

**2018** Program Year

# State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program  
Housing Opportunities for Persons with AIDS Program  
HOME Investment Partnerships Program  
Emergency Solutions Grant Program  
National Housing Trust Fund Program

Submitted to the  
U.S. Department of Housing & Urban Development



By  
The State of Connecticut  
Department of Housing

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**State of Connecticut  
2018 Consolidated Annual Performance and Evaluation Report**

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## Executive Summary

2018-19

The 2018 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the five programs described below, by Connecticut State agencies during the 2018-19 Program Year (PY18) which began 7/1/2018 thru 6/30/2019. During PY18, these five programs were administered by the Department of Housing (DOH).

### Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs.

### HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state.

### Emergency Solutions Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential Housing both to assist the homeless and to prevent homelessness.

### Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families.

### National Housing Trust Fund (NHTF)

The NHTF Program funds the production of affordable housing through new construction or substantial rehabilitation when it is determined that the units produced will remain affordable for the minimum required time period under the NHTF.

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for PY18 are listed below.

<b>Table 1: Program Resource Allocation for PY 2018-2019</b>	
HOME Investment Partnerships (HOME)	\$ 9,304,249
Small Cities Community Development Block Grant (CDBG)	\$ 13,247,397
Emergency Shelter Grants (ESG)	\$ 2,180,513
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 246,668
National Housing Trust Fund	\$ 3,269,474
Total	\$ 28,248,301

Source: DOH

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. A description for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year. The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2015-19 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2015-19 ConPlan, subsequent annual Action Plans and CAPERS are available on the DOH web site at [www.ct.gov/doh](http://www.ct.gov/doh). The 2018 CAPER is the fourth reporting year of the 2015-2019 ConPlan. The Performance Measurement System used in this document is as outlined in the 2015-19 ConPlan.

## **NARRATIVE STATEMENTS**

- **Affirmatively furthering fair housing**

*Summary of impediments identified in analysis:*

Impediments identified in the analysis are summarized in the Executive Summary of the [Analysis of Impediments to Fair Housing Choice](#) which is located on the publications page of the DOH website under **Housing Plans**.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

*Description of the Continuum of Care:*

The State of Connecticut currently has 2 Continua of Care (COC). DOH co-chairs, with AIDS-CT and The Department of Mental Health and Addiction Services, the largest COC in the state. The CT-Balance of State (CT-BOS) CoC has 116 individual grants totaling \$38,125,732 that provides rental subsidies and support services for homeless individuals and families. The CT-BOS has a steering committee made up of 7 state agency partners as well as 25 private non-profit agencies. The steering committee is in charge of setting policies aimed at ending homelessness. In 2018-19, the State of Connecticut through the Balance of State Continuum of Care received additional funding in the amount of \$712,425 for the creation of 39 new units of rapid rehousing. In addition, DOH applied and received \$1,373,318 for a rapid rehousing program for Domestic Violence Survivors. In this competition, there was only \$50 million available, so DOH's award ranks as one of the largest in the nation.

In addition to participation on the CT-BOS, DOH was an active participant in Connecticut's Interagency Committee on Supportive Housing. This group is made up of 10 separate state agencies that provides supportive housing to a variety of different subpopulations. The Interagency Committee collaborates to include supportive housing as a priority population in any affordable housing development seeking state funds. Throughout the past 16 years, the Interagency Committee on Supportive Housing has led to the creation of over 3000 units of permanent supportive housing for the homeless population.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DOH, in addition to funding emergency shelters, also provides services through Connecticut's 7 Coordinated Access Networks, homeless diversion programs, homeless housing support services, CT Rapid Rehousing Program, food pantries, Secure Jobs and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs and vulnerabilities.

DOH has required all emergency shelters to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DOH continues to implement and manage the CT Rapid Rehousing program. This is a regional program which assists literally homeless households with housing location services and placement, rental assistance as needed, and up to 12 months of in-home housing stabilization case management services.

DOH is an active member of the Balance of State Continuum of Care, as well the CTHMIS Steering Committee.

*Significant homeless subpopulations assisted were:*

The chronically homeless accounted for the significant homeless subpopulations assisted during the program year. Services were targeted through the Individual and Family Support Program Unit at DOH. Also, the department utilized funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

*The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:*

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

*The following efforts were made to address special needs of persons that are not homeless but require supportive housing:*

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

*Participation in a Continuum(s) of Care application in FFY 2018 competition:*

The DOH provided 13 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

*The following Continuums of Care projects were funded in the FFY 2018 homeless assistance competition:*

DOH had eight applications for rapid rehousing programs in the amount of \$4,843,237, two permanent supportive housing applications in the amount of \$1,032,815 and four coordinated access support service only applications in the amount of \$750,481.

*The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2017 competition:*

State of Connecticut DOH for \$6,626,533.  
State of Connecticut DMHAS fifty-three (53) grants for \$23,006,760.

## **Other Actions**

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- **Actions to address obstacles to meeting underserved needs**

*The following actions were taken in the program year to address obstacles to meeting underserved needs:*

DOH continues to include a priority for capital funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included a priority for municipalities whose current percentage of affordable housing is below ten (10) percent.

DOH continues to include a priority for the inclusion of supportive housing in its rating and ranking criteria for projects/activities.

- **Actions to foster and maintain affordable housing**

*The following actions were taken in the program year to foster and maintain affordable housing:*

DOH continues to include a priority in its rating and ranking criteria for awarding both state and federal funding for projects/activities which contributed to the preservation of affordable housing. Through this action, 2,756 units of affordable housing were preserved under the SC/CDBG program

- **Actions to eliminate barriers to affordable housing**

*The following actions were taken in the program year to eliminate barriers to affordable housing:*

DOH continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DOH continues to require that all rehabilitated or developed affordable

housing activities be marketed in an affirmative and non-discriminatory manner, with an emphasis on marketing to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

*The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:*

During PY18-19 DOH continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing and Homelessness; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

*The following actions were taken in the program year to improve public housing and resident initiatives:*

During the program year the State made housing related activities a priority and DOH worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State continued to support the Resident Participation and Rights Act, codified under section 8-64c of the Connecticut General Statutes, that further strengthen tenant's rights in public housing projects, through the formal adoption of [regulations](#).

- **Actions to evaluate and reduce lead-based paint hazards**

*The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:*

During PY18-19 DOH continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

*The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):*

DOH provided 13 “Certifications of Consistency with the Consolidated Plan” to applicants applying for funding under HUD’s NOFA for the Continuum of Care-Homeless Assistance Programs. Additionally, another 8 “Certifications of Consistency with the Consolidated Plan” were provided under other circumstances.



DOH contracted the Connecticut Fair Housing Center to train more than 100 shelter staff on the rights of tenants with disability in shelters and held one SC/CDBG workshop on the agency's competitive application process.

DOH conducted 23 close-out monitoring visits for the SC/CDBG program, 6 physical inspections and/or income test monitoring visits for the HOME program and 23 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

*The following actions were taken in the program year to reduce the number of persons living below the poverty level:*

The five programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG, HOPWA and NHTF – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level.

#### **Anti-Poverty Strategy:**

In addition to the five programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state's ConPlan.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **[Child Poverty and Prevention Council Progress Report 2014](#)**

The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **[Connecticut Economic Development Strategy May 2018](#)**

This plan is a strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends specific strategies and initiatives for the future.

- **[State of Connecticut 2015-19 Consolidated Plan for Housing and Community Development](#)**

In accordance with Section 8-37t of the Connecticut General Statutes and 24 CFR Part 91, Connecticut's Consolidated Plan for Community Development provides the framework for the allocation of federal formula grant funding and describes the methods for the intended distribution of state resources, to address the housing and community

development needs of extremely low-, low- and moderate-income households in the state over the next five years. This plan is the basis for the policies, strategies, goals and objectives which will be implemented by the State of Connecticut with regard to housing and housing related activities.

- **Conservation & Development Policies: The Plan for Connecticut 2013-2018**

On April 8, 2013, the Continuing Legislative Committee on State Planning and Development voted, in accordance with section 16a-30 of the general statutes, to submit the draft State Plan of Conservation and Development Policies Plan Update: 2013-2018 to the General Assembly with its recommendation of approval. This vote was the culmination of a revision process that began with the passage of Public Act 10-138, which required the Office of Policy and Management to develop the update to the State Plan of Conservation and Development through a bottom-up process known as cross-acceptance. Over the past two years, OPM has conducted an unprecedented level of outreach to, and received input from, municipalities, regional planning organizations, affected state agencies, various advocacy groups and the public as it developed this important revision to our state's comprehensive plan.

- **CT TANF State Plan 2018-2020**

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **Administrative Plan for the Rental Assistance Payments Program "RAP" July 1, 2019**

This plan outlines how the State administers, through DOH, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **Connecticut Child Care Development Fund (CCDF) Plan FY 2016-2018**

The Plan describes the CCDF program to be administered by Connecticut for the period 6/1/2016 – 9/30/2018 as conditionally approved by OCC. The Plan serves as the application for CCDF funds by providing a description of, and assurance about, the grantee's child care program and all services available to eligible families.

- **Connecticut Children's Behavioral Health Plan: Progress Report October 2017**

This plan fulfills the requirements of Public Act 13-127, one part of the Connecticut General Assembly's response to the tragedy in Newtown in December 2012. The Legislation called for the development of a "comprehensive implementation plan, across agency and policy areas, for meeting the mental, emotional and behavioral health needs of all children in the state, and preventing or reducing the long-term negative impact of mental, emotional and behavioral health issues of children." This Plan provides Connecticut with a unique and timely opportunity to align policy and systems to support youth and families and to promote healthy development for all our children.

- **State of Connecticut Department of Developmental Services Five Year Plan 2017-2022**

This plan is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Partnership for Strong Communities - Opening Doors**

Opening Doors is a framework to prevent and end homelessness in Connecticut that is aligned with the Federal Opening Doors plan to end homelessness. The leadership structure for planning and oversight of Opening Doors – CT is the Reaching Home Campaign, which is guided by the vision that no one should experience homelessness and that no one should be without a safe, stable place to call home.

## **I. Assessment of Progress**

Connecticut's 2015-19 Consolidated Plan and subsequent Action Plans outline overarching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state continues to make available HOME, SC/CDBG, ESG, HOPWA, and NHTF funds to eligible recipients based on the priorities set forth in the state's 2015-19 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2015-19 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2018-19 Action Plan.

The State of Connecticut, through the Department of Housing, is committed to ensuring everyone has access to quality housing opportunities and options throughout the State of Connecticut. Housing developments clustered around pedestrian-friendly areas, in close proximity to employment and commercial centers, schools, public transportation, and around established infrastructure are an emphasis. Connecticut will revitalize its urban and regional centers with mixed-use, mixed-income housing and community development, providing a safe and clean environment to attract an economically and socially diverse workforce. Chronic homelessness and in particular chronic veteran's homelessness will be a thing of the past. The state recognizes that a realistic and comprehensive housing and community development strategy is vital to the future prosperity of Connecticut and that serious challenges continue to be present that must be addressed if Connecticut is to remain competitive and maintain its quality of life.

Housing is a key component of attaining and sustaining local viability and, community health and ensuring a thriving community. Ensuring affordable housing options for both owners and renters is an important contributing factor to future community health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing with the availability of services. In order to address these needs, it is important to add new housing as well as preserve affordable housing presently serving households in need in a coordinated and efficient manner.

Housing development is linked to Connecticut's other public policy areas which include, education, transportation, energy cost and availability, public health and safety, environmental quality, and economic development. These areas are not independent of each other. They are interconnected and interdependent. Just as transportation is not just a network of roads and bridges, housing is not just shelter. It is an integral part of the state's socio-economic fabric. Public policy and investment decisions made in one area directly and indirectly impact the other areas. As such, the state must comprehensively consider these relationships and take a multifaceted and balanced approach to addressing Connecticut's housing and community development needs.

Responsible Development and Sustainable Communities' principles consider and connect all of the aforementioned public policy areas. These principles are in conformance with the state's Conservation and Development Policies Plan for Connecticut (C&D Plan), and the current Analysis of Impediments to Fair Housing Choice 2015. Because Responsible Growth and Sustainable Communities principles make the most efficient uses of energy, land, travel time and other societal resources over the long-term they are incorporated into

the state's Consolidated Plan. The state will use its federal formula grant funding and state resources to address Connecticut's housing and community development needs through the application of Responsible Growth and Sustainable Communities principles and by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

To this end, DOH and CHFA continue to carry out the state's fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state is working to revitalize communities by providing communities in need with:  
Assistance to undertake housing, community and economic development initiatives.  
Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state continues to encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state's responsible growth strategies and the growth management policies specified in the new Conservation and Development Policies: The Plan for Connecticut (C&D Plan). Conservation and Development Policies Plan 2013-2018

## **A. OVERARCHING GOALS**

The overall goal of the programs covered by this section of the plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production, preservation and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.  
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines

structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.

2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.

A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.

3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.

Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

*Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms "low-income", "low and moderate income", "low-moderate income" and "low-mod income" include the "very low" and "extremely low" income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.*

### **Objectives, Outputs, Outcomes, and Indicators**

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

### **Basis for Assigning Priority**

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. Based on the state's review of all relevant and available data, specific issues were selected at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on four primary factors: (1) the primary eligibility criteria of the respective funding; (2) the availability of "other" funds to address the issue, (3) the issue's relative demonstrated need (as identified in the needs assessment), and (4) the impact of the issue on the prevention and reduction of homelessness.

### **High Priority Needs and Funding**

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the five state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME, HOPWA and NHTF programs.

### **Geographic Targeting**

The state targets its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources are focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Housing Choice Voucher/Certificate, Section 8 Moderate Rehabilitation, Resident Service Coordinator grant, Elderly Rental Assistance Payments, State Rental Assistance Payments, and other "housing support" programs are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction.

- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of SC/CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.
- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Area (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH receives a small amount of “Balance of State Dollars” and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.
- National Housing Trust Fund (NHTF) – Funding will be available in all 169 communities. Priority will be given to activities in higher opportunity areas as demonstrated through Opportunity Mapping at the DOH website in accordance with the most recent Analysis of Impediments to Fair Housing Choice. Priority will be given to applications for projects in the higher "opportunity areas.”

## **B. PERFORMANCE MEASUREMENT**

### **AFFORDABLE HOUSING**

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#### **Goal**

Enhance a suitable living environment, create decent housing, provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on ending chronic homelessness and preventing future homelessness.



## ***Affordable Housing Strategies***

### **Fair Housing and Housing Choice**

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

Consistent with the recommendations in the Analysis of Impediments to Fair Housing Choice 2015 (AI2015), the state will implement the following strategies, within available programs and appropriations:

1. Collaborate with other State Agencies to Affirmatively Further Fair Housing
2. Convene stakeholders to review proposed legislative solutions to existing impediments to fair housing choice
3. Ensure State and local planning documents affirmatively further fair housing
4. Maximize the effectiveness of State programs that promote mobility
5. Promote fair housing enforcement and education
6. Encourage the creation and rehabilitation of affordable housing in a variety of locations
7. In cooperation with the Fair Housing Enforcement Office of HUD, the state will endeavor to redraft and update the Connecticut Fair Housing Regulations, and
8. Initiate testing and use of the Connecticut Opportunity Map

### **Supply of Quality Affordable Housing**

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments.

The following strategies and goals will help to preserve and expand the supply of quality affordable rental housing and to expand and maintain homeownership:

- Interdepartmental Cooperation - DOH will work cooperatively with other state agencies over the next five years in its effort to not only provide quality affordable housing, but to rebuild ailing urban and suburban centers into healthy communities.
- Support Other Housing Providers - DOH and CHFA will, to the extent possible, support the applications of housing providers for affordable housing funds for which DOH is not an eligible applicant. This includes support for persons and organizations applying for Section 202, Continuum of Care grants, Federal Historic Tax Credits, USDA, and other federal and private funding.
- Financial Resources – DOH, CHFA, and the Office of Policy and Management (OPM) will continue to work at the state and federal level to increase the amount of resources available to build or renovate quality affordable housing.

- Low Income Housing Tax Credits - CHFA, through revision of the Low Income Tax Credit Qualified Allocation Plan will ensure that the Low Income Housing Tax Credit program addresses the needs and priorities of this Consolidated Plan for Housing and Community Development.
- Rental Housing – DOH and CHFA will individually and jointly finance quality affordable new rental housing and preserve existing state-assisted and/or CHFA financed housing stock by using private, federal, local, and state resources.
- Housing Rehabilitation - DOH will use its Small Cities Community Development Block Grant (SC/CDBG) program, as well as other programs, to rehabilitate eligible owner-occupied and small rental housing.
- Homeownership Counseling - CHFA will continue its counseling process for first time borrowers to reduce default rates and will also work to reduce single family delinquencies and foreclosures through proactive intervention indicators.
- Homeownership for Persons with Disabilities – DMHAS, DSS, DOH, and CHFA will promote homeownership opportunities for persons with disabilities who have been unable to access private financing.
- Mortgage Assistance - CHFA will continue to implement the Emergency Mortgage Assistance and CT FAMILIES (Connecticut Fair Alternative Mortgage Lending Initiative & Education Services) program as well as counseling initiatives and mediation efforts to assist economically distressed households maintain homeownership.
- Encourage and promote mixed use and transit-oriented development. To these ends the DOH will prioritize funding requests for affordable housing projects that include mixed-uses and/or are located close to public transportation facilities and are on established bus routes.
- Encourage and support municipal efforts to create higher density residential zoning districts through the Incentive Housing Zone Program and other programs.
- Green Building - Encourage green building by the use of sustainable construction in new buildings that meet Leadership in Energy and Environmental Design (LEED) standards or similar standards and through the use of tax credits, and in coordination with the Green Bank.
- Healthy Homes - DOH, CHFA, the Department of Energy and Environmental Protection (DEEP), the Department of Public Health (DPH), DSS, local governments and property owners will work to help abate lead paint through the Connecticut Lead Action for Medicaid Primary Prevention Project (LAMPP) or other similar programs and work with DPH on the implementation of its 'Healthy Homes Initiative' which has been designed to promote and mainstream healthy housing principles to ensure that Connecticut's housing supply is dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.
- Continued use of the Connecticut Opportunity Map.

## **2018-2019 Affordable Housing Objectives**

### **Fair Housing and Housing Choice**

In the fourth year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

- Within available appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's AI2015 for state level action.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update and redraft the Connecticut Fair Housing Regulations consistent with guidance from the Fair Housing Enforcement Office HUD.

### **Quality Affordable Housing**

In the fourth year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

With Regard to New Affordable Rental Housing

- DOH will work to create 1,000 new quality affordable rental housing units.

With Regard to New Homeownership Opportunities

- DOH will work to create 100 new affordable homeownership opportunities.

With Regard to Preserving Existing Affordable Rental Units

- DOH will work to preserve 1,000 existing affordable rental housing units.

With Regard to Maintaining Homeownership

- DOH will work to maintain homeownership for 60 households.

The above referenced figures were based on historic program performance, current unit production costs and anticipated financial resources at the time the 2015-19 ConPlan was developed.

### **Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan and associated Action Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

*To achieve the aforementioned one year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:*

**Objective 1:**

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

**Output:**

- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 8,641 DOH Section 8 Housing Choice Voucher (S8 HCV) and State Rental Assistance Payments Program (RAP) participants with moves to areas of higher opportunity.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice.
- Support up to five infrastructure projects to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of five existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.

**Outcome:**

- Increased opportunities for housing choice.

**Indicator(s):**

- Number of fair housing educational and outreach opportunities achieved
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Number of inter-municipal or regional housing projects supported.
- Number of infrastructure projects conducted per year.
- Number of DOH S8 HCV and RAP participants educated through this program that move to areas of de-concentrated poverty.
- Number of DOH S8 HCV and RAP participant moves from an area of very low or low opportunity to a higher opportunity area.
- Number of HOME TBRA participants

**Objective 2:**

Enhance suitable living environments through the creation of decent affordable housing.

**Output:**

- Produce up to 1,000 newly constructed or rehabilitated rental units that serve households.
- Produce up to 100 newly constructed or created homeowner units that serve low-and-moderate income households.
- Rehabilitate up to 100 homeowner units that serve low-and-moderate income households.
- Preserve up to 1000 rental units that serve low- and moderate-income households.
- Fund up to one single-family moderate rehabilitation program.
- Create and/or preserve up to 100 residential units through the adaptive re-use of historic structures.
- Support energy conservation/efficiency activities that would primarily serve low-and-moderate-income persons.

**Outcome:**

Increased rental opportunities that serve ELI, low-and-moderate income households in a variety of geographies.

**Indicators:**

- Number of newly constructed rental units.
- Number of newly rehabilitated rental units.
- Number of new multifamily housing units created in areas of high opportunity.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

**Outcome:**

Increased homeowner housing opportunities that serve low-and-moderate income households in a variety of geographies.

