

**2014** Program Year

# State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program  
Housing Opportunities for Persons with AIDS Program  
HOME Investment Partnerships Program  
Emergency Solutions Grant Program

Submitted to the  
U.S. Department of Housing & Urban Development



By  
The State of Connecticut  
Department of Housing

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DRAFT

**State of Connecticut  
2014 Consolidated Annual Performance and Evaluation Report**

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**Executive Summary**

**2014-15**

The 2014 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2014-15 Program Year (PY14) which began 7/1/2014 thru 6/30/2015. During PY14, these four programs were administered by the Department of Housing (DOH).

Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs.

HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state.

Emergency Solutions Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential Housing both to assist the homeless and to prevent homelessness.

Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families.

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for PY13 are listed below.

<b>Table 1: Program Resource Allocation for PY 2014-2015</b>	
HOME Investment Partnerships (HOME)	\$ 6,988,822
Small Cities Community Development Block Grant (CDBG)	\$ 11,958,150
Emergency Shelter Grants (ESG)	\$ 1,856,840
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 219,771
<b>Total</b>	<b>\$ 21,023,583</b>

*Source: DOH*

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. A description for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year. The annual Action Plan also outlines the state’s proposed accomplishments for the program year based on the performance measurement system presented in the 2010-15 ConPlan and HUD’s Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2010-15 ConPlan, subsequent annual Action Plans and CAPERS are available on the DOH web site at [www.ct.gov/doh](http://www.ct.gov/doh). The 2014 CAPER is the fifth reporting year of the 2010-2015 ConPlan. The Performance Measurement System used in this document is as outlined in the 2010-15 ConPlan.

## NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

*Summary of impediments identified in analysis:*

Impediments identified in the analysis are summarized in the Executive Summary of the [Analysis of Impediments to Fair Housing Choice](#) which is located on the publications page of the DOH website under **Housing Plans**.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

*Description of the Continuum of Care:*

The State of Connecticut currently has 3 Continua of Care (COC). DOH co-chairs, with AIDS-CT the largest COC in the state. The CT-Balance of State (CT-BOS) CoC has 84 individual grants totaling \$21,691,415 that provides rental subsidies and support services for homeless individuals and families. The CT-BOS has a steering committee made up of 6 state agency partners as well as 12 private non-profit agencies. The steering committee sets policies aimed at ending Chronic Homelessness by the end of 2016 and following the path set forward through the federal Opening Doors Plan. As a result of the actions taken, the CT-BOS received the third largest new award in the nation that provided 193 new rental subsidies with support services for a total grant of \$2,784,066.

In addition to participation on the CT-BOS, DOH is an active participant in Connecticut's Interagency Committee on Supportive Housing. This group is made up of 10 separate state agencies that provides supportive housing to a variety of different subpopulations. In the year ending 6/30/15, DOH in concert with its sister agency, the Department of Mental Health and Addiction Services, released a rfp for supportive housing services for chronically homeless individuals and families. As a result of this process, the state added an additional 176 units of supportive housing to its inventory, bringing the total of state funded supportive housing units to over 2500.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DOH, in addition to funding emergency shelters and transitional living programs, also provides services through homeless drop-in day programs, homeless housing support services, Housing First for Families Program, CT Beyond Shelter Program, CT Rapid Rehousing Program, food pantries, and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs.

DOH has required all emergency shelter and transitional living programs to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DOH continues to implement and manage the CT Rapid Rehousing program. This is a regional program which assists literally homeless households with housing location services and placement, rental assistance as needed, and 12 months of in-home housing stabilization case management services.

DOH is an active member of the Balance of State Continuum of Care, as well the CTHMIS Steering Committee.

*Significant homeless subpopulations assisted were:*

Elderly and Frail Elderly and Persons with HIV/AIDS and their Families account for the significant homeless subpopulations assisted during the program year. Through the Office of Individual and Family Services at DOH, services are targeted to elderly and frail elderly populations. Also the department utilizes funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

*The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:*

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

*The following efforts were made to address special needs of persons that are not homeless but require supportive housing:*

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

*Participation in a Continuum(s) of Care application in FFY 2014 competition:*

The DOH provided 31 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

*The following Continuums of Care applications were submitted in the FFY 2013 competition:*

DOH had one application for the creation of a rapid rehousing program in the amount of \$97,433

*The following Continuums of Care projects were funded in the FFY 2013 homeless assistance competition:*

DOH had one application for the creation of a rapid rehousing program in the amount of \$97,433 awarded.

*The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2014 competition:*

State of Connecticut DOH for \$97,433  
State of Connecticut DMHAS fifty-five (55) grants for \$19,163,164

### **Other Actions**

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- **Actions to address obstacles to meeting underserved needs**

*The following actions were taken in the program year to address obstacles to meeting underserved needs:*

DOH continues to include a priority for capital funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included a priority for municipalities whose current percentage of affordable housing is below ten (10) percent.

DOH continues to include a priority for the inclusion of supportive housing in its rating and ranking criteria for projects/activities.

- **Actions to foster and maintain affordable housing**

*The following actions were taken in the program year to foster and maintain affordable housing:*

DOH continues to include a priority in its rating and ranking criteria for awarding both state and federal funding for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 639 units of affordable housing were preserved under the SC/CDBG program (202 Rehab/Home Ownership and 437 Public Housing Rental Units).

- **Actions to eliminate barriers to affordable housing**

*The following actions were taken in the program year to eliminate barriers to affordable housing:*

DOH continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DOH continues to require that all rehabilitated or developed affordable housing activities be marketed in an affirmative and non-discriminatory manner, with an emphasis on marketing to those least likely to apply.



- **Actions to overcome gaps in institutional structures and enhance coordination**

*The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:*

During PY14 DOH continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing and Homelessness; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

*The following actions were taken in the program year to improve public housing and resident initiatives:*

During the program year the State made housing related activities a priority and DOH worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State of Connecticut continued to support the Resident Participation and Rights Act, codified under section 8-64c of the Connecticut General Statutes, that further strengthen tenant's rights in public housing projects.

- **Actions to evaluate and reduce lead-based paint hazards**

*The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:*

During PY14 DOH continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

*The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):*

DOH provided 31 "Certifications of Consistency with the Consolidated Plan" to applicants applying for funding under HUD's NOFA for the Continuum of Care-Homeless Assistance Programs.

DOH held one SC/CDBG workshop on the agency's competitive application process, two workshops on the DOH Consolidated Application (HOME), one workshop on Affirmative Fair Housing Marketing Plans and one workshop Section 3 Compliance.

DOH conducted 29 close-out monitoring visits for the SC/CDBG program, 3 physical inspections and/or income test monitoring visits for the HOME program and 29 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

*The following actions were taken in the program year to reduce the number of persons living below the poverty level:*

The four programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG and HOPWA – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level.

**Anti-Poverty Strategy:**

In addition to the four programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state's ConPlan.

The State of Connecticut has established the Child Poverty and Prevention Council, in accordance with C.G.S. Section 4-67x, to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty by fifty percent. In addition, it established prevention goals and recommendations, and measures prevention service outcomes in order to promote the health and well-being of children and families.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **[Child Poverty and Prevention Council Progress Report – Jan. 2013](#)**

The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **[Economic Strategic Plan for Connecticut](#)**

This plan is mandated per CGS Section 32-1o. It is a comprehensive five-year strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends more than 60 specific strategies and initiatives for the future, grouped in three general areas: Talent and Technology, Cultivating Competitiveness and Responsible Growth.

- **[State Long-Range Housing Plan](#)**

This plan was mandated per CGS Section 8-37t. It is a comprehensive five-year strategic plan that articulates and outlines the state's strategies, goals and objectives with regard to the preservation and creation of quality affordable housing and opportunities. Pursuant to Public Act No. 11-124, "An Act concerning the State's Consolidated Plan for Housing and Community Development", which became effective on October 1, 2011, the requirement for a Long Range State Housing Plan and annual Action Plan was amended and its requirements were incorporated into the State's Consolidated Plan for Housing and Community Development to be developed in accordance with 24 CFR Part 91 as prepared by the Commissioner of Housing, in consultation with the Connecticut Housing Finance Authority.

- **Conservation and Development Policies: The Plan for Connecticut**

This plan is mandated per CGS Section 16a-24. It is a comprehensive plan that serves as a statement of the development, resource management and public investment policies for the state.

- **State Of Connecticut Temporary Assistance For Needy Families (TANF) State Plan**

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **State Of Connecticut Department Of Housing Administrative Plan For The Rental Assistance Program**

This plan outlines how the State administers, through DOH, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **Child Care and Development Fund Plan for Connecticut**

This plan describes the child care and development fund program to be conducted by the State.

- **State of Connecticut Comprehensive Mental Health Plan**

This plan is submitted to the Federal Substance Abuse and Mental Health Services Administration. It outlines the state's plan to address mental health and addiction challenges.

- **State of Connecticut Department of Developmental Services Five-year Plan**

This plan is mandated per CGS Section 17a-211. It is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Opening Doors - Connecticut Strategic Plan to Prevent and End Homelessness**

This Plan creates the framework for accomplishing the ambitious goals of preventing and ending homelessness. The objectives identify high level actions or system change needed to facilitate increased access to housing, economic security, health, and stability for specific populations. The strategies articulate steps that could be taken collaboratively by federal, state, and local leaders to address the differentiated needs of the populations identified.

## I. Assessment of Progress

Connecticut's 2010-15 Consolidated Plan and subsequent Action Plans outline Over arching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state continues to make available HOME, SC/CDBG, ESG, and HOPWA funds to eligible recipients based on the priorities set forth in the state's 2010-15 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2010-15 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2014-15 Action Plan.

The state's long-term vision is that Connecticut's communities will be vibrant, safe, clean, and diverse places for people to live, work, and raise a family, that housing opportunities in Connecticut will be affordable, environmentally friendly and available to meet the needs of all its citizens. Housing is a key component of attaining and sustaining economic growth and in anchoring a community. Ensuring affordable housing options, to own and rent is an important contributing factor to future economic health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing.

In order to address all the citizens needs in an era of constrained resources it is important to add new housing as well as preserve affordable housing presently serving households in need. Additionally, it is important that all efforts, state and local, be undertaken consistent with responsible growth principles that will make the most efficient uses of energy, land, public infrastructure and other societal resources over the long-term. The state continues to marshal its resources to address Connecticut's housing development, housing support, and community development needs through the application of Responsible Development and Sustainable Communities' Livability principles to promote responsible development by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

Responsible development as an overarching principle includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible development policy, DOH continues to give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

Sustainable Communities as an overarching principle emphasizes Connecticut's commitment to building and sustaining safe, livable, healthy communities and encourages investment and development that strengthens and revitalizes communities by providing more choices for affordable housing near employment opportunities; more transportation options that lower transportation costs and shorten travel times; and improve and protect the environment. This activity is consistent with the recommended State Plan of Conservation and Development.

The state continues to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”. To these ends, the state affirmatively furthers fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH and CHFA continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut’s citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state is working to revitalize communities by providing communities in need with:

- Assistance to undertake housing, community and economic development initiatives.
- Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state continues to encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state’s responsible growth strategies and the growth management policies specified in the new [Conservation and Development Policies: The Plan for Connecticut](#) (C&D Plan).

## **A. OVERARCHING PRINCIPLES**

### **Responsible Development**

Responsible Development includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible growth policy, DOH prioritizes projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

DOH gives preference to community and housing development/redevelopment projects that satisfy the following responsible growth criteria:

- Conform with the C&D Plan for Connecticut.
- Are sited within existing developed areas and promote infill development.
- Are sited within existing public utilities service areas (water, sewer, etc.).
- For projects outside of public utility services areas, scaling down to use on-site systems, where practicable, to manage unplanned development of adjacent land.

- Integrate transit-oriented development.
- Integrate energy/water conservation, energy efficiency and "green" building design.
- Avoid adverse impacts to natural and cultural resources and open space.
- Promote mixed-use development and mixed income development and compatible land uses (pedestrian-friendly with access to multiple destinations within close proximity of each other).

The state's responsible growth strategies directly related to affordable housing include supporting state programs such as the Housing for Economic Growth program (a.k.a. HOME Connecticut) and the Incentive Housing Zones for higher-density, mixed-income housing in downtowns and re-developed brownfields and former mills close to transit options and job centers. DOH also supports federal efforts by the U.S. Departments of Housing and Urban Development (HUD), Transportation (USDOT) and the Environmental Protection Agency (EPA) to promote mixed income housing near transit, known as the Partnership for Sustainable Communities.

### **Sustainable Communities**

"The average working American family spends nearly 60% of its budget on housing and transportation costs, making these two areas the largest expenses for American families."

As referenced above, HUD, the USDOT and the EPA have entered into a "partnership to help American families gain better access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities". This initiative, known as the Sustainable Communities Initiative, is based on the following "Livability Principles":

- Provide more transportation choices. Develop safe, reliable, and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
- Promote equitable, affordable housing. Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- Enhance economic competitiveness. Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers, as well as expanded business access to markets.
- Support existing communities. Target federal funding toward existing communities - through strategies like transit oriented, mixed-use development, and land recycling - to increase community revitalization and the efficiency of public works investments and safeguard rural landscapes.
- Coordinate and leverage federal policies and investment. Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- Value communities and neighborhoods. Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods - rural, urban, or suburban.

This initiative is consistent with the state's responsible growth principles and policies. The state of Connecticut continues to work to align its funding and development policies and initiatives, as outlined in Executive Order 15 and Sections 4-124 (s) and (t) of the

Connecticut General Statutes, with those of the Sustainable Communities Initiative. To these ends the Connecticut State Departments of Housing (DOH), Transportation (CTDOT) and Energy and Environmental Protection (DEEP) hold executive level meetings on integrating responsible growth and sustainable communities' livability principles and policies into their planning and funding processes and to align state programs, development initiatives and funding with those of the federal sustainable communities initiative. Therefore, the state is including the "livability principles" along with its responsible growth principles in its overarching policies for housing and community development.

## **B. OVERARCHING GOALS**

The overall goal of the community planning and development programs covered by this section of the plan is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.  
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.
2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.  
A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.
3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.  
Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development



activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

*Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms “low-income”, “low and moderate income”, “low-moderate income” and “low-mod income” include the “very low” and “extremely low “ income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.*

### **Objectives, Outputs, Outcomes, and Indicators**

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

### **Basis for Assigning Priority**

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut’s housing and community development needs and the state’s current and historical housing market. Based on the state’s review of all relevant and available data, specific issues were selected and run through an internal screening at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on three overarching factors: (1) the issue’s relative demonstrated need (as identified in the needs assessment), (2) the availability of other funds to address the need and (3) the eligibility criteria of each of the four federal programs governed by this plan.

### **High Priority Needs and Funding**

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the four state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all

things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME and HOPWA programs.

### **Geographic Targeting**

The state targets its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources are focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Voucher/Certificate, Section 8 Moderate Rehabilitation, Community Services Block Grant (CSBG), Federal Historic Tax Credits, and Federal Historic Preservation Grants are exempt from the state's geographic targeting.

The following federal resources are directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction. Five jurisdictions (Bridgeport, Hartford, New Britain, New Haven and Waterbury) receive their own allocations of ESG funds directly from the federal government, thus are not eligible for the state allocation. Because of the nature of homelessness, the ESG program is exempt from Priority Funding Area requirements.
- Rural Development (aka Farmers Home) Programs (All) - The U.S. Department of Agriculture's Rural Development Housing Programs were established to provide quality affordable housing to the nation's rural and farm communities. All Rural Development programs (502, 515, 523, etc.) are restricted for use in "rural areas" which include open country and places with populations of 50,000 or less.
- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly

from the federal government and are not eligible for use of the state allocation designated for small cities.

- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Areas (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH will receive a small amount of “Balance of State Dollars” and will use a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

## C. PERFORMANCE MEASUREMENT

### AFFORDABLE HOUSING

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#### GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

#### AFFORDABLE HOUSING STRATEGIES

##### Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

The state continues to endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”<sup>1</sup>. To these ends, the state affirmatively furthers fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH and CHFA will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies using education and outreach, regional solutions and cooperation and neighborhood stabilization and revitalization.

##### The Supply of Quality Affordable Housing

The state continues to work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments. Most urban areas are natural mixed-use developments whereas suburban areas need to move away from the traditional single-use developments.

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<sup>1</sup> *People, Place and Opportunity: Mapping Communities of Opportunity In Connecticut*, Kirwan Institute, Ohio State University & the Connecticut Fair Housing Center, November 2009.

Increasing the supply of quality affordable housing can be accomplished in multiple ways including new construction and rehabilitation of existing units. Adaptive re-use of historic structures provides multiple benefits to communities. Redevelopment lowers the ratio of poor quality or unused structures. Additionally, re-use lessens sprawl in rapidly developing areas by preserving open space/undeveloped land. Adaptive re-use is very likely to engender community support by preserving structures that have long been part of the community.

### **Summary of five year Affordable Housing Objectives**

#### **Fair Housing and Housing Choice**

Over the five-year period covered by the 2010-15 ConPlan, the state has focused its resources to achieve the following:

- Within budget appropriations, the DOH continues to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's Analysis of Impediments (AI) for state level action. Utilization of the Fair Housing Center has enabled the state to better address the objectives of the AI by increasing the access of people in the protected classes to the existing supply of affordable housing, expanding fair housing outreach and education activities, providing increased training of state employees, service providers, housing developers or other funding recipients in the area of fair housing/civil rights and increasing monitoring and enforcement of fair housing laws and policies within the State of Connecticut.
- DOH continues to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- The Analysis of Impediments to Fair Housing Choice has been updated, including the Action Steps for state and local governments.

#### **Quality Affordable Housing**

Over the five-year period covered by the 2010-15 ConPlan, the state has focused its resources to achieve the following:

- With Regard to New Affordable Rental Housing:
  - DOH has created more than 750 new quality affordable rental housing units.
- With Regard to New Homeownership Opportunities:
  - DOH has created more than 300 new affordable homeownership opportunities.
  - CHFA has assisted more than 15,000 first time homebuyers.
- With Regard to Preserving Existing Affordable Rental Units:
  - DOH/CHFA have preserved more than 1,000 existing affordable rental housing units.
- With Regard to Maintaining Homeownership:
  - DOH has assisted in maintaining homeownership for 400 households.
- With Regard to CHFA multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing:
  - CHFA has funded the development and/or preservation of 3,200 units.

The above referenced figures are based on the cumulative activities of these agencies over the five-year period covered by the 2010-15 ConPlan.

**OBJECTIVES, OUTPUTS, OUTCOMES and INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

To achieve the aforementioned five year Objectives for Affordable Housing Strategies, the state has undertaken a combination of the following activities, initiatives and specific objectives:

**Objective 1:**

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

**Output:**

- Complete the update of the Analysis of Impediments to Fair Housing Choice (AI).
- Fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities.
- Fund mobility counseling/tenant education programs to encourage/assist/educate approximately 10,000 federal Section 8 Housing Choice Voucher and State Rental Assistance Payments program and Transitional Rental Assistance Payments Program participants with moves to areas of de-concentrated poverty annually.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice and economic opportunities.
- Support up to four (4) infrastructure projects to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas annually.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of nine existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.
- Support fair housing education/outreach activities/actions to address illegal discrimination, to include continuing to fund mobility counseling/tenant education programs to encourage/assist/educate federal Section 8 Housing Choice Voucher and State Rental Assistance Payments program and Transitional Rental Assistance Payments Program participants with moves to areas of de-concentrated poverty annually.

**Outcome:**

- Improved availability/accessibility by supporting fair housing education, outreach activities, programs and actions to address illegal discrimination and expand housing opportunities.

**Indicator(s):**

- Complete update of the AI.
- Number of fair housing educational and outreach opportunities achieved.
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Support at least one inter-municipal or regional housing project.
- Create incentives for municipalities to collaborate on projects.
- Number of infrastructure projects conducted per year.

- Number of federal Section 8 HCV and State Rental Assistance Payments Program participants educated through this program that move to areas of de-concentrated poverty.
- Number of federal Section 8 HCV and State Rental Assistance Payments Program participant moves that represent a census tract improvement of at least ten points; from a higher concentrated area to an area of lower concentrated poverty.

**Objective 2:**

Enhance suitable living environments and create decent affordable housing.

**Output:**

- Produce up to 750 newly constructed rental units that serve low- and moderate-income households using federal HOME and/or state housing programs.
- Rehabilitate up to 1,000 rental units that serve low- and moderate-income households using federal SC/CDBG/HOME and/or state housing programs.
- Produce up to two newly constructed homeowner units that serve low-and-moderate income households using federal HOME and/or state housing programs.
- Rehabilitate up to 400 homeowner units that serve low-and-moderate income households using federal SC/CDBG/HOME and/or state housing programs.
- Improve affordability by promoting and supporting mixed-income development projects in areas that currently under-serve low- and moderate-income households.
- Support the moderate rehabilitation of existing single-family homes (a single family home is defined as a one to four unit owner occupied residential structure).
  - SC/CDBG Program – Support up to four single-family moderate rehabilitation projects each year in SC/CDBG program eligible communities.
  - State Housing programs - Support up to four single-family moderate rehabilitation projects each year in suburban communities.
- Creation of multifamily housing
  - HOME Program- Produce up to 75 units of new multifamily housing in areas of need each year.
  - State Housing programs - Produce up to 75 units of new multifamily housing in areas of need each year.
- The CHFA multifamily housing development mortgage program will work to fund the development and/or preservation of units of multifamily housing.
- Through the adaptive re-use of historic structures, create and/or preserve residential units using federal SC/CDBG/HOME and/or state housing programs.
- Identify properties most at risk of being lost to the affordable market.
- Support energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons by funding housing projects each year that improve energy efficiency using federal and/or state housing and/or weatherization programs.

**Outcome:**

- Expansion of rental and homeowner housing and Sustainable Community activities completed that serves low-and-moderate income households.

**Indicators:**

- Number of newly constructed units.
- Number of rehabilitated units.
- Number of rental units.
- Number of homeowner units.
- Number of single-family moderate rehabilitation projects completed each year.

- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of need.
- Number of residential units created by re-use of historic structures.
- State, Federal and private resources leveraged.
- Number of energy efficiency projects completed each year.
- Number of at risk properties identified.
- Number of mixed income developments.

**Objective 3:**

To enhance suitable living environments through financial intermediaries.

**Output:**

- Provide economic opportunities in the form of rent subsidies to enhance suitable living environments.
- Provide economic opportunities in the form of mortgage assistance to enhance suitable living environments.
- Improve affordability by continuing to use CHFA's multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing units consistent with the needs and priorities established in the Consolidated Plan annually.
- Maintain mortgage lending and equity funding programs to fund up to approximately 800<sup>2</sup> units annually based on recent program experience, with an estimated 400-500<sup>3</sup> units funded through the Low Income Housing Tax Credit Program and the balance through the issuance of tax-exempt bonds or other bonds for development and expiring use preservation, based on the availability of these resources, financial market conditions, demand for financing and the availability of other necessary capital and operating subsidy required to attain feasibility. Use these debt and equity funding programs to leverage state, federal and private resources to the extent possible.
- Implement a Location Efficient Mortgage (LEM) Program to be administered by CHFA. The LEM Program provides state-backed relief in mortgage premiums based on proximity to urban areas. The LEM Program combines a low down payment, competitive interest rates and flexible criteria to encourage families to attain homeownership in proximity to transit annually.
- Continue CHFA's homeownership mortgage programs to expand homeownership generally, with an emphasis on targeted areas with lower rates of homeownership; and continue statewide special programs and initiatives to maintain homeownership.
  - Maintain CHFA efforts to expand homeownership through assisting approximately 2,700 to 3,000<sup>4</sup> first time homebuyers each year during the five-year period based on recent program history and the availability of mortgage capital for this purpose.
  - Maintain lending in the state's federally targeted urban areas to a minimum of 30% of all mortgages purchased by CHFA each year.
  - Build program and investment partnerships with local stakeholders that maximize the use of CHFA's current program and leverage local, state and federal resources.
  - Continue efforts to help distressed homeowners maintain ownership.
- Grant priority consideration to creating flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be

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<sup>2</sup> Conditioned upon the availability of funds and market conditions.

<sup>3</sup> Conditioned upon the availability of funds and market conditions.

<sup>4</sup> Conditioned upon the availability of funds and market conditions.

significantly increased throughout the state. Produce affordable homeownership units through increased funding flexibility and reduce regulatory burden.

- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME programs, treating each pool of funding as a source of flexible capital. This allows developers to seek ‘subsidized’ capital from a pool of funds and put all parts of the capital structure of a housing project together while mitigating uncertainty and delays.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and homeowner housing that serves low-and-moderate income households.

**Outcome:**

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

**Indicators:**

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Strategies for mitigating the potential loss of units.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Number of new families that attained homeownership in proximity to transit by implementing the LEM Program.
- Leverage of CHFA’s current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA’s multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA’s Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME, State, Section 8	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, SC/CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, SC/CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,



## **PUBLIC HOUSING**

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### **GOAL**

Provide decent housing and enhance suitable living environments for residents of public housing.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

#### **Objective 1:**

Address the housing needs of residents of public housing through preservation of existing housing units and additional rent subsidies.

#### **Output:**

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period using financing from the Affordable Housing Program/Housing Trust Fund/Housing Sustainability Fund.
- Invest in the maintenance/rehabilitation/modernization of 200 existing publicly-assisted rental housing units annually to preserve them as a long-term resource using federal funding such as the SC/CDBG or HOME Program.
- Continue to offer loans, within available resources, to local housing authorities to fund capital needs. Continue to offer capital funding for the redevelopment of portfolio properties annually through the CHFA mortgage and tax credit programs. Seek and develop opportunities to leverage additional funding from federal and private sources.
- Encourage local public housing authorities to respond to all notices of funding availability from HUD to increase the supply of federal Section 8 Vouchers by 50 new vouchers annually.

#### **Outcome:**

- New/improved availability/accessibility and affordability in public housing.

#### **Indicators:**

- Number of at risk properties identified each year.
- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of existing state-assisted rental units preserved through weatherization. each year.
- Number of new Section 8 vouchers each year.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State SC/CDBG HOME CHFA Section 8 WAP	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, CDBG Eligible Communities

**HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING**

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**GOAL**

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

**STRATEGIES**

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

**OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources*

**Objective 1:**

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

**Output:**

- Utilize the Beyond Shelter Program and Counselors in Shelters Program to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Establish and convene the Statewide Homelessness Prevention and Rapid Re-housing Operations Advisory Committee.
- Participate in Connecticut Coalition to End Homelessness' Homelessness Prevention Taskforce and use the information gained to create a sustainable housing based system that will prevent/quickly end homelessness among families and individuals in crisis.
- Review operational aspects of implementing the HPRP Program to identify "what's working" and "what's not working" to increase efficiency and eliminate duplication.

- Increase number of clients served through homeless prevention, rapid re-housing and follow-up services (including but not limited to outreach and transitional services such as supported living, case management, and substance abuse treatment).

**Outcome:**

- New and improved availability and accessibility through HPRP.

**Indicator(s):**

- Number of homelessness reoccurrences among DSS assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Alternative means to addressing homelessness achieved.
- Number of clients served through homeless prevention, rapid re-housing and follow-up services is increased and increase number of client cases closed, settled or resolved by 50 per year, over five years in order to expand services.

