

Grantee: Connecticut - DOH

Grant: B-13-DS-09-0001

October 1, 2014 thru December 31, 2014 Performance Report



Grant Number:

B-13-DS-09-0001

Obligation Date:**Award Date:****Grantee Name:**

Connecticut - DOH

Contract End Date:**Review by HUD:**

Reviewed and Approved

Grant Award Amount:

\$137,820,000.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

LOCCS Authorized Amount:

\$30,000,000.00

Estimated PI/RL Funds:**Total Budget:**

\$137,820,000.00

Disasters:

Declaration Number

No Disasters Found

Narratives

Disaster Damage:

Hurricane Sandy resulted in extraordinary destruction all along the Connecticut coastline, both as a result of high winds, storm surge in excess of 11 feet in some locations due to the astronomical high tide, as well as 6 to 10 foot waves on top of the surge. According to the United States Army Corp of Engineers, water levels at the Stamford Hurricane Barrier exceeded all recorded storms, which date back to 1893. Preliminary data indicates Hurricane Sandy resulted in flooding close to, or at, the one hundred year storm level from East Haven to Greenwich. A review of state records indicates that in a significant portion of the State's coastal area, Hurricane Sandy exceeded the 1938 Hurricane, becoming the most severe storm in Connecticut history.

Connecticut sustained severe coastal flooding and damaging winds. Along the coast, tropical storm force winds combined with high tides and a full moon, resulting in tidal stacking and significant wave heights. Entire neighborhoods became inundated with storm waters. Residents who did not heed evacuation orders were trapped in their homes and had to be evacuated. Local fire departments performed a total of 144 rescues, while the Connecticut National Guard supported 73 missions, including 6 life-saving rescue efforts.

There were six fatalities in Connecticut as a result of the storm, including an Easton Fire Lieutenant, killed by a falling tree at the height of the storm while performing response operations. Telecommunications across the State were crippled by the storm. Cellular transmission sites were disabled or damaged and communications and cable companies brought in hundreds of generators in order to address critical issues such as the loss of 911 dispatch networks. Flooding and power outages caused raw sewage discharges at treatment plants and pumping stations in seven cities, contaminating flood waters. Bridgeport officials said 15 to 20 million gallons of partially treated sewage from two plants were discharged into the Long Island Sound.

Many essential services were affected by this storm. Airports were either closed entirely or were reduced to limited service. The Metro North New Haven Line, Amtrak Intercity and Shore Line East commuter rails were all shut down. On October 31, when New York Harbor was closed to all shipping traffic, fuel barges could not supply fuel terminals in New Haven and Bridgeport. Fuel supply was also impeded as hundreds of gas stations were closed due to the power outages. The State's Department of Consumer Protection reported that at the peak of Hurricane Sandy's impact on the fuel distribution system, 866 out of 1,493 gas stations (i.e. over 50%) were without power and residents were unable to obtain gas, or waited in long lines at the few open stations. Residents not only from Connecticut but from heavily affected communities in bordering New York State, such as Port Chester, Rye and White Plains, came to Connecticut in search of fuel, placing a heavy demand on an already low fuel supply.

Recovery Needs:

Owner Occupied Housing (Rehabilitation and Mitigation) - Data from FEMA, SBA, DOI, and the surveys submitted to the State by municipalities and public housing authorities indicate that approximately 38,200 homes were damaged by Hurricane Sandy in the Fairfield, New Haven, Middlesex and New London Counties. The total value of losses exceeded \$288 million. After calculating insured losses, FEMA and SBA financial assistance and other benefits, the remaining unmet need is estimated to be between \$47 and \$57 million representing approximately 7,400 units. This range of estimated unmet housing needs anticipates that as the recovery process proceeds, additional unmet needs may present themselves, including, for example, damages not previously known or estimated, increased mitigation needs, and the consideration of code compliance.

Multifamily Housing (Rehabilitation, New Construction & Mitigation) - Approximately 1,298 multifamily LMI units were directly affected by Hurricane Sandy. Of these 1,298 units, 483 units are not in a flood plain and only require rehabilitation at an estimated aggregate cost of \$1.63 million (all of which is an unmet need). The remaining 815 units are in the flood plain and require either rehabilitation and mitigation or



demolition and new construction at one or more other sites, at an estimated aggregate cost of \$240 million, and an unmet need of \$148 million. The unmet need estimate in this housing sector does not include construction of over 100 units of new affordable multifamily units that are needed in the CDBG-DR Program eligible areas. New affordable units, including net new units affordable to households at low and very low-income levels is critical to minimize the impact of Hurricane Sandy on individuals and families that are homeless or at risk of becoming homeless and a key component to the long term recovery of the State.

Fair Housing - Affirmatively furthering fair housing and Equal Opportunity compliance will be achieved by a Fair Housing Action Plan that complies with DOH's guidelines/policies, including but not limited to:

- >1. Affirmatively furthering fair housing through established affirmative marketing policies.
 - >a. Affirmative marketing efforts for the CDBG-DR funding include the following: An Affirmative Marketing Plan, based on the U.S. Department of Housing and Urban Development (HUD) regulations that outline the policies and procedures for housing activities to affirmatively market units financed through the Multifamily and Owner Occupied (Rehabilitation/Reconstruction) Programs including dissemination of information, technical assistance to applicants, project management, reporting requirements, and project review.
- >2. Ensuring that eligible persons from all racial, ethnic, national origin, religious, familial status, the disabled, "special needs," gender groups, and/or other populations least likely to apply, are:
 - >a. Fully informed of vacant units available for rent.
 - >b. Encouraged to apply for rehabilitation and/or rent.
 - >c. Given the opportunity to rent the unit of their choice.
 - >d. Given the opportunity to rehabilitate their primary residence, which sustained damages due to disasters and/or its after-effects.

Recovery Needs:

>3. Invaluable opportunities and supporting requirements via counselors, printed and electronic materials, publications, direct contact, workshops/seminars, and through the placement of flyers/posters in public facilities.

>4. The prioritization of housing and economic development activities and other planning activities that affect racial, ethnic and low-income concentrations to promote the availability of affordable housing in low-poverty, non-minority areas.

Infrastructure and Public Facilities - In response to the State's request for information regarding unmet infrastructure needs, local governments identified at least thirty-four infrastructure projects with unmet needs totaling approximately \$21.4 million. There are a number of infrastructure projects that have the potential to affect the health, safety and welfare of the local community and are therefore urgent priorities. The allocation of \$4 million of the funding for infrastructure projects will focus on unmet needs that are urgent in nature and can be immediately addressed, including water and sewer repairs (\$1 million); municipal-owned utility repairs (\$1.1 million); and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds. For public facilities, the State requested unmet needs data; local governments identified at least eighty-eight projects with unmet needs totaling approximately \$34.5 million. Based on a preliminary review of the public building repair projects identified by local governments, many are ready to be undertaken immediately at a total cost of approximately \$1.5 million. Additional funds (\$700,000) will be used to initiate architectural, engineering, environmental and other preconstruction activities for the public facility projects to be undertaken with future allocations of funds.

Economic Revitalization - In response to the State's request for unmet needs data, local governments identified at least twelve structural repair projects for businesses totaling \$5.3 million and an additional \$5 million of repair or replacement of equipment. In total, the unmet need for economic revitalization identified by local governments is currently estimated at \$10.3 million. A wide range of businesses were impacted with the greatest concentration of monetary damages in Other Amusement and Recreational Facilities (\$1.3 million); Hotels of various types (\$1 million) and Restaurants of various types (\$1 million). Many of the impacted businesses were either on or close to the shoreline, including a high percentage in the 100-year flood plain. A range of businesses were impacted, with the greatest concentration of costs in Plumbing, Heating, and Air-Conditioning Contractors (\$400,000); and Restaurants of various types (\$310,000).

