

# Form CT-4852

(Rev. 12/03)

## Substitute for Form W-2, Wage and Tax Statement, or Form 1099R, Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, Etc.

Attach completed Form CT-4852 to Form CT-1040, CT-1040EZ, CT-1040NR/PY, or CT-1040X

### Purpose

Complete **Form CT-4852**, if you have been unable to obtain (or have received an incorrect) Form W-2, Wage and Tax Statement, or Form 1099R (Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.), from an employer or payer, and have notified the Internal Revenue Service. You must complete a separate **Form CT-4852** for each missing or incorrect Form W-2 or Form 1099R.

### General Information

First Name and Middle Initial	Last Name	Social Security Number
Home Address	Number and Street	Apt. No.
City, Town, or Post Office	State	ZIP Code

### Substitute Form Information

1. **Please fill in the year at the end of this statement.** I have been unable to obtain (or have received an incorrect) Form W-2 or Form 1099R from my employer or payer named below. The amounts shown below are my best estimates of all wages or payments paid to me and the federal and Connecticut taxes withheld by this employer or payer during \_\_\_\_\_ (year).

2. Name, Address, City, State, and ZIP Code of Employer or Payer		3. Connecticut Tax Registration Number of Employer or Payer (If Known)	
		4. Federal Employer Identification Number of Employer or Payer (If Known)	
5. Federal Income Tax Withheld	6. Wages, Tips, Other Compensation or Payments (See Note Below)	7. Connecticut Income Tax Withheld	8. Connecticut Wages, Tips, Etc.

NOTE: Include in Box 6 and 8 the total of wages paid, noncash payments, tips/reported, and all other compensation before deductions for taxes, insurance, etc.

9. How did you determine the amounts in Boxes 5 through 8 above? (If you received a Form W-2 showing federal wages and federal income tax withheld, you **must** attach that form with **Form CT-4852** to your Connecticut Income Tax Return. If you did not receive a Form W-2 but you file federal Form 4852 to report federal wages and federal tax withheld, you **must** attach a copy of federal Form 4852 with **Form CT-4852** to your **Form CT-1040, CT-1040EZ, CT-1040NR/PY, or CT-1040X.**)

10. Give reason Form W-2, 1099R, or W-2c, Corrected Wage and Tax Statement, was not furnished by the employer, or payer, if known, and explain your efforts to get it.

**DECLARATION:** I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.

Signature \_\_\_\_\_ Date \_\_\_\_\_