

2017 Program Year

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Solutions Grant Program
National Housing Trust Fund Program

Submitted to the
U.S. Department of Housing & Urban Development



By
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**State of Connecticut
2017 Consolidated Annual Performance and Evaluation Report**

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Executive Summary

2017-18

The 2017 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2017-18 Program Year (PY17) which began 7/1/2017 thru 6/30/2018. During PY17, these five programs were administered by the Department of Housing (DOH).

Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs.

HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state.

Emergency Solutions Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential Housing both to assist the homeless and to prevent homelessness.

Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families.

National Housing Trust Fund (NHTF)

The NHTF Program funds the production of affordable housing through new construction or substantial rehabilitation when it is determined that the units produced will remain affordable for the minimum required time period under the NHTF.

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for PY13 are listed below.

Table 1: Program Resource Allocation for PY 2017-2018	
HOME Investment Partnerships (HOME)	\$ 6,620,825
Small Cities Community Development Block Grant (CDBG)	\$ 12,105,315
Emergency Shelter Grants (ESG)	\$ 2,180,911
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 235,613
National Housing Trust Fund	\$ 3,000,000
Total	\$ 24,142,664

Source: DOH

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. A description for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year. The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2015-19 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2015-19 ConPlan, subsequent annual Action Plans and CAPERS are available on the DOH web site at www.ct.gov/doh. The 2017 CAPER is the third reporting year of the 2015-2019 ConPlan. The Performance Measurement System used in this document is as outlined in the 2015-19 ConPlan.

NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

Summary of impediments identified in analysis:

Impediments identified in the analysis are summarized in the Executive Summary of the [Analysis of Impediments to Fair Housing Choice](#) which is located on the publications page of the DOH website under **Housing Plans**.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

Description of the Continuum of Care:

The State of Connecticut currently has 2 Continua of Care (COC). DOH co-chairs, with AIDS-CT the largest COC in the state. The CT-Balance of State (CT-BOS) CoC has 105 individual grants totaling \$35,307,559 that provides rental subsidies and support services for homeless individuals and families. The CT-BOS has a steering committee made up of 7 state agency partners as well as 25 private non-profit agencies. The steering committee is in charge of setting policies aimed at ending homelessness. In 2017-18, the State of Connecticut through the Balance of State Continuum of Care received additional funding in the amount of \$814,263 for the creation of 44 new units of permanent supportive housing. In addition, the CT-BOS CoC reallocated funding from transitional living programs to the coordinated entry system to provide more services and access to the homeless service system.

In addition to participation on the CT-BOS, DOH was an active participant in Connecticut's Interagency Committee on Supportive Housing. This group is made up of 10 separate state agencies that provides supportive housing to a variety of different subpopulations. The Interagency Committee collaborates to include supportive housing as a priority population in any affordable housing development seeking state funds. Throughout the past 16 years, the Interagency Committee on Supportive Housing has led to the creation of over 3000 units of permanent supportive housing for the homeless population.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DOH, in addition to funding emergency shelters, also provides services through Connecticut's 8 Coordinated Access Networks, homeless diversion programs, homeless housing support services, CT Rapid Rehousing Program, food pantries, Secure Jobs and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs and vulnerabilities.

DOH has required all emergency shelters to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DOH continues to implement and manage the CT Rapid Rehousing program. This is a regional program which assists literally homeless households with housing location services and placement, rental assistance as needed, and up to 12 months of in-home housing stabilization case management services.

DOH is an active member of the Balance of State Continuum of Care, as well the CTHMIS Steering Committee.

Significant homeless subpopulations assisted were:

The chronically homeless accounted for the significant homeless subpopulations assisted during the program year. Services were targeted through the Individual and Family Support Program Unit at DOH. Also, the department utilized funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

The following efforts were made to address special needs of persons that are not homeless but require supportive housing:

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

Participation in a Continuum(s) of Care application in FFY 2017 competition:

The DOH provided 13 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

The following Continuums of Care projects were funded in the FFY 2017 homeless assistance competition:

DOH had three applications for rapid rehousing programs in the amount of \$1,536,575, two permanent supportive housing applications in the amount of \$1,037,930 and four coordinated access support service only applications in the amount of \$750,481.

The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2017 competition:

State of Connecticut DOH for \$3,324,959.
State of Connecticut DMHAS fifty-two (52) grants for \$23,754,406.

Other Actions

- **Actions to address obstacles to meeting underserved needs**

The following actions were taken in the program year to address obstacles to meeting underserved needs:

DOH continues to include a priority for capital funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included a priority for municipalities whose current percentage of affordable housing is below ten (10) percent.

DOH continues to include a priority for the inclusion of supportive housing in its rating and ranking criteria for projects/activities.

- **Actions to foster and maintain affordable housing**

The following actions were taken in the program year to foster and maintain affordable housing:

DOH continues to include a priority in its rating and ranking criteria for awarding both state and federal funding for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 610 units of affordable housing were preserved under the SC/CDBG program (86 Rehab/Home Ownership and 524 Public Housing Rental Units).

- **Actions to eliminate barriers to affordable housing**

The following actions were taken in the program year to eliminate barriers to affordable housing:

DOH continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DOH continues to require that all rehabilitated or developed affordable

housing activities be marketed in an affirmative and non-discriminatory manner, with an emphasis on marketing to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:

During PY17-18 DOH continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing and Homelessness; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

The following actions were taken in the program year to improve public housing and resident initiatives:

During the program year the State made housing related activities a priority and DOH worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State continued to support the Resident Participation and Rights Act, codified under section 8-64c of the Connecticut General Statutes, that further strengthen tenant's rights in public housing projects, through the formal adoption of [regulations](#).

- **Actions to evaluate and reduce lead-based paint hazards**

The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:

During PY17-18 DOH continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):

DOH provided 13 "Certifications of Consistency with the Consolidated Plan" to applicants applying for funding under HUD's NOFA for the Continuum of Care-Homeless Assistance Programs. Additionally, another 9 "Certifications of Consistency with the Consolidated Plan" were provided under other circumstances.

DOH contracted the Connecticut Fair Housing Center to train more than 100 shelter staff on the rights of tenants with disability in shelters and held one SC/CDBG workshop on the agency's competitive application process.

DOH conducted 12 close-out monitoring visits for the SC/CDBG program, 7 physical inspections and/or income test monitoring visits for the HOME program and 12 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

The following actions were taken in the program year to reduce the number of persons living below the poverty level:

The five programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG, HOPWA and NHTF – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level.

Anti-Poverty Strategy:

In addition to the four programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state's ConPlan.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **[Child Poverty and Prevention Council Progress Report 2014](#)**

The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **[Connecticut Economic Development Strategy May 2018](#)**

This plan is a strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends specific strategies and initiatives for the future.

- **[State of Connecticut 2015-19 Consolidated Plan for Housing and Community Development](#)**

In accordance with Section 8-37t of the Connecticut General Statutes and 24 CFR Part 91, Connecticut's Consolidated Plan for Community Development provides the framework for the allocation of federal formula grant funding and describes the methods for the intended distribution of state resources, to address the housing and community

development needs of extremely low-, low- and moderate-income households in the state over the next five years. This plan is the basis for the policies, strategies, goals and objectives which will be implemented by the State of Connecticut with regard to housing and housing related activities.

- **[Conservation & Development Policies: The Plan for Connecticut 2013-2018](#)**

On April 8, 2013, the Continuing Legislative Committee on State Planning and Development voted, in accordance with section 16a-30 of the general statutes, to submit the draft State Plan of Conservation and Development Policies Plan Update: 2013-2018 to the General Assembly with its recommendation of approval. This vote was the culmination of a revision process that began with the passage of Public Act 10-138, which required the Office of Policy and Management to develop the update to the State Plan of Conservation and Development through a bottom-up process known as cross-acceptance. Over the past two years, OPM has conducted an unprecedented level of outreach to, and received input from, municipalities, regional planning organizations, affected state agencies, various advocacy groups and the public as it developed this important revision to our state's comprehensive plan.

- **[CT TANF State Plan 2018-2020](#)**

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **[Administrative Plan for the Rental Assistance Payments Program "RAP" July 1, 2015](#)**

This plan outlines how the State administers, through DOH, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **[Connecticut Child Care Development Fund \(CCDF\) Plan FY 2016-2018](#)**

The Plan describes the CCDF program to be administered by Connecticut for the period 6/1/2016 – 9/30/2018 as conditionally approved by OCC. The Plan serves as the application for CCDF funds by providing a description of, and assurance about, the grantee's child care program and all services available to eligible families.

- **[Connecticut Children's Behavioral Health Plan: Progress Report October 2017](#)**

This plan fulfills the requirements of Public Act 13-127, one part of the Connecticut General Assembly's response to the tragedy in Newtown in December 2012. The Legislation called for the development of a "comprehensive implementation plan, across agency and policy areas, for meeting the mental, emotional and behavioral health needs of all children in the state, and preventing or reducing the long-term negative impact of mental, emotional and behavioral health issues of children." This Plan provides Connecticut with a unique and timely opportunity to align policy and systems to support youth and families and to promote healthy development for all our children.

- **State of Connecticut Department of Developmental Services Five Year Plan 2017-2022**

This plan is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Partnership for Strong Communities - Opening Doors**

Opening Doors is a framework to prevent and end homelessness in Connecticut that is aligned with the Federal Opening Doors plan to end homelessness. The leadership structure for planning and oversight of Opening Doors – CT is the Reaching Home Campaign, which is guided by the vision that no one should experience homelessness and that no one should be without a safe, stable place to call home.

I. Assessment of Progress

Connecticut's 2015-19 Consolidated Plan and subsequent Action Plans outline overarching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state continues to make available HOME, SC/CDBG, ESG, HOPWA, and NHTF funds to eligible recipients based on the priorities set forth in the state's 2015-19 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2015-19 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2017-18 Action Plan.

The State of Connecticut, through the Department of Housing, is committed to creating a Connecticut where affordable housing, in strong, vibrant, and inclusive communities, is accessible to individuals and families across the state and homelessness is a thing of the past. Housing developments will be clustered around pedestrian-friendly areas, in close proximity to employment and commercial centers, schools, public transportation, and around established infrastructure. Connecticut will revitalize its urban and regional centers with mixed-use, mixed-income housing and community development, providing a safe and clean environment to attract an economically and socially diverse workforce. Chronic homelessness and in particular chronic veteran's homelessness will be a thing of the past. The state recognizes that a realistic and comprehensive housing and community development strategy is vital to the future prosperity of Connecticut and that serious challenges continue to be present that must be addressed if Connecticut is to remain competitive and maintain its quality of life.

Housing is a key component of attaining and sustaining local viability and, community health and ensuring a thriving community. Ensuring affordable housing options for both owners and renters is an important contributing factor to future community health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing with the availability of services. In order to address these needs, it is important to add new housing as well as preserve affordable housing presently serving households in need in a coordinated and efficient manner.

Housing development is linked to Connecticut's other public policy areas which include, education, transportation, energy cost and availability, public health and safety, environmental quality, and economic development. These areas are not independent of each other. They are interconnected and interdependent. Just as transportation is not just a network of roads and bridges, housing is not just shelter. It is an integral part of the state's socio-economic fabric. Public policy and investment decisions made in one area directly and indirectly impact the other areas. As such, the state must comprehensively consider these relationships and take a multifaceted and balanced approach to addressing Connecticut's housing and community development needs.

Responsible Development and Sustainable Communities' principles consider and connect all of the aforementioned public policy areas. These principles are in conformance with the state's Conservation and Development Policies Plan for Connecticut (C&D Plan), and the current Analysis of Impediments to Fair Housing Choice 2015. Because Responsible Growth and Sustainable Communities principles make the most efficient uses of energy,

land, travel time and other societal resources over the long-term they are incorporated into the state's Consolidated Plan. The state will use its federal formula grant funding and state resources to address Connecticut's housing and community development needs through the application of Responsible Growth and Sustainable Communities principles and by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

To this end, DOH and CHFA continue to carry out the state's fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state is working to revitalize communities by providing communities in need with:
Assistance to undertake housing, community and economic development initiatives.
Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state continues to encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state's responsible growth strategies and the growth management policies specified in the new Conservation and Development Policies: The Plan for Connecticut (C&D Plan). Conservation and Development Policies Plan 2013-2018

A. OVERARCHING GOALS

The overall goal of the programs covered by this section of the plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production, preservation and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent

housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.

2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.

A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.

3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.

Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms "low-income", "low and moderate income", "low-moderate income" and "low-mod income" include the "very low" and "extremely low" income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. Based on the state's review of all relevant and available data, specific issues were selected at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on four primary factors: (1) the primary eligibility criteria of the respective funding; (2) the availability of "other" funds to address the issue, (3) the issue's relative demonstrated need (as identified in the needs assessment), and (4) the impact of the issue on the prevention and reduction of homelessness.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the five state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME, HOPWA and NHTF programs.

Geographic Targeting

The state targets its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources are focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Housing Choice Voucher/Certificate, Section 8 Moderate Rehabilitation, Resident Service Coordinator grant, Elderly Rental Assistance Payments, State Rental Assistance Payments, and other "housing support" programs are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction.

- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of SC/CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.
- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Area (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH receives a small amount of “Balance of State Dollars” and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.
- National Housing Trust Fund (NHTF) – Funding will be available in all 169 communities. Priority will be given to activities in higher opportunity areas as demonstrated through Opportunity Mapping at the DOH website in accordance with the most recent Analysis of Impediments to Fair Housing Choice. Priority will be given to applications for projects in the higher "opportunity areas.”

B. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

Goal

Enhance a suitable living environment, create decent housing, provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on ending chronic homelessness and preventing future homelessness.

Affordable Housing Strategies

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

Consistent with the recommendations in the Analysis of Impediments to Fair Housing Choice 2015 (AI2015), the state will implement the following strategies, within available programs and appropriations:

1. Collaborate with other State Agencies to Affirmatively Further Fair Housing
2. Convene stakeholders to review proposed legislative solutions to existing impediments to fair housing choice
3. Ensure State and local planning documents affirmatively further fair housing
4. Maximize the effectiveness of State programs that promote mobility
5. Promote fair housing enforcement and education
6. Encourage the creation and rehabilitation of affordable housing in a variety of locations
7. In cooperation with the Fair Housing Enforcement Office of HUD, the state will endeavor to redraft and update the Connecticut Fair Housing Regulations, and
8. Initiate testing and use of the Connecticut Opportunity Map

Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments.

The following strategies and goals will help to preserve and expand the supply of quality affordable rental housing and to expand and maintain homeownership:

- Interdepartmental Cooperation - DOH will work cooperatively with other state agencies over the next five years in its effort to not only provide quality affordable housing, but to rebuild ailing urban and suburban centers into healthy communities.
- Support Other Housing Providers - DOH and CHFA will, to the extent possible, support the applications of housing providers for affordable housing funds for which DOH is not an eligible applicant. This includes support for persons and organizations applying for Section 202, Continuum of Care grants, Federal Historic Tax Credits, USDA, and other federal and private funding.
- Financial Resources – DOH, CHFA, and the Office of Policy and Management (OPM) will continue to work at the state and federal level to increase the amount of resources available to build or renovate quality affordable housing.

