



STATE OF CONNECTICUT

STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 88-12) STATE ETHICS COMMISSION
 IN THE MATTER OF A) 97 ELM STREET (REAR)
 COMPLAINT AGAINST) HARTFORD, CONN. 06106
 SENATOR THOMAS F. UPSON) DECEMBER 9, 1988

STIPULATION AND ORDER

1. The Commission finds that the Respondent unintentionally failed to timely file the receipt of a fee or honorarium in violation of Chapter 10, Part I, General Statutes, as alleged in the attached amended complaint.

2. The Respondent acknowledges the Commission's interpretation of subsection 1-83(b)(2), Chapter 10, Part I, General Statutes. The lack of a timely filing was unintentional since Respondent relied on the advice of Pfizer Inc.'s communicator lobbyist, and the absence of a previous public statement from the Commission on its policy.

3. The Respondent waives any rights he may have under sections 1-82 and 1-82a, General Statutes and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by subsection 4-177(d), General Statutes.

WHEREFORE, the State Ethics Commission and the Respondent agree that the Respondent has already filed the required disclosure statement, the Commission finds that such statement complies with subsection 1-83(b)(2) and that the Respondent will, henceforth, comply with the requirements of subsection 1-83(b)(2) of the Code of Ethics for Public Officials, Chapter 10, Part I, General Statutes. This Stipulation and Order is in lieu of any other action the Commission is authorized to take with respect to this matter.

Thomas F. Upson
Respondent

DECEMBER 12, 1988
Dated

William A. Elmira
Chairperson
State Ethics Commission

12-13-88
Dated



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SENATOR THOMAS UPSON) NOVEMBER 29, 1988

AMENDMENT TO COMPLAINT

As a result of the preliminary investigation of this matter, conducted pursuant to subsection 1-82(a), General Statutes, the Complaint against Senator Thomas Upson is hereby amended as follows:

1. Senator Thomas F. Upson, a member of the General Assembly, (hereinafter the Respondent) accepted a fee or honorarium from Pfizer Inc., a registrant, as defined in subsection 1-91(q), General Statutes, in April 1988.
2. Respondent did not file a disclosure with the Ethics Commission of this fee or honorarium within thirty days after receipt.
3. The Respondent's failure to disclose this fee or honorarium violated subsection 1-83(b)(2), General Statutes.

Rachel S. Rubin

Rachel S. Rubin
Staff Attorney

Dated *Nov 29, 1988*