



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

82-1

press release

On March 11, 1982, the Ethics Commission's Investigator filed a complaint alleging that Connecticut Yankee Greyhound, Inc., a registered lobbyist, had violated provisions of the Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes. On April 14, 1982, the Commission held a hearing on this Complaint as provided by Subsection 1-93(a), General Statutes. Based on amended reports filed by Connecticut Yankee Greyhound, Inc., on March 23 and 24, 1982 and on other information supplied by representatives of Connecticut Yankee Greyhound at the hearing, the Commission found probable cause to believe that fifty-six violations of the Code had occurred.

As provided by the Uniform Administrative Procedure Act, and consistent with Commission policy, the Commission directed its attorneys to attempt to negotiate a settlement of the matter with representatives of Connecticut Yankee Greyhound, Inc. The Commission stated that as part of the settlement it would agree to state that the violations were unintentional.

If no mutually agreeable settlement can be reached, the Commission will initiate hearings, as provided by Subsection 1-93(c), General Statutes, to determine if in fact violations of the Code of Ethics for Lobbyists have been committed by Connecticut Yankee Greyhound.

Attached is a list of the Commission's findings of probable cause. The dollar figures represent an attempt to quantify the gift violations as required by Subsection 1-97(a) of the Ethics Code. They were arrived at as follows: (1) 1980 Christmas Dinner \$38.81 per person; (2) 1981 Christmas Dinner - \$38.88 per person; (3) Briefcase - \$23.94 per public official attending the 1980 Christmas Dinner; (4) Plane trip - \$25.45 per person (based on two planes occupied by public officials, etc.) (5) Room charges - when two persons covered by Subsection 1-97(a) shared a room 1/2 of the charge is allocated to each.

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Probable Cause Found To Believe The Following Violations Occurred

1980

- (1) Subsection 1-96(b) - failure to report occasion over \$25 for benefit of Public Official, etc. - Dinner, Cockaponsett Inn, Cape Cod , August - not listed on third quarter 1980 report

Subsection 1-97(a) - gifts over twenty-five dollars in the aggregate during calendar year (all related to 12/23/80 Christmas party)

- (2) Sen. Baker - \$84.35
(3) Mrs. Baker - \$60.41
(4) Rep. Carragher - \$84.35
(5) Rep. Morgan - \$84.35
(6) Mrs. Morgan - \$60.41
(7) Rep. Marcier - \$62.85
(8) Sen. Murphy - \$62.85
(9) Mrs. Murphy - \$38.91
(10) Sen. Skelley - \$84.35
(11) Mrs. Skelley - \$60.41
(12) Rep. Smoko - \$84.35
(13) Mrs. Smoko - \$60.41
(14) Sen. Cutillo - \$84.35
(15) Mrs. Cutillo - \$60.41
(16) Rep. Walkovich - \$95.09
(17) Ms. Sharon Seymour - \$60.41

1981

Subsection 1-96(b) - failure to report occasion over \$25/\$35 for benefit of a Public Official, etc.

- (18) 1980 Christmas party, room charges, paid 1/21/81 - not listed on January or first quarter 1981 report. (\$1,750.90 total food and drink and \$290.25 rooms for public officials, etc. = \$2,041.15)

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- (19) Cost of briefcases (38 given to legislators at \$23.94 = \$909.72); paid 3/10/81 not listed on March or first quarter 1981 report
- (20) Cost of hotel accommodations 7/23/81 - 7/27/81; Inn of New Seabury, Cape Cod; paid 7/81 (\$2,880.00) not listed on July or third quarter 1981 report
- (21) Dinner July 23, 1981 Poponesset Inn, not listed on July or third quarter 1981 report
- (22) Clambake July 24, 1981 - Cape Cod, not listed on July or third quarter 1981 report
- (23) Cookout July 25, 1981 - Cape Cod not listed on July or third quarter 1981 report
- (24) Plane trip Hyannis to Nantucket paid 7/25/81 (total cost 3 planes = \$840.00; cost of 2 planes occupied by public officials etc. \$560.00) not listed on July or third quarter 1981 report