- Low Income Housing Tax Credits - CHFA, through revision of the Low Income Tax Credit Qualified Allocation Plan will ensure that the Low Income Housing Tax Credit program addresses the needs and priorities of this Consolidated Plan for Housing and Community Development.
- Rental Housing – DOH and CHFA will individually and jointly finance quality affordable new rental housing and preserve existing state-assisted and/or CHFA financed housing stock by using private, federal, local, and state resources.
- Housing Rehabilitation - DOH will use its Small Cities Community Development Block Grant (SC/CDBG) program, as well as other programs, to rehabilitate eligible owner-occupied and small rental housing.
- Homeownership Counseling - CHFA will continue its counseling process for first time borrowers to reduce default rates and will also work to reduce single family delinquencies and foreclosures through proactive intervention indicators.
- Homeownership for Persons with Disabilities – DMHAS, DSS, DOH, and CHFA will promote homeownership opportunities for persons with disabilities who have been unable to access private financing.
- Mortgage Assistance - CHFA will continue to implement the Emergency Mortgage Assistance and CT FAMILIES (Connecticut Fair Alternative Mortgage Lending Initiative & Education Services) program as well as counseling initiatives and mediation efforts to assist economically distressed households maintain homeownership.
- Encourage and promote mixed use and transit-oriented development. To these ends the DOH will prioritize funding requests for affordable housing projects that include mixed-uses and/or are located close to public transportation facilities and are on established bus routes.
- Encourage and support municipal efforts to create higher density residential zoning districts through the Incentive Housing Zone Program and other programs.
- Green Building - Encourage green building by the use of sustainable construction in new buildings that meet Leadership in Energy and Environmental Design (LEED) standards or similar standards and through the use of tax credits, and in coordination with the Green Bank.
- Healthy Homes - DOH, CHFA, the Department of Energy and Environmental Protection (DEEP), the Department of Public Health (DPH), DSS, local governments and property owners will work to help abate lead paint through the Connecticut Lead Action for Medicaid Primary Prevention Project (LAMPP) or other similar programs and work with DPH on the implementation of its 'Healthy Homes Initiative' which has been designed to promote and mainstream healthy housing principles to ensure that Connecticut's housing supply is dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.
- Continued use of the Connecticut Opportunity Map.

2017-2018 Affordable Housing Objectives

Fair Housing and Housing Choice

In the third year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

- Within available appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's AI2015 for state level action.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update and redraft the Connecticut Fair Housing Regulations consistent with guidance from the Fair Housing Enforcement Office HUD.

Quality Affordable Housing

In the third year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

With Regard to New Affordable Rental Housing

- DOH will work to create 1,000 new quality affordable rental housing units.

With Regard to New Homeownership Opportunities

- DOH will work to create 100 new affordable homeownership opportunities.

With Regard to Preserving Existing Affordable Rental Units

- DOH will work to preserve 1,000 existing affordable rental housing units.

With Regard to Maintaining Homeownership

- DOH will work to maintain homeownership for 60 households.

The above referenced figures were based on historic program performance, current unit production costs and anticipated financial resources at the time the 2015-19 ConPlan was developed.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan and associated Action Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned one year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 7,951 DOH Section 8 Housing Choice Voucher (S8 HCV) and State Rental Assistance Payments Program (RAP) participants with moves to areas of higher opportunity.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice.
- Support up to five infrastructure projects to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of five existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.

Outcome:

- Increased opportunities for housing choice.

Indicator(s):

- Number of fair housing educational and outreach opportunities achieved
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Number of inter-municipal or regional housing projects supported.
- Number of infrastructure projects conducted per year.
- Number of DOH S8 HCV and RAP participants educated through this program that move to areas of de-concentrated poverty.
- Number of DOH S8 HCV and RAP participant moves from an area of very low or low opportunity to a higher opportunity area.
- Number of HOME TBRA participants

Objective 2:

Enhance suitable living environments through the creation of decent affordable housing.

Output:

- Produce up to 1,000 newly constructed or rehabilitated rental units that serve households.
- Produce up to 100 newly constructed or created homeowner units that serve low-and-moderate income households.
- Rehabilitate up to 100 homeowner units that serve low-and-moderate income households.
- Preserve up to 1000 rental units that serve low- and moderate-income households.
- Fund up to one single-family moderate rehabilitation program.
- Create and/or preserve up to 100 residential units through the adaptive re-use of historic structures.
- Support energy conservation/efficiency activities that would primarily serve low-and-moderate-income persons.

Outcome:

Increased rental opportunities that serve ELI, low-and-moderate income households in a variety of geographies.

Indicators:

- Number of newly constructed rental units.
- Number of newly rehabilitated rental units.
- Number of new multifamily housing units created in areas of high opportunity.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

Outcome:

Increased homeowner housing opportunities that serve low-and-moderate income households in a variety of geographies.

Indicators:

- Number of newly constructed ownership units.
- Number of newly rehabilitated ownership units.
- Number of single-family moderate rehabilitation programs funded each year.
- Number of single-family units rehabbed each year.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

Objective 3:

To enhance suitable living environments through financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies.
- Provide economic opportunities in the form of mortgage assistance.

- Assign priority consideration to the creation of flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state.
- Produce affordable homeownership units through increased funding flexibility and reduced regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME Programs, treating each pool of funding as a source of flexible capital.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies.

Outcome:

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA's Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME, State, Section 8 HCV	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

Goal

Provide decent housing and enhance suitable living environments for residents of public housing.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Address the housing needs of residents of public housing through preservation of existing housing units, the creation of replacement units and additional rent subsidies.

Output:

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Invest in the maintenance/rehabilitation/modernization of 1,000 existing publicly-assisted rental housing units annually to preserve them as a long-term resource.
- Preserve or replace state or federally assisted housing units annually by working with current owners and prospective developers of these projects to retain them in service to low-income households with a focus on projects in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Encourage local public housing authorities and DOH to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Housing Choice Vouchers by 50 new vouchers.

Outcome:

- New/improved availability/accessibility and affordability in public housing.

Indicators:

- Number of public housing units preserved/rehabilitated annually.

- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of new Section 8 vouchers each year.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State SC/CDBG HOME CHFA Section 8	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, SC/CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and address the shelter, housing and service needs of the homeless, and those threatened with homelessness with an emphasis on ending chronic homelessness, including veteran's homelessness, as well as preventing future homelessness.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments to expand the Rapid Re-housing Program (RRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:

- Utilize all eligible resources administered by the DOH to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Increase awareness of the service community for the need to have more flexible and diverse service for changing populations.
- Continue RRP to promote quick access to housing for those who are eligible.
- Utilize the Coordinated Access Network (CAN) to produce better placements and outcomes for the most vulnerable individuals in need as assistance.
- Implement the CT811 PRA program to locate project-based rental subsidies in areas where community-based services are available.
- Carry out the Homeless Prevention and Response Fund which will provide forgivable loans and grants to landlords to renovate multifamily homes in exchange for

participation in the scattered site supportive housing program or the rapid re-housing program.

Outcome:

Eliminate chronic homelessness, and veteran's homelessness, and reduce the occurrence of homelessness in individuals/families/youth.

Indicator(s):

- Number of homelessness reoccurrences among assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Timely placement of the most vulnerable individuals/families.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased.

Objective 2:

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:

- Maintain the state-funded Eviction Foreclosure Prevention Program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 50 new supportive housing units.
- Fully implement CAN statewide, and improve coordination and consistency across the CAN's.
- Make available funds for a statewide or series of public service activities designed to prevent homelessness.

Outcome:

New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):

- Funding level and dollars committed to the Eviction Foreclosure Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing.
- Number of supportive housing units created.
- Number of project-based rental subsidies placed.

Objective 3:

Continue prioritizing homelessness, while prioritizing families and youths.

Output:

- Place all known homeless veterans, families, and youth in permanent supportive housing or in service-enhanced housing with subsidies.

Outcome:

- All known homeless veterans, families, and youth are placed in permanent supportive housing or in service-enhanced housing with subsidies.

Indicator(s):

- Number of veterans, families, and youth using a VASH voucher
- Number of veterans, families, and youth placed in permanent supportive housing

Objective 4:

Maintain the state’s network of “Homeless Shelters.”

Output:

- Continue to fund “Homeless Shelters” across the state.

Indicator(s):

- Funding leveraged (ESS/ESG).
- Number of shelters DOH funds (ESS/ESG).
- Number of beds by type (men, women, children) (ESS/ESG).
- Number of clients (ESS/ESG).
- Number of services/type provided (ESS/ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	MFP, State, CT811 PRA, ESS/ESG	Low-Mod Income	State-Wide
Objective 2	EFPP, State, ESS/ESG, TANF	Low-Mod Income	State-Wide
Objective 3	MFP, State, CT811 PRA, VASH	Low-Mod Income	State-Wide
Objective 4	ESS/ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL

Create decent housing, a suitable living environment and economic opportunities for low- and moderate-income persons with special needs.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs – General

Objective 1:

Improve coordination of the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to persons with special needs.

Output:

- Participate in Interagency Councils and/or Interagency Committees that meet regularly to insure coordination of efforts for persons with special needs.

Outcome:

- Coordination between state agencies and quasi-public entities is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 2:

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:

- Utilize the various agency plans to identify opportunities for coordination between state agencies.

Outcome:

- Agency priorities are better aligned, and efficiencies are implemented resulting in more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 3:

Provide permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances to ensure long-term housing stability and independence.

Output:

- Link permanent housing, employment and support services, and rental subsidies programs to meet the needs of each individual.

Outcome:

- Improved delivery and effectiveness of programs and services.

Indicator(s):

- Number of clients that received appropriate services across programs.
- Change in the number of clients that received appropriate services over time.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:

Maintain the housing choice opportunities for the elderly and the frail elderly, including access to appropriate services.

Output:

- Provide funding to preserve existing housing opportunities that serve the elderly and the frail elderly.
- Promote cross-population of housing and service options through a continuum of opportunities in one location (rental – congregate – assisted living – nursing facility).

Outcome:

- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing and obtain the services they need to do so.

Indicator(s):

- Vacancy rate at existing facilities.
- Number of clients being served by each program.
- Average age of occupant by facility.

Objective 2:

Promote efficiency in service delivery to the elderly and frail elderly.

Output:

- Increase client caseload per case manager.

Outcome:

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):

- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue family cohesion with those individuals with disabilities through placement in stable living situations and providing them with appropriate supportive services.

Output:

- Increase and/or maintain linkages among federal agencies, state agencies and consumers.

Outcome:

- Family cohesion with those individuals with disabilities is increased which ultimately increases quality of life for all members.

Indicator:

- Number of families with individuals with disabilities that are placed in a stable living situations with appropriate services.

Objective 2:

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individuals with disabilities.

Output:

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:

Continue to provide for accessibility modifications.

Output:

- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live within the community of their choice.

Indicator(s):

- Number of accessibility modifications funded.

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; and 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:

- Bond funds are provided to complete accessibility modifications for persons leaving nursing facilities under MFP.
- RAP set-aside for up to 50 eligible persons in support of the MFP is established.

Outcome:

- Independent living is restored to those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase in the quality of life for these individuals.

Indicator(s):

- Number of accessibility projects completed.

- Number of persons returned to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided RAP certificates.

Objective 5:

Create a continuum of affordable housing with support services and increase the supply of appropriate housing so that the disabled can live independently within their community of choice.

Output:

- Create linkages between various housing types that serve the disabled.
- The number of accessible housing units in the state is increased.

Outcome:

- New/improved affordability.
- Independent living is maintained for those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of linkage opportunities identified.
- Number of linkage opportunities achieved.
- Year over year change in number of clients being transitioned without displacement.
- Number of new accessible housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/SC/CDBG	Low-Mod Income	State -Wide/SC/CDBG Eligible Communities
Objective 4	State	Low-Mod Income	State-Wide
Objective 5	State/HOME	Low-Mod Income	State-Wide

Persons With HIV/AIDS And Their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:

- Existing HIV/AIDS programs are maintained and expanded.

Outcome:

- New/improved availability/accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DOH funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:

- Number of people accessing supportive housing services is increased by 10.

Outcome:

- New/improved availability/accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:

- New/improved availability/accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):

- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Persons With Alcohol Or Other Drug Addiction

Objective 1:

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:

- Increase the number of clients who are provided appropriate services.

Outcome:

- More persons with substance abuse issues receive appropriate care leading to a better quality of life and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

Objective 2:

Increase coordination between care providers to overcome programmatic barriers for linking individuals/families who are coming out of treatment to find permanent housing.

Output:

- Increase the number of persons with substance abuse issues who are provided permanent housing after coming out of treatment.

Outcome:

- More persons with substance abuse issues finding permanent housing after coming out of treatment.

Indicator:

- Number of clients who find permanent housing after coming out of treatment.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:

Increase the availability of permanent supportive housing as a housing option, assist individuals leaving the correction system, facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.
- The state will utilize funding from the Connecticut Collaborative on Re-Entry (CCR) to aid supportive housing efforts which will be targeted to a small set of individuals with high recidivism rates resulting from complex unmet needs, histories of long-term homelessness, chronic health conditions, and untreated mental illness and addiction.

Outcome:

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development

activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for community development project.

Output:

- Support one inter-municipal or regional project per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of inter-municipal/regional projects funded under the SC/CDBG program annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.

Objective 2:

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:

- Support up to four infrastructure projects per year under the SC/CDBG program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG program per year.
- Number of low-and-moderate-income persons served annually.

Objective 3:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to three public facilities projects per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.

- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 5:

Assist in the creation of a safe and sanitary living environment, benefit low-to moderate-income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state through the redevelopment of brownfield redevelopment projects.

Output:

- Provide federal/state/local community development resources for up to ten brownfield redevelopment projects over the next five-years.

Short-Term Outcome:

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate-income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

- Level of funding provided for brownfield redevelopment projects during the next five years.
- Number of brownfield projects undertaken as a result of the funding provided.
- Number of brownfields/acres and/or buildings brought back to productive use.

Objective 6:

Implement one Section 108 Program activity in the next five years.

Output:

- Identify potential projects eligible for Section 108 Program funding.
- Support one Section 108 program activity on behalf of one non-entitlement community.

Short-Term Outcome:

- New/Improved availability/accessibility to funds.
- Creation and/or retention of permanent, private sector job opportunities principally for low- and moderate-income persons.
- Increased employment opportunities for low-and-moderate-income persons.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of projects funded under the Section 108 Program annually.
- Number of jobs created by Section 108 projects funded annually.
- Percent of jobs created benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 2	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 3	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 4	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 5	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 6	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments, create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of one Community Revitalization Strategy or Neighborhood Revitalization Zone.

Outcomes:

New and improved sustainable communities.

Indicator(s):

- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies.

Objective 2:

Enhance suitable living environments and create decent housing in areas of need.

Output:

- Support up to one municipality in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas using the HOME/State Housing Programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households.
- Give preference to one mixed-income infill project creating at least 10-25 units of housing in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing Programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need.

Outcomes:

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.

- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, SC/CDBG	Low-Mod Income	State-Wide
Objective 2	State, HOME, SC/CDBG	Low-Mod Income	State-Wide

BARRIERS TO AFFORDABLE HOUSING

GOAL

The state will reduce or eliminate the impact of barriers at the state, local and regional level in order to promote fair housing choice in Connecticut.

Objective 1:

Encourage the creation and rehabilitation of affordable housing in a variety of locations through competitive funding rounds

Output:

- Continue to assign a high point value for developments that achieve fair housing goals in historically under-served communities
- Increase funding flexibility to seize immediate development opportunities to increase affordable housing units in high opportunity communities.
- Evaluate the effectiveness of funding rounds in facilitating the creation of new family affordable housing units to ensure the availability of affordable family housing in diverse areas.

Outcomes:

New affordable housing opportunities in historically under-served communities.

Indicator(s):

- Number of applications received that target communities of high or moderate opportunity.
- Number of applications received that promote fair housing choice, racial and economic integration.

Objective 2:

Collaborate with other agencies to affirmatively further fair housing

Output:

- Participate in new and existing opportunities to align policies and funding with other agencies

Outcomes:

Provide improved housing choice and opportunities in alignment with investments by other agencies

Indicator(s):

- Number of housing opportunities generated in alignment with other state investments.
- Number of collaborative opportunities

Objective 3:

Convene stakeholders to review potential legislative solutions to existing impediments

Output:

- Convene partner agencies, organizations and stakeholders in the redrafting of the Connecticut Fair Housing Regulations and Waiting List Regulations

Outcomes:

Provide clear concise regulatory direction on meeting and addressing fair housing requirements in state-supported housing

Indicator(s):

- Number of collaborative opportunities with partner agencies, organizations and stakeholders
- Passage of revised regulations

Objective 4:

Maximize effectiveness of mobility programs

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

Objective 5:

Improve fair housing education and enforcement

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, HOME	Low-Mod Income	State-Wide
Objective 2	State, HOME	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide
Objective 4	State, Sec 8 HCV	Low-Mod Income	State-Wide
Objective 5	State, SC- CDBG, HOME, Sec 8 HCV	Low-Mod Income	State-Wide

LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach.

- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative).
- Build the technical capacity local housing agencies by sponsoring one (1) National Center for Healthy Homes (NCHH) training courses.
- Support the training and certification of DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with DPH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME SC/CDBG Other/ State	Low-Mod Income	State-Wide SC/CDBG Small Cities

II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

All information on activities or requirements provided in this section were carried out by DOH during PY 17.

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan;
- Provide a certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. There were no HOME contracts completed during the program year. There were five (5) HOME assisted rental properties assisted during the program year, one of which was minority owned, representing \$932,296 in assistance.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$1,302,089.97 of which \$283,268.50 was awarded to firms owned by persons who are Black Americans, and \$966,466.47 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$416,797.01. In addition, a total of \$1,396,617 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide training opportunities for homeless shelter staff and grantees/contractors to address their Affirmative Fair Housing Marketing obligations. These included:

- More than 100 shelter staff were trained on the rights of tenants with disability in shelters.
- Fair Housing Training at the Small Cities Application Workshop on January 22, 2018 in Hartford.

C. Nondiscrimination/Fair Housing

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;

- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI

and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH’s affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant’s AFHMP and required attachments are approved by DOH.

Assessing the Effectiveness of Affirmative Marketing for HOME projects

To assess the effectiveness of affirmative marketing, DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis. Recipients provide the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner’s marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

G. Continuing Efforts to Affirmatively Further Fair Housing

DOH continues to provide the most recent statewide [Analysis of Impediments \(AI\) to Fair Housing Choice](#) on our website. What follows is a review of progress made on the previous year’s goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DOH entered into a contract from 7/1/16 to 6/30/18 with the Corporation for Independent Living for a total of \$1,000,000 from the Affordable Housing (Flex) Fund to finance the “Money Follows the Person Transition Program” for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. In FY17-18 a total of 8 dwelling units were modified using these funds.
- DOH awarded \$19,974,644 in state bond funds to rehabilitate a total of seven (7) state public housing projects, preserving 459 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-

to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.

- DOH is on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH is on the Board of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded \$51,688,544 under the Affordable Housing (Flex) and State Housing Trust Funds to sixteen (16) housing projects during the SFY of 2017-18, which will result in more than 629 units of affordable housing.
- 203 housing units were completed during SFY 2017-18, of which 176 were affordable.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH is implementing a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) in residence and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$670,000 for the SFY 2017-18 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

- Performed intakes and gave fair housing advice to 153 Connecticut households;
- Investigated 153 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 25 Connecticut residents with disabilities;
- Obtains reasonable accommodations and reasonable modifications for 18 Connecticut households without litigation or court action;
- Performed 18 tests designed to investigate any claims of housing discrimination;
- Provided 666 hours of legal assistance to the victims of housing discrimination;

- Opened up more than 130 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 345 Connecticut residents;
- Spent 131 hours accepting calls and emails and offering assistance on how to obtain mortgage modifications to members of the private bar representing homeowners pro-bono in foreclosure;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 144 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Provided 147 hours of legal representation to homeowners in foreclosure and by appearing at a "lawyer for the day program" in foreclosure court at least once every month;
- Completed 184 intakes for homeowners in foreclosure and provided 284 hours of legal advice;
- Represented 19 homeowners in foreclosure in an effort to save their home and /or obtain a mortgage modification;
- Taught 7 classes to provide information on the legal foreclosure process to 42 households facing foreclosure in Norwalk, Stamford, New Haven and Hartford;
- Distributed CFHC's "Statewide Moving Forward" guide to 1135 Connecticut residents;
- 1158 copies of the Representing Yourself in Foreclosure Guide were distributed to Connecticut residents.

The following education and training opportunities were provided:

- More than 100 shelter staff were trained on the rights of tenants with disability in shelters.
- Provided training to people attending the Small Cities Application Workshop on January 22, 2018

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- The Center assisted the Department of Housing in implementing the requirements of Special Act 17-19 regarding a study of certain tenants of state-funded public housing projects. So far, the Center has met with residential service coordinators to hear their concerns regarding elderly/disabled housing. In addition, the Center sent the three housing authorities participating in the study a questionnaire regarding the issues they have dealt with in elderly/disabled housing.
- The Center is in process of filing several cases as the result of its monitoring activities to ensure compliance with the anti-discrimination laws.
- In regard to RAP/Section 8 mobility, the Center has met regularly with 114 tenants of the New London Housing Authority who are being displaced. The Center offered mobility counseling and assistance with discrimination issues.

- The Center investigated more than 50 inquiries of families who have experienced discrimination while trying to move and numerous inquiries of tenants with problems getting their new apartments approved by the housing voucher administrator.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that “the state highlight the achievements of the SC-CDBG it funds in future PERs”.

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state’s Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 16 grantees. The results are as follows:

Accomplishments by Action Step

- **Action Step 1** - Identify developable land within the municipality for developers of affordable housing – 11 towns;
- **Action Step 2** – Participate in regional planning efforts to ensure that there is affordable housing in a variety of locations – 4 towns;
- **Action Step 3** – Report municipal and regional racial and ethnic composition data in municipal POCDs – 1 town;
- **Action Step 4** – Publish the municipality’s POCD on its website – 13 towns;
- **Action Step 5** – Review occupancy ordinances, regulations and/or guidelines to ensure that the rules are not unnecessarily restrictive for families with children. At a minimum they should be in line with reasonable local fire and building codes – 11 towns;
- **Action Step 6** – Determine whether the zoning ordinances and other occupancy rules are enforced in a non-discriminatory way – 2 towns;
- **Action Step 7** – Review zoning ordinances to determine if they require special permits for affordable housing or require large lot sizes, low density requirements, or other policies that would make the development of affordable housing expensive and propose changes to such requirements – 2 towns;
- **Action Step 8** – If the municipality’s zoning ordinance does not include a statement that people with disabilities have the right to request a reasonable accommodation of a change in any zoning ordinance, add this to the existing zoning ordinance – 1 town;
- **Action Step 9** – if a municipality uses a residency or employment preference to select affordable housing tenants, it should conduct an analysis to determine if such requirements have an illegal disproportionate impact on non-Hispanic Blacks, Hispanics, people with disabilities, single-parent families, and people with housing subsidies – 0 towns;
- **Action Step 10** – Maintain and make easily available comprehensive, current lists of available housing units, with a special emphasis on units in high-opportunity neighborhoods. Consider additional funding for housing authorities to support this effort – 1 town;

- **Action Step 11** – Appoint a fair housing officer, have him or her trained on their duties and responsibilities as a fair housing officer, and publicize the person’s name, contact information, and job responsibilities – 13 towns;
- **Action Step 12** – Sponsor or work with housing provider associations to sponsor fair housing trainings for housing providers – 0 towns;
- **Action Step 13** – Refer complaints of housing discrimination to HUD, CHRO, or a private fair housing agency – 3 towns;
- **Action Step 14** – Provide Spanish (and possibly other languages) as an option on the main telephone line for reporting fair housing complaints or asking housing related questions – 0 towns; and
- **Action Step 15** – Pool resources to provide language access to LEP individuals on a regional basis including translating and making available vital housing forms in Spanish – 0 towns.

III. HOME Program Requirements

A. Resource Allocation PY 2017-18

The following table (Table 16) provides a summary of the resource allocation for program year 2017-18.

Table 16: HOME Program Resource Allocation for PY 2017-2018	
State Administration (10%)	\$ 662,082
CHDO Set-aside (15%)	\$ 993,124
Subtotal	\$ 1,655,206
Program Income	\$ 67,617
Allocation available for other eligible activities	\$ 5,033,236
FY 2017-18 Allocation	\$ 6,620,825

B. Disbursements PY 2017-18

The following table (Table 17) provides a summary of disbursements for program year 2017-18.

Table 17: Summary of HOME Program Disbursements For Projects During PY 17-18	
FFY	Disbursement Amount
2013	\$1,105,133
2014	\$472,563
2015	\$192,486
2016	\$591,455
2017	\$0
Total	\$2,361,637

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures							
FFY 17-18 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 17-18	Total Amount of HOME Funds Expended to Date	FFY 17-18 Allocated Admin Funds	Total Admin Allocated To Date	Amount of Admin Funds Expended During PY 17-18	Total Amount of Admin Funds Expended to Date
\$ 6,620,825	\$ 254,530,011	\$ 6,813,316	\$ 234,615,002	\$ 662,082	\$ 25,254,483	\$ 1,162,355	\$ 23,679,302

D. Contracted PY 2017-18

Table 19 has been omitted due to no activity relative to summary of projects contracted during the program year.

E. Summary of Geographic Distribution

Table 20 has been omitted due to no activity relative to geographic distribution of activities undertaken during the program year.

F. Summary of Activities

Table 21 has been omitted due to no activity relative to summary of activities undertaken during the program year.

G. Leveraged Funds

Table 22 has been omitted due to no activity relative to leverage funds during the program year.

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

Table 23a: Summary of HOME Match Activity for PY 2017-18							
Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
\$31,839,906	\$22,630,063.89	\$54,469,969.89	State Funds	\$5,209,417.50	12.50%	\$651,177.18	\$53,818,792.71

Table 23b: HOME Match Liability History

Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45	\$1,676,000	\$59,008,059.20	\$17,636,258.11
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$ 722,233.37		\$59,008,059.20	\$16,914,024.74
2015	12.5%	\$2,759,153.35	\$2,559,153.35	\$319,894.16	\$7,175,000	\$66,173,059.20	\$23,744,130.58
2016	12.5%	\$9,846,211.60	\$8,912,533.52	\$1,114,066.69	0	\$66,173,059.20	\$22,630,063.89
2017	12.5%	\$6,813,315.71	\$5,209,417.50	\$651,177.18	\$31,839,906	\$98,012,965.20	\$53,818,792.71

I. Program Income Activity

The following table (Table 24a) provides a summary of projects for which Program Income was committed during the Program Year.

No projects were funded using Program Income during the Program Year.

The following table (Table 24b) provides a summary of program income expenditure activity.

Table 24b: HOME Program Income Activity for PY 2017-18			
Balance Carried Forward from Previous PY	Amount Received During PY 17-18	Amount Expended During PY 17-18	Balance to be Carried Forward to Next PY
\$ 159,764.21	\$ 67,616.77	\$ 0	\$ 227,380.98

J. MBE/WBE Activity

Table 25 has been omitted due to no activity relative to MBE/WBE activity in the program year.

K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation in the program year.

L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of HOME projects contracted during PY 17-18 that represent awards to State-designated CHDOs.

There were no CHDO projects awarded during the Program Year.

M. Closed Out PY 2017-18

Table 30 has been omitted as there were no projects closed out during the program year 2017-18.

N. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring for PY 17-18.

HOME Program Summary of Rental Projects Monitored During PY 2017-2018										
Project Location	Project Name	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitoring	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Hartford	Victory Cathedral Elderly Apartments	NC	R	10	Occ	Post Occupancy	5/17/2018	1	HQS Compliant	Pass Income Test
South Windsor	Watson Farm	NC	R	11	Occ	Post Occupancy	5/11/2018	1	HQS Compliant	Pass Income Test
South Windsor	Hillcrest	NC	R	11	Occ	Post Occupancy	5/11/2018	1	HQS Compliant	Pass Income Test
Wethersfield	AHEPA58 II Apts.	NC	R	42	Occ	Post Occupancy	6/1/2018	1	HQS Compliant	Pass Income Test
Winchester	Northwest Senior Hsg	NC	R	20	Occ	Post Occupancy	6/15/2016	1	HQS Compliant	Pass Income Test

O. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME and CDBG-SC Program Workshops & Technical Assistance Provided During 2017-18 Program Year					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
CHAMP 12 NOFA and Application Workshop	Presentation of NOFA (HOME), updates on changes to CONAPP and changes to process	6/6/2018	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	20
2018 SSHP Funding Round	Presentation of SSHP, updates on changes to CONAPP and changes to process	2/1/2018	CHFA/ Rocky Hill	Housing authorities and non-profits	20
Affordable Homeownership NOFA and Webinar	Presentation of NOFA (HOME/FLEX/HTF), updates on changes to CONAPP and changes to process	12/28/2017	Webinar	Non-profit, for profits housing development organizations and housing authorities	undetermined
CDBG-SC and Affirmatively Furthering Fair Housing	CDBG-SC Application Workshop, updates on changes to application, changes to the process, and impact relative to affirmatively furthering fair housing	1/22/2018	Hartford, CT	Applicants for Small Cities grants	50

IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2017-18

The following table (Table 31) provides a summary of the resource allocation for program year 2017-18.

Table 31: SC/CDBG Program Resource Allocation for PY 2017-2018	
State Administration (2%)	\$ 242,106
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 121,053
Subtotal	\$ 463,159
Urgent Need (up to \$500,000)	\$ 0
Allocation available for all other eligible activities	\$ 11,642,156
FY 2017-18 Allocation	\$ 12,105,315

Source: DOH

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2017-18			
FFY 17-18 SC/CDBG Program Allocation Funding Allowed for Admin.		Amount of SC/CDBG Program Funding Expended on Admin.	Amount of Matching Funds Provided by State Toward Admin.
\$ 100,000	State Admin.	\$ 342,106	\$ 242,106
\$ 242,106	2% of Allocation		

Source: DOH

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2017-18

The following table (Table 33) provides a summary of contracts during the PY 2017-18.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2017 to 6/30/2018									
Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Activity	# of Units	HO/ Rental	NC/ Rehab	Public Hsng	Multi- family/ Elderly
Beacon Falls	SC1700601	Beacon Falls Housing Rehabilitation Program	\$400,000.00	HR	10		Rehab		
Brookfield	SC1701801	Brookfield Housing Rehabilitation Program	\$400,000.00	HR	10		Rehab		
Coventry	SC1703201	Orchard Hills Estates	\$800,000.00	PHMOD	80	R	Rehab	Yes	E
Durham	SC1703801	Mauro Meadows Renovations and Improvements Phase II	\$700,000.00	PHMOD	24	R	Rehab	Yes	E
Ellington	SC1704801	Regional Housing Rehabilitation	\$450,000.00	HR	12	HO	Rehab		
Granby	SC1705601	Stony Hill Village ADA, Energy and General Renovations	\$800,000.00	PHMOD	49	R	Rehab	Yes	E
Groton	SC1705901	Mystic River Homes	\$800,000.00	PHMOD	46	R	Rehab	Yes	E
Hebron	SC1706701	Stonecroft Village	\$700,000.00	PHMOD	24	R	Rehab	Yes	E
Lebanon	SC1707101	Housing Rehabilitation Program	\$400,000.00	HR	14	HO	Rehab		
Monroe	SC1708501	Monroe Housing Rehabilitation Program	\$400,000.00	HR	10	HO	Rehab		
Morris	SC1708701	Morris Housing Rehabilitation Program	\$400,000.00	HR	10	HO	Rehab		
New Fairfield	SC1709101	New Fairfield Housing Rehabilitation Program	\$400,000.00	HR	10	HO	Rehab		
North Haven	SC1710101	Parkside Manor Site Improvements	\$800,000.00	PHMOD	40	R	Rehab	Yes	E
Pomfret	SC1711201	Seely-Brown Village Capital Improvements	\$688,000.00	PHMOD	31	R	Rehab	Yes	E
Simsbury	SC1712801	Virginia Connelly Residence Dr. Owen J. Murphy	\$750,000.00	PHMOD	110	R	Rehab	Yes	E
Southington	SC1713101	Southington Housing Rehabilitation	\$400,000.00	HR	10	HO	Rehab		
Sprague	SC1713301	River Street Reconstruction Phasell	\$500,000.00	Street Improvement			Rehab		
Voluntown	SC1714701	GreenWood Manor Public Housing Modernization	\$700,000.00	PHMOD	20	R		Yes	E
Wallingford	SC1714801	McGuire Court Housing Complex ADA, Energy & Gen Imp	\$800,000.00	PHMOD	50	R	Rehab	Yes	E
Wethersfield	SC1715901	James Devlin Senior Housing	\$625,000.00	PHMOD	50	R	Rehab	Yes	E
Coventry	SC1703202	Multijurisdictional Crumbling Foundations Testing Program	\$250,000.00	PS	38				
Total			\$12,163,000.00						