Planning - The State recognizes that future storms may severely damage homes, businesses, infrastructure and public facilities in municipalities throughout Connecticut. It is essential that our infrastructure and public facilities be hardened in anticipation of these events. In addition, a significant and necessary investment has been made in preparation for the receipt and distribution of the Funding. Accordingly, the State will utilize a

Recovery Needs:

portion of these Planning funds to offset the costs incurred to develop the proposed programs and activities through which the Funding will be administered.

| Overall | This Report Period | To Date |
|--|--------------------|-----------------|
| Total Projected Budget from All Sources | N/A | \$65,000,000.00 |
| Total Budget | \$0.00 | \$65,000,000.00 |
| Total Obligated | \$3,284,140.05 | \$8,163,305.07 |
| Total Funds Drawdown | \$2,255,831.91 | \$5,963,991.58 |
| Program Funds Drawdown | \$2,255,831.91 | \$5,963,991.58 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$3,080,126.06 | \$8,163,305.01 |

| | | |
|--------------------------|--------|--------|
| Match Contributed | \$0.00 | \$0.00 |
|--------------------------|--------|--------|

Progress Toward Required Numeric Targets

| Requirement | Required | To Date |
|---|-----------------|----------------|
| Overall Benefit Percentage (Projected) | | 68.30% |
| Overall Benefit Percentage (Actual) | | 72.85% |
| Minimum Non-Federal Match | \$0.00 | \$0.00 |
| Limit on Public Services | \$20,673,000.00 | \$0.00 |
| Limit on Admin/Planning | \$27,564,000.00 | \$1,328,424.45 |
| Limit on State Admin | \$6,891,000.00 | \$1,000,000.00 |

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

| National Objective | Target | Actual |
|---------------------------|-----------------|-----------------|
| Low/Mod | \$68,910,000.00 | \$40,980,000.00 |

Overall Progress Narrative:

The State of Connecticut, Department of Housing (DOH) continues to make significant progress in the implementation of the disaster recovery programs outlined in the approved Action Plan. Several efforts have been made to implement a program that is in accordance with all federal regulations. Written program guidelines were developed, prepared and posted on the DOH web portal dedicated to Superstorm Sandy Recovery.

Housing:

As of December 31, 2014, the deadline date for all homeowners with incomplete applications to submit their documentation for review, the program received applications from 932 homeowners seeking assistance. There were 825 homeowners with completed applications and 107 homeowners who received a denial letter as a result of incomplete applications. All 107 applicants who were denied were given the opportunity to appeal the decision within 30 days and submit the missing documentation to DOH for program eligibility review.

The assigned Grants and Contract Specialists have reviewed 818 of these applications and there are presently 7 applications under review. Assistance has been prioritized for those families that continue to be displaced from their homes, in addition to the standard priority based on income, with assistance being targeted to lower income families first.

The State of Connecticut continues to implement a program that protects the homeowners, taxpayers and the State from fraud, waste and abuse. For the First Tranche, we are not simply cutting checks. We are working to ensure that the work that is done to restore the property of our citizens is done properly, consistent with good quality work and materials, while ensuring that those homeowners who are displaced from their homes receive the priority assistance they need. As a result, we have 7 highly qualified Architectural, Engineering, and Construction Management firms assigned to 357 of the highest priority applicants. These firms are responsible to visit the damaged properties to perform a property evaluation, determine the unmet need as a result of Superstorm Sandy, prepare the environmental statutory checklist, scope of work, and construction and bid documents. As previously stated, assistance has been prioritized for those families that continue to be displaced from their homes, in addition to the standard priority based on income, with assistance being targeted to lower income families first.

As of December 31, 2014, there were 259 active projects assigned to the Architectural, Engineering, and >Construction Management firms and of these 224 of these homeowners have executed the Property Evaluation Access Agreement which gives the architect permission to contact the homeowner and schedule an appointment to



conduct an initial property evaluation. The Architects and Engineers have visited the homes of 205 applicants and scope of work and cost estimates submitted to DOH for review.

As a result the vigilant work of the Architects and Engineers an additional 72 projects were denied after initial site visits revealed that there were either no damages as a result of Superstorm Sandy or the damages were below the minimum \$10,000 CDBG-DR grant award. 177 properties have been issued a notice to proceed granting approval to complete environmental statutory checklist, hazardous material testing, soil borings and construction documents preparation. A total of 84 projects have been placed out to bid among prequalified general contractors. There are presently 9 rehabilitation projects that have been completed and 20 projects under construction.

As a result of limited funding, all housing recovery program funds have been obligated to assist eligible homeowners in Priority Level 1, 2 and 3 displaced out of their homes. The State's first priority is to get financial assistance to as many affected residents as possible, assisting them in the repair or reconstruction of their homes to get their lives back in order. A Third Tranche of funds was announced by HUD that proposes an additional \$11,459,000 of CDBG-DR funding. If approved, financial assistance may be provided to homeowners in Priority Level 3 not currently displaced on a first come first served basis.

Reimbursement:

>As a result of limited funding, homeowners who sustained damage to their primary homes during Superstorm Sandy was not reimbursed under this First Tranche of funds because reimbursement was a lower priority for assistance. The State allocated \$11,200,000 toward the Owner Occupied Reimbursement program in the second tranche of funds to help homeowners in whole or in part, for out-of-pocket funds spent repairing their property. A total of 463 applicants are seeking reimbursement under this program with requests exceeding over \$30,000,000. This quarter, we have assigned the 272 priority R-1 to R-3 applicants to the Architectural, Engineering, and Construction Management firms. Each eligible homeowner was mailed an Access Agreement which gives the Architectural, Engineering, and Construction Management firm permission to contact the homeowner to schedule a site inspection of the completed work and prepare the environmental statutory checklist. As of December 31, 2013, 65 homeowners have returned their Access Agreements and we have received inspection reports for 30 homeowners. DOH is working very closely with the State Historic Preservation Office on the historic reviews of these reimbursement applications.

Economic Revitalization Program:

>Although a multi-media campaign targeted residents and small business owners located in the areas most impacted by Superstorm Sandy, the level of interest in the Small Business Express program has been low. The Small Business Express Program has received 14 applications since the program began accepting applications on September 27, 2013. Three (3) of which has been approved, one (1) is under financial review, one (1) has been withdrawn by the applicant, one (1) has been denied and nine (9) are presently under application review. DECD staff is presently working with the small business owners with applications under review to submit all missing documentation in order to complete the review these applications.