**Indicators:**

- Number of newly constructed ownership units.
- Number of newly rehabilitated ownership units.
- Number of single-family moderate rehabilitation programs funded each year.
- Number of single-family units rehabbed each year.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

**Objective 3:**

To enhance suitable living environments through financial intermediaries.

**Output:**

- Provide economic opportunities in the form of rent subsidies.
- Provide economic opportunities in the form of mortgage assistance.

- Assign priority consideration to the creation of flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state.
- Produce affordable homeownership units through increased funding flexibility and reduced regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME Programs, treating each pool of funding as a source of flexible capital.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies.

**Outcome:**

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

**Indicators:**

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA's Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

<b>Geographic Distribution &amp; Relative Priority</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME, State, Section 8 HCV	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

## **PUBLIC HOUSING**

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### **Goal**

Provide decent housing and enhance suitable living environments for residents of public housing.

### **Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

*The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:*

#### **Objective 1:**

Address the housing needs of residents of public housing through preservation of existing housing units, the creation of replacement units and additional rent subsidies.

#### **Output:**

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Invest in the maintenance/rehabilitation/modernization of 1,000 existing publicly-assisted rental housing units annually to preserve them as a long-term resource.
- Preserve or replace state or federally assisted housing units annually by working with current owners and prospective developers of these projects to retain them in service to low-income households with a focus on projects in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Encourage local public housing authorities and DOH to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Housing Choice Vouchers by 50 new vouchers.

#### **Outcome:**

- New/improved availability/accessibility and affordability in public housing.

#### **Indicators:**

- Number of public housing units preserved/rehabilitated annually.

- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of new Section 8 vouchers each year.

<b>Geographic Distribution &amp; Relative Priority</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State SC/CDBG HOME CHFA Section 8	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, SC/CDBG Eligible Communities

## **HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING**

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### **GOAL**

Enhance suitable living environment, create decent housing, and address the shelter, housing and service needs of the homeless, and those threatened with homelessness with an emphasis on ending chronic homelessness, including veteran's homelessness, as well as preventing future homelessness.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

#### **Objective 1:**

Enhance suitable living environments to expand the Rapid Re-housing Program (RRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

#### **Output:**

- Utilize all eligible resources administered by the DOH to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Increase awareness of the service community for the need to have more flexible and diverse service for changing populations.
- Continue RRP to promote quick access to housing for those who are eligible.
- Utilize the Coordinated Access Network (CAN) to produce better placements and outcomes for the most vulnerable individuals in need as assistance.
- Implement the CT811 PRA program to locate project-based rental subsidies in areas where community-based services are available.
- Carry out the Homeless Prevention and Response Fund which will provide forgivable loans and grants to landlords to renovate multifamily homes in exchange for



participation in the scattered site supportive housing program or the rapid re-housing program.

**Outcome:**

Eliminate chronic homelessness, and veteran's homelessness, and reduce the occurrence of homelessness in individuals/families/youth.

**Indicator(s):**

- Number of homelessness reoccurrences among assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Timely placement of the most vulnerable individuals/families.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased.

**Objective 2:**

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

**Output:**

- Maintain the state-funded Eviction Foreclosure Prevention Program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 50 new supportive housing units.
- Fully implement CAN statewide, and improve coordination and consistency across the CAN's.
- Make available funds for a statewide or series of public service activities designed to prevent homelessness.

**Outcome:**

New and improved sustainability in permanent housing for risk families and individuals.

**Indicator(s):**

- Funding level and dollars committed to the Eviction Foreclosure Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing.
- Number of supportive housing units created.
- Number of project-based rental subsidies placed.

**Objective 3:**

Continue prioritizing homelessness, while prioritizing families and youths.

**Output:**

- Place all known homeless veterans, families, and youth in permanent supportive housing or in service-enhanced housing with subsidies.

**Outcome:**

- All known homeless veterans, families, and youth are placed in permanent supportive housing or in service-enhanced housing with subsidies.

**Indicator(s):**

- Number of veterans, families, and youth using a VASH voucher
- Number of veterans, families, and youth placed in permanent supportive housing

**Objective 4:**

Maintain the state’s network of “Homeless Shelters.”

**Output:**

- Continue to fund “Homeless Shelters” across the state.

**Indicator(s):**

- Funding leveraged (ESS/ESG).
- Number of shelters DOH funds (ESS/ESG).
- Number of beds by type (men, women, children) (ESS/ESG).
- Number of clients (ESS/ESG).
- Number of services/type provided (ESS/ESG).

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	MFP, State, CT811 PRA, ESS/ESG	Low-Mod Income	State-Wide
Objective 2	EFPP, State, ESS/ESG, TANF	Low-Mod Income	State-Wide
Objective 3	MFP, State, CT811 PRA, VASH	Low-Mod Income	State-Wide
Objective 4	ESS/ESG, State	Low-Mod Income	State-Wide

## **OTHER SPECIAL NEEDS**

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### **GOAL**

Create decent housing, a suitable living environment and economic opportunities for low- and moderate-income persons with special needs.

### **Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

### ***Special Needs – General***

#### **Objective 1:**

Improve coordination of the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to persons with special needs.

#### **Output:**

- Participate in Interagency Councils and/or Interagency Committees that meet regularly to insure coordination of efforts for persons with special needs.

#### **Outcome:**

- Coordination between state agencies and quasi-public entities is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

#### **Indicator(s):**

- Number of special needs persons assisted over time.

#### **Objective 2:**

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

#### **Output:**

- Utilize the various agency plans to identify opportunities for coordination between state agencies.

#### **Outcome:**

- Agency priorities are better aligned, and efficiencies are implemented resulting in more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

#### **Indicator(s):**

- Number of special needs persons assisted over time.

**Objective 3:**

Provide permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances to ensure long-term housing stability and independence.

**Output:**

- Link permanent housing, employment and support services, and rental subsidies programs to meet the needs of each individual.

**Outcome:**

- Improved delivery and effectiveness of programs and services.

**Indicator(s):**

- Number of clients that received appropriate services across programs.
- Change in the number of clients that received appropriate services over time.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

***Elderly and Frail Elderly***

**Objective 1:**

Maintain the housing choice opportunities for the elderly and the frail elderly, including access to appropriate services.

**Output:**

- Provide funding to preserve existing housing opportunities that serve the elderly and the frail elderly.
- Promote cross-population of housing and service options through a continuum of opportunities in one location (rental – congregate – assisted living – nursing facility).

**Outcome:**

- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing and obtain the services they need to do so.

**Indicator(s):**

- Vacancy rate at existing facilities.
- Number of clients being served by each program.
- Average age of occupant by facility.

**Objective 2:**

Promote efficiency in service delivery to the elderly and frail elderly.

**Output:**

- Increase client caseload per case manager.

**Outcome:**

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

**Indicator(s):**

- Number of new client cases managed.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

***Persons with Disabilities***

**Objective 1:**

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue family cohesion with those individuals with disabilities through placement in stable living situations and providing them with appropriate supportive services.

**Output:**

- Increase and/or maintain linkages among federal agencies, state agencies and consumers.

**Outcome:**

- Family cohesion with those individuals with disabilities is increased which ultimately increases quality of life for all members.

**Indicator:**

- Number of families with individuals with disabilities that are placed in a stable living situations with appropriate services.

**Objective 2:**

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individuals with disabilities.

**Output:**

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

**Outcome:**

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

**Indicator(s):**

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

**Objective 3:**

Continue to provide for accessibility modifications.

**Output:**

- Accessibility modifications for 10 to 25 housing units per year are funded.

**Outcome:**

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live within the community of their choice.

**Indicator(s):**

- Number of accessibility modifications funded.

**Objective 4:**

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; and 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

**Output:**

- Bond funds are provided to complete accessibility modifications for persons leaving nursing facilities under MFP.
- RAP set-aside for up to 50 eligible persons in support of the MFP is established.

**Outcome:**

- Independent living is restored to those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase in the quality of life for these individuals.

**Indicator(s):**

- Number of accessibility projects completed.

- Number of persons returned to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided RAP certificates.

**Objective 5:**

Create a continuum of affordable housing with support services and increase the supply of appropriate housing so that the disabled can live independently within their community of choice.

**Output:**

- Create linkages between various housing types that serve the disabled.
- The number of accessible housing units in the state is increased.

**Outcome:**

- New/improved affordability.
- Independent living is maintained for those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase the quality of life for these individuals.

**Indicator(s):**

- Number of linkage opportunities identified.
- Number of linkage opportunities achieved.
- Year over year change in number of clients being transitioned without displacement.
- Number of new accessible housing units created.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/SC/CDBG	Low-Mod Income	State -Wide/SC/CDBG Eligible Communities
Objective 4	State	Low-Mod Income	State-Wide
Objective 5	State/HOME	Low-Mod Income	State-Wide

***Persons With HIV/AIDS And Their Families***

**Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

**Output:**

- Existing HIV/AIDS programs are maintained and expanded.

**Outcome:**

- New/improved availability/accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DOH funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

**Indicator(s):**

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

**Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

**Output:**

- Number of people accessing supportive housing services is increased by 10.

**Outcome:**

- New/improved availability/accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

**Indicator(s):**

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

**Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

**Output:**

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

**Outcome:**

- New/improved availability/accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

**Indicator(s):**

- Number of evaluations conducted.



<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

### ***Persons With Alcohol Or Other Drug Addiction***

#### **Objective 1:**

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

#### **Output:**

- Increase the number of clients who are provided appropriate services.

#### **Outcome:**

- More persons with substance abuse issues receive appropriate care leading to a better quality of life and a lower incidence of the negative consequences and costs associated with substance abuse.

#### **Indicator(s):**

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

#### **Objective 2:**

Increase coordination between care providers to overcome programmatic barriers for linking individuals/families who are coming out of treatment to find permanent housing.

#### **Output:**

- Increase the number of persons with substance abuse issues who are provided permanent housing after coming out of treatment.

#### **Outcome:**

- More persons with substance abuse issues finding permanent housing after coming out of treatment.

#### **Indicator:**

- Number of clients who find permanent housing after coming out of treatment.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

***Ex-Offenders***

**Objective 1:**

Increase the availability of permanent supportive housing as a housing option, assist individuals leaving the correction system, facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

**Output:**

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.
- The state will utilize funding from the Connecticut Collaborative on Re-Entry (CCR) to aid supportive housing efforts which will be targeted to a small set of individuals with high recidivism rates resulting from complex unmet needs, histories of long-term homelessness, chronic health conditions, and untreated mental illness and addiction.

**Outcome:**

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

**Indicator(s):**

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

**NON-HOUSING COMMUNITY DEVELOPMENT**

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**GOAL**

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development

activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

**Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

**Objective 1:**

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for community development project.

**Output:**

- Support one inter-municipal or regional project per year under the SC/CDBG Program.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of inter-municipal/regional projects funded under the SC/CDBG program annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.

**Objective 2:**

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

**Output:**

- Support up to four infrastructure projects per year under the SC/CDBG program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of infrastructure projects funded under the SC/CDBG program per year.
- Number of low-and-moderate-income persons served annually.

**Objective 3:**

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

**Output:**

- Support up to three public facilities projects per year under the SC/CDBG Program.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.

- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

**Objective 4:**

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons.

**Output:**

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

**Short-Term Outcome:**

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

**Long-Term Outcome:**

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

**Indicator(s):**

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

**Objective 5:**

Assist in the creation of a safe and sanitary living environment, benefit low-to moderate-income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state through the redevelopment of brownfield redevelopment projects.

**Output:**

- Provide federal/state/local community development resources for up to ten brownfield redevelopment projects over the next five-years.

**Short-Term Outcome:**

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate-income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

**Indicator(s):**

- Level of funding provided for brownfield redevelopment projects during the next five years.
- Number of brownfield projects undertaken as a result of the funding provided.
- Number of brownfields/acres and/or buildings brought back to productive use.

**Objective 6:**

Implement one Section 108 Program activity in the next five years.

**Output:**

- Identify potential projects eligible for Section 108 Program funding.
- Support one Section 108 program activity on behalf of one non-entitlement community.

**Short-Term Outcome:**

- New/Improved availability/accessibility to funds.
- Creation and/or retention of permanent, private sector job opportunities principally for low- and moderate-income persons.
- Increased employment opportunities for low-and-moderate-income persons.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of projects funded under the Section 108 Program annually.
- Number of jobs created by Section 108 projects funded annually.
- Percent of jobs created benefiting low-and-moderate-income persons.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 2	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 3	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 4	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 5	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 6	Section 108	Low-Mod Income	State -Wide

## **COMMUNITY REVITALIZATION**

### **GOAL**

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

### **Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

*The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:*

#### **Objective 1:**

Enhance sustainable living environments, create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

**Output:**

- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of one Community Revitalization Strategy or Neighborhood Revitalization Zone.

**Outcomes:**

New and improved sustainable communities.

**Indicator(s):**

- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies.

**Objective 2:**

Enhance suitable living environments and create decent housing in areas of need.

**Output:**

- Support up to one municipality in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas using the HOME/State Housing Programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households.
- Give preference to one mixed-income infill project creating at least 10-25 units of housing in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing Programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need.

**Outcomes:**

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

**Indicator(s):**

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.



- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State, SC/CDBG	Low-Mod Income	State-Wide
Objective 2	State, HOME, SC/CDBG	Low-Mod Income	State-Wide

## **BARRIERS TO AFFORDABLE HOUSING**

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### **GOAL**

The state will reduce or eliminate the impact of barriers at the state, local and regional level in order to promote fair housing choice in Connecticut.

### **Objective 1:**

Encourage the creation and rehabilitation of affordable housing in a variety of locations through competitive funding rounds

### **Output:**

- Continue to assign a high point value for developments that achieve fair housing goals in historically under-served communities
- Increase funding flexibility to seize immediate development opportunities to increase affordable housing units in high opportunity communities.
- Evaluate the effectiveness of funding rounds in facilitating the creation of new family affordable housing units to ensure the availability of affordable family housing in diverse areas.

### **Outcomes:**

New affordable housing opportunities in historically under-served communities.

### **Indicator(s):**

- Number of applications received that target communities of high or moderate opportunity.
- Number of applications received that promote fair housing choice, racial and economic integration.

### **Objective 2:**

Collaborate with other agencies to affirmatively further fair housing

**Output:**

- Participate in new and existing opportunities to align policies and funding with other agencies

**Outcomes:**

Provide improved housing choice and opportunities in alignment with investments by other agencies

**Indicator(s):**

- Number of housing opportunities generated in alignment with other state investments.
- Number of collaborative opportunities

**Objective 3:**

Convene stakeholders to review potential legislative solutions to existing impediments

**Output:**

- Convene partner agencies, organizations and stakeholders in the redrafting of the Connecticut Fair Housing Regulations and Waiting List Regulations

**Outcomes:**

Provide clear concise regulatory direction on meeting and addressing fair housing requirements in state-supported housing

**Indicator(s):**

- Number of collaborative opportunities with partner agencies, organizations and stakeholders
- Passage of revised regulations

**Objective 4:**

Maximize effectiveness of mobility programs

**Output:**

- Work with mobility counselors under contract to improve processes

**Outcomes:**

Provide increased opportunities for mobility.

**Indicator(s):**

- Number of clients successfully moved to an improved opportunity area

**Objective 5:**

Improve fair housing education and enforcement

**Output:**

- Work with mobility counselors under contract to improve processes

**Outcomes:**

Provide increased opportunities for mobility.

**Indicator(s):**

- Number of clients successfully moved to an improved opportunity area

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State, HOME	Low-Mod Income	State-Wide
Objective 2	State, HOME	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide
Objective 4	State, Sec 8 HCV	Low-Mod Income	State-Wide
Objective 5	State, SC-CDBG, HOME, Sec 8 HCV	Low-Mod Income	State-Wide

**LEAD-BASED PAINT HAZARDS**

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**GOAL**

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

**Objectives, Outputs, Outcomes and Indicators**

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

**Objective 1:**

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

**Output:**

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach.

- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative).
- Build the technical capacity local housing agencies by sponsoring one (1) National Center for Healthy Homes (NCHH) training courses.
- Support the training and certification of DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

**Outcomes:**

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

**Indicator(s):**

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with DPH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME SC/CDBG Other/ State	Low-Mod Income	State-Wide SC/CDBG Small Cities

## **II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing**

All information on activities or requirements provided in this section were carried out by DOH during PY 18.

### **A. Civil Rights Compliance**

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan;
- Provide a certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

## **B. Employment Outreach**

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. There were three (3) HOME contracts completed during the program year.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$66,340 of which \$11,090 was awarded to firms owned by persons who are Black Americans, and \$37,250 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$638,738 In addition, a total of \$1,174,624 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide training opportunities for homeless shelter staff and grantees/contractors to address their Affirmative Fair Housing Marketing obligations. These included:

- Training staff at 10 shelters for the homeless on the fair housing laws to ensure that they are not denying shelter for illegal discriminatory reasons.
- Fair Housing Training at the Small Cities Application Workshop on January 22, 2019 in Hartford.

## **C. Nondiscrimination/Fair Housing**

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;

- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

#### **D. Applicable State Requirements**

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

#### **E. Program Assurances**

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

## **F. 24 CFR 92.351a – Affirmative Marketing – HOME Program**

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH’s affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant’s AFHMP and required attachments are approved by DOH.

### **Assessing the Effectiveness of Affirmative Marketing for HOME projects**

To assess the effectiveness of affirmative marketing, DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis. Recipients provide the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner’s marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

## **G. Continuing Efforts to Affirmatively Further Fair Housing**

DOH continues to provide the most recent statewide [Analysis of Impediments \(AI\) to Fair Housing Choice](#) on our website. What follows is a review of progress made on the previous year’s goals as outlined in the State AI.

### **Objective 1 – Increasing housing access for protected classes**

- DOH entered into a contract from 1/1/18 to 1/1/21 with the Corporation for Independent Living for a total of \$1,500,000 from the Affordable Housing (Flex) Fund to finance the “Money Follows the Person Transition Program” for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. The contract was approved on 4/2/19.
- DOH awarded \$32,290,515 in state bond funds to rehabilitate a total of twenty-five (25) state public housing projects, preserving 1057 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and



managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.

- DOH is on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH is on the Board of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded \$83.5 million to support affordable housing development in diverse communities, including twenty-nine (29) housing projects during SFY 2018-19, which will result in more than 1590 units of affordable housing. Funding will support multifamily development in higher opportunity areas, revitalize senior housing, and promote affordable home ownership.
- 984 housing units were completed during SFY 2018-19, of which 722 were affordable.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH is implementing a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) in residence and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$670,000 for the SFY 2018-19 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

The CFHC, with financial assistance from DOH, carried out the following:

- Performed intakes and gave fair housing advice to 492 Connecticut households;
- Investigated 342 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 35 Connecticut residents with disabilities;
- Obtains reasonable accommodations and reasonable modifications for 30 Connecticut households without litigation or court action;
- Performed 65 tests designed to investigate any claims of housing discrimination;
- Provided 1983 hours of legal assistance to the victims of housing discrimination;

- Opened up more than 55 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 733 Connecticut residents;
- Spent 101 hours accepting calls and emails and offering assistance on how to obtain mortgage modifications to members of the private bar representing homeowners pro-bono in foreclosure;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 260 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Provided 355 hours of legal representation to homeowners in foreclosure and by appearing at a "lawyer for the day program" in foreclosure court at least once every month;
- Completed 90 intakes for homeowners in foreclosure and provided 284 hours of legal advice;
- Represented 36 homeowners in foreclosure in an effort to save their home and /or obtain a mortgage modification;
- Taught 8 classes to provide information on the legal foreclosure process to 27 households facing foreclosure in Norwalk, Stamford, New Haven and Hartford;
- Distributed CFHC's "Statewide Moving Forward" guide to 1685 Connecticut residents who were buying a home and 135 Connecticut residents who were renters to ensure that people who must move have access to information about the fair housing and landlord/tenant laws;
- 1631 copies of the Representing Yourself in Foreclosure Guide were reprinted and distributed to Connecticut residents.

The following education and training opportunities were provided:

- Trained 122 shelter staff in 10 shelters for the homeless on fair housing laws to ensure that they are not denying shelter for illegal discriminating reasons;
- Provided training to 40 people attending the Small Cities Application Workshop on January 22, 2019

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- Worked with CFHC on completing the study of elderly/disabled housing required by Special Act 17-19 and presented it at the legislature to members of the Housing Committee;
- Provided 260 families being displaced from public or subsidized housing with information about fair housing laws and information on how to move to new housing that meets their family need;
- Monitored subsidized waiting list openings to ensure that they were using their affirmative fair marketing plans to advertise openings. In the last quarter of the year, the Center reviewed the notices of 3 waiting list openings. None of the waiting list openings had issues which violated the fair housing laws;

- Performed 5 rental tests to determine if subsidized landlords were evaluating criminal records on a case-by-case basis;
- Monitored the performance of homeless shelters to determine if there is discrimination on the basis of disability. Performed 2 fair housing tests and the work is ongoing. If discrimination is found as the result of any of the CFHC's monitoring activities, a determination and implementation of next steps would ensure compliance with anti-discrimination laws;
- Assisted 6 families who were moving by requesting reasonable accommodations, challenging arbitrary rent reasonableness standards, and filing complaints against landlords who refused to accept housing choice vouchers. This work is continuing.