Source: DOH

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2017-18 Summary of Geographic Distribution	
County	CDBG \$
Fairfield	1,200,000
Hartford	2,575,000
Litchfield	400,000
Middlesex	700,000
New Haven	2,000,000
New London	2,400,000
Tolland	2,200,000
Windham	688,000
Total	\$ 12,163,000

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2017-18 Summary by Activity		
Activity	Total Funding	Number of Projects
Homeowner Rehabilitation	\$ 3,250,000	8
Public Housing Rehabilitation	\$ 8,163,000	11
Total Housing	\$ 11,413,000	19
Public Service	\$ 250,000	1
Water/Sewer/Street Improvements	\$ 500,000	1
Total Public Facilities	\$ 750,000	2
Total	\$ 12,163,000	21

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 17-18 Identifying Funding Leveraged				
Project Description	Project Location	Proposed CDBG Investment	Total Financing Leveraged	Total Estimated Project Cost (\$)
Beacon Falls Housing Rehabilitation Program	Beacon Falls	\$ 400,000.00	-	\$ 400,000.00
Brookfield Housing Rehabilitation Program	Brookfield	\$ 400,000.00	-	\$ 400,000.00
Orchard Hills Estates	Coventry	\$ 800,000.00	\$ 43,400.00	\$ 843,400.00
Mauro Meadows Renovations and Improvements Phase II	Durham	\$ 700,000.00	\$ 107,123.00	\$ 807,123.00
Regional Housing Rehabilitation	Ellington	\$ 450,000.00	-	\$ 450,000.00
Stony Hill Village ADA, Energy and General Renovations	Granby	\$ 800,000.00	\$ 131,123.00	\$ 931,123.00
Mystic River Homes	Groton	\$ 800,000.00	\$ 189,195.00	\$ 989,195.00
Stonecroft Village	Hebron	\$ 700,000.00	\$ 15,000.00	\$ 715,000.00
Housing Rehabilitation Program	Lebanon	\$ 400,000.00	-	\$ 400,000.00
Monroe Housing Rehabilitation Program	Monroe	\$ 400,000.00	-	\$ 400,000.00
Morris Housing Rehabilitation Program	Morris	\$ 400,000.00	-	\$ 400,000.00
New Fairfield Housing Rehabilitation Program	New Fairfield	\$ 400,000.00	-	\$ 400,000.00
Parkside Manor Site Improvements	North Haven	\$ 800,000.00	\$ 78,000.00	\$ 878,000.00
Seely-Brown Village Capital Improvements	Pomfret	\$ 688,000.00	\$ 22,981.00	\$ 710,981.00
Virginia Connelly Residence Dr. Owen J. Murphy	Simsbury	\$ 750,000.00	\$ 60,640.00	\$ 810,640.00
Southington Housing Rehabilitation	Southington	\$ 400,000.00	-	\$ 400,000.00
River Street Reconstruction Phase II	Sprague	\$ 500,000.00	\$ 60,000.00	\$ 560,000.00
GreenWood Manor Public Housing Modernization	Voluntown	\$ 700,000.00	\$ 10,000.00	\$ 710,000.00
McGuire Court Housing Complex ADA, Energy & Gen Imp	Wallingford	\$ 800,000.00	\$ 36,500.00	\$ 836,500.00
James Devlin Senior Housing	Wethersfield	\$ 625,000.00	\$ 31,550.00	\$ 656,550.00
Multijurisdictional Crumbling Foundations Testing Program	Coventry	\$ 250,000.00	-	\$ 250,000.00
Total		\$ 12,163,000	\$ 785,512	\$ 12,948,512.00

Source: DOH

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2017-2018	
Activity	Amount
Conference/Seminar/Workshop	\$ 1,100.00
Payroll	\$ 8,564.01
Catering	\$ 2,270.50
Management and Consultant Services	\$ 13,455.00
Total	\$ 25,389.51

Source: DECD, OFA

H. De-obligated Funds

Table 38 has been omitted due to no activity relative to summary of de-obligated funds in program year.

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

Table 39: SC/CDBG Program - Summary of Dollar Value of MBE & WBE Contracts for PY 2017-2018				
Grantee	Project Number	Dollar Amount MBE	Dollar Amount WBE	Total
Ashford	SC1500201	\$ 0	\$77,640	\$77,640
Bethlehem	SC1401001	\$28,286	\$ 0	\$28,286
Branford	SC1401401	\$ 0	\$25,000	\$25,000
Cheshire	SC1602501	\$ 0	\$25,270	\$25,270
Ellington	SC1604801	\$18,000	Both	\$18,000
Hampton	SC1506301	\$ 0	\$29,995	\$29,995
Hampton	SC1606301	\$18,000	\$ 0	\$18,000
Killingly	SC1406901	\$ 0	\$292,895	\$292,895
Killingly	SC1506901	\$ 0	\$47,615	\$47,615
Lebanon	SC1507101	\$ 0	\$74,305	\$74,305
Ledyard	SC1507201	\$ 0	\$9,535	\$9,535
Middlebury	PI	\$105,824	\$ 0	\$105,824
Naugatuck	PI	\$10,000	\$ 0	\$10,000
Plainville	PI	\$27,900	\$ 0	\$27,900
Plainville	SC1511001	\$74,360	\$ 0	\$74,360
Preston	SC1411401	\$12,000	\$ 0	\$12,000
Roxbury	SC1312001	\$ 0	\$8,500	\$8,500
Salem	SC1412101	\$100,850	\$ 0	\$100,850
Seymour	SC1612401	\$ 0	\$17,250	\$17,250
Simsbury	SC1512801	\$ 0	\$108,563.25	\$108,563.25
Southbury	SC1517001	\$ 0	\$40,500	\$40,500
Southington	SC1513101	\$41,456	\$64,943.54	\$106,399.54
Thomaston	PI	\$37,894	\$ 0	\$37,894
Thomaston	SC1414001	\$344,945	\$ 0	\$344,945
Tolland	PI	\$ 0	\$28,667.66	\$28,667.66
Torrington	SC1514301	\$116,630	\$ 0	\$116,630
Vernon	SC1314601	\$160,829.47	\$ 0	\$160,829.47
Voluntown	SC1614701	\$ 0	\$22,000	\$22,000
Windsor	SC1641401	\$14,000	\$ 0	\$14,000
Wolcott	SC1516601	\$96,376.50	\$ 0	\$96,376.50
Woodbury	SC1616801	\$ 0	\$10,580	\$10,580
Total		\$1,187,550.97	\$883,259.45	\$2,070,810.42

Source: DOH

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. DOH continues to require that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263	\$ 1,121,442	\$ 9,832,840	87%
2013	\$ 11,850,000	\$ 1,162,000	\$ 11,350,000	96%
2014	\$ 12,851,155	\$ 706,500	\$ 10,902,866	85%
2015	\$ 11,994,526	\$ 663,720	\$ 9,976,306	83%
2016	\$ 12,655,847	\$ 652,920	\$ 10,902,866	86%
2017	\$ 12,163,000	\$ 626,000	\$ 10,285,468	85%

Source: DOH

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Economic Development	% of Funding for Economic Development
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%
2013	\$ 11,850,000	\$ 1,162,000		0%
2014	\$ 12,851,155	\$ 706,500		0%
2015	\$ 11,994,526	\$ 663,720		0%
2016	\$ 12,655,847	\$ 652,920		0%
2017	\$ 12,163,000	\$ 626,000		0%

Source: DOH

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 2017-2018 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity					
FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National Objective	Amount of Funding to which Benefit Test Applies	% of Low/Moderate Benefit
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,264	\$ 1,121,442	\$ 10,230,822	\$ 10,230,822	90%
2013	\$ 11,850,000	\$ 1,162,000	\$ 10,688,000	\$ 10,688,000	90%
2014	\$ 12,851,155	\$ 706,500	\$ 12,144,655	\$ 12,144,655	95%
2015	\$ 11,994,526	\$ 663,720	\$ 11,330,806	\$ 11,330,806	94%
2016	\$ 12,655,847	\$ 652,920	\$ 12,002,927	\$ 12,002,927	95%
2017	\$ 12,163,000	\$ 626,000	\$ 11,537,000	\$ 11,537,000	95%

Source: DOH

L. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

Table 43: CDBG Program Summary of Projects Monitored During PY 2017–18						
Project Location	Project Name	Project Grant Year	Activity	Dollar Amount	Type of Monitoring	Date of Monitoring
Putnam	Housing Rehab Program	2013	HR	400,000	Closeout	7/25/2017
Thompson	Gladys Green/Pineview Court	2014	PHM	800,000	Closeout	8/1/2017
Roxbury	Housing Rehab Program	2013	HR	400,000	Closeout	8/8/2017
Salem	Housing Rehab Program	2014	HR	400,000	Closeout	9/19/2017
Windham	Housing Rehab Program	2014	HR	400,000	Closeout	10/3/2017
Killingly	Housing Rehab Program	2014	HR	400,000	Closeout	10/24/2017
Preston	Lincoln Park	2014	PHM	800,000	Closeout	11/14/2017
Griswold	McCluggage Manor	2014	PHM	800,000	Closeout	2/27/2018
Hampton	Regional Housing Rehab Program	2014	HR	400,000	Closeout	4/3/2018
Vernon	Housing Rehab Program	2013	HR	400,000	Closeout	4/17/2018
Groton	Groton Pequot Village	2014	PHM	800,000	Closeout	5/8/2018
Wethersfield	James Devlin	2015	PHM	633,946	Closeout	6/19/2018

Source: DOH

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2017-2018					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2018 allocation year	1/22/18	Hartford, CT	Town Officials and Consultants	50

N. Closed Out PY 2017-18

The following table (Table 45) provides a summary of closed out projects for program year 17-18.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2017 to 6/30/2018								
Recipient/ Location	Year Funded From	Project Description	Activity	Grant Awarded	Project #	# Units	Type Rental/ HO	NC/ Rehab
Ansonia	2015	Housing Rehab Program	HR	\$ 400,000.00	SC1500201	14	HO	Rehab
Bethlehem	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1401001	13	HO	Rehab
Branford	2014	Parkside Village I & II	PHM	\$ 448,500.00	SC1401401	90	Rental	Rehab
Derby	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1403701	17	HO	Rehab
East Haddam	2015	Oak Grove	PHM	\$ 800,000.00	SC1504101	36	Rental	Rehab
Essex	2015	Essex Court	PHM	\$ 635,000.00	SC1505001	36	Rental	Rehab
Griswold	2014	McCluggage Manor	PHM	\$ 800,000.00	SC1405801	30	Rental	Rehab
Groton	2014	Pequot Village I	PHM	\$ 800,000.00	SC1405901	64	Rental	Rehab
Hampton	2014	Regional Housing Rehab Program	HR	\$ 400,000.00	SC1406301	12	HO	Rehab
Hampton	2015	Regional Housing Rehab Program	HR	\$ 450,000.00	SC1506301	16	HO	Rehab
Killingly	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1406901	13	HO	Rehab
Lebanon	2015	Housing Rehab Program	HR	\$ 400,000.00	SC1507101	16	HO	Rehab
Ledyard	2015	Housing Rehab Program	HR	\$ 400,000.00	SC1507201	16	HO	Rehab
Lisbon	2015	Housing Rehab Program	HR	\$ 400,000.00	SC1507301	13	HO	Rehab
Litchfield	2015	Bantam Falls	PHM	\$ 800,000.00	SC1507401	36	Rental	Rehab
Montville	2015	Housing Rehab Program	HR	\$ 400,000.00	SC1508601	14	HO	Rehab
North Stonington	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1410201	16	HO	Rehab
Oxford	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1410801	12	HO	Rehab
Preston	2014	Lincoln Park	PHM	\$ 800,000.00	SC1411401	38	Rental	Rehab
Roxbury	2013	Housing Rehab Program	HR	\$ 400,000.00	SC1312001	7	HO	Rehab
Salem	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1412101	13	HO	Rehab
Seymour	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1412401	14	HO	Rehab
Simsbury	2015	Dr. Owen Murphy Apts. & Virginia Connelly Snr. Housing	PHM	\$ 800,000.00	SC1512801	110	Rental	Rehab
Stonington	2015	Edythe K. Richmond Senior Housing	PHM	\$ 800,000.00	SC1513701	60	Rental	Rehab
Thomaston	2014	Grove Manor	PHM	\$ 611,445.00	SC1414001	27	Rental	Rehab
Thompson	2014	Gladys Green/Pineview Court	PHM	\$ 800,000.00	SC1414101	20	Rental	Rehab
Torrington	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1414301	18	HO	Rehab
Vernon	2013	Housing Rehab Program	HR	\$ 400,000.00	SC1314601	17	HO	Rehab
Wethersfield	2015	James Devlin	PHM	\$ 633,946.00	SC1515901	50	Rental	Rehab
Windham	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1416301	17	HO	Rehab
Windsor	2014	Housing Rehab Program	HR	\$ 400,000.00	SC1416401	27	HO	Rehab

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2017-18.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2017-2018		
Activity	Issued To:	Date
Farnam Phase 2	New Haven Housing Authority	7/24/2017
BHcare Rental Assistance 1 Program	BHcare	8/23/2017
BHcare Rental Assistance 2 Program	BHcare	8/23/2017
Hope I and II	Torrington Community Housing Corp	8/23/2017
Pilots I	Torrington Housing Authority	8/23/2017
Pilots II	Torrington Housing Authority	8/23/2017
Annual PHA Plan	Vernon Housing Authority	10/6/2017
Annual PHA Plan	Wallingford Housing Authority	3/12/2018
Annual PHA Plan	Willimantic Housing Authority	4/11/2018

V. ESG Program Requirements

A. State Summary Report SFY 2017- 2018

1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/17– 6/30/2018.

As a lead agency for the provision of emergency shelter services and rapid rehousing program to individuals and/or families who are literally homeless, DOH administers Connecticut’s Emergency Solutions Grant (ESG) Program.

DOH administered ESG funds by providing assistance to eight (8) non-profit organizations. In addition, DOH provided state and other funding to these same providers who are experienced, well established in their communities and provide quality services. The funding received from ESG enabled the organizations to provide shelter beds, case management services and rapid re-housing services to literally homeless persons, prevention of homelessness and the Homeless Management Information System (HMIS) to track all ESG data.

The pool of federal and state dollars, allocated to non-profit agencies was utilized for the provision of temporary shelter for homeless individuals and families. The funds provided operational costs, essential services such as case management, health, education, employment and training as well as HMIS costs and rapid re-housing. Federal ESG funds were targeted and expended as follows:

<u>ESG category</u>	<u>Annual Target % of ESG allocation (\$2,180,911.00)</u>	<u>Annual actual % of ESG allocation (\$2,180,911.00)</u>
Emergency Shelter Operations	40%	39%
HMIS	4%	4%
Rapid Re-Housing	41%	40%
Administration	5%	4%
Homeless Prevention	10%	13%

Through competitive procurement, DOH awarded six (6) ESG funded shelters: three (3) shelters that support families and three (3) shelters for both families and individuals. These shelters used the ESG funds for shelter operations, rapid re-housing and/or prevention services. These shelters were previously established due to the documented need to support homeless individuals and families. In addition to ESG funded shelters, DOH also funded an additional 32 shelters utilizing state and federal funds, which brought the total funded shelters to 37. DOH utilized ESG monies for rapid re-housing for this fiscal year. DOH awarded a non-profit, AIDS Connecticut (ACT), the right to act as the ESG financial assistance fiduciary agency. At a minimum 40% of the annual ESG allocation went to ACT to administer financial assistance requested by housing relocation and stabilization agencies. DOH leveraged existing resources to provide Housing Relocation and Stabilization Services to ensure that literally homeless households would have a better chance of remaining stably housed. Through competitive procurement, eight (8) agencies were awarded assistance to aid our homeless population with housing relocation and up to 12 months of stabilization services and in-home case management. These eight agencies receive referrals for services and financial assistance through their local Coordinated Access Network (CAN).

