



Dannel P. Malloy  
*Governor*

STATE OF CONNECTICUT  
DEPARTMENT OF HOUSING



Evonne M. Klein  
*Commissioner*

**Community Development Block Grant Disaster Recovery  
Program (CDBG-DR)**

**Owner Occupied Rehabilitation and Rebuilding Program  
(OORR)**

BID PACKAGE

For

**Removal and Replacement of the Existing Roof –  
Includes Siding and Flashing Related Work**

**Elkind Residence**

**271 Ridgeview Avenue**

**Fairfield, Connecticut**

Amaya Architects

284 Racebrook Road

Orange, Connecticut 06477

203.795.5656

Project #: 1171



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Not Used

END OF DOCUMENT 00010

**Section 1**

**ADVERTISEMENT FOR BIDS**

Project # **Elkind Residence**

DOH # **1171**

The State of Connecticut Department of Housing (DOH) is seeking proposals through a Request for Proposal (RFP) process for the rehabilitation, reconstruction and/or mitigation of residential structures damaged by Superstorm Sandy in compliance with all applicable local, federal, and state statutory requirements with special attention paid to requirements for Community Development Block Grants under the United States Department of Housing and Urban Development ("HUD") Disaster Recovery grant program.

Separated sealed bids for **Elkind Residence, 271 Ridgeview Avenue, Fairfield, Connecticut – Project Number 1171 for the Removal and Replacement of the Existing Roof – Includes Siding and Flashing Related Work** will be received by **Amaya Architects, 284 Racebrook Road, Orange, Connecticut 06477 until 4:00 o'clock PM on Monday the 13<sup>th</sup> of February 2017**, and then at said office publicly opened and read aloud.

The Information to Bidders, Form of Bid, Form of Contract, Plans, Specifications, and Form of Bid Bond, Performance and Payment Bond, and other contract documents may be examined on the Department of Housing Hurricane Sandy Recover website at [www.ct.gov/doh/](http://www.ct.gov/doh/) and click on the "Hurricane Sandy" link, and Advertisement for Bids.

Copies of Drawings and Specifications may be downloaded directly from the Department of Housing website under Hurricane Sandy Recovery - Advertisement for Bids. Copies are also available for purchase at Milford Blueprint and Curry Copy Center – both of which are located in Milford, Connecticut

DOH reserves the right to waive any informalities or to reject any or all bids.

Each bidder must deposit with his bid, security in the amount, form and subject to the conditions provided in the Information to Bidders.

Attention to bidders is particularly called to the requirements as to conditions of employment to be observed and minimum wages rates to be paid under the contract (if applicable), Section 3, Segregated Facilities, Section 109 and E. O. 11246.

No bidder may withdraw his bid within 30 calendar days after the actual date of the bid opening thereof.

## INFORMATION FOR BIDDERS

### **Receipt and Opening of Bids:**

The State of Connecticut Department of Housing (herein called the "DOH"), invites bids on the form attached hereto, all blanks of which must be appropriately filled. **Bids will be received by DOH at the office of Amaya Architects, 284 Racebrook Road, Orange, Connecticut 06477 until 4:00 o'clock PM on Monday the 13<sup>th</sup> of February 2017** and then at said office publicly opened and read aloud. The envelopes containing the bids must be sealed, addressed to: **Amaya Architects at 284 Racebrook Road, Orange, Connecticut 06477** and designated as bid for **Roof Replacement for the Elkind Residence, 271 Ridgeview Avenue, Fairfield, Connecticut – Project Number 1171, Drawings Dated the 13<sup>th</sup> of October 2016.**

DOH may consider informal any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all bids. Any bid may be withdrawn prior to the above scheduled time for the opening of bids or authorized postponement there considered. NO bidder may withdraw a bid within 30 days after the actual date of the opening thereof.

**Mandatory Walk Through:** All bidders must attend a mandatory walk through of the property designated above. The date and time of the walk through is set for **10:00 o'clock AM on Wednesday the 1<sup>st</sup> of February 2017 at 271 Ridgeview Avenue, Fairfield, Connecticut.**

### **Preparation of Bids:**

Each bid must be submitted on the prescribed form and accompanied by Certification by Bidder Regarding Equal Employment Opportunity, Form HUD-950.1, and Certification of Bidder Regarding Section 3 and Segregated Facilities. All blank spaces for bid process must be filled in, in ink or typewritten, in both words and figures, and the foregoing Certifications must be fully completed and executed when submitted.

Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, his/her address, and the name of the project for which the bid is submitted. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed as specified in the bid form.

**Subcontracts:** The bidder is specifically advised that any person, for, or other party to whom it is proposed to award a subcontract under this contract:

1. Must be acceptable to the DOH after verification by the State of the current eligibility status; and,
2. Must submit Form HUD-950.2, Certification by Proposed Subcontractor Regarding Equal Employment Opportunity and Certification of Proposed Subcontractor Regarding Section 3 and Segregated Facilities. Approval of the proposed subcontractor award cannot be given by the DOH unless and until the proposed subcontractor has submitted the Certifications and/or other evidence showing that it has fully complied with any reporting requirements to which it is or was subject. Although the bidder is not required to attach

such Certifications by proposed subcontractors to his/her bid, the bidder is here advised of this requirement so that appropriate action can be taken to prevent subsequent delay in subcontract awards.

**Method of Bidding:** DOH invites the following bid(s):

Qualifications of Bidder: The DOH may make such investigations as he/she deems necessary to determine the ability of the bidder to perform the work, and the bidder shall furnish to the DOH all such information and data for this purpose as the DOH may request. The DOH reserves the right to reject any bid if the evidence submitted by, or investigation of, such bidder fails to satisfy the DOH that such bidder is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional bids will not be accepted. The State's set Contractor Pre-qualifications are listed in Exhibit G and also are available at the Department of Housing's Hurricane Sandy Recovers website [www.ct.gov/doh/](http://www.ct.gov/doh/) and click on the "Hurricane Sandy" link.

**Bid Security:** Each bid must be accompanied by an irrevocable letter of credit from the bank, certified check, or bank cashier's check in the amount not less than five percent (5%) of the bid. Bid bonds may be accepted as bid security. Such checks will be returned to all except the three lowest bidders within three days after the opening of bids, and the remaining cash, or checks will be returned promptly after DOH and the accepted bidder have executed the contract, or opening of bids, upon demand or the bidder at any time thereafter, so long as he/she has been notified of the acceptance of his/her bid.

**Conditions of Work:** Each bidder must inform him/herself fully of the conditions relating to the construction of the project and the employment of labor thereon. Failure to do so will not relieve a successful bidder of his/her obligation to furnish all material and labor necessary to carry out the provision of his/her contract. Insofar as possible the contractor, in carrying out the work, must employ such methods or means as will not cause any interruption of or interference with the work of any other contractor.

**Addenda and Interpretations:** No interpretation of the meaning of the plans, specifications or other pre-bid documents will be made to any bidder orally.

Every request for such interpretation should be in writing addressed to: **Rafael Amaya at Amaya Architects – email [ramayarchitects@aol.com](mailto:ramayarchitects@aol.com)** and to be given consideration must be received at least seven days prior to the date fixed for the opening of bids. Any and all such interpretations and any supplemental instruction will be in the form of written addenda to the specifications which, if issued, will be forwarded by electronic mail and posted on DOH's Hurricane Sandy website to all prospective bidders (at the respective email addresses furnished for such purposes), not later than three days prior to the date fixed for the opening of bids. Failure of any bidder to receive any such addendum or interpretation shall not relieve such bidder from any obligation under his/her bid as submitted. All addenda so issued shall become part of the contract documents.

**Security for Faithful Performance:** Simultaneously with his/her delivery of the executed contract, the Contractor shall furnish a surety bond or bonds as security for faithful performance of this contract and for the payment of all persons performing labor on the project under this

contract and furnishing materials in connection with this contract, as specified in the General Conditions included herein. The surety on such bond or bonds shall be a duly authorized surety company satisfactory to the DOH.

**Performance and Payment Bonds:** A performance and payment bond will be required of the successful bidder (contractor) for 100 percent of the contract price on contracts over \$100,000.

**Contract Progress Schedule:** Each bid shall be accompanied by a Contract Progress Schedule. Such Schedule shall list the bidder's timetable for completion of the contract.

**Power of Attorney:** Attorneys-in-fact who sign bid bonds or contract bonds must file with each bond a certified and effectively dated copy of their power of attorney.

**Notice of Special Conditions:** Attention is particularly called to those parts of the contract documents and specifications which deal with the following:

1. Inspection and testing of materials
2. Insurance requirements
3. Wage rates (if applicable)
4. State allowances

**Laws and Regulations:** The bidder's attention is directed to the fact that all applicable State laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the project shall apply to the contract throughout, and they will be deemed to be included in the contract the same as though herein written out in full.

**Method of Award-Lowest Qualified Bidder:** If at the time this contract is to be awarded, the lowest base bid submitted by a responsible bidder does not exceed the amount of funds then estimated by the DOH as available to finance the contract; the contract will be awarded on the base bid only. If such bid exceeds such amount, the DOH may reject all bids or may award the contract on the base bid combined with such deductible alternatives applied in numerical order in which they are listed in the Form of Bids, as produces a net amount which is within the available funds.

If the homeowner wishes to select a prequalified bidding contractor other than the lowest and most responsible bidder, said owner is responsible for paying the difference between the lowest bidder and their chosen bidder from their own financing.

**Obligation of Bidder:** At the time of the opening of bids, each bidder will be presumed to have inspected the site and to have read and to be thoroughly familiar with the plans and contract documents (including all addenda). The failure or omission of any bidder to examine any form, instrument or document shall in no way relieve any bidder from any obligation in respect to his/her bid.

**Safety Standards and Accident Prevention:** With respect to all work performed under this contract, the contractor shall:

1. Comply with the safety standards provision of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational

Safety and Health Act of 1970 (Public Law 91-596), and the requirements of Title 29 of the Code of Federal Regulations, Section 1518 as published in the "Federal Register," Volume 36, No 75, Saturday, April 17, 1971.

2. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) who may be injured on the job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

**BID FORM**

The undersigned, being familiarized with the local conditions affecting the cost of the work and with the Drawings, Specifications, Invitation to Bidders, Instructions to Bidders, General Conditions, Bid Form, Form of Contract and Form of Bonds for **Project No. 1171** and Addenda No. \_\_\_\_\_ and \_\_\_\_\_ thereto, as prepared by **Amaya Architects, 284 Racebrook Road, Orange, Connecticut 06477**, and on file in the office of DOH, hereby proposes to furnish all permits, labor, materials, tools, equipment, and related items required for the proposed construction including general construction, site improvements, plumbing, heating, electrical, and finish items for said **Project No. 1171 located at 271 Ridgeview Avenue, Fairfield, State of Connecticut**, all in accordance with the Drawings and Specifications, dated the 13<sup>th</sup> of October 2016, for the sum of:

\_\_\_\_\_ Dollars  
(\$ \_\_\_\_\_ )

ALTERNATE PROPOSALS

The undersigned bidder further proposes and agrees that should any or all of the following Alternates be accepted and included in the Contract, the amount of the Base Bid, as heretofore stated, shall be adjusted by the amount stated for each Alternate. All materials and workmanship shall be in strict accordance with the Drawings and Specifications and shall be in-place prices.

Alternates

No. \_\_\_ \_\_\_\_\_ \$  
No. \_\_\_ \_\_\_\_\_ \$  
No. \_\_\_ \_\_\_\_\_ \$  
No. \_\_\_ \_\_\_\_\_ \$

The undersigned agrees to commence the work on a date to be specified in the contract and to complete such work within 90 consecutive calendar days after receipt of the Building Permit.

The undersigned agrees that if within the period of ninety (90) calendar days after the opening of bids, or when extended to the next work day immediately following said period, notice of the acceptance of this bid shall be mailed, or delivered to him/her at the business address given below, or at any time thereafter before this bid is withdrawn, \_\_\_\_\_, will within fifteen (15) calendar days thereafter deliver to DOH, where directed, a contract properly executed in such number of counterparts as may be required by said DOH, on the forms annexed, with such changes therein as shall have been made by the DOH, prior to the time named for delivery of this proposal, together with a 100% Performance Bond of a Surety Company, which Surety must be authorized to transact business in the State of Connecticut, and duly qualified therefore, and in the form constituting part of the Specification and a letter indicating those Small/Minority Business Enterprises that will perform work and/or provide materials, equipment or services as part of the contract.

In submitting this bid, it is understood that the right is reserved by the abovementioned DOH to reject any and all bids; and it is agreed that this bid may not be withdrawn for a period of ninety calendar (90) days from the date of bid opening or until the next work day immediately following said period if such period ends on weekend or a State holiday.

Security in the sum of \_\_\_\_\_ Dollars (\$ \_\_\_\_\_)

in the form of \_\_\_\_\_ is submitted herewith in accordance with the Specifications.

The undersigned bidder agrees to comply with the Section 3 plan included herein and all Federal requirements pertaining to conditions of employment to be observed and minimum wage rates to be paid under the contract, Segregated Facilities, Section 109 and Executive Order 11246.

Attached hereto is an affidavit, in proof that the undersigned has not entered into any collusion with any person in respect to this proposal, or any other proposal, or the submitting of proposals for the above Project. Also attached is a statement of contractor's qualifications, Certification of Bidder Regarding Equal Employment Opportunity, Certification of Bidder Regarding Section 3 and Segregated Facilities.

\_\_\_\_\_

Date

\_\_\_\_\_

Firm Name

\_\_\_\_\_

Address

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Bank Letterhead)

BID SECURITY

IRREVOCABLE LETTER OF CREDIT

Dear \_\_\_\_\_:

We hereby authorize you to draw on us to the aggregate amount of \$\_\_\_\_\_ (five percent of the amount of the bid) in the event \_\_\_\_\_ withdraws its bid within the bid holding period, or upon being awarded a contract, fails to provide adequate performance and payment security as required by the Contract documents.

Such drafts must be accompanied by the following document:

A written certification by you that the proceeds of any draft drawn on this Letter of Credit will be used solely to indemnify the DOH against loss or damage suffered by it resulting from any act or omission described in the above paragraph.

We warrant to you that all drafts drawn in compliance with the terms of this Letter of Credit will be unconditionally and duly honored upon delivery of the documentation specified and presented to this office.

This Letter of Credit is irrevocable and shall be in full force and effect until notification in writing is received from you that a contract for Project\_\_\_\_\_ has been awarded and executed, whereupon this Letter of Credit shall automatically be canceled.

This Letter of Credit shall not be modified or amended except upon the written agreement of this Bank and the DOH.

Sincerely yours,

FORM OF NON-COLLUSIVE AFFIDAVIT

AFFIDAVIT

State of \_\_\_\_\_)

County of \_\_\_\_\_)

\_\_\_\_\_, being first duly sworn, deposes and says:

That he/she is, \_\_\_\_\_ the party making the foregoing proposal for bid, that such proposal or bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed, directly or indirectly, with any bidder or person, to put in a sham bid or to refrain from bidding, and has not, in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference, with any person, to fix the bid price of affiant or of any other bidder, or to fix any overhead, profit or cost element of said bid price, or of that of any other bidder, or to secure any advantage against DOH or any person interested in the proposed contract, and that all statements in said proposal for bid are true.

Project No. \_\_\_\_\_

Location \_\_\_\_\_

\_\_\_\_\_

Signature

\_\_\_\_\_

Name and Title

\_\_\_\_\_

Date

(Signature should be notarized.)

BIDDER'S CERTIFICATION OF ELIGIBILITY

By the submission of this bid, the bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm which has an interest in the bidder's firm, nor any of the bidder's subcontractors, is ineligible to:

- (1) Be awarded contracts by any agency of the United States Government or HUD; or,
- (2) Participate in HUD programs pursuant to 24 CFR part 24.

\_\_\_\_\_  
(Name of Bidder)

\_\_\_\_\_  
(Address)

BY: \_\_\_\_\_

Title: \_\_\_\_\_

**NOTE:** This certification is a material representation of fact upon which reliance is placed when making award. If it is later determined that the bidder knowingly rendered an erroneous certification, the contract may be terminated for default, and the bidder may be debarred or suspended from participation in HUD programs and other Federal programs.

**CERTIFICATION OF GENERAL BIDDERS ON CDBG-DR CONSTRUCTION PROJECTS**

**I. CERTIFICATION REGARDING HEALTH AND SAFETY**

The undersigned hereby certifies that he/she is able to furnish labor that can work in harmony with all other elements of labor employed or to be employed on the work; that all employees to be employed at the worksite will have successfully completed a course in construction safety and health approved by the United States Occupational Safety and Health Administration that is at least ten hours in duration at the time the employee begins work and who shall furnish documentation of successful completion of said course with the first certified payroll report for each employee.

**II. CERTIFICATION REGARDING NON-COLLUSION AND DEBARMENT**

The undersigned further certifies under the penalties of perjury that this bid is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this subsection the word "person" shall mean any natural person, joint venture, partnership, corporation or other business or legal entity. The undersigned further certifies that neither he/she nor any firm, corporation, partnership or association in which he/she has a substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6 (b) of the Regulations of the Secretary of Labor, Part 5 (29 CFR, Part 5), or pursuant to Section 3 (a) of the Davis-Bacon Act, as amended (40 USC 276a). The undersigned further certifies that said undersigned is not presently debarred from doing public construction work in the State of Connecticut.

Date: \_\_\_\_\_

\_\_\_\_\_

Name of General Bidder

By \_\_\_\_\_

Signature

\_\_\_\_\_

Print name and Title

\_\_\_\_\_

Business Address

\_\_\_\_\_

Street Address City and State

OSHA-10 OSHA-10

**CERTIFICATION OF SUB- BIDDERS (IF ANY) ON CDBG-DR CONSTRUCTION PROJECTS**

**I. CERTIFICATION REGARDING HEALTH AND SAFETY**

The undersigned hereby certifies that he is able to furnish labor that can work in harmony with all other elements of labor employed or to be employed on the work; that all employees to be employed at the worksite will have successfully completed a course in construction safety and health approved by the United States Occupation Safety and Health Administration that is at least ten hours in duration at the time the employee begins work and who shall furnish documentation of successful completion of said course with the first certified payroll report for each employee; and that he will comply fully with all laws and regulations applicable to awards of subcontracts subject to section 44F.

**II. CERTIFICATION REGARDING NON-COLLUSION AND DEBARMENT**

The undersigned further certifies under penalties of perjury that this subbid is in all responses bona fide, fair and made without collusion or fraud with any other person. As used in this subsection the "person" shall mean any natural person, joint venture, partnership, corporation or other business or legal entity. The undersigned further certifies that neither he/she nor any firm, corporation, partnership or association in which he/she has a substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6 (b) of the Regulations of the Secretary of Labor, Part 5 (29 CFR, Part 5), or pursuant to Section 3 (a) of the Davis-Bacon Act, as amended (40 USC 276a). The undersigned further certifies that said undersigned is not presently debarred from doing public construction work in the State of Connecticut.

Date \_\_\_\_\_

\_\_\_\_\_

Name of Sub-bidder

By \_\_\_\_\_

Signature

\_\_\_\_\_

Print Name and Title

\_\_\_\_\_

Business Name

\_\_\_\_\_

Street Address, City and State

BID BOND

KNOW ALL MEN BY THESE PRESENTS, that we, the undersigned, \_\_\_\_\_ as Principal, and \_\_\_\_\_ Surety, are hereby held and firmly bound unto \_\_\_\_\_ as DOH in the penal sum of \_\_\_\_\_, for the payment of which, well and truly be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns. Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2017.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal has submitted to \_\_\_\_\_ a certain Bid, attached hereto and hereby made a part hereof to enter into a contract in writing, for the \_\_\_\_\_

NOW, THEREFORE,

1. If said Bid shall be rejected, or in the alternate,
2. If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attached hereto (properly completed in accordance with the Bid) and shall furnish a bond for this faithful performance of said contract, and for the payment of all person performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said Bid,

Then this obligation shall be void, otherwise the same shall remain in force and effect; it being expressly understood and agreed that the liability of the Surety for any or all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way impaired or affected by any extension of the time which the DOH may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

\_\_\_\_\_  
Principal (L.S)

\_\_\_\_\_  
Surety

SEAL

By: \_\_\_\_\_

PERFORMANCE AND PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS: THAT we,

\_\_\_\_\_, as

PRINCIPAL, and \_\_\_\_\_, as SURETY,

are held firmly bound unto \_\_\_\_\_

\_\_\_\_\_ hereinafter called the DOH, in

the penal sum of \_\_\_\_\_

\_\_\_\_\_ (\$ \_\_\_\_\_), for the  
payment

of which sum we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally.

WHEREAS, Principal has entered into a certain Contract with DOH, dated \_\_\_\_\_, a copy of which is hereto attached and made a part hereof.

NOW, THEREFORE, the condition of this obligation is such that if the Principal shall in all respects fully perform the Contract and all duly authorized modifications thereof, during its original term and any extensions thereof that may be granted and during any guaranty period for which the Contract provides, and if the Principal shall fully satisfy all claims arising out of the prosecution of the work under the Contract and shall fully indemnify DOH for all expenses which it may incur by reason of such claims, including its attorney's fees and court costs, and if the Principal shall make full payment to all persons supplying labor, services, materials, or equipment in the prosecution of the work under the Contract, in default of which such persons shall have a direct right of action hereupon; and if the Principal shall pay or cause to be paid all sales and use taxes payable as a result of the performance of the Contract as well as payment of gasoline and special motor fuel taxes in the performance of the Contract and all motor vehicle fees required for commercial motor vehicles used in connection with the performance of the Contract, then this obligation shall be void; otherwise, it shall remain in full force and effect. No modification of the Contract or extension of the term thereof, nor any forbearance on the part of DOH shall in any way release the Principal or the Surety from liability hereunder. Notice to the Surety of any such modification, extension, or forbearance is hereby waived.



CERTIFICATE AS TO CORPORATE PRINCIPAL

I, \_\_\_\_\_, certify that I am the  
\_\_\_\_\_  
Secretary of the corporation  
named as Principal in the foregoing bond; that \_\_\_\_\_,  
who signed the bond on behalf of the Principal, was then \_\_\_\_\_  
of said corporation; that I know his/her signature thereto is genuine; and that said bond was  
fully signed, sealed, and attested for and in behalf of said corporation by authority of its  
governing body.

SUBCONTRACTOR IDENTIFICATION

*(Provide additional forms for more subcontractors, as needed prior to execution.)*

This form is a part of your bid package and must be submitted along with the itemized and formal bid forms at the time of the bid opening. Failure to submit a completed document could result in the disqualification of your bid.

Name of Subcontractor: \_\_\_\_\_

Address: \_\_\_\_\_

Trade: \_\_\_\_\_

Hourly Wage:   \$\_\_\_\_\_ Full Contract Price:   \$\_\_\_\_\_

Federal Tax# or SSN #: \_\_\_\_\_

Male Owned Business \_\_\_\_\_ Female Owned Business \_\_\_\_\_

Is he/she of Hispanic or Latino ethnicity? Yes \_\_\_\_\_ No \_\_\_\_\_

Race: (Please check one)

- |   |   |
|---|---|
| <input type="checkbox"/> White                  | <input type="checkbox"/> American Indian/Alaskan Native |
| <input type="checkbox"/> Black/African American | <input type="checkbox"/> Hasidic Jew                    |
| <input type="checkbox"/> Asian/Pacific American |   |

Name of Subcontractor: \_\_\_\_\_

Address: \_\_\_\_\_

Trade: \_\_\_\_\_

Hourly Wage:   \$\_\_\_\_\_ Full Contract Price:   \$\_\_\_\_\_

Federal Tax# or SSN #: \_\_\_\_\_

Male Owned Business \_\_\_\_\_ Female Owned Business \_\_\_\_\_

Is he/she of Hispanic or Latino ethnicity? Yes \_\_\_\_\_ No \_\_\_\_\_

Race: (Please check one)

- |   |   |
|---|---|
| <input type="checkbox"/> White                  | <input type="checkbox"/> American Indian/Alaskan Native |
| <input type="checkbox"/> Black/African American | <input type="checkbox"/> Hasidic Jew                    |
| <input type="checkbox"/> Asian/Pacific American |   |

Name of Subcontractor: \_\_\_\_\_

Address: \_\_\_\_\_

Trade: \_\_\_\_\_

Hourly Wage:   \$\_\_\_\_\_ Full Contract Price:   \$\_\_\_\_\_

Federal Tax# or SSN #: \_\_\_\_\_

Male Owned Business \_\_\_\_\_ Female Owned Business \_\_\_\_\_

Is he/she of Hispanic or Latino ethnicity? Yes \_\_\_\_\_ No \_\_\_\_\_

Race: (Please check one)

- |   |   |
|---|---|
| <input type="checkbox"/> White                  | <input type="checkbox"/> American Indian/Alaskan Native |
| <input type="checkbox"/> Black/African American | <input type="checkbox"/> Hasidic Jew                    |
| <input type="checkbox"/> Asian/Pacific American |   |

\_\_\_\_\_  
Contractor's Signature

\_\_\_\_\_  
Date

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT  
OPPORTUNITY

-----  
INSTRUCTIONS  
-----

This certification is required pursuant to Executive Order 11246 (30 F R 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

-----  
CERTIFICATION OF BIDDER  
-----

Name and address of Bidder (include zip code)

- 
1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause.  
 YES  NO
  2. Compliance reports were required to be filed in connection with such contract or subcontract.  
 YES  NO
  3. Bidder has filed all compliance reports due under applicable instructions, including SF.100.  
 YES  NO  NOT REQUIRED
  4. Have you ever seen or are you being considered for sanction due to violation of Executive Order 11246, as amended?  
 YES  NO

NAME AND TITLE OF SIGNER (Please type.)

-----  
SIGNATURE

\_\_\_\_\_  
DATE

**CERTIFICATION OF BIDDERS REGARDING SECTION 3 AND SEGREGATED FACILITIES**

Project Name:

Project No:

Name of Prime Contractor:

The undersigned hereby certifies that:

1. Section 3 provisions are included in the Contract
2. A written Section 3 plan was prepared and submitted as part of the bid proceedings (if bid equals or exceeds \$100,000.00)
3. No segregated facilities will be maintained.

---

Name and Title of Signer (Print or Type)

---

Signature

---

Date





**Table B**

**Estimated Project Workforce Breakdown**

<i>Column 1</i>	<i>Column 2</i>	<i>Column 3</i>	<i>Column 4</i>	<i>Column 5</i>
Job Category	Total Estimated Population	No. Positions Currently Occupied by Permanent Employees	No. Positions Not Currently Occupied	No. Positions to be filled with LIPAR*
Officers/Supervisors				
Professionals				
Technicians				
Housing Sales/Rental Management				
Office Clerical				
Service Workers				
Others				
TRADE:				
Journeyman				
Helpers				
Apprentices				
Maximum No. of Trainees				
Others				
TRADE:				
Journeyman				
Helpers				
Apprentices				
Maximum No. of Trainees				
Others				
TRADE:				
Journeyman				
Helpers				
Apprentices				
Maximum No. of Trainees				
Others				
Total				

*\*Lower Income Project Area Residents. Individuals residing within the project area whose family income does not exceed 80% of the area median income in the SMSA.*

---

Company

## Green Building Standards Checklist

### HUD CPD Green Building Retrofit Checklist

The CPD Green Retrofit Checklist promotes energy efficiency and green building practices for residential retrofit projects. Grantees must follow the checklist in its entirety and apply all measures within the Checklist to the extent applicable to the particular building type being retrofitted. The phrase “when replacing” in the Checklist refers to the mandatory replacement with specified green improvements, products, and fixtures only when replacing those systems during the normal course of the retrofit.

#### WATER AND ENERGY CONSERVATION MEASURES

- Water-Conserving Fixtures**  
Install or retrofit water conserving fixtures in any unit and common facility, use the following specifications: Toilets-- 1.28 gpf; Urinals-- 0.5 gpf; Showerheads-- 2.0 gpm; Kitchen faucets-- 2.0 gpm; and Bathroom faucets-- 1.5gpm. [gpf = gallons per flush; gpm = gallons per minute]
- ENERGY STAR Appliances**  
Install ENERGY STAR-labeled clothes washers, dishwashers, and refrigerators, if these appliance categories are provided in units or common areas.
- Air Sealing: Building Envelope**  
Seal all accessible gaps and penetrations in the building envelope. If applicable, use low VOC caulk or foam.
- Insulation: Attic** (if applicable to building type)  
For attics with closed floor cavities directly above the conditioned space, blow in insulation per manufacturer's specifications to a minimum density of 3.5 Lbs. per cubic foot (CF). For attics with open floor cavities directly above the conditioned space, install insulation to meet or exceed IECC levels.
- Insulation: Flooring** (if applicable to building type)  
Install  $\geq$  R-19 insulation in contact with the subfloor in buildings with floor systems over vented crawl spaces. Install a 6-mil vapor barrier in contact with 100% of the floor of the crawl space (the ground), overlapping seams and piers at least 6 inches.
- Duct Sealing** (if applicable to building type)  
In buildings with ducted forced-air heating and cooling systems, seal all penetrations of the air distribution system to reduce leakage in order to meet or exceed ENERGY STAR for Homes' duct leakage standard.
- Air Barrier System**  
Ensure continuous unbroken air barrier surrounding all conditioned space and dwelling units. Align insulation completely and continuously with the air barrier.
- Radiant Barriers: Roofing**  
When replacing or making a substantial repair to the roof, use radiant barrier sheathing or other radiant barrier material; if economically feasible, also use cool roofing materials.

- Windows**  
When replacing windows, install geographically appropriate ENERGY STAR rated windows.
- Sizing of Heating and Cooling Equipment**  
When replacing, size heating and cooling equipment in accordance with the Air Conditioning Contractors of America (ACCA) Manuals, Parts J and S, or 2012 ASHRAE Handbook--HVAC Systems and Equipment or most recent edition.
- Domestic Hot Water Systems**  
When replacing domestic water heating system(s), ensure the system(s) meet or exceed the efficiency requirements of ENERGY STAR for Homes' Reference Design. Insulate pipes by at least R-4.
- Efficient Lighting: Interior Units**  
Follow the guidance appropriate for the project type: install the ENERGY STAR Advanced Lighting Package (ALP); **OR** follow the ENERGY STAR MFHR program guidelines, which require that 80% of installed lighting fixtures within units must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; **OR** when replacing, new fixtures and ceiling fans must meet or exceed ENERGY STAR efficiency levels.
- Efficient Lighting: Common Areas and Emergency Lighting** (if applicable to building type)  
Follow the guidance appropriate for the project type: use ENERGY STAR-labeled fixtures or any equivalent high-performance lighting fixtures and bulbs in all common areas; **OR** when replacing, new common space and emergency lighting fixtures must meet or exceed ENERGY STAR efficiency levels. For emergency lighting, if installing new or replacing, all exist signs shall meet or exceed LED efficiency levels and conform to local building codes.
- Efficient Lighting: Exterior**  
Follow the guidance appropriate for the project type: install ENERGY STAR-qualified fixtures or LEDs with a minimum efficacy of 45 lumens/watt; **OR** follow the ENERGY STAR MFHR program guidelines, which require that 80% of outdoor lighting fixtures must be ENERGY STAR-qualified or have ENERGY STAR-qualified lamps installed; **OR** when replacing, install ENERGY STAR compact fluorescents or LEDs with a minimum efficacy of 45 lumens/watt.

## INDOOR AIR QUALITY

- Air Ventilation: Single Family and Multifamily** (three stories or fewer)  
Install an in-unit ventilation system capable of providing adequate fresh air per ASHRAE 62.2 requirements.
- Air Ventilation: Multifamily** (four stories or more)  
Install apartment ventilation systems that satisfy ASHRAE 62.2 for all dwelling units and common area ventilation systems that satisfy ASHRAE 62.1 requirements. If economically feasible, consider heat/energy recovery for 100% of corridor air supply.
- Composite Wood Products that Emit Low/No Formaldehyde**  
Composite wood products must be certified compliant with California 93120. If using a composite wood product that does not comply with California 93120, all exposed edges and sides must be sealed with low-VOC sealants.

- Environmentally Preferable Flooring**  
When replacing flooring, use environmentally preferable flooring, including the FloorScore certification. Any carpet products used must meet the Carpet and Rug Institute's Green Label or Green Label Plus certification for carpet, pad, and carpet adhesives.
- Low/No VOC Paints and Primers**  
All interior paints and primers must be less than or equal to the following VOC levels: Flats--50 g/L; Non-flats--50 g/L; Floor--100 g/L. [g/L = grams per liter; levels are based on a combination of the Master Painters Institute (MPI) and GreenSeal standards.]
- Low/No VOC Adhesives and Sealants**  
All adhesives must comply with Rule 1168 of the South Coast Air Quality Management District. All caulks and sealants must comply with regulation 8, rule 51, of the Bay Area Air Quality Management District.
- Clothes Dryer Exhaust**  
Vent clothes dryers directly to the outdoors using rigid-type duct work.
- Mold Inspection and Remediation**  
Inspect the interior and exterior of the building for evidence of moisture problems. Document the extent and location of the problems, and implement the proposed repairs according to the Moisture section of the EPA Healthy Indoor Environment Protocols for Home Energy Upgrades.
- Combustion Equipment**  
When installing new space and water-heating equipment, specify power-vented or direct vent combustion equipment.
- Mold Prevention: Water Heaters**  
Provide adequate drainage for water heaters that includes drains or catch pans with drains piped to the exterior of the dwelling.
- Mold Prevention: Surfaces**  
When replacing or repairing bathrooms, kitchens, and laundry rooms, use materials that have durable, cleanable surfaces.
- Mold Prevention: Tub and Shower Enclosures**  
When replacing or repairing tub and/or shower enclosures, use non-paper-faced backing materials such as cement board, fiber cement board, or equivalent in bathrooms.
- Integrated Pest Management**  
Seal all wall, floor, and joint penetrations with low-VOC caulking or other appropriate sealing methods to prevent pest entry. [If applicable, provide training to multifamily buildings staff.]
- Lead-Safe Work Practices**  
For properties built before 1978, if the project will involve disturbing painted surfaces or cleaning up lead contaminated dust or soil, use certified renovation or lead abatement contractors and workers using lead-safe work practices and clearance examinations consistent with the more stringent of EPA's Renovation, Repair, and Painting Rule and HUD's Lead Safe Housing Rule.
- Radon Testing and Mitigation** (if applicable based on building location)

For buildings in EPA Radon Zone 1 or 2, test for radon using the current edition of American Association of Radon Scientists and Technologists (AARST)'s Protocols for Radon Measurement in Homes Standard for Single-Family Housing or Duplexes, or AARST's Protocol for Conducting Radon and Radon Decay Product Measurements in Multifamily Buildings. To install radon mitigation systems in buildings with radon level of 4 pCi/L or more, use ASTM E 2121 for single-family housing or duplexes, or AARST's Radon Mitigation Standards for Multifamily Buildings. For new construction, use AARST's Reducing Radon in New Construction of 1 & 2 Family Dwellings and Townhouses, or ASTM E 1465.

## Section 2: General Conditions for Construction Contracts

Based on HUD form 5370

**Applicability.** This form is applicable to any construction/development contract greater than \$100,000.

This form includes those clauses required by OMB's common rule on grantee procurement, implemented at HUD in 24 CFR 85.36, and those requirements set forth in Section 3 of the Housing and Urban Development Act of 1968 and its amendment by the Housing and Community Development Act of 1992, implemented by HUD at 24 CFR Part 135.

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## 1. Definitions

- (a) "Architect" means the person or other entity engaged by DOH to perform architectural, engineering, design, and other services related to the work as provided for in the contract. When DOH uses an engineer to act in this capacity, the terms "architect" and "engineer" shall be synonymous. The Architect shall serve as a technical representative of the Contracting Officer. The Architect's authority is as set forth elsewhere in this contract.
- (b) "Contract" means the contract entered into between DOH and the Contractor. It includes the forms of Bid, the Bid Bond, the Performance and Payment Bond or Bonds or other assurance of completion, the Certifications, Representations, and Other Statements of Bidders (form HUD-5370), these General Conditions of the Contract for Construction (form HUD-5370), the applicable wage rate determinations from the U.S. Department of Labor (when applicable), any special conditions included elsewhere in the contract, the specifications, and drawings. It includes all formal changes to any of those documents by addendum, change order, or other modification.
- (c) "Contracting Officer" means the person delegated the authority by DOH to enter into, administer, and/or terminate this contract and designated as such in writing to the Contractor. The term includes any successor Contracting Officer and any duly authorized representative of the Contracting Officer also designated in writing. The Contracting Officer shall be deemed the authorized agent of DOH in all dealings with the Contractor.
- (d) "Contractor" means the person or other entity entering into the contract with DOH to perform all of the work required under the contract.
- (e) "Drawings" means the drawings enumerated in the schedule of drawings contained in the Specifications and as described in the contract clause entitled Specifications and Drawings for Construction herein.
- (f) "DOH" means the State Department of Housing including the Commissioner, or any other person designated to act on its behalf.
- (g) "HUD" means the United States of America acting through the Department of Housing and Urban Development including the Secretary, or any other person designated to act on its behalf. HUD has agreed, subject to the provisions of an Annual Contributions Contract (ACC), to provide financial assistance to DOH, which includes assistance in financing the work to be performed under this contract. As defined elsewhere in these General Conditions or the contract documents, the determination of HUD may be required to authorize changes in the work or for release of funds to DOH for payment to the Contractor. Notwithstanding HUD's role, nothing in this contract shall be construed to create any contractual relationship between the Contractor and HUD.
- (h) "Grantee" means the State of Connecticut Department of Housing (DOH).
- (i) "Homeowner" means the owner(s) of the real property for which project is taking place and is a party to the contract.
- (j) "Project" means the entire project, whether construction or rehabilitation, the work for which is provided for in whole or in part under this contract.
- (k) "Specifications" means the written description of the technical requirements for construction and includes the criteria and tests for determining whether the requirements are met.

(l) "Work" means materials, workmanship, and manufacture and fabrication of components.

## 2. Contractor's Responsibility for Work

- (a) The Contractor shall furnish all necessary labor, materials, tools, equipment, and transportation necessary for performance of the work. The Contractor shall also furnish all necessary water, heat, light, and power not made available to the Contractor by the Homeowner pursuant to the clause entitled Access to the Premises Section 5.3 of Homeowner Rehabilitation Agreement herein.
- (b) The Contractor shall perform on the site, and with its own organization, work equivalent to at least 15 percent of the total amount of work to be performed under the order. This percentage may be reduced by a supplemental agreement to this order if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of DOH.
- (c) At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the work site a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.
- (d) The Contractor shall be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence, and shall take proper safety and health precautions to protect the work, the workers, the public, and the property of others. The Contractor shall hold and save DOH, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.
- (e) The Contractor shall lay out the work from base lines and bench marks indicated on the drawings and be responsible for all lines, levels, and measurements of all work executed under the contract. The Contractor shall verify the figures before laying out the work and will be held responsible for any error resulting from its failure to do so.
- (f) The Contractor shall confine all operations (including storage of materials) on Homeowner premises to areas authorized or approved by the Contracting Officer.
- (g) The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. After completing the work and before final inspection, the Contractor shall (1) remove from the premises all scaffolding, equipment, tools, and materials (including rejected materials) that are not the property of the Homeowner and all rubbish caused by its work; (2) leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer; (3) perform all specified tests; and, (4) deliver the installation in complete and operating condition.
- (h) The Contractor's responsibility will terminate when all work has been completed, the final inspection made, and the work accepted by the Contracting Officer. The

Contractor will then be released from further obligation except as required by the warranties specified elsewhere in the contract.

### **3. Architect's Duties, Responsibilities, and Authority**

- (a) The Architect for this contract, and any successor, shall be designated in writing by the Contracting Officer.
- (b) The Architect shall serve as the Contracting Officer's technical representative with respect to architectural, engineering, and design matters related to the work performed under the contract. The Architect may provide direction on contract performance. Such direction shall be within the scope of the contract and may not be of a nature which: (1) institutes additional work outside the scope of the contract; (2) constitutes a change as defined in the Changes clause herein; (3) causes an increase or decrease in the cost of the contract; (4) alters the Construction Progress Schedule; or (5) changes any of the other express terms or conditions of the contract.
- (c) The Architect's duties and responsibilities may include but shall not be limited to:
  - (1) Making periodic visits to the work site, and on the basis of his/her on-site inspections, issuing written reports to DOH which shall include all observed deficiencies. The Architect shall file a copy of the report with the Contractor's designated representative at the site;
  - (2) Making modifications in drawings and technical specifications and assisting the Contracting Officer in the preparation of change orders and other contract modifications for issuance by the Contracting Officer;
  - (3) Reviewing and making recommendations with respect to - (i) the Contractor's construction progress schedules; (ii) the Contractor's shop and detailed drawings; (iii) the machinery, mechanical and other equipment and materials or other articles proposed for use by the Contractor; and, (iv) the Contractor's price breakdown and progress payment estimates; and,
  - (4) Assisting in inspections, signing Certificates of Completion, and making recommendations with respect to acceptance of work completed under the contract.

### **4. Other Contracts**

DOH may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with DOH employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by DOH employees

### **Construction Requirements**

#### **5. Pre-construction Conference and Notice to Proceed**

- (a) Upon scheduling of the contract execution, and prior to the commencement of work, the Contractor shall attend a preconstruction conference with representatives of DOH, its Architect, and other interested parties convened by DOH. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract. DOH or its Architect will provide the Contractor with the date, time, and place of the conference.

- (b) The contractor shall begin work upon receipt of a written Notice to Proceed from the Contracting Officer or designee. The Contractor shall not begin work prior to receiving such notice. Such notice shall not be prior to the homeowners three (3) day Notice of Cancellation period.

### **6. Site Investigation and Conditions Affecting the Work**

- (a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to, (1) conditions bearing upon transportation, disposal, handling, and storage of materials; (2) the availability of labor, water, electric power, and roads; (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site; (4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by DOH, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to DOH.
- (b) DOH assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by DOH. Nor does DOH assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in the contract.