### **Small Cities Actions to Affirmatively Further Fair Housing**

HUD has requested that “the state highlight the achievements of the SC-CDBG it funds in future PERs”.

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state's Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 23 grantees. The results are as follows:

#### Accomplishments by Action Step

- **Action Step 1** - Identify developable land within the municipality for developers of affordable housing – 7 towns;
- **Action Step 2** – Participate in regional planning efforts to ensure that there is affordable housing in a variety of locations – 2 towns;
- **Action Step 3** – Report municipal and regional racial and ethnic composition data in municipal POCDs – 5 towns;
- **Action Step 4** – Publish the municipality's POCD on its website – 17 towns;
- **Action Step 5** – Review occupancy ordinances, regulations and/or guidelines to ensure that the rules are not unnecessarily restrictive for families with children. At a minimum they should be in line with reasonable local fire and building codes – 7 towns;
- **Action Step 6** – Determine whether the zoning ordinances and other occupancy rules are enforced in a non-discriminatory way – 0 towns;
- **Action Step 7** – Review zoning ordinances to determine if they require special permits for affordable housing or require large lot sizes, low density requirements, or other policies that would make the development of affordable housing expensive and propose changes to such requirements – 1 town;
- **Action Step 8** – If the municipality's zoning ordinance does not include a statement that people with disabilities have the right to request a reasonable accommodation of a change in any zoning ordinance, add this to the existing zoning ordinance – 1 town;
- **Action Step 9** – if a municipality uses a residency or employment preference to select affordable housing tenants, it should conduct an analysis to determine if such requirements have an illegal disproportionate impact on non-Hispanic Blacks, Hispanics,

people with disabilities, single-parent families, and people with housing subsidies – 0 towns;

- **Action Step 10** – Maintain and make easily available comprehensive, current lists of available housing units, with a special emphasis on units in high-opportunity neighborhoods. Consider additional funding for housing authorities to support this effort – 2 towns;
- **Action Step 11** – Appoint a fair housing officer, have him or her trained on their duties and responsibilities as a fair housing officer, and publicize the person’s name, contact information, and job responsibilities – 17 towns;
- **Action Step 12** – Sponsor or work with housing provider associations to sponsor fair housing trainings for housing providers – 1 town;
- **Action Step 13** – Refer complaints of housing discrimination to HUD, CHRO, or a private fair housing agency – 6 towns;
- **Action Step 14** – Provide Spanish (and possibly other languages) as an option on the main telephone line for reporting fair housing complaints or asking housing related questions – 0 towns; and
- **Action Step 15** – Pool resources to provide language access to LEP individuals on a regional basis including translating and making available vital housing forms in Spanish – 1 town.

### III. HOME Program Requirements

#### A. Resource Allocation PY 2018-19

The following table (Table 16) provides a summary of the resource allocation for program year 2018-19.

<b>Table 16: HOME Program Resource Allocation for PY 2018-2019</b>	
State Administration (10%)	\$ 930,425
CHDO Set-aside (15%)	\$ 1,395,637
Subtotal	\$ 2,326,062
Program Income	\$ 28,035
Allocation available for other eligible activities	\$ 7,006,222
FY 2018-19 Allocation	\$ 9,304,249

#### B. Disbursements PY 2018-19

The following table (Table 17) provides a summary of disbursements for program year 2018-19.

<b>Table 17: Summary of HOME Program Disbursements For Projects During PY 18-19</b>	
<b>FFY</b>	<b>Disbursement Amount</b>
2013	\$168,882
2014	\$0
2015	\$972,581
2016	\$859,279
2017	\$0
2018	\$0
Total	\$2,000,742

#### C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

<b>Table 18: Summary of HOME Program Allocations and Expenditures</b>							
<b>FFY 18-19 HOME Allocation</b>	<b>Total HOME Allocations to Date</b>	<b>Amount of HOME Funds Expended During PY 18-19</b>	<b>Total Amount of HOME Funds Expended to Date</b>	<b>FFY 18-19 Allocated Admin Funds</b>	<b>Total Admin Allocated To Date</b>	<b>Amount of Admin Funds Expended During PY 18-19</b>	<b>Total Amount of Admin Funds Expended to Date</b>
\$ 9,304,249	\$ 263,497,507	\$ 2,000,742	\$ 236,615,742	\$ 930,425	\$ 26,184,908	\$ 672,274.94	\$ 23,679,302

**D. Contracted PY 2018-19**

The following table (Table 19) is a summary of projects contracted during the program year.

<b>Table 19: HOME Program Projects Contracted During Program Year 7/1/2018 to 6/30/2019</b>											
<b>Recipient Name</b>	<b>Project Name and Number</b>	<b>Project Location</b>	<b>Project Description</b>	<b>Estimated Project Cost</b>	<b>Proposed HOME Investment</b>	<b>Proposed Funding Type</b>	<b>Year Funded From</b>	<b>Activity</b>	<b>Unit Type</b>	<b>Home Units</b>	<b>Committed</b>
Glendower Group	Rockview Phase II	New Haven	New construction of 78 rental units	\$34,542,483	\$5,600,000	Loan	2014, 2015, 2016	NC	R	26	6/18/19
Total				\$34,542,483	\$5,600,000						

*Key: Refer to "Key" Appendix*

### E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of HOME Projects Contracted During PY 2018-19 Summary of Geographic Distribution

<b>Table 20: HOME Projects Contracted During PY 18-19 Summary of Geographic Distribution</b>	
<b>County</b>	<b>HOME \$</b>
New Haven	\$5,600,000
Total	\$5,600,000

### F. Summary of Activities

The following table (Table 21) provides a summary of HOME Projects Contracted During PY 2018-19 Summary by Activity.

<b>Table 21: HOME Program Project Contracted During PY 2018-19 Summary by Activity</b>		
<b>Activity</b>	<b>HOME Funding</b>	<b>Number of Projects</b>
Rehab/Rental	\$0	0
NC/Rental	\$5,600,000	1
Total	\$5,600,000	1

### G. Leveraged Funds

The following table (Table 22) provides a summary of HOME Projects Contracted During PY 2018-19 Identifying Funding Leveraged.

<b>Table 22: HOME Projects Contracted During PY18-19 Identifying Funding Leveraged</b>						
<b>Project Name</b>	<b>Project Location</b>	<b>Proposed HOME Investment</b>	<b>Public Sector Financing</b>	<b>Private Financing/ Equity</b>	<b>Total Financing Leveraged</b>	<b>Total Estimated Project Cost (\$)</b>
Rockview Phase II	New Haven	\$5,600,000	\$17,048,483	\$11,894,000	\$28,942,483	\$34,542,483
Total					\$28,942,483	\$34,542,483

## H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

<b>Table 23a: Summary of HOME Match Activity for PY 2018-19</b>							
<b>Match Contributed During PY</b>	<b>Excess Match Banked from Prior PY s</b>	<b>Total Match Funds Available</b>	<b>Source of Match Funds</b>	<b>Disbursement Requiring Match</b>	<b>Required Match %</b>	<b>Match Liability Amount</b>	<b>Excess Match to Carry Over to Next PY</b>
\$39,637,203	\$53,818,793	\$93,455,996	State Funds	916,116	25%	\$229,029	\$93,226,967

<b>IDIS – PR33</b>							
<b>Table 23b: HOME Match Liability History</b>							
<b>Fiscal Year</b>	<b>Match Percentage</b>	<b>Total Disbursement</b>	<b>Disbursements Requiring Match</b>	<b>Match Liability Amount</b>	<b>HOME matching funds provided</b>	<b>Total HOME Matching Funds Provided</b>	<b>Balance per Fiscal Year</b>
1992	25%	\$ 8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$ 7,687,259.00	\$ 7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$ 3,850,801.08	\$ 3,172,001.08	\$ 793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$ 6,672,989.73	\$ 5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$ 8,084,326.89	\$ 7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$ 7,006,306.16	\$ 6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$ 3,398,893.88	\$ 2,219,988.60	\$ 554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$ 2,684,788.60	\$ 2,398,193.88	\$ 599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$ 4,691,397.14	\$ 3,264,527.80	\$ 816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$ 9,624,703.09	\$ 8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$ 9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$ 9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$ 6,811,972.53	\$ 5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$ 9,727,683.65	\$ 8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	25.0%	\$ 8,338,854.05	\$ 7,024,181.82	\$1,756,045.45	\$1,676,000	\$59,008,059.20	\$17,636,258.11
2014	12.5%	\$ 6,695,228.86	\$ 6,177,866.99	\$ 722,233.37		\$59,008,059.20	\$16,914,024.74
2015	12.5%	\$ 2,759,153.35	\$ 2,559,153.35	\$ 319,894.16	\$7,175,000	\$66,173,059.20	\$23,744,130.58
2016	12.5%	\$ 9,846,211.60	\$ 8,912,533.52	\$1,114,066.69	0	\$66,173,059.20	\$22,630,063.89
2017	12.5%	\$ 6,813,315.71	\$ 5,209,417.50	\$ 651,177.18	\$31,839,906	\$98,012,965.20	\$53,818,792.71
2018	25.0%	\$ 2,078,470.84	\$ 916,116.00	\$ 229,029.00	39,637,203	137,650,168.20	93,226,966.71



**I. Program Income Activity**

The following table (Table 24a) provides a summary of projects for which Program Income was committed during the Program Year.

No projects were funded using Program Income during the Program Year.

The following table (Table 24b) provides a summary of program income expenditure activity.

<b>Table 24b: HOME Program Income Activity for PY 2018-19</b>			
<b>Balance Carried Forward from Previous PY</b>	<b>Amount Received During PY 18-19</b>	<b>Amount Expended During PY 18-19</b>	<b>Balance to be Carried Forward to Next PY</b>
\$124,012.47	\$28,034,.75	\$0	\$152,047.22

**J. MBE/WBE Activity**

The following table (Table 25) provides a HOME Program Summary of Dollar Value of MBE & WBE Contracts Closed during PY 2018-19.

<b>Table 25: HOME Program -Summary of Dollar Value of MBE &amp; WBE Contracts Closed during PY 2018-19</b>					
<b>Project Name</b>	<b>Project Sponsor</b>	<b>Project Location</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
60 Lancaster Road, Wethersfield, CT 06109	Wethersfield Housing Authority	Wethersfield	\$ 198,000.00	\$ 495,885.00	\$ 693,885.00
Crescent Crossing Phase 1A: 252 Hallett St, Bridgeport, CT 06379	Viking Construction, Inc.	Bridgeport	\$2,896,970.19	\$4,165,934.77	\$7,062,904.96
Total			3,094,970.19	\$4,661,819.77	\$7,756,789.96

*\* the dollar value of MBE & WBE contracts are based on HOME Projects closed during the PY and include financing from other than HOME Program.*

**K. Property Acquisition/ Relocation**

Table 26 has been omitted due to no activity relative to HOME Program Summary of Property Acquisition/Relocation during PY 2018-19.

### L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of HOME projects contracted during PY 2018-19 that represent awards to State-designated CHDOs.

There were no CHDO projects awarded during the Program Year.

### M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

Table 28: HOME Program Summary of Rental Projects Monitored During PY 2018-2019											
Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitoring	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Trumbull	Huntington Place Sr. Hsg.	HM0414401	NC	R	11	Occ	PostOcc	5/3/2019	1	HQS Compliant	PassIncTest
Franklin	Elisha Brook Sr. Hsg.		NC	R	5	Occ	PostOcc	6/17/2019	1	HQS Compliant	PassIncTest
Greenwich	509 East Putnam Av Residence	HM0405701	Rehab	R	10	Occ	PostOcc	6/19/2019	1	HQS Compliant	PassIncTest
Hartford	Park Seymour Apts.	94-064-057-916-78	Rehab	R	44	Occ	PostOcc	6/20/2019	1	Phys Findings/CorrMade	PassIncTest
Manchester	Birch Meadow Apts.	2001-077-057-001	NC	R	11	Occ	PostOcc	6/28/2019	1	HQS Compliant	PassIncTest
East Hartford	Easton Place	98-043-057-916-031	Rehab	R	7	Occ	PostOcc	6/28/2019	1	HQS Compliant	PassIncTest

**N. Technical Assistance/Training**

The following table (Table 29) provides a summary of technical assistance/training.

<b>Table 29: HOME and CDBG-SC Program Workshops &amp; Technical Assistance Provided During 2018-19 Program Year</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
2019 SSHP Funding Round	Presentation of SSHP – Separate meetings were held for each of the applicants	2018-2019	CHFA/ Rocky Hill	Housing authorities and non-profits	20
CDBG-SC and Affirmatively Furthering Fair Housing	CDBG-SC Application Workshop, updates on changes to application, changes to the process, and impact relative to affirmatively furthering fair housing	1/22/2019	Hartford, CT	Applicants for Small Cities grants	40

#### IV. SC/CDBG Program Requirements

##### A. Resource Allocation PY 2018-19

The following table (Table 31) provides a summary of the resource allocation for program year 2018-19.

<b>Table 31: SC/CDBG Program Resource Allocation for PY 2018-2019</b>	
State Administration (2%)	\$ 264,948
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 132,474
Subtotal	\$ 497,422
Urgent Need (up to \$500,000)	\$ 0
Allocation available for all other eligible activities	\$ 12,749,975
FY 2018-19 Allocation	\$ 13,247,397

Source: DOH

##### B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

<b>Table 32: SC/CDBG Program Funds Expended on Administration During PY 2018-19</b>			
<b>FFY 18-19 SC/CDBG Program Allocation Funding Allowed for Admin.</b>		<b>Amount of SC/CDBG Program Funding Expended on Admin.</b>	<b>Amount of Matching Funds Provided by State Toward Admin.</b>
\$ 100,000	State Admin.	\$ 364,948	\$ 264,948
\$ 264,948	2% of Allocation		

Source: DOH

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2018-19

The following table (Table 33) provides a summary of contracts during the PY 2018-19.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2018 to 6/30/2019											
Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsg	# People	Multi- family/ Elderly
Cheshire	SC1802501	Housing Rehabilitation Program	400,000.00	2018	HR	15	HO	Rehab			
Enfield	SC1804901	A Way Home Project	310,212.56	2018	PS	300					
Farmington	SC1805201	New Horizons Village Modernization	800,000.00	2018	PHMOD	95	R		Yes		E
Guilford	SC1806001	Guilford Housing Rehabilitation Program	442,000.00	2018	HR	15	HO				
Killingly	SC1806901	Rehabilitation of Domestic Violence Shelter	810,000.00	2018	PHMOD	50	R		Yes		E
Madison	SC1807601	Concord Meadows (Madison Interfaith Residential Community)	800,000.00	2018	PHMOD	72	R		Yes		E
Shelton	SC1812601	Sinsabaugh Heights Public Housing Modernization	800,000.00	2018	PHMOD	78	R		Yes		E
South Windsor	SC1813201	Wapping Mews Elderly Housing Public Housing Modernization	800,000.00	2018	PHMOD	30	R		Yes		E
Southington	SC1813101	Zdunzyck Terrace Public Housing Modernization	800,000.00	2018	PHMOD	60	R		Yes		E
Sprague	SC1813301	Sprague Housing Rehabilitation Program	400,000.00	2018	HR	15	HO				
Stafford	SC1813401	Housing Rehabilitation Program	442,000.00	2018	HR	10	HO				
Stonington	SC1813701	Shelter Diversion Program	319,466.00	2018	PS						
Suffield	SC1813901	Maple Court/Laurel Court/Broder Place (Suffield Housing Authority)	800,000.00	2018	PHMOD	70	R		Yes		E
Torrington	SC1814301	Housing Rehabilitation Program	400,000.00	2018	HR	15	HO				
Trumbull	SC1814401	Stern Village Phase II Energy Improvements	800,000.00	2018	PHMOD	186	R		Yes		E
Vernon	SC1814601	Regional Crumbling Foundations Testing Program	480,000.00	2018	PS	80	HO				
Voluntown	SC1814701	Greenwood Manor Phase III	700,000.00	2018	PHMOD	20	R		Yes		E
Westport	SC1815801	Diversion Specialists and Short-Term Crisis Housing for Young Adults	400,000.00	2018	PS	1500					
Wethersfield	SC1815901	Harvey Fuller Senior Housing and Highvue Terrace Apts. Improvements	778,210.00	2018	PHMOD	60	R		Yes		E
Windham	SC1816301	Nathan Hale Terrace Heating Replacement	800,000.00	2018	PHMOD	100	R		Yes		E
Total			\$12,281,888.56			2756					

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2018-19 Summary of Geographic Distribution	
County	CDBG \$
Fairfield	2,000,000.00
Hartford	4,288,422.56
Litchfield	400,000.00
New Haven	1,642,000.00
New London	1,419,466.00
Tolland	922,000.00
Windham	1,610,000.00
Total	\$ 12,281,888.56

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

<b>Table 35: SC/CDBG Program Projects Contracted During PY 2018-19 Summary by Activity</b>		
<b>Activity</b>	<b>Total Funding</b>	<b>Number of Projects</b>
Homeowner Rehabilitation	\$ 2,084,000.00	5
Public Housing Rehabilitation	\$ 8,688,210.00	11
Total Public Service	\$ 1,509,678.56	4
<b>Total</b>	<b>\$ 12,281,888.56</b>	<b>20</b>

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

<b>Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2018 to 6/30/2019</b>											
<b>Recipient/ Location Project#</b>	<b>Grant #</b>	<b>Project Description</b>	<b>Grant Awarded</b>	<b>Year Funded From</b>	<b>Activity</b>	<b># Units</b>	<b>HO/ Rental</b>	<b>NC/ Rehab</b>	<b>Public Hsg</b>	<b># People</b>	<b>Multi- family/ Elderly</b>
Cheshire	SC1802501	Housing Rehabilitation Program	400,000.00	2018	HR	15	HO	Rehab			
Enfield	SC1804901	A Way Home Project	310,212.56	2018	PS	300					
Farmington	SC1805201	New Horizons Village Modernization	800,000.00	2018	PHMOD	95	R		Yes		E
Guilford	SC1806001	Guilford Housing Rehabilitation Program	442,000.00	2018	HR	15	HO				
Killingly	SC1806901	Rehabilitation of Domestic Violence Shelter	810,000.00	2018	PHMOD	50	R		Yes		E
Madison	SC1807601	Concord Meadows (Madison Interfaith Residential Community)	800,000.00	2018	PHMOD	72	R		Yes		E
Shelton	SC1812601	Sinsabaugh Heights Public Housing Modernization	800,000.00	2018	PHMOD	78	R		Yes		E
South Windsor	SC1813201	Wapping Mews Elderly Housing Public Housing Modernization	800,000.00	2018	PHMOD	30	R		Yes		E
Southington	SC1813101	Zdunzyck Terrace Public Housing Modernization	800,000.00	2018	PHMOD	60	R		Yes		E
Sprague	SC1813301	Sprague Housing Rehabilitation Program	400,000.00	2018	HR	15	HO				
Stafford	SC1813401	Housing Rehabilitation Program	442,000.00	2018	HR	10	HO				
Stonington	SC1813701	Shelter Diversion Program	319,466.00	2018	PS						
Suffield	SC1813901	Maple Court/Laurel Court/Broder Place (Suffield Housing Authority)	800,000.00	2018	PHMOD	70	R		Yes		E
Torrington	SC1814301	Housing Rehabilitation Program	400,000.00	2018	HR	15	HO				
Trumbull	SC1814401	Stern Village Phase II Energy Improvements	800,000.00	2018	PHMOD	186	R		Yes		E
Vernon	SC1814601	Regional Crumbling Foundations Testing Program	480,000.00	2018	PS	80	HO				
Voluntown	SC1814701	Greenwood Manor Phase III	700,000.00	2018	PHMOD	20	R		Yes		E
Westport	SC1815801	Diversion Specialists and Short-Term Crisis Housing for Young Adults	400,000.00	2018	PS	1500					
Wethersfield	SC1815901	Harvey Fuller Senior Housing and Highvue Terrace Apts. Improvements	778,210.00	2018	PHMOD	60	R		Yes		E
Windham	SC1816301	Nathan Hale Terrace Heating Replacement	800,000.00	2018	PHMOD	100	R		Yes		E
<b>Total</b>			<b>\$12,281,888.56</b>			<b>2756</b>					

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

<b>Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2018-2019</b>	
<b>Activity</b>	<b>Amount</b>
Salaries	\$ 7,284.74
Fringe	\$ 6,477.43
Management and Consultant Services	\$ 900.00
<b>Total</b>	<b>\$ 14,662.17</b>

Source: DECD, OFA

H. De-obligated Funds

<b>Table 38: SC/CDBG Program De-Obligated: 2018-2019</b>				
<b>Project/Town</b>	<b>Project #</b>	<b>Fund Year</b>	<b>Old Activity</b>	<b>Funds De-Obligated</b>
Jewett City	SC1505801	2015	Rehabilitation	\$ 250,862.86
<b>Total</b>				<b>\$250,862.86</b>

Source: DOH, OFA

- I. MBE/WBE Activity  
The following table (Table 39) provides a summary MBE/WBE activity.