**Objective 2:**

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

**Output:**

- Maintain the state-funded Eviction/Foreclosure Prevention program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 150 new supportive housing units.

**Outcome:**

- New and improved sustainability in permanent housing for risk families and individuals.

**Indicator(s):**

- Funding level and dollars committed to the Eviction Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing as a result of the program.
- Number of supportive housing units created.
- Number of rental subsidies.

**Objective 3:**

Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

**Output:**

- Coordinate funding opportunities to assist in achieving the strategies outlined in the Ten Year Plans to End Homelessness.

**Outcome:**

- New and improved availability and accessibility of housing to prevent and reduce homelessness through long range planning.

**Indicator(s):**

- Number of funding opportunities that addressed specific strategies outlined in the Ten Year Plan to End Homelessness.

**Objective 4:**

Maintain the state’s network of “Homeless Shelters.”

**Output:**

- Continue to fund “Homeless Shelters” across the state.

**Indicator(s):**

- Funding leveraged (beyond ESG).
- Number of shelters DOH funds (ESG).
- Number of beds & type (men, women, children)-ESG.
- Number of clients (ESG).
- Number of services/type (ESG).

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HPRP, State, HOME	Low-Mod Income	State-Wide
Objective 2	HPRP, State, HOME, TANF	Low-Mod Income	State-Wide
Objective 3	HPRP, State, HOME,	Low-Mod Income	State-Wide
Objective 4	ESG, State	Low-Mod Income	State-Wide

**OTHER SPECIAL NEEDS**

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**GOAL**

Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

**OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

***Special Needs - General***

**Objective 1:**

Coordinate the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to increase the availability of supportive housing by using state and federal resources effectively.

**Output:**

- Interagency Council and/or Interagency Committee meets regularly to insure coordination of efforts and identifies resources and prioritizes production and preservation of permanent supportive housing.

**Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

**Indicator(s):**

- Identification of resources and plan for production and preservation.

**Objective 2:**

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

**Output:**

- Utilize the state's current Long Term Care Plan as a blueprint for coordination of services.

**Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

**Indicator(s):**

- Was the state's Long Term Care Plan used as a blueprint for coordination of services.

**Objective 3:**

Link permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances. These actions will ensure long-term housing stability and independence.

**Output:**

- The number of clients who are provided appropriate services increases over five years.

**Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

**Indicator(s):**

- Number of clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

***Elderly and Frail Elderly***

**Objective 1:**

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that people with disabilities can live independently within their community of choice.

**Output:**

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

**Outcome:**

- New/Improved Affordability.
- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing.

**Indicator(s):**

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.

**Objective 2:**

Increase the number of elderly and frail elderly clients served by DSS.

**Output:**

- Increase client caseload by ten per year.

**Outcome:**

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

**Indicator(s):**

- Number of new client cases managed.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/HOME	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

***Persons with Disabilities***

**Objective 1:**

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue the successful keeping of families and those individuals with disabilities together, through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

**Output:**

- Number of linkages among federal agencies, state agencies and consumers is maintain and/or increased.

**Outcome:**

- Families and those individuals with disabilities kept together and receive appropriate counseling and other supportive services which ultimately increases their quality of life.

**Indicator(s):**

- Number of families and those individuals with disabilities kept together through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

**Objective 2:**

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individual with disabilities.

**Output:**

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

**Outcome:**

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

**Indicator(s):**

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

**Objective 3:**

Continue to provide for accessibility modifications.

**Output:**

- Accessibility modifications for 10 to 25 housing units per year are funded.

**Outcome:**

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live within the community of their choice.

**Indicator(s):**

- Number of accessibility modifications funded.

**Objective 4:**

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health,

recreational, housing and transportation services to ensure successful transition and long-term independence.

**Output:**

- \$250,000 in bond funds are provided to do accessibility modifications for persons leaving nursing facilities.

**Outcome:**

- Independent living is restored to all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

**Indicator(s):**

- Number of projects completed.
- Number of persons enabled to return to independent living as a result of accessibility modifications being made.

**Objective 5:**

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that the elderly can live independently within their community of choice.

**Output:**

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

**Outcome:**

- New/Improved Affordability.
- Independent living will be maintained for all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

**Indicator(s):**

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.
- Number of new supportive housing units created.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/Sec. 8		State-Wide
Objective 5	State/HOME		State-Wide



## **Persons with HIV/AIDS and their families**

### **Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

### **Output:**

- Existing HIV/AIDS programs are maintained and expanded.

### **Outcome:**

- New/Improved Availability/Accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DSS funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

### **Indicator(s):**

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

### **Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

### **Output:**

- Number of people accessing supportive housing services is increased over five years by 50.

### **Outcome:**

- New/Improved Availability/Accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

### **Indicator(s):**

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

### **Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

### **Output:**

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

### **Outcome:**

- New/Improved Availability/Accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

**Indicator(s):**

- Number of evaluations conducted.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>					
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>		
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible Communities

**Persons with Alcohol or Other Drug Addiction**

**Objective 1:**

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

**Output:**

- The number of clients who are provided appropriate services increases over five years.

**Outcome:**

- More persons with substance abuse issues receive appropriate care leading to a better quality of life for assistance recipients and a lower incidence of the negative consequences and costs associated with substance abuse.

**Indicator(s):**

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

**Ex-Offenders**

**Objective 1:**

Increase the availability of permanent supportive housing, as a housing option for, to assist individuals leaving the correction system to facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

**Output:**

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

**Outcome:**

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

**Indicator(s):**

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

**NON-HOUSING COMMUNITY DEVELOPMENT**

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**GOAL**

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

***OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS***

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

**Objective 1:**

Provide economic opportunities including job creation and retention through the establishment, stabilization and expansion of small businesses (including micro-enterprises) and the provision of public services concerned with employment.

**Output:**

- Support at least one Economic Development Project per year under the CDBG Program with the creation of up to 15 jobs per year (8 of which will be for low-and-moderate-income persons).

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Support and funding of economic development projects and micro-enterprise, activities/projects will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.

- Economic opportunities through employment for low-and-moderate-income persons will be increased.

**Long-Term Outcome:**

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of economic development projects funded under the SC/CDBG Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

**Objective 2:**

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for economic and/or community development project.

**Output:**

- Support at least one inter-municipal or regional project per year under the SC/.DBG Program including Comprehensive Economic Development Strategy (CEDS) project.
- Create incentives for municipalities to collaborate on projects.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.
- Economies of scale will be reached leading to lower governmental cost.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of inter-municipal/regional projects funded under the SC/CDBG Program annually.
- Number of jobs created in the region benefiting low-and-moderate-income persons annually.
- Cost savings for local municipalities and the state due to regional partnerships.

- Number of low-and-moderate-income persons served annually.
- Number of housing units annually.

**Objective 3:**

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

**Output:**

- Support up to four infrastructure projects per year under the SC/CDBG Program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of infrastructure projects funded under the SC/CDBG Program per year.
- Number of low-and-moderate-income persons served annually.

**Objective 4:**

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

**Output:**

- Support up to nine public facilities projects per year under the SC/CDBG Program.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

**Objective 5:**

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

**Output:**

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

**Short-Term Outcome:**

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

**Long-Term Outcome:**

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

**Indicator(s):**

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

**Objective 6:**

Allow municipalities that have state-approved responsible growth/Transit Oriented Development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development and support the use of tax incremental financing. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.

**Output:**

- Five Special Services Districts established over five years.

**Short-Term Outcome:**

- Local governments will have greater resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

**Indicator(s):**

- Number of municipalities with state-approved responsible growth/Transit Oriented Development (TOD) projects allowed to develop Special Services Districts and levy additional taxes and/or fees to fund development over five years.
- Number of TOD projects with new Special Services Districts over five years.

**Objective 7:**

Allocate \$100 million of Urban Reinvestment Tax Credits for TOD/Responsible Growth projects and implement the Recovery Zone Economic Development Bonding Program as a financing vehicle for approximately two Responsible Growth projects over a five-year period.

**Output:**

- Allocated \$100 million of Urban Reinvestment Tax Credits as an incentive for private investment and to stimulate the development and implementation of two Responsible Growth/TOD projects over a five-year period.

**Short-Term Outcome:**

- Private investment will be leveraged to increase the resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

**Indicator(s):**

- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits over a five-year period.
- Amount of private funding for TOD/Responsible Growth projects leveraged by the allocated tax credits.
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the implementation of the federal Recovery Economic Development Zone Bond Program over a five-year period.

**Objective 8:**

Provide \$100 million<sup>5</sup> of federal/state/local community development resources for ten brownfield redevelopment projects as recommended by the Brownfields Task Force over a five-year period.

**Output:**

- Up to ten brownfield sites/projects are remediated returning unproductive properties to productive use and improving the health and safety of Connecticut's citizens over a five-year period.

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<sup>5</sup> Note: This amount would be utilized for all types of brownfield and mill redevelopment, not just housing projects.

**Short-Term Outcome:**

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate- income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

**Indicator(s):**

- Dollar amount provided for brownfield redevelopment projects as recommended by the Brownfields Task Force over five years.
- Number of brownfield projects undertaken as a result of the \$100 million provided for brownfield redevelopment over five years.
- Number of brownfields/acres and/or buildings brought back to productive use over five years.

**Objective 9:**

Section 108 Program: DOH will be applying for a \$20M line of credit for SC/CDBG Section 108 loans to fund economic development projects. DOH estimates that it will support five economic development projects in five years.

**Output:**

- DOH estimates that it will support five economic development projects in five years by applying for a \$20,000,000 line of credit for SC/CDBG Section 108 loans (using the provisions of the Section 222 interim rule) on behalf of the non-entitlement communities of the state.

**Short-Term Outcome:**

- New/Improved availability/accessibility to funds.
- Support and funding of economic development projects and micro-enterprise, activities/projects that will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

**Long-Term Outcome:**

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.



- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of economic development projects funded under the Section 108 Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 2	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 3	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 5	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 6	State	Low-Mod Income	State -Wide
Objective 7	State	Low-Mod Income	State -Wide
Objective 8	State	Low-Mod Income	State -Wide
Objective 9	Section 108	Low-Mod Income	State -Wide

**COMMUNITY REVITALIZATION**

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**GOAL**

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

**OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and

funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

**Objective 1:**

Enhance sustainable living environments create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

**Output:**

- Allow municipalities that have state approved responsible development/transit-oriented development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.
- Allocate up to \$100 million of Urban Reinvestment Tax Credits for state approved responsible development/TOD projects, particularly for brownfield and former mill redevelopment as recommended by the Brownfields Task Force.
- Implement the federal Recovery Zone Economic Development Bond Program as a financing vehicle for responsible development projects.
- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of approximately three Community Revitalization Strategies or Neighborhood Revitalization Zones under the SC/CDBG Program over a five-year period.

**Outcomes:**

- New and improved sustainable communities.

**Indicator(s):**

- Number of responsible development/TOD projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits.
- Amount of private funding for responsible development/TOD projects leveraged by the allocated tax credits.
- Number of brownfields project undertaken as a result of the new state funding authorized for brownfield redevelopment.
- Number of acres and/or buildings brought back to productive use.
- Statewide database of brownfield sites is updated.
- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Decreased project development time.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies over a five-year period.
- Number of low-and-moderate-income persons served.

**Objective 2:**

Enhance suitable living environments and create decent housing in areas of need.

**Output:**

- Support at least two municipalities in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas each year using the HOME/State Housing programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households. Give preference to one mixed-income infill project creating at least 10-25 units of housing each year in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate-income households in areas of need. Fund at least two mixed-use and/or transit-oriented development projects with availability of 20 low-and-moderate-income residential units in an urban or suburban area over a five-year period. Support at least two municipalities with rezoning efforts to enable mixed-use developments, and/or transit-oriented developments over a five-year period.
- Foreclosed properties are kept from deteriorating, rehabilitated and sold to low-and-moderate-income households. Utilize Neighborhood Stabilization Program (NSP) and Community Development Block Grant - Recovery (CDBG-R) Program funds to stabilize neighborhoods in areas impacted by foreclosures to serve 325 to 400 households annually.
- Implement a “Learn Here, Live Here” Program to be administered by CHFA. The program would allow Connecticut resident students attending any post-secondary institution to contribute the larger of their state income tax liability or \$3,000 into a First-Time Homebuyer Trust Fund each year for ten years. The money could be withdrawn anytime over those ten years to purchase homes in Connecticut. Any interest income would be deposited annually into the state’s general fund to partially offset the cost of the program.
- Ensure there is a mechanism to fund both HOME Connecticut incentive housing payments and the Housing Trust Fund to increase workforce housing in the state.

**Outcomes:**

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

**Indicator(s):**

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.
- Number of foreclosed units acquired.
- Number of acquired units rehabbed and sold.
- Number of participants in “Learn Here, Live Here” Program.
- Number of homes purchased utilizing the “Learn Here, Live Here” Program.

- Number of HOME Connecticut incentive housing payments and the Housing Trust Fund funded.
- Number of workforce housing units created.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

**LEAD-BASED PAINT HAZARDS**

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**GOAL**

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

**STRATEGIES**

Connecticut has Statutes, Regulations, Technical Standards, Guidance Documents and Policies that relate to health and housing. Through increased coordination of agencies and partners it is possible to implement a healthy homes assessment and intervention program through DOH. The DOH would continue to comprehensively address lead-based paint issues as required under HUD’s Lead-Safe Housing Regulation as well as implementing Lead-Safe Work Practices under the new EPA rule: EPA Renovation, Repair and Painting Program (RRP) which became effective April 22, 2010. The Healthy Homes Initiative encompasses several known home-based health hazards and programs, and seeks to coordinate the delivery of services through collaboration with the DOH and other state and community stakeholders. Initial strategies for statewide implementation include training and technical capacity building of housing and health partners, development and implementation of healthy homes materials, assessments (inspectional forms) and evidence-based interventions in DOH-selected pilot communities.

**OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

**Objective 1:**

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

**Output:**

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach, and to pilot the developed “healthy homes approach” in at least three communities.

- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health.
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative). A healthy home assessment and intervention will address and seek to control environmental and other health and safety hazards such as, but not limited to, lead-based paint and lead-based paint hazards, damaged asbestos, elevated indoor radon levels; pests, sources of mold and mildew, smoke and carbon monoxide detectors, fire and electrical safety, and other structural components. This will be supported and accomplished in collaboration with state public health and housing programs such as the HOME, and SC/CDBG the DPH Healthy Homes Initiative, or through other state or federal funding sources.
- Build the technical capacity of DOH and local housing agencies by sponsoring ten (10) National Center for Healthy Homes (NCHH) training courses (2 courses held annually, or as needed). The NCHH two-day course is considered a pre-requisite to the National Environmental Health Association’s ‘Healthy Homes Specialist’ credential.
- Support the training and certification of at least 25 designated DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

**Outcomes:**

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

**Indicator(s):**

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with PDH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME CDBG Other/ State	Low-Mod Income	State-Wide CDBG Small Cities

## **II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing**

All information on activities or requirements provided in this section were carried out by DOH during PY 14.

### **A. Civil Rights Compliance**

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan;
- Provide a certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

## B. Employment Outreach

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned or Women-Owned Business Enterprises (MBE/WBE) was \$9,123,325 of which \$2,235,799 was provided to firms owned by persons who are Black Non-Hispanics or who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was \$130,609. In addition a total of \$2,105,190 was provided to Section 3 firms.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$13,901,606 of which \$159,031 was awarded to firms owned by persons who are Black Americans, and \$715,099 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$254,815. In addition a total of \$1,554,045 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide a training opportunities for grantees and their contractors addressing their Affirmative Fair Housing Marketing, Section 3 requirements and methodologies, as well as . These workshops were held at various times and locations throughout the year. These included:

- Fair Housing Training on June 3, 2015 in Hartford.
- Section 3 Training on June 18, 2015 in Wallingford.

## C. Nondiscrimination/Fair Housing

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;

- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

#### **D. Applicable State Requirements**

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

#### **E. Program Assurances**

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.



The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

#### **F. 24 CFR 92.351a – Affirmative Marketing – HOME Program**

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH’s affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant’s AFHMP and required attachments are approved by DOH.

#### **Assessing the Effectiveness of Affirmative Marketing for HOME projects**

To assess the effectiveness of affirmative marketing, DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis. Recipients provide the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner’s marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

#### **G. Continuing Efforts to Affirmatively Further Fair Housing**

DOH continues to provide the most recent statewide [Analysis of Impediments \(AI\) to Fair Housing Choice](#) on our website. What follows is a review of progress made on the previous year’s goals as outlined in the State AI.

##### **Objective 1 – Increasing housing access for protected classes**

- DOH provided the Corporation for Independent Living with a total of \$1,000,000 during FY 14-15 from the Affordable Housing (Flex) Fund to finance the “Money Follows the Person Transition Program” for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. A total of

20 dwelling units were modified using these funds. There are an additional 15 properties being actively considered for modifications at this time. The Connecticut State Legislature has again authorized an additional \$1,000,000 to continue this program in SFY 2014-15 and DOH intends to continue this initiative.

- DOH awarded \$30,000,000 in state bond funds to rehabilitate a total of ten (10) state public housing projects, preserving 487 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.
- DOH is on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH is on the Board of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.
- DOH has modified all of its contracts for financial assistance and fair housing documents to include gender expression and identity as a protected class as approved by the state legislature.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded \$40,000,000 under the Affordable Housing Flex Program and \$10,000,000 under the State Housing Trust Fund to ten housing projects during the SFY of 2014-15.
- 1,443 housing units were under completed during SFY 2014-15, of which 1,388 were affordable.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH is implementing a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) in residence and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$600,000 for the SFY 2014-15 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

- Performed intakes and gave fair housing advice to 311 Connecticut households;
- Investigated 311 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 16 Connecticut residents with disabilities;
- Obtains reasonable accommodations and reasonable modifications for 24 Connecticut households without litigation or court action;
- Performed 25 tests designed to investigate any claims of housing discrimination;
- Provided 1,100 hours of legal assistance to the victims of housing discrimination;
- Opened up more than 300 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 300 Connecticut residents;
- Worked with 35 residents of subsidized housing who are being displaced to ensure they have access to the housing of their choice by informing them of their fair housing rights, providing them with housing counseling, and providing legal assistance if they experience housing discrimination in finding alternative housing.
- Assisted members of the private bar in representing homeowners in foreclosure about the changes to the mortgage modification process and the new resources available to homeowners by providing legal updates to 150 attorneys each month;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 250 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Met with housing counselors and their clients to offer legal advice and information about the mortgage modification process and the resources available to assist with mortgage modification for 5 hours each month;
- Provided more than 2,500 hours of legal advice to homeowners in foreclosure;
- Represented 30 homeowners in foreclosure in an effort to save their home and/or obtain a mortgage modification.
- Worked with 15 homeowners who are having difficulty getting mortgage modifications because of limited English proficiency;
- Met with housing counselors and their clients to offer legal advice and information about the mortgage modification process and the resources available to assist with mortgage modification for 7 hours each month;
- Taught 50 classes to provide information on the legal foreclosure process to 325 households facing foreclosure;
- Distributed, and reprinted, the Center's "Representing Yourself in Foreclosure: A Guide for Connecticut Homeowners" to 1,200 Connecticut residents.
- Attended events sponsored by the Governor, Attorney General, and Department of Banking and provide legal advice to 22 homeowners at each event.

DOH provided the following trainings and guidance to housing providers in Connecticut:

- Provided training for subsidized housing providers on how to create and implement an affirmative fair housing marketing plan.

The state of Connecticut sponsored the following education and training:

- Provided Section 3 training to staff, cities and towns funded by the SC/CDBG program to increase the participation.

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- Performed 10 tests to determine if deaf and hard of hearing individuals are being discriminated against in housing
- Performed 5 home sales tests to determine if households of color with children are steered in a discriminatory way;
- Met with LGBT community members to design a testing protocol for determining if people are being denied housing because of their sexual orientation or gender identity.

### **Small Cities Actions to Affirmatively Further Fair Housing**

HUD has requested that “the state highlight the achievements of the SC-CDBG it funds in future PERs”.

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state’s Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 36 grantees. The results are as follows:

#### Accomplishments by Action Step

- **Action Step 1** - Contract for direct training of regional town staff assigned to fair housing enforcement and complaint processing -1 town;
- **Action Step 2** - Contract for direct training of staff on fair housing laws – 3 towns;
- **Action Step 3** – Identify appropriate training seminars for town fair housing and social service to attend – 17 towns;
- **Action Step 4** - Gather information from organizations and agencies involved with fair housing such as DOH, CHRO, CHFA, DSS, DMHAS, HUD and private not-for-profits and distribute to all town staff which have direct contact with the public regarding housing, community development, social services or public safety matters -19 towns;
- **Action Step 5** - Conduct regular (at least once a year) fair housing seminars for community residents, landlords, real estate professionals and lenders – 1 town;
- **Action Step 6** - Prepare and distribute materials which outline fair housing rights and responsibilities and the town’s complaint and/or referral process - 8 towns;
- **Action Step 7** - Identify and distribute fair housing materials prepared by others to community residents, landlords, real estate professionals and lenders – 19 towns;

- **Action Step 8** – Assign a specific staff person to coordinate fair housing activities – 20 towns;
- **Action Step 9** - Develop a formal process for referring fair housing complaints to CHRO, HUD or others for investigation and follow-up – 5 towns;
- **Action Step 10** – Conduct initial fair housing investigation and conciliation services – 1 town.
- **Action Step 11** – Pass local ordinance similar to federal fair housing laws – 2 towns;
- **Action Steps 14** – 29 towns;
  - Review local building and zone codes, removal of overly restrictive occupancy standards, family definitions, and density requirements.
  - Promote inclusionary zoning through the expansion of multi-family zones
- **Action Step 15** - Develop a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the Americans with Disabilities Act and related laws – 2 towns;
- **Action Step 16** - Expand access to mass transportation by developing van pools and ride sharing programs – 10 towns;
- **Action Step 17** - Promote inclusionary zoning through the expansion of multi-family zones – 3 towns;
- **Action Step 21** - Support local not-for-profits and housing partnerships in efforts to develop additional affordable housing – 12 towns;
- **Action Step 24** - Waive impact and permit fees for affordable housing developments – 1 town;
- **Action Step 25** – Seek state and federal funding for multi-family housing development – 5 towns;
- **Action Step 27** – Affirmatively market Section 8, RAP and other rental subsidy programs through dissemination of information to local landlords – 5 towns;
- **Action Step 28** - Conduct a local rent survey to determine if Section 8 exception rents are necessary in town – 3 towns;
- **Action Step 29** – Apply to HUD for Section 8 subsidies through the local housing authority – 3 towns;
- **Action Step 32** - Encourage local lenders to adopt “second look” policies before rejecting mortgage applications – 4 towns; and
- **Action Step 34** -Work with local landlords, real estate agents and lenders to develop affirmative marketing strategies which encourage applications from people least likely to apply based on current town demographics - 2 towns.

**III. HOME Program Requirements**

**A. Resource Allocation PY 2014-15**

The following table (Table 16) provides a summary of the resource allocation for program year 2014-15.

<b>Table 16: HOME Program Resource Allocation for PY 2014-2015</b>	
State Administration (10%)	\$ 698,882
CHDO Set-aside (15%)	\$ 1,048,323
Subtotal	\$ 1,747,205
Program Income	\$ 397,080
Allocation available for other eligible activities	\$ 5,638,697
<b>FY 2014-15 Allocation</b>	<b>\$ 6,988,822</b>

**B. Disbursements PY 2014-15**

The following table (Table 17) provides a summary of disbursements for program year 2014-15.

<b>Table 17: Summary of HOME Program Disbursements For Projects During PY 14-15</b>	
FFY	Disbursement Amount
2008	\$26,840
2010	\$200,000
2011	\$1,693,443
2012	\$838,872
2014	\$397,080
<b>Total</b>	<b>\$3,156,235</b>

**C. Summary of Allocations and Expenditures**

The following table (Table 18) provides a summary of allocations and expenditures.

<b>Table 18: Summary of HOME Program Allocations and Expenditures</b>							
FFY 13-14 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 14-15	Total Amount of HOME Funds Expended to Date	FFY 13-14 Allocated Admin Funds	Total Admin Allocated To Date	Amount of Admin Funds Expended During PY 14-15	Total Amount of Admin Funds Expended to Date
\$ 6,988,822	\$ 235,123,210.20	\$3,156,235	\$ 215,877,004	\$ 698,882	\$ 22,496,381	\$ 200,000	\$ 19,979,371

**D. Contracted PY 2014-15** The following table (Table 19) provides a summary of contracts during the program year.

<b>Table 19: HOME Program Projects Contracted During Program Year 7/1/2014 to 6/30/2015</b>											
<b>Recipient Name</b>	<b>Project Name and Number</b>	<b>Project Location</b>	<b>Project Description</b>	<b>Estimated Project Cost</b>	<b>Proposed HOME Investment</b>	<b>Proposed Funding Type</b>	<b>Year Funded From</b>	<b>Activity</b>	<b>Unit Type</b>	<b>Home Units</b>	<b>Committed</b>
Laurel Hill Residences, LLC	The Residences at Laurel Hill	Brookfield	new construction of 72 rental units	\$18,728,109	\$500,000	Loan	2014	NC	R	72	9/8/2014
Wilmington Housing Authority	Button Hill	Wilmington	New construction of 24 units of elderly housing.	\$6,683,100	\$2,000,000	Grant	2014	NC	R	14	10/23/2014
Mutual Housing of South Central Connecticut	Elias Howe	Bridgeport	Rehab of historic Elias Howe School into 37 units of elderly rental housing.	\$6,046,903	\$972,000	Loan	2014	Rehab	R	37	4/22/2015
Crescent Crossings, LLC	Crescent Crossings	Bridgeport	New Construction of 93 units of affordable housing	\$33,894,021	\$5,000,000	Loan	2015	NC	R	33	5/21/2015
Access Housing	Accessing HOME	Killingly	Rehabilitation of 9 units	\$2,244,800	\$1,888,000	loan	2014	Rehab	R	9	9/22/2014
Horace Bushnell Congregate Homes, Inc.	Horace Bushnell Congregate	Hartford	60 units	\$3,089,800	\$759,930	Grant	2013	Rehab	R	60	9/11/2014
<b>Total</b>				<b>\$70,686,733</b>	<b>\$11,119,930</b>					<b>225</b>	

Key: Refer to "Key" Appendix

**E. Summary of Geographic Distribution**

The following table (Table 20) provides a summary of geographic distribution.

<b>Table 20: HOME Projects Contracted During PY 14-15 Summary of Geographic Distribution</b>	
<b>County</b>	<b>HOME \$</b>
Fairfield	\$ 6,472,000
Hartford	\$ 759,930
Tolland	\$ 2,000,000
Windham	\$ 1,888,000
<b>Total</b>	<b>\$ 11,119,930</b>

**F. Summary of Activities**

The following table (Table 21) provides a summary of activities undertaken during the program year.

