

**2012** Program Year

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# State of Connecticut Consolidated Annual Performance and Evaluation Report

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Small Cities Community Development Block Grant Program  
Housing Opportunities for Persons with AIDS Program  
HOME Investment Partnerships Program  
Emergency Solutions Grant Program

Submitted to the  
U.S. Department of Housing & Urban Development

By  
The State of Connecticut  
Department of Housing



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**State of Connecticut  
2013 Consolidated Annual Performance and Evaluation Report**

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## I. Assessment of Progress

Connecticut's 2010-15 Consolidated Plan and subsequent Action Plans outline Over arching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state intends to make available HOME, SC/CDBG, ESG, and HOPWA funds to eligible recipients based on the priorities set forth in the state's 2010-15 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2010-15 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2012-13 Action Plan.

The state's long-term vision is that Connecticut's communities will be vibrant, safe, clean, and diverse places for people to live, work, and raise a family, that housing opportunities in Connecticut will be affordable, environmentally friendly and available to meet the needs of all its citizens. Housing is a key component of attaining and sustaining economic growth and in anchoring a community. Ensuring affordable housing options, to own and rent is an important contributing factor to future economic health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing.

In order to address all the citizens needs in an era of constrained resources it is important to add new housing as well as preserve affordable housing presently serving households in need. Additionally, it is important that all efforts, state and local, be undertaken consistent with responsible growth principles that will make the most efficient uses of energy, land, public infrastructure and other societal resources over the long-term. The state will marshal its resources to address Connecticut's housing development, housing support, and community development needs through the application of Responsible Development and Sustainable Communities' Livability principles to promote responsible development by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

Responsible development as an overarching principle includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible development policy, DOH will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

Sustainable Communities as an overarching principle emphasizes Connecticut's commitment to building and sustaining safe, livable, healthy communities and will encourage investment and development that strengthens and revitalizes communities by providing more choices for affordable housing near employment opportunities; more transportation options that lower transportation costs and shorten travel times; and improve and protect the environment. This activity is consistent with the recommended State Plan of Conservation and Development.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH and CHFA will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut’s citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state will work to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state will work to revitalize communities by providing communities in need with:

- Assistance to undertake housing, community and economic development initiatives.
- Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state will encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state’s responsible growth strategies and the growth management policies specified in the new [Conservation and Development Policies: The Plan for Connecticut](#) (C&D Plan).

## **A. OVERARCHING PRINCIPLES**

### **Responsible Development**

Responsible Development includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible growth policy, DOH will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

DOH will give preference to community and housing development/redevelopment projects that satisfy the following responsible growth criteria:

- Conform with the C&D Plan for Connecticut.
- Are sited within existing developed areas and promote infill development.
- Are sited within existing public utilities service areas (water, sewer, etc.).
- For projects outside of public utility services areas, scaling down to use on-site systems, where practicable, to manage unplanned development of adjacent land.
- Integrate transit-oriented development.

- Integrate energy/water conservation, energy efficiency and "green" building design.
- Avoid adverse impacts to natural and cultural resources and open space.
- Promote mixed-use development and mixed income development and compatible land uses (pedestrian-friendly with access to multiple destinations within close proximity of each other).

The state's responsible growth strategies directly related to affordable housing include supporting state programs such as the Housing for Economic Growth program (a.k.a. HOME Connecticut) and the Incentive Housing Zones for higher-density, mixed-income housing in downtowns and re-developed brownfields and former mills close to transit options and job centers. DOH also supports federal efforts by the U.S. Departments of Housing and Urban Development (HUD), Transportation (USDOT) and the Environmental Protection Agency (EPA) to promote mixed income housing near transit, known as the Partnership for Sustainable Communities.

### **Sustainable Communities**

"The average working American family spends nearly 60% of its budget on housing and transportation costs, making these two areas the largest expenses for American families."

As referenced above, HUD, the USDOT and the EPA have entered into a "partnership to help American families gain better access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities". This initiative, known as the Sustainable Communities Initiative, is based on the following "Livability Principles":

- Provide more transportation choices. Develop safe, reliable, and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
- Promote equitable, affordable housing. Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- Enhance economic competitiveness. Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers, as well as expanded business access to markets.
- Support existing communities. Target federal funding toward existing communities - through strategies like transit oriented, mixed-use development, and land recycling - to increase community revitalization and the efficiency of public works investments and safeguard rural landscapes.
- Coordinate and leverage federal policies and investment. Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- Value communities and neighborhoods. Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods - rural, urban, or suburban.

This initiative is consistent with the state's responsible growth principles and policies. The state of Connecticut will work to align its funding and development policies and initiatives, as outlined in Executive Order 15 and Sections 4-124 (s) and (t) of the Connecticut General Statutes, with those of the Sustainable Communities Initiative. To these ends the

Connecticut State Departments of Housing (DOH), Transportation (CTDOT) and Environmental Protection (DEP) have begun executive level meetings on integrating responsible growth and sustainable communities' livability principles and policies into their planning and funding processes and to align state programs, development initiatives and funding with those of the federal sustainable communities initiative. Therefore, the state is including the "livability principles" along with its responsible growth principles in its overarching policies for housing and community development.

## **B. OVERARCHING GOALS**

The overall goal of the community planning and development programs covered by this section of the plan is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.  
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.
2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.  
A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.
3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.  
Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community;



and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

*Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms “low-income”, “low and moderate income”, “low-moderate income” and “low-mod income” include the “very low” and “extremely low “ income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.*

### **Objectives, Outputs, Outcomes, and Indicators**

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

### **Basis for Assigning Priority**

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut’s housing and community development needs and the state’s current and historical housing market. Based on the state’s review of all relevant and available data, specific issues were selected and run through an internal screening at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on three overarching factors: (1) the issue’s relative demonstrated need (as identified in the needs assessment), (2) the availability of other funds to address the need and (3) the eligibility criteria of each of the four federal programs governed by this plan.

### **High Priority Needs and Funding**

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the four state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all

things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME and HOPWA programs.

### **Geographic Targeting**

The state will target its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SCCDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources will be focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Voucher/Certificate, Section 8 Moderate Rehabilitation, Community Services Block Grant (CSBG), Federal Historic Tax Credits, and Federal Historic Preservation Grants are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Shelter Grant (ESG) - Emergency Shelter Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction. Five jurisdictions (Bridgeport, Hartford, New Britain, New Haven and Waterbury) receive their own allocations of ESG funds directly from the federal government, thus are not eligible for the state allocation. Because of the nature of homelessness, the ESG program is exempt from Priority Funding Area requirements.
- Rural Development (aka Farmers Home) Programs (All) - The U.S. Department of Agriculture's Rural Development Housing Programs were established to provide quality affordable housing to the nation's rural and farm communities. All Rural Development programs (502, 515, 523, etc.) are restricted for use in "rural areas" which include open country and places with populations of 50,000 or less.
- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly

from the federal government and are not eligible for use of the state allocation designated for small cities.

- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Areas (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH will receive a small amount of “Balance of State Dollars” and will use a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

## **C. PERFORMANCE MEASUREMENT**

### **AFFORDABLE HOUSING**

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#### **GOAL**

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

#### **AFFORDABLE HOUSING STRATEGIES**

##### **Fair Housing and Housing Choice**

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”<sup>1</sup>. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH, CHFA and the Department of Social Services (DSS) will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies. Using education and outreach, regional solutions and cooperation and neighborhood stabilization and revitalization.

##### **The Supply of Quality Affordable Housing**

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments. Most urban areas are natural mixed-use developments whereas suburban areas need to move away from the traditional single-use developments.

<sup>1</sup> *People, Place and Opportunity: Mapping Communities of Opportunity In Connecticut*, Kirwan Institute, Ohio State University & the Connecticut Fair Housing Center, November 2009.

Increasing the supply of quality affordable housing can be accomplished in multiple ways including new construction and rehabilitation of existing units. Adaptive re-use of historic structures provides multiple benefits to communities. Redevelopment lowers the ratio of poor quality or unused structures. Additionally, re-use lessens sprawl in rapidly developing areas by preserving open space/undeveloped land. Adaptive re-use is very likely to engender community support by preserving structures that have long been part of the community.

## **Summary of five year Affordable Housing Objectives**

### **Fair Housing and Housing Choice**

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- Within budget appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's Analysis of Impediments (AI) for state level action. Utilization of the Fair Housing Center has enabled the state to better address the objectives of the AI by increasing the access of people in the protected classes to the existing supply of affordable housing, expanding fair housing outreach and education activities, providing increased training of state employees, service providers, housing developers or other funding recipients in the area of fair housing/civil rights and increasing monitoring and enforcement of fair housing laws and policies within the State of Connecticut.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update the Analysis of Impediments to Fair Housing Choice including the Action Steps for state and local governments.

### **Quality Affordable Housing**

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- With Regard to New Affordable Rental Housing:
  - DOH will work to create 750 new quality affordable rental housing units.
- With Regard to New Homeownership Opportunities:
  - DOH will work to create 300 new affordable homeownership opportunities.
  - CHFA will work to assist 13,500 to 15,000<sup>2</sup> first time homebuyers.
- With Regard to Preserving Existing Affordable Rental Units:
  - DOH will work to preserve 1,000 existing affordable rental housing units.
- With Regard to Maintaining Homeownership:
  - DOH will work to maintain homeownership for 400 households.
- With Regard to CHFA multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing:
  - CHFA will work to fund the development and/or preservation of 3,200<sup>3</sup> units.

The above referenced figures are based on historic program performance, current unit production costs and anticipated financial resources.

<sup>2</sup> Conditioned upon the availability of funds and market conditions.

<sup>3</sup> Conditioned upon the availability of funds and market conditions.

## **OBJECTIVES, OUTPUTS, OUTCOMES and INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

To achieve the aforementioned five year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

### **Objective 1:**

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

### **Output:**

- DOH will complete the update of the Analysis of Impediments (AI) by end of year three of this plan.
- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice and economic opportunities.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 8,500 DSS Section 8 and State Rental Assistance and Transitional Rental Assistance Program participants with moves to areas of de-concentrated poverty annually.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice and economic opportunities.
- Support up to four infrastructure projects per year to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas annually.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of nine existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.
- Support fair housing education/outreach activities/actions to address illegal discrimination, to include DSS continuing to fund mobility counseling/tenant education programs to encourage/assist/educate DSS Section 8 and State Rental Assistance Program participants with 100 moves to areas of de-concentrated poverty annually.

### **Outcome:**

- Improved availability/accessibility by supporting fair housing education, outreach activities, programs and actions to address illegal discrimination and expand housing opportunities.

### **Indicator(s):**

- Complete update of the AI by end of year two of this plan.

- Number of fair housing educational and outreach opportunities achieved.
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Support at least one inter-municipal or regional housing project.
- Create incentives for municipalities to collaborate on projects.
- Number of infrastructure projects conducted per year.
- Number of DSS Section 8 and State Rental Assistance Program participants educated through this program that move to areas of de-concentrated poverty.
- Number of DSS Section 8 and State Rental Assistance Program participant moves that represent a census tract improvement of at least ten points; from a higher concentrated area to an area of lower concentrated poverty.

**Objective 2:**

Enhance suitable living environments and create decent affordable housing.

**Output:**

- Produce up to 750 newly constructed rental units that serve low- and moderate-income households using federal HOME and/or state housing programs.
- Rehabilitate up to 1,000 rental units that serve low- and moderate-income households using federal SC/CDBG/HOME and/or state housing programs.
- Produce up to two newly constructed homeowner units that serve low-and-moderate income households using federal HOME and/or state housing programs.
- Rehabilitate up to 400 homeowner units that serve low-and-moderate income households using federal SC/CDBG/HOME and/or state housing programs.
- Improve affordability by promoting and supporting mixed-income development projects in areas that currently under-serve low- and moderate-income households.
- Support the moderate rehabilitation of existing single-family homes (a single family home is defined as a one to four unit owner occupied residential structure).
  - SC/CDBG Program – Support up to four single-family moderate rehabilitation projects each year in SC/CDBG program eligible communities.
  - State Housing programs - Support up to four single-family moderate rehabilitation projects each year in suburban communities.
- Creation of multifamily housing
  - HOME Program- Produce up to 75 to 125 units of new multifamily housing in areas of need each year.
  - State Housing programs - Produce up to 75 to 100 units of new multifamily housing in areas of need each year.
- The CHFA multifamily housing development mortgage program will work to fund the development and/or preservation of units of multifamily housing.
- Through the adaptive re-use of historic structures, create and/or preserve residential units using federal SC/CDBG/HOME and/or state housing programs.
- Identify properties most at risk of being lost to the affordable market.
- Support energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons by funding housing projects each year that improve energy efficiency using federal and/or state housing and/or weatherization programs.

**Outcome:**

- Expansion of rental and homeowner housing and Sustainable Community activities completed that serves low-and-moderate income households.

### **Indicators:**

- Number of newly constructed units.
- Number of rehabilitated units.
- Number of rental units.
- Number of homeowner units.
- Number of single-family moderate rehabilitation projects completed each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of need.
- Number of residential units created by re-use of historic structures.
- State, Federal and private resources leveraged.
- Number of energy efficiency projects completed each year.
- Number of at risk properties identified.
- Number of mixed income developments.

### **Objective 3:**

To enhance suitable living environments through financial intermediaries.

### **Output:**

- Provide economic opportunities in the form of rent subsidies to enhance suitable living environments.
- Provide economic opportunities in the form of mortgage assistance to enhance suitable living environments.
- Improve affordability by continuing to use CHFA's multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing units consistent with the needs and priorities established in the Consolidated Plan annually.
- Maintain mortgage lending and equity funding programs to fund up to approximately 800<sup>4</sup> units annually based on recent program experience, with an estimated 400-500<sup>5</sup> units funded through the Low Income Housing Tax Credit Program and the balance through the issuance of tax-exempt bonds or other bonds for development and expiring use preservation, based on the availability of these resources, financial market conditions, demand for financing and the availability of other necessary capital and operating subsidy required to attain feasibility. Use these debt and equity funding programs to leverage state, federal and private resources to the extent possible.
- Implement a Location Efficient Mortgage (LEM) Program to be administered by CHFA. The LEM Program provides state-backed relief in mortgage premiums based on proximity to urban areas. The LEM Program combines a low down payment, competitive interest rates and flexible criteria to encourage families to attain homeownership in proximity to transit annually.
- Continue CHFA's homeownership mortgage programs to expand homeownership generally, with an emphasis on targeted areas with lower rates of homeownership; and continue statewide special programs and initiatives to maintain homeownership.
  - Maintain CHFA efforts to expand homeownership through assisting approximately 2,700 to 3,000<sup>6</sup> first time homebuyers each year during the five-year period based on recent program history and the availability of mortgage capital for this purpose.

<sup>4</sup> Conditioned upon the availability of funds and market conditions.

<sup>5</sup> Conditioned upon the availability of funds and market conditions.

<sup>6</sup> Conditioned upon the availability of funds and market conditions.

- Maintain lending in the state's federally targeted urban areas to a minimum of 30% of all mortgages purchased by CHFA each year.
- Build program and investment partnerships with local stakeholders that maximize the use of CHFA's current program and leverage local, state and federal resources.
- Continue efforts to help distressed homeowners maintain ownership.
- Create a homestead exemption whereby purchasers of homes within designated urban areas may receive state income tax reductions. The exemption will apply to first-time homebuyers and be considered for home purchases in targeted urban areas with the goal of increasing homeownership and neighborhood stability. To support this effort CHFA mortgage programs will be used, when possible, to encourage moderate and higher income households to move into urban neighborhoods in need of revitalization annually.
- Grant priority consideration to creating flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state. Produce affordable homeownership units through increased funding flexibility and reduce regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME programs, treating each pool of funding as a source of flexible capital. This allows developers to seek 'subsidized' capital from a pool of funds and put all parts of the capital structure of a housing project together while mitigating uncertainty and delays.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and homeowner housing that serves low-and-moderate income households.

**Outcome:**

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

**Indicators:**

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Strategies for mitigating the potential loss of units.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Number of new families that attained homeownership in proximity to transit by implementing the LEM Program.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA's Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.



<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME, State, Section 8	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

## **PUBLIC HOUSING**

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### **GOAL**

Provide decent housing and enhance suitable living environments for residents of public housing.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

#### **Objective 1:**

Address the housing needs of residents of public housing through preservation of existing housing units and additional rent subsidies.

#### **Output:**

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period using financing from the Affordable Housing Program/Housing Trust Fund/Housing Sustainability Fund.
- Invest in the maintenance/rehabilitation/modernization of 200 existing publicly-assisted rental housing units annually to preserve them as a long-term resource using federal funding such as the SC/CDBG or HOME Program.
- Continue to offer loans, within available CHFA resources, to local housing authorities to fund capital needs. Continue to offer capital funding for the redevelopment of portfolio properties

annually through the CHFA mortgage and tax credit programs. Seek and develop opportunities to leverage additional funding from federal and private sources for these purposes.

- Preserve 2,850 state financed elderly rental units through the Weatherization Assistance Program (WAP) over three years (programs duration).
- Encourage local public housing authorities and DSS to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Vouchers by 50 new vouchers annually.

**Outcome:**

- New/improved availability/accessibility and affordability in public housing.

**Indicators:**

- Number of at risk properties identified each year.
- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of existing state-assisted rental units preserved through weatherization. each year.
- Number of new Section 8 vouchers each year.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State CDBG HOME CHFA Section 8 WAP	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, CDBG Eligible Communities

**HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING**

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**GOAL**

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

**STRATEGIES**

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

**OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources*

**Objective 1:**

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

**Output:**

- Utilize the Beyond Shelter Program and Counselors in Shelters Program, administered by the DSS, to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Establish and convene the Statewide Homelessness Prevention and Rapid Re-housing Operations Advisory Committee. The Committee is comprised of DSS, six regional and five municipal HPRP programs and municipal representatives.
- Participate in Connecticut Coalition to End Homelessness' Homelessness Prevention Taskforce and use the information gained to create a sustainable housing based system that will prevent/quickly end homelessness among families and individuals in crisis in the future.
- Review operational aspects of implementing the HPRP Program to identify "what's working" and "what's not working" to increase efficiency and eliminate duplication.
- Increase number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services (including but not limited to outreach and transitional services such as supported living, case management, and substance abuse treatment).

**Outcome:**

- New and improved availability and accessibility through HPRP.

**Indicator(s):**

- Number of homelessness reoccurrences among DSS assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Alternative means to addressing homelessness achieved.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased and increase number of client cases closed, settled or resolved by 50 per year, over five years in order to expand services.

**Objective 2:**

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

**Output:**

- Maintain the state-funded Eviction Prevention P program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 150 new supportive housing units over the next five years.

**Outcome:**

- New and improved sustainability in permanent housing for risk families and individuals.

**Indicator(s):**

- Funding level and dollars committed to the Eviction Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing as a result of the program.
- Number of supportive housing units created.
- Number of rental subsidies.

**Objective 3:**

Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

**Output:**

- Coordinate funding opportunities to assist in achieving the strategies outlined in the Ten Year Plans to End Homelessness.

**Outcome:**

- New and improved availability and accessibility of housing to prevent and reduce homelessness through long range planning.

**Indicator(s):**

- Number of funding opportunities that addressed specific strategies outlined in the Ten Year Plans to End Homelessness.

**Objective 4:**

Maintain the state’s network of “Homeless Shelters.”

**Output:**

- Continue to fund “Homeless Shelters” across the state.

**Indicator(s):**

- Funding leveraged (beyond ESG).
- Number of shelters DSS funds (ESG).
- Number of beds & type (men, women, children)-ESG.
- Number of clients (ESG).
- Number of services/type(ESG).

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HPRP, State, HOME	Low-Mod Income	State-Wide
Objective 2	HPRP, State, HOME, TANF	Low-Mod Income	State-Wide
Objective 3	HPRP, State, HOME,	Low-Mod Income	State-Wide
Objective 4	ESG, State	Low-Mod Income	State-Wide

## **OTHER SPECIAL NEEDS**

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### **GOAL**

Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

#### ***Special Needs - General***

##### **Objective 1:**

Coordinate the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to increase the availability of supportive housing by using state and federal resources effectively.

##### **Output:**

- Interagency Council and/or Interagency Committee meets regularly to insure coordination of efforts and identifies resources and prioritizes production and preservation of permanent supportive housing.

##### **Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

##### **Indicator(s):**

- Identification of resources and plan for production and preservation.

##### **Objective 2:**

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

##### **Output:**

- Utilize the state's current Long Term Care Plan as a blueprint for coordination of services.

##### **Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

##### **Indicator(s):**

- Was the state's Long Term Care Plan used as a blueprint for coordination of services.

##### **Objective 3:**

Link permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and

address changes in age, health, income and other circumstances. These actions will ensure long-term housing stability and independence.

**Output:**

- The number of clients who are provided appropriate services increases over five years.

**Outcome:**

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

**Indicator(s):**

- Number of clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

***Elderly and Frail Elderly***

**Objective 1:**

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that people with disabilities can live independently within their community of choice.

**Output:**

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

**Outcome:**

- New/Improved Affordability.
- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing.

**Indicator(s):**

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.

**Objective 2:**

Increase the number of elderly and frail elderly clients served by DSS.

**Output:**

- Increase client caseload by ten per year.

**Outcome:**

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

**Indicator(s):**

- Number of new client cases managed.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/HOME	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

***Persons with Disabilities***

**Objective 1:**

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue the successful keeping of families and those individuals with disabilities together, through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

**Output:**

- Number of linkages among federal agencies, state agencies and consumers is maintain and/or increased.

**Outcome:**

- Families and those individuals with disabilities kept together and receive appropriate counseling and other supportive services which ultimately increases their quality of life.

**Indicator(s):**

- Number of families and those individuals with disabilities kept together through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

**Objective 2:**

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individual with disabilities.

**Output:**

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

**Outcome:**

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

**Indicator(s):**

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

**Objective 3:**

Continue to provide for accessibility modifications.

**Output:**

- Accessibility modifications for 10 to 25 housing units per year are funded.

**Outcome:**

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live with in the community of their choice.

**Indicator(s):**

- Number of accessibility modifications funded.

**Objective 4:**

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

**Output:**

- \$250,000 in bond funds are provided to do accessibility modifications for persons leaving nursing facilities.
- Section 8 Housing Choice Voucher preference for up to 50 eligible persons in support of the Nursing Home Transition Initiative is established.

**Outcome:**

- Independent living is restored to all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

**Indicator(s):**

- Number of projects completed.
- Number of persons enabled to return to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided Section 8 Housing Choice Vouchers.

**Objective 5:**

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that the elderly can live independently within their community of choice.



**Output:**

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

**Outcome:**

- New/Improved Affordability.
- Independent living will be maintained for all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

**Indicator(s):**

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.
- Number of new supportive housing units created.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/ CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/Sec. 8		State-Wide
Objective 5	State/HOME		State-Wide

**Persons with HIV/AIDS and their families**

**Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

**Output:**

- Existing HIV/AIDS programs are maintained and expanded.

**Outcome:**

- New/Improved Availability/Accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DSS funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

**Indicator(s):**

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

**Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

**Output:**

- Number of people accessing supportive housing services is increased over five years by 50.

**Outcome:**

- New/Improved Availability/Accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

**Indicator(s):**

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

**Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

**Output:**

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

**Outcome:**

- New/Improved Availability/Accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

**Indicator(s):**

- Number of evaluations conducted.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>					
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>		
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/	HOPWA	Eligible

**Persons with Alcohol or Other Drug Addiction**

**Objective 1:**

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

**Output:**

- The number of clients who are provided appropriate services increases over five years.

**Outcome:**

- More persons with substance abuse issues receive appropriate care leading to a better quality of life for assistance recipients and a lower incidence of the negative consequences and costs associated with substance abuse.

**Indicator(s):**

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

**Ex-Offenders**

**Objective 1:**

Increase the availability of permanent supportive housing, as a housing option for, to assist individuals leaving the correction system to facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

**Output:**

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

**Outcome:**

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

**Indicator(s):**

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide

## **NON-HOUSING COMMUNITY DEVELOPMENT**

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### **GOAL**

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

#### **Objective 1:**

Provide economic opportunities including job creation and retention through the establishment, stabilization and expansion of small businesses (including micro-enterprises) and the provision of public services concerned with employment.

#### **Output:**

- Support at least one Economic Development Project per year under the CDBG Program with the creation of up to 15 jobs per year (8 of which will be for low-and-moderate-income persons).

#### **Short-Term Outcome:**

- New/Improved availability/accessibility.
- Support and funding of economic development projects and micro-enterprise, activities/projects will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

#### **Long-Term Outcome:**

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

#### **Indicator(s):**

- Number of economic development projects funded under the SC/CDBG Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

**Objective 2:**

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for economic and/or community development project.

**Output:**

- Support at least one inter-municipal or regional project per year under the SC/.DBG Program including Comprehensive Economic Development Strategy (CEDS) project.
- Create incentives for municipalities to collaborate on projects.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.
- Economies of scale will be reached leading to lower governmental cost.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of inter-municipal/regional projects funded under the SC/CDBG Program annually.
- Number of jobs created in the region benefiting low-and-moderate-income persons annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.
- Number of housing units annually.

**Objective 3:**

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

**Output:**

- Support up to four infrastructure projects per year under the SC/CDBG Program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of infrastructure projects funded under the SC/CDBG Program per year.
- Number of low-and-moderate-income persons served annually.

**Objective 4:**

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

**Output:**

- Support up to nine public facilities projects per year under the CDBG Program.

**Short-Term Outcome:**

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

**Objective 5:**

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

**Output:**

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

**Short-Term Outcome:**

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

**Long-Term Outcome:**

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

**Indicator(s):**

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

**Objective 6:**

Allow municipalities that have state-approved responsible growth/Transit Oriented Development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development and support the use of tax incremental financing. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.

**Output:**

- Five Special Services Districts established over five years.

**Short-Term Outcome:**

- Local governments will have greater resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

**Indicator(s):**

- Number of municipalities with state-approved responsible growth/Transit Oriented Development (TOD) projects allowed to develop Special Services Districts and levy additional taxes and/or fees to fund development over five years.
- Number of TOD projects with new Special Services Districts over five years.

**Objective 7:**

Allocate \$100 million of Urban Reinvestment Tax Credits for TOD/Responsible Growth projects and implement the Recovery Zone Economic Development Bonding Program as a financing vehicle for approximately two Responsible Growth projects over a five-year period.

**Output:**

- Allocated \$100 million of Urban Reinvestment Tax Credits as an incentive for private investment and to stimulate the development and implementation of two Responsible Growth/TOD projects over a five-year period.

**Short-Term Outcome:**

- Private investment will be leveraged to increase the resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

**Indicator(s):**

- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits over a five-year period.
- Amount of private funding for TOD/Responsible Growth projects leveraged by the allocated tax credits.
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the implementation of the federal Recovery Economic Development Zone Bond Program over a five-year period.

**Objective 8:**

Provide \$100 million<sup>7</sup> of federal/state/local community development resources for ten brownfield redevelopment projects as recommended by the Brownfields Task Force over a five-year period.

**Output:**

- Up to ten brownfield sites/projects are remediated returning unproductive properties to productive use and improving the health and safety of Connecticut's citizens over a five-year period.

**Short-Term Outcome:**

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

**Long-Term Outcome:**

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate- income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

**Indicator(s):**

- Dollar amount provided for brownfield redevelopment projects as recommended by the Brownfields Task Force over five years.

<sup>7</sup> Note: This amount would be utilized for all types of brownfield and mill redevelopment, not just housing projects.



- Number of brownfield projects undertaken as a result of the \$100 million provided for brownfield redevelopment over five years.
- Number of brownfields/acres and/or buildings brought back to productive use over five years.

**Objective 9:**

Section 108 Program: DOH will be applying for a \$20M line of credit for SC/CDBG Section 108 loans to fund economic development projects. DOH estimates that it will support five economic development projects in five years.

**Output:**

- DOH estimates that it will support five economic development projects in five years by applying for a \$20,000,000 line of credit for SC/DBG Section 108 loans (using the provisions of the Section 222 interim rule) on behalf of the non-entitlement communities of the state.

**Short-Term Outcome:**

- New/Improved availability/accessibility to funds.
- Support and funding of economic development projects and micro-enterprise, activities/projects that will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

**Long-Term Outcome:**

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

**Indicator(s):**

- Number of economic development projects funded under the Section 108 Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 2	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities

Objective 3	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 5	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 6	State	Low-Mod Income	State -Wide
Objective 7	State	Low-Mod Income	State -Wide
Objective 8	State	Low-Mod Income	State -Wide
Objective 9	Section 108	Low-Mod Income	State -Wide

## COMMUNITY REVITALIZATION

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### GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

### **OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS**

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

#### **Objective 1:**

Enhance sustainable living environments create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

#### **Output:**

- Allow municipalities that have state approved responsible development/transit-oriented development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.
- Allocate up to \$100 million of Urban Reinvestment Tax Credits for state approved responsible development/TOD projects, particularly for brownfield and former mill redevelopment as recommended by the Brownfields Task Force.
- Implement the federal Recovery Zone Economic Development Bond Program as a financing vehicle for responsible development projects.
- Consolidate state bond allocations for shovel ready projects.

- Coordinated state agency activities to encourage and promote support of approximately three Community Revitalization Strategies or Neighborhood Revitalization Zones under the SC/CDBG Program over a five-year period.

**Outcomes:**

- New and improved sustainable communities.

**Indicator(s):**

- Number of responsible development/TOD projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits.
- Amount of private funding for responsible development/TOD projects leveraged by the allocated tax credits.
- Number of brownfields project undertaken as a result of the new state funding authorized for brownfield redevelopment.
- Number of acres and/or buildings brought back to productive use.
- Statewide database of brownfield sites is updated.
- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Decreased project development time.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies over a five-year period.
- Number of low-and-moderate-income persons served.

**Objective 2:**

Enhance suitable living environments and create decent housing in areas of need.

**Output:**

- Support at least two municipalities in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas each year using the HOME/State Housing programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households. Give preference to one mixed-income infill project creating at least 10-25 units of housing each year in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need. Fund at least two mixed-use and/or transit-oriented development projects with availability of 20 low-and-moderate-income residential units in an urban or suburban area over a five-year period. Support at least two municipalities with rezoning efforts to enable mixed-use developments, and/or transit-oriented developments over a five-year period.
- Foreclosed properties are kept from deteriorating, rehabilitated and sold to low-and-moderate-income households. Utilize Neighborhood Stabilization Program (NSP) and Community Development Block Grant - Recovery (CDBG-R) Program funds to stabilize neighborhoods in areas impacted by foreclosures to serve 325 to 400 households annually.

- Implement a “Learn Here, Live Here” Program to be administered by CHFA. The program would allow Connecticut resident students attending any post-secondary institution to contribute the larger of their state income tax liability or \$3,000 into a First-Time Homebuyer Trust Fund each year for ten years. The money could be withdrawn anytime over those ten years to purchase homes in Connecticut. Any interest income would be deposited annually into the state’s general fund to partially offset the cost of the program.
- Ensure there is a mechanism to fund both HOME Connecticut incentive housing payments and the Housing Trust Fund to increase workforce housing in the state.

**Outcomes:**

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

**Indicator(s):**

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.
- Number of foreclosed units acquired.
- Number of acquired units rehabbed and sold.
- Number of participants in “Learn Here, Live Here” Program.
- Number of homes purchased utilizing the “Learn Here, Live Here” Program.
- Number of HOME Connecticut incentive housing payments and the Housing Trust Fund funded.
- Number of workforce housing units created.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

## LEAD-BASED PAINT HAZARDS

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### GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

### STRATEGIES

Connecticut has Statutes, Regulations, Technical Standards, Guidance Documents and Policies that relate to health and housing. Through increased coordination of agencies and partners it is possible to implement a healthy homes assessment and intervention program through DOH. The DOH would continue to comprehensively address lead-based paint issues as required under HUD's Lead-Safe Housing Regulation as well as implementing Lead-Safe Work Practices under the new EPA rule: EPA Renovation, Repair and Painting Program (RRP) which became effective April 22, 2010. The Healthy Homes Initiative encompasses several known home-based health hazards and programs, and seeks to coordinate the delivery of services through collaboration with the DOH and other state and community stakeholders. Initial strategies for statewide implementation include training and technical capacity building of housing and health partners, development and implementation of healthy homes materials, assessments (inspectional forms) and evidence-based interventions in DOH-selected pilot communities.

### OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

*It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.*

#### **Objective 1:**

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

#### **Output:**

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach, and to pilot the developed "healthy homes approach" in at least three communities.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health.

- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative). A healthy home assessment and intervention will address and seek to control environmental and other health and safety hazards such as, but not limited to, lead-based paint and lead-based paint hazards, damaged asbestos, elevated indoor radon levels; pests, sources of mold and mildew, smoke and carbon monoxide detectors, fire and electrical safety, and other structural components. This will be supported and accomplished in collaboration with state public health and housing programs such as the HOME, and SC/CDBG the DPH Healthy Homes Initiative, or through other state or federal funding sources.
- Build the technical capacity of DOH and local housing agencies by sponsoring ten (10) National Center for Healthy Homes (NCHH) training courses (2 courses held annually, or as needed). The NCHH two-day course is considered a pre-requisite to the National Environmental Health Association’s ‘Healthy Homes Specialist’ credential.
- Support the training and certification of at least 25 designated DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

**Outcomes:**

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

**Indicator(s):**

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with PDH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

<b>GEOGRAPHIC DISTRIBUTION &amp; RELATIVE PRIORITY</b>			
<b>Objective</b>	<b>Funding Source</b>	<b>Targeted Population</b>	<b>Geographic Target</b>
Objective 1	HOME CDBG Other/ State	Low-Mod Income	State-Wide CDBG Small Cities

## **II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing**

All information on activities or requirements provided in this section were carried out by DECD during PY 13, and will continue to be carried out by DOH in the coming program year.

### **A. Civil Rights Compliance**

Recipients of HOME and CDBG-SC funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan and certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

### **B. Employment Outreach**

To ensure that the DECD recipients of HOME and CDBG-SC funds provide equal

opportunities in employment, contracting and the provision of services and benefits, DECD has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned or Women-Owned Business Enterprises (MBE/WBE) was \$62,668,864 of which \$3,349,735 was provided to firms owned by persons who are Black Non-Hispanics or who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was \$4,701,295. In addition a total of \$4,111,872 was provided to Section 3 firms.

For the CDBG-SC Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the CDBG-SC Program. The dollar amount of contracts that included CDBG-SC funding awarded to Minority-Owned Business Enterprises (MBE), was \$1,388,910 of which \$48,258 was awarded to firms owned by persons who are Black Americans, and \$1,340,652 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included CDBG-SC funding awarded to Women-Owned Business Enterprises (WBE) was \$625,618. In addition a total of \$20,173 was awarded in contracts for Section 3 firms.

In addition, DOH has contracted with the Fair Housing Center to provide a workshop for grantees and their contractors addressing their Section 3 requirements, and methods for achieving their Section 3 goals. This workshop will be held in the spring of 2014.

### **C. Nondiscrimination/Fair Housing**

DOH will continue to administer the HOME and CDBG-SC programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and CDBG-SC funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).



## **D. Applicable State Requirements**

The following may be applicable to the HOME and CDBG-SC programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

## **E. Program Assurances**

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DECD. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and CDBG-SC funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DECD for review and approval. The plan must be consistent with the DECD's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all CDBG-SC applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

## **F. 24 CFR 92.351a – Affirmative Marketing – HOME Program**

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH's affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DECD Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant's AFHMP and required attachments are approved by DOH.

### **Assessing the Effectiveness of Affirmative Marketing for HOME projects**

To assess the effectiveness of affirmative marketing, DOH has implemented a "Performance Report on Affirmative Fair Housing Marketing Results" which must be submitted to DOH on an annual basis. Recipients provide the percentage of "least likely to apply" (LLA) residing in the project and currently on the project's waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner's marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

In addition to annual reporting, DECD through the FHC has offered two trainings to recipients of HOME funds on Affirmative Fair Housing Marketing Plans and Tenant Selection Policies as well as how to complete the reporting requirements for least likely to apply. During this next year DOH will offer 2 additional trainings on this requirement.

## **G. Continuing Efforts to Affirmatively Further Fair Housing**

The DOH continues to provide the most recent statewide Analysis of Impediments (AI) to Fair Housing Choice on our website at [www.DOH.org](http://www.DOH.org). In addition, the new draft Analysis of Impediments to Affordable Housing should be available in the next month, pending completion and submission to HUD for review and approval. What follows is a review of progress made on the previous year's goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DECD provided the Corporation for Independent Living with a total of \$1,000,000 during FY 12-13 from the Affordable Housing (Flex) Fund to finance the "Money Follows the Person Transition Program" for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. A total of 11 dwelling units were modified using approximately one-half of these funds. There are an additional 12 properties being actively considered for modifications at this time. The

Connecticut State Legislature has again authorized an additional \$1,000,000 to continue this program in SFY 2013-14 and DOH has continued this initiative.