<b>Table 39: SC/CDBG Program - Summary of Dollar Value of MBE &amp; WBE Contracts for PY 2018-2019</b>				
<b>Grantee</b>	<b>Project Number</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
Ashford	PI	\$ 0	\$24,670	\$24,670
Cheshire	SC1602501	\$ 0	\$37,297	\$37,297
Coventry	PI	\$ 0	\$17,580	\$17,580
East Haven	PI	\$11,090	\$0	\$11,090
Ellington	SC1704801	\$18,000	\$18,000	\$36,000
Groton	SC1705901	\$ 0	\$26,349	\$26,349
Guilford	SC1806001	\$ 0	\$66,645	\$66,645
Hampton	SC1606301	\$ 0	\$29,750	\$29,750
Hebron	SC1706701	\$ 0	\$112,500	\$112,500
Killingly	SC1606901	\$3,450	\$ 0	\$3,450
Plainville	PI	\$ 0	\$23,015	\$23,015
Southbury	SC1613001	\$ 0	\$56,250	\$56,250
Stafford	PI	\$ 0	\$25,084	\$25,084
Torrington	SC1814301	\$ 0	\$10,500	\$10,500
Vernon	PI	\$ 0	\$30,000	\$30,000
Voluntown	SC1714701	\$ 0	\$112,500	\$112,500
Windham	PI	\$ 0	\$19,265	\$19,265
Woodbridge	PI	\$ 0	\$29,333	\$29,333
Woodbury	SC1616801	\$33,800	\$ 0	\$33,800
<b>Total</b>		<b>\$66,340</b>	<b>601,441</b>	<b>\$705,078</b>

Source: DOH

- J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.



Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. DOH continues to require that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

<b>Table 40: SC/CDBG Program Summary of Affordable Housing Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Affordable Housing</b>	<b>% of Funding for Affordable Housing</b>
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263	\$ 1,121,442	\$ 9,832,840	87%
2013	\$ 11,850,000	\$ 1,162,000	\$ 11,350,000	96%
2014	\$ 12,851,155	\$ 706,500	\$ 10,902,866	85%
2015	\$ 11,994,526	\$ 663,720	\$ 9,976,306	83%
2016	\$ 12,655,847	\$ 652,920	\$ 10,902,866	86%
2017	\$ 12,163,000	\$ 626,000	\$ 10,285,468	85%
2018	\$ 12,281,888	\$ 565,864	\$ 8,756,913	71%

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and

FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

<b>Table 41: SC/CDBG Program Summary of Economic Development Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Economic Development</b>	<b>% of Funding for Economic Development</b>
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%
2013	\$ 11,850,000	\$ 1,162,000		0%
2014	\$ 12,851,155	\$ 706,500		0%
2015	\$ 11,994,526	\$ 663,720		0%
2016	\$ 12,655,847	\$ 652,920		0%
2017	\$ 12,163,000	\$ 626,000		0%
2018	\$ 12,281,888	\$ 565,864		0%

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

#### Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 2017-2018 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

#### Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

#### Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

#### Enforcement of Housing and Health Codes

DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

#### Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

#### Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

#### Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

#### Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

<b>Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity</b>					
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Amount Meeting National Objective</b>	<b>Amount of Funding to which Benefit Test Applies</b>	<b>% of Low/Moderate Benefit</b>
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,264	\$ 1,121,442	\$ 10,230,822	\$ 10,230,822	90%
2013	\$ 11,850,000	\$ 1,162,000	\$ 10,688,000	\$ 10,688,000	90%
2014	\$ 12,851,155	\$ 706,500	\$ 12,144,655	\$ 12,144,655	95%
2015	\$ 11,994,526	\$ 663,720	\$ 11,330,806	\$ 11,330,806	94%
2016	\$ 12,655,847	\$ 652,920	\$ 12,002,927	\$ 12,002,927	95%
2017	\$ 12,163,000	\$ 626,000	\$ 11,537,000	\$ 11,537,000	95%
2018	\$ 12,281,889	\$ 614,094	\$ 11,667,795	\$ 11,422,995	93.9%

Source: DOH

L. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

<b>Table 43: CDBG Program Summary of Projects Monitored During PY 2018-2019</b>						
<b>Project Location</b>	<b>Project Grant Year</b>	<b>Project Name</b>	<b>Activity</b>	<b>Dollar Amount</b>	<b>Type of Monitorings</b>	<b>Date of Monitoring</b>
Montville	2015	Montville Housing Rehab	HR	\$ 400,000.00	Closeout	7/24/2018
Trumbull	2016	Stern Village	PHM	\$ 800,000.00	Closeout	7/31/2018
No.Stonington	2014	No. Stonington HR	HR	\$ 400,000.00	Closeout	8/7/2018
Bethel	2015	Reynolds Ridge	PHM	\$ 800,000.00	Closeout	8/21/2018
Thompson	2015	Pineview Court Snr Housing	PHM	\$ 800,000.00	Closeout	8/28/2018
Oxford	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	9/25/2018
Stonington	2015	Edythe K. Richmond Snr Hou	PHM	\$ 800,000.00	Closeout	10/9/2018
Stafford	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	10/16/2018
Lisbon	2015	Lisbon Housing Rehab	HR	\$ 400,000.00	Closeout	10/23/2018
Windsor	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	11/6/2018
Simsbury	2015	Dr. Owen M. & Virginia C	PHM	\$ 800,000.00	Closeout	12/4/2018
Stonington	2015	Edythe K. Richmond Snr. Housing	PHM	\$ 800,000.00	Closeout	1/15/2019
Ansonia	2015	Housing Rehab Program	HR	\$ 400,000.00	Closeout	2/5/2019
Derby	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	3/12/2019
Jewett City	2015	Ashland Manor Senior Housing	PHM	\$ 800,000.00	Closeout	03/19/19
Hampton	2015	Regional Housing Rehab Program	HR	\$ 450,000.00	Closeout	04/02/19
Bethlehem	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	4/9/19
Killingly	2015	Killingly Housing Rehabilitation	HR	\$ 400,000.00	Closeout	4/16/19
Southbury	2015	Housing Rehab Program	HR	\$ 400,000.00	Closeout	5/7/19
Ledyard	2015	Ledyard Housing Rehabilitation	HR	\$ 400,000.00	Closeout	5/14/19
Lebanon	2015	Lebanon Housing Rehabilitation	HR	\$ 400,000.00	Closeout	5/28/19
East Haddam	2015	Oak Grove Senior Housing	PHM	\$ 800,000.00	Closeout	6/11/19
Seymour	2014	Housing Rehab Program	HR	\$ 400,000.00	Closeout	6/18/19

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

<b>Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2018-2019</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
Competitive Funding Application Workshop	Explanation of competitive funding round for 2019 allocation year	1/22/2019	Hartford, CT	Town Officials and Consultants	40

Source: DOH

N. Closed Out PY 2018-19

The following table (Table 45) provides a summary of closed out projects for program year 18-19.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 2018-2019										
Recipient/ Location	Year Funded From	Project Description	Activity	Grant Awarded	Project #	# Units	Type Rental/ HO	NC/ Rehab	# People	JOBS
Stafford	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1413401	13	HO	Rehab		

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2018-19.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2018-2019		
Activity	Issued To:	Date
Annual PHA Plan	Enfield Housing Authority	8/8/2018
Yale Acres	Meriden Housing Authority	11/1/2018
Annual PHA Plan	Naugatuck Housing Authority	11/7/2018
Annual PHA Plan	Vernon Housing Authority	11/14/2018
New Haven Clock Shop Lofts	New Haven Housing Authority	11/14/2018
St. Martins Townhouses	New Haven Housing Authority	3/13/2019
Old Town Hall Homes	Darien Housing Authority	3/13/2019
Annual PHA Plan	Willimantic Housing Authority	4/16/2019

## V. ESG Program Requirements

### A. State Summary Report FY 2018-19

#### 1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/18– 6/30/2019.

As a lead agency for the provision of emergency shelter services and rapid rehousing program to individuals and/or families who are literally homeless, DOH administers Connecticut's Emergency Solutions Grant (ESG) Program.

DOH administered ESG funds by providing assistance to eight (8) non-profit organizations. In addition, DOH provided state and other funding to these same providers who are experienced, well established in their communities and provide quality services. The funding received from ESG enabled the organizations to provide shelter beds, case management services and rapid re-housing services to literally homeless persons, prevention of homelessness and the Homeless Management Information System (HMIS) to track all ESG data.

The pool of federal and state dollars, allocated to non-profit agencies was utilized for the provision of temporary shelter for homeless individuals and families. The funds provided operational costs, essential services such as case management, health, education, employment and training as well as HMIS costs and rapid re-housing. Federal ESG funds were targeted and expended as follows:

<u>ESG category</u>	<u>Annual Target % of ESG allocation (\$2,180,513.00)</u>	<u>Annual actual % of ESG allocation (\$2,180,513.00)</u>
Emergency Shelter Operations	40%	38%
HMIS	4%	4%
Rapid Re-Housing	41%	41%
Administration	5%	4%
Homeless Prevention	10%	13%

Through competitive procurement, DOH awarded six (6) ESG funded shelters: three (3) shelters that support families and three (3) shelters for both families and individuals. These shelters used the ESG funds for shelter operations, rapid re-housing and/or prevention services. These shelters were previously established due to the documented need to support homeless individuals and families. In addition to ESG funded shelters, DOH also funded an additional 32 shelters utilizing state and federal funds, which brought the total funded shelters to 37. DOH utilized ESG monies for rapid re-housing for this fiscal year. DOH awarded a non-profit, AIDS Connecticut (ACT), the right to act as the ESG financial assistance fiduciary agency. At a minimum 40% of the annual ESG allocation went to ACT to administer financial assistance requested by housing relocation and stabilization agencies. DOH leveraged existing resources to provide Housing Relocation and Stabilization Services to ensure that literally homeless households would have a better chance of remaining stably housed. Through competitive procurement, eight (8) agencies were awarded assistance to aid our homeless population with housing relocation and up to 12 months of stabilization services and in-home case management. These eight agencies receive referrals for services and financial assistance through their local Coordinated Access Network (CAN).

DOH regularly consulted with the Connecticut Coalition to End Homelessness, Inc. for the provision of technical assistance, programmatic training needs, program development service model recommendations and program evaluation.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs and rapid rehousing programs. DOH is able to meet its goal of

providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers to utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (*Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2019).*)

DOH allocated Federal and State funds for a combined total of \$39,526,557 for the provision of housing assistance and supportive services to homeless people.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

## **2. Formula Program Accomplishments**

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

Permanent Supportive Housing was strongly supported in Connecticut. In January 2002, a Memorandum of Understanding (MOU) was entered into by several state agencies including the Office of Policy and Management (OPM), DOH, the Department of Mental Health and Addiction Services (DMHAS), the Department of Social Services (DSS), the Connecticut Housing Finance Authority (CHFA) and the Corporation for Supportive Housing (CSH). This MOU supported the statewide PILOTS Supportive Housing Initiative and the purpose was to increase service-supported, affordable housing for people with mental illness or substance abuse problems who face homelessness. DOH devoted both Section 8 and State Rental Assistance to project-based programs developed as part of this initiative. DOH actively participated in the Balance of State Continuum of Care Steering Committee and HMIS Steering Committee Meetings. DOH was also a member of the Reaching Home Steering Committee.

Since 2002, Connecticut has created over 3000 units of permanent housing and has leveraged over \$200 million in state general and bond funds for these units.

### **Homeless Prevention & Supportive Housing**

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

#### **Objective 1:**

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.



- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

**Objective 2:**

Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.

## **VI. HOPWA Program Requirements**

### **A. HOPWA Executive Summary FY 2018-19**

This is the State of Connecticut's 2018 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/30/2019
2. Grantee Name: Department of Housing
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of Housing Opportunities for Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which serves the Middlesex and New London Counties.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of \$4,282,489 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In FY 2018-19, the Department of Housing received \$246,668 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2018 to June 30, 2019. It is a "balance of state" program that served 24 unduplicated persons with HIV/AIDS and their families through agreements between DOH and 2 non-profit organizations located in Middlesex and New London Counties.

#### **6. Formula Program Accomplishments**

DOH and ACT carried out the following activities during the FY 2018-19:

- DOH awarded contracts starting July 1, 2018 through a competitive procurement process for FY 2017 through 2019. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 13 households. Twenty-four (24) unduplicated individuals received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 10 households obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA funds.
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

## 7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

### a. Columbus House, Inc. (10 HH – 10 individuals)

Cynthia Fox, Executive Director  
 586 Ella Grasso Blvd.  
 New Haven, CT 06516  
[CFox@columbushouse.org](mailto:CFox@columbushouse.org)  
 203-401-4400  
 TOTAL HOPWA \$169,462

### Alliance for Living (3 HH – 14 individuals)

Kelly Thompson  
 154 Broad Street  
 New London, CT 06320  
[www.allianceforliving.org](http://www.allianceforliving.org)  
 TOTAL HOPWA \$66,151

Is the contractor: ALL OF THE ABOVE

- a non-profit organization? – Yes
- a faith-based organization? - No

DOH allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

### b. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff

reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

## **B. Formula Program Accomplishments**

### **Other Special Needs**

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

### ***Persons with HIV/AIDS and their Families***

#### **Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 20 individuals and/or families in Middlesex and New London counties. The goal was exceeded and 24 individuals and families benefited from TBRA dollars.
- Through existing State resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

#### **Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into "balance of state" – Litchfield, Tolland, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced

Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act.

- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

**Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DOH is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

*\*\*Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted Housing Innovations (HI) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DOH staff coordinates rent subsidy contract monitoring with HI services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Housing, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

**C. Consolidated Annual Performance and Evaluation Report - See Appendix J.**

## **VII. Citizen Participation**

### **A. Summary**

The State of Connecticut 2018 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2015 - 2019 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DOH solicited public input on the draft 2018 CAPER during a 15 day Public Comment Period from September 12, 2019 through September 27, 2019. Notification for the public commentary period was published in three newspapers on September 12, 2019 including one in Spanish. The legal notice as well as a copy of the draft 2018 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's regional Councils of Government. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

### **B. Comments Received**

As indicated above, the State of Connecticut sought written public comment during the period September 12, 2019 through September 27, 2019.

One set of comments were received during the period, and are attached. No response necessary as documented.



September 27, 2019

Michael Santoro  
Department of Housing  
505 Hudson Street  
Hartford, CT 06106-7107

By email to: [CT.Housing.Plans@ct.gov](mailto:CT.Housing.Plans@ct.gov), [Michael.Santoro@ct.gov](mailto:Michael.Santoro@ct.gov)

Dear Mr. Santoro:

Open Communities Alliance (OCA) thanks you for this opportunity to comment on the State's Consolidated Annual Performance and Evaluation Report (CAPER) for the 2018 Program Year. Our PY2018 comments are focused on two areas, as set forth below.

**In Discussing the State's Goals Concerning Affordable Housing and Housing Choice the CAPER does not address Exclusionary Zoning**

The CAPER emphasizes the State's focus on increasing the supply of affordable housing and ensuring that all people, including low and moderate income people, actually have choice in housing that is affordable and suitable. See CAPER at 6, 30-32. The CAPER appropriately states as the "Goal" for addressing barriers to affordable housing that "The state will reduce or eliminate the impact of barriers at the state, local and regional level in order to promote fair housing choice in Connecticut." Id. at 30.

What is notably missing from the discussion of how to achieve these laudable goals is what steps the State will take to address exclusionary zoning in Connecticut. The zoning power is a State power that is delegated to towns under statutorily defined terms and conditions and must be exercised in accordance with applicable principles of state and federal law. See General Statutes § 8-2; Builders Serv. Corp. v. Planning & Zoning Comm'n of Town of E. Hampton, 208 Conn. 267 (1988); Huntington Branch, N.A.A.C.P. v. Town of Huntington, 844 F.2d 926 (2d Cir.1988).

The CAPER states that the State will "[e]nsure State and local planning documents affirmatively further fair housing" and lists several objectives for reducing barriers. CAPER at 6, 30-31. Yet, the CAPER does not provide a discussion of substantial state activities aimed at addressing exclusionary zoning, which contributes significantly to confining non-elderly affordable housing to lower opportunity areas characterized by higher concentrations of poverty, struggling and overburdened school systems and other conditions depriving low income children of the opportunities for success in life enjoyed in higher opportunity suburban areas of our State. See, e.g., State of Connecticut Analysis of Impediments to Fair Housing Choice 2015 at 113-18.

The CAPER does not appear to detail the manner in which it evaluates local planning documents for compliance with AFFH obligations, and particularly in view of such municipalities' zoning policies or practices.

The CAPER lists five objectives in support of the State's goal of reducing barriers to affordable housing, but zoning is not mentioned. CAPER at 30-31. The first objective is that the State will use competitive funding rounds to "[e]ncourage the creation and rehabilitation of affordable housing in a variety of locations." This is a commendable goal, and we appreciate DOH listing it prominently. However, we are concerned that if town zoning practices continue to impede affordable housing development (and if funding applicants are required to have zoning approval), this objective will not produce meaningful progress toward the State's goal, unnecessarily constraining fulfillment of AFFH obligations.

Where zoning is addressed in the CAPER, the relevant discussion appears to be limited to narrow contexts that do not involve substantially increasing access to opportunity for low income families – for example, encouraging mixed-use and/or transit-oriented development in areas of "need" (which as we understand it generally refers to lower opportunity areas that currently serve low and moderate income households), CAPER at 29, or DOH's review of zoning ordinances in one or two towns that received SC-CDBG funds, *id.* at 40.

The CAPER (as well as the Consolidated Plan and annual Action Plans) should explain how the State will address zoning barriers to housing choice and access to affordable housing.

### **DOH's Planned Revamping of the Mobility Counseling Program is a Promising Step toward Promoting Mobility and Access to Affordable Housing**

The CAPER notes in several places that state programs promoting mobility – in particular, the State's mobility counseling program – are important tools for increasing opportunities for housing choice for low and moderate income families in Connecticut. *See* CAPER at 6, 9, 31. As one of the State's key objectives aimed at reducing barriers to accessing affordable housing of choice for families, the CAPER reports that the state will "[m]aximize effectiveness of mobility programs" and thus will "[w]ork with mobility counselors under contract to improve processes." *Id.* at 31.

The stated goal is certainly on-point but is far from being a reality – much remains to be done to maximize the effectiveness of state mobility programs. One example where significant gains must still be made is in achieving the intended outcomes of the state's mobility counseling program, which the CAPER describes as "[p]rovid[ing] increased opportunities for mobility," which will be indicated by the "[n]umber of clients successfully moved to an improved opportunity area." *Id.* at 31-32; *see also id.* at 9.

OCA has previously provided extensive input to DOH concerning dramatic (but correctible) under-performance in the existing mobility counseling program. We are grateful to DOH for hearing us out, and we applaud DOH's decision to revamp this program with the goal that the



program will eventually deliver the outcome – mobility to higher opportunity areas for low income voucher holders and RAP recipients – that was intended. We are hopeful that the results of a re-booted program will enable DOH to report meaningful progress in next year’s CAPER and the in years to follow.

For further information, please see our comments on the draft 2015-19 Consolidated Plan.

Thank you for this opportunity to comment on the CAPER for PY2018. Please contact me if you have any questions.

Sincerely,



Peter M. Haberlandt  
Senior Legal Counsel  
[phaberlandt@ctoca.org](mailto:phaberlandt@ctoca.org)  
(860) 610-6040 x 3513

## **VIII. Appendix**

- A. Legal Notice
- B. Newspaper Publication List
- C. HOME – Annual Performance Report, HUD Form 40107
- D. CDBG/SC - PR 28 CDBG Financial Summary (Performance and Evaluation Report)
- E. CDBG/SC - Contract and Subcontract Activity, HUD Form 2516
- F. ESG Program: Consolidated Annual Performance and Evaluation Report
- G. HOPWA Program: Consolidated Annual Performance and Evaluation Report

**Appendix A**  
**Legal Notice**  
**Notice of Public Comment Period**  
**State of Connecticut**  
**Consolidated Annual Performance and Evaluation Report**  
**for the 2018-2019 Program Year**

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Performance and Evaluation Report (PER) for the 2018-2019 Program Year. This report contains detailed information on the five federal formula grant programs governed by the State's 2015-2019 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), and National Housing Trust Fund (NHTF). Funding for the 2018-2019 Program Year for the five programs represents \$28,248,301. All of these programs were administered by the Department of Housing.