Subsection 1-97(a) - gifts over \$35 in the aggregate during calendar year (related to July Cape Cod trip and December Christmas party)

- (25) Sen. Skelley - \$224.33
- (26) Mrs. Skelley - \$224.33
- (27) Sen. Baker - \$224.33
- (28) Mrs. Baker - \$224.33
- (29) Sen. Santaniello - \$185.45
- (30) Mrs. Santaniello - \$185.45
- (31) Rep. Carragher - \$224.33
- (32) Rep. Moynihan - \$144.33
- (33) Mrs. Moynihan - \$105.45
- (34) Rep. Morgan - \$185.45
- (35) Mrs. Morgan - \$185.45
- (36) Rep. Abercrombie - \$224.33
- (37) Mrs. Abercrombie - \$224.33

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- (38) Rep. Walkovich - \$345.45
- (39) Sen. J. Murphy - \$124.33
- (40) Mrs. Murphy - \$85.45
- (41) Sen. Sullivan - \$104.33
- (42) Rep. T. Casey - \$224.33
- (43) Mrs. T. Casey - \$224.33
- (44) Sen. Ciarlone - \$38.88
- (45) Mrs. Ciarlone - \$38.88
- (46) Sen. Schneller - \$38.88
- (47) Sen. S. Casey - \$38.88
- (48) Mrs. S. Casey - \$38.88
- (49) Rep. Groppo - \$38.88
- (50) Rep. Onorato - \$38.88
- (51) Mrs. Onorato - \$38.88
- (52) Rep. Swieszkowski - \$38.88
- (53) Sec. of the State Barbara Kennelly - \$38.88
- (54) Mr. Kennelly - \$38.88
- (55) Ms. Sharon Seymour - \$224.33
- (56) Ms. Lorraine Guilmartin - \$104.33

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DOCKET NUMBER 82-1 : STATE ETHICS COMMISSION
IN THE MATTER OF A : 30 TRINITY STREET
COMPLAINT BY : HARTFORD, CT 06115
ALAN S. PLOFSKY : APRIL 19, 1982
VS. :
CONNECTICUT YANKEE :
GREYHOUND, INC. :

STIPULATION AND ORDER

1. Respondent, Connecticut Yankee Greyhound Racing, Inc., admits the violations of Chapter 10 of the Connecticut General Statutes for which the State Ethics Commission found probable cause at its meeting of April 14, 1982, as per attachment "A", but denies intentional or wilful violation.

2. The Commission finds the violations not to have been wilful or intentional but that the Respondent and its officer, William J. Roper, were extremely negligent in observing the Statute.

3. Respondent agrees henceforth to comply fully with Chapter 10, Part II, Code of Ethics for Lobbyists, of the General Statutes and in particular has altered and improved its record-keeping and reporting procedures.

4. Respondent has brought to the attention of its officers and other personnel the provisions of the Code of Ethics for Lobbyists (Sections 1-91 through 1-101 of the General Statutes)

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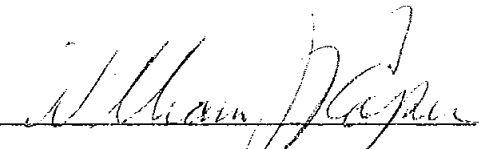
and particularly (a) the prohibition of gifts to any State employee, public official, candidate for public office, or a member of his staff or immediate family in any calendar year amounting cumulatively to \$35.00 or more; (b) the necessity for reporting expenditures for any occasion or transaction through which a public official, a member of his staff or immediate family is benefited even if the public official or staff or family member is benefited less than \$35.00 so long as the occasion or transaction itself costs \$35.00 or more; (c) the necessity for keeping below \$35.00 per person the cost of food, drink, tip and service provided on any occasion at which a State employee, public official, candidate for public office, or a member of his staff or immediate family is a guest; and (d) other reporting requirements of the statute.

5. Connecticut Yankee Greyhound Racing, Inc. and its officer and registered lobbyist, William J. Roper, waive any rights they may have under Section 1-93 of the General Statutes to further hearing in this case. They agree with the State Ethics Commission to an informal disposition of this matter as permitted by Section 4-177(d) of the General Statutes.