As of December 31, 2014, three (3) small business grants have been executed under this activity in the amount of \$144,000 for a total of 17 jobs created and retained. The total grant award has been disbursed to the businesses to recover lost inventory and income as a result of Superstorm sandy. The small businesses who have received funding are:

- >• Charles Island Oyster Farm, Milford - \$50,000
- >• Inn at Fairfield Beach, Fairfield - \$ 44,000
- >• Lennys Indian Head Inn, Branford - \$ 50,000

Infrastructure:

>Last quarter, the State announced the 5 infrastructure projects that have received soft letters of commitment under the First Tranche of Funds. These infrastructure projects were:

- >• Fairfield Pine Creek Culvert Upgrade - \$560,000
- >• Fairfield Water Pollution Control Facility Outfall Pipe - \$74,500
- >• Milford Morningside Revetment Reconstruction - \$780,480
- >• New Haven, Brewery Square Bulkhead Rehabilitation - \$940,047
- >• New Haven East Shore Erosion Control - \$952,582

Under the Second Tranche of Funds the State allocated \$30,000,000 for infrastructure activities. On December 29, 2014, the state announced the 21 projects in 11 communities that were successful in receiving soft letters of commitment for the restoration and adding resiliency of their existing infrastructure facilities. These projects were:

- • Bridgeport, Crescent Crossing for Housing Development - \$2,912,893
- >• Bridgeport, Essential Generators - \$610,000
- >• Bridgeport, Yellow Bridge Resiliency - \$2,625,000
- >• East Haven, Hemingway & Coe Avenue Reconstruction - \$1,214,831
- >• Fairfield, Penfield Beach Resiliency Improvements - \$225,000



- >• Fairfield, Wastewater Treatment Plant Resiliency - \$2,316,000
- >• Fairfield, Water Pollution Control Micro-Grid - \$2,500,000
- >• Milford, Essential Generators - \$161,250
- >• Milford, Calf Pen Meadow/Beachland Avenue Resiliency- \$638,250
- >• Milford, Bayview Beach Area Flooding Control, \$1,326,150
- >• Milford, Point Road Flooding Control, \$301,537
- >• New Haven, East Shore Erosion Control - \$947,419
- >• New Haven, Union Avenue Mitigation and Resiliency, \$4,000,000
- >• New London, Drainage Improvements -\$1,480,875
- >• Norwalk, Washington Village Redevelopment - \$3,000,000
- >• Norwalk, Route 136 Bridge Resiliency - \$2,625,000
- >• Old Lyme, Sheffield Brook Outfall Resiliency - \$300,000
- >• Stamford, Generator Upgrades - \$303,795
- >• Stratford, Bunnell High School Generator - \$310,000
- >• West Haven, Old Field Creek Dredging - \$525,000
- >• West Haven, Beach Street Reconstruction - \$1,650,000

>Public Facilities:

>Last quarter, the state announced the public facilities projects that have been issued soft letters of commitment and outlined the basic provisions and conditions if funding were to be approved. The total amount of committed for the two Public Facility projects is \$1,003,000. The Public facility projects awarded funding are:

- >• Fairfield Penfield Pavilion Repair - \$500,000
- >• Milford Gulf Beach Breakwater Reconstruction - \$503,000

>This quarter, Assistance Agreements are being reviewed by DOH counsel and DOH staff is working very closely with the municipalities in the preparation of the section 106 environmental compliance reports.

> Planning

>This Quarter, Assistance Agreements were sent to all ten Planning Grant Recipients. Included in the Agreements are requests for breakdowns of expenses for each quarter and implementation plans. One grantee (Branford Living & Artificial Reef: Creation & Restoration) began his project in the month of November and we anticipate that the other nine grantees will begin implementing their projects in the first and second quarters of 2015. The project awarded funding under the First Tranche of funds are:

- >• New Haven, Bulkhead Engineering and Design - \$342,000
- >• New Haven, Hill Neighborhood & Union Ave. Drainage Improvements - \$500,000
- >• New Haven, Long Wharf Flood Protection -\$400,000
- >• New Haven, Mill River Shoreline Analysis/Coastal Zone Management - \$191,250
- >• New London, Bank Street Drainage Improvement -\$200,000
- >• Stratford, Coastal Resiliency Plan - \$100,000
- >• West Haven, Beach Street & 1st Avenue Reconstruction - \$1,200,000
- >• Creating Coastal Resilience - for Branford, Madison, Milford - \$150,000
- >• Branford, Living & Artificial Reef: Creation & Restoration - \$415,000
- >• Bridgeport, Marina Village Resiliency - \$100,000

Project Summary

| Project #, Project Title | This Report Period | To Date | |
|---|------------------------|------------------------|------------------------|
| | Program Funds Drawdown | Project Funds Budgeted | Program Funds Drawdown |
| T1-A1-DS-13-ADMN, T1-A1-ADMINISTRATION | \$201,384.64 | \$500,000.00 | \$1,000,000.00 |
| T1-A1-DS-13-ECONREV-MI, T1-A1-ECONOMIC | \$0.00 | \$1,000,000.00 | \$144,000.00 |
| T1-A1-DS-13-INFRA, T1-A1-INFRASTRUCTURE | \$0.00 | \$3,000,000.00 | \$0.00 |
| T1-A1-DS-13-MF, T1-A1-MULTI-FAMILY/RENTAL HOUSING | \$46,782.66 | \$5,000,000.00 | \$80,005.83 |
| T1-A1-DS-13-OORR-RH, T1-A1-OWNER OCCUPIED/SINGLE | \$2,007,664.61 | \$6,500,000.00 | \$4,411,561.30 |
| T1-A1-DS-13-PLAN, T1-A1-PLANNING | \$0.00 | \$1,000,000.00 | \$328,424.45 |



T1-A1-DS-13-PUB FAC, T1-A1-PUBLIC FACILITIES

\$0.00

\$0.00

\$0.00



Activities

Project # / Title: T1-A1-DS-13-ADMN / T1-A1-ADMINISTRATION

Grantee Activity Number: T1-A1-DS-13-ADMN
Activity Title: T1-A1-ADMINISTRATION

Activity Category:

Administration

Project Number:

T1-A1-DS-13-ADMN

Projected Start Date:

08/22/2013

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

T1-A1-ADMINISTRATION

Projected End Date:

12/02/2017

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$2,467,500.00 |
| Total Budget | \$0.00 | \$2,467,500.00 |
| Total Obligated | \$0.00 | \$500,000.00 |
| Total Funds Drawdown | \$0.00 | \$500,000.00 |
| Program Funds Drawdown | \$0.00 | \$500,000.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$500,000.00 |
| STATE OF CONNECTICUT | \$0.00 | \$500,000.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

Location Description:

Grant Administration activities to implement the CDBG-DR program in areas impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

This activity represents the allocation of funds for administrative salaries and program development. In the Fourth Quarter, there were a total of 15 individuals employed by the Department of Housing to administer the CDBG-DR program.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

| | |
|---------------------------------|-----------------------------|
| Grantee Activity Number: | T2-A1-DS-13-ADMN |
| Activity Title: | T2-A1-ADMINISTRATION |

Activity Category:

Administration

Project Number:

T1-A1-DS-13-ADMN

Projected Start Date:

06/30/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

T1-A1-ADMINISTRATION

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$500,000.00 |
| Total Budget | \$0.00 | \$500,000.00 |
| Total Obligated | \$201,384.64 | \$500,000.00 |
| Total Funds Drawdown | \$201,384.64 | \$500,000.00 |
| Program Funds Drawdown | \$201,384.64 | \$500,000.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$13,707.34 | \$500,000.00 |
| STATE OF CONNECTICUT | \$13,707.34 | \$500,000.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

Location Description:

Activity Progress Narrative:

In the Fourth Quarter, the State of Connecticut drew down \$ 201,384.64 in program funds to cover the cost of administrative salaries. There are a total of 15 individuals employed by the Department of Housing to administer the CDBG-DR program.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T1-A1-DS-13-ECONREV-MI / T1-A1-ECONOMIC

