



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

November 5, 1986

P R E S S R E L E A S E

On July 30, 1986, the Ethics Commission Investigator filed a complaint (Docket No. 86-2) against CIGNA Corporation. The Complaint alleged that CIGNA had violated a provision of the Code of Ethics for Lobbyists which, in pertinent part, prohibits a registered lobbyist from making an expenditure for food and drink of more than \$49.99 per person per occasion when the beneficiary is a State official or a member of the official's staff or immediate family.

On November 3, 1986, the Commission and CIGNA settled this matter by entering into a stipulation and order. A copy of the Agreement is attached.

(Subsection 1-84(j) of the Code of Ethics for Public Officials, in pertinent part, prohibits any State official, or any member of the official's staff or immediate family, from accepting any gift or gifts known to amount to fifty dollars or more in any calendar year from a known registered lobbyist. Since the Commission determined that it was highly unlikely that a beneficiary would know that the value of the meal was over \$49.99 in this instance, no action was taken against any beneficiary in connection with this matter.)

Also on November 3, 1986, the Ethics Commission held hearings in the cases of lobbyists who allegedly had violated a provision of the Code of Ethics for Lobbyists by failing to file required lobbyist financial reports in a timely fashion. The Commission's decisions and orders were as follows:

<u>Name</u>	<u>Decision</u>	<u>Civil Penalty</u>
Connecticut National Organization for Women	Violation (failure to timely file required reports for April, May and the second quarter of 1986)	April delinquency \$1,000 May delinquency \$1,000 Second quarter delinquency \$ 790

In each instance all but \$50 of the penalty was waived on the condition that Conn. NOW refrain from further violations of the reporting requirements of the Code of Ethics for Lobbyists for the next two years.

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(over)

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<u>Name</u>	<u>Decision</u>	<u>Civil Penalty</u>
Tilcon- Tomasso, Inc. (rehearing at Tilcon- Tomasso's request)	Previous finding of violation affirmed (failure to timely file required report for the second quarter of 1986)	No civil penalty (The Commission, by a vote of 3-2, rescinded the penalty it had previously as- sessed in this matter. This action was based on Tilcon- Tomasso's ap- parent good faith attempt to file the report in question.)

FOR FURTHER INFORMATION CALL:

Alan S. Plofsky, Esq.
State Ethics Commission
566-4472



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 86-2) STATE ETHICS COMMISSION
IN THE MATTER OF A) 97 ELM STREET (REAR)
COMPLAINT AGAINST) HARTFORD, CONN. 06106
CIGNA CORPORATION) NOVEMBER 3, 1986

STIPULATION AND ORDER

1. On June 21, 1986, the Respondent, CIGNA Corporation, held a community event known as "Sunset Sounds" at the CIGNA grounds in Bloomfield. As part of this event, CIGNA held a pre-concert dinner for some 150 local business and community leaders and a number of State and local public officials. The per person cost of the dinner was \$64.64. These facts were reported by CIGNA to the Ethics Commission in a timely fashion as required by statute. The per person cost of the dinner exceeds the statutory limit of \$49.99 per person. As a result the cost of dinner for nine State officials and eight spouses exceeded the limit on such expenditures by a registered lobbyist.

2. CIGNA Corporation admits that it violated Chapter 10, Part II, General Statutes, as alleged in the attached Complaint, but denies any intentional or willful violation.

3. The Commission finds that the Respondent violated Chapter 10, Part II, General Statutes, as alleged in the attached Complaint, but that the violations were technical and not intentional or willful.

4. The Respondent waives any rights it may have under section 1-93, General Statutes, to a further hearing in this case, and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by subsection 4-177(d), General Statutes.

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WHEREFORE, the State Ethics Commission enters, and CIGNA Corporation agrees to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the Respondent to (1) pay a civil penalty of \$50 for each of the seventeen violations; and (2) henceforth comply with the gift restrictions of subsection 1-97(a), General Statutes.

