Subject: Pre-Award DBE Review
Contract Number: 0060-0160
Contract Amount: $14,660,000.00
Funding Source: 80%FHWA/20%State
Bid Opening Date: 10/25/23
OJT Requirement: Yes
Requirement: DBE ☒ SBE ☐ GFE ☐   Prime is a DBE: Yes ☐   No ☒
Required % (Dollar Amount): 8%/$ 1,172,800.00
Contractor: Richards Corporation
Date: 10/31/23

To:	Christopher Angelotti	**From:**	Lisa Spear
	Division of Construction Operations		Fiscal Administrative Officer
	Division Chief		Contracts Section

This Contractor has met the 5-day federal guideline for DBE submittals.

In accordance with the provisions, the contractor has submitted the following to meet the pre-award requirements for this contract.

Subcontractor	Race/Gender	Amount Nominated ($)	Amount Credited ($)
J & P Construction		406,375.75	406,375.75
Stop & Go Traffic Control, LLC		556,800.00	556,800.00
TC Lawncare, LLC		222,372.64	222,372.64
Total		1,185,548.39	1,185,548.39

Please review and indicate if you find this submittal acceptable by signing below. If you find any nominated subcontractor is not to receive credit for any line item but the prime still meets the minimum requirement, please indicate your exception below.

*Approval As Noted:

Christopher G. Angelotti

_____
Division of Construction Operations
Division Chief

See Signature
_____
Date

Comments:
*Approved as noted: Richards is committing the unit-prices and amounts to the DBE subcontractors. Changes to the amount resulting in a negative adjustment will require a request for and Pre-approval of a modification of the Pre-Award Commitment (PAC) in order to avoid reduction of payment. In addition the performance of Good Faith Efforts to meet the project goal and their documentation is required in order to avoid reduction of payment. The CRD15-1 and related instructions can be found on the CTDOT subcontracting webpage. Richards should evaluate its commitments and be proactive related to pre-approval of PAC modifications.
Correction to math errors were made in accordance with the items and amount listed.