The PER is available for review and public comment from September 12, 2019 through September 27, 2019. A copy of the PER and related documents are available on the Department of Housing's web site, <http://www.ct.gov/doh/site/default.asp> or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the PER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7107 or [CT.Housing.Plans@ct.gov](mailto:CT.Housing.Plans@ct.gov) thru September 27, 2019. All comments received will be included in the final version of the CAPER.

Department of Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, at (860) 270-8000.

Publication Date: September 12, 2019

**Appendix B  
Newspaper Publication**

**Hartford Courant  
285 Broad Street  
Hartford, CT 06115  
Distribution: All Counties in Connecticut**

**La Voz Hispana Connecticut  
51 Elm Street, Suite 307  
New Haven, CT 06510  
Distribution: Hartford, Bridgeport, New Haven, Waterbury, Stamford  
Norwalk, Meriden, New Britain, Danbury**

**Connecticut Post  
410 State Street  
Bridgeport, CT 06604  
Distribution: All Counties in Connecticut**

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting	Ending	

## Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
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## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount					
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Connecticut  
Performance and Evaluation Report  
For Grant Year 2018  
As of 08/12/2019  
Grant Number B18DC090001

DATE: 08-12-19  
TIME: 14:29  
PAGE: 1

**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$13,247,397.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$13,247,397.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$800,000.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$800,000.00
12)	Set aside for State Administration	\$0.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$0.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	

IDIS - PR28

U.S. Department of Housing and Urban Development  
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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$0.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$64,235.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$64,235.00



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**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$13,247,397.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$13,247,397.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$6,000.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$6,000.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$13,247,397.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$13,247,397.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.05%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$6,000.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$13,247,397.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.05%

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
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Performance and Evaluation Report  
For Grant Year 2018  
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**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2016 — 2018

64) Final PER for compliance with the overall benefit test: [94.2% ]

No data returned for this view. This might be because the applied filter excludes all data.

Public reporting burden for this collection of information is estimated to average .50 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality.

Privacy Act Notice - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information in this form by virtue of Title 12, United States Code, Section 1701 et seq. and regulations promulgated thereunder at Title 12, Code of Federal Regulations. It will not be disclosed or released outside the United States Department of Housing and Urban Development without your consent, except as permitted by law.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing		Check if PHA IHA		2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106								
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184		4. Reporting Period Oct. 1 - Sept. 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a 6. Date Submitted to Field Office						
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								7i. Name	Street	City	State	Zip Code
Ansonia SC1600201								Lisa Low & Associates				
AN16-051	\$21,522.00	1	1	2	46-1473941	No		Leake Builders LLC	25 O'Neill Road	Oxford	CT	
	\$100.00	1	1	2		No	26-1306130	M&D Plumbing & Heating	301 Clough Road	Waterbury	CT	06010
	\$958.00	1	1	2		No	56-258589	JT Electric	54 Academy Hill Road	Derby	CT	06779
AN16-054	\$19,940.00	1	1	2	06-50308	Yes		A Preferred	604 North Ave	Bridgeport	CT	
	1,450.00							Teddy B Electric	PO Box 1934/120 Middle Street	Bridgeport	CT	06602
AN16-055	\$38,550.00	1	1	2	32-1049472	No		RN Contractor	1 Balmoral drive	Watertown	CT	
	\$1,600.00	1	1	2		No	06-1545553	Jorge Corriera	74 Watertown Road	Middlebury	CT	06762
AN16-057	\$5,300.00	1	1	2	32-1049472	No		RN Contractor	1 Balmoral drive	Watertown	CT	
AN16-058	\$24,050.00	1	1	2	32-1049472	No		RN Contractor	1 Balmoral drive	Watertown	CT	
	\$2,200.00	1	1	2		No	06-1545553	Jorge Corriera	74 Watertown Road	Middlebury	CT	06762
AN16-053	\$27,450.00	1	1	2	32-1049472	No		RN Contractor	1 Balmoral drive	Watertown	CT	
	\$1,700.00	1	1	2		No	06-1545553	Jorge Corriera	74 Watertown Road	Middlebury	CT	06762
	\$5,500.00	1	1	2		No	06-1552875	Tony Pereira	343 Porter Avenue	Middlebury	CT	
AN 16-059	\$12,250.00	1	1	2	650308	Yes		A Preferred	604 North Ave	Bridgeport	CT	
AN 16-060	\$29,950.00	1	1	2	32-1049472	No		RN Contractor	1 Balmoral drive	Watertown	CT	
AN 16-061	\$36,300.00	1	1	2	500560	No		Goulart Construction	15 LoriLynn Circle	Newtown	CT	
	\$17,000.00	1	1	2		No	61431201	Brian Cole	42 Cedar Grove	Woodbury	CT	
AN 16-062	\$10,110.00	1	1	2	06-1606641	Yes		V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	
	\$1,000.00							Pedro Depiva	PO Box 99	South Britain	CT	
AN 16-043	\$7,475.00	1	1	2	650308	Yes		A Preferred	604 North Ave	Bridgeport	CT	
Ashford SC1600301								Community Consulting				
Food Program	\$12,515.71	3	1	2	06-0606469	No		Bozzuto's Inc.	275 Schoolhouse Rd	Cheshire	CT	06410
Admin	\$1,820.94	3	1	2	048-46-9754	No		Community Consulting	16 Washburn St	Willimantic	CT	06226
Ashford PI								Community Consulting				

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								7i. Name	Street	City	State	Zip Code
2019-01	\$24,670.00	1	1	1	06-1549227	No		RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$600.00	1	1	2	048-38-8101	No		CT Lead paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033
Beacon Falls PI	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Bethel Program Income	NA											
Bethlehem Program Income	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Brookfield SC1701801	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Brookfield PI												
Cheshire SC1602501												
16-013	\$5,800.00	1	1	2	06-50308	No		A-Preferred Construction	604 North Avenue	Bridgeport	CT	
16-028	\$28,100.00	1	1	2	06-12994	No		American General Building Services	PO Box 155	Old Mystic	CT	06451
	\$400.00							Emkat Plumbing	95 Denison Hill Road	North Stonington	CT	
16-005	\$1,500.00						06-1297817	OJ Main Electric Services	1484 Highland Ave	Cheshire	CT	
	\$800.00						56-2324313	M&M Environmental	248 Cookshill Road	Wallingford	CT	06708
	\$850.00						56-2587589	JT Electric	59 Academy Hill Rd	Derby	CT	06418
16-001	\$29,150.00	1	1	2	06-1606641	No		V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
	\$300.00						06-1606948	AVT Construction Inc	558 Hanover Street	Meriden	CT	06451
	\$1,950.00						06-1243864	Depavia Electric	PO Box 99	South Britain	CT	06487
16-005	\$14,520.00	1	1	2	046-589895	No		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$2,485.00						26-1306130	H&D Plumbing	31 Clough Road	Wayterbury	CT	06708
	\$850.00						56-2587589	JT Electric	59 Academy Hill Rd	Derby	CT	06418
16-007	\$21,697.00	1	1	2	046-589895	No		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$610.00						26-1306130	H&D Plumbing	31 Clough Road	Wayterbury	CT	06708
	\$880.00						56-2587589	JT Electric	59 Academy Hill Rd	Derby	CT	06418
16-017	\$9,162.00	1	1	2	06-1556852	No		JP Dunn Heating & Cooling	701 Northfield Rd	Northfield	CT	06778
16-02	\$1,750.00	1	1	2	611706	No		Walsh Family Contracting	6 Mouthrop street	Ansonia	CT	06401

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								Street	City	State	Zip Code	
	\$1,365.00	1	1	2	06-1180153	No		Robert Green Associates LLC	6 Old Waterbury Rd	Terryville	CT	06786
	\$6,640.00	1	1	2	46-5310837	No		Gilbertco Lead Inspection	Main Street	Ansonia	CT	06401
	\$8,100.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$44,500.00	1	1	2	81-0810386	No		Lisa Low & Associates	293 Riggs street	Oxford	CT	
16-009	\$14,800.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$3,000.00							06-1552875	Tony Perreiro	343 Porter Avenue	Middlebury	CT
	\$1,300.00							06-1545553	Jorge Correia	74 Watertown Rd	Middlebury	CT
16-10	\$21,490.00	1	1	2	46-1473941	No		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$2,550.00	1	1	1		No	56-2587589	JT Electric	59 Academy Hill Rd	Derby	CT	
16-11	\$28,000.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$6,000.00							06-1552875	Tony Perreiro	343 Porter Avenue	Middlebury	CT
	\$1,300.00							06-1545553	Jorge Correia	74 Watertown Rd	Middlebury	CT
16-12	\$2,700.00				500560			Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
	\$550.00							010-68-8399	Cutruna Electric	8 Scrabble Rd.	Sherman	CT
16-016	\$13,650.00	1	1	2	46-1473941	No		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$485.00	1	1	1		No	56-2587589	JT Electric	59 Academy Hill Rd	Derby	CT	
16-17R	\$6,262.00	1	1	1	623435	No		Monterey Construction	3 Springside	Danbury	CT	
	\$535.00				46-2201264	No		SP Electric	343 South Street	Plymouth	CT	
16-19	\$9,100.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
16-23	\$16,400.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$650.00							06-1545553	Jorge Correia	74 Watertown Rd	Middlebury	CT
16-26	\$12,450.00	1	1	2	82-649472	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$1,200.00							06-1552875	Tony Perreiro	343 Porter Avenue	Middlebury	CT
	\$1,000.00							06-1545553	Jorge Correia	74 Watertown Rd	Middlebury	CT
16-25	\$8,900.00				27-2040326			Team Sewer & Water	114 Middlebury Rd	Watertown	CT	
	\$22,000.00	1	1	1	81-0810386	No		Lisa Low Associates	293 Riggs Street	Ansonia	CT	06401
CH 18-029	\$27,488.00	1	1	2	643409	No		Leake Builders	25 O'Neil Road	Oxford	CT	06478
CH 18-025	\$8,600.00	1	1	2	500560	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
CH 18-031	\$19,550.00	1	1	2	500560	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
CH 18-032	\$21,395.00	1	1	2	500560	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
CH 18-033	\$15,325.00	1	1	2	581716	No		EA Martino Excavating	73 Pent Hwy	Wallingford	CT	06492

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Oct. 1 - Sept. 30 (Annual-FY)

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All	\$6,000.00	3	1	1	81-0810386	No		Lisa Low Associates	293 Riggs Street	Oxford	CT	06478
Clinton PI	NA							L. Wagner & Associates				
Colchester SC1602801								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Dublin Village ADA	\$110,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Dublin Village ADA	\$759,500.00	1	1	2	75-2988693	No		DEF Services Group	1171 Voluntown Road	Griswold	CT	06351
Dublin Village ADA	\$24,897.50	1	1	2		No	81-1648513	Abcon Abatement & Demolition	392 Quinpiac Ave	New Haven	CT	06513
Dublin Village ADA	\$86,165.00	1	1	2		No	06-1004500	Sullivan Paving Co. Inc,	PO BOX 337	Ivoryton	CT	06442
Coventry Program Income												
	\$31,317.51	3	1	2	48-46-9754	NO		Community Consulting	16 Washburn St	Willimantic	CT	06226
2018-01	\$11,818.00	1	1	2	03-0431185	No		Civil Solutions	115 Gottier Road	Tolland	CT	06084
2018-02	\$30,000.00	1	1	2	43-64-7939	no		Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$7,350.00						043-78-5705	Jordan Herrick	23 Greystone Street	Willimantic	CT	06226
	\$3,300.00						040-76-8435	Eric Neborsky	66 Spring Hill Road	Storrs	CT	06268
	\$1,000.00						044-58-1209	Laurie Jarvis	242 South Windham Road	Willimantic	CT	06226
	\$600.00	1	1	2	048-38-8101	no		CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
2018-03	\$10,772.04	1	1	2	20-3385760	no		John Pelletier Construction	322 Wrights Mill Road	Coventry	CT	06238
	\$17,580.00	1	1	1	06-1549227	no		RER Associates	75 Lustig Road	Willington	CT	06279
2018-04	\$30,000.00	1	1	2	046-52-5330	NO		Lagace Siding	175 Hop River Road	Coventry	CT	06238
	\$4,585.00						65-1182141	Eagle Construction	187 North Adams Road	Coventry	CT	06238
	\$850.00						46-5059305	DRC Electric	656 Phoenixville Road	Chaplin	CT	06238
	\$650.00						48-34-5029	Chamberlin Plumbing	12 Russmar Trail	Columbia	ct	06237
2019-01	\$625.00	1	1	2	48-38-8101	no		CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$30,000.00	1	1	2	46-52-5330	NO		Lagace Siding	175 Hop River Road	Coventry	ct	06238
Coventry SC1703202												
	\$58,500.00	3	1	2	48-46-9754	no		Community Consulting	16 Washburn St	Willimantic	CT	06226
Crumbling Foundations Testing	\$191,500.00	1	1	2	46-3891297	no		Newcity Builders	24 Mason Lane	Somers	CT	06071

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Derby SC1403701								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
037-62	\$487,348.35	1	1	2	20-2463492	No		American Integrity Restoration	60 Village Place	Glastonbury	CT	06033
	\$1,100.00	1	1	2		No	06-1451093	Eagle Environmental	8 South Main Street	Terryville	CT	06786
	\$2,750.00	1	1	2		No	04-015-2023	J&LSiding	70 Neanda Street	New Britain	CT	06053
	\$9,600.00	1	1	2		No	27-1985886	Climate Care LLC	PO Box 2163	Norwalk	CT	06852
	\$900.00	1	1	2		No	20-2408974	US Insulation	1897 Berlin Turnpike	Berlin	CT	06037
	\$635.00	1	1	2		No	81-1560291	Twins Home Improvement	16 Amity Street	Hartford	CT	06106
037-67	\$50,122.00	1	1	2	56-232-4313	No		MGM Environmental Solutions LLC	248 Cook Hill Road	Wallingford	CT	06492
	\$3,933.00	3	1	2	82-3694168	No		A&E Services Group, LLC	145 Brooks Hill Raod	Wolcott	CT	06716
142 Bradley Terrace	\$13,700.00	1	1	2	041-64-3494	No		R&R Roofing & Siding	187 Guinea Road	Monroe	CT	06468
142 Bradley Terrace	\$16,500.00	1	1	2	80-0832878	No		PHS Mechanical, LLC	34 Hodge Avenue	Ansonia	CT	06401

Durham SC1703801

	\$102,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$33,287.00	1	1	2		No	06-1032855	J.H. LaPierre Jr & Sons, LLC	445 Spring Street	Windsor Locks	CT	06096
	\$314,058.62	1	1	2	06-1057763	No		A&J Construction	150 Laurel Hill Road	Brookfield	CT	06804
Heat Pumps	\$280,221.00	1	1	2	06-0893519	No		Barry Associates, Inc	17 Halls Mill Road	Preston	CT	06365
Durham SC1603801								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$97,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$595,834.08	1	1	2	06-1430560	No		Total Interiors, LLC	2290 Foxon Road	North Branford	CT	06471
	\$136,950.00	1	1	2		No	45-2903503	Verrillo Contracting, LLC	PO Box 1687	Manchester	CT	06040
	\$55,274.00	1	1	2		No	06-1524489	Dyna Electric, Inc.	PO Box 1687	Manchester	CT	06040
	\$16,690.00	1	1	2		No	05-0390251	Anchor Insulation Co. Inc.	435 Narragansett Park Drive	Pawtucket	RI	02861
	\$19,250.00	1	1	2		No	55-0795422	Geo Plumbing & Heating LLC	119 Samson Rock Road	Madison	CT	06443

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Durham SC1703801												
Mauro Meadows	\$102,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Blvd	Waterbury	CT	06708
	\$197,787.31	1	1	2	06-0893519	No		Barry Assocaites inc.	17 Halls Mill Road	Preston	CT	06365
	\$314,058.62	1	1	2	06-1057763	No		A7J Construction	150 Laurel Hill Road	Brookfield	CT	06804
	\$33,287.00	1	1	2		No	06-1032855	J.H. LaPierre Jr. & Sons LLC	445 Spring Street	Windsor Locks	CT	06096
East Granby SC1604001								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Metacomet Village	\$100,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$322,201,020.00	1	1	2	46-3410894	No		Nunes Connecticut, Inc	75 West dudley Town Road	Bloomfield	CT	06002
	\$178,404.00	1	1	2	06-1606948	No		AVT Construction, Inc.	558 Hanover Street	meriden	CT	
	\$109,126.00	1	1	2	06-1501129	No		Warren Brothers, LLC	342 Monson road	Stafford Springs	CT	06076
	\$29,658.00	1	1	2	06-1264282	No		Electrical Energy Systems Corporation	215 Captain Lewis Drive	Southington	CT	
East Hampton PI 241018	NA							L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
East Haven PI 941-773-2769												
Admin	\$10,570.50	3	1	2	82-3694168	NO		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
	\$1,602.00	3	1	2	82-3694168	NO		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
26 Navaro Road	\$4,700.00	1	1	2	06-1606641	no		V. Nanfito Roofing and Siding, Inc.	558 Hanover Street	Meriden	CT	06454
64 Rose Street	\$6,650.00	1	1	2	06-0723638	no		Russo Roofing, Inc.	355 Morse Street	Hamden	CT	06517
243 Coe Avenue	\$9,480.00	1	1	2	26-3441330	no		Villwell Builders, LLC	2417 East Main Street	Waterbury	CT	06705
64 Mill Street	\$11,090.00	1	2	2	82-4298022	no		Bay Restoration, LLC	215 Research Drive	Milford	ct	06460
218 Foxon Blvd.	\$8,222.00	1	1	2	06-0893519	no		Barry Associates	17 Halls Mill Road	Preston	CT	06365
East Windsor PI#814-63156	NA											
East Windsor SC1604701								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Park Hill	\$94,500.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Park Hill	544425.44	1	1	2	75-2988693	No		DEF Services Group	1171 Voluntown Road	Griswold	CT	06351
	\$2,500.00	1	1	2		No	03-616592	ENCO Environmental Contracting & Dem	70 Wst Liberty Street	Waterbury	CT	
Ellington Program Income	NA							Peter Sanborn				



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<b>Ellington SC1704801</b>												
Lead Paint inspection Services	\$18,000.00	3	3	1	06-1501353	No		EnviroPlan, LLC	27 trotwood Drive	West Hartford	CT	06117
Grant Mgmt Services	\$82,500.00	3	1	2	04-2674871	No		Community Opportunities Group	129 Kingston Street. 3rd Floor	Boston	MA	02111
<b>Enfield - Program Income</b>												
90 Heron Road	\$8,950.00	1	1	2	06-1250617	No		Barnett Construction	127 Spencer Street	Suffield	CT	06078
34 Powder Ridge Road	\$2,350.00	1	1	2	40-403604	no		Donald R. Levitt	165 Irene Drive	Vernon	CT	06066
	\$250.00	1	1	2	06-1631337	no		Russell Electrical	40 Conlin Drive	Enfield	CT	06082
90 Heron Road	\$375.00	1	1	2	06-1250617	No		Barnett Construction	127 Spencer Street	Suffield	CT	06078
28 Windsor Street	\$10,990.00	1	1	2	06-0860525	No		Hazardville Roofing Company	4 Redwing Road	Enfield	CT	06082
143 Pearl Street	\$1,670.00	1	1	2	37-1712062	no		Joe the Plumber	524 Sullivan Ave., Suite B	South Windsor	CT	06074
10 Enfield Avenue	\$15,550.00	1	1	2	06-1508715	No		TrimOut, LLC	39 Bread and Milk Street	Coventry	CT	06238
14 Leonard Road	\$16,930.00	1	1	2	42-1758371	no		Scholar Painting, LLC	2 Klarides Village Drive	Seymour	CT	06483
	\$360.00	1	1	2	06-1631337	NO		Russell Electrical	40 Conlin Drive	Enfield	CT	06082
<b>Granby SC1705601</b>												
Stony Hill Village	\$122,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$467,032.31	1	1	2	20-5292734	No		DPM Contracting LLC	61 Railroad Avenue	Southington	CT	06487
	\$16,000.00	1	1	2		No	46-3594224	Fred's Plumbing LLC	43 Gardner Street, Suite B	Manchester	CT	06487
	\$12,500.00	1	1	2		No	82-1053876	Groman Electric, LLC	61 Railroad Avenue	Southington	CT	
	\$85,000.00	1	1	2		No	47-4020808	All Home Contracting & Profesional Servi	39 Fern Hollow Drive	Granby	CT	06035
	\$255,784.00	1	1	2	06-1466584			B&W Paving and Landscaping LLC	70 Foster Road	Waterford	CT	06385
Granby PI	NA											
<b>Groton SC1705901</b>												
	\$995,009.00	1	1	2	75-2988693	Yes		DEF Services Inc.	1171 Voulntown Rd.	Griswold	CT	06351
Roofing	\$114,470.00	1	1	2	06-0971347	Yes		Pawcatuck Roofing Company, Inc	20 South Anguilla Road, Box 9E	Pawcatuck	CT	06379