<b>Table 21: HOME Program Project Contracted During PY 2014-15 Summary by Activity</b>		
<b>Activity</b>	<b>HOME Funding</b>	<b>Number of Projects</b>
Rehab/Rental	\$3,619,930	3
NC/Rental	\$7,500,00	3
<b>Total</b>	<b>\$ 11,119,930</b>	<b>6</b>

**G. Leveraged Funds**

The following table (Table 22) provides a summary of leveraged funds.

<b>Table 22: HOME Projects Contracted During PY14-15 Identifying Funding Leveraged</b>							
<b>Project Name</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Proposed HOME Investment</b>	<b>Public Sector Financing</b>	<b>Private Financing/ Equity</b>	<b>Total Financing Leveraged</b>	<b>Total Estimated Project Cost (\$)</b>
The Residences at Laurel Hill	HT13018 01	Brookfield	\$ 500,000	\$ 5,000,000	\$13,228,109	\$ 18,228,109	\$ 18,728,109
Button Hill	HM 1416001	Wilmington	\$ 2,000,000		\$ 2,150,000	\$ 3,548,100	\$ 6,683,100
Elias Howe	FX11015 0101	Bridgeport	\$ 972,000	\$ 3,050,000	\$ 2,024,903		\$ 6,046,903
Crescent Crossings	HM 1501501	Bridgeport	\$ 5,000,000	\$25,366,083	\$ 3,527,938	\$ 20,366,083	\$ 33,894,021
Accessing HOME Danielson	HT14163 0101	Killingly	\$ 1,888,000	\$ -	\$ -	\$ 356,800	\$ 2,244,800
Horace Bushnell Congregate	HM1406 40101	Hartford	\$759,930	\$ 2,329,870	\$ -	\$ 2,329,870	\$ 3,089,800
<b>Total</b>							<b>\$ 70,686,733</b>



**H. Match Funds**

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

<b>Table 23a: Summary of HOME Match Activity for PY 2014-15</b>							
<b>Match Contributed During PY</b>	<b>Excess Match Banked from Prior PY s</b>	<b>Total Match Funds Available</b>	<b>Source of Match Funds</b>	<b>Disbursement Requiring Match</b>	<b>Required Match %</b>	<b>Match Liability Amount</b>	<b>Excess Match to Carry Over to Next PY</b>
\$0	\$17,636,258.11	\$17,636,258.11	State Funds	\$6,177,866.99	12.50%	\$ 772,233.37	\$16,864,024.74

<b>IDIS – PR33</b>							
<b>Table 23b: HOME Match Liability History</b>							
<b>Fiscal Year</b>	<b>Match Percentage</b>	<b>Total Disbursement</b>	<b>Disbursements Requiring Match</b>	<b>Match Liability Amount</b>	<b>HOME matching funds provided</b>	<b>Total HOME Matching Funds Provided</b>	<b>Balance per Fiscal Year</b>
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45	\$1,676,000	\$59,008,059.20	\$17,636,258.11
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$ 722,233.37		\$59,008,059.20	\$16,914,024.74

**I. Program Income Activity**

The following table (Table 24a) provides a summary of projects for which Program Income was committed during the Program Year.

<b>Table 24a: HOME Projects Funded During PY 2014-15 Using Program Income</b>								
<b>Project Name</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Activity</b>	<b>Unit Type</b>	<b>HOME Units</b>	<b>Grant Year (GY)</b>	<b>Program Income Awarded</b>	<b>Type of Project</b>
The Residences at Laurel Hill	HT1301801	Brookfield	NC	Rental	72	2014	\$397,081	new construction of 72 units of rental housing
<b>Total</b>							\$397,081	

The following table (Table 24b) provides a summary of program income expenditure activity.

<b>Table 24b: HOME Program Income Activity for PY 2014-15</b>			
<b>Balance Carried Forward from Previous PY</b>	<b>Amount Received During PY 14-15</b>	<b>Amount Expended During PY 14-15</b>	<b>Balance to be Carried Forward to Next PY</b>
\$ 1	\$ 397,080	\$ 397,081	\$ 0

**J. MBE/WBE Activity**

The following table (Table 25) provides a summary MBE/WBE activity.

<b>Table 25: HOME Program -Summary of Dollar Value of MBE &amp; WBE Contracts Closed during PY 2014-15</b>					
<b>Project Name</b>	<b>Project Sponsor</b>	<b>Project Location</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
Jefferson Heights (2791)	Jefferson Heights Housing, LLC	New Britain	\$ -	\$ -	\$ -
Beckley House (2935)	Geer Village, a Housing and Development Company	Canaan	\$ 1,828,365	\$43,413.17	\$1,871,778.17
Hearth Homes of Waterbury (2685)	Hearth Home LLC	Waterbury	\$ 276,825	\$ 87,196	\$ 364,021
<b>Total</b>			<b>\$ 2,105,190</b>	<b>\$ 130,609</b>	<b>\$ 2,235,799</b>

\* the dollar value of MBE & WBE contracts are based on HOME Projects closed during the PY and include financing from other than HOME Program.

**K. Property Acquisition/ Relocation**

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

**L. Community Housing Development Organization Awards**

The following table (Table 27) provides a summary of HOME projects contracted during PY 14-15 that represent awards to State-designated CHDOs.

<b>Table 27: HOME projects contracted during PY 14-15 that represent awards to State-designated CHDOs</b>						
<b>Project Name</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Activity</b>	<b>Unit Type</b>	<b>Award Amount</b>	<b>Name of CHDO</b>
Elias Howe	FX110150101	Bridgeport	Rehab	Rental	\$972,000	Mutual Housing of Southcentral Connecticut

**M. Compliance Monitoring**

The following table (Table 28) provides a summary of compliance monitoring.

<b>Table 28: HOME Program Summary of Rental Projects Monitored During PY 2014-15</b>											
<b>Project Location</b>	<b>Project Name</b>	<b>Project Number</b>	<b>Activity</b>	<b>Unit Type</b>	<b>HOME Units</b>	<b>Stage of Project</b>	<b>Type of Monitoring</b>	<b>Date of Monitoring</b>	<b># of Visits</b>	<b>Physical Inspection</b>	<b>Affordability</b>
Waterbury	Hearth HOMES	HM1215101	NC	R	21	Occ	Post Occ	5/27/2015	1	CO Issued	Pass Inc Test
Somers	Woodcrest I	HM0612901	NC	R	86	Occ	PostOcc	4/1/2015	1	HQS Compliant	PassIncTest
Somers	Woodcrest II	HM912901	NC	R	60	Occ	PostOcc	4/1/2015	1	HQS Compliant	PassIncTest
Canaan	Beckley House Expansion	HM 1202101	NC	R	10	Occ	PostOcc	6/29/2015	1	Initial occupancy review	PassIncTest
Ridgefield	Prospect Ridge Expansion	HM0511801	NC	R	20	Occ	PostOcc	6/1/2015	1	PhysDef/CorrMade	PassIncTest

**N. Technical Assistance/Training**

The following table (Table 29) provides a summary of technical assistance/training.

<b>Table 29: HOME Program Workshops &amp; Technical Assistance Provided During 2014-15 Program Year</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
Innovative Housing Round	Presentation of NOFA (HOME), updates on changes to CONAPP	8/27/2014	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	30
CHAMP VI NOFA and Application Workshop	Presentation of NOFA (HOME), updates on changes to CONAPP and changes to process	10/7/2014	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	40
Affirmatively Furthering Fair Housing	Understanding how to comply and meet reporting requirements. Training conducted by Erin Kemple.	1/15/2015	Hartford, CT	Applicants for Small Cities grants	50
Affirmatively Furthering Fair Housing	Understanding how to take complaints, address complaints, and plan for 504 compliance. Training conducted by Erin Kemple, ED of CT Fair Housing Center.	6/3/2015	Hartford, CT	Municipal fair housing officers and recipients of state or federal housing dollars	53
Affirmatively Furthering Fair Housing	Affirmatively furthering fair housing in the face of neighborhood opposition. Training conducted by Erin Kemple.	6/18/2015	Wallingford	Zoning and elected officials	13

**O. Closed Out PY 2014-15**

The following table (Table 30) provides a summary of closed out projects for program year 2014-15.

<b>Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2014 to 6/30/2015</b>											
<b>Recipient Name</b>	<b>Project Name and Number</b>	<b>Project Location</b>	<b>Project Description</b>	<b>Total Project Cost</b>	<b>DOH HOME Investment</b>	<b>Proposed Funding Type</b>	<b>Year Funded From</b>	<b>Activity</b>	<b>Unit Type</b>	<b>HOME Units</b>	<b>Date Closed in IDIS</b>
Jefferson Heights Senior	Jefferson Heights Senior Residence/ HM1108901	New Britain	Construction of 70 unit elderly rental housing	\$14,298,081	\$2,500,000	Loan	2011	NC	R	15	9/15/2014
Beckley House	Beckley House Expansion/ HM1202101	Canaan	New construction of 10 units of elderly housing.	\$2,327,550	\$530,000	Grant	2012	NC	R	10	6/12/2015
Hearth Homes of Waterbury	Hearth Homes of Waterbury/ HM1215101	Waterbury	Development of 41 unit HUD 202 elderly housing project by Grace Development Corp.	\$11,759,368	\$3,400,000	Loan	2010	NC	R	21	9/5/2014

Source: DOH

**IV. SC/CDBG Program Requirements**

A. Resource Allocation PY 2014-15

The following table (Table 31) provides a summary of the resource allocation for program year 2014-15.

<b>Table 31: SC/CDBG Program Resource Allocation for PY 2013-2014</b>	
State Administration (2%)	\$ 240,341
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 120,171
Subtotal	\$ 460,512
Urgent Need (up to \$500,000)	\$ 0
Allocation available for all other eligible activities	\$ 11,556,563
FY 2013-14 Allocation	\$ 12,017,075

*Source: DOH*

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

<b>Table 32: SC/CDBG Program Funds Expended on Administration During PY 2013-14</b>			
<b>FFY 12-13 SC/CDBG Program Allocation Funding Allowed for Admin.</b>		<b>Amount of SC/CDBG Program Funding Expended on Admin.</b>	<b>Amount of Matching Funds Provided by State Toward Admin.</b>
\$ 100,000	State Admin.	\$ 340,341	\$ 240,341
\$ 240,341	2% of Allocation		

*Source: DOH*

*Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.*

C. Contracted PY 2014-15

The following table (Table 33) provides a summary of contracts during the PY 2014-15.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2014 to 6/30/2015											
Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsng	Multi-family/ Elderly	Date AG Signed Contract
Bethlehem	SC1401001	Town of Bethlehem Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			9/17/2013
Branford	SC1401401	Parkside Village I & II Site and Safety Improvements	\$448,500	2014	PHMOD	90	R	Rehab	Yes	E	10/9/2013
Coventry	SC1403201	Coventry Multijurisdictional Housing Rehabilitation Program	\$500,000	2014	HR	18	HO	Rehab			10/7/2013
Derby	SC1403701	Derby Housing Rehabilitation	\$400,000	2014	HR	20	HO	Rehab			10/9/2013
East Haddam	SC1404101	Oak Terrace Senior Housing Rehabilitation	\$791,210	2014	PHMOD	36	R	Rehab	Yes	E	10/7/2013
Franklin	SC1405301	Franklin Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			9/17/2013
Griswold	SC1405801	McCluggage Manor Senior Housing Renovations	\$800,000	2014	PHMOD	30	R	Rehab	Yes	E	10/22/2013
Groton	SC1405901	Pequot Village I Modernization	\$800,000	2014	PHMOD	64	R	Rehab	Yes	E	11/1/2013
Hampton	SC1406301	Hampton Regional Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			9/20/2013
Killingly	SC1406901	Killingly Housing Rehabilitation Program	\$400,000	2014	HR	13	HO	Rehab			9/17/2013
Newington	SC1409401	Cedar Village Senior Housing Rehabilitation	\$800,000	2014	PHMOD	40	R	Rehab	Yes	E	10/7/2013
North Stonington	SC1410201	Town of North Stonington Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			10/7/2013
Oxford	SC1410801	Oxford Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			9/13/2013
Preston	SC1411401	Lincoln Park Elderly Housing Rehabilitation	\$800,000	2014	PHMOD	40	R	Rehab	Yes	E	11/22/2013
Salem	SC1412101	Salem Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			9/20/2013
Seymour	SC1412401	Town of Seymour Housing Rehabilitation Program	\$400,000	2014	HR	12	HO	Rehab			10/7/2013
Sprague	SC1413301	First and Second Street Improvement Program	\$500,000	2014	PF	1498	HO	Rehab			10/7/2013
Stafford	SC1413401	Stafford Housing Rehabilitation Program	\$400,000	2014	HR	14	HO	Rehab			10/7/2013
Suffield	SC1413901	Suffield Housing Authority Project	\$800,000	2014	PHMOD	70	R	Rehab	Yes	E	10/7/2013
Thomaston	SC1414001	Grove Manor Senior Housing Ext. Repairs Bathroom and Accessibility Upgrades	\$611,445	2014	PHMOD	27	R			E	9/17/2013
Thompson	SC1414101	Gladys Green/Pineview Court Senior Housing Renovations Phase III	\$800,000	2014	PHMOD	40	R	Rehab	Yes	E	9/17/2013
Torrington	SC1414301	Torrington Housing Rehabilitation Revolving Loan Program	\$400,000	2014	HR	20	HO	Rehab			10/7/2013
Windham	SC1416301	Windham Housing Rehabilitation Program	\$400,000	2014	HR	15	HO	Rehab			10/7/2013
Windsor	SC1416401	Windsor Housing Rehabilitation Program	\$400,000	2014	HR	18	HO	Rehab			10/7/2013
<b>Total</b>			<b>\$12,851,155</b>								

Source: DOH  
Key: Refer to the "Key" Appendix

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

<b>Table 34: CDBG Projects Contracted During PY 2014-15 Summary of Geographic Distribution</b>		
<b>County</b>	<b>CDBG \$</b>	
Hartford		2,000,000
Litchfield		1,411,445
Middlesex		791,210
New Haven		1,648,500
New London		4,100,000
Tolland		900,000
Windham		2,000,000
<b>Total</b>	<b>\$</b>	<b>12,851,155</b>

*Source: DOH*

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

<b>Table 35: SC/CDBG Program Projects Contracted During PY 2014-15 Summary by Activity</b>		
<b>Activity</b>	<b>Total Funding</b>	<b>Number of Projects</b>
Homeowner Rehabilitation	\$ 5,700,000	14
Public Housing Rehabilitation	\$ 6,651,155	9
<b>Total Housing</b>	<b>\$ 12,351,155</b>	<b>23</b>
Public Service	\$ 0	0
Water/Sewer/Street Improvements	\$ 500,000	1
<b>Total Public Facilities</b>	<b>\$ 500,000</b>	<b>1</b>
<b>Total</b>	<b>\$ 12,851,155</b>	<b>24</b>

*Source: DOH*



F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

<b>Table 36: CDBG Projects Contracted During PY 14-15 Identifying Funding Leveraged</b>					
<b>Project Description</b>	<b>Project Number</b>	<b>Project Location</b>	<b>CDBG Investment</b>	<b>Total Financing Leveraged</b>	<b>Total Estimated Project Cost (\$)</b>
Town of Bethlehem Housing Rehabilitation Program	SC1401001	Bethlehem	\$400,000.00	\$0.00	\$400,000.00
Parkside Village I & II Site and Safety Improvements	SC1401401	Branford	\$448,500.00	\$47,700.00	\$496,200.00
Coventry Multijurisdictional Housing Rehabilitation	SC1403201	Coventry	\$500,000.00	\$0.00	\$500,000.00
Derby Housing Rehabilitation	SC1403701	Derby	\$400,000.00	\$70,000.00	\$470,000.00
Oak Terrace Senior Housing Rehabilitation	SC1404101	East Haddam	\$791,210.00	\$52,240.00	\$843,450.00
Franklin Housing Rehabilitation Program	SC1405301	Franklin	\$400,000.00	\$0.00	\$400,000.00
McCluggage Manor Senior Housing Renovations	SC1405801	Griswold	\$800,000.00	\$96,145.00	\$896,145.00
Pequot Village I Modernization	SC1405901	Groton	\$800,000.00	\$80,000.00	\$880,000.00
Hampton Regional Housing Rehabilitation Program	SC1406301	Hampton	\$400,000.00	\$80,000.00	\$480,000.00
Killingly Housing Rehabilitation Program	SC1406901	Danielson/Killingly	\$400,000.00	\$0.00	\$400,000.00
Cedar Village Senior Housing Rehabilitation	SC1409401	Newington	\$800,000.00	\$71,790.00	\$871,790.00
Town of North Stonington Housing Rehabilitation	SC1410201	North Stonington	\$400,000.00	\$0.00	\$400,000.00
Oxford Housing Rehabilitation Program	SC1410801	Oxford	\$400,000.00	\$10,000.00	\$410,000.00
Lincoln Park Elderly Housing Rehabilitation	SC1411401	Preston	\$800,000.00	\$65,050.00	\$865,050.00
Salem Housing Rehabilitation Program	SC1412101	Salem	\$400,000.00	\$0.00	\$400,000.00
Town of Seymour Housing Rehabilitation Program	SC1412401	Seymour	\$400,000.00	\$10,000.00	\$410,000.00
First and Second Street Improvement Program	SC1413301	Sprague	\$500,000.00	\$50,000.00	\$550,000.00
Stafford Housing Rehabilitation Program	SC1413401	Stafford	\$400,000.00	\$50,000.00	\$450,000.00
Suffield Housing Authority Project	SC1413901	Suffield	\$800,000.00	\$70,000.00	\$870,000.00
Grove Manor Senior Housing Ext. Repairs Bathroom and Accessibility Upgrades	SC1414001	Thomaston	\$611,445.00	\$30,000.00	\$641,445.00
Gladys Green/Pineview Court Senior Housing Renovations Phase III	SC1414101	North Grosvenordale/Thompson	\$800,000.00	\$69,900.00	\$869,900.00
Torrington Housing Rehabilitation Revolving Loan Program	SC1414301	Torrington	\$400,000.00	\$50,000.00	\$450,000.00
Windham Housing Rehabilitation Program	SC1416301	Willimantic/Windham	\$400,000.00	\$0.00	\$400,000.00
Windsor Housing Rehabilitation Program	SC1416401	Windsor	\$400,000.00	\$160,500.00	\$560,500.00
<b>Total</b>			<b>\$12,851,155.00</b>	<b>\$1,063,325.00</b>	<b>\$13,914,480.00</b>

Source: DOH

Key: Refer to the Key Appendix

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

<b>Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2013-2014</b>	
<b>Activity</b>	<b>Amount</b>
Payroll	\$ 42,582.00
<b>Total</b>	<b>\$ 42,582.00</b>

*Source: DOH*

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

<b>Table 38: SC/CDBG Program De-Obligated: 2013-2014</b>				
<b>Town</b>	<b>Project #</b>	<b>Fund Year</b>	<b>Old Activity</b>	<b>Funds De-Obligated</b>
Farmington	SC0905201	2009	Public Housing Modernization	\$ 139,267
Windsor Locks	SC1016501	2010	Sidewalks	\$ 12,084
Plainfield	SC1310901	2013	Housing Rehab	\$ 355,000
Plainfield	SC1310901	2013	Administration	\$ 45,000
Plainville	SC1110001	2011	Housing Rehab	\$ 275,000
Plainville	SC1110001	2011	Administration	\$ 15,500
<b>Total</b>				<b>\$ 841,852</b>

*Source: DOH*

*Key: Refer to the "Key" Appendix*

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

<b>Table 39: SC/CDBG Program - Summary of Dollar Value of MBE &amp; WBE Contracts for PY 2013-2014</b>				
<b>Grantee</b>	<b>Project Number</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
Ansonia	SC1200201	\$ 64,642	\$ 42,073	\$ 106,715
Bethel	SC1100901	\$ 82,405	\$ -	\$ 82,405
Bethlehem	SC1201001	\$ -	\$ 490	\$ 490
Brookfield	SC1201001	\$ -	\$ 5,300	\$ 5,300
Burlington	SC1202001	\$ 124,778		\$ 124,778
Colchester	Program Income	\$ 18,450		\$ 18,450
Derby	SC1103701	\$ 18,500	\$ -	\$ 18,500
East Haven	SC1004401	\$ 27,000		\$ 27,000
Griswold	SC1205801	\$ 120,002		\$ 120,002
Mansfield	SC1207801	\$ -	\$ 45,310	\$ 45,310
Naugatuck	SC1208801	\$ 8,040	\$ 54,600	\$ 62,640
Newington	SC0909401	\$ 6,918		\$ 6,918
North Haven	SC1110101	\$ 4,000		\$ 4,000
Old Saybrook	SC1210601	\$ 11,650		\$ 11,650
Plainville	SC1011001	\$ 12,300	\$ -	\$ 12,300
Sprague	SC1313301	\$ 46,100		\$ 46,100
Southbury	SC1313001	\$ 67,000	\$ 34,667	\$ 101,667
Thomaston	Program Income	\$ 45,306		\$ 45,306
Thomaston	SC1114001	\$ 30,977	\$ -	\$ 30,977
Thompson	SC1214101	\$ 52,000		\$ 52,000
Torrington	SC1314301	\$ 37,560	\$ -	\$ 37,560
Vernon	SC1214601	\$ 37,789		\$ 37,789
Wallingford	SC1214801		\$ 5,000	\$ 5,000
Watertown	SC1115301	\$ 110,525		\$ 110,525
Wolcott	SC0916601	\$ 56,700	\$ -	\$ 56,700
Woodbridge	SC1216701	\$ 25,000	\$ 27,170	\$ 52,170
<b>Total</b>		<b>\$ 1,007,642</b>	<b>\$ 214,610</b>	<b>\$ 1,222,252</b>

Source: DOH

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. Beginning with FFY '92, DOH now requires that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

<b>Table 40: SC/CDBG Program Summary of Affordable Housing Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Affordable Housing</b>	<b>% of Funding for Affordable Housing</b>
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263.76	\$ 1,121,442	\$ 9,832,840	87%
2013	\$ 11,850,000.00	\$ 1,162,000	\$ 11,350,000	96%
2014	\$ 12,851,155.00	\$ 706,500	\$ 11,669,155	91%

Source: DOH

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

<b>Table 41: SC/CDBG Program Summary of Economic Development Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Economic Development</b>	<b>% of Funding for Economic Development</b>
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%
2013	\$ 11,850,000	\$ 1,162,000		0%
2014	\$ 12,851,155	\$ 706,500		0%

Source: DOH

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

<b>Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity</b>					
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Amount Meeting National Objective</b>	<b>Amount of Funding to which Benefit Test Applies</b>	<b>% of Low/Moderate Benefit</b>
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,264	\$ 1,121,442	\$ 10,230,822	\$ 10,230,822	90%
2013	\$ 11,850,000	\$ 1,162,000	\$ 10,688,000	\$ 10,688,000	90%
2014	\$ 12,851,155	\$ 706,500	\$ 12,144,655	\$ 12,144,655	95%

Source: DOH

K. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

<b>Table 43: CDBG Program Summary of Projects Monitored During PY 2014–15</b>						
<b>Project Location</b>	<b>Project Name</b>	<b>Project Number</b>	<b>Activity</b>	<b>Dollar Amount</b>	<b>Type of Monitorings</b>	<b>Date of Monitoring</b>
Ansonia	Housing Rehab	SC1100201	HR	\$300,000	Close-out	2/3/15
Ashford	Food Bank	SC1200301	PS	\$64,424	Close-out	04/14/15
Berlin	Marjorie Moore Village Snr. Hsg. Rehab.	SC1200701	PH/MOD	\$500,000	Close-out	03/24/15
Bethlehem	Housing Rehab	SC1201001	HR	\$300,000	Close-out	03/17/15
Brookfield	Housing Rehab	SC1201801	HR	\$300,000	Close-out	03/31/15
Canton	21 Dowd Avenue Snr. Hsg. Rehab	SC1202301	PH/MOD	\$500,000	Close-out	07/08/14
Coventry	Housing Rehab	SC1203201	HR	\$300,000	Close-out	04/21/15
East Windsor	Park Hill Elderly & Disabled Housing Complex	SC1104701	PH/MOD	\$700,000	Close-out	09/09/14
East Windsor	Prospect Hill Drainage - Phase III	SC1204701	PF	\$400,000	Close-out	05/19/15
Ellington	Snipsic Village Rehabilitation	SC1104801	PH/MOD	\$485,000	Close-out	10/21/14
Naugatuck	Oak Terrace Housing Improvements	SC1208801	PH/MOD	\$500,000	Close-out	12/2/14
New Fairfield	Housing Rehab	SC1109101	HR	\$300,000	Close-out	2/24/15
North Canaan	Wangum Village ADA & Safety Improvements	SC1110001	PH/MOD	\$700,000	Close-out	1/13/15
Old Saybrook	Saye Brook Village Senior Housing Rehab	SC1210601	PH/MOD	\$471,000	Close-out	5/12/15
Portland	Quarry Hghts Sr. Hsng.	SC1111301	PH/MOD	\$560,000	Close-out	08/12/14



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Putnam	Housing Rehab	SC1211601	HR	\$400,000	Close-out	01/20/15
Rocky Hill	Willow Road Senior Housing Renovations	SC1111901	PH/MO D	\$700,000	Close-out	11/04/14
Seymour	Brother's Court & Chamberlain Road Street Improvements	SC1112401	PF	\$500,000	Close-out	09/23/14
Stafford	Housing Rehab	SC1113401	HR	\$300,000	Close-out	10/07/14
Stonington	Edythe K. Richmond Senior Housing Complex	SC1113701	PH/MO D	\$542,000	Close-out	07/22/14
Thomaston	Housing Rehab	SC1114001	HR	\$125,000	Close-out	09/30/14
Tolland	Housing Rehab	SC1214201	HR	\$300,000	Close-out	5/5/15
Wallingford	Ulbrich Heights & South Side Terrace Renovations	SC1114801	PH/MO D	\$700,000	Close-out	06/02/15
Wallingford	Ulbrich Heights Basement Drainage Improvements	SC1214801	PH/MO D	\$500,000	Close-out	06/02/15
Watertown	Housing Rehab	SC1115301	HR	\$300,000	Close-out	01/06/15
Wethersfield	James Devlin Senior Housing Rehab	SC1215901	PH/MO D	\$500,000	Close-out	12/16/14
Windham	Housing Rehab	SC1216301	HR	\$300,000	Close-out	8/19/14
Windsor	Fitch Court Apartment Improvements	SC1016401	PH/MO D	\$350,000	Close-out	7/15/14
Wolcott	Housing Rehab	SC1016601	HR	\$300,000	Close-out	02/10/15

Source: DOH

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

<b>Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2014-2015</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
Competitive Funding Application Workshop	Explanation of competitive funding round for 2015 allocation year	1/21/15	Hartford, CT	Town Officials and Consultants	70

Source: DOH

N. Closed Out PY 2014-15

The following table (Table 45) provides a summary of closed out projects for program year 14-15.