- DECD awarded \$20,000,000 in state bond funds to rehabilitate a total of ten (10) state public housing projects, preserving 487 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DECD has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.
- DOH has replaced DECD on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH has replaced DECD on the Boards of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.
- DOH has modified all of its contracts for financial assistance and fair housing documents to include gender expression and identity as a protected class as approved by the state legislature.

Objective 2 – Increasing supply of affordable housing.

- DECD awarded \$1,000,000 under the Affordable Housing Flex Program and \$22,426,548 under the State Housing Trust Fund to ten housing projects during the SFY of 2012-13.
- 1,162 units were under completed during SFY 2012-13.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$661,952 for the SFY 2012-13 to the CT Fair Housing Center (FHC) to continue its work. Of that amount \$338,639 was earmarked by the legislature for foreclosure prevention. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DECD, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DECD staff to update the CDBG-SC application, process, and training materials for the CDBG-SC Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

The FHC, with financial assistance from DECD, carried out the following:

- Performed intakes and give fair housing advice to 238 Connecticut households;
- Investigated at least 238 complaints of discrimination;
- Request reasonable accommodations and reasonable modifications for 15 Connecticut residents with disabilities;
- Obtained reasonable accommodations and reasonable modifications for 21 Connecticut households without litigation or court action;
- Perform 38 tests designed to investigate any claims of housing discrimination;
- Provide 937 hours of legal assistance to the victims of housing discrimination;
- Opened up more than 300 units of housing to Connecticut residents in the protected classes;
- Provide information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 343 Connecticut residents;
- Placed articles about fair housing and how to get assistance with a housing discrimination complaint in 5 newspapers and other media which serve people in the protected classes;
- Assisted members of the private bar in representing homeowners in foreclosure by providing 50 legal updates to 150 attorneys each time;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice table in New Haven and if possible, expanding this service to other courts around the state by providing 41 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Ensured that the foreclosure legal process responds to the needs of homeowners in foreclosure, especially those homeowners who are not represented by attending 11 meetings of the Foreclosure Bench/Bar Committee and subcommittees;
- Provide 2,254 hours of legal advice to homeowners in foreclosure;
- Represented 27 homeowners in foreclosure in an effort to save their home and/or obtain a mortgage modification;
- Notified members of the private bar representing homeowners in foreclosure about the changes to the mortgage modification process and the new resources available to homeowners by providing 50 legal updates to 150 attorneys each time;
- Accepted calls and offer assistance on how to obtain mortgage modifications to members of the private bar representing homeowners in foreclosure for 167 hours;
- Met with housing counselors and their clients to offer legal advice and information about the mortgage modification process and the resources available to assist with mortgage modification for 66 hours;
- Taught 89 classes to provide information on the legal foreclosure process to 1,116 households facing foreclosure;
- Provided legal updates and training on changes in the foreclosure process and in mortgage modification programs to housing counselors by attending 10 meetings with housing counselors reaching more than 300 people;
- Expanded the number of attorneys providing legal assistance to homeowners in foreclosure by offering training on the foreclosure legal process to 36 attorneys;

- Distributed the Center's "Representing Yourself in Foreclosure: A Guide for Connecticut Homeowners" to 2,035 Connecticut residents;
- Attended 4 events sponsored by the Governor, Attorney General, and Department of Banking and provided legal advice to 200 homeowners; and
- Recruited and trained attorneys for the Judicial Branch's Volunteer Attorney Program for homeowners facing foreclosure, and staff the tables with Center attorneys 20 times.

DECD provided the following trainings and guidance to housing providers in Connecticut:

- Created a guide for housing providers on tenant selection plans and presented information at CONN-NAHRO annual conference to subsidized housing providers;
- Provided training to cities and towns on how to affirmatively market the programs funded by the CDBG-SC program to increase the participation of those least likely to apply; and
- Provided 2 trainings for subsidized housing providers on how to create and implement an affirmative fair housing marketing plan.

The state of Connecticut sponsored the following education and training:

- Created a guide for housing providers on tenant selection plans and presented information at CONN-NAHRO annual conference to subsidized housing providers;
- Provided training to cities and towns on how to affirmatively market the programs funded by the CDBG-SC program to increase the participation of those least likely to apply;
- Provided 2 trainings for subsidized housing providers on how to create and implement an affirmative fair housing marketing plan;
- DECD conducted the CDBG-SC Application Workshop - Fair Housing/Civil Rights Section, covering changes/updates made to the application for the FFY 2013 allocation funds; and
- The Connecticut Department of Banking sponsored free workshops on July 10, 2012, November 28, 2012, and April 17, 2013 (Storrs, Waterbury, New Haven) in conjunction with banks to provide homeowners with mortgage assistance. Topics covered were help with loan modifications, foreclosure prevention, state and federal assistance programs, and other lending-related issues.

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The FHC, with financial assistance from DECD, carried out the following:

- Performed 10 tests to determine if people are being discriminated against based upon race
- Performed 5 home sales tests to determine if households of color with children are steered in a discriminatory way;
- Met with LGBT community members to design a testing protocol for determining if people are being denied housing because of their sexual orientation or gender identity;

DECD monitored 23 Small Cities CDBG projects between July 1, 2012 and June 30, 2013. Considering the nature and number of findings per grantee, the overall performance was assessed to be very good.

## Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that “the state highlight the achievements of the SC-CDBG it funds in future PERs”.

DECD has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state’s Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 40 grantees, 16 of which were considered as “new”. The results are as follows:

### Accomplishments - General

- All Towns had created Fair Housing Action Plans and an Affirmative Action Policy Statement;
- All Towns annually adopt the Fair Housing Policy Statement, Resolution and Title VI as an indication of commitment to Fair Housing Month during the month of April;
- All but one Town had Section 3 Plans and 70% had developed specific community outreach agencies for a Section 3 referral list;
- Approximately 42% of towns had designated a fair housing officer and outlined a complaint procedure (**Action Step 8**);
- Approximately 50% have published the Town Notice for the Americans with Disabilities Act and/or the HUD Fair Housing Poster, and /or the Fair Housing Resolution in the newspaper of general circulation in the area;
- Approximately 42% of the towns had personnel who attended one or more fair housing trainings in the past year. Most attended multiple trainings (**Action Step 3**); and
- Four towns have joined the Fair Housing Association of Connecticut (FHACTION).

### Accomplishments by Action Step

- **Action Step 1** - Contract for direct training of regional town staff assigned to fair housing enforcement and complaint processing -1 town;
- **Action Step 2** - Contract for direct training of staff on fair housing laws – 11 towns;
- **Action Step 4** - Gather information from organizations and agencies involved with fair housing such as DECD, CHRO, CHFA, DSS, DMHAS, HUD and private not-for-profits and distribute to all town staff which have direct contact with the public regarding housing, community development, social services or public safety matters -5 towns;
- **Action Step 5** - Conduct regular (at least once a year) fair housing seminars for community residents, landlords, real estate professionals and lenders – 3 towns;
- **Action Step 6** - Prepare and distribute materials which outline fair housing rights and responsibilities and the town’s complaint and/or referral process - 18 towns;
- **Action Step 7** - Identify and distribute fair housing materials prepared by others to community residents, landlords, real estate professionals and lenders – 14 towns;
- **Action Step 9** - Develop a formal process for referring fair housing complaints to CHRO, HUD or others for investigation and follow-up – 8 towns;
- **Action Steps 14 and 17** – 21 towns;
  - Review local building and zone codes, removal of overly restrictive occupancy standards, family definitions, and density requirements.

- Promote inclusionary zoning through the expansion of multi-family zones
- **Action Step 15** - Develop a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the Americans with Disabilities Act and related laws – 1 town;
- **Action Step 16** - Expand access to mass transportation by developing van pools and ride sharing programs – 4 towns;
- **Action Step 17** - Promote inclusionary zoning through the expansion of multi-family zones – 7 towns;
- **Action Step 18** - Encourage the development of alternative ownership through models such as cooperatives, mutual housing, land trusts and/or turn-key projects – 6 towns;
- **Action Step 19** - Donate town land for development of lower cost multi-family housing – 2 towns;
- **Action Step 21** - Support local not-for-profits and housing partnerships in efforts to develop additional affordable housing – 15 towns;
- **Action Step 22** - Use the local housing authority as a vehicle for creation of affordable family rental housing - 2 towns;
- **Action Step 24** - Waive impact and permit fees for affordable housing developments – 1 town;
- **Action Step 28** - Conduct a local rent survey to determine if Section 8 exception rents are necessary in town – 1 town;
- **Action Step 29** - Apply to HUD for Section 8 subsidies through the local housing authority – 1 town;
- **Action Step 31** - Develop a consistent tenant selection methodology that clearly defines the criteria by which each applicant will be judged and does not exclude any protected class - 3 towns;
- **Action Step 32** - Encourage local lenders to adopt “second look” policies before rejecting mortgage applications – 6 towns; and
- **Action Step 34** -Work with local landlords, real estate agents and lenders to develop affirmative marketing strategies which encourage applications from people least likely to apply based on current town demographics - 10 towns.

#### Notable Accomplishments

- **One (1) town** reviewed local building and zoning codes and removed overly restrictive occupancy standards, family definitions and density requirements;
- **One (1) town** developed a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the American with Disabilities Act and related laws;
- **One (1) town** was awarded a \$50,000 grant for an Incentive Housing Overlay Zone for creation of affordable housing throughout the Town;
- **One (1) town** has created a mobility counseling program for Section 8, RAP recipients and other low-income inner city families to facilitate and ensure their access to affordable housing;
- **Four (4) towns** plan to seek state and federal funding for multi-family housing development; and
- **One (1) town** eliminated local residency preferences within subsidized housing within the town.

### III. HOME Program Requirements

#### A. Resource Allocation PY 2012-13

The following table (Table 16) provides a summary of the resource allocation for program year 2012-13.

<b>Table 16: HOME Program Resource Allocation for PY 2012-2013</b>	
State Administration (10%)	\$ 668,455
CHDO Set-aside (15%)	\$ 1,002,683
Subtotal	\$ 1,671,138
Estimated Program Income	\$114,189
Allocation available for other eligible activities	\$ 5,013,426
<b>FY 2012-13 Allocation</b>	<b>\$ 6,798,743</b>

Source: DECD, OHCD

Key: Refer to "Key" Appendix

#### B. Disbursements PY 2012-13

The following table (Table 17) provides a summary of disbursements for program year 2012-13.

<b>Table 17: Summary of HOME Program Disbursements For Projects During PY 12-13</b>	
<b>FFY</b>	<b>Disbursement Amount</b>
2005	\$ -
2006	\$ -
2007	\$ 82,978
2008	\$ 65,178
2009	\$ 301,076
2010	\$ 5,682,671
2011	\$ 2,206,951
2012	\$ -
<b>Total</b>	<b>\$ 8,338,854</b>

Source: DECD, OHCD



### C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

<b>Table 18: Summary of HOME Program Allocations and Expenditures</b>							
<b>FFY 12-13 HOME Allocation</b>	<b>Total HOME Allocations to Date</b>	<b>Amount of HOME Funds Expended During PY 12-13</b>	<b>Total Amount of HOME Funds Expended to Date</b>	<b>FFY 12-13 Allocated Admin Funds</b>	<b>Total Admin Allocated To Date</b>	<b>Amount of Admin Funds Expended During PY 12-13</b>	<b>Total Amount of Admin Funds Expended to Date</b>
\$ 6,684,554	\$ 20,025,733	\$ 8,338,854	\$ 209,840,983	\$ 668,455	\$ 21,122,170	\$ 1,314,672	\$ 21,585,241

Source: DECD, OHCD

### D. Contracted PY 2012-13

The following table (Table 19) provides a summary of contracts during the program year.

**Table 19: HOME Program Projects Contracted During Program Year 7/1/2012 to 6/30/2013**

Recipient Name	Project Name and Number	Project Location	Project Description	Total Estimated Project Cost	Proposed HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	Date AG signed contract
Hearth Homes of Waterbury, Inc.	Grace Elderly/ HM1215101	Waterbury	41 unit elderly rental housing development	\$11,419,068	\$2,400,000	Grant	2011	NC	R	20	7/12/2012
Jefferson Heights Housing, LLC	Jefferson Heights/ HM1108901	New Britain	70 unit elderly rental housing development	\$13,863,815	\$2,500,000	Loan	2011	NC	R	15	12/27/2012
West Village Limited Partnership	West Village Apartments/ HM1009301	New Haven	Rehab of an existing supportive housing rental development into 127 units of affordable housing with continued supportive services	\$17,624,552	\$2,424,000	Loan	2011	Rehab	R	27	6/5/2013
Total				\$42,907,435	\$7,324,000					62	

Source: DECD, OHCD  
 Key: Refer to "Key" Appendix

### E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

<b>Table 20: HOME Projects Contracted During PY 12-13 Summary of Geographic Distribution</b>	
<b>County</b>	<b>HOME \$</b>
New Haven	\$ 4,824,000
Hartford	\$ 2,500,000
Total	\$ 7,324,000

Source: DECD, OHCD

### F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

<b>Table 21: HOME Program Project Contracted During PY 2012-13 Summary by Activity</b>		
<b>Activity</b>	<b>HOME Funding</b>	<b>Number of Projects</b>
Rehab/Rental	\$2,242,000	1
NC/Rental	\$4,900,000	2
Total	\$7,324,000	3

Source: DECD, OHCD

Key: Refer to "Key" Appendix

### G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

<b>Table 22: HOME Projects Contracted During PY12-13 Identifying Funding Leveraged</b>							
<b>Project Name</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Proposed HOME Investment</b>	<b>Public Sector Financing</b>	<b>Private Financing/Private Equity</b>	<b>Total Financing Leveraged</b>	<b>Total Estimated Project Cost (\$)</b>
Grace Elderly	HM1215101	Waterbury	\$2,400,000	\$8,359,368	\$ 659,700.00	\$ 9,019,068	\$ 11,419,068
Jefferson Heights	HM1108901	New Britain	\$2,500,000	\$ 250,000	\$ 11,113,815	\$ 11,363,815	\$ 13,863,815
West Village Apartments	HM1009301	New Haven	\$2,424,000	\$1,676,000	\$ 13,524,552	\$ 15,200,552	\$ 17,624,552
Total							\$62,668,864

Source:  
DECD, OHCD

## H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

Table 23a: Summary of HOME Match Activity for PY 2012-13							
Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
\$1,676,000	\$17,716,303.36	\$19,392,303.56	State Funds	\$0.00	0.00%	\$0.00	\$19,392,303.56

Table 23b: HOME Match Liability History							
Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	0.00%	\$8,338,854.05	\$0.00	\$0.00	\$1,676,000.00	\$59,008,059.20	\$19,392,303.56

Source: DECD, OFA/OHCD

**I. Program Income Activity**

The following tables (Table 24a and 24b) provide a summary of program income activity.

<b>Table 24a: HOME Projects Funded During PY 2012-13 Using Program Income</b>								
<b>Project Name</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Activity</b>	<b>Unit Type</b>	<b>HOME Units</b>	<b>Source of Program Income</b>	<b>Program Income Awarded</b>	<b>Type of Project</b>
North Walke Housing	HM0510302	Norwalk	DPA	HO	11	1993 DPA	\$22,001.01	Homebuyer Assistance
ADDI-NHS of CT, Inc.	HM0517001	New Haven Waterbury	DPA	HO	9	1993 DPA	\$10,809.58	Multiple unit home ownership assistance projects
Hearth Homes of Waterbury	HM1215101	Waterbury	NC	R	41	1993 DPA	\$81,378.58	Elderly Rental Housing - HUD 202
<b>Total</b>							<b>\$114,189.17</b>	

Source: DECD, OFA/OSP

<b>Table 24b: HOME Program Income Activity for PY 2012-13</b>			
<b>Balance Carried Forward from Previous PY</b>	<b>Amount Received During PY 10-11</b>	<b>Amount Expended During PY 10-11</b>	<b>Balance to be Carried Forward to Next PY</b>
\$ 30,549	\$ 83,640	\$ 114,189	\$ -

Source: DECD, OFA/OSP

## J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

<b>Table 25: HOME Program -Summary of Dollar Value of MBE &amp; WBE Contracts* for PY 2012-13</b>					
<b>Project Name</b>	<b>Project Sponsor</b>	<b>Project Location</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
Jefferson Heights	Jefferson Heights Housing LLC		\$ 111,149	\$ 1,670,805	\$ 1,781,954
Woodcrest Elderly Housing Phase II	Somers Housing Authority	Somers	\$ 612,017	\$ 60,800	\$ 672,817
Huntington Woods	Winn Development		\$ 1,671,000	\$ 2,017,965	\$ 3,688,965
West Village Apartments	West Village LTD Partnership		\$ 955,569	\$ 951,725	\$ 1,907,294
Total			\$ 3,349,735	\$ 4,701,295	\$ 8,051,030

Source: DECD, OHCD

\* the dollar value of MBE & WBE contracts are based on HOME Projects under construction during the PY and may include financing from other sources.

## K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

## L. Community Housing Development Organization Awards

Table 27 has been omitted due to no awards to eligible CHDO's during the program year.

## M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

**Table 28: HOME Program Summary  
of Rental Projects Monitored During PY 2012-2013**

Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitoring	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Bridgeport	Bishop Curtis	HM0401502	Rehab	R	48	Occ	Post Occ	7/10/2012	1	HQS Compliant	Pass Inc Test
Glastonbury	Carter Court	2002064007	Rehab & NC	R	19	Occ	Post Occ	7/13/2012	1	HQS Compliant	Pass Inc Test
Hamden	River Ridge		NC	R	10	Occ	Post Occ	11/8/2012	1	Phys Findings/ Corr Made	Pass Inc Test
Hartford	Wethersfield Commons	1998064034	Rehab	R	11	Occ	Post Occ	10/10/2012	1	Phys Findings/ Corr Made	Pass Inc Test
Kent	South Commons	2001068001	NC	R	24	Occ	Post Occ	1/31/2013	1	Phys Findings	Pass Inc Test
New Hartford	Canterbury Village	2000092001	NC	R	10	Occ	Post Occ	12/20/2012	1	HQS Compliant	Pass Inc Test
Plymouth	Quail Hollow	1991-111-057-915-36	NC	R	14	Occ	Post Occ	12/20/2012	1	HQS Compliant	Pass Inc Test
Shelton	Ripton Apts.		Rehab	R	36	Occ	Post Occ	11/7/2012	1	HQS Compliant	Pass Inc Test
Windsor Locks	Grove St		Rehab	R	21	Occ	Post Occ	7/13/2012	1	Phys Findings/ Corr Made	Pass Inc Test
Hartford	NE Brackett Homes	HM0506405	NC	HO	20	Dev	Labor files	Ongoing, with each payment	1	No findings	Pass Test

**Activity Key:**  
 NC = New Construction  
 Rehab = Rehabilitation  
 HO = Homeownership  
 R = Rental

**Stages Key:**  
 Dev =Construction  
 Compl =Initial Occupancy  
 Occ =Ongoing occupancy

**Type of Monitoring Key:**  
 Unit Inspection  
 Sponsor Files/Labor Files  
 Site Visit  
 Inspec. Files

## N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

<b>Table 29: HOME Program Workshops &amp; Technical Assistance Provided During 2012-13 Program Year</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
CHAMP II NOFA and Application workshop	Presentation of NOFA, updates on changes to CONAPP and changes to process	10/17/2012	Hartford, CT.	non-profit, for profits housing development organizations and housing authorities	30
CHAMP III NOFA and Application workshop	Training on use of electronic Consolidated Application required for HOME Applications	3/26/2013	Hartford, CT.	non-profit, for profits housing development organizations and housing authorities	46
CT Fair Housing Conference: Affirmative Fair Housing Workshop	Training session on how to design an effective Affirmative Fair Housing Marketing and Tenant Selection Plan	4/25/2013	Cromwell, CT	owners of state financed affordable housing units applying for DECD funding to be used for preservation of the state housing portfolio	25
Affirmative Fair Housing Marketing Workshop	Training sessions on how to handle housing discrimination and affirmatively furthering fair housing	10/9/2012	Waterbury, CT	Fair housing officers, municipal representatives, assisted housing property managers and owners	35
		10/18/2012	New London, CT		28

Source: DECD, OHCD

## O. Closed Out PY 2012-13

The following table (Table 30) provides a summary of closed out projects for program year 2012-13.



**Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2012 to 6/30/2013**

Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DECD HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS
Town of Milford	Milford Town Rehabilitation Program/ HM0808401	Milford	Townwide housing rehab loan program	\$900,000	\$750,000	Grant	2008	Rehab	HO	40	4/29/13
Alpha Home Inc.	Jessica Tandy/ HM0701502	Bridgeport	6 units mutlfamily rental housing	\$2,353,805	\$596,874	Grant	2007	Rehab	R	6	7/20/12
Darling Drive Associates LP	Peachtree Village/ HM0900401	Avon	103 units multifamily rental housing	\$17,766,225	\$1,865,000	Loan	2010	NC	R	11	11/19/12
Corporation for Independent Living	Loan & Grants for Accessibility/ HM0506402	Statewide	statewide housing rehab/renovation grant & loan program	\$2,000,000	\$2,000,000	Grant	2005	Rehab	HO	42	11/19/12
Alfred E. Plant LLC	Alfred E. Plant/ HM0915502	West Hartford	137 units of elderly rental housing of which 42 are new construction	\$21,204,627	\$2,155,080	Loan	2010	Rehab and NC	R	14	11/21/12
Common Ground Cedarwoods Housing LLC	Cedarwoods/ HM0916301	Windham	56 unit multifamily rental housing development	\$14,305,354	\$3,430,000	Loan	2010	NC	R	22	11/21/12

Source: DECD, OHCD

#### IV. SC/CDBG Program Requirements

##### A. Resource Allocation PY 2012-13

The following table (Table 31) provides a summary of the resource allocation for program year 2012-13.

<b>Table 31: SC/CDBG Program Resource Allocation for PY 2012-2013</b>	
State Administration (2%)	\$ 240,354
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 120,177
Subtotal	\$ 460,531
Urgent Need (up to \$500,000)	\$ 500,000
Allocation available for all other eligible activities	\$ 11,057,174
FY 2012-13 Allocation	\$ 12,017,705

Source: DECD, OHCD

##### B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

<b>Table 32: SC/CDBG Program Funds Expended on Administration During PY 2012-13</b>			
<b>FFY 09-10 SC/CDBG Program Allocation Funding Allowed for Admin.</b>		<b>Amount of SC/CDBG Program Funding Expended on Admin.</b>	<b>Amount of Matching Funds Provided by State Toward Admin.</b>
\$ 100,000	State Admin.	\$ 340,354	\$ 240,354
\$ 240,354	2% of Allocation		

Source: DECD, OHCD

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

##### C. Contracted PY 2012-13

The following table (Table 33) provides a summary of contracts during the PY 2012-13.

**Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2012 to 6/30/2013**

Recipient/ Location Project #	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsg	# People	#Jobs Created/ Retained	Multi- family/ Elderly	Date AG Signed Contract
Ansonia	SC1200201	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Ashford	SC1200301	Food Bank Program	\$64,423.76	2012	PS					30			1/30/2013
Beacon Falls	SC1200601	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/24/2013
Berlin	SC1200701	Marjorie Moore Village Senior Housing Renovations	\$500,000.00	2012	PH/MOD	40	R	RHB	PH			E	1/8/2013
Bethlehem	SC1201001	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Brookfield	SC1201801	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Burlington	SC1202001	Regional Housing Rehab. Program	\$300,000.00	2012	HR	22	HO	RHB					1/8/2013
Canton	SC1202301	21 Dow d Senior Housing Renovations Phase 2	\$500,000.00	2012	PH/MOD	12	R	RHB	PH			E	1/24/2013
Coventry	SC1203201	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	15	HO	RHB					1/8/2013
East Windsor	SC1204701	Reconstruction of Cricket Rd. & Prospect Hill Rd.	\$400,000.00	2012	PF					137			1/8/2013
Ellington	SC1204801	Regional Housing Rehab. Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Enfield	SC1204901	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	15	HO	RHB					1/15/2013
Hampton	SC1206301	Regional Housing Rehab. Program	\$300,000.00	2012	HR	12	HO	RHB					1/8/2013
Jew ett City	SC1205801	Ashland Manor Senior Housing Renovations Phase 3	\$445,840.00	2012	PH/MOD	20	R	RHB	PH			E	1/8/2013
Killingly	SC1206901	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Litchfield	SC1207401	Tannery Brooks Cooperative Renovations	\$371,000.00	2012	PH/MOD	2	R	RHB	PH				1/8/2013
Mansfield	SC1207801	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	12	HO	RHB					1/8/2013
Marlborough	SC1207901	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Naugatuck	SC1208801	Housing Authority Renovations	\$500,000.00	2012	PH/MOD	6	R	RHB	PH			E	1/8/2013
Old Saybrook	SC1210601	Saye Brook Village Senior Housing Renovations	\$471,000.00	2012	PH/MOD	30	R	RHB	PH			E	1/8/2013
Plainfield	SC1210901	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	12	HO	RHB					12/19/2012
Preston	SC1211401	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Putnam	SC1211601	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Salisbury	SC1212201	Regional Housing Rehab. Program	\$300,000.00	2012	HR	15	HO	RHB					1/15/2013
Stafford	SC1213401	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Thompson	SC1214101	Gladys Green/Pneview Court Elderly Housing Rehab. Phase 1	\$500,000.00	2012	PH/MOD	70	R	RHB	PH			E	1/8/2013
Tolland	SC1214201	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	12	HO	RHB					1/8/2013
Vernon	SC1214601	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	16	HO	RHB					1/15/2013
Wallingford	SC1214801	Bldg Renov/Safety Improv- Ubrich Heights Senior Housing	\$500,000.00	2012	PH/MOD	34	R	RHB	PH			E	1/8/2013
Waterford	SC1215201	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Wethersfield	SC1215901	James Devlin Senior Housing Renovations	\$500,000.00	2012	HR	50	R	RHB	PH			E	1/8/2013
Windham	SC1216301	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	10	HO	RHB					1/8/2013
Woodbridge	SC1216701	Tow n-Wide Housing Rehabilitation Revolving Loan Program	\$300,000.00	2012	HR	12	HO	RHB					1/8/2013
Total			\$11,352,263.76			527				167			

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

<b>Table 34: CDBG Projects Contracted During PY 2012-13 Summary of Geographic Distribution</b>	
<b>County</b>	<b>CDBG \$</b>
Fairfield	\$ 300,000
Hartford	\$ 3,100,000
Litchfield	\$ 971,000
Middlesex	\$ 471,000
New Haven	\$ 1,900,000
New London	\$ 1,045,840
Tolland	\$ 1,500,000
Windham	\$ 2,064,424
<b>Total</b>	<b>\$ 11,352,264</b>

Source: DECD, OHCD

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

<b>Table 35: SC/CDBG Program Projects Contracted During PY 2012-13 Summary by Activity</b>		
<b>Activity</b>	<b>Total Funding</b>	<b>Number of Projects</b>
Homeowner Rehabilitation	\$ 6,600,000	22
Public Housing Rehabilitation	\$ 4,287,840	9
<b>Total Housing</b>	<b>\$ 10,887,840</b>	<b>31</b>
Public Service	\$ 64,424	1
Water/Sewer/Street Improvements	\$ 400,000	1
<b>Total Public Facilities</b>	<b>\$ 464,424</b>	<b>2</b>
<b>Total</b>	<b>\$ 11,352,264</b>	<b>33</b>

Source: DECD, OHCD

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

<b>Table 36: CDBG Projects Contracted During PY 12-13 Identifying Funding Leveraged</b>					
<b>Project Description</b>	<b>Project Number</b>	<b>Project Location</b>	<b>Proposed CDBG Investment</b>	<b>Total Financing Leveraged</b>	<b>Total Estimated Project Cost (\$)</b>
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1200201	Ansonia	\$300,000.00	\$50,000.00	\$350,000.00
Food Bank Program	SC1200301	Ashford	\$64,423.76	\$0.00	\$64,423.76
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1200601	Beacon Falls	\$300,000.00	\$50,000.00	\$350,000.00
Marjorie Moore Village Senior Housing Renovations	SC1200701	Berlin	\$500,000.00	\$23,460.00	\$523,460.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1201001	Bethlehem	\$300,000.00	\$10,000.00	\$310,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1201801	Brookfield	\$300,000.00	\$10,000.00	\$310,000.00
Regional Housing Rehab. Program	SC1202001	Burlington	\$300,000.00	\$22,000.00	\$322,000.00
21 Dowd Senior Housing Renovations Phase 2	SC1202301	Canton	\$500,000.00	\$0.00	\$500,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1203201	Coventry	\$300,000.00	\$0.00	\$300,000.00
Reconstruction of Cricket Rd. & Prospect Hill Rd.	SC1204701	East Windsor	\$400,000.00	\$548,565.00	\$948,565.00
Regional Housing Rehab. Program	SC1204801	Ellington	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1204901	Enfield	\$300,000.00	\$0.00	\$300,000.00
Regional Housing Rehab. Program	SC1206301	Hampton	\$300,000.00	\$36,000.00	\$336,000.00
Ashland Manor Senior Housing Renovations Phase 3	SC1205801	Jewett City	\$445,840.00	\$58,080.00	\$503,920.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1206901	Killingly	\$300,000.00	\$0.00	\$300,000.00
Tannery Brooks Cooperative Renovations	SC1207401	Litchfield	\$371,000.00	\$136,400.00	\$507,400.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1207801	Mansfield	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1207901	Marlborough	\$300,000.00	\$15,250.00	\$315,250.00
Housing Authority Renovations	SC1208801	Naugatuck	\$500,000.00	\$58,000.00	\$558,000.00
Saye Brook Village Senior Housing Renovations	SC1210601	Old Saybrook	\$471,000.00	\$0.00	\$471,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1210901	Plainfield	\$300,000.00	\$65,578.00	\$365,578.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1211401	Preston	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1211601	Putnam	\$300,000.00	\$0.00	\$300,000.00
Regional Housing Rehab. Program	SC1212201	Salisbury	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1213401	Stafford	\$300,000.00	\$0.00	\$300,000.00
Gladys Green/Pineview Court Elderly Housing Rehab. Phase 1	SC1214101	Thompson	\$500,000.00	\$0.00	\$500,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1214201	Tolland	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1214601	Vernon	\$300,000.00	\$11,250.00	\$311,250.00
Bldg Renov/Safety Improv- Ubrich Heights Senior Housing	SC1214801	Wallingford	\$500,000.00	\$30,000.00	\$530,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1215201	Waterford	\$300,000.00	\$10,000.00	\$310,000.00
James Devlin Senior Housing Renovations	SC1215901	Wethersfield	\$500,000.00	\$175,895.00	\$675,895.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1216301	Windham	\$300,000.00	\$0.00	\$300,000.00
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1216701	Woodbridge	\$300,000.00	\$25,000.00	\$325,000.00
<b>Total</b>			<b>\$11,352,263.76</b>	<b>\$1,335,478.00</b>	<b>\$12,687,741.76</b>

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

**G. 1% Technical Assistance Funds**

The following table (Table 37) provides a summary of 1% technical assistance funds.

<b>Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2012-2013</b>	
<b>Activity</b>	<b>Amount</b>
Conference	\$ 17,269.00
Payroll	\$ 33,424.58
Total	\$ 50,693.58

Source: DECD, OFA

**H. De-obligated Funds**

The following table (Table 38) provides a summary of de-obligated funds.

<b>Table 38: SC/CDBG Program De-Obligated Activity During PY 2012-13</b>					
<b>Town</b>	<b>Project #</b>	<b>Fund Year</b>	<b>Old Activity</b>	<b>Funds De-obligated</b>	<b>Date Returned</b>
Putnam	SC0911601	2009	Commercial Façade Program	\$ 246,000	05/22/13
Litchfield	SC0907401	2009	Housing Rehab	\$ 18,035	03/01/13
Monroe	SC0808501	2008	Public Housing Modernization	\$ 117,500	07/24/12
Norfolk	SC0809801	2008	Public Housing Modernization	\$ 36,235	04/11/13
Putnam	SC0911601	2009	Commercial Façade Program	\$ 246,000	05/22/13
Litchfield	SC0907401	2009	Housing Rehab	\$ 18,035	03/01/13
			Total	\$ 450,681	

Source: DECD, OFA

### I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

<b>Table 39: SC/CDBG Program - Summary of Dollar Value of MBE &amp; WBE Contracts for PY 2012-2013</b>				
<b>Grantee</b>	<b>Project Number</b>	<b>Dollar Amount MBE</b>	<b>Dollar Amount WBE</b>	<b>Total</b>
Ansonia	SC1100201	\$ 23,000	\$ 75,000	\$ 98,000
Beacon Falls	SC1200601	\$ -	\$ 70,000	\$ 70,000
Bethel	SC1100901	\$ 64,950	\$ -	\$ 64,950
Bethlehem	SC1201001	\$ -	\$ 70,000	\$ 70,000
Brookfield	SC1201001	\$ -	\$ 70,000	\$ 70,000
Clinton	SC1002701	\$ 9,600	\$ -	\$ 9,600
Clinton	SC1102701	\$ 6,000	\$ -	\$ 6,000
Cromwell	SC1103301	\$ -	\$ 8,382	\$ 8,382
Derby	SC1103701	\$ 9,615	\$ -	\$ 9,615
East Windsor	SC1104701	\$ 4,800	\$ 5,500	\$ 10,300
East Windsor	SC1204701	\$ 542,049	\$ -	\$ 542,049
Farmington	SC1005201	\$ 70,705	\$ -	\$ 70,705
Hampton	SC1206301	\$ -	\$ 9,000	\$ 9,000
Mansfield	SC1207801	\$ -	\$ 20,110	\$ 20,110
Naugatuck	SC1208801	\$ 29,460	\$ 29,460	\$ 58,920
Naugatuck	Program Income	\$ 26,500	\$ -	\$ 26,500
New Fairfield	SC1109101	\$ 56,194	\$ -	\$ 56,194
New Hartford	SC0909201	\$ 23,750	\$ -	\$ 23,750
Newington	SC0909401	\$ -	\$ 11,555	\$ 11,555
North Canaan	SC1110001	\$ 6,500	\$ -	\$ 6,500
North Haven	SC1110101	\$ -	\$ 16,491	\$ 16,491
Plainville	SC1011001	\$ 32,484	\$ -	\$ 32,484
Plymouth	SC1011101	\$ 114,150		\$ 114,150
Preston	SC1211401	\$ -	\$ 70,000	\$ 70,000
Putnam	SC1211601	\$ -	\$ 70,000	\$ 70,000
Shelton	SC1112601	\$ 23,739	\$ -	\$ 23,739
Southbury	Program Income	\$ 28,872	\$ -	\$ 28,872
Sprague	SC1113301	\$ 2,780	\$ 28,431	\$ 31,211
Stafford	SC1213401	\$ -	\$ 814	\$ 814
Thomaston	SC1114001	\$ 44,652	\$ -	\$ 44,652
Torrington	SC1014301	\$ 103,250	\$ -	\$ 103,250
Waterford	SC1215201	\$ -	\$ 70,000	\$ 70,000
Windsor Locks	SC1116501	\$ -	\$ 875	\$ 875
Wolcott	SC0916601	\$ 149,370	\$ -	\$ 149,370
Wolcott	SC1016601	\$ 16,490	\$ -	\$ 16,490
<b>Total</b>		<b>\$ 1,388,910</b>	<b>\$ 625,618</b>	<b>\$ 2,014,528</b>

Source: DECD, OHCD

**J. Program Objectives**

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DECD has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DECD's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. Beginning with FFY '92, DECD now requires that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

<b>Table 40: SC/CDBG Program Summary of Affordable Housing Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Affordable Housing</b>	<b>% of Funding for Affordable Housing</b>
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263.76	\$ 1,121,442	\$ 9,832,840	87%

Source: DECD, OHCD



Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though DECD's rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, DECD created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of DECD's effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for DECD staff as well as applicants it included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

<b>Table 41: SC/CDBG Program Summary of Economic Development Activity</b>				
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Economic Development</b>	<b>% of Funding for Economic Development</b>
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%

Source: DECD, OHCD

## Program Secondary Objectives:

### Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

### Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DECD under neighborhood revitalization strategies in DECD's 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

### Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

### Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

### Enforcement of Housing and Health Codes

DECD's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

### Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

### Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

### Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DECD continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

**K. Benefit To L.M.I. Persons**

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DECD's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

<b>Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity</b>					
<b>FFY</b>	<b>Amount Obligated to Recipients</b>	<b>Funds for Local Administration</b>	<b>Amount Meeting National Objective</b>	<b>Amount of Funding to which Benefit Test Applies</b>	<b>% of Low/Moderate Benefit</b>
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,263	\$ 1,121,442	\$ 10,230,821	\$ 10,230,821	90%

Source: DECD, OHCD

### L. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

<b>Table 43: CDBG Program Summary of Projects Monitored During PY 2012–13</b>						
<b>Project Location</b>	<b>Project Name</b>	<b>Project Number</b>	<b>Activity</b>	<b>Dollar Amount</b>	<b>Type of Monitorings</b>	<b>Date of Monitoring</b>
Wallingford	Sidewalks	SCR0914801	PF	\$971,400	Close-out	7/10/12
Torrington	Sidewalks	SCR0914301	PF	\$376,500	Close-out	8/31/12
Vernon	Prospect Street Reconstruction	SC1014601	PF	\$250,000	Close-out	8/28/12
East Haven	Housing Rehab	SC0904401	HR	\$300,000	Close-out	9/25/12
Ellington	Housing Rehab	SC0904801	HR	\$300,000	Close-out	10/2/12
East Windsor	Prospect Hill Drainage	SC1004701	PF	\$500,000	Financial	10/9/12
East Hampton	Senior Center	SC0904201	PF	\$750,000	Close-out	10/16/12
Bethlehem	Elderly Housing Rehab	SC1001001	PH/MOD	\$461,000	Close-out	10/23/12
Coventry	Elderly Housing Rehab	SC1003201	PH/MOD	\$500,000	Close-out	11/6/12
New Hartford	Housing Rehab	SC0909201	HR	\$300,000	Close-out	11/13/12
Jewett City	Elderly Housing Rehab	SC1005801	PH/MOD	\$575,000	Close-out	11/27/12
Clinton	Housing Rehab	SC0902701	HR	\$300,000	Close-out	12/4/12
Newington	Mill Street Improvements	SC0909401	PF	\$500,000	Close-out	12/11/12
Enfield	Sidewalks, Demolition & Acq.	SCR0904901	PF	\$500,000	Close-out	1/8/13
East Windsor	Prospect Hill Drainage	SC1004701	PF	\$500,000	Close-out	1/15/13
Windsor	Sidewalks, Demolition & Rehab	SCR0916401	PF	\$640,500	Close-out	01/22/13
Windsor Locks	Street Improvements	SC1016501	PF	\$500,000	Close-out	02/05/13
Berlin	Elderly Housing Rehab	SC0900701	PH/MOD	\$700,000	Close-out	03/05/13
Essex	Elderly Housing Rehab	SC1005001	PH/MOD	\$500,000	Close-out	03/26/13
Windsor	Housing Rehab	SC0916401	HR	\$300,000	Close-out	04/23/13
Canterbury	Housing Rehab	SC1002201	HR	\$300,000	Close-out	06/11/13
Woodstock	Housing Rehab	SC1016901	HR	\$300,000	Close-out	06/18/13
Windham	Housing Rehab	SC1016301	HR	\$300,000	Close-out	06/25/13

Source: DECD, OHCD

### M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

<b>Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2012-2013</b>					
<b>Event Name</b>	<b>Event Description</b>	<b>Date of Event</b>	<b>Location of Event</b>	<b>Type of Attendees</b>	<b># of Attendees</b>
Competitive Funding Application Workshop	Explanation of competitive funding round for 2013 allocation year	1/30/13	Hartford, CT	Town Officials and Consultants	39
Residential Rehab. Management & Specification Writing Workshop	Training on how to manage a rehab program and specification writing	10/3/12 & 10/4/12	Hartford, CT	Rehab Specialists and Consultants	60

Source: DECD, OHCD

**N. Closed Out PY 2012-13**

The following table (Table 45) provides a summary of closed out projects for program year 12-13.

