

2016 Program Year

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Solutions Grant Program
National Housing Trust Fund Program

Submitted to the
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By
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**State of Connecticut
2016 Consolidated Annual Performance and Evaluation Report**

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I. Assessment of Progress

Connecticut's 2015-19 Consolidated Plan and subsequent Action Plans outline overarching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state continues to make available HOME, SC/CDBG, ESG, HOPWA, and NHTF funds to eligible recipients based on the priorities set forth in the state's 2015-19 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2015-19 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2016-17 Action Plan.

The State of Connecticut, through the Department of Housing, is committed to creating a Connecticut where affordable housing, in strong, vibrant, and inclusive communities, is accessible to individuals and families across the state and homelessness is a thing of the past. Housing developments will be clustered around pedestrian-friendly areas, in close proximity to employment and commercial centers, schools, public transportation, and around established infrastructure. Connecticut will revitalize its urban and regional centers with mixed-use, mixed-income housing and community development, providing a safe and clean environment to attract an economically and socially diverse workforce. Chronic homelessness and in particular chronic veteran's homelessness will be a thing of the past. The state recognizes that a realistic and comprehensive housing and community development strategy is vital to the future prosperity of Connecticut and that serious challenges continue to be present that must be addressed if Connecticut is to remain competitive and maintain its quality of life.

Housing is a key component of attaining and sustaining local viability and, community health and ensuring a thriving community. Ensuring affordable housing options for both owners and renters is an important contributing factor to future community health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing with the availability of services. In order to address these needs, it is important to add new housing as well as preserve affordable housing presently serving households in need in a coordinated and efficient manner.

Housing development is linked to Connecticut's other public policy areas which include, education, transportation, energy cost and availability, public health and safety, environmental quality, and economic development. These areas are not independent of each other. They are interconnected and interdependent. Just as transportation is not just a network of roads and bridges, housing is not just shelter. It is an integral part of the state's socio-economic fabric. Public policy and investment decisions made in one area directly and indirectly impact the other areas. As such, the state must comprehensively consider these relationships and take a multifaceted and balanced approach to addressing Connecticut's housing and community development needs.

Responsible Development and Sustainable Communities' principles consider and connect all of the aforementioned public policy areas. These principles are in conformance with the state's Conservation and Development Policies Plan for Connecticut (C&D Plan), and the current Analysis of Impediments to Fair Housing Choice 2015. Because Responsible Growth and Sustainable Communities principles make the most efficient uses of energy,

land, travel time and other societal resources over the long-term they are incorporated into the state's Consolidated Plan. The state will use its federal formula grant funding and state resources to address Connecticut's housing and community development needs through the application of Responsible Growth and Sustainable Communities principles and by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

To this end, DOH and CHFA continue to carry out the state's fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state is working to revitalize communities by providing communities in need with: Assistance to undertake housing, community and economic development initiatives. Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state continues to encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state's responsible growth strategies and the growth management policies specified in the new Conservation and Development Policies: The Plan for Connecticut (C&D Plan). Conservation and Development Policies Plan 2013-2018

A. OVERARCHING GOALS

The overall goal of the programs covered by this section of the plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production, preservation and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent

housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.

2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.

A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.

3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.

Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms "low-income", "low and moderate income", "low-moderate income" and "low-mod income" include the "very low" and "extremely low" income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. Based on the state's review of all relevant and available data, specific issues were selected at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on four primary factors: (1) the primary eligibility criteria of the respective funding; (2) the availability of "other" funds to address the issue, (3) the issue's relative demonstrated need (as identified in the needs assessment), and (4) the impact of the issue on the prevention and reduction of homelessness.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the five state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME, HOPWA and NHTF programs.

Geographic Targeting

The state targets its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources are focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Housing Choice Voucher/Certificate, Section 8 Moderate Rehabilitation, Resident Service Coordinator grant, Elderly Rental Assistance Payments, State Rental Assistance Payments, and other "housing support" programs are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction.

- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of SC/CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.
- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Area (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH receives a small amount of "Balance of State Dollars" and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.
- National Housing Trust Fund (NHTF) – Funding will be available in all 169 communities. Priority will be given to activities in higher opportunity areas as demonstrated through Opportunity Mapping at the DOH website in accordance with the most recent Analysis of Impediments to Fair Housing Choice. Priority will be given to applications for projects in the higher "opportunity areas."

B. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

Goal

Enhance a suitable living environment, create decent housing, provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on ending chronic homelessness and preventing future homelessness.

Affordable Housing Strategies

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

Consistent with the recommendations in the Analysis of Impediments to Fair Housing Choice 2015 (AI2015), the state will implement the following strategies, within available programs and appropriations:

1. Collaborate with other State Agencies to Affirmatively Further Fair Housing
2. Convene stakeholders to review proposed legislative solutions to existing impediments to fair housing choice
3. Ensure State and local planning documents affirmatively further fair housing
4. Maximize the effectiveness of State programs that promote mobility
5. Promote fair housing enforcement and education
6. Encourage the creation and rehabilitation of affordable housing in a variety of locations
7. In cooperation with the Fair Housing Enforcement Office of HUD, the state will endeavor to redraft and update the Connecticut Fair Housing Regulations, and
8. Initiate testing and use of the Connecticut Opportunity Map

Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments.

The following strategies and goals will help to preserve and expand the supply of quality affordable rental housing and to expand and maintain homeownership:

- Interdepartmental Cooperation - DOH will work cooperatively with other state agencies over the next five years in its effort to not only provide quality affordable housing, but to rebuild ailing urban and suburban centers into healthy communities.
- Support Other Housing Providers - DOH and CHFA will, to the extent possible, support the applications of housing providers for affordable housing funds for which DOH is not an eligible applicant. This includes support for persons and organizations applying for Section 202, Continuum of Care grants, Federal Historic Tax Credits, USDA, and other federal and private funding.
- Financial Resources – DOH, CHFA, and the Office of Policy and Management (OPM) will continue to work at the state and federal level to increase the amount of resources available to build or renovate quality affordable housing.

- Low Income Housing Tax Credits - CHFA, through revision of the Low Income Tax Credit Qualified Allocation Plan will ensure that the Low Income Housing Tax Credit program addresses the needs and priorities of this Consolidated Plan for Housing and Community Development.
- Rental Housing – DOH and CHFA will individually and jointly finance quality affordable new rental housing and preserve existing state-assisted and/or CHFA financed housing stock by using private, federal, local, and state resources.
- Housing Rehabilitation - DOH will use its Small Cities Community Development Block Grant (SC/CDBG) program, as well as other programs, to rehabilitate eligible owner-occupied and small rental housing.
- Homeownership Counseling - CHFA will continue its counseling process for first time borrowers to reduce default rates and will also work to reduce single family delinquencies and foreclosures through proactive intervention indicators.
- Homeownership for Persons with Disabilities – DMHAS, DSS, DOH, and CHFA will promote homeownership opportunities for persons with disabilities who have been unable to access private financing.
- Mortgage Assistance - CHFA will continue to implement the Emergency Mortgage Assistance and CT FAMILIES (Connecticut Fair Alternative Mortgage Lending Initiative & Education Services) program as well as counseling initiatives and mediation efforts to assist economically distressed households maintain homeownership.
- Encourage and promote mixed use and transit-oriented development. To these ends the DOH will prioritize funding requests for affordable housing projects that include mixed-uses and/or are located close to public transportation facilities and are on established bus routes.
- Encourage and support municipal efforts to create higher density residential zoning districts through the Incentive Housing Zone Program and other programs.
- Green Building - Encourage green building by the use of sustainable construction in new buildings that meet Leadership in Energy and Environmental Design (LEED) standards or similar standards and through the use of tax credits, and in coordination with the Green Bank.
- Healthy Homes - DOH, CHFA, the Department of Energy and Environmental Protection (DEEP), the Department of Public Health (DPH), DSS, local governments and property owners will work to help abate lead paint through the Connecticut Lead Action for Medicaid Primary Prevention Project (LAMPP) or other similar programs and work with DPH on the implementation of its 'Healthy Homes Initiative' which has been designed to promote and mainstream healthy housing principles to ensure that Connecticut's housing supply is dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.
- Continued use of the Connecticut Opportunity Map.