<b>Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2014 to 6/30/2015</b>										
<b>Recipient/ Location</b>	<b>Project #</b>	<b>Project Description</b>	<b>Grant Awarded</b>	<b>Year Funded From</b>	<b>Activity</b>	<b># Units</b>	<b>Type Rental/ HO</b>	<b>NC/ Rehab</b>	<b># People</b>	<b>JOBS</b>
Ansonia	SC1100201	Housing Rehab	\$300,000	2011	HR	15	HO	Rehab		
Ashford	SC1200301	Food Bank	\$64,424	2012	PS				63	
Berlin	SC1200701	Marjorie Moore Village Snr. Hsg. Rehab.	\$500,000	2012	PH/MOD	40	R	Rehab		
Bethlehem	SC1201001	Housing Rehab	\$300,000	2012	HR	5	HO	Rehab		
Brookfield	SC1201801	Housing Rehab	\$300,000	2012	HR	10	HO	Rehab		
Canton	SC1202301	21 Dowd Avenue Snr. Hsg. Rehab	\$500,000	2012	PH/MOD	12	R	Rehab		
Coventry	SC1203201	Housing Rehab	\$300,000	2012	HR	15	HO	Rehab		
East Windsor	SC1104701	Park Hill Elderly & Disabled Housing Comple	\$700,000	2011	PH/MOD	84	R	Rehab		
East Windsor	SC1204701	Prospect Hill Drainage - Phase III	\$400,000	2012	PF				137	
Ellington	SC1104801	Snipsic Village Rehabilitation	\$485,000	2011	PH/MOD	42	R	Rehab		
Naugatuck	SC1208801	Oak Terrace Housing Improvements	\$500,000	2012	PH/MOD	10	R	Rehab		
New Fairfield	SC1109101	Housing Rehab	\$300,000	2011	HR	10	HO	Rehab		
North Canaan	SC1110001	Wangum Village ADA & Safety Improvement	\$700,000	2011	PH/MOD	40	R	Rehab		
Old Saybrook	SC1210601	Saye Brook Village Senior Housing Rehab	\$471,000	2012	PH/MOD	12	R	Rehab		
Portland	SC1111301	Quarry Heights Senior Housing Renovations	\$560,000	2011	PH/MOD	70	R	Rehab		
Putnam	SC1211601	Housing Rehab	\$400,000	2012	HR	6	HO	Rehab		
Rocky Hill	SC1111901	Willow Road Senior Housing Renovations	\$700,000	2011	PH/MOD	40	R	Rehab		
Seymour	SC1112401	Brother's Court & Chamberlain Road Street Ir	\$500,000	2011	PF				120	
Stafford	SC1113401	Housing Rehab	\$300,000	2011	HR	12	HO	Rehab		
Stonington	SC1113701	Edythe K. Richmond Senior Housing Comple	\$542,000	2011	PH/MOD	60	R	Rehab		
Thomaston	SC1114001	Housing Rehab	\$125,000	2011	HR	5	HO	Rehab		
Tolland	SC1214201	Housing Rehab	\$300,000	2012	HR	12	HO	Rehab		
Wallingford	SC1114801	Ulbrich Heights & South Side Terrace Renova	\$700,000	2011	PH/MOD	40	R	Rehab		
Wallingford	SC1214801	Ulbrich Heights Basement Drainage Improver	\$500,000	2012	PF	34	R			
Watertown	SC1115301	Housing Rehab	\$300,000	2011	HR	18	HO	Rehab		
Wethersfield	SC1215901	James Devin Senior Housing Rehab	\$500,000	2012	PH/MOD	50	R	Rehab		
Windham	SC1216301	Housing Rehab	\$300,000	2012	HR	9	HO	Rehab		
Windsor	SC1016401	Fitch Court Apartment Improvements	\$350,000	2010	PH/MOD	36	R	Rehab		
Wolcott	SC1016601	Housing Rehab	\$300,000	2010	HR	10	HO	Rehab		

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2014-15.

<b>Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2014-2015</b>		
<b>Activity</b>	<b>Issued To:</b>	<b>Date</b>
Annual PHA Plan	New Canaan Housing Authority	10/8/2014
Annual PHA Plan	Wethersfield Housing Authority	10/9/2014
Annual PHA Plan	Newington Housing Authority	10/9/2014
Annual PHA Plan	South Windsor Housing Authority	10/9/2014
Annual PHA Plan	Canton Housing Authority	10/9/2014
Annual PHA Plan	Enfield Housing Authority	10/9/2014
Meriden Supportive Hsg	New Opportunities, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	BHcare, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	BHcare, Inc	10/23/2014
Homeless Ass. Supportive Hsng.	Torrington Comm. Hsng. Corp.	10/23/2014
Homeless Ass. Supportive Hsng.	Holy Family Home and Shelter, Inc.	10/23/2014
Annual PHA Plan	Putnam Housing Authority	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-sh+care	10/23/2014
Annual PHA Plan	Killingly Housing Authority	10/23/2014
Annual PHA Plan	Danbury Housing Authority	10/23/2014
Homeless Ass. Supportive Hsng.	CT Coalition to End Homelessness	10/23/2014
Homeless Ass. Supportive Hsng.	St. Philip House, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	InterCommunity, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	Windham Regional Comm. Council	10/23/2014
Homeless Ass. Supportive Hsng.	Community Renewal Team, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	Bethsaida Community, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-New London	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-sh+care	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Willamantic	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Wallingford/Meriden	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Danbury	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Statewide	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Stamford/Greenich	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-	10/23/2014

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	Torrington	
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Hartford	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Litchfield	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Danbury	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Bridgeport	10/23/2014
Homeless Ass. Supportive Hsng.	Thames Valley Council for Community Action, Inc.	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Norwalk	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Stamford	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Stamford	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Bridgeport	10/23/2014
Homeless Ass. Supportive Hsng.	CT Dept Mental Health & Addiction-Bridgeport	10/23/2014
Homeless Ass. Supportive Hsng.	CT DOH-Inspirica Rapid Hsng	10/23/2014
Annual PHA Plan	Vernon Housing Authority	10/28/2014
Annual PHA Plan	Naugatuck Housing Authority	10/28/2014
Annual PHA Plan	Ansonia Housing Authority	10/9/2014
Annual PHA Plan	Portland Housing Authority	1/20/2015
Annual PHA Plan	East Haven Housing Authority	2/17/2015
Annual PHA Plan	Glastonbury Housing Authority	2/20/2015
Annual PHA Plan	Willimantic Housing Authority	4/20/2015

Source : DOH

## V. ESG Program Requirements

### A. State Summary Report SFY 2014

#### 1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/14– 6/30/2015.

As a lead agency for the provision of emergency shelter services, rapid rehousing programs and multi-family or single room residency program to individuals and/or families who are literally homeless, DOH administers Connecticut's Emergency Solutions Grant (ESG) Program.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs, transitional living programs, rapid rehousing programs, etc. DOH is able to meet its goal of providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2015)).

DOH allocated Federal and State funds for a combined total of \$15,654,174.00 for the provision of housing assistance and supportive services to homeless people.

During the past twelve (12) months, twenty four (25) of the Department's forty (40) non-profit organizations received funding from the FFY'14 ESG Program for shelter operations, administration and rapid rehousing. Through competitive procurement rapid rehousing funds were allocated to AIDS, CT (ACT) as a fiduciary agency. Non-profit organizations accessed these funds to rapidly rehouse clients out of literal homelessness. The ESG total allocated for DOH equals \$1,560,085.00. DOH did not utilize any ESG funding for agency administration.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

#### 2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

#### Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

**Objective 1:**

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continues the statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Organized community coordinated access networks to begin implemented coordinated intake and assessment for the homeless crisis system statewide.

**Objective 2:**

- Enhance suitable living environments that assist families and individuals to remain in permanent housing.
- Continue existing resources for state's Eviction Foreclosure and Prevention Program.

**Objective 3:**

- Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness, Opening Doors Campaign and Coordinated access networks that are presently in place.

**Objective 4:**

Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.
- Total number of beds (statewide) = 1,358; Number of shelters that service individuals only = 12; number of shelters that service families only = 11; Number of shelters that serve both families and individuals = 21
- Total number of clients served with ESG funding in shelter operations = 7532
- Total number of clients served with ESG rapid rehousing funds = 427

## VI. HOPWA Program Requirements

### A. HOPWA Executive Summary FY 2014

This is the State of Connecticut's 2014 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/27/2015
2. Grantee Name: Department of Housing
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which includes the following Counties: Litchfield, Middlesex, and New London.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of \$5,078,574 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In PYR 2014, the Department of Housing received \$219,771 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2014 to June 30, 2015. It is a "balance of state" program that served 40 unduplicated persons with HIV/AIDS and their families through agreements between DSS and 3 non-profit organizations located in the Middlesex and Litchfield and New London Counties Connecticut.

#### 6. Formula Program Accomplishments

DOH and ACT carried out the following activities during the PFY 2014:

- DOH awarded contracts starting July 1, 2014 through a competitive procurement process for PY 2014 through 2015. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Litchfield and Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 42 households. Forty-two (42) unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 3 households obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

## 7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

### 7a. Center for Independent Living Northwest CT, Inc.

- Eileen Healy, Executive Director
- [eileen.healy@independencenorthwest.org](mailto:eileen.healy@independencenorthwest.org)
- 1183 New Haven Road, Naugatuck, CT 06770
- (203) 729-3299 and Fax # (203) 729-2839
- [www.independencenorthwest.org](http://www.independencenorthwest.org)
- Total HOPWA subcontract amount: \$106,089.00

### Columbus House, Inc.

Allison Cunningham, Executive Director  
586 Ella Grasso Blvd.  
New Haven, CT 06516  
[Acunningham@columbushouse.org](mailto:Acunningham@columbushouse.org)  
203-401-4400  
TOTAL HOPWA \$136,731.00

### New Opportunities Waterbury, Inc.

Etta Royster, Director Community Services  
232 North Elm Street  
Waterbury, CT 06702  
[eroyster@newoppinc.org](mailto:eroyster@newoppinc.org)  
203-575-4217  
TOTAL HOPWA \$34,909.00

- Is the contractor: ALL OF THE ABOVE
  - a non-profit organization? – Yes
  - a faith-based organization? - No



ACT, Inc.  
John Merz, Executive Director  
110 Bartholomew Avenue, Suite 4000  
(860) 761-6699  
[john@aidst.org](mailto:john@aidst.org)  
[www.aidst.org](http://www.aidst.org)

DOH allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

#### 7d. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

## **B. Formula Program Accomplishments**

### **Other Special Needs**

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

### ***Persons with HIV/AIDS and their Families***

#### **Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 23 individuals and/or families in Middlesex and Litchfield

counties. The goal was exceeded and 26 individuals and families benefited from TBRA dollars.

- Through existing resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

**Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into “balance of state” – Litchfield, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control’s (CDC) Enhanced Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act is up for reauthorization in 2013. Funding for AIDS residential line in the Homeless/Housing Account (DSS budget) remained whole in SFY 2014.
- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

**Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DSS is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half-day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

*\*\*Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut that was implemented during FY 2007-08. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted the Center for Urban Community Services (CUCS) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DOH staff coordinates rent subsidy contract monitoring with the CUCS services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Housing, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

**B. Consolidated Annual Performance and Evaluation Report**

**See Appendix J.**

## VIII. Appendix

- A. Legal Notice
- B. Newspaper Publication - To be added after public comment
- C. HOME Program Annual Performance Report, HUD Form 40107
- D. HOME Program: Section 3 Summary Report, HUD Form 60002
- E. HOME Program Match Report, HUD Form 40107-A
- F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
- G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
- H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
- I. ESG Program: Consolidated Annual Performance and Evaluation Report
- J. HOPWA Program: Consolidated Annual Performance and Evaluation Report
- K. KEY

**Appendix A  
Notice of Public Comment Period  
State of Connecticut  
Consolidated Annual Performance and Evaluation Report  
for the 2014-2015 Program Year**

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Performance and Evaluation Report (PER) for the 2014-2015 Program Year. This report contains detailed information on the four federal formula grant programs governed by the State's 2015-2019 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding for the 2014-2015 Program Year for the four programs represents \$21,023,583. All of these programs were administered by the newly created Department of Housing.

The PER is available for review and public comment from August 27, 2015 through September 11, 2015. A copy of the PER and related documents are available on the Department of Housing's web site, <http://www.ct.gov/doh/site/default.asp> or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the PER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7107 or [CT.Housing.Plans@ct.gov](mailto:CT.Housing.Plans@ct.gov) thru September 11, 2015. All comments received will be included in the final version of the CAPER.

Department of Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, at (860) 270-8000.

Publication Date: August 27, 2015

**Appendix B  
Newspaper Publication**

**Hartford Courant  
285 Broad Street  
Hartford, CT 06115  
Distribution: All Counties in Connecticut**

**La Voz Hispana Connecticut  
51 Elm Street, Suite 307  
New Haven, CT 06510  
Distribution: Hartford, Bridgeport, New Haven, Waterbury, Stamford  
Norwalk, Meriden, New Britain, Danbury**

**Connecticut Post  
410 State Street  
Bridgeport, CT 06604  
Distribution: All Counties in Connecticut**

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APPENDIX C



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2014

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CONNECTICUT

Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Open Count	Open Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Housing	Rehab; Single-Unit Residential (14A)	47	\$6,612,860.31	27	\$166,020.57	74	\$6,778,880.88
	Rehab; Multi-Unit Residential (14B)	1	\$58,278.00	2	\$0.00	3	\$58,278.00
	Public Housing Modernization (14C)	15	\$2,001,128.44	14	\$482,445.00	29	\$2,483,573.44
	<b>Total Housing</b>	<b>63</b>	<b>\$8,672,266.75</b>	<b>43</b>	<b>\$648,465.57</b>	<b>106</b>	<b>\$9,320,732.32</b>
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	2	\$367,700.00	2	\$0.00	4	\$367,700.00
	Flood Drainage Improvements (03I)	0	\$0.00	1	\$0.00	1	\$0.00
	Street Improvements (03K)	0	\$0.00	2	\$0.00	2	\$0.00
	<b>Total Public Facilities and Improvements</b>	<b>2</b>	<b>\$367,700.00</b>	<b>5</b>	<b>\$0.00</b>	<b>7</b>	<b>\$367,700.00</b>
Public Services	Public Services (General) (05)	1	\$6,481.76	0	\$0.00	1	\$6,481.76
	<b>Total Public Services</b>	<b>1</b>	<b>\$6,481.76</b>	<b>0</b>	<b>\$0.00</b>	<b>1</b>	<b>\$6,481.76</b>
General Administration and Planning	General Program Administration (21A)	129	\$1,351,883.99	7	\$0.00	136	\$1,351,883.99
	<b>Total General Administration and Planning</b>	<b>129</b>	<b>\$1,351,883.99</b>	<b>7</b>	<b>\$0.00</b>	<b>136</b>	<b>\$1,351,883.99</b>
<b>Grand Total</b>		<b>195</b>	<b>\$10,398,332.50</b>	<b>55</b>	<b>\$648,465.57</b>	<b>250</b>	<b>\$11,046,798.07</b>





U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
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 CDBG Summary of Accomplishments  
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CONNECTICUT

CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals	
Housing	Rehab; Single-Unit Residential (14A)	Households	1	21	22	
		Housing Units	88	289	377	
	Rehab; Multi-Unit Residential (14B)	Housing Units	0	25	25	
		Public Housing Modernization (14C)	Households	0	10	10
		Housing Units	60	610	670	
	Total Housing		149	955	1,104	
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Persons	0	25,358	25,358	
		Flood Drainage Improvements (03I)	Persons	0	411	411
		Street Improvements (03K)	Persons	0	6,200	6,200
		Households	0	360	360	
	Total Public Facilities and Improvements		0	32,329	32,329	
Public Services	Public Services (General) (05)	Persons	0	0	0	
		Total Public Services	0	0	0	
Grand Total			149	33,284	33,433	



CONNECTICUT

CDBG Beneficiaries by Racial / Ethnic Category

Housing-Non Housing	Race	Total Persons	Total Hispanic		Total Hispanic Households
			Persons	Total Households	
Housing	White	0	0	1,049	63
	Black/African American	0	0	36	0
	Asian	0	0	1	0
	American Indian/Alaskan Native	0	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	0	0
	Asian & White	0	0	3	0
	Black/African American & White	0	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	0	0	3	0
	Other multi-racial	0	0	13	0
	<b>Total Housing</b>		<b>0</b>	<b>0</b>	<b>1,105</b>
Non Housing	White	1,170	14	0	0
	Black/African American	298	0	0	0
	Asian	26	0	0	0
	American Indian/Alaskan Native	12	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	0	0
	Asian & White	0	0	0	0
	Black/African American & White	0	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	0	0	0	0
	Other multi-racial	38	0	0	0
	<b>Total Non Housing</b>	<b>1,544</b>	<b>14</b>	<b>0</b>	<b>0</b>
Grand Total	White	1,170	14	1,049	63
	Black/African American	298	0	36	0
	Asian	26	0	1	0
	American Indian/Alaskan Native	12	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	0	0
	Asian & White	0	0	3	0
Black/African American & White	0	0	0	0	



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
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Housing-Non Housing	Race	Total Hispanic	
		Total Persons	Total Households
Grand Total	Amer. Indian/Alaskan Native & Black/African Amer.	0	0
	Other multi-racial	38	13
	Total Grand Total	1,544	1,105



U.S. Department of Housing and Urban Development  
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CDBG Beneficiaries by Income Category

	Income Levels	Owner Occupied	Renter Occupied	Persons
Housing	Extremely Low ( $\leq 30\%$ )	38	269	0
	Low ( $>30\%$ and $\leq 50\%$ )	66	81	0
	Mod ( $>50\%$ and $\leq 80\%$ )	80	9	0
	Total Low-Mod	184	359	0
	Non Low-Mod ( $>80\%$ )	0	1	0
	Total Beneficiaries	184	360	0



CONNECTICUT  
 Home Disbursements and Unit Completions

Activity Type	Disbursed Amount	Units Completed	Units Occupied
Rentals	\$252,514.00	54	54
Total, Rentals and TBRA	\$252,514.00	54	54
Grand Total	\$252,514.00	54	54

Home Unit Completions by Percent of Area Median Income

Activity Type	Units Completed			
	0% - 30%	31% - 50%	Total 0% - 60%	Total 0% - 80%
Rentals	38	16	54	54
Total, Rentals and TBRA	38	16	54	54
Grand Total	38	16	54	54

Home Unit Reported As Vacant

Activity Type	Reported as Vacant
Rentals	0
Total, Rentals and TBRA	0
Grand Total	0



CONNECTICUT

Home Unit Completions by Racial / Ethnic Category

	Rentals		Grand Total	
	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics
White	35	5	35	5
Black/African American	10	0	10	0
Amer. Indian/Alaskan Native & Black/African Amer.	4	0	4	0
Other multi-racial	5	5	5	5
<b>Total</b>	<b>54</b>	<b>10</b>	<b>54</b>	<b>10</b>

**ESG ANNUAL PERFORMANCE REPORT**

2014

<b>CONTRACTOR NAME:</b>	ALPHA COMMUNITY SERVICES YMCA
<b>CONTRACT NUMBER (CORE /POS):</b>	<b>093CCC-ESS-01 / 13DOH0101AJ</b>
<b>ESG SHARE OF CONTRACT:</b>	\$62,515
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</b>	
<p>Under the leadership of Alpha Community Services YMCA, a branch of the Central Connecticut Coast YMCA, the Families in Transition Program has been in existence since 1984. It has since grown and today it accommodates 110 individuals within the two shelter sites. Clients live in this facility sixty to ninety days. The <b>Clinton Avenue Shelter</b> is comprised of two three-story buildings with six apartments in each building that serve as emergency housing for families. The two-story, <b>Brooks Street Emergency Shelter</b> is located on the East Side of Bridgeport. Families in Transition utilizes the Alpha Community Services YMCA's continuum of care to extend shelter stays through the transitional living program for up to two years at the Jean Wallace Residence located at 1054 Boston Avenue. Alpha Community Services YMCA (ACS-YMCA) provides case management services to all its clients. Case Managers provide support and crisis intervention for the residents. They link residents both adult and youth to mental health, substance abuse, employment, medical resources, education opportunities and other services in the community. Case Managers assist families toward the path of self-sufficiency.</p> <p>During this grant year, 312 individuals, including 190 children resided at our emergency shelter and Transitional Living Program.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	312
<b>ADDITIONAL COMMENTS:</b>	
<p>During this grant year, a Coordinated Access Network (CAN) was implemented. The (CAN) was created to provide individuals and families facing homelessness with a coordinated entry point to the homeless services system, and where suitable, assist individuals and families in avoiding entry into the shelter system by operating an effective diversion effort "at the CAN's front door". At times, entry into the shelter system is inevitable, and CAN staff facilitate initial assignment to shelter or other short term options to address the immediate crisis.</p> <p>The new front door and restructured CAN process was implemented on October 27, 2014 in the Fairfield County region, and some of the goals for system change have already been actualized, such as, restructuring the assessment and assignment process, and accelerating rapid exits from homelessness to insure that shorter durations of homeless episodes are the norm. Additional system change enhancements are: ease of access, improved service coordination and appropriate resource allocation by including all local HUD/DOH funded resources into the CAN process to support rapid exits to housing, maximizing access to "main stream" resources, utilizing data and analysis to improve crisis response and move individuals and families into stable housing faster, and maintaining a connection to statewide efforts that better inform our local crisis response. ACS-YMCA has been one leaders in the implementation of the CAN System. ACS-YMCA is one of the hubs for CAN appointments, hosts the matching meetings with the participations of other agencies in Fairfield County and was instrumental in the success of the 100 Days campaign.</p>	
Report Completed by (name and date): Hernán Bohorquez - 07/30/2015	

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Alpha Community Service YMCA – 387 Clinton Ave. Bridgeport CT 0065
Project Title & Address:	Families in Transition – 11-21 Clinton Ave. and 309 Brooks St. Bridgeport CT
Contract Number (CORE/POS)	093CCC-ESS-01 / 13DOH0101A]
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

15 White, Non-Hispanic  
149 Black, Non-Hispanic  
138 Hispanic  
9 Asian/Pacific  
1 American Indian / Alaskan Native  
312 TOTAL  
48 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> X </u> emergency shelter facilities	<u>      </u> transitional housing
<u>      </u> vouchers for shelters	<u>      </u> outreach
<u>      </u> drop-in-center	<u>      </u> soup kitchen/meal distribution
<u>      </u> mental health	<u>      </u> HIV/AIDS services
<u>      </u> alcohol/drug program	<u>      </u> employment
<u>      </u> child care	<u>      </u> homeless prevention
<u>      </u> other <u>      </u> (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily        average number serviced daily         
 Average number of children daily         
 Average number served yearly       

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>      </u> %	Female <u>      </u> %	
Unaccompanied under 18 .....	Male <u>      </u> %	Female <u>      </u> %	



DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>4%</u>	Female	<u>61%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>34%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>1%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>3%</u>	battered spouses	<u>4%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>0%</u>	elderly
<u>8%</u>	chronically mentally ill	<u>1%</u>	veterans
<u>1%</u>	developmentally disabled	<u>2%</u>	physically disabled
<u>1%</u>	HIV/AIDS		other
<u>4%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>          </u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>25</u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
	Other (describe)	<u>54 (2 Buildings with 6 apartments each)</u>	
		<u>24 (1 Building with 6 apartments)</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$62,515
-----------	----------

SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>FEMA</u>	<u>\$17,007</u>
<u>ESG-CDBG</u>	<u>\$46,557</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
HUD	\$ 476,169
HUD - ESS	\$ 62,515
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$0.00
Building Value or Lease	\$663,915.00
Donated Goods	\$800.00
Donated Computers	\$0.00
New Staff Salaries	\$0.00
Volunteers (\$5/hr)	\$28,050
Volunteer Medical/Legal	\$0.00

**ESG ANNUAL PERFORMANCE REPORT**

2014

CONTRACTOR NAME:	The Open Door Shelter
CONTRACT NUMBER (CORE /POS):	103DS-ESS-1 13DOH0101CB
ESG SHARE OF CONTRACT:	\$54,695
BUDGET PERIOD:	July 1, 2014 – June 30, 2015
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>During the fiscal year July 1, 2014 through June 30, 2015, Open Door Shelter (ODS) successfully provided 33,309 bed nights for homeless men, women, and families; served 130,790 meals to the impoverished from our soup kitchen, supplied 249,149 meals through our food pantry and granted various articles of clothing to needy community and Shelter residents. Case Management Services provided 1,992 homeless individuals, families and those at risk for homelessness with assistance including counseling, crisis intervention, goal planning, referrals to additional community support services, use of guest telephones, and access to shower and laundry facilities. Eighty-seven percent (87%) of the shelter population represented diverse individuals with 100% falling below poverty levels.</p> <p>The shelter’s goal is to identify the causes of homelessness and develop a plan with the individual or family that is experiencing a crisis so that they are able to sustain permanent housing. This involves comprehensive case management to identify health/mental health needs, addiction issues, financial management skills, income and job possibilities and housing identification and transition support.</p> <p>During the past year Open Door Shelter has taken a leadership role in developing collaborations and systems to address the barriers to housing and provide resources in the prevention of homelessness. These included:</p> <ul style="list-style-type: none"> <li>• Housing First, an approach that offers permanent, affordable housing as quickly as possible for individuals and families experiencing homelessness, and then provides the supportive services and connections to the community-based supports people need to keep their housing and avoid returning to homelessness. Housing provides a foundation from which a person or family can access the services and supports they need to achieve stability, begin the recovery process, and pursue personal goals. This project has built relationships with landlords, identified and developed housing resources for the chronically homeless, leveraged partnerships to provide scattered site case management and built collective standards of practice for stronger support systems for those living in poverty and that are homeless. The executive director and associate executive director are actively involved in the Norwalk Housing First collaborative which has housed more than 37 chronically homeless individuals from greater Norwalk.</li> <li>• Connecticut’s Coordinated Access Network (CAN), launched a single “front door” for access to housing services in October. Currently, any individual or household facing a housing crisis is directed to call 2-1-1, to speak with a housing specialist to assess their needs. If they are not in need of immediate services, they will have an appointment scheduled with one of the homeless services case managers in the region</li> </ul>	

ESG ANNUAL PERFORMANCE REPORT

2014

to discuss their situation and determine the best options available to meet their needs. ODS staff provided support to the development of a service model and currently has supported 64 individuals through this initiative. Fifteen case management hours a week have been designated for diversion appointments which connect individuals with community resources to prevent homelessness. Updates to intake and assessment practices have resulted from the involvement of staff in this project.

- The shelter case managers meet weekly with a community care team at Norwalk Hospital to address the challenges of the chronically homeless. More than 60 shelter clients are included in the development of treatment plans for the most vulnerable individuals in the severity of the issues that result in homelessness.
- Open Door Shelter staff served on the leadership team and grass roots case management team for the 100 day campaign. The effort connected staff to resources and identified and broke down barriers resulting in 92 individuals and 68 families being housed in Fairfield County during the 100 day period. It created opportunities for field staff to identify systems change needs and work with leadership to make changes.
- In addition, the shelter case managers conducted community outreach to unsheltered individuals and provided meal services, showers, counseling and offered shelter housing. At any one time there are 75 unsheltered homeless persons in Greater Norwalk.

All case managers received updated training and are now certified using Critical Time Intervention case management practices. Two interns also supported the shelter from Southern Connecticut University and participated in case management and group sessions for the clients.

Each client that works with the shelter has a goal plan and works with multiple community resources to address the cause and effects of poverty and homelessness.