<b>Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2012 to 6/30/2013</b>										
<b>Recipient/ Location</b>	<b>Project #</b>	<b>Project Description</b>	<b>Grant Awarded</b>	<b>Year Funded From</b>	<b>Activity</b>	<b># Units</b>	<b>Type Rental/ HO</b>	<b>NC/ Rehab</b>	<b># People</b>	<b>JOBS</b>
Ansonia	SC1000201	Town-wide Housing Rehabilitation	\$300,000	2010	HR	8	HO	Rehab		
Bethel	SC0900901	Town-wide Housing Rehabilitation	\$300,000	2009	HR	13	HO	Rehab		
Bethlehem	SC1001001	Senior Housing Renovations	\$461,000	2010	PH/MOD	24	R	Rehab		
Coventry	SC0903201	Town-wide Housing Rehabilitation	\$300,000	2009	HR	12	HO	Rehab		
Coventry	SC1003201	Senior Housing Renovations	\$500,000	2010	PH/MOD	80	R	Rehab		
Enfield	SC0704901	Town-wide Housing Rehabilitation	\$300,000	2009	HR	13	HO	Rehab		
Litchfield	SC0907401	Senior Housing Renovations	\$700,000	2009	PH/MOD	30	R	Rehab		
Middlefield	SC0908201	Town-wide Housing Rehabilitation	\$300,000	2009	HR	11	HO	Rehab		
Monroe	SC0808501	Senior Housing Renovations	\$700,000	2008	PH/MOD	30	R	Rehab		
Naugatuck	SCR0908801	Sidewalk Improvements - stimulus	\$420,000	2009	PF				1,244	
Norfolk	SC0809801	Senior Housing Renovations	\$675,000	2008	PH/MOD	28	R	Rehab		
North Branford	SC0909901	Senior Housing Renovations	\$700,000	2009	PH/MOD	60	R	Rehab		
Old Saybrook	SC0710601	Town-wide Housing Rehabilitation	\$300,000	2007	HR	23	HO	Rehab		
Prospect	SC0811501	Town-wide Housing Rehabilitation	\$300,000	2008	HR	23	HO	Rehab		
Southington	SC0613101A	Senior Housing Renovations	\$675,000	2006	PH/MOD	40	R	Rehab		
Tolland	SC0914201	Town-wide Housing Rehabilitation	\$300,000	2009	HR	11	HO	Rehab		
Vernon	SC0914601	Street Improvements	\$500,000	2009	PF				6,987	
Wallingford	SCR0914801	Street Improvements - Stimulus	\$971,400	2009	PF				660	
			\$8,702,400			406			8,891	

\* "Closed Out" is defined as the date the project was closed out in IDIS.

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

### O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2012-13.

<b>Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2012-2013</b>		
<b>Activity</b>	<b>Issued To:</b>	<b>Date</b>
Annual PHA Plan	Canton Housing Authority	8/16/2012
Annual PHA Plan	Wethersfield Housing Authority	8/16/2012
Annual PHA Plan	Newington Housing Authority	8/16/2012
Annual PHA Plan	South Windsor Housing Authority	8/16/2012
Annual PHA Plan	Ansonia Housing Authority	9/19/2012
Section 32-141(b)(1) of CGS	New Haven Housing Authority	10/4/2012
Section 32-141(b)(1) of CGS- Farnam Courts	New Haven Housing Authority	12/11/2012
Section 32-141(b)(1) of CGS-Ribicoff Cottages	New Haven Housing Authority	12/11/2012
Stamford Shelter Plus Care: PRA Colony Building, 41 Ludlow St.	CT. DMHAS: Shelter Plus Care	12/13/2012
Stamford Shelter Plus Care: PRAR Atlantic Building, 660 Atlantic St	CT. DMHAS	12/13/2012
Stamford Shelter Plus Care:TRA, scattered sites	CT. DMHAS	12/13/2012
Bridgeport Shelter Plus Care: PRAR Crescent Building, 431 Washington Ave.	CT. DMHAS	12/13/2012
Bridgeport Shelter Plus Care: PRA Fairfield Apart., 1062 Fairfield Ave.	CT. DMHAS	12/13/2012
Bridgeport Shelter Plus Care: TRA, scattered sites	CT. DMHAS	12/13/2012
Norwalk Shelter Plus Care: TRA, scattered sites	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care: 1993 Hudson View Commons I: 525 Hudson St.	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care: 1993 Hudson View Commons II: 525 Hudson St.	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care : MSP Renewal, 2197 Main St.	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care: Soromundi Commons, 135 Broad St.	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care: 1993 TRA Renewal, scattered sites	CT. DMHAS	12/13/2012
Hartford Shelter Plus Care: HEARRT 20, scattered sites	CT. DMHAS	12/13/2012
New London Shelter Plus Care: scattered sites New London County	CT. DMHAS-BOS	12/13/2012
New Britain Shelter Plus Care: scattered sites	CT. DMHAS-BOS	12/13/2012
Middletown Shelter Plus Care: TRA ,scattered site Middlesex county	CT. DMHAS-BOS	12/13/2012
Middletown Shelter Plus Care: SRA, scattered sites,Middlesex county	CT. DMHAS-BOS	12/13/2012
Middletown Shelter Plus Care: PRA, 8 Liberty St.	CT. DMHAS-BOS	12/13/2012
Meriden Wallingford Shelter Plus Care: scattered sites	CT. DMHAS-BOS	12/13/2012
Manchester Shelter Plus Care: scattered sites	CT. DMHAS-BOS	12/13/2012
Hartford-Balance of State shelter Plus Care: HEARRT 53, scattered sites , suburban Hartford	CT. DMHAS-BOS	12/13/2012
New Haven Shelter Plus Care: 2005 TRA-Hamden, scattered site	CT. DMHAS-BOS	12/13/2012
Danbury Shelter Plus Care: CH1-78 Triangle Street	CT. DMHAS-BOS	12/13/2012
Danbury Shelter Plus Care: scattered sites	CT. DMHAS-BOS	12/13/2012
Supportive Housing: Western Housing Options 1, 249 Winsted Rd. Torrington	CT. DMHAS-BOS	12/13/2012
Supportive Housing: Western Housing Options 2, 249 Winsted Rd. Torrington	CT. DMHAS-BOS	12/13/2012
Supportive Housing : Western Housing Options 3, 249 Winsted Road, Torrington	CT. DMHAS-BOS	12/13/2012
United Services TRA:Shelter Plus Care- 955 South Main,	CT. DMHAS-BOS	12/13/2012

Middletown		
Windham Shelter Plus Care: 25 Vermont St.	CT. DMHAS-BOS	12/13/2012
Supportive Housing Program HUD 1, scattered sites, New London County	CT. BoS CoC-Alliance for Living	1/11/2013
Supportive Housing Program: HUD 2, scattered sites, New London county	CT. BoS CoC-Alliance for Living	1/11/2013
Supportive Housing Program: HUD 3, scattered sites, New London county	CT. BoS CoC-Alliance for Living	1/11/2013
Supportive Housing Program: Flora O'Neil Aparments, 103 Cliff St, Norwich	CT. BoS CoC-Bethsaida Community, Inc.	1/11/2013
Supportive Housing Program: Katie Blair House: 117 Cliff St., Norwich	CT. BoS -Bethsaida Community, Inc.	1/11/2013
Supportive Housing Program: Community Living Program- scattered sites, New London county	CT. BoS- Bhcare, Inc.	1/11/2013
Supportive Housing Program: Harbor House Opportunities, 46 Briarwood Lane, Branford	CT. BoS- BHcare, Inc.	1/11/2013
Bhcare Supportive Housing Program 01: scattered sites- Ansonia, Derby, Shelton, Seymour, Oxford	CT.BoS- BHcare Inc.	1/11/2013
Bhcare Supportive Housing Program 11: scattered sites- Ansonia, Derby, Shelton, Seymour, Oxford	CT. BoS- BHcare, Inc.	1/11/2013
Bhcare Supportive Housing Program 12: scattered sites- Ansonia, Derby, Shelton, Seymour, Oxford	CT. BoS- BHcare, Inc.	1/11/2013
CT Homeless Management Information Systems, 257 Lawrence St. Hartford	CT. BoS- CT. Coalition to End Homelessness	1/11/2013
CHR Supportive Housing Program: scattered sites- Manchester, Tolland Counties	CT. BoS-Community Health Resources	1/11/2013
Manchester Supportive Housing Program: scattered sites- Manchester, Tolland Counties	CT. BoS- Community Health Resources	1/11/2013
BoS 2012 New Supportive Housing Program: scattered sites West Haven, Hamden	CT. BoS-Columbus House, Inc.	1/11/2013
BoS 2011 Supportive Housing Program: scattered sites- West Haven, Hamden	CT. BoS- Columbus House, Inc.	1/11/2013
Supportive Housing Program: scattered sites- Bloomfield	CT. BoS- Community Renewal Team, Inc.	1/11/2013
Permanent Supportive Housing Program: scattered sites -East Hartford	CT. BoS- Community Renewal Team, Inc.	1/11/2013
Permanent Supportive Housing Program 2012: Scattered sites- New Britain, Bristol	CT. BoS- Community Mental Health Affiliates, Inc.	1/11/2013
Permanent Supportive Housing Bonus Dollars Program 2012: scattered sites- New Britain, Bristol	CT. BoS- Community Mental Health Affiliates, Inc.	1/11/2013
Permanent Supportive Housing Program Homes Plus: scattered sites- Windham, Tolland counties	CT. BoS-Holy Family Home and Shelter, Inc.	1/11/2013
Shelter Plus Care Putnam Wrap Around Housing Program: scattered sites Putnam	CT. BoS- Putnam Housing Authority	1/11/2013
Shelter Plus Care Wrap around Housing TRA: scattered sites- Killingly	CT. BoS- Killingly Housing Authority	1/11/2013
Saint Philip House Permanent Supportive Housing Program: 80 Broad St, Plainville	CT. BoS-St. Philip House, Inc.	1/11/2013
Supportive Housing Program/Homeless Collaborative Network: scattered sites, New London County	CT. BoS- Thames Valley Council for Community Action, Inc.	1/11/2013
Haven Supportive Housing Program: scattered sites- Windham, Tolland counties	CT. BoS- Haven/Windham Regional Community Council	1/11/2013
Project Home Permanent Supportive Housing Program: scattered sites Windham, Tolland Counties	CT. BoS- Haven/Windham Regional Community Council	1/11/2013
HUD Lead Based Paint Hazard Control CFDA 14.900: CT. Healthy Homes Partnership- Windham Tolland Counties, Towns of Windsor, Enfield	Northeast District Department of Health	1/24/2013
Annual PHA Plan	East Haven Housing Authority	2/20/2013
Annual PHA Plan	Glastonbury Housing Authority	2/20/2013

Section 32-141(b)(1) of CGS- Augustana Homes	Bridgeport Housing Authority	3/1/2013
Annual PHA Plan	Dept. of Social Services Section 8 Program	4/12/2013
Annual PHA Plan	Willimantic Housing Authority	5/13/2013

For Public Comment



## V. ESG Program Requirements

### A. State Summary Report SFY 2012

#### 1. Grantee and Community Profile

The Department of Social Services (DSS) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/12– 6/30/2013.

As a lead agency for the provision of emergency shelter services and multi-family or single room residency program to individuals and/or families who are homeless, DSS administers Connecticut's Emergency Shelter Grant (ESG) Program. As previously indicated, the newly created Department of Housing will be responsible for the administration of this program in the next program year.

DSS works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DSS contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs, transitional living programs, rapid rehousing programs, etc. DSS is able to meet its goal of providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DSS contractually requires its emergency shelter providers to utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DSS provided a portion of funding for the Point in Time Count (conducted during last week in January 2012)).

In administering its \$5.4 billion dollar agency budget, DSS allocated Federal and State funds for a combined total of \$18,492,934.92 for the provision of housing assistance and supportive services to homeless people. Noteworthy: The composition of the combined funding includes federal carry forward allotments as well as, ESG-Homelessness Prevention and Rapid Rehousing Program.

During the past twelve (12) months, twenty four (24) of the Department's forty (40) non-profit organizations received funding from the FFY'12 ESG Program for shelter operations, administration and rapid rehousing. The ESG total allocated for DSS equals \$1,165,370.00. DSS did not utilize any ESG funding for agency administration.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

#### 2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

## **Homeless Prevention & Supportive Housing**

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

### **Objective 1:**

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized Beyond Shelter Program and Housing First for Families Programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Convened 1<sup>st</sup> year HPRP Advisory Committee Anniversary Meeting to review HPRP from a statewide perspective.
- Introduced a new statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.

### **Objective 2:**

- Enhance suitable living environments that assist families and individuals to remain in permanent housing.
- Continue existing resources for state's Eviction Foreclosure and Prevention Program.

### **Objective 3:**

- Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.
- Network with CT Coalition to End Homelessness' (CCEH) efforts to support community Ten Year Plans to End Homelessness. 13 Plans are currently available for view on CCEH's website, <http://www.cceh.org/publications.htm>

### **Objective 4:**

Maintain the state's network of "Homeless Shelters."

- Utilized Beyond Shelter Program, Housing First for Families Programs and now Rapid Rehousing programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Support state's network of Homeless shelters and in SFY 2011 budget, an allocation was identified for HMIS data entry staff and systems.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.
- Minimum of leveraged monies for homeless shelters = \$10,603,004.00
- Number of DSS funded shelters – 44
- Total number of beds (statewide) = 1,358; Number of shelters that service individuals only = 12; number of shelters that service families only = 11; Number of shelters that serve both families and individuals = 21
- Total number of clients served with ESG funding = 8,650

**Other Special Needs**

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

***Elderly and Frail Elderly***

**Objective 2:**

Increase the number of elderly and frail elderly clients served by DSS.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.
- Through the Division of Aging services, linkages among federal and state agencies, as well as community providers and consumers is strengthened. This coordination of services assists the state in identification of persons in need, as well as ensure that service are in place at the appropriate time.

***Persons with Disabilities***

**Objective 4:**

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.

**B. Consolidated Annual Performance and Evaluation Report**

**See Appendix I.**

## **VI. HOPWA Program Requirements**

### **A. HOPWA Executive Summary FY 2013**

This is the State of Connecticut's 2013 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Social Services (DSS) administers Connecticut's HOPWA formula grant for the Balance of State, which includes the following Counties: Litchfield, Middlesex, New London and Windham.

DSS works collaboratively with the CT AIDS Resource Coalition (CARC) that receives a DSS contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DSS staff representatives and the staff of CARC. With the partnership of CARC and the local providers, DSS is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut. Also during Program Fiscal Year (PFY) 2012 - 2013, DSS conducted monitoring visits, utilizing the required HUD Exhibits for the 2 HOPWA sub-recipients funded during this period.

In PYR 2013, the Department of Social Services received \$262,908 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2012 to June 30, 2013. It is a "balance of state" program that served 43 unduplicated persons with HIV/AIDS and their families through agreements between DSS and four non-profit organizations located in the New London, Middlesex and Litchfield Counties, Connecticut.

Beginning in the previous program year, DSS added contractual language to require Homeless Management Information System (HMIS) utilization. The CAPER for this program year was submitted from information generated from CTHMIS. CTHMIS can generate the CAPER; however, fiscal details cannot be generated from the system.

In the HUD letter dated November 10, 2011, it identified "Areas of Improvement/Consideration" for the Department. The Department has actively embraced all the suggestions for improvement and implemented them into current operations. As previously noted, beginning with PYR 14, these activities will be carried out by the newly created Department of Housing (DOH). DOH will continue to copy Cecelia Peppers-Johnson on all correspondences to HUD-headquarters. DOH will continue to enter information specific to HOPWA into IDIS. DOH has requested that its fiscal division complete quarterly drawdowns. DOH continues to participate in quarterly HOPWA grantee meetings and is raising issues with the Balance of State Continuum of Care around representation of persons with HIV/AIDS.

#### **Grantee and Community Overview.**

During the 2012/2013 federal fiscal year, the Department of Social Services provided funding to communities in the Balance of State in Litchfield, Middlesex, and New London counties.

The department allocated HOPWA funding to the following non-profit community service sponsor agencies:

**Center for Independent Living Northwest CT, Inc.** The Center for Independent Living Northwest CT, Inc. is a consumer controlled, community based and cross-disability independent living center. The mission of the program is to promote independent living so that people with disabilities have the same opportunities that all citizens have without discrimination. Independence NW provides 10 scattered site TBRA units to individuals and/or families living with HIV/AIDS. Supportive services are provided to all program participants and their household members. Supportive services include referrals to other community resources, job training, substance abuse counseling, medical/mental health referrals, and legal services.

**New Opportunities, Inc.** is a non-profit community action agency that serves the HOPWA clients in Litchfield County. NOW offers a variety of social service programs designed to eliminate poverty and assist people in need. The agency aims to increase self sufficiency of its consumers and support their move out of poverty toward a more middle class lifestyle. The program provided short term mortgage rent utilities assistance to 4 individuals during the past year.

**Columbus House, Inc.** is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 8 tenant based rental assistance units in Middlesex county and 3 tenant based rental assistance units in New London County. 10 families in Middlesex and New London counties received short term rent, mortgage and utility assistance in order to remain in stabilized housing.

**Mercy Housing and Shelter Corporation, Inc.** is a non-profit housing organization that provides assistance to HOPWA clients in Middlesex County. During the two month extension of the contract, Mercy provided services to 7 clients in need of tenant based rental assistance.

## **B. Formula Program Accomplishments**

### **Other Special Needs**

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

### ***Persons with HIV/AIDS and their Families***

#### **Objective 1:**

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 49 individuals and/or families in Middlesex and Litchfield counties. The actual number of individuals and families which benefited from TBRA dollars was 43.
- The original goal was to serve 22 individuals and families with STRMU subsidies and 14

consumers benefit from this assistance in New London and Middlesex counties.

**Objective 2:**

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DSS is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DSS encourages programs that fall into “balance of state” – Windham, Litchfield, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control’s (CDC) Enhanced Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act.

**Objective 3:**

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, who provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DSS is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by CARC, in conjunction with the Department of Social Services. The audit process is entitled: Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

*\*\*Please note that the QARP, also know as audit, does not replace or supercede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to subgrantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DSS has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut that was implemented during FY 2007-08. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted the Center for Urban Community Services (CUCS) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Social Services (DSS). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DSS staff coordinate rent subsidy contract monitoring with the CUCS services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”.

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.

- The department continues to review existing measures to evaluate how they line up with the Opening Door Campaign measures for the target population.
- The Department has started conversations with CARC about the possibility of conducting a Needs Assessment as it relates to AIDS Housing in Connecticut. A firm date for the issuance of the Needs Assessment is not available at this time.

#### **B. Consolidated Annual Performance & Evaluation Report**

**See Appendix J.**

For Public Comment

## **VII. Citizen Participation**

### **A. Summary**

The State of Connecticut 2012 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DECD solicited public input on the draft 2012 CAPER during a 15 day Public Comment Period from December 12, 2013 through December 27, 2013. Notification for the public commentary period was published in two newspapers on December 12, 2013 including one in Spanish. The legal notice as well as a copy of the draft 2013 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's Regional Planning Agencies. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

### **B. Comments Received**

TO BE COMPLETED AFTER PUBLIC COMMENT PERIOD

For Public Comment



## **VIII. Appendix**

- A. Legal Notice
- B. Newspaper Publication - To be added after public comment
- C. HOME Program Annual Performance Report, HUD Form 40107
- D. HOME Program: Section 3 Summary Report, HUD Form 60002
- E. HOME Program Match Report, HUD Form 40107-A
- F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
- G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
- H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
- I. ESG Program: Consolidated Annual Performance and Evaluation Report
- J. HOPWA Program: Consolidated Annual Performance and Evaluation Report
- K. KEY

**Notice of Public Comment Period**  
**State of Connecticut**  
**Consolidated Annual Performance and Evaluation Report**  
**for the 2012-2013 Program Year**

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Consolidated Annual Performance and Evaluation Report (CAPER) for the 2012-2013 Program Year. This report contains detailed information on the four federal formula grant programs governed by the State's 2010-2015 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding for the 2012-2013 Program Year for the four programs represents \$20,276,420. The HOME and SC/CDBG programs were administered by the Department of Economic and Community Development and the ESG and HOPWA programs were administered by the Department of Social Services. All of these programs will be administered by the newly created Department of Housing.

The CAPER is available for review and public comment from December 12, 2013 through December 27, 2013. A copy of the CAPER and related documents are available on the Department of Housing's web site, <http://www.ct.gov/doh/site/default.asp> or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the CAPER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7106 or [CT.Housing.Plans@ct.gov](mailto:CT.Housing.Plans@ct.gov) thru December 27, 2013. All comments received will be included in the final version of the CAPER.

Department of Social Services, Economic and Community Development, and Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, Michael Santoro at (860) 270-8171.

Publication Date: December 12, 2013

**Appendix B. Newspaper Publication**

**TO BE ADDED POST PUBLIC COMMENT**

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting	Ending	

## Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
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## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number					
2. Dollar Amount					
B. Sub-Contracts					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)			f. White Non-Hispanic
Households Displaced	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
5. Households Displaced - Number						
6. Households Displaced - Cost						

### Section 3 Summary Report

Economic Opportunities for  
Low – and Very Low-Income Persons

U.S. Department of Housing  
and Urban Development  
Office of Fair Housing  
And Equal Opportunity

OMB Approval No: 2529-0043  
(exp. 8/31/2007)

Hud Field Office:
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See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)		2. Federal Identification: (contract/award no.) <b>HOME Program</b>		3. Dollar Amount of Award: \$12,775,718.04	
		4. Contact Person <b>Donald LaPointe</b>		5. Phone: (include area code) 860-270-8184	
		6. Reporting Period: October 1, 2012 to September 30, 2013		7. Date Report Submitted: November 19, 2013	
8. Program Code *	6	(Use a separate sheet for each program code)		9. Program Name: Home Program	

**Part I: Employment and Training (\*\* Include New Hires in columns E & F.)**

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0	0	0	0	0
Technicians	0	0	0	0	0
Office/Clerical	0	0	0	0	0
Construction by Trade (List Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Other (List)	0	0	0	0	0
	0	0	0	0	0
<b>Total</b>					

<p>* Program Codes 1 = Flexible Subsidy 2 = Section 202/811</p>	<p>3 = Public/Indian Housing A = Development, B = Operation C = Modernization</p>	<p>4 = Homeless Assistance 5 = HOME 6 = HOME State Administered 7 = CDBG Entitlement</p>	<p>8 = CDBG State Administered 9 = Other CD Programs 10 = Other Housing Programs</p>
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**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 44,407,026
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 4,111,872
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	09 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 3,055,207
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to recipients of housing and community development assistance in excess of \$200,000 expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B:** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C:** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F:** Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self-explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



**U.S. Department of Housing and Urban Development**  
Office of Community Planning and Development

**HOME Match Report**

<b>Part I Participant Identification</b>		Match Contributions for <b>Federal Fiscal Year (yyyy)</b>	
1. Participant No. (assigned by HUD) M-92-SG-09-0100	2. Name of the Participating Jurisdiction State of Connecticut, Department of Housing (formerly Economic and Community Devel)	3. Name of Contact (person completing this report) Michael Santoro	4. Contact's Phone Number (include area code) 860-270-8171
5. Street Address of the Participating Jurisdiction 505 Hudson Street	7. State CT	8. Zip Code 06106-7106	
6. City Hartford			

**Part II Fiscal Year Summary**

1. Excess match from prior Federal fiscal year	\$	17,716,303.36
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	1,676,000.00
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$	19,392,303.56
4. Match liability for current Federal fiscal year	\$	0.00
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$	19,392,303.56

**Part III Match Contribution for the Federal Fiscal Year**

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
PR33 attached								

Name of the Participating Jurisdiction

Federal Fiscal Year (yyyy)

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

## Instructions for the HOME Match Report

### Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

### Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF  
Room 7176, HUD, 451 7th Street, S.W.  
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

### Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

### Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for re-placements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

**Ineligible forms of match include:**

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
Home Matching Liability Report

CONNECTICUT

FiscalYear	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
2000	25.0 %	4,691,397.14	3,264,527.80	816,131.95
2001	25.0 %	9,624,703.09	8,190,947.60	2,047,736.90
2002	25.0 %	24,771,275.69	22,688,077.84	5,672,019.46
2003	12.5 %	10,619,127.62	9,688,684.38	1,211,085.54
2004	12.5 %	12,924,023.84	11,870,651.02	1,483,831.37
2005	25.0 %	11,355,818.82	9,732,451.00	2,433,112.75
2006	25.0 %	6,804,889.39	5,744,907.25	1,436,226.81
2007	25.0 %	15,232,221.41	13,883,604.34	3,470,901.08
2008	25.0 %	9,517,342.65	8,465,697.99	2,116,424.49
2009	25.0 %	12,124,023.23	11,152,650.02	2,788,162.50
2010	12.5 %	19,797,828.40	18,451,431.99	2,306,428.99
2011	12.5 %	15,821,598.50	15,075,076.74	1,884,384.59
2012	25.0 %	13,720,902.71	13,083,571.47	3,270,892.86
2013	0.0 %	8,338,854.05	0.00	0.00



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2012

**Count of CDBG Activities with Disbursements by Activity Group & Matrix Code**

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Acquisition	Acquisition of Real Property (01)	0	\$0.00	3	\$0.00	3	\$0.00
	Cleanup of Contaminated Sites (04A)	0	\$0.00	2	\$0.00	2	\$0.00
	Relocation (08)	1	\$10,000.00	2	\$0.00	3	\$10,000.00
	<b>Total Acquisition</b>	<b>1</b>	<b>\$10,000.00</b>	<b>7</b>	<b>\$0.00</b>	<b>8</b>	<b>\$10,000.00</b>
Economic Development	Rehab; Publicly or Privately-Owned	0	\$0.00	3	\$0.00	3	\$0.00
	CI Building Acquisition, Construction, ED Direct Financial Assistance to For-Profits (18A)	0	\$0.00	1	\$0.00	1	\$0.00
		0	\$0.00	13	\$0.00	13	\$0.00
	<b>Total Economic Development</b>	<b>0</b>	<b>\$0.00</b>	<b>17</b>	<b>\$0.00</b>	<b>17</b>	<b>\$0.00</b>
Housing	Rehab; Single-Unit Residential (14A)	49	\$4,326,874.99	56	\$328,576.00	105	\$4,655,450.99
	Rehab; Multi-Unit Residential (14B)	1	\$3,000.00	2	\$0.00	3	\$3,000.00
	Public Housing Modernization (14C)	21	\$3,259,002.79	14	\$215,529.50	35	\$3,474,532.29
	Rehab; Other Publicly-Owned	0	\$0.00	1	\$0.00	1	\$0.00
	<b>Total Housing</b>	<b>71</b>	<b>\$7,588,877.78</b>	<b>73</b>	<b>\$544,105.50</b>	<b>144</b>	<b>\$8,132,983.28</b>
Public Facilities and Improvements	Public Facilities and Improvement	2	\$1,044,500.00	24	\$36,229.00	26	\$1,080,729.00
	Senior Centers (03A)	0	\$0.00	4	\$0.00	4	\$0.00
	Neighborhood Facilities (03E)	0	\$0.00	3	\$0.00	3	\$0.00
	Parks, Recreational Facilities (03F)	0	\$0.00	1	\$0.00	1	\$0.00
	Parking Facilities (03G)	0	\$0.00	1	\$0.00	1	\$0.00
	Flood Drainage Improvements (03I)	1	\$334,900.00	4	\$220,000.00	5	\$554,900.00
	Water/Sewer Improvements (03J)	0	\$0.00	1	\$0.00	1	\$0.00
	Street Improvements (03K)	2	\$403,259.00	15	\$422,000.00	17	\$825,259.00
	Sidewalks (03L)	0	\$0.00	7	\$0.00	7	\$0.00
	Removal of Architectural Barriers (10)	2	\$20,754.86	1	\$143,865.93	3	\$164,620.79
	<b>Total Public Facilities and</b>	<b>7</b>	<b>\$1,803,413.86</b>	<b>61</b>	<b>\$822,094.93</b>	<b>68</b>	<b>\$2,625,508.79</b>
Public Services	Public Services (General) (05)	2	\$15,000.00	6	\$0.00	8	\$15,000.00
	<b>Total Public Services</b>	<b>2</b>	<b>\$15,000.00</b>	<b>6</b>	<b>\$0.00</b>	<b>8</b>	<b>\$15,000.00</b>
General Administration and Planning	Planning (20)	0	\$0.00	4	\$0.00	4	\$0.00
	State Planning ONLY (20A)	0	\$0.00	2	\$0.00	2	\$0.00
	General Program Administration (21A)	91	\$1,427,814.30	128	\$183,170.00	219	\$1,610,984.30
	<b>Total General Administration and</b>	<b>91</b>	<b>\$1,427,814.30</b>	<b>134</b>	<b>\$183,170.00</b>	<b>225</b>	<b>\$1,610,984.30</b>
Other	State CDBG Technical Assistance to	0	\$0.00	6	\$0.00	6	\$0.00
	<b>Total Other</b>	<b>0</b>	<b>\$0.00</b>	<b>6</b>	<b>\$0.00</b>	<b>6</b>	<b>\$0.00</b>
<b>Grand Total</b>		<b>172</b>	<b>\$10,845,105.94</b>	<b>304</b>	<b>\$1,549,370.43</b>	<b>476</b>	<b>\$12,394,476.37</b>

**CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type**

<b>Activity Group</b>	<b>Matrix Code</b>	<b>Accomplishment Type</b>	<b>Open Count</b>	<b>Completed Count</b>	<b>Program Year Totals</b>
Acquisition	Acquisition of Real Property (01)	Housing Units	0	50	50
	Relocation (08)	Persons	0	0	0
		Housing Units	0	8	8
	<b>Total Acquisition</b>		<b>0</b>	<b>58</b>	<b>58</b>
Economic Development	Rehab; Publicly or Privately-Owned Commercial/Industrial (14E)	Persons	0	8,798	8,798
		Business	0	21,270	21,270
	CI Building Acquisition, Construction, Rehabilitation	Persons	0	150	150
	ED Direct Financial Assistance to For-Profits (18A)	Business	0	49	49
		Jobs	0	22	22
	<b>Total Economic Development</b>		<b>0</b>	<b>30,289</b>	<b>30,289</b>
Housing	Rehab; Single-Unit Residential (14A)	Households	0	8	8
		Housing Units	92	1,165	1,257
	Rehab; Multi-Unit Residential (14B)	Persons	0	20	20
		Housing Units	0	116	116
	Public Housing Modernization (14C)	Households	0	24	24
		Housing Units	125	560	685
	Rehab; Other Publicly-Owned Residential Buildings	Housing Units	0	28	28
	<b>Total Housing</b>		<b>217</b>	<b>1,921</b>	<b>2,138</b>
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Persons	0	95,575	95,575
		Jobs	0	20	20
	Senior Centers (03A)	Persons	0	4,705	4,705
	Neighborhood Facilities (03E)	Persons	0	870	870
	Parks, Recreational Facilities (03F)	Persons	0	21,270	21,270
	Parking Facilities (03G)	Persons	0	2,334	2,334
	Flood Drainage Improvements (03I)	Persons	274	8,729	9,003
	Water/Sewer Improvements (03J)	Persons	0	300	300
	Street Improvements (03K)	Persons	0	93,598	93,598
		Households	0	0	0
	Sidewalks (03L)	Persons	0	40,138	40,138
	Removal of Architectural Barriers (10)	Persons	2,353	2,971	5,324
		<b>Total Public Facilities and Improvements</b>		<b>2,627</b>	<b>270,510</b>
Public Services	Public Services (General) (05)	Persons	54	16,745	16,799
	<b>Total Public Services</b>		<b>54</b>	<b>16,745</b>	<b>16,799</b>
<b>Grand Total</b>			<b>2,898</b>	<b>319,523</b>	<b>322,421</b>

**CDBG Beneficiaries by Racial / Ethnic Category**

<b>Housing-Non Housing</b>	<b>Race</b>	<b>Total Persons</b>	<b>Total Hispanic Persons</b>	<b>Total Households</b>	<b>Total Hispanic Households</b>
Housing	White	0	0	2,059	75
	Black/African American	0	0	78	7
	Asian	0	0	5	0
	American Indian/Alaskan Native	0	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	1	0
	Asian & White	0	0	9	0
	Black/African American & White	0	0	1	0
	Amer. Indian/Alaskan Native & Black/African	0	0	0	0
	Other multi-racial	0	0	1	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	2	2
	<b>Total Housing</b>		<b>0</b>	<b>0</b>	<b>2,156</b>
Non Housing	White	56,873	471	58	0
	Black/African American	535	45	0	0
	Asian	425	0	0	0
	American Indian/Alaskan Native	205	0	0	0
	Native Hawaiian/Other Pacific Islander	3	0	0	0
	American Indian/Alaskan Native & White	0	0	0	0
	Asian & White	0	0	0	0
	Black/African American & White	0	0	0	0
	Amer. Indian/Alaskan Native & Black/African	0	0	0	0
	Other multi-racial	141	13	0	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	0	0
	<b>Total Non Housing</b>		<b>58,182</b>	<b>529</b>	<b>58</b>
Grand Total	White	56,873	471	2,117	75
	Black/African American	535	45	78	7
	Asian	425	0	5	0
	American Indian/Alaskan Native	205	0	0	0
	Native Hawaiian/Other Pacific Islander	3	0	0	0
	American Indian/Alaskan Native & White	0	0	1	0
	Asian & White	0	0	9	0
	Black/African American & White	0	0	1	0
	Amer. Indian/Alaskan Native & Black/African	0	0	0	0
	Other multi-racial	141	13	1	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	2	2
	<b>Total Grand Total</b>		<b>58,182</b>	<b>529</b>	<b>2,214</b>



**CDBG Beneficiaries by Income Category**

	<b>Income Levels</b>	<b>Owner Occupied</b>	<b>Renter Occupied</b>	<b>Persons</b>
Housing	Extremely Low (<=30%)	34	248	0
	Low (>30% and <=50%)	40	80	0
	Mod (>50% and <=80%)	44	117	0
	Total Low-Mod	118	445	0
	Non Low-Mod (>80%)	0	5	0
	Total Beneficiaries	118	450	0
Non Housing	Extremely Low (<=30%)	0	0	2
	Low (>30% and <=50%)	0	0	0
	Mod (>50% and <=80%)	0	0	5
	Total Low-Mod	0	0	7
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	0	0	7

Public reporting burden for this collection of information is estimated to average .50 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality.