2016-2017 Affordable Housing Objectives

Fair Housing and Housing Choice

In the second year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

- Within available appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's AI2015 for state level action.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update and redraft the Connecticut Fair Housing Regulations consistent with guidance from the Fair Housing Enforcement Office HUD.

Quality Affordable Housing

In the second year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

With Regard to New Affordable Rental Housing

- DOH will work to create 1,000 new quality affordable rental housing units.

With Regard to New Homeownership Opportunities

- DOH will work to create 100 new affordable homeownership opportunities.

With Regard to Preserving Existing Affordable Rental Units

- DOH will work to preserve 1,000 existing affordable rental housing units.

With Regard to Maintaining Homeownership

- DOH will work to maintain homeownership for 60 households.

The above referenced figures were based on historic program performance, current unit production costs and anticipated financial resources at the time the 2015-19 ConPlan was developed.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan and associated Action Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned one year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 7,951 DOH Section 8 Housing Choice Voucher (S8 HCV) and State Rental Assistance Payments Program (RAP) participants with moves to areas of higher opportunity.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice.
- Support up to five infrastructure projects to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of five existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.

Outcome:

- Increased opportunities for housing choice.

Indicator(s):

- Number of fair housing educational and outreach opportunities achieved
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Number of inter-municipal or regional housing projects supported.
- Number of infrastructure projects conducted per year.
- Number of DOH S8 HCV and RAP participants educated through this program that move to areas of de-concentrated poverty.
- Number of DOH S8 HCV and RAP participant moves from an area of very low or low opportunity to a higher opportunity area.

Objective 2:

Enhance suitable living environments through the creation of decent affordable housing.

Output:

- Produce up to 1,000 newly constructed or rehabilitated rental units that serve households.
- Produce up to 100 newly constructed or created homeowner units that serve low-and-moderate income households.
- Rehabilitate up to 100 homeowner units that serve low-and-moderate income households.
- Preserve up to 1000 rental units that serve low- and moderate-income households.
- Fund up to one single-family moderate rehabilitation program.
- Create and/or preserve up to 100 residential units through the adaptive re-use of historic structures.
- Support energy conservation/efficiency activities that would primarily serve low-and-moderate-income persons.

Outcome:

Increased rental and homeowner housing opportunities that serve low-and-moderate income households in a variety of geographies.

Indicators:

- Number of newly constructed ownership units.
- Number of newly constructed rental units.
- Number of newly rehabilitated rental units.
- Number of newly rehabilitated ownership units.
- Number of single-family moderate rehabilitation programs funded each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of high opportunity.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

Objective 3:

To enhance suitable living environments through financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies.
- Provide economic opportunities in the form of mortgage assistance.
- Assign priority consideration to the creation of flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state.
- Produce affordable homeownership units through increased funding flexibility and reduced regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME Programs, treating each pool of funding as a source of flexible capital.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.

- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies.

Outcome:

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Leverage of CHFA’s current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA’s multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA’s Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME, State, Section 8 HCV	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

Goal

Provide decent housing and enhance suitable living environments for residents of public housing.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Address the housing needs of residents of public housing through preservation of existing housing units, the creation of replacement units and additional rent subsidies.

Output:

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Invest in the maintenance/rehabilitation/modernization of 1,000 existing publicly-assisted rental housing units annually to preserve them as a long-term resource.
- Preserve or replace state or federally assisted housing units annually by working with current owners and prospective developers of these projects to retain them in service to low-income households with a focus on projects in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Encourage local public housing authorities and DOH to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Housing Choice Vouchers by 50 new vouchers.

Outcome:

- New/improved availability/accessibility and affordability in public housing.

Indicators:

- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.

- Number and amount of new funding opportunities identified and developed annually.
- Number of new Section 8 vouchers each year.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State SC/CDBG HOME CHFA Section 8	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, SC/CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and address the shelter, housing and service needs of the homeless, and those threatened with homelessness with an emphasis on ending chronic homelessness, including veteran's homelessness, as well as preventing future homelessness.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments to expand the Rapid Re-housing Program (RRP) services. The recurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:

- Utilize all eligible resources administered by the DOH to reduce the recurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Participate in Zero 2016, the national campaign to end chronic homelessness by the end of 2016.
- Continue RRP to promote quick access to housing for those who are eligible.
- Utilize the Coordinated Access Network (CAN) to produce better placements and outcomes for the most vulnerable individuals in need as assistance.
- Implement the CT811 PRA program to locate project-based rental subsidies in areas where community-based services are available.
- Carry out the Homeless Prevention and Response Fund which will provide forgivable loans and grants to landlords to renovate multifamily homes in exchange for participation in the scattered site supportive housing program or the rapid re-housing program.

Outcome:

Eliminate chronic homelessness, and veteran's homelessness, and reduce the occurrence of homelessness.

Indicator(s):

- Number of homelessness reoccurrences among assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Timely placement of the most vulnerable individuals/families.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased.

Objective 2:

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:

- Maintain the state-funded Eviction Foreclosure Prevention Program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 50 new supportive housing units.
- Fully implement CAN statewide, and improve coordination and consistency across the CAN's

Outcome:

New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):

- Funding level and dollars committed to the Eviction Foreclosure Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing.
- Number of supportive housing units created.
- Number of project-based rental subsidies placed.

Objective 3:

End chronic veteran's homelessness.

Output:

- Place all known homeless veterans in permanent supportive housing or in service-enhanced housing with subsidies.

Outcome:

- All known homeless veterans are placed in permanent supportive housing or in service-enhanced housing with subsidies.

Indicator(s):

- Number of veteran’s using a VASH voucher
- Number of veteran’s placed in permanent supportive housing

Objective 4:

Maintain the state’s network of “Homeless Shelters.”

Output:

- Continue to fund “Homeless Shelters” across the state.

Indicator(s):

- Funding leveraged (ESS/ESG).
- Number of shelters DOH funds (ESS/ESG).
- Number of beds by type (men, women, children) (ESS/ESG).
- Number of clients (ESS/ESG).
- Number of services/type provided (ESS/ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	MFP, State, CT811 PRA, ESS/ESG	Low-Mod Income	State-Wide
Objective 2	EFPP, State, ESS/ESG, TANF	Low-Mod Income	State-Wide
Objective 3	MFP, State, CT811 PRA, VASH	Low-Mod Income	State-Wide
Objective 4	ESS/ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL

Create decent housing, a suitable living environment and economic opportunities for low- and moderate-income persons with special needs.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs – General

Objective 1:

Improve coordinate of the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to persons with special needs.

Output:

- Participate in Interagency Councils and/or Interagency Committees that meet regularly to insure coordination of efforts for persons with special needs.

Outcome:

- Coordination between state agencies and quasi-public entities is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 2:

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:

- Utilize the various agency plans to identify opportunities for coordination between state agencies.

Outcome:

- Agency priorities are better aligned, and efficiencies are implemented resulting in more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 3:

Provide permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances to ensure long-term housing stability and independence.

Output:

- Link permanent housing, employment and support services, and rental subsidies programs to meet the needs of each individual.

Outcome:

- Improved delivery and effectiveness of programs and services.

Indicator(s):

- Number of clients that received appropriate services across programs.
- Change in the number of clients that received appropriate services over time.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:

Maintain the housing choice opportunities for the elderly and the frail elderly, including access to appropriate services.

Output:

- Provide funding to preserve existing housing opportunities that serve the elderly and the frail elderly.
- Promote cross-population of housing and service options through a continuum of opportunities in one location (rental – congregate – assisted living – nursing facility).

