

**REVIEW DATE** 

Month/Day/Year

RECORD ID #

COMMITTEE DETERMINATION OF CAUSE(S) OF DEATH

IF PREGNANCY-RELATED, COMMITTEE DETERMINATION OF UNDERLYING\* CAUSE OF DEATH Refer to page 3 for PMSS-MM cause of death list.

PREGNANCY-RELATEDNESS: SELECT ONE

PREGNANCY-RELATED

A death during pregnancy or within one year of the end of pregnancy from a pregnancy complication, a chain of events initiated by pregnancy, or the aggravation of an unrelated condition by the physiologic effects of pregnancy

PREGNANCY-ASSOCIATED, BUT NOT-RELATED A death during pregnancy or within one year of the end of pregnancy from a cause that is not related to pregnancy

PREGNANCY-ASSOCIATED BUT UNABLE TO DETERMINE PREGNANCY-RELATEDNESS

ESTIMATE THE DEGREE OF RELEVANT INFORMATION (RECORDS) AVAILABLE FOR THIS CASE:

COMPLETE

All records necessary for adequate review of the case were available

MOSTLY COMPLETE Minor gaps (i.e, information that would have been beneficial but was not essential to the review of the case) SOMEWHAT COMPLETE

Major gaps (i.e, information that would have been crucial to the review of the case)

NOT COMPLETE

Minimal records available for review (i.e, death certificate and no additional records)

DOES THE COMMITTEE AGREE WITH THE UNDERLYING\* CAUSE OF DEATH LISTED ON DEATH CERTIFICATE?

YES NO

TYPE	OPTIONAL: CAUSE (DESCRIPTIVE)
UNDERLYING*	
CONTRIBUTING	
IMMEDIATE	
OTHER SIGNIFICANT	

COMMITTEE DETEI	RMINATIONS ON CIRCU	MSTAN	CES SURRO	UNDII	NG DEATH		
DID OBESITY CONTRIBUTE TO THE DEATH?			PROBABLY	NO	UNKNOWN		
DID <b>DISCRIMINATION**</b> CONTRIBUTE TO THE DEATH?			PROBABLY	NO	UNKNOWN		
DID MENTAL HEALTH CONDITIONS OTHER THAN SUBSTANCE USE DISORDER CONTRIBUTE TO THE DEATH?		YES	PROBABLY	NO	UNKNOWN		
DID <b>SUBSTANCE USE DISORDER</b> CONTRIBUTE TO THE DEATH?			PROBABLY	NO	UNKNOWN		
MANNER OF DEATH							
WAS THIS DEATH A SUICIDE?		YES	PROBABLY	NO	UNKNOWN		
WAS THIS DEATH A HOMICIDE?		YES	PROBABLY	NO	UNKNOWN		
IF ACCIDENTAL DEATH, HOMICIDE, OR SUICIDE, LIST THE MEANS OF FATAL INJURY	FIREARM SHARP INSTRUMENT BLUNT INSTRUMENT POISONING/ OVERDOSE HANGING/ STRANGULATION/ SUFFOCATION	FALL PUNCHING/ KICKING/BEATING EXPLOSIVE DROWNING FIRE OR BURNS MOTOR VEHICLE		NE 01	TENTIONAL EGLECT THER, SPECIFY: NKNOWN DT APPLICABLE		
IF HOMICIDE, WHAT WAS THE RELATIONSHIP OF THE PERPETRATOR TO THE DECEDENT?	NO RELATIONSHIP PARTNER EX-PARTNER OTHER RELATIVE	OTHER ACQUAINTANCE OTHER, SPECIFY:		UNKNOWN NOT APPLICABLE			

<sup>\*</sup>Underlying cause refers to the disease or injury that initiated the chain of events leading to death or the circumstances of the accident or violence which produced the fatal injury.

<sup>\*\*</sup>Encompasses Discrimination, Interpersonal Racism, and Structural Racism as described on page 4.



#### COMMITTEE DETERMINATION OF PREVENTABILITY

A death is considered preventable if the committee determines that there was at least some chance of the death being averted by one or more reasonable changes to patient, family, provider, facility, system and/or community factors.