### **7. Differing Site Conditions**

- (a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or (2) unknown physical conditions at the site(s), of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.
- (b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. Work shall not proceed at the affected site, except at the Contractor's risk, until the Contracting Officer has provided written instructions to the Contractor. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, the Contractor shall file a claim in writing to DOH within ten days after receipt of such instructions and, in any event, before proceeding with the work. An equitable adjustment in the contract price, the delivery schedule, or both shall be made under this clause and the

contract modified in writing accordingly.

- (c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.
- (d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

## 8. Specifications and Drawings for Construction

- (a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.
- (b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by", or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.
- (c) Where "as shown", "as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place" that is "furnished and installed".
- (d) "Shop drawings" means drawings, submitted to DOH by the Contractor, subcontractor, or any lower tier subcontractor, showing in detail (1) the proposed fabrication and assembly of structural elements and (2) the installation (i.e., form, fit, and attachment details) of materials of equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the Contractor to explain in detail specific portions of the work required by the contract. DOH may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

- (e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with other contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate DOH's reasons therefore. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.
- (f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Architect approves any such variation and the Contracting Officer concurs, the Contracting Officer shall issue an appropriate modification to the contract, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.
- (g) It shall be the responsibility of the Contractor to make timely requests of DOH for such large scale and full size drawings, color schemes, and other additional information, not already in his possession, which shall be required in the planning and production of the work. Such requests may be submitted as the need arises, but each such request shall be filed in ample time to permit appropriate action to be taken by all parties involved so as to avoid delay.
- (h) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by DOH and one set will be returned to the Contractor. As required by the Contracting Officer, the Contractor, upon completing the work under this contract, shall furnish a complete set of all shop drawings as finally approved. These drawings shall show all changes and revisions made up to the time the work is completed and accepted.
- (i) This clause shall be included in all subcontracts at any tier. It shall be the responsibility of the Contractor to ensure that all shop drawings prepared by subcontractors are submitted to the Contracting Officer.

## 9. Material and Workmanship

- (a) All equipment, material, and articles furnished under this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the contract to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of, and as approved by the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.
- (b) Approval of equipment and materials.

(1) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(2) When required by the specifications or the Contracting Officer, the Contractor shall submit appropriately marked samples (and certificates related to them) for approval at the Contractor's expense, with all shipping charges prepaid. The Contractor shall label, or otherwise properly mark on the container, the material or product represented, its place of origin, the name of the producer, the Contractor's name, and the identification of the construction project for which the material or product is intended to be used.

(3) Certificates shall be submitted in triplicate, describing each sample submitted for approval and certifying that the material, equipment or accessory complies with contract requirements. The certificates shall include the name and brand of the product, name of manufacturer, and the location where produced.

(4) Approval of a sample shall not constitute a waiver of DOH right to demand full compliance with contract requirements. Materials, equipment and accessories may be rejected for cause even though samples have been approved.

(5) Wherever materials are required to comply with recognized standards or specifications, such specifications shall be accepted as establishing the technical qualities and testing methods, but shall not govern the number of tests required to be made nor modify other contract requirements. The Contracting Officer may require laboratory test reports on items submitted for approval or may approve materials on the basis of data submitted in certificates with samples. Check tests will be made on materials delivered for use only as frequently as the Contracting Officer determines necessary to insure compliance of materials with the specifications. The Contractor will assume all costs of retesting materials which fail to meet contract requirements and/or testing materials offered in substitution for those found deficient.

(6) After approval, samples will be kept in the Project office until completion of work. They may be built into the work after a substantial quantity of the materials they represent has been built in and accepted.

(c) Requirements concerning lead-based paint. The Contractor shall comply with the requirements concerning lead-based paint contained in the Lead-Based Paint Poisoning Prevention Act (42 U.S.C.

4821-4846) as implemented by 24 CFR Part 35, HUD's Lead Safe Housing Rule and EPA's Repair Renovation, and Painting Rule at 40 CFR.80 Subpart E.

## 10. Permits and Codes

The Contractor shall give all notices and comply with all applicable laws, ordinances, codes, rules and regulations. Notwithstanding the requirement of the Contractor to comply with the drawings and specifications in the contract, all work installed shall comply with all applicable codes and regulations as amended by any waivers. Before installing the work, the Contractor shall examine the drawings and the specifications for compliance with applicable codes and regulations bearing on the work and shall immediately report any discrepancy it may discover to the Contracting Officer. Where the requirements of the drawings and specifications fail to comply with the applicable code or regulation, the Contracting Officer shall modify the contract by change order pursuant to the clause entitled Changes herein to conform to the code or regulation.

(a) The Contractor shall secure and pay for all permits, fees, and licenses necessary for the proper execution and completion of the work. Where DOH can arrange for the issuance of all or part of these permits, fees and licenses, without cost to the Contractor, the contract amount shall be reduced accordingly.

## 11. Health, Safety, and Accident Prevention

(a) In performing this contract, the Contractor shall:

(1) Ensure that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his/her health and/or safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation;

(2) Protect the lives, health, and safety of other persons;

(3) Prevent damage to property, materials, supplies, and equipment; and,

(4) Avoid work interruptions.

(b) For these purposes, the Contractor shall:

(1) Comply with regulations and standards issued by the Secretary of Labor at 29 CFR Part 1926. Failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act (Public Law 91-54, 83 Stat. 96), 40 U.S.C. 3701 et seq.; and

(2) Include the terms of this clause in every subcontract so that such terms will be binding on each subcontractor.

(c) The Contractor shall maintain an accurate record of exposure data on all accidents incident to work performed under this contract resulting in death, traumatic injury, occupational disease, or damage to property, materials, supplies, or equipment, and shall report this data in the manner prescribed by 29 CFR Part 1904.

- (d) The Contracting Officer shall notify the Contractor of any noncompliance with these requirements and of the corrective action required. This notice, when delivered to the Contractor or the Contractor's representative at the site of the work, shall be deemed sufficient notice of the noncompliance and corrective action required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to take corrective action promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not base any claim or request for equitable adjustment for additional time or money on any stop order issued under these circumstances.
- (e) The Contractor shall be responsible for its subcontractors' compliance with the provisions of this clause. The Contractor shall take such action with respect to any subcontract as DOH, the Secretary of Housing and Urban Development, or the Secretary of Labor shall direct as a means of enforcing such provisions.

**12. Temporary Heating**

The Contractor shall provide and pay for temporary heating, covering, and enclosures necessary to properly protect all work and materials against damage by dampness and cold, to dry out the work, and to facilitate the completion of the work. Any permanent heating equipment used shall be turned over to the Homeowner in the condition and at the time required by the specifications.

**13. Availability and Use of Utility Services**

- (a) The Homeowner shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. The Contractor shall carefully conserve any utilities furnished without charge.
- (b) The Contractor, at its expense and in a manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines. Before final acceptance of the work by DOH, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

**14. Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements**

- (a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed under this contract, and which do not unreasonably interfere with the work required under this contract.
- (b) The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during performance of this contract, or by the careless

- operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.
- (c) The Contractor shall protect from damage all existing improvements and utilities (1) at or near the work site and (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. Prior to disturbing the ground at the construction site, the Contractor shall ensure that all underground utility lines are clearly marked.
- (d) The Contractor shall shore up, brace, underpin, secure, and protect as necessary all foundations and other parts of existing structures adjacent to, adjoining, and in the vicinity of the site, which may be affected by the excavations or other operations connected with the construction of the project.
- (e) Any equipment temporarily removed as a result of work under this contract shall be protected, cleaned, and replaced in the same condition as at the time of award of this contract.
- (f) New work which connects to existing work shall correspond in all respects with that to which it connects and/or be similar to existing work unless otherwise required by the specifications.
- (g) No structural members shall be altered or in any way weakened without the written authorization of the Contracting Officer, unless such work is clearly specified in the plans or specifications.
- (h) If the removal of the existing work exposes discolored or unfinished surfaces, or work out of alignment, such surfaces shall be refinished, or the material replaced as necessary to make the continuous work uniform and harmonious. This, however, shall not be construed to require the refinishing or reconstruction of dissimilar finishes previously exposed, or finished surfaces in good condition, but in different planes or on different levels when brought together by the removal of intervening work, unless such refinishing or reconstruction is specified in the plans or specifications.
- (i) The Contractor shall give all required notices to any adjoining or adjacent property owner or other party before the commencement of any work.
- (j) The Contractor shall indemnify and save harmless DOH from any damages on account of settlement or the loss of lateral support of adjoining property, any damages from changes in topography affecting drainage, and from all loss or expense and all damages for which DOH may become liable in consequence of such injury or damage to adjoining and adjacent structures and their premises.
- (k) The Contractor shall repair any damage to vegetation, structures, equipment, utilities, or improvements, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

**15. Temporary Buildings and Transportation of Materials**

- (a) Temporary buildings (e.g., storage sheds, shops, offices, sanitary facilities) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to DOH. The

temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

- (b) The Contractor shall, as directed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any federal, state, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

## 16. Clean Air and Water

The contractor shall comply with the Clean Air Act, as amended, 42 USC 7401 et seq., the Federal Water Pollution Control Water Act, as amended, 33 U.S.C. 1251 et seq., and standards issued pursuant thereto in the facilities in which this contract is to be performed.

## 17. Energy Efficiency

The Contractor shall comply with mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under the contract is performed.

## 18. Green Building Standards

DOH will require that all replacement of residential properties, including reconstruction and new construction of substantially damaged properties meet the Enterprise Green Communities Standard.

For those buildings that are non-substantially damaged, DOH will require that they be rehabilitated following the HUD CPD Green Buildings Retrofit Checklist. The requirement for rehabilitation is that to the extent possible strive to meet the checklist standard where there are Energy Star, Water Sense and Federal Management Program-designed products available.

DOH strongly encourages the use of green infrastructure techniques to mitigate against storm water run-off and flooding and incorporate EPA's Green Infrastructure resources to the extent feasible.

## 19. Inspection and Acceptance of Construction

(a) Definitions. As used in this clause -

- (1) "Acceptance" means the act of an authorized representative of DOH by which DOH approves of the work performed under this contract. Acceptance may be partial or complete.

"Inspection" means examining and testing the work performed under the contract (including, when appropriate, raw materials, equipment, components, and intermediate assemblies) to determine whether it conforms to contract requirements.

- (1) "Testing" means that element of inspection that determines the properties or elements, including functional operation of materials, equipment, or their components, by the application of established scientific principles and procedures.
- (b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. All work is subject to DOH inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.
- (c) DOH inspections and tests are for the sole benefit of DOH and do not: (1) relieve the Contractor of responsibility for providing adequate quality control measures; (2) relieve the Contractor of responsibility for loss or damage of the material before acceptance; (3) constitute or imply acceptance; or, (4) affect the continuing rights of DOH after acceptance of the completed work under paragraph (j) below.
- (d) The presence or absence of DOH inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specifications without the Contracting Officer's written authorization. All instructions and approvals with respect to the work shall be given to the Contractor by the Contracting Officer.
- (e) The Contractor shall promptly furnish, without additional charge, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. DOH may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. DOH shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.
- (f) DOH may conduct routine inspections of the construction site on a daily basis.
- (g) The Contractor shall, without charge, replace or correct work found by DOH not to conform to contract requirements, unless DOH decides that it is in its interest to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.
- (h) If the Contractor does not promptly replace or correct rejected work, DOH may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor, or (2) terminate for default the Contractor's right to proceed.
- (i) If any work requiring inspection is covered up without approval of DOH, it must, if requested by the Contracting Officer, be uncovered at the expense of the Contractor. If at any time before final acceptance of the entire work, DOH considers it necessary or advisable, to examine work already completed by removing or tearing it out, the Contractor, shall on request, promptly furnish all necessary facilities, labor, and material. If such work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its

subcontractors, the Contractor shall defray all the expenses of the examination and of satisfactory reconstruction. If, however, such work is found to meet the requirements of the contract, the Contracting Officer shall make an equitable adjustment to cover the cost of the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

- (j) The Contractor shall notify the Contracting Officer, in writing, as to the date when in its opinion all or a designated portion of the work will be substantially completed and ready for inspection. If the Architect determines that the state of preparedness is as represented, DOH will promptly arrange for the inspection. Unless otherwise specified in the contract, DOH shall accept, as soon as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines and designates can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or DOH's right under any warranty or guarantee.

## 20. Use and Possession Prior to Completion

- (a) If applicable, the Homeowner may have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Homeowner intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Homeowner's possession or use shall not be deemed an acceptance of any work under the contract.
- (b) While the Homeowner has such possession or use, the Contractor shall be relieved of the responsibility for (1) the loss of or damage to the work resulting from the Homeowner's possession or use, notwithstanding the terms of the clause entitled Permits and Codes herein; (2) all maintenance costs on the areas occupied; and, (3) furnishing heat, light, power, and water used in the areas occupied without proper remuneration therefore. If prior possession or use by the Homeowner delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

## 21. Warranty of Title

The Contractor warrants good title to all materials, supplies, and equipment incorporated in the work and agrees to deliver the premises together with all improvements thereon free from any claims, liens or charges, and agrees further that neither it nor any other person, firm or corporation shall have any right to a lien upon the premises or anything appurtenant thereto.

## 22. Warranty of Construction

- (a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (j) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or workmanship performed by the Contractor or any subcontractor or supplier at any tier. This warranty shall continue for a period of **one year** from the date of final acceptance of the work. If the Homeowner takes possession of any part of the work before final acceptance, this warranty shall continue for a period of (one year unless otherwise indicated) from the date that the Homeowner takes possession.
- (b) The Contractor shall remedy, at the Contractor's expense, any failure to conform, or any defect. In addition, the Contractor shall remedy, at the Contractor's expense, any damage to Homeowner-owned or controlled real or personal property when the damage is the result of—
- (1) The Contractor's failure to conform to contract requirements; or
  - (2) Any defects of equipment, material, workmanship or design furnished by the Contractor.
- (c) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for (one year unless otherwise indicated) from the date of repair or replacement.
- (d) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect or damage.
- (e) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, DOH shall have the right to replace, repair or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (f) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall:
- (1) Obtain all warranties that would be given in normal commercial practice;
  - (2) Require all warranties to be executed in writing, for the benefit of the homeowner; and,
  - (3) Enforce all warranties for the benefit of the homeowner.
- (g) In the event the Contractor's warranty under paragraph(a) of this clause has expired, the homeowner may bring suit at its own expense to enforce a subcontractor's, manufacturer's or supplier's warranty.
- (h) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defect of material or design furnished by the homeowner nor for the repair of any damage that results from any defect in DOH furnished material or design.
- (i) Notwithstanding any provisions herein to the contrary, the establishment of the time periods in paragraphs (a) and (c) above relate only to the specific obligation of the Contractor to correct the work, and have no relationship to the time within which its obligation to comply with the contract may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to its obligation other than specifically to correct the work.
- (j) This warranty shall not limit DOH's/Homeowner's rights under the Inspection and Acceptance of Construction clause of this contract with respect to latent defects, gross mistakes or fraud.

## Administrative Requirements

### 23. Contract Period

The Contractor shall complete all work required under this contract within \_\_\_\_\_ calendar days of the effective date of the contract, or within the time schedule established in the notice to proceed issued by the Contracting Officer.

### 24. Order of Provisions

In the event of a conflict between these General Conditions and the Specifications, the General Conditions shall prevail. In the event of a conflict between the contract and any applicable state or local law or regulation, the state or local law or regulation shall prevail; provided that such state or local law or regulation does not conflict with, or is less restrictive than applicable federal law, regulation, or Executive Order. In the event of such a conflict, applicable federal law, regulation, and Executive Order shall prevail.

### 25. Payments

- (a) DOH/Homeowner shall pay the Contractor the price as provided in this contract.
- (b) DOH shall make progress payments approximately every 30 days as the work proceeds, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer. DOH may, subject to written determination and approval of the Contracting Officer, make more frequent payments to contractors which are qualified small businesses.
- (c) Before the first progress payment under this contract, the Contractor shall furnish, in such detail as requested by the Contracting Officer, a breakdown of the total contract price showing the amount included therein for each principal category of the work, which shall substantiate the payment amount requested in order to provide a basis for determining progress payments. The breakdown shall be approved by the Contracting Officer and must be acceptable to DOH. The values and quantities employed in making up this breakdown are for determining the amount of progress payments and shall not be construed as a basis for additions to or deductions from the contract price. The Contractor shall prorate its overhead and profit over the construction period of the contract.
- (d) The Contractor shall submit, on AIA forms provided by DOH, periodic estimates showing the value of the work performed during each period based upon the approved breakdown of the contract price. Such estimates shall be submitted not later than 14 days in advance of the date set for payment and are subject to correction and revision as required. The estimates must be approved by the Contracting Officer with the concurrence of the Architect prior to payment. If the contract covers more than one project, the Contractor shall furnish a separate progress payment estimate for each.
- (e) Along with each request for progress payments and the required estimates, the Contractor shall furnish lien waivers and labor releases as good and

sufficient evidence that the premises are free from all liens, damages, and anything chargeable to said contractor.

- (f) Except as otherwise provided in State law, DOH shall retain five (5) percent of the amount of progress payments until completion and acceptance of all work under the contract; except, that if upon completion of 50 percent of the work, the Contracting Officer, after consulting with the Architect, determines that the Contractor's performance and progress are satisfactory, DOH may make the remaining payments in full for the work subsequently completed. If the Contracting Officer subsequently determines that the Contractor's performance and progress are unsatisfactory, DOH shall reinstate the five (5) percent retainage until such time as the Contracting Officer determines that performance and progress are satisfactory. Retainage will be released 90 days after project completion.
- (g) The Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration when computing progress payments. Material delivered to the Contractor at locations other than the site may also be taken into consideration if the Contractor furnishes satisfactory evidence that (1) it has acquired title to such material; (2) the material is properly stored in a bonded warehouse, storage yard, or similar suitable place as may be approved by the Contracting Officer; (3) the material is insured to cover its full value; and (4) the material will be used to perform this contract. Before any progress payment which includes delivered material is made, the Contractor shall furnish such documentation as the Contracting Officer may require to assure the protection of DOH's/Homeowner's interest in such materials. The Contractor shall remain responsible for such stored material notwithstanding the transfer of title to the Homeowner.
- (h) All material and work covered by progress payments made shall, at the time of payment become the sole property of the Homeowner, but this shall not be construed as (1) relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or, (2) waiving the right of DOH/Homeowner to require the fulfillment of all of the terms of the contract. In the event the work of the Contractor has been damaged by other contractors or persons other than employees of DOH in the course of their employment, the Contractor shall restore such damaged work without cost to DOH/Homeowner and to seek redress for its damage only from those who directly caused it.
- (i) DOH shall make the final payment due the Contractor under this contract after (1) completion and final acceptance of all work; and (2) presentation of release of all claims against DOH/Homeowner arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. Each such exception shall embrace no more than one claim, the basis and scope of which shall be clearly defined. The amounts for such excepted claims shall not be included in the request for final payment. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned.
- (j) Prior to making any payment, the Contracting Officer may require the Contractor to furnish receipts or other evidence of payment from all persons performing work and

supplying material to the Contractor, if the Contracting Officer determines such evidence is necessary to substantiate claimed costs.

- (k) DOH shall not; (1) determine or adjust any claims for payment or disputes arising there under between the Contractor and its subcontractors or material suppliers; or, (2) withhold any moneys for the protection of the subcontractors or material suppliers. The failure or refusal of DOH to withhold moneys from the Contractor shall in no wise impair the obligations of any surety or sureties under any bonds furnished under this contract.

## 26. Contract Modifications

- (a) Only the Contracting Officer has authority to modify any term or condition of this contract. Any contract modification shall be authorized in writing.
- (b) The Contracting Officer may modify the contract unilaterally (1) pursuant to a specific authorization stated in a contract clause (e.g., Changes); or (2) for administrative matters which do not change the rights or responsibilities of the parties (e.g., change in DOH/homeowner's address). All other contract modifications shall be in the form of supplemental agreements signed by the Contractor and the Contracting Officer.
- (c) When a proposed modification requires the approval of DOH prior to its issuance (e.g., a change order that exceeds DOH's approved threshold), such modification shall not be effective until the required approval is received by DOH.

## 27. Changes

- (a) The Contracting Officer may, at any time, without notice to the sureties, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract including changes:
- (1) In the specifications (including drawings and designs);
  - (2) In the method or manner of performance of the work;
  - (3) Directing the acceleration in the performance of the work.
- (b) Any other written order or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating (1) the date, circumstances and source of the order and (2) that the Contractor regards the order as a change order.
- (c) Except as provided in this clause, no order, statement or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.
- (d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for a adjustment based on

defective specifications, no proposal for any change under paragraph (b) above shall be allowed for any costs incurred more than 20 days (5 days for oral orders) before the Contractor gives written notice as required. In the case of defective specifications for which DOH is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

- (e) The Contractor must assert its right to an adjustment under this clause within 30 days after (1) receipt of a written change order under paragraph (a) of this clause, or (2) the furnishing of a written notice under paragraph(b) of this clause, by submitting a written statement describing the general nature and the amount of the proposal. If the facts justify it, the Contracting Officer may extend the period for submission. The proposal may be included in the notice required under paragraph (b) above. No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.
- (f) The Contractor's written proposal for equitable adjustment shall be submitted in the form of a lump sum proposal supported with an itemized breakdown of all increases and decreases in the contract in at least the following details:
- (1) Direct Costs. Materials (list individual items, the quantity and unit cost of each, and the aggregate cost); Transportation and delivery costs associated with materials; Labor breakdowns by hours or unit costs (identified with specific work to be performed); Construction equipment exclusively necessary for the change; Costs of preparation and/ or revision to shop drawings resulting from the change; Worker's Compensation and Public Liability Insurance; Employment taxes under FICA and FUTA; and, Bond Costs when size of change warrants revision.
  - (2) Indirect Costs. Indirect costs may include overhead, general and administrative expenses, and fringe benefits not normally treated as direct costs.
  - (3) Profit. The amount of profit shall be negotiated and may vary according to the nature, extent, and complexity of the work required by the change.

The allowability of the direct and indirect costs shall be determined in accordance with the Contract Cost Principles and Procedures for Commercial Firms in Part 31 of the Federal Acquisition Regulation (48 CFR 1-31), as implemented by HUD Handbook 2210.18, in effect on the date of this contract. The Contractor shall not be allowed a profit on the profit received by any subcontractor. Equitable adjustments for deleted work shall include a credit for profit and may include a credit for indirect costs. On proposals covering both increases and decreases in the amount of the contract, the application of indirect costs and profit shall be on the net- change in direct costs for the Contractor or subcontractor performing the work

- (g) The Contractor shall include in the proposal its request for time extension (if any), and shall include sufficient information and dates to demonstrate whether and to what extent the change will delay the completion of the contract in its entirety.
- (h) The Contracting Officer shall act on proposals within 30 days after their receipt, or notify the Contractor of the date when such action will be taken.
- (i) Failure to reach an agreement on any proposal shall be a dispute under the clause entitled Disputes herein. Nothing in this clause, however, shall excuse the Contractor from proceeding with the contract as changed.
- (j) Except in an emergency endangering life or property, no

change shall be made by the Contractor without a prior order from the Contracting Officer.

## 28. Suspension of Work

- (a) The Contracting Officer may order the Contractor in writing to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of DOH/Homeowner.

If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified (or within a reasonable time if not specified) in this contract an adjustment may be made for any increase in the cost of performance of the contract (excluding profit) necessarily caused by such unreasonable suspension, delay, or interruption and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor or for which any equitable adjustment is provided for or excluded under any other provision of this contract.

- (b) A claim under this clause shall not be allowed without prior written approval of the Contracting Officer.

## 29. Disputes

- (a) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to the contract. A claim arising under the contract, unlike a claim relating to the contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim. The submission may be converted to a claim by complying with the requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (b) Except for disputes arising under the clauses entitled Labor Standards - Davis Bacon and Related Acts, herein, all disputes arising under or relating to this contract, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.
- (c) All claims by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision.
- (d) A claim by the Homeowner against the Contractor shall be subject to a written decision by the Contracting Officer.
- (e) The Contracting Officer shall, within 60 (unless otherwise indicated) days after receipt of the request, decide the claim or notify the Contractor of the date by which the decision will be made.
- (f) The Contracting Officer's decision shall be final unless

the Contractor (1) appeals in writing to a higher level in DOH in accordance with DOH's policy and procedures, (2) refers the appeal to an independent mediator or arbitrator, or (3) files suit in a court of competent jurisdiction. Such appeal must be made within (30 unless otherwise indicated) days after receipt of the Contracting Officer's decision.

- (g) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under or relating to the contract, and comply with any decision of the Contracting Officer.

## 30. Default

- (a) If the Contractor refuses or fails to prosecute the work, or any separable part thereof, with the diligence that will insure its completion within the time specified in this contract, or any extension thereof, or fails to complete said work within this time, the Contracting Officer may, by written notice to the Contractor, terminate the right to proceed with the work (or separable part of the work) that has been delayed. In this event, DOH may take over the work and complete it, by contract or otherwise, and may take possession of and use any materials, equipment, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to DOH/Homeowner resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by DOH/Homeowner in completing the work.
- (b) The Contractor's right to proceed shall not be terminated or the Contractor charged with damages under this clause if—
- (1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include (i) acts of God, or of the public enemy, (ii) acts of DOH or other governmental entity in either its sovereign or contractual capacity, (iii) acts of another contractor in the performance of a contract with DOH, (iv) fires, (v) floods, (vi) epidemics, (vii) quarantine restrictions, (viii) strikes, (ix) freight embargoes, (x) unusually severe weather, or (xi) delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and
- (2) The Contractor, within days (5 days unless otherwise indicated) from the beginning of such delay (unless extended by the Contracting Officer) notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of the delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, time for completing the work shall be extended by written modification to the contract. The findings of the Contracting Officer shall be reduced to a written decision which shall be subject to the provisions of the Disputes clause of this contract.

(b) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been for convenience of DOH.

### 31. Liquidated Damages

(a) If the Contractor fails to complete the work within the time specified in the contract, or any extension, as specified in the clause entitled Default of this contract, the Contractor may pay to DOH as liquidated damages, the sum of \$100.00 for each day of delay. If different completion dates are specified in the contract for separate parts or stages of the work, the amount of liquidated damages shall be assessed on those parts or stages which are delayed. To the extent that the Contractor's delay or nonperformance is excused under another clause in this contract, liquidated damages shall not be due DOH. The Contractor remains liable for damages caused other than by delay.

(b) If DOH terminates the Contractor's right to proceed, the resulting damage will consist of liquidated damages until such reasonable time as may be required for final completion of the work together with any increased costs occasioned DOH in completing the work.

(c) If DOH does not terminate the Contractor's right to proceed, the resulting damage will consist of liquidated damages until the work is completed or accepted.

### 32. Termination for Convenience

(a) The Contracting Officer may terminate this contract in whole, or in part, whenever the Contracting Officer determines that such termination is in the best interest of DOH/Homeowner. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which the performance of the work under the contract is terminated, and the date upon which such termination becomes effective.

(b) If the performance of the work is terminated, either in whole or in part, DOH/Homeowner shall be liable to the Contractor for reasonable and proper costs resulting from such termination upon the receipt by DOH of a properly presented claim setting out in detail: (1) the total cost of the work performed to date of termination less the total amount of contract payments made to the Contractor; (2) the cost (including reasonable profit) of settling and paying claims under subcontracts and material orders for work performed and materials and supplies delivered to the site, payment for which has not been made by DOH to the Contractor or by the Contractor to the subcontractor or supplier; (3) the cost of preserving and protecting the work already performed until DOH or assignee takes possession thereof or assumes responsibility therefore; (4) the actual or estimated cost of legal and accounting services reasonably necessary to prepare and present the termination claim to DOH/Homeowner; and (5) an amount constituting a reasonable profit on the value of the work performed by the Contractor.

(c) The Contracting Officer will act on the Contractor's claim within days (60 days unless otherwise indicated) of receipt of the Contractor's claim.

(d) Any disputes with regard to this clause are expressly made subject to the provisions of the Disputes clause of this contract.

### 33. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from DOH/Homeowner under the contract may be assigned to a bank, trust company, or other financial institution. Such assignments of claims shall only be made with the written concurrence of the Contracting Officer. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership as approved by the Contracting Officer.

### 34. Insurance

(a) Before commencing work, the Contractor and each subcontractor shall furnish DOH with certificates of insurance listing DOH and the Homeowner as additionally insured A.T.I.M.A. showing the following insurance is in force and will insure all operations under the Contract:

(1) Workers' Compensation, in accordance with state or Territorial Workers' Compensation laws.

(2) Commercial General Liability with a combined single limit for bodily injury and property damage of not less than \$1,000,000 per occurrence to protect the Contractor and each subcontractor against claims for bodily injury or death and damage to the property of others. This shall cover the use of all equipment, hoists, and vehicles on the site(s) not covered by Automobile Liability under (3) below. If the Contractor has a "claims-made" policy, then the following additional requirements apply: the policy must provide a "retroactive date" which must be on or before the execution date of the Contract; and the extended reporting period may not be less than five years following the completion date of the Contract.

(3) Automobile Liability on owned and non-owned motor vehicles used on the site(s) or in connection therewith for a combined single limit for bodily injury and property damage of not less than \$1,000,000 per occurrence.

(4) Cargo Insurance in the amount of \$250,000 is required when the project involves raising the structure above the Base Flood Elevation.

(b) Before commencing work, the Contractor shall furnish DOH with a certificate of insurance evidencing that Builder's Risk (fire and extended coverage) Insurance on all work in place and/or materials stored at the building site(s), including foundations and building equipment, is in force. The Builder's Risk Insurance shall be for the benefit of the Contractor, the Homeowner and DOH as their interests may appear and each shall be named in the policy or policies as an insured. The Contractor in installing equipment supplied by DOH shall carry insurance on such equipment from the time the Contractor takes possession thereof until the Contract work is

accepted by DOH. The Builder's Risk Insurance need not be carried on excavations, piers, footings, or foundations until such time as work on the superstructure is started. It need not be carried on landscape work. Policies shall furnish coverage at all times for the full cash value of all completed construction, as well as materials in place and/or stored at the site(s), whether or not partial payment has been made by DOH. The Contractor may terminate this insurance on buildings as of the date taken over for occupancy by the Homeowner. The Contractor is not required to carry Builder's Risk Insurance for modernization work which does not involve structural alterations or additions and where the Homeowner's existing fire and extended coverage policy can be endorsed to include such work.

- (c) All insurance shall be carried with companies which are financially responsible and admitted to do business in the State in which the project is located with a minimum Best rating of A-. If any such insurance is due to expire during the construction period, the Contractor (including subcontractors, as applicable) shall not permit the coverage to lapse and shall furnish evidence of coverage to the Contracting Officer. All certificates of insurance, as evidence of coverage, shall provide that no coverage may be canceled or non-renewed by the insurance company until at least 30 days prior written notice has been given to the Contracting Officer.

### **35. Subcontracts**

- (a) Definitions. As used in this contract -
  - (1) "Subcontract" means any contract, purchase order, or other purchase agreement, including modifications and change orders to the foregoing, entered into by a subcontractor to furnish supplies, materials, equipment, and services for the performance of the prime contract or a subcontract.
  - (2) "Subcontractor" means any supplier, vendor, or firm that furnishes supplies, materials, equipment, or services to or for the Contractor or another subcontractor.
- (b) The Contractor shall not enter into any subcontract with any subcontractor who has been temporarily denied participation in a HUD program or who has been suspended or debarred from participating in contracting programs by any agency of the United States Government or of the state in which the work under this contract is to be performed.
- (c) The Contractor shall be as fully responsible for the acts or omissions of its subcontractors, and of persons either directly or indirectly employed by them as for the acts or omissions of persons directly employed by the Contractor.
- (d) The Contractor shall insert appropriate clauses in all subcontracts to bind subcontractors to the terms and conditions of this contract insofar as they are applicable to the work of subcontractors.
- (e) Nothing contained in this contract shall create any contractual relationship between any subcontractor and DOH or between the subcontractor and HUD.

### **36. Subcontracting with Small and Minority Firms, Women's Business Enterprise, and Labor Surplus Area Firms**

The Contractor shall take the following steps to ensure that, whenever possible, subcontracts are awarded to small business firms, minority firms, women's business enterprises, and labor surplus area firms:

- (a) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- (b) Ensuring that small and minority businesses and women's business enterprises are solicited whenever they are potential sources;
- (c) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses and women's business enterprises;
- (d) Establishing delivery schedules, where the requirements of the contract permit, which encourage participation by small and minority businesses and women's business enterprises; and
- (e) Using the services and assistance of the U.S. Small Business Administration, the Minority Business Development Agency of the U.S. Department of Commerce, and State and local governmental small business agencies.

### **37. Equal Employment Opportunity**

During the performance of this contract, the Contractor agrees as follows:

- (a) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, or handicap.
- (b) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, national origin, or handicap. Such action shall include, but not be limited to, (1) employment, (2) upgrading, (3) demotion, (4) transfer, (5) recruitment or recruitment advertising, (6) layoff or termination, (7) rates of pay or other forms of compensation, and (8) selection for training, including apprenticeship.
- (c) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (d) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, or handicap.
- (e) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (f) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (g) The Contractor shall furnish all information and reports required by Executive Order 11246, as amended, Section 503 of the Rehabilitation Act of 1973, as amended, and by rules, regulations, and orders of the Secretary of Labor, or

pursuant thereto. The Contractor shall permit access to its books, records, and accounts by the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

- (h) In the event of a determination that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part, and the Contractor may be declared ineligible for further Government contracts, or Federally assisted construction contracts under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended, the rules, regulations, and orders of the Secretary of Labor, or as otherwise provided by law.
- (i) The Contractor shall include the terms and conditions of this clause in every subcontract or purchase order unless exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor. The Contractor shall take such action with respect to any subcontract or purchase order as the Secretary of Housing and Urban Development or the Secretary of Labor may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.
- (j) Compliance with the requirements of this clause shall be to the maximum extent consistent with, but not in derogation of, compliance with section 7(b) of the Indian Self-Determination and Education Assistance Act and the Indian Preference clause of this contract.

### **38. Employment, Training, and Contracting Opportunities for Low-Income Persons, Section 3 of the Housing and Urban Development Act of 1968.**

- (a) The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for

each of the positions; and the anticipated date the work shall begin.

- (d) The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- (g) With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

### **39. Interest of Members of Congress**

No member of or delegate to the Congress of the United States of America shall be admitted to any share or part of this contract or to any benefit that may arise therefrom.

### **40. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees**

No member, officer, or employee of DOH, no member of the governing body of the locality in which the project is situated, no member of the governing body of the locality in which DOH was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

### **41. Limitations on Payments made to Influence Certain Federal Financial Transactions**

- (a) The Contractor agrees to comply with Section 1352 of Title 31, United States Code which prohibits the use of Federal appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the

entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

- (b) The Contractor further agrees to comply with the requirement of the Act to furnish a disclosure (OMB Standard Form LLL, Disclosure of Lobbying Activities) if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

#### 42. Royalties and Patents

The Contractor shall pay all royalties and license fees. It shall defend all suits or claims for infringement of any patent rights and shall save DOH/Homeowner harmless from loss on account thereof; except that DOH shall be responsible for all such loss when a particular design, process or the product of a particular manufacturer or manufacturers is specified and the Contractor has no reason to believe that the specified design, process, or product is an infringement. If, however, the Contractor has reason to believe that any design, process or product specified is an infringement of a patent, the Contractor shall promptly notify the Contracting Officer. Failure to give such notice shall make the Contractor responsible for resultant loss.

#### 43. Examination and Retention of Contractor's Records

- (a) DOH, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.
- (b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding \$10,000.
- (c) The periods of access and examination in paragraphs (a) and (b) above for records relating to (1) appeals under the Disputes clause of this contract, (2) litigation or settlement of claims arising from the performance of this contract, or (3) costs and expenses of this contract to which DOH, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

#### 44. Labor Standards - Davis-Bacon and Related Acts

Except for housing rehabilitation/reconstruction projects designed to contain fewer than eight (8) units, if the total amount of this contract exceeds \$2,000, the Federal labor standards set forth in the clause below shall apply to the development or construction work to be performed under the contract.

- (a) Minimum Wages.
  - (1) All laborers and mechanics employed under this contract in the development or construction of the project(s) involved will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than

those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof (if applicable), regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the regular weekly period, are deemed to be constructively made or incurred during such weekly period. If applicable, such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers (if applicable).

- (2) (i) Any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met: (A) The work to be performed by the classification requested is not performed by a classification in the wage determination; and (B) The classification is utilized in the area by the construction industry; and (C) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (ii) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employee Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.
- (iii) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its

designee, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.

- (iv) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (a)(2)(ii) or (iii) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in classification.
- (3) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (4) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (b) Withholding of funds. HUD or its designee shall, upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working in the construction or development of the project, all or part of the wages required by the contract, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.
- (c) Payrolls and basic records.
  - (1) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working in the construction or development of the project. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily

and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under 29 CFR 5.5(a)(1)(iv), that the wages of any laborer or mechanic include the amount of costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- (2) (i) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under subparagraph (c)(1) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1214-0149.)
  - (ii) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
    - (A) That the payroll for the payroll period contains the information required to be maintained under paragraph (c) (1) of this clause and that such information is correct and complete;
    - (B) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3; and
    - (C) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
  - (iii) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirements for submission of the "Statement of Compliance" required by subparagraph (c)(2)(ii) of this clause.
  - (iv) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or

criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

- (3) The Contractor or subcontractor shall make the records required under subparagraph (c)(1) available for inspection, copying, or transcription by authorized representatives of HUD or its designee, the Contracting Officer, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- (d) (1) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship and Training, Employer and Labor Services (OATELS), or with a State Apprenticeship Agency recognized by OATELS, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event OATELS, or a State Apprenticeship Agency recognized by OATELS, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (2) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (3) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.
- (e) Compliance with Copeland Act requirements. The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.
- (f) Contract termination; debarment. A breach of this contract clause may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.
- (g) Compliance with Davis-Bacon and related Act requirements. All rulings and interpretations of the Davis-Bacon and related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (h) Disputes concerning labor standards. Disputes arising out of

the labor standards provisions of this clause shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and DOH, HUD, the U.S.

Department of Labor, or the employees or their representatives.

(i) Certification of eligibility.

(1) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(2) No part of this contract shall be subcontracted to any person or firm ineligible for award of a United States Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(3) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.

(j) Contract Work Hours and Safety Standards Act. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

(1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics, including watchmen and guards, shall require or permit any such laborer or mechanic in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in subparagraph (j)(1) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic (including watchmen and guards) employed in violation of the provisions set forth in subparagraph (j)(1) of this clause, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in subparagraph (j)(1) of this clause.

(3) Withholding for unpaid wages and liquidated damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or

subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in subparagraph (j)(2) of this clause.

(k) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this clause, and such other clauses as HUD or its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all these provisions

**45. . Non-Federal Prevailing Wage Rates**

(a) Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under State or tribal law to be prevailing, with respect to any employee in any trade or position employed under the contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate exceeds:

(1) The applicable wage rate determined by the Secretary of Labor pursuant to the Davis-Bacon Act (40 U.S.C. 3141 et seq.) to be prevailing in the locality with respect to such trade;

(b) An applicable apprentice wage rate based thereon specified in an apprenticeship program registered with the U.S. Department of Labor (DOL) or a DOL- recognized State Apprenticeship Agency; or

(c) An applicable trainee wage rate based thereon specified in a DOL-certified trainee program.

**46. Procurement of Recovered Materials.**

(a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contains the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.

(b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was within a Federal agency or a State agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.

**Section 3:** Specifications

## **Attachment I: Flood Contingency Plan**

**Department of Economic and Community Development (DECD)  
State Historic Preservation Office (SHPO)  
and  
Department of Housing (DOH)**

### **Flood Management General Certification for Disaster Recovery Activities**

#### **Flood Contingency Plan Requirements**

Prior to commencement of any construction, the Contractor will submit to the Project Engineer a written Flood Contingency Plan. The Plan will include the following:

- A description of the means by which the Contractor will remove from within the floodplain, all materials, equipment and personnel prior to a predicted major storm. The Contractor is responsible for monitoring local weather conditions and will secure the work site before predicted major storms. A major storm shall be defined as a storm predicted by the N.O.A.A. weather service with warning of flooding, severe thunderstorms or similarly severe weather conditions or effects.
- Provisions for notifying workers engaged in work of an impending storm.
- Provisions for securing work in progress prior to a major storm.
- No buoyant, hazardous, flammable, explosive, soluble, expansive, or any other materials which could be injurious to human, animal or plant life in the event of a flood will be stored below the elevation of the 500-year flood at any time. DECD's and/or DOH's applicant shall be responsible for locating the 500-year floodplain elevation with help of actual ground survey. No storage of construction equipment and/or material will occur within the floodplain unless such equipment or material is not subject to major flood damage, or is anchored, restrained or enclosed to prevent it from floating away or is removed prior to flooding. The material storage areas must be identified on the construction plans.

ELKIND RESIDENCE #1171  
271 RIDGEVIEW AVENUE  
FAIRFIELD, CONNECTICUT

DOCUMENT 00000B – BID BREAKDOWN

1.	General Conditions	\$ _____
2.	Site Work	\$ _____
3.	Masonry Work	\$ _____
5.	Metal and Steel Work	\$ _____
6.	Carpentry	\$ _____
7.	Thermal and Moisture Protection	\$ _____
8.	Allowances	
	A. Plywood Sheathing Replacement	\$ 500.00
	<b>TOTAL BASE BID</b>	<b>\$ _____</b>

END OF DOCUMENT 00000B

ELKIND RESIDENCE #1171  
271 RIDGEVIEW AVENUE  
FAIRFIELD, CONNECTICUT

DOCUMENT 00015 - LIST OF DRAWINGS

CS	COVER SHEET
T1	TITLE SHEET

**ARCHITECTURAL**

R1	REMOVAL PLAN
A1	ROOF PLAN AND DETAILS
A2	EXTERIOR ELEVATIONS

END OF DOCUMENT 00015

ELKIND RESIDENCE #1171  
271 RIDGEVIEW AVENUE  
FAIRFIELD, CONNECTICUT

DOCUMENT 00050 – STATUTORY CHECKLIST AND  
HAZARDOUS BUILDING MATERIALS SURVEY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. The Statutory Checklist and Hazardous Building Materials Survey is included as part of this Project Manual on the following pages.