Outcome:

- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing and obtain the services they need to do so.

Indicator(s):

- Vacancy rate at existing facilities.
- Number of clients being served by each program.
- Average age of occupant by facility.

Objective 2:

Promote efficiency in service delivery to the elderly and frail elderly.

Output:

- Increase client caseload per case manager.

Outcome:

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):

- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue family cohesion with those individuals with disabilities through placement in stable living situations and providing them with appropriate supportive services.

Output:

- Increase and/or maintain linkages among federal agencies, state agencies and consumers.

Outcome:

- Family cohesion with those individuals with disabilities is increased which ultimately increases quality of life for all members.

Indicator:

- Number of families with individuals with disabilities that are placed in a stable living situations with appropriate services.

Objective 2:

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individuals with disabilities.

Output:

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:

Continue to provide for accessibility modifications.

Output:

- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live within the community of their choice.

Indicator(s):

- Number of accessibility modifications funded.

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; and 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:

- Bond funds are provided to complete accessibility modifications for persons leaving nursing facilities under MFP.
- RAP set-aside for up to 50 eligible persons in support of the MFP is established.

Outcome:

- Independent living is restored to those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase in the quality of life for these individuals.

Indicator(s):

- Number of accessibility projects completed.
- Number of persons returned to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided RAP certificates.

Objective 5:

Create a continuum of affordable housing with support services and increase the supply of appropriate housing so that the disabled can live independently within their community of choice.

Output:

- Create linkages between various housing types that serve the disabled.
- The number of accessible housing units in the state is increased.

Outcome:

- New/improved affordability.
- Independent living is maintained for those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of linkage opportunities identified.
- Number of linkage opportunities achieved.
- Year over year change in number of clients being transitioned without displacement.
- Number of new accessible housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/ SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 4	State	Low-Mod Income	State-Wide
Objective 5	State/HOME	Low-Mod Income	State-Wide

Persons With HIV/AIDS And Their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:

- Existing HIV/AIDS programs are maintained and expanded.

Outcome:

- New/improved availability/accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DOH funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:

- Number of people accessing supportive housing services is increased by 10.

Outcome:

- New/improved availability/accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:

- New/improved availability/accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):

- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Persons With Alcohol Or Other Drug Addiction

Objective 1:

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:

- Increase the number of clients who are provided appropriate services.

Outcome:

- More persons with substance abuse issues receive appropriate care leading to a better quality of life and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:

Increase the availability of permanent supportive housing as a housing option, assist individuals leaving the correction system, facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.
- The state will utilize funding from the Connecticut Collaborative on Re-Entry (CCR) to aid supportive housing efforts which will be targeted to a small set of individuals with high recidivism rates resulting from complex unmet needs, histories of long-term homelessness, chronic health conditions, and untreated mental illness and addiction.

Outcome:

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for community development project.

Output:

- Support one inter-municipal or regional project per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies

which develop viable communities and primarily benefit low-and-moderate-income persons.

- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of inter-municipal/regional projects funded under the SC/CDBG program annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.

Objective 2:

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:

- Support up to four infrastructure projects per year under the SC/CDBG program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG program per year.
- Number of low-and-moderate-income persons served annually.

Objective 3:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by

supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to three public facilities projects per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 5:

Assist in the creation of a safe and sanitary living environment, benefit low-to moderate-income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state through the redevelopment of brownfield redevelopment projects.

Output:

- Provide federal/state/local community development resources for up to ten brownfield redevelopment projects over the next five-years.

Short-Term Outcome:

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate-income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

- Level of funding provided for brownfield redevelopment projects during the next five years.
- Number of brownfield projects undertaken as a result of the funding provided.
- Number of brownfields/acres and/or buildings brought back to productive use.

Objective 6:

Implement one Section 108 Program activity in the next five years.

Output:

- Identify potential projects eligible for Section 108 Program funding.
- Support one Section 108 program activity on behalf of one non-entitlement community.

Short-Term Outcome:

- New/Improved availability/accessibility to funds.

- Creation and/or retention of permanent, private sector job opportunities principally for low- and moderate-income persons.
- Increased employment opportunities for low-and-moderate-income persons.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of projects funded under the Section 108 Program annually.
- Number of jobs created by Section 108 projects funded annually.
- Percent of jobs created benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 2	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 3	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 4	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 5	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 6	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments, create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of one Community Revitalization Strategy or Neighborhood Revitalization Zone.

Outcomes:

New and improved sustainable communities.

Indicator(s):

- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies.

Objective 2:

Enhance suitable living environments and create decent housing in areas of need.

Output:

- Support up to one municipality in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas using the HOME/State Housing Programs.

- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households.
- Give preference to one mixed-income infill project creating at least 10-25 units of housing in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing Programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need.

Outcomes:

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, SC/CDBG	Low-Mod Income	State-Wide
Objective 2	State, HOME, SC/CDBG	Low-Mod Income	State-Wide

BARRIERS TO AFFORDABLE HOUSING

GOAL

The state will reduce or eliminate the impact of barriers at the state, local and regional level in order to promote fair housing choice in Connecticut.

Objective 1:

Encourage the creation and rehabilitation of affordable housing in a variety of locations through competitive funding rounds

Output:

- Continue to assign a high point value for developments that achieve fair housing goals in historically under-served communities
- Increase funding flexibility to seize immediate development opportunities to increase affordable housing units in high opportunity communities.
- Evaluate the effectiveness of funding rounds in facilitating the creation of new family affordable housing units to ensure the availability of affordable family housing in diverse areas.

Outcomes:

New affordable housing opportunities in historically under-served communities.

Indicator(s):

- Number of applications received that target communities of high or moderate opportunity.
- Number of applications received that promote fair housing choice, racial and economic integration.

Objective 2:

Collaborate with other agencies to affirmatively further fair housing

Output:

- Participate in new and existing opportunities to align policies and funding with other agencies

Outcomes:

Provide improved housing choice and opportunities in alignment with investments by other agencies

Indicator(s):

- Number of housing opportunities generated in alignment with other state investments.
- Number of collaborative opportunities

Objective 3:

Convene stakeholders to review potential legislative solutions to existing impediments

Output:

- Convene partner agencies, organizations and stakeholders in the redrafting of the Connecticut Fair Housing Regulations and Waiting List Regulations

Outcomes:

Provide clear concise regulatory direction on meeting and addressing fair housing requirements in state-supported housing

Indicator(s):

- Number of collaborative opportunities with partner agencies, organizations and stakeholders
- Passage of revised regulations

Objective 4:

Maximize effectiveness of mobility programs

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

Objective 5:

Improve fair housing education and enforcement

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, HOME	Low-Mod Income	State-Wide
Objective 2	State, HOME	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide
Objective 4	State, Sec 8 HCV	Low-Mod Income	State-Wide
Objective 5	State, SC- CDBG, HOME, Sec 8 HCV	Low-Mod Income	State-Wide

LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative).
- Build the technical capacity local housing agencies by sponsoring one (1) National Center for Healthy Homes (NCHH) training courses.
- Support the training and certification of DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.

- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with DPH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME SC/CDBG Other/ State	Low-Mod Income	State-Wide SC/CDBG Small Cities

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II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

All information on activities or requirements provided in this section were carried out by DOH during PY 16.

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan;
- Provide a certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned or Women-Owned Business Enterprises (MBE/WBE) was \$9,123,325 of which \$2,235,799 was provided to firms owned by persons who are Black Non-Hispanics or who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was \$130,609. In addition a total of \$2,105,190 was provided to Section 3 firms.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$640,642 of which \$24,740 was awarded to firms owned by persons who are Black Americans, and \$615,902 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$102,672. In addition a total of \$1,580,359 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide training opportunities for State employees, and grantees/contractors to address their Affirmative Fair Housing Marketing obligations. These included:

- Fair Housing Marketing Plan Training on May 15, 2017 in Hartford.
- Tenant Selection/Lease Review on May 30, 2017 in Hartford.
- Fair Housing Training at the Small Cities Application Workshop on January 23, 2017 in Hartford.