DOH regularly consulted with the Connecticut Coalition to End Homelessness, Inc. for the provision of technical assistance, programmatic training needs, program development service model recommendations and program evaluation.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs and rapid rehousing programs. DOH is able to meet its goal of

providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (*Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2018).*)

DOH allocated Federal and State funds for a combined total of \$15,654,174.00 for the provision of housing assistance and supportive services to homeless people.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

Permanent Supportive Housing was strongly supported in Connecticut. In January 2002, a Memorandum of Understanding (MOU) was entered into by several state agencies including the Office of Policy and Management (OPM), DOH, the Department of Mental Health and Addiction Services (DMHAS), the Department of Social Services (DSS), the Connecticut Housing Finance Authority (CHFA) and the Corporation for Supportive Housing (CSH). This MOU supported the statewide PILOTS Supportive Housing Initiative and the purpose was to increase service-supported, affordable housing for people with mental illness or substance abuse problems who face homelessness. DOH devoted both Section 8 and State Rental Assistance to project-based programs developed as part of this initiative. DOH actively participated in the Balance of State Continuum of Care Steering Committee and HMIS Steering Committee Meetings. DOH was also a member of the Reaching Home Steering Committee.

Since 2002, Connecticut has created over 3000 units of permanent housing and has leveraged over \$200 million in state general and bond funds for these units.

Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

Objective 2:

Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2017-18

This is the State of Connecticut's 2017 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 9/28/2018
2. Grantee Name: Department of Housing
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which serves the Middlesex and New London Counties.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of \$4,319,949 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In FY 2017-18, the Department of Housing received \$235,613 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2017 to June 30, 2018. It is a "balance of state" program that served 26 unduplicated persons with HIV/AIDS and their families through agreements between DOH and 2 non-profit organizations located in Middlesex and New London Counties.

6. Formula Program Accomplishments

DOH and ACT carried out the following activities during the FY 2017-18:

- DOH awarded contracts starting July 1, 2017 through a competitive procurement process for FY 2017 through 2018. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 15 households. Twenty-six (26) unduplicated individuals received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 1 household obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA funds.
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

- a. Columbus House, Inc. (12 HH – 12 individuals)
 Allison Cunningham, Executive Director
 586 Ella Grasso Blvd.
 New Haven, CT 06516
Acunningham@columbushouse.org
 203-401-4400
 TOTAL HOPWA \$162,492

Alliance for Living (3 HH – 14 individuals)
 Kelly Thompson
 154 Broad Street
 New London, CT 06320
www.allianceforliving.org
 TOTAL HOPWA \$55,000

Is the contractor: ALL OF THE ABOVE

- a non-profit organization? – Yes
- a faith-based organization? - No

DOH allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

- b. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff

reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 20 individuals and/or families in Middlesex and New London counties. The goal was exceeded and 26 individuals and families benefited from TBRA dollars.
- Through existing State resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into "balance of state" – Litchfield, Tolland, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced

Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act.

- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DOH is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

***Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted Housing Innovations (HI) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DOH staff coordinates rent subsidy contract monitoring with HI services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Housing, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

C. Consolidated Annual Performance and Evaluation Report - See Appendix J.

VII. Citizen Participation

A. Summary

The State of Connecticut 2017 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2015 - 2019 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DOH solicited public input on the draft 2017 CAPER during a 15 day Public Comment Period from September 6, 2018 through September 20, 2018. Notification for the public commentary period was published in three newspapers on September 6, 2018 including one in Spanish. The legal notice as well as a copy of the draft 2017 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's regional Councils of Government. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

As indicated above, the State of Connecticut sought written public comment during the period September 6, 2018 through September 20, 2018.

This section will be completed prior to submission to HUD for approval.

VIII. Appendix

- A. Legal Notice
- B. Newspaper Publication List
- C. HOME - PR 33 HOME Match
- D. CDBG/SC - PR 28 CDBG Financial Summary
- E. CDBG/SC - Contract and Subcontract Activity, HUD Form 2516
- F. ESG Program: Consolidated Annual Performance and Evaluation Report
- G. HOPWA Program: Consolidated Annual Performance and Evaluation Report
- H. IDIS Generated 2017-2018 State of Connecticut Consolidated Annual Performance and Evaluation Report
- I. Key

Appendix A
Legal Notice
Notice of Public Comment Period
State of Connecticut
Consolidated Annual Performance and Evaluation Report
for the 2017-2018 Program Year

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Performance and Evaluation Report (PER) for the 2017-2018 Program Year. This report contains detailed information on the five federal formula grant programs governed by the State's 2015-2019 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), and National Housing Trust Fund (NHTF). Funding for the 2017-2018 Program Year for the four programs represents \$24,142,664. All of these programs were administered by the Department of Housing.

The PER is available for review and public comment from September 6, 2018 through September 20, 2018. A copy of the PER and related documents are available on the Department of Housing's web site, <http://www.ct.gov/doh/site/default.asp> or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the PER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7107 or CT.Housing.Plans@ct.gov thru September 20, 2018. All comments received will be included in the final version of the CAPER.

Department of Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, at (860) 270-8000.

Publication Date: September 6, 2018

**Appendix B
Newspaper Publication**

**Hartford Courant
285 Broad Street
Hartford, CT 06115
Distribution: All Counties in Connecticut**

**La Voz Hispana Connecticut
51 Elm Street, Suite 307
New Haven, CT 06510
Distribution: Hartford, Bridgeport, New Haven, Waterbury, Stamford
Norwalk, Meriden, New Britain, Danbury**

**Connecticut Post
410 State Street
Bridgeport, CT 06604
Distribution: All Counties in Connecticut**

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 Home Matching Liability Report

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CONNECTICUT

<u>Fiscal Year</u>	<u>Match Percent</u>	<u>Total Disbursements</u>	<u>Disbursements Requiring Match</u>	<u>Match Liability Amount</u>
2000	25.0%	\$4,691,397.14	\$3,264,527.80	\$816,131.95
2001	25.0%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90
2002	25.0%	\$24,771,275.69	\$22,688,077.84	\$5,672,019.46
2003	12.5%	\$10,619,127.62	\$9,688,684.38	\$1,211,085.54
2004	12.5%	\$12,924,023.84	\$11,870,651.02	\$1,483,831.37
2005	25.0%	\$11,355,818.82	\$9,732,451.00	\$2,433,112.75
2006	25.0%	\$6,804,889.39	\$5,744,907.25	\$1,436,226.81
2007	25.0%	\$15,232,221.41	\$13,883,604.34	\$3,470,901.08
2008	25.0%	\$9,517,342.65	\$8,465,697.99	\$2,116,424.49
2009	25.0%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99
2011	12.5%	\$15,821,598.50	\$15,075,076.74	\$1,884,384.59
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$772,233.37
2015	12.5%	\$2,759,153.35	\$2,559,153.35	\$319,894.16
2016	12.5%	\$9,846,211.60	\$8,912,533.52	\$1,114,066.69

IDIS - PR33

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
Home Matching Liability Report

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2017	12.5%	\$6,813,315.71	\$5,209,417.50	\$651,177.18
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U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Connecticut
 Performance and Evaluation Report
 For Grant Year 2017
 As of 08/14/2018
 Grant Number B17DC090001

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Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$12,105,315.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$12,105,315.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$11,741,524.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$11,741,524.00
12)	Set aside for State Administration	\$0.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$0.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	

IDIS - PR28

U.S. Department of Housing and Urban Development
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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00
C.	Expenditures of State CDBG Resources	
29)	Drawn for State Administration	\$0.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$1,595,677.87
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$1,595,677.87

IDIS - PR28

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$12,105,315.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$12,105,315.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$104,857.42
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$104,857.42
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$12,105,315.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$12,105,315.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.87%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$104,857.42
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$12,105,315.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.87%

IDIS - PR28

U.S. Department of Housing and Urban Development
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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years _____ – _____

64) Final PER for compliance with the overall benefit test: []

No data returned for this view. This might be because the applied filter excludes all data.

APPENDIX E: Contractor/Subcontractor Report

Pending Final Completion

For details, please contact Michael Santoro at 860-270-8171

ESG allocation - DOH contractors - DOH contracts 7/1/17 - 6/30/18

	<u>Emergency Shelter- 20687-2060100</u>				<u>Prevention & Rapid Rehousing</u>	<u>Rapid Re-Housing 20687-2060105</u>	<u>Data Collection - HMIS 20687-2060100</u>	<u>Administration 7.5% max 20687- 2060101</u>	<u>Total ESG Funding</u>
	<u>Street Outreach</u>								
DOH Contractor	Essential Services	Major Rehab/ Renovation	Essential Services	Shelter operations	Housing relocation and short term rental assistance	housing relocation, stabilization case management, short to long term rental	HMIS costs		
Prudence Crandall - New Britain (DV-No HMIS entry)				\$23,563.00				\$1,899.00	\$25,462.00
Community Renewal Team - East Hartford Shelter				\$355,245.00				\$28,642.00	\$383,887.00
Operation Hope of Fairfield				\$160,225.00				\$12,918.00	\$173,143.00
Columbus House - Middletown family shelter				\$226,200.00				\$18,237.00	\$244,437.00
TVCCA - Norwich				\$258,750.00	\$24,000.00			\$22,796.00	\$305,546.00
Pacific House (former shelter for the homeless)				\$73,845.00				\$5,795.00	\$79,640.00
AIDS CT - statewide rental assistance fiduciary						\$873,260.00		\$2,536.00	\$875,796.00
CT Coalition to end homelessness - HMIS							\$87,750.00	\$5,250.00	\$93,000.00
TOTAL				\$1,097,828.00	\$24,000.00	\$873,260.00	\$87,750.00	\$98,073.00	\$2,180,911.00

Allocation:
 ESG Program - \$1,121,828.00
 ESG Admin - \$98,073
 ESG HMIS - \$87,750.00
 ESG Rapid rehousing - \$873,260
TOTAL ESG - \$2,180,911



Housing Opportunities for Persons With AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 01/31/2021)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
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PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Report of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household

Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

Operating Year. HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

Final Assembly of Report. After the entire report is assembled, number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 2 CFR 200.307.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

SAM: All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency			
Email Address			
Business Address			
City, County, State, Zip,			
Phone Number (with area code)			
Employer Identification Number (EIN) or Tax Identification Number (TIN)		Fax Number (with area code)	
DUN & Bradstreet Number (DUNs):			
Congressional District of Project Sponsor's Business Address			
Congressional District(s) of Primary Service Area(s)			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities:	Counties:
Total HOPWA contract amount for this Organization for the operating year			
Organization's Website Address			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The mission of Columbus House, Inc. is "to provide shelter and housing to people who are homeless or at risk of homelessness and to foster their personal growth and independence."

Columbus House, Inc., (CHI) is a not-for-profit social service organization that provides housing and services for homeless adults and families. The CHI's administrative offices are located on 586 Ella Grasso Boulevard, New Haven, CT. CHI is a participating agency in both the Greater New Haven Coordinated Access Network (CAN) and the Middlesex/Meriden/Wallingford Coordinated Access Network (CAN).

This specific HOPWA grant provides Tenant Based Rental Assistance (TBRA) and case management services in the following categories; financial budgeting, education, employment/vocational, legal advocacy, substance use/relapse prevention using the harm reduction model, referrals to behavioral health, activities of daily living and housing counseling. Households are matched to this program through the Middlesex/Meriden/Wallingford Coordinated Access Network's Housing Placement Team. Persons referred and matched to HOPWA TBRA services are able to locate housing in Middlesex County, and the towns of Meriden and Wallingford.

The HOPWA program of CHI provides the previously stated services to meet these annual objectives:

- (a) Guarantee the access of HIV/AIDS infected adults to the transitional and emergency housing opportunities of Columbus House.
- (b) Provide specialized case management to provide health care management, money management, relapse prevention, and community integration to homeless adults living with HIV/AIDS.
- (c) Support the movement of HIV/AIDS clients into long-term supportive or independent housing.

To gain more access about this program and the services offered, information can be gained from the following contacts:

Chief of Programs Officer: Hebe Kudisch

Director of Middlesex and Veteran Services: Andrew Black

Program Manager of Middlesex Services: Leanne Parent

Case Manager of Supportive Housing (Middlesex Services): Jody Tinker-Palaia

A major achievement completed during the program year shows more efficient structure for Middlesex PSH programs. HOPWA and DOH AIDS both offered through Columbus House, Inc. in Middlesex County employed one full time case manager for HOPWA and one part time case manager for DOH AIDS. In order to secure additional TBRA funds for the participants enrolled in our programs, these two positions were merged under one full time case manager. Rent expenditures have shown to be higher

than the funds allocated for our program; therefore, strategically restructuring our staff allows us to continue supporting our program participants without disruption.

Alliance for Living (AFL) is a community based organization which provides direct services to support the life needs of people affected by HIV/AIDS. Our mission is to improve the quality of life for people affected by HIV/AIDS in New London County. We are dedicated to empowering and advocating for people living with HIV/AIDS. We are currently the only HIV/AIDS service center in southeastern Connecticut. Alliance for Living is located in the City of New London and serve all of New London County and beyond. We serve all of New London County. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supported housing services beginning with a subsidy program 1998. The purpose of Alliance for Living, Inc. (AFL) is to provide services related to the prevention and treatment of HIV/AIDS and to provide support for those affected by HIV/AIDS. Alliance for Living was formed in 1998 as the result of a merger between Southeastern Connecticut AIDS Project (SECAP) and Help Our People Endure (HOPE) Foundation founded in 1986 and 1991 respectively. Initial services were limited to medical case management and quality-of-life programs. Over the past twenty years AFL has expanded services to include housing programs (we have both a scattered site program and a housing program for people who were formerly chronically homeless.) and utility assistance. More recent service expansions include the implementation of a Drug User Health program started in March of 2017, an HIV testing and Early Intervention program and a 340B Pharmacy.

AFL's long history of service to the HIV/AIDS affected community is demonstrative of its knowledge of HIV and STDs, the community to be served and emerging trends. AFL has several current programs funded through DPH, DMHAS, and DOH.

Our agency not only provides housing assistance, but we provide comprehensive services for both individuals and families affected by HIV/AIDS. Our services include a complete array of non-medical, quality of life services ranging from basic needs, housing and case management to support groups and social activities. Case management services are provided on a stand-alone basis and in conjunction with our housing and other programs. AFL is highly experienced in the provision of a wide variety of support services including medical and non-medical case management. We have provided these services consistently for over 20 years. Our program is fully aligned with the HIV continuum of care strategy.

Alliance for Living's Supportive Housing Program strive to achieve and maintain housing stability for all clients in the program. The Director of Housing/housing coordinator and case management staff work together as a team to ensure that housing program participants are maintaining sources of income, medical insurance and eligible benefits while in housing. The team uses an empowerment model to provide housing participants with the skills to contact their landlords if they are having problems, connect with providers if they need assistance and to pay their program rent on time. The staff has attended in-service trainings on motivational interviewing along with other trainings related to self-empowerment and wellbeing related to housing. We are holding a CT Landlord and Tenant law Review with staff on an annual basis.

