATE ETHICS COMMISSION
 IN THE MATTER OF A) 97 ELM STREET (REAR)
 COMPLAINT AGAINST) HARTFORD, CONN. 06106
 CONNECTICUT HOSPITAL ASSOC.) MAY 27, 1988

AMENDMENT TO COMPLAINT

As a result of the preliminary investigation of this matter, conducted pursuant to subsection 1-93(a), General Statutes, the Complaint against the Connecticut Hospital Association is hereby amended as follows:

1. Between approximately January 1, 1987 and December 31, 1987 the Connecticut Hospital Association, a registrant as defined in section 1-91(q), General Statutes, (hereinafter the Respondent) engaged in various activities for the purpose of influencing "Administrative action" as that term is defined in subsection 1-91(a), General Statutes and "Legislative action" as that term is defined in subsection 1-91(j), General Statutes. Said activities included both "Lobbying" as that term is defined in subsection 1-91(k), General Statutes and acts "in furtherance of lobbying..." as that term is used in subsection 1-91(l), General Statutes.

2. Respondent did not report any expenditures for administrative lobbying on its third and fourth quarter lobbying reports for 1987 and did not fully report its expenditures for legislative lobbying on its first quarter lobbying report for 1987.

3. The Respondent's failure to report any expenditures for quarterly administrative action on its lobbyist financial

reports for the third and fourth quarters of 1987 and to fully report its expenditures for quarterly legislative action on its lobbyist financial report for the first quarter of 1987 violates section 1-96, General Statutes.

4. Sometime during calendar year 1986, the Respondent made expenditures, as that term is defined in section 1-91(f), General Statutes for solicitations, as that term is used in section 1-91(f). Respondent failed to report these expenditures on its lobbyist financial reports during 1986.

5. The Respondent's failure to report its expenditures for solicitations on its lobbyist financial reports violates section 1-96, General Statutes.

Lisa Doyle Moran

Lisa Doyle Moran
Staff Attorney

Dated May 27, 1988