C. Nondiscrimination/Fair Housing

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;

- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH’s affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant’s AFHMP and required attachments are approved by DOH.

Assessing the Effectiveness of Affirmative Marketing for HOME projects

To assess the effectiveness of affirmative marketing, DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis. Recipients provide the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner’s marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

G. Continuing Efforts to Affirmatively Further Fair Housing

DOH continues to provide the most recent statewide [Analysis of Impediments \(AI\) to Fair Housing Choice](#) on our website. What follows is a review of progress made on the previous year’s goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DOH entered into a contract from 7/1/16 to 6/30/18 with the Corporation for Independent Living for a total of \$1,000,000 from the Affordable Housing (Flex) Fund to finance the “Money Follows the Person Transition Program” for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. In FY16-17 a total of 25 dwelling units were modified using these funds.

- DOH awarded \$30,000,000 in state bond funds to rehabilitate a total of ten (10) state public housing projects, preserving 487 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.
- DOH is on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH is on the Board of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded \$40,000,000 under the Affordable Housing Flex Program and \$10,000,000 under the State Housing Trust Fund to ten housing projects during the SFY of 2014-15.
- 1,443 housing units were under completed during SFY 2014-15, of which 1,388 were affordable.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH is implementing a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) in residence and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$600,000 for the SFY 2016-17 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

- Performed intakes and gave fair housing advice to 571 Connecticut households;
- Investigated 571 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 49 Connecticut residents with disabilities;

- Obtains reasonable accommodations and reasonable modifications for 35 Connecticut households without litigation or court action;
- Performed 39 tests designed to investigate any claims of housing discrimination;
- Provided 1,852 hours of legal assistance to the victims of housing discrimination;
- Opened up more than 500 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 348 Connecticut residents;
- Spent 83 hours sending emails to members of the private bar to update them on the changes and developments in the law;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 266 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Provided 169 hours of legal representation to homeowners in foreclosure and by appearing at a "lawyer for the day program" in foreclosure court at least once every month.
- Completed 67 intakes for homeowners in foreclosure and provided 284 hours of legal advice;
- Represented 20 homeowners in foreclosure in an effort to save their home and /or obtain a mortgage modification;
- Taught 20 classes to provide information on the legal foreclosure process to 215 households facing foreclosure in Norwalk, Stamford, New Haven and Hartford;
- Revised and updated the Center's "Statewide Moving Forward" guide to ensure that all resources are up to date and distributed to 1069 Connecticut residents in both English and Spanish;
- Created and distributed 300 "Moving Forward Guide" pamphlets for homebuyers that outline the steps to take when buying a home as well as highlight potential housing discrimination during the process;
- 775 copies of the Representing Yourself in Foreclosure Guide were distributed to Connecticut residents;
- Investigated mortgage lending in Connecticut's cities and to people of color to determine if redlining or lending discrimination is occurring with first time homebuyers by reviewing HMDA data. The Center uncovered at least one bank that seems to be redlining in Connecticut and another that seems to be steering people of color to subprime loans. In addition to analyzing HMDA data, the Center performed fair lending tests on several lenders.
- Reviewed Affirmative Fair Housing Marketing Plans and conducted a Tenant Selection/Lease Review.

The following education and training opportunities were provided:

- Trained 130 State employees on how to review Affirmative Fair Housing Marketing Plans on May 15, 2017 in Hartford;
- Trained 146 State employees on Tenant Selection/Lease Review on May 30, 2017 in Hartford; and
- Provided training to 30 people attending the Small Cities Application Workshop;

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- The Center is still working on completing 5 home sales tests to determine if households of color are steered in a discriminatory way;
- Monitored the performance of homeless shelters to determine if there is discrimination on the basis of gender identity or expression by performing 5 fair housing tests;
- Performed 5 mortgage lending tests to determine if there is discrimination in the home sales market;
- The Center is still deciding on next steps to ensure compliance with the anti-discrimination laws in regard to discrimination found as the result of the Center's monitoring activities.
- The Center has been in touch with mobility counselors to give them copies of the "Moving Forward Guide" for renters and to ask them to make referrals to the Center. The Center is monitoring its intakes to determine if referrals are being made by mobility counselors.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that "the state highlight the achievements of the SC-CDBG it funds in future PERs".

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state's Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 29 grantees. The results are as follows:

Accomplishments by Action Step

- **Action Step 1** - Identify developable land within the municipality for developers of affordable housing – 18 towns;
- **Action Step 2** – Participate in regional planning efforts to ensure that there is affordable housing in a variety of locations – 14 towns;
- **Action Step 3** – Report municipal and regional racial and ethnic composition data in municipal POCDs – 6 towns;
- **Action Step 4** – Publish the municipality's POCD on its website – 17 towns;
- **Action Step 5** – Review occupancy ordinances, regulations and/or guidelines to ensure that the rules are not unnecessarily restrictive for families with children. At a minimum they should be in line with reasonable local fire and building codes – 6 towns;
- **Action Step 6** – Determine whether the zoning ordinances and other occupancy rules are enforced in a non-discriminatory way – 2 towns;
- **Action Step 7** – Review zoning ordinances to determine if they require special permits for affordable housing or require large lot sizes, low density requirements, or other

policies that would make the development of affordable housing expensive and propose changes to such requirements – 4 towns;

- **Action Step 8** – If the municipality’s zoning ordinance does not include a statement that people with disabilities have the right to request a reasonable accommodation of a change in any zoning ordinance, add this to the existing zoning ordinance – 5 towns;
- **Action Step 9** – if a municipality uses a residency or employment preference to select affordable housing tenants, it should conduct an analysis to determine if such requirements have an illegal disproportionate impact on non-Hispanic Blacks, Hispanics, people with disabilities, single-parent families, and people with housing subsidies – 1 town;
- **Action Step 10** – Maintain and make easily available comprehensive, current lists of available housing units, with a special emphasis on units in high-opportunity neighborhoods. Consider additional funding for housing authorities to support this effort – 9 towns;
- **Action Step 11** – Appoint a fair housing officer, have him or her trained on their duties and responsibilities as a fair housing officer, and publicize the person’s name, contact information, and job responsibilities – 23 towns;
- **Action Step 12** – Sponsor or work with housing provider associations to sponsor fair housing trainings for housing providers – 2 towns;
- **Action Step 13** – Refer complaints of housing discrimination to HUD, CHRO, or a private fair housing agency – 6 towns;
- **Action Step 14** – Provide Spanish (and possibly other languages) as an option on the main telephone line for reporting fair housing complaints or asking housing related questions – 0 towns; and
- **Action Step 15** – Pool resources to provide language access to LEP individuals on a regional basis including translating and making available vital housing forms in Spanish – 1 town.

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III. HOME Program Requirements

A. Resource Allocation PY 2016-17

The following table (Table 16) provides a summary of the resource allocation for program year 2016-17.

Table 16: HOME Program Resource Allocation for PY 2016-2017	
State Administration (10%)	\$ 657,067
CHDO Set-aside (15%)	\$ 985,600
Subtotal	\$ 1,642,667
Program Income	\$ 14,600
Allocation available for other eligible activities	\$ 4,942,604
FY 2016-17 Allocation	\$ 6,570,671

B. Disbursements PY 2016-17

The following table (Table 17) provides a summary of disbursements for program year 2016-17.

