

Form AU-967

Request for Certificate of Compliance Pursuant to Connecticut General Statute § 12-430(7)

Purpose: An unverified nonresident subcontractor must submit Form AU-967 to request a Certificate of Compliance when **all** work on the project is complete including change orders and punch list items. A Certificate of Compliance from the Department of Revenue Services (DRS) authorizes full or partial release of held back monies from the Prime or General Contractor who withheld the 5% from the unverified subcontractor.

Nonresident Subcontractor	Name of Project
Street Address	Project Street Address
City, State, Zip Code	Project City, State, Zip Code
CT Tax Registration Number	Project Start Date
Contact Person	Project Completion Date
Telephone Number — —	Contract Amount
	Amount Withheld

Conditions

- Subcontractor's work on this project is complete.
- Subcontractor is registered for all applicable taxes with DRS.
- Subcontractor has filed all tax returns related to this project including sales and use tax and Connecticut withholding tax.

Subcontractor Declaration: I, an authorized agent of the nonresident unverified subcontractor, declare under the penalty of law that I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.

Print Name	Title
Authorized Signature	Date

Prime or General Contractor Declaration: I, an authorized agent of the prime or general contractor, declare under the penalty of law that I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.

Print Name	Title
Authorized Signature	Date
	Telephone Number — —

Prime or General Contractor CT Tax Registration Number

The following **must be provided** along with this request:

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| <ol style="list-style-type: none"> 1) Copy of the AIA / job invoice for the project; 2) Scope of work performed; 3) Detailed job cost report along with purchase invoices for equipment rentals, materials, and supplies used on the project; 4) Copies of Connecticut sales and use tax returns and backup showing Connecticut sales and use tax remitted for the project; | <ol style="list-style-type: none"> 5) Payroll records for all employees working in Connecticut on the project, copies of certified payroll sheets if required for the project (Gross payroll by month for all employees working in Connecticut on this project); 6) Payments to all sub-contractors working on the project, with copies of all corresponding contracts and/or invoices; and 7) Applicable certificates (exemption, new construction, verified contractor status, etc.). |
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