Privacy Act Notice - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information in this form by virtue of Title 12, United States Code, Section 1701 et seq. and regulations promulgated thereunder at Title 12, Code of Federal Regulations. It will not be disclosed or released outside the United States Department of Housing and Urban Development without your consent, except as permitted by law.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing		Check if PHA IHA	2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106										
3a. Name of Contact Person Donald LaPointe	3b. Phone Number 860-270-8184	4. Reporting Period Oct. 1 - Sept. 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a #	6. Date Submitted to Field Office								
Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Contractor/Subcontractor Name and Address 7j. 7i. Name Street City State Zip Code					
Ansonia SC1100201	\$4,100.00	1	1	No		No	06-4367119	No	Buddy's Fuel	8 Old Farm Road	Oxford	CT	06478
	\$23,000.00	1	4	No	74-3077900	No		No	VillaGesell Const. LLC	40 Alexander Drive	Bridgeport	CT	06606
	\$75,000.00	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$20,173.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers and Insuracne	30 Hazel Terrace	Woodbridge	CT	06525
	\$2,101.00	1	1	No		No	20-5900824	No	SDC Electrical Service	1889 State Street	New Haven	CT	06512
	\$388.00	1	1	No		No	20-5601241	No	Air Connections	1 Town Line Road	Plainville	CT	06062
Ashford SC1100301	\$25,765.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$5,695.00	1	1	No		No	06-1660742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$4,700.00	1	1	No		No	06-0835133	No	Muzio Plumbing & Heating	18 W. Stafford Road	Stafford Springs	CT	06075
	\$1,400.00	1	1	No		No	06-1028454	No	Quality Insulation of E. CT	505 Norwich Avenue	Taftville	CT	06081
	\$24,999.00	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$7,042.50	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$2,836.80	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$550.00	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$1,000.00	1	1	No		No	57-1208671	No	Banner Water LLC	32 Browns Bridge Road	Tolland	CT	06084
	\$141.33	1	1	No		No	27-1759664	No	Central CT Condominium Repair, LLC	17 Karosi Road	Ashford	CT	06278

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency  
 Department of Housing

Check if  
 PHA  
 IHA

2. Location (City, State, Zip Code)  
 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person  
 Donald LaPointe

3b. Phone Number  
 860-270-8184

4. Reporting Period  
 Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a #  
 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) 7h.	7i. Contractor/Subcontractor Name and Address		7j.	Street	City	State	Zip Code
								Name	Address					
	\$25,000.00	1	1	No	06-1295159	No	No	Baker's Carpentry			98 Monson Road	Stafford Springs	CT	06076
	\$590.00	1	1	No	01-0567871	No	No	CT Lead Paint Solutions			1245 Hebron Avenue	Glastonbury	CT	06033
	\$1,055.00	1	1	No		No	06-1160742	No	Mainville Electric		88 Bramblebrae	South Windsor	CT	06074
	\$22,510.00	1	1	No	06-1549227	No	No	RER Associates, LLC			75 Lustig Road	Willington	CT	06804
	\$4,900.00	1	1	No		No	06-0953471	No	Dumont Brothers		235 Oak Street	Manchester	CT	06804
	\$300.00	1	1	No		No	27-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06804
	\$2,929.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry		76 Discovery Road	Vernon	CT	06804
	\$28,450.00	1	1	No	043-64-7939	No	No	Barr Construction			242 S. Windham Road	Willimantic	CT	06804
	\$1,250.00	1	1	No		No	040-74-4017	No	Kenny Caton		9 Howard Drive	Willimantic	CT	06804
	\$1,500.00	1	1	No		No	045-78-0496	No	Brandon Stone		100 Cross Road	Chaplin	CT	06804
	\$3,550.00	1	1	No		No	043-78-5705	No	Herrick Electric		12 Woodland Drive	Willimantic	CT	06804
	\$8,800.00	1	1	No		No	06-1165964	No	LaBarre Plumbing		3 Whitney Road	Columbia	CT	06804
	\$540.00	1	1	No	01-0567871	No	No	CT Lead Paint Solutions			1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,250.00	1	1	No	10-0003510	No	No	Lagace Siding LLC			175 Hop River Road	Coventry	CT	06238
	\$510.00	1	1	No	01-0893653	No	No	Scott Gebo & Sons Construction, LLC			100 Thompson Pike	Dayville		06241
	\$3,250.00	1	1	No	06-1223566	No	No	Messier & Associates, Inc.			288 Main Street	Manchester	CT	06042
	\$540.00	1	1	No	01-0567871	No	No	CT Lead Paint Solutions			1245 Hebron Avenue	Glastonbury	CT	06033
	\$15,105.00	1	1	No	10-0003510	No	No	Lagace Siding LLC			175 Hop River Road	Coventry	CT	06238
	\$26,750.00	1	1	No	10-0003510	No	No	Lagace Siding LLC			175 Hop River Road	Coventry	CT	06238
	\$2,085.00	1	1	No		No	041-76-9191	No	1st Choice Electrical		215 Long Hill Road	Andover	CT	06232
	\$1,500.00	1	1	No		No	048-34-5029	No	Chamberlain Plumbing		12 Russmar Trail	Columbia	CT	06237
	\$18,818.00	1	1	No	03-0431185	No	No	Civil Solutions			115 Gottier Road	Tolland	CT	06084

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	\$17,305.00	1	1	No	10-0003510	No	No	No	Lagace Siding LLC		175 Hop River Road	Coventry	CT	06238
	\$1,375.00	1	1	No		No	65-1182141	No	Eagle Construction		187 North Farms Road	Coventry	CT	06238
	\$600.00	1	1	No		No	041-76-9191	No	1st Choice Electrical		215 Long Hill Road	Andover	CT	06232
	\$1,863.13	1	1	No		No	06-0872589	No	Linden Tree Service		38 Providence Pike	East Hartford	CT	06242
	\$540.00	1	1	No	01-0567871	No		No	CT Lead Paint Solutions		1245 Hebron Avenue	Glastonbury	CT	06033
	\$30,625.00	1	1	No	10-0003510	No		No	Lagace Siding LLC		175 Hop River Road	Coventry	CT	06238
	\$900.00	1	1	No		No	041-76-9191	No	1st Choice Electrical		215 Long Hill Road	Andover	CT	06232
	\$1,000.00	1	1	No		No	046-46-9757	No	Robert A. Davis		PO Box 936	Eastford	CT	06242
Ashford SC 1200301	\$6,442.00	3	1	No	048-46-9754	No		No	Community Consulting		16 Washburn Street	Willimantic	CT	06226
	\$5,743.96	3	1	No	06-0606469	No		No	Bozzuto's		275 Schoolhouse Road	Cheshire	CT	06410
	\$8,011.59	3	1	No	06-0606469	No		No	Bozzuto's		275 Schoolhouse Road	Cheshire	CT	06410
Beacon Falls SC1200601	\$70,000.00	3	1	Yes	80-028244	No		No	Lisa Low & Associates		293 Riggs Street	Oxford	CT	06478
	\$38,935.00	1	1	No	06-1023690	No		No	Goulart Construction		Lori Lynn Lane	Newtown	CT	06470
	\$5,000.00	1	1	No		No	06-0989022	No	Jim Renz		10 Topstone Drive	Bethel	CT	06801
	\$2,035.00	1	1	No		No	188625	No	Bohicah Electric		303 Reynolds Bridge Road	Thomaston	CT	06787
	\$10,400.00	1	1	No		No	00388506	No	JP Dunn Heating & Cooling LLC		701 Northfield Road	Northfield	CT	06778
Berlin SC1200701	\$52,800.00	3	1	No	06-1330300	No		No	L. Wagner & Associates		51 Lakeside Boulevard E	Waterbury	CT	06708
	\$307,440.00	1	1	No	06-1571986	No		No	BRD Builders, LLC		2099 Main Street	Hartford	CT	06120
Bethel SC1100901	\$2,900.00	1	1	No	06-1069664	No		No	Rotam Sheet Metal Co.		153 Grassy Plain Street	Bethel	CT	06801
	\$3,800.00	3	1	No	06-1180153	No		No	Robert A. Green Associates		6 Old Waterbury Road	Terryville	CT	06801
Bethlehem SC1201001	\$70,000.00	3	1	Yes	80-0228244	No		No	Lisa Low & Associates		293 Riggs Street	Oxford	CT	06478
	\$46,705.00	1	1	No	06-1023690	No		No	Goulart Construction		Lori Lynn Lane	Newtown	CT	06470

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing				Check if PHA IHA	2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106														
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184		4. Reporting Period Oct. 1 - Sept. 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a #										6. Date Submitted to Field Office			
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								Name											
	\$2,800.00	1	1	No		No	06-0989022	No	Jim Renz							10 Topstone Drive	Bethel	CT	06801
	\$5,555.00	1	1	No		No	188625	No	Bohichah Electric							303 Reynolds Bridge Road	Thomaston	CT	06787
	\$650.00	1	1	No		No	00388506	No	JP Dunn Heating & Cooling LLC							701 Northfield Road	Northfield	CT	06778
	\$62,840.00	1	1	No	06-1525254	Yes		No	Cystal Property Managers							30 Hazel Terrace	Woodbridge	CT	06525
	\$900.00	1	1	No		No	04-8561496	No	Mario Rubano							298 Todd Road	Norwalk	CT	06856
	\$1,155.00	1	1	No		No	20-5900824	No	SDC Electrical Service							1889 State Street	New Haven	CT	06512
	\$28,000.00	1	1	No		No		No	Bethlehem Building & Remodeling							276 Hard Hill Road South	Bethlehem	CT	06751
Branford SC1101401	\$45,500.00	3	1	No	06-1330300	No		No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708
	\$451,000.00	1	1	No	06-1471213	No		No	Olympus Construction							77 Cherry Street	Milford	CT	06460
	\$49,780.00	1	1	No		No	06-1303539	No	Quality Roofing Services, Inc.							599 Inland Lane	West Haven	CT	06516
	\$52,300.00	1	1	No		No	03-0457435	No	New England Service & Controls, LLC							52 Green Hollow Road	Moosup	CT	06354
	\$20,000.00	1	1	No		No	06-0956330	No	Asmus Electric							297 Front Avenue	West Haven	CT	06516
Brookfield SC1201001	\$70,000.00	3	1	Yes	80-0228244	No		No	Lisa Low & Associates							293 Riggs Street	Oxford	CT	06478
Burlington SC1202001	\$14,400.00	3	1	No	06-1330300	No		No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708
Canton SC1202301	\$55,200.00	3	1	No	06-1330300	No		No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708
	\$415,340.00	1	1	No	27-0728461	No		No	Midconn Construction							105 Fisk Drive	Newington	CT	06111
	\$73,000.00	1	1	No		No	06-0924960	No	Electrical Contractors, Inc.							3510 Main Street	Hartford	CT	06120
	\$17,000.00	1	1	No		No	45-4717988	No	EZ Welding, LLC							600 E Street, D-7	New Britain	CT	06051
	\$17,000.00	1	1	No		No	27-1042353	No	Abatement Services, LLC							65 LaSalle Road	West Hartford	CT	06017
Clinton SC0902701	\$19,009.00	1	1	No	04-4441025	No		No	Sterling Construction							60 Johnson Lane	Madison	CT	06443
Clinton SC1002701	\$11,546.65	3	1	No	06-1113755	No		No	East River Energy							401 Soundview Road, P.O. Box	Guilford	CT	06413
	\$14,900.00	1	1	No	13-432-3502	No		No	Gios Remodeling, LLC							36 Sheffield Street	Waterbury	CT	06704

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	\$12,303.00	1	1	No	044-44-1025	No	No	Sterling Construction				60 Johnson Lane	Madison	CT	06443	
	\$9,600.00	1	4	No	20-8980226	No	No	TAG Constructors LLC				650 Farmington Avenue	Hartford	CT	06105	
	\$17,000.00	1	1	No	06-1201640	No	No	Total Plumbing & Heating, Inc.				117 Old Foxon Road	East Haven	CT	06513	
	\$4,048.00	1	1	No	27-1049662	No	No	American General Services				PO Box 155	Old Mystic	CT	06372	
	\$5,791.00	1	1	No	02-0629348	No	No	RLD Heating & Coolong				53 Route 80	Killingworth	CT	06419	
	\$9,720.00	1	1	No	044-44-1025	No	No	Sterling Construction				60 Johnson Lane	Madison	CT	06443	
Clinton SC1102701	\$6,000.00	1	1	No		No	04-1661474	No	Callahan's Plumbing & Heating				15 Putnam Lane	Enfield	CT	06082
	\$6,000.00	1	4	No		No	37-1661751	No	JR Flooring Installation				12 Wilson Street	Hartford	CT	06106
	\$28,000.00	1	1	No		No	20-1573675	No	Leach Services, LLC				164 Petticoat Lane	East Haddam	CT	06423
	\$17,000.00	1	1	No		No	38-3732593	No	C&C Electrical Contractors				3 Juniper Lane	Moosup	CT	06354
	\$4,650.00	1	1	No		No	59-4173053	No	AT Interior Finishes				5 Patriot Circle	Ellington	CT	06029
	\$4,000.00	1	1	No		No	06-1259255	No	Central Sealing Co.				69 Thomas Street 109 D&E W. Dudley Town	East Hartford	CT	06108
	\$5,776.60	1	1	No		No	27-2474809	No	Natale Concrete Construction				Road	Bloomfield	CT	06007
	\$1,100.00	1	1	No		No	04-4881763	No	Five Star Striping				12 5th Street	Danbury	CT	06811
Coventry Program Income	\$4,350.00	1	1	No	06-0431223	No	No	LaFlamme Plumbing & Heating LLC				676 Back Road	North Windham	CT	06256	
	\$1,129.00	1	1	No	06-1635915	No	No	Flue Doctor Chimney Service				8 Cheney Road	Pomfret Center	CT	06259	
	\$3,530.00	1	1	No		No	No	Sandman Home Improvement				118 South Road	Bolton	CT	06403	
Coventry SC1203201	\$69,000.00	3	1	No	048-46-9754	No	No	Community Consulting				16 Washburn Street	Willimantic	CT	06226	
	\$23,035.00	1	1	No	10-0003510	No	No	Lagace Siding LLC				175 Hop River Road	Coventry	CT	06238	
	\$2,800.00	1	1	No		No	041-76-9191	No	1st Choice Electrical				215 Long Hill Road	Andover	CT	06232
	\$1,492.00	1	1	No		No	65-1182141	No	Eagle Construction				187 North Farms Road	Coventry	CT	06238
	\$7,000.00	1	1	No		No	048-34-5029	No	Chamberlain Plumbing				12 Russmar Trail	Columbia	CT	06237

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	\$1,060.00	1	1	No	38-3868950	No	No	CT Lead Paint Solutions		1245 Hebron Avenue	Glastonbury	CT	06033	
	\$25,000.00	1	1	No	06-1549227	No	No	RER Associates		75 Lustig Road	Willington	CT	06249	
	\$1,000.00	1	1	No		No	048-82-1282	No	Gianni Calvo		1162 Grant Hill Road	Coventry	CT	06238
	\$1,650.00	1	1	No		No	27-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06365
	\$6,390.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry		76 Discovery Road	Vernon	CT	06066
	\$600.00	1	1	No		No	049-32-2062	No	Summit Insulation		94 Woodycrest Drive	East Hartford	CT	06118
	\$24,000.00	1	1	No	043-64-7939	No		No	Barr Construction		242 South Windham Road	Willimantic	CT	06226
	\$22,000.00	1	1	No	043-64-7939	No		No	Barr Construction		242 South Windham Road	Willimantic	CT	06226
	\$22,325.00	1	1	No	06-1295159	No		No	Baker's Carpentry		98 Monson Road	Stafford Springs	CT	06076
	\$5,850.00	1	1	No	06-1230030	No		No	Heritage Oil		17 River Road	Willington	CT	06279
Cromwell SC 1103301	\$8,382.00	1	1	Yes	90-0642323	No	No	LGD Construction, LLC		38 Atkins Avenue	Bristol	CT	06010	
	\$1,018.00	1	1	No	13-432-3502	No	No	Gios Remodeling, LLC		36 Sheffield Street	Waterbury	CT	06704	
Derby SC 1103701	\$4,125.00	1	1	No	06-1519824	No	No	D.W. Electrical		16 Pleasant Street	Wolcott	CT	06716	
	\$4,300.00	1	1	No	06-1023690	No	No	Goulart Construction		15 Lori Lynn Circle	Newtown	CT	06470	
	\$9,615.00	1	2	No	42-1758371	No	No	Scholar Painting		2 Klarides Village Drive	Seymour	CT	06483	
	\$6,285.00	1	1	No	84-1716603	No	No	Rocky's Home Improvement		706 Redding Road	West Redding	CT	06896	
	\$12,890.00	1	1	No	13-4219057	No	No	All Trades Industries		94 Edwin Avenue	Waterbury	CT	06708	
East Haven SC1004401	\$16,190.00	1	1	No	044-44-1025	No	No	Sterling Construction		60 Johnson Lane	Madison	CT	06443	
	\$7,485.00	1	1	No	044-44-1025	No	No	Sterling Construction		60 Johnson Lane	Madison	CT	06443	
	\$28,423.00	1	1	No	46-1473941	No	No	Leake Builders LLC		25 O'Neill Road	Oxford	CT	06478	
	\$17,000.00	1	1	No	90-0391428	No	No	Plumbing Plus		72 Queach Road	Branford	CT	06405	
	\$3,838.00	1	1	No	044-44-1025	No	No	Sterling Construction		60 Johnson Lane	Madison	CT	06443	

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	\$6,150.00	1	1	No	06-0893519	No	No	Barry Associates			17 Halls Mill Road	Preston	CT	06365	
East Windsor SC1104701	\$67,980.00	1	1	No	20-3743597	No	No	East Coast Interiors, LLC			60 Production Court	New Britain	CT	06051	
	\$12,000.00	1	1	No		No	06-1631337	No	Russell Electric, LLC			401 Conlin Drive	Enfield	CT	06082
	\$13,500.00	1	1	No		No	06-1732908	No	Northeast Tradesmen, Inc.			250 Pomeroy Avenue	Meriden	CT	06450
	\$4,800.00	1	4	No		No	32-0309917	No	Alva Drywall LLC			41 Johnson Street	Waterbury	CT	06710
East Windsor SC1204701	\$52,800.00	3	1	No	06-1330300	No	No	L. Wagner & Associates			51 Lakeside Boulevard E	Waterbury	CT	06708	
	\$542,049.00	1	4	No	43-2037646	No	No	A. Martins & Sons Construction			679 Moore Street	Ludlow	MA	01056	
Ellington Program Income	\$2,659.00	1	1	No	06-1549227	No	No	RER Associates, LLC			75 Lustig Road	Willington	CT	06274	
	\$15,750.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread & Milk Street	Coventry	CT	06238	
Ellington SC1204801	\$70,550.00	3	1	No	04-2674871	No	No	Community Opportunities Group, Inc.			129 Kingston Street	Boston	MA	02111	
	\$9,500.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$15,655.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$29,316.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$10,300.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$21,052.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$881.00	2	1	No	06-1433868	No	No	Safe Homes			PO Box 1125	Waterbury	CT	06721	
	\$490.00	2	1	No	06-1433868	No	No	Safe Homes			PO Box 1125	Waterbury	CT	06721	
	\$858.00	2	1	No	06-1433868	No	No	Safe Homes			PO Box 1125	Waterbury	CT	06721	
	\$600.00	2	1	No	06-1433868	No	No	Safe Homes			PO Box 1125	Waterbury	CT	06721	
	\$4,801.00	1	1	No	06-1508715	No	No	Trim Out LLC			39 Bread and Milk Street	Coventry	CT	06238	
	\$1,400.00	1	1	No		No	26-3414351	No	Mac Electric, LLC			115 Stonecroft Road	Coventry	CT	06238
	\$4,250.00	1	1	No		No	251-17-2911	No	Carl Gaynor Painting			324 Mulberry Road	Mansfield	CT	06040



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	\$875.00	1	1	No		No	06-1308404	No	Hany Mechanical		68 Lower Butcher Road		Ellington	CT	06111		
	\$5,450.00	1	1	No	06-1508715	No		No	Trim Out LLC		39 Bread and Milk Street		Coventry	CT	06238		
	\$4,800.00	1	1	No		No	06-1411759	No	Fox Heating Service		PO Box 381		Tolland	CT	06084		
	\$5,478.00	1	1	No		No	06-1561505	No	Bantry Bay LLC		72 Silver Brook Lane		North Granby	CT	06060		
	\$500.00	1	1	No		No	64-427737	No	Ron's Plumbing		PO Box 248		Stafford Springs	CT	06076		
Enfield - Program Income	\$1,425.00	1	1	No	HIC0630594	No		No	K&R Chimney Service		111D Sycamore Lane		Manchester	CT	06040		
	\$2,900.00	1	1	No	838060	No		No	Urban Foresters LLC		328 Elm Street		Enfield	CT	06082		
	\$3,288.00	1	1	No	HTG0401182-S1	No		No	Rich's Plumbing & Heating & AC		12 Moody Road		Enfield	CT	06082		
	\$5,540.00	1	1	No	HIC 0525025	No		No	Woodhaven Development Corp		335 Prospect Hill Road		Windsor	CT	06095		
	\$4,890.00	1	1	No	PLM 0202118-P1	No		No	Anthony Troiano Jr		777 Enfield Street		Enfield	CT	06082		
Essex SC1005001	\$3,500.00	3	1	No	HIC 0631462	No		No	Lucarelli Fencing		10 River Road		Deep River	CT	06417		
Farmington SC1005201	\$7,050.00	1	4	No	26-3441330	No		No	Villwell Builders		33 Wolcott Road		Wolcott	CT	06716		
	\$22,562.00	1	4	No	26-3441330	No		No	Villwell Builders		33 Wolcott Road		Wolcott	CT	06716		
	\$3,000.00	1	1	No	06-74278	No		No	A-1 Waterproofing, LLC		62 Lasalle Road, Suite 301		West Hartford	CT	06107		
	\$8,950.00	1	4	No	06-1570041	No		No	Abreu Construction, LLC		222 Main Street		Farmington	CT	06032		
	\$32,142.77	1	2	No	06-0962559	No		No	Sultana Estates, Inc.		42 Shweky Lane		Southington	CT	06489		
Hampton SC1206301	\$69,000.00	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.		129 Kingston Street		Boston	MA	02111		
	\$9,000.00	3	1	Yes	06-1433868	No		No	Safe Homes		PO Box 1125		Willington	CT	06279		
	\$6,540.00	1	1	No	06-1331594	No		No	Dynamic Engineering		703 Brooklyn Turnpike		Willington	CT	06279		
	\$41,943.00	1	1	No	06-1508715	No		No	Trim Out LLC		39 Bread & Milk Street		Coventry	CT	06238		
	\$12,010.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road		Willington	CT	06279		
	\$52,100.00	1	1	No	06-1331594	No		No	Dynamic Engineering		703 Brooklyn Turnpike		Hampton	CT	06247		

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	\$57,098.00	1	1	No	06-1331594	No	No	Dynamic Engineering				703 Brooklyn Turnpike	Hampton	CT	06247	
	\$37,368.00	1	1	No	06-1331594	No	No	Dynamic Engineering				703 Brooklyn Turnpike	Hampton	CT	06247	
	\$36,125.00	1	1	No	06-1549227	No	No	RER Associates				75 Lustig Road	Willington	CT	06279	
Hampton SC1306301	\$103,300.00	3	1	No	04-2674871	No	No	Community Opportunities Group, Inc.				129 Kingston Street	Boston	MA	02111	
Jewett City SC1205801	\$49,800.00	3	1	No	06-1330300	No	No	L. Wagner & Associates				51 Lakeside Boulevard E	Waterbury	CT	06708	
	\$202,606.00	1	1	No	05-04302752	No	No	Pezzuco Construction, Inc.				28 Kenwood Street	Cranston	RI	02907	
	\$1,250.00	1	1	No		No	05-0401011	No	Northeastern Tree Service				1000 Pontiac Avenue	Cranston	RI	02920
	\$26,000.00	1	1	No		No	05-0489156	No	Kay-Cor Contractors				PO Box 7369	Cumberland	RI	02864
Killingly SC1106901	\$23,509.00	1	1	No	06-1549227	No	No	RER Associates LLC				75 Lustig Road	Willington	CT	06279	
	\$6,775.00	1	1	No	06-1604512	No	No	Gagnon Construction LLC				PO Box 49	Woodstock	CT	06281	
Killingly SC1206901	\$23,569.00	1	1	No	06-1604512	No	No	Gagnon Construction LLC				PO Box 49	Woodstock	CT	06249	
	\$29,675.00	1	1	No	047-68-0445	Yes	No	Harmon C. French Carpentry				25 Adelaide Street	Danielson	CT	06239	
	\$16,489.00	1	1	No	06-1604513	No	No	Gagnon Construction, LLC				PO Box 49	Woodstock	CT	06249	
	\$5,400.00	1	1	No		No	No	Thurlow Trcuking and Excavation, LLC				PO Box 263	Ballouville	CT	06233	
	\$57,268.00	1	1	No	06-1549227	No	No	RER Associates, LLC				75 Lustig Road	Willington	CT	06279	
	\$26,025.00	1	1	No	012-84-1366	Yes	No	Right Construction				Two Corey Street	Agawam	MA	01001	
Killingly SC1306901	\$24,000.00	3	1	No				Right Construction				Two Corey Street	Agawam	MA	01001	
Lebanon Program Income	\$13,400.00	1	1	No	06-1206621	No	No	James Benjamin & Company				16 Pautipaug Hill Road	N. Franklin	CT	06254	
Ledyard SC1107201	\$50,000.00	3	1	No	06-1330300	No	No	L. Wagner & Associates				51 Lakeside Boulevard E	Waterbury	CT	06708	
	\$1,900.00	1	1	No	45-2565663	No	No	Brownstone Construction				10 Lynde Street	Old Saybrook	CT	06475	
	\$2,558.00	1	1	No	06-0677998	No	No	Guy's Inc.				87 West main Street	Niantic	CT	06357	
	\$19,425.00	1	1	No	06-1549227	No	No	RER Associates, LLC				75 Lustig Road	Willington	CT	06279	

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	\$5,900.00	1	1	No	06-0863519	No	No	Barry Associates	17 Halls Mill Road	Preston	CT	06365				
	\$8,949.00	1	1	No	75-2988693	No	No	DEF Service Group Ltd	1171 Voluntown Road	Griswold	CT	06351				
	\$8,247.00	1	1	No	06-0863519	No	No	Barry Associates	17 Halls Mill Road	Preston	CT	06365				
	\$7,550.00	1	1	No	06-0863519	No	No	Barry Associates	17 Halls Mill Road	Preston	CT	06365				
	\$1,415.00	1	1	No	044-44-1025	No	No	Sterling Construction	60 Johnson Lane	Madison	CT	06443				
	\$4,960.00	3	1	No	06-1180153	No	No	Robert A. Green Associates	6 Old Waterbury Road	Terryville	CT	06786				
	\$928.23	1	1	No	06-1354170	No	No	James Carboni Plumbing & Heating	574 A Route 32	Franklin	CT	06254				
	\$7,964.00	1	1	No	046-82-5309	No	No	Tetlow Builders	13 Reuven Drive	Ledyard	CT	06339				
	\$1,765.41	1	1	No	20-0585370	No	No	SLS Heating	PO Box 424	Waterford	CT	06385				
	\$410.00	1	1	No	30-0085637	No	No	Abraham Septic, LLC	661 Route 165	Preston	CT	06365				
	\$15,575.00	1	1	No	044-44-1025	No	No	Sterling Construction	60 Johnson Lane	Madison	CT	06443				
	\$9,255.00	1	1	No	044-44-1025	No	No	Sterling Construction	60 Johnson Lane	Madison	CT	06443				
	\$400.00	1	1	No	06-0724979	No	No	Richard White & Sons	189 Norwich Westerly Road	North Stonington	CT	06359				
	\$400.00	1	1	No	06-0724979	No	No	Richard White & Sons	189 Norwich Westerly Road	North Stonington	CT	06359				
	\$11,685.00	1	1	No	044-44-1025	No	No	Sterling Construction	60 Johnson Lane	Madison	CT	06443				
	\$20,414.00	1	1	No	20-5992813	No	No	Earth Technologies II, LLC	P.O. Box 338	North Haven	CT	06473				
	\$12,500.00	1	1	No	044-54-0873	No	No	McCarthy Home Service	75 Preston Road	Griswold	CT	06351				
	\$11,920.00	1	1	No	06-1613366	No	No	Lussier & Son Septic Services, LLC	25 Elmwood Way	Clinton	CT	06413				
	\$8,865.00	1	1	No	27-5246598	No	No	Botts Construction Co., LLC	PO Box 1190	Old Saybrook	CT	06475				
Litchfield SC1207401	\$65,296.00	1	1	No	06-1606947	No	No	AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451				
	\$154,444.00	1	1	No	06-1606947	No	No	AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451				
Mansfield Program Income	\$8,487.50	1	1	No	04-3466788	No	No	Regis & Sons	162 Thompson Road	Webster	MA	01570				

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	\$21,699.00	1	1	No	06-17407	No	No	Right Construction		2 Corey Street	Agawam	MA	01001
	\$9,450.00	1	1	No	06-1606512	No	No	Gagnon Construction, LLC		PO Box 165	Putnam	CT	06260
	\$4,200.00	1	1	No	SI-303579	No	No	Fox Heating Services LLC		P.O. Box 381	Tolland	CT	06084
Mansfield SC1207801	\$11,000.00	1	1	Yes	06-1549227	No	No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279
	\$9,109.89	1	1	Yes	06-1549227	No	No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279
	\$5,100.00	1	1	No	SI-303579	No	No	Fox Heating Services LLC		P.O. Box 381	Tolland	CT	06084
	\$21,699.00	1	1	No	06-17407	No	No	Right Construction		2 Corey Street	Agawam	MA	01001
	\$8,487.50	1	1	No	04-3466788	No	No	Regis & Sons		162 Thompson Road	Webster	MA	01570
	\$4,200.00	1	1	No	SI-303579	No	No	Fox Heating Services LLC		P.O. Box 381	Tolland	CT	06084
	\$9,450.00	1	1	No	06-1606512	No	No	Gagnon Construction, LLC		PO Box 165	Putnam	CT	06260
Marlborough SC1207901	\$14,400.00	3	1	No	06-1330300	No	No	L. Wagner & Associates		51 Lakeside Boulevard E	Waterbury	CT	06708
Middlebury Program Income	\$13,300.00	1	1	No	56-2465699	No	No	Salerno Remodeling		143 Main Street	Terryville	CT	06786
	\$4,800.00	1	1	No	26-3441330	No	No	Villwell Builders I, LLC		33 Wolcott Road	Wolcott	CT	06716
	\$6,000.00	1	1	No	26-3441330	No	No	Villwell Builders I, LLC		33 Wolcott Road	Wolcott	CT	06716
Naugatuck SC1208801	\$54,000.00	3	1	No	06-1330300	No	No	L. Wagner & Associates		51 Lakeside Boulevard E	Waterbury	CT	06708
	\$494,000.00	1	1	No	06-1571986	No	No	BRD Builders, LLC		2099 Main Street	Hartford	CT	06120
	\$29,460.00	1	4	Yes		No	45-4825742	American Vets Abatement Experts		165 Washington Street	Vernon	CT	06066
	\$54,600.00	11	1	Yes		No	01-0869253	Roth Supply, LLC		2113 Main Street	Hartford	CT	06120
	\$1,600.00	1	1	No		No	06-1331015	Straightline Masonry		20 Donovan Court	Bristol	CT	06010
	\$41,600.00	1	1	No		No	36-4495932	Nathan's Plumbing Services		2101 Main Street	Glastonbury	CT	06033
	\$59,300.00	1	1	No		No	20-1502886	Elite Power Systems		PO Box 457	Riverton	CT	06065
	\$33,000.00	1	1	No		No	04-3822631	RJC Electrical Contractors		PO Box 821	South Windsor	CT	06071

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	\$9,145.00	1	1	No		No	20-1573657	No	Leach Services LLC			164 Petticoat Lane	East Haddam	CT	06423		
	\$3,000.00	1	2	No		No	25-6644028	No	JT Insulation			61 Broad Street	Meriden	CT	06450		
	\$3,000.00	1	1	No		No	04-5681576	No	James Boyle			198 S. Main Street	East Windsor	CT	06088		
	\$9,600.00	1	1	No		No	54-4173053	No	AT Interior Finishes			5 Patriot Circle	Ellington	CT	06029		
	\$36,000.00	1	1	No		No	04-1464827	No	Adamec Construction			93 Commerce Way	South Windsor	CT	06074		
	\$5,040.00	1	4	No		No	40-9758377	No	F&R Partitions			2 Ruth Drive	Old Saybrook	CT	06475		
Naugatuck Program income	\$3,445.00	1	1	No		No	03-0585331	No	Ultimate Construction LLC			19 C Andover Drive	West Hartford	CT	06110		
	\$26,500.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC			33 Wolcott Road	Wolcott	CT	06716		
New Fairfield SC1109101	\$14,170.00	1	1	No	06-1180022	No		No	Pembroke Pumping			45 Beaver Brook Road	Danbury	CT	06810		
	\$56,194.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC			33 Wolcott Road	Wolcott	CT	06716		
	\$5,500.00	1	1	No		No	20-247184	No	TJ Mechanical Plumbing & Heating			PO Box 12	Litchfield	CT	06759		
	\$950.00	1	1	No		No	06-1519824	No	DW Electrical			16 Pleasant Street	Wolcott	CT	06716		
	\$7,900.00	1	1	No		No	20-1300733	No	DNA Heating & Air Conditioning LLC			829 Spindle Hill Road	Wolcott	CT	06716		
North Canaan Program Income	\$20,520.23	1	1	No	06-1388975	No		No	Ghi Sign Services			532 Ashley Falls Road	North Canaan	CT	06018		
North Canaan SC1110001	\$554,000.00	1	1	No	04-3458589	No		No	Millennium Builders, Inc.			50 Inwood Road	Rocky Hill	CT	06067		
	\$27,500.00	1	1	No		No	06-1491033	No	L&L Mechanical LLC			28 Pie Hill Road	Goshen	CT	06756		
	\$136,366.00	1	1	No		No	70-0999007	No	Mulligan Electric, LLC			296 North Hoadly Street	Naugatuck	CT	06770		
	\$35,088.00	1	1	No		No	06-1342265	No	Farmington Plumbing & Heating			55 Tall Timbers Drive	Farmington	CT	06032		
	\$95,000.00	1	1	No		No	06-1608215	No	Thomas Custom Builders			PO Box 273	Goshen	CT	06756		
	\$6,500.00	1	2	No		No	06-1627321	No	Asbestos Management Co., Inc			800 Litchfield Turnpike	New Hartford	CT	06057		
	\$10,000.00	1	1	No		No	45-3769644	No	Alibozka Construction			98 School Hill Road	Goshen	CT	06756		
	\$1,600.00	1	1	No		No	06-1069766	No	Barry Cone's Heating & AC Inc.			22 Migeon Avenue	Torrington	CT	06790		