November 17, 2016

Revised December 28, 2016

**Amaya Architects  
284 Racebrook Rd  
Orange, CT 06477**

Attn: Rafael Amaya

**RE: Hazardous Building Materials Survey for Proposed Renovations  
Location: 271 Ridgeview Avenue Fairfield, CT  
Commission Number: 01.MH6.02**

Dear Mr. Amaya:

In accordance with our proposal, Loureiro Engineering Associates, Inc. (Loureiro) conducted hazardous building material sampling and analysis for: accessible suspect asbestos-containing materials (ACM's), lead-based paint and mold in the dwelling located at 271 Ridgeview Avenue, Fairfield, Connecticut. The purpose of the hazardous building material sampling and analysis was to identify suspect hazardous building materials prior to the proposed renovation of the site structure.

Please refer to Appendixes A, B and C for analytical results and chain of custody forms.

If you have any questions as you review the report, please contact me at 860-410-2945.

Sincerely,

**LOUREIRO ENGINEERING ASSOCIATES, INC.**

A handwritten signature in blue ink, appearing to read "Steven M. Douglas".

Steven M. Douglas  
Project Scientist

A handwritten signature in blue ink, appearing to read "Jamie Roche".

Jamie Roche  
Director, Environmental Services

Enclosures:

- Appendix A Table 1, Asbestos Laboratory Analysis Data
- Appendix B Table 2, Lead X-Ray Fluorescence Data
- Appendix C Table 3, Mold Laboratory Analysis Data
- Appendix D Staff and Laboratory Certifications

**Loureiro Engineering Associates, Inc.**

100 Northwest Drive • Plainville, CT 06062 • 860.747.6181 • Fax 860.747.8822 • [www.Loureiro.com](http://www.Loureiro.com)

AN EMPLOYEE-OWNED COMPANY



## **1.0 INTRODUCTION**

### **1.1 Purpose**

Loureiro was retained by Amaya Architects to conduct hazardous building material sampling and analysis of accessible: suspect asbestos-containing materials (ACM's), test surfaces for lead-based paint and conduct mold testing in the dwelling as needed that may be impacted by the proposed renovation of said dwelling. The asbestos inspection was completed in accordance with the Environmental Protection Agency (EPA) National Emissions Standards for Hazardous Air Pollutants (NESHAPS) 40 CFR part 61. The Lead-based paint survey was conducted with an XRF direct reading instrument in accordance with the Department of Housing & Urban Development (HUD) testing guidelines.

### **1.2 Special Terms and Conditions**

Loureiro was contracted to perform an investigative survey of all accessible interior and exterior spaces. These areas included the living spaces, attic, porches, exterior areas and accessible roofing. Inaccessible areas were generally identified as above or behind documented finish materials. Estimated quantities and approximate locations of ACM's as presented were based on the visual observations at the time of the survey. Visual observations were made to determine the ACM quantities. Every attempt was made to locate all suspect materials. However, additional materials may be discovered above hard ceilings or behind walls, or below grade during demolition or renovation. Loureiro did not perform destructive investigation for verification of any additional suspect materials.



## **2.0 ASBESTOS INVESTIGATIVE SURVEY**

### **2.1 General Summary**

The following asbestos survey section presents the survey results, methods, and conclusions based on survey findings. A summary of material descriptions, locations and quantities are presented in Table 1, found in Appendix A. Laboratory results are found in Appendix A.

### **2.2 Methodology**

As required by the U.S. Occupational Safety & Health Administration (OSHA), the U.S. Environmental Protection Agency (EPA), and the State of Connecticut Department of Public Health (DPH), sampling was performed by an EPA AHERA-accredited and DPH-certified asbestos inspector (see Appendix C). Sampling was done in a manner to prevent airborne fiber release. Samples were placed in appropriately labeled containers that were sealed and submitted to the laboratory for analysis. The samples were submitted for analysis using the EPA-endorsed Polarized Light Microscopy EPA 600/R-93/116 (PLM) method. The percentage of asbestos present in each sample was determined by the visual area estimation technique.

Samples were collected using a wet technique to prevent airborne fiber release. Each suspect material was sampled using hand tools through its entire thickness to ensure that a complete cross section was obtained. The sample was then placed in an appropriately labeled container, which was sealed and submitted to the laboratory for analysis.

### **2.3 Results of Sampling and Analysis for Asbestos**

Asbestos was identified in the joint compound applied to the sheetrock walls. The table found in Appendix A illustrates each type of suspect asbestos-containing material identified, whether the materials are classified as ACM or not ACM based upon the analytical results and the bulk sample chain of custody forms:



### 3.0 LEAD-BASED PAINT SURVEY

The Lead-based paint survey was conducted with an X-Ray Fluorescent (XRF) direct reading instrument in accordance with the Department of Housing & Urban Development (HUD) testing guidelines. These protocols were developed for residential or day care facilities and were adopted by the Connecticut Childhood Lead Poisoning Prevention Regulations (CLPPR). The Lead-paint reports were prepared using the CLPPR threshold of 1 mg/cm<sup>2</sup>.

The State of Connecticut and the U.S. Department of Housing and Urban Development (HUD) have developed technical guidelines for testing, abatement, cleanup, and disposal of lead-based paint in specific types of buildings such as public and Indian housing, and locations where children below the age of six years old reside. These guidelines define the regulated level of lead paint (Toxic Level of Lead) as paint containing greater than 1.0 milligrams lead per square centimeter (mg/cm<sup>2</sup>) of surface as measured on-site by an X-ray fluorescent analyzer or more than 0.50 percent lead by dry weight as measured by Atomic Absorption Spectrometry (AAS).

For the purposes of this report, all paints containing detectable amounts of lead are considered lead-based paints. This action is taken because OSHA regulates lead in construction based on airborne exposures and it cannot be ensured that lead paint with concentrations of lead less than 1.0 mg/cm<sup>2</sup> or 0.50% mass will not result in exposures exceeding the OSHA standard.

A summary of the XRF tested components, descriptions and locations are presented in Table 2, found in Appendix B.



## 4.0 MOLD

Loureiro observed water damage and staining or mold growths on finish materials in the garage and rear bedroom of the dwelling. Loureiro also observed water damage, staining or mold growths on unfinished materials in the attic and basement of the dwelling. The impacted surfaces were sampled via tape lift method and analyzed via direct microscopic examination in order to determine mold type and relative concentration of the mold. The samples were labeled and delivered with a Chain of Custody to EMSL, which is located in Cinnaminson, NJ. The samples were analyzed by direct examination optical microscopy for mold species identification and quantification. EMSL is an American Industrial Hygiene Association (AIHA) approved Laboratory. A summary of material descriptions and locations are presented in Table 3, found in Appendix C. Laboratory results are found in Appendix C.

Laboratory testing confirmed the presence of *Alternaria*, *Aspergillus/Penicillium*, *Cladosporium*, *Phoma*, *Basidiospores*, *Ascospores*, *Ulocladium* and other unidentifiable spores ranging from rare to high concentrations on surfaces. Hyphal or fruiting structures were also present indicating active mold colonies. These mold types along with the presence of hyphal structures, indicates that continued mold growth is likely. *Aspergillus/Penicillium*, *Cladosporium* and *Alternaria* type mold are often associated with buildings having water or moisture problems. Microbiological growth can produce offensive odors and can produce upper respiratory allergy-like symptoms if it is disturbed and the spores inhaled.

*Alternaria* spores are one of the most common and potent indoor and outdoor airborne allergens. Synergy with *Cladosporium* or *Ulocladium* may increase the severity of symptoms

### REPORT LIMITATIONS CRITERIA

Information contained in the mold report is based on site observations, sample results relevant to the scope of work for this survey. Conclusions of this report are based on the survey, study, and/or investigation. This is not to be interpreted as a complete compilation of all existing information pertaining to the site conditions.

It should be noted that site conditions observed during this investigation may change based on any number of influencing factors and/or environmental variables such as fluctuations in indoor and outdoor temperatures, humidity and seasonal changes in sunlight. These factors can influence the spread and concentration of molds as they change. The attached report and analytical data materials are not intended to guarantee that the investigated site is, or is not, free from conditions, which could pose a threat or hazard to human health or safety. Should further research on the site be conducted, any additional data should be submitted to Loureiro for review and revisions as necessary.

This report is intended for the sole use of the Client, and may not be used or relied upon by others without the written consent of the Client. The scope of work conducted in performing this



service for the Client may not be appropriate to satisfy the needs of other Parties, and the use or re-use of this document or the findings, conclusions, or recommendations is at the risk of said user.

The criteria used to evaluate the survey results includes, but is not limited to, guidelines recommended by the:

- American Conference of Governmental Industrial Hygienists (ACGIH);
- The American Society for Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE);
- The U.S. Environmental Protection Agency (EPA);
- The American Industrial Hygiene Association (AIHA);
- The American Indoor Air Quality Council (AIAQC);
- Indoor Environmental Standards Organization (IESO);
- The Institute of Inspection Cleaning and Restoration Certification (IICRC);
- The National Air Duct Cleaners Association (NADCA);
- The New York City Department of Health;

Any comments and/or questions in regards this report should be directed to Loureiro Engineering.



## 5.0 RECOMMENDATIONS

In accordance with the OSHA regulations (29 CFR Part 1926.1101 and 1910.1001), all potential contractors bidding on work must first be informed of the results of this survey. In addition, notification regarding the presence of the ACM must be provided to all employees and tenants who occupy an area containing ACM.

In accordance with EPA National Emissions Standards for Hazardous Air Pollutants (NESHAPS) regulations (40 CFR Part 61), building owners must remove all ACM from a facility (or area of a facility) before any activity begins that would break up, dislodge, or similarly disturb the ACM. Disturbance of asbestos-containing material can only be done by trained and licensed individuals and contractors.

Any material that was identified as negative for asbestos may be removed at will and disposed of as standard construction debris as long as it does not disturb any asbestos-containing material. In addition, any new building materials that has not previously been identified shall be assumed to contain asbestos until the material has been properly tested.

- Asbestos containing materials identified during the survey, as well as estimated abatement costs, are as follows:

ACM Material	Quantity	Per unit abatement cost estimate	Total Abatement Cost
Joint Compound House	7,819 S.F.	\$6	\$46,914
Joint Compound Garage	1,500 S.F.	\$6	\$9,000
Consulting Fees			\$11,183
		<b>Subtotal</b>	<b>\$67,097</b>

During any renovation or demolition process, safe work procedures must be implemented by properly lead-paint trained workers employed by contractors to address worker protection, lead exposure controls, waste stream management, and ambient air quality monitoring. Specifically, contractors will be required to comply with all applicable OSHA regulations including 29 CFR 1926.62, "Lead Exposure in Construction: Interim Final Rule" and 29 CFR 1926.59, "Hazard Communication for the Construction Industry". In addition, pre-disposal lead-waste testing requirements must be complied with.



Per the HUD and Connecticut regulations Toxic Levels of lead-paint ( $>1.0 \text{ mg/cm}^2$ ) were identified in the dwelling tested. Measurable levels of lead were detected in painted surfaces. OSHA regulations require personal protective equipment (PPE) or proper engineering controls for procedures such as dry scraping or sanding that may generate lead emissions. Loureiro recommends component removal, wet sanding or the use of local exhaust ventilation with High Efficiency Particulate Air (HEPA) filtration during preparation procedures prior to repainting should any lead-paint be disturbed during renovation.

- Lead-Based Paint identified during the survey, as well as estimated abatement costs, are as follows:

Lead-Painted Components	Quantity	Per unit abatement cost estimate	Total Abatement Cost
Barn Board Siding	240 S.F.	\$10 / S.F.	\$2,400
Consulting Fees			\$500
		<b>Subtotal</b>	<b>\$2,900</b>

- Loureiro recommends contracting a qualified mold remediation contractor to remove all mold affected surfaces and treatment of structural studs, headers, etc. prior to renovations.

Mold Contaminated Components	Quantity	Per unit abatement cost estimate	Total Abatement Cost
Basement Wall	120 S.F.	\$8	\$960
Garage Ceiling/Wall	30 S.F.	\$6	\$180
Attic Roofing Plywood	50 S.F.	\$10	\$500
Consulting Fees			\$500
		<b>Subtotal</b>	<b>\$2,140</b>

**Project Total                    \$72,137.00**

## **Appendix A**

### **Asbestos Laboratory Analysis Data**

**Table 1 –ACM Summary**

<b>Sample</b>	<b>Description</b>	<b>Location</b>	<b>Quantity</b>	<b>Results</b>
100516-1a	Gypsum Board-White	Garage	N/A	Non-Detect
100516-1b	Gypsum Board-White	Kitchen	N/A	Non-Detect
100516-1c	Gypsum Board-White	Family Room	N/A	Non-Detect
100516-1d	Gypsum Board-White	Master Bedroom	N/A	Non-Detect
100516-1e	Gypsum Board-White	Office	N/A	Non-Detect
<b>100516-2a</b>	<b>Joint Compound-Tan</b>	<b>Garage</b>	<b>1,500 SF</b>	<b>2% Chysotile</b>
<b>100516-2b</b>	<b>Joint Compound-Tan</b>	<b>Kitchen</b>	<b>6,094 SF</b>	<b>2% Chysotile</b>
<b>100516-2c</b>	<b>Joint Compound-Tan</b>	<b>Family Room</b>	<b>1,120 SF</b>	<1% Chysotile
<b>100516-2d</b>	<b>Joint Compound-Tan</b>	<b>Master Bedroom</b>	<b>605 SF</b>	<1% Chysotile
<b>100516-2e</b>	<b>Joint Compound-Tan</b>	<b>Office</b>	<b>6,094 SF</b>	<b>2% Chysotile</b>
100516-3a	Exterior Window Glazing	Garage	N/A	Non-Detect
100516-3b	Exterior Window Glazing	Laundry	N/A	Non-Detect
100516-3c	Exterior Window Glazing	Family Room	N/A	Non-Detect
100516-4a,b	Grout for Ceramic Floor Tile	Laundry Room Closet	N/A	Non-Detect
100516-5a	Textured Ceiling Coating-Sand	Master Bedroom	N/A	Non-Detect
100516-5b	Textured Ceiling Coating-Sand	Second Floor Bathroom	N/A	Non-Detect
100516-5c	Textured Ceiling Coating-Sand	Office	N/A	Non-Detect
100516-6a,b,c	Textured Ceiling Coating-Rough	Master Bathroom	N/A	Non-Detect
100516-7a,b	Grout for 6" Ceramic Floor Tile	Master Bathroom	N/A	Non-Detect
100516-8a,b,c	Black Roofing Paper	Exterior Roofing	N/A	Non-Detect
100516-9a,b,c	Gray Asphalt Roof Shingle-Bottom Layer	Exterior Roofing	N/A	Non-Detect
100516-10a,b,c	Gray Asphalt Roof Shingle-Middle Layer	Exterior Roofing	N/A	Non-Detect
100516-11a,b,c	Gray Asphalt Roof Shingle-Top Layer	Exterior Roofing	N/A	Non-Detect
100516-12a,b,c	Pressboard Insulation-Behind Exterior Siding	Exterior Roofing	N/A	Non-Detect

Please refer to the Appendices specific to the Laboratory results and chain of custody forms.



# EMSL Analytical, Inc.

200 Route 130 North Cinnaminson, NJ 08077

Tel/Fax: (800) 220-3675 / (856) 786-5974

<http://www.EMSL.com> / [cinnasblab@EMSL.com](mailto:cinnasblab@EMSL.com)

EMSL Order: 041627965

Customer ID: LOUR62

Customer PO:

Project ID:

**Attention:** Jamie Roche  
Loureiro Engineering Associates, Inc.  
100 Northwest Drive  
Plainville, CT 06062

**Phone:** (860) 747-6181

**Fax:** (860) 747-8822

**Received Date:** 10/08/2016 10:00 AM

**Analysis Date:** 10/10/2016 - 10/13/2016

**Collected Date:** 10/05/2016

**Project:** 271 Ridgeview Avenue, Fairfield / 01MH6.01

## Test Report: Asbestos Analysis of Bulk Materials via EPA 600/R-93/116 Method using Polarized Light Microscopy

Sample	Description	Appearance	Non-Asbestos		Asbestos
			% Fibrous	% Non-Fibrous	% Type
100516-1A <small>041627965-0001</small>	Garage - Gypsum Board White	White Fibrous Homogeneous	15% Cellulose	85% Non-fibrous (Other)	None Detected
100516-1B <small>041627965-0002</small>	Kitchen - Gypsum Board White	White Fibrous Homogeneous	5% Cellulose	95% Non-fibrous (Other)	None Detected
100516-1C <small>041627965-0003</small>	Family Room - Gypsum Board White	White Fibrous Homogeneous	15% Cellulose	85% Non-fibrous (Other)	None Detected
100516-1D <small>041627965-0004</small>	Master Bed - Gypsum Board White	White Fibrous Homogeneous	15% Cellulose	85% Non-fibrous (Other)	None Detected
100516-1E <small>041627965-0005</small>	Office - Gypsum Board White	Brown Fibrous Homogeneous	10% Cellulose	90% Non-fibrous (Other)	None Detected
100516-2A <small>041627965-0006</small>	Garage - Joint Compound Tan	Tan Non-Fibrous Homogeneous		98% Non-fibrous (Other)	2% Chrysotile
100516-2B <small>041627965-0007</small>	Kitchen - Joint Compound Tan	Tan Non-Fibrous Homogeneous		98% Non-fibrous (Other)	2% Chrysotile
100516-2C <small>041627965-0008</small>	Family Room - Joint Compound Tan	Tan Non-Fibrous Homogeneous		100% Non-fibrous (Other)	<1% Chrysotile
100516-2D <small>041627965-0009</small>	Master Bed - Joint Compound Tan	Tan Non-Fibrous Homogeneous		100% Non-fibrous (Other)	<1% Chrysotile
100516-2E <small>041627965-0010</small>	Office - Joint Compound Tan	Tan Non-Fibrous Homogeneous		98% Non-fibrous (Other)	2% Chrysotile
100516-3A <small>041627965-0011</small>	Garage - Exterior Window Glazing	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-3B <small>041627965-0012</small>	Laundry Room - Exterior Window Glazing	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-3C <small>041627965-0013</small>	Family Room - Exterior Window Glazing	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-4A <small>041627965-0014</small>	Laundry Room Closet - Ceramic Floor Tile Grout	Tan Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-4B <small>041627965-0015</small>	Laundry Room Closet - Ceramic Floor Tile Grout	Tan Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-5A <small>041627965-0016</small>	Master Bed - Textured Ceiling Coating	White Non-Fibrous Homogeneous	5% Cellulose	95% Non-fibrous (Other)	None Detected

Report amended: 10/13/2016 11:45:40 Replaces initial report from: 10/10/2016 14:10:34 Reason Code: Client-Additional Analysis



# EMSL Analytical, Inc.

200 Route 130 North Cinnaminson, NJ 08077

Tel/Fax: (800) 220-3675 / (856) 786-5974

<http://www.EMSL.com> / [cinnasblab@EMSL.com](mailto:cinnasblab@EMSL.com)

EMSL Order: 041627965

Customer ID: LOUR62

Customer PO:

Project ID:

## Test Report: Asbestos Analysis of Bulk Materials via EPA 600/R-93/116 Method using Polarized Light Microscopy

Sample	Description	Appearance	Non-Asbestos		Asbestos
			% Fibrous	% Non-Fibrous	% Type
100516-5B 041627965-0017	2nd Bath - Textured Ceiling Coating	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-5C 041627965-0018	Office - Textured Ceiling Coating	Brown/White Fibrous Homogeneous	10% Cellulose	90% Non-fibrous (Other)	None Detected
100516-6A 041627965-0019	Master Bath - Textured Ceiling Coating Rough	White Non-Fibrous Homogeneous	5% Cellulose	95% Non-fibrous (Other)	None Detected
100516-6B 041627965-0020	Master Bath - Textured Ceiling Coating Rough	White Non-Fibrous Homogeneous	5% Cellulose	95% Non-fibrous (Other)	None Detected
100516-6C 041627965-0021	Master Bath - Textured Ceiling Coating Rough	White Non-Fibrous Homogeneous	5% Cellulose	95% Non-fibrous (Other)	None Detected
100516-7A 041627965-0022	Master Bath - Textured Ceiling Coating Rough	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-7B 041627965-0023	Master Bath - Textured Ceiling Coating Rough	White Non-Fibrous Homogeneous		100% Non-fibrous (Other)	None Detected
100516-8A 041627965-0024	Exterior Roof - Black Roofing Paper	Black Fibrous Homogeneous	80% Cellulose	20% Non-fibrous (Other)	None Detected
100516-8B 041627965-0025	Exterior Roof - Black Roofing Paper	Black Fibrous Homogeneous	80% Cellulose	20% Non-fibrous (Other)	None Detected
100516-8C 041627965-0026	Exterior Roof - Black Roofing Paper	Black Fibrous Homogeneous	70% Cellulose	30% Non-fibrous (Other)	None Detected
100516-9A 041627965-0027	Exterior Roof - Bottom Layer- Greay Asphalt Roof Shingle	Gray/Black Fibrous Homogeneous	25% Cellulose	75% Non-fibrous (Other)	None Detected
100516-9B 041627965-0028	Exterior Roof - Bottom Layer- Greay Asphalt Roof Shingle	Gray/Black Fibrous Homogeneous	25% Cellulose	75% Non-fibrous (Other)	None Detected
100516-9C 041627965-0029	Exterior Roof - Bottom Layer- Greay Asphalt Roof Shingle	Black Fibrous Homogeneous	20% Cellulose	80% Non-fibrous (Other)	None Detected
100516-10A 041627965-0030	Exterior Roof - Gray Asphalt Roof Shingle- 2nd Layer	Gray/Black Fibrous Homogeneous	25% Cellulose	75% Non-fibrous (Other)	None Detected
100516-10B 041627965-0031	Exterior Roof - Gray Asphalt Roof Shingle- 2nd Layer	Gray/Black Fibrous Homogeneous	25% Cellulose	75% Non-fibrous (Other)	None Detected
100516-10C 041627965-0032	Exterior Roof - Gray Asphalt Roof Shingle- 2nd Layer	Black Fibrous Homogeneous	20% Cellulose	80% Non-fibrous (Other)	None Detected
100516-11A 041627965-0033	Exterior Roof - Gray Asphalt Roof Shingle- Top Layer	Gray/Black Fibrous Homogeneous	15% Glass	85% Non-fibrous (Other)	None Detected
100516-11B 041627965-0034	Exterior Roof - Gray Asphalt Roof Shingle- Top Layer	Gray/Black Fibrous Homogeneous	15% Glass	85% Non-fibrous (Other)	None Detected
100516-11C 041627965-0035	Exterior Roof - Gray Asphalt Roof Shingle- Top Layer	Various Fibrous Homogeneous	20% Glass	80% Non-fibrous (Other)	None Detected

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**EMSL Order:** 041627965  
**Customer ID:** LOUR62  
**Customer PO:**  
**Project ID:**

## Test Report: Asbestos Analysis of Bulk Materials via EPA 600/R-93/116 Method using Polarized Light Microscopy

Sample	Description	Appearance	Non-Asbestos		Asbestos
			% Fibrous	% Non-Fibrous	% Type
100516-12A <i>041627965-0036</i>	Exterior - Pressboard Insulation behind Exterior Siding	Brown Fibrous Homogeneous	80% Cellulose	20% Non-fibrous (Other)	None Detected
100516-12B <i>041627965-0037</i>	Exterior - Pressboard Insulation behind Exterior Siding	Brown Fibrous Homogeneous	80% Cellulose	20% Non-fibrous (Other)	None Detected
100516-12C <i>041627965-0038</i>	Exterior - Pressboard Insulation behind Exterior Siding	Brown Fibrous Homogeneous	100% Cellulose		None Detected

Analyst(s)

*Benjamin Verghese (1)*  
*Daniel Fricker (3)*  
*Dave Poitras (11)*  
*Edward Zambrano (23)*

Benjamin Ellis, Laboratory Manager  
or Other Approved Signatory

EMSL maintains liability limited to cost of analysis. This report relates only to the samples reported and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. Interpretation and use of test results are the responsibility of the client. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST or any agency of the federal government. Non-friable organically bound materials present a problem matrix and therefore EMSL recommends gravimetric reduction prior to analysis. Samples received in good condition unless otherwise noted. Estimated accuracy, precision and uncertainty data available upon request. Unless requested by the client, building materials manufactured with multiple layers (i.e. linoleum, wallboard, etc.) are reported as a single sample. Reporting limit is 1%

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ NVLAP Lab Code 101048-0, AIHA-LAP, LLC-IHLAP Lab 100194, NYS ELAP 10872, NJ DEP 03036, PA ID# 68-00367

Report amended: 10/13/2016 11:45:40 Replaces initial report from: 10/10/2016 14:10:34 Reason Code: Client-Additional Analysis



# Asbestos Chain of Custody

EMSL Order Number (Lab Use Only):

041627965

EMSL ANALYTICAL, INC  
29 NORTH PLAINS DR, #4  
WALLINGFORD, CT 06492

PHONE: (203) 284-5948  
FAX: (203) 284-5978

EMSL ANALYTICAL, INC.  
LABORATORY • PRODUCTS • TRAINING

Company : Loureiro Engineering Associates		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different <small>If Bill to is Different note instructions in Comments**</small>	
Street: 100 Northwest Dr		Third Party Billing requires written authorization from third party	
City: Plainville	State/Province: CT	Zip/Postal Code: 06062	Country:
Report To (Name): Jamie Roche / Steven Douglas		Telephone #: 860-747-6181 / 413-222-5715	
Email Address: jaroche@Loureiro.com SMDouglas@loureiro.com		Fax #: 860-747-8822	Purchase Order:
Project Name/Number: 271 Ridgerow Ave, Fairfield - 01706.01		Please Provide Results: <input type="checkbox"/> Fax <input checked="" type="checkbox"/> Email	
U.S. State Samples Taken: CT		Connecticut Samples: <input checked="" type="checkbox"/> Commercial <input type="checkbox"/> Residential	

**Turnaround Time (TAT) Options\* - Please Check**

3 Hour   
  6 Hour   
  24 Hour   
  48 Hour   
  72 Hour   
  96 Hour   
  1 Week   
  2 Week

\*For TEM Air 3 hr through 6 hr, please call ahead to schedule. \*There is a premium charge for 3 Hour TEM AHERA or EPA Level II TAT. You will be asked to sign an authorization form for this service. Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide

<b>PCM - Air</b> <input type="checkbox"/> Check if samples are from NY <input type="checkbox"/> NIOSH 7400 <input type="checkbox"/> w/ OSHA 8hr. TWA	<b>TEM - Air</b> <input type="checkbox"/> 4-4.5hr TAT (AHERA only) <input type="checkbox"/> AHERA 40 CFR, Part 763 <input type="checkbox"/> NIOSH 7402 <input type="checkbox"/> EPA Level II <input type="checkbox"/> ISO 10312	<b>TEM - Dust</b> <input type="checkbox"/> Microvac - ASTM D 5755 <input type="checkbox"/> Wipe - ASTM D6480 <input type="checkbox"/> Carpet Sonication (EPA 600/J-93/167)
<b>PLM - Bulk (reporting limit)</b> <input checked="" type="checkbox"/> PLM EPA 600/R-93/116 (<1%) <input type="checkbox"/> PLM EPA NOB (<1%) Point Count <input type="checkbox"/> 400 (<0.25%) <input type="checkbox"/> 1000 (<0.1%) Point Count w/Gravimetric <input type="checkbox"/> 400 (<0.25%) <input type="checkbox"/> 1000 (<0.1%) <input type="checkbox"/> NYS 198.1 (friable in NY) <input type="checkbox"/> NYS 198.6 NOB (non-friable-NY) <input type="checkbox"/> NIOSH 9002 (<1%)	<b>TEM - Bulk</b> <input type="checkbox"/> TEM EPA NOB <input type="checkbox"/> NYS NOB 198.4 (non-friable-NY) <input type="checkbox"/> Chatfield SOP <input type="checkbox"/> TEM Mass Analysis-EPA 600 sec. 2.5 <b>TEM - Water:</b> EPA 100.2 Fibers >10µm <input type="checkbox"/> Waste <input type="checkbox"/> Drinking All Fiber Sizes <input type="checkbox"/> Waste <input type="checkbox"/> Drinking	<b>Soil/Rock/Vermiculite</b> <input type="checkbox"/> PLM CARB 435 - A (0.25% sensitivity) <input type="checkbox"/> PLM CARB 435 - B (0.1% sensitivity) <input type="checkbox"/> TEM CARB 435 - B (0.1% sensitivity) <input type="checkbox"/> TEM CARB 435 - C (0.01% sensitivity) <input type="checkbox"/> TEM Qual. via Filtration Technique <input type="checkbox"/> TEM Qual. via Drop-Mount Technique <b>Other:</b> <input type="checkbox"/>

Check For Positive Stop - Clearly Identify Homogenous Group    Filter Pore Size (Air Samples):  0.8µm  0.45µm

Samplers Name: Steve Douglas    Samplers Signature: *[Signature]*

Sample #	Sample Description	Volume/Area (Air) HA # (Bulk)	Date/Time Sampled
100516-1A	Gypsum Board Whib - Garage	Bulk	10/05/16
1B	Kitchen	I I I I I I I I	15 OCT - 8 AM 10:04 CHINA AMINSCH NJ EMSL
1C	Family Rm.		
1D	Master Bed		
1E	Office		
2A	Joint Compound - Turn Garage		
2B	Kitchen		
2C	Family Rm		

Client Sample # (s): 100516 -    Total # of Samples:

Relinquished (Client): Steve Douglas    Date: 10/5/16    Time:

Received (Lab): *[Signature]*    Date: 10-8-2016    Time: 10:00 AM

Comments/Special Instructions:

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**Asbestos Chain of Custody**  
EMSL Order Number (Lab Use Only):

041627965

EMSL ANALYTICAL, INC  
4 FAIRFIELD BLVD.  
WALLINGFORD, CT 06492  
PHONE: (203) 284-5978  
FAX: (203) 284-5978

Additional Pages of the Chain of Custody are only necessary if needed for additional sample information

Sample #	Sample Description	Volume/Area (Air) HA # (Bulk)	Date/Time Sampled
100516-20	Joint Compound - Tan - Master Bed	Bulk	100516
2E	Office		
3A	Exterior Window Glazing - Garage		
3B	Laundry Room		
3C	Family Rm		
4AB	Ceramic Floor Tile Grout - Laundry Rm Closet		
5A	Textured Ceiling Coating - Sand - Master Bed		
5B	2nd Bath		
5C	Office		
6ABC	Textured Ceiling Coating - Rough - Master Bath		
7AB	Grout for 6" Blue Ceramic FT - Master Bath		
8ABC	Black Roofing Paper - Exterior Roof		
9ABC	(Bottom Layer) Gray Asphalt Roof Shingle - Exterior Roof		
10ABC	Gray Asphalt Roof Shingle - (2nd Layer)		
11ABC	Gray Asphalt Roof Shingle - (Top Layer)		
12ABC	Pressboard Insulation Behind Exterior Siding - Exterior		

16 OCT - 8 AM 10:04  
 CINCINNATI  
 EMSL  
 J. W. HOSKINS, JR.

\*Comments/Special Instructions:

PLM Positive Step

## **Appendix B**

### **Lead X-Ray Fluorescence Data**

**Table 2 – Lead Paint - XRF Results**

<b>Room / Area</b>	<b>Component</b>	<b>Side</b>	<b>Paint Color</b>	<b>Substrate (Condition)</b>	<b>Results (mg/cm<sup>2</sup>)</b>
Laundry Room	Wall	A	Gray	Drywall-Good	0.0
Laundry Room	Wall	B	Gray	Drywall-Good	0.0
Laundry Room	Wall	C	Gray	Drywall-Good	0.0
Laundry Room	Wall	D	Gray	Drywall-Good	0.0
Laundry Room	Ceiling	-	White	Drywall-Good	0.0
Laundry Room	Door	B	White	Wood-Good	0.0
Laundry Room	Door	B	White	Wood-Good	0.0
Laundry Room	Door Jamb	B	White	Wood-Good	0.0
Laundry Room	Door Frame	B	White	Wood-Good	0.0
Laundry Room	Door	A	White	Metal-Good	0.0
Laundry Room	Door Jamb	A	White	Wood-Good	0.0
Laundry Room	Door Frame	A	White	Wood-Good	0.0
Laundry Room	Door	C	White	Metal-Good	0.0
Laundry Room	Door Jamb	C	White	Wood-Good	0.0
Laundry Room	Door Frame	C	White	Wood-Good	0.0
Laundry Room	Window Sill	C	White	Wood-Good	0.0
Laundry Room	Window Sash	C	White	Wood-Good	0.0
Laundry Room	Window Frame	C	White	Wood-Good	0.0
Laundry Room	Baseboard	A	White	Wood-Good	0.0
Kitchen	Wall	A	Gray	Drywall-Good	0.0
Kitchen	Wall	B	Gray	Drywall-Good	0.0
Kitchen	Wall	C	Gray	Drywall-Good	0.0
Kitchen	Wall	D	Gray	Drywall-Good	0.0
Kitchen	Ceiling	-	White	Drywall-Good	0.0
Kitchen	Door Jamb	A	White	Wood-Good	0.0
Kitchen	Door Frame	A	White	Wood-Good	0.0
Kitchen	Window Sill	C	White	Wood-Good	0.0
Kitchen	Window Frame	C	White	Wood-Good	0.0
Kitchen	Baseboard	A	White	Wood-Good	0.0
Living Room	Wall	A	Beige	Wood-Good	0.0
Living Room	Wall	B	Beige	Drywall-Good	0.0
Living Room	Wall	C	Beige	Drywall-Good	0.0
Living Room	Wall	D	Beige	Wood-Good	0.0
Living Room	Ceiling	-	White	Drywall-Good	0.0
Living Room	Floor	-	Stain	Wood-Good	0.0
Living Room	Door Jamb	B	White	Wood-Good	0.0
Living Room	Door Frame	B	White	Wood-Good	0.0
Living Room	Window Sill	D	White	Wood-Good	0.0
Living Room	Window Frame	D	White	Wood-Good	0.0

Room / Area	Component	Side	Paint Color	Substrate (Condition)	Results (mg/cm <sup>2</sup> )
Living Room	Window Sash	D	White	Wood-Good	0.0
Living Room	Baseboard	A	White	Wood-Good	0.0
First Floor Bathroom	Wall	A	Wall Paper	Drywall-Good	0.0
First Floor Bathroom	Wall	B	Wall Paper	Drywall-Good	0.0
First Floor Bathroom	Wall	C	Wall Paper	Drywall-Good	0.0
First Floor Bathroom	Wall	D	Wall Paper	Drywall-Good	0.0
First Floor Bathroom	Ceiling	-	White	Drywall-Good	0.0
First Floor Bathroom	Window Sill	C	White	Wood-Good	0.0
First Floor Bathroom	Window Frame	C	White	Wood-Good	0.0
First Floor Bathroom	Window Sash	C	White	Wood-Good	0.0
First Floor Bathroom	Door Jamb	A	White	Wood-Good	0.0
First Floor Bathroom	Door Frame	A	White	Wood-Good	0.0
First Floor Bathroom	Baseboard	A	White	Wood-Good	0.0
First Floor Bathroom	Baseboard Radiator	C	White	Metal-Good	0.0
First Floor Bathroom	Crown Molding	A	White	Wood-Good	0.0
Dining Room	Wall	A	Purple	Drywall-Good	0.0
Dining Room	Wall	B	Purple	Drywall-Good	0.0
Dining Room	Wall	C	Purple	Drywall-Good	0.0
Dining Room	Wall	D	Purple	Drywall-Good	0.0
Dining Room	Ceiling	-	White	Drywall-Good	0.0
Dining Room	Window Sill	A	White	Wood-Good	0.0
Dining Room	Window Frame	A	White	Wood-Good	0.0
Dining Room	Window Sash	A	White	Wood-Good	0.0
Dining Room	Door Jamb	D	White	Wood-Good	0.0
Dining Room	Door Frame	D	White	Wood-Good	0.0
Dining Room	Baseboard	C	White	Wood-Good	0.0
Dining Room	Baseboard Radiator	B	Purple	Metal-Good	0.0
Dining Room	Chair Rail Molding	A	White	Wood-Good	0.0
Entry	Wall	A	Wall Paper	Drywall-Good	0.0
Entry	Wall	B	Wall Paper	Drywall-Good	0.0
Entry	Wall	C	Wall Paper	Drywall-Good	0.0

Room / Area	Component	Side	Paint Color	Substrate (Condition)	Results (mg/cm <sup>2</sup> )
Entry	Wall	D	Wall Paper	Drywall-Good	0.0
Entry	Ceiling	-	Wall Paper	Drywall-Good	0.0
Entry	Floor	-	Stain	Wood-Good	0.0
Entry	Door	A	White	Wood-Good	0.0
Entry	Door Jamb	A	White	Wood-Good	0.0
Entry	Door Frame	A	White	Wood-Good	0.0
Entry	Door	D	White	Wood-Good	0.0
Entry	Door Jamb	D	White	Wood-Good	0.0
Entry	Door Frame	D	White	Wood-Good	0.0
Stairway	Wall	B	Wall Paper	Drywall-Good	0.0
Stairway	Wall	D	Wall Paper	Drywall-Good	0.0
Stairway	Ceiling	-	Wall Paper	Drywall-Good	0.0
Stairway	Stair Tread	-	Stain	Wood-Good	0.0
Stairway	Stair Riser	C	White	Wood-Good	0.0
Stairway	Stair Stringer	B	Stain	Wood-Good	0.0
Stairway	Newel Post	D	Stain	Wood-Good	0.0
Stairway	Stair Railing	D	White	Wood-Good	0.0
Family Room	Wall	A	Tan	Drywall-Good	0.0
Family Room	Wall	B	Tan	Drywall-Good	0.0
Family Room	Wall	C	Tan	Drywall-Good	0.0
Family Room	Wall	D	Tan	Drywall-Good	0.0
Family Room	Ceiling	-	White	Drywall-Good	0.0
Family Room	Window Sill	A	White	Wood-Good	0.0
Family Room	Window Frame	A	White	Wood-Good	0.0
Family Room	Window Sash	A	White	Wood-Good	0.0
Family Room	Baseboard	A	White	Wood-Good	0.0
Family Room	Baseboard Radiator	C	White	Metal-Good	0.0
Master Bedroom	Wall	A	White	Drywall-Good	0.0
Master Bedroom	Wall	B	White	Drywall-Good	0.0
Master Bedroom	Wall	C	White	Drywall-Good	0.0
Master Bedroom	Wall	D	White	Drywall-Good	0.0
Master Bedroom	Ceiling	-	White	Drywall-Good	0.0
Master Bedroom	Window Sill	A	White	Wood-Good	0.0
Master Bedroom	Window Frame	A	White	Wood-Good	0.0
Master Bedroom	Window Sash	A	White	Wood-Good	0.0
Master Bedroom	Baseboard	C	White	Wood-Good	0.0
Master Bedroom	Baseboard Radiator	A	White	Metal-Good	0.0
Master Bedroom	Door	D	White	Wood-Good	0.0
Master Bedroom	Door Jamb	D	White	Wood-Good	0.0

Room / Area	Component	Side	Paint Color	Substrate (Condition)	Results (mg/cm <sup>2</sup> )
Master Bedroom	Door Frame	D	White	Wood-Good	0.0
Master Bathroom	Wall	A	Blue	Drywall-Good	0.0
Master Bathroom	Wall	B	Blue	Drywall-Good	0.0
Master Bathroom	Wall	C	Blue	Drywall-Good	0.0
Master Bathroom	Wall	D	Blue	Drywall-Good	0.0
Master Bathroom	Ceiling	-	White	Drywall-Good	0.0
Master Bathroom	Wall	B	White	Wood-Good	0.0
Master Bathroom	Wall	C	White	Wood-Good	0.0
Master Bathroom	Wall	D	White	Wood-Good	0.0
Master Bathroom	Window Sill	C	White	Wood-Good	0.0
Master Bathroom	Window Frame	C	White	Wood-Good	0.0
Master Bathroom	Window Sash	C	White	Wood-Good	0.0
Master Bathroom	Baseboard	C	White	Wood-Good	0.0
Master Bathroom	Baseboard Radiator	C	White	Metal-Good	0.0
Master Bathroom	Door Jamb	A	White	Wood-Good	0.0
Master Bathroom	Door Frame	A	White	Wood-Good	0.0
Master Bathroom	Door	A	White	Wood-Good	0.0
Second Floor Bathroom	Wall	A	Red	Drywall-Good	0.0
Second Floor Bathroom	Wall	B	Red	Drywall-Good	0.0
Second Floor Bathroom	Wall	C	Red	Drywall-Good	0.0
Second Floor Bathroom	Wall	D	Red	Drywall-Good	0.0
Second Floor Bathroom	Ceiling	-	White	Drywall-Good	0.0
Second Floor Bathroom	Window Sill	C	White	Wood-Good	0.0
Second Floor Bathroom	Window Frame	C	White	Wood-Good	0.0
Second Floor Bathroom	Window Sash	C	White	Wood-Good	0.0
Second Floor Bathroom	Baseboard Radiator	C	White	Metal-Good	0.0
Second Floor Bathroom	Door Jamb	A	White	Wood-Good	0.0
Second Floor Bathroom	Door Frame	A	White	Wood-Good	0.0
Front Bedroom	Wall	A	Blue	Drywall-Good	0.0
Front Bedroom	Wall	B	Blue	Drywall-Good	0.0
Front Bedroom	Wall	C	Blue	Drywall-Good	0.0
Front Bedroom	Wall	D	Blue	Drywall-Good	0.0

Room / Area	Component	Side	Paint Color	Substrate (Condition)	Results (mg/cm <sup>2</sup> )
Front Bedroom	Ceiling	-	White	Drywall-Good	0.0
Front Bedroom	Window Sill	D	White	Wood-Good	0.0
Front Bedroom	Window Frame	D	White	Wood-Good	0.0
Front Bedroom	Window Sash	D	White	Wood-Good	0.0
Front Bedroom	Baseboard Radiator	D	White	Metal-Good	0.0
Front Bedroom	Door Jamb	B	White	Wood-Good	0.0
Front Bedroom	Door Frame	B	White	Wood-Good	0.0
Office	Wall	A	Gray	Drywall-Good	0.0
Office	Wall	B	Gray	Drywall-Good	0.0
Office	Wall	C	Gray	Drywall-Good	0.0
Office	Wall	D	Gray	Drywall-Good	0.0
Office	Ceiling	-	White	Drywall-Good	0.0
Office	Window Sill	A	White	Wood-Good	0.0
Office	Window Frame	A	White	Wood-Good	0.0
Office	Window Sash	A	White	Wood-Good	0.0
Office	Door	C	White	Wood-Good	0.0
Office	Door Jamb	C	White	Wood-Good	0.0
Office	Door Frame	C	White	Wood-Good	0.0
Office	Baseboard	C	White	Wood-Good	0.0
Office	Baseboard Radiator	A	White	Metal-Good	0.0
Hallway	Wall	A	Wall Paper	Drywall-Good	0.0
Hallway	Wall	B	Wall Paper	Drywall-Good	0.0
Hallway	Wall	C	Wall Paper	Drywall-Good	0.0
Hallway	Wall	D	Wall Paper	Drywall-Good	0.0
Hallway	Ceiling	-	White	Drywall-Good	0.0
Hallway	Floor	-	Stain	Wood-Good	0.0
Rear Bedroom	Wall	A	Red	Drywall-Good	0.0
Rear Bedroom	Wall	B	Red	Drywall-Good	0.0
Rear Bedroom	Wall	C	Red	Drywall-Good	0.0
Rear Bedroom	Wall	D	Red	Drywall-Good	0.0
Rear Bedroom	Ceiling	-	White	Drywall-Good	0.0
Rear Bedroom	Window Sill	C	White	Wood-Good	0.0
Rear Bedroom	Window Frame	C	White	Wood-Good	0.0
Rear Bedroom	Window Sash	C	White	Wood-Good	0.0
Rear Bedroom	Baseboard Radiator	C	White	Metal-Good	0.0
Rear Bedroom	Door Jamb	B	White	Wood-Good	0.0
Rear Bedroom	Door Frame	B	White	Wood-Good	0.0
Exterior	Cedar Siding	C	Green	Wood-Good	0.0
Exterior	Window Frame	C	White	Wood-Good	0.0
Exterior	Door Frame	C	White	Wood-Good	0.0
Exterior	Deck Railing	C	White	Wood-Defective	0.0

Room / Area	Component	Side	Paint Color	Substrate (Condition)	Results (mg/cm <sup>2</sup> )
Exterior	Deck Floorboards	C	White	Wood-Defective	0.0
Exterior	Deck Post	C	White	Wood-Defective	0.0
Exterior	Deck Joist	C	White	Wood-Defective	0.0
Exterior	Exterior Soffit	C	White	Wood-Good	0.8
Exterior	Cedar Siding	D	Green	Wood-Good	0.0
Exterior	Cedar Siding	A	Green	Wood-Good	0.0
<b>Exterior</b>	<b>Barn-Board Siding</b>	<b>A</b>	<b>White</b>	<b>Wood-Good</b>	<b>1.2</b>
Exterior	Door	A	Black	Wood-Good	0.66
Exterior	Porch Overhang Post	A	White	Wood-Good	0.66
Exterior	Exterior Soffit	A	White	Wood-Good	0.67
Exterior	Porch Ceiling	A	White	Wood-Good	0.74
Exterior	Garage Door	A	White	Wood-Good	0.48
Exterior	Garage Door Frame	A	White	Wood-Good	0.64
Exterior	Garage Door Jamb	A	White	Wood-Good	0.0
Exterior	Cedar Siding	B	Green	Wood-Good	0.0
Exterior	Window Frame	B	White	Wood-Good	0.25
Exterior	Window Sash	B	White	Wood-Good	0.0

## **Appendix C**

### **Mold Laboratory Analysis Data**

**Table 3 – Mold Summary Results**

<b>Sample Number Location</b>	<b>Fungi Detected</b>	<b>Fungi Concentration</b>	<b>Comments</b>
TL-1 Garage Wall & Ceiling	Alternaria Ascospores Aspergillus/Penicillium Cladosporium Ulocladium Unidentifiable Spores Phoma	Medium Low Low High Low Medium High	Active Colonies Active Colonies Active Colonies Active Colonies Active Colonies Active Colonies Active Colonies
TL-2 Basement Wall	Ascospores Aspergillus/Penicillium Basidiospores	Rare Medium Rare	Active Colonies Active Colonies Active Colonies
TL-3 Attic Ceiling/Roof	Aspergillus/Penicillium Cladosporium	Rare Rare	Active Colonies Active Colonies
TL-4 Rear Bedroom Ceiling	Non Detect	N/A	N/A

Please refer to the Appendices specific to the Laboratory results and chain of custody forms.