NUMBER OF CLIENTS SERVED (unduplicated count):	324 in Shelter 1,992 total served.
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ADDITIONAL COMMENTS:

Report Completed by (name and date): Jeannette Archer-Simons 08-05-2015

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Open Door Shelter, 4 Merritt St Norwalk, CT 06854		
Project Title & Address:	Open Door Shelter, 4 Merritt St Norwalk, CT 06854		
Contract Number (CORE/POS)	103DS-ESS-1 13DOH0101CB		
Report Covers the period:	July 1, 2014 – June 30, 2015		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter		

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>150</u>	White, Non-Hispanic
<u>163</u>	Black, Non-Hispanic
<u>111</u>	Hispanic
<u>3</u>	Asian/Pacific
<u>1</u>	American Indian / Alaskan Native
<u>1</u>	Other
<u>324</u>	TOTAL Other than Hispanic
<u>83</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u>	emergency shelter facilities		<u>        </u>		transitional housing
<u>        </u>	vouchers for shelters	<u>X</u>	<u>        </u>	<u>X</u>	outreach
<u>X</u>	drop-in-center	<u>X</u>	<u>        </u>	<u>X</u>	soup kitchen/meal distribution
<u>X</u>	mental health	<u>        </u>	<u>        </u>	<u>        </u>	HIV/AIDS services
<u>X</u>	alcohol/drug program	<u>X</u>	<u>        </u>	<u>X</u>	employment
<u>        </u>	child care	<u>X</u>	<u>        </u>	<u>X</u>	homeless prevention
<u>X</u>	other	<u>(please list): Afterschool/Tutoring</u>			

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>83:35</u>	average number serviced daily	<u>118</u>
Average number of children daily	<u>16:34</u>		<u>50</u>
Average number served yearly	<u>1992</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>69%</u>	Female	<u>31%</u>
Unaccompanied under 18 .....	Male	<u>        </u>	Female	<u>        </u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>96%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>4%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>5%</u>	battered spouses	<u>15%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>7%</u>	elderly
<u>18%</u>	chronically mentally ill	<u>3%</u>	veterans
<u>3%</u>	developmentally disabled	<u>10%</u>	physically disabled
<u>1%</u>	HIV/AIDS	<u>8%</u>	Other Chronic Health Issue
<u>15%</u>	alcohol dependent individuals	<u>9%</u>	Criminal Justice System

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>95</u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>          </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$54,695
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>FEMA</u>	<u>\$23,000</u>
<u>Department of Education</u>	<u>\$25,000</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DHMAS/PATH	\$22,500
City of Norwalk	\$53,000
CHEFA	\$75,000
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$500,000
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

<b>CONTRACTOR NAME:</b>	Operation Hope of Fairfield, Inc.		
<b>CONTRACT NUMBER (CORE /POS):</b>	0510HF-ESS-1/13DOH0101CJ		
<b>ESG SHARE OF CONTRACT:</b>	\$24,440		
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015		
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)			
<p>Operation Hope operates sheltering and feeding programs through our Shelter for Men, Shelter for Women, Shelter for Families and Community Kitchen. Clinical case management services provided to each shelter guest are geared toward increasing each person's stability, self-reliance, and readiness for housing. Work is focused on personalized goals with the hope of obtaining more permanent housing. Typical goals include compliance with mental health/addiction treatment, applying for benefits when eligible and seeking employment. Families are offered additional supports for their children, including behavioral/mental health assessments, medical treatment, and working with schools. Shelter guests receive career counseling and basic job training, including access to computer labs for job searching, resume writing, and skill building. Clients also receive crisis intervention help and lease signing services. Opportunity Specialists assist guests in personal hygiene, self-advocacy, transportation access, medication, budgeting and money management, and coping and interpersonal skills.</p>			
<b>NUMBER OF CLIENTS SERVED</b> (unduplicated count):			144 (includes children)
<b>ADDITIONAL COMMENTS:</b>			
<ul style="list-style-type: none"> <li>• Partnering with STRIVE, Operation Hope held two STRIVE training sessions (A unique three-week attitudinal job readiness course in a simulated work environment, emphasizing personal accountability; includes case management, job leads and two years of follow-up support) at the shelter facility. Participants report feeling positive about future job experiences given their new training.</li> <li>• Operation Hope has taken an active role in the new Coordinated Access System (CAN) by being a hub for 211 intake appointments.</li> <li>• Operation Hope was also an integral member of the 100 day campaign. The Shelter Manager was a Fairfield County Co-Team leader and our Intake Coordinator, Ben Howley, became a CAN Navigator. Navigators assisted with community outreach to those who could be diverted from a shelter stay with financial assistance and also participated on various committees to collaborate with problem solving for other individual and family clients. Through the 100 day campaign, Fairfield County was able to house 92 individuals, 68 families, and divert over 100 households from the shelter system.</li> <li>• Operation Hope collaborated with RNP to provide staff for an overflow shelter in Bridgeport which provided shelter to at least 26 people each night the governor issued the Cold Weather Protocol.</li> <li>• As always we continue to encourage those with substance abuse issues to attend AA and NA meetings (three of which are offered in this building each week) and we also refer people to other meetings around town and to use CCAR.</li> <li>• We continue to use Bridgeport Charitable Ladies for many of our guests' needs like clothes or transportation that are not covered by their benefits.</li> </ul>			
Report Completed by (name and date): Liz Bennett, Grants Administrator 7/31/2015			



DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	Operation Hope of Fairfield, Inc.		
Project Title & Address:	Emergency Shelter, 50 Nichols Street, Fairfield, CT 06824		
Contract Number (CORE/POS)	0510HF-ESS-1/13DOH0101CJ		
Report Covers the period:	July 1, 2014 – June 30, 2015		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter Essential Services		

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

156 White, Non-Hispanic  
75 Black, Non-Hispanic  
48 Hispanic  
1 Asian/Pacific  
           American Indian / Alaskan Native  
280 TOTAL  
51 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>  X  </u> emergency shelter facilities	<u>          </u> transitional housing
<u>          </u> vouchers for shelters	<u>  X  </u> outreach
<u>  X  </u> drop-in-center	<u>  X  </u> soup kitchen/meal distribution
<u>          </u> mental health	<u>          </u> HIV/AIDS services
<u>          </u> alcohol/drug program	<u>  X  </u> employment
<u>          </u> child care	<u>  X  </u> homeless prevention
<u>          </u> other <u>          </u> (please list):	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>27</u>	average number serviced daily	<u>32</u>
Average number of children daily	<u>5</u>		
Average number served yearly	<u>184</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>72%</u>	Female <u>21%</u>	
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>100%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>18</u>	battered spouses	<u>41</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>8</u>	elderly
<u>80</u>	chronically mentally ill	<u>5</u>	veterans
<u>21</u>	developmentally disabled	<u>45</u>	physically disabled
<u>1</u>	HIV/AIDS		other
<u>40</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>32</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$24,440
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
Town of Fairfield, CDBG	<u>\$11,000</u>
FEMA	<u>\$10,685</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
N/A	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$180,000
Donated Goods	\$124,586
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$16,100
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**

**2014**

<b>CONTRACTOR NAME:</b>	Recovery Network of Programs, Inc.:Prospect House
<b>CONTRACT NUMBER (CORE /POS):</b>	126RNP-MIS-1/13DOH0401CL
<b>ESG SHARE OF CONTRACT:</b>	\$54,698.00
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p><i>All funds go towards shelter operations:</i></p> <p>Prospect House Shelter (PHS) provides emergency shelter and support services to 37 adult individuals on a daily basis. Residents receive 3 meals daily and we have a dayroom open for residents during business hours.</p> <p>We are committed to providing quality services to meet the basic needs of each individual. Residents are provided with comprehensive case management services to help them achieve their goals and become self-sufficient, services include:</p> <ul style="list-style-type: none"> <li>- Initial assessment, participant-focused recovery plans, and weekly individual sessions.</li> <li>- Groups are offered on a range of topics, including: life skills, daily planning, co-occurring education/support, healing trauma, smoking cessation, spirituality, AA/NA meetings, etc.</li> <li>- On-site psychiatric services are available for evaluations and medication management until community mental health services can be established.</li> <li>- PHS is able to provide on-site medical services through a collaborative relationship with Southwest Community Health Center or participants are encouraged to engage in medical services if they had their own doctors prior to admission.</li> <li>- Referrals for substance abuse services can be made within agency or to other community providers. PHS has an on-site intensive outpatient program offered in the AM and PM.</li> <li>- We promote employment by helping people obtain work or education/training opportunities that will increase access to work. We assist residents in referring to employment agencies, finding work, and job readiness by helping them with resume writing, interviewing, attire for interviews, and completing applications.</li> <li>- Assist residents in attaining entitlements (e.g.: health insurance, food stamps, and disability) and obtain identifications (e.g.: DMV identifications, birth certificates, and social security cards).</li> <li>- Assist in the process of finding and securing housing in the community. In addition, we help residents with completing and submitting Universal Applications through the Greater Bridgeport Coordinated Access to Supportive Housing. Money management is also offered to participant including budgeting and saving.</li> <li>- We offer free HIV testing and HIV/AIDS counseling and referrals to community resources as well as our agency's Special Populations (Ryan White) program.</li> </ul> <p>Transportation assistance and referrals to other community resources, including recreational activities or community events.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	202

ESG ANNUAL PERFORMANCE REPORT

2014

ADDITIONAL COMMENTS:

Prospect House Shelter is a division of Recovery Network of Programs, Inc., which provides addiction and residential services to the Greater Bridgeport community. The agency provides services in the following areas, which our participants have access to:

- Medication-Assisted Treatment programs for opiate-addicted persons with outreach services for people with HIV/AIDS
- Residential Detoxification Unit
- Outpatient Treatment Programs
- Residential Inpatient Treatment Programs
- Psychiatric residential rehabilitation facilities, recovery housing, and permanent/transitional housing for the chronically homeless

Three PHS case managers were trained by DMHAS during the reporting year in SSI/SSDI, Outreach, Access and Recovery Initiative (SOAR) which is an added service provided to qualified program participants to obtain entitlements.

Prospect House staff are active participants in the Greater Bridgeport Continuum of Care/Opening Doors.

Prospect House participates in the Coordinated Access System. The shelter is a main access point for intake, prevention and diversion services.

Prospect House staff participates in the Opening Doors of Fairfield County collaborative meetings monthly.

Report Completed by (name and date): Nicole Dupee ~ 7/23/2015

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Recovery Network of Programs, Inc. 2 Trap Falls Road Suite 405, Shelton, CT 06484	
Project Title & Address:	Prospect House Shelter 392 Prospect Street, Bridgeport, CT 06604	
Contract Number (CORE/POS)	126RNP-MIS-1/13DOH0401CL	
Report Covers the period:	July 1, 2014 - June 30, 2015	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	All funds go towards shelter operations	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

100 White, Non-Hispanic  
93 Black, Non-Hispanic  
60 Hispanic  
0 Asian/Pacific  
2 American Indian / Alaskan Native  
202 TOTAL  
49 Number of Female headed household  
7 [[identified "other" or more than one race]]

2. Indicate type of project(s) and service(s):

X  emergency shelter facilities                       X  transitional housing  
       vouchers for shelters                                             outreach  
       drop-in-center                                               X  soup kitchen/meal distribution  
 X  mental health                                                       X  HIV/AIDS services  
 X  alcohol/drug program                                                     employment  
       child care                                                                     homeless prevention  
       other                      (please list): \_\_\_\_\_

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily                      34                      average number serviced daily                      n/a  
Average number of children daily                      n/a  
Average number served yearly                      202

**DRAFT**

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>77%</u>	Female	<u>23%</u>
Unaccompanied under 18 .....	Male	<u>0%</u>	Female	<u>0%</u>

Families with children headed by:

Single 18 and over .....	Male	<u>n/a %</u>	Female	<u>n/a %</u>
Youth 18 and under .....		<u>n/a %</u>		
Two parents 18 and over .....		<u>n/a %</u>		
Two parents under 18 .....		<u>n/a %</u>		
Families with no children .....		<u>n/a %</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>11%</u>	battered spouses	<u>70%</u>	drug dependent individuals
<u>n/a</u>	runaway/throwaway youth	<u>3%</u>	elderly
<u>57%</u>	chronically mentally ill	<u>3%</u>	veterans
<u>6%</u>	developmentally disabled	<u>27%</u>	physically disabled
<u>10%</u>	HIV/AIDS		other
<u>(see next)</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>34</u>	Barracks	<u>n/a</u>	Group/large house
<u>n/a</u>	Scattered site apartment	<u>n/a</u>	Single family detached house
<u>n/a</u>	Single room occupancy	<u>n/a</u>	Mobile home/trailer
<u>n/a</u>	Hotel/motel		
	Other (describe)		

**DRAFT**

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 54,698.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	\$
	\$
	\$
	\$
	\$
	\$

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



**ESG ANNUAL PERFORMANCE REPORT**

**2014**

<b>CONTRACTOR NAME:</b>	Shelter For The Homeless
<b>CONTRACT NUMBER (CORE /POS):</b>	135SHI-ESS-01/13DOH0101CN
<b>ESG SHARE OF CONTRACT:</b>	\$97,109.00
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>Funds go towards essential services and shelter operations</p> <p>Shelter for the Homeless (SFH) offers a range of housing and case management program interventions that provide outreach, case management, vocational “job readiness” and supportive housing. Each program is designed to address the special needs of homeless individuals and SFH fulfills its mission through three basic program activities:</p> <ul style="list-style-type: none"> <li>• <u>Providing emergency food and shelter</u> for approximately 70 homeless individuals each day and serving nearly 400 homeless individuals annually. The Shelter operates 24 hours a day year round and provides 70 beds with an overflow capacity of 110. The Shelter has 70 regular beds and can accommodate up to 110 clients during extreme weather conditions and in emergencies. Breakfast and dinner are provided daily at the Shelter Pacific Street facility and the availability of meals and social services insures frequent face-to-face contact with clients and continuity of relationships critical to providing direct services and linkage. For the last reporting year ending 2015, the Emergency Shelter Program served 395 individual clients and provided over 52,443 meals.</li> <li>• <u>Recovery Advocate Program (RAP)</u>, serves 14 individuals each year and provides HIV prevention, linkage and outreach to individuals with mental illness, co-occurring, substance abuse, HIV infection and HIV “at –risk” population. The RAP carries a cellular phone with direct access to SFH, Inc. Client Service Advocate (front line staff) for emergency situations involving individuals with HIV and substance abuse issues. RAP utilizes the SFH van on a daily basis and collaborates with SHD’s Prevention Services Program outreach workers, prevention case managers, and HIV prevention counselors to provide services to the target population at 12 different sites in the greater Stamford area. These services include: AIDS outreach, Needle Exchange Program, AIDS Risk Reduction and Education, HIV testing, counseling and condom distribution. Street outreach, education, and engagement strategies are coordinated with SHD, the local Ryan White Program (Stamford Cares) and 15 other treatment programs that offer testing, education, support services, health care, transportation, and referral services.</li> <li>• <u>The SFH Drop-in Day Program</u> offers a variety of support services, including HIV prevention, intensive case management, educational, job training and placements, substance abuse counseling referrals, housing placements, mental health screening, and transportation. In FY14, SFH provided emergency shelter to 494 individuals. The Drop-in Day Program provided initial HIV screening to nearly all of the 494 unduplicated clients served by SFH. The intensive case management offered through this program provides immediate assistance to clients to find suitable housing and direct services to address health, vocational and social needs of SFH clients. For example, large percentages (85%) of clients have serious health issues, including mental health and/or substance abuse and HIV infection that become either exacerbated or the cause of homelessness. The daily “drop-in” program integrates health, vocational, and social services designed to increase independence and self sufficiency. Key funding partners include the CT Dept. of Mental Health and Addiction Services (DMHAS), HUD, Stamford Department of Health, CT Dept of Housing, United Ways of Western CT and Optimus Healthcare who provides medical triage and “fast-track” referrals that allow greater access to medical services including HIV treatment.</li> <li>• <u>Workforce Development</u> services that assist homeless individuals achieve greater self sufficiency. Career Development Specialist prepares clients for employment by developing basic employment skills and then assists clients to find stable, permanent positions with follow-along support once the individual is employed. On-site training in English as a Second Language (ESL) and computer skills is also provided.</li> </ul>	

ESG ANNUAL PERFORMANCE REPORT

2014

- Permanent supportive housing to create housing stability. This strategy directly addresses the problem of homelessness through housing and community development and drives the SFH mission beyond remedial services and creates a new priority for developing permanent housing with residential and social supports homeless individuals typically need to maintain their housing. SFH has collaborated with community partners to complete three successful HUD supported housing projects which has resulted in 20 housing units and has a fourth project underway that will provide 16 new units of permanent housing with unique mental health and residential support services targeted to the "chronically homeless" with serious mental illness, i.e. homeless individuals with more than one year of homelessness and assigned a diagnoses of a major mental illness such as schizophrenia or bi-polar disorder. This housing specifically addresses one of the most serious housing shortages identified in the Open Doors Fairfield County Continuum of Care Plan to End Homelessness. SFH is in the development process of creating an additional 15 new units, which will come on line in 2017, and has purchased a 24 unit SRO in Norwalk. SFH anticipates having 75 units of housing in place by the close of 2018 or before.

NUMBER OF CLIENTS SERVED (unduplicated count):

386

ADDITIONAL COMMENTS:

N/A

Report Completed by (name and date): Jerome Roberts, Associate Executive Director, July 17, 2015

# DRAFT

## DEPARTMENT OF SOCIAL SERVICES EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Shelter For The Homeless – 137 Henry Street, Suite 205, Stamford, CT 06902
Project Title & Address:	Pacific House Emergency Shelter 597 Pacific St. Stamford, CT. 06902
Contract Number (CORE/POS)	135SHI-ESS-01/13DOH0101CN
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

90 White, Non-Hispanic  
154 Black, Non-Hispanic  
133 Hispanic  
1 Asian/Pacific  
8 other  
386 TOTAL  
0 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>X</u> outreach
<u>X</u> drop-in-center	<u>X</u> soup kitchen/meal distribution
<u>X</u> mental health	<u>X</u> HIV/AIDS services
<u>X</u> alcohol/drug program	<u>X</u> employment
<u>        </u> child care	<u>X</u> homeless prevention
<u>        </u> other <i>(please list):</i>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>67</u>	average number serviced daily	<u>67</u>
Average number of children daily	<u>0</u>		
Average number served yearly	<u>386</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>100%</u>	Female <u>0%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>0%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0</u>	battered spouses	<u>3.5</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>2</u>	elderly
<u>3</u>	chronically mentally ill	<u>1</u>	veterans
<u>1</u>	developmentally disabled	<u>2</u>	physically disabled
<u>0</u>	HIV/AIDS	<u>82</u>	other
<u>5.5</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>386</u>	Barracks	<u>0</u>	Group/large house
<u>0</u>	Scattered site apartment	<u>0</u>	Single family detached house
<u>0</u>	Single room occupancy	<u>0</u>	Mobile home/trailer
<u>0</u>	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$97,019.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$12,753.00</u>
PATH	<u>\$ 50,503.00</u>
STAMFORD CDBG	<u>\$35,250.00</u>
GREENWICH CDBG	<u>\$0</u>
	<u>\$</u>

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DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$ 160,750
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**

2014

CONTRACTOR NAME:	Inspirica, Inc. (Formerly St. Luke's Community Services)
CONTRACT NUMBER (CORE /POS):	13DOH0401BR/135II-MIS-01
ESG SHARE OF CONTRACT:	\$52,856
BUDGET PERIOD:	July 1, 2014 - June 30, 2015
<p><b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)</p> <p>Inspirica provides services for single adults (Woman's Housing Program) and for families (Family Housing Program). Both programs are in operation 24 hours per day, seven days a week. Case management services provided to each client/household include an initial assessment at intake and a case plan is developed and agreed upon between the case manager and the client. Case managers meet with clients in their assigned case load each week to discuss the client progress in the programs. The goals of the programs are geared toward securing employment and housing and case managers assist clients in utilizing additional support services including mental health treatment, substance abuse services and healthcare.</p> <p>Opening Doors Fairfield County recently introduced the coordinated access system (CAN) which connects families and singles to emergency shelter. Individuals seeking emergency shelter and housing assistance have to call the 211 hotline to be screened and set up with an CAN appointment. Clients then meet with a specialist that assesses the client's housing needs and assists individuals in identifying alternative options to shelter. Singles that do not have any other options may be referred to shelter or placed on a waiting list if no shelter beds are available. A standardized assessment known as the VI SPDAT is given at the initial assessment and for individuals currently in the shelter. This assessment determines the appropriate referral for permanent housing. The client may be referred to permanent supportive housing (through Stamford Housing First Team), Rapid Re Housing, or usual care based on the assessment. When appropriate housing is identified, the client then exits the shelter.</p> <p>Educational programs are offered for the children of our Family Housing Program through our Children's Services Program, which acts as a direct liaison between the children/parents and public or private school system. We provide after-school programming through our Youth Center, which just completed its third year. The Youth Center provides homework help, structured activities focusing on self-empowerment, physical activities, a healthy snack, and educational field trips that include the parents. To further meet the needs of the children we serve, we have continued our strategic partnerships with select community providers of services for children. Our strategic partnership with Childcare Learning Centers (which runs the Head Start and Early Head Start programs in our area) ensures that 100 percent of our children who are eligible for Head Start are enrolled in Head Start (or its equivalent). Our strategic partnership with the Child Guidance Center of Connecticut (CGC) helps children suffering from the stress associated with losing their homes, needing to change schools, being part of fractured families (with one or more parents suffering from drug/alcohol or other abuse, or incarceration) by providing professional, community-based mental health diagnostic, treatment and preventive services for children and their families. Coordination meetings between Inspirica staff and the strategic partners occur regularly, ensuring information sharing and coordination of services.</p>	

ESG ANNUAL PERFORMANCE REPORT

2014

The Woman’s Housing Program provides breakfast and dinner to participants seven days a week. All participants are provided with bedding and basic toiletries. Clients participate in monthly house meetings that provide updates and information about the program and provide clients with the opportunity to voice their thoughts and opinions. Clients are also invited to participate in evening programs hosted by community programs and monthly bingo gatherings. This program year we served 132 individuals.

Another major initiative of Inspirica is our Jumpstart Education Program. Jumpstart is an Education Program serving participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness. We finished our inaugural year, serving 98 individuals with 71% of those completing obtaining employment.

Participants also take advantage of job placement assistance through our Jumpstart Employment Program. This last year the Jumpstart Employment Program made 200 job placements across the agency, and an average starting salary of \$11.54/hour, both new records!

*Jumpstart* is the cornerstone of Inspirica’s fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, *Jumpstart* is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates “splitting” (when clients play off caseworkers against each other). Additionally, Inspirica provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, and Retention Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.

NUMBER OF CLIENTS SERVED (unduplicated count):	132 - Women’s Housing 102 - Family Housing
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**ADDITIONAL COMMENTS:**

We continue to strengthen the Family Housing and Children’s Services programs, with staff upgrades and new activities. The Family Housing Program has undergone significant upgrades to the kitchens and program layout, with dedicated dining areas, increased cabinet space in the kitchens, and updated closet areas. The case manager office has also been relocated to within the residential suite for better staff availability to the clients.

Louise Calixte joined the Inspirica Team as the new Program Director for the Family Housing Emergency Shelters. Louise was awarded her bachelor’s degree from Temple University with a double major in Psychology and Criminal Justice, as well as a law degree from the State University of New York at Buffalo. Louise has worked with many diverse populations- The last five years she has focused on serving families and individuals facing homelessness.

