

Subject: Affirmative Action, OJT Pilot Program and Pre-Award DBE/SBE Review

Contract Number: 0173-0507

Contract Amount: \$498,500.00

Funding Source: 90% FHWA, 10% State

Bid Opening Date: December 1, 2021

OJT Requirement: No

Requirement: DBE SBE GFE Prime is a DBE: Yes No

% and Dollar Amount Requirement: 6% (\$29,910.00)

Contractor: The NY CONN Corp.

Date: December 9, 2021

To: Debra A. Goss
Manager
Office of Contract Compliance

From: William Foran Ext. 3127
FAA
Office of Contracts Administration

Please indicate if this contractor has an approved Affirmative Action Plan on file? Yes

If yes, indicate the expiration date: 04/30/2023

Is this Firm enrolled in the OJT Pilot Program? _____Yes _____No

This Contractor has met the 5 day federal guideline for DBE submittals.

In accordance with the provisions, the contractor has submitted the following to meet the pre-award requirements for this contract.

Subcontractor	Race/Gender	Amount Nominated (\$)	Amount Credited (\$)
Ocean State Signal Co.	WH-F	\$50,207.50	\$30,124.50
Total		\$50,207.50	\$30,124.50

Please review and indicate if you find this submittal acceptable by signing below. If you find any nominated subcontractor is not to receive credit for any line item but the prime still meets the minimum requirement, please indicate your exception below.



Office of Contract Compliance

12-15-2021

Date

Comments: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION (CTDOT)
PRE-AWARD DBE COMMITMENT APPROVAL REQUEST

Rev09/2021

TO BE SUBMITTED WITHIN THE TIME FRAME INDICATED IN THE BID DOCUMENTS

Only certified DBE firms and only for work which they have been certified for will be approved by CDOT toward the goal. Department's DBE directory is available on CTDOT's website or by calling 860-594-2171

Sheet **1** of **1**

CDOT Project Number (s): 0173-0507
 Town(s) of: Various, CT
 Submitted By (Prime): The Ny-Conn Corporation
 Original Bid (\$): \$498,500.00
 Dollar amount subcontracted to this DBE firm (\$): 50,207.50
 Dollar amount requested for CREDIT for this DBE Firm (\$): 30,124.50 **

DBE Subcontractor: Ocean state Signal Co.
 NAICS Code (s) associated with this submission: 334290,335999
 Address: 27 Thurber Blvd., Smithfield RI 02917
 Is this DBE firm a 1st or 2nd tier subcontractor? 1st 2nd*

** Please be advised that by submitting this form you (the prime) agree that the total Credited amount will be the amount of commitment and will be measured by the Commercially Useful Function the Subcontractor performs.

* The CDOT prefers 1st tier subcontractors; however, credit for 2nd tier DBE firms will be approved provided this page is signed by both the prime and the DBE firm, the 1st tier subcontractor is identified, the extent of the 2nd tier work is clearly identified, and the prime makes the assertion that regardless of its arrangement with the 1st tier subcontractor, this DBE firm will be used and its replacement is subject to the conditions of the DBE specification and contract requirements.

Item Number & Description	Is This	***	Unit of Item	Quantity bid by Prime	Contract Unit Price	Quantity Subcontracted	Subcontract Unit Price	****	*****
	item Partial Yes No	Firm Type Code						Total Item price subcontracted	Total item prices credited to the subcontractor
11020084'4" Aluminum Pedestal	N	P	EA	2	940.00	1	712.50	712.50	\$427.50
1117111 Rectangular Rapid Flashing Beacon (RRFB) Type B	N	P	EA	16	7,016.00	2	2,517.50	5,035.00	\$3,021.00
1117112 Retrofit Rectangular Rapid Flashing Beacon (RRFB)	N	P	LS	1	68,500.00	1	44,460.00	44,460.00	\$26,676.00
	N	S						0.00	
	N	S						0.00	
	N	S						0.00	
	N	S						0.00	

If any of the items above are checked **Yes** as to **Partial**, please use the space provided or use an attachment to offer an explanation of the work involved. Also please identify who is responsible for the remainder of the partial items.

*** Firm Type Code: **S** (subcontractor), **M** (manufacturer), **P** (supplier), **T** (trucking), **V** (services). For items leased or rented please use code L under Firm Type Code instead of P.
 Any DBE Trucking firm (**T**) nominated on this form must self-perform not less than 30% of their contract value.

**** In instances where the Prime is paying the Subcontractor a higher unit price than the bid, by submitting this form the Prime agrees to the higher subcontracted price without additional costs to the Department.
 **** Is this DBE Purchasing any Material or Leasing any Equipment from the Prime or any of the Prime's Affiliates? YES NO If YES, state the amount and DO NOT include the amount in the amount toward the goal. Amount: \$ N/A

***** The credited amount includes adjustments for supply items **including leased or rented** at 60%.

Stephanie Mastrogiannis
 Signature of Prime Contractor, Title

12/9/2021
 Date

Lisa Rutherford
 Signature of Subcontractor, Title

12/9/2021
 Date

After this submittal is approved by the Department, any proposed changes to it must be submitted to the Department for approval.