# EMSL Analytical, Inc.

200 Route 130 North Cinnaminson, NJ 08077  
Phone/Fax: (800) 220-3675 / (856) 786-0262  
<http://www.EMSL.com> / [cinnmicrolab@emsl.com](mailto:cinnmicrolab@emsl.com)

Order ID: 371619448  
Customer ID: LOUR62  
Customer PO:  
Project ID:

**Attn:** Jamie Roche  
Loureiro Engineering Associates, Inc.  
100 Northwest Drive  
Plainville, CT 06062

Phone: (860) 747-6181  
Fax: (860) 747-8822  
Collected: 10/05/2016  
Received: 10/08/2016  
Analyzed: 10/11/2016

**Proj:** 271 Ridgeview Ave, Fairfield 01MH6.01

## Test Report: Microscopic Examination of Fungal Spores, Fungal Structures, Hyphae, and Other Particulates from Tape Samples (EMSL Method: M041)

Lab Sample Number:	371619448-0001	371619448-0002	371619448-0003	371619448-0004	
Client Sample ID:	TL-1	TL-2	TL-3	TL-4	
Sample Location:	Garage Wall / Drywall	Basement Wall- Concrete	Attic Roof- Plywood	Rear Bedroom- Drywall	
Spore Types	Category	Category	Category	Category	-
Agrocybe/Coprinus	-	-	-	-	-
Alternaria	*Medium*	-	-	-	-
Ascospores	*Low*	Rare	-	-	-
Aspergillus/Penicillium	Low	Medium	Rare	-	-
Basidiospores	-	Rare	-	-	-
Bipolaris++	-	-	-	-	-
Chaetomium	-	-	-	-	-
Cladosporium	*High*	-	Rare	-	-
Curvularia	-	-	-	-	-
Epicoccum	-	-	-	-	-
Fusarium	-	-	-	-	-
Ganoderma	-	-	-	-	-
Myxomycetes++	-	-	-	-	-
Paecilomyces	-	-	-	-	-
Rust	-	-	-	-	-
Scopulariopsis	-	-	-	-	-
Stachybotrys	-	-	-	-	-
Torula	-	-	-	-	-
Ulocladium	*Low*	-	-	-	-
Unidentifiable Spores	*Medium*	-	-	-	-
Zygomycetes	-	-	-	-	-
Phoma	*High*	-	-	-	-
Fibrous Particulate	-	Low	-	-	-
Hyphal Fragment	-	Rare	-	-	-
Insect Fragment	-	Rare	-	Rare	-
Pollen	-	-	-	-	-

**Sample Comment:** 371619448-0001 Sample contains LOW amount of dust mites.

Category: Count/per area analyzed  
Rare: 1 to 10 Low: 11 to 100 Medium: 101 to 1000 High: >1000  
  
Bipolaris++ = Bipolaris/Dreschlera/Exserohilum Myxomycetes++ = Myxomycetes/Periconia/Smut  
\* = Sample contains fruiting structures and/or hyphae associated with the spores.

  
Farbod Nekouei, M.S., Laboratory Director  
or Other Approved Signatory

No discernable field blank was submitted with this group of samples.

EMSL maintains liability limited to cost of analysis. This report relates only to the samples reported above and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. Interpretation of the data contained in this report is the responsibility of the client. Samples received in good condition unless otherwise noted.

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ AIHA-LAP, LLC-EMLAP Accredited #100194

Initial report from: 10/11/2016 13:59:48

For Information on the fungi listed in this report please visit the Resources section at [www.emsl.com](http://www.emsl.com)



Microbiology Laboratory Chain of Custody

EMSL Order Number (Lab Use Only):

371619448

Wallingford, CT  
4 Fairfield Blvd  
Wallingford, CT 06492  
PHONE: (203) 264-5948  
FAX: (203) 284-5978

Company: Loureiro Engineering Associates, Inc  
 Street: 100 Northwest Dr  
 City/State/Zip: Plainville, CT 06062  
 Report To (Name): Jamie Roche / Steve Douglas  
 Telephone: 860-747-6181 / 413-233-5715  
 Project Name/Number: 271 Ridgeview Ave, Fairfield 01MH6.01  
 Please Provide Results: Email Purchase Order: State Samples Taken: CT Connecticut Samples:  Commercial  Residential

EMSL-Bill to:  Same  Different  
 If Bill to is Different note instructions in Comments\*\*  
 Third Party Billing requires written authorization from third party

Turnaround Time (TAT) Options\* - Please Check

3 Hour  6 Hour  24 Hour  48 Hour  72 Hour  96 Hour  1 Week  2 Week

\*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements

Non Culturable Air Samples (Spore Traps)

- M001 Air-O-Cell
- M173 Allegro M2
- M004 Allergenco
- M032 Allergenco-D
- M172 Versa Trap
- M049 BioSIS
- M003 Burkard
- M043 Cyclex
- M002 Cyclex-d
- M030 Micro 5
- M174 MoldSnap
- M176 Relle Smart
- M130 Via-Cell

Other Microbiology Test Codes

- M041 Fungal Direct Examination
- M014 Endotoxin Analysis
- M029 Enterococci
- M005 Viable Fungi ID and Count
- M015 Heterotrophic Plate Count
- M019 Fecal Coliform
- M006 Viable Fungi ID and Count (Speciation)
- M180 Real Time Q-PCR-ERMI 36
- M133 MRSA Analysis
- M007 Culturable Fungi
- Panel
- M028 *Cryptococcus neoformans* Detection
- M008 Culturable Fungi (Speciation)
- M018 Total Coliform (Membrane Filtration)
- M120 *Histoplasma capsulatum* Detection
- M009 Gram Stain Culturable Bacteria
- M020 Fecal *Streptococcus* (Membrane Filtration)
- M033-39 Allergen Testing
- M010 Bacterial Count and ID - 3 Most Prominent
- M210-215 *Legionella* Detection
- M044 Group Allergen (Cat, Dog, Cockroach, Dustmites)
- M011 Bacterial Count and ID - 5 Most Prominent
- M026 Recreational Water Screen
- Other See Analytical Price Guide
- M013 Sewage Contamination in Buildings
- M027 Mycotoxin Analysis

Preservation Method (Water):

Name of Sampler: Steven Douglas Signature of Sampler: *[Signature]*

Sample #	Sample Location	Sample Type	Test Code	Volume/Area	Date/Time Collected
TL-1	Garage Wall / Drywall	Tape 1.0L	M041	-	10/05/16
TL-2	Basement Wall - Concrete			-	
TL-3	Attic Roof - Plywood			-	
TL-4	Rear Bedroom - Drywall			-	

Client Sample # (s): TL-1-4 Total # of Samples: 4  
 Relinquished (Client): Date: Time:  
 Received (Client): *[Signature]* *[Signature]* Date: 10-8-2016 Time: 10:00 AM

Comments/Special Instructions:

RECEIVED  
 EMSL  
 CINNAMINSON, NJ  
 16 OCT - 8 AM 10:09

## **Appendix D**

### **Staff and Laboratory Certifications**

**STATE OF CONNECTICUT**

**DEPARTMENT OF PUBLIC HEALTH**

PURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTICUT

THE INDIVIDUAL NAMED BELOW IS CERTIFIED  
BY THIS DEPARTMENT AS A

**ASBESTOS CONSULTANT-PROJECT MONITOR**

STEVEN M. DOUGLAS

CERTIFICATE NO.

000578

CURRENT THROUGH

09/30/17

VALIDATION NO.

03-547806

  
SIGNATURE

  
COMMISSIONER

**STATE OF CONNECTICUT**

**DEPARTMENT OF PUBLIC HEALTH**

PURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTICUT

THE INDIVIDUAL NAMED BELOW IS CERTIFIED  
BY THIS DEPARTMENT AS A

**ASBESTOS CONSULTANT-INSP/MGMT PLANNER**

STEVEN M DOUGLAS

CERTIFICATE NO.

000287

CURRENT THROUGH

09/30/17

VALIDATION NO.

03-547807

  
SIGNATURE

  
COMMISSIONER

**STATE OF CONNECTICUT**

**DEPARTMENT OF PUBLIC HEALTH**

PURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTICUT

THE INDIVIDUAL NAMED BELOW IS CERTIFIED  
BY THIS DEPARTMENT AS A

**LEAD INSPECTOR RISK ASSESSOR**

STEVEN M DOUGLAS

CERTIFICATE NO.

002229

CURRENT THROUGH

09/30/17

VALIDATION NO.

03-530660

  
SIGNATURE

  
COMMISSIONER

United States Department of Commerce  
National Institute of Standards and Technology



**Certificate of Accreditation to ISO/IEC 17025:2005**

NVLAP LAB CODE: 101048-0

**EMSL Analytical, Inc.**  
Cinnaminson, NJ

*is accredited by the National Voluntary Laboratory Accreditation Program for specific services,  
listed on the Scope of Accreditation, for:*

**Asbestos Fiber Analysis**

*This laboratory is accredited in accordance with the recognized International Standard ISO/IEC 17025:2005.  
This accreditation demonstrates technical competence for a defined scope and the operation of a laboratory quality  
management system (refer to joint ISO-ILAC-IAF Communique dated January 2009).*

2016-07-01 through 2017-06-30

*Effective Dates*



  
For the National Voluntary Laboratory Accreditation Program

1001240 01 AV 0.388 \*\*AUTO T7 1 0564 06062 155999 C01-P01243-I



**LOUREIRO ENGINEERING ASSOCIATES INC**  
100 NORTHWEST DR  
PLAINVILLE CT 06062-1559



Dear LOUREIRO ENGINEERING ASSOCIATES INC,

Attached you will find your validated license for the coming year. Should you have any questions about your license renewal, please do not hesitate to write or call:

Department of Public Health  
P.O. Box 340308  
M.S.#12MQA  
Hartford, CT 06134-0308

(860) 509-7603  
oplc.dph@ct.gov  
www.ct.gov/dph/license

Sincerely,

**RAUL PINO, MD, MPH, ACTING COMMISSIONER**  
DEPARTMENT OF PUBLIC HEALTH

**EMPLOYER'S COPY**

**STATE OF CONNECTICUT**  
DEPARTMENT OF PUBLIC HEALTH

NAME  
LOUREIRO ENGINEERING ASSOCIATES INC

VALIDATION NO. <b>03-372321</b>	LICENSE NO. <b>002068</b>	CURRENT THROUGH <b>12/31/16</b>
------------------------------------	------------------------------	------------------------------------

PROFESSION  
LEAD CONSULTANT CONTRACTOR

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
ACTING COMMISSIONER

**STATE OF CONNECTICUT**  
DEPARTMENT OF PUBLIC HEALTH

PURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTICUT

THE INDIVIDUAL NAMED BELOW IS LICENSED  
BY THIS DEPARTMENT AS A  
**LEAD CONSULTANT CONTRACTOR**

LOUREIRO ENGINEERING ASSOCIATES INC	LICENSE NO. <b>002068</b>
	CURRENT THROUGH <b>12/31/16</b>
	VALIDATION NO. <b>03-372321</b>

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
ACTING COMMISSIONER

**INSTRUCTIONS:**

1. Detach and sign each of the cards on this form
2. Display the large card in a prominent place in your office or place of business.
3. The wallet card is for you to carry on your person. If you do not wish to carry the wallet card, place it in a secure place.
4. The employer's copy is for persons who must demonstrate current licensure/certification in order to retain employment or privileges. The employer's card is to be presented to the employer and kept by them as a part of your personnel file. Only one copy of this card can be supplied to you.

**WALLET CARD**

**STATE OF CONNECTICUT**  
DEPARTMENT OF PUBLIC HEALTH

NAME  
LOUREIRO ENGINEERING ASSOCIATES INC

VALIDATION NO. <b>03-372321</b>	LICENSE NO. <b>002068</b>	CURRENT THROUGH <b>12/31/16</b>
------------------------------------	------------------------------	------------------------------------

PROFESSION  
LEAD CONSULTANT CONTRACTOR

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
ACTING COMMISSIONER

1001240-0001248-0000001 of 00000001-C01-a1d00101-0664-01243

## SECTION 01100 — SUMMARY OF WORK

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. This Section includes:
1. Description of the Project and the Work.
  2. Alternates.
  3. Allowances.
  4. Unit Prices
  5. Use of Premises.
  6. Occupancy Requirements.
  7. Applications for Payment.
  8. Project Coordination.
  9. Project Meetings.
  10. Submittals.
  11. Reference Standards.
  12. Temporary Facilities.
  13. Material and Equipment.
  14. Installation Standards.
  15. Progress Cleaning.
  16. Cleaning, Maintenance and Protection.
  17. Cutting and Patching.
  18. Contract Closeout.
  19. Record Documents.
  20. Re-Instate Site.

#### 1.2 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Project consists of (but is not limited to) the removal and replacement of the existing roofing, flashing, gutters, downspouts and related accessories. In addition, the scope of work includes removal and replacement of rotted or deteriorated wood siding at the junction of the roof surface.
1. Project Location: 271 Ridgeview Avenue, Fairfield, Connecticut
  2. Owner: Jonathan and Marsha Elkind
  3. DOH Project Number: 1171
- B. The scope of the project includes the removal of the existing roofing material (all layers) to the plywood sheathing substrate below; removal of the existing gutters and downspouts; removal of any rotted or deteriorated plywood roof sheathing, and the removal of the existing flashing material at the joint between the horizontal. The scope also includes the re-installation of the above listed items.

1. Demolition: Selective demolition is required both on the interior (underside of roof) and exterior of the house (roofing material, flashing removal, removal of the existing gutters/downspouts and wood siding that covers the flashing materials).

On the interior the work includes, but is not limited to the following – a portion of the existing plywood sheathing is deteriorated from water damage caused during the storm. Removal of water damaged plywood sheathing will be required – Refer to the Allowance Section of this specification for additional information.

Exterior work includes, but may not be limited to – the removal of the existing roofing shingles (all layers) to the plywood substrate, the removal of all related accessories, the removal of wood siding along the joint between the horizontal roof surface and the vertical house wall, the removal of the existing gutter and downspouts and the removal of the existing metal flashing materials.

2. Sitework: No sitework has been included in the overall scope of work. However, areas damaged during the construction process will need to be repaired.

**NOTE: The existing driveway is scheduled to remain – Care should be taken to protect the existing bituminous during construction. Any damage that occurs will be the responsibility of the General Contractor to repair.**

**NOTE: All disturbed areas of the site will need to be finished with topsoil, seeded and covered with hay for stabilization. The site should be re-instated back to the original conditions after construction has been completed.**

3. Interior Construction: Interior construction work is limited to the re-installation of any removed plywood sheathing on the roof.
4. Exterior Construction: Work on the exterior of the existing house includes, but may not be limited to; the installation of new asphalt shingles, related roofing accessories (metal drip edge, ridge vent, etc.), flashing and the installation of new gutter/downspouts.
5. Finishes: The finish work is on the exterior of the house – All newly installed wood siding shall match the existing house material in texture, finish and exposure to the weather. The new siding shall be painted or stained to match the existing house – Color and/or Surface Finish. All new wood siding shall be back primed before installation. (NOTE: All existing painted or stained surfaces are to remain – No work required as part of this Scope.)
6. Scope of Environmental Related Work: As part of the scope of work for this project remove only the “Mold Contaminated Components”. As outlined in the report, the areas containing these components are the – Basement Wall (approximately 120 SF), Garage Ceiling/Wall (approximately 30 SF), Roof Sheathing (approximately 50 SF – this item is covered by the Allowance). No other Environmental related work shall be performed.

1.3 ALTERNATES

- A. Alternates: As outlined in Section 01230

1.4 ALLOWANCES

- A. Allowances: As outlined in Section 01210.

1.5 UNIT PRICES

- A. Unit Pricing: As outlined in Section 01220.

1.6 USE OF PREMISES

- A. Use of the Site: Limit use of the premises to work in areas indicated or within silt-fence. Confine operations to areas within contract limits indicated. Do not disturb portions of the site beyond the areas in which the Work is indicated.
  - 1. Protect adjoining properties from damage.
- B. Owner Use of Premises:
  - 1. The Owner will continue to occupy the premises during the Construction Phase of the project. Care should be taken to not block the driveway or access to and from the premises.
- C. Contractor Use of Premises:
  - 1. Smoking is prohibited in the building or on the site.
  - 2. Maintain the existing building in a weathertight condition throughout the construction period.
  - 3. Repair damage caused by construction operations.
  - 4. Take all precautions necessary to protect the building during the construction period.
  - 5. Limit use of the premises to construction activities in the areas indicated.
  - 6. Provide for temporary restroom facilities on the site.

1.7 OCCUPANCY REQUIREMENTS

- A. Owner Occupancy: The Owner will continue to occupy the site or the dwelling during the construction period. Maintain clear access to and from the premises at all times.

1.8 APPLICATIONS FOR PAYMENT

- A. Schedule of Values: Coordinate the Schedule of Values and Applications for Payment with the Contractor's Construction Schedule, Submittal Schedule, and List of Subcontracts.

- B. Coordinate preparation of the Schedule of Values with preparation of the Contractor's Construction Schedule. Correlate line items in the Schedule of Values with other required administrative schedules and forms.
- C. Format and Content: Use the Project Manual's Bid Breakdown Form as a guide to establish the Schedule of Values. Include the following Project identification on the Schedule of Values:
  - 1. Project name and location.
  - 2. Name of the Architect.
  - 3. Project number.
  - 4. Contractor's name and address.
  - 5. Date of submittal.
- D. Payment Application Forms/Time: Use AIA Document G702 Requisition Form as the form for Applications for Payment. Payment is on a monthly basis.
- E. Application Preparation: Complete every entry, including notarization and execution by a person authorized to sign on behalf of the Contractor. The Architect will return incomplete applications without action.
  - 1. Entries shall match data on the Schedule of Values and the Contractor's Construction Schedule. Use updated schedules if revisions were made.
  - 2. Include amounts of Change Orders and Construction Change Directives issued prior to the last day of the construction period covered by the application.
  - 3. Applications shall be consistent with previous applications and payments as certified by the Architect and paid for by the DOH.
- F. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of the first Application for Payment include the following:
  - 1. List of subcontractors.
  - 2. List of principal suppliers and fabricators.
  - 3. Schedule of Values.
  - 4. Contractor's Construction Schedule (preliminary if not final).
  - 5. Submittal Schedule (preliminary if not final).
- G. Application for Payment at Substantial Completion: Following issuance of the Certificate of Substantial Completion, submit an Application for Payment. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
  - 1. Administrative actions and submittals that shall precede or coincide with this application include the following:
    - a. Occupancy permits.
    - b. Warranties and maintenance agreements.
    - c. Test/adjust/balance records.
    - d. Maintenance instructions.
    - e. Meter readings.
    - f. Changeover information related to Owner's occupancy.
    - g. Final cleaning.
- H. Final Payment Application: Administrative actions and submittals that must precede or coincide with submittal of the final Application for Payment include the following:

1. Completion of Project closeout requirements.
2. Completion of items specified for completion after Substantial Completion.
3. Transmittal of Project construction records to the Owner.
4. Certified property survey.
5. Proof that taxes, fees, and similar obligations were paid.
6. Removal of temporary facilities and services.
7. Change of door locks to Owner's access.

#### 1.9 PROJECT COORDINATION

- A. Before starting the Work, schedule a meeting with the Owner, Architect and others as necessary to review phasing of the Work.
- B. Submit a list of key individuals, the superintendent and other personnel at the site, with addresses and telephone numbers where they can be contacted.

#### 1.10 PROJECT MEETINGS

- A. The Architect shall schedule and administer project meetings as required to review progress of the Work, status of the construction schedule, submittals, pending changes and substitutions, and other items affecting progress of the Work.

#### 1.11 SUBMITTALS

- A. General: Submit product data, shop drawings, and samples to Architect in ample time to permit review and resubmitted in order to meet the Contract time schedule. Delays caused by failure to promptly submit shop drawings and samples shall not be cause for extension of completion date.
  1. Accompany each submittal with a completed transmittal/submittal coversheet. Include project name, Contractor, supplier or fabricator. Identify material or product, and note any deviation from the requirements of the Contract Documents.
  2. Review all material and stamp with approval prior to submittal to the Architect.
  3. Submittals made without the Contractor's stamped approval, complete identification, or transmittal/submittal coversheet will be returned to the Contractor for correction.
  4. The Architect will review and return shop drawings and samples within a reasonable time after receipt.
  5. The Architect will not accept facsimile (FAX) submittals.
- B. Shop Drawings and Product Data:
  1. Submit shop drawings in the form of a reproducible transparency.
  2. Submit four (4) copies of product data and schedules of standard manufactured items in hard copy format.
  3. Shop drawings shall show subject work in detail. Show contiguous work by other trades for proposed relationship and transition.
  4. **Do not fabricate or install work until the Architect has reviewed and approved submitted shop drawings.** Work shown on shop drawings marked "Amend As Noted" may be fabricated at the Contractor's discretion.

5. Do not use reproducibles of Contract Drawings for shop drawings.

C. Samples:

1. Samples submitted shall show the full variation of color, type, size, finish, and texture of the materials.
2. Material furnished for the project which are not equal to or which show excessive variation of finish from previously submitted samples shall be immediately removed from the Project and replaced.
3. The Architect will not assume responsibility for the return of samples.

1.12 REFERENCE STANDARDS

- A. Terms such as "directed", "requested", "authorized", "selected", "approved", "required", and "permitted" mean "directed by the Architect", and similar phrases. However, no implied meaning shall be interpreted to extend the Architect's responsibility into the Contractor's area of construction supervision.
- B. The term "approved", where used in conjunction with the Architect's action on the Contractor's submittals, applications, and requests, is limited to the duties and responsibilities of the Architect. Such approval shall not release the Contractor from responsibility to fulfill Contract requirements unless otherwise provided in the Contract Documents.
- C. The term "Regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work, whether lawfully imposed by authorities having jurisdiction or not.
- D. The term "furnish" is used to mean "supply and deliver to the Project Site, ready for unloading, unpacking, assembly, installation, and similar operations."
- E. The term "install" is used to describe operations at project site including the actual "unloading, unpacking, assembly, erection, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations."
- F. The term "provide" means "to furnish and install, complete and ready for the intended use."
- G. Specification Content: These Specifications use certain conventions for style of language and the intended meaning of certain term, words, and phrases when used in particular situations.
  1. Abbreviated Language: Language used in the Specifications and other Contract Documents is abbreviated. Words implied, but not stated, shall be interpolated, as the sense requires. Singular words shall be interpreted as plural and plural words interpreted as singular where applicable as the context of the Contract Documents indicates.
  2. Imperative mood and streamlined language are generally used in the Specifications. The Contractor shall perform requirements expressed in the imperative mood. At certain locations in the text, subjective language is used for clarify to describe

responsibilities that must be fulfilled indirectly by the Contractor or by others when so noted.

- a. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.

H. Installer:

1. An "Installer" is the Contractor or an entity engaged by the Contractor, either as an employee, subcontractor, or sub-subcontractor, for performance of a particular construction activity, including installation, erection, application, and similar operations.
2. Installers are required to be experienced in the operations they are engaged to perform.
3. The term "experienced", when used with the term "Installer" means having a minimum of 5 previous projects similar in size and scope to this Project, being familiar with the precautions required, and having complied with requirements of the authority having jurisdiction.

I. Project Site: Space available to the Contractor for performance of construction activities, either exclusively or in conjunction with others performing other construction activities as part of the Project.

1. The extent of the Project Site is shown on the Drawings.
2. Do not use or encroach on adjoining property.
3. Do not use street for storage or parking of equipment.

J. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale Research's "Encyclopedia of Associations" or in Columbia Books' "National Trade & Professional Associations of the U.S."

K. Industry Organizations: Where referenced in the Contract documents, the following acronyms or abbreviations shall be defined by the associated industry organization:

1. Names and addresses are subject to change and are believed to be, but are not assured to be, accurate and up to date as of date of Contract Documents.
  - a. AAMA American Architectural Manufacturer's Association  
1540 East WP Road, Suite 310  
Palatine, IL 60067 (708) 202-1350
  - b. AASHTO American Association of State Highway and Transportation Officials  
444 North Capitol Street, Suite 249  
Washington, D.C. 20001 (202) 624-5800
  - c. ACI American Concrete Institute  
P.O. Box 19150  
Detroit, MI 48219 (313) 532-2600
  - d. ACIL American Council of Independent Laboratories  
1629 K Street, NW  
Washington, D.C. 20006 (202) 887-5872
  - e. AIA American Institute of Architects  
1735 New York Avenue, NW  
Washington, DC 20006 (202) 626-7300
  - f. AISC American Institute of Steel Construction  
One East Wacker Drive, Suite 3100

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g.	ANSI	Chicago, IL 60601-2001 American National Standards Institute 11 West 42nd Street, 13th Floor New York, NY 10036	(312) 670-2400 (212) 642-4900
h.	APA	American Plywood Association P.O. Box 11700 Tacoma, WA 98411	(206) 565-6600
i.	ASHRAE Engineers	American Society of Heating, Refrigerating and Air Conditioning 1791 Tullie Circle, NE Atlanta, GA 30329	(404) 636-8400
j.	ASTM	American Society for Testing and Materials 1916 Race Street Philadelphia, PA 19103-1187	(215) 299-5400
k.	AWI	Architectural Woodwork Institute P.O. Box 1550 13924 Braddock Road, Suite 100 Centerville, VA 22020	(703) 222-1100
l.	AWPA	American Wood Preservers' Association P.O. Box 286 Woodstock, MD 21163-0286	(410) 465-3169
m.	AWS	American Welding Society 550 Le Jeune Road, NW P.O. Box 351040 Miami, FL 33135	(305) 443-9353
n.	BIA	Brick Institute of America 11490 Commerce Park Drive Reston, VA 2209	(703) 620-0010
o.	CRI	Carpet and Rug Institute P.O. Box 2048 Dalton, GA 30722	(706) 278-3176
p.	CRSI	Concrete Reinforcing Steel Institute 933 Plum Grove Road Schaumburg, IL 60173	(708) 517-1200
q.	DHI	Door and Hardware Institute 14170 Newbrook Drive Chantilly, VA 22102-2223	(703) 222-2010
r.	FGMA	Flat Glass Marketing Association White Lakes Professional Building 3310 SW Harrison Street Topeka, KS 66611-2279	(913) 266-7013
s.	FM	Factory Mutual Research Organization 1151 Boston-Providence Turnpike P.O. Box 9102 Norwood, MA 02062	(617) 762-4300
t.	GA	Gypsum Association 810 First Street, NE, Suite 510 Washington, DC 20002	(202) 289-5440
u.	IGCC	Insulating Glass Certification Council	

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- c/o ETL Testing Laboratories, Inc.  
P.O. Box 2040  
Route 11, Industrial Park  
Cortland, NY 13045 (607) 753-6711
- v. NAAMM National Association of Architectural Metal Manufacturers  
600 S. Federal Street, Suite 400  
Chicago, IL 60605 (312) 922-6222
- w. NBHA National Builders Hardware Association  
(Now DHI)
- x. NCMA National Concrete Masonry Association  
2302 Horse Pen Road  
Herndon, VA 22071-3406 (703) 713-1900
- y. NEC National Electric Code (from NFPA)
- z. NECA National Electrical Contractors Association  
3 Bethesda Metro Center, Suite 1100  
Bethesda, MD 20814 (301) 657-3110
- aa. NEMA National Electrical Manufacturers Association  
2101 L Street, NW, Suite 300  
Washington, DC 20037 (202) 457-8400
- bb. NFPA National Fire Protection Association  
One Batterymarch Park  
P.O. Box 9101  
Quincy, MA 02269-9101 (617) 770-3000 or (800) 344-3555
- cc. N.F.P.A. National Forest Products Association  
(See AFPA. now known as the American Wood Council of the  
American Forest and Paper Association)
- dd. NPCA National Paint and Coatings Association  
1500 Rhode Island Avenue, NW  
Washington, DC 20005 (202) 462-6272
- ee. NRCA National Roofing Contractors Association  
10255 West Higgins Road, Suite 600  
Rosemont, IL 60018-5607 (708) 299-9070
- ff. NTMA National Terrazzo and Mosaic Association  
3166 Des Plaines Avenue, Suite 132  
Des Plaines, IL 60018 (708) 635-7744
- gg. NWWDA National Wood Window and Door Association (Formerly NWMA)  
1400 E. Touhy Avenue, #G54  
Des Plaines, IL 60018 (708) 299-5200 or (800) 223-2301
- hh. RFCI Resilient Floor Covering Institute  
966 Hungerford Drive, Suite 12-B  
Rockville, MD 20805 (301) 340-8580
- ii. SDI Steel Deck Institute  
P.O. Box 9506  
Canton, OH 44711 (216) 493-7886
- jj. S.D.I. Steel Door Institute  
30200 Detroit Road  
Cleveland, OH 44145 (216) 899-0010
- kk. SIGMA Sealed Insulating Glass Manufacturers Association

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		401 North Michigan Avenue Chicago, IL 60611	(312) 644-6610
II.	SJI	Steel Joist Institute 1205 48th Street North, Suite A Myrtle Beach, SC 29577	(803) 449-0487
mm.	SMACNA	Sheet Metal and Air Conditioning Contractors National Association 4201 Lafayette Center Drive Chantilly, VA 22021	(703) 803-2980
nn.	TCA	Tile Council of America P.O. Box 326 Princeton, NJ 08542	(609) 921-7050
oo.	UL	Underwriters Laboratories 333 Pfingsten Road Northbrook, IL 60062	(312) 272-8800

L. Federal government agencies:

1. The following acronyms or abbreviations indicate names of standard or specification producing agencies of the federal government.
2. Names and addresses are subject to change and are believed to be, but are not assured to be, accurate and up to date as of the date of the Contract Documents.
  - a. CS Commercial Standard (U.S. Department of Commerce)  
Government Printing Office  
Washington, DC 20402 (202) 783-3238
  - b. DOC Department of Commerce  
14th Street and Constitution Avenue, NW  
Washington, DC 20230 (202) 482-2000
  - c. EPA Environmental Protection Agency  
401 M Street, SW  
Washington, DC 20460 (202) 382-2090
  - d. FS Federal Specification (from GSA) Specifications Unit (WFSIS)  
7th and D Streets, SW  
Washington, DC 20407 (202) 708-9205
  - e. GSA General Services Administration  
F Street and 18th Street, NW  
Washington, DC 20405 (202) 708-5082
  - f. OSHA Occupational Safety and Health Administration  
(U.S. Department of Labor)  
200 Constitution Avenue, NW  
Washington, DC 20210 (202) 219-6091
  - g. PS Product Standard of NBS (U.S. Department of Commerce)  
Government Printing Office  
Washington, DC 20402 (202) 783-3238

1.13 TEMPORARY FACILITIES

- A. Water Service: The Contractor will provide, maintain and pay for costs of operating water systems as required for construction.

- B. Electric Power Service: The Contractor shall provide maintain and pay for costs of electric power service.
  - 1. The Contractor shall install temporary power distribution system, disconnects, extension cords and wiring devices of sufficient size, capacity and power characteristics to accommodate performance of work during the construction period.
  - 2. The Contractor shall provide temporary lighting in all work areas to meet or exceed standards required by O.S.H.A.
  
- C. Toilet and Wash Facilities: Do not use wash facilities for cleaning tools or equipment.
  
- D. Toilets:
  - 1. Provide single-occupant self-contained units of the chemical, aerated recirculation, or combustion type, properly vented.
  - 2. Units to be fully enclosed with a shell of glass fiber reinforced polyester or similar non-absorbent material.
  - 3. Locate and shield units for privacy.
  
- E. Wash Facilities
  - 1. Install potable-water-supply wash facilities at locations convenient to construction personnel involved in the handling of compounds and materials where wash-up is necessary to maintain a healthy and sanitary condition.
  - 2. Drain and dispose of drainage properly. Supply soap and other cleaning compounds appropriate for each condition.
  - 3. Where recommended or required by governing authorities or recognized standards, provide shower baths, safety showers, eyewash fountains and similar facilities for the convenience, safety and sanitation of construction personnel.
  
- F. Temporary Heat: The Contractor will provide, (if required) maintain and pay for costs of operating a temporary heating system.
  - 1. Contractor shall install distribution piping, ductwork and fans required to distribute heat for service during the construction period.
  - 2. Contractor shall provide temporary heat as needed for performance of the work, for curing or drying and for protection of work from adverse effects of low temperatures or high humidity.
  - 3. Do not use gasoline-burning space heaters, open flame, or salamander type heating units.
  - 4. Select heating equipment known to be safe, which will not have a harmful effect upon, completed work or work being installed.
  - 5. Coordinate heating and ventilation to produce required ambient conditions and to minimize the consumption of fuel.
  - 6. Maintain temperature and humidity conditions as required for specific work. Where no minimum is specified, maintain a minimum temperature of 45°F in permanently enclosed portions of the building and areas where finished work has been installed.
  - 7. Except where use of the permanent heating system is authorized, provide vented self-contained LP gas or fuel oil heaters with individual space thermostatic control for temporary heat.
  
- G. Temporary Enclosures: Provide temporary partitions and ceilings where required to separate work areas if required.

- H. Protection of Installed Work: Provide temporary protection for installed products. Control traffic in immediate area to minimize possible damage.
- I. Temporary Fire Protection: Install and maintain temporary fire protection facilities of the types needed to adequately protect against reasonably predictable and controllable fire losses.
  - 1. Smoking is prohibited in the building or on the site.
  - 2. Store combustible materials in containers in recognized fire-safe locations.
  - 3. Maintain unobstructed access to fire extinguishers, fire hydrants, temporary fire protection facilities, stairways and other access routes for fighting fires.

#### 1.14 MATERIAL AND EQUIPMENT

- A. Product Specification Requirements: Where only a single product or manufacturer is named, furnish the product indicated. When two or more products or manufacturers are named, furnish one of the products indicated.
  - 1. Substitutions will be considered if approved by Owner.
- B. Performance Specification Requirements: Where Specifications require compliance with performance requirements, furnish products that comply with these requirements, which are recommended by the manufacturer for the application indicated.
- C. Deliver, store and handle products in accordance with the manufacturer's recommendations, using means and methods that will prevent damage, deterioration and loss, including theft.
- D. Schedule delivery to minimize storage at the site and to prevent overcrowding of construction spaces.
- E. Deliver products to the site in the manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting and installing.
- F. Store heavy materials away from the Project structure in a manner that will not endanger the supporting construction.
- G. Store products, subject to damage by the elements, above ground and under cover, in a weathertight enclosure with ventilation adequate to prevent condensation. Maintain temperature and humidity within range required by manufacturer's instructions.

#### 1.15 INSTALLATION STANDARDS

- A. Place the work in correct position and, unless specifically called for otherwise, build and install parts of the work level, plumb, and square.
- B. Paint aluminum embedded in masonry or in contact with dissimilar metals with bituminous paint.

- C. Inspect each product upon delivery and again immediately before installation. Do not install damaged or defective products, materials, or equipment.

#### 1.16 PROGRESS CLEANING

- A. Establish a system for daily collection and disposal of waste materials from construction areas and elsewhere on the Project site. Enforce requirements strictly.
- B. Remove and legally dispose of all rubbish, debris, and damaged material. Do not allow trash and combustible materials to accumulate in the Building or on the site. Do not hold collected materials at the site longer than seven days.
  - 1. Do not bury or burn waste materials on the site.
  - 2. Do not wash waste materials into sewers, waterways or wetland areas.
- C. Transport demolished materials off Owner's property and dispose of them legally
- D. Handle waste materials that are hazardous, dangerous, or unsanitary, separately from other inert waste by appropriate containerizing.
- E. Provide rodent-proof containers conveniently located on each floor level to encourage depositing of garbage and similar wastes by construction personnel.

#### 1.17 CLEANING, MAINTENANCE AND PROTECTION

- A. During handling and installation of products at the Project site, clean and protect the work in progress, as well as the adjoining work, as part of a continuing maintenance program.
- B. Clean each element at the time of installation. Apply protective coverings on installed work, where required to protect from damage or deterioration, up to Substantial Completion and acceptance of the Work.
- C. Adjust and lubricate operable components of equipment installed.
- D. After painting operations have begun in an area, do cleaning only with commercial vacuum cleaning equipment. Do not use brooms.
- E. Cover existing carpeting and floor finishes to remain to prevent damage and soiling during selective demolition. Install temporary partitions to contain dust from adjacent areas not included in the renovation area.
- F. Clean adjacent structures and improvements of dust, dirt and debris caused by selective demolition. Return adjacent areas to condition existing before start of selective demolition.

#### 1.18 CUTTING AND PATCHING

- A. Do not cut and patch structural elements in a manner that would reduce their load-carrying capacity or load-deflection ratio.

- B. Do not cut and patch construction exposed on the exterior or in occupied spaces, in a manner that would, in the Architect's opinion, reduce the building's aesthetic qualities, or result in visual evidence of cutting and patching.
- C. Do not cut or penetrate the existing roof surface for any mechanical or electrical construction, which could expose the building interior to water damage or interfere with building occupancy. If any cutting or penetrating of roof membrane is required, the Contractor shall notify the Architect prior to any commencing any work.
- D. Cut existing construction using methods least likely to damage adjoining elements, to minimize noise and vibration in the occupied structure. Use hand or small power tools designed for sawing and grinding, not hammering and chopping.
- E. Do not perform welding operations or use cutting torches inside the building.
- F. Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and finishing.