Grantee Activity Number: T1-A1-DS-13-ECONREV-MI
Activity Title: T1-A1-ECONOMIC REVITALIZATION-MOST IMPACT AREA

Activity Category:

Econ. development or recovery activity that creates/retains jobs

Project Number:

T1-A1-DS-13-ECONREV-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-ECONOMIC REVITALIZATION-MOST IMPACTED

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

| | Oct 1 thru Dec 31, 2014 | To Date |
|---|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,000,000.00 |
| Total Budget | \$0.00 | \$1,000,000.00 |
| Total Obligated | \$0.00 | \$144,000.00 |
| Total Funds Drawdown | \$0.00 | \$144,000.00 |
| Program Funds Drawdown | \$0.00 | \$144,000.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$144,000.00 |



| | | |
|--------------------------|--------|--------------|
| STATE OF CONNECTICUT | \$0.00 | \$144,000.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The Economic Revitalization Program was established to provide assistance to eligible small businesses that suffered direct physical damage as a result of Superstorm Sandy with the financial resources needed to continue the rebuilding process. Grants may be provided to small businesses, including non-profit organizations for capital expenditures to repair or replace needed equipment, lost inventory, rehabilitation, reconstruction of facilities that were damaged/destroyed or to provide working capital needed as a direct result of the storm while promoting job retention and job creation.

Location Description:

The Economic Revitalization Program is designed to meet the needs of small businesses in the communities most impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation. Priority has been given to the small business located in the Fairfield and New Haven Counties.

Activity Progress Narrative:

The Small Business Express Program has received 14 applications since the program begun accepting applications on September 27, 2013. Three (3) of which has been approved, one (1) is under financial review, one (1) has been withdrawn by the applicant, one (1) has been denied and nine (9) are presently under application review. DECD staff is presently working with the small business owners with applications under review to submit all missing documentation in order to complete the review these applications.

As of December 31, 2014, three (3) small business grants have been executed under this activity in the amount of \$144,000 for a total of 17 jobs created and retained. The total grant award has been disbursed to the businesses to recover lost inventory and income as a result of Superstorm sandy. The small businesses who have received assistance under this program are:
 Charles Island Oyster Farm, Milford - \$ 50,000
 Inn at Fairfield Beach LLC, Fairfield - \$ 44,000
 Lennys Indian Head Inn, Branford - \$ 50,000

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|------------------------------|--------------------|-----|-------|------------------------------------|-----|-------|----------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod% |
| # of Permanent Jobs Retained | 0 | 0 | 0 | 0/0 | 0/0 | 3/3 | 0.00 |

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T1-A1-DS-13-MF-MI / T1-A1-MULTI-FAMILY/RENTAL HOUSING-

| | |
|---------------------------------|---|
| Grantee Activity Number: | T1-A1-DS-13-MFRI-LM-MI |
| Activity Title: | T1-A1-MULTI FAM-INSIDE FLOODPLAIN-LM-MOST IMPACT |

Activity Category:

Affordable Rental Housing

Project Number:

T1-A1-DS-13-MF-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-MULTI-FAMILY/RENTAL HOUSING-MOST

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|--------------------------------|-----------------|
| Total Projected Budget from All Sources | N/A | \$13,500,000.00 |
| Total Budget | \$0.00 | \$13,500,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Multifamily Low to Moderate Rehabilitation, Rebuilding and Mitigation inside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.



Activity Progress Narrative:

Washington Village, South Norwalk project was awarded \$9,855,596 in CDB-DR funding in the First Tranche of funds. In accordance with state regulations, Washington Village must obtain a Flood Management Certification Exemption (FMC Exemption) from the CT Department of Energy and Environmental Protection (DEEP) to carry out all development activities since the project is located within the 100-year floodplain of Norwalk River and Norwalk Harbor.

>As previously stated in our second third quarter report, DEEP reviewed the application and determined that the proposed development was in the public interest, will not injure persons or damage property in the area of such activity or critical activity, and complies with the provisions of the National Flood Insurance Program. A petition was filed by the Friends of Ryan Park which initiated the public hearing process.

>During this quarter, DEEP Office of Adjudications begun the public hearing process and the first public hearing was held on December 15, 2014 at the Norwalk Community Center. The public hearing was well attended by residents, with a mix of attendees speaking both for and against the project. The next step in the FMC Exemption process will be an evidentiary hearing that has been scheduled for early January of 2015. Following the conclusion of the evidentiary hearing the DEEP Hearing Officer shall render a decision either in favor or not in favor of DEEP's tentative decision by the end of March 2015.

>The developer intends to begin construction shortly after receipt of the Flood Management Exemption approval.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

| | |
|---------------------------------|--|
| Grantee Activity Number: | T1-A1-DS-13-MFRO-LM-MI |
| Activity Title: | T1-A1-MULTI FAM-OUTSIDE FLOODPLAIN-LM-MOST IMPACT |

Activity Category:

Affordable Rental Housing

Project Number:

T1-A1-DS-13-MF-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-MULTI-FAMILY/RENTAL HOUSING-MOST

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|--------------|
| Total Projected Budget from All Sources | N/A | \$500,000.00 |
| Total Budget | \$0.00 | \$500,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Multifamily Rehabilitation, Rebuilding and Mitigation outside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

The State continues to make significant progress on the two large multifamily developments located outside the flood plain

>Crescent Crossing, Bridgeport was awarded \$6,975,000 in the first Tranche of funds. This 93 unit housing development to be constructed on a portion of the former Father Panik Village site that has been vacant for 20 years, is outside the proposed 500 year flood plain. The funding is for housing in Phase 1A of an anticipated 3 Phase Project. Progress during the Fourth Quarter of 2014, includes the following;

>1. Pre-application meetings with the CT Department of Energy and Environmental Protection (DEEP), and with the Department of Economic and Community Development (DECD) and DOH to determine the next steps regarding DEEP Exemption or General Certifications.



>2. Completion of the 24 CFR Part 58 Environmental Assessment & Determinations and Compliance Findings for HUD-Assisted Housing.

>3. The Connecticut State Historic Preservation Office has reviewed this project & Phases 1, 2 & 3 and found no adverse effect.

>This quarter, Crescent Crossing was awarded, an additional \$2,912,893 from the second tranche of infrastructure funds to construct sidewalks, parking, streetscaping, new curbing, underground storm water infiltration system, rain gardens and other subsurface storm water infrastructure, and connecting to an existing " water main along Water view Avenue; also correcting site flooding by raising site grade above the 100 year flood plain to prevent sea levels from reaching habitable space within buildings. While the 100 year flood plain is only .75 acres in the southeast corner of the site and no housing is proposed for this area, the proposed infrastructure improvements consist of 12 acres in both Phase 1A and 1B where 84 units are anticipated.

Summer Place, Stamford was awarded \$6,400,000, in the first tranche of funds. As previously stated last quarter, Summer Place is a 48 unit out of the flood plain replacement of public housing for the elderly age 55 and older.