Digitally signed by Stephanie Mastrogiannis
 DN: cn=Stephanie Mastrogiannis, o=The Ny-Conn Corporation, ou, email=smastro@nyconn.com, c=US
 Date: 2021.12.09 12:52:38 -0500

Digitally signed by Lisa Rutherford
 Date: 2021.12.09 12:52:33 -0500



Ocean State Signal Co.

27 Thurber Blvd
Smithfield, RI 02917

Tel: 401-231-6780 Fax: 401-231-4390

www.oceanstatesignal.com

TO: Jason Steeves - NyConn

DATE: 12/1/2021

LOCATION: **ConnDOT**

FROM: James-Michael Trotta

ITEM NUMBER: Solar RRFB Crosswalk Systems

DUE DATE: 12/1/2021

INTERSECTION: Various Locations

PROJECT #: 0173-0507

Quantity	ITEM/MATERIAL DESCRIPTION		Total
1	Bridgeport - Jetland St - TCS-01 - Location 1		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Base Plate	Black	
	2 (ea) 55W Solar Control System w/ Radio	Black	
	1 (ea) RRFB Interface Power Panel Assembly		
	3 (ea) iNX APS w/ 9x12 R10-25 Sign	Black	
1	Bridgeport - Melrose Ave - TCS-02 - Location 2		\$11,970.00
	3 (ea) 14' Aluminum Pedestal w/ Base Plate	Black	
	3 (ea) 55W Solar Control System w/ Radio	Black	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Black	
	3 (ea) iNX APS w/ 9x12 R10-25 Sign	Black	
1	Darien - Noroton Church - TCS-03 - Location 3		\$5,035.00
	2 (ea) 12' Aluminum Pedestal w/ Square Base	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Item # 1102008 - 4'4" Aluminum Pedestal	Natural	\$712.50
1	Item # 1105303A - AC Powered RRFB Control Cabinet	Natural	\$2,565.00
1	East Haven - Pietrelcina Church - TCS-04 - Location 4		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Easton - Sport Hill Rd - TCS-05 - Location 5		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		

UTILIZED FOR DBE GOAL IN YELLOW



Ocean State Signal Co.

27 Thurber Blvd
Smithfield, RI 02917

Tel: 401-231-6780 Fax: 401-231-4390

www.oceanstatesignal.com

TO: Jason Steeves - NyConn

DATE: 12/1/2021

LOCATION: ConnDOT

FROM: James-Michael Trotta

ITEM NUMBER: Solar RRFB Crosswalk Systems

DUE DATE: 12/1/2021

INTERSECTION: Various Locations

PROJECT #: 0173-0507

Quantity	ITEM/MATERIAL DESCRIPTION		Total
1	Easton - Black Rock Tpk - TCS-06 - Location 6		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	New Haven - Emerson St - TCS-07 - Location 7		\$4,940.00
	2 (ea) RRFB Interface Power Panel Assembly		
	2 (ea) Battery - Solar 12v 50ahr		
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
1	Stamford - Seaton Rd - TCS-08 - Location 8		\$4,940.00
	1 (ea) AC Power Control Cabinet Assembly	Black	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Black	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
1	Trumbull - Nichols Church - TCS-09 - Location 9		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Weston - Route 57 - TCS-10 - Location 10		\$5,035.00
	2 (ea) 12' Aluminum Pedestal w/ Square Base	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Item # 1102008 - 4'4" Aluminum Pedestal	Natural	\$712.50
1	Item # 1105303A - AC Powered RRFB Control Cabinet	Natural	\$2,565.00

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ITEM NUMBER: Solar RRFB Crosswalk Systems

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INTERSECTION: Various Locations

PROJECT #: 0173-0507

Quantity	ITEM/MATERIAL DESCRIPTION		Total
1	Westport - Wilton Rd - TCS-11 - Location 11		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Westport - Lansdowne Condos - TCS-12 - Location 12		\$8,835.00
	2 (ea) 14' Aluminum Pedestal - NO BASE	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		
1	Wilton - Autumn Ridge Dr - TCS-13 - Location 13		\$4,940.00
	2 (ea) RRFB Interface Power Panel Assembly		
	2 (ea) Battery - Solar 12v 50ahr		
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
1	Woodbridge - Fire Dept - TCS-14 - Location 14		\$8,835.00
	2 (ea) 14' Aluminum Pedestal w/ Square Base	Natural	
	2 (ea) 55W Solar Control System w/ Radio	Natural	
	4 (ea) RRFB-XL Light Bar w/ Ped Indication	Yellow	
	2 (ea) iNX APS w/ 9x12 R10-25 Sign	Yellow	
	4 (ea) 30" W11-2 Ped Crossing Sign		
	2 (ea) 24x12 W16-7L Down Left Arrow Sign		
	2 (ea) 24x12 W16-7R Down Right Arrow Sign		
	8 (ea) Sign Mounting Hardware Kit		

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