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								Name											
North Haven SC1110101	\$47,000.00	1	1	No		No	06-1564792	No	North Air Systems, LLC							227 Clintonville Road	Northford	CT	06472
	\$55,054.00	1	1	No		No	06-1518079	No	SNS Electric, LLC							135 Taugwank Road	Stonington	CT	06387
	\$67,000.00	1	1	No		No	11-3674760	No	Brainard Excavating							61 Main Street	Old Saybrook	CT	06475
	\$65,000.00	1	1	No		No	06-1602428	No	Schadtle & Sons Foundations							393 Martin Road	Hebron	CT	06248
	\$700.00	1	1	No		No	04-4361249	No	Theodore Reinholtz							50 Prospect Hill Road	Colchester	CT	06415
	\$16,491.00	1	1	Yes		No	06-1360895	No	G&R Construction							39 Rose Street	Hartford	CT	06106
	\$73,576.00	1	1	No		No	06-0984618	No	G.M.C. Mason Contractors							1806 Broad Street	Hartford	CT	06114
	\$57,800.00	1	1	No		No	34-1270056	No	Schindler Elevator Corp.							850 Brook Street	Rocky Hill	CT	06067
	\$18,850.00	1	1	No		No	06-1327260	No	B&S Sheetmetal Co.							176 Sandbank Road	Cheshire	CT	06410
	\$14,543.00	1	1	No		No	06-0890595	No	Barall & Konover Floors							714 Blue Hills Avenue	Hartford	CT	06102
	\$5,150.00	1	1	No		No	06-1531298	No	KD Hall Associates, Inc.							374 Park Road	Waterbury	CT	06708
	\$4,950.00	1	1	No		No	06-1131471	No	Ceiling Systems, Inc.							7 Diana Court	Cheshire	CT	06410
	\$1,800.00	1	1	No		No	14-1918130	No	Plourde Drywall							163 Diane Road	Plantsville	CT	06479
	\$3,550.00	1	1	No		No	34-1998570	No	Concrete Coring Co.							355 Sackett Point Road	North Haven	CT	06473
	\$4,000.00	1	4	No		No	46-0887090	No	Ecuca Stucco Systems							556 Broad Street	Meriden	CT	06450
Old Saybrook SC1210601	\$58,800.00	3	1	No	06-1330300	No		No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708
	\$381,041.00	1	1	No	06-1308299	No		No	Martindale & Salisbury							1234 Hartford Turnpike	Vernon	CT	06066
	\$32,000.00	1	1	No		No	06-1046484	No	J.J. Dribble Construction Co.							1565 Boston Post Road	Old Saybrook	CT	06475
	\$21,000.00	1	1	No		No	06-1357145	No	Collier Electrical Corporation							50 Harvard Street	New Britain	CT	06050
	\$55,000.00	1	1	No		No	01-3320634	No	Compliance Piping & Testing							PO Box 3085	Broad Brook	CT	06016
	\$7,000.00	1	1	No		No	75-3211635	No	W.L. Heating & Cooling, LLC							59 King Spring Road	Windsor Locks	CT	06096
Plainfield SC1210901	\$26,400.00	3	1	No	06-1330300	No		No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708

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Plainville SC1011001	\$7,084.00	1	4	No	06-1570041	No	No	Abreu Construction, LLC							222 Main Street	Farmington	CT	06032	
	\$18,735.00	1	1	No	046-58-9895	No	No	Leake Builders, LLC							25 O'Neill Road	Oxford	CT	06478	
	\$25,400.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
	\$3,313.00	1	1	No	06-0212906	No	No	County Wide Mechanical Services							25-B Grace Avenue	Plainville	CT	06062	
	\$4,900.00	1	1	No	06-1556852	No	No	JP Dunn Heating & Cooling LLC							701 Northfield Road	Northfield	CT	06778	
	\$12,300.00	1	1	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
Plainville SC1111001	\$50,000.00	3	1	No	06-1330300	No	No	L. Wagner & Associates							51 Lakeside Boulevard E	Waterbury	CT	06708	
Plymouth SC1011101	\$11,136.00	1	1	No	06-1128842	No	No	Grela Well Drilling							143 Main Street	Terryville	CT	06786	
	\$43,750.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
	\$11,980.00	1	1	No	90-047-6666	No	No	Busy Bee Roofing							15 Boulder Drive	Wolcott	CT	06716	
	\$23,895.00	1	1	No	90-0642323	No	No	LGD Construction LLC							38 Atkins Avenue	Bristol	CT	06010	
	\$10,700.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
	\$15,950.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
	\$12,954.00	1	1	No	90-0642323	No	No	LGD Construction LLC							38 Atkins Avenue	Bristol	CT	06010	
	\$50,455.00	1	1	No	56-465699	No	No	Salerno Remodeling							40 Depot Street	Watertown	CT	06795	
Plymouth Program Income	\$8,500.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC							33 Wolcott Road	Wolcott	CT	06716	
	\$12,417.00	1	1	No	56-465699	No	No	Salerno Remodeling							40 Depot Street	Watertown	CT	06795	
Preston SC1211401	\$70,000.00	3	1	Yes	80-0228244	No	No	Lisa Low & Associates							293 Riggs Street	Oxford	CT	06478	
	\$16,659.00	1	1	No	06-1604512	No	No	Gagnon Construction, LLC							P.O. Box 165	Putnam	CT	06260	
	\$23,420.00	1	1	No	06-1513893	No	No	East Coast Construction							412 Maple Avenue	Uncasville	CT	06260	
	\$2,100.00	1	1	No		No	No	American Heating							365 Beach Pond Road	Voluntown	CT	06384	
	\$1,100.00	1	1	No		No	043-76-8712	No	Warren MacDonald							255 Barstow Road	Canterbury	CT	06331

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	\$13,880.00	1	1	No	06-1513893	No	No	East Coast Construction				412 Maple Avenue	Uncasville	CT	06382	
Putnam SC1211601	\$70,000.00	3	1	Yes	80-0228244	No	No	Lisa Low & Associates				293 Riggs Street	Oxford	CT	06478	
	\$49,669.00	1	1	No	06-1604512	No	No	Gagnon Construction				PO Box 165	Putnam	CT	06260	
	\$5,900.00	1	1	No		No	No	Tom Morin				Cottage Street	Danielson	CT	06239	
	\$2,850.00	1	1	No		No	No	Ken Haggerty				Moddock Road	Putnam	CT	06260	
Rocky Hill SC1111901	\$111,884.25	1	1	No	06-1473547	No	No	Unitech Sound & Security Systems LLC				600 Four Rod Raod, Bldg 6	Berlin	CT	06037	
	\$11,050.00	1	1	No		No	45-4011071	No	Infantino Property Services LLC				43 Apple Hill Road	Wethersfield	CT	06109
	\$115,899.00	1	1	No	06-1376652	No	No	Garofalo & Saraleno Contractors LLC				30 Stack Street	Middletown	CT	06457	
	\$1,285.00	1	1	No		No	06-08411361	No	Constatine Sealing Service				113 Hebron Avenue	Glastonbury	CT	06033
	\$370,363.00	1	1	No	06-0893519	No	No	Barry Associates				17 Halls Mill Road	Preston	CT	06365	
Salisbury SC1212201	\$14,400.00	3	1	No	06-1330300	No	No	L. Wagner & Associates				51 Lakeside Boulevard E	Waterbury	CT	06708	
	\$26,990.00	1	1	No	044-66-9152	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
	\$15,680.00	1	1	No	044-66-9152	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
	\$3,600.00	1	1	No	06-1180153	No	No	Robert Green Associates, LLC				6 Old Waterbury Road	Terryville	CT	06787	
	\$9,499.00	1	1	No	61-1548971	No	No	FCE Associates				83 Spoonville Road	Granby	CT	06026	
	\$20,250.00	1	1	No	044-66-9152	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
Shelton SC1112601	\$22,226.00	1	1	No	06-1023690	No	No	Goulart Construction, LLC				15 Lori Lynn Circle	Newtown	CT	06470	
	\$29,690.00	1	1	No	06-1525254	Yes	No	Crystal Property Managers				30 Hazel Terrace	Woodbridge	CT	06525	
	\$70.00	1	1	No		No	27-518940	No	A&T Mechanical				28 Del Rio Drive	New Haven	CT	06518
	\$540.00	1	1	No		No	20-5900824	No	SDC Electrical Service				1889 State Street	North Haven	CT	06473
	\$13,345.00	1	1	No		No	20-5601241	No	Air Connections				1 Town Line Road	Plainville	CT	06479
	\$23,739.00	1	1	No	26-3441330	No	No	Vilwell Builders, LLC				33 Wolcott Road	Wolcott	CT	06716	



1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency Department of Housing				Check if PHA IHA	2. Location (City, State, Zip Code) 505 Hudson Street, Hartford, CT 06106											
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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) 7h.	Contractor/Subcontractor Name and Address 7j. 7i. Name		Street	City	State	Zip Code			
	\$125.00	1	1	No		No	20-247184	No	TJ Mechanical Plumbing & Heating		PO Box 12	Litchfield	CT	06759		
	\$240.00	1	1	No		No	06-1519824	No	DW Electrical		16 Pleasant Street	Wolcott	CT	06716		
	\$7,900.00	1	1	No		No	20-1300733	No	DNA Heating & Air Conditioning LLC		829 Spindle Hill Road	Wolcott	CT	06716		
Sprague SC1113301	\$21,400.00	1	1	No		No	46-0663541	No	D.S.B. Painting		269 Victoria Road	Hartford	CT	06114		
	\$6,700.00	1	1	No		No	06-1211566	No	Greenscapes, Inc.		15 Teichert Lane	Hebron	CT	06248		
	\$23,880.00	1	1	No		No	04-3481341	No	Red Thread Flooring		300 East Rium Drive	East Hartford	CT	06105		
	\$1,020.32	1	1	No		No	04-9548797	No	Steve Gelinias		245 Canterbury Road	Plainfield	CT	06374		
	\$1,020.32	1	1	No		No	04-9588744	No	Robert Lefevre		69 Quinebaug Drive	Danielson	CT	06239		
	\$2,780.00	1	1	No		No	46-0879086	No	Mercury Drywall, LLC		190 Broad Street	Meriden	CT	06450		
	\$5,209.45	1	1	No		No	11-3698375	No	M\$M Floorcovering, LLC		47 Ledge Street	Southington	CT	06584		
Stafford SC1113401	\$6,750.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279		
	\$6,200.00	1	1	No		No	06-1411759	No	Fox Heating Services LLC		PO Box 381	Tolland	CT	06084		
	\$19,897.00	1	1	No	06-1508715	No		No	Trim Out LLC		39 Bread & Milk Street	Coventry	CT	06238		
	\$7,500.00	1	1	No		No	06-13088404	No	Hany Mechanical		44 Lower Butcher Road	Ellington	CT	06029		
	\$1,350.00	1	1	No		No	26-3414351	No	Mac Electric, LLC		115 Stone Croft Lane	Coventry	CT	06238		
	\$1,100.00	1	1	No		No	30-00286334	No	R&R Landscaping & Tank Removal		140 Mountain Street	Ellington	CT	06029		
	\$19,025.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279		
	\$57,333.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279		
	\$4,717.50	1	1	No		No	049-54-6328	No	M. Morin Carpentry		76 Discovery Road	Vernon	CT	06066		
	\$1,100.00	1	1	No		No	048-82-1282	No	Structure Enterprises		1162 Grant Hill Road	Coventry	CT	06238		
	\$3,000.00	1	1	No		No	27-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06365		
	\$3,022.41	1	1	No		No	27-17759664	No	Central CT Condominium Repair, LLC		17 Karosi Road	Ashford	CT	06278		

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) 7h.	7j. Contractor/Subcontractor Name and Address 7i.				Street	City	State	Zip Code
	\$495.00	1	1	No		No	06-1303747	No	AAA Dura Glaze	89 Grove Street, Suite 61	Vernon	CT	06066		
	\$1,266.00	1	1	No	06-1433868	No		No	Safe Homes	PO Box 1125	Waterbury	CT	06721		
Stafford - Program Income	\$19,735.00	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279		
	\$8,553.43	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread & Milk Street	Coventry	CT	06238		
	\$22,000.00	3	1	No	401-096-0616	No		No	Weston & Sampson	273 Dividend Road	Rocky Hill	CT	06067		
Stafford SC1213401	\$45,000.00	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111		
	\$25,550.00	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111		
	\$814.00	3	1	Yes	06-1433868	No		No	Safe Homes	PO Box 1125	Waterbury	CT	06721		
	\$9,432.00	1	1	No	06-1449384	No		No	Chase & Son Building	311 Jobs Hill Road	Ellington	CT	06029		
Sterling Program Income	\$119,910.00	1	1	No	06-1302300	No		No	Mattern Construction	26M Bushnell Hollow Road	Baltic	CT	06330		
Stonington SC1113701	\$925.00	1	1	No		No	06-1433405	No	On Site Services	23 Meadow Road	Clinton	CT	06413		
	\$5,850.00	1	1	No		No	06-0613324	No	Costello Industries Inc.	123 Costello Road	Newington	CT	06111		
	\$6,500.00	1	1	No		No	65-1303825	No	Engineered Septic & Sewer LLC	16 Eagle Ridge Drive	Essex	CT	06426		
	\$89,380.00	1	1	No	75-2988693	No		No	DEF Service Group Ltd	1171 Voluntown Road	Griswold	CT	06351		
Thomaston SC1114001	\$13,675.00	1	4	No	26-2563780	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716		
	\$15,610.00	1	1	No	046-58-9895	No		No	Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478		
	\$30,977.00	1	4	No	26-2563780	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716		
	\$33,350.00	1	1	No	044-66-9152	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795		
	\$20,282.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716		
	\$8,286.00	1	1	No	06-1128842	No		No	Greta Well Drilling Inc.	143 Main Street	Terryville	CT	06786		
	\$25,024.00	1	2	No	06-0962559	No		No	Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	06489		
Thompson SC1214101	\$44,000.00	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708		

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	\$138,420.00	1	1	No	75-2988693	No	No	DEF Service Group Ltd				1171 Voluntown Road	Griswold	CT	06351	
Tolland Program Income	\$29,584.00	1	1	No	04-3267270	No	No	New System Associates, LLC				PO Box 51032	Indian Orchard	MA	01151	
	\$4,250.00	1	1	No	044-82-6201	No	No	Hanco Plumbing & Heating LLC				60 Industrial Park Road West	Tolland	CT	06084	
	\$4,350.00	1	1	No	044-82-6201	No	No	Hanco Plumbing & Heating LLC				60 Industrial Park Road West	Tolland	CT	06084	
Tolland SC1214201	\$11,750.00	1	1	No	06-1295159	No	No	Baker's Carpentry				98 Monson Road	Stafford Springs	CT	06076	
	\$1,250.00	1	1	No		No	06-1160742	No	Mainville Electric				88 Bramblebrae	South Windsor	CT	06074
	\$1,050.00	1	1	No		No	06-0835133	No	Muzio Plumbing & Heating				18 W. Stafford Road	Stafford Springs	CT	06076
	\$14,295.00	1	1	No	01-0893653	No	No	Scott Gebo & Sons Construction, LLC				100 Thompson Pike	Dayville	CT	06241	
	\$500.00	1	1	No	06-0793273	No	No	Skips Septic Tank Service				PO Box 29	Ellington	CT	06029	
	\$4,000.00	1	1	No		No	No	Towne Engineering, Inc.				PO Box 162	S. Windham	CT	06266	
	\$19,800.00	1	1	No	040-42-7741	No	No	Scott Borowski Excavating				183 Old Stafford Road	Tolland	CT	06084	
	\$24,800.00	1	1	No	040-42-7741	No	No	Scott Borowski Excavating				183 Old Stafford Road	Tolland	CT	06084	
	\$4,120.00	1	1	No	06-1549227	No	No	RER Associates				75 Lustig Road	Willington	MA	06279	
	\$1,590.00	1	1	No	38-3868950	No	No	CT Lead Paint Solutions				1245 Hebron Avenue	Glastonbury	CT	06033	
	\$24,100.00	1	1	No	043-64-7939	No	No	Barr Construction				242 South Windham Road	Willimantic	CT	06226	
Torrington - Program Income	\$27,735.00	1	1	No	043-66-4704	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
Torrington SC1014301	\$61,900.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC				33 Wolcott Road	Wolcott	CT	06716	
	\$27,735.00	1	1	No	043-66-4704	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
	\$10,940.00	1	1	No	13-4323502	No	No	Gios Remodeling, LLC				34 Sheffield Street	Waterbury	CT	06704	
	\$27,735.00	1	1	No	043-66-4704	No	No	RN Contractor				1 Balmoral Drive	Watertown	CT	06795	
Vernon SC1214601	\$14,400.00	3	1	No	06-1330300	No	No	L. Wagner & Associates				51 Lakeside Boulevard E	Waterbury	CT	06708	
	\$23,832.80	1	2	No	06-0962559	No	No	Sultana Estates, Inc.				42 Shweky Lane	Southington	CT	06489	

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) 7h.	7j. Contractor/Subcontractor Name and Address 7i.				7k.	7l.	7m.	7n.
								Name	Street	City	State	Zip Code			
Vernon Program Income	\$5,721.00	1	1	No	06-1501129	No	No	Warren Brothers Construction, LLC	342 Moson Road	Stafford Springs	CT	06076			
	\$9,938.00	1	1	No	03-0585331	No	No	Ultimate Construction LLC	19 C Andover Drive	West Hartford	CT	06110			
Wallingford SC1214801	\$65,000.00	3	1	No	06-1330300	No	No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708			
Waterford SC1215201	\$70,000.00	3	1	Yes	80-0228244	No	No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478			
	\$17,347.00	1	1	No	27-1049662	No	No	AGBS	P.O. Box 155	Old Mystic	CT	06372			
	\$3,500.00	1	1	No		No	20-2449683	Scott Main	141 Rixtown Road	Griswold	CT	06351			
Watertown SC1015301	\$26,012.00	1	1	No	046-58-9895	No	No	Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478			
	\$10,057.00	1	1	No	045-64-1436	No	No	Action Jackson	61 Lang Road	Windsor	CT	06095			
	\$22,305.00	1	1	No		No	045-64-1436	Action Jackson	61 Lang Road	Windsor	CT	06095			
	\$9,225.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716			
Wethersfield SC1215901	\$40,000.00	3	1	No	048-46-9754	No	No	Community Consulting	16 Washburn Street	Willimantic	CT	06226			
	\$584,000.00	1	1	No	80-0366781	No	No	Kenneth L. Thomas, LLC	207 Mulbery Lane	Orange	CT	06477			
	\$73,000.00	1	1	No		No	20-2722485	Infinity Electric	161 Tryon Street	Middletown	CT	06457			
	\$19,000.00	1	1	No		No	045-68-1512	St. Pierre Plumbing LLC	39 Isabelle Drive	Meriden	CT	06450			
Willington SC0916001	\$14,278.14	1	1	No	11-3769550	No	No	T.M. Builders LLC	100 Norwich-New London Tpk	Uncasville	CT	06382			
Winchester Program Income	\$6,950.00	1	1	No	128-66-5220	No	No	Elite Constructin	185 Greenwoods Road East	Norfolk	CT	06058			
Windham SC1116301	\$25,600.00	1	1	No	043-64-7939	No	No	Barr Construction	242 South Windham Road	Willimantic	CT	06226			
	\$975.00	1	1	No		No	040-74-4017	Kenny Caton	9 Howard Drive	Willimantic	CT	06226			
	\$1,250.00	1	1	No		No	045-78-0496	Brandon Stone	100 Cross Road	Chaplin	CT	06235			
	\$1,575.00	1	1	No		No	043-78-5705	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226			
	\$4,500.00	1	1	No		No	06-1665964	Labarre Plumbing & Heating	3 Whitney Road	Columbia	CT	06237			
	\$855.00	1	1	No	01-0567871	No	No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033			

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	\$23,737.50	1	1	No	06-1549227	No	No	RER Associates, LLC			75 Lustig Road	Willington	CT	06279
	\$350.00	1	1	No		No	27-3675476	No	Newman's Wildlife Control		Route 85	Bolton	CT	06043
	\$3,057.20	1	1	No		No	06-0953471	No	Dumont Brothers		235 Oak Street	Manchester	CT	06040
	\$375.00	1	1	No		No	07-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06365
	\$480.00	1	1	No		No	27-1759664	No	Central CT Condominium Repair, LLC		17 Karosi Road	Ashford	CT	06278
	\$21,920.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279
	\$250.00	1	1	No		No	27-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06365
	\$800.00	1	1	No		No	048-82-1282	No	Gianni Calvo		1162 Grant Hill Road	Coventry	CT	06238
	\$3,160.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry		76 Discovery Road	Vernon	CT	06066
	\$2,198.60	1	1	No		No	06-0953471	No	Dumont Brothers		235 Oak Street	Manchester	CT	06040
Windham Sc1216301	\$69,000.00	3	1	No	048-46-9754	No		No	Community Consulting		16 Washburn Street	Willimantic	CT	06226
	\$910.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions		1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,875.00	1	1	No	06-1549227	No		No	RER Associates, LLC		75 Lustig Road	Willington	CT	06279
	\$1,770.00	1	1	No		No	27-0463757	No	Briggs Electric		16 Savage Road	Preston	CT	06365
	\$1,760.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry		76 Discovery Road	Vernon	CT	06066
	\$4,000.00	1	1	No		No	06-1411759	No	Fox Heating Services LLC		PO Box 381	Tolland	CT	06084
	\$24,125.00	1	1	No	043-64-7939	No		No	Barr Construction		242 South Windham Road	Willimantic	CT	06226
	\$3,250.00	1	1	No		No	040-74-4017	No	Kenny Caton		12 Woodland Drive	Willimantic	CT	06226
	\$2,100.00	1	1	No		No	045-78-0496	No	Brandon Stone		100 Cross Road	Chaplin	CT	06235
	\$2,760.00	1	1	No		No	043-78-5705	No	Herrick Electric		12 Woodland Drive	Willimantic	CT	06226
	\$1,800.00	1	1	No		No	06-1165964	No	Labarre Plumbing & Heating		3 Whitney Road	Columbia	CT	06237
	\$24,321.00	1	1	No	75-2988693	No		No	DEF Service Group Ltd		1171 Voluntown Road	Griswold	CT	06351

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency  
 Department of Housing

Check if  
 PHA  
 IHA

2. Location (City, State, Zip Code)  
 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person  
 Donald LaPointe

3b. Phone Number  
 860-270-8184

4. Reporting Period  
 Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a 6. Date Submitted to Field Office

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	\$8,295.00	1	1	No	01-0893653	No	No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241			
Windsor SC1016401	\$96,360.00	1	1	No		No	No	Barry Associates	17 Halls Mill Road	Preston	CT	06365			
	\$129,500.00	1	1	No		No	No	Sav-Mor Heating	231 Captain Lewis Drive	Southington	CT	06489			
	\$48,495.00	1	1	No		No	No	Martindale & Salisbury	1234 Hartford Turnpike	Vernon	CT	06066			
Windsor Locks SC1116501	\$83,467.67	1	1	No		No	06-3516665	JSL Asphalt, Inc.	730 E. Mountain Road	Westfield	MA	01085			
	\$875.00	1	1	Yes		No	04-3138551	Abide, Inc.	483 Shaker Road	E. Longmeadow	MA	01028			
Wolcott SC0916601	\$149,370.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716			
Wolcott SC1016601	\$16,490.00	1	4	No	26-3441330	No	No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716			
Wolcott Program Income	\$53,237.00	1	1	No	06-1062440	No	No	Kenneth Warner & Sons Inc.	65-3 North Main Street	Branford	CT	06405			
Woodbridge SC1216701	\$14,400.00	3	1	No	06-1330300	No	No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708			
Woodstock Program Income	\$445.00	1	1	No	01-0893653	No	No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241			
	\$5,550.00	1	1	No	33-1158318	No	No	T. Strmiska Septic Systems, LLC	4 Bingham Road	Canterbury	CT	06331			
	\$1,668.36	3	1	No	048-46-9754	No	No	Community Consulting	16 Washburn Street	Willimantic	CT	06226			
	\$445.00	1	1	No	01-0893653	No	No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241			

Insert New Rows Here

Totals:	All Projects	\$12,775,718.04	Racial/Ethnic Totals												
	Women Owned	\$634,731.89	White	\$11,517,095.47	Hispanic	\$1,158,508.00									
	Prime Contractor	\$168,403.00	Black	\$100,114.57	Asian/Pacific	\$0.00									
	Sub Contractor	\$0.00	Native	\$0.00	Portuguese	\$0.00									

CPD

To: Type of Trade Codes:  
 Housing/Public Housing:  
 1=New Construction  
 2=Substantial Rehab  
 3=Repair  
 4=Service  
 5=Project Mangt

7d: Racial/Ethnic Codes:  
 1=White Americans  
 2=Black Americans  
 3=Native Americans  
 4=Hispanic Americans  
 5=Asian/Pacific Americans  
 6=Portuguese

7=Public/Indian Housing

Previous editions are obsolete

Form HUD-2516(8/98)



**Part II: Contracts Awarded**

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 12,775,718.04
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 168,403.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	.01 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.



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Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B:** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C:** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F:** Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self-explanatory**

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Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

# ESG ANNUAL PERFORMANCE REPORT

2012

<b>CONTRACTOR NAME:</b>	Area Congregations Together-Spooner House
<b>CONTRACT NUMBER (CORE /POS):</b>	12 DSS 3701 BE
<b>ESG SHARE OF CONTRACT:</b>	35,482
<b>BUDGET PERIOD:</b>	July 1, 2012 – June 30, 2013
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>Programs offered by ACT-Spooner House include providing:</p> <ul style="list-style-type: none"> <li>a) shelter &amp; meals for up to 36 people at a given time.</li> <li>b) self-sufficiency training and support; plans of action, Financial planning &amp; budgeting, self-help sessions.</li> <li>c) mental health screenings &amp; referrals as appropriate</li> <li>d) clothing vouchers</li> <li>e) daily living skills, i.e. hygiene, health/medication support</li> </ul> <p>Activities offered by ACT are centered on a 'plan of action' mutually established with a case manager, the purpose of which is to assist the resident to become self-sufficient.</p> <p>The action plan includes specific objectives to be achieved by the individual in order to reach the goal of finding a permanent place to live. Each action plan is as individualized as the person who is in need of shelter. Our principal purpose is to meet the immediate basic need while consecutively establishing dialogue, building trust, providing guidance, connecting clients to necessary &amp; appropriate service providers. Building plans of action to sustain our client's safety, basic nutritional necessities, and increase their abilities to regain a more self-sufficient living situation.</p>	
<b>NUMBER OF CLIENTS SERVED</b> (unduplicated count):	236
<b>ADDITIONAL COMMENTS:</b>	
<p>Homelessness in CT. continues to rise, reaching alarming levels-last year CT.'s shelters alone served over 11,000 people, including over 1,500 children. On any single day, there are approximately 660,000 men, women &amp; children in America who are homeless, 3,800 in CT shelters on that same given day. (<i>CT Coalition to End Homelessness</i>). In Connecticut, there are an estimated 400,000 people at risk of hunger every year. These are people from all walks of life – children, working parents, seniors, people with disabilities, etc. (<i>CT Food Bank</i>).</p>	
<b>Report Completed by</b> (name and date): Elizabeth Holcomb, Director of Operations	
9/6/2013	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Contractor Name & Address:	Area Congregations Together	
Project Title & Address:	Spooner House 30 Todd Road Shelton, CT 06484	
Contract Number (CORE/POS)	12 DSS 3701 BE	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter Services	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

140 White, Non-Hispanic  
67 Black, Non-Hispanic  
28 Hispanic  
0 Asian/Pacific  
1 American Indian / Alaskan Native  
236 TOTAL  
21 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>*</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>        </u> outreach
<u>        </u> drop-in-center	<u>        </u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>        </u> employment
<u>        </u> child care	<u>        </u> homeless prevention
<u>*</u> other <u>        </u> (please list): FOOD BANK PROGRAM	

3. Number of people served for each activity:

Residential Services:	Non-residential services:
Average number of adults daily <u>        </u> <u>26</u>	average number serviced daily <u>        </u> <u>45</u>
Average number of children daily <u>        </u> <u>8</u>	
Average number served yearly <u>        </u> <u>230</u>	

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>        </u> <u>61%</u>	Female <u>        </u> <u>39%</u>
Unaccompanied under 18 .....	Male <u>        </u> <u>0%</u>	Female <u>        </u> <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over .....	Male	<u>1%</u>	Female	<u>16%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>1%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>2%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>9%</u>	battered spouses	<u>64%</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>9%</u>	elderly
<u>47%</u>	chronically mentally ill	<u>24%</u>	veterans
<u>3%</u>	developmentally disabled	<u>3%</u>	physically disabled
<u>3%</u>	HIV/AIDS	<u>0</u>	other
<u>58%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>36*</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	*Other (describe)	<u>4-Additional Cribs/Beds available 6-Additional individuals sheltered through No-Freeze Program (Winter months.)</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$35,482
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
VA GPD	<u>\$41,005</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
 EMERGENCY SHELTER PROGRAM (ESG)  
 ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Municipalities	\$23,000
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	\$265,684
Loans	\$
Building Value or Lease	\$4,900,000
Donated Goods	\$71,775
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$50,960
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Beth El Center
CONTRACT NUMBER (CORE /POS):	084CPC-ESS-13 / 10DSS3711EN
ESG SHARE OF CONTRACT:	\$24,988.00
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) All ESG funding is for Operational Costs  The Beth-El Center works actively to help homeless clients obtain self sufficiency and independence. The Center offers a supportive and structured 90 day shelter, where residents can gain the needed supports to be independent and successful in managing their lives in the community.  Services are for adult men/women and for parents with children. The total available beds are 32, with dorms for men, women and six individual rooms for parents with children.  There is a high propriety on intensive Case Management to collaboratively help residents to identify and implement needs changes in their lives. These changes become the goals, which form the foundation of the written, individualized Service Plan.	
NUMBER OF CLIENTS SERVED (unduplicated count):	158
ADDITIONAL COMMENTS:  Clients are offered a clean, safe and recovery oriented environment to help them make the journey to self sufficiency and independence.  A variety of intensive community services are available, depending on the individual needs of the client.	
Report Completed by (name and date): Donald F. deGraffenried, LCSW 7/5/2013	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Beth-El Center, 90 New Haven Avenue, Milford, Ct. 06460
Project Title & Address:	Emergency Shelter Services, 90 New Haven Avenue, Milford, Ct. 06460
Contract Number (CORE/POS)	084CPC-ESS-13/10DSS3711EN
Report Covers the period:	July 1, 2011 - June 30, 2013
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>146</u>	White, Non-Hispanic
<u>128</u>	Black, Non-Hispanic
<u>21</u>	Hispanic
<u>6</u>	Asian/Pacific
<u>1</u>	American Indian / Alaskan Native
<u>302</u>	TOTAL
<u>84</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/>	emergency shelter facilities	<input type="checkbox"/>	transitional housing
<input type="checkbox"/>	vouchers for shelters	<input type="checkbox"/>	outreach
<input type="checkbox"/>	drop-in-center	<input type="checkbox"/>	soup kitchen/meal distribution
<input type="checkbox"/>	mental health	<input type="checkbox"/>	HIV/AIDS services
<input type="checkbox"/>	alcohol/drug program	<input type="checkbox"/>	employment
<input type="checkbox"/>	child care	<input type="checkbox"/>	homeless prevention
<input type="checkbox"/>	other <u>(please list):</u>		

3. Number of people served for each activity:

Residential Services: ~~Non-residential services:~~

Average number of adults daily	<u>19</u>	average number serviced daily	<u>24</u>
Average number of children daily	<u>5</u>		
Average number served yearly	<u>151</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>63</u> %	Female	<u>37</u> %
Unaccompanied under 18 .....	Male	<u>0</u> %	Female	<u>0</u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male <u>0 %</u>	Female <u>100%</u>
Youth 18 and under .....	<u>0 %</u>	
Two parents 18 and over .....	<u>0 %</u>	
Two parents under 18 .....	<u>0 %</u>	
Families with no children .....	<u>0 %</u>	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>10%</u> battered spouses	<u>38%</u> drug dependent individuals
<u>0%</u> runaway/throwaway youth	<u>10%</u> elderly
<u>39%</u> chronically mentally ill	<u>49%</u> veterans
<u>5%</u> developmentally disabled	<u>5%</u> physically disabled
<u>8%</u> HIV/AIDS	_____ other
<u>34%</u> alcohol dependent individuals	_____

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>15</u> Barracks	_____ Group/large house____
_____ Scattered site apartment	_____ Single family detached house
<u>9</u> Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe)	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ _____
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____



DEPARTMENT OF SOCIAL SERVICES  
 EMERGENCY SHELTER PROGRAM (ESG)  
 ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Central Connecticut Coast YMCA - Alpha Community Services Families in Transition Program 1240 Chapel St. New Haven CT 06511
Project Title & Address:	Families in Transition Program - 387 Clinton Ave. Bridgeport CT 06605
Contract Number (CORE/POS)	015YMC-ESS-19 / 12DSS3701DM
Report Covers the period:	July 1, 2012 - June 30, 2013
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	<p>The Families in Transition (FIT) program is the only emergency shelter for families in the City of Bridgeport. FIT has the capacity to accommodate 110 individuals on any given night. The program is currently located at two sites in Bridgeport. Brooks Street Emergency Shelter and Clinton Ave. Emergency Shelter.</p> <p>Between July 1, 2012 and June 30, 2013 the program served 165 families that consisted of 221 adults and 347 children. FIT Program is part of the city and the nation's wide effort to end chronic homelessness in ten years.</p> <p>The program provides a safe, healthy environment for homeless families, where their basic needs can be met and to create housing stability for families who are at risk of become homeless. Case Managers provide support and crisis intervention for the residents. They link residents both adult and youth to mental health, substance abuse, employment, medical resources, education opportunities and other services in the community. Case Managers assist families toward the path of self-sufficiency.</p> <p>In an effort to insure that compliance with the Hearth Act, this program is part of the planning process for the Coordinated Access system for the Greater Bridgeport area.</p> <p>In addition to offering support services through the case management model, ALPHA has developed its own programs for tenants living in ALPHA housing: Workshops for Effective Parenting Skills, STD/HIV prevention, Dental hygiene, health and wellness, Job Readiness, Eviction Prevention and Financial Planning.</p> <p>Some of the activities for children for this period included: summer camp, splash water safety program, week of swim at the Y, arts and crafts activities, anti-bullying workshop, Read across America day, homework assistance and mentoring program.</p>

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

3	White, Non-Hispanic
301	Black, Non-Hispanic
257	Hispanic
1	Asian/Pacific
0	American Indian / Alaskan Native

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

562 TOTAL  
137 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/>	emergency shelter facilities	<input checked="" type="checkbox"/>	transitional housing
<input type="checkbox"/>	vouchers for shelters	<input type="checkbox"/>	outreach
<input type="checkbox"/>	drop-in-center	<input type="checkbox"/>	soup kitchen/meal distribution
<input type="checkbox"/>	mental health	<input type="checkbox"/>	HIV/AIDS services
<input type="checkbox"/>	alcohol/drug program	<input type="checkbox"/>	employment
<input type="checkbox"/>	child care	<input type="checkbox"/>	homeless prevention
<input type="checkbox"/>	other <u>(please list):</u>		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>31</u>	average number serviced daily	<u>80</u>
Average number of children daily	<u>49</u>		
Average number served yearly	<u>568</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>0%</u>	Female <u>0.01%</u>
Unaccompanied under 18 .....	Male <u>31%</u>	Female <u>32%</u>

Families with children headed by:

Single 18 and over .....	Male <u>4%</u>	Female <u>83%</u>
Youth 18 and under .....	<u>0%</u>	
Two parents 18 and over .....	<u>13%</u>	
Two parents under 18 .....	<u>0%</u>	
Families with no children .....	<u>0%</u>	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>1%</u> battered spouses	<u>2%</u> drug dependent individuals
<u>0%</u> runaway/throwaway youth	<u>0.2%</u> elderly
<u>4%</u> chronically mentally ill	<u>1%</u> veterans
<u>1%</u> developmentally disabled	<u>5%</u> physically disabled
<u>        </u> HIV/AIDS	<u>        </u> other

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

\_\_\_\_\_ 2% alcohol dependent individuals \_\_\_\_\_

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____	Barracks	298	Group/large house_____
270	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$62,515.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$0
Building Value or Lease	\$0
Donated Goods	\$2,500
Donated Computers	\$1,000

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

New Staff Salaries	\$
Volunteers (\$5/hr)	\$6,000
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Christian Community Action	
CONTRACT NUMBER (CORE /POS):	093CCA ESS-31 / 10DSS3701EC	
ESG SHARE OF CONTRACT:	\$68,325.00	
BUDGET PERIOD:	July 1, 2012 - June 30, 2013	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Funding goes towards shelter operations and administration Christian Community Action, through its Hillside Family Shelter, offers seventeen apartments (of various sizes and at two locations) for families that are homeless. For 60-90 days, families can receive case management services, workshops, vocational assistance, housing location assistance and programming for children. After they leave the Shelter, families can receive follow up services for up to 90 days.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	208	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Lillian N. Marquez 7/5/13		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Christian Community Action, Inc.
Project Title & Address:	168 Davenport Avenue New Haven, CT 06519
Contract Number (CORE/POS)	093CCA ESS-31 / 10DSS3701EC
Report Covers the period:	July 1, 2012 - June 30, 2013
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

18 White, Non-Hispanic  
138 Black, Non-Hispanic  
52 Hispanic  
0 Asian/Pacific  
0 American Indian / Alaskan Native  
208 TOTAL  
           Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input type="checkbox"/> other <u>(please list):</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>89</u>	average number serviced daily	<u>          </u>
Average number of children daily	<u>119</u>		
Average number served yearly	<u>208</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>          </u> %	Female <u>          </u> %
Unaccompanied under 18 .....	Male <u>          </u> %	Female <u>          </u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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Families with children headed by:

Single 18 and over .....	Male	<u>5%</u>	Female	<u>95%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>100%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ battered spouses	_____ drug dependent individuals
_____ runaway/throwaway youth	_____ elderly
_____ chronically mentally ill	_____ veterans
_____ developmentally disabled	_____ physically disabled
_____ HIV/AIDS	_____ other
_____ alcohol dependent individuals	_____

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ Barracks	_____ Group/large house
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe)	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$68,325.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
HUD	<u>\$17,737</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>



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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DSS State	\$44,113
Foundation grants (4)	\$ 6,476
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Columbus House
CONTRACT NUMBER (CORE /POS):	093CHI-ESS-32/ 10DSS3711EM
ESG SHARE OF CONTRACT:	\$41,092.00
BUDGET PERIOD:	July 1, 2012 – June 30, 2013
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>The Columbus House Inc. (CHI) mission is to serve people who are homeless or at risk of becoming homeless, by providing shelter and housing and by fostering their personal growth and independence.</p> <p>The CHI Shelter serves single adult men and women who are 18 years of age and older. CHI does not discriminate on the basis of race, religion, national origin, age, sex, sexual orientation, disability or veteran status. CHI will make reasonable accommodations for those in the LGBT community when necessary based on each individual situation. CHI will always maintain confidentiality and attempt to provide a safe environment for all served. CHI will attempt to accommodate all language barriers to provide the appropriate level of service. CHI has a certified Spanish interpreter on staff and can arrange for an appointment if necessary. For other languages CHI will offer interpreter services through Language Line.</p> <p>Approximately 100 clients reside each night under shelter. Clients are supported with 4 to 10 staff and volunteers that come and go throughout the evening hours. CHI shelter staff have been trained to provide services to homeless populations with multiple barriers and disabilities. Current staff include: the (1 part time) Shelter Manager, (1 full time) Shelter Coordinator, (2 full time) Shelter Case Managers and (15part time) Residential Supervisors. Staff is available 24-hours every day of the week. Residential staff members are onsite during the daytime hours and at night. The Case Management staff works Monday through Friday from 7:30 AM - 5:00 PM and on the weekends from 8:00AM – 12:00PM. CHI Shelter case managers assist in making connections to housing, education, employment, mental health, substance abuse services, treatment centers, entitlements (SOAR), medical or dental referrals, and medical insurance. A self-directed service plan will be developed within two weeks of a residents 90 day stay. Shelter case management is available to meet weekly with residents to discuss progress, successes, and/or any barriers experienced while implementing the service plan.</p> <p>CHI Shelter is a referral based shelter and a referral needs to be completed for all seeking shelter. This can be a self-referral or from a case manager/clinician. All referrals are facilitated by our Shelter Coordinator. There will be a 48 hour turnaround response to referrals, whether walk in or faxed, as to bed availability, there are no guarantees of bed availability on any specific date. Columbus House will complete an assessment for eligibility and determine admission. Beds are assigned based on our admission criteria and waitlist. Columbus House does not discriminate or deny entry on the basis of gender, creed, religion, sexual orientation or to persons who have disabilities. Once a bed is assigned it will be expected that the participant utilize the bed on a nightly basis as there will be no passes granted. If a participant fails to check in to the shelter for 2 unexcused days the bed will be forfeited and the participant will have to wait 90 calendar days before another referral can be submitted. All forfeited beds will be reassigned to next person on waitlist at 8pm on the 2<sup>nd</sup> night of no call, no show.</p> <p>CHI Shelter has a 90 day length of stay policy. Participants are welcome to stay and take advantage of the services offered for 90 calendar days. In an effort to maximize our services for all in need it is required that all clients having an income be referred to our Length of Stay (LOS) Program.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	840

## ESG ANNUAL PERFORMANCE REPORT

2012

### ADDITIONAL COMMENTS:

The CHI in its effort to meet HEARTH indicators is preparing to move toward Coordinated Access and will be collaborating with local and statewide shelter providers in providing a seamless service delivery system for single homeless adults. The implementation of the new HMIS system will allow CHI Shelter to have real time data to track participant's service and shelter history throughout the state to effectively decrease length of stays, increase income and secure permanent supportive and community based housing.