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing		Check if PHA IHA		2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106											
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184		4. Reporting Period Oct. 1 - Sept. 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a 6. Date Submitted to Field Office									
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								Name	Street	City	State	Zip Code			
Windows	\$34,365.00	1	1	2				K&C Industries	3 Kenwood Circle	Franklin	CT	02038			
Windows Installation	\$26,349.00	1	1	1				Nutmeg Building and Remodeling, LLC	4 blacksmith Drive	Ledyard	CT	06339			
Guilford SC1806001															
18-001	\$18,050.00	1	1	1	05-70192	No		V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT				
18-004	\$30,000.00	1	1	1	05-70192	No		V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT				
	\$14,800.00						06-29680	MJ services	30 Judd Road	Terryville	CT	06786			
	\$497.00							Facility Support Services, LLC	2685 State Street	Hamden	CT	06517			
18-005	\$20,300.00	1	1	2	06-12994	No		American General Building Services,	PO Box 155	Old Mystic	CT				
	\$4,500.00						06-41290	Ryan Masonery	330 Minortown Road	Woodbury	CT				
	\$1,200.00						E1-0200424	Dovolani Electric	86 Luarelwood Lane	Southbury	CT				
18-015	\$14,428.00	1	1	2	06-7557940	No		JPW Building	1 Knollwood Drive, Suite 5	Cabterbury	CT				
	\$1,800.00						81-1685876	Soucier Electric	56 Oak Drive	Plainfield	CT	06374			
	\$12,000.00	3	1	1	81-0810386	No		Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478			
	\$1,050.00	3	1	1	06-1180153	No		Robert Greene associates LLC	6 Old Waterbury Road	Terryville	CT				
	\$5,545.00	3	1	1	46-5310837	No		Gilbertco Lead Inspection Inc.	287 Main Street	Ansonia	CT				
Haddam Program Income															
		NA													
Hampton PI															
		NA													
Hampton SC1606301															
	322	\$9,825.00	1	1	2	06-1549227	No	RER Associates	75 Lustig Road	Willington	CT	06279			
	321	\$18,550.00	1	1	2	06-1508715	No	TrimOut	39 Bread and Milk Street	Coventry	CT	06238			
	317	\$29,750.00	1	1	1	06-1508715	No	TrimOut	39 Bread and Milk Street	Coventry	CT	06238			
	327	\$17,200.00	1	1	2	49303037	No	Richard Garrison	577 Boston Post Road	North Windham	CT	06256			
Hebron SC1706701															
		\$524,195.00	1	1	2	05-73910	No	The Total Group	435 Foxon Road	North Branford	CT	06471			
		\$105,000.00	1	1	2		No	06-1390961	Suchocki & Son	141 Swantown Road	Preston	CT	06365		
		\$13,950.00	1	1	2		No	20-2408974	US Insulation	24 Andover Dr.	West Hartford	CT			
		\$63,900.00	1	1	2		No	51-0577007	Yumbblas Construction	205 Fairmont Avenue	New Haven	CT	06513		

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3b. Phone Number  
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	\$112,500.00	3	1	1	81-0810386	No		Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
<b>Killingly SC1606901</b>												
	\$11,825.00	1	1	2		Yes	039-58-8565	Amazing Reglazing	7 LaForge Drive	Coventry	RI	02816
	\$3,450.00	1	4	2		Yes	37-1661751	JR Floor Installation, LLC	14 Barker Street	HARTFORD	CT	06114
	\$6,500.00	1	1	2		Yes	45-5185330	Shufflet Carpentry, LLC	142 South center Street	Windsor Locks	CT	06096
	\$23,000.00	1	1	2		No	040-34-2612	Fred's Plumbing	42 gardner Street	Manchester	CT	06040
	\$90,000.00	1	1	2		Yes	82-1053876	Groman Electric	61 Railroad Avenue	Southington	CT	06489
	\$115,000.00	1	1	2		No	05-0403077	Phalanx Engineering	110 Byfield Street	Warwick	RI	02888
	\$10,250.00	1	1	2		No	20-4289387	Precision Drywall	67 Cornwall Drive	Windsor Locks	CT	06049
<b>Killingly SC1806901</b>												
	\$36,000.00	3	1	2	47-3046475	No		Fred Marzac Architects, LLC	282 Franklin Street	Norwich	CT	06360
<b>Lebanon SC1707101</b>												
2017-07	\$28,025.00	1	1	2	046-52-5330			Legace Siding, LLC	175 Hop River Road	Coventry	CT	06238
<b>Lebanon PI</b>												
Admin	\$16,044.61	3	1	2	048-46-9754	No		Community Consulting	16 Washburn Street	Willimantic	CT	06226
2018-02	\$6,478.46	1	1	2	26-0867305	No		Brunelli Energy LLC	2 Rachel Drive	Bozrah	CT	06334
2018-03	\$30,000.00	1	1	2	046-52-5330	No		Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$550.00	1	1	2		No	041-76-9191	1st Choice Electrical	215 Long hill Road	Andover	CT	06232
	\$500.00						048-34-5029	Chamberlin H&P	12 Russmar trail	Columbia	CT	06237
	\$425.00						046-60-4371	Currier Painting	10 Lockwood St	Brooklyn	CT	06234
2019-01	\$30,000.00	1	1	2	043-64-7939	No		Barr Construction	242 So. Windham Road	Willimantic		06226
	\$575.00	1	1	2	048-38-8101	No		CT Lead Paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033

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Litchfield Program Income	NA							L. Wagner & Associates	51 Lakeside Boulevard East	Waterbury	CT	06451
Mansfield PI	\$54,425.00	3	1	2	06-0913630	No		Town of Killingly	172 Main Street	Killingly	CT	06239
Monroe SC1708501								Lisa Low & Associates				
17-001	\$19,420.00	1	1	2	06-43409	No		Leake Builders	25 O'Neill Rd	Oxford	CT	06478
17-011	\$29,980.00	1	1	2	560087	No		Titan Enterprises, Inc	540 Main Street	Ansonia	CT	06401
	\$2,880.00							Artie DeFrancisco	99 Thompson Street	Shelton	CT	06484
	\$500.00						0100448-E1	Fred Camp	24 Summit Street	Derby	CT	
	\$2,100.00							CT Asbestos LLC	21 Meriden Ave	Meriden	CT	06451
17-017	\$27,255.00	1	1	2	06-43409	No		Leake Builders LLC	25 O'Neill Road	Oxford	CT	
17-018	\$45,700.00	1	1	2	05-60087	No		Titan Enterprises, Inc	540 Main Street	Ansonia	CT	06401
	\$4,200.00							Artie DeFrancisco	99 Thompson Street	Shelton	CT	06484
	\$800.00						0100448-E1	Fred Camp	24 Summit Street	Derby	CT	
	\$500.00							Creative Contracting Htg. & Cooling		West Haven	CT	
Morris SC1708701												
MR 17-001	\$28,820.00	1	1	2	560087	No		Titan Enterprises Inc.	540 Main Street	Ansonia	CT	06401
	\$300.00						0100448-E1	Fred Camp	24 Summit Street	Derby	CT	
	\$9,000.00						0005736-SM2	Creative Contracting Htg& Cooling		West Haven	CT	
MR 17-002	\$17,100.00	1	1	2	500560	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
MR 17-003	\$2,624.00	1	1	2	650249	No		Carpenty Services	PO Box 55	Morris	CT	
	\$923.12						60910142	Bellmay Ploubing&Htg	44 Benson Road	Morris	CT	06763
	\$826.00						61537747	Eagle Electric	PO Box 475	Washington	CT	06794
MR 17-004	\$26,422.00	1	1	2	643409	No		Leake Builders	25 O'Neil Road	Oxford	CT	
	\$5,482.00						047-86-9613	Lee Berardi	16 Anna Street	Shelton	CT	06484
	\$830.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	06418
Middlebury Program Income								A&E Services Group, LLC	145 Brooks Hill Road	Wolcott	CT	06716

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3b. Phone Number 860-270-8184

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								Name 7i	Street	City	State	Zip Code
081-PI-01	\$20,966.00	1	1	2	46-1473941	No		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$400.00	1	1	2		No	47-809613	Berardi Plumbing	16 Anna Street	Shelton	CT	06484
	\$1,100.00	1	1	2		No	56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	06418
	\$6,500.00	1	1	2		No	06-1466739	Modern Tech Systems	764 Highland Avenue	Waterbury	CT	06708
081-PI-04	\$8,652.18	1	1	2	06-1556852	No		JP Dunn Heating & Cooling, LLC	701 Northfield Road	Northfield	CT	06778
	\$3,082.00	1	1	2	20-8504085	No		Seven Sun Windows, LLC	287 Batterson Drive	New Britain	CT	06053
081-PI-06	\$6,750.00	1	1	2	46-17498513	No		Neighborhood Chimney Service, LLC	3 Lancewood Drive	Wolcott	CT	06716
<b>Middlefield PI</b>												
	\$729.00	3	1	2	82-3694168	NO		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
<b>Naugatuck Program Income</b>												
ADMIN	\$2,835.00	3	1	2	82-3694168	No		A&E Services Group, LLC	145 Brooks Hill Road	Wolcott	CT	06716
5 Springdale Avenue	\$6,260.00	1	1	2	26-3441330	No		Villwell Builders inc.	2714 East Main Street	Waterbury	CT	06705
<b>New Fairfield SC1709101</b>												
17-037	\$24,700.00	1	1	2	05-60087	No		Titan Enterprises	540 Main Street	Ansonia	CT	06401
	\$1,500.00							Artie DeFrancisco	99 Thompson StrEET	Shelton	CT	
	\$4,800.00						0100448-E1	Fred Camp	24 Summit Drive	derby	CT	
17-040	\$27,650.00	1	1	2	05-60087	No		Titan Enterprises	540 Main Street	Ansonia	CT	06401
17-045	\$28,000.00	1	1	2	532394	No		RN Contractor	1 Balmoral Drive	Watertown	CT	
	\$500.00				06-1552875			Tony Perreiro	343 Porter Avenue	Middlebury	CT	
	\$800.00				06-1545553			Jorge Carreira	74 Watertown Road	Middlebury	CT	
<b>New Hartford PI#385003691351</b>												
	NA							L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
<b>Newington PI</b>												
	NA											
84 Connecticut Ave	\$11,600.00	1	1	2	06-117070	No		Campbell Cooling LLC	109 Stamm Road	Newington	CT	06111
30 Greenlawn Avenue	\$18,150.00	1	1	2	06-0893519	No		BARRY Associates	17 Halls Mill Road	Preston	CT	
	\$7,938.00	3	1	2	82-3694168	No		A&E Services Group. LLC	145 Brooks Hill Road	Wolcott	CT	

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								Name	Street	City	State	Zip Code
New Milford PI								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$3,681.00	3	1	2	82-3694168	No		A&E Services Group, LLC	145 Brooks Hill Road	Wolcott	CT	06716
North Canaan Program Income	NA							L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
North Haven SC1710101												
	\$106,500.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$22,775.00	1	1	2		No	06-1194282	Trinity Electric, Inc.	31 Salvatore Drive	North Haven	CT	06473
	\$3,000.00	1	1	2		No	06-1473966	Lavigne's Tree Service, LLC	1219 old Colony Road	Wallingford	CT	
	\$6,375.00	1	1	2		No	06-1433146	Garrity Asphalt Reclaiming, Inc.	22 Peters Road	Bloomfield	CT	06002
	\$122,752.00	1	1	2	06-1559839	No		Northeastern Communication and Electric	896 Bear Hill Road	Middletown	CT	06457
	\$619,851.50	1	1	2	45-3739364	No		William L. Laydon Construction. LLC	150 Universal Drive South	North Haven	CT	06473
North Stonington PI	NA							Lisa Low & Associates				
Oxford PI	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
											CT	06473
Plainville PI 532-07184												
	\$8,235.00	3	1	2	82-3694168	NO		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
46 Washburn Drive	\$6,867.55	1	1	2	06-1050260	No		Springbrook Ice & Fuel	19 Woodland Street	New Britain	CT	06051
	\$8,350.00	1	1	2	47-1148824	No		H. Kulak & Sons, LLC	12 D'Amico Drive	Plainville	CT	06062
11 Alderson Avenue	\$14,111.00	1	1	2	46-1473941	no		Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
129 Broad Street	\$23,014.71	1	1	1	26-0212906	no		County Wide Mechanical Services	31 Deming Road	Berlin	CT	06037
100 Trumbull Avenue	\$14,470.66	1	1	2	26-3441330	no		Villwell Builders, LLC	2714 East Main Street	Waterbury	CT	06705
Pomfret SC1711201												
	\$587,193.00	1	1	2	06-1390750	No		G. Donovan Associates, Inc.	P.O. Box 249	Lebanon	CT	06249-0249
Preston PI	NA							Lisa Low & Associates				

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Prospect PI#9417733681	NA							L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Putnam PI	NA							Lisa Low & Associates			CT	06260
Rocky Hill PI	NA											
Roxbury PI	\$1,260.00	3	1	2	82-3694168	no		A&E Services Group, LLC	145 Brooks Hill Road	Wolcott	CT	06716
Salem PI								Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Seymour SC1612401												
16-035	\$28,630.00	1	1	2	06-43409	No		Leake Builders	25 O'Neil Road	Oxford	CT	06401
	\$859.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	
	\$1,526.00						06-1460804	RCM LLC	121 Mattatuck heights	Waterbury	CT	
Shelton PI	NA							Lisa Low & Associates				
Simsbury SC1712801	\$114,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 lakeside Blvd East	Waterbury	CT	06708
	\$89,973.00	1	1	2	45-2722589	No		Rivco Construction LLC	10 Donna Drive	Burlington	CT	
	\$74,900.00	1	1	2	06-1046634	No		North East Generator of CT	625 John street	Bridgeport	CT	06604
Door Replacement	\$55,800.00	1	1	2	75-2988693	No		D/E/F Services Group, LTD	1171 Voluntown Road	Griswold	CT	06351
Site Improvement	\$297,594.00	1	1	2	06-1534286	No		Forbes Asphalt Maintenance, Inc.	155 Brickyard Road	Farmington	CT	06032
	\$4,000.00	1	1	2		No	06-1433146	Garrity Asphalt Recaliming, Inc.	22 Peters Road	Bloomfield	CT	06002
	\$15,000.00	1	1	2		No	56-2676283	Anthony's Masonry	20 Dayton Road	Naugatuck	CT	06770
	\$2,600.00	1	1	2		No	06-1327139	Eagle Fence & Guardrail	15 Robert Jackson Way	Plainville	CT	06062

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business Yes =1 No = 2 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 Sub- contractor Iden. (ID) 7g.	No. 7h.	Contractor/Subcontractor Name and Address 7i. Name	7j. Street	City	State	Zip Code
Southbury SC1613001								Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
16-020	\$989.00	1	1	2	06-23435	No		Monterrey Construction	3 Springdale Avenue	Danbury	CT	
16-033	\$15,900.00	1	1	2	06-50308	No		A Preferred	604 North Ave	Bridgeport	CT	
16-046	\$9,950.00	1	1	2	06-23435	No		Monterrey Construction	3 Springdale Avenue	Danbury	CT	
	\$1,450.00						46-2201264	SP Electric LLC	343 South Street	Plymouth	CT	
	\$10,800.00						47-1585825	Air CT	3 Birchwood Court	Wolcott	CT	
16-050	\$23,600.00	1	1	2	46-1473941	No		Leake Builders	25 O'Neil Road	Oxford	CT	
	\$3,350.00						26-1306130	HD Plumbing	301 Dough Road	Waterbury	CT	06010
	\$400.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	
16-060	\$18,168.00	1	1	2	46-1473941	No	46-1473941	Leake Builders	25 O'Neil Road	Oxford	CT	06478
	\$1,924.00						26-1306130	HD Plumbing	301 Dough Road	Waterbury	CT	
	\$167.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	
	\$602.00						06-1460804	RCM LLC	71 Naugatuck Drive	Naugatuck	CT	
16-061	\$4,865.00	1	1	2	06-1023690			Goulart Construction	15 LoriLynn Circle	Newtown	CT	
	\$3,150.00						06-124567	Renz Plumbing	40 Topstone Road	Bethel	CT	
	\$390.00						041-42-3210	Cutrona Electric	2 Hardscrabble Road	Sherman	CT	
16-065	\$14,400.00	1	1	2	27-1049662			AGBS	PO Box 155	Old Mystic	CT	
	\$3,600.00							O'Brien Plumbing	85 Hicock Drive	Southbury	CT	
	\$1,000.00							GC Electric Inc.	135 Commerce Court	Cheshire	CT	
	\$700.00							Advanced Plumbing	1013 Bullet Hill Road	Southbury	CT	
	\$20,473.00	1	1	2	46-1473941	No		Leake Builders	25 O'Neil Road	Oxford	CT	
	\$4,395.00						282587	Berardi Plumbing	16 Anna Street	Shelton	CT	
	\$795.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	
16-067	\$17,790.00	1	1	2	06-1023690			Goulart Construction	15 LoriLynn Circle	Newtown	CT	
	\$2,600.00						06-124567	Renz Plumbing	40 Topstone Road	Bethel	CT	
	\$490.00						041-42-3210	Cutrona Electric	2 Hardscrabble Road	Sherman	CT	
16-045	\$8,870.00	1	1	2	06-1538590	No		Titan Enterprises	540 Main Street	Ansonia	CT	
	\$600.00							Fred Camp Electrical		Derby	CT	
	\$56,250.00	3	1	1	81-0810386	No		Lisa Low & Associates	293 Riggs Street	Oxford	CT	



1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency  
Department of Housing

Check if  
PHA  
IHA

2. Location (City, State, Zip Code)  
505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person  
Donald LaPointe

3b. Phone Number  
860-270-8184

4. Reporting Period  
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**Southington SC1713101**

SU 17-025	\$22,983.00	1	1	2	643409	No		Leake Builders	25 O'Neill Road	Oxford	CT	06484
	\$5,002.00						047-86-9613	Lee Berardi	16 Anne Street	Shelton	CT	
	\$814.00						56-2587589	JT Electric	59 Academy Hill Road	Danbury	CT	
SU 17-032	\$37,450.00	1	1	2	82-5345801	Yes		A-Perferred Construction	604 North Avenue	Bridgeport	CT	
	\$1,250.00						203762	Teddy B Electric	PO Box. 1934	Bridgeport	CT	
	\$1,750.00							AllPro Abatement LLC	110 Kent Avenue	Bridgeport	CT	
SU 17-028	\$14,250.00	1	1	2	500560	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
	\$8,500.00							Brian Cole		Middlebury	CT	
SU 17-034	\$9,250.00	1	1	2	532394	No		RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$800.00						06-1545553	Jorge Carreira	74 Watertown Road	Middlebury	CT	06762
SU 17-035	\$10,420.00	1	1	2	560087	No		Titan Enterprises Inc.	540 Main Street	Ansonia	CT	06401
	\$2,100.00							Artie DeFrancisco	111 Thompson Street	Shelton	CT	
	\$220.00							Fred Camp	24 Summit Street	Derby	CT	
SU 17-036	\$19,625.00	1	1	2	643409	No		Leake Builders	25 O'Neill Road	Oxford	CT	06484

**Sprague PI**

NA

A&E Services Group, LLC 145 Brooks Hill Road Wolcott CT

**Sprague SC1713301**

								L. Wagner & Associates	52 Lakeside Boulevard E	Waterbury	CT	06709
River Street Phase II	\$63,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	52 Lakeside Boulevard E	Waterbury	CT	06709
River Street Phase II	\$434,370.00	1	1	2	06-1302300	No		Mattern Construction, Inc.	26M Bushnell Hollow Road	Baltic	CT	06330
River Street Phase II	\$57,958.00	1	1	2		No	74-3043267	Eleuthera Associates, LLC	965 Trumbull Highway	Lebanon	CT	06249
River Street Phase II	\$2,875.00	1	1	2		No	06-1433146	Garrity Asphalt Reclaiming, Inc.	22 Peters Road	Bloomfield	CT	06002
River Street Phase II	\$114,785.00	1	1	2		No	23-2986013	Eastern States Paving, Inc.	3300 Hill Road	Slatington	CT	18080

**Stafford Program Income**

Peter Sanborn

91 West Stafford Road	\$25,084.00	1	1	1	06-1549227	no		RER Associates	75 Lustig Road	Willington	CT	06279
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**Sterling PI**

	\$351.00	3	1	2	82-3694168	No		A&E Services, LLC	145 Brooks Hill Road	Wolcott	CT	06716
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IHA

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3a. Name of Contact Person  
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3b. Phone Number  
860-270-8184

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or HUD Case No.  
other identification of  
property, subdivision,  
dwelling unit, etc.  
7a.