The Alliance for Living – Supportive Housing Program operates with the support from the funding provided by Department of Housing, the Housing Urban Development office and Department of Mental Health Services. The Supportive Housing Program cares for twenty one (21) Tenant Based Rental Assistance, four (4) that are now recently funded by DOH. In addition, we are close to the population defined chronically homeless and housed fourteen (14) individual and family households with HUD

funding. Also, Alliance for Living provides case management support for chronically homeless individuals recently housed by HUD/DMHAS Alliance for Living is lined up with the Coordinated Access and meets compliance with the HUD homeless definitions, Housing First approach and a Harm Reduction model to reduce the impediments to enter into housing solutions and remained housed.

Alliance for Living and the Supportive Housing Program operates under the leadership of President/CEO, Kelly Thompson and programming is supervised by Housing Director, Frank Silva. Case Management staff is supported under the supervision of Carol Jones, Director of Medical Case Management.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. **Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans. Alliance for Living's Supportive Housing Program successfully met beyond the agreed services outcomes. Our program had the highest number of clients serviced to date totaling 14 clients. We provided Tenant Based Rental assistance to 3 households; 1 family and 2 single households. We were able to provide rental and utility assistance to an overall total of 11 clients with STRMU funding, 6 STRA and 5 STUA. Our program is able to serve clients with case management, access to food resources, transportation, access to medical care and referrals and ensuring access to state and/or insurance benefits.

Our program is aligned with the HIV continuum of care and provision of these services. All tenants have access to this through medical case management services.

Alliance's for Living mission statement is to improve the quality of life of those we serve. This is a goal in agreement with our contract activities. We are proud to announce that 94% of the clients we serve are virally suppressed and are in antiretroviral medications. Clients have access to treatment adherence care. The Middlesex HOPWA program funds 16 supportive housing units using tenant based rental assistance (TBRA) funds. Over the past few contract years, the number of actual units and household supported by Middlesex HOPWA funds has decreased due to a few challenges. Apartment rental costs continue to rise in Middlesex County. Higher rental amounts increases the amount of money our HOPWA program funds are using for each unit. Supplemental to increase in rental amounts, household's monthly income has stayed stagnant or even declined over the past couple of years. Decreased income has shown to be a result of client's losing employment or not being able to obtain employment. Over time, it has been necessary for some households to look into Meriden areas for housing as it has shown to provide more affordable rental options. Within 2017-2018 reporting year, Middlesex HOPWA program has served 12 households, funding 12 units a majority of the time. Near the end of the operating year, one client chose to relocate to another state where she could live with family. This is seen as a positive discharge. Our program, unfortunately, experienced one death this year. By June 30, 2018, we have yet to fill the two slots that became available. This is partly due to previous case manager leaving the position. Unit availability will be address in the 2018-2019 operating year.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The Middlesex HOPWA program supported 12 units with tenant based rental assistance (TBRA) during the 2017-2018 operating year. During the reporting year, only two households left the program, therefore, still maintaining the (80%) maintain occupancy outcome goal.

100% of clients maintained housing stability throughout the operating year; no client reentered homelessness for any length of time.

100% of Middlesex HOPWA client had income below 80% of the Area Median Income (AMI)

100% of HOPWA participants had a housing plan for establishing or maintaining stable housing within 60 days of intake.

100% of HOPWA participants had contact with case manager/benefit specialist consistent with the schedules specified in their individual plan.

100% of HOPWA participants had contact with a primary health care provider consistent with the schedule specified in the individual service plan. Staff are working towards providing more medical case management, therefore, more acutely monitoring client's involvement and adherence to following primary health care treatment and scheduled appointments.

100% of HOPWA participants have accessed and maintained medical assistance/insurance. In addition to having state insurance or insurance through employment, HOPWA case manager has assisted clients in accessing CADAP or client assistance fund (CAF) with ACT.

92% of HOPWA participants have successfully accessed or maintained qualification for sources of income. Many of the clients are maintaining income producing job obtained in years prior or they are maintaining Social Security Income or Social Security Disability Insurance benefits.

8% of households have obtained an income producing job. Several clients hold social security income, already had an income producing job or have barriers to obtaining an income producing job. Those participants who do not have consistent income and did not obtain an income producing job mostly have significant criminal backgrounds.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Alliance for Living/Supportive Housing Program has a systematic system of meeting client's unmet needs that serves as another tool to address that all members have access to mainstream benefits. Unmet needs can be tracked by the following system: The Director of Housing/housing coordinator meets with all clients on Housing program caseload. The DH/ Housing Coordinator ensures and maintains contact with each housing member at least once a month. Medical Case Managers meet with all clients on caseload. All MCM's ensure and maintain a 2x monthly contact with each member. Medical Case Managers and Director of Housing maintain monthly contact with tenants completes service and medical assessments. Team members report unmet needs during supervision and follows this policy below.

Supervision Policy

SHP stands firmly in recognizing the importance to include case conferencing, case reviews, coordination of services, and supervision of housing case management staff in scheduled meetings. Coordination includes activities and communication that reduces barriers in obtaining services and secures the rights and

responsibilities in housing for tenants, landlords, staff and community at large. Case conferencing with case management staff and supervisors occurs every Tuesday at 9:00 am.

A standing Housing Case Management meeting occurs every other Tuesday at 10:30 am. This meeting serves the purpose of dissemination of information important to assist in housing, training opportunities to update staff rules and regulations, meet the required hours of training in the form of in-service trainings and the escalation of any housing cases that need imminent and detailed attention as a conjunctive group. Individual case manager's supervision meetings happens at least monthly with the supervisor and/or clinical supervisor. Housing case management staff have the opportunity to call special meetings with providers and landlords on a case by case basis. This ensures emergent issues may be promptly addressed.

Case Managers meet with Supervisors individually on a monthly basis. Caseload, Work and Assessments are reviewed. Pending tasks items are shared and used for follow-up. Case Managers may meet with Clinical Supervisor on a scheduled basis.

Our housing program accepts referrals of eligible persons on a first-come, first serve basis. We are aligned with our Coordinated Access Network. Most referrals come through the 211 referral system. We are present at each of the weekly meetings and receive referrals and we are an engaged member in case conferencing. However, a current client who has experienced an event de-stabilizing his/her housing may also be referred by their Case Manager. Additionally, individuals may simply "walk-in" requesting assistance. All clients are reported to the Coordinated Access network Coordinator and maintain properly documented and with proper releases of information.

Once a referral is made, potential participants meet with a Case Manager to initiate the intake process. Director Housing/ Housing Coordinator completes intake and offer housing assistance. During that process, information regarding health status and income are collected. Specific needs are determined and a service plan is created. In each instance, the same intake process is utilized, clients are informed of the eligibility process and when necessary, accessibility arrangements are made for persons with disabilities.

The Middlesex HOPWA Program Director sits on the Coordinated Access Network (CAN) Steering Committee and monthly meetings in the Middlesex/Meriden/Wallingford CAN. The Middlesex HOPWA Program Manager is involved with Coordinated Access Network by attending Housing Placement Team meetings. Attendance and involvement provides communication of open occupancy and availability of supported housing units to other partners. This also ensures we are offering housing services to our regions more vulnerable homeless and at risk homeless population. Columbus House is a member with Aids CT (ACT) and staff regularly attends agency offered trainings regarding services and information for best practice working with individuals who have HIV/AIDS. Our program also partners with ACT to provide program participants assistance through Client Assistance Fund (CAF) for utility assistance and medication/co-pay assistance. Staff have partnered with Department of Social Services to ensure clients renew benefits and have access to CADAP for medication assistance when necessary.

3. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Middlesex HOPWA Program Staff accessed technical assistance as needed from the Department of Housing (DOH) contract provider and AIDS Connecticut (ACT). Columbus House, Inc. continues to receive training through ACT which keeps case managers and supervisors informed on updated information regarding HIV/AIDS. Through supervision and informal communication with staff, more training and

technical assistance regarding advocacy and legal education around HIV/AIDS and individuals rights would be beneficial to the program. Middlesex Columbus House staff did take advantage of working with Aids CT (ACT) to advocate for HIV/AIDS program on World Aid's Day; there were many positive comments in result to this collaboration.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

In regards to housing individuals, we continue to face the challenge of finding housing units that both, meet fair rent market and housing standards. This creates several challenges in transitioning individuals who

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

need to move to a new unit. Also, there is always a present struggle to encounter landlords who understands the purpose of offering housing to a supportive housing program. This along with finding apartments that are under Fair Rental Markets and that meets the HOPWA Stainability measures, may present as a challenge.

The portion of rents being paid by Columbus House's HOPWA TBRA Program, exceeds the amount allotted by the program guidelines. Columbus House will make up the difference in the clients' rents because of three primary reasons; high rental cost, the client has little to no income, and the utility allowance calculation. Participants who have maintained their housing for longer periods of time will request to relocate for various reasons. Here are a few reasons why clients have requested to relocate; location (high crime area), tenant landlord relationship, unit or the apartment complex is not maintained well, neighbors, high utility bills, and size of the unit. Columbus House cannot assist the clients in obtaining a security deposit for a different unit. Columbus House, Inc. stands behind client choice; however, the lack of funds does not allow the flexibility for the client to secure a new apartment after being housed the first time

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Case Management staff continues to work with tenants who experience difficulties paying monthly occupancy charge and created plans to make payments.

Affordable housing: As other housing teams, we struggle with finding apartments that meet FMR and that are affordable. Our area of SECT has closed two housing authorities and has closed high rise buildings that offered low-rent.

Landlords who are Housing First friendly: Also our success, but we still strive to educate landlords who aren't understanding of this model to embrace the opportunities to offer housing to more vulnerable populations.

Coordinated Assessment Network (CAN) has impacted how homeless individuals receive shelter and housing support services. At this point in time HOPWA is not part of the CAN. CHI Coordinated Assessment Network (CAN) has impacted how homeless individuals receive shelter and housing support services. At this point in time HOPWA is not part of the CAN. CHI as a HOPWA provider will continue to work with the local CAN entry and exit systems to share resources during the 2018-2019 operating year as a HOPWA provider will continue to work with the local CAN entry and exit systems to share resources during the 2018-2019 operating year

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

End of PART 1

Coordinated Assessment Network (CAN) has impacted how homeless individuals receive shelter and housing support services. At this point in time HOPWA is not part of the CAN. CHI as a HOPWA provider will continue to work with the local CAN entry and exit systems to share resources during the 2018-2019 operating year

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: DOH	41,955.00	Personnel	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: SAMHSA	13,132.00	Personnel	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Resident Rent Payments by Client to Private Landlord	19,421.00		
TOTAL (Sum of all Rows)	74,508.00		

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	37,454
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	16,463
3.	Total Program Income Expended (Sum of Rows 1 and 2)	53,917

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

1. HOPWA Performance Planned Goal and Actual Outputs

<p style="text-align: center;">HOPWA Performance Planned Goal and Actual</p>		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	15	15	3	3	119,000	118,751
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	5	11	5	11	17,000	15,664
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)					136,000	134,415
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3- or 10- year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output: Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors that also delivered <u>HOPWA</u> housing subsidy assistance	8	26			67,667	68,169
11b.	Supportive Services provided by project sponsors that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)					67,667	68,169
Housing Information Services		[1] Output: Households				[2] Output: Funding	
14.	Housing Information Services		3			3,195	3,195
15.	Total Housing Information Services		3			3,195	3,195

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)						
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					10,630	10,630
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					10,630	10,630
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)					217,492	216,409

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	1	73
3.	Case management	26	67,603
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	2	125
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	1	37
13.	Transportation	1	331
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	31	
16.	Adjustment for Duplication (subtract)	5	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	26	68,169

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

Data Check: The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	11	15,664
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	6	8,953
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	1	1,025
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	4	5,686
g.	Direct program delivery costs (e.g., program operations staff time)		12,644

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	15	15	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	1	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	1	
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	0	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	0	
			9 Death	0	

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].
 In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.
 Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	8	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	1	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
	1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	26
b. Case Management	26
c. Adjustment for duplication (subtraction)	12
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)	30
2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	26	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	26	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	26	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	26	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	21	0	Sources of Income

Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- | | | |
|--|--|--|
| <ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name | <ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) State Children's Health Insurance Program (SCHIP), or use local program name | <ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance |
|--|--|--|

Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	12	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	3	0	0	3
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
Total Permanent HOPWA Housing Subsidy Assistance	3	0	0	3
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	0	11	0	5
Total HOPWA Housing Subsidy Assistance	6	11	0	11

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	26

Chart b. Prior Living Situation

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	15
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	10
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	26

c. Homeless Individual Summary

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	5

Section 2. Beneficiaries

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	26
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	0
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	5
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, & 3)	31

b. Age and Gender

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years					
3.	31 to 50 years	7	10			17
4.	51 years and Older	6	3			9
5.	Subtotal (Sum of Rows 1-4)	13	13			26
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	1				1
7.	18 to 30 years	1	2			3
8.	31 to 50 years		1			1
9.	51 years and Older					
10.	Subtotal (Sum of Rows 6-9)	2	3			5
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	15	16			31

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	10	2	0	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	14	0	0	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	24	2	26	0
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	25
2.	31-50% of area median income (very low)	1
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	26

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility Specify:					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)		

ATTACHMENT A (G 1) 2017/2018

Grantee and Community Overview.

During the 2017/2018 federal fiscal year, the Department of Housing provided funding to communities in the Balance of State - Middlesex, and New London counties.

The Department allocated HOPWA funding to the following non-profit community service sponsor agencies:

Columbus House, Inc. is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 12 tenant based rental assistance units in Middlesex County.

Alliance for Living, Inc. - Alliance for Living is the only HIV/AIDS service organization and resource center in southeastern Connecticut. They are a group of dedicated professionals who are passionate about helping people living with HIV/AIDS in our community. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supportive housing services beginning with a subsidy program in 1998. During the contract year, Alliance for Living provided 3 tenant based rental assistance units and 11 short-term rent, mortgage, and utility assistance to prevent homelessness of the individuals/families.

The services they provide include the following:

- **Case Management:** Case Managers assist clients in coordinating services to address their individual needs. This includes medical, dental, and legal referrals; financial (entitlement program) help; transportation; prescription assistance; nutritional supplements, education seminars and assistance with employment.
- **Housing:** Specifically, Alliance for Living provides permanent housing through tenant based rental assistance portion and short term assistance through STRMU. The goal of this program is to stabilize the client's housing and empower them to secure permanent, affordable housing.
- **Medication Adherence Programs:** This program is designed to assist members in maintaining their medication regimens. A registered nurse works with clients to address any issue they are having with their medication or overall health.
- **Medical Nutrition Therapy:** Designed to assess, evaluate and treat nutritional issues clients are experiencing, a Registered Dietician conducts a nutritional assessment and designs a nutritional intervention. This program works in collaboration with the Medical Case Management team and the Medication Adherence Nurse.

Attachment B (Appendix G 2)

Annual Performance under the Action Plan

1. Outputs Reported:

The original goal was to serve 20 individuals and/or families in Middlesex and New London counties. The actual number of individuals and families which benefited from TBRA dollars was 26. The original goal was to serve 5 individuals and families with STRMU subsidies and 11 consumers benefit from this assistance in New London and Middlesex County.

2. Outcomes Assessed:

Both programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

3. Coordination:

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Department of Housing, Middlesex and New London County Housing Authorities, Community Health Centers and various other local community resources.

No technical assistance is required at this time.

Attachment C (Appendix G 3)

C. Barriers and Trends Overview

1. Barriers:

Housing affordability, housing availability, and history of evictions tend to be common barriers to successfully housing individuals /families.

Affordable rents are difficult to find particularly if they are looking for safe and desirable neighborhoods. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant.

2. Trends:

Participation in the statewide Coordinated Access Network continues to be helpful for emergency housing assessments. The goal is to provide permanent supportive housing. Diversion from shelters and housing assessments enable case managers to provide appropriate supports to the neediest families.

Other trends that have been documented are the availability of safe, affordable and accessible housing.

3. Identify HOPWA evaluations/studies

There are currently no evaluations or studies available.