Report Completed by (name and date): Noel Kammermann, CPO, 07/29/2015

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Inspirica (Formerly St. Luke's Community Services, d.b.a. St. Luke's Lifeworks)	
Project Title & Address:	Family Housing Program, 141 Franklin Street, Stamford, CT 06901 & Women's Housing Program, 8 Woodland Place, Stamford, CT 06902	
Contract Number (CORE/POS)	13DOH0401BR/135II-MIS-01	
Report Covers the period:	July 1, 2014 - June 30, 2015	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	See Attached	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

53 White, Non-Hispanic  
114 Black, Non-Hispanic  
62 Hispanic  
2 Asian/Pacific  
2 American Indian / Alaskan Native  
98 TOTAL  
28 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>        </u> outreach
<u>        </u> drop-in-center	<u>        </u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>X</u> employment
<u>X</u> child care	<u>        </u> homeless prevention
<u>X</u> other <u>        </u> (please list): Transportation and Meal Provision (Women's Housing Program)	

3. Number of people served for each activity:

Residential Services:	Non-residential services:
Average number of adults daily <u>26</u>	average number serviced daily <u>        </u>
Average number of children daily <u>13</u>	
Average number served yearly <u>234</u>	

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>        </u> 0%	Female <u>        </u> 100%*
*Women's Shelter		
Unaccompanied under 18 .....	Male <u>        </u> 0%	Female <u>        </u> 0%



DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>6%</u>	Female	<u>80%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....				
		<u>14%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>14%</u>	battered spouses	<u>1%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>4%</u>	elderly
<u>4%</u>	chronically mentally ill	<u>2%</u>	veterans
<u>0%</u>	developmentally disabled	<u>1%</u>	physically disabled
<u>0%</u>	HIV/AIDS		other
<u>1%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>  X  </u>	Barracks (Women's Shelter)	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>  X  </u>	Other (describe)	<u>  Dormitory (Family Housing - Emergency Shelter)  </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$52,856
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>FEMA</u>	<u>\$ 7,383</u>
<u>HUD</u>	<u>\$392,895</u>
<u>DOH TLP</u>	<u>\$211,337</u>
<u>DOH ESS</u>	<u>\$355,157</u>
<u>DOH/United Way Coastal</u>	<u>\$ 12,446</u>
<u>Stamford CDBG</u>	<u>\$ 17,250</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Stamford	\$ 56,520	State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)
Greenwich CDBG	\$ 10,000	
Local Match (state/local)	Amount	
	\$	
	\$	
	\$	

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Client/Tenant Fees	\$ 26,821
Fundraising/Cash/Matching	\$955,771
Contributions/Events	\$ 59,500
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

<b>CONTRACTOR NAME:</b>	ImmaCare Inc.
<b>CONTRACT NUMBER (CORE /POS):</b>	
<b>ESG SHARE OF CONTRACT:</b>	\$ 26,288.00
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>ImmaCare Inc. provides emergency shelter to individual men. Services in the shelter include case management, laundry, shower, dinner and breakfast. We also provide psychoeducational groups such as activities of daily living, a media group (we show a Hollywood movie and then discuss issues from the movie that relate to life), a coping skills group, a social group (bingo) and a recovery group based on harm reduction principles. Staff and case managers make referrals as needed to address issues of physical health, mental health, substance use, employment, etc. When appropriate staff at ImmaCare will address larger family systems issues and, if possible, work with clients to re-establish family connections. Staff also encourage clients to attend self-help groups such as AA/NA, depression support groups, job club groups, grief support groups, etc. We provide clients with bus passes when they need assistance with transportation or, when possible, we will drive clients to their appointments. Clients are assessed regularly and service plans are created, with clients. Staff then encourage clients to work on issues in their service plan. Ending a client's homelessness is always our top priority.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	524
<b>ADDITIONAL COMMENTS:</b>	
<p>We work closely with the Greater Hartford CAN as well as the CoC. ImmaCare is the triage contact for individual men seeking shelter. ImmaCare also conducts CAN assessments twice a week. ImmaCare is also actively seeking CARF accreditation and, as such, is currently reviewing all policies and practices.</p>	
<b>Report Completed by (name and date):</b> Stephen MacHattie, LCSW 8/10/15	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	ImmaCare Inc.
Project Title & Address:	560 Park Street, Hartford, CT 06106
Contract Number (CORE/POS)	
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

165	White, Non-Hispanic
225	Black, Non-Hispanic
132	Hispanic
2	Asian/Pacific
	American Indian / Alaskan Native
524	TOTAL
	Number of Female headed households

2. Indicate type of project(s) and service(s):

x emergency shelter facilities	
	transitional housing
	vouchers for shelters
	outreach
	drop-in-center
	soup kitchen/meal distribution
	mental health
	HIV/AIDS services
	alcohol/drug program
	employment
	child care
	homeless prevention
	other (please list):

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	80	average number serviced daily	80
Average number of children daily			
Average number served yearly			

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	100%	Female	%
Unaccompanied under 18 .....	Male	%	Female	%

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male <u>100%</u>	Female <u>    </u> %
Youth 18 and under .....	<u>                    </u> %	
Two parents 18 and over .....	<u>                    </u> %	
Two parents under 18 .....	<u>                    </u> %	
Families with no children .....	<u>                    </u> %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>                    </u>	battered spouses	<u>48%</u>	drug dependent individuals
<u>                    </u>	runaway/throwaway youth	<u>                    </u>	elderly
<u>16%</u>	chronically mentally ill	<u>4%</u>	veterans
<u>2%</u>	developmentally disabled	<u>8%</u>	physically disabled
<u>2%</u>	HIV/AIDS	<u>                    </u>	other
<u>53%</u>	alcohol dependent individuals	<u>                    </u>	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>150</u>	Barracks	<u>                    </u>	Group/large house
<u>                    </u>	Scattered site apartment	<u>                    </u>	Single family detached house
<u>                    </u>	Single room occupancy	<u>                    </u>	Mobile home/trailer
<u>                    </u>	Hotel/motel	<u>                    </u>	
<u>                    </u>	Other (describe)	<u>                    </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$26,288.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
DOH	<u>\$301,822.00</u>
<u>                    </u>	<u>\$</u>
<u>                    </u>	<u>\$</u>
<u>                    </u>	<u>\$</u>
<u>                    </u>	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**

**2014**

<b>CONTRACTOR NAME:</b>	The Open Hearth Association, Inc.
<b>CONTRACT NUMBER (CORE /POS):</b>	064OHA-ESS-1/14DOH0101C1
<b>ESG SHARE OF CONTRACT:</b>	\$ 37,767
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015
<p><b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)</p> <p>The Open Hearth Association, Inc. operates a 25 bed Emergency Shelter 365 days per year. The hours of Operation are 3:30pm to 7:00am daily. Each Shelter guest is required to utilize the shower facilities daily and is provided with laundry services, dinner and breakfast as well as basic toiletries, bus passes for job search and other transportation needs. For those Shelter residents who are employed the Open Hearth also offers the availability to sign up for a bagged lunch.</p> <p>During this reporting period The Open Hearth Shelter provided services to 613 unduplicated individuals. The population served was men who were 18 years of age or older. There were 154 white men, 238 black men, 196 Hispanic men 5 Asian men and 12 American Indian/ Alaskan Native, 2 Multi-Racial and 6 individuals who chose to not identify.</p> <p>During the past year the Open Hearth continued to focus on addiction recovery. The Shelter staff conducts weekly presentations for our Shelter guests to inform and educate them in regards to admission to our Transitional Living Program as well as to other community resources. The Open Hearth provides our Shelter Guests the option of attending up to 7 (in house) 12 step AA/NA recovery meetings weekly, transportation to 1 community recovery meeting and opportunities for spiritual practice. If a Shelter guest demonstrates he is committed to recovery, has a desire to work, and is interested in our Transitional Living Program, the Open Hearth also offers the “stay back option”. Our Stay back option gives the men the opportunity to remain at the agency and not have to return to the streets during the day. We believe this addition to our program has set us apart from other local shelters. No longer does a man, who is ready to work on his recovery, have to be put back on the streets. Each Shelter guest has the option of working a recovery program and working with the Open Hearth daily in our work therapy program in efforts to move to our Transitional Living Program.</p> <p>Open Hearth Shelter Monitors work with our Shelter guests to educate them on how to access community resources such as insurance, mental health, dental, medical, educational and housing services. In addition our shelter staff assists clients with social security cards, birth certificates, CT State ID’s and clothing. During this reporting period we were assigned a Clinical Case Manager who works 3 times a week with Shelter guests to provide counseling and connect both our Shelter residents and Transitional Living residents with outside community resources in the area of mental health.</p> <p>The Shelter Manager and Shelter Monitors maintain and supervise the operations within the Emergency Shelter. The Shelter Monitors are on the front line and assign beds to new shelter guests admitted to shelter. We have assigned a specific shelter staff</p>	

ESG ANNUAL PERFORMANCE REPORT

2014

member to complete intake assessments on all new shelter guests, enter all data into the HMIS data base, and report to the Shelter Manager all new admissions. The length of stay remains 15 days. Whenever a 15 day bed becomes available it is announced to the Shelter guests and the Shelter Manager is notified via e-mail of that opening and any turn way's due to the shelter being full from the night before. In addition, the Shelter Monitors watch the intake of all medication, ensure the cleanliness of the shelter, enforce all rules and regulations, maintain all necessary records, resolve any issues and seek guidance when appropriate from the Shelter Manager. Shelter monitors also refer clients to the Clinical Case Manager as needed. It is our main goal to maintain safety and security of all residents and staff alike.

NUMBER OF CLIENTS SERVED (unduplicated count):

613

ADDITIONAL COMMENTS:

Report Completed by (name and date):Katherine Hannah 8/7/15



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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2015

Contractor Name & Address:	The Open Hearth Association, Inc. 150 Charter Oak, Hartford, Ct, 06106-5102
Project Title & Address:	Emergency Shelter- 150 Charter Oak, Hartford, Ct, 06106-5102
Contract Number (CORE/POS)	0640HA-ESS-1/14DOH0101C1
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

154 White, Non-Hispanic  
238 Black, Non-Hispanic  
196 Hispanic  
5 Asian/Pacific  
12 American Indian / Alaskan Native  
613 TOTAL  
0 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input checked="" type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input checked="" type="checkbox"/> mental health	<input type="checkbox"/> HIV/AIDS services
<input checked="" type="checkbox"/> alcohol/drug program	<input checked="" type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input type="checkbox"/> other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>22.5</u>	average number serviced daily	<u>22.5</u>
Average number of children daily	<u>0</u>		
Average number served yearly	<u>8214</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>100%</u>	Female <u>0%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2015

Families with children headed by:

Single 18 and over .....	Male	<u>100%</u>	Female	<u>0%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>53</u>	battered spouses	<u>554</u>	drug dependent individuals
	runaway/throwaway youth	<u>35</u>	elderly
<u>293</u>	chronically mentally ill	<u>24</u>	veterans
	developmentally disabled		physically disabled
<u>11</u>	HIV/AIDS	<u>242</u>	other
<u>554</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>X</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$37,767.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$ 11,510</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2015

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
ST of CT- DOH	\$ 170,465
City of Hartford	\$ 13,767
	\$
	\$
	\$

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	\$ 36,780
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

<b>CONTRACTOR NAME:</b>	South Park Inn, Inc.
<b>CONTRACT NUMBER (CORE /POS):</b>	064SPI ESS-01
<b>ESG SHARE OF CONTRACT:</b>	\$76,332.00
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>South Park Inn provides emergency shelter to men, women and children in Hartford, CT. Between July 1, 2014 and June 30, 2015, 1359 individuals, including 75 children, were provided with shelter and other services. Individuals that stay at South Park Inn all have the opportunity to meet with a case manager for assessment, and to create an action plan in order to address their specific problems, issues, and concerns. Prevalent issues faced by individuals experiencing homelessness include severe mental illness, substance abuse, physical disabilities, limited financial resources, and various other factors. Case managers work closely with providers in the Greater Hartford community to make referrals that will best meet the needs of our residents, with goals of wellness, self sufficiency, and housing. South Park Inn has been very active in the Greater Hartford Coordinated Access Network (GH-CAN), and has worked in collaboration with the GH-CAN toward the 2-1-1 coordinated access, and Greater Hartford coordinated exit and housing referral processes. While these processes are relatively new, and are experiencing some challenges and growing pains, South Park Inn is committed to working with other community partners in order to identify and establish the best practices to ensure that the needs of our clients are being met.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	1359
<b>ADDITIONAL COMMENTS:</b>	
<p>Report Completed by (name and date): Mary A. Vazquez July 21, 2015</p>	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	South Park Inn, Inc. 75 Main Street, Hartford, CT 06106
Project Title & Address:	Emergency Shelter - 75 Main Street, Hartford, CT 06106
Contract Number (CORE/POS)	064SPI ESS-01
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

- 419 White, Non-Hispanic
- 499 Black, Non-Hispanic
- 429 Hispanic
- 12 Asian/Pacific
- 0 American Indian / Alaskan Native
- 1359 TOTAL \*include children
- 46 Number of Female headed households

2. Indicate type of project(s) and service(s):

- |                                                                           |                                              |
|---------------------------------------------------------------------------|----------------------------------------------|
| <u>  x  </u> emergency shelter facilities                                 | <u>  x  </u> transitional housing            |
| <u>      </u> vouchers for shelters                                       | <u>  x  </u> outreach                        |
| <u>      </u> drop-in-center                                              | <u>      </u> soup kitchen/meal distribution |
| <u>  x  </u> mental health                                                | <u>  x  </u> HIV/AIDS services               |
| <u>  x  </u> alcohol/drug program                                         | <u>  x  </u> employment                      |
| <u>      </u> child care                                                  | <u>  x  </u> homeless prevention             |
| <u>  x  </u> other (please list): VA Drop in Center and Budgeting Classes |                                              |

3. Number of people served for each activity:

Residential Services: Non-residential services: NA

Average number of adults daily \_\_\_\_\_ average number serviced daily \_\_\_\_\_  
 Average number of children daily \_\_\_\_\_  
 Average number served yearly \_\_\_\_\_

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 72% Female 25%  
 Unaccompanied under 18 ..... Male   % Female   %

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>3%</u>
Youth 18 and under .....		<u>        </u>		<u>        </u>
Two parents 18 and over .....		<u>        </u>		<u>        </u>
Two parents under 18 .....		<u>        </u>		<u>        </u>
Families with no children .....		<u>        </u>		<u>        </u>

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>3%</u>	battered spouses	<u>16%</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>4%</u>	elderly
<u>37%</u>	chronically mentally ill	<u>7%</u>	veterans
<u>2%</u>	developmentally disabled	<u>6%</u>	physically disabled
<u>1%</u>	HIV/AIDS	<u>        </u>	other
<u>7%</u>	alcohol dependent individuals	<u>5%</u>	Both drugs & alcohol dependent individuals

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>85</u>	Barracks	<u>        </u>	Group/large house
<u>        </u>	Scattered site apartment	<u>        </u>	Single family detached house
<u>        </u>	Single room occupancy	<u>        </u>	Mobile home/trailer
<u>        </u>	Hotel/motel	<u>        </u>	
<u>        </u>	Other (describe)	<u>        </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$76,332.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
United Way	<u>\$76,332.00</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

<b>CONTRACTOR NAME:</b>	Christian Community Action, Inc.	
<b>CONTRACT NUMBER (CORE /POS):</b>	<b>093CCA-ESS-01/ 13DOH0101AM – Amendment #2</b>	
<b>ESG SHARE OF CONTRACT:</b>	\$68,325	
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015	
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)		
<p>Christian Community Action, through its Hillside Family Shelter, offers seventeen apartments (of various sizes and at two locations) for families that are homeless. For 60 days, families can receive case management services, workshops, vocational assistance, housing location assistance and programming for children. After they leave the Shelter, families can receive follow up services for up to 90 days.</p> <p>Over the last year, Christian Community Action’s Hillside Family Shelter staff have participated actively in the establishment and implementation of the Greater New Haven Coordinated Access Network and the conversion to a new Homeless Management System, called Caseworthy. Both are designed to collect better data and improve the service delivery for families that are homeless and seeking permanent housing and stability.</p>		
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	93	
<b>ADDITIONAL COMMENTS:</b>		
<p>Report Completed by (name and date): Rev. Bonita Grubbs, Executive Director – July 31, 2015</p>		



DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

<b>Contractor Name &amp; Address:</b>	Christian Community Action, Inc.
<b>Project Title &amp; Address:</b>	168 Davenport Ave.
<b>Contract Number (CORE/POS)</b>	<b>093CCA-ESS-01/ 13DOH0101AM – Amendment #2</b>
<b>Report Covers the period:</b>	July 1, 2014 - June 30, 2015
<b>Activity Name &amp; Description (See the attached ESG Annual Performance Report aka ESG short form for description)</b>	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

- 45 White, Non-Hispanic
- 172 Black, Non-Hispanic
- 28 Hispanic
- 0 Asian/Pacific
- 0 American Indian / Alaskan Native
- 245 TOTAL
- 65 Number of Female headed households
- √ emergency shelter facilities
- \_\_\_\_\_ vouchers for shelters
- \_\_\_\_\_ drop-in-center
- \_\_\_\_\_ mental health
- \_\_\_\_\_ alcohol/drug program
- \_\_\_\_\_ child care
- \_\_\_\_\_ other (please list):

2. Indicate type of project(s) and service(s):

- \_\_\_\_\_ transitional housing
- \_\_\_\_\_ outreach
- \_\_\_\_\_ soup kitchen/meal distribution
- \_\_\_\_\_ HIV/AIDS services
- \_\_\_\_\_ employment
- \_\_\_\_\_ homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 93 average number serviced daily 68  
 Average number of children daily 152  
 Average number served yearly 245

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male          % Female          %  
 Unaccompanied under 18 ..... Male          % Female          %



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DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH/DMHAS	\$20,000
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**

2014

<b>CONTRACTOR NAME:</b>	Columbus House, Inc. - <i>New Haven</i>
<b>CONTRACT NUMBER (CORE /POS):</b>	093CHI-ESS-32/ 10DSS3711EM
<b>ESG SHARE OF CONTRACT:</b>	\$41,092
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>The Columbus House Inc. (CHI) mission is to serve people who are homeless or at risk of becoming homeless, by providing shelter and housing and by fostering their personal growth and independence.</p> <p>The CHI Shelter serves single adult men and women who are 18 years of age and older. CHI does not discriminate on the basis of race, religion, national origin, age, sex, sexual orientation, disability or veteran status. CHI will make reasonable accommodation for those in the LGBT community when necessary based on each individual situation. CHI will always maintain confidentiality and attempt to provide a safe environment for all served. CHI will attempt to accommodate all language barriers to provide the appropriate level of service. CHI has a certified Spanish interpreter of staff and can arrange for an appointment if necessary. For other languages CHI will offer interpreter services through Language Line.</p> <p>Approximately (80) clients reside each night under shelter, (31) clients under DOH -ESS/ESG funding. Clients are supported with 4 to 10 staff and volunteers that come and go throughout the evening hours. CHI shelter staff has been trained to provide services to homeless populations with multiple barriers and disabilities. Current staff include: the (1 part time) Shelter Manager, (1 full time) Shelter Coordinator, (2 full time) Shelter Case Managers and (15 part time) Residential Supervisors. Staff is available 24-hours every day of the week. Residential staff members are onsite during the daytime hours and at night. The Case Management staff works Monday through Friday from 7:30 AM - 5:00 PM and on the weekends from 8:00 Am- 12:00 PM. CHI Shelter case managers assist in making connections to housing, education, employment, mental health, substance abuse services, treatment centers, entitlements (SOAR), medical or dental referrals, and medical insurance. A self-directed service plan will be developed within two weeks of a residents 90 day stay. Shelter case management is available to meet weekly with residents to discuss progress, successes, and/or and barriers experienced while implementing the service plan.</p> <p>With the implementation of the CAN in January 2015, intake begins with 2-1-1. Clients may call 2-1-1 on their own or with the assistance of a service provider. The 2-1-1 operator will attempt to divert the client out of the shelter system through referrals to mainstream resources, including utility assistance, food banks, and others. Those clients who cannot be referred out will be assigned a CAN intake appointment, scheduled Monday through Friday, 11:00 am to 4:00 pm at the Columbus House Annex building, immediately adjacent the Columbus House Shelter. During the intake appointment, clients will meet with one of two CAN Duty Service Officers, staffed by each participating agency on a rotating basis. The Duty Service Officers will work with eight to ten clients over four hours to divert him/her out of the shelter system. If this cannot be done, the client will be assigned a bed at one of the shelters within the CAN. If no shelter beds are available, the client will be put on the CAN shelter waitlist.</p> <p>Clients who are assigned a bed at the Columbus House Shelter will meet with a Shelter Case Manager upon intake and complete the required forms. Within 10 days of entry, the Shelter Case Manager will assist the client in completing the VI-SPDAT.</p> <p>CHI Shelter has a 90 day length of stay policy. Participants are welcome to stay and take advantage of the services offered for 90 calendar days. In an effort to maximize our services for all in need it is required that all clients having an income be referred to out Length of Stay (LOS) Program. Income level is self-reported as part of the initial CAN intake. During the Shelter intake procedure, clients who report that they have some income may be asked for paystubs to document employment income.</p>	

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**ESG ANNUAL PERFORMANCE REPORT**

**2014**

<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	<b>285</b>
<b>ADDITIONAL COMMENTS:</b> CHI continues to track and monitor client outcomes related to the CAN process. Outcomes particular to length of time on shelter waitlists, no show rates, length of time to appointments and linkages to services at the CAN front door. CHI appoints key staff members to sit on the local CAN Operations Committee and Exit/Entry Coordination Committees.	
<b>Report Completed by (name and date):</b> Leticia Brown-Gambino 8/06/2015	

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## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	586 Ella T. Grasso Boulevard, P.O. Box 7093, New Haven, CT-06519
Project Title & Address:	Columbus House Emergency Shelter, 586 Ella T. Grasso Blvd., New Haven, CT-06519
Contract Number (CORE/POS)	093CHI-ESS-32/10DSS3711EM
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>92</u>	White, Non-Hispanic
<u>123</u>	Black, Non-Hispanic
<u>64</u>	Hispanic
<u>0</u>	Asian/Pacific
<u>6</u>	Multi-Racial/Other
<u>0</u>	American Indian / Alaskan Native
<u>285</u>	TOTAL
<u>181</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input type="checkbox"/> other <u>(please list):</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>31</u>	average number serviced daily	<u>31</u>
Average number of children daily	<u>          </u>		
Average number served yearly	<u>285</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>36%</u>	Female <u>64%</u>
Unaccompanied under 18 .....	Male <u>    %</u>	Female <u>    %</u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male _____ %	Female _____ %
Youth 18 and under .....	_____ %	
Two parents 18 and over .....	_____ %	
Two parents under 18 .....	_____ %	
Families with no children .....	_____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0</u> _____ battered spouses	<u>9%</u> _____ drug dependent individuals
<u>0</u> _____ runaway/throwaway youth	<u>2%</u> _____ elderly
<u>23%</u> _____ chronically mentally ill	<u>2%</u> _____ veterans
<u>4%</u> _____ developmentally disabled	<u>34%</u> _____ physically disabled
<u>2%</u> _____ HIV/AIDS	<u>14%</u> _____ Other(Domestic Violence)
<u>4%</u> _____ alcohol dependent individuals	_____

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ Barracks	_____ Group/large house_____
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe) <u>31 bed shelter</u>	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$41,092.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>CDBG</u>	<u>\$ 8,000.00</u>
<u>FEMA</u>	<u>\$30,000.00</u>
_____	<u>\$</u>
_____	<u>\$</u>
_____	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH-ESS	\$316,911.00
DMHAS	\$593,246.00
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



**ESG ANNUAL PERFORMANCE REPORT**  
2014

CONTRACTOR NAME:	New Reach, Inc.	
CONTRACT NUMBER (CORE /POS):	13DOH0101CC / 093NHH-ESS-01	
ESG SHARE OF CONTRACT:	\$ 49,883	
BUDGET PERIOD:	July 1, 2014 – June 30, 2015	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Shelter services are provided to female headed households and pregnant mothers at Life Haven. During their stay at the shelter, residents are provided with case management and referral services that assist them with securing employment and stable housing. Further, all residents have access to food, toiletries, hygiene essentials, and other goods from our on-site pantry. Residents also benefit from community donations including blankets, sheets, clothing, household items, cleaning supplies, toiletries, and food. All residents have weekly access to medical services from an APRN from Cornell Scott Hill Health Center.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	284	
ADDITIONAL COMMENTS:		
<p>On the long form, number 3, "On an average day in the immediately past reporting period, provide the percentage of the population served who are":</p> <ul style="list-style-type: none"> <li>• 18% indicated under battered spouses represents those who had experienced some form of domestic violence in their lives</li> <li>• 14% indicated under chronically mentally ill represents those with mental health issues. We do not have the capability of assessing the chronicity of mentally ill clients at the shelter.</li> <li>• the alcohol dependent individuals and the drug dependent individuals, both reported at 4%, indicates clients who reported having a substance abuse problem</li> <li>• added to this list were clients who reported a chronic health condition and who were unemployed</li> </ul>		
Report Completed by (name and date): Kat Challis, 8/5/15		

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	New Reach, Inc. 153 East St, New Haven, CT 06511	
Project Title & Address:	Life Haven, 447 Ferry St, New Haven, CT 06519	
Contract Number (CORE/POS)	13DOH0101CC / 093NHH-ESS-01	
Report Covers the period:	July 1, 2014 – June 30, 2015	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter Services for female headed households and pregnant women	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

22 White, Non-Hispanic  
133 Black, Non-Hispanic  
110 Hispanic  
1 Asian/Pacific  
2 American Indian / Alaskan Native  
284 TOTAL (also had 15 multi-racial and 1 other)  
124 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> X </u> emergency shelter facilities _____ vouchers for shelters _____ drop-in-center _____ mental health _____ alcohol/drug program _____ child care _____ other (please list): _____	_____ transitional housing _____ outreach _____ soup kitchen/meal distribution _____ HIV/AIDS services _____ employment _____ homeless prevention
-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------

3. Number of people served for each activity:

Residential Services:	Non-residential services:
Average number of adults daily <u>14</u>	average number serviced daily _____
Average number of children daily <u>21</u>	
Average number served yearly <u>284</u>	

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>0%</u>	Female <u>0%</u>	
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>	



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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH	\$491,853
City of New Haven	\$93,421
Other	\$131,700
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

<b>CONTRACTOR NAME:</b>	Area Congregations Together, Inc.
<b>CONTRACT NUMBER (CORE /POS):</b>	126ACT-ESS-1/13DOH0101AG
<b>ESG SHARE OF CONTRACT:</b>	\$35,482
<b>BUDGET PERIOD:</b>	July 1, 2014 – June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>ACT Spooner House provides:</p> <ul style="list-style-type: none"> <li>a) shelter &amp; meals for up to 36+ people at a given time.</li> <li>b) self-sufficiency training and support via-plans of action, financial planning &amp; budgeting, self-help sessions.</li> <li>c) mental health screenings &amp; referrals as appropriate</li> <li>d) clothing vouchers</li> <li>e) daily living skills, i.e. hygiene, health/medication support</li> </ul> <p>Activities offered by ACT are centered on a 'plan of action' mutually established with a case manager, the purpose of which is to assist the resident to become self-sufficient.</p> <p>The action plan includes specific objectives to be achieved by the individual in order to reach the goal of finding a permanent place to live. Building plans of action to sustain our client's safety, basic nutritional necessities, and increase their abilities to ultimately regain a more self-sufficient living situation.</p> <p>Each action plan is as individualized as the person who is in need of shelter. Our principal purpose is to meet the immediate basic need while consecutively establishing dialogue, building trust, providing guidance, connecting clients to necessary &amp; appropriate service providers.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	101
<b>ADDITIONAL COMMENTS:</b>	
<b>Report Completed by (name and date):</b> Susan J. Agamy 8/7/15	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	Area Congregations Together, Inc.
Project Title & Address:	ACT Spooner House, 30 Todd Road, Shelton, CT 06484
Contract Number (CORE/POS)	126ACT-ESS-1/13DOH0101AG
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

43 White, Non-Hispanic  
36 Black, Non-Hispanic  
22 Hispanic  
 \_\_\_\_\_ Asian/Pacific  
 \_\_\_\_\_ American Indian / Alaskan Native  
 \_\_\_\_\_ TOTAL  
7 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input checked="" type="checkbox"/> other (please list): food pantry, No Freeze overnight, winter warming center daytime	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>22</u>	average number serviced daily	<u>28</u>
Average number of children daily	<u>6</u>		
Average number served yearly	<u>100</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>49%</u>	Female <u>51%</u>
Unaccompanied under 18 .....	Male <u>%</u>	Female <u>%</u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>8%</u>	Female	<u>59%</u>
Youth 18 and under .....		<u>        </u>		<u>        </u>
Two parents 18 and over .....		<u>33%</u>		
Two parents under 18 .....		<u>        </u>		
Families with no children .....		<u>        </u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>        </u>	battered spouses	<u>18%</u>	drug dependent individuals
<u>        </u>	runaway/throwaway youth	<u>7%</u>	elderly
<u>44%</u>	chronically mentally ill	<u>11%</u>	veterans
<u>5%</u>	developmentally disabled	<u>6%</u>	physically disabled
<u>1%</u>	HIV/AIDS	<u>9%</u>	Other Chronic Health Condition
<u>8%</u>	alcohol dependent individuals	<u>        </u>	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>36</u>	Barracks	<u>        </u>	Group/large house
<u>        </u>	Scattered site apartment	<u>        </u>	Single family detached house
<u>        </u>	Single room occupancy	<u>        </u>	Mobile home/trailer
<u>        </u>	Hotel/motel	<u>        </u>	
<u>10-16</u>	Other (describe)	<u>4-6 cribs available; 6-10 cots No Freeze/overflow</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$35,482
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
VA GPD	<u>\$24,767</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Municipalities	\$20,000
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	\$269,318
Loans	\$
Building Value or Lease	\$4,900,000
Donated Goods	\$82,502
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$50,960
Volunteer Medical/Legal	