WAS THIS DEATH PREVENTABLE?	YES	NO
CHANGE TO ALTER CUTCOME	GOOD CHANCE	SOME CHANCE
CHANCE TO ALTER OUTCOME	NO CHANCE	UNABLE TO DETERMINE

## CONTRIBUTING FACTORS AND RECOMMENDATIONS FOR ACTION (Entries may continue to grid on page 5)

#### CONTRIBUTING FACTORS WORKSHEET

What were the factors that contributed to this death? Multiple contributing factors may be present at each level.

Mental health

Social support/

Structural racism

disorder - alcohol,

illicit/prescription

Unstable housing

Substance use

Policies/procedures

conditions

Outreach

isolation

Referral

drugs

Violence

Other

Tobacco useTrauma

DESCRIPTION OF ISSUE (enter a description for EACH contributing factor listed) CONTRIBUTING FACTORS (choose as many as needed below) LEVEL

# RECOMMENDATIONS OF THE COMMITTEE

If there was at least some chance that the death could have been averted, what were the specific and feasible actions that, if implemented or altered, might have changed the course of events?

COMMITTEE RECOMMENDATIONS
[Who?] should [do what?] [when?]
Map recommendations to contributing factors.

LEVEL

PREVENTION TYPE (choose below)

EXPECTED IMPACT (choose below)

# CONTRIBUTING FACTOR KEY (DESCRIPTIONS ON PAGE 4)

- Access/financial
- Adherence
- Assessment
- Chronic diseaseClinical skill/
- quality of care
- Communication
- Continuity of care/ care coordination
- Cultural/religious
- Delay
- Discrimination
- Environmental
- Equipment/ technology
- Interpersonal racism
- Knowledge
- Law Enforcement
- Legal

#### **DEFINITION OF LEVELS**

- PATIENT/FAMILY: An individual before, during or after a pregnancy, and their family, internal or external to the household, with influence on the individual
- PROVIDER: An individual with training and expertise who provides care, treatment, and/or advice
- FACILITY: A physical location where direct care is provided - ranges from small clinics and urgent care centers to hospitals with trauma centers
- SYSTEM: Interacting entities that support services before, during, or after a pregnancy ranges from healthcare systems and payors to public services and programs
- COMMUNITY: A grouping based on a shared sense of place or identity - ranges from physical neighborhoods to a community based on common interests and shared circumstances

#### PREVENTION TYPE

- PRIMARY: Prevents the contributing factor before it ever occurs
- SECONDARY: Reduces the impact of the contributing factor once it has occurred (i.e, treatment)
- TERTIARY: Reduces the impact or progression of what has become an ongoing contributing factor (i.e, management of complications)

#### **EXPECTED IMPACT**

- SMALL: Education/counseling (communityand/or provider-based health promotion and education activities)
- MEDIUM: Clinical intervention and coordination of care across continuum of well-woman visits (protocols, prescriptions)
- LARGE: Long-lasting protective intervention (improve readiness, recognition and response to obstetric emergencies/ LARC)
- EXTRA LARGE: Change in context (promote environments that support healthy living/ensure available and accessible services)
- GIANT: Address social drivers of health (poverty, inequality, etc.)



# IF PREGNANCY-RELATED, COMMITTEE DETERMINATION OF UNDERLYING CAUSE OF DEATH\* PMSS-MM

\* PREGNANCY-RELATED DEATH: DEATH DURING PREGNANCY OR WITHIN ONE YEAR OF THE END OF PREGNANCY FROM A PREGNANCY COMPLICATION, A CHAIN OF EVENTS INITIATED BY PREGNANCY, OR THE AGGRAVATION OF AN UNRELATED CONDITION BY THE PHYSIOLOGIC EFFECTS OF PREGNANCY.