>During this quarter, the developer Charter Oak Communities executed the CT DOH Term Sheet. This action triggers the assignment of outside legal counsel and the start of the CDBG-DR financing closing process. It is anticipated that the closing will be completed by the end of the 1st quarter of 2015 and shortly after financing is available construction is expected to begin.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: T1-A1-DS-13-SSRR-LM-MI
Activity Title: T1-A1-MF-SCATTERED SITES-LM-MOST IMPACT

Activity Category:
 Rehabilitation/reconstruction of residential structures

Activity Status:
 Under Way

Project Number:
 T1-A1-DS-13-MF-MI

Project Title:
 T1-A1-MULTI-FAMILY/RENTAL HOUSING-MOST

Projected Start Date:
 08/22/2013

Projected End Date:
 08/22/2015

Benefit Type:
 Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:
 Low/Mod

Responsible Organization:
 STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,000,000.00 |
| Total Budget | \$0.00 | \$1,000,000.00 |
| Total Obligated | \$45,348.35 | \$111,412.40 |
| Total Funds Drawdown | \$46,782.66 | \$80,005.83 |
| Program Funds Drawdown | \$46,782.66 | \$80,005.83 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$45,348.35 | \$111,412.40 |
| STATE OF CONNECTICUT | \$45,348.35 | \$111,412.40 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

One-four unit low to moderate income rental occupied properties which were damaged by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

As of December 30, 2014, there were 62 applications in our database from rental property owners. All 62 applications have been reviewed according to Program Guidelines and CDBG-DR National Objectives. There are 20 eligible applicants, 37 applicants have been denied and 5 have been withdrawn. Of the eligible applications, 19 projects are moving forward with property owners who have agreed to rent their units to low/moderate income households for 5 years following completion of the rehabilitation/rebuilding creating 27 additional units of affordable housing. While for some projects, the scope and cost are in the midst of being determined, we anticipate the total cost of all the projects to be \$2,800,000.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T1-A1-DS-13-OORR-MI / T1-A1-OWNER OCCUPIED/SINGLE

Grantee Activity Number: T1-A1-DS-13-OORR-RH-LM

Activity Title: T1-A1-OORR-SINGLE FAMILY REHAB-LM

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$3,500,000.00

Total Budget

\$0.00

\$3,500,000.00

Total Obligated

\$101,026.00

\$256,712.94



| | | |
|--------------------------------|--------------|--------------|
| Total Funds Drawdown | \$96,230.49 | \$220,455.04 |
| Program Funds Drawdown | \$96,230.49 | \$220,455.04 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$101,026.00 | \$256,712.94 |
| STATE OF CONNECTICUT | \$101,026.00 | \$256,712.94 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to Moderate income owner occupied properties impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. The latest program information is available on the Department of Housing web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing. To-date we there have been 11 LMI unmet need applicants in Middlesex and New London Counties. All of these applications have been evaluated by DOH's Architectural/Engineering/Construction Management (AECM) firms for Superstorm Sandy damages. As a result of those reviews 5 applications were found to have either little damage (under the minimum application threshold of \$10,000) or no damage at all. These applicants have been denied assistance and, as appropriate, referred to other resources. In addition 1 applicant withdrew their request for assistance at this stage. Our AECM firms have continued on with 5 of our applications to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents. Three LMI project has been put out to bid of which two are in the award/closing phase and the other is unable to close because of Homeowner issues/documentation that needs to be rectified.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|---|
| Grantee Activity Number: | T1-A1-DS-13-OORR-RH-LM-MI |
| Activity Title: | T1-A1-OORR-SINGLE FAM REHAB-LM-MOST IMPACTED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|-----------------|
| Total Projected Budget from All Sources | N/A | \$15,000,000.00 |
| Total Budget | \$1,500,000.00 | \$15,000,000.00 |
| Total Obligated | \$2,205,144.04 | \$4,647,205.61 |
| Total Funds Drawdown | \$1,379,405.55 | \$3,030,569.45 |
| Program Funds Drawdown | \$1,379,405.55 | \$3,030,569.45 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$2,205,144.04 | \$4,647,205.61 |
| STATE OF CONNECTICUT | \$2,205,144.04 | \$4,647,205.61 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. The latest program information is available on the Department of Housing web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing. To-date we continue to processed 173 LMI unmet need applicants in our most impacted counties. All of these applications have been evaluated by DOH's Architectural/Engineering/Construction Management (AECM) firms for Superstorm Sandy damages. As a result of the vigilant work of the Architects and Engineers 31 applications were found to have either little damage (under the minimum application threshold of \$10,000) or no damage at all. These applicants have been denied



assistance and, as appropriate, referred to other resources. In addition 9 applicants withdrew their request for assistance. Our AECM firms have continued on with 133 of our applications to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents.

Of the 133 active projects, 63 LMI projects have been put out to bid of which 20 are in the award/closing phase. After bidding, 3 of our Homeowners decided not to go forward with their projects and have dropped out of the program. We currently have 6 LMI projects that have been awarded, but we are unable to close because of Homeowner issues/documentation that needs to be rectified. The proposed undertakings on 2 projects that have been awarded have been determined to have an Adverse Effect on Historical Properties and we cannot begin construction on these projects until we have approval of our Programmatic Agreement in accordance with Section 106 and a Historical Recordation of the property is complete. As of December 31, 2014 there are 23 homes in the construction phase and 9 projects have been completed.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: T1-A1-DS-13-OORR-RH-UN
Activity Title: T1-A1-OORR-SINGLE FAMILY-REHAB-URGENT NEED

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,500,000.00 |
| Total Budget | (\$500,000.00) | \$1,500,000.00 |
| Total Obligated | \$23,866.58 | \$112,039.38 |
| Total Funds Drawdown | \$28,308.09 | \$103,289.63 |
| Program Funds Drawdown | \$28,308.09 | \$103,289.63 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$23,866.58 | \$112,039.38 |
| STATE OF CONNECTICUT | \$23,866.58 | \$112,039.38 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

>



Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. The latest Information is available on the Department of Housing web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing.

>There are 2 Urgent Need applications which are in New London County. These 2 applications have been assigned to DOH's Architectural/Engineering/Construction Management (AECM) firms for evaluation of damages and to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents to get them ready to be put out to bid.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

| | |
|---------------------------------|---|
| Grantee Activity Number: | T1-A1-DS-13-OORR-RH-UN-MI |
| Activity Title: | T1-A1-OORR-SINGLE FAM REHAB-URG NEED-MOST IMPACTED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$4,500,000.00 |
| Total Budget | (\$1,000,000.00) | \$4,500,000.00 |
| Total Obligated | \$541,260.37 | \$1,397,400.22 |
| Total Funds Drawdown | \$435,415.99 | \$988,942.69 |
| Program Funds Drawdown | \$435,415.99 | \$988,942.69 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$541,260.37 | \$1,397,400.15 |
| STATE OF CONNECTICUT | \$541,260.37 | \$1,397,400.15 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. The latest program information is available on the Department of Housing web portal dedicated to Superstorm Sandy Recovery. Outreach efforts remain ongoing. To-date we have processed the 87 Urgent Need applications in our most impacted Counties of Fairfield and New Haven. All 87 have been assigned to DOH's Architectural/Engineering/Construction Management (AECM) firms for evaluation of damages due to Superstorm Sandy. As a result of those reviews 13 applications were found to have either little damage (under the minimum application threshold of \$10,000) or no damage at all. These applicants have been denied assistance and, as