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

DOH continued to prevent homelessness through its various efforts associated with Eviction Foreclosure Prevention Program, homeless outreach, Security Deposit Guarantee Program, Rapid Rehousing and others.

DOH continued to fund emergency shelters and transitional living programs.

Also, DOH continued to contractually require all emergency shelter transitional living programs to enter information into the HMIS.

DOH aligned its emergency shelter services with Emergency Solution Grant ("ESG") expectations. ESG funds may be used for the following components: street outreach, emergency shelter, homelessness prevention, rapid re-housing, HMIS and administrative costs. DOH issued a Request for Proposals from shelter providers in order to allocate a majority of the anticipated ESG funding.

To support ESG Financial Assistance, CT leveraged state resources for housing relocation and stabilization services.

Through DOH and the Department on Aging, services were targeted to elderly and frail elderly populations.

DOH utilized both state and federal funding to provide services to persons with HIV/AIDS and their families.

DOH included a priority in its rating and ranking criteria for state and federal capital funding for projects/activities that contribute to the preservation of affordable housing.

DOH continued its association with selected contractors to administer programs that address housing code violations, energy conservation improvements and fair housing education.

DOH continued to assign high point value for developments that achieve fair housing goals in historically under-served communities.

In an effort to overcome gaps in institutional structure and to improve coordination DOH took initiatives such as participation on various planning committees.

DOH made housing activities related to PHA's a priority and worked closely with PHA's to assist in bringing them up to standard. In order to accomplish this, \$30M in state bonding and financing and \$3.5M in state rental assistance funds was made available through a variety of mechanisms to assist PHA's in meeting the needs of their residents.

Please see the attached 2017 State of Connecticut Consolidated Annual Performance and Evaluation Report.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Anti-Poverty Strategy	Anti- Poverty	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Rental units constructed	Household Housing Unit	0	0				

Anti-Poverty Strategy	Anti-Poverty	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Rental units rehabilitated	Household Housing Unit	0	0				
Anti-Poverty Strategy	Anti-Poverty	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Homeowner Housing Added	Household Housing Unit	0	0				
Anti-Poverty Strategy	Anti-Poverty	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0				
Barriers to Affordable Housing	Barriers to Affordable Housing	CDBG: \$ / HOME: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0				
Barriers to Affordable Housing	Barriers to Affordable Housing	CDBG: \$ / HOME: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0				
Barriers to Affordable Housing	Barriers to Affordable Housing	CDBG: \$ / HOME: \$	Other	Other	0	0	10	0	0.00%	
Community Revitalization	Non-Housing Community Development	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	100	0			0.00%	

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING	Homeless	Affordable (Flex) Housing Fund: \$ / Connecticut Collaborative on Re-Entry: \$ / Homeless Prevention and Response Fund: \$ / Housing Homeless Services: \$ / Housing/Homeless Services (2): \$	Homelessness Prevention	Persons Assisted	0	0	1358	0	0.00%	0	0.00%
Lead-Based Paint Hazards	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	100	0	20	0	0.00%	0	0.00%
Lead-Based Paint Hazards	Affordable Housing	CDBG: \$ / HOME: \$	Other	Other	5	0			0.00%		
National HOUSING TRUST FUND (new)	Affordable Housing	Housing Trust Fund: \$	Rental units constructed	Household Housing Unit	0	0	25	0		0	0.00%
National HOUSING TRUST FUND (new)	Affordable Housing	Housing Trust Fund: \$	Rental units rehabilitated	Household Housing Unit	0	0	25	0		0	0.00%
National HOUSING TRUST FUND (new)	Affordable Housing	Housing Trust Fund: \$	Homeowner Housing Added	Household Housing Unit	0	0					

Special Needs - Persons with Disabilities	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	0	0	50	0	0.00%
Special Needs - Alcohol or Drug Addiction	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0			
Special Needs - Alcohol or Drug Addiction	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0			
Special Needs - Elderly and Frail Elderly	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0			
Special Needs - Elderly and Frail Elderly	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0			
Special Needs - Elderly and Frail Elderly	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Other	Other	0	0	5765	0	0.00%

Special Needs - Ex-Offenders	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0						
Special Needs - Ex-Offenders	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0						
Special Needs - General	Non-Homeless Special Needs	Affordable (Flex) Housing Fund: \$	Rental units rehabilitated	Household Housing Unit	0	0	50	0				0.00%
Special Needs - General	Non-Homeless Special Needs	Affordable (Flex) Housing Fund: \$	Other	Other	0	0						
Special Needs - Persons with HIV/AIDS And their Fa	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0						
Special Needs - Persons with HIV/AIDS And their Fa	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0						

Special Needs - Persons with HIV/AIDS And their Fa	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Housing for People with HIV/AIDS added	Household Housing Unit	0	0	20	0	0.00%
Special Needs - Persons with HIV/AIDS And their Fa	Non-Homeless Special Needs	CDBG: \$ / HOME: \$	HIV/AIDS Housing Operations	Household Housing Unit	0	0			

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Each objective had a proposed funding sources (or sources), a targeted population and geographic target, and a priority rating. Each objective was supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Action Plan (the "Plan").

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. (see Needs Assessment and Housing Market Analysis sections of the Plan). Based on the state's review of all relevant and available data, specific issues were selected by DOH. Issues chosen to be assigned high priority funding status within the Plan were selected based on four primary factors: (1) the primary eligibility criteria of the respective funding; (2) the availability of "other" funds to address the issue; (3) the issue's relative demonstrated need (as identified in the needs assessment); and (4) the impact of the issue on the prevention and reduction of homelessness.

As stated above, only those issues deemed to be a high priority to the state were identified in the Plan. All other issues were, by default, deemed to be a lower priority in terms of funding attention.

This did not exclude the state from funding lower priority projects. The high priority designation served to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defined where

the state focused its usage of the federal funds accessed through the five state administered federal programs governed by this Plan.

A proposed project that addresses a high priority need was not guaranteed funding based solely on the fact that it addressed a high priority need. All projects funded by the state were found to be financially and logistically feasible as well as met all of the eligibility criteria of the proposed funding source. When two or more projects competed for funding dollars (all things being equal), the project that addressed the high priority need was given funding preference.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Significant disparities in income, poverty, and, where data was available, wealth were evident for several groups in Connecticut:

- Non-Hispanic Blacks and Hispanics earned only 55% and 44%, respectively, of what non-Hispanic Whites earn in Connecticut.
- Single-parents earned significantly less than married couples with children.
- Male-headed single-parent families earned 44%, and female-headed single-parent families earned only 30%, of what married couples with children earn in Connecticut.
- Women earned 78% of what men earned.
- People with disabilities were also disproportionately low income, earning on average 67.7% of what people without disabilities earned. This was particularly true for people with a disability who were under 65, in that they experienced a higher rate of poverty than people with disabilities over 65.

As a result of these income and wealth disparities, non-Hispanic Blacks, Hispanics, women, single-parent families, people with disabilities under the age of 65, and people with a source of income other than employment had a disproportionate need for affordable housing. DOH increased the supply of affordable housing and located it in communities throughout the state to promote integration.

The state's older non-Hispanic White population also increased just as a younger population of color grew. This produced an increased housing demand for elders and for families with children.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	12,555,315	12,626,159
HOME	HOME	6,635,425	1,761,637
HOPWA	HOPWA	235,613	217,492
ESG	ESG	2,180,911	2,179,378
Housing Trust Fund	Housing Trust Fund	3,000,000	0
LIHTC	LIHTC	8,500,000	0
Other	Other	0	0

Table 3 - Resources Made Available

Narrative

Through a wide variety of state funded programs, DOH continues to be a national leader in the development and preservation of affordable housing, with an emphasis on the provision of permanent supportive housing solutions and programs that support the homeless and chronically homeless.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
HOUSING	75		

Table 4 – Identify the geographic distribution and location of investments

Narrative

Please see the attached 2017 State of Connecticut Consolidated Annual Performance and Evaluation Report.

The state targeted its state and federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law.

The following federal resources were directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds were awarded through a formula established by the federal government. The state's allocation of ESG funds were allowed to be used anywhere in Connecticut without restriction.
- Home Investment Partnerships Program (HOME) - the HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME

funds were allowed to be used anywhere within the State of Connecticut.

- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits were allowed to be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) - Small Cities Community Development Block Grant funds were awarded through a formula established by the federal government. The state's allocation of SC/CDBG funds was not used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and were not eligible for use of the state allocation designated for small cities.
- The majority of HOPWA dollars allocated to Connecticut were apportioned through the eligible Metropolitan Statistical Area (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH received a small amount of "Balance of State Dollars" and used a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

DOH promoted the leveraging of transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and home ownership that served low-and-moderate income households.

With regard to public housing, the state identified opportunities for the potential loss of public housing units due to expiring contracts or owner choice to preserve existing state and federal public housing, and worked with those owners and prospective developers/investors to leverage a variety of resources including \$30 million in state preservation funds targeted at the preservation of these units. The state also identified opportunities for the potential replacement of public housing units due to obsolescence or other environmental concerns, and worked with the owners and prospective developers/investors to leverage a variety of resources targeted at the replacement of these units.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	22,630,064
2. Match contributed during current Federal fiscal year	31,839,906
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	54,469,970
4. Match liability for current Federal fiscal year	651,177
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	53,818,793

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
State RAP	06/30/2018	31,839,906	0	0	0	0	0	31,839,906

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$ 159,764	\$ 67,617	\$ 0	\$ 0	\$ 227,381

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	7,496	5,582
Number of households supported through The Production of New Units	100	176
Number of households supported through Rehab of Existing Units	1,000	610
Number of households supported through Acquisition of Existing Units	0	0
Total	8,596	6,368

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Goal - Affordable Housing

The State enhanced a suitable living environment, created decent housing, provided economic opportunities for low-and moderate-income persons and addressed the shelter, housing and service needs of the homeless, and those threatened with homelessness (with an emphasis on ending chronic homelessness and preventing future homelessness).

The State implemented its fair housing strategies as well as its strategies to increase the supply of quality affordable housing. The State was able to meet its goals for preserving and increasing the supply of quality affordable rental housing available to low- and moderate-income households and improved the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assisted distressed households in maintaining homeownership. An important part of the work accomplished included leveraging and coordinating with other public and private sector organizations especially in regard to financing and providing support services that help to maintain affordable housing opportunities for the varying populations served.

The availability of affordable housing is a serious concern in the State of Connecticut. Despite the fact that Connecticut residents enjoy high median incomes relative to the rest of the country, the sharp increase in housing prices from 2000 to 2007 and the subsequent loss of value between 20% and 30% statewide, produced a significant affordability gap in the housing market. Although this gap continues to close, the effects of the housing bubble continue to be felt by Connecticut citizens. The National Low Income Housing Coalition (NLIHC) publishes an annual report, *Out of Reach*, which provides a comparison of wages and rents in various jurisdictions within each state.

Using the affordability standard that households should not pay more than 30% of their income on housing expenditures, the NLIHC calculated the wage a household must earn in order to afford various sized rental units based on each area's Fair Market Rent (FMR). The hourly wage necessary to afford a two-bedroom unit was called the housing wage. In the 2014 study, the housing wage for Connecticut was \$23.02, compared to the national average of \$18.92.

The *Out of Reach* study estimated that more than half of Connecticut renters were unable to afford the fair market rate for a two-bedroom unit. Many state residents simply do not earn enough to live in the state without being burdened by housing costs.

Discuss how these outcomes will impact future annual action plans.

The following strategies and goals are incorporated in the FY 18-19 Action Plan under the affordable housing goal in order to preserve and expand the supply of quality affordable rental housing and to expand and maintain homeownership:

- Interdepartmental Cooperation - DOH will continue to work cooperatively with other state agencies in its effort to provide quality affordable housing.
- Support Other Housing Providers - DOH and CHFA will, to the extent possible, support the applications of housing providers for affordable housing funds for which DOH is not an eligible applicant.

- Financial Resources - DOH, CHFA, and the Office of Policy and Management (OPM) will continue to work at the state and federal level to increase the amount of resources available to build or renovate quality affordable housing.
- Low Income Housing Tax Credits - CHFA, through revision of the Low Income Housing Tax Credit Qualified Allocation Plan, will ensure that the Low Income Housing Tax Credit program addresses the needs and priorities of the current Consolidated Plan for Housing and Community Development.
- Rental Housing - DOH and CHFA will individually and jointly finance quality affordable new rental housing and preserve existing state-assisted and/or CHFA financed housing stock by using private, federal, local, and state resources.
- Housing Rehabilitation - DOH will continue to focus CDBG/SC and state resources to housing rehabilitation programs including the Healthy Homes and Lead Based-Paint Programs.
- Homeownership Counseling - CHFA will continue its counseling process for first time borrowers.
- Homeownership for Persons with Disabilities - DMHAS, DSS, DOH, and CHFA will promote homeownership opportunities for persons with disabilities who have been unable to access private financing.
- Mortgage Assistance - CHFA will continue to implement the Emergency Mortgage Assistance and CT FAMILIES (Connecticut Fair Alternative Mortgage Lending Initiative & Education Services) program as well as counseling initiatives and mediation efforts to assist economically distressed households maintain homeownership.
- Encourage and promote mixed use and transit-oriented development.
- Encourage and support municipal efforts to create higher density residential zoning districts.
- Green Building - Encourage green building by the use of sustainable construction in new buildings that meet Leadership in Energy and Environmental Design (LEED) standards.
- Healthy Homes - DOH, CHFA, the Department of Energy and Environmental Protection (DEEP), the Department of Public Health (DPH), DSS, local governments and property owners will work to help abate lead paint through the Connecticut Lead Action for Medicaid Primary Prevention Project (LAMPP) or other similar programs and work with DPH on the implementation of its 'Healthy Homes Initiative'.
- Continue use of the Connecticut Opportunity Map - This map of Connecticut includes information about neighborhood indicators - including things like educational attainment levels, home ownership percentages, and employment rates - that affect the lives of the people living in those areas.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	597	0
Low-income	142	0
Moderate-income	142	0

Total	881	0
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Table 13 – Number of Households Served

Narrative Information

The Interagency Committee on Supportive Housing and Homeless, which includes DMHAS, DSS, OPM, DOC, DOH, and CHFA, was responsible for the creation of more than 4000 units of supportive housing over the last two decades. Supportive housing is permanent, affordable housing linked to health, mental health, employment, and other supportive services. Supportive housing is a proven, cost-effective way to end homelessness for people with low income, as it provides chronically homeless people with a way out of expensive emergency public services and back into their homes and communities.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

DOH enhanced suitable living environments through expanding the Homeless Prevention Rapid Rehousing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless/leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with finding placement, rental assistance as needed and 12 months of in-home housing stabilization case management.
- Contractually required homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

Addressing the emergency shelter and transitional housing needs of homeless persons

DOH maintained the state's network of Homeless Shelter and Rapid Rehousing:

- Utilized Rapid Rehousing, administered by DOH, to reduce the recurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activities, when funds are available.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections

programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

DOH helped low-income individuals and families avoid becoming homeless, especially low-income individuals and families through expanding the Homeless Prevention Rapid Re-housing Program. This Program addresses averting homelessness for those individuals and families in danger of becoming homeless:

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless/leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with finding placement, rental assistance as needed and 12 months of in-home housing stabilization case management.
- Contractually required homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

Additionally, DOH is an active participant in the "Money Follows the Person" program. "Money Follows the Person" was a Federal Demonstration program funded by the Centers for Medicare and Medicaid Services designed to help states rebalance their long-term care systems by assisting individuals to transition from living in institutional settings to community living. The program provided service funding for elderly and disabled individuals, including those with mental health disorders or developmental disabilities, to live independently in the community.

DOH entered into a contract from 7/1/16 to 6/30/18 with the Corporation for Independent Living for a total of \$1,000,000 from the Affordable Housing (Flex) Fund to finance the "Money Follows the Person Transition Program" for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. In FY 17-18 a total of 8 dwelling units were modified using these funds.