Amount of  
Contract  
or Sub-  
contract  
contract  
7b.

Type of  
Trade  
Code  
(See below)  
7c.

Contractor or  
Subcontractor  
Business Racial/  
Ethnic Code  
(See Below)  
7d.

Woman  
Owned  
Business  
Yes =1  
No = 2  
7e.

Prime Contractor  
Identification (ID)  
Number  
7f.

Sec 3  
Sub-  
contractor  
Iden. (ID)  
7g.

No.  
7h.

Contractor/Subcontractor Name and Address 7i.

Street 7j.

City

State

Zip Code

Thomaston PI

\$3,663.00

3

1

2

82-3694168

No

A&E Services Group LLC.

145 Brooks Hill Road

Waterbury

CT

06708

CT

06716

Thompson Program Income

NA

L. Wagner & Associates

51 Lakeside Boulevard E

Waterbury

CT

06708

Tolland Program Income

2018-02

\$29,910.00

1

1

2

06-1549227

No

RER Associates LLC

75 Lustig Road

Willington

CT

06279

\$275.00

27-0463757

Briggs Electric

16 Savage Road

Preston

CT

06365

\$4,295.00

049-54-6328

M. Morin Carpentry

76 Discovery Road

vernon

CT

06066

\$1,895.65

04-2531608

Coles Door Systems

PO Box 511

frammingham

MA

01704

2018-03

\$16,218.00

1

1

2

03-0431185

No

Civil Solutions LLC

774 Merrow Road

Tolland

CT

06084

2018-04

\$16,335.00

1

1

2

06-1549227

No

RER Associates LLC

75 Lustig Road

Willington

CT

06279

\$1,225.00

27-0463757

Briggs Electric

16 Savage Road

Preston

CT

06365

\$1,080.00

049-54-6328

M. Morin Carpentry

76 Discovery Road

Vernon

CT

06066

\$1,382.00

13-4274565

Arctic Seamless Gutters

37 Adelaide Road

Manchester

CT

06045

Admin

\$15,615.75

3

1

2

048-46-9754

No

Community Consulting

16 Washburn Street

Willimantic

CT

06226

Torrington PI Fund 250

NA

L. Wagner & Associates

51 Lakeside Boulevard E

Waterbury

CT

06708

Torrington SC1814301

\$10,500.00

3

1

1

81-0810386

NO

Lisa Low & Associates

293 Riggs Street

Oxford

CT

06478

TO18-009-R

\$10,269.00

1

1

2

643409

No

Leake Builders, LLC

25 O'Neil Road

Oxford

CT

06478

TO18-019

\$22,941.00

1

1

2

643409

No

Leake Builders, LLC

25 O'Neil Road

Oxford

CT

06478

\$2,392.00

47-80-9613

Lee Berardi

16 Anna Street

Shelton

CT

06484

TO18-022

\$19,660.00

1

1

2

643409

No

Leake Builders, LLC

25 O'Neil Road

Oxford

CT

06478

\$649.00

47-80-9613

Lee Berardi

16 Anna Street

Shelton

CT

06484

\$3,047.00

56-2587589

JT Electric

59 Academy Hill Road

Derby

CT

06418

Vernon PI 01-358-516-6

L. Wagner & Associates

51 Lakeside Boulevard E

Waterbury

CT

06708

164 Phoenix Road

\$24,700.00

1

1

2

462710018

No

LC Mechanical, LLC

15 Bittersweet Drive

East Hartford

CT

06108

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing		Check if PHA IHA	2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106									
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184	4. Reporting Period Oct. 1 - Sept. 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a 6. Date Submitted to Field Office							
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								7i. Name	Street	City	State	Zip Code
138 High Street	\$2,818.28	1	1	2	452581557	No		Alpine Tree Company	182 West Stafford Springs Roa	Stafford Springs	CT	06076
138 High Street	\$7,815.00	1	1	2		No		Precision Mechanical	386 Main Street	Manchester	CT	06040
146-PI-01/11 Webster Street	\$29,162.00	1	1	2	06-1549227	No		RER Associates	75 Lustig Road	Willington	CT	06279
	\$11,331.00	3	1	2	82-3694168	No		A&E Services Group, LLC	145 Brooks Hill Raod	Wolcott	CT	06716
146-PI-03/22 Hammond Street	\$30,000.00	1	1	1	06-1549227	No		RER Associates	75 Lustig Road	Willington	CT	06279
146-PI-05/325 Kelly Rd., Tr.C 16	\$8,475.00	1	1	2	46-5083067	No		CT Roofing Solutions LLC	250 Old Wolcott Road	Bristol	CT	06010
Vernon Crumbling Foundation		N/A										
Voluntown SC1714701												
	\$537,750.00	1	1	2	626311	No		DEF Services Group, LTD	1171 Voulntown Rd.	Griswold	CT	06351
	\$112,500.00	3	1	1	81-0810386	No		Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Wallingford SC1614801												
MCGuire Court	\$69,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
MCGuire Court	\$156,668.00	1	1	2	06-1606948	No		AVT Construction Inc.	558 Hanover Street	Meridern	CT	06451
MCGuire Court	\$231,955.52	1	1	2	80-0366781	No		Kenneth L. Thomas II LLC	207 Mulberry Lane	Orange	CT	06477
MCGuire Court	\$270,846.50	1	1	2	80-0366781	No		Kenneth L. Thomas II LLC	207 Mulberry Lane	Orange	CT	06477
Wallingford SC1714801												
	\$69,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$156,668.00	1	1	2	06-1606948	No		AVT Construction	558 Hanover Street	Meriden	CT	06451
	\$231,955.52	1	1	2	80-0366781	Yes		Kenneth I. Thomas II LLC	207 Mullberry Lane	Orange	CT	06477
	\$270,846.00	1	1	2	80-0366781	Yes		Kenneth I. Thomas II LLC	207 Mullberry Lane	Orange	CT	06477
Waterford Program Income		NA										
Watertown PI												
	\$2,322.00	3	1	2	82-3694168	No		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
	\$2,322.00	3	1	2	82-3694168	No		A&E Services Group	145 Brooks Hill Road	Wolcott	CT	06716
153-PI-01	\$12,963.00	1	1	2	46-1474941	No		Leake Builders	25 O'Neill Road	Oxford	CT	06478

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								Name	Street	City	State	Zip Code	
<b>Wethersfield SC1815901</b>													
	\$90,757.00	3	1	2	48-46-9754	No		Community Consulting	16 Washburn Street	Willimantic	CT	06226	
Harvey Fuller and Highvue Terrace	\$750,986.00	1	1	2	06-0849721	No		Alca Construction Company	55 Airport Road	Hartford	CT	06114	
<b>Wethersfield PSC1715901</b>													
Admin	\$78,220.00	1	1	2	048-46-9754			Community Consulting	16 Washburn Street	Willimantic	CT		
	\$293,862.48	1	1	2	06-1571986			BRD Builders, LLC	2099 Main Street	Hartford	CT	06120	
	\$20,000.00						87-0755712	PJK Electrical, LLC	337 Scarborough Street	Middletown	CT	06457	
	\$5,835.00						81-0690368	Leonard'd Plumbing & Repairs	55 Adelaide Street	Hartford	CT	06114	
	\$16,195.00						47-0985420	Leach Construction	38 Miller Street	New Britain	CT	06053	
Driveway and Walkway Replacement	\$256,215.00	1	1	2	06-1466584	No		B&W Paving & Lanscaping, LLC	70 Foster Road	Waterford	CT	06385	
	\$3,500.00							06-4422181	Clarence Welti Associates, Inc	227 Williams Street	Glastonbury	CT	06033
	\$12,000.00							06-1572281	Advance Resources, LLC	70 Foster Road	Waterford	CT	06385
<b>Wilmington Program Income</b>													
	NA												
<b>Winchester PI</b>													
	NA												
<b>Windham PI</b>													
	\$11,686.88	3	1	2	48-469754	NO		Community Consulting	16 Washburn Street	Willimantic	CT	06226	
2018-02	\$1,382.55	1	1	2	44-549506	No		Affordable Plumbing Repair	PO Box 80	Scotland	CT	06264	
	\$1,020.00	1	1	2	41-769191	no		Lagace Construction	215 Long Hill Road	Andover	CT	06232	
2019-01	\$600.00	1	1	2	48-388101	no		CT Lead Paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033	
	\$19,265.00	1	1	1	06-1549227	no		RER Associates	75 Lustig Road	Wilmington	CT	06279	
	\$1,650.00	1	1	2		no	27-0463757	Briggs Electric	16 Savage Road	Preston	CT	06365	
	\$922.50	1	1	2		no	49-546328	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066	
	\$1,667.00	1	1	2		no	13-4274565	Arctic Seamless Gutters	37 Adelaide Road	Manchester	CT	06040	
	\$2,590.00	1	1	2		no	51-0579513	Dzen Residential Roofing	400 Chapel Road, Unit G	South Windsor	CT	06074	
2019-02	\$580.00	1	1	2	48-388101	no		CT Lead Paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033	
	\$29,800.00	1	1	2	46-525330	no		Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238	

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	\$1,850.00	1	1	2		no	65-1182141	Eagle Construction		187 North Farms Road	Coventry	CT	06238		
	\$850.00	1	1	2		no	46-5059035	DRC Electric		656 Phoenixville Road	Chaplin	CT	06235		
	\$750.00	1	1	2		no	48-345029	Chamberlin Plumbing		12 Russmar Trail	Columbia	CT	06237		
Windham SC1816301	\$108,500.00	3	1	2	48-469754	NO		Community Consulting		16 Washburn O748:S748StreetWillimantic		CT	06226		
Nathan Hale Terrace	\$2,255,154.00	1	1	2	45-2722589	No		Rivco Construction, LLC		10 Donna Drive	Burlington	CT	06013		
Windsor PI								Jim Hallisey							
Wolcott Program Income															
	\$8,577.00	3	1	2	82-3694168	no		A&E Services Group		145 Brooks Hill Road	Wolcott	CT	06716		
166-PI-03	\$13,414.00	1	1	2	42-2753876	NO		Tomasetti Builders & Remodelers, LLC		88 Harwinton Avenue	Terryville	CT	06786		
166-PI-05	\$700.00	1	1	2	06-1128842	NO		Grela Well Drilling		143 Main Street	Terryville	CT	06786		
Woodbridge PI								Lisa Low & Associates		293 Riggs Street	Oxford	ct			
PI/Senior Center Bathroom upgrade	\$196,800.00	1	1	2	05-73910	No		Olympus Construction		Cherry Street	Millford	CT	06460		
		1	1	2		No	02-04739-PI	Rutolo Mechanical Inc.		29 Printers Lane	New Haven	CT			
		1	1	2		No	02-08552-P&	N. Mastracchio & Son LLC		2610 State Street	Hamden	CT			
		1	1	2		No	MCO 0903463	N&S Electric Inc.		120 Allen Street	Stratford	CT	06615		
	\$29,333.00	3	1	1	81-0810386	No		Lisa Low & Associates		293 Riggs Street	Oxford	CT			
Woodbury SC1616801								Lisa Low & Associates		293 Riggs Street	Oxford	CT	06478		
16-004	\$33,800.00	1	4	2	615510	No		Castle Home Restorations		139 Prospect Street	Waterbury	CT	06710		
16-005	\$26,000.00	1	1	2	046-58-9895	No		Leake Builders LLC		25 Onell Rd	Oxford	CT	06478		
	\$200.00					No	26-1306130	M&D Plumbing & Heating		301 Clough Road	Waterbury	CT	06010		
	\$750.00						56-2687589	JT Electric		54 Academy Hill Road	Derby	CT	06779		
	\$600.00						06-1460804	VCM LLC		121 Mattatuck Heights	Waterbury	CT	06705		
16-016	\$29,075.00	1	1	2	06-1023690	No		Goulart Construction		15 Lori Lynne Circle	Newtown	CT	06470		
	\$1,900.00						06-0989022	Jim Renz		10 Topstone Drive	Bethel	CT	06801		
	\$3,500.00						01-0683399	Cutrona Electric		13 Osborn Road	Sherman	CT	06784		
WO 16-004+737:749 H	\$8,500.00	1	1	2	612994	No		AGBS		PO Box 155	Old Mystic	CT	06372		

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								Name	Street	City	State	Zip Code
WO 16-012	\$16,350.00	1	1	2	26-3441330	No		Villwell Builders	2714 East Main Street	Waterbury	CT	06705
WO 16-013	\$29,743.00	1	1	2	609974	No		Leake Builders LLC	25 O'Neil Road	Oxford	CT	06478
	\$1,375.00						26-1306130	M&D Plumbing & Heating	301 Dough Road	Waterbury	CT	
	\$4,150.00						56-2587589	J&T Electric	59 Academy Hill Road	Derby	CT	
	\$7,350.00						06-1460804	DCM LLC	121 Mattatuck Heights	Waterbury	CT	06705
WO 16-17	\$27,695.00	1	1	2	650308	Yes		A Preferred Contracting	604 North Avenue	Bridgeport	CT	
WO 16-019	\$29,027.00	1	1	2	609974	No		Leake Builders LLC	25 O'Neil Road	Oxford	CT	06478
	\$850.00						56-2587589	JT Electric	59 Academy Hill Road	Derby	CT	
WO 16-020-S	\$20,250.00	1	1	2	82-4534657	No		T. Palmer Septic	12 Donna Drive	New Fairfield	CT	
WO 16-020-Demo	\$2,750.00	1	1	2	650308			A-Perferred Contracting	604 North Avenue	Bridgeport	CT	
WO 16-020-Eng	\$5,055.00	1	1	2	06-1390357			Nawakowski O'Bymachow, Kane Associa	415 Howe Avenue	Shelton	CT	06484

Woodstock PI NA

Insert New Rows Here

Totals:	All Projects	\$341,891,466.91	Racial/Ethnic Totals	White	\$341,556,290.14	Hispanic	\$37,250.00
	Women Owned	\$638,737.71		24 Black	\$11,090.00	Asian/Paci	\$0.00
	Prime Contractor Sec 3	\$1,174,624.00		Native	\$18,000.00	Portugues	\$0.00
	Sub Contractor Sec 3	\$0.00					

CPD  
1=Construction  
2=Education Training  
3=Other

To: Type of Trade Codes:  
Housing/Public Housing:  
1=New Construction  
2=Substantial Rehab  
3=Repair  
4=Service  
5=Project Mangt

6=Professional  
7=Tenant Services  
8=Education/Training  
9=Arch/Engng Appraisal  
0=Other

7d: Racial/Ethnic Codes:  
1=White Americans  
2=Black Americans  
3=Native Americans  
4=Hispanic Americans  
5=Asian/Pacific Americans  
6=Portuguese

7=Public/Indian Housing

Number of Contracts	280
Number of Non-Minority Contracts	276
Number of Black Minority	1
Number of Native Minority	1
Number of Hispanic Minority	2
Number of Asian/Pacific Minority	0
Number of Portuguese Minority	0

**Appendix F: ESG allocation - DOH contractors - DOH contracts 7/1/18 - 6/30/19**

	<u>Emergency Shelter- 20687-2060100</u>				<u>Prevention &amp; Rapid Rehousing</u>	<u>Rapid Re-Housing 20687-2060105</u>	<u>Data Collection - HMIS 20687-2060100</u>	<u>Administration 7.5% max 20687-2060101</u>	<u>Total ESG Funding</u>
	<u>Street Outreach</u>	<u>Major Rehab/ Renovation</u>	<u>Essential Services</u>	<u>Shelter operations</u>	<u>Housing relocation and short term rental assistance</u>	<u>housing relocation, stabilization case management, short to long term rental</u>	<u>HMIS costs</u>		
<b>DOH Contractor</b>	Essential Services	Major Rehab/ Renovation	Essential Services	Shelter operations	Housing relocation and short term rental assistance	housing relocation, stabilization case management, short to long term rental	HMIS costs		
Prudence Crandall - New Britain (DV-No HMIS entry)				\$23,563.00				\$1,899.00	\$25,462.00
Community Renewal Team - East Hartford Shelter				\$355,245.00				\$28,642.00	\$383,887.00
Operation Hope of Fairfield				\$160,225.00				\$12,918.00	\$173,143.00
Columbus House - Middletown family shelter				\$226,200.00				\$18,237.00	\$244,437.00
TVCCA - Norwich					\$282,750.00			\$22,796.00	\$305,546.00
Pacific House (former shelter for the homeless)				\$73,845.00				\$5,795.00	\$79,640.00
AIDS CT - statewide rental assistance fiduciary						\$809,983.00		\$65,415.00	\$875,398.00
CT Coalition to end homelessness - HMIS							\$87,750.00	\$5,250.00	\$93,000.00
<b>TOTAL</b>				\$839,078.00	\$282,750.00	\$809,983.00	\$87,750.00	\$160,952.00	<b>\$2,180,513.00</b>

<b>Allocation:</b>
ESG Program - \$839,078
ESG Admin - \$160,952
ESG HMIS - \$87,750.00
ESG Rapid rehousing - \$1,092,733
<b>TOTAL ESG - \$2,180,513</b>

Total funds for rapid rehousing = \$888,624, 41% of total ESG allocation



# **Housing Opportunities for Persons With AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 01/31/2021)

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The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.



**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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  - b. Annual Performance under the Action Plan
  - c. Barriers or Trends Overview

**PART 2: Sources of Leveraging and Program Income**

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

**PART 3: Accomplishment Data: Planned Goals and Actual Outputs**

**PART 4: Summary of Performance Outcomes**

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

**PART 5: Worksheet - Determining Housing Stability Outcomes**

**PART 6: Annual Report of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

**PART 7: Summary Overview of Grant Activities**

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household

Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

**Operating Year.** HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

**Final Assembly of Report.** After the entire report is assembled, number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 2 CFR 200.307.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3,** any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

**SAM:** All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person With AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 01/31/2021)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by 24 CFR 574.3.

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b>  CTH18-F999	<b>Operating Year for this report</b> From (mm/dd/yy) 07/01/2018 To (mm/dd/yy) 06/30/2019		
<b>Grantee Name</b> Connecticut Department of Housing			
<b>Business Address</b>		505 Hudson Street, 2 <sup>nd</sup> Floor	
<b>City, County, State, Zip</b>		Hartford	Hartford <span style="border: 1px solid black; padding: 0 2px;">CT</span> 06106
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		32-0410548	
<b>DUN &amp; Bradstreet Number (DUNS):</b>		078847898	<b>System for Award Management (SAM)::</b> Is the grantee's SAM status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide SAM Number: 807854435
<b>Congressional District of Grantee's Business Address</b>		1st	
<b>*Congressional District of Primary Service Area(s)</b>		2nd   3rd	
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Middletown   New London	Counties: Middlesex   New London
<b>Organization's Website Address</b>  www.ct.gov/doh		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee Service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

*Note: If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name <b>Columbus House, Inc</b>		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Alison Cunningham, Executive Director, Columbus House	
Email Address		<a href="mailto:Acunningham@columbushouse.org">Acunningham@columbushouse.org</a>	
Business Address		586 Ella T. Grasso Blvd.	
City, County, State, Zip,		New Haven, CT 06519	
Phone Number (with area code)		203-401-4400	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		22-2511873	Fax Number (with area code)
DUN & Bradstreet Number (DUNS):		13-176-4912	
Congressional District of Project Sponsor's Business Address		3rd	
Congressional District(s) of Primary Service Area(s)		3rd	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Middletown	Counties: Middlesex
Total HOPWA contract amount for this Organization for the operating year		<b>\$169,492</b>	
Organization's Website Address		<a href="http://www.columbushouse.org/">http://www.columbushouse.org/</a>	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name <b>Alliance for Living, Inc.</b>		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Dennis Hetzel, Finance Manager	
Email Address		<a href="mailto:dhetzel@allianceforliving.org">dhetzel@allianceforliving.org</a>	
Business Address		154 Broad Street	
City, County, State, Zip,		New London, CT 06320	
Phone Number (with area code)		860-447-0884	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		06-1245514	Fax Number (with area code)
DUN & Bradstreet Number (DUNS):		78-416-3784	

<b>Congressional District of Project Sponsor's Business Address</b>	2nd	
<b>Congressional District(s) of Primary Service Area(s)</b>	2nd	
<b>City(ies) <u>and</u> County(ies) of Primary Service Area(s)</b>	Cities: All cities in New London County	Counties: New London
<b>Total HOPWA contract amount for this Organization for the operating year</b>	<b>\$66,151</b>	
<b>Organization's Website Address</b>	<a href="http://www.allianceforliving.org/">http://www.allianceforliving.org/</a>	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

## **5. Grantee Narrative and Performance Assessment**

### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The mission of Columbus House, Inc. is "to provide shelter and housing to people who are homeless or at risk of homelessness and to foster their personal growth and independence."