appropriate, referred to other resources. In addition 8 of these applicants withdrew their request for assistance as this stage. Our AECM firms continued on with 66 of our applications to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents. We have put out to bid 19 of these projects of which 7 are in the closing/award phase. We currently have 6 LMI projects that have been awarded, but we are unable to close because of Homeowner issues/documentation that needs to be rectified. The proposed undertakings on 2 of our awarded projects have been determined to have an Adverse Effect on Historical Properties and we cannot begin construction on these projects until we have approval of our Programmatic Agreement in accordance with Section 106 and a Historic Recordation of these properties is complete. Seven of the projects are currently under construction and one project has been completed.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|--|
| Grantee Activity Number: | T2-A1-DS-13-OORR-MT-LM |
| Activity Title: | T2-A1-OORR-SINGLE FAMILY-MITIGATION-LOW/MOD |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,040,000.00 |
| Total Budget | (\$260,000.00) | \$1,040,000.00 |
| Total Obligated | \$6,417.98 | \$6,417.98 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$6,417.98 | \$6,417.98 |
| STATE OF CONNECTICUT | \$6,417.98 | \$6,417.98 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of tranche 2 funding we are able to address mitigation only application requests. This quarter our AECM firms continue to work on design and construction documents as well as environmental reviews in accordance with NEPA regulations on 5 mitigation only LMI projects. Four of these projects are located in New London County and one is located in Middlesex County.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

| | |
|---------------------------------|--|
| Grantee Activity Number: | T2-A1-DS-13-OORR-MT-LM-MI |
| Activity Title: | T2-A1-OORR-MITIGATION-LOW/MOD-MOST IMPACTED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$4,160,000.00 |
| Total Budget | (\$1,040,000.00) | \$4,160,000.00 |
| Total Obligated | \$65,810.18 | \$65,810.18 |
| Total Funds Drawdown | \$45,988.34 | \$45,988.34 |
| Program Funds Drawdown | \$45,988.34 | \$45,988.34 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$49,473.48 | \$65,810.18 |
| STATE OF CONNECTICUT | \$49,473.48 | \$65,810.18 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of tranche 2 funding we are able to address mitigation only application requests. We are actively working on 18 LMI Mitigation only project in our most impacted counties have been assigned to our AECM firms. Eighteen of these projects are located in New Haven County, while 2 are located in Fairfield County. These projects are currently in the design phase working towards construction documents and completing environmental reviews in accordance with NEPA regulations



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

| | |
|---------------------------------|--|
| Grantee Activity Number: | T2-A1-DS-13-OORR-MT-UN |
| Activity Title: | T2-A1-OORR-MITIGATION-URGENT NEED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$260,000.00 |
| Total Budget | \$260,000.00 | \$260,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of tranche 2 funding we are able to address mitigation only application requests and we have one urgent need request in Middlesex County. This application has been assigned to an AECM firm who is currently conducting and environmental review in accordance with NEPA regulations and preparing the design and construction documents.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: T2-A1-DS-13-OORR-MT-UN-MI
Activity Title: T2-A1-OORR-MITIGATION-URGENT NEED-MOST IMPACTED

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,040,000.00 |
| Total Budget | \$1,040,000.00 | \$1,040,000.00 |
| Total Obligated | \$65,575.47 | \$65,575.47 |
| Total Funds Drawdown | \$22,316.15 | \$22,316.15 |
| Program Funds Drawdown | \$22,316.15 | \$22,316.15 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$65,575.47 | \$65,575.47 |
| STATE OF CONNECTICUT | \$65,575.47 | \$65,575.47 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties seeking assistance in elevating their properties above the base flood elevations.

Activity Progress Narrative:

As a result of tranche 2 funding we are able to address mitigation only application requests. We are currently processing five mitigation only Urgent Need applications in our most impacted counties to and our AECM firms are completing construction and design documents as well as environmental reviews in accordance with NEPA regulations. Three of these projects are located in New Haven County and two are located in Fairfield County.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-OORR-RI-LM |
| Activity Title: | T2-A1-OORR-SINGLE FAMILY-REIMBURSEMENT-LOW/MOD |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$440,000.00 |
| Total Budget | \$0.00 | \$440,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Middlesex and New London Counties whose low to moderate income homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As a result of Tranche 2 funding, DOH has begun to process our reimbursement requests this quarter. In Middlesex and New London Counties we have begun to process 7 LMI income applicant reimbursement requests. As homeowners signed Access Agreements to grant entry to their property, we are assigning AECM firms to visit properties to verify the repairs made and to conduct the required environmental statutory checklist review. DOH staff is completing the duplication of benefits analysis on these requests to determine the applicant's eligible reimbursement award. Once the amount of eligible reimbursement has been determined DOH has been forwarding award letters with forms to set up an electronic transfer of funds. As soon as



these forms are in place and the required environmental review is completed, DOH will move forward to signing the closing documents. DOH is currently working with SHPO and HUD to address the historic properties review portion of the environmental checklist. With direction and completion of the historic properties review, DOH will be able to start closing on these projects and issue reimbursements.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-OORR-RI-LM-MI |
| Activity Title: | T2-A1-OORR-REIMBURSEMENT-LOW/MOD-MOST IMPACTED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,840,000.00 |
| Total Budget | \$0.00 | \$1,840,000.00 |
| Total Obligated | \$13,643.24 | \$13,643.24 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$13,643.25 | \$13,643.25 |
| STATE OF CONNECTICUT | \$13,643.25 | \$13,643.25 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As a result of Tranche 2 funding, DOH has begun to process our reimbursement requests this quarter for applicants that are under 150% of AMI. In our most impacted Counties of New Haven and Fairfield we have begun to process 75 Urgent Need income applicant reimbursement requests. As homeowners signed Access Agreements to grant entry to their property, we are assigning AECM firms to visit properties to verify the repairs made and to conduct the required environmental statutory checklist review. DOH staff is completing the duplication of benefits analysis on these requests to determine the applicant's eligible reimbursement award. Once the amount of the eligible reimbursement award has been determined



DOH has been forwarding award letters with forms to set up an electronic transfer of funds. As soon as these forms are in place and the required environmental review is completed, DOH will move forward to signing the closing documents. DOH is currently working with SHPO and HUD to address the historic properties review portion of the environmental checklist. With direction and completion of the historic properties review, DOH will be able to start closing on these projects and issue reimbursements.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-OORR-RI-UN |
| Activity Title: | T2-A1-OORR-SINGLE FAMILY-REIMBURSEMENT-URGENT NEED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|--------------|
| Total Projected Budget from All Sources | N/A | \$560,000.00 |
| Total Budget | \$0.00 | \$560,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Middlesex and New London Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As a result of Tranche 2 funding, DOH has begun to process our reimbursement requests this quarter for applicants that are under 150% of AMI. In Middlesex and New London Counties we have begun to process 2 Urgent Need income applicant reimbursement requests. As homeowners signed Access Agreements to grant entry to their property, we are assigning AECM firms to visit properties to verify the repairs made and to conduct the required environmental statutory checklist review. DOH staff is completing the duplication of benefits analysis on these requests to determine the applicant's eligible reimbursement award. Once the amount of eligible reimbursement has been determined DOH has been forwarding award



letters with forms to set up an electronic transfer of funds. As soon as these forms are in place and the required environmental review is completed, DOH will move forward to signing the closing documents. DOH is currently working with SHPO and HUD to address the historic properties review portion of the environmental checklist. With direction and completion of the historic properties review, DOH will be able to start closing on these projects and issue reimbursements.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

| Other Funding Sources | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-OORR-RI-UN-MI |
| Activity Title: | T2-A1-OORR-REIMBURSEMENT-URGENT NEED-MOST IMPACTED |

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

T1-A1-DS-13-OORR-MI

Projected Start Date:

06/30/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T1-A1-OWNER OCCUPIED/SINGLE FAMILY-MOST