Table 17: Summary of HOME Program Disbursements For Projects During PY 16-17	
FFY	Disbursement Amount
2009	\$3,075,780.00
2014	\$3,675,754.48
2015	\$9,072,258.69
2016	\$61,688.00
2017	\$0.00
Total	\$15,885,481.17

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures							
FFY 16-17 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 16-17	Total Amount of HOME Funds Expended to Date	FFY 16-17 Allocated Admin Funds	Total Admin Allocated To Date	Amount of Admin Funds Expended During PY 16-17	Total Amount of Admin Funds Expended to Date
\$ 6,570,671	\$ 247,909,186	\$ 6,530,149	\$ 232,253,364	\$ 657,067	\$ 24,790,918	\$ 1,603,898	\$ 21,583,269

D. Contracted PY 2016-17 The following table (Table 19) provides a summary of contracts during the program year.

Table 19: HOME Program Projects Contracted During Program Year 7/1/2016 to 6/30/2017											
Recipient Name	Project Name	Project Location	Project Description	Estimated Project Cost	Proposed HOME Investment \$	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	Committed
The Access Agency	Accessing Home Danielson	Killingly	Acq./Rehab	\$2,364,800	\$2,008,000	Loan	PY 15	Rehab	R	9	Yes

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E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

Table 20: HOME Projects Contracted During PY 16-17 Summary of Geographic Distribution	
County	HOME \$
Windham	\$ 2,008,000
Total	\$ 2,008,000

F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

Table 21: HOME Program Project Contracted During PY 2016-17 Summary by Activity		
Activity	HOME Funding	Number of Projects
Rehab/Rental	\$2,008,000	1
Total	\$ 2,008,000	1

G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

Table 22: HOME Projects Contracted During PY16-17 Identifying Funding Leveraged							
Project Name	Project Number	Project Location	Proposed HOME Investment	Public Sector Financing	Private Financing/ Equity	Total Financing Leveraged	Total Estimated Project Cost (\$)
Accessing Home Danielson	HM406901	Killingly	\$ 2,008,000	\$ 0	\$356,800	\$ 356,800	\$ 2,364,800
Total			\$ 2,008,000	\$ 0	\$356,800	\$ 356,800	\$ 2,364,800

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

Table 23a: Summary of HOME Match Activity for PY 2016-17							
Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
\$0	\$23,744,130.58	\$23,744,130.58	State Funds	\$8,912,533.52	12.50%	\$1,114,066.69	\$22,630,063.89

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Table 23b: HOME Match Liability History

Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45	\$1,676,000	\$59,008,059.20	\$17,636,258.11
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$ 722,233.37		\$59,008,059.20	\$16,914,024.74
2015	12.5%	\$2,759,153.35	\$2,559,153.35	\$319,894.16	\$7,175,000	\$66,173,059.20	\$23,744,130.58
2016	12.5%	\$9,846,211.60	\$8,912,533.52	\$1,114,066.69	0	\$66,173,059.20	\$22,630,063.89

I. Program Income Activity

The following table (Table 24a) provides a summary of projects for which Program Income was committed during the Program Year.

No projects were funded using Program Income during the Program Year.

The following table (Table 24b) provides a summary of program income expenditure activity.

Table 24b: HOME Program Income Activity for PY 2014-15			
Balance Carried Forward from Previous PY	Amount Received During PY 16-17	Amount Expended During PY 16-17	Balance to be Carried Forward to Next PY
\$ 0	\$ 110,294.70	\$ 0	\$ 110,294.70

J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

Table 25: HOME Program -Summary of Dollar Value of MBE & WBE Contracts Closed during PY 2014-15					
Project Name	Project Sponsor	Project Location	Dollar Amount MBE	Dollar Amount WBE	Total
Jefferson Heights (2791)	Jefferson Heights Housing, LLC	New Britain	\$ -	\$ -	\$ -
Beckley House (2935)	Geer Village, a Housing and Development Company	Canaan	\$ 1,828,365	\$43,413.17	\$1,871,778.17
Hearth Homes of Waterbury (2685)	Hearth Home LLC	Waterbury	\$ 276,825	\$ 87,196	\$ 364,021
Total			\$ 2,105,190	\$ 130,609	\$ 2,235,799

* the dollar value of MBE & WBE contracts are based on HOME Projects closed during the PY and include financing from other than HOME Program.

K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of HOME projects contracted during PY 16-17 that represent awards to State-designated CHDOs.

There were no CHDO projects awarded during the Program Year.

M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

Table 28: HOME Program Summary of Rental Projects Monitored During PY 2016-17											
Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitorings	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Thomaston	Thomaston Valley	HM200217	NC	R	14	Occ	Unit/File Insp	10/8/2016	1	HQS Compliant	PassIncTest
Trumbull	Huntington Place	HM040144401	NC	R	11	Occ	Unit/File Insp	10/27/2016	1	HQS Compliant	PassIncTest
NewHaven	Gilbert Avenue	HM0511801	Rehab	R	10	Occ	Unit/File Insp	6/1/2015	1	Phys Def/Corr Made	PassIncTest
New Britain	Skrentney Block	20010890080570001A	Rehab	R	5	Occ	Unit/File Insp	3/24/2017	1	Phys Def/Corr Made	PassIncTest
New Britain	SkrentneyInFill	HM0608901	NC	R	4	Occ	Unit/File Insp	3/24/2017	1	Phys Def/Corr Made	PassIncTest
Plymouth	Quail Hollow 1		NC	R	6	Occ	Unit/File Insp	6/6/2017	1	HQS Compliant	PassIncTest
Plymouth	Quail Hollow II	20001110020579153A	NC	R	8	Occ	Unit/File Insp	6/6/2017	1	HQS Compliant	PassIncTest
Activity Key:			Stages Key:			Type of Monitoring Key:					
NC = New Construction			Dev =Construction			Unit Inspection					
Rehab = Rehabilitation			Compl =Initial Occupancy			Sponsor Files/Labor Files					
HO = Homeownership			Occ =Ongoing occupancy			Site Visit					
R = Rental						Inspec. Files					

N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME and CDBG-SC Program Workshops & Technical Assistance Provided During 2016-17 Program Year					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
CHAMP 10 NOFA and Application Workshop	Presentation of NOFA (HOME), updates on changes to CONAPP and changes to process	10/17/2016	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	55
Housing Innovations: Housing for Homeless Youth and other Supportive Housing	Presentation of NOFA (HOME/FLEX/NHTF), updates on changes to CONAPP	10/5/2016	One-on-One Technical Assistance, as necessary	Non-profit housing development organizations and service providers	30
Affordable Homeownership NOFA and Webinar	Presentation of NOFA (HOME/FLEX/HTF), updates on changes to CONAPP and changes to process	12/14/2016	Webinar	Non-profit, for profits housing development organizations and housing authorities	35
CDBG-SC and Affirmatively Furthering Fair Housing	CDBG-SC Application Workshop, updates on changes to application, changes to the process, and impact relative to affirmatively furthering fair housing	1/23/2017	Hartford, CT	Applicants for Small Cities grants	75

O. Closed Out PY 2016-17

The following table (Table 30) provides a summary of closed out projects for program year 2016-17.

Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2016 to 6/30/2017											
Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DOH HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS
Jefferson Heights Senior	Jefferson Heights Senior Residence/ HM1108901	New Britain	Construction of 70 unit elderly rental housing	\$14,298,081	\$2,500,000	Loan	2011	NC	R	15	9/15/2014

Source: DOH

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IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2016-17

The following table (Table 31) provides a summary of the resource allocation for program year 2016-17.