Columbus House, Inc., (CHI) is a not-for-profit social service organization that provides housing and services for homeless adults and families. The CHI's administrative offices are located on 586 Ella Grasso Boulevard, New Haven, CT. CHI is a participating agency in both the Greater New Haven Coordinated Access Network (CAN) and the Middlesex/Meriden/Wallingford Coordinated Access Network (CAN).

This specific HOPWA grant provides Tenant Based Rental Assistance (TBRA) and case management services in the following categories; financial budgeting, education, employment/vocational, legal advocacy, substance use/relapse prevention using the harm reduction model, referrals to behavioral health, activities of daily living and housing counseling. Households are matched to this program through the Middlesex/Meriden/Wallingford Coordinated Access Network's Housing Placement Team. Persons referred and matched to HOPWA TBRA services are able to locate housing in Middlesex County, and the towns of Meriden and Wallingford.

The HOPWA program of CHI provides the previously stated services to meet these annual objectives:

- (a) Guarantee the access of HIV/AIDS infected adults to the transitional and emergency housing opportunities of Columbus House.
- (b) Provide specialized case management to provide health care management, money management, relapse prevention, and community integration to homeless adults living with HIV/AIDS.
- (c) Support the movement of HIV/AIDS clients into long-term supportive or independent housing.

To gain more access about this program and the services offered, information can be gained from the following contacts:

Chief of Programs Officer: Hebe Kudisch

Director of Middlesex and Veteran Services: Andrew Black

Program Manager of Emergency Services: Will Carpenter

Case Manager of Supportive Housing (Middlesex Services): Jody Tinker-Palaia

A major achievement completed during the program year shows more efficient structure for Middlesex PSH programs. HOPWA and DOH AIDS both offered through Columbus House, Inc. in Middlesex County employed one full time case manager for HOPWA and one part time case manager for DOH AIDS. In order to secure additional TBRA funds for the participants enrolled in our programs, these two positions were merged under one full time case manager. Rent expenditures have shown to be higher

than the funds allocated for our program; therefore, strategically restructuring our staff allows us to continue supporting our program participants without disruption.

Alliance for Living (AFL) is a community based organization which provides direct services to support the life needs of people affected by HIV/AIDS. Our mission is to improve the quality of life for people affected by HIV/AIDS in New London County. We are dedicated to empowering and advocating for people living with HIV/AIDS. We are currently the only HIV/AIDS service center in southeastern Connecticut. Alliance for Living is located in the City of New London and serve all of New London County and beyond. We serve all of New London County. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supported housing services beginning with a subsidy program 1998. The purpose of Alliance for Living, Inc. (AFL) is to provide services related to the prevention and treatment of HIV/AIDS and to provide support for those affected by HIV/AIDS. Alliance for Living was formed in 1998 as the result of a merger between Southeastern Connecticut AIDS Project (SECAP) and Help Our People Endure (HOPE) Foundation founded in 1986 and 1991 respectively. Initial services were limited to medical case management and quality-of-life programs. Over the past twenty years AFL has expanded services to include housing programs (we have both a scattered site program and a housing program for people who were formerly chronically homeless.) and utility assistance. More recent service expansions include the implementation of a Drug User Health program started in March of 2017, an HIV testing and Early Intervention program and a 340B Pharmacy.

AFL's long history of service to the HIV/AIDS affected community is demonstrative of its knowledge of HIV and STDs, the community to be served and emerging trends. AFL has several current programs funded through DPH, DMHAS, and DOH.

Our agency not only provides housing assistance, but we provide comprehensive services for both individuals and families affected by HIV/AIDS. Our services include a complete array of non-medical, quality of life services ranging from basic needs, housing and case management to support groups and social activities. Case management services are provided on a stand-alone basis and in conjunction with our housing and other programs. AFL is highly experienced in the provision of a wide variety of support services including medical and non-medical case management. We have provided these services consistently for over 21 years. Our program is fully aligned with the HIV continuum of care strategy.

Alliance for Living's Supportive Housing Program strive to achieve and maintain housing stability for all clients in the program. The Director of Housing/housing coordinator and case management staff work together as a team to ensure that housing program participants are maintaining sources of income, medical insurance and eligible benefits while in housing. The team uses an empowerment model to provide housing participants with the skills to contact their landlords if they are having problems, connect with providers if they need assistance and to pay their program rent on time. The staff has attended in-service trainings on motivational interviewing along with other trainings related to self-empowerment and wellbeing related to housing. We are holding a CT Landlord and Tenant law Review with staff on an annual basis.

The Alliance for Living – Supportive Housing Program operates with the support from the funding provided by Department of Housing, the Housing Urban Development office and Department of Mental Health Services. The Supportive Housing Program cares for twenty one (21) Tenant Based Rental Assistance, four (4) that are now recently funded by DOH. In addition, we are close to the population defined chronically homeless and housed fourteen (14) individual and family households with HUD



funding. Also, Alliance for Living provides case management support for chronically homeless individuals recently housed by HUD/DMHAS Alliance for Living is lined up with the Coordinated Access and meets compliance with the HUD homeless definitions, Housing First approach and a Harm Reduction model to reduce the impediments to enter into housing solutions and remained housed.

Alliance for Living and the Supportive Housing Program operates under the leadership of President/CEO, Kelly Thompson and programming is supervised by Housing Director, Frank Silva.

**b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

1. **Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Alliance for Living's Supportive Housing Program successfully met beyond the agreed services outcomes. Our program had the highest number of clients serviced to date totaling 14 clients. We provided Tenant Based Rental assistance to 3 households; 1 family and 2 single households. We were able to provide rental and utility assistance to an overall total of 11 clients with STRMU funding, 6 STRA and 5 STUA. Our program is able to serve clients with case management, access to food resources, transportation, access to medical care and referrals and ensuring access to state and/or insurance benefits.

Our program is aligned with the HIV continuum of care and provision of these services. All tenants have access to this through medical case management services.

Alliance's for Living mission statement is to improve the quality of life of those we serve. This is a goal in agreement with our contract activities. We are proud to announce that 94% of the clients we serve are virally suppressed and are in antiretroviral medications. Clients have access to treatment adherence care.

The Middlesex HOPWA program funds 16 supportive housing units using tenant based rental assistance (TBRA) funds. Over the past few contract years, the number of actual units and household supported by Middlesex HOPWA funds has decreased due to a few challenges. Apartment rental costs continue to rise in Middlesex County. Higher rental amounts increases the amount of money our HOPWA program funds are using for each unit. Supplemental to increase in rental amounts, household's monthly income has stayed stagnant or even declined over the past couple of years. Decreased income has shown to be a result of client's losing employment or not being able to obtain employment. Over time, it has been necessary for some households to look into Meriden areas for housing as it has shown to provide more affordable rental options. Within 2018-2019 reporting year, Middlesex HOPWA program has served 10 households, funding 10 units a majority of the time. The program reported one death this year.

2. **Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please

describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The Middlesex HOPWA program supported 10 units with tenant based rental assistance (TBRA) during the 2018-2019 operating year. During the reporting year there was one reported death. The (80%) occupancy outcome goal was maintained

100% of clients maintained housing stability throughout the operating year; no client reentered homelessness for any length of time.

100% of Middlesex HOPWA client had income below 80% of the Area Median Income (AMI)

100% of HOPWA participants had a housing plan for establishing or maintaining stable housing within 60 days of intake.

100% of HOPWA participants had contact with case manager/benefit specialist consistent with the schedules specified in their individual plan.

100% of HOPWA participants had contact with a primary health care provider consistent with the schedule specified in the individual service plan. Staff are working towards providing more medical case management, therefore, more acutely monitoring client's involvement and adherence to following primary health care treatment and scheduled appointments.

100% of HOPWA participants have accessed and maintained medical assistance/insurance. In addition to having state insurance or insurance through employment, HOPWA case manager has assisted clients in accessing CADAP or client assistance fund (CAF) with ACT.

92% of HOPWA participants have successfully accessed or maintained qualification for sources of income. Many of the clients are maintaining income producing job obtained in years prior or they are maintaining Social Security Income or Social Security Disability Insurance benefits.

6% of households have obtained an income producing job. Several clients hold social security income and may already have an income producing job or have barriers to obtaining an income producing job. Those participants who do not have consistent income and did not obtain an income producing job mostly have significant criminal backgrounds.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Alliance for Living/Supportive Housing Program has a systematic system of meeting client's unmet needs that serves as another tool to address that all members have access to mainstream benefits. Unmet needs can be tracked by the following system: The Director of Housing/housing coordinator meets with all clients on Housing program caseload. The DH/ Housing Coordinator ensures and maintains contact with each housing member at least once a month. Medical Case Managers meet with all clients on caseload. All MCM's ensure and maintain a 2x monthly contact with each member. Medical Case Managers and Director of Housing maintain monthly contact with tenants completes service and medical assessments. Team members report unmet needs during supervision and follows this policy below.

#### Supervision Policy

SHP stands firmly in recognizing the importance to include case conferencing, case reviews, coordination of services, and supervision of housing case management staff in scheduled meetings. Coordination includes activities and communication that reduces barriers in obtaining services and secures the rights and responsibilities in housing for tenants, landlords, staff and community at large. Case conferencing with case management staff and supervisors occurs every Tuesday at 9:00 am.

A standing Housing Case Management meeting occurs every other Tuesday at 10:30 am. This meeting serves the purpose of dissemination of information important to assist in housing, training opportunities to update staff rules and regulations, meet the required hours of training in the form of in-service trainings and the escalation of any housing cases that need imminent and detailed attention as a conjunctive group. Individual case manager's supervision meetings happens at least monthly with the supervisor and/or clinical supervisor. Housing case management staff have the opportunity to call special meetings with providers and landlords on a case by case basis. This ensures emergent issues may be promptly addressed.

Case Managers meet with Supervisors individually on a monthly basis. Caseload, Work and Assessments are reviewed. Pending tasks items are shared and used for follow-up. Case Managers may meet with Clinical Supervisor on a scheduled basis.

Our housing program accepts referrals of eligible persons on a first-come, first serve basis. We are aligned with our Coordinated Access Network. Most referrals come through the 211 referral system. We are present at each of the weekly meetings and receive referrals and we are an engaged member in case conferencing. However, a current client who has experienced an event de-stabilizing his/her housing may also be referred by their Case Manager. Additionally, individuals may simply "walk-in" requesting assistance. All clients are reported to the Coordinated Access network Coordinator and maintain properly documented and with proper releases of information.

Once a referral is made, potential participants meet with a Case Manager to initiate the intake process. Director Housing/ Housing Coordinator completes intake and offer housing assistance. During that process, information regarding health status and income are collected. Specific needs are determined and a service plan is created. In each instance, the same intake process is utilized, clients are informed of the eligibility process and when necessary, accessibility arrangements are made for persons with disabilities.

The Middlesex HOPWA Program Director sits on the Coordinated Access Network (CAN) Steering Committee and monthly meetings in the Middlesex/Meriden/Wallingford CAN. The Middlesex HOPWA Program Manager is involved with Coordinated Access Network by attending Housing Placement Team meetings. Attendance and involvement provides communication of open occupancy and availability of supported housing units to other partners. This also ensures we are offering housing services to our regions more vulnerable homeless and at risk homeless population. Columbus House is a member with Aids CT (ACT) and staff regularly attends agency offered trainings regarding services and information for best practice working with individuals who have HIV/AIDS. Our program also partners with ACT to provide program participants assistance through Client Assistance Fund (CAF) for utility assistance and medication/co-pay assistance. Staff have partnered with Department of Social Services to ensure clients renew benefits and have access to CADAP for medication assistance when necessary.

3. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Middlesex HOPWA Program Staff accessed technical assistance as needed from the Department of Housing (DOH) contract provider and AIDS Connecticut (ACT). Columbus House, Inc. continues to receive training through ACT which keeps case managers and supervisors informed on updated information regarding HIV/AIDS. Through supervision and informal communication with staff, more training and technical assistance regarding advocacy and legal education around HIV/AIDS and individuals rights would be beneficial to the program. Middlesex Columbus House staff did take advantage of working with

Aids CT (ACT) to advocate for HIV/AIDS program on World Aid's Day; there were many positive comments in result to this collaboration.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

In regards to housing individuals, we continue to face the challenge of finding housing units that both meet fair rent market and housing standards. This creates several challenges in transitioning individuals who need to move to a new unit. Also, there is always a present struggle to encounter landlords who understand the purpose of offering housing to a supportive housing program. This along with finding apartments that

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple DiagnosesN	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

are under Fair Rental Markets and that meet the HOPWA Sustainability measures present as a challenge.

The portion of rents being paid by Columbus House's HOPWA TBRA Program, exceeds the amount allotted by the program guidelines. Columbus House will make up the difference in the clients' rents because of three primary reasons; high rental cost, the client has little to no income, and the utility allowance calculation. Participants who have maintained their housing for longer periods of time will request to relocate for various reasons. Here are a few reasons why clients have requested to relocate; location (high crime area), tenant landlord relationship, unit or the apartment complex is not maintained well, neighbors, high utility bills, and size of the unit. Columbus House cannot assist the clients in obtaining a security deposit for a different unit. Columbus House, Inc. stands behind client choice; however, the lack of funds does not allow the flexibility for the client to secure a new apartment after being housed the first time

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Coordinated Access Network (CAN) has impacted how homeless individuals receive shelter and housing support services in Connecticut. HOPWA is slowly becoming part of the CAN and housing resources are shared within the CAN.

Case Management staff continue to work with tenants who experience difficulties paying monthly occupancy charge and help in creating payment plans.

Affordable housing is another barrier because two housing authorities closed in Southeastern CT and the availability of affordable units is much lower than the high demand for them.

Landlords are continually educated on housing first model and assist in housing the more vulnerable clients in the state.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A

End of PART 1

Coordinated Assessment Network (CAN) has impacted how homeless individuals receive shelter and housing support services. At this point in time HOPWA is not part of the CAN. CHI as a HOPWA provider will continue to work with the local CAN entry and exit systems to share resources during the 2018-2019 operating year

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: DOH	30,421.00	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: SAMHSA	48,415.00	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Resident Rent Payments by Client to Private Landlord	16,848.00		
<b>TOTAL (Sum of all Rows)</b>	<b>95,684.00</b>		

## 2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

### A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

### B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

End of PART 2



**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**1. HOPWA Performance Planned Goal and Actual Outputs**

<b>HOPWA Performance Planned Goal and Actual</b>		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	15	13	3	3	118,808	102,758
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0	0	0	0	0
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	5	11	5	11	17,000	15,664
5.	Permanent Housing Placement Services	0	0	0	0	0	0
6.	Adjustments for duplication (subtract)	0	0	0	0		
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	20	24	8	14	135,808	118,422
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3- or 10- year use agreements	0	0				
10.	<b>Total Housing Developed</b> (Sum of Rows 8 & 9)	0	0	0	0	0	0
<b>Supportive Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	8	24			76,792	93,095
11b.	Supportive Services provided by project sponsors that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0				
13.	<b>Total Supportive Services</b> (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)	8	24			76,792	93,095
<b>Housing Information Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	0	0			0	0
15.	<b>Total Housing Information Services</b>	0	0			0	0

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					0	0
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					11,862	11,862
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					11,862	11,862
<b>Total Expended</b>						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)</b>					224,462	223,379

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	24	93,095.00
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	0	0
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	24	
16.	<b>Adjustment for Duplication (subtract)</b>	0	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	25	93,095.00

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

**Data Check:** The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	11	15,664
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	6	8,953
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	1	1,025
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	4	5,686
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	13	12	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	1	
<b>Permanent Supportive Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	0	

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	0	
			9 Death	0	

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].  
 In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.  
 Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
11	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	8	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	1	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	0	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
	1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
<b>1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	24
b. Case Management	24
c. Adjustment for duplication (subtraction)	24
<b>d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)</b>	<b>24</b>
<b>2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
<b>b. Total Households Served by Project Sponsors without Housing Subsidy Assistance</b>	<b>0</b>

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	24	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	24	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	24	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	24	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	20	0	Sources of Income

#### Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- |  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|--|--|--|

**Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	10	0

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	3	0	0	3
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>3</b>
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	0	11	0	5
<b>Total HOPWA Housing Subsidy Assistance</b>	<b>6</b>	<b>11</b>	<b>0</b>	<b>11</b>

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.



**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	<b>Operating Year for this report</b> From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list  <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

**End of PART 6**

**Part 7: Summary Overview of Grant Activities****A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance****a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

<b>Individuals Served with Housing Subsidy Assistance</b>	<b>Total</b>
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	24

**Chart b. Prior Living Situation**

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.*

<b>Category</b>		<b>Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance</b>
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	12
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	0
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	10
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	0
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	1
17.	Don't Know or Refused	0
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	24

**c. Homeless Individual Summary**

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

**Section 2. Beneficiaries**

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	24
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	0
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	5
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, &amp; 3)</b>	29

**b. Age and Gender**

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	0	0	0	0	0
3.	31 to 50 years	7	10	0	0	17
4.	51 years and Older	5	2	0	0	7
5.	<b>Subtotal (Sum of Rows 1-4)</b>	12	12	0	0	24
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	1	0	0	0	1
7.	18 to 30 years	1	2	0	0	3
8.	31 to 50 years	0	1	0	0	1
9.	51 years and Older	0	0	0	0	0
10.	<b>Subtotal (Sum of Rows 6-9)</b>	2	3	0	0	5
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	14	15	0	0	29

**c. Race and Ethnicity\***

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	11	2	2	0
4.	Native Hawaiian/Other Pacific Islander	0	0	5	0
5.	White	13	2	0	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	24	4	5	0

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.*

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	23
2.	31-50% of area median income (very low)	1
3.	51-80% of area median income (low)	0
4.	<b>Total (Sum of Rows 1-3)</b>	24

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor Agency Name (Required)**

--

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: <span style="float: right;">Date Completed:</span>
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = <span style="float: right;">Total Units =</span>
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor**

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <b>Specify:</b>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <b>Specify:</b>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)</b>		



## ATTACHMENT A (G 1) 2018/2019

### Grantee and Community Overview.

During the 2018/2019 federal fiscal year, the Department of Housing provided funding to communities in the Balance of State - Middlesex, and New London counties.

The department allocated HOPWA funding to the following non-profit community service sponsor agencies:

Columbus House, Inc. is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 12 tenant based rental assistance units in Middlesex County.

Alliance for Living, Inc. - Alliance for Living is the only HIV/AIDS service organization and resource center in southeastern Connecticut. They are a group of dedicated professionals who are passionate about helping people living with HIV/AIDS in our community. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supportive housing services beginning with a subsidy program in 1998. During the contract year, Alliance for Living provided 3 tenant based rental assistance units and 11 short-term rent, mortgage, and utility assistance to prevent homelessness of the individuals/families.

The services they provide include the following:

- **Case Management:** Case Managers assist clients in coordinating services to address their individual needs. This includes medical, dental, and legal referrals; financial (entitlement program) help; transportation; prescription assistance; nutritional supplements, education seminars and assistance with employment.
- **Housing:** Specifically, Alliance for Living provides permanent housing through tenant based rental assistance portion and short term assistance through STRMU. The goal of this program is to stabilize the client's housing and empower them to secure permanent, affordable housing.
- **Medication Adherence Programs:** This program is designed to assist members in maintaining their medication regimens. A registered nurse works with clients to address any issue they are having with their medication or overall health.
- **Medical Nutrition Therapy:** Designed to assess, evaluate and treat nutritional issues clients are experiencing, a Registered Dietician conducts a nutritional assessment and designs a nutritional intervention. This program works in collaboration with the Medical Case Management team and the Medication Adherence Nurse.

## **Attachment B (Appendix G 2)**

### Annual Performance under the Action Plan

#### **1. Outputs Reported:**

The original goal was to serve 20 individuals and/or families in Middlesex and New London counties. The actual number of individuals and families which benefited from TBRA dollars was 24. The original goal was to serve 5 individuals and families with STRMU subsidies and 10 consumers benefit from this assistance in New London and Middlesex County.

#### **2. Outcomes Assessed:**

Both programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

#### **3. Coordination:**

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Department of Housing, Middlesex and New London County Housing Authorities, Community Health Centers and various other local community resources.

No technical assistance is required at this time.

## **Attachment C (Appendix G 3)**

### C. Barriers and Trends Overview

#### **1. Barriers:**

Housing affordability, housing availability, and history of evictions tend to be common barriers to successfully housing individuals /families.

Affordable rents are difficult to find particularly if they are looking for safe and desirable neighborhoods. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant.

#### **2. Trends:**

Participation in the statewide Coordinated Access Network continues to be helpful for emergency housing assessments. The goal is to provide permanent supportive housing. Diversion from shelters and housing assessments enable case managers to provide appropriate supports to the neediest families.

Other trends that have been documented are the availability of safe, affordable and accessible housing.

#### **3. Identify HOPWA evaluations/studies**

There are currently no evaluations or studies available.