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$2,160,000.00 |
| Total Budget | \$0.00 | \$2,160,000.00 |
| Total Obligated | \$3,564.25 | \$3,564.25 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$3,564.25 | \$3,564.25 |
| STATE OF CONNECTICUT | \$3,564.25 | \$3,564.25 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T1-A1-DS-13-PLAN / T1-A1-PLANNING

Grantee Activity Number: T1-A1-DS-13-PLAN

Activity Title: T1-A1-PLANNING

Activity Category:

Planning

Project Number:

T1-A1-DS-13-PLAN

Projected Start Date:

08/22/2013

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

T1-A1-PLANNING

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Oct 1 thru Dec 31, 2014

N/A

To Date

\$2,029,000.00

Total Budget

\$0.00

\$2,029,000.00

Total Obligated

\$11,098.95

\$339,523.40

Total Funds Drawdown

\$0.00

\$328,424.45

Program Funds Drawdown

\$0.00

\$328,424.45



| | | |
|--------------------------------|-------------|--------------|
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$11,098.95 | \$339,523.40 |
| STATE OF CONNECTICUT | \$11,098.95 | \$339,523.40 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

Program Planning activities associated with improving the resiliency of infrastructure, public buildings and providing mitigation activities. These activities might include: Developing CDBG-DR program and activities; The formulation of local long-term recovery plans; Plans to address foreseeable mitigation needs at the state, regional or local level; Research to develop strategies to address the health and safety of homeless individuals and families and other vulnerable populations; Plans to avoid fuel shortages during future disasters; and Scoping of mitigation and resiliency projects, particularly as they relate to critical infrastructure.

Location Description:

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

Activity Progress Narrative:

This Quarter, Assistance Agreements were sent to all ten Planning Grant Recipients. Included in the Agreements are requests for breakdowns of expenses for each quarter and implementation plans. One grantee (Branford Living & Artificial Reef: Creation & Restoration) began his project in the month of November and the \$11,098.95 expended is directly related the this award. The other nine grantees will begin implementing their projects in the first and second quarter of 2015.

The total amount of grant funds for Planning is \$3,598,250. See summary below:

- >\$342,000 New Haven Bulkhead Engineering and Design*
- >\$500,000 New Haven Hill Neighborhood & Union Ave. Drainage Improvements
- >\$400,000 New Haven Long Wharf Flood Protection*
- >\$191,250 New Haven Mill River Shoreline Analysis/Coastal Zone Management*
- >\$200,000 New London Bank Street Drainage Improvement*
- >\$100,000 Stratford Coastal Resiliency Plan
- >\$1,200,000 West Haven Beach Street & 1st Avenue Reconstruction*
- >\$150,000 Branford Creating Coastal Resilience - for Branford, Madison, Milford*
- >\$415,000 Branford Living & Artificial Reef: Creation & Restoration*
- >\$100,000 Bridgeport Marina Village Resiliency*

*Assistance Agreements executed and returned to DOH

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T1-A1-DS-13-PUB FAC / T1-A1-PUBLIC FACILITIES

| | |
|---------------------------------|--------------------------------|
| Grantee Activity Number: | T1-A1-DS-13-PF |
| Activity Title: | T1-A1-PUBLIC FACILITIES |

Activity Category:
Rehabilitation/reconstruction of public facilities

Activity Status:
Under Way

Project Number:
T1-A1-DS-13-PUB FAC

Project Title:
T1-A1-PUBLIC FACILITIES

Projected Start Date:
12/02/2014

Projected End Date:
12/02/2016

Benefit Type:
Area ()

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|--------------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,003,500.00 |
| Total Budget | \$0.00 | \$1,003,500.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

The State’s primary goal in allocating a portion of the Funding for the rehabilitation of public facilities is to restore a suitable living environment in disaster impacted areas by rehabilitating or reconstructing public facilities, including those public facilities that primarily serve LMI persons. The State also intends to make repairs in a manner that supports energy conservation/efficiency objectives and responsible growth as well as transit-oriented development.

Location Description:



Activity Progress Narrative:

Last quarter, the state announced the public facilities projects that have been issued soft letters of commitment and outlined the basic provisions and conditions if funding were to be approved. The total amount of committed for the two Public Facility projects is \$1,003,000. The Public facility projects awarded funding are:

>Fairfield Penfield Pavilion Repair \$500,000 - The project involves repairing Superstorm Sandy related damage to Penfield pavilion at the very popular Fairfield Beach between Long Island Sound and Fairfield Beach Road. The project will include repairs to key structural components, all utilities and raising the building above the 100-year base flood elevation.
Milford Gulf Beach Breakwater Reconstruction \$503,000 - The planned project involves constructing approximately a 210-foot breakwater made up of stone and rubble. The breakwater will diminish wave energy even when overtopped by extreme weather conditions.

>This quarter, Assistance Agreements are being reviewed by DOH counsel and DOH staff is working very closely with the municipalities in the preparation of the section 106 environmental compliance reports.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: T2-A1-DS-13-INFRA / T2-A1-INFRASTRUCTURE

Grantee Activity Number: T1-A1-DS-13-INFRA-RD-MI

Activity Title: T1-A1-INFRASTRUCTURE-ROADS-MOST IMPACTED

Activity Category:

Construction/reconstruction of streets

Activity Status:

Under Way

Project Number:

T2-A1-DS-13-INFRA

Project Title:

T2-A1-INFRASTRUCTURE



Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:**National Objective:**

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$3,200,000.00 |
| Total Budget | \$0.00 | \$3,200,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure roads projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Last quarter, the State announced the five infrastructure projects that have received soft letters of commitment under the First Tranche of Funds. Three of these projects were water and sewer projects and two were roadway repairs. The two roadway projects are:

>• New Haven, Brewery Square Bulkhead Rehabilitation –\$940,047

>The Brewery Square Bulkhead rehabilitation infrastructure project aims to rebuild the 300 feet of bulkhead and sidewalk destroyed by Superstorm Sandy. The project will provide protection to adjoining park land and a parking lot used by residents of the adjacent Brewery Square Condominium Complex; prevent future erosion into the Ferry Street Drawbridge Channel; and protect the very busy river channel.

>• New Haven, East Shore Erosion Control - Part 1-Infrastructure - \$952,582

>The East Shore Erosion Control Protection Project aims to provide protection to 10 homes and the low-lying residential area across Townsend Avenue, a state-owned roadway, by constructing a seawall to protect an area damaged by both Hurricane Irene and Sandy.

Draft Assistance Agreements for the five Infrastructure projects are being reviewed by DOH counsel and staff is presently working with the municipalities on the conditions for assistance including compliance with the National Environmental Policy Act (NEPA) including completion of the Statutory Checklist, Environmental Assessment Checklist and the Environmental Review Record.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|---|
| Grantee Activity Number: | T1-A1-DS-13-INFRA-WS-MI |
| Activity Title: | T1-A1-INFRASTRUCTURE-WATER & SEWER-MOST IMPACTED |

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

T2-A1-DS-13-INFRA

Project Title:

T2-A1-INFRASTRUCTURE

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|--------------|
| Total Projected Budget from All Sources | N/A | \$800,000.00 |
| Total Budget | \$0.00 | \$800,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure water & sewer projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Last quarter, the State announced the five infrastructure projects that have received soft letters of commitment under the First Tranche of Funds. Three of these projects were water and sewer projects and two were roadway repairs. The three water and sewer projects are:

• Fairfield, Pine Creek Culvert Upgrade -\$560,000

>The proposed project includes upsizing the 48" single culvert under Pine Creek dike to twin 60" diameter NDPE pipes and sluice gates and adding a new 48" HDPE pipe and a self-regulating tide gate for a total of 3 new culverts. The culverts drain a 310 acre area containing approximately 1,187 residential units.