DOH is also on the Board of Directors for the "Money Follows the Person" Medicaid Rebalancing Program and is active on its Housing Committee.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

DOH helped the homeless persons make the transition to permanent housing and independent living though maintaining the state's network of Homeless Shelter and Rapid Rehousing:

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activities, when funds are available.

DOH, in addition to funding emergency shelters and transitional living programs, also provided services through homeless drop-in day programs, homeless housing support services, Housing First for Families Program, CT Beyond Shelter Program, food pantries, and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrence of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

DOH addressed the housing needs of residents of public housing through the preservation of existing housing units, the creation of replacement units and by increasing the number of state funded rent subsidies.

More specifically, DOH accomplished the following:

- Invested in the maintenance/rehabilitation/modernization of more than 1400 existing publicly-assisted rental housing units to preserve them as a long-term resource.
- Preserved or replaced more than 890 state or federally assisted housing units by working with current owners and prospective developers of these projects to retain them in service to low-income households with a focus on projects in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Encourage local public housing authorities and DOH to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Housing Choice Vouchers including VASH vouchers.

In particular, state bond financing and state rental assistance funds were made available through a variety of mechanisms to assist PHA's in meeting the needs of their residents. This included projects that added bedrooms to small elderly units, provided services to residents, and maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems.

DOH also participated in the State-Sponsored Housing Portfolio which is a competitive program intended to provide funding to eligible sponsors to address capital improvements where such improvements coupled with a long term plan regarding the physical, financial and management operations will result in a sustainable and market competitive development and /or the occupancy of off-line units serving a diversified economic population with incomes of up to 80% of Area Median Income.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

DOH promotes and adheres to Section 8-64c of the Connecticut General Statutes. To support this, DOH contracts with a statewide non-profit corporation to provide technical assistance to resident associations and to residents to assist them in forming resident associations.

Actions taken to provide assistance to troubled PHAs

There were no non-entitlement PHA's that were on HUD's "Troubled" PHA list.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The most recently completed update of the Analysis of Impediments to Fair Housing Choice 2015 (AI 2015) provided a very clear and attainable road map for addressing the various barriers to affordable housing in Connecticut. The barriers and action steps to ameliorate those barriers can be found in Chapter Seventeen of the AI 2015.

The state implemented a variety of action steps to address a majority of the barriers identified in the AI 2015. These included:

- Created and rehabilitated affordable housing in a variety of locations.
- Collaborated with other agencies to affirmatively further fair housing.
- Convened stakeholders to review potential legislative solutions to existing impediments.
- Maximized the effectiveness of programs that promoted mobility.
- Promoted fair housing enforcement and education.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The need for providing housing for low- and moderate-income individuals far exceeds the state and federal financial resources available to address them. The state stretched its dollars as far as it could through collaboration and leveraging.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

DOH collaborated with DPH on the Healthy Homes Initiative on the Development and implementation of a uniform healthy home assessment and intervention approach.

DOH supported the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws.

DOH contracted for 69 Healthy Homes housing rehabilitation projects to support the Statewide Healthy Homes Initiative.

Sponsored 1 National Center for Healthy Homes (NCHH) training course.

DOH stretched its dollars as far as it could through collaboration and leveraging to educate parents, coordinate health and housing resources at the delivery point (local level) and to reach out to landlords about lead paint hazards.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

In addition to the five programs covered by the ConPlan, the state, through several agencies and organizations, employed numerous policies and programs to reduce the number of families living in the state at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of the State's ConPlan.

Additionally, the State of Connecticut has several statutory and federally mandated interconnected/interrelated plans that further articulate and constitute the State's Anti-Poverty Strategy as outlined in the 17-18 Action Plan.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

DOH was identified as the "first point of contact" for the institutional structure presented in the ConPlan. This role was supported at three levels through : (1) designation by the legislature to serve as the state's lead agency; (2) the role as "grantee" of various HUD program funds; and (3) the mission to serve all the citizens of Connecticut. DOH did the following : (1) conducted and fostered open participation, including supportive assistance, with the goal of facilitating meaningful involvement; (2) worked to increase participation at all levels, especially among extremely low-and very low-income groups, as well as those traditionally under represented; and (3) involved organizations that represented need populations across Connecticut.

Connecticut pursued and supported efforts to develop urban/suburban and regional partnerships, in addition to collaboration with private and non-profit development corporations. The collective efforts of all parties ensured that available resources were allocated to priority activities.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The state participated in several endeavors including the Permanent Supportive Housing Initiative (PSH) which is a public/private collaborative effort to foster the development of long-term solutions to the housing and service needs of families and individuals, coping with psychiatric disabilities and/or chemical dependency who are facing homelessness. This was accomplished through the leasing of existing scattered site housing. DMHAS funded Private, Non-Profit agencies to provide wrap around case management services. DOH provided many of the housing subsidies through its Rental Assistance Program (RAP) program. Other housing vouchers came from HUD or local Housing Authorities.

Identify actions taken to overcome the effects of any impediments identified in the

jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Fair housing initiatives promoted equal housing opportunity for all of Connecticut's citizens and increased housing choice opportunities through the application of responsible development principles and strategies.

Consistent with the recommendations in the Analysis of Impediments to Fair Housing Choice 2015 (A1 2015), the state implemented the following strategies within available programs and appropriations:

- Collaborated with other state agencies to affirmatively further fair housing.
- Convened stakeholders to review proposed legislative solutions to existing impediments to fair housing choice.
- Ensured state and local planning documents affirmatively furthered fair housing.
- Maximized the effectiveness of state programs that promote mobility.
- Promoted fair housing enforcement and education.
- Encouraged the creation and rehabilitation of affordable housing in a variety of locations.

In addition, the state did the following:

- In cooperation with the Fair Housing Enforcement office of HUD and affected stakeholders, the state initiated a redraft and update of the Connecticut Opportunity Map.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The following describes the standards and procedures that DOH utilized to monitor the activities carried out in furtherance of Connecticut's Consolidated Plan and utilized to ensure long-term compliance with requirements of the ESG and HOPWA programs:

- **ESG Monitoring:** DOH Grants and Contract Staff monitored ESG Programs using a tool developed by the staff which, in a comprehensive manner, reviewed each program's administration, personnel policies and procedures, accounting, budgeting, reporting, program services, goals and objectives, outcomes and measures, contractor's self-evaluation process, quality assurance/licensure compliance, and state and federal regulations. After all phases of the program were evaluated, the staff representatives wrote up any areas of concern with whatever follow-up was needed. DOH staff provided follow-up if it was determined necessary; and
- **HOPWA Monitoring:** HOPWA Contracts were handled a little differently than ESG. In addition to the above review, DOH staff representatives and the staff of AIDS Connecticut (ACT) performed a coordinated Standards of Care review. HOPWA contracts were also monitored to federal regulations with programmatic and fiscal presentation from DOH.

The Standards of Review Care review assured the quality of programs by setting down guidelines for services, health and safety, and general management. A dual-committee of DOH staff along with ACT staff used this tool to identify programs' strengths and weaknesses, highlight their best practices, and develop a framework, timeline and process for technical assistance to correct deficiencies.

DOH will monitor applicants' compliance to program requirements for HOME, SC/CDBG and NHTF, in accordance with 24 CFR 92, 24 CFR 570, and 24 CFR 93, for the HOME, SC/CDBG, and NHTF Programs respectively, as well as CPD Notice 12-02.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The PER is submitted to HUD within 90 days of the end of the fiscal year and is posted on DOH's website. Other ways in which DOH gathered information and input was by participation on approximately 70 state boards and commissions throughout the year that the Commissioner of DOH or designated staff (on behalf of the Commissioner) attended. Some of the more relevant commissions included the CT BOS/CoC Steering Committee; the Homeless Management Information System (HMIS) Steering Committee; CT Opening Doors Steering Committee; CT Opening Doors-Crisis Re-tooling Workshop; CT Opening Doors-Standards Subcommittee; Performance Measurement Committee and the Interagency Council for Supportive Housing and Homelessness, and the Interagency Committee on Affordable Housing.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

At this time the state is not considering making changes to program objectives. We continue to focus on the agency's mission which is the following: "A Connecticut where affordable housing, in strong, vibrant, and inclusive communities, is accessible to individuals and families across the state and homelessness is a thing of the past."

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Please see attached the HOME Monitoring FY 17-18.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

When HOME funds assisted projects with 5 units or more, the requirements of 24 CFR 92.350 and 92.351 applied. An Affirmative Fair Housing Marketing Plan, Tenant Selection Plan and Lease were submitted to DOH for approval.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

\$227,381 was available. Funds were not dispersed before the end of the fiscal year.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

In compliance with 24 CFR Part 92.254a.5, Qualification as Affordable Housing/Homeownership, DOH required that a deed restriction or restrictive covenant be placed on each property assisted by HOME funds to enforce the affordability requirements.

DOH normally will not permit resale restrictions unless it is required by 24 CFR Part 92.254 or if the sponsor has a long-standing history in owning and/or managing affordable housing. A "long-standing history" means at least 10 years.

- Homeowner Rehabilitation: For homeowner rehabilitation projects DOH looked to recapture the entire subsidy during the period of affordability. Though the HOME program did not require an affordability period for homeowner rehabilitation projects, with the exception of assistance to units in a two-to-four unit property, DOH established a stand-alone state mandated affordability period for such projects. Said affordability period mirrored the 5, 10, 15 year HOME periods but was enforced by a stand-alone non-HOME restrictive covenant.

- Homebuyer Assistance: For homebuyer projects, DOH utilized HOME funds to assist homebuyers provided either resale or recapture requirements were met and in compliance with 24 CFR Part 92.254.
- The following provisions applied to homeowner projects as described below:
 1. Affordability Period: the minimum affordability period was established by HUD based on the amount of HOME financial assistance in each unit;
 2. Direct Subsidy (aka: Buyer's Subsidy): consisted of any financial assistance that reduced the purchase price from fair market to an affordable price or otherwise subsidized the purchase (e.g., down payment or closing cost assistance, subordinate financing);
 3. Development Subsidy (aka: Developer Subsidy): was provided to the developer and was the difference between the cost to create or rehabilitate housing and the fair market price. While the subsidy did not go directly to the homeowner, it helped make development of an affordable home feasible;
 4. Affordability Enforcement: In compliance with 24 CFR Part 92.254(b), for HOME Program assisted homebuyer projects, DOH required that the applicable resale and/or subsidy recapture restriction be applied to the units as required.
 5. In accordance with the previous provisions, if the sole HOME Program financial assistance to a unit is a developer's subsidy (not combined with a buyer's subsidy), then the only type of restriction permitted is Resale.

In addition, DOH participated in the LIHTC program which provided incentives for developers to acquire, rehabilitate and/or build low- or mixed-income housing through the allocation of federal tax credits that may be sold to corporations or investor groups to raise equity for a project. The credits represent a dollar-for-dollar reduction tax liability and often are purchased at a discount.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	11	11
Tenant-based rental assistance	25	15
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 14 – HOPWA Number of Households Served

Narrative

The type of housing funded was tenant-based scattered site apartments or congregate housing, with applicable administration costs within the identified cap. The housing programs were located in two Balance of State EMSAs and provided housing with supportive services to approximately 20 individuals. The supportive services included case management, cooking and cleaning assistance, transportation, education and volunteer opportunities.

Each housing program served an eligible person based on a verification of HIV/AIDS diagnosis that was a client with a positive diagnosis; income verification for a person who is a low-and moderate-income individual and person's family and/or eligible to receive housing information services regardless of income. It was also based on a review of living situation that is related to those who are homeless and near homeless individuals.

The non-profit organizations that provided the described services had established excellent reputations within their communities. Each had developed networks that were informed of the available housing and supportive services provided. Staff worked closely with discharge planners from hospitals, homeless shelters and correction facilities, as well as, with case managers and care coordinators from the regional AIDS consortia. AIDS Connecticut (ACT), and its members, through its quality assurance

program, continued to work closely with DOH to establish appropriate and measurable performance measures for ACT's activities. In addition, DOH contractually required Homeless Management Information Systems (HMIS) utilization by all HOPWA grantees. The Department also aligned its contract measures with HUD's HOPWA outcome measures.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	CONNECTICUT
Organizational DUNS Number	078847898
EIN/TIN Number	300566789
Identify the Field Office	HARTFORD
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Connecticut Balance of State CoC

ESG Contact Name

Prefix	Mr
First Name	Steve
Middle Name	0
Last Name	Dilella
Suffix	0
Title	Director Individual and Family Support

ESG Contact Address

Street Address 1	505 Hudson Street
Street Address 2	0
City	Hartford
State	CT
ZIP Code	06106-7107
Phone Number	8602708081
Extension	0
Fax Number	0
Email Address	steve.dilella@ct.gov

ESG Secondary Contact

Prefix

First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 10/01/2017
Program Year End Date 06/30/2018

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	109,135
Total Number of bed-nights provided	42,212
Capacity Utilization	38.68%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

DOH negotiated the client-based outcomes and measures with the directors of the emergency shelters. Each shelter selected and negotiated individual numerical outcomes and measures with DOH staff and submitted monthly statistical demographics reports, as well as ESG annual performance reports. DOH also added contractual language as it related to Homeless Management Information System (HMIS) requirements and utilization. The statewide outcomes were evaluated after six months.

Clients attained alternative housing and/or access to social and/or treatment services. Alternative housing included transitional housing, residential treatment programs, supportive and permanent housing. Social services included domestic violence abuse counseling, family counseling, educational and employment and financial counseling, child care, security deposit and rental assistance programs. Treatment services included residential and outpatient mental health and substance abuse treatment and medical treatment.

Measure 1

- Individuals: At least 75% of clients accessed another community housing setting such as transitional housing, residential (treatment) program, or permanent housing.
- Families: At least 80% of clients accessed another community housing setting such as transitional housing, residential program or permanent housing.

Measure 2

- Individuals: At least 100% of clients accessed additional social and/or outpatient treatment services as needed in the housing plan.

- Families: At least 100% of clients accessed additional social and/or outpatient treatment services as needed in the housing plan.

Measure 3

- Individuals: For clients whose housing plans included agreed upon goals or accessing information on health, education, housing, budgeting, and/or other services in order to make informed decisions about their health, education, housing and other identified needs, 100% were provided with such information, as individually appropriate, in order to enable them to make informed decisions on meeting those needs.
- Families: For clients whose housing plans included agreed upon goals or accessing information on health, education, housing, budgeting, and/or other services in order to make informed decisions about their health, education, housing and other identified needs, 100% were provided with such information, as individually appropriate, in order to enable them to make informed decisions on meeting those needs.

Measure 4

- 100% of clients came into the program through local coordinated access.

Measure 5

- At least 80% of clients had a positive outcome from program discharge.
- No more than 20% of clients were discharged to homelessness.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	24,000
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	24,000

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	888,624	1,022,396	873,260
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	888,624	1,022,396	873,260

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	0	0	0
Operations	1,087,918	839,078	1,097,828
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	1,087,918	839,078	1,097,828

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Street Outreach	0	0	0
HMIS	87,750	87,750	87,750
Administration	0	98,073	87,750

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2015	2016	2017
	2,064,292	2,047,297	2,170,588

Table 29 - Total ESG Funds Expended

11f. Match Source

	2015	2016	2017
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	10,526,692	9,035,054	7,088,909
State Government	2,748,953	3,078,813	5,616,811
Local Government	0	0	0
Private Funds	0	0	0

Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	13,275,645	12,113,867	12,705,720

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2015	2016	2017
	15,339,937	14,161,164	14,876,308

Table 31 - Total Amount of Funds Expended on ESG Activities

Appendix I.

Key	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
Acronyms	
CHFA	Connecticut Housing Finance Authority
DOH	Department of Housing, State of Connecticut
DECD	Department of Economic and Community Development, State of Connecticut
DSS	Department of Social Services, State of Connecticut
OFR	Office of Financial Review
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development