**ESG ANNUAL PERFORMANCE REPORT**

2014

CONTRACTOR NAME:	Beth-El Center, Inc.
CONTRACT NUMBER (CORE /POS):	084BCI-ESS-1/13DOH0101AG
ESG SHARE OF CONTRACT:	\$24,988.00
BUDGET PERIOD:	July 1, 2014 – June 30, 2015
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>The Beth-El Center, Inc. provides safe and sanitary temporary emergency shelter and intensive case management services to homeless individuals, at least 18 years old, or emancipated minors, and families. We provide these services seven days a week, 52 weeks per year. The Center provides 6 individual family rooms, 12 beds for single men, and 5 beds for single women.</p> <p>Program philosophy is strength based and solution oriented. We seek to engage the client and help them on the path to self-sufficiency and independence. This process is supported by both client engagement and establishing client expectations. Case management services include crisis intervention, assessment, goal planning, monitoring and encouraging client progress, assistance with obtaining housing, and referrals to community support services.</p> <p>Individualized and written Service Plans are developed, drawing from the Motivational Interviewing approach with each client upon their arrival. Case Managers meet with each client at least once a week to monitor progress with their Service Plans, and also meet as requested by the client should immediate needs be expressed. The Case Managers also meet weekly to hold Residential Meetings with each group, single men and parents and families. These Residential Meetings allow for the residents to express any concerns, ask questions, to share information and to give both informal and formal feedback about the shelter services. Clients are asked on a regular basis to complete a written Client Satisfaction Survey. These surveys provide meaningful feedback concerning Beth-El services and are easily accessed.</p> <p>The Center also provides client telephones, income management, daily living skills training, and referrals for job readiness training and education/employment assistance. We work closely with all of the local community social service agencies to provide access to a variety of services so that clients are offered as many opportunities as possible without duplicating services. Educational programs for the children include on site access to computers and software programs, as well as collaboration with the Family Resource Center to age appropriate mother and child interactive activities as well as access to Certified Parent Educators as needed.</p> <p>The shelter has two areas, one for individuals, and one for families; each area has a full kitchen, and the Center provides food for breakfast, lunch and dinner that is available for the clients to prepare their own meals. In addition, the Soup Kitchen provides a hot meal, five days a week, and several of the area churches and local organizations share the responsibility of providing weekend meals, as well as evening meals during the week for our residents. Monday through Friday the Soup Kitchen offers a "Meals-To-Go-Program" from 5pm – 6pm as outreach to the homeless and/or hungry in Milford.</p>	

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**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

NUMBER OF CLIENTS SERVED (unduplicated count):	137
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Toni Dolan 7-27-15	

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## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Beth-El Center, Inc.
Project Title & Address:	Emergency Shelter Program, 90 New Haven Ave., Milford, CT 06460
Contract Number (CORE/POS)	084BCI-ESS-1/13DOH0101AG
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

65 White, Non-Hispanic  
42 Black, Non-Hispanic  
25 Hispanic  
0 Asian/Pacific  
1 American Indian / Alaskan Native  
137 TOTAL  
19 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> x </u> emergency shelter facilities	<u> </u> transitional housing
<u> </u> vouchers for shelters	<u> </u> outreach
<u> </u> drop-in-center	<u> x </u> soup kitchen/meal distribution
<u> </u> mental health	<u> </u> HIV/AIDS services
<u> </u> alcohol/drug program	<u> </u> employment
<u> </u> child care	<u> </u> homeless prevention
<u> </u> other <u> (please list):</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u> 24 </u>	average number serviced daily	<u> 30 </u>
Average number of children daily	<u> 6 </u>		
Average number served yearly	<u> 137 </u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u> 58.09% </u>	Female <u> 41.91% </u>
Unaccompanied under 18 .....	Male <u> 0% </u>	Female <u> 0% </u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>9.09%</u>	Female	<u>90.91%</u>
Youth 18 and under .....		<u>          %</u>		
Two parents 18 and over .....		<u>          %</u>		
Two parents under 18 .....		<u>          %</u>		
Families with no children .....		<u>          %</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>4.76%</u>	battered spouses	<u>See</u>	drug dependent individuals
		<u>Other</u>	
<u>0%</u>	runaway/throwaway youth	<u>          </u>	elderly
<u>43.80%</u>	chronically mentally ill	<u>          </u>	veterans
<u>2.86%</u>	developmentally disabled	<u>          </u>	physically disabled
<u>0%</u>	HIV/AIDS	<u>23.80%</u>	Other ( Substance Abuse Problem)
<u>See Other</u>	alcohol dependent individuals	<u>          </u>	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>18</u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>6</u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>          </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 24988.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
Veterans Administration	<u>\$ 81,030</u>
CDBG	<u>\$ 16,050</u>
Emergency Food/Shelter (EFSP)	<u>\$ 5,000</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City of Milford grant	\$ 80,000
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
	\$88,000
Loans	\$67,106
Building Value or Lease	\$ 1,067,834 (value)
Donated Goods	\$ 105,000
Donated Computers	\$ 0
New Staff Salaries	\$ 0
Volunteers (\$5/hr)	\$ 54,600
Volunteer Medical/Legal	\$ 0

**ESG ANNUAL PERFORMANCE REPORT**

**2014**

CONTRACTOR NAME:	Columbus House – Middlesex Family Shelter	
CONTRACT NUMBER (CORE /POS):	12DSS3901EM / 093-CHI-ADU-01	
ESG SHARE OF CONTRACT:	\$34,157	
BUDGET PERIOD:	July 1, 2014 – June 30, 2015	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>The Middlesex Family Services (MFS) Emergency Family Shelter of Columbus House, Inc., is located in Middletown, CT. The Emergency Family Shelter is comprised of four duplexes located within the Middletown Housing Authority. Seven (7) of these units are used for residents of the program. The final unit is used for MFS staff office space. Each unit has two bedrooms, one and a half baths, living room and eat-in kitchen. There is a laundry facility located at the program. Families consisting of at least one parent/guardian over the age of eighteen (18) and one child under the age of eighteen (18) at the time of admission may access the shelter program for up to ninety (90) days. Extensions to the length of stay are granted on a case by case basis.</p> <p>Case management services are on-site and heads of household meet weekly with the case manager to create and execute a plan of action (Service Plan). The MFS case manager will discuss housing options; assist in the completion of housing referrals, entitlement referrals, employment services referrals and refer and monitor other services such as early childhood services, daycare, mental and physical health care and substance abuse treatment care. The MFS Emergency Family Shelter Case Management is designated as the educational liaison for the programs and ensures that a child is able to access his or her school of origin or the local school system; whichever the parent(s) feels best meets his or her child’s needs.</p> <p>The MFS Emergency Family Shelter of the Columbus House is an active member of the Middlesex/Meriden/Wallingford Coordinated Access Network (MMW CAN) and since November 2014, the shelter takes shelter referrals directly from the MMW CAN.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	66	

ESG ANNUAL PERFORMANCE REPORT

2014

ADDITIONAL COMMENTS:

Though the RRH programs have been extremely valuable in helping to get families housed there is ongoing challenges for the many households in obtaining enough income to reasonably sustain a full market rent. Ideally, the amount of rent households would need to pay would not exceed thirty percent (30%) of household income but every household served will be paying well beyond this to remain housed after RRH financial assistance ends. This includes households that that actively seek increased income while participating in programs and services.

Another challenge that the program experiences is the lack of permanent subsidized housing opportunities either with or without case management supports. The local Housing Authority refuses to give priority of housing to homeless families which mean that homeless families must wait on long lists of sometimes one to two years or longer to access subsidized housing.

The lack of Permanent Supportive Housing (PSH) in the area for families along with the frequent requirement that the families meet HUD chronic homelessness standards often eliminates PSH from being an option for most families the program serves. If a family does reach the point of chronic homelessness standards that family has often been separated by child protective services or other family intervention with child reunification not being an option. This means that the Head of Household (HOH) is no longer part of a family but is now considered single. While it is important that PSH serve the most vulnerable there may want to be some consideration in altering definitions of family chronic homelessness so that is does not mirror the single individual definition of chronic homelessness.

Report Completed by (name and date):Andrea Hachey 8/06/2015

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	Columbus House, Inc., 586 Ella T. Grasso Blvd., New Haven, CT 06519
Project Title & Address:	Middlesex family Shelter, 117 Daddario Rd., Middletown, CT 06457
Contract Number (CORE/POS)	12DSS3901EM / 093-CHI-ADU-01
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

- 11 White, Non-Hispanic
- 38 Black, Non-Hispanic
- 17 Hispanic
- 0 Asian/Pacific
- 0 American Indian / Alaskan Native
- 66 TOTAL
- 15 Number of Female headed households

2. Indicate type of project(s) and service(s):

- |                                                                  |                                                         |
|------------------------------------------------------------------|---------------------------------------------------------|
| <input checked="" type="checkbox"/> emergency shelter facilities | <input type="checkbox"/> transitional housing           |
| <input type="checkbox"/> vouchers for shelters                   | <input type="checkbox"/> outreach                       |
| <input type="checkbox"/> drop-in-center                          | <input type="checkbox"/> soup kitchen/meal distribution |
| <input type="checkbox"/> mental health                           | <input type="checkbox"/> HIV/AIDS services              |
| <input type="checkbox"/> alcohol/drug program                    | <input type="checkbox"/> employment                     |
| <input type="checkbox"/> child care                              | <input type="checkbox"/> homeless prevention            |
| <input type="checkbox"/> other <u>(please list):</u>             |                                                         |

3. Number of people served for each activity:

Residential Services: Non-residential services:

- |                                  |           |                               |           |
|----------------------------------|-----------|-------------------------------|-----------|
| Average number of adults daily   | <u>7</u>  | average number serviced daily | <u>15</u> |
| Average number of children daily | <u>8</u>  |                               |           |
| Average number served yearly     | <u>66</u> |                               |           |

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

- |                                 |                        |                          |
|---------------------------------|------------------------|--------------------------|
| Unaccompanied 18 and over ..... | Male <u>        </u> % | Female <u>        </u> % |
| Unaccompanied under 18 .....    | Male <u>        </u> % | Female <u>        </u> % |



DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Single Parent 18 and over.....		_____ %		83%
Two parents 18 and over .....		_____ 17%		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0</u>	battered spouses	<u>4%</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>0</u>	elderly
<u>39%</u>	chronically mentally ill	<u>0</u>	veterans
<u>0</u>	developmentally disabled	<u>17%</u>	physically disabled
<u>0</u>	HIV/AIDS	<u>30%</u>	Other(Domestic Violence)
<u>4%</u>	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ Barracks	_____ Group/large house___
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe)	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 34,157
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
CDBG	\$ 48,361
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Department of Housing-ESS	\$ 178,332
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

CONTRACTOR NAME:	Community Renewal Team, Inc
CONTRACT NUMBER (CORE /POS):	064C-ESS-17 / 10DSS3711EW
ESG SHARE OF CONTRACT:	\$34,350.000
BUDGET PERIOD:	July 1, 2014 - June 30, 2015
<p><b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)</p> <p>CRT's East Hartford Community Shelter provides 40 beds (16 beds for men and 24 beds for families and single women) of decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years old or emancipated minors, and families. The Emergency Shelter is a facility that meets the basic needs for food and shelter on an emergency and short-term basis. Eligible consumers are homeless individuals, at least 18 years old or emancipated minors and/or families. Services provided by CRT are designed to increase consumers' stability, skill levels and self-determination with an ultimate goal of helping people with long-term appropriate housing.</p> <p>CRT provides case management services to all clients of the shelter. The case management services include, as necessary, but not limited to: counseling, crisis intervention, assessment, goal planning, monitoring and encouraging client progress, assistance with obtaining housing, referrals to additional community support services including treatment or other services.</p> <p><b>Activities</b> - CRT performs the following activities to support the above services:</p> <p><b>Individual assessments:</b> CRT collects basic information regarding each client through the initial intake process. Information includes, but not be limited to, age, marital status, family size, race, ethnicity, major source of income, reason for loss of housing, length of homelessness, rental/home ownership history, employment status, education history, history of substance abuse, and mental and physical health. This information is the basis for an assessment of the clients' needs and the development of an Action Plan with the client, as described in the next paragraph.</p> <p><b>Action Plans:</b> CRT develops a mutually agreed upon action plan with the client using the agency's Steps to Success (STEPS) model, as a result of the individual assessment. The Action Plan is used to identify the actions necessary to meet the clients' needs and establish such goals as permanent housing, access to health care, mental health care and addiction services, and other social and treatment services.</p> <p><b>Workgroups:</b> CRT provides at least one workgroup (weekly, biweekly, etc.) for clients covering topics that may include but not be limited to: budgeting, parenting skills and nutrition, and employment, as needed based upon the Action Plan.</p> <p><b>Provision of basic toiletries:</b> CRT provides clients with clothing, shower, laundry facilities and basic toiletries including but not limited to shampoo, soap, deodorant, toothbrush and toothpaste.</p> <p><b>Food:</b> CRT provides 3 meals per day (breakfast, lunch, and dinner) to shelter residents.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	304

ESG ANNUAL PERFORMANCE REPORT

2014

ADDITIONAL COMMENTS:

The East Hartford Shelter (EHS) continues to run at or above capacity to meet the needs of the homeless population in East Hartford and the Greater Hartford area. This year the shelter serviced 258 residents (206 adults and 52 children). The shelter continues to run at over 90% capacity for the year. On average, the shelter has an average monthly turn away rate of 57 homeless adults and children. The EHS provided services to 44 families during the course of the year. The shelter was also able to provide services to several single fathers and their children which does cause the shelter to lose bed availability during their stay (as they cannot share a room with the women) but does allow the shelter to provide services to this tough population. Some of the programs that the Case Managers have worked with to successfully transition the residents out of the shelter were CRT's Supportive Housing (Project Teach, Permanent and Supportive Housing Programs, Bloomfield Scattered Site Housing), Rapid Rehousing, and My Sister's Place (for housing and security deposit assistance), and Support Services for Veterans Families (SSVF).

The EHS continues to collaborate with community agencies to meet our residents physical and mental health needs. Charter Oak Heath and Integrated Health Services have provided physical and dental assistance to the residents. Hartford Hospital has also teamed with the EHS to provide free cancer screenings to the residents. The residents mental health needs are being met by CRT's Behavioral Health, Intercommunity, and Community Health Resources all of whom provide individual and group counseling as needed for the residents. The year the EHS partnered with Project Horizon from the University of Hartford to provide services on site for individuals and families.

The EHS and CRT's Early Care and Education program has collaborated to provide services to the families with children for ECE, childcare and Head Start. Families are able to work with their case managers and the ECE community coordinator to enroll children on a priority basis into this program. There still remains a collaborative relationship with the Willowbrook school in East Hartford for Head Start enrollment of the EHS age appropriate children.

The East Hartford Shelter continues to be assisted by the generous donations from the businesses, schools, churches, and families of East Hartford and the Greater Hartford area. Throughout the year, Whole Foods, Stop & Shop, Shoprite, and Dunkin Donuts have provided supplemental food for the shelter. Three of our biggest contributors at the shelter have been Pratt & Whitney, Habitat for Humanities, and Springhill Suites hotel. Both companies have been invaluable to the shelter as they have provided food, clothing, Christmas gifts, furniture, household goods, and hygiene products for the residents. East Hartford's Interfaith Ministries has also been a big help in providing our exiting residents with furniture and household goods to assist them in getting their apartments started. CT River Academy continues to provide supplies and volunteer services in the summer and throughout the school year to the shelter. CT River Academy had over 50 students and teachers paint the units and bathrooms, as well as planted a community garden for the shelter resident's use and to promote, healthy nutrition.

CRT also applied for and received a grant from the SBM Foundation Grant for East Hartford Shelter. The grant allowed for the program to assist two families to purchase furniture and household supplies from Bob's Discount Furniture and Wal-Mart to move into their own apartments.

Report Completed by (name and date): Patrice Moulton 8/4/15



DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>9.09%</u>	Female	<u>90.91%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>3%</u>	battered spouses	<u>36%</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>2%</u>	elderly
<u>35%</u>	chronically mentally ill	<u>4%</u>	veterans
<u>11%</u>	developmentally disabled	<u>2%</u>	physically disabled
<u>.48%</u>	HIV/AIDS		other
<u>4%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>                    </u>	Barracks	<u>40</u>	Group/large house
<u>                    </u>	Scattered site apartment		Single family detached house
<u>                    </u>	Single room occupancy		Mobile home/trailer
<u>                    </u>	Hotel/motel		
<u>                    </u>	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$34,350
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
EFSP- UNITED WAY	<u>\$15,108</u>
CDBG- TOWN OF EAST HARTFORD	<u>\$35,000</u>
DSS-HMIS	<u>\$22,796</u>
DSS-HHS	<u>\$267,037</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Foundation	\$2,500
Cash Donations	\$ 13,877.57
Recipient Fees	\$ 3,268
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

**ESG ANNUAL PERFORMANCE REPORT**

**2014**

<b>CONTRACTOR NAME:</b>	Family & Children's Aid
<b>CONTRACT NUMBER (CORE /POS):</b>	034FCA-ESS-1
<b>ESG SHARE OF CONTRACT:</b>	\$38,436
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>Temporary Shelter for Women and Children that are homeless and escaping from Domestic Violence. The goal of the program is to empower the women by providing them with the skills and resources necessary to maintain a more stable life and environment for their families. While the women are at the home they are actively working with the case manager/director and each family will work with a therapist from the agency to provide support and counseling to their children.</p> <p>Harmony House (part of Family &amp; Children's Aid) is a 24 Hour, 7 Days a week Shelter offering Temporary housing for 16 Homeless women and children; (6 mothers, and 10 children).</p> <p>This past year we had a mother who was here with two children, then her other daughter that was living with her father in New Jersey, was Court ordered to spend every other weekend with the mother, thus resulting in only being able to have 15 people at the house from July 1, 2014 till her move out date of December 12, 2015, this resulting in the 35 total served, instead of last years ESG report of 37.</p> <p>After she moved it was around the holidays so everyone we called on the waiting list was preparing for holidays and did not want to move till January, when we took the time to paint, restore the room in preparation for the new move intake, several calls where made and when a family was done with the police report and the report that takes up to two weeks, and is needed before moving in, the Drug Screen Request, January 3, 2015 was next new families move in date. (Harmony House is a drug and alcohol free home, and prospective residents have to pass a drug screen and a police background check before being allowed into the program).</p> <p>Housing, heat, sewer, water, linens, bedding, toiletries, cleaning supplies, Family Services: Including, Parenting Mentor, Parenting Classes, Family Counseling, Women's workshops, Referrals for Job Training- (examples: TBICO, and The Parental Leadership Training Institute of Danbury), Story Time, yoga classes, Arts and Crafts, Homework Tutoring, Aftercare program, special weekend Activities. Are all services or area resources the agency provides.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	35



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ESG ANNUAL PERFORMANCE REPORT  
2014

ADDITIONAL COMMENTS: DOH Contract 16 beds provided. Population we serve, women and children left homeless by some crisis whether Domestic Violence, Divorce or deportation, death of the breadwinner, mental issue, loss of income, evicted from home and no longer able to sleep on a friend's couch or sleeping bag. World wide come from, New Haven, Stamford, Meriden, Hartford, Bronx, Waterbury and other shelters.

The ESG fund I submitted was an estimate; it is done in the business office, not by myself. I had done a Cost Survey for family Services for June 2014 and Kristen in the business office had provided the information, which I used here. (Survey: Other overhead \$20,908 Other \$6,950, was the two I totaled, however this was June 2014, so can see the total being a lot more. The facility total \$41,360 Personnel \$157,749 it all came to \$226,966 on that survey= June 2014, not adding after that month).

I assume that the office supplies most likely is not included in your fund, but it should be, with all the paper and cartridge inks we use for filling out Housing, Care for Kids, Access Health forms and all the copies we have to make for referrals, to Amos house, or other shelters/programs. Faxing all the forms and supplies, they use and keep at times. Now with all the new tools being provided by CTHMIS, forms, Shelter Intake, VI-SPDAT & SPDAT. I am not a nature controversialist, but oh how many trees are used daily is astronomical.

We need more shelters for the homeless and at times the main concern is not met, only more and more tools established and the homeless continue homeless.

Report Completed by (name and date): Maria Paraiso 8/10/2015

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	Family & Children's Aid, Inc. 75 West St., Danbury, CT 06810
Project Title & Address:	Harmony House, 5 Harmony Street Danbury CT 06810
Contract Number (CORE/POS)	034FCA-ESS-1
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

- 2   White, Non-Hispanic
- 12  Black, Non-Hispanic
- 18  Hispanic
- 3  Asian/Pacific
- American Indian / Alaskan Native
- 35  TOTAL
- 13  Number of Female headed households

2. Indicate type of project(s) and service(s):

- |                                           |                                             |
|-------------------------------------------|---------------------------------------------|
| <u>  X  </u> emergency shelter facilities | <u>     </u> transitional housing           |
| <u>     </u> vouchers for shelters        | <u>     </u> outreach                       |
| <u>     </u> drop-in-center               | <u>     </u> soup kitchen/meal distribution |
| <u>  X  </u> mental health                | <u>     </u> HIV/AIDS services              |
| <u>     </u> alcohol/drug program         | <u>     </u> employment                     |
| <u>     </u> child care                   | <u>     </u> homeless prevention            |
| <u>     </u> other <i>(please list):</i>  |                                             |

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>  6  </u>	average number serviced daily	<u>  6  </u>
Average number of children daily	<u> 10 </u>		
Average number served yearly	<u> 40 </u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>     </u> %	Female <u>     </u> %	
Unaccompanied under 18 .....	Male <u>     </u> %	Female <u>     </u> %	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	100%
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

54%	battered spouses	_____	drug dependent individuals
_____	runaway/throwaway youth	_____	elderly
_____	chronically mentally ill	_____	veterans
_____	developmentally disabled	_____	physically disabled
_____	HIV/AIDS	46%	other
_____	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____	Barracks	16	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$38,436
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

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**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

CONTRACTOR NAME:	Friendship Service Center of New Britain, Inc. 85 Arch Street, New Britain, CT 06050-1896	
CONTRACT NUMBER (CORE /POS):	089FSC-ESS-01 / 13DOH0101BC	
ESG SHARE OF CONTRACT:	\$33,666	
BUDGET PERIOD:	July 1, 2014 - June 30, 2015	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	<p><b>Provides a 22 emergency shelter beds for homeless single adults 18 years of age and over and 15 emergency shelter beds for homeless families with heads of households 18 years of age and over. The program provides services 24 hours a day, 7 days a week, 365 days a year.</b></p> <p><b>Services provided include: three meals a day, laundry, basic toiletries, clothing, transportation assistance, housing services, case management including intake assessments of needs and development of case action plans, referrals to substance abuse treatment, mental health counseling, health services, parenting classes, money management, educational, vocational and employment services as well as other services.</b></p> <p><b>House meetings and workshops are conducted to address concerns and meet the needs of the clients. Annual program evaluation by the clients is facilitated by members of the Board of Directors.</b></p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	151	
ADDITIONAL COMMENTS:		
Report Completed by (name and date):	Donna Bergin; 8/10/2015	

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Friendship Service Center of New Britain, Inc 85 Arch Street, New Britain, CT 06050-1896
Project Title & Address:	Emergency Shelter 241-249 Arch Street New Britain, CT 06050-1896
Contract Number (CORE/POS)	089FSC-ESS-1 / 13DOH0101BC
Report Covers the period:	July 1, 2014 – June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

55 White, Non-Hispanic  
41 Black, Non-Hispanic  
31 Hispanic  
1 Asian/Pacific  
23 American Indian / Alaskan Native  
151 TOTAL  
20 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>X</u> outreach
<u>        </u> drop-in-center	<u>X</u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>        </u> employment
<u>        </u> child care	<u>X</u> homeless prevention
<u>        </u> other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>28</u>	average number serviced daily	<u>37</u>
Average number of children daily	<u>9</u>		
Average number served yearly	<u>200</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>        </u> 60%	Female <u>        </u> 40%	
Unaccompanied under 18 .....	Male <u>        </u> 0%	Female <u>        </u> 0%	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>5%</u>	Female	<u>92%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>3%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>5</u>	battered spouses	<u>40</u>	drug dependent individuals
<u>5</u>	runaway/throwaway youth	<u>10</u>	elderly
<u>40</u>	chronically mentally ill	<u>10</u>	veterans
<u>10</u>	developmentally disabled	<u>20</u>	physically disabled
<u>5</u>	HIV/AIDS	<u>0</u>	other
<u>50</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>37</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$33,666
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
DMHAS Federal	<u>\$26,480</u>
DMHAS Federal PATH	<u>\$45,236</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DMHAS State	\$80,985
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	\$166,195
Loans	\$0.
Building Value or Lease	\$2,786,000
Donated Goods	\$150,000
Donated Computers	\$0.
New Staff Salaries	\$0.
Volunteers (\$5/hr)	\$7,800
Volunteer Medical/Legal	\$20,000



**ESG ANNUAL PERFORMANCE REPORT**  
2014

<b>CONTRACTOR NAME:</b>	Manchester Area Conference of Churches, Inc. 466 Main St, Manchester, Ct 06040
<b>CONTRACT NUMBER (CORE /POS):</b>	077MAC-ESS-1 / 13DOH0101BW
<b>ESG SHARE OF CONTRACT:</b>	\$ 32, 897
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>All funding is in shelter operations</p> <p>We where a forty bed shelter with ten women's beds and thirty men's beds. We provided a safe place to sleep ad three meals a day, seven days a week. The goal of our shelter was to provide basic necessities while a person gets back on their feet. We where a clean and sober shelter. We supplied free clothing for guests in need. Our guests have accessed the services of the local DSS office for medical insurance, and Supplemental Nutrition Assistance Program (SNAP) qualifications.</p> <p>Case management was offered to each guest at the shelter. This includes, but is not restricted to, needs assessment, crisis intervention, goal planning, monitoring, and encouraging guest's progress. Individual assistance plans where created with the guests who stayed more than 2 nights and they where monitored carefully. Case management work was shared by the shelter floor managers and the case worker. Shelter floor managers provided a unique view of the guests since they are the people wo spent the most time with them. Some of the best input from the guests was given at the entry and exit time of the shelter each day. We would want our guests to have open access for case management as needed. For shelter guests seeking employment, treatment or housing there is telephone access and bus passes given for travel needs when available.</p> <p>Our shelter continued to have access to a mental health clinician at least 18 hours a week through CHR. This provided timely and effective assessment and treatment of our guest's mental health issues. The benefits of on site assessment cannot be over stated. It helps the guests and the staff to have access to mental health expertise right on site. Guests who came into the shelter that already are being treated for mental health issues we attempted to keep with their present provider rather than starting from scratch. Duplication of efforts is not our goal.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	536
<b>ADDITIONAL COMMENTS:</b>	
<p>Our emergency shelter focused on the next thing needed by our guests to help them out of the situation they where in. Often times that are, getting personal identification from birth certificates, to social security cards and state photo ID's. We continued our groups about employment, substance abuse treatment, housing, and mental health treatment.</p> <p>After the evening of June 30, 2015 we were no longer an emergency shelter. With the implemented Coordinated Access Network taking charge last October all guests that remained in our shelter for the month of June worked with staff in obtaining housing or connecting with 211 to seek shelter elsewhere. All guests had a place to stay the following night.</p>	
<b>Report Completed by (name and date):</b> Shannon M. Baldassario      August 5, 2015	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Contractor Name & Address:	Manchester Area Conference of Churches Inc, 466 Main St, Mnchester CT 06040
Project Title & Address:	MACC Charities Emergency Shelter
Contract Number (CORE/POS)	077MAC-ESS-1 / 13DOH0101BW
Report Covers the period:	July 1, 2014 -- June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>295</u>	White, Non-Hispanic
<u>151</u>	Black, Non-Hispanic
<u>84</u>	Hispanic
<u>2</u>	Asian/Pacific
<u>4</u>	American Indian / Alaskan Native
<u>536</u>	TOTAL
<u>127</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> X </u> emergency shelter facilities	<u> </u> transitional housing
<u> </u> vouchers for shelters	<u> </u> outreach
<u> </u> drop-in-center	<u> </u> soup kitchen/meal distribution
<u> </u> mental health	<u> </u> HIV/AIDS services
<u> </u> alcohol/drug program	<u> </u> employment
<u> </u> child care	<u> </u> homeless prevention
<u> </u> other <u> (please list):</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u> </u>	average number serviced daily	<u> </u>
Average number of children daily	<u> </u>		
Average number served yearly	<u> </u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u> 73.44%</u>	Female <u> 26.56%</u>	
Unaccompanied under 18 .....	Male <u> %</u>	Female <u> %</u>	