Table 31: SC/CDBG Program Resource Allocation for PY 2016-2017	
State Administration (2%)	\$ 243,257
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 121,628
Subtotal	\$ 464,885
Urgent Need (up to \$500,000)	\$ 0
Allocation available for all other eligible activities	\$ 11,697,978
FY 2014-15 Allocation	\$ 12,162,864

Source: DOH

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2016-17			
FFY 13-14 SC/CDBG Program Allocation Funding Allowed for Admin.		Amount of SC/CDBG Program Funding Expended on Admin.	Amount of Matching Funds Provided by State Toward Admin.
\$ 100,000	State Admin.	\$ 343,257	\$ 243,257
\$ 243,257	2% of Allocation		

Source: DOH

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2016-17

The following table (Table 33) provides a summary of contracts during the PY 2016-17.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2016 to 6/30/2017											
Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsng	Multi-family/ Elderly	Date AG Signed Contract
Ansonia	SC1600201	Ansonia Hsng Rehab Prog.	\$ 400,000	2016	HR	10	HO	Rehab			9/22/2016
Ashford	SC1600301	Ashford Food Program	\$ 56,847	2016	PS	10		Rehab			9/26/2016
Cheshire	SC1602501	Cheshire Housing Rehab	\$ 400,000	2016	HR	10	HO	Rehab	Yes		9/22/2016
Colchester	SC1602801	ADA & Capital Improve at Dublin Village	\$ 800,000	2016	PHMOD	40	R	Rehab		E	9/15/2016
Durham	SC1603801	Energy Improve / Upgrades at Mauro Meadows Hsng Complex	\$ 700,000	2016	PHMOD	24	R	Rehab	Yes	E	9/15/2016
East Granby	SC1604001	Roofing Site and Energy Improvements at Metacomt Village	\$ 800,000	2016	PHMOD	28	R	Rehab		E	8/22/2016
East Windsor	SC1604701	ADA Fire Safety/Emergency Improv. at Park Hill Elderly Housing	\$ 599,000	2016	PHMOD	84	R	Rehab		E	9/21/2016
Ellington	SC1604801	Ellington Housing Rehabilitation Program	\$ 450,000	2016	HR		HO	Rehab	Yes		9/19/2016
Granby	SC1605601	Salmon Brooke Fire Safety, Security, ADA & Energy Improvements	\$ 800,000	2016	PHMOD	32	R	Rehab		E	9/26/2016
Groton	SC1605901	Pequot Village Elderly Housing Renovations	\$ 800,000	2016	PHMOD	104	R	Rehab		E	10/16/2016
Hampton	SC1606301	Hampton Regional Housing Rehabilitation Program	\$ 450,000	2016	HR	14	HO	Rehab	Yes		9/15/2016
Killingly	SC1606901	Maple Court Congregate Rehabilitation Project	\$ 800,000	2016	PHMOD	43	R	Rehab		E	11/21/2016
Rocky Hill	SC1611901	Harold J. Murphy Senior Housing Improvements	\$ 800,000	2016	PHMOD	30	R	Rehab		E	9/15/2016
Seymour	SC1612401	Seymour Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab			9/26/2016
Shelton	SC1612601	Helen Devauz Housing Complex-PHMOD	\$ 800,000	2016	PHMOD	40	R	Rehab		E	10/6/2016
Southbury	SC1613001	Southbury Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab	Yes		9/22/2016
Sprague	SC1613301	River St. Reconstruction-1st and 2nd Ave	\$ 500,000	2016	Street						10/6/2016
Trumbull	SC1614401	Stern Village Senior Housing Energy Improvements	\$ 800,000	2016	PHMOD	176	R	Rehab	Yes	E	9/26/2016
Voluntown	SC1614701	Greenwood Manor Public Housing Modernization	\$ 700,000	2016	PHMOD	20	R	Rehab		E	9/5/2016
Wallingford	SC1614801	McGuire Court Fire safety, Roof Replacements and Site Improvements	\$ 800,000	2016	PHMOD	44	HO	Rehab		E	9/19/2016
Woodbury	SC1616801	Woodbury Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab			9/26/2016
Total			\$ 12,655,847			739					

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2016-17 Summary of Geographic Distribution	
County	CDBG \$
Fairfield	1,600,000
Hartford	2,999,000
Litchfield	400,000
Middlesex	700,000
New Haven	2,400,000
New London	2,800,000
Tolland	450,000
Windham	1,306,847
Total	\$ 12,655,847

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2016-17 Summary by Activity		
Activity	Total Funding	Number of Projects
Homeowner Rehabilitation	\$ 2,900,000	7
Public Housing Rehabilitation	\$ 9,199,000	12
Total Housing	\$ 12,099,000	19
Public Service	\$ 56,847	1
Water/Sewer/Street Improvements	\$ 500,000	1
Total Public Facilities	\$ 556,847	2
Total	\$ 12,655,847	21

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 16-17 Identifying Funding Leveraged					
Project Description	Project Number	Project Location	Proposed CDBG Investment	Total Financing Leveraged	Total Estimated Project Cost (\$)
Ansonia Housing Rehabilitation Program	SC1600201	Ansonia	\$400,000.00	\$ -	\$ 400,000.00
Ashford Food Program	SC1600301	Ashford	\$56,847.34	\$ -	\$ 56,847.34
Cheshire Housing Rehabilitation Program	SC1602501	Cheshire	\$400,000.00	\$ 10,000.00	\$ 410,000.00
ADA & Capital Improvements at Dublin Village	SC1602801	Colchester	\$800,000.00	\$ -	\$ 800,000.00
Energy Improvements & Upgrades at Mauro Meadows Housing Complex	SC1603801	Durham	\$700,000.00	\$ -	\$ 700,000.00
Roofing Site and Energy Improvements at Metacomet Village	SC1604001	East Granby	\$800,000.00	\$ 41,000.00	\$ 841,000.00
ADA Fire Safety & Emergency Improvements at Park Hill Elderly Housing	SC1604701	East Windsor	\$599,000.00	\$ 79,871.00	\$ 678,871.00
Ellington Housing Rehabilitation Program	SC1604801	Ellington	\$450,000.00	\$ 50,000.00	\$ 500,000.00
Salmon Brooke Fire Safety, Security, ADA & Energy Improvements	SC1605601	Granby	\$800,000.00	\$ -	\$ 800,000.00
Pequot Village Elderly Housing Renovations	SC1605901	Groton	\$800,000.00	\$ 80,000.00	\$ 880,000.00
Hampton Regional Housing Rehabilitation Program	SC1606301	Hampton	\$450,000.00	\$ 90,000.00	\$ 540,000.00
Maple Court Congregate Rehabilitation Project	SC1606901	Killingly	\$800,000.00	\$ 29,950.00	\$ 829,950.00
Harold J. Murphy Senior Housing Improvements	SC1611901	Rocky Hill	\$800,000.00	\$ 103,700.00	\$ 903,700.00
Seymour Housing Rehabilitation Program	SC1612401	Seymour	\$400,000.00	\$ -	\$ 400,000.00
Helen Devauz Housing Complex-PHMOD	SC1612601	Shelton	\$800,000.00	\$ 26,000.00	\$ 826,000.00
Southbury Housing Rehabilitation Program	SC1613001	Southbury	\$400,000.00	\$ -	\$ 400,000.00
River St. Reconstruction-1st and 2nd Ave	SC1613301	Sprague	\$500,000.00	\$ 50,000.00	\$ 550,000.00
Stern Village Senior Housing Energy Improvements	SC1614401	Trumbull	\$800,000.00	\$ 272,554.00	\$ 1,072,554.00
Greenwood Manor Public Housing Modernization	SC1614701	Voluntown	\$700,000.00	\$ 15,000.00	\$ 715,000.00
McGuire Court Fire safety, Roof Replacements and Site Improvements	SC1614801	Wallingford	\$800,000.00	\$ 33,500.00	\$ 833,500.00
Woodbury Housing Rehabilitation Program	SC1616801	Woodbury	\$400,000.00	\$ -	\$ 400,000.00
Total			\$ 12,655,847	\$ 881,575	\$ 13,537,422.34

Source: DOH

Key: Refer to the Key Appendix

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2016-2017	
Activity	Amount
Conference/Seminar/Workshop	\$ 990.00
Payroll	\$ 50,222.71
Catering	\$ 420.00
Total	\$ 51,632.71