#### 1.19 CONTRACT CLOSEOUT

- A. Substantial Completion: Before requesting inspection for certification of Substantial Completion, complete the following. List exceptions in the request.
  - 1. In the Application for Payment that coincides with, or first follows the date Substantial Completion is claimed, show 100 percent completion for the portion of the Work claimed as substantially complete. Include supporting documentation for completion as indicated in these Contract Documents and a statement showing an accounting of changes to the Contract Sum.
  - 2. If 100 percent completion cannot be shown, include a list of incomplete items, the value of incomplete construction, and reasons the Work is not complete.
  - 3. Advise Owner of pending insurance changeover requirements.
  - 4. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents.
  - 5. Obtain and submit releases enabling the Owner unrestricted use of the Work and access to services and utilities; include occupancy permits, operating certificates and similar releases.
  - 6. Deliver tools, spare parts, extra stock, and similar items.
  - 7. Make final changeover of permanent locks and transmit keys to the Owner. Advise the Owner's personnel of changeover in security provisions.
  - 8. Complete start-up testing of systems, and instruction of the Owner's operating and maintenance personnel.
  - 9. Discontinue or change over and remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.
  - 10. Complete final clean up requirements, including touch-up painting. Touch-up and otherwise repair and restore marred exposed finished.
- B. Final Clean-Up and Repair:
  - 1. Remove remaining temporary construction, excess material and equipment.
  - 2. Remove waste, foreign matter, and debris resulting from construction, from the building areas and the site.

3. Restore material, property and construction damaged by construction personnel and equipment during performance of the Work.
  4. In addition to removal of debris and cleaning included in other Sections, clean exposed-to-view surfaces of the Work.
  5. Clean and renovate permanent products and systems used to provide temporary services and facilities during construction.
  6. Remove temporary protection and labels, which are not required to remain.
  7. Replace damaged or broken glass and other damaged transparent materials.
- C. Preliminary Procedures: Before requesting inspection for certification of completion, submit the following. List exceptions in the request.
1. Final payment request with releases and supporting documentation not previously submitted and accepted.
  2. Certificates of insurance for products and completed operations where required.
  3. Updated final statement, accounting for final additional changes to the Contract Sum.
  4. Certified copy of the Architect's final inspection list of items to be completed or corrected, stating that each item has been completed or otherwise resolved for acceptance, and that the list has been endorsed and dated by the Architect.
  5. Final meter readings for utilities, a measured record of stored fuel, and similar data as of the date of Substantial Completion, or when the Owner took possession of and responsibility for corresponding elements of the Work.
  6. Consent of surety to final payment.
  7. Evidence of final, continuing insurance coverage complying with insurance requirements.

#### 1.20 RECORD DOCUMENTS

- A. Maintain a set of Construction Documents separate from those used for construction. Clearly identify it as the Record Set and keep it current.
1. Record on the Drawings information on construction-in-place, which varies from the Contract Document data.
  2. Carefully locate hidden and underground utilities, as they are uncovered or as installed. Record locations from permanent structures.
- B. On completion of the project, transfer data from the field Record Drawings to a fresh set of prints, which will be provided by the Architect.
1. Submit the prints to the Architect for review.
  2. Upon approval by the Architect, reproduce the prints onto a set of mylar reproducible prints and deliver to the Owner, along with reviewed record set.
  3. The General Contractor shall provide two sets of As-Built Drawings and Specifications.

#### 1.21 RE-INSTATE SITE

- A. The General Contractor shall be responsible for "Re-Instating" the Site to original conditions (including, but not limited to topsoil, walkways, gravel driveways and bituminous pavement). Stripped topsoil shall be re-spread to finish grade elevations and seeded.

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PART 2 - PRODUCTS [Not Used]

PART 3 - EXECUTION [Not Used]

END OF SECTION 01100

## SECTION 01200 - EXAMINATION OF PREMISES AND ASSUMPTION OF RISKS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 EXAMINATION OF PREMISES AND ASSUMPTION OF RISKS

- A. The Contractor and the subcontractors shall carefully examine the site and all conditions that may be encountered in the performance thereof and shall assume all risks and bear all losses pertaining thereto. No claims for additional costs will be allowed because of a lack of full knowledge of the conditions.
- B. All subcontractors shall carefully examine the conditions of the building and of the work done by others before beginning their work, and any condition therein that may be detrimental to their own installation shall be reported immediately in writing to the General Contractor, the Architect and the Owner.
- C. Failure to make such report constitutes acceptance of the conditions then existing and the assumption of all risks and full responsibility for any subsequent damage, injury or loss either to the subcontractor's work or to the work of others. Any damage, injury or loss shall be made good by said Contractor.
- D. All subcontractors shall carefully observe any changes in conditions prevailing upon starting their work, especially in temperature and humidity, both during and upon completing their work until final acceptance, which may be detrimental to their work.
- E. Such changes in conditions shall be promptly reported to the General Contractor, the Architect and the Owner both verbally and in writing. The General Contractor shall immediately correct the condition reported. Failure of the General Contractor to correct the condition shall place responsibility for all damage incurred upon the General Contractor. Failure of the subcontractor to make such report shall constitute acceptance of responsibility for all damage, injury or loss including any damage, injury or loss of the work of others.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01200

## SECTION 01210 – ALLOWANCES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements governing the following:
  - 1. Lump-sum allowances.
  - 2. Unit-cost allowances.
  - 3. Quantity allowances.
  - 4. Contingency allowances.
  - 5. Testing and inspecting allowances.
- B. See Division 01 Section 01400 "Quality Requirements" for procedures governing the use of allowances for testing and inspecting.

#### 1.3 SELECTION AND PURCHASE

- A. At the earliest practical date after award of the Contract, advise Architect of the date when final selection and purchase of each product or system described by an allowance must be completed to avoid delaying the Work.
- B. At Architect's request, obtain proposals for each allowance for use in making final selections. Include recommendations that are relevant to performing the Work.
- C. Purchase products and systems selected by Architect from the designated supplier.

#### 1.4 SUBMITTALS

- A. Submit proposals for purchase of products or systems included in allowances, in the form specified for Change Orders.
- B. Submit invoices or delivery slips to show actual quantities of materials delivered to the site for use in fulfillment of each allowance.
- C. Coordinate and process submittals for allowance items in same manner as for other portions of the Work.

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#### 1.5 COORDINATION

- A. Coordinate allowance items with other portions of the Work. Furnish templates as required to coordinate installation.

#### 1.6 LUMP-SUM ALLOWANCES

- A. Unless otherwise indicated, the Allowance amount includes Contractor's costs for the material, applicable sales tax, receiving and handling material at project site, labor, installation, overhead and profit, and similar costs related to products and materials. The General Contractor shall provide receipts illustrating the material costs and quantity purchased. In addition, an outline of the man-hours utilized to install materials and the cost per man-hour for the work specified. All unused monies shall be credited to the project at closeout time.
- B. Refer to Schedule of Allowances - Section 3.3 for additional information.

#### PART 2 - PRODUCTS (Not Used)

#### PART 3 - EXECUTION

##### 3.1 EXAMINATION

- A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

##### 3.2 PREPARATION

- A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

##### 3.3 SCHEDULE OF ALLOWANCES

###### 1. Replacement of Deteriorated Plywood Sheathing on Roof Surface:

Allowance will cover the cost to remove and replace any rotted or deteriorated Plywood Sheathing. Notify the Architect prior to proceeding with replacement work.

Plywood Sheathing on Roof - \$500.00

END OF SECTION 01210

## SECTION 01220 - UNIT PRICES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for unit prices.

#### 1.3 DEFINITIONS

- A. Unit price is an amount proposed by bidders, stated on the Bid Form, as a price per unit of measurement for materials or services added to or deducted from the Contract Sum by appropriate modification, if estimated quantities of Work required by the Contract Documents are increased or decreased.

#### 1.4 PROCEDURES

- A. Unit prices include all necessary material, plus cost for delivery, installation, insurance, applicable taxes, overhead, and profit.
- B. Measurement and Payment: Refer to individual Specification Sections for work that requires establishment of unit prices. Methods of measurement and payment for unit prices are specified in those Sections.
- C. Owner reserves the right to reject Contractor's measurement of work-in-place that involves use of established unit prices and to have this work measured, at Owner's expense, by an independent surveyor acceptable to Contractor.
- D. List of Unit Prices: A list of unit prices is included in Part 3. Specification Sections referenced in the schedule contain requirements for materials described under each unit price.

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PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 LIST OF UNIT PRICES

A. Unit Prices:

None

END OF SECTION 01220

## SECTION 01230 - ALTERNATES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for alternates.

#### 1.3 DEFINITIONS

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the Bidding Requirements that may be added to or deducted from the Base Bid amount if Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
  - 1. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternate into the Work. No other adjustments are made to the Contract Sum.

#### 1.4 PROCEDURES

- A. Coordination: Modify or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
  - 1. Include as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation whether or not indicated as part of alternate.
- B. Notification: Immediately following award of the Contract, notify each party involved, in writing, of the status of each alternate. Indicate if alternates have been accepted, rejected, or deferred for later consideration. Include a complete description of negotiated modifications to alternates.
- C. Execute accepted alternates under the same conditions as other work of the Contract.
- D. Schedule: A Schedule of Alternates is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

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## PART 2 - PRODUCTS

### 2.1 MANUFACTURES

- A. See Manufacturer listed below
- B. Substitutions will be considered.

## PART 3 - EXECUTION

### 3.1 SCHEDULE OF ALTERNATES

- 1. None

END OF SECTION 01230

## SECTION 01240 - PROCEDURES AND CONTROLS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 DESCRIPTION OF WORK

- A. The types of minimum requirements for procedures and performance or control work of a general nature include but are not limited to the following categories:
  1. Coordination and meetings.
  2. Surveys and records or reports.
  3. Limitations for use of site.
  4. Inspections, tests and reports.
  5. General installation provisions.
  6. Cutting and patching.
  7. Cleaning and protection.
  8. Conservation and salvage.

#### 1.3 COORDINATION AND MEETINGS

- A. General: Prepare and distribute to each entity performing work at project site, a written memorandum of instructions on required coordination activities, including required notices, reports and attendance at meetings. Prepare similar memorandum for separate contractors where interfacing of work is required.

#### 1.4 LIMITATIONS FOR USE OF SITE

- A. General: In addition to site utilization limitations and requirements shown on drawings, written instructions contained in these specifications, and indicated by other contract documents, administer allocation of available space equitably among entities needing access and space, so as to produce best overall efficiency in performance of total work of project. Schedule deliveries so as to minimize space and time requirements for storage of materials and equipment on site.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 GENERAL INSTALLATION PROVISIONS

- A. Installer's Inspection of Conditions: Require installer of each major unit of work to inspect substrate to receive work, and conditions under which work will be performed, and to report (in writing to the contractor) unsatisfactory conditions. Do not proceed with the work until unsatisfactory conditions have been corrected in a manner acceptable to installer.
- B. Manufacturer's Instructions: Where installations include manufactured products, comply with manufacturer's applicable instructions and recommendations for installation, to extent these are more explicit and/or more stringent than requirements indicated in contract documents.
- C. Inspect each item of materials or equipment immediately prior to installation, and reject damaged and defective items.
- D. Provide attachment and connection devices and methods for securing work properly as it is installed; true to tolerances if not otherwise indicated. Allow for expansions and building movements. Provide uniform joint widths in exposed work organized for best possible visual effect. Refer questionable visual effect choices to architect for final decision.
- E. Recheck measurements and dimensions of the work, as an integral step of starting each installation.
- F. Install work during conditions of temperature, humidity, exposure, forecasted weather, and status of project completion, which will ensure best possible results for each unit of work, in coordination with entire work. Isolate each unit of work from non-compatible work, as required to prevent deterioration.
- G. Coordinate enclosure (closing in) of work with required inspections and tests, so as to minimize necessity of uncovering work for that purpose.

3.2 CLEANING AND PROTECTION

- A. General: During handling and installation of work at project site, clean and protect work in progress and adjoining work on a basis of perpetual maintenance. Apply suitable protective covering on newly installed work where reasonably required to insure freedom from damage or deterioration at time of substantial completion; otherwise, clean and perform maintenance on newly installed work as frequently as necessary through remainder of construction period. Adjust and lubricate operable components to ensure operability without damaging effects.

- B. Limiting Exposures of Work: To extent possible through reasonable control and protection methods, supervise performance of work in a manner and by means which will ensure that none of the work, whether completed or in progress will be subjected to harmful, dangerous, damaging, or otherwise deleterious exposures during construction period. Such exposures include (where applicable, but not by way of limitation) static loading, dynamic loading, internal pressures, external pressures, high or low temperatures, thermal shock, high or low humidity, air contamination or pollution, water, ice, solvents, chemicals, light, radiation, puncture, abrasion, heavy traffic, soiling, bacteria, insect infestation, combustion, electrical current, high speed operation, improper lubrication, unusual wear, misuse, incompatible interface, destructive testing, misalignment, excessive weathering, unprotected storage, improper shipping/handling, theft and vandalism.
- C. Cleaning Materials and Equipment:
1. Provide all required personnel, equipment, and materials needed to maintain the specified standard of cleanliness.
  2. Use only the cleaning materials and equipment, which are compatible with the surface being cleaned, as recommended by the manufacturer of the material or as approved by the architect.
- D. Progress Cleaning:
1. General:
    - a. Retain all stored items in an orderly arrangement allowing maximum access, not impeding drainage or traffic, and providing the required protection of materials.
    - b. Do not allow the accumulation of scrap, debris, waste material, and other items not required for construction of this work.
    - c. At least once each week, and more often if necessary, completely remove all scrap, debris, and waste material from the job site.
    - d. Provide adequate storage for all items awaiting removal from the job site, observing all requirements for fire protection and protection of the environment.
    - e. Clean areas outside of "fenced-in" work areas daily or more often as required to keep said areas clear of debris. This shall include, but not be limited to; blowing paper products, loose construction debris and discarded materials.
  2. Site:
    - a. Daily, and more often if necessary, inspect the site and pick up all scrap, debris, and waste material. Remove all such items to the place designated for their storage.
    - b. Weekly, and more often if necessary, inspect all arrangements of materials stored on the site; restack, tidy, or otherwise service all arrangements.
  3. Structures:

- a. Daily, and more often if necessary, inspect the structures and pick up all scrap, debris, and waste material. Remove all such items to the place designated for their storage. Remove all such items to the place designated for their storage.
  - b. Daily, and more often if necessary, sweep all interior spaces clean. "Clean", for the purpose of this subparagraph, shall be interpreted as meaning free from dust and other material capable of being removed by use of reasonable effort and hand held broom.
  - c. As required preparatory to installation of succeeding materials clean the structures of pertinent portions thereof to the degree of cleanliness recommended by the manufacturer of the succeeding material, using all equipment and materials required to achieve the required cleanliness.
4. Final Cleaning:
- a. Definition: Except as otherwise specifically provided, "clean" (for the purposes of this article) shall be interpreted as meaning the level of cleanliness generally provided by skilled cleaners using commercial quality building maintenance equipment and materials.
  - b. General: Prior to completion of the work, remove from the job site all tools, surplus materials, equipment, scrap, debris, and waste. Conduct final progress cleaning.
    - 1) Site: Unless otherwise specifically directed by the architect, broom clean all paved areas on the site and all public paved areas directly adjacent to the site. Completely remove all resultant debris.
    - 2) Structures:
      - a) Exterior: Visually inspect all exterior surfaces and remove all traces of soil, waste material, smudges, and other foreign matter. Remove all traces of splashed materials from adjacent surfaces. If necessary to achieve a uniform degree of exterior cleanliness, hose down the exterior of the structure. In the event of stubborn stains not removable with water, the architect may require other cleaning at no additional cost to the owner.
      - b) Interior: Visually inspect all interior surfaces and remove all traces of soil, waste material, smudges, and other foreign matter. Remove all traces of splashed materials from adjacent surfaces. Remove all paint droppings, spots, stains, and dirt from finished surfaces. Use only the specified cleaning materials and equipment.
      - c) Glass: Clean all glass inside and outside.
      - d) Polished Surfaces: To all surfaces requiring the routine application of buffed polish, apply the polish recommended by the manufacturer of the material being polished.
      - e) Timing: Schedule final cleaning to enable the owner to accept a completely clean project.
      - f) If surfaces cannot be restored to like-new condition by cleaning, repaint or replace them as directed by the architect.

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- g) When workmen perform work after substantial completion, clean up immediately.
- 5. Cleaning During Occupancy: Since the Building Units are occupied, cleaning operations should be conducted in such a manner as to not cause damage to resident owned items.

END OF SECTION 01240

## SECTION 01260 - CONTRACT MODIFICATION PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section specifies administrative and procedural requirements for handling and processing Contract modifications.

#### 1.3 MINOR CHANGES IN THE WORK

- A. Architect will issue supplemental instructions authorizing Minor Changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on "Architect's Supplemental Instructions".

#### 1.4 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  1. Proposal Requests issued by Architect are for information only. Do not consider them instructions either to stop work in progress or to execute the proposed change.
  2. Within fourteen (14) days after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
    - c. Include costs of labor and supervision directly attributable to the change.
    - d. Include an updated Contractor's Construction Schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

- B. Contractor-Initiated Proposals: If latent or unforeseen conditions require modifications to the Contract, Contractor may propose changes by submitting a request for a change to Architect and Owner for their review.
1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  4. Include costs of labor and supervision directly attributable to the change.
  5. Include an updated Contractor's Construction Schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  6. Comply with requirements in Division 01 Section 01600 "Product Requirements" if the proposed change requires substitution of one product or system for product or system specified.
  7. Credits listed on the Change Order Proposal must be listed and itemized separately from additional costs. Contractor shall submit back-up information to substantiate calculation of credit amounts as requested by the Architect or Owner.
- C. Proposal Request Form: Use AIA Document G709 for Proposal Requests.

#### 1.5 CHANGE ORDER PROCEDURES

- A. In response to an Architect's ASI Request or issues uncovered in the field during construction, the General Contractor shall submit backup paperwork outlining the proposed change and all related costs.
- B. The Architect shall prepare a Schedule C for signing by all parties.

#### 1.6 CONSTRUCTION CHANGE DIRECTIVE

- A. Construction Change Directive: The Architect may issue a Construction Change Directive on AIA Document G714. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.

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1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01260

## SECTION 1290 – PAYMENT PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

#### 1.3 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Construction Schedule.
  - 1. Submit the Schedule of Values to Architect at earliest possible date but no later than seven (7) days before the date scheduled for submittal of initial applications for Payment.
  - 2. Sub-schedules: If required, where the Work is separated into phases requiring separately phased payments, provide sub-schedules showing values correlated with each phase of payment.
  - 3. The schedule of values cannot be changed or altered during the construction process, except as noted in Section 1.3.B.4.
  
- B. Format and Content: Use the Exploded Trade Payment Breakdown as a guide to establish line items for the Schedule of Values.
  - 1. Identification: Include the following Project identification on the Schedule of Values:
    - a. Project name and location.
    - b. Name of Architect.
    - c. Architect's project number.
    - d. Contractor's name and address.
    - e. Date of submittal.
  - 2. Stored Materials: Money will not be released for stored materials unless approved by the Architect, and The Department of Housing prior to a request for payment. This applies to materials stored both on-site and off-site.
  - 3. Allowances: Provide a separate line item in the Schedule of Values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.

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4. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.

#### APPLICATIONS FOR PAYMENT

- C. Each Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
  1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- D. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and The Contractor. The period of construction Work covered by each Application for Payment is the period indicated in the Agreement.
- E. MPI Standard Payment Application Forms: Requisition Forms for Contractor's Requisition, Change Order Requisition and Stored Materials Summary.
- F. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.
  2. Amounts of Change Orders issued before last day of construction period covered by application shall be listed on the Change Order Requisition.
- G. Transmittal: Submit three (3) signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. All copies shall include waivers of lien and similar attachments if required.
  1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- H. Waivers of Mechanic's Lien: With each Application for Payment (except the first Application), submit waivers of mechanic's lien from every entity who is lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.
  1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  2. When an application shows completion of an item, submit final or full waivers.
  3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  4. Waiver Forms: Submit waivers of lien on forms, executed in a manner acceptable to The Department of Housing.
- I. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
  1. List of subcontractors;
  2. Schedule of Values.
  3. Contractor's Construction Schedule (preliminary if not final).
  4. Schedule of unit prices.
  5. Submittals Schedule (preliminary if not final).

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6. List of Contractor's staff assignments.
  7. List of Contractor's principal consultants.
  8. Copies of building permits.
  9. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
  10. Initial progress report.
  11. Report of preconstruction conference.
  12. Certificates of insurance and insurance policies.
- J. Application for Payment at Substantial Completion: After issuing the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
  2. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- K. Final Payment Application: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
  2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  3. Updated final statement, accounting for final changes to the Contract Sum.
  4. Evidence that claims have been settled.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01290

## SECTION 01310 - PROJECT MANAGEMENT AND COORDINATION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
  - 1. Coordination Drawings.
  - 2. Project meetings.
  - 3. Requests for Interpretation (RFIs).
- B. See Division 01 Section 01700 "Execution Requirements" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.

#### 1.3 DEFINITIONS

- A. RFI: Request for Information - Request from Contractor seeking interpretation or clarification of the Contract Documents.

#### 1.4 COORDINATION

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections, which depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - 2. Coordinate installation of different components with other contractors to ensure maximum accessibility for required maintenance, service, and repair.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.
  - 4. Where availability of space is limited, coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair of all components, including mechanical and electrical.

- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
  - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
  
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  - 1. Preparation of Contractor's Construction Schedule.
  - 2. Preparation of the Schedule of Values.
  - 3. Installation and removal of temporary facilities and controls.
  - 4. Delivery and processing of submittals.
  - 5. Progress meetings.
  - 6. Preinstallation conferences.
  - 7. Project closeout activities.
  - 8. Startup and adjustment of systems.
  - 9. Project closeout activities.

## 1.5 SUBMITTALS

- A. Coordination Drawings: Prepare Coordination Drawings if limited space availability necessitates maximum utilization of space for efficient installation of different components or if coordination is required for installation of products and materials fabricated by separate entities.
  - 1. Content: Project-specific information, drawn accurately to scale. Do not base Coordination Drawings on reproductions of the Contract Documents or standard printed data. Include the following information, as applicable:
    - a. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
    - b. Indicate dimensions shown on the Contract Drawings and make specific note of dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Architect for resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
  - 2. Sheet Size: At least 8-1/2 by 11 inches (215 by 280 mm) but no larger than 30 by 40 inches (750 by 1000 mm).
  - 3. Number of Copies: Submit five (5) opaque copies of each submittal. Architect will return a minimum of two (2) copies.
  - 4. Refer to individual Sections for Coordination Drawing requirements for Work in those Sections.
  - 5. Submissions can be made electronically in lieu of paper copies.

## 1.6 PROJECT MEETINGS

- A. General: Schedule and conduct meetings and conferences at the Project site on a weekly basis. A specific time and day of the week shall be set and agreeable to the Owner, Architect, Department of Housing and Contractor for weekly project meetings and will be conducted on site.
1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times.
  2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
  3. Minutes: The Architect will prepare the Meeting Minutes.
- B. Preconstruction Conference: Schedule a preconstruction conference before starting construction, at a time convenient to Department of Housing, Owner and Architect, but no later than fifteen (15) days after execution of the Agreement. Hold the conference at Project site or another convenient location. Conduct the meeting to review responsibilities and personnel assignments.
1. Attendees: Authorized representatives of Department of Housing, Owner, Architect, and their consultants; General Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. All participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  2. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Tentative construction schedule.
    - b. Phasing.
    - c. Critical work sequencing and long-lead items.
    - d. Designation of key personnel and their duties.
    - e. Procedures for processing field decisions and Change Orders.
    - f. Procedures for RFIs.
    - g. Procedures for testing and inspecting.
    - h. Procedures for processing Applications for Payment.
    - i. Distribution of the Contract Documents.
    - j. Submittal procedures.
    - k. Preparation of Record Documents.
    - l. Use of the premises and existing buildings (Notification Required).
    - m. Work restrictions.
    - n. Owner's occupancy requirements.
    - o. Responsibility for temporary facilities and controls.
    - p. Construction waste management and recycling.
    - q. Parking availability.
    - r. Office, work, and storage areas.
    - s. Equipment deliveries and priorities.
    - t. First aid.
    - u. Security.
    - v. Progress cleaning.

- w. Working hours.
  - x. Emergency numbers.
3. Minutes: Architect will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity that requires coordination with other construction.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect and Owner of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. The Contract Documents.
    - b. Options.
    - c. Related RFIs.
    - d. Related Change Orders.
    - e. Purchases.
    - f. Deliveries.
    - g. Submittals.
    - h. Review of mockups.
    - i. Possible conflicts.
    - j. Compatibility problems.
    - k. Time schedules.
    - l. Weather limitations.
    - m. Manufacturer's written recommendations.
    - n. Warranty requirements.
    - o. Compatibility of materials.
    - p. Acceptability of substrates.
    - q. Temporary facilities and controls.
    - r. Space and access limitations.
    - s. Regulations of authorities having jurisdiction.
    - t. Testing and inspecting requirements.
    - u. Installation procedures.
    - v. Coordination with other work.
    - w. Required performance results.
    - x. Protection of adjacent work.
    - y. Protection of construction and personnel.
  - 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 4. Reporting: Distribute minutes of the meeting to each party present and to parties who should have been present.
  - 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.

6. Minutes: The Architect will prepare and distribute to the Owner, DOH and General Contractor a Field Report following the meeting. .
  7. Construction Schedule: The General Contractor shall update and distribute copies of a Construction Schedule every two weeks to all parties involved in the project.
    - a. Schedule Updating: Revise Contractor's Construction Schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- 1.7 REQUESTS FOR INTERPRETATION (RFIs)
- A. Procedure: Immediately on discovery of the need for interpretation of the Contract Documents, and if not possible to request interpretation at Project meeting, prepare and submit an RFI in the form specified.
    1. General: Requests for Interpretations shall be as set forth in the Construction Contract and as outlined below.
    2. RFIs shall originate with Contractor. RFIs submitted by entities other than Contractor will be returned with no response.
    3. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
  - B. Content of the RFI: Include a detailed, legible description of item needing interpretation and the following:
    1. Project name.
    2. Date.
    3. Name of Contractor.
    4. Name of Architect.
    5. RFI number, numbered sequentially.
    6. Specification Section number and title and related paragraphs, as appropriate.
    7. Drawing number and detail references, as appropriate.
    8. Field dimensions and conditions, as appropriate.
    9. Contractor's suggested solution(s). If Contractor's solution(s) impact the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
    10. Contractor's signature.
    11. Attachments: Include drawings, descriptions, measurements, photos, Product Data, Shop Drawings, and other information necessary to fully describe items needing interpretation.
  - C. Hard-Copy RFIs:
    1. Identify each page of attachments with the RFI number and sequential page number.

- D. Architect's Action: Architect will review each RFI, determine action required, and return it. Allow seven (7) working days for Architect's response for each RFI. RFIs received after 1:00 p.m. will be considered as received the following working day.
1. The following RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for coordination information already indicated in the Contract Documents.
    - d. Requests for adjustments in the Contract Time or the Contract Sum.
    - e. Requests for interpretation of Architect's actions on submittals.
    - f. Incomplete RFIs or RFIs with numerous errors.
  2. Architect's action may include a request for additional information, in which case Architect's time for response will start again.
  3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Division 01 Section 01250 "Contract Modification Procedures."
    - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within ten (10) days of receipt of the RFI response.
- E. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven (7) days if Contractor disagrees with response.
- F. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log bi-weekly. Copies will be provided to Architect, and Owner. Include the following:
1. Project name.
  2. Name and address of Contractor.
  3. Name and address of Architect.
  4. RFI number including RFIs that were dropped and not submitted.
  5. RFI description.
  6. Date the RFI was submitted.
  7. Date Architect's response was received.
  8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  9. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.
- G. Copies of all RFI's will be sent to the Owner. This will include the Architects response to the RFI.

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PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01310

## SECTION 01330 – SUBMITTAL PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.
- B. See Division 01 Section 01400 "Quality Requirements" for submitting test and inspection reports and for mockup requirements.
- C. See Division 01 Section 01770 "Closeout Procedures" for submitting warranties.
- D. See Division 01 Section 01782 "Operation and Maintenance Data" for submitting operation and maintenance manuals.

#### 1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information that requires Architect's responsive action.
- B. Informational Submittals: Written information that does not require Architect's responsive action. Submittals may be rejected for not complying with requirements.

#### 1.4 SUBMITTAL PROCEDURES

- A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

- B. General Contractor Review: The General Contractor shall review and “sign-off” on all shop drawings before submission to Architect.
- C. Submittals Schedule: The General Contractor shall establish a schedule for submission of the required Shop Drawings. Submit copies of the schedule to the Architect, and Owner at the weekly progress meetings.
- D. Processing Time: Allow enough time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
  - 1. Initial Review: Allow ten (10) days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  - 2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
  - 3. Resubmittal Review: Allow ten (10) days for review of each resubmittal.
- E. Identification: Place a permanent label or title block on each submittal for identification.
  - 1. Indicate name of firm or entity that prepared each submittal on label or title block.
  - 2. Provide a space approximately 4 by 6 inches on label or beside title block to record Contractor's review and approval markings and action taken by Architect.
  - 3. Include the following information on label for processing and recording action taken:
    - a. Project name.
    - b. Date.
    - c. Name and address of Architect.
    - d. Name and address of Contractor.
    - e. Name and address of subcontractor.
    - f. Name and address of supplier.
    - g. Name of manufacturer.
    - h. Submittal number or other unique identifier, including revision identifier.
      - 1) Submittal number shall use Specification Section number followed by a decimal point and then a sequential number (e.g., 06100.01). Resubmittals shall include an alphabetic suffix after another decimal point (e.g., 06100.01.A).
  - i. Number and title of appropriate Specification Section.
  - j. Drawing number and detail references, as appropriate.
  - k. Location(s) where product is to be installed, as appropriate.
  - l. Other necessary identification.

- F. Deviations: Highlight, encircle, or otherwise specifically identify deviations from the Contract Documents on submittals.
- G. Additional Copies: Unless additional copies are required for final submittal, and unless Architect observes noncompliance with provisions in the Contract Documents, initial submittal may serve as final submittal.
  - 1. Additional copies submitted for maintenance manuals will not be marked with action taken and will be returned.
- H. Transmittal: Package each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Architect will discard submittals received from sources other than Contractor.
- I. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
  - 1. Note date and content of previous submittal.
  - 2. Note date and content of revision in label or title block and clearly indicate extent of revision.
  - 3. Resubmit submittals until they are marked "Reviewed" or "Furnish as Corrected."
- J. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- K. Use for Construction: Use only final submittals with mark indicating, "Reviewed" or "Furnish as Corrected" taken by Architect. Copies of all submittals, shop drawings, and samples accepted by Architect or "Furnish as Corrected" shall be kept on site and always available for Owner's on-site review.

## PART 2 - PRODUCTS

### 2.1 ACTION SUBMITTALS

- A. General: Prepare and submit Action Submittals required by individual Specification Sections.
- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
  - 1. If information must be specially prepared for submittal because standard printed data are not suitable for use, submit as Shop Drawings, not as Product Data.
  - 2. Mark each copy of each submittal to show which products and options are applicable.
  - 3. Include the following information, as applicable:
    - a. Manufacturer's written recommendations.
    - b. Manufacturer's product specifications.

- c. Manufacturer's installation instructions.
    - d. Manufacturer's catalog cuts.
    - e. Wiring diagrams showing factory-installed wiring.
    - f. Printed performance curves.
    - g. Operational range diagrams.
    - h. Compliance with specified referenced standards.
    - i. Testing by recognized testing agency.
  4. Number of Copies: Submit five (5) copies of Product Data, unless otherwise indicated. Architect will return two (2) copies to the Contractor; copies will be available for the Owner. Electronic copies can be submitted to the Architect for review in lieu of paper copies.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
  1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Dimensions.
    - b. Identification of products.
    - c. Fabrication and installation drawings.
    - d. Roughing-in and setting diagrams.
    - e. Wiring diagrams showing field-installed wiring, including power, signal, and control wiring.
    - f. Shopwork manufacturing instructions.
    - g. Templates and patterns.
    - h. Schedules.
    - i. Notation of coordination requirements.
    - j. Notation of dimensions established by field measurement.
    - k. Relationship to adjoining construction clearly indicated.
    - l. Seal and signature of professional engineer if specified.
    - m. Wiring Diagrams: Differentiate between manufacturer-installed and field-installed wiring.
  2. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches but no larger than 30 by 40 inches.
- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.
  1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
  2. Identification: Attach label on unexposed side of Samples that includes the following:
    - a. Generic description of Sample.

- b. Product name and name of manufacturer.
  - c. Sample source.
  - d. Number and title of appropriate Specification Section.
3. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
  - a. Number of Samples: Submit one (1) full set of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. After consultation with the Owner, Architect will return submittal with options selected.
  - b. All final color selections will be made by the Owner from the manufacturers standard color selections.
5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
  - a. Number of Samples: Submit three (3) sets of Samples. Architect will retain two (2) Sample sets; remainder will be returned.
- E. Product Schedule or List: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location.
  1. Number of Copies: Submit five (5) copies of product schedule or list, unless otherwise indicated. Architect will return two (2) copies.
- F. Submittals Schedule: The General Contractor shall establish a schedule for submission of the required Shop Drawings. Submit copies of the schedule to the Architect, and Owner at the progress meetings.
- G. Application for Payment: Comply with requirements specified in Division 01290 Section "Payment Procedures."
- H. Schedule of Values: Comply with requirements specified in Division 01290 Section "Payment Procedures."

- I. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design.
  - 1. Number of Copies: Submit a list of Sub-Contractors with the first Application for Payment or prior to the submission of the first Application for Payment. Update the list as required. Submit all required DOH paperwork for approval prior to sub-contractor starting work at the project site.
- J. Product Substitutions: Substitutions or alternate products will not be allowed unless written acceptance by Owner, and Architect is issued. Shop drawing submittal shall contain complete data for specified product and substitute products.

## 2.2 INFORMATIONAL SUBMITTALS

- A. General: Prepare and submit Informational Submittals required by other Specification Sections.
  - 1. Number of Copies: Submit two (2) copies of each submittal, unless otherwise indicated. Architect will not return copies.
  - 2. Certificates and Certifications: Provide a notarized statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
  - 3. Test and Inspection Reports: Comply with requirements specified in Division 01400 Section "Quality Requirements."
- B. Coordination Drawings: Comply with requirements specified in Division 01310 Section "Project Management and Coordination."
- C. Contractor's Construction Schedule: The General Contractor shall submit a proposed construction schedule for the work outlined in these Specifications and in the Drawings.
- D. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.
- E. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification (WPS) and Procedure Qualification Record (PQR) on AWS forms. Include names of firms and personnel certified.
- F. Installer Certificates: Prepare written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- G. Manufacturer Certificates: Prepare written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

- H. Product Certificates: Prepare written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- I. Material Certificates: Prepare written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- J. Material Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents. Copies of these reports shall be distributed as follows:
  - 1. Architect
  - 2. Owner
  - 3. Local Building Inspector
  - 4. The Department of Housing
- K. Product Test Reports: Prepare written reports indicating current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency. Copies of these reports shall be distributed as indicated under section 2.2 J.
- L. Research/Evaluation Reports: Prepare written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project.
- M. Preconstruction Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- N. Compatibility Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- O. Field Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents. Copies of reports shall be sent by the testing agency directly to the Local Building Inspector, the Owner, the Architect, CHFA as well as to the Contractor and Engineers. Copies of these reports shall be distributed as indicated under section 2.2 J.
- P. Maintenance Data: Prepare written and graphic instructions and procedures for operation and normal maintenance of products and equipment. Comply with requirements specified in Division 01782 Section "Operation and Maintenance Data."

- Q. Design Data: Prepare written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.
- R. Manufacturer's Instructions: Prepare written or published information that documents manufacturer's recommendations, guidelines, and procedures for installing or operating a product or equipment. Include name of product and name, address, and telephone number of manufacturer.
- S. Manufacturer's Field Reports: Prepare written information documenting factory-authorized service representative's tests and inspections. Include the following, as applicable:
  - 1. Statement on condition of substrates and their acceptability for installation of product.
  - 2. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
  - 3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
- T. Insurance Certificates and Bonds: Prepare written information indicating current status of insurance or bonding coverage. Include name of entity covered by insurance or bond, limits of coverage, amounts of deductibles, if any, and term of the coverage.
- U. Material Safety Data Sheets (MSDSs): Submit information directly to Owner; do not submit to Architect.
  - 1. Architect will not review submittals that include MSDSs and will return them for resubmittal.

### 2.3 DELEGATED DESIGN

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Submittal: In addition to Shop Drawings, Product Data, and other required submittals, submit three (3) copies of a statement, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

### PART 3 - EXECUTION

#### 3.1 CONTRACTOR'S REVIEW

- A. The General Contractor shall review and approval all shop drawing submittals before submission to the Architect.
- B. Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

#### 3.2 ARCHITECT'S ACTION

- A. General: Architect will not review submittals that do not bear Contractor's approval stamp and will return them without action.
- B. Action Submittals: Architect will review each submittal, make marks to indicate corrections or modifications required, and return it. Architect will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action taken, as follows:
  - 1. "Reviewed", "Rejected", "Furnish as Corrected", "Revise and Resubmit" or "Submit Specified Item".
- C. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- D. Partial submittals are not acceptable, will be considered non-responsive, and will be returned without review.
- E. Submittals not required by the Contract Documents may not be reviewed and may be discarded.

END OF SECTION 01330

## SECTION 01400 - QUALITY REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
  - 2. Requirements for Contractor to provide quality-assurance and -control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
- C. See other Divisions included in this Project Manual for specific test and inspection requirements.

#### 1.3 DEFINITIONS

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect.
- C. Mockups: Full-size, physical assemblies that are constructed on-site. Mockups are used to verify selections made under sample submittals, to demonstrate aesthetic effects and, where indicated, qualities of materials and execution, and to review construction, coordination, testing, or operation; they are not Samples.
- D. Laboratory Mockups: Full-size, physical assemblies that are constructed at testing facility to verify performance characteristics.

- E. Preconstruction Testing: Tests and inspections that are performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.
- F. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with industry standards.
- G. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.
- H. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- I. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- J. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
  - 1. Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespeople of the corresponding generic name.
- K. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five (5) previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

#### 1.4 CONFLICTING REQUIREMENTS

- A. General: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

## 1.5 SUBMITTALS

- A. Qualification Data: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- B. Reports: Prepare and submit certified written reports that include the following:
  - 1. Date of issue.
  - 2. Project title and number.
  - 3. Name, address, and telephone number of testing agency.
  - 4. Dates and locations of samples and tests or inspections.
  - 5. Names of individuals making tests and inspections.
  - 6. Description of the Work and test and inspection method.
  - 7. Identification of product and Specification Section.
  - 8. Complete test or inspection data.
  - 9. Test and inspection results and an interpretation of test results.
  - 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
  - 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
  - 12. Name and signature of laboratory inspector.
  - 13. Recommendations on re-testing and re-inspecting.
- C. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

## 1.6 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this Article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- C. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.

- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or products that are similar to those indicated for this Project in material, design, and extent.
- F. Specialists: Certain sections of the Specifications require that specific construction activities shall be performed by entities that are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirement for specialists shall not supersede building codes and regulations governing the Work.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 548; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
  - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
  - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
- H. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect.
  - 2. Notify Architect and Owner seven (7) days in advance of dates and times when mockups will be constructed.
  - 3. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 4. Obtain Architect's and Owner's approval of mockups before starting work, fabrication, or construction.
  - 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 6. Demolish and remove mockups when directed, unless otherwise indicated.
- J. Laboratory Mockups: Comply with requirements of preconstruction testing and those specified in individual Sections of this Project Manual.

## 1.7 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
  2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.
- B. Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
1. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
    - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.
  2. Notify testing agencies at least forty-eight (48) hours in advance of time when Work that requires testing or inspecting will be performed.
  3. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  4. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  5. When the Contractor is responsible for testing and inspections for quality control and as otherwise required by the Construction Contract, the Testing Agency employed by the Contractor shall submit copies of the results directly to the Architect, Owner, and the Building Department (as required). All results must be transmitted to the parties prior to the next requisition being approved.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 Section 01330 "Submittal Procedures."
- D. Retesting/Re-inspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- E. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.

1. Notify Architect, Owner and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
6. Do not perform any duties of Contractor.

F. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:

1. Access to the Work.
2. Incidental labor and facilities necessary to facilitate tests and inspections.
3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
4. Facilities for storage and field curing of test samples.
5. Delivery of samples to testing agencies.
6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
7. Security and protection for samples and for testing and inspecting equipment at Project site.

G. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.

## 1.8 SPECIAL TESTS AND INSPECTIONS

A. Special Tests and Inspections: Owner will engage a qualified testing agency to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:

B. Special Tests and Inspections: Conducted by a qualified testing agency as required by authorities having jurisdiction, as indicated in individual Specification Sections, and as follows:

1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
2. Notifying Architect, Owner and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.

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3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
6. The costs associated with re-testing and re-inspection of corrected work that is necessitated by work that failed to comply with the Contract Documents will be charged to the Contractor.

## PART 2 - PRODUCTS (Not Used)

## PART 3 - EXECUTION

### 3.1 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
  1. Provide materials and comply with installation requirements specified in other Specification Sections. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible.
  2. Comply with the Contract Document requirements for Division 01731 "Cutting and Patching."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 01400

## SECTION 01420 - REFERENCES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

#### 1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and

effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.

- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
  - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.
- D. Abbreviations and Acronyms for Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the organizations responsible for the standards and regulations in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

ADAAG	Americans with Disabilities Act (ADA) Architectural Barriers Act (ABA)
CFR	Code of Federal Regulations
DOD	Department of Defense Military Specifications and Standards
DSCC	Defense Supply Center Columbus (See FS)
FED-STD	Federal Standard (See FS)
FS	Federal Specification
FTMS	Federal Test Method Standard (See FS)
MILSPEC	Military Specification and Standards
UFAS	Uniform Federal Accessibility Standards

#### 1.4 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Thomson Gale's "Encyclopedia of Associations" or in Columbia Books' "National Trade & Professional Associations of the U.S."
- B. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in

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the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

AA	Aluminum Association, Inc. (The)
AABC	Associated Air Balance Council
AAMA	American Architectural Manufacturers Association
AASHTO	American Association of State Highway and Transportation Officials
ACI	ACI International (American Concrete Institute)
ACPA	American Concrete Pipe Association
AGA	American Gas Association
AGC	Associated General Contractors of America (The)
AHA	American Hardboard Association (Now part of CPA)
AHAM	Association of Home Appliance Manufacturers
AI	Asphalt Institute
AIA	American Institute of Architects (The)
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction
ALSC	American Lumber Standard Committee, Incorporated
AMCA	Air Movement and Control Association International, Inc.
ANSI	American National Standards Institute
APA	APA - The Engineered Wood Association
ARI	Air-Conditioning & Refrigeration Institute
ARMA	Asphalt Roofing Manufacturers Association
ASCE	American Society of Civil Engineers
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers

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ASME	ASME International
ASTM	ASTM International (American Society for Testing and Materials International)
AWCI	AWCI International (Association of the Wall and Ceiling Industry International)
AWCMA	American Window Covering Manufacturers Association (Now WCSC)
AWI	Architectural Woodwork Institute
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Industry Association (The)
CCC	Carpet Cushion Council
CDA	Copper Development Association
CISCA	Ceilings & Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
CPPA	Corrugated Polyethylene Pipe Association
CRI	Carpet & Rug Institute (The)
CRSI	Concrete Reinforcing Steel Institute
CSI	Cast Stone Institute
CSI	Construction Specifications Institute (The)
CSSB	Cedar Shake & Shingle Bureau
DHI	Door and Hardware Institute
EIA	Electronic Industries Alliance
EIMA	EIFS Industry Members Association
FMG	FM Global (Formerly: FM - Factory Mutual System)

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FMRC	Factory Mutual Research (Now FMG)
FSC	Forest Stewardship Council
GA	Gypsum Association
GANA	Glass Association of North America
HI	Hydronics Institute
HMMA	Hollow Metal Manufacturers Association (Part of NAAMM)
HPVA	Hardwood Plywood & Veneer Association
IEC	International Electrotechnical Commission
IESNA	Illuminating Engineering Society of North America
IGCC	Insulating Glass Certification Council
IGMA	Insulating Glass Manufacturers Alliance
ISO	International Organization for Standardization
KCMA	Kitchen Cabinet Manufacturers Association
LPI	Lightning Protection Institute
MBMA	Metal Building Manufacturers Association
MFMA	Metal Framing Manufacturers Association
MPI	Master Painters Institute
NAAMM	National Association of Architectural Metal Manufacturers
NAIMA	North American Insulation Manufacturers Association
NCMA	National Concrete Masonry Association
NECA	National Electrical Contractors Association
NeLMA	Northeastern Lumber Manufacturers' Association
NEMA	National Electrical Manufacturers Association
NFPA	NFPA (National Fire Protection Association)
NFRC	National Fenestration Rating Council

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NGA	National Glass Association
NHLA	National Hardwood Lumber Association
NLGA	National Lumber Grades Authority
NOFMA	NOFMA: The Wood Flooring Manufacturers Association (Formerly: National Oak Flooring Manufacturers Association)
NRCA	National Roofing Contractors Association
NRMCA	National Ready Mixed Concrete Association
NWWDA	National Wood Window and Door Association (Now WDMA)
PCI	Precast/Prestressed Concrete Institute
PDCA	Painting & Decorating Contractors of America
PDI	Plumbing & Drainage Institute
PGI	PVC Geomembrane Institute
RFCI	Resilient Floor Covering Institute
SAE	SAE International
SDI	Steel Deck Institute
SDI	Steel Door Institute
SGCC	Safety Glazing Certification Council
SIA	Security Industry Association
SIGMA	Sealed Insulating Glass Manufacturers Association (Now IGMA)
SJI	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SPIB	Southern Pine Inspection Bureau (The)
SPRI	Single Ply Roofing Industry
SSINA	Specialty Steel Industry of North America

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SSPC	SSPC: The Society for Protective Coatings
STI	Steel Tank Institute
SWI	Steel Window Institute
SWRI	Sealant, Waterproofing, & Restoration Institute
TCA	Tile Council of America, Inc.
TMS	The Masonry Society
TPI	Truss Plate Institute, Inc.
UL	Underwriters Laboratories Inc.
WASTEC	Waste Equipment Technology Association
WCLIB	West Coast Lumber Inspection Bureau
WCMA	Window Covering Manufacturers Association (Now WCSC)
WDMA	Window & Door Manufacturers Association (Formerly: NWWDA - National Wood Window and Door Association)
WI	Woodwork Institute (Formerly: WIC - Woodwork Institute of California)
WIC	Woodwork Institute of California (Now WI)
WMMPA	Wood Moulding & Millwork Producers Association
WWPA	Western Wood Products Association

C. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

BOCA	BOCA International, Inc. (See ICC)
IAPMO	International Association of Plumbing and Mechanical Officials
ICBO	International Conference of Building Officials (See ICC)
ICBO ES	ICBO Evaluation Service, Inc. (See ICC-ES)
ICC	International Code Council, Inc.

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ICC-ES ICC Evaluation Service, Inc.

D. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

CE	Army Corps of Engineers
CPSC	Consumer Product Safety Commission
DOC	Department of Commerce
DOD	Department of Defense
DOE	Department of Energy
DOH	Department of Housing
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FCC	Federal Communications Commission
FDA	Food and Drug Administration
GSA	General Services Administration
HUD	Department of Housing and Urban Development
NIST	National Institute of Standards and Technology
OSHA	Occupational Safety & Health Administration
PBS	Public Building Service (See GSA)
PHS	Office of Public Health and Science
SD	State Department
USDA	Department of Agriculture
USPS	Postal Service

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PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01420

## SECTION 01500 - TEMPORARY FACILITIES AND CONTROLS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. See Divisions 02 through 16 sections for temporary heat, ventilation and humidity requirements for products in those sections.

#### 1.3 USE CHARGES

- A. General: Cost or use charges for temporary facilities (required to complete contract work) shall be included in the Contract Sum, where services are not available at the site. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Architect, testing agencies, and authorities having jurisdiction.
- B. Electric Service: Temporary electric service may be required. All costs for temporary electric will be borne by the General Contractor.
- C. Heating Service: Temporary heating may be required depending on the timetable for the construction work being proposed. All costs for the temporary heating will be borne by the General Contractor.

#### 1.4 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

#### 1.5 PROJECT CONDITIONS

- A. Temporary Use of Permanent Facilities: The General Contractor shall assume responsibility for operation, maintenance, and protection of each permanent service

during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

- B. Protection of existing pavement. Where existing pavement areas are used for staging of materials, field office trailers, storage containers or trash receptacles – the pavement shall be protected from damage.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. Portable Chain-Link Fencing: Minimum 2-inch, 9-gage, galvanized steel, chain-link fabric fencing; minimum 6 feet high with galvanized steel pipe posts; minimum 2-3/8-inch-OD line posts and 2-7/8-inch-OD corner and pull posts, with 1-5/8-inch-OD top and bottom rails. Provide concrete or galvanized steel bases for supporting posts.

### 2.2 TEMPORARY FACILITIES

- A. Field Offices (Trailer), General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading. A field office will not be required and will be the option of the General Contractor.
- B. Storage and Fabrication Sheds: There will not be provisions for storage of materials in the existing structure. All temporary storage of materials will be the responsibility of the General Contractor. DOH will not pay for materials stored at the site in open and exposed conditions.

### 2.3 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated, with class and extinguishing agent as required by locations and classes of fire exposures.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Locate temporary facilities as coordinated with Owner and to suit the site conditions. Relocate and modify facilities as required by progress of the Work.
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

### 3.2 TEMPORARY UTILITY INSTALLATION

- A. Electric: Install temporary service to Trailer.
  - 1. Arrange with Utility Company for temporary electric services.
- B. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
  - 1. Connect temporary sewers to municipal system as directed by authorities having jurisdiction.
- C. Water Service: Install temporary service for construction purposes.
- D. Sanitary Facilities: The General Contractor shall provide temporary toilet facilities for use by all construction personnel.
- E. Ventilation and Humidity Control: Provide temporary ventilation if required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
- F. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
  - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

### 3.3 SUPPORT FACILITIES INSTALLATION

- A. General: Comply with the following:
  - 1. Maintain support facilities until project has been completed.
- B. Traffic Controls: Comply with requirements of authorities having jurisdiction.
  - 1. Protect existing site elements scheduled to remain including landscaped areas, planting, curbs, pavement, and utilities.
  - 2. Maintain access for fire-fighting equipment and access to fire hydrants.
- C. Parking: Park construction vehicles in such a way as to not block normal traffic patterns of the residents, emergency vehicles or surrounding neighbors.
- D. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.
  - 1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties nor endanger permanent Work or temporary facilities.
  - 2. Remove snow and ice as required to minimize accumulations (if required).

- E. Waste Disposal Facilities: The General Contractor will be responsible for providing waste-collection containers in sizes adequate to handle waste from construction operations. Waste-disposal containers should be promptly removed from the project site when full.

### 3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. All contractors, subcontractors, or utility company representatives shall comply with the following.
  - 1. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction in ways and by methods that comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
  - 2. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to adjacent properties and walkways, according to requirements of authorities having jurisdiction.
  - 3. Stormwater Control: Comply with authorities having jurisdiction. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
  - 4. Tree and Plant Protection: Install temporary fencing located as indicated or outside the drip line of trees to protect vegetation from damage from construction operations. Protect tree root systems from damage, flooding, and erosion.
  - 5. Security Enclosure and Lockup: The General Contractor shall take any necessary precautions to prevent vandalism, theft, or similar violations of security to the Contractors property or materials stored at the project site. The Owner is not responsible for vandalism, theft, or similar violations of security to the Contractors property or materials.
  - 6. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
  - 7. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
  - 8. Use of Public Sidewalks and Streets: All of the roadways serving the individual building are public. Contact City officials for rules and assistance on use of City streets and/or sidewalks for scaffolding, deliveries, parking, etc.

### 3.5 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until project has been completed.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. At Substantial Completion, clean and renovate permanent facilities used during construction period. Comply with final cleaning requirements specified in Division Section 01770 "Closeout Procedures."

END OF SECTION 01500

## SECTION 01524 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for the following:
  - 1. Salvaging nonhazardous demolition and construction waste.
  - 2. Recycling nonhazardous demolition and construction waste.
  - 3. Disposing of nonhazardous demolition and construction waste.
- B. See Division 01 Section "Selective Structure Demolition" for disposition of waste resulting from partial demolition of buildings, structures, and site improvements.
- C. See Division 02 Section "Structure Demolition" for disposition of waste resulting from demolition of buildings, structures, and site improvements.
- D. See Division 2 Section "Site Clearing" for disposition of waste resulting from site clearing and removal of above- and below-grade improvements.
- E. See Division 4 Section "Unit Masonry" for disposal requirements for masonry waste.

#### 1.2 DEFINITIONS

- A. Construction Waste: Building and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Demolition Waste: Building and site improvement materials resulting from demolition or selective demolition operations.
- C. Disposal: Removal off-site of demolition and construction waste and subsequent sale, recycling, reuse, or deposit in landfill or incinerator acceptable to authorities having jurisdiction.
- D. Recycle: Recovery of demolition or construction waste for subsequent processing in preparation for reuse.

- E. Salvage: Recovery of demolition or construction waste and subsequent sale or reuse in another facility.
- F. Salvage and Reuse: Recovery of demolition or construction waste and subsequent incorporation into the Work.

### 1.3 PERFORMANCE GOALS

- A. General: Develop waste management plan that results in end-of-project rates for salvage/recycling of 50 percent by weight of total waste generated by the Work.
- B. Salvage/Recycle Goals: Owner's goal is to salvage and recycle as much nonhazardous demolition and construction waste as possible.

### 1.4 SUBMITTALS

- A. Waste Management Plan: Submit three (3) copies of plan within 30 days of date established for commencement of the Work.
- B. Waste Reduction Progress Reports: Concurrent with each Application for Payment, submit three (3) copies of report. Include the following information:
  - 1. Material category.
  - 2. Generation point of waste.
  - 3. Total quantity of waste in tons (tonnes).
  - 4. Quantity of waste salvaged, both estimated and actual in tons (tonnes).
  - 5. Quantity of waste recycled, both estimated and actual in tons (tonnes).
  - 6. Total quantity of waste recovered (salvaged plus recycled) in tons (tonnes).
  - 7. Total quantity of waste recovered (salvaged plus recycled) as a percentage of total waste.
- C. Waste Reduction Calculations: Before request for Substantial Completion, submit three (3) copies of calculated end-of-Project rates for salvage, recycling, and disposal as a percentage of total waste generated by the Work.
- D. Records of Donations: Indicate receipt and acceptance of salvageable waste donated to individuals and organizations. Indicate whether organization is tax exempt.
- E. Record of Sales: Indicate receipt and acceptance of salvageable waste sold to individuals and organizations. Indicate whether organization is tax exempt.
- F. Recycling and Processing Facility Records: Indicate receipt and acceptance of recyclable waste by recycling and processing facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.
- G. Landfill and Incinerator Disposal Records: Indicate receipt and acceptance of waste by landfills and incinerator facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.

- H. Qualification Data: For Waste Management Coordinator.

## 1.5 WASTE MANAGEMENT PLAN

- A. General: Develop plan consisting of waste identification and waste reduction work plan. Indicate quantities by weight or volume, but use same units of measure throughout waste management plan.
- B. Waste Identification: Indicate anticipated types and quantities of demolition site-clearing and construction waste generated by the Work. Include estimated quantities and assumptions for estimates.
- C. Waste Reduction Work Plan: List each type of waste and whether it will be salvaged, recycled, or disposed of in landfill or incinerator. Include points of waste generation, total quantity of each type of waste, quantity for each means of recovery, and handling and transportation procedures.
1. Salvaged Materials for Reuse: For materials that will be salvaged and reused in this Project, describe methods for preparing salvaged materials before incorporation into the Work.
  2. Salvaged Materials for Sale: For materials that will be sold to individuals and organizations, include list of their names, addresses, and telephone numbers.
  3. Salvaged Materials for Donation: For materials that will be donated to individuals and organizations, include list of their names, addresses, and telephone numbers.
  4. Recycled Materials: Include list of local receivers and processors and type of recycled materials each will accept. Include list of their names, addresses, and telephone numbers.
  5. Disposed materials: Indicate how and where materials will be disposed of. Include list of their names, addresses, and telephone numbers of each landfill and incinerator facility.
  6. Handling and Transportation Procedures: Include method that will be used for separating recyclable waste including sizes of containers, container labeling, and designated location on Project site where materials separation will be located.
- D. Forms: Prepare waste management plan on forms included at end of Part 3.

## PART 2 - PRODUCTS (Not used)

## PART 3 - EXECUTION

### 3.1 PLAN IMPLEMENTATION

- A. General: Implement waste management plan as approved by Architect and Owner. Provide handling, containers, storage, signage, transportation, and other items as

required to implement waste management plan during the entire duration of the Contract.

- B. Waste Management Coordinator: Engage a waste management coordinator to be responsible for implementing, monitoring, and reporting status of waste management work plan. Coordinator shall be present at Project site full time for duration of Project.
- C. Training: Train workers, subcontractors, and suppliers on proper waste management procedures, as appropriate for the Work occurring at Project site.
  - 1. Distribute waste management plan to everyone concerned within three (3) days of submittal return.
  - 2. Distribute waste management plan to entities when they first begin work on-site. Review plan procedures and locations established for salvage, recycling, and disposal.
- D. Site Access and Temporary Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
  - 1. Designate and label specific areas on Project site necessary for separating materials that are to be salvaged, recycled, reused, donated, and sold.
  - 2. Comply with Division 01 Section "Temporary Facilities and Controls" for controlling dust and dirt, environmental protection, and noise control.

### 3.2 SALVAGING DEMOLITION WASTE

- A. Salvaged Items for Reuse in the Work:
  - 1. Clean salvage items.
  - 2. Pack or crate items after cleaning. Identify contents of containers.
  - 3. Store items in a secure area until installation.
  - 4. Protect items from damage during transport and storage.
  - 5. Install salvaged items to comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make items functional for use indicated.
- B. Salvaged Items for Donation: Not permitted on Project site.
- C. Salvaged Items for Owner's Use:
  - 1. Clean salvaged items.
  - 2. Pack or crate items after cleaning. Identify contents of containers.
  - 3. Store items in a secure area until delivery to Owner.
  - 4. Transport items to Owner's storage area off-site.
  - 5. Protect items from damage during transport and storage.

### 3.3 RECYCLING DEMOLITION AND CONSTRUCTION WASTE, GENERAL

- A. General: Recycle paper and beverage containers used by on-site workers.
- B. Recycling Incentives: Revenues, savings, rebates, tax credits, and other incentives received for recycling waste materials shall accrue to Contractor.
- C. Procedures: Separate recyclable waste from other waste materials, trash, and debris. Separate recyclable waste by type at Project site to the maximum extent practical.
  - 1. Provide appropriately marked containers or bins for controlling recyclable waste until they are removed from Project site. Include list of acceptable and unacceptable materials at each container and bin.
    - a. Inspect containers and bins for contamination and remove contaminated materials if found.
  - 2. Stockpile processed materials on-site without intermixing with other materials. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
  - 3. Stockpile materials away from construction area. Do not store within drip line of remaining trees.
  - 4. Store components off the ground and protect from the weather.
  - 5. Remove recyclable waste off Owner's property and transport to recycling receiver or processor.

### 3.4 RECYCLING DEMOLITION WASTE

- A. Asphaltic Concrete Paving: Grind asphalt to maximum 1-1/2-inch (38-mm) size.
- B. Asphaltic Concrete Paving: Break up and transport paving to asphalt-recycling facility.
- C. Concrete: Remove reinforcement and other metals from concrete and sort with other metals.
  - 1. Pulverize concrete to maximum 1-1/2-inch (38-mm) size.
- D. Masonry: Remove metal reinforcement, anchors, and ties from masonry and sort with other metals.
  - 1. Pulverize masonry to maximum 3/4-inch (19-mm) size.
  - 2. Clean and stack undamaged, whole masonry units on wood pallets.
- E. Wood Materials: Sort and stack members according to size, type, and length. Separate lumber, engineered wood products, panel products, and treated wood materials.

- F. Metals: Separate metals by type.
  - 1. Structural Steel: Stack members according to size, type of member, and length.
  - 2. Remove and dispose of bolts, nuts, washers, and other rough hardware.
- G. Asphalt Shingle Roofing: Separate organic and glass-fiber asphalt shingles and felts. Remove and dispose of nails, staples, and accessories.
- H. Gypsum Board: Stack large clean pieces on wood pallets and store in a dry location. Remove edge trim and sort with other metals. Remove and dispose of fasteners.
- I. Acoustical Ceiling Panels and Tile: Stack large clean pieces on wood pallets and store in a dry location.
  - 1. Separate suspension system, trim, and other metals from panels and tile and sort with other metals.
- J. Carpet and Pad: Roll large pieces tightly after removing debris, trash, adhesive, and tack strips.
  - 1. Store clean, dry carpet and pad in a closed container or trailer provided by Carpet Reclamation Agency or carpet recycler.
- K. Equipment: Drain tanks, piping, and fixtures. Seal openings with caps or plugs. Protect equipment from exposure to weather.
- L. Plumbing Fixtures: Separate by type and size.
- M. Piping: Reduce piping to straight lengths and store by type and size. Separate supports, hangers, valves, sprinklers, and other components by type and size.
- N. Lighting Fixtures: Separate lamps by type and protect from breakage.
- O. Electrical Devices: Separate switches, receptacles, switchgear, transformers, meters, panelboards, circuit breakers, and other devices by type.
- P. Conduit: Reduce conduit to straight lengths and store by type and size.

### 3.5 RECYCLING CONSTRUCTION WASTE

- A. Packaging:
  - 1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
  - 2. Polystyrene Packaging: Separate and bag materials.

3. Pallets: As much as possible, require deliveries using pallets to remove pallets from Project site. For pallets that remain on-site, break down pallets into component wood pieces and comply with requirements for recycling wood.
  4. Crates: Break down crates into component wood pieces and comply with requirements for recycling wood.
- B. Site-Clearing Wastes: Chip brush, branches, and trees at landfill facility.
- C. Wood Materials:
1. Clean Cut-Offs of Lumber: Grind or chip into small pieces.
  2. Clean Sawdust: Bag sawdust that does not contain painted or treated wood.
- D. Gypsum Board: Stack large clean pieces on wood pallets and store in a dry location.
1. Clean Gypsum Board: Grind scraps of clean gypsum board using small mobile chipper or hammer mill. Screen out paper after grinding.

### 3.6 DISPOSAL OF WASTE

- A. General: Except for items or materials to be salvaged, recycled, or otherwise reused, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.
1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
  2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn waste materials.
- C. Burning: Burning of waste materials is permitted only at designated areas on Owner's property, provided required permits are obtained. Provide full-time monitoring for burning materials until fires are extinguished.
- D. Disposal: Transport waste materials and dispose of at designated spoil areas on Owner's property.
- E. Disposal: Transport waste materials off Owner's property and legally dispose of them.

END OF SECTION 01524

## SECTION 01600 - PRODUCT REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; product substitutions; and comparable products.
- B. See other Divisions included in this Project Manual for specific requirements for warranties on products and installations specified to be warranted.

#### 1.3 DEFINITIONS

- A. Products: Items purchased for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
  - 3. Comparable Product: Product that is demonstrated and approved through submittal process, or where indicated as a product substitution, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
- C. Basis-of-Design Product Specification: Where a specific manufacturer's product is named and accompanied by the words "basis of design," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and

other characteristics for purposes of evaluating comparable products of other named manufacturers.

#### 1.4 SUBMITTALS

- A. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
1. Substitution Request Form: Use CSI Form 13.1A.
  2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - a. Statement indicating why specified material or product cannot be provided.
    - b. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
    - c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
    - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
    - e. Samples, where applicable or requested.
    - f. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners.
    - g. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - h. Research/evaluation reports evidencing compliance with building code in effect for Project, from a model code organization acceptable to authorities having jurisdiction.
    - i. Detailed comparison of Contractor's Construction Schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating lack of availability or delays in delivery.
    - j. Cost information, including a proposal of change, if any, in the Contract Sum.
    - k. Contractor's certification that proposed substitution complies with requirements in the Contract Documents and is appropriate for applications indicated.
    - l. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.

3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven (7) days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within fifteen (15) days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.
  - a. Form of Acceptance: Change Order.
  - b. Use product specified if Architect cannot make a decision on use of a proposed substitution within time allocated.

B. Comparable Product Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.

1. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor of approval or rejection of proposed comparable product request within fifteen (15) days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.
  - a. Form of Approval: As specified in Division Section 01330 "Submittal Procedures."
  - b. Use product specified if Architect cannot make a decision on use of a comparable product request within time allocated.

C. Basis-of-Design Product Specification Submittal: Comply with requirements in Division Section 01330 "Submittal Procedures." Show compliance with requirements.

## 1.5 QUALITY ASSURANCE

A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, product selected shall be compatible with products previously selected, even if previously selected products were also options.

## 1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft. Comply with manufacturer's written instructions.

B. Delivery and Handling:

1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.

3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
4. Inspect products on delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.

C. Storage:

1. Store products to allow for inspection and measurement of quantity or counting of units.
2. Store materials in a manner that will not endanger Project structure.
3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
4. Store cementitious products and materials on elevated platforms.
5. Store foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
6. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
7. Protect stored products from damage and liquids from freezing.

## 1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
1. **Manufacturer's Warranty:** Preprinted written warranty published by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  2. **Special Warranty:** Written warranty required by or incorporated into the Contract Documents, either to extend time limit provided by manufacturer's warranty or to provide more rights for Owner.
- B. **Special Warranties:** Prepare a written document that contains appropriate terms and identification, ready for execution. Submit a draft for approval before final execution.
1. **Manufacturer's Standard Form:** Modified to include Project-specific information and properly executed.
  2. **Specified Form:** When specified forms are included with the Specifications, prepare a written document using appropriate form properly executed.
  3. Refer to other Divisions of this Project Manual for specific content requirements and particular requirements for submitting special warranties.
- C. **Submittal Time:** Comply with requirements in Division 01 Section 01770 "Closeout Procedures."

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, that are undamaged and, unless otherwise indicated, that are new at time of installation.
1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
  4. Where products are accompanied by the term "as selected," Architect will make selection.
  5. Where products are accompanied by the term "match sample," sample to be matched is Architect's.
  6. Descriptive, performance, and reference standard requirements in the Specifications establish "salient characteristics" of products.
- B. Product Selection Procedures:
1. Product: Where Specifications name a single product and manufacturer, provide the named product that complies with requirements.
  2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements.
  3. Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed that complies with the requirements.
  4. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements.
  5. Available Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed, or an unnamed product, that complies with requirements. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product.
  6. Available Manufacturers: Where Specifications include a list of manufacturers, provide a product by one of the manufacturers listed, or an unnamed manufacturer, that complies with requirements. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product.
  7. Product Options: Where Specifications indicate that sizes, profiles, and dimensional requirements on Drawings are based on a specific product or system, provide the specified product or system. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed product or system.

8. **Basis-of-Design Product:** Where Specifications name a product and include a list of manufacturers, provide the specified product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product by the other named manufacturers.
9. **Visual Matching Specification:** Where Specifications require matching an established Sample, select a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
  - a. If no product available within specified category matches and complies with other specified requirements, comply with provisions in Part 2 "Product Substitutions" Article for proposal of product.
10. **Visual Selection Specification:** Where Specifications include the phrase "as selected from manufacturer's colors, patterns, textures" or a similar phrase, select a product that complies with other specified requirements.
  - a. **Standard Range:** Where Specifications include the phrase "standard range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, density, or texture from manufacturer's product line that does not include premium items.
  - b. **Full Range:** Where Specifications include the phrase "full range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

## 2.2 PRODUCT SUBSTITUTIONS

- A. **Timing:** Architect will consider requests for substitution if received within 30-days after commencement of the Work. Requests received after that time may be considered or rejected at discretion of Architect.
- B. **Conditions:** Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
  1. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Architect for redesign and evaluation services, increased cost of other construction by Owner, and similar considerations.
  2. Requested substitution does not require extensive revisions to the Contract Documents.
  3. Requested substitution is consistent with the Contract Documents and will produce indicated results.

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4. Substitution request is fully documented and properly submitted.
5. Requested substitution will not adversely affect Contractor's Construction Schedule.
6. Requested substitution has received necessary approvals of authorities having jurisdiction.
7. Requested substitution is compatible with other portions of the Work.
8. Requested substitution has been coordinated with other portions of the Work.
9. Requested substitution provides specified warranty.

## 2.3 COMPARABLE PRODUCTS

A. Conditions: Architect will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:

1. Evidence that the proposed product does not require extensive revisions to the Contract Documents that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.
2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
3. Evidence that proposed product provides specified warranty.
4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
5. Samples, if requested.

PART 3 - EXECUTION (Not Used)

END OF SECTION 01600

## SECTION 01700 – EXECUTION REQUIREMENT

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes general procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. General installation of products.
  - 2. Progress cleaning.
  - 3. Starting and adjusting.
  - 4. Protection of installed construction.
  - 5. Correction of the Work.
- B. See Division Section 01770 "Closeout Procedures" for submitting final Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.

#### 1.3 SUBMITTALS

- A. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.

### PART 2 - PRODUCTS (Not Used)

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of site improvements, utilities, and other construction indicated as existing are not guaranteed. Before beginning work, investigate and verify the existence and location of mechanical and electrical systems and other construction affecting the Work.
  - 1. Before construction, verify the location and points of connection of utility services.
- B. Existing Utilities: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning work at the

site investigate and verify the existence and location of any underground utilities and other construction that might have an impact of the proposed work.

1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; and underground electrical services.
  2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- C. Acceptance of Conditions: Examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
1. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
  2. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  3. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  4. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Existing Utility Information: Furnish information to local utility and to Owner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Architect. Include a detailed description of problem encountered, together with recommendations for changing the Contract Documents.

### 3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings. If discrepancies are discovered, notify Architect promptly.

### 3.4 FIELD ENGINEERING

- A. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.

### 3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas, unless otherwise indicated.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- F. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- G. Anchors and Fasteners: Provide anchors and fasteners as required to anchor each component securely in place, accurately located and aligned with other portions of the Work.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

- H. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- I. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

### 3.6 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Coordinate progress cleaning for joint-use areas where more than one installer has worked. Enforce requirements strictly. Dispose of materials lawfully.
  - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  - 2. Do not hold materials more than 7 days during normal weather or 3 days if the temperature is expected to rise above 80 deg F (27 deg C).
  - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  - 1. Remove liquid spills promptly.
  - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.

- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### 3.7 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust operating components for proper operation without binding. Adjust equipment for proper operation.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: If a factory-authorized service representative is required to inspect field-assembled components and equipment installation, comply with qualification requirements in Division 01 Section 01400 "Quality Requirements."
- E. Perform all starting and adjusting of equipment per Manufacturer's written specifications. If required, work in conjunction with Manufacturer of equipment to ensure proper operation.

### 3.8 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

### 3.9 CORRECTION OF THE WORK

- A. Repair or remove and replace defective construction. Restore damaged substrates and finishes. Comply with requirements in Division 01 Section 01731 "Cutting and Patching."
  - 1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment.
- B. Restore permanent facilities used during construction to their specified condition.
- C. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.

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- D. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.
- E. Remove and replace chipped, scratched, and broken glass or reflective surfaces.

END OF SECTION 01700

## SECTION 01731 - CUTTING AND PATCHING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes procedural requirements for cutting and patching.
- B. See other Divisions of this Project Manual for specific requirements and limitations applicable to cutting and patching individual parts of the Work.

#### 1.3 SUBMITTALS

- A. Cutting and Patching Proposal: Submit a proposal describing procedures at least ten (10) days before the time cutting and patching will be performed, requesting approval to proceed. Include the following information:
  - 1. Extent: Describe cutting and patching, show how they will be performed, and indicate why they cannot be avoided.
  - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building's appearance and other significant visual elements.
  - 3. Products: List products to be used and firms or entities that will perform the Work.
  - 4. Dates: Indicate when cutting and patching will be performed.
  - 5. Utility Services and Mechanical/Electrical Systems: List services/systems that cutting and patching procedures will disturb or affect. List services/systems that will be relocated and those that will be temporarily out of service. Indicate how long services/systems will be disrupted.
  - 6. Structural Elements: Where cutting and patching involve adding reinforcement to structural elements, submit details and engineering calculations showing integration of reinforcement with original structure.
  - 7. Architect's Approval: Obtain approval of cutting and patching proposal before cutting and patching. Approval does not waive right to later require removal and replacement of unsatisfactory work.

#### 1.4 QUALITY ASSURANCE

- A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.

- B. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

## 1.5 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.
  - 1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
  - 2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Temporary Support: Provide temporary support of Work to be cut.
- B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.

- D. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.

### 3.3 PERFORMANCE

- A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
- C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
  - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
  - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
  - 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
  - 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.
- D. Cleaning: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, and similar materials.

END OF SECTION 01731

## SECTION 01732 - SELECTIVE STRUCTURE DEMOLITION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Demolition and removal of selected portions of building interiors.
  - 2. Demolition and removal of existing selected site elements.
- B. Related Sections include the following:
  - 1. Division 01 Section "Summary of Work" for use of premises and Owner-occupancy requirements.

#### 1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and legally dispose of them off-site - unless indicated to be removed and salvaged or removed and reinstalled.
- B. Remove and Salvage: Detach items from existing construction and deliver them to Owner ready for reuse.
- C. Remove and Reinstall: Detach items from existing construction, prepare them for reuse, and reinstall them where indicated.
- D. Existing to Remain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed, removed and salvaged, or removed and reinstalled.

#### 1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

## 1.5 PREDEMOLITION MEETINGS

- A. Pre-demolition Conference: Conduct conference at Project site.
  - 1. Inspect and discuss condition of construction to be selectively demolished.
  - 2. Review structural load limitations of existing structure.
  - 3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.
  - 4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
  - 5. Review areas where existing construction is to remain and requires protection.
  - 6. Review items to be removed and reinstalled, and items to be removed and salvaged.

## 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For demolition firm and professional engineer.
- B. Proposed Protection Measures: Submit report, including drawings, that indicates the measures proposed for protecting individuals and property, for environmental protection, for dust control and, for noise control. Indicate proposed locations and construction of barriers.
- C. Schedule of Selective Demolition Activities: Indicate the following:
  - 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's on-site operations are uninterrupted.
  - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
  - 3. Coordination for shutoff, capping, and continuation of utility services.
  - 4. Use of elevator and stairs.
  - 5. Locations of proposed dust- and noise-control temporary partitions and means of egress.
  - 6. Coordination of Owner's continuing occupancy of portions of existing building and of Owner's partial occupancy of completed Work.
  - 7. Means of protection for items to remain and items in path of waste removal from building.
  - 8. Items to be removed and reinstalled and items to be removed and salvaged.
- D. Inventory: After selective demolition is complete, submit a list of items that have been removed and salvaged.
- E. Warranties: Documentation indicated that existing warranties are still in effect after completion of selective demolition, if applicable.

## 1.7 CLOSEOUT SUBMITTALS

- A. Inventory: Submit a list of items that have been removed and salvaged.

- B. Landfill Records: Indicate receipt and acceptance of hazardous wastes, if any, by a landfill facility licensed to accept hazardous wastes, if applicable.

## 1.8 QUALITY ASSURANCE

- A. Demolition Firm Qualifications: An experienced firm that has specialized in demolition work similar in material and extent to that indicated for this Project.
- B. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- C. Standards: Comply with ANSI A10.6 and NFPA 241.

## 1.9 PROJECT CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
  - 1. Comply with requirements specified in Division 01 Section "Summary".
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: See Hazardous Building Material Survey. The General Contractor is responsible for Removal of Hazardous Materials.
- E. Storage or sale of removed items or materials on-site is not permitted.
- F. Utility Service: Protect existing utilities indicated to remain in service and protect them against damage during selective demolition operations. Refer to Division 01 Section "Summary of Work" for additional requirements.
  - 1. Maintain fire-protection facilities in service during selective demolition operations.

## PART 2 - PRODUCTS (Not Used)

### 2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ANSI/ASSE A10.6 and NFPA 241.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Verify that utilities have been disconnected or capped.
- B. Survey existing conditions and correlate with requirements indicated to determine extent of selective demolition required.
- C. Inventory and record the condition of items to be removed and reinstalled and items to be removed and salvaged.
- D. When unanticipated mechanical, electrical, or structural elements that conflict with intended function or design are encountered, investigate and measure the nature and extent of conflict. Promptly submit a written report to Architect and Owner.
- E. Engage a professional engineer to survey condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective demolition operations.
  - 1. Perform surveys as the Work progresses to detect hazards resulting from selective demolition activities.
- F. Survey of Existing Conditions: Record existing conditions by use of preconstruction photographs.
  - 1. Comply with requirements specified in Division 01 Section "Photographic Documentation".
  - 2. Inventory and record the condition of items to be removed and salvaged. Provide photographs of conditions that might be misconstrued as damage caused by salvage operations.
  - 3. Before selective demolition or removal of existing building elements that will be reproduced or duplicated in final Work, make permanent record of measurements, materials, and construction details required to make exact reproduction.

#### 3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems: Maintain services/systems indicated to remain and protect them against damage during selective demolition operations.
  - 1. Comply with requirements for existing services/systems interruptions specified in Division 01 Section "Summary of Work"
- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:

1. All utility shutdowns shall occur only during the hours as pre-approved by the Owner.
  2. Prior to the start of each Work Phase, submit to the Owner and Architect an anticipated schedule of anticipated utility shutdowns.
  3. Do not proceed with utility interruptions without Owner's written permission.
- C. Service/System Requirements: Locate, identify, disconnect, and seal or cap off indicated utility services and mechanical/electrical systems serving areas to be selectively demolished.
1. Owner will arrange to shut off indicated services/systems when requested by Contractor.
  2. If services/systems are required to be removed, relocated, or abandoned, before proceeding with selective demolition provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.

### 3.3 PREPARATION

- A. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- B. Temporary Facilities: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
  2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
  3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
  4. Cover and protect furniture, furnishings, and equipment that have not been removed.
  5. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Division 01 Section "Summary of Work".
- C. Temporary Shoring: Provide and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.
1. Strengthen or add new supports when required during progress of selective demolition.

### 3.4 SELECTIVE DEMOLITION

A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:

1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping, to minimize disturbance of adjacent surfaces. Temporarily cover openings to remain.
3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain fire watch and portable fire-suppression devices during flame-cutting operations.
5. Maintain adequate ventilation when using cutting torches.
6. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
7. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
8. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
9. Dispose of demolished items and materials promptly.

B. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Architect, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

### 3.5 DISPOSAL OF DEMOLISHED MATERIALS

A. General: Except for items or materials indicated to be recycled, reused, salvaged, or otherwise indicated to remain Owner's property, remove demolished materials from Project site and legally dispose of them in an EPA-approved landfill.

1. Do not allow demolished materials to accumulate on-site.

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2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
  3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
- B. Burning: Do not burn demolished materials.
- C. Disposal: Transport demolished materials off Owner's property and legally dispose of them.

### 3.6 CLEANING

- A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

### 3.7 SELECTIVE DEMOLITION SCHEDULE

- A. Existing Items to Be Removed will include, but not be limited to; existing asphalt shingle roofing material (2 layers possible), existing flashing, existing wood shakes (covering flashing material), miscellaneous roofing accessories, existing gutters and downspouts. Refer to Removal Plans for Extent of Demolition Work.

END OF SECTION 01732

## SECTION 01770 - CLOSEOUT PROCEDURES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Inspection procedures.
  - 2. Warranties.
  - 3. Final cleaning.
- B. See Division 01 Section 01290 "Payment Procedures" for requirements for Applications for Payment for Substantial and Final Completion.
- C. See Division 01 Section 01782 "Operation and Maintenance Data" for operation and maintenance manual requirements.
- D. See other Divisions of this Project Manual for specific closeout and special cleaning requirements for the Work in those Sections.

#### 1.3 SUBSTANTIAL COMPLETION

- A. Preliminary Procedures: Before requesting inspection for determining date of Substantial Completion, complete the following. List items below that are incomplete in request.
  - 1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
  - 2. Advise Owner of pending insurance changeover requirements.
  - 3. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Obtain and submit releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 5. Prepare and submit Project Record Documents (As-Built Drawings), operation and maintenance manuals and similar final record information.
  - 6. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.

7. Complete startup testing of systems.
8. Submit test/adjust/balance records.
9. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
10. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
11. Complete final cleaning requirements, including touchup painting.
12. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.

B. Inspection: Submit a written request for inspection for Substantial Completion. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
2. Results of completed inspection will form the basis of requirements for Final Completion.

#### 1.4 FINAL COMPLETION

A. Preliminary Procedures: Before requesting final inspection for determining date of Final Completion, complete the following:

1. Submit a final Application for Payment according to Division 01 Section 01290 "Payment Procedures."
2. Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
3. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
4. Submit pest-control final inspection report and warranty (if required).
5. Instruct Owner in operation, adjustment, and maintenance of products, equipment, and systems.

B. Final Completion cannot be achieved until the following criteria have been met, in order as they are listed.

1. Architect does a complete Punch List (PL).
2. Architects Punch List is 100% completed by the GC.
3. Final Cleaning has occurred. (Several stages of cleaning generally need to occur prior to "final").

## 1.5 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Preparation: Submit three (3) copies of list. Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  - 1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
  - 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.

## 1.6 WARRANTIES

- A. Submittal Time: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.
- B. Organize warranty documents into an orderly sequence based on the table of contents of the Project Manual.
  - 1. Bind warranties and bonds in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
- C. Provide additional copies of each warranty to include in operation and maintenance manuals.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

## PART 3 - EXECUTION

### 3.1 FINAL CLEANING

- A. General: Provide final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a portion of Project:
    - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - f. Remove debris and surface dust from limited access spaces.
    - g. Sweep concrete floors broom clean.
    - h. Vacuum carpets where debris has been tacked into a unit and similar soft surfaces, removing debris; shampoo if visible soil or stains are present after vacuuming.
    - i. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
    - j. Remove labels that are not permanent.
    - k. Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.
      - 1) Do not paint over "UL" and similar labels, including mechanical and electrical nameplates.
    - l. Wipe surfaces of mechanical and electrical equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
    - m. Replace parts subject to unusual operating conditions.

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- n. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
  
- C. Comply with safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on Owner's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from Project site and dispose of lawfully.

END OF SECTION 01770

## SECTION 01782 - OPERATION AND MAINTENANCE DATA

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Maintenance manuals for the care and maintenance of products, systems and equipment installed under this contract.
- B. See other Divisions of this Project Manual for specific operation and maintenance manual requirements for the Work in those Sections.

#### 1.3 SUBMITTALS (FOR NEWLY INSTALLED EQUIPMENT)

- A. Maintenance Manual: Submit three (3) copies of maintenance manual in final form for each major product installed. All required maintenance manuals should be bound in some fashion (binding should be governed by the amount of material included).
- B. Submission: Maintenance Manuals should be submitted to the Architect for distribution to Owner.

### PART 2 - PRODUCTS

#### 2.1 MANUALS, GENERAL

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain a title page, table of contents, and manual contents.
- B. Title Page: Enclose title page in transparent plastic sleeve. Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.

4. Date of submittal.
  5. Name, address, and telephone number of Contractor.
  6. Name and address of Architect.
  7. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
1. Binders: All required maintenance manuals should be bound in some fashion (binding should be governed by the amount of material included).in a thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. Identify each binder on front and spine, with printed title "MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.
  2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software diskettes for computerized electronic equipment.
  4. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

## 2.2 PRODUCT MAINTENANCE MANUAL

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in the manual identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.