## Hemorrhage (Excludes Aneurysms or CVA)

10.1 - Hemorrhage - Uterine Rupture

10.2 - Placental Abruption

10.3 - Placenta Previa

10.4 - Ruptured Ectopic Pregnancy

10.5 - Hemorrhage – Uterine Atony/Postpartum Hemorrhage

10.6 - Placenta Accreta/Increta/Percreta

10.7 - Hemorrhage due to Retained Placenta

10.10 - Hemorrhage - Laceration/Intra-Abdominal Bleeding

10.9 - Other Hemorrhage/NOS

#### Infection

20.1 - Postpartum Genital Tract (e.g., of the Uterus/ Pelvis/Perineum/Necrotizing Fasciitis)

20.2 - Sepsis/Septic Shock

20.4 - Chorioamnionitis/Antepartum Infection

20.6 - Urinary Tract Infection

20.7 - Influenza

20.8 - COVID-19

20.10 - Pneumonia

20.11 - Other Non-Pelvic Infection (e.g., TB, Meningitis, HIV)

20.9 - Other Infection/NOS

#### Embolism - Thrombotic (Non-Cerebral)

30.1 - Embolism - Thrombotic (Non-Cerebral)

30.9 - Other Embolism (Excludes Amniotic Fluid Embolism)/NOS

#### Amniotic Fluid Embolism

31.1 - Embolism - Amniotic Fluid

#### Hypertensive Disorders of Pregnancy (HDP)

40.1 - Preeclampsia

50.1 - Eclampsia

60.1 - Chronic Hypertension with Superimposed Preeclampsia

#### **Anesthesia Complications**

70.1 - Anesthesia Complications

#### Cardiomyopathy

80.1 - Postpartum/Peripartum Cardiomyopathy

80.2 - Hypertrophic Cardiomyopathy

80.9 - Other Cardiomyopathy/NOS

#### Hematologic

82.1 - Sickle Cell Anemia

82.9 - Other Hematologic Conditions including Thrombophilias/TTP/HUS/NOS

#### Collagen Vascular/Autoimmune Diseases

83.1 - Systemic Lupus Erythematosus (SLE)

83.9 - Other Collagen Vascular Diseases/NOS

#### **Conditions Unique to Pregnancy**

85.1 - Conditions Unique to Pregnancy (e.g, Gestational Diabetes, Hyperemesis, Liver Disease of Pregnancy)

#### Injury

88.1 - Intentional (Homicide)

88.2 - Unintentional

88.9 - Unknown Intent/NOS

#### Cancer

89.1 - Gestational Trophoblastic Disease (GTD)

89.3 - Malignant Melanoma

89.9 - Other Malignancies/NOS

# Cardiovascular Conditions (excluding cardiomyopathy, HDP, and CVA)

90.1 - Coronary Artery Disease/Myocardial Infarction (MI)/Atherosclerotic Cardiovascular Disease

90.2 - Pulmonary Hypertension

90.3 - Valvular Heart Disease Congenital and Acquired

90.4 - Vascular Aneurysm/Dissection (Non-Cerebral)

90.5 - Hypertensive Cardiovascular Disease

90.6 - Marfan Syndrome

90.7 - Conduction Defects/Arrhythmias

90.8 - Vascular Malformations Outside Head and Coronary Arteries

90.9 - Other Cardiovascular Disease, including CHF, Cardiomegaly, Cardiac Hypertrophy, Cardiac Fibrosis, Non-Acute Myocarditis/NOS

# Pulmonary Conditions (Excludes ARDS-Adult Respiratory Distress Syndrome)

91.1 - Chronic Lung Disease

91.2 - Cystic Fibrosis

91.3 - Asthma

91.9 - Other Pulmonary Disease/NOS

#### Neurologic/Neurovascular Conditions (Excluding CVA)

92.1 - Epilepsy/Seizure Disorder

92.9 - Other Neurologic Diseases/NOS

#### **Renal Disease**

93.1 - Chronic Renal Failure/End-Stage Renal Disease (ESRD)

93.9 - Other Renal Disease/NOS

## Cerebrovascular Accident (CVA) not Secondary to HDP

95.1 - Cerebrovascular Accident (Hemorrhage/ Thrombosis/Aneurysm/Malformation) not Secondary to Hypertensive Disorders of Pregnancy

#### Metabolic/Endocrine

96.2 - Diabetes Mellitus

96.9 - Other Metabolic/Endocrine Disorders/NOS

#### **Gastrointestinal Disorders**

97.1 - Crohn's Disease/Ulcerative Colitis

97.2 - Liver Disease/Failure/Transplant

97.9 - Other Gastrointestinal Diseases/NOS

#### **Mental Health Conditions**

100.1 - Depressie Disorder

100.2 - Anxiety Disorder (including Post-Traumatic Stress Disorder)