Source: DECD, OFA

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

Table 38: SC/CDBG Program De-Obligated: 2016-2017				
Town	Project #	Fund Year	Old Activity	Funds De-Obligated
Town of Plainfield	SC1210901	2012	Public Housing Modernization	\$ 198,606
Town of Franklin	SC1405301	2014	Public Housing Modernization	\$ 298,203
Town of Franklin	SC1405301	2014	Administration	\$ 26,500
Town of Plainville	SC1110001	2011	Housing Rehab	\$ 290,500
Town of Litchfield	SC1207401	2012	Public Housing Modernization	\$ 16,337
Total				\$ 539,646

Source: DOH, OFA

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

Table 39: SC/CDBG Program - Summary of Dollar Value of MBE & WBE Contracts for PY 2016-2017				
Grantee	Project Number	Dollar Amount MBE	Dollar Amount WBE	Total
East Hampton	SC1304201	\$173,917	\$10,000	\$183,917
Groton	SC1405901	\$38,100	\$38,100	\$76,200
Killingly	SC1306901	\$22,000		\$22,000
Naugatuck	SC1308801	\$53,075		\$53,075
Salisbury	SC1312201	\$38,725		\$38,725
Southbury	SC1313001	\$111,150	\$54,572	\$165,722
Thompson	SC1314101	\$52,000		\$52,000
Torrington	SC1314301	\$29,250		\$29,250
Waterford	SC1315201	\$49,800		\$49,800
Wolcott	Program Income	\$37,955		\$37,955
Woodbridge	SC1216701	\$34,670		\$34,670
Total		\$640,642	\$102,672	\$743,314

Source: DOH

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. DOH

continues to require that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263	\$ 1,121,442	\$ 9,832,840	87%
2013	\$ 11,850,000	\$ 1,162,000	\$ 11,350,000	96%
2014	\$ 12,851,155	\$ 706,500	\$ 10,902,866	85%
2015	\$ 11,994,526	\$ 663,720	\$ 9,976,306	83%
2016	\$ 12,655,847	\$ 652,920	\$ 10,902,866	86%

Source: DOH

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Economic Development	% of Funding for Economic Development
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%
2013	\$ 11,850,000	\$ 1,162,000		0%
2014	\$ 12,851,155	\$ 706,500		0%
2015	\$ 11,994,526	\$ 663,720		0%
2016	\$ 12,655,847	\$ 652,920		0%

Source: DOH

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority.

Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

**Table 42: SC/CDBG Program
Summary of Low Moderate Income Benefit Activity**

FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National Objective	Amount of Funding to which Benefit Test Applies	% of Low/Moderate Benefit
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,264	\$ 1,121,442	\$ 10,230,822	\$ 10,230,822	90%
2013	\$ 11,850,000	\$ 1,162,000	\$ 10,688,000	\$ 10,688,000	90%
2014	\$ 12,851,155	\$ 706,500	\$ 12,144,655	\$ 12,144,655	95%
2015	\$ 11,994,526	\$ 663,720	\$ 11,330,806	\$ 11,330,806	94%
2016	\$ 12,655,847	\$ 652,920	\$ 12,002,927	\$ 12,002,927	95%

Source: DOH

K. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

Table 43: CDBG Program Summary of Projects Monitored During PY 2016–17						
Project Location	Project Name	Project Grant Year	Activity	Dollar Amount	Type of Monitoring	Date of Monitoring
East Haddam	Oak Terrace	SC14	PH/MOD	\$791,210	Close-out	7/26/16
Salisbury	Housing Rehab Program	SC13	HR	\$600,000	Close-out	08/02/16
Derby	Housing Rehab Program	SC11	HR	\$300,000	Close-out	08/16/16
Canterbury	Housing Rehab Program	SC13	HR	\$400,000	Close-out	08/23/16
Hampton	Housing Rehab Program	SC13	HR	\$450,000	Close-out	08/30/16
New Fairfield	Housing Rehab Program	SC13	HR	\$400,000	Close-out	09/13/16
Marlborough	Housing Rehab Program	SC12	HR	\$300,000	Close-out	09/20/16
Ansonia	Housing Rehab Program	SC13	HR	\$400,000	Close-out	09/27/16
Torrington	Housing Rehab Program	SC13	HR	\$400,000	Close-out	10/04/16
Torrington	Housing Rehab Program	SC14	HR	\$400,000	Close-out	10/5/16
Beacon Falls	Housing Rehab Program	SC13	HR	\$400,000	Close-out	10/18/16
Woodbridge	Housing Rehab Program	SC12	HR	\$300,000	Close-out	10/25/16
East Hampton	Chatham Acres	SC13	PH/MOD	\$800,000	Close-out	11/1/16
Thompson	Gladys Green/Pineview Court	SC13	PH/MOD	\$800,000	Close-out	11/8/16
Naugatuck	Housing Rehab Program	SC13	HR	\$400,000	Close-out	11/22/16
Ellington	Regional Housing Rehab Program	SC13	HR	\$450,000	Close-out	12/06/16
Franklin	Housing Rehab Program	SC14	HR	\$400,000	Close-out	02/28/17
Newington	Cedar Village	SC14	PH/MOD	\$800,000	Close-out	03/07/17
Suffield	Broder Place, Maple Court, and Laurel Court	SC14	PH/MOD	\$800,000	Close-out	03/28/17
Brookfield	Housing Rehab Program	SC13	HR	\$400,000	Close-out	04/25/17
Ledyard	Housing Rehab Program	SC13	HR	\$300,000	Close-out	05/09/17
Coventry	Regional Housing Rehab Program	SC14	HR	\$500,000	Close-out	5/16/17
Waterford	Housing Rehab Program	SC13	HR	\$400,000	Close-out	06/06/17
Groton	Housing Rehab Program	SC13	HR	\$400,000	Close-out	06/20/17

Source: DOH

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2016-2017					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2016 allocation year	1/23/17	Hartford, CT	Town Officials and Consultants	60

Source: DOH

N. Closed Out PY 2016-17

The following table (Table 45) provides a summary of closed out projects for program year 16-17.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2016 to 6/30/2017								
Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab
Ansonia	SC1300201	Housing Rehab Program	\$400,000	2013	HR	8	HO	Rehab
Beacon Falls	SC1300601	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Brookfield	SC1300601	Housing Rehab Program	\$400,000	2013	HR	11	HO	Rehab
Canterbury	SC1302201	Housing Rehab Program	\$400,000	2013	HR	12	HO	Rehab
Coventry	SC1403201	Regional Housing Rehab Program	\$500,000	2014	HR	28	HO	Rehab
East Haddam	SC1404101	Oak Terrace	\$791,210	2014	PH/MOD	36	R	Rehab
Ellington	SC1304801	Regional Housing Rehab Program	\$450,000	2013	HR	12	HO	Rehab
Franklin	SC1405301	Housing Rehab Program	\$400,000	2014	HR	3	HO	Rehab
Groton	SC1305901	Housing Rehab Program	\$400,000	2013	HR	12	HO	Rehab
Hampton	SC1306301	Regional Housing Rehab Program	\$450,000	2013	HR	14	HO	Rehab
Lebanon	SC1307101	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Mansfield	SC1207801	Housing Rehab Program	\$300,000	2012	HR	16	HO	Rehab
Marlborough	SC1207901	Housing Rehab Program	\$300,000	2012	HR	12	HO	Rehab
Naugatuck	SC1308801	Housing Rehab Program	\$400,000	2013	HR	20	HO	Rehab
New Fairfield	SC1309101	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Newington	SC1409401	Cedar Village Senior Housing Rehab	\$800,000	2014	PH/MOD	40	R	Rehab
Plainfield	SC1210901	Housing Rehab Program	\$300,000	2012	HR	6	HO	Rehab
Preston	SC1211401	Housing Rehab Program	\$300,000	2012	HR	9	HO	Rehab
Putnam	SC1311601	Housing Rehab Program	\$400,000	2013	HR	9	HO	Rehab
Salisbury	SC1312201	Regional Housing Rehab Program	\$600,000	2013	HR	13	HO	Rehab
Stafford	SC1413401	Housing Rehab Program	\$400,000	2014	HR	13	HO	Rehab
Suffield	SC1413901	Broder Place, Maple Court, and Laurel Court Housing Rehab	\$800,000	2014	PH/MOD	70	R	Rehab
Thompson	SC1314101	Gladys Green/Pineview Court	\$800,000	2013	PH/MOD	70	R	Rehab
Waterford	SC1315201	Housing Rehab Program	\$400,000	2013	HR	10	HO	Rehab
Woodbridge	SC1216901	Housing Rehab Program	\$300,000	2012	HR	5	HO	Rehab
Woodstock	SC1316901	Housing Rehab Program	\$400,000	2013	HR	15	HO	Rehab