Barnett W. Sidel
Respondent

November 3, 1986
Dated

Julie E. Peck
Chairperson
State Ethics Commission

November 3, 1986
Dated

CONNECTICUT STATE ETHICS COMMISSION
97 ELM STREET (REAR)
HARTFORD, CONNECTICUT 06106
TELEPHONE NUMBER 566-4472

DOCKET NO. 86-2
CONFIDENTIAL

COMPLAINT

THE STATE ETHICS COMMISSION ISSUES A COMPLAINT ALLEGING A VIOLATION OF:

_____ THE CODE OF ETHICS FOR PUBLIC OFFICIALS AND STATE EMPLOYEES, CHAPTER 10, PART I, GENERAL STATUTES

_____ xx THE CODE OF ETHICS FOR LOBBYISTS, CHAPTER 10, PART II, GENERAL STATUTES

TIME AND DATE MATTERS COMPLAINED OF OCCURRED: On or about June 21, 1986

PLACE VIOLATION OCCURRED: CIGNA Corporation, Bloomfield, Connecticut

PERSONS INVOLVED: CIGNA Corporation

POSSIBLE WITNESSES: Mrs. Susan Chapman, Mr. Barrett Sidel

CIRCUMSTANCES WHICH INDICATE THAT THE CODE OF ETHICS DESIGNATED ABOVE WAS VIOLATED ARE AS FOLLOWS (A SHORT, PLAIN STATEMENT ALLEGING A VIOLATION OF CHAPTER 10, GENERAL STATUTES):

SEE ATTACHED

(OVER)

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Alvin S. Proferty

SIGNATURE

7/30/56

DATE

COMPLAINANT'S NAME AND ADDRESS:

ALVIN S. PROFERTY, Esq
STATE ETHICS COMMISSION
97 ELM STREET (REAR)
HARTFORD, CT 06106

COMPLAINANT'S TELEPHONE NUMBER:

566-4472

It is hereby alleged that:

1. On February 19, 1986, CIGNA Corporation (hereinafter the "Respondent"), acting through its authorized agent, Mr. Barrett Sidel, registered as an administrative and legislative client lobbyist with the State Ethics Commission.

2. From February 19, 1986 to this date, including all times relevant to this Complaint, the Respondent has remained so registered.

3. By virtue of its 1986 lobbyist registration and lobbying expenditures, the Respondent is, and at all times relevant to this Complaint has been, a "Registrant" (subsection 1-91(q), General Statutes) subject to the requirements of the Code of Ethics for Lobbyists (Chapter 10, Part II, General Statutes).

4. One requirement of the Code to which the Respondent must adhere is subsection 1-97(a), General Statutes which states, in pertinent part, that "No registrant or anyone acting on behalf of a registrant shall give to any state employee, public official, candidate for public office or a member of his staff or immediate family any gift or gifts that amount to fifty dollars or more in value in the aggregate in any calendar year."

5. On or about June 21, 1986, the Respondent, acting in furtherance of lobbying, violated subsection 1-97(a), General Statutes. Said violations include, but are not necessarily limited to, the following seventeen instances listed on "Attachment A" (attached to and made a part of this Complaint). (NOTE: "Attachment A" is a copy of a page from the Respondent's second quarter 1986 financial disclosure report filed pursuant to section 1-96, General Statutes.)

"ATTACHMENT A"

<u>Reportable Beneficiaries</u>	<u>Circumstances of Transaction</u>	<u>Date</u>	<u>Expenditure Per Person</u>
Joseph Fauliso(A) Lieut. Governor	Food & beverage at reception & dinner at CIGNA Corporation in connection with Sunset Sounds Concert.	6/21/86	\$64.64
Ann-Marie Fauliso	"	"	"
Naomi Cohen (L) State Representative	"	"	"
Michael Cohen	"	"	"
Reginald Smith (L) Senate Majority Leader	"	"	"
Tina Smith	"	"	"
William Gilligan (A) Deputy Insurance Commissioner	"	"	"
Gloria Gilligan	"	"	"
Gardner Wright (A) Commissioner Commission on Hospital Health Care	"	"	"
Marlene Wright	"	"	"
Robert G. Gilligan (L) State Representative	"	"	"
Judith Gilligan	"	"	"
John Sansone (A) Deputy Insurance Commissioner	"	"	"
Shirley Sansone	"	"	"
Frank D. Barrows (L) State Senator	"	"	"
Pauline Barrows	"	"	"
Cornelius P. O'Leary (L) State Senator	"	"	"