Report Completed by (name and date): Leticia Brown-Gambino 7/26/2013

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EMERGENCY SHELTER PROGRAM (ESG)  
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Contractor Name & Address:	586 Ella T. Grasso Boulevard, P.O. Box 7093, New Haven, CT-06519		
Project Title & Address:	Columbus House Emergency Shelter, 586 Ella T. Grasso Blvd., New Haven, CT-06519		
Contract Number (CORE/POS)	093CHI-ESS-32/10DSS3711EM		
Report Covers the period:	July 1, 2012 – June 30, 2013		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)			

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

334 White, Non-Hispanic  
349 Black, Non-Hispanic  
126 Hispanic  
6 Asian/Pacific  
4 American Indian / Alaskan Native  
10 More than one race  
1 Native Hawaiian or other Pacific Islander  
10 Unreported  
840 TOTAL  
386 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input type="checkbox"/> other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 31 average number serviced daily 31  
 Average number of children daily \_\_\_\_\_  
 Average number served yearly 1009

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>54%</u>	Female <u>46%</u>
Unaccompanied under 18 .....	Male _____ %	Female _____ %

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EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____	battered spouses	_____	15%	_____	drug dependent individuals
_____	runaway/throwaway youth	_____	5%	_____	elderly
10%	chronically mentally ill	_____	5%	_____	veterans
5%	developmentally disabled	_____	15%	_____	physically disabled
1%	HIV/AIDS	_____	24%	_____	Domestic violence
11%	alcohol dependent individuals	_____	9%	_____	Other

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	
		31 bed shelter	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$30,819
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
_____	\$
_____	\$
_____	\$
_____	\$
_____	\$

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 EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Columbus House - Middlesex Family Shelter
CONTRACT NUMBER (CORE /POS):	12DSS3901EM / 093-CHI-ADU-01
ESG SHARE OF CONTRACT:	\$34,157.00
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.) The Middlesex Family Services (MFS) Emergency Family Shelter of Columbus House, Inc., is located in Middletown, CT. The Emergency Family Shelter is comprised of four duplexes located within the Middletown Housing Authority. Seven (7) of these units are used for residents of the program. The final unit is used for MFS staff office space. Each unit has two bedrooms, one and a half baths, living room and eat-in kitchen. There is a laundry facility located at the program. Families consisting of at least one parent/guardian over the age of eighteen (18) and one child under the age of eighteen (18) at the time of admission may access the shelter program for up to ninety (90) days. Extensions to the length of stay are granted on a case by case basis.  Case management services are on-site and heads of household meet weekly with the case manager to create and execute a plan of action (Service Plan). The MFS case manager will discuss housing options; assist in the completion of housing referrals, entitlement referrals, employment services referrals and refer and monitor other services such as early childhood services, daycare, mental and physical health care and substance abuse treatment care. The MFS Emergency Family Shelter Case Management is designated as the educational liaison for the programs and ensures that a child is able to access his or her school of origin or the local school system; whichever the parent(s) feels best meets his or her child's needs.  The MFS Emergency Family Shelter does not have a waitlist, and referrals for shelter come from agencies such as United Way 211, the Department of Children and Families (DCF), Department of Social Services (DSS) and other local community providers. Many of the referrals are also self-made.	
NUMBER OF CLIENTS SERVED (unduplicated count):	103
<b>ADDITIONAL COMMENTS:</b> Between FY 2012 and FY 2013, the MFS Emergency Family Shelter experienced a change in leadership that resulted in a shift in case management practices. In FY 2012, the program served twenty-four (24) unduplicated individuals. In FY 2013, the program increased unduplicated individuals served to eighty-three (83). The MFS Emergency Family Shelter program is serving more clients, offering more intensive case management support and accessing all available resources including DSS Security Deposit Guarantee, Rapid Re-Housing Dollars and The Connecticut Coalition to End Homelessness (CCEH) fund. While we increased the number of clients served and thirty (30) families successfully completed the shelter program, it continues to be a challenge finding affordable housing options. This can result in families paying a higher percentage of their income toward housing putting them at risk for maintaining stable housing.	
Report Completed by (name and date): Andrea Hachey 7/26/2013	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Columbus House, Inc., 586 Ella T. Grasso Blvd., New Haven, CT 06519
Project Title & Address:	Middlesex family Shelter, 117 Daddario Rd., Middletown, CT 06457
Contract Number (CORE/POS)	13DOH0401AN
Report Covers the period:	July 1, 2012 – June 30, 2013
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

- 33 White, Non-Hispanic
- 28 Black, Non-Hispanic
- 36 Hispanic
- 3 Asian/Pacific
- 3 American Indian / Alaskan Native
- 103 TOTAL
- 34 Number of Female headed households

2. Indicate type of project(s) and service(s):

- emergency shelter facilities
- vouchers for shelters
- drop-in-center
- mental health
- alcohol/drug program
- child care
- other (please list):
- transitional housing
- outreach
- soup kitchen/meal distribution
- HIV/AIDS services
- employment
- homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 8 average number serviced daily 15  
 Average number of children daily 7  
 Average number served yearly 106

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male        % Female        %  
 Unaccompanied under 18 ..... Male        % Female        %



DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	<u>2%</u>	Female	<u>56%</u>
Youth 18 and under .....		<u>        </u>		<u>        </u>
Two parents 18 and over .....		<u>38%</u>		
Two parents under 18 .....		<u>        </u>		
Families with no children .....		<u>4%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>        </u>	battered spouses	<u>27%</u>	drug dependent individuals
<u>        </u>	runaway/throwaway youth	<u>        </u>	elderly
<u>36%</u>	chronically mentally ill	<u>2%</u>	veterans
<u>        </u>	developmentally disabled	<u>27%</u>	physically disabled
<u>        </u>	HIV/AIDS	<u>8%</u>	other
<u>        </u>	alcohol dependent individuals	<u>        </u>	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>        </u>	Barracks	<u>        </u>	Group/large house
<u>        </u>	Scattered site apartment	<u>        </u>	Single family detached house
<u>        </u>	Single room occupancy	<u>        </u>	Mobile home/trailer
<u>        </u>	Hotel/motel	<u>        </u>	
<u>        </u>	Other (describe)	<u>        </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$42,696
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>        </u>	\$
<u>        </u>	\$
<u>        </u>	\$
<u>        </u>	\$
<u>        </u>	\$

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EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

<b>CONTRACTOR NAME:</b>	Community Renewal Team, Inc.
<b>CONTRACT NUMBER (CORE /POS):</b>	064C-ESS-17 / 10DSS3711EW
<b>ESG SHARE OF CONTRACT:</b>	\$34,350.00
<b>BUDGET PERIOD:</b>	July 1, 2012 – June 30, 2013
<p><b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)            CRT's East Hartford Community Shelter provides 40 beds (16 beds for men and 24 beds for families and single women) of decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years old or emancipated minors, and families. The Emergency Shelter is a facility that meets the basic needs for food and shelter on an emergency and short-term basis. Eligible consumers are homeless individuals, at least 18 years old or emancipated minors and/or families. Services provided by CRT are designed to increase consumers' stability, skill levels and self-determination with an ultimate goal of helping people with long-term appropriate housing.</p> <p>CRT provides case management services to all clients of the shelter. The individual case management services include but are not limited to: counseling, crisis intervention, assessment, goal planning, employment assistance, application assistance, monitoring and encouraging client progress, assistance with obtaining housing, referrals to additional community support services including treatment or other services.</p> <p><b>Activities</b> – CRT performs the following activities to support the above services:</p> <p><b>Individual assessments:</b> CRT collects basic information regarding each client through the initial intake process. Information includes, but not be limited to, age, marital status, family size, race, ethnicity, major source of income, reason for loss of housing, length of homelessness, rental/home ownership history, employment status, education history, history of substance abuse, and mental and physical health. This information is the basis for an assessment of the clients' needs and the development of an Action Plan with the client, as described in the next paragraph.</p> <p><b>Action Plans:</b> CRT develops a mutually agreed upon action plan with the client, as a result of the individual assessment. The Action Plan is used to identify the actions necessary to meet the clients' needs and establish such goals as permanent housing, access to health care, mental health care and addiction services, and other social and treatment services.</p> <p><b>Provision of basic toiletries:</b> CRT provides clients with clothing, shower, laundry facilities and basic toiletries including but not limited to shampoo, soap, deodorant, toothbrush and toothpaste.</p> <p><b>Food:</b> CRT provides 3 meals per day (breakfast, lunch and dinner) to shelter residents.</p>	
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	337

## ESG ANNUAL PERFORMANCE REPORT

2012

### ADDITIONAL COMMENTS:

The East Hartford Shelter (EHS) continued to run at or above capacity to meet the needs of the homeless in East Hartford and the Greater Hartford area. This year the shelter serviced 337 residents (247 adults and 90 children). The shelter continues to run at over 96% capacity for the year. On average, the shelter has an average monthly turn away rate of 65 homeless adults and children. The EHS provided services to 61 families during the course of the year. The shelter was also able to provide services to several single fathers and their children which does cause the shelter to lose bed availability during their stay (as they cannot share a room with the women) but does allow the shelter to provide services to this tough population. Some of the programs that the Case Managers have worked with to assist them in successfully transitioning the residents out of the shelter were CRT's Supportive Housing (Project Teach, Permanent and Supportive Housing Programs, Bloomfield Scattered Site Housing), Salvation Army's Beyond Shelter, Community Health Resources' Pilot Program, and My Sister's Place (for housing and security deposit assistance).

The EHS continues to collaborate with community agencies to meet our residents physical and mental health needs. Charter Oak Health and Integrated Health Services have provided physical and dental assistance to the residents. Hartford Hospital has also teamed with the EHS to provide free cancer screenings to the residents. The residents mental health needs are being met by CRT's Behavioral Health, Intercommunity, and AHEC all of whom provide individual and group counseling as needed for the residents. The EHS has also recently partnered with Suburban Pharmacy to provide prescription deliveries directly to the shelter for the residents.

The East Hartford Shelter continues to be assisted by the generous donations from the businesses, schools, churches, and families of East Hartford and the Greater Hartford area. Throughout the year, Whole Foods, Stop & Shop, Shoprite, and Dunkin Donuts have provided supplemental food for the shelter. Two of our biggest contributors at the shelter have been Pratt & Whitney and Craig J. Lubitski Consulting. Both companies have been invaluable to the shelter as they have provided food, clothing, Christmas gifts, furniture, household goods, and hygiene products for the residents. East Hartford's Interfaith Ministries has also been a big help in providing our exiting residents with furniture and household goods to assist them in getting their apartments started. St. Ann's Church in Avon held their annual community service day at the EHS. St. Ann's brought over 50 volunteers to paint the men's unit, all common areas in the family unit, and the porches and stairs outside. They also built some shelving storage units and some other minor repairs around the facility.

CRT also applied for and received a grant from the Ensworth Foundation. The grant will allow the shelter to purchase 40 new mattresses for the facility as well as purchase an industrial dryer and/or refrigerator.

Report Completed by (name and date): Stephen Bigler & Sarah Pavone, July 19, 2013

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	CRT 385 Main Street, East Hartford CT. 06108	
Project Title & Address:	East Hartford Community Shelter	
Contract Number (CORE/POS)	064C-ESS-17 / 10DSS3711EW	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

68 White, Non-Hispanic  
161 Black, Non-Hispanic  
107 Hispanic  
0 Asian/Pacific  
1 American Indian / Alaskan Native  
337 TOTAL  
58 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>          </u> transitional housing
<u>          </u> vouchers for shelters	<u>          </u> outreach
<u>          </u> drop-in-center	<u>          </u> soup kitchen/meal distribution
<u>          </u> mental health	<u>          </u> HIV/AIDS services
<u>          </u> alcohol/drug program	<u>          </u> employment
<u>          </u> child care	<u>          </u> homeless prevention
<u>          </u> other <u>          </u> (please list):	

3. Number of people served for each activity:

Residential Services:		Non-residential services:	
Average number of adults daily	<u>30</u>	average number serviced daily	<u>N/A</u>
Average number of children daily	<u>10</u>		
Average number served yearly	<u>337</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>69.57%</u>	Female <u>30.43%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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Families with children headed by:

Single 18 and over .....	Male <u>22.22%</u>	Female <u>66.67%</u>
Youth 18 and under .....	<u>0%</u>	
Two parents 18 and over .....	<u>0%</u>	
Two parents under 18 .....	<u>0%</u>	
Families with no children .....	<u>11.11%</u>	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>7%</u>	battered spouses	<u>33%</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>10%</u>	elderly
<u>21%</u>	chronically mentally ill	<u>7%</u>	veterans
<u>2%</u>	developmentally disabled	<u>4%</u>	physically disabled
<u>4%</u>	HIV/AIDS		other
<u>7%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>          </u>	Barracks	<u>40</u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>          </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$27,085
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>EFSP- UNITED WAY</u>	<u>\$16,430</u>
<u>CDBG- TOWN OF EAST HARTFORD</u>	<u>\$29,167</u>
<u>DSS-HMIS</u>	<u>\$22,796</u>
<u>DSS-HHS</u>	<u>\$200,864</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Foundations	\$ 3,500
Cash Donations	\$ 11,664
Recipient Rent	\$ 7,098
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT  
2012

CONTRACTOR NAME:	Family & Children's Aid, Inc. 75 West St., Danbury, Ct 06810	
CONTRACT NUMBER (CORE /POS):	034-FCA-ESS-08-A2	
ESG SHARE OF CONTRACT:	\$38,436	
BUDGET PERIOD:	July 1, 2012 - June 30, 2013	
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) FCA is a community based not-for-profit organization that focuses on the mental health and community welfare of children and families. Harmony House is much more than a shelter for homeless mothers and their children. The wide array of services FCA provides to the mothers and children in our community through our over 23 programs makes Harmony House a stop along the way in making the lives of these children and families successful and healthy.</p> <p>Harmony House is staffed with a Program Director/ Case Manager, direct care staff and an FCA agency Program Supervisor who supervises the Director and provides oversight to the program. The Director is on-call 24 hours a day and is responsible for the daily management of the home and residents. Staff work very closely with the families to address and respond to the most common barriers of housing and employment. Staff are responsible to monitor the operation of the home during their shift, provide supportive help to the residents, and maintain client files and daily documentation. The workers provide a critical role in assisting the mothers in achieving the goal of keeping their family together and prospering. While the residents are living with us they also receive a great amount of supportive and case management services. Harmony House residents progress well in our program. Individualized treatment plans have been attained by 95% of the residents and 75% of the residents attained permanent housing. Our goal is to work closely with other area social service agencies in order to eliminate duplication of services. Harmony House is unique in that it is a shorter term program than the other mothers and children's home, Amos House, to whom we at times refer clients who need a longer period of stabilization. Harmony is also different from the other mothers with children shelter, Elizabeth House run by the Women's center, in that we are not a domestic violence safe house.</p> <p>Harmony House continues to work successfully with the mothers on individualized service plans and goals. Harmony House families attended weekly parenting classes, weekly house meetings, and were the recipients of many volunteer and donated services. Harmony House also has an aftercare program which was active with 30 aftercare families who received food and hygiene packages and are supported by staff. Residents had formal meetings with their case manager weekly and are reviewed monthly by the Program Director, as well as daily individual contact with all of the Harmony House staff.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	42	



# ESG ANNUAL PERFORMANCE REPORT

2012

ADDITIONAL COMMENTS: Although United Way funding will not decrease this year, the women's shelter continues to struggle financially due to previous reductions. This has required us to continually look at ways we can save fiscally.

Report Completed by (name and date): Kristin Borsch 9-5-13

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Contractor Name & Address:	Family & Children's Aid, Inc. 75 West St., Danbury, Ct 06810	
Project Title & Address:	Harmony House, 5 Harmony St., Danbury, Ct 06810	
Contract Number (CORE/POS)	034-FCA-ESS-08-A2	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Homeless shelter for mothers with children. Open 365 days per year 24 hours per day. Also provides case management and aftercare services to families.	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

14 White, Non-Hispanic  
12 Black, Non-Hispanic  
16 Hispanic  
 \_\_\_\_\_ Asian/Pacific  
 \_\_\_\_\_ American Indian / Alaskan Native  
42 TOTAL  
100% Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>x</u> emergency shelter facilities	_____ transitional housing
_____ vouchers for shelters	_____ outreach
_____ drop-in-center	_____ soup kitchen/meal distribution
<u>x</u> mental health	_____ HIV/AIDS services
_____ alcohol/drug program	_____ employment
_____ child care	_____ homeless prevention
<u>x</u> other <u>(please list):</u> _____	

3. Number of people served for each activity: 42

Residential Services: Non-residential services:

Average number of adults daily	<u>5</u>	average number serviced daily	<u>16</u>
Average number of children daily	<u>10</u>		
Average number served yearly	<u>35</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>        </u> %	Female	<u>        </u> %
Unaccompanied under 18 .....	Male	<u>        </u> %	Female	<u>        </u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	<u>100%</u>
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>5%</u>	battered spouses	_____	drug dependent individuals
_____	runaway/throwaway youth	_____	elderly
_____	chronically mentally ill	_____	veterans
_____	developmentally disabled	_____	physically disabled
_____	HIV/AIDS	<u>95%</u>	other
_____	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____	Barracks	<u>16</u>	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$38,436
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
_____	\$
_____	\$
_____	\$
_____	\$
_____	\$

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City Of Danbury	\$4,540
United Way	\$13,714
Emergency Food & Shelter	\$2,100
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	43,851
Loans	\$
Building Value or Lease	\$
Donated Goods	\$21,821
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Friendship Service Center
CONTRACT NUMBER (CORE /POS):	10DSS701KQ / 089FSC ESS-35
ESG SHARE OF CONTRACT:	\$33,666.00
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
All funding goes towards shelter operations	
<p><b>Provides 22 emergency shelter beds for homeless single adults 18 years of age and over and 16 emergency shelter beds for homeless families with heads of households 18 years of age and over. The program provides services 24 hours a day, 7 days a week, 365 days a year.</b></p> <p><b>Services provided include: three meals a day, laundry, basic toiletries, clothing, transportation assistance, housing services, case management including intake assessments of needs and development of case action plans, referrals to substance abuse treatment, mental health counseling, health services, parenting classes, money management, educational, vocational and employment services as well as other services.</b></p> <p><b>House meetings and workshops are conducted to address concerns and meet the needs of the client. Annual program evaluation by the clients is facilitated by members of the Board of Directors.</b></p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	308
ADDITIONAL COMMENTS: The number of persons served in the shelter is lower than the number served last year. Because of the economy, residents are having a very hard time obtaining jobs. That means they are in the shelter longer while they find work and save enough money to move into their own housing.	
Report Completed by (name and date): Carol Duffy 7/26/13	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Friendship Service Center of New Britain, Inc., 241-249 Arch Street, New Britain, CT 06050-1896	
Project Title & Address:	Emergency Shelter, 241-249 Arch Street, New Britain, CT 06050-1896	
Contract Number (CORE/POS)	089FSC-ESS-35 10DSS3701KQ	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency shelter that provides 37 beds for men, women and children	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

148 White, Non-Hispanic  
67 Black, Non-Hispanic  
93 Hispanic  
\_\_\_\_ Asian/Pacific  
\_\_\_\_ American Indian / Alaskan Native  
308 TOTAL  
25 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>x</u> emergency shelter facilities	_____ transitional housing
_____ vouchers for shelters	<u>x</u> outreach
_____ drop-in-center	<u>x</u> soup kitchen/meal distribution
_____ mental health	_____ HIV/AIDS services
_____ alcohol/drug program	_____ employment
_____ child care	<u>x</u> homeless prevention
_____ other <u>(please list):</u> _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>28</u>	average number serviced daily	<u>200</u>
Average number of children daily	<u>9</u>		
Average number served yearly	<u>365</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>70%</u>	Female <u>30%</u>
Unaccompanied under 18 .....	Male <u>%</u>	Female <u>%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	<u>2%</u>	Female	<u>95%</u>
Youth 18 and under .....		<u>        </u>		<u>        </u>
Two parents 18 and over .....		<u>3%</u>		
Two parents under 18 .....		<u>        </u>		
Families with no children .....		<u>        </u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>10%</u>	battered spouses	<u>65%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>8%</u>	elderly
<u>65%</u>	chronically mentally ill	<u>10%</u>	veterans
<u>15%</u>	developmentally disabled	<u>15%</u>	physically disabled
<u>5%</u>	HIV/AIDS	<u>5%</u>	other
<u>65%</u>	alcohol dependent individuals	<u>        </u>	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>38</u>	Barracks	<u>        </u>	Group/large house
<u>        </u>	Scattered site apartment	<u>        </u>	Single family detached house
<u>        </u>	Single room occupancy	<u>        </u>	Mobile home/trailer
<u>        </u>	Hotel/motel	<u>        </u>	
<u>        </u>	Other (describe)	<u>        </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$33,666
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
EFSP	<u>\$ 21,359</u>
DMHAS Federal	<u>\$ 43,346</u>
DMHAS Federal PATH	<u>\$ 48,838</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DMHAS State	\$ 63,658
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	\$ 156,969
Building Value or Lease	\$ 2,300,000
Donated Goods	\$ 150,000
Donated Computers	\$ 0
New Staff Salaries	\$ 0
Volunteers (\$5/hr)	\$ 7,800
Volunteer Medical/Legal	\$ 20,000



# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Immaculate Conception
CONTRACT NUMBER (CORE /POS):	10DSS3601NV/064ICS-AID-09
ESG SHARE OF CONTRACT:	\$26,288.00
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>All funding is in shelter operations</p> <p>Immaculate Conception Shelter and Housing Corporation provides 60 beds of emergency shelter purposes at 560 Park Street, Hartford CT. In addition to two meals, full baths, and a bed, case management services are offered to all men 18 and over. The shelter operates from 4pm to 8am daily, year-round. At least 80 individuals per night have been provided services during this period. From Mid May through September, a summer respite program has continued the shelter season year round for up to 60 elderly and medically fragile homeless individuals. We operate at over 100% capacity.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	236
ADDITIONAL COMMENTS:	
<p>ICSHC also operates a 40 bed No Freeze in the same location. Last year, on an average winter season, this program served 70 men per night.</p>	
Report Completed by (name and date):	
Fred Lynn, 7/1/13	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Immaculate Conception Shelter and Housing Corporation	
Project Title & Address:	560 Park Street, Hartford CT	
Contract Number (CORE/POS)	10DSS3701NV/064ICS-ESS-33	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency shelter services	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

56 White, Non-Hispanic  
89 Black, Non-Hispanic  
89 Hispanic  
2 Asian/Pacific  
0 American Indian / Alaskan Native  
236 TOTAL  
0 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input checked="" type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input checked="" type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input checked="" type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input type="checkbox"/> homeless prevention
<input checked="" type="checkbox"/> other <u>(please list): case management</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 60 average number serviced daily 60  
 Average number of children daily 0  
 Average number served yearly 236

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>100%</u>	Female <u>%</u>
Unaccompanied under 18 .....	Male <u>%</u>	Female <u>%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>  0  </u> battered spouses	<u>  20  </u> drug dependent individuals
<u>  0  </u> runaway/throwaway youth	<u>  8  </u> elderly
<u> 10  </u> chronically mentally ill	<u>  4  </u> veterans
<u>  3  </u> developmentally disabled	<u>  3  </u> physically disabled
<u>  5  </u> HIV/AIDS	_____ other
<u> 20  </u> alcohol dependent individuals	_____

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u> 60  </u> Barracks	_____ Group/large house
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe)	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 311,764
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City CDBG	\$ 15,000
FEMA	\$ 42,718
City of Hartford - ESG	\$ 13,052
	\$
	\$

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DMHAS Homeless Outreach	\$ 65,656
City of Hartford - No Freeze	\$ 92,000
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Hartford Foundation - Grants	\$ 137,255
Other Grants	\$ 22,500
Donated Goods	\$ 100,873
Private Donations	\$ 4,923
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	New Haven Home Recovery, Inc ( Life Haven Shelter)	
CONTRACT NUMBER (CORE /POS):	093NHH-ESS-12/12DSS3711TI	
ESG SHARE OF CONTRACT:	\$49,883	
BUDGET PERIOD:	July 1, 2012 – June 30, 2013	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Life Haven is a length of stay, homeless shelter providing up to 20 slots for woman and their children. The shelter provides a safe place to live, food and access to case management.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	213	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Angela Westwood CFO 9/6/2013		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	New Haven Home Recovery, Inc 152 East Street, New Haven CT 06512	
Project Title & Address:	Homeless Shelter – Life Haven 477 Ferry Street New Haven CT	
Contract Number (CORE/POS)	093NHH-ESS-12/12DSS3711TI	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Life Haven Shelter for homeless women and their children up to 20 slots	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

22 White, Non-Hispanic  
122 Black, Non-Hispanic  
67 Hispanic  
2 Asian/Pacific  
\_\_\_\_\_ American Indian / Alaskan Native  
213 TOTAL  
213 Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities \_\_\_\_\_ transitional housing  
\_\_\_\_\_ vouchers for shelters \_\_\_\_\_ outreach  
\_\_\_\_\_ drop-in-center \_\_\_\_\_ soup kitchen/meal distribution  
\_\_\_\_\_ mental health \_\_\_\_\_ HIV/AIDS services  
\_\_\_\_\_ alcohol/drug program \_\_\_\_\_ employment  
\_\_\_\_\_ child care \_\_\_\_\_ homeless prevention  
\_\_\_\_\_ other (please list): \_\_\_\_\_

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily \_\_\_\_\_ average number serviced daily \_\_\_\_\_  
Average number of children daily \_\_\_\_\_  
Average number served yearly \_\_\_\_\_

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male \_\_\_\_\_ % Female \_\_\_\_\_ %  
Unaccompanied under 18 ..... Male \_\_\_\_\_ % Female \_\_\_\_\_ %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ battered spouses	_____ drug dependent individuals
_____ runaway/throwaway youth	_____ elderly
_____ chronically mentally ill	_____ veterans
_____ developmentally disabled	_____ physically disabled
_____ HIV/AIDS	_____ other
_____ alcohol dependent individuals	_____

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ Barracks	X _____ Group/large house
_____ Scattered site apartment	_____ Single family detached house
_____ Single room occupancy	_____ Mobile home/trailer
_____ Hotel/motel	_____
_____ Other (describe)	_____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 49833
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
HUD	\$17,000
CDBG	\$14,000
	\$
	\$
	\$

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Department of Social Services	\$381,258
City of New Haven General Funds	\$93,000
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



## ESG ANNUAL PERFORMANCE REPORT

<b>CONTRACTOR NAME:</b>	Manchester Area Conference of Churches	
<b>CONTRACT NUMBER (CORE /POS):</b>	077MAC-ESS-26 / 10DSS3711QP	
<b>ESG SHARE OF CONTRACT:</b>	\$32,897.00	
<b>BUDGET PERIOD:</b>	July 1, 2012 – June 30, 2013	
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)		
<p>All funding is in shelter operations</p> <p>We are a forty bed shelter with ten women's beds and thirty men's beds. We provide a safe place to sleep and three meals a day, seven days a week. The goal of our shelter is to provide basic necessities while a person gets back on their feet. We are a clean and sober shelter. We supply free clothing for guests in need. Our guests have accessed the services of the local DSS office for medical insurance, and Supplemental Nutrition Assistance Program (SNAP) qualification.</p> <p>Case management is offered to each guest at the shelter. This includes, but is not restricted to, needs assessment, crisis intervention, goal planning, monitoring, and encouraging guest's progress. Individual assistance plans are created with the guests who stay more than 2 nights and they are monitored carefully. Case management work is shared by the shelter floor managers and the case workers. Shelter floor managers provide a unique view of the guests since they are the people who spend the most time with them. Some of the best input from the guests is given at the entry and exit time of the shelter each day. We want our guests to have open access for case management as needed. For shelter guests seeking employment, treatment or housing there is telephone access and tokens or day passes are given for travel needs when available.</p> <p>Our shelter continues to have access to a mental health clinician at least 18 hours a week through CHR. This provides timely and effective assessment and treatment of our guests' mental health issues. The benefits of on site assessment cannot be over stated. It helps the guests and the staff to have access to mental health expertise right on site. Guests who come into the shelter that already are being treated for mental health issues we try to keep with their present provider rather than start from scratch. Duplication of efforts is not our goal.</p>		
<b>NUMBER OF CLIENTS SERVED (unduplicated count):</b>	584	
<b>ADDITIONAL COMMENTS:</b>		
<p>Our Emergency shelter focuses on the next thing needed by our guests to help them out of the situation they are in. Often times that is, help getting a copy of a birth certificate or a state picture id. These things can keep people from employment and self sufficiency.</p> <p>The guests that are suffering from mental health issues are another challenge. We are still dealing with people who are not med. compliant but have a disability income and choose to spend it on other things than housing. There is a population of people who travel throughout the country who depend on homeless shelters to accommodate them. These are some of the people in the greatest need. We continue to collaborate with other local agencies to give the most complete service to our guests. We are working regionally on a coordinated access plan to help people get the correct services and not have to repeat their story too many times.</p> <p>More of the faces at the shelter are the people who were not prepared for a long lasting economic down turn and have no idea what to do. Sixty years old, savings gone, no Social Security retirement yet and no job prospects. Tough times for all.</p>		
Report Completed by (name and date): Sarah T. Melquist July 09, 2013		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Manchester Area Conference of Churches - MACC Charities		
Project Title & Address:	Emergency Shelter 466 Main St. Manchester, CT 06040		
Contract Number (CORE/POS)	077MAC-ESS-26 / 20DSS3711QP		
Report Covers the period:	July 1, 2012 – June 30, 2013		
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)			

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

<u>343</u>	White, Non-Hispanic
<u>147</u>	Black, Non-Hispanic
<u>92</u>	Hispanic
<u>4</u>	Asian/Pacific
<u>1</u>	American Indian / Alaskan Native
<u>587</u>	TOTAL
<u>164</u>	Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>  X  </u>	emergency shelter facilities	<u>      </u>	transitional housing
<u>      </u>	vouchers for shelters	<u>      </u>	outreach
<u>      </u>	drop-in-center	<u>      </u>	soup kitchen/meal distribution
<u>      </u>	mental health	<u>      </u>	HIV/AIDS services
<u>      </u>	alcohol/drug program	<u>      </u>	employment
<u>      </u>	child care	<u>      </u>	homeless prevention
<u>      </u>	other <u>      </u> (please list): _____		

3. Number of people served for each activity: N/A

Residential Services: Non-residential services:

Average number of adults daily	<u>      </u>	average number serviced daily	<u>      </u>
Average number of children daily	<u>      </u>		
Average number served yearly	<u>      </u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>      </u> 72%	Female <u>      </u> 28%
Unaccompanied under 18 .....	Male <u>      </u> %	Female <u>      </u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by: N/A

Single 18 and over .....	Male	_____ %	Female	_____ %
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ 1%	battered spouses	_____ N/A	drug dependent individuals
_____	runaway/throwaway youth	_____ 3%	elderly
_____ 40%	chronically mentally ill	_____ 8%	veterans
_____	developmentally disabled	_____ 1%	physically disabled
_____	HIV/AIDS	_____	other
_____ 47%	Alcohol/drug dependent individual	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ 40	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$32,897
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	\$ 21,704
DMHAS	\$48,889
	\$
	\$
	\$

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EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DSS Grant	\$ 174,206
Town of Manchester	\$191,435
DSS SAGA	\$ 43,309
Town of Bolton	\$ 8,000
	\$

NOTE: Above funding is for all of MACC's Services

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	\$ 483,421
Loans	\$
Building Value or Lease	\$1,059,731
Donated Goods	\$ 342,058
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$ 25,000
Volunteer Medical/Legal	

NOTE: Above funding is for all of MACC's Services

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	New Opportunities – Shelter NOW	
CONTRACT NUMBER (CORE /POS):	151C-ADU-1/10DSS3901TO	
ESG SHARE OF CONTRACT:	\$71,204.00	
BUDGET PERIOD:	July 1, 2012 – June 30, 2013	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Shelter NOW is an emergency shelter that houses men, women, and women with children. The shelter is in operation 24 hours per day, 365 days per year. Shelter NOW staff will provide Case Management and counseling services to all homeless persons living at the shelter. All shelter residents will receive services including, but not limited to, residential and outpatient mental health treatment; medical referrals; housing; employment assistance; vocational training; coordination of community service; substance abuse treatment; domestic violence abuse counseling; family counseling; educational and financial counseling; child care; security deposit; and rental assistance programs.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	70 per day	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Maria Wagner July 9, 2013		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	New Opportunities, Inc. - 232 North Elm Street Waterbury CT 06702	
Project Title & Address:	Shelter NOW - 43 St. Casimir Drive Meriden CT 06450	
Contract Number (CORE/POS)	151C-ADU-1/10DSS3901TO	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter including case management supports	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