WHEREFORE, the State Ethics Commission enters, and Connecticut Yankee Greyhound Racing Inc. agrees to these orders: In lieu of any other action it is authorized by law to take with respect to this matter, the Commission orders Connecticut Yankee Greyhound Racing Inc. (1) to revise its financial reports


concerning its lobbying activities as necessary to conform to the Commission's findings of April 14, 1982; (2) henceforth to comply with the provisions of Chapter 10, Part II, Code of Ethics for Lobbyists, Connecticut General Statutes; and (3) further orders Connecticut Yankee Greyhound Racing, Inc. to pay a civil penalty of Eleven Thousand Dollars (\$11,000.00).

CONNECTICUT YANKEE GREYHOUND
RACING, INC.

By 
William J. Koper
Vice President

Date April 22, 1982

BY ORDER OF THE COMMISSION


Commissioner, State Ethics
Commission

Date Apr 22/82



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

CONFIDENTIAL

Docket Number 82-1
In The Matter of A
Complaint by

Alan S. Plofsky
vs
Connecticut Yankee
Greyhound, Inc.

AMENDMENT TO COMPLAINT

I hereby amend the Complaint filed on March 11, 1982 as follows:

- (1) Time and date matters complained of occurred: strike "January 13, 1981"; insert "January 31, 1980".
- (2) Short plain statement alleging violation: before the first unnumbered paragraph insert "On January 31, 1980, Connecticut Yankee Greyhound, Inc., through its Vice-President William Roper, registered as an administrative and legislative lobbyist with the State Ethics Commission. Said Corporation remained so registered throughout calendar year 1980".

Numbered paragraph (1), first line, strike "During calendar year 1981"; insert "During calendar years 1980 and 1981"; fourth line after "public officials" insert "and State employees"; fifth line strike "public officials"; insert "their".

Numbered paragraphs (2) and (3), first line, in each instance, strike "During calendar years 1981 and 1982"; insert "During calendar years 1980, 1981, and 1982".

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Docket Number 82-1

Alan S. Plofsky

vs

Connecticut Yankee
Greyhound, Inc.

(Note: with regard to allegations concerning 1980, and the first six months of 1981, the "thirty-five dollar" figure should be read as "twenty-five dollars", the gift and reporting figure until the statute was amended July 1, 1981).



Alan S. Plofsky, Esq.

3/30/82
Date

CONNECTICUT STATE ETHICS COMMISSION
30 TRINITY STREET
HARTFORD, CONNECTICUT 06115
Telephone Number 566-4472

COMPLAINT

I wish to register with the State Ethics Commission a complaint alleging a violation of:

_____ The Code of Ethics for Public Officials and State Employees, Chapter 10, Part I, General Statutes

XX The Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes

Time and date matters complained of occurred:*

Between January 13, 1981 and March 11, 1982

Place alleged violation occurred:

Various places as described below (see statement alleging violations of Chapter 10, Part II, General Statutes)

Persons involved:

Mr. William Roper, Vice-President, Ct. Yankee Greyhound, Inc.

Witnesses:

Possible witnesses include: members of the Knights of St. Patrick of New Haven; employees of the Hotel Sonesta, Hartford; employees of Ct. Yankee Greyhound, Inc.; Ct. public officials and members of their staffs and immediate families, including, but not necessarily limited to those individuals listed below; members of the Ethics Commission staff, excluding myself; and George Hastings, Esq.

Circumstances which indicate that the Code of Ethics designated above was violated are as follows (a short, plain statement alleging a violation of Chapter 10, General Statutes):

On January 13, 1981, Ct. Yankee Greyhound, Inc., through its Vice-President William Roper, registered as an administrative and legislative lobbyist with the State Ethics Commission. Said corporation remained so registered throughout calendar year 1981.

(over)

* No complaint may be made to the Ethics Commission except within three years next after the alleged violation described in the complaint has been committed.

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(con't.)