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ 10.60%	battered spouses	_____ N/A	drug dependent individuals
_____ 0	runaway/throwaway youth	_____ 4.35%	elderly
_____ 41.70%	chronically mentally ill	_____ 4.98%	veterans
_____	developmentally disabled	_____ 18.46%	physically disabled
_____	HIV/AIDS	_____	other
_____ 35.27%	Alcohol/drug dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ 40	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$32,897
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	\$13,093
DHMAS	\$48,889
	\$
	\$
	\$

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH Grant(minus ESG Funds)	\$141,499
Town of Manchester	\$203,693
DSS SAGA	\$43,309
Town of Bolton	\$8,000
	\$

NOTE: Above funding is for all of MACC's Services

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	
Loans	\$691,981
Building Value or Lease	\$1,059,731
Donated Goods	\$32,059
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$15,000
Volunteer Medical/Legal	

NOTE: Above funding is for all of MACC's Services

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ESG ANNUAL PERFORMANCE REPORT

2014

CONTRACTOR NAME:	New Opportunities, Inc., Shelter NOW, 43 St. Casimir Dr., Meriden	
CONTRACT NUMBER (CORE /POS):	13DOH0401CE	
ESG SHARE OF CONTRACT:	\$71,204	
BUDGET PERIOD:	July 1, 2014 - June 30, 2015	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Shelter NOW (New Opportunities, Inc.) will provide case management and counseling services to all homeless individuals living at the shelter. All shelter residents will receive services including, but not limited to, residential and outpatient mental health treatment; medical referrals; housing; employment assistance; vocational training; coordination of community service, substance abuse treatment, domestic violence abuse counseling; family counseling; educational; financial counseling; child care; security deposit; and rental assistance programs. Shelter NOW is an emergency shelter that houses men, women, and women with children. The shelter is in operation 365 days per year, 24 hours per day.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	338	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Maria Wagner 7/30/15		

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	New Opportunities, Inc., Shelter NOW, 43 St. Casimir Drive, Meriden
Project Title & Address:	
Contract Number (CORE/POS)	13DOH0401CE
Report Covers the period:	July 1, 2014 - June 30, 2015
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>112</u>	White, Non-Hispanic
<u>99</u>	Black, Non-Hispanic
<u>125</u>	Hispanic
<u>1</u>	Asian/Pacific
<u>1</u>	American Indian / Alaskan Native
<u>338</u>	TOTAL
<u>39</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> X </u> emergency shelter facilities	<u> X </u> transitional housing
<u>      </u> vouchers for shelters	<u>      </u> outreach
<u>      </u> drop-in-center	<u>      </u> soup kitchen/meal distribution
<u>      </u> mental health	<u>      </u> HIV/AIDS services
<u>      </u> alcohol/drug program	<u>      </u> employment
<u>      </u> child care	<u>      </u> homeless prevention
<u>      </u> other <u>      </u> (please list):	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>44</u>	average number serviced daily	<u>56</u>
Average number of children daily	<u>12</u>		
Average number served yearly	<u>338</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>62 %</u>	Female	<u>38 %</u>
Unaccompanied under 18 .....	Male	<u>0 %</u>	Female	<u>0 %</u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>0 %</u>	Female	<u>100%</u>
Youth 18 and under .....		<u>0 %</u>		
Two parents 18 and over .....		<u>0 %</u>		
Two parents under 18 .....		<u>0 %</u>		
Families with no children .....		<u>0 %</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>15%</u>	battered spouses	<u>28%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>1%</u>	elderly
<u>49%</u>	chronically mentally ill	<u>2%</u>	veterans
<u>14%</u>	developmentally disabled	<u>22%</u>	physically disabled
<u>2%</u>	HIV/AIDS	<u>0%</u>	other
<u>32%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>36</u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>8</u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>          </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$71,204
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
Meriden CDBG	<u>\$2,500</u>
FEMA	<u>\$4,000</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

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DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



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**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

CONTRACTOR NAME:	St. Vincent DePaul Mission of Bristol, Inc.
CONTRACT NUMBER (CORE /POS):	3DOH0401CQ / 017SVD-MIS-01
ESG SHARE OF CONTRACT:	\$ 26,987.00
BUDGET PERIOD:	July 1, 2014 - June 30, 2015
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>All funds go toward shelter operations.</p> <p>Emergency Shelter - The St. Vincent DePaul Mission of Bristol, Inc. shall provide decent, safe and sanitary temporary shelter and case management service to homeless individuals, at least 18 years or older or emancipated minors and families ("residents").</p> <p>ESG Funds were used for the following Operational Line Item Costs:</p> <p><b>Utilities:</b> \$ 15,604.89</p> <p><b>Insurance:</b> \$ 5,550.27</p> <p><b>Facilities Cook:</b> \$ 5,831.84</p>	
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Linda Kerr 7/30/15	

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	St. Vincent DePaul Mission of Bristol, Inc.		
Project Title & Address:	Bristol Homeless Shelter, 19 Jacobs St., Bristol, CT 06010		
Contract Number (CORE/POS)	13DOH0401CQ		
Report Covers the period:	July 1, 2014 – June 30, 2015		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter		

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>152</u>		White, Non-Hispanic
<u>29</u>		Black, Non-Hispanic
<u>39</u>		Hispanic
<u>1</u>		Asian/Pacific
<u>2</u>		American Indian / Alaskan Native
<u>223</u>		TOTAL
<u>16</u>		Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>  X  </u>	emergency shelter facilities	<u>      </u>	transitional housing
<u>      </u>	vouchers for shelters	<u>      </u>	outreach
<u>      </u>	drop-in-center	<u>      </u>	soup kitchen/meal distribution
<u>      </u>	mental health	<u>      </u>	HIV/AIDS services
<u>      </u>	alcohol/drug program	<u>      </u>	employment
<u>      </u>	child care	<u>      </u>	homeless prevention
<u>      </u>	other <u>      </u> (please list):		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>  22  </u>	average number serviced daily	<u>  25  </u>
Average number of children daily	<u>  3  </u>		
Average number served yearly	<u> 365 </u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>  77 %</u>	Female	<u>  23 %</u>
Unaccompanied under 18 .....	Male	<u>  %  </u>	Female	<u>  %  </u>

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	<u>100%</u>
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>1</u>	battered spouses	<u>4</u>	drug dependent individuals
_____	runaway/throwaway youth	<u>1</u>	elderly
<u>10</u>	chronically mentally ill	<u>1</u>	veterans
<u>1</u>	developmentally disabled	<u>2</u>	physically disabled
_____	HIV/AIDS	_____	other
<u>5</u>	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>25</u>	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	<b>\$ 26,987</b>
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<b>FEMA</b>	<b>\$ 8,089</b>
_____	\$
_____	\$
_____	\$
_____	\$

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
<b>City of Bristol</b>	<b>\$ 20,000</b>
<b>Department of Housing</b>	<b>\$ 251,047</b>
	\$
	\$
	\$

Private (including recipient) Funding:	Amount
Fund Raising/Cash	\$ 90,969
Loans	\$
Building Value or Lease	\$
Donated Goods	\$ 2670
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	\$

**DRAFT**

ESG ANNUAL PERFORMANCE REPORT

2014

CONTRACTOR NAME:	St. Vincent DePaul Waterbury
CONTRACT NUMBER (CORE /POS):	13DOH0101CR/151-SVD-ESS-1
ESG SHARE OF CONTRACT:	\$82,740.00
BUDGET PERIOD:	July 1, 2014 - June 30, 2015
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Funds are used for program staff salaries, staff health insurance and related payroll taxes, and essential services, including the Auto Lease.	
NUMBER OF CLIENTS SERVED (unduplicated count):	709
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Paul Iadarola Executive Director 07/16/2015	

# DRAFT

## DEPARTMENT OF SOCIAL SERVICES EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2013

Contractor Name & Address:	St. Vincent DePaul Mission of Waterbury, inc.		
Project Title & Address:	The Shelter		
Contract Number (CORE/POS)	13DOH0101CR/151-SVD-ESS-1		
Report Covers the period:	July 1, 2014 – June 30, 2015		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Funds go towards essential services, shelter operations and administration		

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

293	White, Non-Hispanic
139	Black, Non-Hispanic
269	Hispanic
5	Asian/Pacific
3	American Indian / Alaskan Native
709	TOTAL
61	Number of Female headed households

2. Indicate type of project(s) and service(s):

XX	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention
	other <u>(please list):</u>		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	82	average number serviced daily	86
Average number of children daily	11		
Average number served yearly	784		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	75%	Female	25%
Unaccompanied under 18 .....	Male	%	Female	%

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ 100%
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ 1% _____ battered spouses	_____ 23% _____ drug dependent individuals
_____ runaway/throwaway youth	_____ 3% _____ elderly
_____ 38% _____ chronically mentally ill	_____ 1% _____ veterans
_____ 1% _____ developmentally disabled	_____ 1% _____ physically disabled
_____ 2% _____ HIV/AIDS	_____ other
_____ 27% _____ alcohol dependent individuals	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ 709 _____ Barracks	_____ Group/large house _____
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel _____	
_____ Other (describe) _____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$82,740.
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA ESFP	\$22,569
_____	\$
_____	\$
_____	\$
_____	\$

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DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Sate of CT DOH	\$449,886
Waterbury Development Corp	\$ 71,773
	\$
	\$
	\$

Private (including recipient) Funding:	Amount
Fund Raising/Cash	\$ 378,800
Loans	\$
Building Value or Lease	\$325,000
Donated Goods	\$34,600
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$4,000
Volunteer Medical/Legal	



**ESG ANNUAL PERFORMANCE REPORT**  
2015

<b>CONTRACTOR NAME:</b>	Thames Valley Council for Community Action, Inc.		
<b>CONTRACT NUMBER (CORE /POS):</b>	104C-ESS-24		
<b>ESG SHARE OF CONTRACT:</b>	\$72,681		
<b>BUDGET PERIOD:</b>	July 1, 2014 - June 30, 2015		
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)			
<p>The TVCCA Home Again Project is a 25-bed facility serving families with a least one minor child. The shelter provides temporary housing with a rapid re-housing program. The goal is to have families and in particular, children, spend as little time in emergency housing as possible. There are three meals per day plus snacks served to residents. There is a case manager on staff to assist residents with any concerns, from the search for permanent housing to registering their children for school. Residents are assisted with housing searches, obtaining furniture, registering children in Head Start and signing up for other benefits such as the WIC program. There is a Birth to Three parenting class at the shelter. Clients attend weekly residents' meeting to discuss any issues concerning shelter life. In collaboration with the Connecticut Department of Mental Health and Addiction Services, a mental health case manager visits the shelter weekly.</p> <p>The primary objective of the shelter is to provide rapid re-housing services to families in crisis and to have them return to the larger community within a 30 day time period.</p> <p>Shelter users primarily come from towns in New London and Windham Counties. Personal health items are provided as well as necessary clothing to enable clients to maintain basic personal hygiene. There are laundry facilities at the shelter. The shelter is fully accessible.</p> <p>Recently the shelter has been the recipient of a few small local foundation grants to assist residents to quickly move out of the shelter and back into the larger community.</p>			
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	73		
<b>ADDITIONAL COMMENTS:</b>			
<p>None at this time other than to express our appreciation to the Department Of Housing and staff as we continue to evolve our programming to reach the goal of ending homelessness.</p>			
<p>Report Completed by (name and date): Lisa L. Shippee and Ida Parker August 7, 2015</p>			



DEPARTMENT OF HOUSING  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2015

Families with children headed by:

Single 18 and over .....	Male	<u>7%</u>	Female	<u>62%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>31%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>19%</u>	battered spouses	<u>6%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth		elderly
<u>19%</u>	chronically mentally ill	<u>16%</u>	veterans
<u>0%</u>	developmentally disabled	<u>19%</u>	physically disabled
<u>0%</u>	HIV/AIDS		other
<u>6%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>          </u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>  x  </u>	Other (describe)	<u>25 in emergency shelter with individual family rooms.</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$72,681
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>          </u>	<u>\$0</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>
<u>          </u>	<u>\$</u>

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DEPARTMENT OF HOUSING  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2015

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$0
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$0
Building Value or Lease	\$0
Donated Goods	\$0
Donated Computers	\$0
New Staff Salaries	\$0
Volunteers (\$5/hr)	\$0
Volunteer Medical/Legal	

DRAFT

ESG ANNUAL PERFORMANCE REPORT

2014

CONTRACTOR NAME:	Tri-Town Shelter Services, Inc.
CONTRACT NUMBER (CORE /POS):	146TSS-ESS-1 / 13DOH0101CZ
ESG SHARE OF CONTRACT:	\$18,387.00
BUDGET PERIOD:	July 1, 2014 – June 30, 2015
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>We are a fifteen bed emergency shelter serving homeless families and individuals. In addition to shelter services, we also provide comprehensive case management and support services that address the contributing factors resulting in one's homelessness. Every client meets weekly with our Service Coordinator to define an Individualized Service Plan. To ensure follow-through, advocacy and referral services are available to each client.</p> <p>In an effort to promote a clients self-resiliency, self-regulation, and self-determination, we utilize numerous local service providers, as well as offering a wide range of adult daily life skills. Internally, we offer a closed, 12-Step recovery meeting and also have numerous community-based facilities that offer 12-step meetings as well.</p> <p>Bi-weekly Perceptions Program from Willimantic sends a team to conduct in-services on AIDS/HIV/STD's, along with offering free HIV testing and referral services. We also utilize other community-based organizations for in-services on a wide range of pertinent topics.</p> <p>We also have a multi-purpose room that houses our computer lab, resource library, and serves as both a conference room for case management reviews and confidential counseling. Finally, there are numerous assessment tools in the multi-purpose room that assist clients in their recovery path, mental health challenges, workforce readiness and educational competencies.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	135
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Pieter Nijssen, Executive Director July 16, 2015	

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

Contractor Name & Address:	Tri-Town Shelter Services, Inc.	
Project Title & Address:	Emergency Shelter Service, 93 East Main Street, Vernon, CT 06066	
Contract Number (CORE/POS)	146TSS-ESS-1 / 13DOH0101CZ	
Report Covers the period:	July 1, 2014 - June 30, 2015	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency shelter	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

89		White, Non-Hispanic
25		Black, Non-Hispanic
21		Hispanic
0		Asian/Pacific
0		American Indian / Alaskan Native
135		TOTAL
18		Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/>	emergency shelter facilities	<input type="checkbox"/>	transitional housing
<input type="checkbox"/>	vouchers for shelters	<input type="checkbox"/>	outreach
<input type="checkbox"/>	drop-in-center	<input type="checkbox"/>	soup kitchen/meal distribution
<input type="checkbox"/>	mental health	<input type="checkbox"/>	HIV/AIDS services
<input type="checkbox"/>	alcohol/drug program	<input type="checkbox"/>	employment
<input type="checkbox"/>	child care	<input type="checkbox"/>	homeless prevention
<input type="checkbox"/>	other <u>(please list):</u>		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	12	average number serviced daily	13
Average number of children daily	3		
Average number served yearly	135		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	47%	Female	53%
Unaccompanied under 18 .....	Male	00%	Female	0%

DEPARTMENT OF Housing  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over .....	Male	<u>2%</u>	Female	<u>98%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0%</u>	battered spouses	<u>55%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>0%</u>	elderly
<u>65%</u>	chronically mentally ill	<u>3%</u>	veterans
<u>0%</u>	developmentally disabled	<u>6%</u>	physically disabled
<u>4%</u>	HIV/AIDS	<u>n/a</u>	other
<u>55%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>0</u>	Barracks	<u>0</u>	Group/large house
<u>0</u>	Scattered site apartment	<u>0</u>	Single family detached house
<u>15</u>	Single room occupancy	<u>0</u>	Mobile home/trailer
<u>0</u>	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$18387.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$10,224.00</u>
State of CT Dept. of Housing	<u>\$131,726.00</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

# DRAFT

## DEPARTMENT OF Housing EMERGENCY SHELTER PROGRAM (ESG) ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Town of Ellington	\$500.00
Town of Vernon	\$2,500.00
United Way	\$7,500.00
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	\$115,000.00
Loans	\$0
Building Value or Lease	\$450,000.00
Donated Goods	\$0
Donated Computers	\$0
New Staff Salaries	\$0
Volunteers (\$5/hr)	\$0
Volunteer Medical/Legal	\$0



**DRAFT**

**ESG ANNUAL PERFORMANCE REPORT**  
**2014**

CONTRACTOR NAME:	<b>AIDS CT</b>
CONTRACT NUMBER (CORE /POS):	<b>064ACI-ESS-01</b>
ESG SHARE OF CONTRACT:	<b>\$408,200.00</b>
BUDGET PERIOD:	<b>July 1, 2014 - June 30, 2015</b>
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)  <b>AIDS Connecticut administers the financial assistance part of the rapid re-housing program. We provide financial assistance to the literally homeless that are receiving housing relocations and stabilization services.</b>	
NUMBER OF CLIENTS SERVED (unduplicated count):	<b>391</b>
ADDITIONAL COMMENTS:	
Report Completed by (name and date): <b>Aaron Lucas 8/10/2015</b>	



# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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  - b. Annual Performance under the Action Plan
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**PART 2: Sources of Leveraging and Program Income**

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

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**PART 4: Summary of Performance Outcomes**

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
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**PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
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**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	0
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	0
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	0
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	0
5.	<b>Adjustment for duplication (subtract)</b>	0
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant’s lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)  
Consolidated Annual Performance and Evaluation Report (CAPER)  
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

**Part 1: Grantee Executive Summary**

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

**1. Grantee Information**

<b>HUD Grant Number</b>	<b>Operating Year for this report</b> From 07/01/14 To 6-30-2015		
<b>Grantee Name</b> State of Connecticut Department of Housing			
<b>Business Address</b>	505 Hudson Street		
<b>City, County, State, Zip</b>	Hartford	CT	06106
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	FEIN 32-0410548		
<b>DUN &amp; Bradstreet Number (DUNS):</b>	DUNS: 078847898	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807854435	
<b>Congressional District of Grantee's Business Address</b>	CT 1 <sup>st</sup> District		
<b>*Congressional District of Primary Service Area(s)</b>	Hartford		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>	Cities: Litchfield and New London	Counties: Litchfield and New London	
<b>Organization's Website Address</b>  N/A	<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>		

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

**2. Project Sponsor Information**

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Project Sponsor Agency Name</b>		<b>Parent Company Name, if applicable</b>	
See Attachments		N/A	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Eileen Healy, Executive Director, Independence Northwest Alison Cunningham, Executive Director, Columbus House James Gatling, President/CEO, New Opportunities, Inc.		
<b>Email Address</b>	<a href="mailto:Eileen.healy@independencenorthwest.org">Eileen.healy@independencenorthwest.org</a> <a href="mailto:Acunningham@columbushouse.org">Acunningham@columbushouse.org</a> <a href="mailto:Eroyster@newoppinc.org">Eroyster@newoppinc.org</a>		
<b>Business Address</b>	ALL BREAKOUTS Attached		
<b>City, County, State, Zip,</b>			
<b>Phone Number (with area code)</b>			
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		<b>Fax Number (with area code)</b>	
<b>DUN &amp; Bradstreet Number (DUNs):</b>			
<b>Congressional District of Project Sponsor's Business Address</b>			
<b>Congressional District(s) of Primary Service Area(s)</b>			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		<b>Counties:</b>	
<b>Total HOPWA contract amount for this Organization for the operating year</b>			
<b>Organization's Website Address</b>			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

**3. Administrative Subrecipient Information**

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Subrecipient Name</b>				<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Subrecipient</b>				
<b>Email Address</b>				
<b>Business Address</b>				
<b>City, State, Zip, County</b>				
<b>Phone Number (with area code)</b>				<b>Fax Number (include area code)</b>
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>				
<b>DUN &amp; Bradstreet Number (DUNs):</b>				
<b>North American Industry Classification System (NAICS) Code</b>				
<b>Congressional District of Subrecipient's Business Address</b>				
<b>Congressional District of Primary Service Area</b>				
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	<b>Cities:</b>			<b>Counties:</b>
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>				



**4. Program Subrecipient Information**

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

<b>Sub-recipient Name</b>	See Attached			<b>Parent Company Name, if applicable</b>
<b>Name and Title of Contact at Contractor/ Sub-contractor Agency</b>				
<b>Email Address</b>				
<b>Business Address</b>				
<b>City, County, State, Zip</b>				
<b>Phone Number (included area code)</b>				<b>Fax Number (include area code)</b>
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>				
<b>DUN &amp; Bradstreet Number (DUNs)</b>				
<b>North American Industry Classification System (NAICS) Code</b>				
<b>Congressional District of the Sub-recipient's Business Address</b>				
<b>Congressional District(s) of Primary Service Area</b>				
<b>City(ies) and County(ies) of Primary Service Area</b>	<b>Cities:</b>	<b>Counties:</b>		
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>				

**5. Grantee Narrative and Performance Assessment**

**a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD’s website. *Note: Text fields are expandable.*

See Attached

**b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

**2. Outcomes Assessed.** Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of

<input type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

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3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None to note.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

*Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

*Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

**1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	42
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	28
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	4
• Assistance with rental costs	10
• Assistance with mortgage payments	
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Department of Housing	\$198,359	Case Management Rent Contribution	X Housing Subsidy Assistance X Other Support
Other Public: Department of Social Services	\$5236	In kind	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	60,018		
<b>TOTAL (Sum of all Rows)</b>	<b>263,661</b>		

**2. Program Income and Resident Rent Payments**

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

*Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

**1. HOPWA Performance Planned Goal and Actual Outputs**

<b>HOPWA Performance Planned Goal and Actual</b>		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	23	26			144,923	143,735
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)		0			0	0
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)		0			0	0
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)		0			0	0
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)		0			0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	12	10			26,632	26,632
5.	Permanent Housing Placement Services		0			0	0
6.	Adjustments for duplication (subtract)		0				
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	35	36			171,555	170,367
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)					0	0
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	<b>Total Housing Developed</b> (Sum of Rows 8 & 9)	0	0			0	0
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	12	15			39,898	39,207
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.		0			0	0
12.	Adjustment for duplication (subtract)		0				
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	12	15			39,898	39,207
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	7	7			7494	7494
15.	<b>Total Housing Information Services</b>	7	7			7494	7494

<b>Grant Administration and Other Activities</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					0	0
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					19,799	19,799
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					19,799	19,799
<b>Total Expended</b>							
						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					238,746	237,205

**2. Listing of Supportive Services**

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

*Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

<b>Supportive Services</b>		<b>[1] Output: Number of <u>Households</u></b>	<b>[2] Output: Amount of HOPWA Funds Expended</b>
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	3	2380
3.	Case management	21	22993
4.	Child care and other child services	2	1584
5.	Education	1	792
6.	Employment assistance and training	3	2380
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	8	6348
8.	Legal services	1	792
9.	Life skills management (outside of case management)	16	7134
10.	Meals/nutritional services	0	0
11.	Mental health services	6	4756
12.	Outreach	11	3171
13.	Transportation	3	2380
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>	0	0
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	75	
16.	<b>Adjustment for Duplication (subtract)</b>	54	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	21	54,710



**3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary**

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	<b>Total Short-term mortgage, rent and/or utility (STRMU) assistance</b>		
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	10	26,632
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	0	0
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY.	5	20344
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	0	0
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.	5	6288
g.	Direct program delivery costs (e.g., program operations staff time)		0

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	26	29	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing	1	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	2	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy	3	
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death	1	<i>Life Event</i>
<b>Permanent Supportive Housing Facilities/ Units</b>			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Housing Facilities/ Units</b>			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
---------------------------------------------------------------------------------------------------------------------	---

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status	[3] HOPWA Client Outcomes
10	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	10
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	
	Other HOPWA Housing Subsidy Assistance	
	Other Housing Subsidy (PH)	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	
	Likely that additional STRMU is needed to maintain current housing arrangements	
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	
	Emergency Shelter/street	
	Jail/Prison	
	Disconnected	
	Death	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).		

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1a. Total Number of Households**

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

*Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

<b>Total Number of Households</b>		
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following <u>HOPWA-funded</u> services:		
a.	Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	36
b.	Case Management	36
c.	Adjustment for duplication (subtraction)	36
<b>d.</b>	<b>Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)</b>	<b>36</b>
<b>2.</b>		
a.	HOPWA Case Management	0
<b>b.</b>	<b>Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>	<b>0</b>

**1b. Status of Households Accessing Care and Support**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

*Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.*

<b>Categories of Services Accessed</b>	<b>[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>Outcome Indicator</b>
1. Has a housing plan for maintaining or establishing stable on-going housing	36		<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	36		<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	26		<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	36		<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	26		<i>Sources of Income</i>

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

- |                                                                                                                                                                                          |                                                                                                                                                                                                                              |                                                                                                    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|

**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

- |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul> | <ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul> | <ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	4	0

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>				
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	10			
<b>Total HOPWA Housing Subsidy Assistance</b>	10			

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

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**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b>	<b>Signature &amp; Date (mm/dd/yy)</b>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>	<b>Contact Phone (with area code)</b>

**End of PART 6**



**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	36

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	24
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4. Transitional housing for homeless persons	0
5. <b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	0
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7. Psychiatric hospital or other psychiatric facility	0
8. Substance abuse treatment facility or detox center	1
9. Hospital (non-psychiatric facility)	0
10. Foster care home or foster care group home	0
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	8
13. House you own	1
14. Staying or living in someone else's (family and friends) room, apartment, or house	1
15. Hotel or motel paid for without emergency shelter voucher	0
16. Other	0
17. Don't Know or Refused	0
18. <b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	36

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	26
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	11
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	37

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0			
2.	18 to 30 years	2	0			2
3.	31 to 50 years	7	7			14
4.	51 years and Older	14	5	1		20
5.	<b>Subtotal (Sum of Rows 1-4)</b>	23	12	1		36
6.	Under 18	4	1			6
7.	18 to 30 years	3	1			4
8.	31 to 50 years		2			2
9.	51 years and Older	1				1
10.	<b>Subtotal (Sum of Rows 6-9)</b>	8	4			12
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	31	16	1		48

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	1	0
3.	Black/African American	10	0	1	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	24	4	5	5
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	2	0	0	3
11.	Column Totals (Sum of Rows 1-10)	36	4	7	8
<b>Data Check:</b> Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	33
2.	31-50% of area median income (very low)	2
3.	51-80% of area median income (low)	1
4.	<b>Total (Sum of Rows 1-3)</b>	<b>36</b>

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started:	Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units =	Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <b>Specify:</b>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <b>Specify:</b>		
e.	<b>Adjustment to eliminate duplication (subtract)</b>		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)</b>		