



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

87-4

June 7, 1988

P R E S S R E L E A S E

On October 5, 1987, Ethics Commission Staff Attorney Alan S. Plofsky filed a Complaint (Docket No. 87-4) against Robert B. Cohen, Esq. The Complaint alleged that Mr. Cohen had violated the Code of Ethics for Lobbyists by failing to register as a lobbyist on behalf of Celavon Corporation for calendar year 1987.

On June 6, 1988, the Ethics Commission and the Respondent settled this matter by entering into a Stipulation and Order. A copy of the agreement is attached.

FOR FURTHER INFORMATION CALL:

Alan S. Plofsky, Esq. or Rachel S. Rubin, Esq.
State Ethics Commission
566-4472

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Hartford, Connecticut 06106
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STATE OF CONNECTICUT
STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 87-4) STATE ETHICS COMMISSION
 IN THE MATTER OF A) 97 ELM STREET (REAR)
 COMPLAINT AGAINST) HARTFORD, CONN. 06106
 MR. ROBERT B. COHEN) APRIL 19, 1988

STIPULATION AND ORDER

1. The Commission finds that the Respondent violated Chapter 10, Part II, General Statutes, as alleged in the attached Complaint, but that the violation was not intentional or willful.

2. For the purpose of settling this matter, the Respondent does not contest the Commission's findings. Specifically, the Respondent admits that he did prepare and sign a legal memorandum for his clients concerning a bill then before the Legislature, which legal memorandum was used by certain members of the Connecticut State Legislature. This act constitutes "lobbying" as that term is used in subsection 1-91(k), Connecticut General Statutes.

3. The Respondent waives any rights he may have under section 1-93 and 1-93a, General Statutes, and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by subsection 4-177(d), General Statutes.

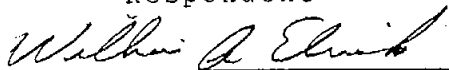
WHEREFORE, the State Ethics Commission enters, and Mr. Robert B. Cohen agrees to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the Respondent to (1) register as a legislative lobbyist on behalf of the Celavon Corporation for calendar year 1987; (2) as a legislative lobbyist for Celavon Corporation, file the communicator lobbyist financial reports required by section 1-96, General Statutes; and (3) henceforth, comply with the registration requirements of the Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes.



Respondent

5-9-88

Dated



Chairperson

6-6-88

Dated

State Ethics Commission

Phone: (203) 566-4472

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CONNECTICUT STATE ETHICS COMMISSION
97 ELM STREET (REAR)
HARTFORD, CONNECTICUT 06106
TELEPHONE NUMBER 566-4472

COMPLAINT

THE STATE ETHICS COMMISSION ISSUES A COMPLAINT ALLEGING A VIOLATION OF:

_____ THE CODE OF ETHICS FOR PUBLIC OFFICIALS AND STATE EMPLOYEES, CHAPTER 10, PART I, GENERAL STATUTES

xx _____ THE CODE OF ETHICS FOR LOBBYISTS, CHAPTER 10, PART II, GENERAL STATUTES

TIME AND DATE MATTERS COMPLAINED OF OCCURRED: May, 1987

PLACE VIOLATION OCCURRED: Hartford, Connecticut

PERSONS INVOLVED: Mr. Robert B. Cohen

WITNESSES: State Representative Patricia Dillon. Other possible witnesses: members and staff of the State Legislature, officials and employees of the City of New Haven, and members and employees of the lobbyist partnership of Sullivan and LeShane.

CIRCUMSTANCES WHICH INDICATE THAT THE CODE OF ETHICS DESIGNATED ABOVE WAS VIOLATED ARE AS FOLLOWS (A SHORT, PLAIN STATEMENT ALLEGING A VIOLATION OF CHAPTER 10, GENERAL STATUTES):

(See attached)

(OVER)

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Alan S. Plofsky
SIGNATURE

10/5/87
DATE

COMPLAINANT'S NAME AND ADDRESS:

Alan S. Plofsky, Esq.
STATE ETHICS COMMISSION
97 ELM STREET (REAR)
HARTFORD, CT 06106

COMPLAINANT'S TELEPHONE NUMBER:

566-4472

It is hereby alleged that:

1. Between approximately May 19, 1987 and June 3, 1987 Mr. Robert B. Cohen (hereinafter the Respondent) acting on behalf of Celavon Corporation engaged in various activities for the purpose of influencing "Legislative action" as that term is defined in subsection 1-91(j), General Statutes. Said activities included both "Lobbying" as that term is defined in subsection 1-91(k), General Statutes and acts "...in furtherance of lobbying..." as that term is used in subsection 1-91(l), General Statutes.
2. Said activities were undertaken by the Respondent for compensation from Celavon Corporation.
3. By virtue of said compensated activities on behalf of Celavon Corporation, and by virtue of the Respondent's compensated activities as a registered lobbyist for the Connecticut Auto Dismantlers Association in calendar year 1987, the Respondent was required to register with the State Ethics Commission as a lobbyist for Celavon Corporation for calendar year 1987 prior to commencing lobbying for said corporation.
4. The Respondent did not so register before commencing lobbying for Celavon Corporation, nor has he subsequently done so.
5. The Respondent's failure to register with the State Ethics Commission as a lobbyist for Celavon Corporation for calendar year 1987 violates sections 1-94 and 1-95, General Statutes.