• Fairfield, Water Pollution Control Facility Outfall Pipe - \$74,500



>The pipe repair will prevent future leaks and will clear a flow restriction that was a result of damage caused by Superstorm Sandy. The selected repairs involve excavating to expose a damaged joint, and constructing a poured exterior concrete collar using bentonite water stops to structurally seal and immobilize the separated pipe joint.

• Milford , Morningside Revetment Reconstruction - \$780,480

>The revetment at 167 Morningside Drive protects both private residential properties and municipal infrastructure, including a pump station. The project adds load erosion control structure to a site that does not currently have flood protection and is experiencing an ongoing erosion problem.

Draft Assistance Agreements for the five Infrastructure projects are being reviewed by DOH counsel and staff is presently working with the municipalities on the conditions for assistance including compliance with the National Environmental Policy Act (NEPA) including completion of the Statutory Checklist, Environmental Assessment Checklist and the Environmental Review Record.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-INFRA-RD-MI |
| Activity Title: | T2-A1-INFRASTRUCTURE-ROADS-MOST IMPACTED |

Activity Category:

Construction/reconstruction of streets

Project Number:

T2-A1-DS-13-INFRA

Projected Start Date:

07/01/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T2-A1-INFRASTRUCTURE

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$2,000,000.00 |
| Total Budget | \$0.00 | \$2,000,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure roads projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

This quarter, the State announced the projects that were successful in receiving soft letters of commitment for the restoration and adding resiliency of their existing infrastructure facilities. There were 7 roadway and 4 infrastructure projects who were successful in receiving award letters. These projects are:

- • Bridgeport, Hardening of Moveable Bridge #03637 Over Yellow Mill Channel - \$2,625,000
>Running parallel to Rt. I-95, Bridge No. 03637, provides access to and from I-95 as well as an alternate route for local traffic.
- • East Haven, Reconstruction of Hemingway and Coe Avenue - \$1,241,831
>Raising the road elevation will provide an evacuation route during storm emergencies and connecting the Emergency Service Headquarters with the Shoreline Area so that emergency vehicles will have access the shoreline section in storm emergencies.
- • Fairfield, Shoreline Resiliency Improvements at Penfield Beach - \$225,000
>A sound means of retrofitting a vital section of shoreline infrastructure, the timber bulkhead at Penfield Beach area will provide



this section of the Fairfield Beach Road Neighborhood with the coastal resiliency needed in order to mitigate the damaging effects of severe coastal storm events that are becoming all too frequent.

&bull New Haven, East Shore Erosion Control - \$947, 419

>By constructing a seawall to protect an area damaged Superstorm Sandy, this project will provide protection to ten homes; to the low-lying residential area across Townsend Avenue, and to the State owned roadway.

&bull Norwalk, Washington Village Redevelopment - \$ 3,000,000

>The reconstruction of portions of Raymond and Day Streets above the 100 year flood elevation will allow safe access for emergency vehicles and an evacuation route for residents during flood events and provide greater resiliency to future flooding in the project area.

&bull Norwalk, Hardening of Moveable Bridge # 02295 RT. 136 Over Norwalk Harbor - \$2, 625,000

>Infiltration during tidal surges during storm events will be prevented by waterproofing gate house floors and raising existing sea walls to minimize overtopping by incoming tides.

&bull West Haven Beach Street Reconstruction Continuation - \$1,650,000

>Continuing the reconstruction of Beach Street involves raising this critical roadway linking parts of West Haven that were under 5 feet of water during Superstorm Sandy.

Health & Safety Projects:

>&bull Bridgeport, Generator Projects - \$610,000

>

>&bull Milford, Generator Project: Emergency Shelter, Johnathan Law High School - \$161,250

&bull Stamford, Generator Upgrades - \$303,795

&bull Stratford, Bunnell High School Generator- \$310,000

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

| | |
|---------------------------------|---|
| Grantee Activity Number: | T2-A1-DS-13-INFRA-WS-MI |
| Activity Title: | T2-A1-INFRASTRUCTURE-WATER & SEWER-MOST IMPACTED |

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

T2-A1-DS-13-INFRA

Projected Start Date:

07/01/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

T2-A1-INFRASTRUCTURE

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

| Overall | Oct 1 thru Dec 31, 2014 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | N/A | \$1,000,000.00 |
| Total Budget | \$0.00 | \$1,000,000.00 |
| Total Obligated | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| STATE OF CONNECTICUT | \$0.00 | \$0.00 |
| Match Contributed | \$0.00 | \$0.00 |

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure water & sewer projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

This quarter, the State announced the projects that were successful in receiving soft letters of commitment for the restoration and adding resiliency of their existing infrastructure facilities. There were 10 water and sewer projects who were successful in receiving award letters. These projects are:

- Bridgeport, Crescent Crossing - \$2,912,893

>This project involves correcting site flooding by raising the site grade above the 100 year flood plain. It includes building a retaining wall, installing storm water drainage, installing underground utilities and site lighting; to be followed by the construction of interior streets and sidewalks; and the installation of emergency generators.

- Fairfield, Waste Water Treatment Plant Hardening - \$2,316,000

>Constructing an earthen berm and storm water pump station to protect the Waste Water Treatment Plant and other critical



facilities from future flood events.

&bull Fairfield, Water Pollution Control Micro-Grid - \$2,500,000

>By including the installation of cogeneration fueled by biogas currently produced by the wastewater treatment facility, this cogeneration unit will provide heat and electricity to the facility during normal operation and during operation in the event of grid outage.

&bull Milford, Calf Pen Meadow and Beachland Avenue Study and Mitigation - \$638,250

>Raising the road height of portions of Beachland Avenue between 1.5 and 2 feet above the daily high tide elevation and installing a self-regulating tide gate.

&bull Milford, Bayview Beach Area Flooding Study and Drainage Improvements - \$1,326,150

>This project will address roadway ponding and flooding when storm events occur and during high tide when tide gates are closed.

&bull Milford Point Road Flooding Study and Drainage Improvements - \$301,537

>By raising the road height of Milford Point Road between 1.5 and 2 feet above the daily high tide elevation, this redesign and reconstruction will allow vehicle, pedestrians, and emergency responders clear access on a daily basis.

&bull New Haven, Mitigation and Resiliency Projects for Union Avenue - \$4,000,000

>Storm water volumes into the Hill-to-Downtown Corridor will be reduced and drainage will be improved.

&bull New London Drainage Improvements - \$1,480,875

>Flooding will be reduced by relocating the outfall away from the recreational beach area improving the health and safety of residents using the beach; and preserving access to and the use of the Greens Harbor Beach, the only free public beach in New London.

&bull Old Lyme, Sheffield Brook Outfall Resiliency Project -\$300,000

>A new culvert and outlet will be designed and constructed preventing extreme high tides from entering the culvert and damaging upstream structures as well as control future shoaling at the outlet such that the structure can drain.

&bull West Haven, Old Field Creek Dredging - \$525,000

>Dredging contaminated sediment will make it possible for the National Resources Conservation Service to purchase some of the low-lying land and to improve the health and resiliency of Old Field Creek; benefitting the remaining neighborhood.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