100.3 - Bipolar Disorder

100.4 - Psychotic Disorder

100.5 - Substance Use Disorder

100.9 - Other Psychiatric Conditions/NOS

#### **Unknown COD**

999.1 - Unknown COD



### CONTRIBUTING FACTOR DESCRIPTIONS

#### LACK OF ACCESS/FINANCIAL RESOURCES

Systemic barriers, e.g. lack or loss of healthcare insurance or other financial duress, as opposed to noncompliance, impacted their ability to care for themself (e.g., did not seek services because unable to miss work or afford postpartum visits after insurance expired). Other barriers to accessing care: insurance non-eligibility, provider shortage in their geographical area, and lack of public transportation.

#### **ADHERENCE** TO MEDICAL RECOMMENDATIONS The provider or patient did not follow protocol or failed to comply with standard procedures (i.e, non adherence to prescribed medications).

FAILURE TO SCREEN/INADEQUATE ASSESSMENT OF RISK Factors placing the individual at risk for a poor clinical outcome recognized, and they were not transferred/transported to a provider able to give a higher level of care.

#### CHRONIC DISEASE

Occurrence of one or more significant pre-existing medical conditions (e.g., obesity, cardiovascular disease, or diabetes).

# CLINICAL SKILL/QUALITY OF CARE (PROVIDER OR FACILITY PERSPECTIVE)

Personnel were not appropriately skilled for the situation or did not exercise clinical judgment consistent with standards of care (e.g, error in the preparation or administration of medication or unavailability of translation services).

# POOR **COMMUNICATION**/LACK OF CASE COORDINATION OR MANAGEMENT/ LACK OF CONTINUITY OF CARE (SYSTEM PERSPECTIVE)

Care was fragmented (i.e, uncoordinated or not comprehensive) among or between healthcare facilities or units, (e.g, records not available between inpatient and outpatient or among units within the hospital, such as Emergency Department and Labor and Delivery).

# LACK OF **CONTINUITY OF CARE** (PROVIDER OR FACILITY PERSPECTIVE)

Care providers did not have access to individual's complete records or did not communicate their status sufficiently. Lack of continuity can be between prenatal, labor and delivery, and postpartum providers.

# **CULTURAL/RELIGIOUS**, OR LANGUAGE FACTORS The provider

or patient demonstrated that any of these factors was either a barrier to care due to lack of understanding or led to refusal of therapy due to beliefs (or belief systems).

#### **DELAY**

The provider or patient was delayed in referring or accessing care, treatment, or follow-up care/action.

#### DISCRIMINATION

Treating someone less or more favorably based on the group, class or category they belong to resulting from biases, prejudices, and stereotyping. It can manifest as differences in care, clinical communication and shared decision-making. (Smedley et al, 2003 and Dr. Rachel Hardeman).

#### **ENVIRONMENTAL FACTORS**

Factors related to weather or social environment.

INADEQUATE OR UNAVAILABLE **EQUIPMENT/TECHNOLOGY** Equipment was missing, unavailable, or not functional, (e.g, absence of blood tubing connector).

#### INTERPERSONAL RACISM

Discriminatory interactions between individuals based on differential assumptions about the abilities, motives, and intentions of others and resulting in differential actions toward others based on their race. It can be conscious as well as unconscious, and it includes acts of commission and acts of omission. It manifests as lack of respect, suspicion, devaluation, scapegoating, and dehumanization. (Jones, CP, 2000 and Dr. Cornelia Graves).

KNOWLEDGE - LACK OF KNOWLEDGE REGARDING IMPORTANCE OF EVENT OR OF TREATMENT OR FOLLOW-UP The provider or patient did not receive adequate education or lacked knowledge or understanding regarding the significance of a health event (e.g., shortness of breath as a trigger to seek immediate care) or lacked understanding about the need for treatment/follow-up after evaluation for a health event (e.g., needed to keep appointment for psychiatric referral after an ED visit for exacerbation of depression).

#### INADEQUATE LAW ENFORCEMENT RESPONSE

Law enforcement response was not in a timely manner or was not appropriate or thorough in scope. **LEGAL** 

Legal considerations that impacted outcome.