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2016-17.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2016-2017		
Activity	Issued To:	Date
Branford Manor Rehab	Groton Housing Authority	8/8/2016
Annual PHA Plan	Vernon Housing Authority	10/19/2016
Cedar Court Apartment Rehab	City of Norwalk Housing Authority	12/16/2016
Youth Homeless Program	Dept. of Mental Health and Addiction Services	3/2/2017
Lead Hazard Reduction Demonstration Grant Program	Department of Housing	3/16/2017
Annual PHA Plan	Wallingford Housing Authority	4/4/2017
Annual PHA Plan	Willimantic Housing Authority	4/18/2017
Annual PHA Plan	Enfield Housing Authority	6/20/2017

V. ESG Program Requirements

A. State Summary Report SFY 2017

1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/16– 6/30/2017.

As a lead agency for the provision of emergency shelter services and rapid rehousing program to individuals and/or families who are literally homeless, DOH administers Connecticut's Emergency Solutions Grant (ESG) Program.

DOH administered ESG funds by providing assistance to eight (8) non-profit organizations. In addition, DOH provided state and other funding to these same providers who are experienced, well established in their communities and provide quality services. The funding received from ESG enabled the organizations to provide shelter beds, case management services and rapid re-housing services to literally homeless persons, prevention of homelessness and the Homeless Management Information System (HMIS) to track all ESG data.

The pool of federal and state dollars, allocated to non-profit agencies was utilized for the provision of temporary shelter for homeless individuals and families. The funds provided operational costs, essential services such as case management, health, education, employment and training as well as HMIS costs and rapid re-housing. Federal ESG funds were targeted and expended as follows:

<u>ESG category</u>	<u>Annual Target % of ESG allocation (\$2,179,417.00)</u>	<u>Annual actual % of ESG allocation (\$2,179,417.00)</u>
Emergency Shelter Operations	50%	39%
HMIS	4%	4%
Rapid Re-Housing	41%	47%
Administration	5%	4%
Homeless Prevention	0%	6%

Through competitive procurement, DOH awarded six (6) ESG funded shelters: three (3) shelters that support families and three (3) shelters for both families and individuals. These shelters used the ESG funds for shelter operations, rapid re-housing and/or prevention services. These shelters were previously established due to the documented need to support homeless individuals and families. In addition to ESG funded shelters, DOH also funded an additional 32 shelters utilizing state and federal funds, which brought the total funded shelters to 37. DOH utilized ESG monies for rapid re-housing for this fiscal year. DOH awarded a non-profit, AIDS Connecticut (ACT), the right to act as the ESG financial assistance fiduciary agency. At a minimum 40% of the annual ESG allocation went to ACT to administer financial assistance requested by housing relocation and stabilization agencies. DOH leveraged existing resources to provide Housing Relocation and Stabilization Services to ensure that literally homeless households would have a better chance of remaining stably housed. Through competitive procurement, eight (8) agencies were awarded assistance to aid our homeless population with housing relocation and up to 12 months of stabilization services and in-home case management. These eight agencies receive referrals for services and financial assistance through their local Coordinated Access Network (CAN).

DOH regularly consulted with the Connecticut Coalition to End Homelessness, Inc. for the provision of technical assistance, programmatic training needs, program development service model recommendations and program evaluation.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs and rapid rehousing programs. DOH is able to meet its goal of

providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2017)).

DOH allocated Federal and State funds for a combined total of \$15,654,174.00 for the provision of housing assistance and supportive services to homeless people.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

DOH provided funding for the transitional living program to roughly 13 contractors throughout the state for the provision of multi-family or single room residency living arrangements. Transitional living programs served as a bridge for individuals and/or families after a period of homelessness. A variety of support services were provided to these individuals during their stay in the program. Stays ranged from a period of six months to two years. The goal was to have these individuals and/or families gain self-sufficiency and permanent housing.

Permanent Supportive Housing was strongly supported in Connecticut. In January 2002, a Memorandum of Understanding (MOU) was entered into by several state agencies including the Office of Policy and Management (OPM), DOH, the Department of Mental Health and Addiction Services (DMHAS), the Department of Social Services (DSS), the Connecticut Housing Finance Authority (CHFA) and the Corporation for Supportive Housing (CSH). This MOU supported the statewide PILOTS Supportive Housing Initiative and the purpose was to increase service-supported, affordable housing for people with mental illness or substance abuse problems who face homelessness. DOH devoted both Section 8 and State Rental Assistance to project-based programs developed as part of this initiative. DOH actively participated in the Balance of State Continuum of Care Steering Committee and HMIS Steering Committee Meetings. DOH was also a member of the Reaching Home Steering Committee.

In the capital budget, \$26 million was provided over the biennium for a homeless prevention and response fund. This fund provided forgivable loans and grants to landlords to renovate multifamily homes. In exchange, landlords agreed to let renovated units be used for scattered site supportive housing or participation in a rapid rehousing program.

Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

Objective 2:

Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2016-17

This is the State of Connecticut's 2016 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/30/2017
2. Grantee Name: Department of Housing
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which serves the the Middlesex and New London Counties.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of \$4,228,665.7 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In FY 2016-17, the Department of Housing received \$218,321 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2016 to June 30, 2017. It is a "balance of state" program that served 30 unduplicated persons with HIV/AIDS and their families through agreements between DOH and 2 non-profit organizations located in Middlesex and New London Counties.

6. Formula Program Accomplishments

DOH and ACT carried out the following activities during the FY 2016-17:

- DOH awarded contracts starting July 1, 2016 through a competitive procurement process for FY 2016 through 2017. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Litchfield and Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 16 households. Thirty (30) unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 1 household obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

- a. Columbus House, Inc.
Allison Cunningham, Executive Director
586 Ella Grasso Blvd.
New Haven, CT 06516
Acunningham@columbushouse.org
203-401-4400
TOTAL HOPWA \$162,492

Alliance for Living
Kelly Thompson
154 Broad Street
New London, CT 06320
www.allianceforliving.org
TOTAL HOPWA \$55,000

Is the contractor: ALL OF THE ABOVE

- a non-profit organization? – Yes
- a faith-based organization? - No

DOH allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

- b. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff

reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 26 individuals and/or families in Middlesex and New London counties. The goal was exceeded and 30 individuals and families benefited from TBRA dollars.
- Through existing State resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into "balance of state" – Litchfield, Tolland, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced

Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act.

- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DOH is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

***Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted Housing Innovations (HI) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DOH staff coordinates rent subsidy contract monitoring with HI services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Housing, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

B. Consolidated Annual Performance and Evaluation Report

See Appendix J.

VII. Citizen Participation

A. Summary

The State of Connecticut 2016 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DOH solicited public input on the draft 2016 CAPER during a 15 day Public Comment Period from August 31, 2017 through September 14, 2017. Notification for the public commentary period was published in two newspapers on August 31, 2017 including one in Spanish. The legal notice as well as a copy of the draft 2016 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's regional Councils of Government. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

As indicated above, the State of Connecticut sought written public comment during the period August 31, 2017 through September 14, 2017.

DRAFT