155 White, Non-Hispanic  
85 Black, Non-Hispanic  
94 Hispanic  
1 Asian/Pacific  
2 American Indian / Alaskan Native  
337 TOTAL  
42 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> X </u> emergency shelter facilities	<u> X </u> transitional housing
<u>      </u> vouchers for shelters	<u>      </u> outreach
<u>      </u> drop-in-center	<u>      </u> soup kitchen/meal distribution
<u>      </u> mental health	<u> X </u> HIV/AIDS services
<u>      </u> alcohol/drug program	<u>      </u> employment
<u>      </u> child care	<u>      </u> homeless prevention
<u>      </u> other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>54</u>	average number serviced daily	<u>70</u>
Average number of children daily	<u>16</u>		
Average number served yearly	<u>337</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>59 %</u>	Female	<u>41 %</u>
Unaccompanied under 18 .....	Male	<u>0 %</u>	Female	<u>0 %</u>

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EMERGENCY SHELTER PROGRAM (ESG)  
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Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>100%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>1%</u>	battered spouses	<u>33%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>2%</u>	elderly
<u>31%</u>	chronically mentally ill	<u>1%</u>	veterans
<u>3%</u>	developmentally disabled	<u>7%</u>	physically disabled
<u>1%</u>	HIV/AIDS	<u>0%</u>	other
<u>45%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>70</u>	Barracks	<u>0</u>	Group/large house
<u>0</u>	Scattered site apartment	<u>0</u>	Single family detached house
<u>8</u>	Single room occupancy	<u>0</u>	Mobile home/trailer
<u>0</u>	Hotel/motel		
	Other (describe) _____		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ _____
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City of Meriden CDBG	<u>\$ 6,000</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



## ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	The Open Door Shelter, Inc. (formerly Norwalk Emergency Shelter)		
CONTRACT NUMBER (CORE /POS):	103NES-ESS-11 / 10DSS3701UC		
ESG SHARE OF CONTRACT:	\$54,695		
BUDGET PERIOD:	July 1, 2012 – June 30, 2013		
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)			
<p>During the fiscal year July 1, 2012 through June 30, 2013, we successfully provided 28,358 bed nights for homeless men, women, and families; served 144,388 meals to the impoverished from our soup kitchen, supplied 304,429 meals through our food pantry (serving over 7,200 individuals); and granted various articles of clothing to needy community and Shelter residents. Within our Case Management Services Program, 1,277 homeless individuals and families received assistances including counseling, crisis intervention, goal planning, referrals to additional community support services, use of guest telephones, and access to shower and laundry facilities.</p> <p>Other accomplishments included implementing our new Bureau of Rehabilitation Services Program (BRS); which provides improved employment opportunities for individuals with disabilities. Assistance with housing needs was presented to 10 homeless individuals and families; 11 chronically homeless adults with special needs received accommodations as well as support services to help them maintain housing; 16 units of affordable housing were readily available for low-income earning individuals and families; and we provided outreach services to the homeless and medically indigent.</p>			
NUMBER OF CLIENTS SERVED (unduplicated count):	718		
ADDITIONAL COMMENTS			
<p>Through our collaboration with Norwalk Community Health Center, a mobile medical bus continues to be parked in front of the Shelter every Monday, Wednesday and Friday from 9:00 a.m. to 1:00 p.m. The purpose is to provide Shelter clients and needy community residents with medical services. The bus has a dental clinic, exam room, bathroom, and small meeting area for the mental health/substance abuse counselor. Additionally, a psychiatrist visits the Shelter weekly with the mobile medical bus to help address the mental health needs of our residents.</p> <p><i>We are proud to announce that The Open Door Shelter, Inc. has become a licensed substance abuse and mental health site by the State of Connecticut Department of Public Health.</i></p>			
Report Completed by (name and date): Trena Bethune, July 19, 2013			

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	The Open Door Shelter, Inc. (formerly Norwalk Emergency Shelter, Inc.)	
Project Title & Address:	Emergency Shelter Services, 4 Merritt Street, Norwalk, CT 06854	
Contract Number (CORE/POS)	10DSS3701UC	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter Services. Provides decent, safe, and sanitary temporary emergency shelter and case management services for homeless individuals and families.	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

270 White, Non-Hispanic  
303 Black, Non-Hispanic  
132 Hispanic  
7 Asian/Pacific  
6 American Indian / Alaskan Native  
718 TOTAL (74 unknown)  
92 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>X</u> Outreach
<u>X</u> drop-in-center	<u>X</u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>X</u> employment
<u>        </u> child care	<u>X</u> homeless prevention
<u>        </u> other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services: **Not Applicable**

Average number of adults daily \_\_\_\_\_ average number serviced daily \_\_\_\_\_  
Average number of children daily \_\_\_\_\_  
Average number served yearly \_\_\_\_\_

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>61%</u>	Female	<u>39%</u>
Unaccompanied under 18 .....	Male	<u>0%</u>	Female	<u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>100%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>9%</u>	battered spouses	<u>26%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>5%</u>	elderly
<u>20%</u>	chronically mentally ill	<u>2%</u>	veterans
<u>0%</u>	developmentally disabled	<u>11%</u>	physically disabled
<u>1%</u>	HIV/AIDS	<u>0%</u>	other
<u>26%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>          </u>	Barracks	<u>          </u>	Group/large house
<u>  27  </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>          </u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$54,695
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$20,712</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
State of CT: ESS	\$410,221
State of CT: Beyond Shelter	\$ 26,518
State of CT: Dept of Education	\$ 24,535
United Way	\$ 36,000
City of Norwalk	\$ 51,500

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$0
Building Value or Lease	\$0
Donated Goods	\$602,574
Donated Computers	\$0
New Staff Salaries	\$0
Volunteers (\$5/hr)	\$0
Volunteer Medical/Legal	\$0

# ESG ANNUAL PERFORMANCE REPORT

FY 2012

CONTRACTOR NAME:	The Open Hearth Association Inc.
CONTRACT NUMBER (CORE /POS):	0640HA-ESS-21/ 10DSS3701U1
ESG SHARE OF CONTRACT:	\$ 37,767,00
BUDGET PERIOD:	July 1, 2012 – June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>The <b>Open Hearth Association, Inc.</b>( herein after referred to as: Open Hearth Shelter or <b>Open Hearth</b>) operates a 25 bed Emergency Shelter, with an additional 3 overflow beds, 365 days per year. The hours of Operation are 3:30pm to 7:00am daily. Each Shelter guest is required to utilize the shower facilities daily and are provided with laundry services, dinner and breakfast as well as basic toiletries, tokens for job search and other transportation needs. For those Shelter residents who are employed the Open Hearth also offers the availability to sign up for a bagged lunch.</p> <p>During this reporting period The Open Hearth Shelter provided services to 538 unduplicated individuals during this reporting period. The population served was men who were 18 years of age or older. There were 211 white men, 222 black men, 165 Hispanic men 26 Asian men and 70 American Indian/ Alaskan Native.</p> <p>During the past year the Open Hearth continues to focus on addiction recovery. The Shelter staff conducts weekly presentations for our Shelter guests to inform and educate them in regards to admission to our Transitional Living Program as well as community resources. The Open Hearth provides our Shelter Guests the option of attending up to 5 (in house) 12 step recovery meetings weekly, transportation to community recovery meetings and opportunities for spiritual practice. If a Shelter guest demonstrates he is committed to recovery, has a desire to work, and is interested in our Transitional Living Program, the Open Hearth also offers the “stay back option”. Our Stay back option gives the men the opportunity to not have to return to the streets during the day. We believe this addition to our program has set us apart from other local entities. No longer does a man, who is ready to work on his recovery, have to be put back on the streets. Each Shelter guest has the option of working a recovery program and working with the Open Hearth daily in our work therapy program in efforts to move to our Transitional Living Program.</p> <p>Open Hearth Shelter Monitors work with our Shelter guests to educate them on how to access community resources such as insurance, mental health, dental, medical, educational and housing services. Our certified Mental Health Counselor, as well as outside entities, provide monthly trainings to our staff in areas such as personality disorders, risk assessment, medications, conflict resolution, mood disorders and substance abuse to name a few. Our Mental Health Counselor also works daily with Shelter guests to provide counseling and assistance with social security cards, birth certificates, CT State ID’s, clothing. This past year we have been blessed to have 2 wonderful interns who have been a big help with specific Case Management in the shelter.</p>	

## ESG ANNUAL PERFORMANCE REPORT

FY 2012

The Shelter Manager and Shelter Monitors maintain and supervise the operations within the Emergency Shelter. The Shelter Monitors are on the front line and assign beds to new shelter guests admitted to shelter, complete intake assessments on all new shelter guests, enter all data into the HMIS data base, and report to the Shelter Manager all new admissions. The length of stay is now 15 days to accommodate the new definition of chronically homeless. Whenever a 15 day bed becomes available it is announced to the Shelter guests and the Shelter Manager is notified via e-mail of that opening and any turn way's due to the shelter being full from the night before. In addition, the Shelter Monitors watch the intake of all medication, ensure the cleanliness of the shelter, enforce all rules and regulations, maintain all necessary records, resolve any issues and seek guidance when appropriate from supervisor. Shelter monitors also refer clients to the clinical case manager as needed. It is our main goal to maintain safety and security of all residents and staff alike.

NUMBER OF CLIENTS SERVED (unduplicated count):

538

**ADDITIONAL COMMENTS: In regards to the LONG FORM**

- 1- Please note that the total number of race/ethnicity of unduplicated individuals served during the period is over 538 because the report through HMIS considers Hispanic an ethnicity. Of the 538 unduplicated individuals served a number of those clients (White, Black, Asian/Pacific and American Indian) also reported themselves as Hispanic.
- 2- We did not report a percentage for developmentally disabled or physically disabled as there was no documentation to support these claims.
- 3- 459 Turn way's from July 1<sup>st</sup> 2012, through June 30<sup>th</sup>, 2013.
- 4- 203 First time homeless and 241 chronically homeless during reporting period.
- 5- In the long form we indicated a total number of 529 individuals based on race and ethnicity when the total served was actually 538. This is because there were 9 individuals who either refused to answer the question or claimed they did not know.

Report Completed by (name and date): Katherine Hannah Residential Program Coordinator, July 10, 2013

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Contractor Name & Address:	The Open Hearth Association, Inc.	
Project Title & Address:	Emergency Shelter-150 Charter Oak Avenue Hartford, CT 06106-5102	
Contract Number (CORE/POS)	064OHA-ESS-22/10DSS3701UI	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

211 White, Non-Hispanic  
222 Black, Non-Hispanic  
165 Hispanic (counted as ethnicity)  
26 Asian/Pacific  
70 American Indian / Alaskan Native  
529 TOTAL  
\_\_\_\_\_ Number of Female headed households

2. Indicate type of project(s) and service(s):

<u> x </u> emergency shelter facilities	<u> x </u> transitional housing
_____ vouchers for shelters	_____ outreach
_____ drop-in-center	_____ soup kitchen/meal distribution
<u> x </u> mental health	_____ HIV/AIDS services
<u> x </u> alcohol/drug program	_____ employment
_____ child care	<u> x </u> homeless prevention
_____ other (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>25</u>	average number serviced daily	<u>25</u>
Average number of children daily	<u>0</u>		
Average number served yearly	<u>9,125</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>100%</u>	Female <u>0%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over .....	Male	<u>100%</u>	Female	<u>0%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>00%</u>		
Families with no children .....		<u>%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>20%</u>	battered spouses	<u>60%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth		elderly
<u>38%</u>	chronically mentally ill	<u>7%</u>	veterans
	developmentally disabled		physically disabled
<u>2%</u>	HIV/AIDS		other
<u>60%</u>	alcohol dependent individuals	<u>49%</u>	criminal Justice Involvement

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>X</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$13,410
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$11,510</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>



DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
ST of CT-DSS-ESS	\$132,259
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Private Donations	\$33,338
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Operation Hope of Fairfield	
CONTRACT NUMBER (CORE /POS):	051OHF-ESS-24 / 10DSS3711UJ	
ESG SHARE OF CONTRACT:	\$24,440.00	
BUDGET PERIOD:	July 1, 2012 – June 30, 2013	
DESCRIPTION OF FUNDED SERVICES: <i>(Please describe services below.)</i> Operation Hope operates sheltering and feeding programs through our Shelter for Men, Shelter for Women, Shelter for Families and Community Kitchen. Clinical case management services provided to each shelter guest are geared toward increasing each person's stability, self-reliance, and readiness for housing. Work is focused on personalized goals with the hope of obtaining more permanent housing. Typical goals include compliance with mental health/addiction treatment, applying for benefits when eligible and seeking employment. Families are offered additional supports for their children, including behavioral/mental health assessments, medical treatment, and working with schools. Shelter guests receive career counseling and basic job training, including access to computer labs for job searching, resume writing, and skill building. Clients also receive crisis intervention help and lease signing services. Life Skills Coaches assist guests in personal hygiene, self-advocacy, transportation access, medication, budgeting and money management, and coping and interpersonal skills.		
NUMBER OF CLIENTS SERVED <i>(unduplicated count):</i>	171	
ADDITIONAL COMMENTS: Southwest Community Health Clinic continues to host a weekly clinic at the shelter. This has been very beneficial to those guests who are waiting to see a psychiatrist because they have been able to attain meds until they see their doctor. This has also been very helpful to those who are not eligible for insurance. We have sent a number of guests to STRIVE to assist them with getting back into the work force. This has been especially helpful to those guests who have a felony on their record. CT Works has also been very helpful to those with difficult work histories by offering workshops for people with felonies as well as their workshops about the expungement process. As always we continue to encourage those with substance abuse issues to attend AA and NA meetings (three of which are offered in this building each week) and we also refer people to other meetings around town and to use CCAR. As of 1 February 2013, we have placed a 120 day cap on our shelter program. We were able to secure a grant for some new furniture which has assisted us in controlling our bed bug issue. We continue to use Bridgeport Charitable Ladies for many of our guests' needs like clothes or transportation that are not covered by their benefits.		
Report Completed by <i>(name and date):</i> Jackie Janosko, July 17, 2013		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Operation Hope of Fairfield, Inc.	
Project Title & Address:	Shelter & Readiness Program 50 Nichols Street, Fairfield, CT 06824	
Contract Number (CORE/POS)	0510HF-ESS-25/12DSS3701UJ	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter Essential Services	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

67 White, Non-Hispanic  
56 Black, Non-Hispanic  
47 Hispanic  
0 Asian/Pacific  
1 American Indian / Alaskan Native  
171 TOTAL  
55 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>x</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>x</u> outreach
<u>x</u> drop-in-center	<u>x</u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>x</u> employment
<u>        </u> child care	<u>x</u> homeless prevention
<u>        </u> other <u>        </u> (please list):	<u>        </u>

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>26</u>	average number serviced daily	<u>30</u>
Average number of children daily	<u>4</u>		
Average number served yearly	<u>171</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>69%</u>	Female <u>31%</u>
Unaccompanied under 18 .....	Male <u>    %</u>	Female <u>    %</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	_____ 100%
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____ 0	battered spouses	_____ **	drug dependent individuals
_____ 0	runaway/throwaway youth	_____ 6%	elderly
_____ 14%	chronically mentally ill	_____ 5%	veterans
_____ 5%	developmentally disabled	_____ 10%	physically disabled
_____ 1%	HIV/AIDS	_____ 11%	Other: Dual Addiction- Alcohol & Drugs
_____ **	alcohol dependent individuals	_____ 10%	Dual Diagnosis-Mental Health & Substance Use

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____ 32	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 24,440
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
Town of Fairfield CDBG	\$ 11,000
FEMA	\$ 7,955
_____	\$
_____	\$
_____	\$

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$ 71,900
Donated Goods	\$ 116,800
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$ 15,120
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

<b>CONTRACTOR NAME:</b>	Recovery Network of Programs, Inc.: Prospect House Shelter
<b>CONTRACT NUMBER (CORE /POS):</b>	015RNP-ADU-02/ESS 12DSS3901VY
<b>ESG SHARE OF CONTRACT:</b>	\$54,698.00
<b>BUDGET PERIOD:</b>	July 1, 2012 – June 30, 2013
<b>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</b>	
<p><i>All funds go towards shelter operations:</i></p> <p>Prospect House Shelter (PHS) provides emergency shelter and support services to 37 adult individuals on a daily basis. Residents receive 3 meals daily and we have a dayroom open for residents during business hours.</p> <p>We are committed to providing quality services to meet the basic needs of each individual. Residents are provided with comprehensive case management services to help them achieve their goals and become self-sufficient, services include:</p> <ul style="list-style-type: none"> <li>- Initial assessment, participant-focused recovery plans, and weekly individual sessions.</li> <li>- Groups are offered on a range of topics, including: life skills, daily planning, co-occurring education/support, healing trauma, smoking cessation, spirituality, AA/NA meetings, etc.</li> <li>- On-site psychiatric services are available for evaluations and medication management until community mental health services can be established.</li> <li>- PHS is able to provide on-site medical services through a collaborative relationship with Southwest Community Health Center or participants are encouraged to engage in medical services if they had their own doctors prior to admission.</li> <li>- Referrals for substance abuse services can be made within agency or to other community providers. PHS has an on-site intensive outpatient program offered in the AM and PM.</li> <li>- We promote employment by helping people obtain work or education/training opportunities that will increase access to work. We assist residents in referring to employment agencies, finding work, and job readiness by helping them with resume writing, interviewing, attire for interviews, and completing applications.</li> <li>- Assist residents in attaining entitlements (e.g.: health insurance, food stamps, and disability) and obtain identifications (e.g.: DMV identifications, birth certificates, and social security cards).</li> <li>- Assist in the process of finding and securing housing in the community. In addition, we help residents with completing and submitting Universal Applications through the Greater Bridgeport Coordinated Access to Supportive Housing. Money management is also offered to participant including budgeting and saving.</li> <li>- We offer free HIV testing and HIV/AIDS counseling and referrals to community resources as well as our agency's Special Populations (Ryan White) program.</li> <li>- Transportation assistance and referrals to other community resources, including recreational activities or community events.</li> </ul>	
<b>NUMBER OF PARTICIPANTS SERVED (unduplicated count):</b>	192

## ESG ANNUAL PERFORMANCE REPORT

2012

### **ADDITIONAL COMMENTS:**

Prospect House Shelter is a division of Recovery Network of Programs, Inc., which provides addiction and residential services to the Greater Bridgeport community. The agency provides services in the following areas, which our participants have access to:

- Medication-Assisted Treatment programs for opiate-addicted persons with outreach services for people with HIV/AIDS
- Residential Detoxification Unit
- Outpatient Treatment Programs
- Residential Inpatient Treatment Programs
- Psychiatric residential rehabilitation facilities, recovery housing, and permanent/transitional housing for the chronically homeless

Three PHS case managers were trained by DMHAS during the reporting year in SSI/SSDI, Outreach, Access and Recovery Initiative (SOAR) which is an added service provided to qualified program participants to obtain entitlements.

Prospect House staff are active participants in the Greater Bridgeport Continuum of Care/Opening Doors.

**Report Completed by:** Cheryl Bell and Lindsey Day 07/16/2013

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Recovery Network of Programs, Inc. 2 Trap Falls Road Suite 405, Shelton, CT 06484	
Project Title & Address:	Prospect House Shelter 392 Prospect Street, Bridgeport, CT 06604	
Contract Number (CORE/POS)	015RNP-ADU-02/ESS 12DSS3901VY	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description	All funds go towards shelter operations.	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

**1. Race/Ethnicity of persons served by the project. (Report actual numbers)**

111 White, Non-Hispanic  
79 Black, Non-Hispanic  
56 Hispanic (*Ethnicity is a separate category in our data*)  
0 Asian/Pacific  
2 American Indian / Alaskan Native  
248 TOTAL (*Hispanic # is duplicated in other race categories; 192 actual total*)  
52 Number of Female headed households (*single adults*)

**2. Indicate type of project(s) and service(s):**

<u>  X  </u> emergency shelter facilities	<u>  X  </u> transitional housing
<u>      </u> vouchers for shelters	<u>      </u> outreach
<u>      </u> drop-in-center	<u>  X  </u> soup kitchen/meal distribution
<u>  X  </u> mental health	<u>  X  </u> HIV/AIDS services
<u>  X  </u> alcohol/drug program	<u>      </u> employment
<u>      </u> child care	<u>      </u> homeless prevention
<u>  X  </u> other	<u>      </u> ( <i>please list</i> ): Supportive Housing

**3. Number of people served for each activity:**

<u>Residential Services:</u>	<u>Non-residential services:</u>
Average number of adults daily <u>      35      </u>	Average number serviced daily <u>      n/a      </u>
Average number of children daily <u>      n/a      </u>	
Average Number served yearly <u>      192      </u>	



DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male	<u>69.79</u> %	Female	<u>29.69</u> %
Unaccompanied under 18 .....	Male	<u>0</u> %	Female	<u>0</u> %

Families with children headed by: *Not Applicable to Program*

Single 18 and over .....	Male	<u>n/a</u> %	Female	<u>n/a</u> %
Youth 18 and under .....		<u>n/a</u> %		
Two parents 18 and over .....		<u>n/a</u> %		
Two parents under 18 .....		<u>n/a</u> %		
Families with no children .....		<u>n/a</u> %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>6.77%</u>	battered spouses (Domestic Violence)	<u>85.42%</u>	drug dependent individuals (combined drug & alcohol)
<u>n/a</u>	runaway/throwaway youth	<u>2.6%</u>	Elderly (age 62+)
<u>68.75%</u>	chronically mentally ill	<u>3.13%</u>	veterans
<u>unknown</u>	developmentally disabled	<u>16.15%</u>	physically disabled (receives disability)
<u>12.5%</u>	HIV/AIDS		other
<u>unknown</u>	alcohol dependent individuals		

**4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.**

Shelter type Number of persons housed:

<u>37</u>	Barracks	<u>n/a</u>	Group/large house
<u>n/a</u>	Scattered site apartment	<u>n/a</u>	Single family detached house
<u>n/a</u>	Single room occupancy	<u>n/a</u>	Mobile home/trailer
<u>n/a</u>	Hotel/motel		
	Other (describe)		

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

5. **Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:**

ESG Funds	\$54,698.00
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**SOURCES OF LOCAL MATCH:**

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
DMHAS	\$596,099
City of Bridgeport	\$100,00
Client Fees	\$8,198
Private Donations	\$26,010
	\$

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Shelter for the Homeless
CONTRACT NUMBER (CORE /POS):	135SHI-ESS-37/12DSS3701XE
ESG SHARE OF CONTRACT:	\$97,019.00
BUDGET PERIOD:	July 1, 2012 – June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>Funds go towards essential services and shelter operations</p> <p>Shelter for the Homeless (SFH) offers a range of housing and case management program interventions that provide outreach, case management, vocational “job readiness” and supportive housing. Each program is designed to address the special needs of homeless individuals and SFH fulfills its mission through three basic program activities:</p> <ul style="list-style-type: none"> <li>• <u>Providing emergency food and shelter</u> for approximately 90 homeless individuals each day and serving nearly 500 homeless individuals annually. The Shelter operates 24 hours a day year round and provides 82 beds with an overflow capacity of 110. The Shelter has 67 regular beds and can accommodate up to 110 clients during extreme weather conditions and in emergencies. Breakfast and dinner are provided daily at the Shelter Pacific Street facility and the availability of meals and social services insures frequent face-to-face contact with clients and continuity of relationships critical to providing direct services and linkage. For the last reporting year ending 2013, the Emergency Shelter Program served 512 individual clients and provided over 29,000 meals.</li> <li>• <u>Recovery Advocate Program (RAP)</u>, serves 296 individuals each year and provides HIV prevention, linkage and outreach to individuals with mental illness, co-occurring, substance abuse, HIV infection and HIV “at –risk” population. The RAP carries a cellular phone with direct access to SFH, Inc. Client Service Advocate (front line staff) for emergency situations involving individuals with HIV and substance abuse issues. RAP utilizes the SFH van on a daily basis and collaborates with SHD’s Prevention Services Program outreach workers, prevention case managers, and HIV prevention counselors to provide services to the target population at 12 different sites in the greater Stamford area. These services include: AIDS outreach, Needle Exchange Program, AIDS Risk Reduction and Education, HIV testing, counseling and condom distribution. Street outreach, education, and engagement strategies are coordinated with SHD, the local Ryan White Program (Stamford Cares) and 15 other treatment programs that offer testing, education, support services, health care, transportation, and referral services.</li> <li>• <u>The SFH Drop-in Day Program</u> offers a variety of support services, including HIV prevention, intensive case management, educational, job training and placements, substance abuse counseling referrals, housing placements, mental health screening, and transportation. In FY13, SFH provided emergency shelter to 512 individuals. The Drop-in Day Program provided initial HIV screening to nearly all of the 512 unduplicated clients served by SFH. The intensive case management offered through this program provides immediate assistance to clients to find suitable housing and direct services to address health, vocational and social needs of SFH clients. For example, large percentages (85%) of clients have serious health issues, including mental health and/or substance abuse and HIV infection that become either exacerbated or the cause of homelessness. The daily “drop-in” program integrates health, vocational, and social services designed to increase independence and self sufficiency. Key funding partners include the CT Dept. of Mental Health and Addiction Services (DMHAS), the CT Dept. of Public Health, HUD, Stamford Department of Health, CT Dept of Social Services, United Ways of Western CT and Optimus Healthcare who provides medical triage and “fast-track” referrals that allow greater access to medical services including HIV treatment.</li> <li>• <u>Workforce Development</u> services that assist homeless individuals achieve greater self sufficiency. Career Development Specialist prepares clients for employment by developing basic employment skills and then assists clients to find stable, permanent positions with follow-along support once the individual is employed. On-site training in English as a Second Language (ESL) and computer skills is</li> </ul>	

## ESG ANNUAL PERFORMANCE REPORT

2012

also provided.

- Permanent supportive housing to create housing stability. This strategy directly addresses the problem of homelessness through housing and community development and drives the SFH mission beyond remedial services and creates a new priority for developing permanent housing with residential and social supports homeless individuals typically need to maintain their housing. SFH has collaborated with community partners to complete two successful HUD supported housing projects and has a third project underway that will provide 7 new units of permanent housing with unique mental health and residential support services targeted to the "chronically homeless" with serious mental illness, i.e. homeless individuals with more than one year of homelessness and assigned a diagnoses of a major mental illness such as schizophrenia or bi-polar disorder. This housing specifically addresses one of the most serious housing shortages identified in the Stamford's Ten Year Plan to End Homelessness.

NUMBER OF CLIENTS SERVED (unduplicated count):

512

ADDITIONAL COMMENTS:

N/A

Report Completed by (name and date): Jerome Roberts, Associate Director, September 9, 2013

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Contractor Name & Address:	Shelter For The Homeless – Pacific house
Project Title & Address:	Emergency Shelter 597 Pacific St. Stamford, CT. 06902
Contract Number (CORE/POS)	135SHI-ESS-37/12DSS3701XE
Report Covers the period:	July 1, 2012 – June 30, 2013
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

153 White, Non-Hispanic  
197 Black, Non-Hispanic  
155 Hispanic  
5 Asian/Pacific  
2 American Indian / Alaskan Native  
512 TOTAL  
0 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>X</u> transitional housing
<u>        </u> vouchers for shelters	<u>X</u> outreach
<u>X</u> drop-in-center	<u>X</u> soup kitchen/meal distribution
<u>X</u> mental health	<u>X</u> HIV/AIDS services
<u>X</u> alcohol/drug program	<u>X</u> employment
<u>        </u> child care	<u>X</u> homeless prevention
<u>        </u> other (please list):	_____

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>58</u>	average number serviced daily	<u>58</u>
Average number of children daily	<u>0</u>		
Average number served yearly	<u>512</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>100%</u>	Female <u>0%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>0%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0</u>	battered spouses	<u>8.4</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>9</u>	elderly
<u>8</u>	chronically mentally ill	<u>9</u>	veterans
<u>0</u>	developmentally disabled	<u>1.4</u>	physically disabled
<u>0.2</u>	HIV/AIDS		other
<u>8.4</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>512</u>	Barracks	<u>0</u>	Group/large house
<u>0</u>	Scattered site apartment	<u>0</u>	Single family detached house
<u>0</u>	Single room occupancy	<u>0</u>	Mobile home/trailer
<u>0</u>	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$97,019.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	<u>\$15,945.00</u>
PATH	<u>\$70,669.00</u>
STAMFORD CDBG	<u>\$36,000.00</u>
GREENWICH CDBG	<u>\$10,000.00</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
 EMERGENCY SHELTER PROGRAM (ESG)  
 ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$94,931.00
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	South Park Inn, Inc.
CONTRACT NUMBER (CORE /POS):	
ESG SHARE OF CONTRACT:	\$76,332.00
BUDGET PERIOD:	July 1, 2012 – June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Funds go towards essential services, shelter operations and administration	
<b>ACCOMPLISHMENTS</b> <b><u>2012-2013 Emergency Shelter Grant Program Report</u></b>	
<p><b>Emergency Shelter</b> – During the period, South Park Inn served 1410 people in our Emergency Shelter, including 120 children. The total of bed nights provided was 30,787. South Park Inn has seen an increased trend that women with children are staying longer in the shelter because it is increasingly difficult to find affordable housing and many housing subsidy programs are closed to new entrants. On the average, individuals that are substance abusers account for 34% of the shelter population. Around 40% of the shelter population is severely mentally ill, which has doubled in the last year. All clients are provided the assistance of a case manager and required to actively work to the best of their ability on beginning to deal with the circumstances that led them to become homeless. During the grand period 27% found permanent housing and 6% went into treatment programs.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	1410
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Mary Lusso	



DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	South Park Inn, Inc. 75 Main Street, Hartford, CT 06106	
Project Title & Address:	Emergency Shelter – 75 Main Street, Hartford, CT 06106	
Contract Number (CORE/POS)	10DSS3701XM	
Report Covers the period:	July 1, 2012 – June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

400 White, Non-Hispanic  
507 Black, Non-Hispanic  
489 Hispanic  
6 Asian/Pacific  
8 American Indian / Alaskan Native  
1410 TOTAL  
77 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>x</u> emergency shelter facilities	<u>x</u> transitional housing
<u>        </u> vouchers for shelters	<u>x</u> outreach
<u>        </u> drop-in-center	<u>        </u> soup kitchen/meal distribution
<u>x</u> mental health	<u>x</u> HIV/AIDS services
<u>x</u> alcohol/drug program	<u>x</u> employment
<u>        </u> child care	<u>x</u> homeless prevention
<u>x</u> other <u>(please list): Budgeting &amp; VA Drop-in-Center</u>	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 0 average number serviced daily 0  
Average number of children daily 0  
Average number served yearly 0

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>66%</u>	Female <u>29%</u>
Unaccompanied under 18 .....	Male <u>        </u> %	Female <u>        </u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	<u>0%</u>	Female	<u>5%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>0%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>425</u>	battered spouses	<u>273</u>	drug dependent individuals
<u>0</u>	runaway/throwaway youth	<u>48</u>	elderly
<u>556</u>	chronically mentally ill	<u>140</u>	veterans
<u>0</u>	developmentally disabled	<u>368</u>	physically disabled
<u>25</u>	HIV/AIDS		other
<u>200</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>85</u>	Barracks	<u>0</u>	Group/large house
<u>0</u>	Scattered site apartment	<u>0</u>	Single family detached house
<u>0</u>	Single room occupancy	<u>0</u>	Mobile home/trailer
<u>0</u>	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$76,332
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City Hartford	<u>\$38,166</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$
Building Value or Lease	\$
Donated Goods	\$38,166
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

<b>CONTRACTOR NAME:</b>	Inspirica (Formerly St. Luke's Community Services)
<b>CONTRACT NUMBER (CORE /POS):</b>	135SLI-ADU-01/10DSS3901YF
<b>ESG SHARE OF CONTRACT:</b>	\$52,856
<b>BUDGET PERIOD:</b>	July 1, 2012 – June 30, 2013
<b>DESCRIPTION OF FUNDED SERVICES:</b> (Please describe services below.)	
<p>Inspirica provides services for single adults (Woman's Housing Program). The Woman's Housing Program is in operation 24 hours per day seven days a week. Case management services provided to each client include an initial assessment at intake and a case plan is developed and agreed upon between the case manager and the client. Case managers meet with clients in their assigned case load each week to discuss the client progress in the program. The goals of the program are geared toward employment and housing and case managers assist clients in utilizing additional support services including mental health treatment, substance abuse services and healthcare.</p> <p>Educational programs are offered for the children of our Family Housing Program through our Children's Services Program, which acts as a direct liaison between the children, parent and public or private school system. We provide after-school programming through our Youth Center, which just completed its second year. The Youth Center provides homework help, structured activities focusing in on self-empowerment, physical activities, a healthy snack, and educational field trips that include the parents. To further meet the needs of the children we serve, we have developed two strategic partnerships. Our strategic partnership with Childcare Learning Centers (which runs the Head Start and Early Head Start programs in our area) ensures that for the first time ever 100 percent of our children who are eligible for Head Start are enrolled in Head Start (or its equivalent) <i>in our building</i>, further ensuring staff integration. Our strategic partnership with the Child Guidance Center of Connecticut (CGC) helps children suffering from the stress associated with losing their homes, needing to change schools, being part of fractured families (with one or more parents suffering from drug/alcohol or other abuse, or incarceration) by providing professional, community-based mental health diagnostic, treatment and preventive services for children and their families.</p> <p>The Woman's Housing Program provides breakfast and dinner to participants seven days a week. All participants are provided with bedding and basic toiletries. Clients participate in monthly house meetings that provide updates and information about the program and provide clients with the opportunity to voice their thoughts and opinions. Clients are also invited to participate in evening programs hosted by community programs and monthly bingo gatherings. This program year we served 131 individuals.</p> <p>Another major initiative is our Jumpstart Education Program. Jumpstart is an Education Program serving participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness. We finished our inaugural year, serving 91 individuals with 74% of those completing obtaining employment.</p> <p>Participants also take advantage of job placement assistance through our Jumpstart Employment Program. This last year the Jumpstart Employment Program made 182 job placements across the agency, a new record!</p> <p><i>Jumpstart</i> is the cornerstone of Inspirica's fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, <i>Jumpstart</i> is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates "splitting" (when clients play off caseworkers</p>	

# ESG ANNUAL PERFORMANCE REPORT

2012

against each other). Additionally, Inspirica provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, and Retention Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.

NUMBER OF CLIENTS SERVED (unduplicated count):

131 – Women’s Housing

124 – Family Housing

**ADDITIONAL COMMENTS:**

We have a new Program Manager for the Family Housing Program. Maggie Manda was previously running Inspirica’s Permanent Supportive Housing Program, and joined the Family Housing Team in February of 2013. Maggie has considerable experience working with individuals suffering from a multitude of difficulties (mental health, substance abuse, formerly incarcerated, medical disabilities).

We have also considerably strengthened the Family Housing Program bringing on board two staff members with Masters Degrees in Social Work.

We have also hired on a new Program Manager to run our Children’s Services Program. Curtis Troeger is a certified teacher and brings experience in working with children from troubled backgrounds.

Regina Hernandez is our new Program Manager for the Woman’s Housing Program. Regina has a Master’s in Mental Health Counseling and has previous experience in case management.

Marvette Clemons has also joined the Woman’s Housing Program team as the case manager. Marvette has a Bachelor’s in Social Work and experience working with clients dealing with mental health issues.