On January 5, 1982, Ct. Yankee Greyhound, Inc., through its Vice-President William Roper, registered as an administrative and legislative lobbyist with the State Ethics Commission. Said corporation remains so registered as of the date of this complaint.

- (1) During calendar year 1981, while a registered lobbyist, Ct. Yankee Greyhound, Inc., in violation of subsection 1-97(a), General Statutes, gave gifts that amounted to thirty-five dollars or more in the aggregate to public officials and members of public officials' staffs and immediate families; including, but not necessarily limited to, the following individuals:

- A. Senator Anthony Ciarlone
- B. Mrs. Ciarlone
- C. Senator William Sullivan
- D. Senator Richard Schneller
- E. Senator James Murphy, Jr.

(SEE ATTACHED SHEET)

I hereby certify under penalty of false statement that I believe that the foregoing statement describing a possible violation of the designated Code of Ethics is true.

Alan Plofsky 3/11/82
Signature Date

Complainant's name and address (printed or typewritten):
Alan Plofsky, Esq., State Ethics Commission, 30 Trinity Street,
Hartford, Ct. 06115

Complainant's telephone number:
(203) 566-4472

Mail or hand deliver this complaint to the: State Ethics Commission
30 Trinity Street
Hartford, CT 06115

NOTE: (1) This Complaint may not be withdrawn by you, the Complainant, except with leave of the Ethics Commission. (2) In addition to the criminal penalties that may be imposed upon a complainant who, under penalty of false statement, knowingly files a false complaint, sections 1-82(d) and 1-93(d) provide that if any complaint, brought under the provisions of Chapter 10, General Statutes, is made with the knowledge that it is without foundation in fact, the person against whom the complaint is made (the respondent) has a cause of action against the complainant for double the amount of damage caused. If the respondent prevails in the action, the cost of the action together with reasonable attorney's fees may be awarded the respondent by the court.

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- F. Senator Wayne Baker
- G. Mrs. Baker
- H. Senator Steven Casey
- I. Mrs. Casey
- J. Senator Michael Skelley
- K. Mrs. Skelley
- L. Representative Robert Carragher
- M. Representative Timothy Moynihan
- N. Representative John Groppo
- O. Representative Paul Abercrombie
- P. Mrs. Abercrombie
- Q. Representative Ronald Smoko
- R. Mrs. Smoko
- S. Representative Alfred Onorato
- T. Mrs. Onorato
- U. Representative T. J. Casey
- V. Mrs. Casey
- W. Representative Dominick Swieszkowski
- X. Secretary of the State, Barbara Kennelly
- Y. Mr. Kennelly

- (2) During calendar years 1981 and 1982, while a registered lobbyist, Ct. Yankee Greyhound, Inc., in violation of section 1-96, General Statutes, failed to report expenditures made in furtherance of lobbying as being such. Specifically, Ct. Yankee Greyhound, Inc. failed to report occasions in furtherance of lobbying, costing thirty-five dollars or more at which one or more public officials, or one or more members of a public official's staff or immediate family, were benefited, as being related to lobbying; and failed to include the amount of benefit to the above said classes in its lobbying expenditure totals. Said occasions include, but are not necessarily limited to, the following:
- A. St. Patrick's Day Dinner (hosted by the Knights of St. Patrick) New Haven, Ct., 3/17/81
 - B. Ct. Yankee Greyhound, Inc. Buffet Lunch, Plainfield Greyhound Park, Plainfield, Ct., 5/3/81
 - C. Ct. Yankee Greyhound, Inc. Christmas Party, Hotel Sonesta, Hartford, Ct., 12/14-15/81
- (3) During calendar years 1981 and 1982, while a registered lobbyist, Ct. Yankee Greyhound, Inc., in violation of subsection 1-96(b), General Statutes, failed to report one or more occasions costing thirty-five dollars or more at which one or more public officials, or one or more members of a public official's staff or immediate family, were benefited. Such occasions include, but are not necessarily limited to, the following:
- A. Ct. Yankee Greyhound, Inc. Christmas Party, Hotel Sonesta, Hartford, Ct., 12/14-15/81