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- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and inspection procedures, types of cleaning agents, methods of cleaning, schedule for cleaning and maintenance, and repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

### PART 3 - EXECUTION

#### 3.1 MANUAL PREPARATION

- A. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- B. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
- C. Drawings (if required): Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in Record Drawings to ensure correct illustration of completed installation.
  - 1. Do not use original Project Record Documents as part of operation and maintenance manuals.
- D. Comply with Division 01 Section 01770 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION 01782

## SECTION 01783 - PROJECT RECORD DOCUMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for Project Record Documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
  - 3. Record Product Data.
- B. See Division 01 Section 01782 "Operation and Maintenance Data" for operation and maintenance manual requirements.
- C. See other Divisions of this Project Manual for specific requirements for Project Record Documents of the Work in those Sections.

#### 1.3 SUBMITTALS

- A. Record Drawings: Comply with the following:
  - 1. Number of Copies: Submit three (3) sets of marked-up Record Prints.
  - 2. Number of Copies: Submit copies of Record Drawings as follows:
    - a. Initial Submittal: Submit three (3) sets of marked-up Record Prints. Architect will return prints for organizing into sets, printing, binding, and final submittal.
    - b. Final Submittal: Submit three (3) sets of marked-up Record Prints, and the following:
      - 1) Copies printed from Record. Print each Drawing, whether or not changes and additional information were recorded.
- B. Record Specifications: Submit three (3) of Project's Specifications, including addenda and contract modifications.
- C. Record Product Data: Submit three (3) of each Product Data submittal.

## PART 2 - PRODUCTS

### 2.1 RECORD DRAWINGS

- A. Record Prints: Maintain one set of blue- or black-line white prints of the Contract Drawings and Shop Drawings.
1. Preparation: Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity that obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
  2. Mark the Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. If Shop Drawings are marked, show cross-reference on the Contract Drawings.
  3. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location. Architect and Contractor to agree on color coding in advance.
  4. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
  5. Include all issued SK-Drawings.
- B. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
1. Record Prints: Organize Record Prints and newly prepared Record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
  2. Identification: As follows:
    - a. Project name.
    - b. Date.
    - c. Designation "PROJECT RECORD DRAWINGS."
    - d. Name of Architect.
    - e. Name of Contractor.

### 2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.

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2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
4. Note related Change Orders, Record Product Data, and Record Drawings where applicable.

## 2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  3. Note related Change Orders, Record Specifications, and Record Drawings where applicable.

## 2.4 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

## PART 3 - EXECUTION

### 3.1 RECORDING AND MAINTENANCE

- A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of Project.
- B. Maintenance of Record Documents and Samples: Store Record Documents and Samples in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Architect's reference during normal working hours.

END OF SECTION 01783

## SECTION 06160 - SHEATHING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY OF WORK

- A. This Section includes.
  - 1. New exterior roof sheathing material (where required).
  - 2. New Exterior plywood wall sheathing material (where required).
  - 3. Miscellaneous components required for the installation of Sheathing Panels.

#### 1.3 RELATED SECTIONS

- A. Division 07 Section "Asphalt Shingles" for underlayment, flashing, roof accessories, and shingles installed over roof sheathing.

#### 1.4 SUBMITTAL

- A. Product Data: For each type of sheathing specified. Indicate component materials and dimensions and include construction and application details.

#### 1.5 QUALITY ASSURANCE

- A. Fire-Test-Response Characteristics: For assemblies with fire-resistance ratings, provide materials and construction identical to those of assemblies tested for fire resistance per ASTM E 119 by a testing and inspecting agency acceptable to authorities having jurisdiction.
  - 1. Fire-Resistance Ratings: Indicated by design designations from UL's "Fire Resistance Directory".

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Stack sheathing panels flat with spacers between each bundle to provide air circulation. Provide for air circulation around stacks and under coverings. Store and transport panels in accordance with panel manufacturer's written instructions.

## PART 2 - PRODUCTS

### 2.1 WOOD PANEL PRODUCTS

#### A. General:

1. Plywood: DOC PS 1.
2. Nominal Thickness: As indicated on Drawings or match adjacent materials (infill locations).
3. Factory mark panels to indicate compliance with applicable standard.

#### B. Plywood Roof Sheathing: Exterior Exposure 1, Structural I sheathing.

1. Span Rating: Not less than 16/0.
2. Nominal Thickness: As indicated on the Drawings or match adjacent materials (infill locations).

### 2.2 FASTENERS

#### A. General: Provide fasteners of size and type indicated that comply with requirements specified in this Article for material and manufacture.

1. For roof and wall sheathing, provide fasteners with hot-dip zinc coating complying with ASTM A 153/A 153M or Type 304 stainless steel.

#### B. Nails, Brads, and Staples: ASTM F 1667.

#### C. Power-Driven Fasteners: NES NER-272.

#### D. Wood Screws: ASME B18.6.1.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

#### A. Do not use materials with defects that impair quality of sheathing or pieces that are too small to use with minimum number of joints or optimum joint arrangement.

#### B. Cut panels at penetrations, edges, and other obstructions of work; fit tightly against abutting construction, unless otherwise indicated.

#### C. Securely attach to substrate by fastening as indicated, complying with the following:

1. NES NER-272 for power-driven fasteners.
2. Table 2304.9.1, "Fastening Schedule," in ICC's "International Building Code."

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3. Table R602.3(1), "Fastener Schedule for Structural Members," and Table R602.3(2), "Alternate Attachments," in ICC's "International Residential Code for One- and Two-Family Dwellings."
  4. Table 602.3(1), "Fastener Schedule for Structural Members," and Table 602.3(2), "Alternate Attachments," in ICC's "International One- and Two-Family Dwelling Code."
- D. Use common wire nails, unless otherwise indicated. Select fasteners of size that will not fully penetrate members where opposite side will be exposed to view or will receive finish materials. Make tight connections. Install fasteners without splitting wood.
- E. Coordinate roof sheathing installation with flashing and joint-sealant installation so these materials are installed in sequence and manner that prevent exterior moisture from passing through completed assembly.
- F. Coordinate sheathing installation with installation of materials installed over sheathing so sheathing is not exposed to precipitation or left exposed at end of the workday when rain is forecast.

END OF SECTION 06160

## SECTION 06201 – EXTERIOR FINISH CARPENTRY

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Standing and running trim. [Not otherwise indicated as Azek or Vinyl material]
  - 2. Miscellaneous lumber

#### 1.3 SUBMITTALS

- A. Samples: Submit the following samples for each species and cut or pattern of finish carpentry.
  - 1. Exterior standing and running trim: 1'-0" long x full board or molding width, finished on one side and one edge.
- B. Wood Treatment Data: Submit chemical treatment manufacturer's instructions for handling, storage, installation and finishing treated materials.
- C. Shop Drawings: See instructions in Division 1.

#### 1.4 QUALITY ASSURANCE

- A. Forest Certification: For the following wood products, provide materials produced from wood obtained from forests certified by an FSC-accredited certification body to comply with FSC STD-01-001, "FSC Principles and Criteria for Forest Stewardship":
  - 1. Exterior standing and running trim.
- B. Fire Retardant Marking: Mark each unit of fire retardant treated lumber and plywood with classification marking of Underwriters Laboratory, Inc. or other testing and inspecting agency acceptable to authorities having jurisdiction. Place marking on surfaces that will not be exposed after installation.

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- C. The "Architectural Woodwork Quality Standards and Guide Specifications," published by AWI, is hereby incorporated by reference. This reference is referred to in this section as AWI Standards.
- D. Standing and Running Trim: Conform to the requirements of AWI Standards, Section 300, Custom Grade.

#### 1.5 PRODUCT DELIVERY, STORAGE AND HANDLING:

- A. Protect finish carpentry materials during transit, delivery, storage and handling to prevent damage, soiling and deterioration.
- B. Do not deliver finish carpentry materials, until painting, wet work, grinding and similar operations which could damage, soil or deteriorate woodwork have been completed in installation areas. If, due to unforeseen circumstances, finish carpentry materials must be stored in other than installation areas, store only in areas meeting requirements specified for installation areas.

#### 1.6 JOB CONDITIONS

- A. Conditioning: Installer shall advise contractor of temperature and humidity requirements for finish carpentry installation areas. Do not install finish carpentry until required temperature and relative humidity conditions have been stabilized and will be maintained in installation areas.
- B. Temperature and Humidity: Maintain temperature and humidity control in installation area as required to maintain moisture content of installed finish carpentry within a 1.0 percent tolerance of optimum moisture content, from date of installation through remainder of construction period. The fabricator of woodwork shall determine optimum moisture content and required temperature and humidity conditions.

### PART 2 - PRODUCTS

#### 2.1 WOOD PRODUCTS QUALITY STANDARDS

- A. Lumber Standards: Comply with DOC PS 20, "American Softwood Lumber Standard," for lumber and with applicable grading rules of inspection agencies certified by the American Lumber Standards Committee Board of Review.
- B. Softwood Plywood: Comply with DOC PS 1, "U.S. Product Standard for Construction and Industrial Plywood."
- C. Hardwood Plywood: Comply with HPVA HP-1, "Interim Voluntary Standard for Hardwood and Decorative Plywood."
- D. Hardwood Lumber Standard: Comply with National Hardwood Lumber Association (NHLA) rules.

- E. Woodworking Standard: Where indicated for a specific product comply with specified provision of the following:
  - 1. Architectural Woodwork Institute (AWI) "Quality Standards".
- F. Glued-up Lumber Standard: Comply with PS 56.
- G. Fire-Retardant Treatment: Where indicated, use materials impregnated with fire-retardant chemicals per AWPA C20; exterior type or interior Type A as required.

## 2.2 MATERIALS

- A. General:
  - 1. Nominal sizes are indicated, except as shown by detailed dimensions. Provide dressed or worked and dressed lumber, as applicable, manufactured to the actual sizes as required by PS 20 or to actual sizes and patterns as shown, unless otherwise indicated.
  - 2. Moisture Content of Softwood Lumber: Provide seasoned (KD) lumber having a moisture content from time of manufacture until time of installation not greater than values required by the applicable grading rules of the respective grading and inspecting agency for the species and product indicated.
- B. Lumber for Transparent Finish (Stained or Clear): Use pieces made of solid lumber stock.
- C. Lumber for Painted Finish: At contractor's option, use pieces which are either glued-up lumber or made of solid lumber stock.

## 2.3 STANDING AND RUNNING TRIM

- A. Softwood Lumber Trim:
  - 1. Species and Grade: Eastern white, Idaho white, lodgepole, ponderosa, radiata, or sugar pine; C Select (Choice) or D Select (Quality); NeLMA, NLGA, or WWPA.
  - 2. Species and Grade: Douglas fir-larch or Douglas fir south, Superior or C & Btr finish; NLGA, WCLIB, or WWPA.
  - 3. Species and Grade: Southern pine, C & Btr finish; SPIB.
  - 4. Maximum Moisture Content: 15 percent.
- B. Hardwood Lumber Trim:
  - 1. Species and Grade: White maple or yellow poplar; A finish; NHLA.
  - 2. Maximum Moisture Content: 13 percent.
- C. Moldings for Opaque Finish (Painted): Made to patterns included in WMMPA WM 12.
  - 1. Softwood Moldings: WMMPA WM 4, P-grade.
    - a. Species: Eastern white, Idaho white, lodgepole, ponderosa, radiata, or sugar pine.

- b. Maximum Moisture Content: 15 percent.
- 2. Hardwood Moldings: WMMPA HWM 2, P-grade.
  - a. Species: Aspen, basswood, cottonwood, gum, magnolia, soft maple, tupelo, or yellow poplar.
  - b. Maximum Moisture Content: 9 percent.

## 2.4 EXTERIOR FINISH CARPENTRY

- A. Standing and Running trim: For trim in form of boards and worked products, provide lumber complying with the following requirements including those of the grading agency listed with species.
- B. For exterior finish carpentry work use glued-up lumber complying with PS 56 for “wet use” and certified so by respective grading and inspecting agency for species and product indicated.

## 2.5 MISCELLANEOUS MATERIALS

- A. Glue: Aliphatic-resin, polyurethane, or resorcinol wood glue.
  - 1. Use wood glue that has a VOC content of 30 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- B. Fasteners and Anchorages: Provide nails, screws and other anchoring devised of the type, size, material, and finish required for application indicated to provide secure attachment, concealed where possible, and complying with applicable Federal Specifications.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Preparation:
  - 1. Condition wood materials to average prevailing humidity conditions in installation areas prior to installing.
  - 2. Backprime lumber for painted or stained finishes exposed on the exterior. Comply with requirements of section on painting within Division 9 for primers and their application.
- B. Installation:
  - 1. Discard units of material which are unsound, warped, bowed, twisted, improperly treated, not adequately seasoned or too small to fabricate work with minimum of

- joints or optimum jointing arrangements, or which are of defective manufacture with respect to surfaces, sizes or patterns.
2. Install the work plumb, level, true and straight with no distortions. Shim as required using concealed shims. Install to a tolerance of 1/8" in 8'-0" for plumb and level countertops; and with 1/16" maximum offsets in revealed adjoining surfaces.
  3. Scribe and cut work to fit adjoining work, and refinish cut surfaces or repair damaged finish at cuts.
  4. Standing and Running Trim: Install with minimum number of joints possible, using full-length pieces (from maximum lengths of lumber available) to the greatest extent possible. Stagger joints in adjacent and related members. Cope at returns, miter at corners, to produce tight fitting joints with full surface contact throughout length of joint. Use scarf joints for end to end joints. Make exterior joints water-resistant by careful fitting.
  5. Anchor finish carpentry work to anchorage devices or blocking built in or directly attached to substrates. Secure to grounds, stripping and blocking with countersunk, concealed fasteners and blind nailing as required for a complete installation. Except where prefinished matching fastener heads are required, use fine finishing nails for exposed nailings, countersunk, concealed fasteners and blind nailing as required for a complete installation.

C. Adjustment, Cleaning, Finishing and Protection:

1. Repair damaged and defective finish carpentry work wherever possible to eliminate defects functionally and visually; where not possible to repair properly, replace woodwork. Adjust joinery for uniform appearance.
2. Clean finish carpentry work on exposed and semi-exposed surfaces. Touch-up shop-applied finishes to restore damaged or soiled areas.
3. Protection: Installer of finish carpentry work shall advise contractor of final protection and maintained conditions necessary to ensure that work will be without damage or deterioration at time of acceptance.

END OF SECTION 06201

## SECTION 07210 – BUILDING INSULATION

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Concealed building insulation.
  - 2. Vapor retarders.

#### 1.3 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: Not required.
- C. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency for insulation products.

#### 1.4 QUALITY ASSURANCE

- A. Retain ASTM test method below based on product and kind of fire-resistance characteristic specified for each product in Part 2. Fire-Test-Response Characteristics: Provide insulation and related materials with the fire-test-response characteristics indicated, as determined by testing identical products per ASTM E 84 for surface-burning characteristics and other methods indicated with product, by UL or another testing and inspecting agency acceptable to authorities having jurisdiction. Identify materials with appropriate markings of applicable testing and inspecting agency.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Protect insulation materials from physical damage and from deterioration by moisture, soiling, and other sources. Store inside and in a dry location. Comply with manufacturer's written instructions for handling, storing, and protecting during installation.
- B. Protect plastic insulation as follows:

1. Do not expose to sunlight, except to extent necessary for period of installation and concealment.
2. Protect against ignition at all times. Do not deliver plastic insulating materials to project site before installation time.
3. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the manufacturers specified.

### 2.2 FOAM-PLASTIC BOARD INSULATION

- A. Extruded-Polystyrene Board Insulation: ASTM C 578, Type IV, 1.60 lb/cu. ft. (26 kg/cu. m) VI, 1.80 lb/cu. ft. (29 kg/cu. m), with maximum flame-spread and smoke-developed indexes of 75 and 450, respectively:

1. Available Manufacturers:
  - a. DiversiFoam Products.
  - b. Dow Chemical Company.
  - c. Owens Corning.

### 2.3 GLASS-FIBER BLANKET INSULATION

- A. Available Manufacturers:

1. CertainTeed Corporation.
2. Johns Manville.
3. Owens Corning.

- B. Unfaced, Glass-Fiber Blanket Insulation: ASTM C 665, Type I (blankets without membrane facing); consisting of fibers; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively; passing ASTM E 136 for combustion characteristics.

- C. Faced, Glass-Fiber Blanket Insulation: ASTM C 665, Type III (blankets with reflective membrane facing), Class A (membrane-faced surface with a flame-spread index of 25 or less); Category 1 (membrane is a vapor barrier), faced with polypropylene-scrim-kraft vapor-retarder membrane on 1 face.

- D. Provide insulation as shown on the drawings, where disturbed by construction activities and as follows:
  - 1. Exterior Walls: 6 1/4", R-19 and attic kneewalls. (See drawings for locations.)
  - 2. Roof or Ceiling of Upper Floor Level: 12", R-38.
  - 3. Uninhabited Attic Floors: 6 1/4", R-19.
  - 4. Attic Access Doors: Adhere R-10 rigid insulation on back of access doors.
- E. Foam Insulation: Provide spray foam insulation seal out at all floor and ceiling penetrations especially at attic.
- F. Adhesive for Bonding Insulation: Product with demonstrated capability to bond insulation or mechanical anchors securely to substrates indicated without damaging or corroding insulation, anchors, or substrates.

#### 2.4 SPRAY FOAM INSULATION (OPEN-CELL)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide "Icynene LD-C-50™ all water-blown polyurethane foam insulation by Icynene Inc., or equivalent product by one of the following:
  - 1. BaySystems North America, LLC>
  - 2. Demilec (USA) LLC.
- B. Open-Cell Polyurethane Foam Insulation: Spray-applied polyurethane foam using water as a blowing agent.
  - 1. Thermal Resistance (R-Value/inch): ASTM C518; 3.7 hr/sq. ft/degree F/BTU.
  - 2. Air Permeance (for 5.25 inches of material): ASTM E283; 0.009 l/m<sup>2</sup>/second.
  - 3. Water Vapor Transmission (for 5 inches of material): ASTM E96; < 11 perms.
  - 4. Corrosion: No significant corrosion when in contact with steel under 85 percent relative humidity.
  - 5. Density (pcf @ 2" lift): ASTM D 1622; Minimum density of 0.5 lb/cu. Ft. (6.4 kg/cu. m).
  - 6. Bacterial or Fungal Growth: No growth; no material deterioration.
  - 7. Flame Spread and Smoke Developed Rating: ASTM E84; 20/400.
  - 8. Fuel Contribution: ASTM E84; 0.
- C. Thickness: As shown on the Drawings.

#### 2.5 VAPOR RETARDERS

- A. Polyethylene Vapor Retarders: ASTM D 4397, 6 mils (0.15 mm) thick, with maximum permeance rating of 0.13 perm (7.5 ng/Pa x s x sq. m).
- B. Reinforced-Polyethylene Vapor Retarders: 2 outer layers of polyethylene film laminated to an inner reinforcing layer consisting of either nylon cord or polyester scrim and weighing not less than 25 lb/1000 sq. ft. (12 kg/100 sq. m), with maximum permeance rating of 0.0507 perm (2.9 ng/Pa x s x sq. m).
  - 1. Available Products:

- a. Raven Industries Inc.; DURA-SKRIM 6WW.
  - b. Reef Industries, Inc.; Griffolyn T-65.
- C. Fire-Retardant, Reinforced-Polyethylene Vapor Retarders: 2 outer layers of polyethylene film laminated to an inner reinforcing layer consisting of either nonwoven grid of nylon cord or polyester scrim and weighing not less than 22 lb/1000 sq. ft. (10 kg/100 sq. m), with maximum permeance rating of 0.1317 perm (7.56 ng/Pa x s x sq. m) and with flame-spread and smoke-developed indexes of not more than 5 and 60, respectively.
- 1. Available Products:
    - a. Raven Industries Inc.; DURA-SKRIM 2FR.
    - b. Reef Industries, Inc.; Griffolyn T-55 FR.
- D. Foil-Polyester-Film Vapor Retarders: 2 layers of 0.5-mil- (0.013-mm-) thick polyester film laminated to an inner layer of 1-mil- (0.025-mm-) thick aluminum foil, with maximum water-vapor transmission rate in flat condition of 0.0 g/h x sq. m and with maximum flame-spread and smoke-developed indexes of 5.
- 1. Product: Subject to compliance with requirements, provide "Zero Perm" by Alumiseal Corporation.
- E. Vapor-Retarder Tape: Pressure-sensitive tape of type recommended by vapor-retarder manufacturer for sealing joints and penetrations in vapor retarder.
- F. Vapor-Retarder Fasteners: Pancake-head, self-tapping steel drill screws; with fender washers.
- G. Single-Component Nonsag Urethane Sealant: ASTM C 920, Type I, Grade NS, Class 25, Use NT related to exposure, and Use O related to vapor-barrier-related substrates.
- H. Adhesive for Vapor Retarders: Product recommended by vapor-retarder manufacturer and with demonstrated capability to bond vapor retarders securely to substrates indicated.
- 2.6 FIRE SAFING
- A. Basis-of-Design: Equal to Safing Insulation manufactured by Fibrex Insulations, Inc.
- 2.7 AUXILIARY INSULATING MATERIALS
- A. Vapor-Retarder Tape: Pressure-sensitive tape of type recommended by insulation manufacturers for sealing joints and penetrations in vapor-retarder facings.
  - B. Adhesive for Bonding Insulation: Product with demonstrated capability to bond insulation securely to substrates indicated without damaging insulating substrates.

## 2.8 INSULATION FASTENERS

- A. Adhesively Attached, Spindle-Type Anchors: Plate or Angle formed from perforated galvanized carbon-steel sheet, 0.030 inch (0.762 mm) thick by 2 inches (50 mm) square, welded to projecting copper-coated steel spindle 0.105 inch (2.67 mm) in diameter and of length capable of holding insulation of thickness indicated securely in position with 1-1/2-inch- (38-mm-) square or diameter self-locking washers complying with the following requirements:
  - 1. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch- (0.41-mm-) thick galvanized steel sheet, with beveled edge for increased stiffness.
  - 2. Where anchors are located in ceiling plenums and attic spaces, protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap.
- B. Anchor Adhesive: Product with demonstrated capability to bond insulation anchors securely to substrates indicated without damaging insulation, fasteners, and substrates.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for Section in which substrates and related work are specified and other conditions affecting performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Clean substrates of substances harmful to insulations or vapor retarders, including removing projections capable of puncturing vapor retarders or of interfering with insulation attachments.
- B. Close off openings in cavities receiving poured-in-place insulation to prevent escape of insulation. Provide bronze or stainless – steel (inside) where openings must be maintained for drainage or ventilation.

### 3.3 INSTALLATION, GENERAL

- A. Comply with insulation manufacturer's written instructions applicable to products and application indicated.
- B. Install insulation that is undamaged, dry, and unsoiled and that has not been left exposed at any time to ice, rain, and snow.

- C. Extend insulation in thickness indicated to envelop entire area to be insulated. Cut and fit tightly around obstructions and fill voids with insulation. Remove projections that interfere with placement.
- D. Water-Piping Coordination: If water piping is located within insulated exterior walls, coordinate location of piping to ensure that it is placed on warm side of insulation and insulation encapsulates piping.
- E. For preformed insulating units, provide sizes to fit applications indicated and selected from manufacturer's standard thicknesses, widths, and lengths. Apply single layer of insulation units to produce thickness indicated unless multiple layers are otherwise shown or required to make up total thickness.

### 3.4 INSULATION OF PERIMETER INSULATION

- A. On vertical surfaces, set units in adhesive applied according to manufacturer's written instructions. Use adhesive recommended by insulation manufacturer.
- B. Protect below-grade insulation on vertical surfaces from damage during backfilling by applying protection board. Set in adhesive according to insulation manufacturer's written instructions.

### 3.5 INSTALLATION OF GENERAL BUILDING INSULATION

- A. Apply insulation units to substrate by method indicated, complying with manufacturer's written instructions. If no specific method is indicated, bond units to substrate with adhesive or use mechanical anchorage to provide permanent placement and support of units.
- B. Seal joints between closed-cell (non-breathing) insulation units by applying adhesive, mastic, or sealant to edges of each unit to form a tight seal as units are shoved into place. Fill voids in completed installation with adhesive, mastic, or sealant as recommended by installation manufacturer.
- C. Set vapor-retarder-faced units with vapor to warm side of construction, unless otherwise indicated. Do not obstruct ventilation spaces, except for firestopping.
  - 1. Tape joints and ruptures in vapor retarder, and seal each continuous area of insulation to surrounding construction to ensure airtight installation.
- D. Install mineral-fiber blankets in cavities formed by framing members according to the following requirements.
  - 1. Use blankets widths and lengths that fill the cavities formed by framing members. If more than one length is required to fill cavity, provide lengths that will produce a snug fit between ends.
  - 2. Place blankets in cavities formed by framing members to produce a friction fit between edges of insulation and adjoining framing members.

### 3.6 INSTALLATION OF VAPOR RETARDERS

- A. General: Extend vapor retarder to extremities of areas to be protected from vapor transmission. Secure in place with adhesives or other anchorage system as indicated. Extend vapor retarder to cover miscellaneous voids in insulated substrates, including those filled with loose-fiber insulation.
- B. Seal vertical joints in vapor retarders over framing by lapping not less than two wall studs. Fasten vapor retarders to framing at top, end, and bottom edges; at perimeter of wall openings; and at lap joints. Space fasteners 16-inch o.c.
- C. Seal overlapping joints in vapor retarders with adhesives or vapor-retarder tape according to vapor-retarder manufacturer's instructions. Seal butt joints and fastener penetrations with vapor-retarder tape. Locate all joints over framing members or other solid substrates.
- D. Firmly attach vapor retarders to substrates with mechanical fasteners or adhesives as recommended by vapor-retarder manufacturer.
- E. Tape joints caused by pipes, conduits, electrical boxes, and similar items penetrating vapor retarders with vapor-retarder tape to create an airtight seal between penetrating objects and vapor retarder.
- F. Repair any tears or punctures in vapor retarders immediately before concealment by other work. Cover with vapor-retarder tape or another layer of vapor retarder.

### 3.7 PROTECTION

- A. Protect installed insulation, and vapor retarders from damage due to harmful weather exposures, physical abuse, and other causes. Provide temporary coverings or enclosures where insulation is subject to abuse and cannot be concealed and permanent construction immediately after installation.

END OF SECTION 07210

## SECTION 07311 ASPHALT SHINGLES

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes:
  - 1. Asphalt shingles
  - 2. Felt Underlayment
  - 3. Self-adhering sheet underlayment
  - 4. Ridge vents
  - 5. Ice and Water Shield

#### 1.3 RELATED SECTIONS

- A. Related Sections include the following:
  - 1. Division 6 "Sheathing" for roof deck wood structural panels.
  - 2. Division 7 Section "Sheet Metal Flashing and Trim" for metal roof penetration flashings and counter flashings not part of this Section.
  - 3. Division 7 Section "Roof Accessories" for vents.

#### 1.4 REFERENCES

- A. American Society for Testing and Materials (ASTM) – Annual Book of ASTM Standards
  - 1. ASTM D 2018 – Standard Specification for Class A asphalt Shingles Surfaced with Mineral Granules.
  - 2. ASTM D 4586 – Standard Specification for Asphalt Roof Cement, Asbestos-Free.
  - 3. ASTM D 7158 – Standard Test Method for Wind-Resistance of Sealed Asphalt Shingles (Uplift Force/Uplift Resistance Method).
- B. UL Testing
  - 1. UL 790 – Tests for Fire Resistance of Roof Covering Materials.

- C. Miscellaneous
  - 1. Asphalt Roofing Manufacturers Association (ARMA).
  - 2. National Roofing Contractors Association (NRCA)

## 1.5 DEFINITIONS

- A. Roofing Terminology: Refer to ASTM D 1079 and glossary of NRCA's "The NRCA Roofing and Waterproofing Manual" for definitions of terms related to roofing work in this Section.

## 1.6 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of asphalt shingle, ridge and hip cap shingles and exposed valley lining indicated.
  - 1. Include similar Samples of trim and accessories involving color selection.
- C. Samples for Verification: For the following products, of sizes indicated, to verify color selected.
  - 1. Asphalt Shingle: Full-size asphalt shingle strip.
  - 2. Ridge and Hip Cap shingles: Full-size ridge and hip cap asphalt shingle.
  - 3. Exposed Valley Lining: 12 inches (300 mm) square.
  - 4. Self-Adhering Underlayment: 12 inches (300 mm) square.
  - 5. Ridge Vent Material: 12 inches long.
- D. Qualification Data: For Installer, including certificate signed by asphalt shingle manufacturer stating that Installer is approved, authorized, or licensed to install roofing system indicated.
- E. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency or by manufacturer and witnessed by a qualified testing agency, for asphalt shingles.
- F. Research/Evaluation Reports: For asphalt shingles.
- G. Maintenance Data: For asphalt shingles to include in maintenance manuals.
- H. Submit copies of GAF® product data sheets, detail drawings and samples for each type of roofing product.

## 1.7 QUALITY ASSURANCE

- A. Installer Qualifications: A firm or individual that is approved, authorized, or licensed by asphalt shingle roofing system manufacturer to install roofing system indicated.
- B. Source Limitations: Obtain ridge and hip cap shingles, felt underlayment and self-adhering sheet underlayment through one source from a single asphalt shingle manufacturer.
- C. Fire-Test-Response Characteristics: Provide asphalt shingle and related roofing materials with the fire-test-response characteristics indicated, as determined by testing identical products per test method below by UL or another testing and inspecting agency acceptable to authorities having jurisdiction. Identify materials with appropriate markings of applicable testing and inspecting agency.
  - 1. Exterior Fire-Test Exposure: Class A; ASTM E 108 or UL 790, for application and roof slopes indicated.
- D. Mockups: Build mockups to verify selections made under sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
  - 1. Approval of mockups is also for other material and construction qualities specifically approved by Architect in writing.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless such deviations are specifically approved by Architect in writing.
  - 3. Approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.
- E. Preinstallation Conference: Conduct conference at Project site to comply with requirements in Division 1, Section "Project Management and Coordination".

## 1.8 DELIVERY, STORAGE AND HANDLING

- A. Store all products in manufacturer's unopened, labeled packaging until they are ready for installation.
- B. Store roofing materials in a dry, well-ventilated, weathertight location according to asphalt shingle manufacturer's written instructions. Store underlayment rolls on end on pallets or other raised surfaces. Do not double-stack rolls.
  - 1. Handle, store, and place roofing materials in a manner to avoid significant or permanent damage to roof deck or structural supporting members.
- C. Protect unused underlayment from weather, sunlight, and moisture when left overnight or when roofing work is not in progress.

## 1.9 PROJECT CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit asphalt shingle roofing to be performed according to manufacturer's written instructions and warranty requirements.

1. Install self-adhering sheet underlayment within the range of ambient and substrate temperatures recommended by manufacturer.

## 1.10 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace asphalt shingles that fail in materials or workmanship within specified warranty period. Materials failures include manufacturing defects and failure of asphalt shingles to self-seal after a reasonable time. Provide to the owner a **GAF® WeatherStopper® Golden Pledge® Ltd Warranty** covering.

1. Roofs installed by a Certified GAF® Master Elite™ Contractor only.
2. Manufacturing defects: 100% coverage for materials and labor for:
  - a. Single family detached homes owned by individuals the first - 50 years non-prorated, then 20% thereafter for all GAF lifetime shingles.
3. Workmanship errors: 100% coverage for workmanship errors for:
  - a. Single family detached homes owned by individuals – the first 25 years for after installation.
4. Roof system NOT installed over an existing roof, all existing roof materials must be removed to the deck.
5. Warranted against algae discoloration for 10 years.
6. Wind-Speed Warranty Period: Asphalt shingles will resist blow-off or damage caused by wind speeds up to 110 mph (45 m/s) 10 years from date of Substantial Completion.
7. Full roof installations following GAF® products.
  - a. GAF® Roof Deck Protection
  - b. GAF® Leak Barrier in valleys and around dormers, sidewalls, firewalls, chimneys, plumbing vents, and skylights. In the North, leak barriers must be used at all eaves at least 24 inch inside warm wall.
  - c. GAF® pre-cut starter strip products (only those with factory applied adhesive) at the eaves.
  - d. COBRA® ventilation with adequate intake ventilation.
  - e. GAF® Ridge Cap Shingles or shingles that correspond to the shingle product installed.
  - f. GAF® Roofing Shingles.
  - g. New metal flashings must be installed. Metal drip edge must be used at eaves and at rake edges.
8. The installer must register and pay for this warranty. The permanent Golden Pledge® Ltd Warranty will be issued only if the project passes GAF®'s final inspection. GAF® reserves the right to withhold the warranty if the roof has not been installed according to GAF®'s written application instructions.

ELKIND RESIDENCE #1171  
271 RIDGEVIEW AVENUE  
FAIRFIELD, CONNECTICUT

## 1.11 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Asphalt Shingles: 100 sq. ft (9.3 sq. m) of each type, in unbroken bundles.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Acceptable Manufacturer: GAF®, 1361 Alps RD. Wayne NJ 07470. Tel: 1-973-3000. Basis of Design: GAF® Timberline Series American Harvest Shingle.
- B. Requests for substitutions will be considered in accordance with provisions of Section 01600.
- C. Available Manufacturers
  - 1. Owens Corning
  - 2. CertainTeed Corporation
  - 3. IKO
  - 4. TAMKO Roofing Products, Inc.

### 2.2 SHINGLES

- A. Self-sealing, granule surfaced, asphalt shingle with a strong fiberglass reinforced Micro Weave® core and StainGuard® protection, which prevents pronounced discoloration from blue-green algae through formulation/unique blends of granules. Architectural laminate styling provides a wood shake appearance with a 5 5/8 inch exposure. UL 790 Class A rated with UL 997 Wind Resistance Label; ASTM D 7158, Class H; ASTM D 3161, Type 1; ASTM D 3018, Type 1; ASTM D 3462; AC438; CSA A1232.5-98; Timberline® American Harvest Lifetime Shingles, by GAF®
  - 1. Color: As selected from manufacturers' full range of colors - by Owner.

### 2.3 HIP AND RIDGE SHINGLES

- A. High profile self-sealing hip and ridge cap shingle matching the color of selected roof shingle. Each bundle covers approx. 20 lineal feet. Timbertex® Premium Ridge Cap Shingles, by GAF®

## 2.4 STARTER STRIP

- A. Self-sealing starter shingle designed for premium roof shingles. Each bundle covers approx. 100 lineal feet for English and metric shingles or 50 lineal feet for oversized shingles. WeatherBlocker™ Eave/Rake Starter Strip by GAF®

## 2.5 LEAK BARRIER

- A. Self-adhering, self-sealing, bituminous leak barrier surfaced with fine, skid-resistant granules. Approved by UL, Dade County, ICC, State of Florida and Texas Department of Insurance. Each roll contains approx. 150 sq. ft. 36" X 50' or 200 sq. ft., 36" X 66.7'. WeatherWatch® Leak Barrier, by GAF®

## 2.6 SHINGLE UNDERLAYMENT

- A. Premium, water repellent, breather type non-asphaltic underlayment. UV stabilized polypropylene construction. Meets or exceeds ASTM D226 and D4869. Approved by Dade County, Florida Building Code, and ICC. Each roll contains approximately 10 squares (1003 sq. ft.) of material and is 54" X 223". Deck-Armor™ Premium Breathable Roof Deck Protection, by GAF®

## 2.7 ROOFING CEMENT

- A. Asphalt Plastic Roofing Cement meeting the requirements of ASTM D 4586, Type II asbestos free.

## 2.8 ROOF ACCESSORIES

- A. Exterior acrylic rust resistant aerosol roof accessory paint. Each 6 oz. can is available in boxes of 6 and in a wide variety of colors to compliment the roof. Shingle-Match™ Roof Accessory Paint by GAF®.

## 2.9 ATTIC VENTILATION

- A. Ridge Vents
  - 1. Flexible rigid plastic ridge ventilator designed to allow the passage of hot air from attics, while resisting snow infiltration. For use in conjunction with eave/soffit ventilation products. Provides 12.5 sq. inches Net Free Ventilation Area per lineal foot. Each package contains 20 lineal feet of vent. Cobra® Ridge Runner™ Ridge Vent by GAF®

## 2.10 NAILS

1. Standard round wire, zinc-coated steel or aluminum; 10 to 12 gauge, smooth, barbed or deformed shank, with heads 3/8 inch to 7/16 inch in diameter. Length must be sufficient to penetrate into solid wood at least 3/4 inch or through plywood or oriented strand board by at least 1/8 inch.

## 2.11 METAL FLASHING AND TRIM

- A. General: Comply with requirements in Division 7 Section "Sheet Metal Flashing and Trim".
  1. Sheet Metal: Zinc-tin alloy-coated copper.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examination substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of work.
  1. Examine roof sheathing to verify that sheathing joints are supported by framing and blocking or metal clips and that installation is within flatness tolerances.
  2. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and completely anchored; and that provision has been made for flashings and penetrations through asphalt shingles.
  3. For the record, prepare written report, endorsed by Installer, listing conditions detrimental to performance of work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
  1. Remove roof sheathing covered with mold growth as indicated on Drawings. Replace with new plywood sheathing.

### 3.2 UNDERLAYMENT INSTALLATION

- A. Single-Layer Felt Underlayment: Install single layer of felt underlayment on roof deck perpendicular to roof slope in parallel courses. Lap sides a minimum of 2 inches over underlying course. Lap ends a minimum of 4 inches. Stagger end laps between succeeding courses at least 72 inches. Fasten with felt underlayment nails.

1. Install felt underlayment on roof deck not covered by self-adhering sheet underlayment. Lap sides of felt over self-adhering sheet underlayment not less than 3 inches (75 mm) in direction to shed water. Lap ends of felt not less than 6 inches (150 mm) over self-adhering sheet underlayment.
- B. Self-Adhering Sheet Underlayment: Install self-adhering sheet underlayment, wrinkle free, on roof deck. Comply with low-temperature installation restrictions of underlayment manufacturer if applicable. Install at locations indicated below.
1. Prime concrete and masonry surfaces to receive self-adhering sheet underlayment.
  2. Eaves: Extend from edges of eaves 24 inches beyond interior face of exterior wall.
  3. Rakes: Extend from edges of rake 24 inches beyond interior face of exterior wall.
  4. Valleys: Extend from lowest to highest point 18 inches on each side.
  5. Hips: Extend 28 inches on each side.
  6. Ridges: Extend 36 inches on each side.
  7. Sidewalls: Extend beyond sidewall 18 inches and return vertically against sidewall not less than 4 inches.
  8. Dormers, Chimneys, Skylights, and other Roof-Penetrating Elements: Extend beyond penetrating element 18 inches and return vertically against penetrating element not less than 4 inches.
  9. Roof Slope Transitions: Extend 18 inches on each roof slope.

### 3.3 INSTALLATION OF STARTER SHINGLES

- A. General:
1. Install in accordance with GAF®'s instructions and local building codes. When local codes and application instructions are in conflict, the more stringent requirements shall take precedence.
  2. Refer to application instructions for the selected starter strip shingles.
- B. Placement and Nailing:
1. For maximum wind resistance along rakes and eaves, install any GAF® starter strip containing sealant or cement shingles to underlayment and each other in a 4" width of asphalt plastic roof cement.
  2. Place starter strip shingles  $\frac{1}{4}$ " –  $\frac{3}{4}$ " over eave and rake edges to provide drip edge.
  3. Nail approximately 1  $\frac{1}{2}$ " – 3" above the butt edge of the shingle.
  4. Rake starter course should overlap eave edge starter strip at least 3".

### 3.4 METAL FLASHING INSTALLATION

- A. General: Install metal flashings according to recommendations in ARMA's "Residential Asphalt Roofing Manual" and asphalt shingle recommendations in NRCA's "The NRCA Roofing and Waterproofing Manual" and as detailed on the drawings.

### 3.5 ASPHALT SHINGLE INSTALLATION

- A. Install asphalt shingles according to manufacturer's written instructions, recommendations in ARMA's "Residential Asphalt Roofing Manual", and asphalt shingle recommendations in NRCA's "The NRCA Roofing and Waterproofing Manual".
  - 1. Minimize breakage of shingles by avoiding dropping bundles on edge, by separating shingles carefully (not by "breaking" over ridge or bundles), and by taking extra precautions in temperatures below 40 degrees F (4 degrees C).
  - 2. Handle carefully in hot weather to avoid scuffing the surfacing, or damaging the shingle edges.
- B. Install starter strip along lowest roof edge, consisting of an asphalt shingle strip with tabs removed at least 7 inches wide with self-sealing strip face up at roof edge or as directed by manufacturer.
  - 1. Extend asphalt shingles ½ inch (13 mm) over fascia at eaves and rakes.
  - 2. Install starter strip along rake edge.
- C. Install first and remaining courses of asphalt shingles stair-stepping diagonally across roof deck with manufacturer's recommended offset pattern at succeeding courses, maintaining uniform exposure.
- D. Fasten asphalt shingle strips with a minimum of six roofing nails located according to manufacturer's written instructions.
  - 1. Where roof slope is less than 4:12, seal asphalt shingles with asphalt roofing cement spots.
  - 2. When ambient temperature during installation is below 50 deg F (10 deg C), seal asphalt shingles with asphalt roofing cement spots.
- E. Closed-Cut Valleys: Extend asphalt shingle strips from one side of valley 12 inches (300 mm) beyond center of valley. Use one-piece shingle strips without joints in the valley. Fasten with extra nail in upper end of shingle. Install asphalt shingle courses from other side of valley and cut back to a straight line 2 inches short of valley centerline. Trim upper concealed corners of cut-back shingle strips.
  - 1. Do not nail asphalt shingles within 6 inches of valley center.
  - 2. Set trimmed, concealed-corner asphalt shingles in a 3-inch wide bed of asphalt roofing cement.
- F. Ridge and Hip Cap Shingles: Maintain same exposure of cap shingles as roofing shingle exposure. Lap cap shingles at ridges to shed water away from direction of prevailing winds. Fasten with roofing nails of sufficient length to penetrate sheathing.
  - 1. Fasten ridge cap asphalt shingles to cover ridge vent without obstructing airflow.