#### MENTAL HEALTH CONDITIONS

The patient had a documented diagnosis of a psychiatric disorder. This includes postpartum depression. If a formal diagnosis is not available, refer to your review committee subject matter experts (e.g, psychiatrist, psychologist, licensed counselor) to determine whether the criteria for a diagnosis of substance use disorder or another mental health condition are met based on the available information.

INADEQUATE COMMUNITY **OUTREACH**/RESOURCES Lack of coordination between healthcare system and other outside agencies/organizations in the geographic/cultural area that work with maternal health issues.

# LACK OF STANDARDIZED POLICIES/PROCEDURES

The facility lacked basic policies or infrastructure germane to the individual's needs (e.g, response to high blood pressure, or a lack of or outdated policy or protocol).

#### LACK OF REFERRAL OR CONSULTATION

Specialists were not consulted or did not provide care; referrals to specialists were not made.

# SOCIAL SUPPORT/ISOLATION - LACK OF FAMILY/ FRIEND OR SUPPORT SYSTEM

Social support from family, partner, or friends was lacking, inadequate, and/or dysfunctional.

#### STRUCTURAL RACISM

The systems of power based on historical injustices and contemporary social factors that systematically disadvantage people of color and advantage white people through inequities in housing, education, employment, earnings, benefits, credit, media, health care, criminal justice, etc. – (Adapted from Bailey ZD. Lancet. 2017 and Dr. Carla Ortique)

#### SUBSTANCE USE DISORDER – ALCOHOL, ILLICIT/ PRESCRIPTION DRUGS

Substance use disorder is characterized by recurrent use of alcohol and/or drugs causing clinically and functionally significant impairment, such as health problems or disability. The committee may determine that substance use disorder contributed to the death when the disorder directly compromised their health status (e.g, acute methamphetamine intoxication exacerbated pregnancy- induced hypertension, or they were more vulnerable to infections or medical conditions).

#### **TOBACCO USE**

The patient's use of tobacco directly compromised the patient's health status (e.g, long-term smoking led to underlying chronic lung disease).

#### TRAUMA

The individual experienced trauma: i.e., loss of child (death or loss of custody), rape, molestation, or one or more of the following: sexual exploitation during childhood plus persuasion, inducement, or coercion of a child to engage in sexually explicit conduct; or other physical or emotional abuse other than that related to sexual abuse during childhood.

#### **UNSTABLE HOUSING**

Individual lived "on the street," in a homeless shelter, or in transitional or temporary circumstances with family or friends.

VIOLENCE AND INTIMATE PARTNER VIOLENCE (IPV) Physical or emotional abuse perpetrated by current or former intimate partner, family member, friend, acquaintance, or stranger.

#### OTHER

Contributing factor not otherwise mentioned. Please provide description.



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# CONTRIBUTING FACTORS AND RECOMMENDATIONS FOR ACTION (Continued from page 2)

# CONTRIBUTING FACTORS WORKSHEET

What were the factors that contributed to this death? Multiple contributing factors may be present at each level.

# RECOMMENDATIONS OF THE COMMITTEE

If there was at least some chance that the death could have been averted, what were the specific and feasible actions that, if implemented or altered, might have changed the course of events?

DESCRIPTION OF ISSUE (enter a description for EACH contributing factor listed)

CONTRIBUTING FACTORS (choose as many as needed below) LEVEL COMMITTEE RECOMMENDATIONS

[Who?] should [do what?] [when?] Map recommendations to contributing factors. LEVEL PREVENTION TYPE (choose below)

EXPECTED IMPACT (choose below)



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# CONTRIBUTING FACTORS AND RECOMMENDATIONS FOR ACTION (Continued from page 5)

# CONTRIBUTING FACTORS WORKSHEET

What were the factors that contributed to this death? Multiple contributing factors may be present at each level.

# RECOMMENDATIONS OF THE COMMITTEE

If there was at least some chance that the death could have been averted, what were the specific and feasible actions that, if implemented or altered, might have changed the course of events?

DESCRIPTION OF ISSUE (enter a description for EACH contributing factor listed) CONTRIBUTING FACTORS (choose as many as needed below)

LEVEL

COMMITTEE RECOMMENDATIONS
[Who?] should [do what?] [when?]
Map recommendations to contributing factors.

LEVEL

PREVENTION TYPE (choose below)

EXPECTED IMPACT (choose below)