Report Completed by (name and date): Noel Kammermann, Chief Program Officer, July 30,2013



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Families with children headed by:

Single 18 and over .....	Male	<u>8%</u>	Female	<u>86%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>4%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>2%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>29%</u>	battered spouses	<u>32%</u>	drug dependent individuals
<u>0%</u>	runaway/throwaway youth	<u>2%</u>	elderly
<u>34%</u>	chronically mentally ill	<u>4%</u>	veterans
<u>0%</u>	developmentally disabled	<u>6%</u>	physically disabled
<u>1%</u>	HIV/AIDS		other
<u>32%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>X</u>	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
<u>X</u>	Other (describe)	<u>Dormitory (Family Housing)</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$52,856
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
HUD	<u>\$585,686</u>
DSS TLP	<u>\$210,831</u>
DSS ESS	<u>\$223,733</u>
Stamford CDBG	<u>\$35,000</u>
Stamford Yr37	<u>\$50,000</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Client/Tenant Fees	\$34,488
Fundraising/Cash/Matching	\$680,355
Contribution/Events	\$16,931
Donated Goods	\$741
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	



ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	<b>St. Vincent DePaul Bristol, Inc.</b>												
CONTRACT NUMBER (CORE /POS):	<b>017VDP-ADU-01/10DSS3901YK</b>												
ESG SHARE OF CONTRACT:	<b>\$ 26,987.00</b>												
BUDGET PERIOD:	<b>July 1, 2012 - June 30, 2013</b>												
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) All funds go towards shelter operations <b>Emergency Shelter</b> - The St. Vincent DePaul Mission of Bristol shall provide decent, safe and sanitary temporary emergency shelter and case management service to homeless individuals, at least 18 years or older or emancipated minors and families ("residents").  ESG Funds were used for the following Operational Line Item Costs:  <table><tr><td>A. Facility Cook Wages</td><td>0</td></tr><tr><td>B. Utilities</td><td>\$ 21,940</td></tr><tr><td>C. Insurance</td><td>\$ 5,047</td></tr><tr><td>D. Paper Goods</td><td>0</td></tr><tr><td colspan="2"><hr/></td></tr><tr><td>Total</td><td>\$ 26,987</td></tr></table>		A. Facility Cook Wages	0	B. Utilities	\$ 21,940	C. Insurance	\$ 5,047	D. Paper Goods	0	<hr/>		Total	\$ 26,987
A. Facility Cook Wages	0												
B. Utilities	\$ 21,940												
C. Insurance	\$ 5,047												
D. Paper Goods	0												
<hr/>													
Total	\$ 26,987												
NUMBER OF CLIENTS SERVED (unduplicated count):	<b>218</b>												
ADDITIONAL COMMENTS:													
Report Completed by (name and date): Phillip J. Lysiak, Executive Director July 17, 2013													

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	St. Vincent DePaul Mission of Bristol, Inc.	
Project Title & Address:	Bristol Homeless Shelter, 19 Jacobs St., Bristol, CT 06010	
Contract Number (CORE/POS)	017VDP-ADU-01/10DSS3901YK	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Emergency Shelter	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

184 White, Non-Hispanic  
9 Black, Non-Hispanic  
54 Hispanic  
4 Asian/Pacific  
 \_\_\_\_\_ American Indian / Alaskan Native  
251 TOTAL  
27 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>  X  </u> emergency shelter facilities	_____ transitional housing
_____ vouchers for shelters	_____ outreach
_____ drop-in-center	_____ soup kitchen/meal distribution
_____ mental health	_____ HIV/AIDS services
_____ alcohol/drug program	_____ employment
_____ child care	_____ homeless prevention
_____ other <u>(please list)</u> : _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>23</u>	average number serviced daily	<u>26</u>
Average number of children daily	<u>3</u>		
Average number served yearly	<u>375</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>70 %</u>	Female <u>30 %</u>
Unaccompanied under 18 .....	Male <u>  %  </u>	Female <u>  %  </u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	<u>100%</u>
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____	battered spouses	<u>21</u>	drug dependent individuals
_____	runaway/throwaway youth	<u>1</u>	elderly
<u>23</u>	chronically mentally ill	<u>1</u>	veterans
_____	developmentally disabled	_____	physically disabled
_____	HIV/AIDS	_____	other
<u>17</u>	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>25</u>	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$ 26,987
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<b>FEMA</b>	<b>\$ 10,268</b>
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
<b>City of Bristol</b>	<b>\$ 16,000</b>
<b>DSS</b>	<b>\$ 247,883</b>
	\$
	\$
	\$

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	<b>\$ 74,086</b>
Loans	\$
Building Value or Lease	\$
Donated Goods	<b>\$ 25,445</b>
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$
Volunteer Medical/Legal	\$

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	St. Vincent DePaul Waterbury
CONTRACT NUMBER (CORE /POS):	10DSS3701YJ-A1/151-VDP-ESS-47-A1
ESG SHARE OF CONTRACT:	\$82,740
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Funds are used for program staff salaries health insurance and related payroll taxes, and essential services.	
NUMBER OF CLIENTS SERVED (unduplicated count):	766
ADDITIONAL COMMENTS: The funding cuts to the ESG program have greatly reduced our ability to assist people in moving quickly to their own permanent, sustainable housing.	
Report Completed by (name and date): Paul Iadarola Executive Director	
07/05/2012	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	St. Vincent DePaul Mission of Waterbury, inc.	
Project Title & Address:	The Shelter	
Contract Number (CORE/POS)	10DSS3701YJ-A1/151-VDP-ESS-47-A1	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Funds go towards essential services, shelter operations and administration	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

258 White, Non-Hispanic  
222 Black, Non-Hispanic  
278 Hispanic  
4 Asian/Pacific  
4 American Indian / Alaskan Native  
766 TOTAL  
70 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>XX</u> emergency shelter facilities	<u>          </u> transitional housing
<u>          </u> vouchers for shelters	<u>          </u> outreach
<u>          </u> drop-in-center	<u>          </u> soup kitchen/meal distribution
<u>          </u> mental health	<u>          </u> HIV/AIDS services
<u>          </u> alcohol/drug program	<u>          </u> employment
<u>          </u> child care	<u>          </u> homeless prevention
<u>          </u> other <u>          </u> (please list): _____	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>73</u>	average number serviced daily	<u>90</u>
Average number of children daily	<u>17</u>		
Average number served yearly	<u>796</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>73%</u>	Female <u>27%</u>
Unaccompanied under 18 .....	Male <u>      </u> %	Female <u>      </u> %

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	_____ %	Female	<u>100%</u>
Youth 18 and under .....		_____ %		
Two parents 18 and over .....		_____ %		
Two parents under 18 .....		_____ %		
Families with no children .....		_____ %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>3%</u>	battered spouses	<u>23%</u>	drug dependent individuals
_____	runaway/throwaway youth	<u>3%</u>	elderly
<u>43%</u>	chronically mentally ill	<u>1%</u>	veterans
<u>7%</u>	developmentally disabled	<u>1%</u>	physically disabled
<u>27%</u>	HIV/AIDS	_____	other
<u>22%</u>	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>96</u>	Barracks	_____	Group/large house
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
_____	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$82,740
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**SOURCES OF LOCAL MATCH:**

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA ESFSG	<u>\$22,400</u>
_____	<u>\$</u>
_____	<u>\$</u>
_____	<u>\$</u>
_____	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Sate of CT DSS	\$267,048
	\$
	\$
	\$
	\$

Private (including recipient) Funding:	Amount
Fund Raising/Cash	\$ 435,000
Loans	\$
Building Value or Lease	\$325,000
Donated Goods	\$38,100
Donated Computers	\$
New Staff Salaries	\$
Volunteers (\$5/hr)	\$4,000
Volunteer Medical/Legal	



# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Thames Valley Council for Community Action, Inc.
CONTRACT NUMBER (CORE /POS):	104C-ESS-23
ESG SHARE OF CONTRACT:	\$72,681
BUDGET PERIOD:	July 1, 2012 - June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>The TVCCA Home Again Project a 25-bed facility serving families with a least one minor child. The shelter provides temporary housing with a rapid re-housing program. The goal is to have families and in particular, children, spend as little time in emergency housing as possible. There are three meals per day plus snacks served to residents. There is a case manager on staff to assist residents with any concerns, from the search for permanent housing to registering their children in school. Residents are assisted with housing searches, obtaining furniture, enrolling children in Head Start and signing up for other benefits such as the WIC program. There is a group of Foster Grandparents who visit the shelter each day to provide role models for young parents and to lend a sympathetic ear to anyone in need. There is also a Birth to Three parenting class at the shelter. Clients attend weekly residents' meeting to discuss any issues concerning shelter life. In collaboration with the Connecticut Department of Mental Health and Addiction Services, a mental health case manager visits the shelter weekly.</p> <p>The primary objective of the shelter is to provide rapid re-housing services to families in crisis and to have them return to the larger community within a 30 day time period.</p> <p>Shelter users primarily come from towns in New London and Windham Counties. Personal health items are provided as well as necessary clothing to enable clients to maintain basic personal hygiene. There are laundry facilities at the shelter. The shelter is 100% accessible.</p> <p>Recently the shelter has been the recipient of a few small grants to assist residents to quickly move out of the shelter and back into the larger community.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	145
ADDITIONAL COMMENTS:	
<p>None at this time other than to express our appreciation to DSS and the staff that have assisted TVCCA as we transition from a traditional emergency shelter to a rapid re-housing program that has greatly reduced the number of families being discharged to another shelter, still battling the crisis of homelessness.</p>	
Report Completed by (name and date): Lisa L. Shippee and Ida Parker July 27, 2013	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Thames Valley Council for Community Action, Inc.	
Project Title & Address:	TVCCA Home Again Project, 401 W. Thames St., Norwich, CT 06360	
Contract Number (CORE/POS)	104C-ESS-23	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)	Shelter and rapid re-housing for homeless families with at least one minor.	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

67 White, Non-Hispanic  
43 Black, Non-Hispanic  
35 Hispanic  
0 Asian/Pacific  
0 American Indian / Alaskan Native  
145 TOTAL  
55 Number of Female headed households

2. Indicate type of project(s) and service(s):

<u>X</u> emergency shelter facilities	<u>        </u> transitional housing
<u>        </u> vouchers for shelters	<u>        </u> outreach
<u>        </u> drop-in-center	<u>        </u> soup kitchen/meal distribution
<u>        </u> mental health	<u>        </u> HIV/AIDS services
<u>        </u> alcohol/drug program	<u>        </u> employment
<u>        </u> child care	<u>        </u> homeless prevention
<u>X</u> other <u>        </u> (please list): Rapid Re-housing Program	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>8</u>	average number serviced daily	<u>8</u>
Average number of children daily	<u>16</u>		
Average number served yearly	<u>145</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>        </u> 0%	Female <u>        </u> 0%	
Unaccompanied under 18 .....	Male <u>        </u> 0%	Female <u>        </u> 0%	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male	<u>3%</u>	Female	<u>77%</u>
Youth 18 and under .....		<u>0%</u>		
Two parents 18 and over .....		<u>20%</u>		
Two parents under 18 .....		<u>0%</u>		
Families with no children .....		<u>0%</u>		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>26%</u>	battered spouses	<u>3%</u>	drug dependent individuals
	runaway/throwaway youth		elderly
<u>11%</u>	chronically mentally ill		veterans
<u>1%</u>	developmentally disabled	<u>4%</u>	physically disabled
	HIV/AIDS		other
<u>8%</u>	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>          </u>	Barracks	<u>          </u>	Group/large house
<u>          </u>	Scattered site apartment	<u>          </u>	Single family detached house
<u>          </u>	Single room occupancy	<u>          </u>	Mobile home/trailer
<u>          </u>	Hotel/motel	<u>          </u>	
<u>          </u>	Other (describe)	<u>25 in emergency shelter with individual family rooms.</u>	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$72,681
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
<u>          </u>	\$0
<u>          </u>	\$
<u>          </u>	\$
<u>          </u>	\$
<u>          </u>	\$

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	\$0
	\$
	\$
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	\$0
Building Value or Lease	\$0
Donated Goods	\$0
Donated Computers	\$0
New Staff Salaries	\$0
Volunteers (\$5/hr)	\$0
Volunteer Medical/Legal	

# ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Tri-Town Shelter Services, Inc.
CONTRACT NUMBER (CORE /POS):	10DSS3702AO/146TTS-ESS-16
ESG SHARE OF CONTRACT:	\$26,267.00
BUDGET PERIOD:	July 1, 2012 – June 30, 2013
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>We are a fifteen bed emergency shelter serving homeless families and individuals. In addition to shelter services, we also provide comprehensive case management and support services that address the contributing factors resulting in one's homelessness. Every client meets weekly with our Service Coordinator to define an Individualized Service Plan. To ensure follow-through, advocacy and referral services are available to each client.</p> <p>In an effort to promote a clients self-resiliency, self-regulation, and self-determination, we utilize numerous local service providers, as well as offering a wide range of adult daily life skills. Internally, we offer a closed, 12-Step recovery meeting and also have numerous community-based facilities that offer 12-step meetings as well. We also have a multi-purpose room that houses our computer lab, resource library, and serves as both a conference room for case management reviews and confidential counseling. Finally, there are numerous assessment tools in the multi-purpose room that assist clients in their recovery path, mental health challenges, workforce readiness and educational competencies.</p> <p>We are a member of the Vernon Community Network, a collaborative of over 30 community-based organizations representing the employment, educational, and service sectors.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	136
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Pieter Nijssen, Executive Director July 1, 2013	

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Contractor Name & Address:	Tri-Town Shelter Services, Inc.	93 East Main Street, Vernon, CT 06066
Project Title & Address:	Emergency Shelter	93 East Main Street, Vernon, CT 06066
Contract Number (CORE/POS)	10DSS3702AO / 146TTS - ESS - 16	
Report Covers the period:	July 1, 2012 - June 30, 2013	
Activity Name & Description (See the attached ESG Annual Performance Report aka ESG short form for description)		

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

92 White, Non-Hispanic  
32 Black, Non-Hispanic  
12 Hispanic  
0 Asian/Pacific  
0 American Indian / Alaskan Native  
136 TOTAL  
23 Number of Female headed households

2. Indicate type of project(s) and service(s):

<input checked="" type="checkbox"/> emergency shelter facilities	<input type="checkbox"/> transitional housing
<input type="checkbox"/> vouchers for shelters	<input type="checkbox"/> outreach
<input type="checkbox"/> drop-in-center	<input type="checkbox"/> soup kitchen/meal distribution
<input type="checkbox"/> mental health	<input checked="" type="checkbox"/> HIV/AIDS services
<input type="checkbox"/> alcohol/drug program	<input type="checkbox"/> employment
<input type="checkbox"/> child care	<input checked="" type="checkbox"/> homeless prevention
<input type="checkbox"/> other (please list):	

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	<u>12</u>	average number serviced daily	<u>12</u>
Average number of children daily	<u>3</u>		
Average number served yearly	<u>136</u>		

**The following information is for residential services only:**

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over .....	Male <u>53%</u>	Female <u>47%</u>
Unaccompanied under 18 .....	Male <u>0%</u>	Female <u>0%</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

Families with children headed by:

Single 18 and over .....	Male <u>3%</u>	Female <u>97%</u>
Youth 18 and under .....	<u>0%</u>	
Two parents 18 and over .....	<u>0%</u>	
Two parents under 18 .....	<u>0%</u>	
Families with no children .....	<u>0%</u>	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

<u>0%</u> battered spouses	<u>47%</u> drug dependent individuals
<u>0%</u> runaway/throwaway youth	<u>0%</u> elderly
<u>57%</u> chronically mentally ill	<u>1%</u> veterans
<u>0%</u> developmentally disabled	<u>3%</u> physically disabled
<u>2%</u> HIV/AIDS	<u>N / A</u> other
<u>49%</u> alcohol dependent individuals	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

<u>0</u> Barracks	<u>0</u> Group/large house
<u>0</u> Scattered site apartment	<u>0</u> Single family detached house
<u>15</u> Single room occupancy	<u>0</u> Mobile home/trailer
<u>0</u> Hotel/motel	
Other (describe) _____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	\$26,267.00
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SOURCES OF LOCAL MATCH:

Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
F.E.M.A.	<u>\$10,224</u>
State of CT Dept. of Social Services	<u>\$131,916</u>
	<u>\$</u>
	<u>\$</u>
	<u>\$</u>

DEPARTMENT OF SOCIAL SERVICES  
EMERGENCY SHELTER PROGRAM (ESG)  
ANNUAL REPORT - 2012

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Town of Tolland	\$3,000
Town of Vernon	\$6,100
The United Way	\$6,000
	\$
	\$

Private (including recipient) Funding:

Fund Raising/Cash	\$90,000
Loans	\$0
Building Value or Lease	\$450,000
Donated Goods	\$0
Donated Computers	\$0
New Staff Salaries	\$0
Volunteers (\$5/hr)	\$0
Volunteer Medical / Legal	0





# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**OMB Number 2506-0133 (Expiration Date: 10/31/2014)**

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The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.



**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, , Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at [Hopwa@hud.gov](mailto:Hopwa@hud.gov). **Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

## **Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	
5.	<b>Adjustment for duplication (subtract)</b>	
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has had at least four episodes of homelessness in the past three years." For this purpose, the term "homeless" means "a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter." This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Placement Assistance Activity Total:** The unduplicated number of households receiving assistance with either housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing

stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403* and the *HOPWA Grantee Oversight Resource Guide* for additional reference.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness

and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Housing Opportunities for Person with AIDS (HOPWA)  
Consolidated Annual Performance and Evaluation Report (CAPER)  
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

**Part 1: Grantee Executive Summary**

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d.. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

**1. Grantee Information**

<b>HUD Grant Number</b> CTH011-F9999		<b>Operating Year for this report</b> From 7-01-12 To 6-30-13	
<b>Grantee Name</b> State of Connecticut Department of Social Services			
<b>Business Address</b>	25 Sigourney Street		
<b>City, County, State, Zip</b>	Hartford	CT	06106
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	06-600798		
<b>DUN &amp; Bradstreet Number (DUNs):</b>	807854435	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? xx Yes <input type="checkbox"/> No If yes, provide CCR Number: 807854435	
<b>*Congressional District of Grantee's Business Address</b>	CT 1 <sup>st</sup> District		
<b>*Congressional District of Primary Service Area(s)</b>	Hartford		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>	Cities:	Counties:	

<p><b>Organization's Website Address</b></p> <p>N/A</p>	<p><b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b></p>
---	---

**\* Service delivery area information only needed for program activities being directly carried out by the grantee**

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

**Note:** Please see Definition section for distinctions between project sponsor and subrecipient

<b>Project Sponsor Agency Name</b>		<b>Parent Company Name, if applicable</b>	
Center for Independent Living Northwest CT, Inc. Mercy Housing and Shelter Corporation, Inc. Columbus House, Inc. New Opportunities, Inc.		N/A	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Sister Patricia McKeon, Executive Director, Mercy Eileen Healy, Executive Director, Independence Northwest Alison Cunningham, Executive Director, Columbus House James Gatling, Executive Director, NOW, Inc.		
<b>Email Address</b>	<a href="mailto:pmckeon@mercyhousingct.org">pmckeon@mercyhousingct.org</a> <a href="mailto:Eileen.healy@independencenorthwest.org">Eileen.healy@independencenorthwest.org</a> <a href="mailto:Acunningham@columbushouse.org">Acunningham@columbushouse.org</a> <a href="mailto:eroyster@newoppinc.org">eroyster@newoppinc.org</a>		
<b>Business Address</b>	Mercy Housing= 211 Wethersfield Avenue, Hartford, CT Independence NW= 1183 New Haven Road, Naugatuck, CT Columbus House= 586 Columbus Boulevard New Haven, CT NOW= 232 North Elm Street, Waterbury, CT		
<b>City, County, State, Zip,</b>	Middlesex County Litchfield County New London County		CT
<b>Phone Number (with area code)</b>	Mercy 860-808-2040 INW 203-729-3299 CH 203-401-4400 NOW 203-575-4217	860-548-0692 203-729-2839 203-773-1430	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	Mercy-06-1090211 INW-06-1246618 CH- 22-2511873 NOW-06-6071847		



<b>DUN &amp; Bradstreet Number (DUNs):</b>	<b>Mercy-198768772</b> <b>INW-624515516</b> <b>CH-131764912</b> <b>NOW-010154367</b>	
<b>Congressional District of Project Sponsor's Business Address</b>	<b>1<sup>st</sup> Mercy</b> <b>3<sup>rd</sup> INW</b> <b>3<sup>rd</sup> and 2<sup>nd</sup> CH</b> <b>3<sup>rd</sup> and 5<sup>th</sup></b>	
<b>Congressional District(s) of Primary Service Area(s)</b>	<b>1<sup>st</sup></b> <b>3<sup>rd</sup></b> <b>2<sup>nd</sup></b>	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities</b> Middlesex County Litchfield County New London County	<b>Counties</b>
<b>Total HOPWA contract amount for this Organization for the operating year</b>	<b>Mercy=6,897.00</b> <b>INW=97,812.00</b> <b>CH=126,060.00</b> <b>NOW=32,139.00</b>	
<b>Organization's Website Address</b> Mercyhousingct.org Independencenorthwest.org Columbushouse.org Newoppinc.org	<b>Does your organization maintain a waiting list?</b> xx Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	
<b>Is the sponsor a nonprofit organization?</b> xx Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> x		

### 3. Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

**Note:** Please see Definition section for distinctions between project sponsor and subrecipient

<b>Subrecipient Name</b>	N/A			<b>Parent Company Name, if applicable</b>	
				N/A	
<b>Name and Title of Contact at Subrecipient</b>	N/A				
<b>Email Address</b>	N/A				
<b>Business Address</b>	N/A				
<b>City, State, Zip, County</b>	N/A				
<b>Phone Number (with area code)</b>	N/A			<b>Fax Number (include area code)</b>	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	N/A				
<b>DUN &amp; Bradstreet Number (DUNs):</b>	N/A				
<b>North American Industry Classification System (NAICS) Code</b>	N/A				
<b>Congressional District of Subrecipient's Business Address</b>	N/A				
<b>Congressional District of Primary Service Area</b>	N/A				
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	Cities			Counties	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	N/A				

#### **4. Grantee Narrative and Performance Assessment**

##### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

See Attachment A

##### **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

**Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

**No technical assistance needed at this time**

See Attachment B

##### **c. Barriers and Trends Overview** See Attachment C

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

**OTHER-** Long wait list for Section 8 housing.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

**Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

**1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	18
--	----

<p>2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:</p> <p>a. Tenant-Based Rental Assistance (TBRA) 7</p> <p>b. Short-Term Rent, Mortgage and Utility payments (STRMU) 7</p> <ul style="list-style-type: none"> <li>• Assistance with rental costs</li> <li>• Assistance with mortgage payments</li> <li>• Assistance with utility costs. 4</li> </ul> <p>c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities</p>	
--	--

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<b>X = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives</b>
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
x = Data from client information provided in Homeless Management Information Systems (HMIS)
= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

## PART 2: Sources of Leveraging and Program Income

### 1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.*

#### A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
<b>Public Funding</b>			
Ryan White-Housing Assistance	15810		xx <input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	9201		<input type="checkbox"/> Housing Subsidy Assistance xx <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Dept.of Social Services HSI	5532	Case Management	<input type="checkbox"/> Housing Subsidy Assistance xx <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Private Funding</b>			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Other Funding</b>			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Resident Rent Payments by Client to Private Landlord	52629		
<b>TOTAL (Sum of all Rows)</b>	<b>83172</b>		

**2. Program Income and Resident Rent Payments**

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	N/A
2.	Resident Rent Payments made directly to HOPWA Program	N/A
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	N/A

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	N/A
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	N/A
3.	<b>Total Program Income Expended (sum of Rows 1 and 2)</b>	N/A

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**Note:** The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

**1. HOPWA Performance Planned Goal and Actual Outputs**

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	WA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	27	30			130,498	104,591
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)						
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies (Households Served)						
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	22	14			37,579	16,817
5.	Adjustments for duplication (subtract)						
6.	<b>Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-4 minus Line 5; Columns e. and f. equal the sum of Rows 1-4))</b>	49	44			168,077	121,408
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
7.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
8.	Stewardship Units subject to 3 or 10 year use agreements						
9.	<b>Total Housing Developed (Sum of Rows 7 &amp; 8)</b>						
<b>Supportive Services</b>		<b>[1] Output</b>				<b>[2] Output: Funding</b>	
10.	Supportive Services provided by project sponsors that also	49	44			92,024	84,356



a.	delivered HOPWA housing subsidy assistance					
10	Supportive Services provided by project sponsors that only provided supportive services.					
11	Adjustment for duplication (subtract)					
12	<b>Total Supportive Services</b> (Columns a. - d. equal the sum of Rows 10 a & b minus Row 11; Columns e. and f. equal the sum of Rows 10a. and 10b.)	49	44		92,024	84,356
<b>Housing Placement Assistance Activities</b>		<b>[1] Output</b>			<b>[2] Output: Funding</b>	
13	Housing Information Services					
14	Permanent Housing Placement Services					
15	Adjustment for duplication					
16	<b>Total Housing Placement Assistance</b> (Columns a. - e. equal the sum of Rows 13-14 minus Row 15; Columns e. and f. equal the sum of Rows 13-14))					
<b>Grant Administration and Other Activities</b>		<b>[1] Output Households</b>			<b>[2] Output: Funding</b>	
17	Resource Identification to establish, coordinate and develop					
18	Technical Assistance (if approved in grant agreement)					
19	Grantee Administration (maximum 3% of total HOPWA grant)					
20	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					
21	<b>Total Grant Administration and Other Activities</b> (Sum of Rows 17 - 20)					
<b>Total Expended</b>					<b>[2] Outputs: HOPWA Funds</b>	
					<b>Budget</b>	<b>Actual</b>
22	<b>Total Expenditures for program year (Sum of Rows 6, 9,</b>				168,077	121,408

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Note:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	40	60289
4.	Child care and other child services		
5.	Education	2	1163
6.	Employment assistance and training	2	1163
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	6	3518
8.	Legal services		
9.	Life skills management (outside of case management)	4	610
10.	Meals/nutritional services		
11.	Mental health services	1	582
12.	Outreach	11	1524
13.	Transportation	4	2301
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>	43	23450
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	113	
16.	<b>Adjustment for Duplication (subtract)</b>	73	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	40	94600

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these

households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

<b>Housing Subsidy Assistance Categories (STRMU)</b>		<b>[1] Output: Number of <u>Households</u></b>	<b>[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year</b>
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	14	16,817.00
b.	<u>Of the total STRMU reported on Row a,</u> total who received assistance with mortgage costs ONLY.		
c.	<u>Of the total STRMU reported on Row a,</u> total who received assistance with mortgage and utility costs.		
d.	<u>Of the total STRMU reported on Row a,</u> total who received assistance with rental costs ONLY	10	11,887
e.	<u>Of the total STRMU reported on Row a,</u> total who received assistance with rental and utility costs.		
f.	<u>Of the total STRMU reported on Row a,</u> total who received assistance with utility costs ONLY.	4	4,930.00

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

**Data Check:** The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1.

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	<b>[1] Output: Total Number of Households</b>	<b>[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year</b>	<b>[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting</b>		<b>[4] HOPWA Client Outcomes</b>
<b>Tenant-Based Rental Assistance</b>	40	22	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	5	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	8	
			5 Other Subsidy	5	
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown	1	
9 Death		<i>Life Event</i>			
<b>Permanent Supportive Housing Facilities/ Units</b>	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		

			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

**B. Transitional Housing Assistance**

	<b>[1] Output: Total Number of Households</b>	<b>[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year</b>	<b>[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting</b>	<b>[4] HOPWA Client Outcomes</b>	
<b>Transitional/ Short-Term Facilities/ Units</b>	N/A	Total number of households that will continue in residences:  N/A	N/A	1 Emergency Shelter/Streets	<i>Unstable Arrangements</i>
				2 Temporary Housing	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
				3 Private Housing	<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA	
				5 Other Subsidy	
				6 Institution	<i>Unstable Arrangements</i>
				7 Jail/Prison	
				8 Disconnected/unknown	
				9 Death	<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness**

**(Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor's best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The sum of Column 2 should equal the number of households reported in Column 1.

**Assessment of Households that received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
14	<b>Maintain Private Housing <u>without</u> subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	14	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	N/A	
	Other HOPWA Housing Subsidy Assistance	N/A	
	Other Housing Subsidy (PH)	N/A	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	N/A	
	Likely that additional STRMU is needed to maintain current housing arrangements	10	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	N/A	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	N/A	
	Emergency Shelter/street	N/A	<i>Unstable Arrangements</i>
	Jail/Prison	N/A	
Disconnected	N/A		
Death	N/A	<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years)			N/A

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Section [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, and Master Leasing) from the project sponsors, permanent housing placement assistance and case management services. Use Row d. to adjust for duplication among the service categories and Row e. to provide an unduplicated household total.

Section [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received permanent housing placement assistance and case management services. Use Row c. to adjust for duplication and Row d. to provide an unduplicated household count.

**Note:** These numbers will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

<b>Total Number of Households</b>	
<b>1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following services:	
a. HOPWA Housing Subsidy Assistance (duplicated)-TBRA, STRMU, Facility-Based Housing, and Master Leasing	44
b. Permanent Housing Placement	
c. Case Management	33
d. Adjustment for duplication	
<b>e. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a.-c. minus Row d.)</b>	<b>77</b>
<b>2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following services:	
a. Permanent Housing Placement	
b. Case Management	
c. Adjustment for duplication	
<b>d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a. and b. minus Row c.)</b>	<b>0</b>

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	43	0	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	33	0	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	33	0	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance.	43	0	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	43	0	<i>Sources of Income</i>

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>MEDICAID Health Insurance Program, or use local program name</li> <li>MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>Veterans Affairs Medical Services</li> <li>AIDS Drug Assistance Program (ADAP)</li> <li>State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>Ryan White-funded Medical or Dental Assistance</li> </ul>
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**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>Earned Income</li> <li>Veteran's Pension</li> <li>Unemployment Insurance</li> <li>Pension from Former Job</li> <li>Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>Child Support</li> <li>Social Security Disability Income (SSDI)</li> <li>Alimony or other Spousal Support</li> <li>Veteran's Disability Payment</li> <li>Retirement Income from Social Security</li> <li>Worker's Compensation</li> </ul>	<ul style="list-style-type: none"> <li>General Assistance (GA), or use local program name</li> <li>Private Disability Insurance</li> <li>Temporary Assistance for Needy Families (TANF)</li> <li>Other Income Sources</li> </ul>
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**1c.. Households that Obtained Employment**



Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

*Note: This includes jobs created by this project sponsor or obtained outside this agency.*

<b>Categories of Services Accessed</b>	<b>[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>
Total number of households that obtained an income-producing job	14	0

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6=#)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8=#)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	4	N/A	N/A	N/A
Permanent Facility-based Housing Assistance/Units	0			
Transitional/Short-Term Facility-based Housing Assistance/Units	0			
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	4			

  

<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
<b>Total HOPWA Housing Subsidy Assistance</b>				

**background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of “Stewardship Units”*

**1. General information**

HUD Grant Number(s) N/A	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	N/A	N/A

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official of the organization that continues to operate the facility:</b> N/A	<b>Signature &amp; Date (mm/dd/yy)</b>  N/A
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>  N/A	<b>Contact Phone (with area code)</b>  N/A

**End of PART 6**

## Part 7: Summary Overview of Grant Activities

### A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

*Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.*

#### Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

##### a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	44

##### Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

*Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.*

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	15
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>	

2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	1
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	1
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	23
13.	House you own	2
14.	Staying or living in someone else's (family and friends) room, apartment, or house	2
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	44

### c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	1	3

## Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of "HOPWA Eligible Person".

**Note:** See definition of Transgender **Note:** See definition of Beneficiaries.

**Note:** The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

### a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	44
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals	

identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	26
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	<b>70</b>

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

<b>HOPWA Eligible Individuals</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL* (Sum of Columns A-D)</b>
1.	Under 18		1			1
2.	18 to 30 years	1	1			2
3.	31 to 50 years	10	11	1		22
4.	51 years and Older	14	5			19
<b>5.</b>	<b>Subtotal (Sum of Rows 1-4)</b>	25	18	1		44
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL* (Sum of Columns A-D)</b>
6.	Under 18	8	4			
7.	18 to 30 years	3	7	1		
8.	31 to 50 years	1	1			
9.	51 years and Older	1	1			
<b>10.</b>	<b>Subtotal (Sum of Rows 6-9)</b>	13	13	1		27
<b>Total Beneficiaries</b>						
<b>11.</b>	<b>TOTAL* (Sum of Row 5 &amp; 10)</b>	38	31	1		70

**c. Race and Ethnicity\***

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	17	2	2	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	27	4	10	9
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American			3	
10.	Other Multi-Racial				
11.	Column Totals (Sum of Rows 1-10)	44	6	15	9

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.*

*\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

**Section 3. Households**

**Household Area Median Income.**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	28
2.	31-50% of area median income (very low)	10
3.	51-80% of area median income (low)	6
4.	<b>Total (Sum of Rows 1-3)</b>	<b>44</b>

**Part 7: Summary Overview of Grant Activities**  
**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a., Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY) .

**1. Project Sponsor Agency Name (Required)**

N/A
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**2. Capital Development**

**2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)**

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: N/A
<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility  N/A
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started:                      Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units =                      Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?		



h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.
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**2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)**

**Not Applicable**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

**3. Units assisted in types of housing facility/units leased by sponsor**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted.*

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor	Total Number of <u>Units</u> Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility. <u>Specify:</u>						

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units		
d. Other Activity (if approved in grant agreement). <u>Specify:</u>		
e. <b>Adjustment to eliminate duplication (subtract)</b>		

f.	TOTAL Facility-Based Housing Assistance (a. through d. minus e.)		
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## ATTACHMENT A 2013

### Grantee and Community Overview.

During the 2012/2013 federal fiscal year, the Department of Social Services provided funding to communities in the Balance of State in Litchfield, Middlesex, and New London counties.

The department allocated HOPWA funding to the following non-profit community service sponsor agencies:

Center for Independent Living Northwest CT, Inc. The Center for Independent Living Northwest CT, Inc. is a consumer controlled, community based and cross-disability independent living center. The mission of the program is to promote independent living so that people with disabilities have the same opportunities that all citizens have without discrimination. Independence NW provides 10 scattered site TBRA units to individuals and/or families living with HIV/AIDS. Supportive services are provided to all program participants and their household members. Supportive services include referrals to other community resources, job training, substance abuse counseling, medical/mental health referrals, and legal services

New Opportunities, Inc. is a non-profit community action agency that serves the HOPWA clients in Litchfield County. NOW offers a variety of social service programs designed to eliminate poverty and assist people in need. The agency aims to increase self sufficiency of its consumers and support their move out of poverty toward a more middle class lifestyle. The program provided short term mortgage rent utilities assistance to 4 individuals during the past year.

Columbus House, Inc. is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 8 tenant based rental assistance units in Middlesex county and 3 tenant based rental assistance units in New London County. 10 families in Middlesex and New London counties received short term rent, mortgage and utility assistance in order to remain in stabilized housing.

Mercy Housing and Shelter Corporation, Inc. is a non-profit housing organization that provides assistance to HOPWA clients in Middlesex County. During the two month extension of the contract, Mercy provided services to 7 clients in need of tenant based rental assistance.

## **Attachment B**

### Annual Performance under the Action Plan

#### **1. Outputs Reported:**

The original goal was to serve 49 individuals and/or families in Middlesex and Litchfield counties. The actual number of individuals and families which benefited from TBRA dollars was 43. The original goal was to serve 22 individuals and families with STRMU subsidies and 14 consumers benefit from this assistance in New London and Middlesex county.

#### **2. Outcomes Assessed:**

All 4 programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

There were some obstacles in achieving full capacity due to the lack of transportation in Litchfield county (a rural area) and other barriers such as eligibility requirements. Outreach efforts are ongoing and much more aggressive now that this barrier has been identified.

#### **3. Coordination:**

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield and New London County Housing Authorities, Community Health Centers and various other local community resources.

#### **4. Technical Assistance:**

No technical assistance is required at this time.

## **Attachment C**

### C. Barriers and Trends Overview

#### **1. Barriers:**

Credit History, Criminal Justice History, Housing Affordability and Housing Availability and history of evictions tend to be common barriers to successfully housing an individual or family. Other barriers in the more rural areas were lack of transportation and affordability of a taxi cab to transport the client(s).

Many landlords will run credit checks along with a criminal background check before they even consider the tenant. This requirement, through careful negotiation with the landlord, can often be bypassed if the case manager is involved with the client and advocates favorably on their behalf. However, some programs work very closely with numerous landlords and housing authorities who have a very good relationship with the program and the clients that are referred for housing.

Housing affordability and availability is an obstacle as well. The cost of living in Litchfield County is very high. Affordable rents are hard to find for clients that chose to live in this area of the state. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant. As a result, there is a lack of affordable housing in Litchfield County thus making housing availability an obstacle.

#### **2. Trends:**

Among the trends this program year was that many individuals needed to share apartments with roommates in order to afford their rents. There was also an increased effort to coordinate services with medical case managers and community clinics where the program participant resides.

Another trend is the reduction of state programs and the availability of funding. Due to the current economy, many support services that clients need have been cut or drastically reduced. Many of the consumers who are physically able to work full time are having difficulty finding employment due to lack of job opportunities. Program staff encourage these individuals to return to school, or further their education and training so they can increase their chances of finding full time employment.

#### **3. Identify HOPWA evaluations/studies**

There are currently no evaluations or studies available.

## Appendix K.

<b>Key</b>	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
<b>Acronyms</b>	
CHFA	Connecticut Housing Finance Authority
DOH	Department of Housing, State of Connecticut
DECD	Department of Economic and Community Development, State of Connecticut
DSS	Department of Social Services, State of Connecticut
OFR	Office of Financial Review
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development