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- H. If nail guns are utilized they must be set so that the nail does not penetrate through the shingle. If penetration occurs, the shingles should be removed and replaced before laying additional shingles.

END OF SECTION 07311

## SECTION 07460 WOOD SHINGLE SIDING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 RELATED SECTIONS

- A. Section 06100 – Rough Carpentry: sheathing and air infiltration barrier.
- B. Section 06201 – Finish Carpentry: exterior wood trim.
- C. Section 07620 – Sheet Metal Flashing and Trim: flashing, gutters and sheet metal roofing accessories.
- D. Section 07920 – Joint Sealants

#### 1.3 REFERENCES

- A. ASTM E 84 – Standard Test Method for Surface Burning Characteristics of Building Materials
- B. ASTM E 119 – Standard Test Methods for Fire Tests of Building Construction and Materials.
- C. Timber Products Inspection, Inc. – PS 1-09.
- D. Department of Housing and Urban Development (HUD) – Materials Release 978a.

#### 1.4 SUBMITTALS

- A. Submit under provisions of Section 01300.
- B. Manufacturer's data sheets on each product to be used, Including:
  - 1. Preparation instructions and recommendations.
  - 2. Storage and handling requirements and recommendations.
  - 3. Installation methods.
- C. Wood siding sample: Submit both a "proposed" and an "existing" sample for comparison purposes. Match the existing material in texture, exposure and color (paint or stain).

#### 1.5 QUALITY ASSURANCE

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- A. Manufacturer Qualifications.
- B. Installer Qualifications
  - 1. Engage an experienced installer who has completed siding installations similar in material, design and extent to that indicated.
- C. Product Requirement
  - 1. Design Requirements. Provide cedar shingle panels as part of a wall system that has been designed to provide a one-hour fire resistance rating.
  - 2. Regulatory Requirements and Approvals
    - a. International Code Council: ICC-ES Report No. ESR-2386.
- D. Mock-Up: Before installing siding, construct sample wall panels to verify selections made under sample submittals and to demonstrate aesthetic effects and qualities of materials and installation.
  - 1. Finish areas designated by Architect.
  - 2. Do not proceed with remaining work until workmanship, color, and sheen are approved by Architect.
  - 3. Refinish mock-up area as required to produce acceptable work.

#### 1.6 DELIVERY, STORAGE AND HANDLING

- A. Store products in manufacturer's unopened packaging until ready for installation.
- B. Store materials protected from exposure to damaging environmental conditions and at temperature and humidity conditions recommended by the manufacturer.
- C. Store and dispose of solvent-based materials, and materials used with solvent-based materials, in accordance with requirements of local authorities having jurisdiction.

#### 1.7 PROJECT CONDITIONS

- A. Maintain environmental conditions (temperature, humidity, and ventilation) within limits recommended by manufacturer for optimum results. Do not install products under environmental conditions outside manufacturer's absolute limits.

#### 1.8 WARRANTY

- A. Manufacturer shall provide a 25-year limited product warranty against manufacturing defects.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Acceptable Manufacturer: Shakertown, which is located at: 1200 Kerron St. P.O. Box 400; Winlock, WA 98596; Toll Free Tel: 800-426-8970; Tel: 360-785-3501; Email: request info ([info@shakertown.com](mailto:info@shakertown.com)); Web: [www.shakertown.com](http://www.shakertown.com)
- B. Substitutions: Will be considered if required to match existing siding.

### 2.2 SHINGLE PANELS

- A. Shakertown Craftsman Panels
  1. Material: Western Red Cedar 3/8-inch (9.5mm) thick butt tapered shingle, full glue bonded to 5/16-inch (7.9mm) CC exterior grade plywood.
  2. Material Standard: Clear vertical grain Western Red Cedar heartwood.
  3. Shingle Width: To match existing.
  4. Configuration: Match existing exposure.
  5. Butt Line: Butt line – Match existing
  6. Shingle Joint Single joint – Match existing
  7. Finish: Match Existing Finish
  8. Compliance: HUD Materials Release 978a and PSI-95.
  9. Flame Spread Rating: ASTM E 84.
    - a. Class A (Fire Retardant Treatment)

### 2.3 ACCESSORIES

- A. Related Materials: Refer to other sections for related materials.
- B. Outside Corners: Match existing conditions
- C. Inside Corners: Match Existing Conditions
- D. Nails
  1. Shakertown Craftsman Blind Nailing.
    - a. Material: Stainless steel
    - b. Material: Hot dipped galvanized steel.
    - c. Type: Siding nail. Minimum length to penetrate into stud 1/2 -inch (13 mm).
- E. Building Paper
  1. Type: Asphalt impregnated paper, ASTM D226, #15 Type or match existing.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Do not begin installation until substrates have not been properly prepared.
- B. If substrate preparation is the responsibility of another installer, notify Architect of unsatisfactory preparation before proceeding.

### 3.2 PREPARATION

- A. Clean surfaces thoroughly prior to installation.
- B. Prepare surfaces using the methods recommended by the manufacturer for achieving the best result for the substrate under the project conditions.
- C. Condition wood materials to average prevailing humidity conditions in installation areas prior to installing.
- D. Backprime lumber for painted finish exposed on the exterior. Comply with requirements of section on painting within Division 9 for primers and their application.

### 3.3 INSTALLATION

- A. Install in accordance with manufacturer's instructions.
- B. Install shingle panels by attaching to solid nailable sheathing, spaced nailable sheathing or to studs.
- C. Install working from the lowest level up to the top of the wall area.
  - 1. Furr out the bottom course of siding with 3/8-inch by 1-inch (9.5 by 25.4mm) wood strips. Allow for canting of the first course of siding and install to level line.
  - 2. Drive nails flush with siding surface, penetrating studs at least 1/2-inch (12.7 mm).
  - 3. Maintain 1/8-inch (3mm) spacing at corner boards and trim. Caulk with non-hardening sealant.
- D. Maintain 1/16-inch (1.6 mm) spacing between ends of panels in order to allow for natural expansion.
- E. Maintain 1/4-inch (6mm) space from panel butt line and flashing.

### 3.4 PROTECTION

- A. Protect installed products until completion of project.
- B. Touch-up, repair or replace damaged products before Substantial Completion.

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END OF SECTION 07460

## SECTION 07620 – SHEET METAL FLASHING AND TRIM

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes:
  - 1. Manufactured Products: 2-piece reglet and counterflashing.
  - 2. Formed Products
    - a. Formed roof flashing and trim.
    - b. Formed gutters and downspouts
- B. Related Sections include the following:
  - 1. Division 07 Sections “Asphalt Shingles” for installing sheet metal flashing and trim integral with asphalt roofing shingles.

#### 1.3 PERFORMANCE REQUIREMENTS

- A. General: Sheet metal flashing and trim assemblies as indicated shall withstand wind loads, structural movement, thermally induced movement, and exposure to weather without failure due to defective manufacture, fabrication, installation, or other defects in construction. Completed sheet metal flashing and trim shall not rattle, leak, or loosed, and shall remain watertight.
- B. Thermal Movements: Provide sheet metal flashing and trim that allow for thermal movements resulting from the following maximum change (range) in ambient and surface temperatures by preventing buckling, opening of joints, hole elongation, overstressing of components, failure of joints sealants, failure of connections, and other detrimental effects. Provide clips that resist rotation and avoid shear stress as a result of sheet metal and trim thermal movements. Base engineering calculation on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
  - 1. Temperature Change (Range): 120 deg F (67 deg C), ambient; 180 deg F (100 deg C), material surfaces.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of product indicated. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: Show fabrication and installation layouts of sheet metal flashing and trim, including plans and elevations, expansion-joint locations, and keyed details. Distinguish between shop- and field-assembled work. Include the following:
  - 1. Identify material, thickness, weight, and finish for each item and location in Project.
  - 2. Details for forming sheet metal flashing and trim, including profiles, shapes, seams, and dimensions.
  - 3. Details for joining, supporting, and securing sheet metal flashing and trim, including layout of fasteners, cleats, clips, and other attachments. Include pattern of seams.
  - 4. Details of termination joints and assemblies, including fixed points.
  - 5. Details of expansion joints, including showing direction of expansion and contraction.
  - 6. Details of edge condition, including counter-flashings as applicable.
  - 7. Details of special conditions.
  - 8. Details of connections to adjoining work.
  - 9. Detail formed flashing and trim at a scale of not less than 1 ½ inches per 12 inches (1:10)
- C. Samples for Verification: For each type of exposed finish required, prepared on Samples of size indicated below:
- D. Qualification Data: For qualified fabricator.
- E. Maintenance Data: For sheet metal flashing, trim, and accessories to include in maintenance manuals.
- F. Warranty: Sample of special warranty.

#### 1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom fabricate sheet metal flashing and trim similar to that required for this Project and whose products have a record of successful in-service performance.
- B. Sheet Metal Flashing and Trim Standard: Comply with SMACNA's "Architectural Sheet Metal Manual" unless more stringent requirements are specified or shown on Drawings.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver sheet metal flashing materials and fabrications undamaged. Protect sheet metal flashing and trim materials and fabrications during transportation and handling.

- B. Unload, store, and install sheet metal flashing materials and fabrications in a manner to prevent bending, warping, twisting, and surface damage. Store sheet metal flashing and trim materials away from uncured concrete and masonry.
- C. Stack materials on platforms or pallets, covered with suitable weathertight and ventilated covering. Do not store sheet metal flashing and trim materials in contact with other materials that might cause staining, denting, or other surface damage.
- D. Protect strippable protective covering on sheet metal flashing and trim from exposure to sunlight and high humidity, except to the extent necessary for the period of sheet metal flashing and trim installation.

## 1.7 COORDINATION

- A. Coordinate installation of sheet metal flashing and trim with interfacing and adjoining construction to provide a leakproof, secure, and noncorrosive installation.

## 1.8 WARRANTY

- A. Special Warranty on Aluminum Finishes: Manufacturer's standard form in which manufacturer agrees to repair finish or replace sheet metal flashing and trim that shows evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Hunter units when tested according to ASTM D 2244.
    - b. Chalking in excess of a No. 8 rating when tested according to ASTM D 4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Finish Warranty Period: 20 years form date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 SHEET METALS

- A. General: Protect mechanical and other finishes on exposed surfaces form damage by applying a strippable, temporary protective film before shipping.
- B. Aluminum Sheet: Aluminum Sheet flashing .032 inches complying with ASTM B 209 (ASTM B 209M), as standard with manufacturer for finish required, with temper as required to suit forming operations and performance required.
  - 1. Exposed Coil-Coated Finishes: AAMA 620, Two-Coat Fluoropolymer. Fluoropolymer finish containing not less than 70 percent PVDF resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufactures' written instructions.

## 2.2 SHEET METAL FLASHING AND TRIM

- A. Aluminum Gutter and Downspouts: Provide 3 5/8" x 5" seamless aluminum gutters complete with all accessories. Gutters shall be .032" extra heavy gauge. Provide elbows, boots and other accessories required to extend downspouts to existing drainage system at grade. Downspouts and accessories shall be .024" thick minimum. Downspouts shall be nominal 2 1/2" x 3 1/4" corrugated aluminum. Provide continuous fascia apron and bar hangers at 24" o.c. for gutters. Spike and ferrule fasteners are not acceptable. Provide boots to join downspouts to existing perimeter drain system. Provide lifetime warranty. Provide 25 lineal feet of both downspout and gutter as attic stock.
- B. Shop Finish, Rain Drainage: Provide manufacturer's standard baked-on white acrylic shop finish on sheet metal rain drainage units (gutters, downspouts, and similar exposed units); 1.0 mil dry film thickness.
- C. Typically where perimeter drain system does not occur, provide concrete splashguards.

## 2.3 MISCELLANEOUS MATERIALS

- A. General: Provide materials and types of fasteners, solder, welding rods, protective coating, separators, sealants, and other miscellaneous items as required for complete sheet metal flashing and trim installation and recommended by manufacturer of primary sheet metal or manufactured item unless otherwise indicated.
- B. Fasteners: Wood screws, annular threaded nails, self-tapping screws, self-locking rivets and bolts, and other suitable fasteners designed to withstand design loads and recommended by manufacturer of primary sheet metal or manufactured item.
  - 1. General: Blind fasteners or self-drilling screws, gasketed, with hex-washer head.
    - a. Exposed Fasteners: Heads matching color of sheet metal using plastic caps or factory-applied coating.
    - b. Blind Fasteners: High-strength aluminum or stainless-steel rivets suitable for metal being fastened.
- C. Solder:
  - 1. For Copper: ASTM B 32, Grade Sn50, 50 percent tin and 50 percent lead.
- D. Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape 1/2 inch wide and 1/8 inch thick.
- E. Elastomeric Sealant: ASTM C 920, elastomeric polymer sealant; low modulus; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.

## 2.4 FABRICATION, GENERAL

- A. General: Custom fabricate sheet metal flashing and trim to comply with recommendations in SMACNA's "Architectural Sheet Metal Manual" that apply to design, dimensions, geometry, metal thickness, and other characteristics of item indicated. Fabricate items at the shop to greatest extent possible.
  - 1. Obtain field measurements for accurate fit before shop fabrication.
  - 2. Form sheet metal flashing and trim without excessive oil canning, buckling, and tool marks and true to line and levels indicated, with exposed edges folded back to form hems.
  - 3. Conceal fasteners and expansion provisions where possible. Exposed fasteners are not allowed on faces exposed to view.
- B. Sealed Joints: Form no expansion but movable joints in metal to accommodate elastomeric sealant.
- C. Expansion Provisions: Where lapped expansion provisions cannot be used, form expansion joints of intermeshing hooked flanges, not less than 1 inch deep, filled with butyl sealant concealed within joints.
- D. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, no corrosive metal.
- E. Seams: Fabricate nonmoving seams with flat-lock seams. Tin edges to be seamed, form seams, and solder.
- F. Seams: Fabricate nonmoving seams with flat-lock seams. Form seams and seal with elastomeric sealant unless otherwise recommended by sealant manufacturer for intended use. Rivet joints where necessary for strength.

## 2.5 UNDERLAYMENT MATERIALS

- A. Polyethylene Sheet: 6-mil- (0.15-mm-) thick polyethylene sheet complying with ASTM D 4397.
- B. Felts: ASTM D 226, Type II (No.30), asphalt-saturated organic felt, nonperforated.
- C. Slip Sheet: Rosin-sized paper, minimum 3-lb/100 sq. ft. (0.16kg/sq.m).

## 2.6 FINISHES

- A. Comply with NAAMM's "metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Protect mechanical and painted finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.

- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examination substrates, areas, and conditions, with Installer present, to verify actual locations, dimensions and other conditions affecting performance of work.
  - 1. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely anchored.
  - 2. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION, GENERAL

- A. General: Anchor sheet metal flashing and trim and other components of the Work securely in place, with provisions for thermal and structural movement so that completed sheet metal flashing and trim shall not rattle, leak or loosen, and shall remain watertight. Use fasteners, solder, welding rods, protective coatings, separators, sealants, and other miscellaneous items as required to complete sheet metal flashing and trim system.
  - 1. Install sheet metal flashing and trim true to line and levels indicated. Provide uniform, neat seams with minimum exposure of solder, welds, and sealant.
  - 2. Install sheet metal flashing and trim to fit substrates and to result in watertight performance. Verify shapes and dimensions of surfaces to be covered before fabrication sheet metal.
  - 3. Space cleats not more than 12 inches apart. Anchor each cleat with two fasteners. Bend tabs over fasteners.
  - 4. Install exposed sheet metal flashing and trim without excessive oil canning, buckling, and tool marks.
  - 5. Install sealant tape where indicated.
  - 6. Torch cutting of sheet metal flashing and trim is not permitted.
- B. Metal Protection: Where dissimilar metals will contact each other or corrosive substrates, protect against galvanic action by painting contact surfaces with bituminous coating or by other permanent separation as recommended by SMACNA.
  - 1. Coat back side of sheet metal flashing and trim with bituminous coating where flashing and trim will contact wood, ferrous metal, or cementitious construction.

2. Underlayment where installing metal flashing directly on cementitious or wood substrates, install a course of felt underlayment and cover with a slip sheet or install a course of polyethylene sheet.
- C. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim. Space movement joints at a maximum of 10 feet with no joints allowed within 24 inches of corner or intersection. Where lapped expansion provisions cannot be used or would not be sufficiently watertight, form expansion joints of intermeshing hooked flanges, not less than 1 inch deep, filled with sealant concealed within joints.
  - D. Seal joints as shown and as required for watertight construction.

### 3.3 ROOF FLASHING INSTALLATION

- A. General: Install sheet metal flashing and trim to comply with performance requirements, sheet metal manufacturer's written installation instructions, and SMACNA's "Architectural Sheet Metal Manual". Provide concealed fasteners where possible, set units true to line, and level as indicated. Install work with laps, joints, and seams that will be permanently watertight and weather resistant.
- B. Roof Edge Flashing: Anchor to resist uplift and outward forces according to recommendations in SMACNA's "Architectural Sheet Metal Manual" and as indicated. Interlock bottom edge of roof edge flashing with continuous cleat anchored to substrate at staggered 3-inch centers.
- C. Copings: Anchor to resist uplift and outward forces according to recommendations in SMACNA's "Architectural Sheet Metal Manual" and as indicated.
  1. Interlock exterior bottom edge of coping with continuous cleat anchored to substrate at 16-inch centers.
  2. Anchor interior leg of coping with washers and screw fasteners through slotted holes at 24-inch centers

### 3.4 ROOF DRAINAGE SYSTEM INSTALLATION

- A. General: Install Sheet metal roof drainage items to produce complete roof drainage system according to SMACMA recommendations and as indicated. Coordinate installation of roof perimeter flashing with installation of roof drainage system.
- B. Hanging Gutters: Join sections with riveted and soldered joints or with lapped joints sealed with elastomeric sealant. Provide for thermal expansion. Attach gutters at eave or fascia to firmly anchored gutter brackets spaced not more than 36 inches (900 mm) apart. Provide end closures and seal watertight with sealant. Slope to downspouts.
  1. Fasten gutter spacers to front and back of gutter.
  2. Loosely lock straps to front gutter bead and anchor to roof deck.
  3. Anchor and loosely lock back edge of gutter to continuous cleat.
  4. Anchor back of gutter that extends onto roof deck with cleats spaced not more than 36 inches (900 mm) apart.

5. Anchor gutter with spikes and ferrules spaced not more than 24 inches (600 mm) apart.
  6. Install gutter with expansion joints at locations indicated but not exceeding 30 feet apart. Install expansion joint caps.
  7. Install continuous gutter screens on gutters with noncorrosive fasteners, hinged to swing open for cleaning gutters.
- C. Downspouts: Join sections with 1-1/2-inch (38-mm) telescoping joints. Provide fasteners designed to hold downspouts securely 1 inch (25 mm) away from walls; locate fasteners at top and bottom and at approximately 60 inches (1500 mm) o.c. in between.
1. Provide elbows at base of downspout to direct water away from building.
  2. Connect downspouts to underground drainage system indicated.

### 3.5 WALL FLASHING INSTALLATION

- A. Openings Flashing in Frame Construction: Install continuous head, sill, jamb, and similar flashings to extend 4 inches (100 mm) beyond wall openings.

### 3.6 CLEANING AND PROTECTION

- A. Clean exposed metal surfaces of substances that interfere with uniform oxidation and weathering.
- B. Clean and neutralize flux materials. Clean off excess solder and sealants.
- C. Remove temporary protective coverings and strippable films as sheet metal flashing and trim are installed. On completion of installation, clean finished surfaces, including removing unused fasteners, metal filings, pop rivet stems, and pieces of flashing. Maintain in a clean condition during construction.
- D. Replace sheet metal flashing and trim that have been damaged or that have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 07620

## SECTION 07920 - JOINT SEALANTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes sealants for the following applications, including those specified by reference to this Section:
  - 1. Exterior joints in the following vertical surfaces and nontraffic horizontal surfaces:
    - a. Joints between different materials.
    - b. Other joints as indicated.
  - 2. Interior joints in the following vertical surfaces and horizontal nontraffic surfaces:
    - a. Perimeter joints of exterior openings where indicated.
    - b. Perimeter joints between interior wall surfaces and frames of exterior doors, windows, louvers, curtain wall and storefront framing systems.
    - c. Perimeter joints between interior wall surfaces and frames of interior doors and windows.
    - d. Other joints as indicated.

#### 1.3 SUBMITTALS

- A. Product Data: For each joint-sealant product indicated.
- B. Samples for Initial Selection: Manufacturer's color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.
- C. Samples for Verification: For each kind and color of joint sealant required, provide Samples with joint sealants in 1/2-inch- (13-mm-) wide joints formed between two 6-inch- (150-mm-) long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.
- D. Product Test Reports: Based on evaluation of comprehensive tests performed by a qualified testing agency, indicating that sealants comply with requirements.
- E. Warranties: Sample of special warranties.

#### 1.4 QUALITY ASSURANCE

- A. Installer Qualifications: Manufacturer's authorized representative who is trained and approved for installation of units required for this Project.
- B. Source Limitations: Obtain each kind of joint sealant from single source from single manufacturer.
- C. Mockups: Install sealant in mockups of assemblies specified in other Sections that are indicated to receive joint sealants specified in this Section. Use materials and installation methods specified in this Section.

#### 1.5 PROJECT CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
  - 1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F (5 deg C).
  - 2. When joint substrates are wet.
  - 3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
  - 4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

#### 1.6 WARRANTY

- A. Special Installer's Warranty: Manufacturer's standard form in which Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: Five (5) years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer's standard form in which joint-sealant manufacturer agrees to furnish joint sealants to repair or replace those that do not comply with performance and other requirements specified in this Section within specified warranty period.
  - 1. Warranty Period: Twenty (20) years from date of Substantial Completion.
- C. Special warranties specified in this article exclude deterioration or failure of joint sealants from the following:
  - 1. Movement of the structure caused by structural settlement or errors attributable to design or construction resulting in stresses on the sealant exceeding sealant manufacturer's written specifications for sealant elongation and compression.
  - 2. Disintegration of joint substrates from natural causes exceeding design specifications.
  - 3. Mechanical damage caused by individuals, tools, or other outside agents.
  - 4. Changes in sealant appearance caused by accumulation of dirt or other atmospheric contaminants.

## PART 2 - PRODUCTS

### 2.1 PRODUCTS AND MANUFACTURERS

- A. Products: Subject to compliance with requirements, provide one of the products indicated for each type in the sealant scheduled at the end of Part 3.

### 2.2 MATERIALS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. VOC Content of Interior Sealants: Provide sealants and sealant primers for use inside the weatherproofing system that comply with the following limits for VOC content when calculated according to 40 CFR 59, Part 59, Subpart D (EPA Method 24):
  - 1. Architectural Sealants: 250 g/L.
  - 2. Sealant Primers for Nonporous Substrates: 250 g/L.
  - 3. Sealant Primers for Porous Substrates: 775 g/L.
- C. Liquid-Applied Joint Sealants: Comply with ASTM C 920 and other requirements indicated for each liquid-applied joint sealant specified, including those referencing ASTM C 920 classifications for type, grade, class, and uses related to exposure and joint substrates.
  - 1. Suitability for Immersion in Liquids. Where sealants are indicated for Use I for joints that will be continuously immersed in liquids, provide products that have undergone testing according to ASTM C 1247. Liquid used for testing sealants is deionized water, unless otherwise indicated.
- D. Stain-Test-Response Characteristics: Where sealants are specified to be nonstaining to porous substrates, provide products that have undergone testing according to ASTM C 1248 and have not stained porous joint substrates indicated for Project.
- E. Suitability for Contact with Food: Where sealants are indicated for joints that will come in repeated contact with food; provide products that comply with 21 CFR 177.2600.
- F. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.

### 2.3 SILICONE JOINT SEALANTS

- A. Single-Component, Nonsag, Acid-Curing Silicone Joint Sealant: ASTM C 920, Type S, Grade NS, Class 25, for Use NT.
- B. Mildew-Resistant, Single-Component, Nonsag, Neutral-Curing Silicone Joint Sealant: ASTM C 920, Type S, Grade NS, Class 25, for Use NT. See Editing Instruction No. 1 in the Evaluations for cautions about naming manufacturers and products. See Division 01 Section "Product Requirements."

## 2.4 URETHANE JOINT SEALANTS

- A. Multicomponent, Nonsag, Urethane Joint Sealant: ASTM C 920, Type M, Grade NS, Class 25, for Use NT.
- B. Multicomponent, Nonsag, Traffic-Grade, Urethane Joint Sealant: ASTM C 920, Type M, Grade NS, Class 25, for Use T.

## 2.5 LATEX JOINT SEALANTS

- A. Latex Joint Sealant: Acrylic latex or siliconized acrylic latex, ASTM C 834, Type OP, Grade NF.

## 2.6 ACOUSTICAL JOINT SEALANTS

- A. Acoustical Sealant for Concealed Joints: Provide manufacturer's standard, nondrying, nonhardening, nonskinning, nonstaining, gunnable, synthetic-rubber sealant recommended for sealing interior concealed joints to reduce airborne sound transmission.

## 2.7 PRECOMPRESSED (PREFORMED) JOINT SEALANTS

- A. Preformed Foam Sealant: Manufacturer's standard preformed, precompressed, open-cell foam sealant that is manufactured from alternating layers of high-density urethane foam impregnated with a nondrying, water-repellent agent; is factory produced in precompressed sizes in roll or stick form to fit joint widths indicated; is coated on one side with a pressure-sensitive adhesive and covered with protective wrapping; develops a watertight and airtight seal when compressed to the degree specified by manufacturer; and complies with the following:
- B. Precompressed Joint Sealant Manufacturer: "**Backerseal**" manufactured by EMSEAL joint Systems, Ltd. Subject to compliance with requirements, provide the named product or a comparable product by one of the following:
  - 1. Illbruck Sealant Systems, Inc.
  - 2. Polytite Manufacturing Corporation.
  - 3. Sandell Manufacturing Co., Inc.
- C. Properties: Permanently elastic, mildew resistant, nonmigratory, nonstaining, and compatible with joint substrates and other joint sealants.

## 2.8 JOINT SEALANT BACKING

- A. General: Provide sealant backings of material that are nonstaining; are compatible with joint substrates, sealants, primers, and other joint fillers; and are approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.

- B. Cylindrical Sealant Backings: ASTM C 1330, Type C (closed-cell material with a surface skin), and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.

## 2.9 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting joint-sealant performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
  1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
    - a. Concrete.
    - b. Masonry.
  3. Remove laitance and form-release agents from concrete.
  4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:

- a. Metal.
- b. Glass.

- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

### 3.3 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Acoustical Sealant Application Standard: Comply with recommendations of ASTM C 919 for use of joint sealants in acoustical applications as applicable to materials, applications, and conditions indicated.
- D. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
  - 1. Do not leave gaps between ends of sealant backings.
  - 2. Do not stretch, twist, puncture, or tear sealant backings.
  - 3. Remove absorbent sealant backings that have become wet before sealant application and replace them with dry materials.
- E. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- F. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.
  - 2. Completely fill recesses in each joint configuration.
  - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- G. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
  - 1. Remove excess sealant from surfaces adjacent to joints.
  - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.

3. Provide concave joint profile per Figure 8A in ASTM C 1193, unless otherwise indicated.
4. Provide flush joint profile where indicated per Figure 8B in ASTM C 1193.
5. Provide recessed joint configuration of recess depth and at locations indicated per Figure 8C in ASTM C 1193. Use masking tape to protect surfaces adjacent to recessed tooled joints.

### 3.4 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

### 3.5 PROTECTION

- A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

### 3.6 ELASTOMERIC JOINT-SEALANT SCHEDULE

- A. Low-Modulus Nonacid-Curing Silicone Sealant: Where joint sealants of this type are indicated, provide products complying with the following:
  1. Products: Provide one of the following:
    - a. 790; Dow Corning.
    - b. 864; Pecora Corporation.
    - c. PSI-641; Polymeric Systems, Inc.
    - d. Spectrem 1; Tremco.
  2. Type and Grade: S (single component) and NS (nonsag).
  3. Class: 25.
  4. Additional Movement Capability: 50 percent movement in extension and 50 percent movement in compression for a total of 100 percent movement.
  5. Use Related to Exposure: NT (nontraffic).
  6. Uses Related to Joint Substrates: O.
    - a. Applications, Use O Joint Substrates: Exterior insulation and finish systems.
  7. Stain-Test-Response Characteristics: Nonstaining to porous substrates per ASTM C 1248.
- B. Medium-Modulus Neutral-Curing Silicone Sealant: Where joint sealants of this type are indicated, provide products complying with the following:
  1. Products: Provide one of the following:
    - a. 756 H.P.; Dow Corning.
    - b. Silglaze II; GE Silicones.
    - c. 895; Pecora Corporation.

2. Type and Grade: S (single component) and NS (nonsag).
  3. Class: 25.
  4. Additional Movement Capability: 50 percent movement in extension and 50 percent movement in compression for a total of 100 percent movement.
  5. Use Related to Exposure: NT (nontraffic).
  6. Uses Related to Joint Substrates: G, A, and, as applicable to joint substrates indicated, O.
    - a. Use O Joint Substrates: Coated glass, color anodic aluminum, aluminum coated with a high-performance coating, and galvanized steel.
  7. Applications: All exterior non-traffic joints unless otherwise specified.
- C. Mildew-Resistant Silicone Sealant: Where joint sealants of this type are indicated, provide products formulated with fungicide that are intended for sealing interior ceramic tile joints and other nonporous substrates that are subject to in-service exposures of high humidity and temperature extremes, and that comply with the following:
1. Products: Provide one of the following:
    - a. 786 Mildew Resistant; Dow Corning.
    - b. Sanitary 1700; GE Silicones.
    - c. 898 Silicone Sanitary Sealant; Pecora Corporation.
  2. Type and Grade: S (single component) and NS (nonsag).
  3. Class: 25.
  4. Use Related to Exposure: NT (nontraffic).
  5. Uses Related to Joint Substrates: G, A, and, as applicable to joint substrates indicated, O.
    - a. Use O Joint Substrates: Aluminum coated with a high-performance coating, galvanized steel, and ceramic tile.
  6. Applications: Sealing perimeter joints of plumbing fixtures.
- D. Multicomponent Nonsag Urethane Sealant: Provide products complying with the following:
1. Products: Provide one of the following:
    - a. Vulkem 922; Mameco International.
    - b. Dynatrol II; Pecora Corporation.
    - c. Sikaflex - 2c NS; Sika Corporation.
    - d. DYmeric 511; Tremco.
  2. Type and Grade: M (multicomponent) and NS (nonsag).
  3. Class: 25.
  4. Additional Movement Capability: 50 percent movement in extension and 50 percent in compression for a total of 100 percent movement.
  5. Use Related to Exposure: NT (nontraffic).
  6. Uses Related to Joint Substrates: M, A, and, as applicable to joint substrates indicated, O.
    - a. Use O Joint Substrates: Coated glass, color anodic aluminum, aluminum coated with a high-performance coating, galvanized steel, ceramic tile, and wood.
  7. Applications: Interior and exterior joints as follows:
    - a. Control and expansion joints in unit masonry.
    - b. Sealing joints between unit masonry and frames of doors, windows, and other openings in unit masonry walls and partitions.
- E. Multicomponent Pourable Urethane Sealant: Provide products complying with the following:
1. Products: Provide one of the following:

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- a. Chem-Calk 550; Bostik Inc.
  - b. Vulkem 245; Mameco International.
  - c. NR-200 Urexpan; Pecora Corporation.
  - d. SL 2; Sonneborn Building Products Div., ChemRex Inc.
  - e. THC-900; Tremco.
2. Type and Grade: M (multicomponent) and P (pourable).
  3. Class: 25.
  4. Use Related to Exposure: T (traffic).
  5. Uses Related to Joint Substrates: M, A, and, as applicable to joint substrates indicated, O.
    - a. Use O Joint Substrates: Ceramic tile.
  6. Applications:
    - a. Sealing exterior joints in horizontal traffic surfaces.
    - b. Sealing interior joints in horizontal traffic surfaces.

### 3.7 LATEX JOINT-SEALANT SCHEDULE

- A. Latex Sealant: Provide products complying with the following:
  1. Products: Provide one of the following:
    - a. Chem-Calk 600; Bostik Inc.
    - b. AC-20; Pecora Corporation.
  2. Applications: Paintable interior joints at hollow metal frames and surrounding gypsum board construction, and other interior joints requiring paint application.

### 3.8 ACOUSTICAL JOINT-SEALANT SCHEDULE

- A. Acoustical Sealant for Concealed Joints: Provide products complying with the following:
  1. Products: Provide one of the following:
    - a. Pro-Series SC-170 Rubber Base Sound Sealant; Ohio Sealants, Inc.
    - b. BA-98; Pecora Corporation.
    - c. Tremco Acoustical Sealant; Tremco.
  2. Applications: Concealed joints at intersection of sound-reduction-rated construction.

### 3.9 END OF SECTION 07920

## SECTION 09900 - PAINTING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specifications Sections, apply to work of this Section.

#### 1.2 SUMMARY

- A. This Section includes surface preparation and the application of paint or stain finishes on the following substrates:
  - 1. Exterior Surfaces:
    - a. Newly installed Wood (Shingle/Shake) Siding.
    - b. Newly installed Wood (Fascia and Soffit) Trim Boards.

#### 1.3 SUBMITTALS

- A. Product Data: For each type of product required.
- B. Samples for Initial Selection: For each type of topcoat product required.
- C. Samples for Verification: For each type of paint or stain system and in each color and gloss of topcoat indicated.
  - 1. Submit Samples on actual material to be finished, 12" x 8", minimum.
  - 2. Step coats on Samples to show each coat required for system.
  - 3. Label each coat of each Sample.
  - 4. Label each Sample for location and application area.
  - 5. Submit a sample of the existing colors – for comparison purposes,
- D. Product List: For each product indicated, include the following:
  - 1. Cross-reference to paint or stain system and locations of application areas.
- E. MPI Standards:
  - 1. Products: Complying with MPI standards indicated and listed in "MPI Approved Products List – Current."
  - 2. Preparation and Workmanship: Comply with requirements in "MPI Architectural Painting Specification Manual – Current Edition" for products and paint systems indicated.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F (7 deg C).

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1. Maintain containers in clean condition, free of foreign materials and residue.
2. Remove rags and waste from storage areas daily.

#### 1.5 PROJECT CONDITIONS

- A. Apply paints or stain only when temperature of surfaces to be finished and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply finishes when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

#### 1.6 EXTRA MATERIALS

- A. Furnish extra materials described below that are from same production run (batch mix) as materials applied and that are packaged for storage and identified with labels describing contents.
  1. Quantity: Furnish an additional (1) gallon of each material and color applied. Turn over to the Owner at closeout time.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURER

- A. Manufacturers: Match supplier of the paint or stain already on the house. Manufacturers' name to be supplied by the Owner and as follows:
  1. Wood Shingles/Shakes (Body of House) – Cabot O.V.T Solid Color Oil Stain – Color Arboretum
- B. Manufacturers (Trim, Fascia or Soffit): Subject to compliance with requirements, provide products by one of the following alternate suppliers, to match the existing house:
  1. Benjamin Moore & Co.
  2. Behr Paints.
  3. ICI Paints.
  4. PPG Architectural Finishes.
  5. Sherwin-Williams Company (The).

#### 2.2 PAINT/STAIN, GENERAL

- A. Material Quality: Provide best quality grade of various types of coatings as regularly manufactured by acceptable painting materials manufacturers. Materials not displaying manufacturer's identifications as a standard, best-grade product will not be acceptable.
- B. Material Compatibility:
  1. Provide materials for use within each paint/stain system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.

2. For each coat in a paint system, provide products recommended in writing by manufacturers of topcoat for use in paint system and on substrate indicated.
- C. VOC Content of Field-Applied Interior Paints and Coatings: Provide products that comply with the following limits for VOC content, exclusive of colorants added to a tint base, when calculated according to 40 CFR 59, Subpart D (EPA Method 24); these requirements do not apply to paints and coatings that are applied in a fabrication or finishing shop:
1. Flat Paints, Coatings, and Primers: VOC content of not more than 50 g/L.
  2. Nonflat Paints, Coatings, and Primers: VOC content of not more than 150 g/L.
  3. Anti-Corrosive and Anti-Rust Paints Applied to Ferrous Metals: VOC not more than 250 g/L.
  4. Flat Topcoat Paints: VOC content of not more than 50 g/L.
  5. Nonflat Topcoat Paints: VOC content of not more than 150 g/L.
  6. Anti-Corrosive and Anti-Rust Paints Applied to Ferrous Metals: VOC not more than 250 g/L.
  7. Primers, Sealers, and Undercoaters: VOC content of not more than 200 g/L.
  8. Zinc-Rich Industrial Maintenance Primers: VOC content of not more than 340 g/L.
  9. Pre-Treatment Wash Primers: VOC content of not more than 420 g/L.
  10. Solid Color Stain: VOC content of less than 350 g/L.
- D. Colors: Paint or stain colors to match the existing wood shingle/shake siding and/or trim boards or as selected by Owner from manufacturers full range of colors. Provide paint or stain samples to Owner and Architect for verification and approval.
1. House Color: Existing house body color (Cabot Solid Color Oil Stain) – Arboretum. Provide color sample as indicated above for verification purposes.
  2. Trim Color: Match Existing Conditions

### 2.3 EXTERIOR PAINTS/STAIN

- A. Quick-Drying Enamel (Semigloss): MPI #81 (Gloss Level 5).
1. VOC Content: E Range of E1.
- B. Quick-Drying Enamel (High Gloss): MPI #96 (Gloss Level 7).
1. VOC Content: E Range of E1.
- C. Exterior Latex-Based Wood Primer:
1. VOC Content: E Range of E2 or E3.
    - a. Benjamin Moore: Primer as recommended for use with Finish Coat Material – Specified below.
- D. Exterior Latex-Wood Exterior Paint:
1. VOC Content: E Range of E2 or E3.
    - a. Benjamin Moore: AURA – Waterborne Exterior Paint 631.
- E. Exterior Latex-Based Wood Trim Finish (Semi-Gloss): MPI #147 (Gloss Level 5).
1. VOC Content: E Range of E3
    - a. Benjamin More: Exterior Latex Semi-Gloss Latex Enamel for Wood Trim.
    - b. PPG: Pure Performance: Exterior Semi-Gloss Latex Enamel for Wood Trim.
    - c. Sherwin-Williams: Exterior Semi-Gloss Latex Enamel for Wood Trim.

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- F. Exterior Stain:
  - 1. VOC Content: Less than 350 g/L
    - a. Cabot Solid Color Oil Stain: Wood Shingles/Shakes

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
  - 1. Wood: 15 percent (or below).
- C. Verify suitability of substrates, including surface conditions and compatibility with existing finishes and primers.
- D. Begin coating application only after unsatisfactory conditions have been corrected and surfaces are dry.
  - 1. Beginning coating application constitutes Contractor's acceptance of substrates and conditions.

### 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates indicated.
- B. Remove plates, machined surfaces, and similar items already in place that are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
  - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
  - 2. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
- C. Clean substrates of substances that could impair bond of paints, including dirt, oil, grease, and incompatible paints and encapsulants.
  - 1. Remove incompatible primers and reprime substrate with compatible primers as required to produce paint systems indicated.
- D. Steel Substrates: Remove rust and loose mill scale. Clean using methods recommended in writing by paint manufacturer.
- E. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal fabricated from coil stock by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.

- F. Wood Substrates (Surfaces to be Painted):
  - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
  - 2. Sand surfaces that will be exposed to view, and dust off.
  - 3. Prime edges, ends, faces, undersides, and backsides of wood.
  - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.
  
- G. Wood Substrates (Surfaces to be Stained):
  - 1. Clean surfaces free from mildew stains and similar foreign matter. Use Cabot Wood Cleaner to remove any staining.
  - 2. Clean any loose or chipping wood fibers from exposed surfaces.
  - 3. Prime edges, ends, faces, undersides, and backsides of wood before installation.
  - 4. Surfaces must be sound and dry before stain is applied.

### 3.3 APPLICATION

- A. Where Required: Apply paint or stain finish to newly installed wood material only. All existing painted surfaces shall remain as finished.
  
- B. Paint Application: Apply paints according to manufacturers written instructions.
  - 1. Use applicators and techniques suited for paint and substrate indicated.
  - 2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.
  - 3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
  
- C. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Tint undercoats to match color of topcoat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.
  
- D. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
  
- E. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
  
- F. Stain Application: Apply Stains in accordance with manufacturers written instructions.
  - 1. Apply with a natural bristle brush.
  - 2. Do not over-apply stain to surfaces of wood.
  - 3. Shake and stir can thoroughly before starting and often during the application process.
  - 4. Blend multiple containers together to insure uniform color.
  
- G. Surfaces not scheduled for paint or stain: Protect all adjoining surfaces not scheduled to receive paint or stain from splattered paint or stain during the application process.

### 3.4 CLEANING AND PROTECTION

ELKIND RESIDENCE #1171  
271 RIDGEVIEW AVENUE  
FAIRFIELD, CONNECTICUT

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint or stain application, clean spattered surfaces. Remove spattered paints or stains by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint or stain application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.
- E. Turn over all unused product to the Owner at Closeout Time. This is in addition to the required extra material stated above.

### 3.5 EXTERIOR PAINTING SCHEDULE

- A. Steel Substrates – Paint all newly installed Metal Surfaces (not including the new flashing) as follows:
  - 1. Quick-Drying Enamel System:
    - a. Prime Coat: Quick-drying alkyd metal primer.
    - b. Intermediate Coat: Quick-drying enamel matching topcoat.
    - c. Topcoat: Quick-drying enamel (Semi-gloss).
- B. Exterior Wood Substrates - Paint all newly installed Wood Trim Surfaces as follows:
  - 1. Latex System:
    - a. Prime Coat: Exterior latex matching topcoat.
    - b. Intermediate Coat: Exterior latex matching topcoat.
    - c. Topcoat: Exterior latex (Semi-gloss).
- C. Exterior Wood Substrates – Stain all newly installed Wood Shingles (Siding) as follows:
  - 1. Stain System:
    - a. Topcoat (Finish): Exterior Solid Color Oil Stain – Match existing color.

END OF SECTION 09900